

Effective action and very early quantum Universe

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Introduction

Effective action – state of art

- a) Quantum effective action – methods for local and nonlocal effects
- b) Heat kernel method and gradient and curvature expansion
- c) Coleman-Weinberg potential, conformal anomaly, nonlocal anomaly action, etc.

Effective action and inflation

- a) Slow roll expansion
- b) Higgs inflation and Starobinsky R^2 models
- c) Higgs mass and CMB
- d) Quantum mechanism of hill-shape inflaton potential

Effective action and quantum cosmology

- a) Cosmological wavefunction and effective action
- b) Microcanonical density matrix in CFT driven cosmology:
 - subplanckian energy scale and suppression of no-boundary states
 - new paradigm of inflationary scenario -- “hill-top” inflation
- c) Higher spin conformal fields, hierarchy problem and stabilization of graviton loops

Introduction: what is “effective” action?

Quantization vs “modification”:

$$f(R)$$

local models

$$Rf\left(-\frac{1}{\square}R\right)$$

nonlocal “cosmology”

$$R + \alpha R^{\mu\nu} \frac{1}{\square + \hat{P}} G_{\mu\nu}$$

$$R_{\mu\nu} \frac{M_P^2(\square)}{2\square} \left(R^{\mu\nu} - \frac{1}{2} g^{\mu\nu} R \right)$$

“filter function” degravitation models

$$\frac{1}{G(\square)} = m_P^2 \left(1 + \frac{1}{r_c \sqrt{-\square}} \right)$$

brane induced gravity models

Galileon models avoiding ghosts

.....

Effective action – state of the art

Generating functional of the one-particle irreducible Green's functions

$$e^{-\Gamma[\phi]/\hbar} = \int D\varphi \exp \frac{1}{\hbar} \left\{ -S[\varphi] + \int dx \left(\varphi(x) - \phi(x) \right) \frac{\delta \Gamma[\phi]}{\delta \phi(x)} \right\}$$

quantum field

mean field

Physical setup:

Mean field (on shell)

$$\phi_0 = \langle \varphi \rangle \equiv \frac{\int D\varphi \varphi e^{-S[\varphi]/\hbar}}{\int D\varphi e^{-S[\varphi]/\hbar}}$$

Effective equation

$$\frac{\delta \Gamma[\phi_0]}{\delta \phi_0} = 0 \quad + \quad \text{physical boundary conditions}$$

Correlation function

$$\langle \zeta(x_1), \dots, \zeta(x_n) \rangle \equiv \frac{\int D\varphi \zeta(x_1) \dots \zeta(x_n) e^{-S[\varphi]}}{\int D\varphi e^{-S[\varphi]/\hbar}}$$

Quantum fluctuation

$$\zeta(x) = \varphi(x) - \phi_0(x)$$

Loop expansion

$$\Gamma[\phi] = S[\phi] + \hbar \Gamma_{1\text{-loop}}[\phi] + \hbar^2 \Gamma_{2\text{-loop}}[\phi] + \dots$$

One-loop order

$$\Gamma_{1\text{-loop}} = \frac{1}{2} \ln \text{Det } F(\nabla) = \frac{1}{2} \text{Tr} \ln F(\nabla)$$

$$F(\nabla) \delta(x, y) = \frac{\delta^2 S[\phi]}{\delta\phi(x) \delta\phi(y)} \quad \text{inverse propagator}$$

Two-loop order:

$$\begin{aligned} \Gamma_{2\text{-loop}} = & \frac{1}{8} \int dx_1 dx_2 dx_3 dx_4 G(x_1, x_2) \frac{\delta^4 S[\phi]}{\delta\phi(x_1) \delta\phi(x_2) \delta\phi(x_3) \delta\phi(x_4)} G(x_3, x_4) \\ & + \frac{1}{12} \int dx_1 dx_2 dx_3 dy_1 dy_2 dy_3 \frac{\delta^3 S[\phi]}{\delta\phi(x_1) \delta\phi(x_2) \delta\phi(x_3)} \\ & \times G(x_1, y_1) G(x_2, y_2) G(x_3, y_3) \frac{\delta^3 S[\phi]}{\delta\phi(y_1) \delta\phi(y_2) \delta\phi(y_3)}, \end{aligned}$$

$$F(\nabla) G(x, y) = -\delta(x, y)$$

Feynman diagrams in
an external mean field
(Euclidean spacetime,
Wick rotation –
IN-IN formalism later)

$$\Gamma_{1\text{-loop}} = \frac{1}{2} \bigcirc$$

$$\Gamma_{2\text{-loop}} = \frac{1}{8} \bigcirc \cdot \bigcirc + \frac{1}{12} \bigcirc \text{---} \bigcirc$$

Heat kernel method

Heat kernel
(proper time)
representation

$$G \equiv -F^{-1}(\nabla) = \int_0^\infty ds K(s),$$

$$\frac{1}{2} \text{Tr} \ln F(\nabla) = -\frac{1}{2} \int_0^\infty \frac{ds}{s} \text{Tr} K(s) = -\frac{1}{2} \int_0^\infty \frac{ds}{s} \int dx \text{tr} \hat{K}(s|x, x)$$

Heat equation

$$\frac{\partial}{\partial s} \hat{K}(s|x, y) = \hat{F}(\nabla) \hat{K}(s|x, y), \quad \hat{K}(0|x, y) = \hat{1} \delta(x, y)$$

Scale, gap, mass parameter:

$$\hat{F}(\nabla) = \square + \hat{P} - \frac{\hat{1}}{6} R - \textcolor{red}{M}^2 \hat{1}, \quad \square = g^{\mu\nu} \nabla_\mu \nabla_\nu$$

Local curvature and gradient expansion

$$\Gamma_{\text{one-loop}} = \Gamma_{\text{div}} + \Gamma_{\text{log}} - \frac{1}{2} \left(\frac{M^2}{4\pi} \right)^{d/2} \sum_{n=d/2+1}^{\infty} \frac{\Gamma(n - \frac{d}{2})}{(M^2)^n} \int dx g^{1/2} \text{tr} \hat{a}_n(x, x)$$

$$\Gamma_{\text{div}} = \frac{1}{2(4\pi)^{d/2}} \sum_{n=0}^{d/2} \left[-\frac{1}{\frac{d}{2} - n} - \psi\left(\frac{d}{2} - n + 1\right) \right] \frac{(-M^2)^{\frac{d}{2}-n}}{(\frac{d}{2} - n)!} \int dx g^{1/2} \text{tr} \hat{a}_n(x, x),$$

$$\Gamma_{\text{log}} = \frac{1}{2(4\pi)^{d/2}} \sum_{n=0}^{d/2} \frac{(-M^2)^{\frac{d}{2}-n}}{(\frac{d}{2} - n)!} \ln \frac{M^2}{\mu^2} \int dx g^{1/2} \text{tr} \hat{a}_n(x, x)$$

Schwinger – DeWitt (Gilkey-Seely) coefficients:

$$\hat{a}_n(x, y) = a_n^A{}_B(x, y), \quad \text{tr} \hat{a}_n(x, x) \equiv a_n^A{}_A(x, x)$$

Lowest order coefficients

$$\hat{a}_0(x, x) = \hat{1}$$

$$\hat{a}_1(x, x) = \hat{P}$$

$$\begin{aligned} \hat{a}_2(x, x) = & \frac{1}{180} (R_{\alpha\beta\mu\nu} R^{\alpha\beta\mu\nu} - R_{\mu\nu} R^{\mu\nu}) \hat{1} \\ & + \frac{1}{12} \hat{\mathcal{R}}_{\mu\nu} \hat{\mathcal{R}}^{\mu\nu} + \frac{1}{2} \hat{P}^2 + \frac{1}{6} \square \hat{P} + \frac{1}{180} \square R \hat{1} \end{aligned}$$

Reminder:

$$\hat{F}(\nabla) = \square + \hat{P} - \frac{\hat{1}}{6} R - M^2 \hat{1}$$

$$\mathcal{R}_{\mu\nu} = [\nabla_\mu, \nabla_\nu]$$

Coleman-Weinberg potential:



$$\Gamma_{\text{CW}} = \int d^4x \frac{M^4(\varphi)}{64\pi^2} \ln \frac{M^2(\varphi)}{\mu^2}$$

$$M^2(\varphi) = \frac{d^2 V(\varphi)}{d\varphi^2} \sim \hat{P}$$

Massless theories \Rightarrow nonlocal curvature expansion

A.B.&
G.A.Vilkovisky

Special case of nonlocality in massless conformal theories:

$$d = 4$$

Conformal anomaly of massless fields conformally coupled to gravity

$$\begin{aligned} \langle T_{\mu}^{\mu} \rangle &= \frac{2}{g^{1/2}} g_{\mu\nu} \frac{\delta \Gamma}{\delta g_{\mu\nu}} \\ &= -\frac{1}{16\pi^2} \text{tr} \hat{a}_2(x, x) = \frac{1}{32\pi^2} \left(\alpha \square R + \beta \textcolor{red}{E} + \gamma C_{\mu\nu\alpha\beta}^2 \right) \end{aligned}$$

\nearrow
Gauss-Bonnet term
 \nwarrow
Weyl term

Riegert ,
Fradkin, Tseytlin
Antoniadis, Mottola,
Mazur

Nonlocal anomaly action

$$\Gamma_A[g] = \frac{1}{16(4\pi)^2} \int d^4x g^{1/2} \left[\frac{1}{2} \gamma C_{\mu\nu\alpha\beta}^2 + \frac{\beta}{2} \left(E - \frac{2}{3} \square R \right) \right] \frac{1}{\mathcal{D}} \left(E - \frac{2}{3} \square R \right) \sim \int d^4x g^{1/2} R^2 \dots \frac{1}{\square^2} R^2 \dots$$

$$\mathcal{D} = \textcolor{red}{\square}^2 + 2R^{\mu\nu} \nabla_{\mu} \nabla_{\nu} - \frac{2}{3} R \square + \frac{1}{3} (\nabla^{\mu} R) \nabla_{\mu}$$

Nonlocal -- strong in the infrared

Treatment of nonlocality in effective equations: Schwinger-Keldysh technique vs Euclidean QFT

$$\langle IN | \varphi(x) | IN \rangle \neq \langle OUT | \varphi(x) | IN \rangle = \phi_0$$

No Wick rotation, **no** effective action for effective equations

Wick rotation to EQFT, effective action and effective equations $\frac{\delta \Gamma}{\delta \phi_0(x)} = J(x)$

$$\Gamma[\phi] = \frac{1}{2} \int dx dy \phi(x) G(x, y) \phi(y) + \dots$$

$$\frac{\delta \Gamma}{\delta \phi(x)} \propto \int dy \left[G(x, y) + G(y, x) \right] \phi(y) + \dots$$

not causal: $\neq 0$ for $y^0 > x^0$

Physical observables are **IN-IN** $\langle IN | \hat{\mathcal{O}}(x) | IN \rangle$
expectation values

Subject to Schwinger-Keldysh diagrammatic technique

$$\frac{\delta \langle IN | \hat{\mathcal{O}}(x) | IN \rangle}{\delta J(y)} = 0, \quad x^0 < y^0$$

Non-manifest corollary of locality and unitarity ---
via a set of cancellations between nonlocal terms
with chronological and anti-chronological
boundary conditions

Euclidean version of Schwinger-Keldysh technique

IN-IN mean field

$$\phi(x) = \langle IN | \varphi(x) | IN \rangle$$

Quantum effective action of
Euclidean QFT (nonlocal)

$$\Gamma = \Gamma_{Euclidean}[\phi]$$

IN state is the *Poincare invariant vacuum* associated with the past asymptotically flat infinity

Effective equations for
in-in field

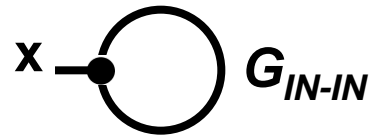
A.B. & G.A.Vilkovisky
(1987)

$$\left. \frac{\delta \Gamma_{Euclidean}}{\delta \phi(x)} \right|_{++++ \Rightarrow -++++}^{retarded} = 0.$$

Causal, diffeomorphism and gauge invariant !

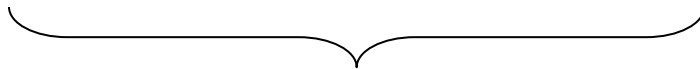
One-loop effective equations for IN-IN mean field:

In-in Wightman Green's function in
Poincare-invariant vacuum in
asymptotically flat (AF) spacetime



$$G_{IN-IN}(x, y) = \langle IN | \varphi(x) \varphi(y) | IN \rangle$$

$$\frac{\delta S}{\delta \phi(x)} + \frac{i}{2} \int dy dz S_3(x, y, z) G_{IN-IN}(y, z)$$



||

$$\frac{\delta \Gamma_{Euclidean}^{1-loop}}{\delta \phi(x)}$$

retarded

+++++ \Rightarrow -++++

?

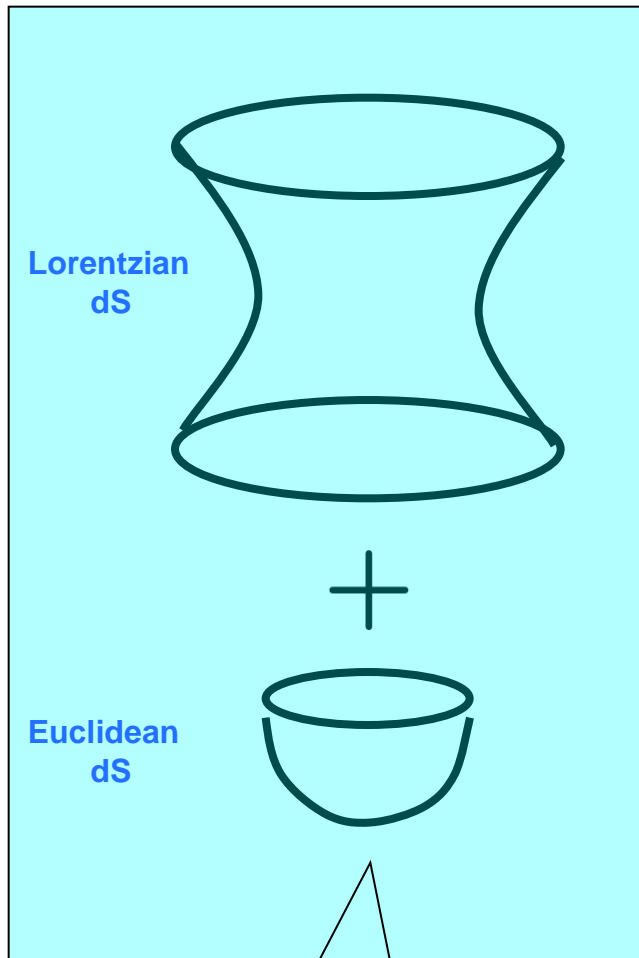
{ AF spacetime
Poincare vacuum



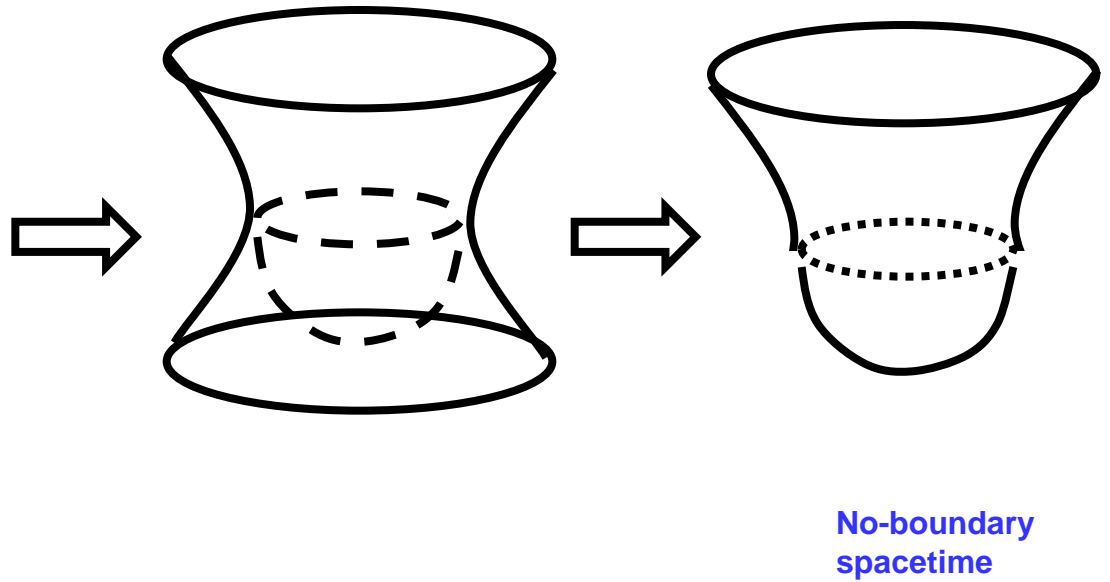
{ (A)dS spacetime
Euclidean vacuum

Higuchi, Marolf,
Morrison (2011)

Korai, Tanaka (2012)



Tool for constructing
Euclidean dS-invariant
vacuum



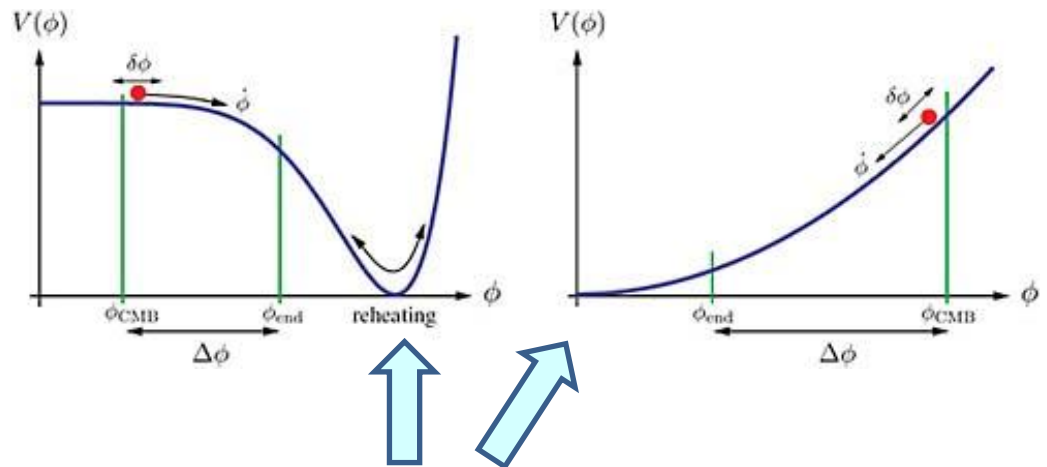
Effective action and inflation

$$\mathcal{L}(g_{\mu\nu}, \phi) = \frac{1}{2} M_P^2 R - \frac{1}{2} \nabla \phi^2 - V(\phi)$$

Homogeneity, Friedmann metric, scale and Hubble factors $a = a(t), \quad H = \frac{\dot{a}}{a}$

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0, \quad H^2 = \frac{V(\phi)}{3M_P^2}$$

Slow roll approximation: $\dot{\phi} \ll H\phi, \quad H(\phi) \sim \text{const}, \quad a(t) \sim e^{Ht}$



End of inflation, inflaton oscillations \rightarrow reheating of the matter \rightarrow standard model of Big Bang
Shapes of potential -- types of inflation: “new” inflation, “chaotic” inflation, etc.

Higgs inflation model:

$$L(g_{\mu\nu}, \Phi) = \frac{1}{2} \left(M_P^2 + \xi |\Phi|^2 \right) R - \frac{1}{2} |\nabla \Phi|^2 - \frac{\lambda}{4} (|\Phi|^2 - v^2)^2$$

inflation
non-minimal curvature coupling

inflation-graviton sector of gravitating SM

$$\varphi^2 \equiv |\Phi|^2 = \Phi^\dagger \Phi$$

Starobinsky model of R^2 gravity:

$$S_\xi^{\text{Star}}[g_{\mu\nu}] = \int d^4x g^{1/2} \left\{ -\frac{M_P^2}{2} R - \frac{\xi}{4} R^2 \right\} \longleftrightarrow S_\xi^{\text{Star}}[g_{\mu\nu}, \varphi] = \int d^4x g^{1/2} \left\{ \frac{M_P^2 + \xi \varphi^2}{2} R - \frac{\xi \varphi^4}{4} \right\}$$

Non-minimal curvature coupling $\xi \gg 1$,

$$\frac{\Delta T}{T} \sim \frac{\sqrt{\lambda}}{\xi} \sim 10^{-5}$$

B. Spokoiny (1984),
D. Salopek, J. Bond & J. Bardeen (1989),
R. Fakir & W. Unruh (1990),
A. Barvinsky & A. Kamenshchik (1994, 1998)

F.Bezrukov & M.Shaposhnikov
Phys.Lett. 659B (2008) 703:

Transcending the idea of non-minimal inflation to the Standard Model ground: Higgs boson as an inflaton – no new physics between TeV and inflation.

A.O.B, A.Kamenshchik, C.Kiefer,
A.Starobinsky and C.Steinwachs (2008-2009):

Non-minimally gravitating SM can be probed beyond tree level by current and future CMB observations and LHC experiments. On account of *RG running* with the Higgs mass

$$M_H \simeq 136 \text{ GeV}$$

the SM Higgs can drive inflation with the observable CMB spectral index $n_s \simeq 0.96$ and a very low T/S ratio $r \simeq 0.0004$.

Bezrukov & Shaposhnikov (2009):

2-loop RG contribution leads to $M_{Higgs} \rightarrow M_{LHC} = 125 \text{ GeV}$

Slow roll inflation is an ideal playground for the gradient and curvature expansion

$$M_{\text{eff}}^2(\varphi) = M_P^2 + \xi\varphi^2 \gg M_P^2 \quad \Longrightarrow \quad \text{expansion in } \frac{1}{M_{\text{eff}}^2(\varphi)}$$

$$S[g_{\mu\nu}, \varphi] = \int d^4x g^{1/2} \left(-V(\varphi) + U(\varphi) R(g_{\mu\nu}) - \frac{1}{2} G(\varphi) (\nabla\varphi)^2 + \dots \right)$$

One-loop coefficient
Functions for large $\xi \gg 1$

$$\left\{ \begin{array}{l} V(\varphi) = \frac{\lambda}{4}(\varphi^2 - v^2)^2 + \frac{\lambda\varphi^4}{128\pi^2} \ln \frac{\varphi^2}{\mu^2} \\ U(\varphi) = \frac{1}{2}(M_P^2 + \xi\varphi^2) + \frac{3\xi\lambda\varphi^2}{32\pi^2} \ln \frac{\varphi^2}{\mu^2} \\ G(\varphi) = 1 + \dots \end{array} \right.$$

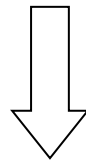
Anomalous scaling behavior constant **A**

Overall Coleman-Weinberg potential:

$$V(\varphi) = \sum_{\text{particles}} \frac{\pm m^4(\varphi)}{64\pi^2} \ln \frac{m^2(\varphi)}{\mu^2} = \frac{\lambda A}{128\pi^2} \varphi^4 \ln \frac{\varphi^2}{\mu^2} + \dots$$

Masses in terms of SU(2),U(1) and top-quark Yukawa constants

$$m_W^2 = \frac{1}{4} g^2 \varphi^2, \quad m_Z^2 = \frac{1}{4} (g^2 + g'^2) \varphi^2, \quad m_{top}^2 = \frac{1}{2} y_{top}^2 \varphi^2$$



$$A = \frac{3}{8\lambda} \left(2g^4 + (g^2 + g'^2)^2 - 16y_{top}^4 \right) + 6\lambda$$

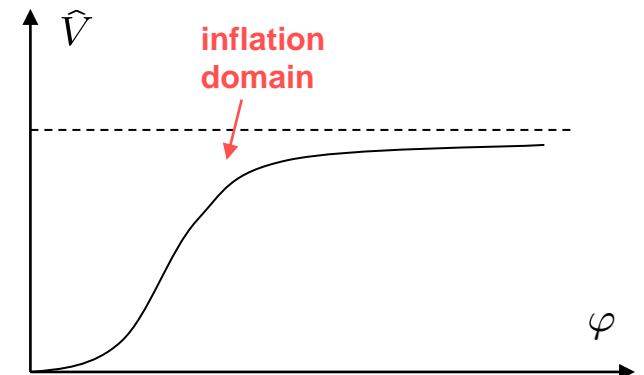
Transition to the Einstein frame -- Einstein frame potential:

$$(g_{\mu\nu}, \varphi) \rightarrow (\hat{g}_{\mu\nu}, \phi) : \quad U \rightarrow \hat{U} \equiv \frac{M_P^2}{2}, \quad G \rightarrow \hat{G} \equiv 1, \quad V \rightarrow \hat{V}(\phi) :$$

$$\hat{g}_{\mu\nu} = \frac{2U(\varphi)}{M_P^2} g_{\mu\nu}, \quad \left(\frac{d\phi}{d\varphi} \right)^2 = \frac{M_P^2}{2} \frac{GU + 3U'^2}{U^2}.$$

$$\hat{V}(\phi) = \left(\frac{M_P^2}{2} \right)^2 \frac{V(\varphi)}{U^2(\varphi)} \Big|_{\varphi=\varphi(\phi)}$$

$$\hat{V} = \left(\frac{M_P^2}{2} \right)^2 \frac{V(\varphi)}{U^2(\varphi)} \simeq \frac{\lambda M_P^4}{4\xi^2} \left(1 - \frac{2M_P^2}{\xi\varphi^2} + \frac{\mathbf{A_I}}{16\pi^2} \ln \frac{\varphi}{\mu} \right)$$



**Inflationary
anomalous
scaling**

$$\mathbf{A_I} = \mathbf{A} - 12\lambda = \frac{3}{8\lambda} \left(2g^4 + (g^2 + g'^2)^2 - 16y_{top}^4 \right) - 6\lambda$$

Slow-roll smallness parameters

$$\hat{\varepsilon} \equiv \frac{M_P^2}{2} \left(\frac{1}{\hat{V}} \frac{d\hat{V}}{d\phi} \right)^2 = \frac{4M_P^4}{3\xi^2\varphi^4} \left(1 - \frac{\varphi^2}{\varphi_0^2} \right)^2, \quad \hat{\eta} \equiv \frac{M_P^2}{\hat{V}} \frac{d^2\hat{V}}{d\phi^2} = -\frac{4M_P^2}{3\xi\varphi^2}$$

$$\varphi_0^2 = -\frac{64\pi^2 M_P^2}{\xi A_I}$$

Effective equations in **Einstein frame** with the CW potential:

$$\ddot{\phi} + 3H\dot{\phi} + \hat{V}'(\phi) = 0, \quad H^2 = \frac{\hat{V}(\phi)}{3M_P^2}$$



e-folding # $N(\phi) = \int_{\phi}^{\phi_{\text{end}}} d\phi' \frac{H(\phi')}{\dot{\phi}'} \simeq \frac{48\pi^2}{A_I} \ln \left(1 - \frac{\varphi^2}{\varphi_0^2} \right)$

end of inflation

horizon crossing – formation of perturbation of wavelength related to N : $N(k) \simeq \ln(T_0/k)$

Inflationary CMB parameters

amplitude

$$\zeta^2(k) = \frac{N^2(k)}{72\pi^2} \frac{\lambda}{\xi^2} \left(\frac{e^x - 1}{x e^x} \right)^2 \simeq 2.5 \times 10^{-9}$$

WMAP normalization at
 $k \simeq (500 \text{ Mpc})^{-1}$
 $N \simeq 60$

spectral index

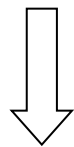
$$n_s = 1 - \frac{2}{N} \frac{x}{e^x - 1} \quad 0.94 < n_s < 0.99$$

WMAP+BAO+SN
 at 2σ

T/S ratio

$$r = \frac{12}{N^2} \left(\frac{x e^x}{e^x - 1} \right)^2$$

quantum (1-loop)
 factors are in red with $x \equiv \frac{N A_I}{48\pi^2}$



CMB compatible range
 of the Higgs mass

$$135.6 \text{ GeV} \lesssim M_H \lesssim 184.5 \text{ GeV}$$

A.O.B, A.Kamenshchik,
 C.Kiefer,A.Starobinsky
 and C.Steinwachs
 (2008-2009):

RG improvement

$\lambda, \xi, g, g', y_{top}, A_I \rightarrow \lambda(t), \xi(t), g(t), g'(t), y_{top}(t), A_I(t)$

running scale:
 $t = \ln(\varphi/M_t)$
 \uparrow
top quark mass

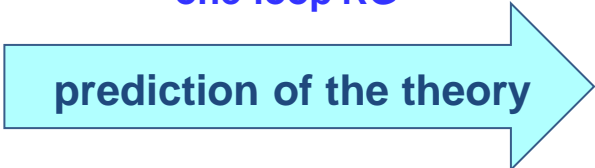
\swarrow **anomalous scaling**

RG equations:

$$\frac{d}{dt} \left(\frac{\lambda}{\xi^2} \right) = \frac{A_I}{16\pi^2} \frac{\lambda}{\xi^2}$$

Bezrukov, Shaposhnikov 2008
(Einstein frame calculations),

$n_s \simeq 0.96$

one-loop RG


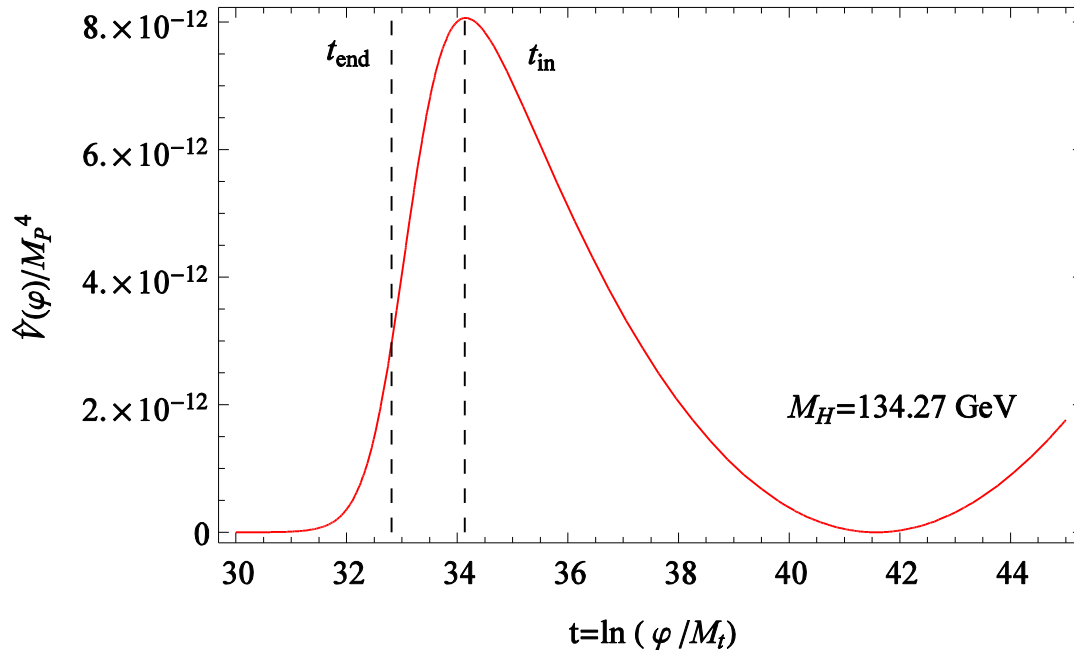
$M_{\text{Higgs}} \simeq 136 \text{ GeV}$

A.Kamenshchik, A.Starobinsky & A.B
 (2008)
 A.Kamenshchik, C.Kiefer, A.Starobinsky,
 C.Steinwachs & A.B (2009)

2-loop RG : $M_{\text{Higgs}} \rightarrow M_{\text{LHC}} = 125 \text{ GeV}$

Bezrukov & Shaposhnikov, 2009

Resummation of the effective potential by renormalization group



A.Kamenshchik, C.Kiefer,
A.Starobinsky, C.Steinwachs,
& A.B., JCAP 12 (2009) 003,
arXiv:0904.1698 – 1-loop RG
approximation

One-loop RG improved effective potential. Inflationary domain for $N=60$ CMB perturbation is marked by dashed lines

Formation of “*hill shape*” potential --- nonperturbative (?) effect of transition from the *Jordan* to *Einstein* frame:

Not in Einstein frame,
IR instability and
breakdown of grad.
expansion!

non-minimal coupling

$$\Gamma[g_{\mu\nu}, \varphi] = \int d^4x g^{1/2} \left(V(\varphi) - U(\varphi) R(g_{\mu\nu}) + \frac{1}{2} G(\varphi) (\nabla\varphi)^2 + \dots \right)$$

$$V(\varphi) = \frac{\lambda}{4} \varphi^4 + O\left(\varphi^4 \ln \frac{\varphi^2}{\mu^2}\right), \quad U(\varphi) = \frac{M_P^2 + \xi \varphi^2}{2} + O\left(\varphi^2 \ln \frac{\varphi^2}{\mu^2}\right),$$

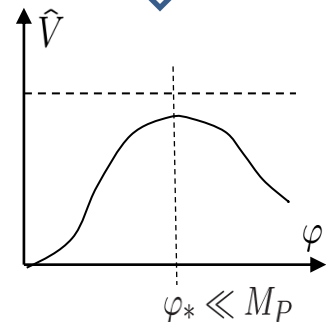
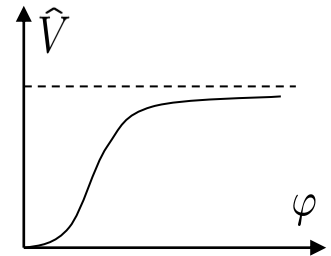
$$G(\varphi) = 1 + O\left(\ln \frac{\varphi^2}{\mu^2}\right)$$

Transition to the *Einstein* frame:

$$V(\varphi) \rightarrow \hat{V}(\phi) = \frac{M_P^4}{4} \frac{V(\varphi)}{U^2(\varphi)} \sim \frac{M_P^4}{\lambda \xi^2} \frac{A \ln \frac{\varphi}{\mu}}{\ln^2 \frac{\varphi}{\mu}} \sim \frac{1}{\ln \frac{\varphi}{\mu}} \rightarrow 0, \quad \varphi \rightarrow \infty$$

Any *l*-th loop order:

$$\frac{\ln^l \frac{\varphi}{\mu}}{\ln^{2l} \frac{\varphi}{\mu}} \sim \frac{1}{\ln^l \frac{\varphi}{\mu}} \rightarrow 0, \quad \varphi \rightarrow \infty$$



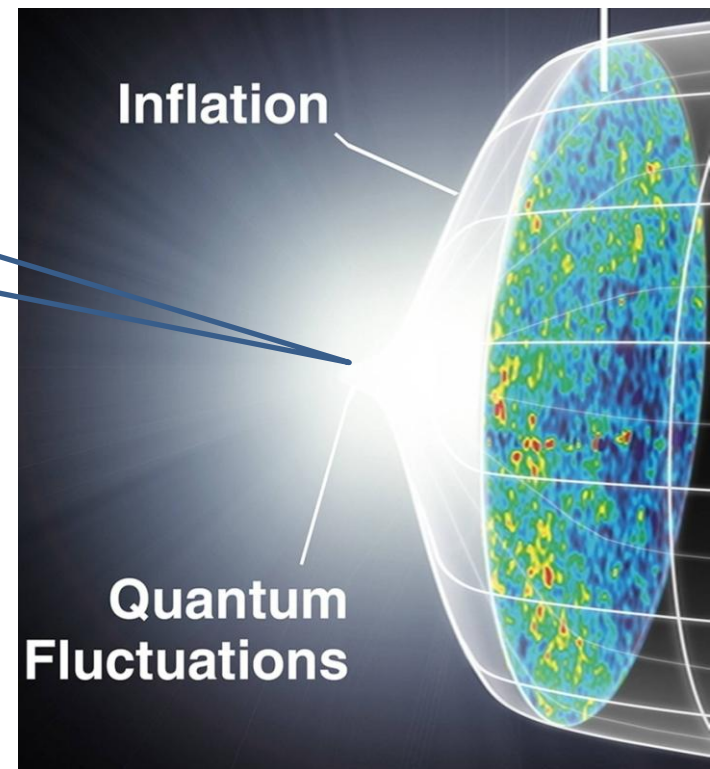
Effective action and quantum cosmology

Current status of quantum cosmology: the Wheeler-DeWitt equation is the “**most useless**” equation in theoretical physics?

What was
at the beginning?

*The space and time had
both one beginning . The
space was made not in
time but simultaneously
with time.*

Saint Augustin of Hippo



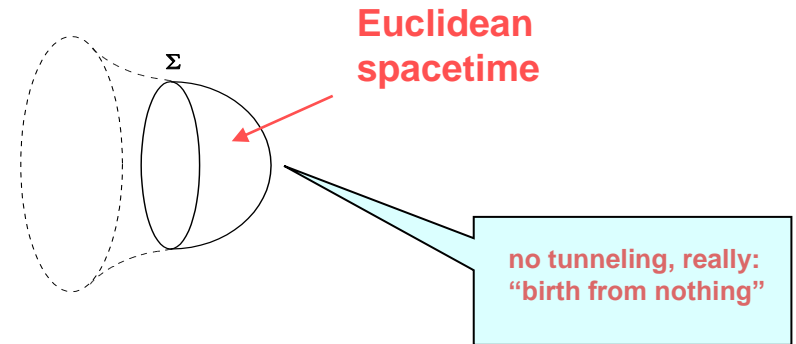
Origin of effective action in quantum cosmology: no-boundary (HH) and tunneling (T) states

$$\Psi_{HH,T}(\underbrace{\varphi}_{\text{inflaton}}, \underbrace{\Phi(\mathbf{x})}_{\text{other fields}}) = \exp\left(\mp \frac{1}{2} S_E(\varphi)\right) \Psi_{\text{matter}}(\varphi, \Phi(\mathbf{x}))$$

Euclidean action of quasi-de Sitter instanton with the effective Λ (slow roll):

$$S_E(\varphi) \simeq -\frac{24\pi^2 M_P^4}{V(\varphi)} < 0$$

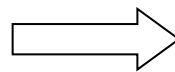
$$\Lambda \simeq \frac{V(\varphi)}{M_P^2}$$



Euclidean
FRW

$$a(\tau) = \frac{1}{H} \sin(H\tau), \quad H = \sqrt{\frac{\Lambda}{3}}$$

Analytic continuation
-- Lorentzian signature
dS geometry:



$$\tau = \pi/2H + it$$

$$a_L(t) = \frac{1}{H} \cosh(Ht)$$

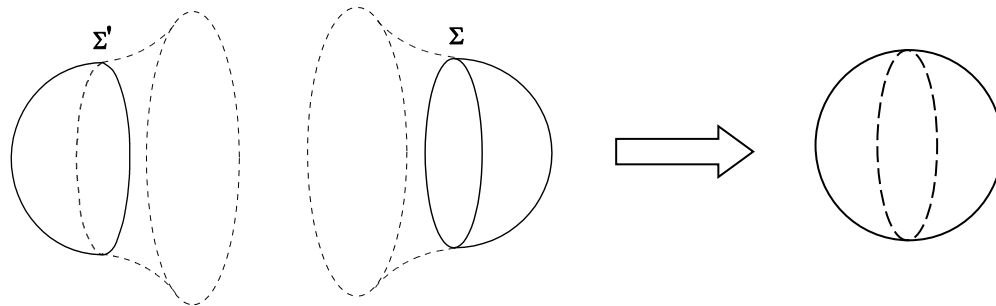
$\Psi_{\text{matter}}(\varphi, \Phi(\mathbf{x}))$ -- de Sitter invariant (Euclidean) vacuum of "other" fields

$$\rho_{HH,T}(\varphi) = \int d[\Phi(\mathbf{x})] \left| \Psi_{HH,T}(\varphi, \Phi(\mathbf{x})) \right|^2$$

$$= \exp \left(-\Gamma_{1\text{-loop}}(\varphi) \right) = \int D[\Phi(\tau, \mathbf{x})] e^{-S_E[\Phi(\tau, \mathbf{x})]}$$

Eucidean
effective
action on S^4

$$\Gamma_{1\text{-loop}}(\varphi) = \frac{1}{2} \text{Tr} \ln \frac{\delta^2 S_E[\Phi]}{\delta \Phi(x) \delta \Phi(y)} \Big|_{\Lambda(\varphi)}$$



Path integral in quantum cosmology

Physical states: $\hat{H}_\mu |\Psi\rangle = 0$ $\hat{H}_\mu \equiv \underbrace{\hat{H}_\perp(\mathbf{x}), \hat{H}_i(\mathbf{x})}_{\text{operators of the Wheeler-DeWitt equations}}$ $\mu = (\perp\mathbf{x}, i\mathbf{x}), i = 1, 2, 3$
 \mathbf{x} – spatial coordinates

$$\hat{H}_\mu \Psi({}^3g) = 0 \quad \left\{ \begin{array}{l} \Psi({}^3g) = \int D[{}^4g] e^{iS[{}^4g]} \\ \Psi({}^3g) = \int D[{}^4g] e^{-S_E[{}^4g]} \end{array} \right.$$

Leutwyler (1964)
A.B (1986)

Hartle
& Hawking (1983-1984)

Microcanonical ensemble in cosmology

Microcanonical density matrix – projector onto subspace of quantum gravitational constraints

$$|\Psi\rangle \rightarrow \hat{\rho}, \quad \hat{H}_\mu \hat{\rho} = 0$$

$$\hat{\rho} = e^\Gamma \prod_\mu \delta(\hat{H}_\mu)$$

$$e^{-\Gamma} = \text{Tr} \prod_\mu \delta(\hat{H}_\mu)$$

A.B., Phys. Rev. Lett.
99, 071301 (2007)

Motivation: aesthetic (minimum of assumptions – Occam razor)

A simple analogy -- a system with a conserved Hamiltonian in the microcanonical state of a fixed energy E

$$\hat{\rho} \sim \delta(\hat{H} - E) \quad \Rightarrow \quad \hat{\rho} \sim \prod_{\mu} \delta(\hat{H}_{\mu})$$

Spatially closed cosmology does not have *freely specifiable* constants of motion. The only conserved quantities are the Hamiltonian and momentum constraints H_{μ} , all having a particular value --- zero

$$\hat{\rho} = \sum_{\text{all } |\Psi\rangle} |\Psi\rangle\langle\Psi| \quad \text{sum over “everything” that satisfies the Wheeler-DeWitt equation}$$

An ultimate equipartition in the full set of states of the theory --- “*Sum over Everything*”. Creation of the Universe from *Everything* is conceptually more appealing than creation from *Nothing*, because the democracy of the microcanonical equipartition better fits the principle of the Occam razor than the selection of a concrete state.

EQG path integral representation of the statistical sum: time arises as an **operator ordering** parameter

*cf. Saint Augustine
of Hippo*

BFV/BRST method
A.B. JHEP 1310 (2013) 051,
arXiv:1308.3270



$$e^{-\Gamma} \equiv \text{Tr} \prod_{\mu} \delta(\hat{H}_{\mu}) = \int_{\text{periodic}} D[g_{\mu\nu}, \phi] e^{-S[g_{\mu\nu}, \phi]}$$



Euclidean metric



$$-i\infty < N < i\infty, \quad g^{44} = +N^2$$

important for convexity of the Euclidean action at
saddle points – provides “conformal” rotation

Lorentzian
signature path
integral

=

EQG path integral
with integration
over the
imaginary lapse

EQG density
matrix
D.Page (1986)

$$ds_{\text{Euclidean}}^2 = N_{\text{Euclidean}}^2 dt^2 + g_{ab}(dx^a + N^a dt)(dx^b + N^b dt),$$

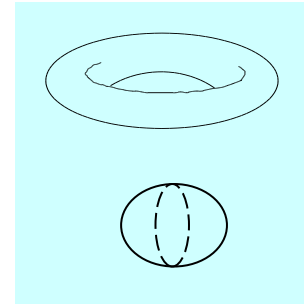
$$N_{\text{Euclidean}} = iN_{\text{Lorentzian}}$$

equivalent to

$$x^0 \rightarrow -ix^4$$

$$e^{-\Gamma} = \int_{\text{periodic}} D[g_{\mu\nu}, \Phi] e^{-S[g_{\mu\nu}, \Phi]}$$

$\left\{ \begin{array}{l} \text{on } \mathbf{S^3 \times S^1}(\text{thermal}) \\ \text{including as a limiting} \\ \text{(vacuum) case } \mathbf{S^4} \end{array} \right.$



Application to CFT driven cosmology -- Universe dominated by quantum matter conformally coupled to gravity :

$$S[g_{\mu\nu}, \Phi] = -\frac{M_P^2}{2} \int d^4x g^{1/2} (R - 2\Lambda) + S_{CFT}[g_{\mu\nu}, \Phi]$$

Λ -- **primordial cosmological constant**



Omission of graviton loops

$$\Gamma[g_{\mu\nu}] = -\frac{M_P^2}{2} \int d^4x g^{1/2} (R - 2\Lambda) + \Gamma_{CFT}[g_{\mu\nu}],$$

**A.B. & A.Kamenshchik,
JCAP, 09, 014 (2006)
Phys. Rev. D74,
121502 (2006);**

$$e^{-\Gamma_{CFT}[g_{\mu\nu}]} = \int D\Phi e^{-S_{CFT}[g_{\mu\nu}, \Phi]}$$

**A.B., Phys. Rev. Lett.
99, 071301 (2007)**

$$\Gamma_{CFT}[g_{\mu\nu}] = ?$$

Local conformal invariance of $S_{CFT} \rightarrow$

recovery of $\Gamma_{CFT}[g_{\mu\nu}^{FRW}] = \Gamma_{CFT}[a, N]$ on a **generic FRW background** by a conformal map onto static Einstein Universe:

- i) contribution of the **conformal anomaly** associated with this map;
- ii) contributions of the **Casimir energy and free energy** on a static periodically identified Einstein Universe

$$g_{\mu\nu} \frac{\delta \Gamma_{CFT}}{\delta g_{\mu\nu}} = \frac{1}{4(4\pi)^2} g^{1/2} \left(\alpha \square R + \beta E + \gamma C_{\mu\nu\alpha\beta}^2 \right)$$

**Gauss-
Bonnet
term**

**Weyl
term**

A.A.Starobinsky (1980);
 Fischetty,Hartle,Hu;
 Riegert; Tseytlin;
 Antoniadis, Mazur, Mottola;

 A.B. & A.Kamenshchik,
 JCAP, 09, 014 (2006)
 Phys. Rev. D74, 121502 (2006)

The coefficient of the topological Gauss-Bonnet term

$$\beta = \sum_s \beta_s \mathbb{N}_s, \quad \mathbb{N}_s \text{ -- number of fields of spin } s, \\ \beta_s \text{ -- spin-dependent coefficients}$$



Effective Friedmann equation for saddle points of the path integral:

$$\frac{\delta S_{\text{eff}}[a, N]}{\delta N(\tau)} = 0$$

$$\frac{1}{a^2} - \frac{\dot{a}^2}{a^2} - \overbrace{B \left(\frac{\dot{a}^4}{2a^4} - \frac{\dot{a}^2}{a^4} \right)}^{\text{anomaly contribution}} = \frac{\Lambda}{3} + \frac{C}{a^4},$$

$$C = \frac{B}{2} + \frac{1}{6\pi^2 M_P^2} \frac{dF}{d\eta}$$

Casimir energy and radiation energy constant

$$F(\eta) = \sum_{\omega} \ln \left(1 \mp e^{-\omega\eta} \right)$$

$$\frac{dF}{d\eta} = \sum_{\omega} \frac{\omega}{e^{\omega\eta} \pm 1}$$

free energy and energy of CFT particles – sum over field oscillators with frequencies ω on S^3

$$\eta = \int_{S^1} \frac{d\tau N}{a}$$

Inverse temperature in units of conformal time period on S^1

$$B = \frac{\beta}{8\pi^2 M_P^2}$$

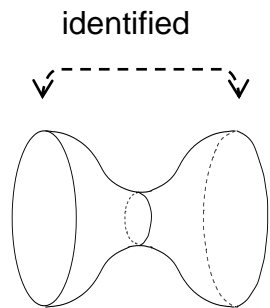
-- coefficient of the Gauss-Bonnet term in the conformal anomaly

FRW ansatz, conformal map onto static Einstein universe, recovery of the action from the conformal anomaly and RTF-AMM nonlocal action, **solution of effective equations of motion**



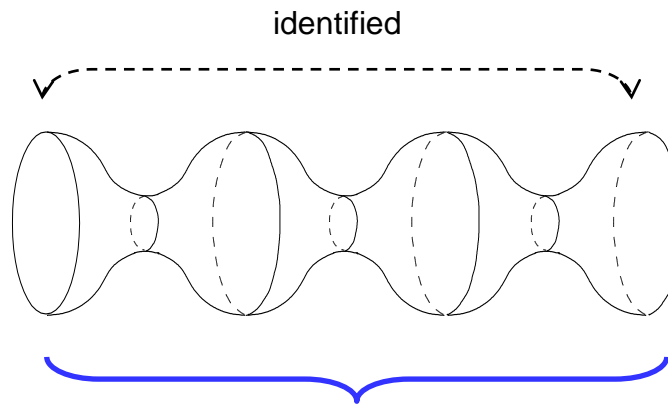
A.B. & A.Kamenshchik,
JCAP, 09, 014 (2006)
Phys. Rev. D74,
121502 (2006)

Saddle point solutions --- set of periodic (thermal) garland-type instantons with oscillating scale factor ($S^1 \times S^3$) and the vacuum Hartle-Hawking instantons (S^4)

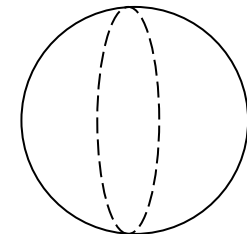


1- fold, $k=1$

,

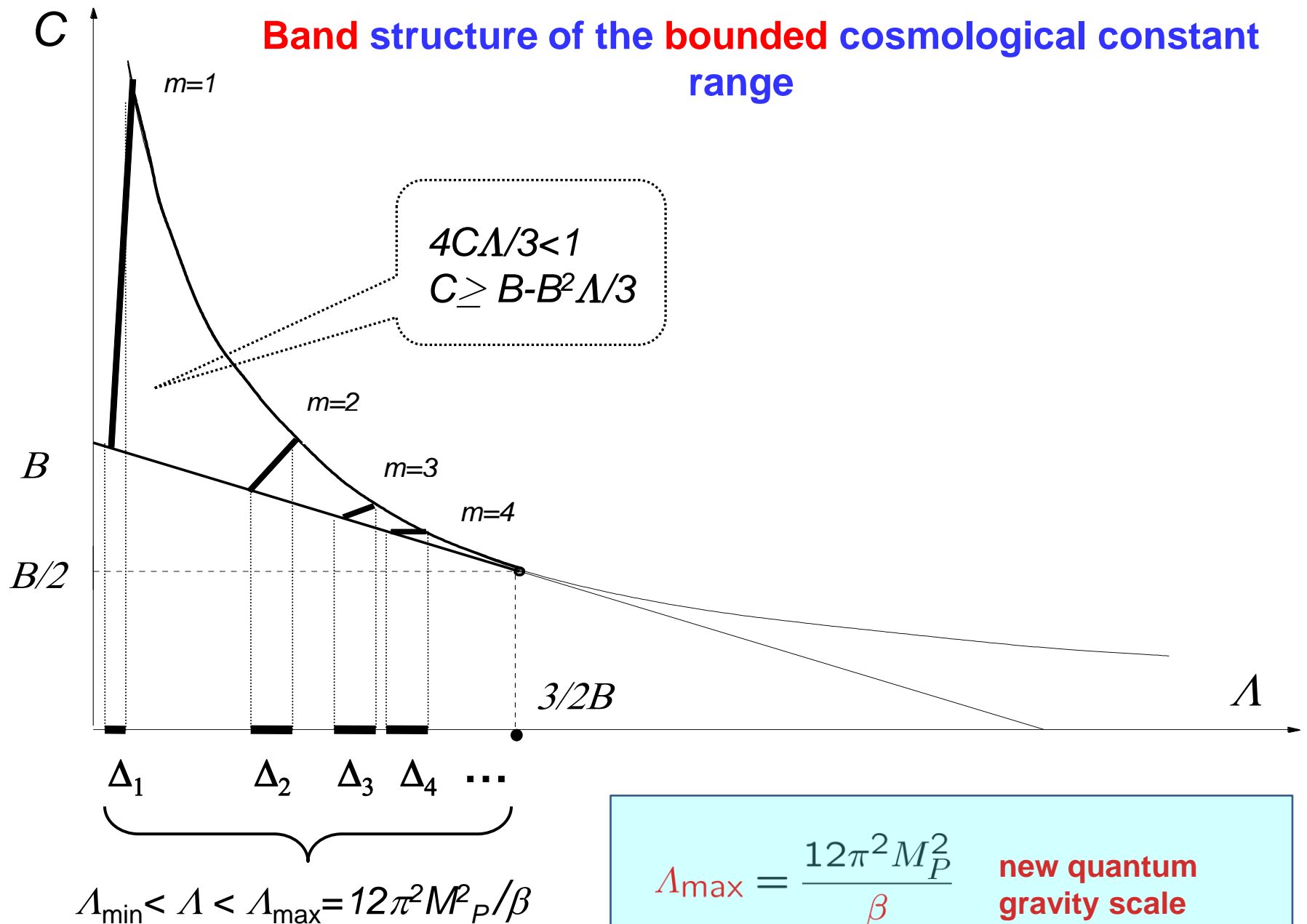


k - folded garland, $k=1,2,3,\dots$



S^4

Band structure of the **bounded** cosmological constant range

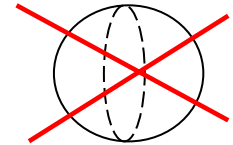


1) Limited range of Λ – subplanckian domain (limiting the string vacua landscape?):

$$\Lambda_{\min} \leq \Lambda \leq \Lambda_{\max} = \frac{12\pi^2 M_P^2}{\beta}$$

$$\begin{aligned} g_{\mu\nu} \frac{\delta \Gamma}{\delta g_{\mu\nu}} \\ = \frac{1}{4(4\pi)^2} g^{1/2} (\alpha \square R + \beta E + \gamma C_{\mu\nu\alpha\beta}^2) \end{aligned}$$

2) No-boundary instantons S^4 are ruled out by **infinite positive** Euclidean action – elimination of infrared catastrophe



3) Generalization to inflationary model, $\Lambda \rightarrow V(\phi)$ – selection of inflaton potential $V(\phi)$ **maxima** (new type of hill-top inflation) – quantum origin of the Starobinsky model and Higgs inflation model at $V(\phi) \sim \Lambda_{\max}$. Employs **the mechanism of hill shape** inflaton potential!

4) Thermal corrections to primordial power

$$\zeta_{n_s}(k) = n_s^{\text{vac}}(k) + \Delta n_s^{\text{thermal}}(k) \quad \text{additional red tilt of the CMB spectrum}$$

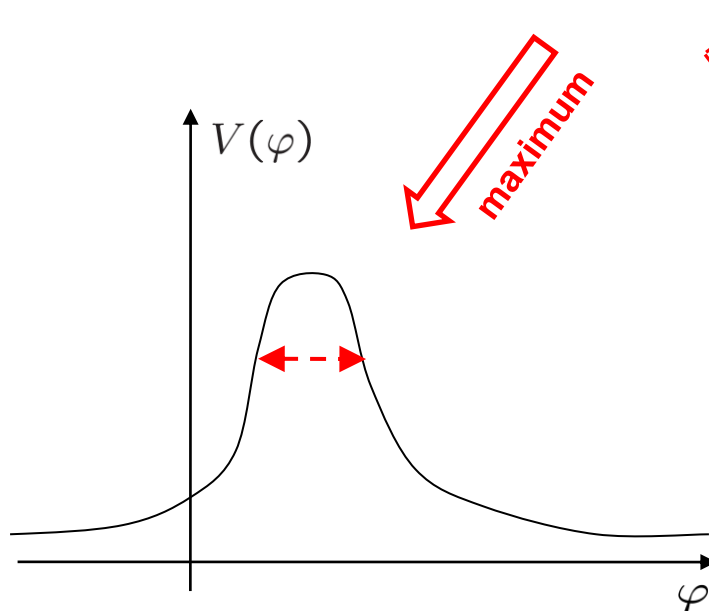
5) Hidden sector of conformal higher spin fields (CHS): solution of the hierarchy problem and stabilization of the theory against the inclusion of graviton loop corrections

Selection of inflaton potential *maxima* as initial conditions for inflation

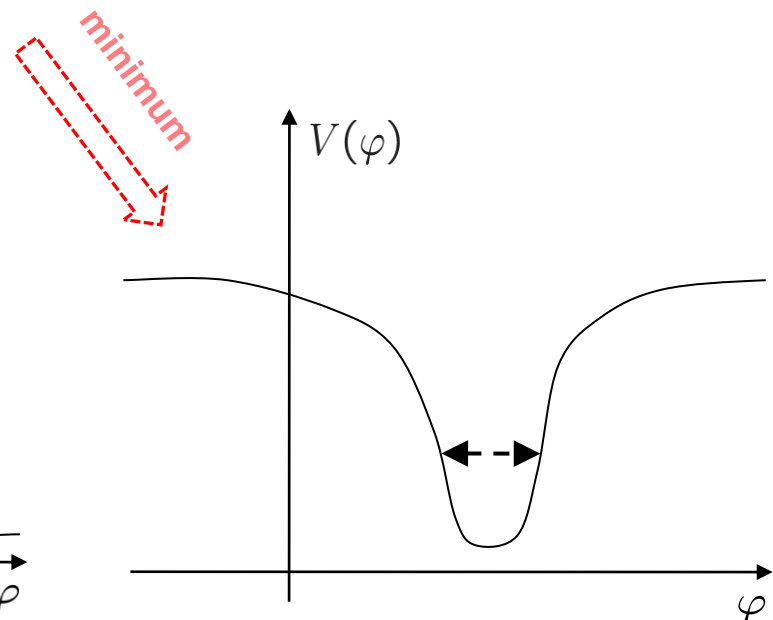
-- long standing problem of the no-boundary state

Critical point: $\frac{d}{d\tau} a^3 \dot{\phi} = a^3 \frac{\partial V}{\partial \phi} \Rightarrow \oint d\tau a^3 \frac{\partial V}{\partial \phi} = 0 \Rightarrow$

$$\frac{\partial V}{\partial \phi} \gtrless 0 \quad \text{Potential extremum "inside" instanton}$$

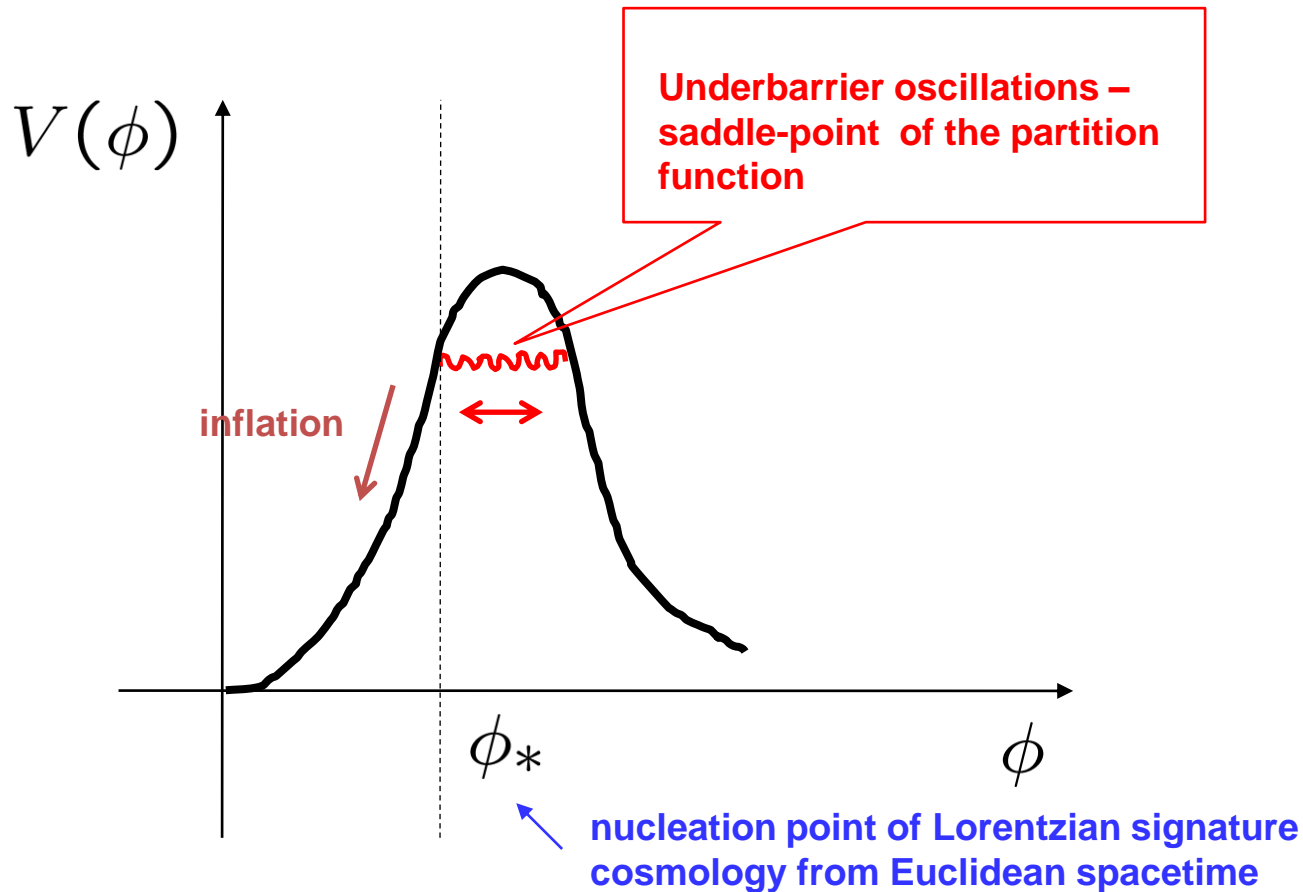


classically forbidden
(underbarrier)
oscillation



classically allowed (overbarrier)
oscillation --- ruled out because of
underbarrier oscillations of scale
factor

Hill-top inflation



Approximation of two coupled oscillators → slow roll parameters of inflation
→ parameters of the observable CMB characteristic of the Higgs inflation or Starobinsky models

Hierarchy problem

Starobinsky R^2 -model and non-minimal Higgs inflation model at $V(\phi) \sim \Lambda_{max}$

$$10^{-11} M_P^4 \simeq V_{inflation} \sim \Lambda_{max} = \frac{12\pi^2}{\beta} M_P^4 \Rightarrow \boxed{\beta \simeq 10^{13}}$$

Impossible in Standard model with low spins

$s=0, 1/2, 1$ and $N_s \sim 100$

$$\beta = \frac{1}{180} (N_0 + 11N_{1/2} + 62N_1)$$

E -coefficient of total conformal anomaly

Hidden sector of CHS fields: recent progress in HS field theory (Vasiliev) and CHS theory (Klebanov, Giombi, Tseytlin, etc) **arXiv:1309.0785 – a-anomalies and #'s of polarizations**

$$S_{CHS} = \int d^4x \left(h^{\mu_1 \dots \mu_s} \square^s h_{\mu_1 \dots \mu_s} + , , , \right)$$

Vasiliev 1990, 1992, 2003

$$\beta_s = \frac{1}{360} \nu_s^2 (3 + 14\nu_s), \quad \nu_s = s(s+1), \quad s = 1, 2, 3, \dots$$

$$\beta_s = \frac{1}{720} \nu_s (12 + 45\nu_s + 14\nu_s^2), \quad \nu_s = -2 \left(s + \frac{1}{2} \right)^2, \quad s = \frac{1}{2}, \frac{3}{2}, \frac{5}{2}, \dots$$

Giombi, Klebanov, Pufu, Safdi, and Tarnopolsky 2013; Tseytlin 2013

A hidden sector of CHS fields up to $S \sim 100$ and # of polarizations $\sim 10^6$ solves the hierarchy problem

BUT !

Stability of quantum corrections and gravitational cutoff

Our inflation scale

Gravitational cutoff for $N \gg 1$ quantum species (from smallness of **graviton** loops)

$$\Lambda_I = \frac{M_P}{\sqrt{\beta}} \ll M_P \quad \Leftrightarrow \quad \Lambda_{\text{cutoff}} = \frac{M_P}{\sqrt{N}}$$

Veneziano (2002); G.Dvali et al (2002);
G.Dvali and M.Redl (2008); G.Dvali (2010)

Critical feature of CHS fields providing smallness of graviton loop effects relative to quantum matter loops

Justification of a special approximation scheme:
EFT for the nonrenormalizable graviton sector
and nonperturbative CHS matter sector

$$\beta_s \sim s^6 \gg N = \nu_s \sim s^2$$



$$\Lambda_I \ll \Lambda_{\text{cutoff}}$$

Conclusions

Effective action , effective equations and path integral in very early inflationary Universe and quantum cosmology

Unification of EW and cosmological energy scales (Higgs mass vs CMB data)

Microcanonical density matrix of the Universe – Sum over Everything

Application to the CFT driven cosmology with a large # of quantum species – a limited range of Λ -- elimination of IR dangerous no-boundary states

New initial conditions paradigm –hill-top inflation, mechanism of hill-shape potential, thermally corrected CMB spectrum -- cool Universe

Solution of hierarchy problem via CHS fields, stabilization of quantum corrections below the gravitational cutoff – origin of the Universe is the “low energy” (subplanckian) phenomenon

SOME LIKE IT HOT



SOME LIKE IT COOL