Viability of the Asymptotic Safety scenario

beyond renormalizability?

Martin Reuter

The fundamental problem:

Give a meaning to ("define", "renormalize",

"take the continuum limit of", ...) a functional

integral over all metrics on a space time M:

S: diff (dl)-invariant
bare action,
e.g. SEH + counter terms

tequires regularization (UV Cutoff)

The strategy:

Define and compute the functional integral indirectly by means of the associated

Effective Average Action (EAA):

 $[K[9\mu\nu, m], one-parameter family of action functionals, 0 < k < \infty$.

The problem, reformulated:

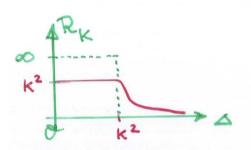
Construct fully extended integral curves $(\text{"RG trajectories"}) \quad k \longmapsto \Gamma_k \text{ [.]}, \quad 0 \leq k < \infty$ of an infinite dimensional flow (J, B).

T: "theory space" $\ni A [g_{\mu\nu}, ...]$ specified by field contents and symmetries

B: vector field on T defined by the functional renormalization group equation (FRGE) satisfied by the EAA:

The Effective Average Action

$$e^{W_{k}[J]}$$
:=
$$\int \partial \hat{\phi} e^{-S[\hat{\phi}]} e^{\int dx J \hat{\phi}} e^{-\frac{1}{2} \int \hat{\phi} R_{k}(\Delta) \hat{\phi}}$$

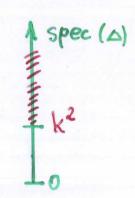


suppresses Low eigenvalue

(" low momentum", " large wave length")

eigen-modes of A:

IR cutoff at (mass) scale KE[0,00)



Interpolating property:

Functional Renormalization Group Equation (FRGE):

$$\partial_{k}\Gamma_{k} = \frac{1}{2}\operatorname{Tr}\left[\left(\Gamma_{k}^{(2)} + \mathcal{R}_{k}\right)^{-1}\partial_{k}\mathcal{R}_{k}\right]$$

The Asymptotic Safety idea:

- Take the infinite-cutoff limit of an UV-regularized quantum theory of gravity at a non-trivial RG fixed point with a finite dimensional UV-critical hypersurface, assuming it exists.
- The resulting continuum theory is predictive and well behaved at arbitrarily short distances.

(S. Weinberg, 1979, 2009)

· A[.] RG trajectory Preff. action R=0 FR k = ∞ initial point = fixed point [* Theory Space

The Einstein-Hilbert Truncation

MR, 1996

Ansatz:

$$\Gamma_{k} = -\frac{1}{16\pi G_{k}} \int d^{d}x \sqrt{g} \left(R - 2\Lambda_{k}\right)$$

+ classical gauge fixing and ghost terms

Running coupling constants:

Newton constant
$$G_k$$
, dimensionless: $g(k) = k^{d-2}G_k$

cosmological constant
$$\Lambda_k$$
, dimensionless: $\lambda(k) = k^{-2} \Lambda_k$

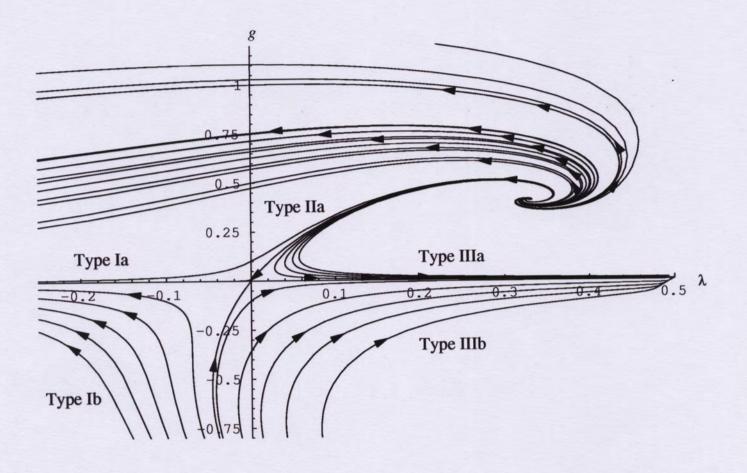
Insert ansatz into FRGE, "project out" monomials retained:

$$k \partial_{k} g(k) = \beta_{g}(g, \lambda)$$

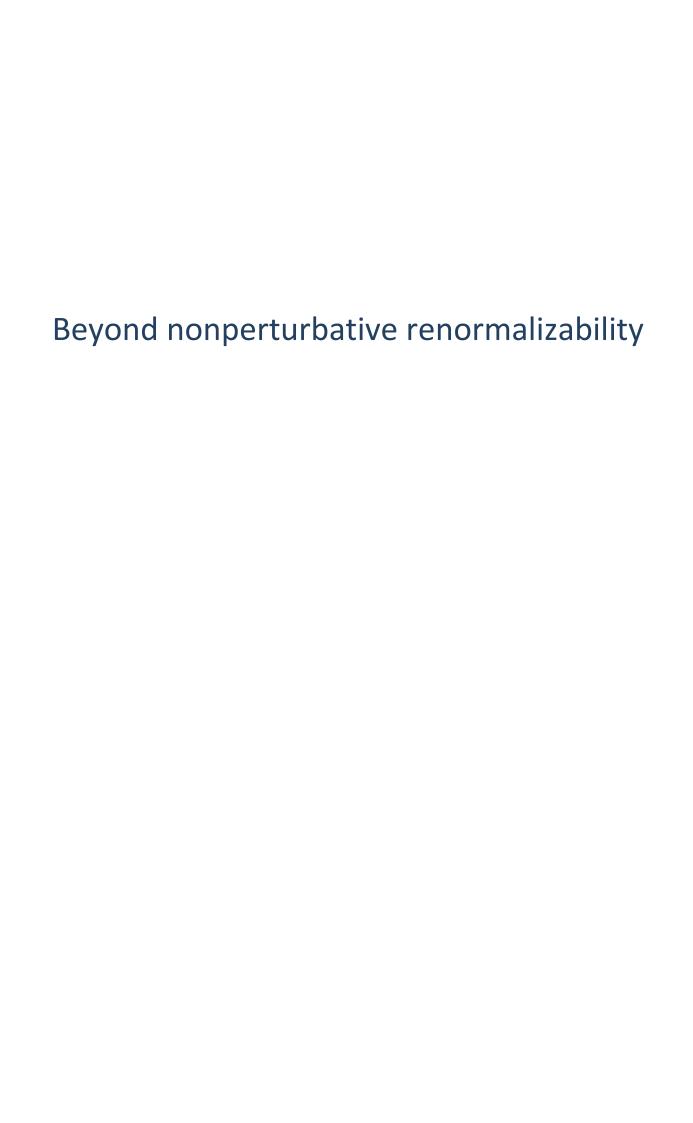
$$k \partial_{k} \lambda(k) = \beta_{\lambda}(g, \lambda)$$

Einstein - Hilbert Truncation:

RG Flow on the g-2 plane



M.R., F. Saueressig, hep-th/0110054



{nonperturbative renormalizability}

1 Background Independence }

1 { Hilbert space positivity }

 $\neq \emptyset$ 2

Does the non-Gaussian fixed point of Quantum Einstein Gravity define a conformal field theory ?

How is QEG in 2+E dimensions, $E \rightarrow 0$, related to the strictly 2D approaches to quantum gravity 2

(Liouvilly theory, matrix models, stat. mech. simulations, etc.)

1. The two-dimens	sional limit of QEG taken at
the level of actic	on functionals

- 2. The NGFP as a conformal field theory
- 3. Unitarity vs. Stability vs. Locality
- 4. Outlook

Lit.: A. Nink and MR, JHEP 02 (2016) 167

and arXiv: 1512. 06805

(or similar) 9ps = 3ps + hps

> matter-like field on classical spacetime with metric gar

Generic EAA:

$$\Gamma_{\mathsf{K}} \left[\Phi, \overline{\Phi} \right] = \Gamma_{\mathsf{K}} \left[\varphi; \overline{\Phi} \right]$$

$$\varphi^{i} = \Phi^{i} - \bar{\Phi}^{i} = \langle \hat{\varphi}^{i} \rangle$$

$$\frac{1}{19} \frac{S\Gamma_{K}[\varphi; \overline{\Phi}]}{S\varphi^{i}} + (\mathcal{R}_{K})_{ij} \varphi^{j} = J_{i}$$

Self-consistent backgrounds from tadpole condition:

$$\frac{S}{S\varphi^{i}} \lceil_{K} [\varphi; \overline{\Phi}] \rceil = 0$$

$$= 0$$

Or:

$$\frac{S}{S\Phi^{i}} \begin{bmatrix} K & \Phi \end{bmatrix} = \overline{\Phi}_{K}^{Sc} = 0$$

Example:

Tadpole egs. (à vanishing ghosts):

$$O = \frac{S}{Sg_{\text{res}}} \left\{ \begin{bmatrix} \Gamma_{\text{k}}^{\text{grav}} & [g,\bar{g}] + \Gamma_{\text{k}}^{\text{M}} & [g,A,\bar{g}] \end{bmatrix} \right\}$$

$$A = \bar{A} = \bar{A} \times \bar{K}$$

$$O = \frac{S}{SA} \dots$$

Stress tensors for A and how:

$$T^{M} \left[\overline{g}, A\right]^{\mu\nu} := \frac{2}{1\overline{g}} \frac{8}{8g_{\mu\nu}} \left[{}^{M}_{K} \left[g, A, \overline{g} \right] \right] \left[g = \overline{g} \right]$$

$$T^{grav} [\bar{g}]^{prv} := \frac{2}{|\bar{g}|} \frac{S}{Sg_{pr}} [\gamma^{grav}_{K} [g, \bar{g}]] \Big|_{g = \bar{g}}$$

$$= \frac{2}{|\bar{g}|} \frac{S}{Sh_{prv}} [h; \bar{g}] \Big|_{h = 0}$$

Effective Einstein eq. has zero LHS:

QEG in the Einstein-Hilbert truncation

$$\frac{\partial}{\partial t}g_{K} = \epsilon g_{K} - b g_{K}^{2} + O(g^{3})$$

NGTP:
$$9_* = \frac{\varepsilon}{b}$$
 $\lambda_* \sim \varepsilon$

$$(g_{k}, \lambda_{k}) = \varepsilon (g_{k}, \lambda_{k})$$

 $(G_{k}, \Lambda_{k}) = \varepsilon (G_{k}, \Lambda_{k})$
finite for $\varepsilon \to 0$

Stress tensor of hus

$$\Theta_{K} [\overline{g}] = \frac{1}{16\pi G_{K}} \left[-(d-2)\overline{R} + 2d \Lambda_{K} \right]$$

$$= \varepsilon G_{K}$$

has an unambiguous limit $E \rightarrow 0$:

$$\Theta_{K}[\bar{g}] = \frac{1}{16\pi \tilde{G}_{K}} \left[-\bar{R} + 4\Lambda_{K} \right] + O(\varepsilon)$$

$$= \frac{1}{16\pi \tilde{g}_{K}} \left[-\bar{R} + 4\tilde{\lambda}_{K} k^{2} \right] + O(\varepsilon)$$
"lives" in exactly 2 dim.!

For the theory defined by the constant NGFP trajectory:

$$\Theta_{K}^{NGFP} \left[\overline{g} \right] = \frac{b}{16\pi} \left[-\overline{R} + 4\lambda_{K}^{2} \right]$$

$$\Theta_{K=0}^{NGFP} \left[\overline{9} \right] = -\frac{b}{16\pi} \overline{R}$$

Intrinsically 2D description of the limiting theory: [grav, 2D [g]

Take limit $\varepsilon \to 0$ at the level of actions.

For spacetimes of arbitrary topological type:

Euler number 7 moduli 3
$$\frac{1}{\varepsilon} \int d^{2+\varepsilon} x \sqrt{g} R = \frac{4\pi}{\varepsilon} \chi + C(\{\tau\})$$

Polyakov (induced gravity) action: non-local!

$$\Gamma_{K}^{grav, 2D} [g] = \frac{1}{64\pi \tilde{G}_{K}} \int dx \, \overline{g} \, R \, \Box^{-1} R$$

$$+ \frac{\tilde{\Lambda}_{K}}{8\pi \tilde{G}_{K}} \int dx \, \overline{g} \, + \text{topolog.}$$

Proof of a simple special case;

trivial spacetime topology allowing $g_{\mu\nu} = e^{2\sigma} \widehat{g}_{\mu\nu}$ globally, with $\widehat{R} = R(\widehat{g}) = 0$.

$$R = e^{-2e^{2}} \left[\hat{R} - (a-1)(a-2) \hat{D}_{\mu} e^{2} \hat{D}^{\mu} e^{-2(a-1)} \hat{\Box} e^{-2a} \right]$$

$$\frac{1}{\epsilon} \int d^{2}x \, \left[\hat{q} \, \hat{R} \right] = \frac{1}{\epsilon} \int d^{2}x \, \left[\hat{q} \, \hat{Q} \right] e^{(a-2)e} \left[\hat{R} + (a-1)(a-2) (\hat{D}_{\mu} e^{-2a}) \right]$$

$$= \int d^{2}x \, \left[\hat{q} \, \hat{D}_{\mu} e^{-2a} \hat{D}^{\mu} e^{-2a} \right] + O(\epsilon)$$

$$= \int d^{2}x \, \left[\hat{q} \, \hat{Q} \right] e^{-2a} e$$

Jn
$$d=2$$
 for $\hat{R}=0$:

$$R = -2 \quad \Box \sigma$$

$$\sim \qquad \sigma = -\frac{1}{2} \quad \Box^{-1} R$$

Nonlocal EAA in exactly 2 dimensions

implies the following stress tensor:

$$T_{\mu\nu}^{grav} [g] = \left(\frac{3}{2} \frac{1}{g_{K}}\right) \frac{1}{76\pi} \left[g_{\mu\nu} D_{g} (\Box^{-1}R) D^{g} (\Box^{-1}R)\right]$$

$$-2 D_{\mu} (\Box^{-1}R) D_{\nu} (\Box^{-1}R) + 4 D_{\mu} D_{\nu} (\Box^{-1}R)$$

$$-4 g_{\mu\nu} R + 8 \tilde{\lambda}_{K} K^{2} g_{\mu\nu}$$

reproduces
$$\Theta_{K}[g] = (\frac{3}{2}\frac{1}{9})_{24\pi} \left[-R + 4\lambda_{K}K^{2} \right]$$

Effective field eq. for pure gravity:

$$0 = T_{\mu\nu}^{grav} [\bar{g}_{\kappa}^{sc}] \Rightarrow \Theta_{\kappa} [\bar{g}_{\kappa}^{sc}] = 0$$

$$\Leftrightarrow R(\bar{g}_{\kappa}^{sc}) = 4\tilde{\lambda}_{\kappa} k^{2}$$

Higher correlators
$$\langle T_{\mu\nu}^{grav}(x_1) T_{d\beta}^{grav}(x_2) \cdots \rangle$$

generated by multiple differentiation of $\lceil grav, 2D \rceil$

Example: Trace 2 pt. function at K=0 for gpv = Spv

$$\langle \Theta_{0}(x) \Theta_{0}(y) \rangle = -\frac{1}{12\pi} C_{grow}^{NGFP} \partial^{r} \partial_{r} \delta(x-y)$$

Sign of the Schwinger term is crucial:

Smeared operator
$$\Theta_0[f] := \int d^2x f(x) \Theta_0(x)$$
 satisfies $\langle \Theta_0[f]^2 \rangle = \frac{1}{12\pi} C_{qrav}^{NGFP} \int d^2x (\partial_n f) \delta^{\mu\nu}(\partial_p f)$ formally positive positive

It is impossible to define
$$\theta_0 [f]^2$$
 as a positive comp. operator unless $C_{grav}^{NGFP} > 0$!

The "NGFP theory": $k \mapsto (9, 1) \forall k \in [0, \infty)$

$$\begin{bmatrix} q^{\text{rav}}, 2D, NGFP \\ [9] = \left(\frac{3}{2}b\right) \frac{1}{96\pi} \int_{0}^{2} dx \left[q \left(R \Box R + 8\lambda_{x} k^{2}\right) \right]$$

Standard EA:

has vanishing cosmological constant; self-consistent backgrounds are flat: $R(\bar{g}_{\kappa}^{sc}) = 0$

Reminder:

Induced gravity by a generic CFT

Consider a 2D theory of matter fields X, couple it to gravity by diff. inv. action S[X,g], and compute

Then the (conserved, symmetric) stress tensor

$$T[g]^{\mu\nu} = \frac{2}{19} \frac{8 \operatorname{Sind}[g]}{8 g_{\mu\nu}}$$

has a trace of the form

$$T[g]^{\mu}_{\mu} = -c \frac{1}{24\pi} R$$
 + const

corresponding to

iff the original theory is a CFT and has central charge c.

NB: The modes of the (traceless, non-conserved) tensor $T_{\mu\nu} = T_{\mu\nu} - \frac{1}{2}g_{\mu\nu}T_{y}^{y} \quad \text{satisfy Virasoro algebra with central extension } \sim c.$

A conformal field theory can be unitary only if its central charge is positive, c>0.

unitary theories: Virasoro algebra represented on a true Hilbert space, no negative norm states

non-unitary models: no quantum mechanical probability interpretation!

— Stat. mech.

- (a) According to the NGFP theory, the "dynamics" of the metric fluctuations is governed by a conformal field theory.
- (b) The central charge of this theory is

$$C_{grav}^{NGFP} = \frac{3}{2}b = \frac{3}{2}\frac{1}{9}$$

- (c) In pure gravity (and gravity compled to not too many matter fields) the 'grav' sector is described by a unitary CFT
 - thus complying with the requirement of Hilbert space positivity.

$$C_{qrav}^{NGFP} = \begin{cases} 19 - N & linear param. \\ 9 = \overline{9} + h \\ 25 - N & exponential p. \\ 9 = \overline{9} e^{h} \end{cases}$$

no!

Liouville representation of the NGFP theory

$$\Gamma_{K}^{grav, 2D, NGFP} \left[e^{2\Phi}\hat{q}\right] = \frac{C_{qrav}^{NGFP}}{96\pi} \hat{R} \hat{\Box}^{-1} \hat{R} + \left[\frac{L}{K} \left[\Phi; \hat{q}\right]\right]$$

due to the reference metric

Liouville

$$\Gamma_{K}^{L} \left[+ \hat{g} \right] = \frac{C_{grav}}{q_{6\pi}} \int d^{2}x \sqrt{\hat{g}} \left\{ - \frac{1}{2} \hat{D}_{r} + \hat{D}^{r} + \frac{1}{2} \hat{R} \right\} + \tilde{\lambda}_{K}^{2} e^{2 + \hat{\lambda}_{K}^{2}}$$

kinetic term

Kinetic term is negative iff central charge is possible!

"Wrong" sign analogous to 4D conformal factor "problem": physically correct (attractivity of gravity), no real instability after imposing physical state conditions; c.f. Gauss law: $\nabla^2 A_o(t,\vec{x}) = (4^t 4)(t,\vec{x})$

A, A, fully constrained fields, determined by matter

C'entral charge of the Liouville - CFT per se

Pure a.s. gravity has c <0!

Combined Liouville + background system

Trace of the total stress tensor from
$$\Gamma_K^{grav}$$
, 2D, NGFP $[e^{2\phi}\hat{g}]$:

$$\frac{2\hat{g}_{\mu\nu}}{\sqrt{\hat{g}}} \frac{\mathcal{E}}{\mathcal{E}\hat{g}_{\mu\nu}} \left(\frac{c_{q\tau\alpha\nu}^{N6FP}}{96\pi} \sqrt{\frac{19}{R}} \hat{R} \hat{\Box}^{-1} \hat{R} \right) + \Theta_{\kappa}^{L} [\Phi; \hat{q}]$$

$$= \frac{C_{\text{grav}}^{\text{NGFP}}}{24 \, \text{E}} \left[-R(\hat{g}) + 2\hat{\Box}\phi + (4\hat{\lambda}_{x}k^{2})e^{2\phi} \right]$$

$$= \frac{|0.5.}{+R(\hat{g})}$$

explains the relative minus sign

$$= \frac{c \frac{N6FP}{qvav}}{24\pi} \left[-\left(\frac{R(\hat{q}) - 2 \hat{\Box} \phi}{R(\hat{q}) - 2 \hat{\Box} \phi} \right) e^{-2\phi} + 4 \tilde{\lambda}_{*} k^{2} \right] e^{2\phi}$$

$$= R(e^{2\phi} \hat{q}) = R(q)$$

Same off-shell result as above!

Vanishes on-shell, i.e. for $g = \overline{9}_{\kappa}^{SC}$.

Locality

True degree of physical (non-) locality is in general only weakly related to the naive appearance of the action.

(-> correlators of observables)

Polyakov action JR 17-1R becomes Local

- (a) in certain gauges (conformal, light-cone, ...)
- (b) by introducing additional fields in a covariant way;

 <u>example</u>: Feigin-Fuks theory

$$e^{-\frac{25-N}{96\pi}\int RQ^{-1}R}$$

$$=\int DB e^{-\frac{24-N}{96\pi}\int dx \sqrt{9}} \left\{ D_{\mu}BD^{\mu}B + 2RB \right\}$$

The reconstructed functional integral

There exists a UV-regularized functional integral with the bare ("classical") action

$$S^{grav} [g] = \frac{25-N}{96\pi} I[g]$$
, $I[g] = \int d^2x Ig R \Box^{-1} R$.

Quantization à la Polyakov:

$$Z = \int d\tau \int \mathcal{D}_{e^{2\phi}} \phi Z_{gh}[e^{2\phi}\hat{g}] Z_{matter}[e^{2\phi}\hat{g}] Y_{grav}[e^{2\phi}\hat{g}]$$
moduli

$$Y_{qrav}[g] = e^{-S^{qrav}}[e^{2\phi}\hat{g}] = Y_{qrav}[\hat{g}] e^{+\frac{(25-N)}{12\pi}} \Delta I$$

$$Z_{matter}[g] = e^{-\frac{N}{96\pi}}I[e^{2\phi}\hat{g}] = Z_{matter}[\hat{g}] e^{+\frac{N}{12\pi}} \Delta I$$

$$Z_{gh}[g] = Z_{gh}[\hat{g}] e^{+\frac{(-26)}{12\pi}} \Delta I$$

$$\mathcal{D}_{e^{2\phi}} \phi = \mathcal{D}_{\hat{g}} \phi e^{+\frac{1}{12\pi}} \Delta I$$

$$\Delta I[\phi;\hat{g}] = \frac{1}{2} \int_{a}^{b} d\hat{x} \int_{a}^{b} \left\{ \hat{D}_{\mu} \phi \hat{D}^{\mu} \phi + \hat{R} \phi \right\}$$

Total anomaly budget:

$$C_{tot} \equiv (25-N) + N + 1 + (-26) = 0$$
 $NGFP$ matter Jacobian bc-ghosts

In asym. safe quantum gravity, ctot vanishes always, i.e. for any matter system, unlike in string theory.

$$N \xrightarrow{asymptotic} N_{eff} = N + (25 - N) = 25$$

Cancellation of explicit matter contribution to the feth int.

and the implicit matter dependence of the bare = NGFP

pravity action.

=> Quenching of KPZ scaling:

Neff = 25 ~> \$\phi\$ decouples from gravitationally dressed observables of matter CFT:

no quantum corrections to flat space - scaling dimensions

A second universality class ?

$$C_{qrav}^{NGFP} = 25$$

2

Perhaps more than a cooincidence:

The Virasoro algebra allows for unitary truncations in the "critical dimensions of non-critical string theory" advocated by Gervais:

$$\triangle$$
 $C_{grav} = 25$, 19, 13, 7, 0

Summary and Outlook

What we found:

In the limit $d \rightarrow 2$, the $h_{\mu\nu}$ -sector of BRST gauge fixed QEG, in the Einstein-Hilbert trunc., is described by a conformal and unitary quantum field theory. Its states inhabit a true Hilbert space, H_{grav} .

After imposing the physical state conditions on the total space (computing the BRST cohomology), no physical states are left though:

What we must show ultimately:

In d=4, the total space does contain physical states, and they form a Hilbert space with a positive definite inner product.