Bounds on Amplitudes and EFTs

Brando Bellazzini

IPhT - CEA/Saclay

based on 1605.06111 and work in progress





Eltville, Burg Crass, 'EFTs for collider physics, Flavor phenomena and EWSB', Sept 15th 2016

a blessing...



a blessing...

- small parameter E/Λ_{UV}
- emerging patterns
- suppress dangerous operators





a blessing... or a curse

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- emerging patterns
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$$\mathcal{L}_{\mathcal{IR}} = \mathcal{L}^{\Delta \leq 4} + \sum_{\mathcal{O}} \frac{\mathcal{O}(x)}{\Lambda_{UV}^{\Delta - 4}}$$

a blessing... or a curse

- small parameter E/Λ_{UV}
- emerging patterns
- suppress dangerous operators

large couplings from a strong sector may help

e.g. in CHM:
$$\mathcal{L} = \frac{g_*^2}{m_*^2} (\partial H^2)^2$$



$$\mathcal{L}_{IR} = \mathcal{L}^{\Delta \le 4} + \sum_{\mathcal{O}} \frac{\mathcal{O}(x)}{\Lambda_{UV}^{\Delta - 4}}$$



EFT encodes UV-info via c_i

Finite set of C's is needed at any order in E/Λ_{UV}

Power counting = understanding = symmetries

Higher dim-operators may dominate the amplitude within EFT

just suppress relevant, marginal and less-irrelevant operators by symmetries

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1-to-1 amplitude dominated by a less-relevant operator

$$\mathcal{M}(1 \to 1) \sim \frac{1}{E}$$
 $\epsilon \cdot m_* < E < m_*$

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Example

(2)
$$\bar{\psi}i\partial\psi - gA_{\mu}\bar{\psi}\gamma^{\mu}\psi + \dots$$

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dominated by dim-6 at intermediate energy

 $\epsilon \cdot m_* < E < m_*$



$$\mathcal{M}(2 \to 2) = \frac{g_{SM}^2}{E^2} \left(1 + \frac{1}{\epsilon^2} \frac{E^2}{m_*^2} \right)$$

Amplitude runs fast within the validity of EFT

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Examples

(3)
$$(\partial \pi)^2 - m_*^2 \pi^2 + g_*^2 \pi^4 + \dots$$

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Examples

$$(3) \ (\partial \pi)^2 - m_*^2 \pi^2 + g_*^2 \pi^4 + \dots \xrightarrow[\pi \to \pi + c]{\pi \to \pi + c} \ (\partial \pi)^2 - \epsilon^2 (m_*^2 \pi^2 + \epsilon^2 g_*^2 \pi^4) + \frac{g_*^2}{m_*^4} (\partial \pi)^4 + \dots$$

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Amplitude runs fast within the validity of EFT: $\mathcal{M}(2 \to 2) = g_*^2 \epsilon^4 \left(1 + \frac{E^4}{m_*^4 \epsilon^4}\right)$

 $\epsilon \cdot m_* < E < m_*$







'remedios': strongly int. transv. vectors 1603.03064 Liu, Pomarol, Rattazzi, Riva





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HOW FAST?

Goldstones

4-Fermions

$$\begin{array}{c} (\partial \pi)^2 \pi^2 \\ (\bar{\psi} \gamma^{\mu} \psi)^2 \\ \dots \end{array} \end{array} \sim E^2$$

dilaton

Goldstino

remedios

$$\begin{array}{c} (\partial \sigma)^4 \\ \overline{\psi}^2 \Box \psi^2 \\ F^4_{\mu\nu} \\ \dots \end{array} \end{array} \sim E^4$$

can amplitudes be softer than E^4 ? (within an EFT)

For spin-0 particles the answer is: No!

(well known) e.g. hep-th/0602178 Adams, Arkani-Hamed, Dubovsky, Nicolis, Rattazzi

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Adams, Arkani-Hamed,

Dubovsky, Nicolis, Rattazzi Analyticity, Crossing, and Unitarity \mathcal{M}_4 4 $s \leftrightarrow u$ small circle=big circle $Disc_s = Disc_u$ Im s ~~~~~ Re s $u_{\rm IR} = (m_1 - m_2)^2$ $s_{IR} = (m_1 + m_2)^2$ s-plane





$$\pi \to \pi + \text{const}$$
 $\mathcal{L} = \frac{1}{2} (\partial_{\mu} \pi)^2 + \frac{c}{\Lambda^4} (\partial_{\mu} \pi)^4 + \dots$

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dispersion relation:

$$\pi \pi \to \pi \pi$$

$$\mathcal{M}''_{\pi \pi \to \pi \pi}(s=0) = \frac{4}{\pi} \int_0^\infty \frac{ds}{s^3} \sigma_{\pi \pi \to \text{anything}}(s) > 0$$
IR-side
UV-side

 $\pi\pi \to \pi\pi$

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This interacting theory can't be softer than E^4

SPINNING PARTICLES?

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(1) Amplitudes not Lorentz inv. but Little-group covariant
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$$s, t, u + polarizations \begin{cases} 1 \\ u_{\alpha}^{\sigma} & v_{\alpha}^{\sigma} \\ \varepsilon_{\mu}^{\sigma} & \dots \end{cases}$$

(not amplitudes squared)

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$$\begin{aligned} \epsilon^L_\mu(\mathbf{p}) &\sim (p_z, 0, 0, \sqrt{p_z^2 + m^2})^T \\ u(\mathbf{p}) &\sim \sqrt{p_\mu \sigma^\mu} \end{aligned}$$

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Forward elastic scattering is special!

All previous issues cancel against each other out

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forward elastic amp. is invariant

 $|p\,,\sigma
angle o e^{i\sigma\theta(W,p)}|\Lambda p\,,\sigma
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 $\mathcal{M}=\mathcal{M}(s)$

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$$\langle \overline{p}, -\sigma | \Psi_{\alpha}(0) | 0 \rangle = v_{\alpha}^{-\sigma}(\overline{\mathbf{p}})$$

 $\langle 0|\Psi_{\alpha}(0)|p,\sigma\rangle = u_{\alpha}^{\sigma}(\mathbf{p})$

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$$u^{\sigma}(\mathbf{p}) \sim v^{-\sigma}(\mathbf{p})$$

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$$k_3^{\sigma_3} \to k_1^{\sigma_1} \qquad \qquad \mathcal{M}(k_1^{\sigma_1} \dots \to k_1^{\sigma_1} \dots) = \left[u_{\alpha}^{\sigma_1}(\mathbf{k}_1) u_{\beta}^{\sigma_1}(\mathbf{k}_1) \dots \right] \mathcal{A}_{\alpha\beta\dots}(k_1, \dots)$$



if so, crossing in elastic scattering t=0 would be become again s ++ u=-s

$$\mathcal{M}_{\text{particles}}(s) = \mathcal{M}_{\text{antiparticles}}(u = -s)$$

(4) Locality

analytically continue density matrices off-shell

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 e.g. spin-1/

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$$[\psi(x_1), \psi^{\dagger}(x_2)]_{\pm} = \rho(-i\partial) \int \frac{d^3p}{(2\pi)^3 2|p|} \left(e^{-i\mathbf{p}\mathbf{x}_{12}} \pm (-1)^{2Spin} e^{i\mathbf{p}\mathbf{x}_{12}}\right)\Big|_{t_1=t_2} = 0$$

(4) **Locality** analytically continue density matrices off-shell $u^{\sigma}(\mathbf{k})u^{\sigma\dagger}(\mathbf{k}) \equiv \rho(\mathbf{k}) \longrightarrow \rho^{\sigma}(\mathbf{k}) \rightarrow \rho^{\sigma}(\mathbf{k})$ $(-1)^{2Spin} \cdot \rho^{\sigma}(k) = \rho^{\sigma}(-k)$ e.g. spin-1/2 $u(\mathbf{p}) \sim \sqrt{p_{\mu}\sigma^{\mu}}$ $[\psi(x_1),\psi^{\dagger}(x_2)]_{\pm} = \rho(-i\partial) \int \frac{d^3p}{(2\pi)^3 2|p|} \left(e^{-i\mathbf{p}\mathbf{x}_{12}} \pm (-1)^{2Spin} e^{i\mathbf{p}\mathbf{x}_{12}}\right)\Big|_{t_1=t_2} = 0$ $\langle T\psi(x_1)\psi^{\dagger}(x_2)\rangle = \int \frac{d^4k}{(2\pi)^4} e^{-ikx_{12}} \frac{\rho^{\sigma}(k)}{k^2 - i\epsilon}$ propagator's numerator: its parity fixed by Spin-Statistics



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Analyticity, Crossing, and Unitarity act like for spin-0 in the forward elastic scattering



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doesn't admit a local unitary UV completion

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$$\psi(x) \to \psi'(x') = \psi(x) + \xi \qquad \stackrel{\text{up to field red.}}{\longrightarrow} \qquad \mathcal{L}_{eff} = -\frac{1}{4F^2} G^{\dagger 2} \Box G^2 + \dots$$
$$x \to x' = x - i\xi\sigma\theta + i\theta^{\dagger}\sigma\xi \qquad \qquad \mathcal{M}(GG \to GG)(s, t = 0) = \frac{s^2}{F^2}$$

(2) Goldstino $\psi(x) \rightarrow \psi'(x') = \psi(x) + \xi$ $x \rightarrow x' = x - i\xi\sigma\theta + i\theta^{\dagger}\sigma\xi$ $\mathcal{L}_{eff} = -\frac{1}{4F^2}G^{\dagger 2}\Box G^2 + \dots$ $x \rightarrow x' = x - i\xi\sigma\theta + i\theta^{\dagger}\sigma\xi$ $\mathcal{M}(GG \rightarrow GG)(s, t = 0) = \frac{s^2}{F^2}$ +light fields $\begin{cases} \mathcal{L} = -\frac{a_{\psi}}{F^2}(G^{\dagger}\psi^{\dagger})\Box(G\psi) + \frac{\widetilde{a}_{\psi}}{F^2}(\partial_{\nu}G^{\dagger}\overline{\sigma}^{\mu}\partial^{\nu}G)(\psi^{\dagger}\overline{\sigma}^{\mu}\psi) \\ + \frac{ia_{\pi}}{4F^2}\partial_{\mu}\pi\partial^{\nu}\pi(G^{\dagger}\overline{\sigma}^{\mu}\partial_{\nu}G) + h.c. \\ - \frac{ia_A}{2F^2}(G^{\dagger}\overline{\sigma}^{\mu}\partial_{\nu}G)F_{\mu\rho}F^{\nu\rho} + h.c. \end{cases}$

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$$+ \text{light fields}$$

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$$\begin{cases} \mathcal{M}(G\psi \rightarrow G\psi)(s, t = 0) = \frac{a_{\psi}}{F^{2}}s^{2} \\ \mathcal{M}(GA \rightarrow GA)(s, t = 0) = \frac{a_{A}}{F^{2}}s^{2} \end{cases}$$

$$\mathcal{M}(G\pi \to G\pi)(s,t=0) = \frac{a_{\pi}}{2F^2}s^2$$





(3) Goldstino and R-axion

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$$\mathcal{L} = \int d^4\theta \left(X_{NL}^{\dagger} X_{NL} + f_a^2 R_{NL}^{\dagger} R_{NL} \right) + \left(\int d^2\theta F X_{NL} + w_R R_{NL}^2 + h.c. \right)$$

$$X_{NL}^2 = 0 \qquad X_{NL}(A_{NL} - A_{NL}^{\dagger}) = 0 \qquad R_{NL} = e^{iA_{NL}} \qquad A_{NL} \to A_{NL} + \epsilon$$

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$$w_R < \frac{1}{2} f_a F$$

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slightly improved bound
on VEV superpotential
Komargodski Festuccia Dine 0910.2527

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$$\Gamma(a \to GG) < \frac{1}{32\pi} \left(\frac{m_a^5}{F^2} \right)$$

(4) SM fermions as pseudo-Goldstini

(revive old idea Bardeen and Visnjic NPB 1982) w/ F. Riva, J. Serra and F. Sgarlata



for illustration only! pedestrian bounds







CONCLUSIONS

- Not all EFTs are created equal: some live in the swampland and can't be UV-completed
- Universal bounds on softness and positivity of 2-to-2 amplitudes, for arbitrary spins
- Unitarity crossing, and analyticity work as usual only in the forward elastic scattering
- 2-to-2 Amplitudes can't run arbitrarily fast (low-energy constraints can't be made arbitrarily irrelevant).
- Non-trivial constraints on EFTs beyond symmetries (R-axion, Goldstinos, fermionic shift sym...)
- Boundaries from positivity must be included whenever dim-8 operators are relevant

thank you!

backup slides

MASSLESS HIGHER-SPIN STATES





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