

# Scattering of Atoms and Non-Locality of the Vacuum in QED

(based on CMP **375**, 2017)

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(joint work with Wojciech Dybalski)

Zentrum Mathematik  
Technische Universität München

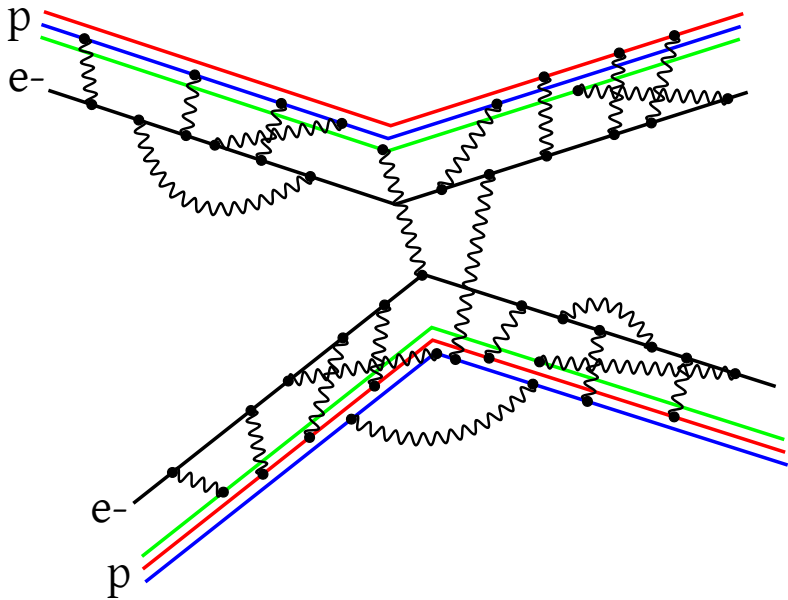
**Foundational and Structural Aspects of Gauge Theories**

May 31st @ Mainz Institute for Theoretical Physics,

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# Interacting Quantum Field Theory, Non-Perturbatively

## Exercise 1 Quantum Mechanics:

- (a) Find  $\mathcal{H}$ , Hamiltonian  $H_0$  and Observables for free particles
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- (h)  $\omega$  defines new Hilbert space  $\mathcal{H}$  on which interact. model lives (change of rep.), and where  $H = \lim_{R \rightarrow \infty} H^R$  is well-defined.

# The Particle Spectrum

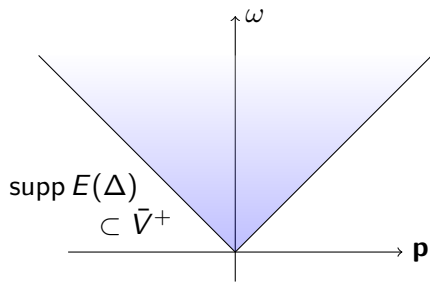
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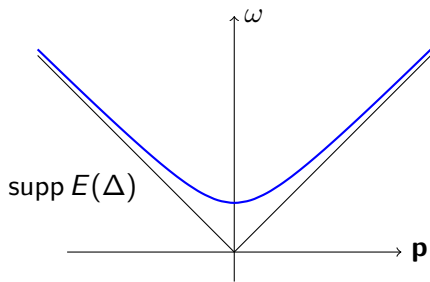
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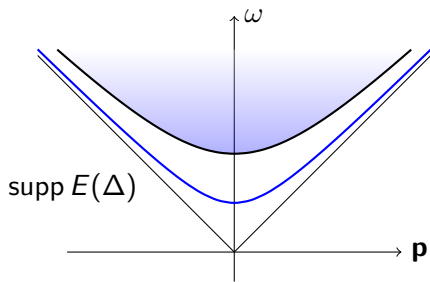
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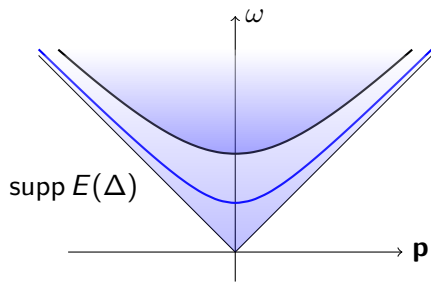
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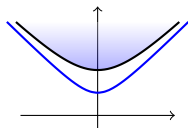
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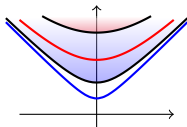
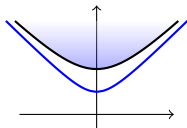
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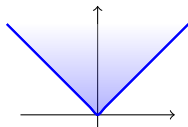
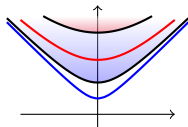
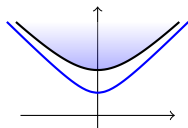
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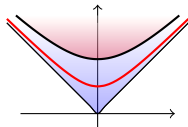
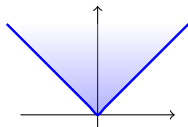
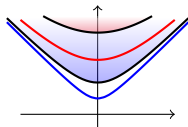
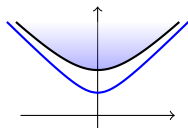
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- ▶ Dybalski '05 — (SC) + non-isolated vacuum
- ▶ Duch, Herdegen '13 — (SC) weakened,  $m \geq 0$



# Remarks: Other Aspects of the Infrared Problem

## Charges, Particles and Infraparticles in AQFT

Our present assumptions restrict us to **neutral**-particle states  $\Psi_1$ .

(Electrical) **Charges** are expected to have

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## Current Research and Tentative Approaches

- ▶ Scattering of Infraparticles? [Buchholz et al.'91–] [Herdegen'13]
- ▶ Space-like asymptotics of  $F^{\mu\nu}$  experimentally not accessible, suitable **Infravacuum**-states conjectured to “stabilize” infraparticles  
[Kraus, Polley, Reents'77] [Buchholz, Roberts'13]  
→ Feasible to describe Compton-scattering [Alazzawi, Dybalski'15]
- ▶ Perturbation Theory with String-local Quantum Fields  
[Schroer et al.'04–] [Mund, de Oliveira'16]
- ▶ Study infrared problem in more tractable non-relativistic models  
[Fröhlich'73] [Chen, Fröhlich, Pizzo'07]. . . [Dybalski, Pizzo'12–]

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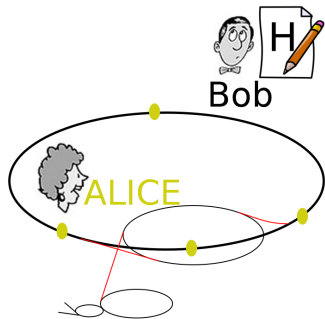
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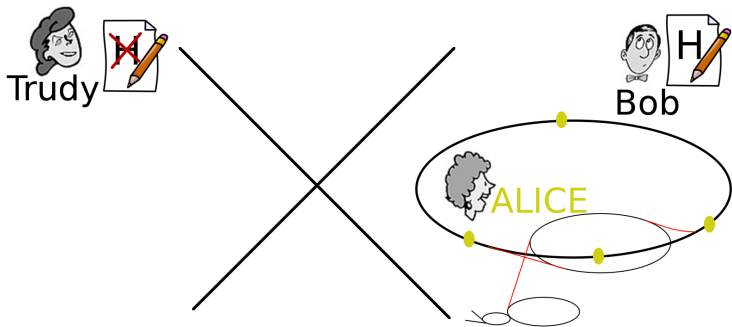
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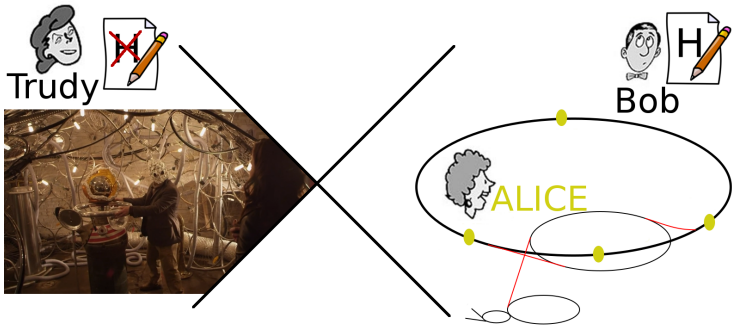
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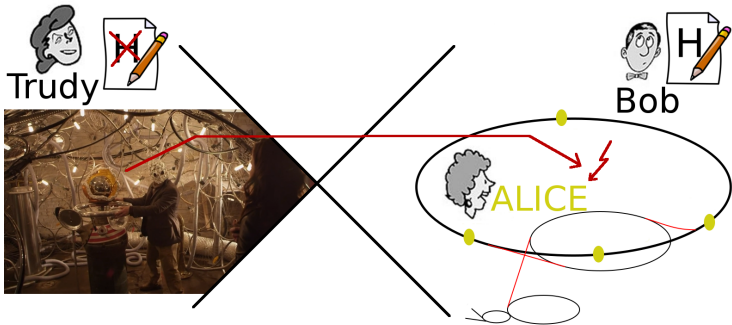
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# Overview

## Introduction

- Preparation of Single-Particle States

- Haag-Ruelle Theorem without Spectral Conditions

## Construction of Scattering States

- Creation Operator Approximants

- Discretized Cook's method

- Non-equal time commutators

## Discussion and Applications

## Outlook

# Algebraic Framework for Local Quantum Theory

## Mathematical Objects

**Haag-Kastler QFT**  $(\mathfrak{A}, \alpha, \Omega, \mathcal{H})$  in the vacuum sector.

Described by mathematical entities. . .

- ▶ Hilbert space  $\mathcal{H}$  of pure states
- ▶ distinguished *vacuum*  $\Omega \in \mathcal{H}$
- ▶ net of von Neumann algebras  $\mathbb{R}^{3+1} \supset \mathcal{O} \mapsto \mathfrak{A}(\mathcal{O}) \subset B(\mathcal{H})$
- ▶ space-time translations of states  $(t, \mathbf{x}) \mapsto U(t, \mathbf{x}) = e^{itH - i\mathbf{x} \cdot \mathbf{P}}$
- ▶ translations of observables  $\alpha_x A := A(x) := U(x) A U(x)^*$

# Algebraic Framework for Local Quantum Theory

## The Haag-Kastler Axioms

... which are subject to

$$\text{(HK1)} \quad \mathcal{O}_1 \subset \mathcal{O}_2 \implies \mathfrak{A}(\mathcal{O}_1) \subset \mathfrak{A}(\mathcal{O}_2) \quad \text{(Isotony)}$$

$$\text{(HK2)} \quad \mathcal{O}_1 \subset \mathcal{O}'_2 \implies \mathfrak{A}(\mathcal{O}_1) \subset \mathfrak{A}(\mathcal{O}_2)' \quad \text{(Locality)}$$

$$\text{(HK3)} \quad \alpha_x \mathfrak{A}(\mathcal{O}) = \mathfrak{A}(\mathcal{O} + x), \quad \forall x \in \mathbb{R}^4 \quad \text{(Covariance)}$$

$$\text{(HK4)} \quad E_{(H,P)}(\{0\})\mathcal{H} = \mathbb{C}\Omega \quad \text{(Uniqueness of } \Omega \text{)}$$

$$\text{(HK5)} \quad \text{supp } E_{(H,P)} \subset \bar{V}^+ \quad \text{(Spectrum Condition)}$$

$$\text{(HK6)} \quad \overline{\mathfrak{A}(\mathcal{O})\Omega} = \mathcal{H} \quad \text{(Reeh-Schlieder Property)}$$

## Preparing Single-Particle States

Single-particle states  $\Psi_1, \Psi_2 \in E_{\{M=m\}}\mathcal{H}$  are non-local objects:

$$\Psi_1 = E_m A \Omega = \chi \left( \frac{M^2 - m^2}{\epsilon} \right) A \Omega \sim A(\hat{\chi}_\epsilon) \Omega, \quad (\chi \in \mathcal{S}, \epsilon \searrow 0).$$

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Reeh-Schlieder (HK6)  $\Rightarrow \exists (A_{k\beta})_{\beta>0} \subset \mathfrak{A}(\mathcal{O})$ :  $\|A_{k\beta}\Omega - \Psi_k\| = \beta$ .

**Def.:** We call a family of local operators  $(A_{k\beta})_{\beta>0} \subset \mathfrak{A}(\mathcal{O})$  s.t.

$$\|A_{k\beta}\Omega - \Psi_k\| \leq \beta \text{ and } \|A_{k\beta}\| \leq \beta^{-\gamma}$$

a **Reeh-Schlieder family** for  $\Psi_k$  of **degree**  $\gamma > 0$ .

**Assumption: Strengthened Reeh-Schlieder Property (HK6#)**

Reeh-Schlieder families of finite degree generate a total subset of the single-particle space  $\mathcal{H}_1 \subset \mathcal{H}$ .

# Strengthened Reeh-Schlieder yields Scattering States

**Strengthened Reeh-Schlieder Property** ( $\gamma > 0$ )

$(A_{k\beta})_{\beta>0} \subset \mathfrak{A}(\mathcal{O})$ , s.t.  $\|A_{k\beta}\Omega - \Psi_k\| \leq \beta$  and  $\|A_{k\beta}\| \leq \beta^{-\gamma}$

**Theorem (MD'15)** Let  $\Psi_k$  be single-particle states admitting Reeh-Schlieder families  $A_{k\beta}$  of finite degree. Then for any regular positive-energy Klein-Gordon sol.  $f_k$  with disjoint velocity supports

$$\Psi_\tau := \mathcal{B}_{1\tau} \dots \mathcal{B}_{n\tau} \Omega \xrightarrow{\tau \rightarrow \pm\infty} \Psi^\pm.$$

The scalar products of any two such  $\Psi^+$ ,  $\Psi'^+$  can be computed using the Fock prescription (similarly for incoming states).

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**Previous results** (Herbst '71, Dybalski '05, Herdegen '13) require spectral condition of Herbst-type, e.g. for some  $\epsilon > 0$ ,

$$\Psi_k = E_{\{M=m\}} A_k \Omega, \quad A_k \in \mathfrak{A}(\mathcal{O}), \quad \|E_{\{0 < |M-m| < \delta\}} A_k \Omega\| \leq \delta^\epsilon.$$



# Construction of Scattering States

# Reeh-Schlieder and Haag-Ruelle Creation Operators

**Reference Dynamics:** Klein-Gordon solutions  $f_k$  with disjointly and compactly supported wave packets  $\tilde{f}_k \in \mathcal{C}_c^\infty(\mathbb{R}^3)$  (“regular”)

**Creation-Operator Approximants:** with  $\hat{\chi} \in \mathcal{C}_c^\infty(\mathbb{R}^4 \setminus \bar{V}^-)$ , set

$$B_{k\beta} := A_{k\beta}(\chi) := \int d^4x \chi(x) A_{k\beta}(x),$$

$$\mathcal{B}_{k\tau} := \int d^3x f_k(\tau, \mathbf{x}) B_{k\beta}(\tau, \mathbf{x}), \quad (\tau \in \mathbb{R}).$$

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**Haag-Ruelle/LSZ:**  $B_{k\tau}\Omega \rightarrow \Psi'_k(f_k) := \tilde{f}_k(\mathbf{P})\Psi'_k \in \mathcal{H}_1$  for fixed small enough  $\beta$ .

**Reeh-Schlieder:**  $\beta = \beta(\tau) := |\tau|^{-\mu}, \mu > 0$  then  $B_{k\tau}\Omega \rightarrow \Psi_k(f_k)$ .

**Candidate Scattering States:** Limits  $\tau \rightarrow \pm\infty$  of  $\Psi_\tau := B_{1\tau}B_{2\tau}\Omega$ .

$$\|\Psi_{\tau_2} - \Psi_{\tau_1}\| = \left\| \int_{\tau_1}^{\tau_2} d\tau \partial_\tau \Psi_\tau \right\| \leq \int_{\tau_1}^{\tau_2} d\tau \|\partial_\tau \Psi_\tau\| \stackrel{!}{<} \infty \quad (\tau_2 \rightarrow \pm\infty)$$

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$$\|\Psi_{\tau_N} - \Psi_{\tau_1}\| \leq \sum_k \left\| \mathcal{B}_{1\tau_{k+1}} \mathcal{B}_{2\tau_{k+1}} \Omega - \mathcal{B}_{1\tau_k} \mathcal{B}_{2\tau_k} \Omega \right\| \stackrel{!}{<} \infty \quad (\tau_N \rightarrow \pm\infty)$$

# Mathematical Tools (1) — Discretized Cook's method

$$\|\Psi_{\tau_2} - \Psi_{\tau_1}\| = \left\| \int_{\tau_1}^{\tau_2} d\tau \partial_\tau \Psi_\tau \right\| \leq \int_{\tau_1}^{\tau_2} d\tau \|\partial_\tau \Psi_\tau\| \stackrel{!}{<} \infty \quad (\tau_2 \rightarrow \pm\infty)$$


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$$\begin{aligned} \|\Psi_{\tau_2} - \Psi_{\tau_1}\| &\leq \|\mathcal{B}_{1\tau_2}(\mathcal{B}_{2\tau_2} - \mathcal{B}_{2\tau_1})\Omega\| + \|(\mathcal{B}_{1\tau_2} - \mathcal{B}_{1\tau_1})\mathcal{B}_{2\tau_1}\Omega\| \\ &\leq \|\mathcal{B}_{1\tau_2}(\mathcal{B}_{2\tau_2} - \mathcal{B}_{2\tau_1})\Omega\| + \|\mathcal{B}_{2\tau_1}(\mathcal{B}_{1\tau_2} - \mathcal{B}_{1\tau_1})\Omega\| \quad (*) \\ &\quad + (\text{commutators}) \quad (**) \end{aligned}$$

Recall:  $\mathcal{B}_{j\tau}\Omega \rightarrow \Psi_j \in \mathcal{H}_1$  (by construction)

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For best possible **summability** as  $N \rightarrow \infty$  we should

- ▶ choose  $(\tau_k)_{k \in \mathbb{N}}$  as sparse as possible,  $\tau_k := (1 + \rho)^k \tau_0$ ,  $\rho > 0$
- ▶ control equal- and non-equal-time commutators in  $(\star\star)$
- ▶ control estimation of unbounded leftmost  $\mathcal{B}_{j\tau_k}$  in  $(\star)$

## Tools (2) — Non-Equal-Time Commutator Estimates

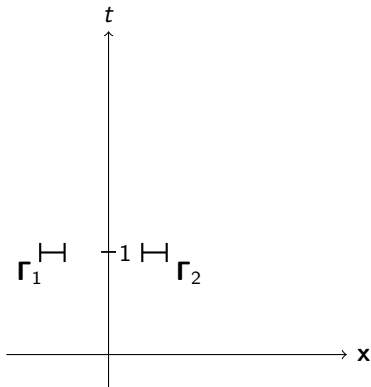
$$f_k(t, \mathbf{x}) = \int d^3k e^{i\mathbf{k}\cdot\mathbf{x} - i\omega_m(\mathbf{k})t} \tilde{f}_k(\mathbf{k}), \quad \tilde{f}_k \in \mathcal{C}_c^\infty(\mathbb{R}^s), \quad \omega_m(\mathbf{k}) := \sqrt{\mathbf{k}^2 + m^2}$$



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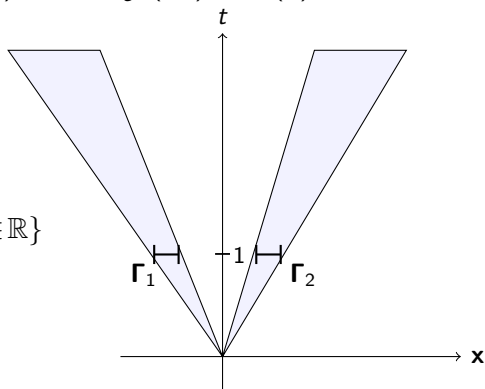
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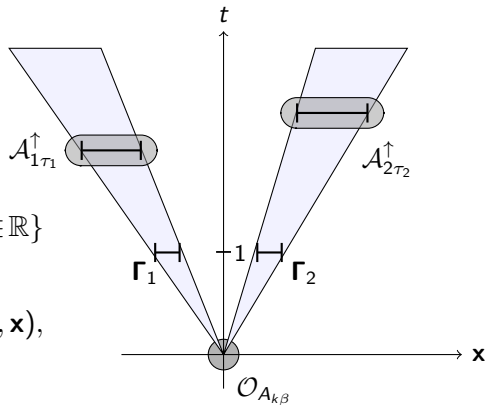
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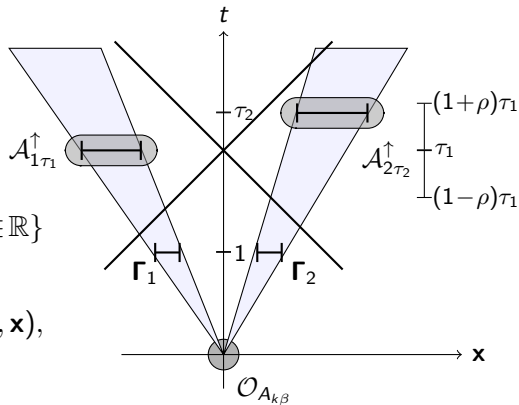
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**Lemma:** Let  $f_k$  be regular s.t.  $\Gamma_1 \cap \Gamma_2 = \emptyset$  and  $A_{k\beta}$  have finite degree.

$$\exists \rho > 0 \forall |\tau_1 - \tau_2| \leq \rho |\tau_1| : \quad \|\mathcal{B}_{1\tau_1}, \mathcal{B}_{2\tau_2}\| \leq \frac{C_N \|\mathcal{A}_{1\beta(\tau_1)}\| \|\mathcal{A}_{2\beta(\tau_2)}\|}{1 + |\tau_1|^N + |\tau_2|^N}$$

# Assembling the Mathematical Arsenal

The reason why **Discrete Cook** works may be summarized:

**Lemma** (local difference estimate) Let  $A_{k\beta}$  be RS families of finite degree, and  $f_k$  regular positive-energy Klein-Gordon solutions with disjoint velocity supports. Then for sufficiently small scaling  $\mu > 0$ ,  $\exists \rho > 0 \forall |\tau_1 - \tau_2| \leq \rho |\tau_1|$ ,

$$\|\Psi_{\tau_2} - \Psi_{\tau_1}\|^2 \leq C_1 \sum_{k=1}^n \|\mathcal{B}_{k\tau_2}\Omega - \mathcal{B}_{k\tau_1}\Omega\|^2 + C_2 |\tau_1|^{-\delta}$$

**Proof** based on **non-equal-time** commutator estimates, **energy-bounds** [Buchholz'90], and **Clustering** arguments from [Dybalski'05], [Buchholz'77], and [Araki, Hepp, Ruelle'62].

Is it useful?

## Wave Operators and S-Matrix

Let  $\mathcal{F}$  denote Fock space over finite RS-degree 1-particle vectors and  $\mathcal{F}_{\text{disj}} \subset \mathcal{F}$  the set of product states with disjoint  $\Gamma_k$ .

**Def.** (Møller op.) For  $\Psi_{\text{prod}} = \Psi_1(f_1)\Omega \otimes \dots \otimes \Psi_n(f_n)\Omega \in \mathcal{F}_{\text{disj}}$ ,  $\Psi_k = \lim_{\beta \rightarrow 0} \tilde{f}_k(\mathbf{P})A_{k\beta}\Omega$  define

$$W_{\pm} : \begin{cases} \mathcal{F}_{\text{disj}} \longrightarrow \mathcal{H}, \\ \Psi_{\text{prod}} \longmapsto \lim_{\tau \rightarrow \pm\infty} \mathcal{B}_{1\tau} \dots \mathcal{B}_{n\tau}\Omega. \end{cases}$$

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### Questions:

- ▶ is S unitary?
- ▶ possibly  $S = 0$ ?  $W_{\pm} = 0$ ?
- ▶ is S an operator?



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- ▶ **Proposition.** Assume there is a regular local  $A \in \mathfrak{A}(\mathcal{O})$  with Herbst-exponent  $\epsilon > 0$ . Then one can construct  $A_\beta \in \mathfrak{A}(\mathcal{O} + B_\epsilon)$  s.t.

$$\|E(\Delta)(A_\beta\Omega - \Psi_1)\| < C_\Delta\beta, \quad \ln \|A_\beta\| < \beta^{-\gamma}$$

for any compact  $\Delta \subset \mathbb{R}^{s+1}$ , with suitable  $C_\Delta$ , and  $\gamma \sim 1/\epsilon$ .

## Summary and Outlook

- ▶ Strengthened Reeh-Schlieder useful for Scattering Theory
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- ▶ Physical Properties of Wave Operators  $W^\pm$  and  $S$ -Matrix?
- ▶ Status of Reeh-Schlieder Condition in QFT Models, Relation to Herbst-type spectral conditions
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    - ▶ Polarization-free Generators [Borchers et al'01]
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**Thanks for your attention!**



Backup

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**Theorem** (Fock structure)

For  $\Psi, \Psi' \in \mathcal{F}_{\text{disj}}$ ,  $\Psi = \Psi_1 \otimes \dots \otimes \Psi_n$ , similarly for  $\Psi'$ , we have

$$\langle W_+ \Psi', W_+ \Psi \rangle = \delta_{nn'} \sum_{\pi \in \mathfrak{S}_n} \prod_{k=1}^n \langle \Psi'_k, \Psi_{\pi(k)} \rangle$$

without relative velocity support restrictions on the single-particle states between the two families, and similarly for  $W_-$ .

**Proof.** (standard) Clustering and Double Commutator Estimates.

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**Proof.** (standard) Clustering and Double Commutator Estimates.

**Corollary.**  $W_{\pm}$  induces well-defined isometry from span  $\mathcal{F}_{\text{disj}}$  to  $\mathcal{H}$   
 $\implies W_{\pm}$  extend by continuity to all of  $\mathcal{F}$ , and  $W_-^* W_- = \mathbb{1}_{\mathcal{F}}$ .

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Standard Solution to Extend domain of  $S$  from  $\mathcal{F}_{\text{disj}}$  to all of  $\mathcal{F}$ :

**Theorem** (Fock structure)

For  $\Psi, \Psi' \in \mathcal{F}_{\text{disj}}$ ,  $\Psi = \Psi_1 \otimes \dots \otimes \Psi_n$ , similarly for  $\Psi'$ , we have

$$\langle W_+ \Psi', W_+ \Psi \rangle = \delta_{nn'} \sum_{\pi \in \mathfrak{S}_n} \prod_{k=1}^n \langle \Psi'_k, \Psi_{\pi(k)} \rangle$$

without relative velocity support restrictions on the single-particle states between the two families, and similarly for  $W_-$ .

**Proof.** (standard) Clustering and Double Commutator Estimates.

**Corollary.**  $W_{\pm}$  induces well-defined isometry from span  $\mathcal{F}_{\text{disj}}$  to  $\mathcal{H}$   
 $\implies W_{\pm}$  extend by continuity to all of  $\mathcal{F}$ , and  $W_-^* W_- = \mathbb{1}_{\mathcal{F}}$ .

**Unitarity?**  $S^* S = (W_+^* W_-)^* W_+^* W_- = W_-^* \underbrace{W_+ W_+^*}_{\text{projection onto outgoing space } \mathcal{H}^+ \subset \mathcal{H}} W_-$

$W_+ W_+^* = \mathbb{1}_{\mathcal{H}} \Leftrightarrow \mathcal{H}^+ = \mathcal{H}$  (“asymptotic completeness”)  
see e.g. recent discussion [Dybalski, Gerard'14]

## Tools (3) — Energy-Momentum Transfer

Naive Standard Estimation yields ( $m > 0$ )

$$\|\mathcal{B}_{k\tau}\| \leq \|B_{k\beta(\tau)}\| \int d^3x |f_k(\tau, \mathbf{x})| \sim C_\chi \|A_{k\beta(\tau)}\| \cdot (1 + |\tau|^{3/2}) \quad \downarrow$$

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Why  $\mathcal{B}_{k\tau} = \mathcal{A}_{k\tau}(\chi)$ ,  $\hat{\chi} \in \mathcal{C}_c^\infty(\mathbb{R}^{3+1})$ ?

**Lemma** (Energy-Momentum Transfer or “Arveson Spectrum”)

$$\mathcal{B}_{k\tau} E(\Delta) = E(\Delta + \text{supp } \hat{\chi}) \mathcal{B}_{k\tau} E(\Delta) \text{ for compact } \Delta \subset \mathbb{R}^{3+1}.$$

## Tools (4) — Energy Bounds

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Lemma (Energy Bounds)

$$\begin{aligned} \|\mathcal{B}_{k\tau} E(\Delta)\| &\leq C_\Delta \|A_{k\beta(\tau)}\| \\ \left\| \prod_{k=1}^N \mathcal{B}_{k\tau_k} E(\Delta) \right\| &\leq C_{\Delta, N} \prod_{k=1}^N \|A_{k\beta(\tau_k)}\| \end{aligned}$$

( $C_\Delta$  depends on  $f_k$  and  $\chi$ , but not on  $\tau$ ).

**Proof.** Methods of Harmonic Analysis developed in [Buchholz'90].

## Tools (5) — Clustering Estimates

Creation-annihilation operators satisfy  $a(f)a^\dagger(g)\Omega = \langle f, g \rangle \Omega$ .

Similar property of  $\mathcal{B}_{k\tau}$  would enable us to compute

$$\begin{aligned}\|\mathcal{B}_{1\tau_2}(\mathcal{B}_{2\tau_2} - \mathcal{B}_{2\tau_1})\Omega\|^2 &= \langle \Omega, (\Delta\mathcal{B}_2^*)\mathcal{B}_{1\tau_2}^* \mathcal{B}_{1\tau_2}(\Delta\mathcal{B}_2)\Omega \rangle \\ &= \langle \mathcal{B}_{1\tau_2}^* \mathcal{B}_{1\tau_2}\Omega, (\Delta\mathcal{B}_2^*)(\Delta\mathcal{B}_2)\Omega \rangle + (\text{comm.}) \\ &= \langle \Omega, \mathcal{B}_{1\tau_2}^* \mathcal{B}_{1\tau_2}\Omega \rangle \langle \Omega, (\Delta\mathcal{B}_2^*)(\Delta\mathcal{B}_2)\Omega \rangle + (\text{error?})\end{aligned}$$

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**Lemma** (Clustering of  $\mathcal{B}_{k\tau}$ ) Assume that  $\hat{\chi} \in \mathcal{C}_c^\infty(\mathbb{R}^{3+1} \setminus \bar{V}^-)$  and  $A_{k\beta}$  differentiable, then

$$\left\| E_\Omega^\perp \mathcal{B}_{1\tau}^* \mathcal{B}_{2\tau} \Omega \right\| \leq \frac{C}{|\tau|^{\kappa/2}} \|A_{1\beta(\tau)}\|_{\text{AHR}} \|A_{2\beta(\tau)}\|_{\text{AHR}},$$

where  $\|A\|_{\text{AHR}} := \|A\| + \|\partial_t A\|$ ,  $C$  depends on  $\chi$ ,  $\mathcal{O}$ ,  $f_k$  and  $\kappa := 3/2$  for  $m > 0$ .

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The proof follows a strategy of [Dybalski'05] [Buchholz'77] relying on space-like decay of  $\langle \Omega, A_1 U(t, \mathbf{x}) A_2 \Omega \rangle$  [Araki, Hepp, Ruelle'62].