

Recent Advances in Heterotic Moduli

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Long History of heterotic string compactifications.

- Minkowski 4d $N = 1$ vacua with good particle physics from compactifications on Calabi-Yau manifolds with vector bundles.
- α' -corrections induce torsional geometries.
- Loose toolbox of algebraic geometry and Kähler geometry.
- Harder to understand moduli.

This talk: Heterotic compactifications on $SU(3)$ -structure and G_2 -structure manifolds.

- Review of infinitesimal (massless) heterotic moduli of $SU(3)$ -structure compactifications to Mink_4 .
- Some comments on the Geometric properties of moduli space (Superpotential, Kähler potential).
- Infinitesimal moduli of heterotic G_2 system.
- Higher order deformations of $SU(3)$ system, heterotic DGLA.
- Comments on work in progress..

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String theory is ten-dimensional:

$$\mathcal{M}_{10} = \mathcal{M}_d \times X_{10-d} ,$$

where \mathcal{M}_d is the maximally symmetric (often Minkowski) d -dimensional spacetime, and X is the internal geometry, often assumed compact.

Supersymmetry: Puts conditions on X .

- $d = 4, \mathcal{O}(\alpha'^0) \Rightarrow X$ torsion-free, Calabi-Yau.
- $d = 3, \mathcal{O}(\alpha'^0) \Rightarrow X$ torsion-free for Minkowski compactifications, G_2 -Holonomy.
- Note: Zeroth order AdS_3 compactification requires torsion.

Deformations $\delta X \Leftrightarrow$ Moduli fields in external geometry.

String Phenomenology: Find compact geometries whose moduli contains the Standard Model.

Heterotic: Don't fully understand moduli of generic compactifications yet. Special cases known (Standard Embedding, etc).

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Heterotic supergravity is a 10d $N = 1$ supergravity coupled to an $E_8 \times E_8$ Yang-Mills theory.

- Good for phenomenology.
- Can also be useful for describing geometries with more fibration structures.
- Mathematically interesting. Natural generalisations of torsion free geometries when bundles can back-react.

Complications:

- Torsional geometries not well understood.
- Few “non-trivial” examples [Dasgupta et al. 99, Becker et al 06, Halmagyi-Israel-EES 16,..].
- Complicated equations to deal with, e.g. Bianchi Identity:

$$dH = \frac{\alpha'}{4} (\text{tr } F^2 - \text{tr } R^2) .$$

Need a “nicer” description to deal with moduli [Anderson et al 10, Anderson et al 14, delaOssa-EES 14, Garcia-Fernandez et al 15, Candelas et al 16, ..].

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3 Steps in understanding moduli of a string compactification:

- *Step 1:* Infinitesimal *massless* spectrum $T\mathcal{M}$. Derive differential \mathcal{D} and associated cohomology giving moduli

$$T\mathcal{M} = H_{\mathcal{D}}^1(\mathcal{Q}) .$$

The fields can usually be taken to be one-forms \mathcal{X} with values in a bundle \mathcal{Q} (or sheaf more generally), naturally associated to the given moduli problem.

- *Step 2:* Understand geometry of \mathcal{M} (Kähler metric, etc). Higher order deformations, obstructions (Yukawa couplings). Maurer-Cartan elements,

$$\mathcal{D}\mathcal{X} + \frac{1}{2}[\mathcal{X}, \mathcal{X}] = 0 ,$$

and associated differentially graded Lie algebra (or L_{∞} -algebra more generally).

- *Step 3:* Understand *quantum cohomology ring*. Include non perturbative effects such as world-sheet instantons, and quantum corrections (higher genus effects). Construct topological theory of corresponding structures?

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Compactification on six dimensional compact $SU(3)$ -structure manifold X results in a 4d supergravity coupled to Yang-Mills.

Four-dimensional heterotic theory has GVW-superpotential [Becker et al 03, Cardoso et al 03, Lukas et al 05, McOrist 16, ..]

$$W = \int_X (H + id\omega) \wedge \Omega ,$$

where (Ω, ω) form the $SU(3)$ -structure, and

$$H = dB + \frac{\alpha'}{4} \omega_{CS}(A) .$$

F-term (BPS) conditions:

$$\delta W = W = 0 .$$

- $d\Omega = 0$ and so X is a *complex manifold*.
- $F \wedge \Omega = 0$ and so the bundle given by A is *holomorphic*.
- $H = i(\partial - \bar{\partial})\omega$, flux is identified with internal torsion.

There are also “D-term” conditions (conformally balances, Yang-Mills conditions).

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Note: Will assume “technical assumption” such as the $\partial\bar{\partial}$ -lemma, or $h^{(0,1)} = 0$.

Infinitesimal moduli
preserving BPS conditions \Leftrightarrow Massless fields in 4d theory

Assumption for massless spectrum (infinitesimal moduli):

$$T\mathcal{M} \subseteq H^{(0,1)}(T^{*(1,0)}X) \oplus H^{(0,1)}(T^{(1,0)}X) \oplus H^{(0,1)}(\text{End}(V)) .$$

Massless fields:

Let δ_2 be massless, while δ_1 is a generic KK mode. Must then require

$$\delta_2\delta_1 W = 0 \quad \forall \delta_1 .$$

From this we get that

$$d\delta_2\Omega = 0 \Rightarrow \delta_2\Omega \in H^{(2,1)}(X) \Leftrightarrow \mu_2 \in H^{(0,1)}(T^{(1,0)}X) ,$$

where μ_2 is tangent bundle valued. Also get

$$\delta_2(F \wedge \Omega) = 0 \Leftrightarrow F_{a\bar{b}} dz^{\bar{b}} \wedge \mu_2^a = \mathcal{F}(\mu_2) = -\bar{\partial}_A \alpha_2 ,$$

where $\mu_2 \in H^{(0,1)}(T^{(1,0)}X)$, $\alpha_2 = \delta_2 A^{(0,1)} \in \Lambda^{(0,1)}(\text{End}(V))$.

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It follows that μ_2 is in the kernel of Atiyah map [Atiyah 57, Anderson et al 10]

$$\mathcal{F} : H^{(0,1)}(T^{(1,0)}X) \rightarrow H^{(0,2)}(\text{End}(V)) .$$

We thus see that the infinitesimal moduli of a complex manifold with holomorphic bundle is

$$T\mathcal{M}_1 = H^{(0,1)}(\text{End}(V)) \oplus \ker(\mathcal{F}) .$$

Which Cohomology (Which differential)?

Can be put in terms of holomorphic structure, or $(0, 1)$ -differential

$$\bar{\partial}_1 = \begin{pmatrix} \bar{\partial}_A & \mathcal{F} \\ 0 & \bar{\partial} \end{pmatrix} : \Lambda^{(q,p)} \begin{pmatrix} \text{End}(V) \\ T^{(1,0)}X \end{pmatrix} \rightarrow \Lambda^{(q,p+1)} \begin{pmatrix} \text{End}(V) \\ T^{(1,0)}X \end{pmatrix} ,$$

on the bundle $Q_1 = \text{End}(V) \oplus T^{(1,0)}X$.

Note that $\bar{\partial}_1^2 = 0$ due to the Bianchi identity $\bar{\partial}_A F = 0$.

One then finds

$$T\mathcal{M}_1 = H_{\bar{\partial}_1}^{(0,1)}(Q_1) = .. = H^{(0,1)}(\text{End}(V)) \oplus \ker(\mathcal{F}) .$$

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From the second order variation of W we also find the condition (modulo “technical assumption”)

$$\mathcal{H}(\mu_2, \alpha_2)_b dz^b = 2\Delta_2^a \wedge i\partial_{[a}\omega_{b]}\bar{c} dz^{b\bar{c}} - \frac{\alpha'}{2} \text{tr} \alpha_2 \wedge F = \bar{\partial}\tau_2^{(1,1)} .$$

Can think of $\tau_2^{(1,1)}$ as complexified α' -corrected Kähler deformations.

$\Rightarrow (\mu_2, \alpha_2) \in H^{(0,1)}(Q_1)$ is in the kernel of

$$\mathcal{H} : H^{(0,1)}(Q_1) \rightarrow H^{(0,2)}(T^{*(1,0)}X) .$$

\mathcal{H} is a map between cohomologies by the heterotic Bianchi Identity

$$dH = -2i\partial\bar{\partial}\omega = \frac{\alpha'}{4} \text{tr} F \wedge F .$$

Naively the massless moduli are given by

$$T\mathcal{M}_2 = H^{(0,1)}(T^{*(1,0)}X) \oplus \ker(\mathcal{H}) , \quad \ker(\mathcal{H}) \in H^{(0,1)}(Q_1) ,$$

where $H^{(0,1)}(T^{*(1,0)}X) \cong H^{(1,1)}(X)$ are hermitian moduli.

What differential computes the massless moduli?

Let $Q_2 = T^{*(1,0)}X \oplus \text{End}(V) \oplus T^{(1,0)}X$. Can define holomorphic structure on the differential complex $\Lambda^{(q,p)}(Q_2)$

$$\bar{\partial}_2 = \begin{pmatrix} \bar{\partial} & \mathcal{H} \\ 0 & \bar{\partial}_1 \end{pmatrix} : \Lambda^{(q,p)} \begin{pmatrix} T^{*(1,0)}X \\ Q_1 \end{pmatrix} \rightarrow \Lambda^{(q,p+1)} \begin{pmatrix} T^{*(1,0)}X \\ Q_1 \end{pmatrix},$$

Note that the heterotic Bianchi Identity implies that $\bar{\partial}_2^2 = 0$.

Compute first cohomology

$$T\mathcal{M} = H_{\bar{\partial}_2}^{(0,1)}(Q_2) = \dots = \left(H^{(1,1)}(X) / \text{Im}(\mathcal{F}_0) \right) \oplus \ker(\mathcal{H}),$$

where

$$\mathcal{F}_0 : H^0(\text{End}(V)) \rightarrow H^{(1,1)}(X)$$

is defined for $\alpha \in H^0(\text{End}(V))$, $\mathcal{F}_0(\alpha) = \text{tr}(F\alpha)$.

Incorporates Green-Schwarz gauge transformation of B -field, and preserving the Yang-Mills condition.

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$N = 1$ Supersymmetric compactifications to three dimensions require internal geometry Y to have a G_2 -structure, tangent bundle has a reduced structure group $G_2 \subset Spin(7)$.

Determined by a non-degenerate G_2 invariant three-form φ ($\psi = *\varphi$), satisfying

$$d\varphi = \tau_0\psi + 3\tau_1 \wedge \varphi + *\tau_3$$

$$d\psi = 4\tau_1 \wedge \psi + *\tau_2 .$$

Supersymmetry requires [Papadopoulos et al 05, Lukas et al 10, ..],

$$\tau_0 = \text{dvol}_{\mathcal{M}_3} \lrcorner H , \quad \tau_1 = \frac{1}{2} d\phi$$

$$\tau_2 = 0 , \quad \tau_3 = -H + \frac{1}{6} \tau_0 \varphi - \tau_1 \lrcorner \psi .$$

Note, this is an *integrable* G_2 -structure.

Gauge bundle: $F \wedge \psi = 0 \iff$ Curvature is an instanton.

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For integrable G_2 structure (in particular G_2 holonomy) we have the complex [Reyes-Carrion 93, Fernandez et al 98]

$$0 \rightarrow \Lambda_1^0 \xrightarrow{\check{d}} \Lambda_7^1 \xrightarrow{\check{d}} \Lambda_7^2 \xrightarrow{\check{d}} \Lambda_1^3 \rightarrow 0.$$

Here $\check{d} = \pi \circ d$, where π is the projection onto the appropriate representation.

This is a differential complex, i.e. $\check{d}^2 = 0$, iff the G_2 structure is integrable.

This complex generalises to bundles (V, A)

$$0 \rightarrow \Lambda_1^0(V) \xrightarrow{\check{d}_A} \Lambda_7^1(V) \xrightarrow{\check{d}_A} \Lambda_7^2(V) \xrightarrow{\check{d}_A} \Lambda_1^3(V) \rightarrow 0.$$

where $\check{d}_A = \pi \circ d_A$. This is a differential complex iff $F \wedge \psi = 0$.

These complexes are elliptic [Reyes-Carrion 93] \Rightarrow the corresponding cohomologies $\check{H}^*(V)$ are finite dimensional.

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Joyce: Infinitesimal geometric moduli counted by $H^3(Y)$.

Infinitesimal moduli are given by

$$\partial_t \varphi = -\frac{1}{2} \Delta_t^m \wedge \varphi_{mnp} dx^{np}, \quad \Delta_t^m = \Delta_{tn}^m dx^n \in \Lambda^1(TY).$$

where Δ is a symmetric matrix.

Can show that $\check{d}_\nabla \Delta = 0$, where ∇ is the Levi-Civita connection for G_2 holonomy. Modulo diffeomorphisms we find

$$\Delta \in \check{H}^1(TY).$$

It follows that $H^3(Y)$ embeds into $\check{H}^1(TY)$, given by “the symmetric part” of $\check{H}^1(TY)$.

The anti-symmetric part of $\check{H}^1(TY)$ can be shown to correspond to closed two-forms. We can interpret this as deformations of the heterotic B -field.

Let us consider an infinitesimal deformation of the instanton condition $\partial_t (F \wedge \psi) = 0$, which gives

$$\check{d}_A \partial_t A = \check{\mathcal{F}}(\Delta_t),$$

where

$$\check{\mathcal{F}}(\Delta) = \pi_7 (F_{mn} dx^n \wedge \Delta^m).$$

Note that $\check{\mathcal{F}}$ is a map in cohomology

$$\check{\mathcal{F}} : \check{H}^p(TY) \rightarrow \check{H}^{p+1}(\text{End}(V)),$$

as can be checked by the Bianchi identity for F together with the instanton condition.

I.e. infinitesimal geometric moduli $\Delta \in \ker(\check{\mathcal{F}})$. Note: elements of $\check{H}^1(TY)$ corresponding to B -field deformations are naturally in the kernel of $\check{\mathcal{F}}$.

Theorem (delaOssa-Larfors-EES) The zeroth order infinitesimal heterotic moduli space on G_2 manifolds to 3d Minkowski is

$$T\mathcal{M} = \check{H}^1(\text{End}(V)) \oplus \ker(\check{\mathcal{F}}).$$

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In analogy with the complex case, we can define a differential

$$\check{d}_1 = \begin{pmatrix} \check{d}_A & \check{\mathcal{F}} \\ 0 & \check{d}_\nabla \end{pmatrix} : \check{\Lambda}^p \begin{pmatrix} \text{End}(V) \\ TY \end{pmatrix} \rightarrow \check{\Lambda}^{p+1} \begin{pmatrix} \text{End}(V) \\ TY \end{pmatrix},$$

Note that $\check{d}_1^2 = 0$ due to Bianchi identity for F and instanton condition. Here $\check{\Lambda}^p$ denotes projection of p -forms onto the appropriate representation.

Get short exact extension of complexes, $\mathcal{Q}_1 = \text{End}(V) \oplus TY$

$$0 \rightarrow \check{\Lambda}^*(\text{End}(V)) \rightarrow \check{\Lambda}^*(\mathcal{Q}_1) \rightarrow \check{\Lambda}^*(TY) \rightarrow 0,$$

where differential of $\check{\Lambda}^*(\mathcal{Q}_1)$ is given by \check{d}_1 .

Long exact sequence in cohomology gives

$$T\mathcal{M}_1 = \check{H}^1(\mathcal{Q}_1) = \check{H}^1(\text{End}(V)) \oplus \ker(\check{\mathcal{F}}).$$

as expected.

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The heterotic G_2 system naturally gives rise to a differential

$$\check{D} = \begin{pmatrix} \check{d}_A & \check{F} \\ \check{F} & \check{d}_\theta \end{pmatrix} : \check{\Lambda}^p \begin{pmatrix} \text{End}(V) \\ TY \end{pmatrix} \rightarrow \check{\Lambda}^{p+1} \begin{pmatrix} \text{End}(V) \\ TY \end{pmatrix},$$

where the map $\check{F} : \check{\Lambda}^p(\text{End}(V)) \rightarrow \check{\Lambda}^{p+1}(TY)$ is given by

$$\check{F}(\alpha)^m = \frac{\alpha'}{4} \pi [\text{tr}(g^{mn} F_{nq} dx^q \wedge \alpha)],$$

and π denotes the appropriate projection.

The connection d_θ has connection symbols

$$\theta_{mn}^p = \Gamma_{nm}^p,$$

where Γ_{nm}^p denote the connection symbols of the $SU(3)$ -structure connection ∇ (the BPS connection, $\nabla\varphi = 0$).

NOTE: The connection θ is NOT an instanton when α' -corrections are included. However

$$R_\theta \wedge \psi = 0 + \mathcal{O}(\alpha').$$

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We compute the square of the differential

$$\check{D}^2 = \begin{pmatrix} \check{d}_A^2 + \check{F}^2 & \check{F}\check{d}_\theta + \check{d}_A\check{F} \\ \check{d}_\theta\check{F} + \check{F}\check{d}_A & \check{d}_\theta^2 + \check{F}^2 \end{pmatrix} = 0.$$

- Instanton condition implies $\check{d}_A^2 = 0 \Rightarrow F \in \Lambda_{14}^2(\text{End}(V))$.
Then $\check{F}^2 = 0$ follows from representation theory arguments.
NOTE: Can be shown to be the only solution within the α' -expansion.
- Using $d_A F = 0$ and instanton condition, we also find

$$\check{F}\check{d}_\theta + \check{d}_A\check{F} = \check{d}_\theta\check{F} + \check{F}\check{d}_A = 0.$$

- Heterotic Bianchi identity $\Rightarrow \check{d}_\theta^2 + \check{F}^2 = 0$.

Theorem (de la Ossa-Larfors-EES) The infinitesimal moduli space of the heterotic G_2 system is

$$T\mathcal{M} = H_{\check{D}}^1(\mathcal{Q}_1) \text{ "=" } H_{\check{d}_\theta}^1(TY) + \mathcal{O}(\alpha') \text{ and bundles .}$$

Scetch of proof: Identify infinitesimal deformations with \check{D} -closed forms.

Identify Heterotic symmetries (diffeomorphisms and gauge-transformations, B -field transformations) with \check{D} -exact forms.

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The heterotic G_2 -system has advantages over the $SU(3)$ -system:

- The system is more compact (not an extension).
- No separation of structures, \check{D} has everything. Opposite direction holds within α' -expansion: $\check{D}^2 = 0$ implies most of the heterotic G_2 -system, except that Lee form is exact (the dilaton).
- Embedding $SU(3)$ -system in G_2 -system puts holomorphic and hermitian structures on equal footing.
- The differential \check{D} makes it clear that the heterotic $SU(3)$ -system can be viewed as a holomorphic Yang-Mills connection on Q .
- No “technical assumption”.

The disadvantages are:

- The system is more compact (not an extension) \Rightarrow harder to identify usual cohomologies within $H_{\check{D}}^1(Q_1)$.
- Harder to compute $H_{\check{D}}^1(Q_1)$. No clear notion of Poincare lemma or sheaf cohomology \Rightarrow hard to get to by algebraic methods.
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Considering *generic* higher deformations of the heterotic $SU(3)$ -system is in general a very hard problem. Get some complicated L_∞ -algebra.

Clues from physics:

Know that superpotential is *holomorphic* \Rightarrow A *finite* and *holomorphic* deformation of the heterotic $SU(3)$ -system can be represented as a Q_2 -valued $(0, 1)$ -form

$$y = (x, \alpha, \mu) \in \Omega^{(0,1)}(Q_2),$$

where $\mu \in \Omega^{(0,1)}(T^{(1,0)}X)$, $\alpha \in \Omega^{(0,1)}(\text{End}(V))$ and $x \in \Omega^{(0,1)}(T^{*(1,0)}X)$.

Deforming the superpotential, one finds

$$\Delta W = \int_X (\langle y, \bar{\partial}_2 y \rangle + \frac{1}{3} \langle y, [y, y] \rangle + \mu^a \partial_a b) \wedge \Omega,$$

where for $y_1, y_2 \in \Omega^{(0,*)}(Q_2)$, $\langle y_1, y_2 \rangle = \mu_1^a x_{2a} + \mu_2^a x_{1a} + \text{tr}(\alpha_1 \alpha_2)$, $b \in \Omega^{(0,2)}(X)$ is auxiliary, and

$$[,] : \Omega^{(0,p)}(Q_2) \times \Omega^{(0,q)}(Q_2) \rightarrow \Omega^{(0,p+q)}(Q_2)$$

satisfies Leibniz rule w.r.t. $\bar{\partial}_2$, and Jacobi identity modulo ∂_a -exact terms.

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From ΔW we derive the following equations of motion

$$\partial\Omega(\mu) = 0, \quad \Omega(\mu) = \frac{1}{2}\Omega_{abc}\mu^a dz^{bc}$$

$$\bar{\partial}_2 y + \frac{1}{2}[y, y] = \partial_a\text{-exact}.$$

The last equation is the Maurer-Cartan equation for the DGLA

$$\mathcal{A} = \left(\Omega^{(0,*)} (Q_2 / \{\partial_a\text{-exact}\}), \bar{\partial}_2, [,] \right).$$

Note that infinitesimal moduli y satisfy

$$\bar{\partial}_2 y = \partial_a \gamma,$$

for some $\gamma \in \Omega^{(0,2)}(X)$. It follows that $\bar{\partial}\partial\gamma = 0 \Rightarrow \bar{\partial}\gamma = 0$.

Employ “technical assumption” $\Rightarrow \gamma = \bar{\partial}c$ for some $(0, 1)$ -field c .

This can be absorbed in \tilde{y} to get

$$\bar{\partial}_2 \tilde{y} = 0.$$

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Conclusions:

- Heterotic geometries give nice generalisations of torsion-free geometries when bundles are included, but the moduli problem is hard.
- We have derived the infinitesimal moduli of the heterotic $SU(3)$ -system.
- We have derived the infinitesimal moduli of the heterotic G_2 -system, and compared with the $SU(3)$ -system.
- We discussed higher order deformations of the heterotic $SU(3)$ -system, and the heterotic DGLA.

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Outlook, and work in progress:

- So far mostly a mathematical investigation into the structures. Interesting to look for applications (particle physics, AdS/CFT, other areas of string theory?).
- Further investigation into higher order obstructions, and the corresponding DGLAs. What are the *integrable* deformations?
- Higher order deformations of the heterotic G_2 -system. What is the corresponding L_∞ -algebra?
- What about non-perturbative effects, world sheet instantons, NS5-branes? Correct the Bianchi Identity

$$dH + W_5 = \frac{\alpha'}{4} (\text{tr } F^2 - \text{tr } R^2), \quad [W_5] \in H^{(2,2)}(X).$$

\Rightarrow Spoils holomorphic structure $\bar{\partial}_2^2 \neq 0$.

- Quantum corrections; Quantise quasi-topological action ΔW ? Is there a corresponding topological world-sheet theory, or open string field theory ala Witten? In progress with A. Ashmore, R. Minasian, C. Strickland-Constable.

Thank you!

Introduction

$SU(3)$ -geometries

Compactifications to 3d

The G_2 Holonomy Case

The Heterotic G_2 System

Higher Order Deformations

Conclusions

Conclusions and Outlook

Outlook

Thank you for your attention!