# **Neutrino physics.**

Theory.

(... in lecture 1 a promise, in lecture 2 a threat ...)

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#### **Neutrino physics?!**



#### Contents

- Introduction
- Neutrino oscillations:
  - Theory of neutrino oscillations
  - Current knowledge
  - The measurement of  $\delta_{\text{CP}}$
  - Matter effects in neutrino oscillations
  - Neutrino tomography?
- > Theory of neutrino masses and mixings
- > Cosmic neutrinos:
  - Particle physics of cosmic neutrino sources
  - Fundamental physics tests with cosmic neutrinos

#### Lecture 1

Particle phenomenology

#### **@Mainz: Joachim Kopp**

Lecture 2

Particle theory

@Mainz (for effective field theory): Matthias Neubert

Astroparticle physics

#### **@Mainz: Lutz Köpke**



#### What are neutrinos?

> Ordinary matter consists of protons, neutrons, and electrons



> But that's not all. There are many other particles ...

For instance, for each of the above, there are about **1.000.000.000** (1 billion) neutrinos in the universe = almost massless particles without electric charge



#### Where do the neutrinos come from?





#### How many neutrinos are there?



- So, why don't we care?
- Neutrinos interact extremely weakly
- Neutrinos escape even from very dense environments (e.g. stars, nuclear reactor, ...)
- Neutrinos can be used as messengers!





#### Who "invented" the neutrino?

From energy and momentum conservation, we have for the decay into N particles:

- N=2: have particular, discrete energies
- N>2: have continuous spectra







#### Wolfgang Pauli

Offener Brief an die Gruppe der Madicaktiven bei der Genvereinz-Tegung zu Tübingen.

#### Absobrift

Physikelisches Institut der Eidg. Technischen Hochschule Zürich

Zirich, 4. Des. 1930 Cioriastrasse

Liebe Radioaktive Damen und Herren,

Wie der Veberbringer dieser Zeilen, den ich huldvollet ansuhören bitte, Ihnen des näheren auseinendersetten wird, bin ich angesichts der "felschen" Statistik der N- und Li-6 Kerne, sowie des kontinuisrlichen bete-Spektrums auf einen versweifelten Ausweg verfallen um den "Wecheelsets" (1) der Statistik und den Energiesats su retten. Mämlich die Mäglichkeit, es könnten elektrisch neutrale Telloben, die ich Neutronen nennen will, in den Lernen existieren, welche den Spin 1/2 heben und das Ausschliessungsprinzip befolgen und ale von Lichtquanten musserden noch dadurch unterscheiden, dass sie ht mit Lichtgeschwindigkeit laufen. Die Hasse der Neutrenen mete von derselben Grossenordnung wie die Elektronenwasse sein und constalls might grosser als 0.01 Protonermasses - Das kontinuierliche beha- Spektrum wäre dann varständlich unter der Annahme, dass beim beta-Zerfell ait dem blektron jeweils noch ein Mentron emittiert wird, derart, dass die Summe der Energien von Mentron und Miektron konstant ist.



#### What masses do the neutrinos have?



(KATRIN)



#### How to observe the neutrino?

- > Extremely difficult to catch the neutrinos
- > Build huge detectors (O(1000 t)), often deep underground (background reduction!)





#### Nobel prize 2015: Neutrino oscillations

## 2015 NOBEL PRIZE IN PHYSICS Takaaki Kajita Arthur B. McDonald

"For the greatest benefit to mankind" Alfred Nobel





Ill: N. Elmehed. © Nobel Media 2015

## 2015 Nobel Prize in Physics

The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald "for the discovery of neutrino oscillations, which shows that neutrinos have mass".

\* Read more about the prize



Bustration: © Johan Jamestad/The Royal Swedish Academy of Science

#### They Solved the Neutrino Puzzle

Takaaki Kajita and Arthur B. McDonald solved the neutrino puzzle and opened a new realm in particle physics. They were key scientists of two large research groups, Super-Kamiokande and Sudbury Neutrino Observatory, which discovered the neutrinos mid-flight metamorphosis.

\* Read more (pdf)



#### "I Gave My Wife a Hug!"

"It's ironic, in order to observe the sun you have to go kilometers under ground. That's not what you would expect." An interview with Arthur B. McDonald, awarded the 2015 Nobel Prize in Physics.

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(<u>http://www.nobelprize.org</u>, Oct. 6th, 2015)

#### The mystery of the missing neutrinos



- Raymond Davis Jr. (Nobel Prize 2002) found fewer solar neutrinos than predicted by theory (John **Bahcall**)
- Do the neutrinos disappear? Or was the theory wrong? Discrepany over 30 years (1960s to 90s)



Neutrino spectra



#### **Neutrinos from the atmosphere**





- The rate of neutrinos should be the same from below and above
- > But: About 50% missing from below
- Neutrino change their flavor on the path from production to detection: Neutrino oscillations
- > Neutrinos are massive!

(Super-Kamiokande: "Evidence for oscillations of atmospheric neutrinos", 1998)





Atmospheric neutrino source

#### **Resolving the solar neutrino puzzle**

Final test of solar neutrino problem: measure neutral current interactions, sensitive to all flavors (2002)

$$v_e^{+2}H \rightarrow e^- + p + p \quad (CC)$$

$$v_x^{+2}H \rightarrow v_x^{-} + p + n \quad (NC)$$

$$v_x^{-} + e^- \rightarrow v_x^{-} + e^- \quad (ES)$$

- The rate matches the Standard Solar Model
- Neutrinos change flavor in the Sun

(SNO, McDonald Nobel prize 2015)





### Introduction to neutrino oscillations



### **Neutrino production/detection**

> Neutrinos are only produced and detected by the weak interaction:



> The dilemma: One cannot assign a mass to the flavor states  $v_e, v_\mu, v_\tau!$ 



#### Which mass do the neutrinos have?

- There is a set of neutrinos v<sub>1</sub>, v<sub>2</sub>, v<sub>3</sub>, for which a mass can be assigned.
- > Mixture of flavor states:





- Not unusual, know from the Standard Model for quarks
- > However, the mixings of the neutrinos are much larger!



sin<sup>2</sup>2θ<sub>13</sub>=0.1, δ=π/2

 $|\nu_i\rangle$ 

### **Neutrino oscillation probability**

Standard derivation N active, S sterile (not weakly interacting) flavors

Mixing of flavor states

$$|\nu_{\alpha}\rangle = \sum_{k=1}^{N+S} U_{\alpha k}^{*} |\nu_{k}\rangle$$

Time evolution of mass state

$$|\nu_k(t)\rangle = \exp(-iE_kt) |\nu_k\rangle$$

> Transition amplitude

$$A_{\nu_{\alpha}\to\nu_{\beta}} \equiv A_{\alpha\beta} = \langle \nu_{\beta} | \nu_{\alpha}(t) \rangle = \sum_{k=1}^{N+S} U_{\alpha k}^{*} U_{\beta k} \exp(-iE_{k}t)$$

> Transition probability  

$$P_{\alpha\beta} = A^*_{\alpha\beta}A_{\alpha\beta} = \sum_{k,j=1}^{N+S} \underbrace{U^*_{\alpha k}U_{\beta k}U_{\alpha j}U^*_{\beta j}}_{\equiv J^{\alpha\beta}_{kj}} \exp\left(-i(E_k - E_j)t\right)$$

$$\stackrel{=J^{\alpha\beta}_{kj}}{= J^{\alpha\beta}_{kj}}$$
"quartic re-phasing invariant"

#### **Further simplifications**

> Ultrarelativistic approximations:

$$E_k = \sqrt{\vec{p}^2 + m_k^2} \simeq E + \frac{m_k^2}{2E}, \quad t \simeq L$$

L: baseline (distance source-detector)

> Plus some manipulations: "Master formula"

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{k>j} \operatorname{Re} J_{kj}^{\alpha\beta} \sin^2 \left(\frac{\Delta m_{kj}^2 L}{4 E}\right) + 2 \sum_{k>j} \operatorname{Im} J_{kj}^{\alpha\beta} \sin \left(\frac{\Delta m_{kj}^2 L}{2 E}\right)$$

$$\underbrace{\operatorname{CP \ conserving}} CP \ \operatorname{violating} CP \ \operatorname{violating$$

$$\Delta m_{kj}^2 \equiv m_k^2 - m_j^2$$
 "mass squared difference"  
F(L,E)=L/E "spectral dependence"

> For antineutrinos:  $U \Rightarrow U^*$ 



#### Only two parameters: >

$$U = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix}$$

Lower limit for neutrino mass!

$$\Delta m_{21}^2 \equiv m_2^2 - m_1^2$$





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### **Three flavors: Mixings**

> Use same parameterization as for CKM matrix



Pontecorvo-Maki-Nakagawa-Sakata matrix

- > Neutrinos ⇒ Anti-neutrinos: U ⇒ U\* (neutrino oscillations)
- If neutrinos are their own anti-particles (Majorana neutrinos):
   U ⇒ U diag(1,e<sup>iα</sup>,e<sup>iβ</sup>) do enter 0vββ, but not neutrino oscillations



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> Two independent mass squared splittings, typically  $\Delta m^2_{21}$  (solar)  $\Delta m^2_{31}$  (atmospheric)

Will be relevant for neutrino oscillations!

- > The third is given by  $\Delta m^2_{32} = \Delta m^2_{31} \Delta m^2_{21}$
- The (atmospheric) mass ordering (hierarchy) is unknown (normal or inverted)
- The absolute neutrino mass scale is unknown (< eV)</p>





## **Current knowledge of neutrino oscillations**



#### **Three flavors: Simplified**

> What we know (qualitatively):

Hierarchy of mass splittings

$$\Delta m_{21}^2 \ll |\Delta m_{31}^2| \simeq |\Delta m_{32}^2|$$

 Two mixing angles large, one (θ<sub>13</sub>) small ~ 0?

$$U_{\mathsf{PMNS}}^{\theta_{13}\to0} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} c_{23} & c_{12} c_{23} & s_{23} \\ s_{12} s_{23} & -c_{12} s_{23} & c_{23} \end{pmatrix}$$

From the "master formula", we have

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \left( J_{31}^{\alpha\beta} + J_{32}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 J_{21}^{\alpha\beta} \sin^2 \Delta_{21}$$
$$J_{kj}^{\alpha\beta} = U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \qquad \Delta_{ij} \equiv \Delta m_{ij}^2 L/(4E)$$



$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \left( J_{31}^{\alpha\beta} + J_{32}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 J_{21}^{\alpha\beta} \sin^2 \Delta_{21}$$
$$\Delta_{ij} \equiv \Delta m_{ij}^2 L/(4E)$$

Two flavor limits by selection of frequency:

• Atmospheric frequency:  $\Delta_{31} \sim \pi/2 \implies \Delta_{21} \ll 1$ 

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \left( J_{31}^{\alpha\beta} + J_{32}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left( J_{21}^{\alpha\beta} + J_{22}^{\alpha\beta} \right) \sin^2 \Delta_{31} - 4 \left($$

• Solar frequency:  $\Delta_{21} \sim \pi/2 \quad \Rightarrow \quad \Delta_{31} >> 1$ 

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \left( J_{31}^{\alpha\beta} + J_{32}^{\alpha\beta} \right) \underbrace{\sin^2 \Delta_{31}}_{\text{averages}} - 4 J_{21}^{\alpha\beta} \sin^2 \Delta_{21}$$
  
Select sensitive term by choice of L/E! 0.5



#### **Atmospheric neutrinos**



From 
$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \left( J_{31}^{\alpha\beta} + J_{32}^{\alpha\beta} \right) \sin^2 \Delta_{31}$$
  
and  $\theta_{13}$  small we have:  $P_{ee} \sim 1$ ,  $P_{e\mu} \sim P_{\mu e} \sim 0$  and

$$= \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} c_{23} & c_{12} c_{23} & s_{23} \\ s_{12} s_{23} & -c_{12} s_{23} & c_{23} \end{pmatrix}$$
$$J_{kj}^{\alpha\beta} = U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^*$$

$$P_{\mu\mu} \simeq 1 - \sin^2(2\theta_{23}) \sin^2 \Delta_{31}$$

 $\Rightarrow$  Two flavor limit with particular parameters  $\theta_{23}$ ,  $\Delta m^2_{31}$ 



#### **Man-made neutrino sources**

There are three possibilities to artificially produce neutrinos

Beta decay:







#### **Reactor neutrinos**

> In the presence of  $\theta_{13}$  and solar effects:





#### **Reactor neutrinos: Solar frequency**





KamLAND

Detection by inverse beta decay  $\bar{\nu}_e$ 



$$P_{\bar{e}\bar{e}} \simeq 1 - \sin^2(2\theta_{12})\sin^2\Delta_{21}$$

Two flavor (small  $\theta_{\rm 13}$ ) limit with a different set of parameters:  $\theta_{\rm 12}, \Delta m^2_{21}$ 



### **Spin-off: Nuclear monitoring?**

- Idea: The event rate N close to the reactor is high, N ~ 1/R<sup>2</sup>
  - A few thousand events/day for "small" detector ~ 25 m away from reactor core
- Target precision: ~ O(10) kg for extraction of radioactive material





## **Spin-off: Neutrino geochemistry**

Neutrinos from <sup>238</sup>U and <sup>232</sup>Th decays are above the inverse beta decay detection thresholds of experiments such as KamLAND or Borexino



(figure from Borexino, Phys. Rev. D92 (2015) 031101; see also Nature 436 (2005) 495)



So far, consistent with expectations; higher precision needed for conclusions about chondritic model and age of the earth



#### **Neutrino beams**



Examples: NuMI beam (MINOS, NOvA), CNGS beam (OPERA, ICARUS), J-PARC beam (T2K)



#### **Neutrino beam experiment: Example MINOS**

Running experiment in the US for the precision measurement of atmospheric parameters

$$P_{\mu\mu} \simeq 1 - \sin^2(2\theta_{23}) \sin^2 \Delta_{31}$$

#### **Source: MINOS**





#### **Three flavors: Summary**

> Three flavors: 6 params (3 angles, one phase;  $2 \times \Delta m^2$ )



Describes solar and atmospheric neutrino anomalies, as well as reactor antineutrino disappearance!



### **Precision of parameters?**

	bfp $\pm 1\sigma$	$3\sigma$ range		Nul	-IT 1.2 (2013)
$\sin^2 \theta_{12}$	$0.306^{+0.012}_{-0.012}$	$0.271 \rightarrow 0.346$			
$ heta_{12}/^{\circ}$	$33.57^{+0.77}_{-0.75}$	$31.38 \rightarrow 36.01$		± 2%	
$\sin^2 \theta_{23}$	$0.446^{+0.007}_{-0.007} \oplus 0.587^{+0.032}_{-0.037}$	$0.366 \rightarrow 0.663$			
$ heta_{23}/^{\circ}$	$41.9^{+0.4}_{-0.4} \oplus 50.0^{+1.9}_{-2.2}$	$37.2 \rightarrow 54.5$		± 4%	(or better)
$\sin^2 \theta_{13}$	$0.0229^{+0.0020}_{-0.0019}$	$0.0170 \rightarrow 0.0288$			
$\theta_{13}/^{\circ}$	$8.71_{-0.38}^{+0.37}$	$7.50 \rightarrow 9.78$		± 4%	
$\delta_{ m CP}/^{\circ}$	$265^{+56}_{-61}$	$0 \rightarrow 360$			
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.45_{-0.16}^{+0.19}$	$6.98 \rightarrow 8.05$		± 3%	
$\frac{\Delta m_{31}^2}{10^{-3} \text{ eV}^2} \text{ (N)}$	$+2.417^{+0.013}_{-0.013}$	$+2.247 \rightarrow +2.623$		+ 3%	
$\frac{\Delta m_{32}^2}{10^{-3} \text{ eV}^2} \text{ (I)}$	$-2.410^{+0.062}_{-0.062}$	$-2.602 \rightarrow -2.226$		± 070	-
	•				
Open issues: - Degeneracies (mass ordering, octant) - CP phase			Age of the precision flavor physics of the lepton sector		



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Gonzalez-Garcia, Maltoni, Salvado, Schwetz, JHEP 1212 (2012) 123

### The future: measurement of $\delta_{\text{CP}}$


#### What is CP violation?

- C stands for "Charge conjugation"
- > P stands for "Parity"
- "CP" corresponds to particle anti-particle interchange
- > Do particles and anti-particles behave the same?
- > Why is "C" (charge conjugation) not sufficient?
- Peculiarity of the Standard Model: couplings to left-handed particles and right-handed anti-particles (V-A interactions)
- Need to flip parity as well to go from left-handed particle to right-handed anti-particle



#### Why would one care about CP violation?

- Baryogenesis = dynamical mechanism to create the matter-anti-matter asymmetry in the early universe from a symmetric state
- > Three necessary conditions (Sakharov conditions):
  - 1) B violation (need to violate baryon number) Need to create net baryon number
  - 2) Out-of-equilibrium processes Otherwise any created asymmetry will be washed out again
  - 3) C and CP violation Particles and anti-particles need to "behave" differently Critical: the Standard Model does not have enough CP violation for that! Requires physics beyond the Standard Model (BSM)
- There are many theories for baryogenesis, e.g. electroweak baryogenesis, thermal leptogenesis, GUT baryogenesis etc
- > Addendum to 1): Can also come from lepton sector (sphalerons!)



## **Related question: Why is the neutrino mass so small?**

Why are the neutrinos more than 250.000 times lighter than the electron?



Cannot be described in simple extensions of the Standard Model

Seesaw mechanism: Neutrino mass suppressed by heavy partner, which only exists in the early universe (GUT seesaw)?

$$m_{\nu} = \frac{m_D^2}{M_R} \leftarrow \frac{\text{Other SM particles}}{\text{Heavy partner}}$$

- CP violation? Test in neutrino oscillations!
- Requires Majorana nature of neutrino! Test in neutrinoless double beta decay (0vββ)





## **0** $\nu$ ββ: Is the neutrino its own anti-particle?

> Two times simple beta decay:



#### **Necessary conditions for the observation of CP violation** (neutrino oscillations)

> Since

$$\left\langle \sin\left(\frac{\Delta m_{kj}^2 L}{2E}\right) \right\rangle_{L/E} = 0$$

⇒ need spectral info!

> Since for  $\alpha = \beta$ 

$$J_{kj}^{\alpha\alpha} = |U_{\alpha k}|^2 |U_{\alpha j}|^2$$

⇒ need to observe flavor transitions

Need (at least) three flavors (actually conclusion in quark sector by

Kobayashi, Maskawa, Nobel Prize 2008)

⇒ No CP violation in two flavor subspaces!

⇒ Need to be sensitive to (at least) two mass squared splittings at the same time!





## **Neutrino oscillations with three flavor effects**

$$P_{e\mu} \simeq \sin^{2} 2\theta_{13} \frac{\sin^{2} \theta_{23}}{\sin^{2} \theta_{23}} \frac{\sin^{2}[(1 \pm \hat{A})\Delta]}{(1 \pm \hat{A})^{2}} \qquad \alpha \equiv \frac{\Delta m_{21}^{2}}{\Delta m_{31}^{2}}, \Delta \equiv \frac{\Delta m_{31}^{2}L}{4E}, \hat{A} \equiv \frac{2\sqrt{2}G_{FneE}}{\Delta m_{31}^{2}}$$

$$\equiv \alpha \sin 2\theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin \delta_{CP} \sin(\Delta) \frac{\sin(\hat{A}\Delta) \sin[(1 \pm \hat{A})\Delta]}{\hat{A}} \frac{(1 \pm \hat{A})\Delta]}{(1 \pm \hat{A})}$$

$$\mp \alpha \sin 2\theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \cos \delta_{CP} \cos(\Delta) \frac{\sin(\hat{A}\Delta) \sin[(1 \pm \hat{A})\Delta]}{\hat{A}} \frac{(1 \pm \hat{A})\Delta]}{(1 \pm \hat{A})}$$

$$+ \alpha^{2} \frac{\sin^{2} \theta_{23}}{\cos^{2} \theta_{23}} \sin^{2} 2\theta_{12} \frac{\sin^{2}(\hat{A}\Delta)}{\hat{A}^{2}}$$

$$\geq \text{Antineutrinos:} \quad P_{e\mu} = P_{e\mu} (\delta_{CP}, \rightarrow -\delta_{CP}, \hat{A} \rightarrow -\hat{A})$$

$$\geq \text{Silver:} \quad P_{e\tau} = P_{e\mu} (s_{23}^{2} \leftrightarrow c_{23}^{2}, \sin 2\theta_{23} \rightarrow -\sin 2\theta_{23})$$

$$\geq \text{Platinum, T-inv.:} \quad P_{\mu e} = P_{e\mu} (\delta_{CP}, \rightarrow -\delta_{CP})$$

(Cervera et al. 2000; Freund, Huber, Lindner, 2000; Akhmedov et al, 2004)



#### Measurement of CP violation in the laboratory: DUNE Deep Underground Neutrino Experiment



Bob Wilson @ Neutrino 2014

> Particle Physics Project Prioritization Panel (P5) in the US; Report May '14

- The Science Drivers:
  - Use the Higgs boson as a new tool for discovery
  - Pursue the physics associated with neutrino mass
  - Identify the new physics of dark matter
  - Understand cosmic acceleration: dark energy and inflation
  - Explore the unknown: new particles, interactions, and physical principles

Recommendation 13: Form a new international collaboration to design and execute a highly capable Long-Baseline Neutrino Facility (LBNF) hosted by the U.S. To proceed, a project plan and identified resources must exist to meet the minimum requirements in the text. LBNF is the highest-priority large project in its timeframe.





## Matter effects in neutrino oscillations

... and the neutrino mass ordering



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#### Only two parameters: >

$$U = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix}$$

#### Lower limit for neutrino mass!

$$\Delta m_{21}^2 \equiv m_2^2 - m_1^2$$



#### Matter effects in neutrino oscillations

- Ordinary matter:
   electrons, but no μ, τ
- Coherent forward scattering in matter: Net effect on electron flavor
- Hamiltonian in matter (matrix form, flavor space):







$$\mathcal{H}(n_e) = U \begin{pmatrix} 0 & 0 & 0 \\ 0 & \frac{\Delta m_{21}^2}{2E} & 0 \\ 0 & 0 & \frac{\Delta m_{31}^2}{2E} \end{pmatrix} U^{\dagger} + \begin{pmatrix} V(n_e) & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$V_{\nu} = +\sqrt{2}G_F n_e, \ V_{\overline{\nu}} = -\sqrt{2}G_F n_e, \ n_e = Y \rho_j / m_N$$

$$V_{\nu} = V_{\nu} = V_{\nu} + \sqrt{2}G_F n_e, \ V_{\nu} = V_{\nu} = V_{\nu} + \sqrt{2}G_F n_e, \ n_e = V_{\nu} + \sqrt{2}G_F n_e$$







#### **Extrinsic CP violation**

- > Matter effects violate CP and even CPT "extrinsically"
- Consequence: Obscure extraction of intrinsic CP violation







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#### Matter profile of the Earth ... as seen by a neutrino



12 (Preliminary 10  $\rho$  [g/cm<sup>3</sup>] 8 Reference 0 0 1000 2000 3000 4000 5000 6000 7000 x [km] L=11500 km 14 12 **Earth Model**) 10 ρ [g/cm<sup>3</sup>] Core 0 2000 10000 4000 6000 8000 0 x [km] For  $v_{\mu}$  appearance,  $\Delta m_{31}^2$ : -  $\rho$  ~ 4.7 g/cm<sup>3</sup> (Earth's mantle): E<sub>res</sub> ~ 6.4 GeV -  $\rho$  ~ 10.8 g/cm<sup>3</sup> (Earth's outer core): E<sub>res</sub> ~ 2.8 GeV

L=7200 km

Resonance energy (from  $\hat{A} \to \cos 2\theta$  ):  $E_{\text{res}} [\text{GeV}] \sim 13200 \cos 2\theta \frac{\Delta m^2 [\text{eV}^2]}{\rho [\text{g/cm}^3]}$ 



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### **Mantle-core-mantle profile**

(Parametric enhancement: Akhmedov, 1998; Akhmedov, Lipari, Smirnov, 1998; Petcov, 1998)

Probability for L=11810 km



## **Emerging technologies: Atmospheric** vs

- Example: PINGU ("Precision IceCube Next Generation Upgrade")
- > 40 additional strings, 60 optical modules each
- Lower threshold, few Mtons at a few GeV
- > ORCA, INO: similar methods







## Earth tomography with atmospheric neutrinos

- > Re-call that  $n_e = Y \rho/m_N$
- Measure matter density times composition (Y=Z/A) using neutrino oscillations in matter
- Directional resolution potentially good enough in mantle
- Need self-consistent simulation, including systematics, oscillation parameters and matter densities to make this credible



## **Impressions from Neutrino 2016**



## Neutrino oscillations with varying profiles, numerically

Evolution operator method:

$$\mathcal{V}(x_j, n_j) = e^{-i\mathcal{H}(n_j)x_j}$$

H(n<sub>j</sub>): Hamilton operator in constant electron density n<sub>i</sub>



- > Matter density from  $n_j = Y \rho_j/m_N$ , Y: electrons per nucleon (~0.5)
- > Probability:  $P_{\alpha\beta} = \left| \langle \nu_{\beta} | \mathcal{V}(x_m, n_m) ... \mathcal{V}(x_1, n_1) | \nu_{\alpha} \rangle \right|^2$
- NB: There is additional information through interference compared to absorption tomography because

$$[\mathcal{V}(x_i, n_i), \mathcal{V}(x_j, n_j)] \neq 0 \text{ für } n_i \neq n_j$$



## Matter profile inversion problem



Some approaches for direct inversion:

- Simple models, such as one zone (cavity) with density contrast (Nicolaidis, 1988; Ohlsson, Winter, 2002; Arguelles, Bustamante, Gago, 2015)
- Linearization for low densities (Akhmedov, Tortola, Valle, 2005)
- Discretization with many (N) parameters:

Use non-deterministic methods to reconstruct these parameters

(e. g. genetic algorithm in Ohlsson, Winter, 2001)



#### Example: structural resolution with a single baseline (11750 km)



#### ... back to tomography using atmospheric neutrinos



WW, special issue "Neutrino Oscillations: Celebrating the Nobel Prize in Physics 2015", Nucl. Phys. B908, 2016, 250; Review on neutrino tomography: WW, Earth Moon Planets 99 (2006) 285



## Theory of neutrino masses and mixings

What it is:

- The unadorned truth about neutrinos and physics BSM
- A generic view, supported by a biased selection of models (always if you let a theorist talk about that topic ...)

What it is *not*:

- A comprehensive review or coverage of topics
- An homage to flavor models



## Are massive neutrinos physics beyond the Standard Model?

- Neutrinos in the Standard Model are massless
- > So what?

Introduce right-handed neutrino field  $v^c$ , Yukawa interaction ~ Y I H  $v^c$ forget about fine-tuning (Y)



# Problem fixed!!!!!?



Masses are in MeV



## **Caveat: Neutrinos are electrically neutral ...**

- Reminder from "model building 101", rule 1: If I introduce new fields, I have to write down all possible interactions allowed by the gauge symmetries given the field content
- > I can write a Majorana mass term ~  $M_R v^c v^c$  with the new field  $v^c$  because the neutrino is electrically neutral
- > Violates lepton number by two units
- > [FAQ: Why not write a light Majorana mass term  $m_L v v$  directly?]
- Problem solution (1): get rid off this Majorana mass term
- Reminder from "model building 101", rule 2: If I want to forbid some interactions, I introduce/invent a (new) discrete symmetry and charge the fields under it
- Here we have such a symmetry already: lepton number is accidentally conserved in the Standard Model
- Promote lepton number from an accidental to a fundamental symmetry
- > Physics beyond the Standard Model Walter Winter | Symmetry breaking | Sept. 2016 | Page 61



#### What if there is a Majorana mass term?

- Problem solution (2): Accept that there is such a mass term
- Lepton number violation, clearly physics beyond the Standard Model
- Lagrangian for fermion masses after EWSB

$$\mathcal{L}_{\text{mass}} = -(M_{\ell})_{ij} e_i e_j^c - (M_D)_{ij} \nu_i \nu_j^c - \frac{1}{2} (M_R)_{ij} \nu_i^c \nu_j^c + h.c.$$

$$\mathcal{L}_{\text{mass}} \sim (\nu \ \nu^c) \begin{pmatrix} M_D \\ M_D^T & M_R \end{pmatrix} \begin{pmatrix} \nu \\ \nu^c \end{pmatrix}$$
Block diag. 
$$M_{\text{eff}}^{\text{Maj}} = -M_D M_R^{-1} M_D^T$$

Fixes another problem: smallness of neutrino mass (seesaw, type-I)

$$m_{
u} = rac{m_D^2}{M_R} \leftarrow rac{ ext{Other SM particles}}{ ext{Heavy partner}}$$

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#### **Generation of fermion mixings: Standard theory**





## A different perspective: Effective field theory

BSM physics described by effective (gauge-invariant) operators in the low-E limit (gauge invariant) in the presence of *heavy* fields (>> EWSB):

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{\Lambda} \mathcal{L}_5 + \frac{1}{\Lambda^2} \mathcal{L}_6 + \dots$$
   
  $\overbrace{\text{of new physics}}^{\Lambda: \text{ Scale}}$ 



There is only one d=5 operator, the so-called Weinberg operator. Leads to light effective Majorana masses after EWSB. Neutrino mass is the lowest order perturbation of physics BSM!

But these are no fundamental theories (so-called "non-renormalizable operators"). Idea: Investigate fundamental theories systematically!

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## **Tree-level decompositions of the Weinberg operator**

> Fundamental theories at tree level:



- > Neutrino mass ~ Y<sup>2</sup> v<sup>2</sup>/ $\Lambda$  (type I, III see-saw)
- > For Y = O(1), v ~ 100 GeV:  $\Lambda$  ~ GUT scale
- > For  $\Lambda$  ~ TeV scale: Y << 10<sup>-5</sup>

Interactions difficult to observe at the LHC

Couplings "unnaturally" small? Fine-tuning?



#### Neutrino masses at the TeV scale?

#### > Goals:

- New physics scale "naturally" at TeV scale (i.e., TeV scale not put in by hand)
   ⇒ Testable at the LHC?
- Yukawa couplings of order one
- Requires additional suppression mechanisms. The typical ones:
  - 1) Radiative generation of neutrino mass (n loops)
  - 2) Neutrino mass from higher than **d**=5 eff.operator
  - 3) Small lepton number violating contribution e
     (e.g. inverse see-saw, RPV SUSY models, ...)

Model building 101, rule 3: If I restore a symmetry by switching off a term, I would expect that this term is small ('t Hooft)

$$m_{\nu} \propto \frac{\langle H^0 \rangle^2}{\Lambda} \times \left(\frac{1}{16\pi^2}\right)^n \times \epsilon \times \left(\frac{\langle H^0 \rangle}{\Lambda}\right)^{d-5}$$



## Neutrino mass from higher dimensional or loop models



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## Neutrino mass from higher dimensional operators

Approach: Use higher dimensional operators, e.g.

$$\mathcal{O}^{5} = \mathcal{O}_{W} = LLHH$$
  
 $\mathcal{O}^{7} = (LLHH)(H^{\dagger}H)$   
 $\mathcal{O}^{9} = (LLHH)(H^{\dagger}H)(H^{\dagger}H)$ 

> Leads to 
$$m_{
u} \sim v \left(rac{v}{\Lambda_{
m NP}}
ight)^{d-4}$$

> Estimate: for  $\Lambda \sim 1 - 10$  TeV and  $m_v$  linear in Yukawas (worst case):

- d = 9 sufficient if no other suppression mechanism
- d = 7 sufficient if Yukawas ~  $m_e/v \sim 10^{-6}$  allowed



#### **Forbid lower dimensional operators**

- Define genuine d=D operator as leading contribution to neutrino mass with all operators d<D forbidden</p>
- Use new U(1) or discrete symmetry ("matter parity")
- Problem: H<sup>+</sup>H can never be charged under the new symmetry!
  Need new fields, such as SU(2) singlet S or doublet H
- > The simplest possibilities are

$$\mathscr{L}_{\text{eff}}^{d=n+5} = \frac{1}{\Lambda_{\text{NP}}^{d-4}} (LLHH)(S)^n, \quad n = 1, 2, 3, \dots$$

(e.g. Chen, de Gouvea, Dobrescu, hep-ph/0612017; Godoladze, Okada, Shafi, arXiv:0809.0703; Bonnet at al, arXiv:0907.3143)

$$\mathscr{L}_{\text{eff}}^{d=2n+5} = \frac{1}{\Lambda_{\text{NP}}^{d-4}} (LLH_uH_u) (H_dH_u)^n, \quad n = 1, 2, 3, \dots$$

(e.g. Babu, Nandi, hep-ph/9907213; Giudice, Lebedec, arXiv:0804.1753; Bonnet at al, arXiv:0907.3143)



## Towards TeV seesaws with O(1) couplings



#### **Different scales for the "heavy" sterile neutrinos?**

Sterile neutrinos are good for manys scales and problems:

- eV-scale: neutrino anomalies (LSND) Tests in short-baseline neutrino oscillations
- keV-scale: candidates for warm dark matter Tests in X-ray astronomy,e.g. 3.5 keV line (XMM-Newton)
- GeV-scale: candidates for low-E seesaws, leptogenesis Tests in beam-dump experiments (SHiP) and future colliders
- TeV-scale: see-saw models, physics BSM (hierarchy problem) Tests at the LHC
- (below) GUT scale: natural O(1) couplings, leptogenesis
   Test by exclusion principle (no direct test)

Solve all outstanding BSM questions? Use e.g. three extra sterile neutrinos, two at GeV scale (leptogenesis), one at keV scale (dark matter) [requires some fine-tuning of the masses for leptogenesis ...] Canetti, Drewes, Shaposhnikov, 2012



aka

νTOF
## Signature of the Majorana nature: $0\nu\beta\beta$

> Two times simple beta decay:



## **Ο**νββ phenomenology

Rate ~ |m<sub>ee</sub>|<sup>2</sup> x |nucl. matrix element|

$$|m_{ee}| \equiv \left|\sum_{i} m_i U_{ei}^2\right|$$

I.



Т

(Lindner, Merle, Rodejohann, 2005)

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Normal ordering: Lightest mass is m<sub>1</sub>

$$m_{ee}^{\text{nor}} = m_1 c_{12}^2 c_{13}^2 + \sqrt{m_1^2 + \Delta m_{\odot}^2} s_{12}^2 c_{13}^2 e^{2i\alpha} + \sqrt{m_1^2 + \Delta m_A^2} s_{13}^2 e^{2i\beta}$$

$$\uparrow \qquad \uparrow \qquad \uparrow$$
Potentially small parameters: cancellation possible

Inverted ordering: Lightest mass is m<sub>3</sub>



## **Relationship to neutrino mass? Effective field theory!**





#### $0\nu\beta\beta$ mechanisms

There exists a long list of BSM tree-level models which can lead to 0vββ Bonnet, Hirsch, Ota, Winter JHEP 1303 (2013) 055



The observation of 0vββ is a smoking gun signature for physics BSM, not (necessarily) for neutrino mass!

		Long	Mediate	or $(U(1)_{\rm em})$	$SU(3)_c)$	
#	Decomposition	Range?	$S \text{ or } V_{\rho}$	$\psi$	$S'$ or $V'_{\rho}$	Models/Refs./Comments
1-i	$(\bar{u}d)(\bar{e})(\bar{e})(\bar{u}d)$	(a)	(+1, 1)	(0, 1)	(-1, 1)	Mass mechan., RPV 58–60,
						LR-symmetric models <u>39</u> ,
						Mass mechanism with $\nu_S$ [61],
						TeV scale seesaw, e.g., <u>62,63</u>
			(+1, 8)	(0, 8)	(-1, 8)	64
1-ii-a	$(\bar{u}d)(\bar{u})(d)(\bar{e}\bar{e})$		(+1, 1)	(+5/3, 3)	(+2, 1)	
			(+1, 8)	(+5/3, 3)	(+2, 1)	
1-ii-b	$(\bar{u}d)(d)(\bar{u})(\bar{e}\bar{e})$		(+1, 1)	$(+4/3, \bar{3})$	(+2, 1)	
			(+1, 8)	$(+4/3, \bar{3})$	(+2, 1)	
2-i-a	$(\bar{u}d)(d)(\bar{e})(\bar{u}\bar{e})$		(+1, 1)	$(+4/3, \bar{3})$	(+1/3, 3)	
			(+1, 8)	$(+4/3, \bar{3})$	$(+1/3, \bar{3})$	
2-i-b	$(\bar{u}d)(\bar{e})(d)(\bar{u}\bar{e})$	(b)	(+1, 1)	(0, 1)	$(+1/3, \bar{3})$	RPV 58-60, LQ 65,66
			(+1, 8)	(0, <b>8</b> )	$(+1/3, \overline{3})$	
2-ii-a	$(\bar{u}d)(\bar{u})(\bar{e})(d\bar{e})$		(+1, 1)	(+5/3, 3)	(+2/3, 3)	
			(+1, 8)	(+5/3, 3)	(+2/3, 3)	
2-ii-b	$(\bar{u}d)(\bar{e})(\bar{u})(d\bar{e})$	(b)	(+1, 1)	(0, <b>1</b> )	(+2/3, 3)	RPV <u>58-60</u> , LQ <u>65,66</u>
			(+1, 8)	(0, 8)	(+2/3, 3)	
2-iii-a	$(d\bar{e})(\bar{u})(d)(\bar{u}\bar{e})$	(c)	$(-2/3, \bar{3})$	(0, 1)	$(+1/3, \bar{3})$	RPV <u>58-60</u>
			$(-2/3, \bar{3})$	(0, 8)	$(+1/3, \bar{3})$	RPV <u>58-60</u>
2-iii-b	$(d\bar{e})(d)(\bar{u})(\bar{u}\bar{e})$		$(-2/3, \overline{3})$	(-1/3, 3)	$(+1/3, \bar{3})$	
			$(-2/3, \bar{3})$	$(-1/3, \overline{6})$	$(+1/3, \bar{3})$	
3-i	$(\bar{u}\bar{u})(\bar{e})(\bar{e})(dd)$		$(+4/3, {\bf 3})$	$(+1/3, \bar{3})$	$(-2/3, \bar{3})$	only with $V_{\rho}$ and $V'_{\rho}$
			(+4/3, 6)	(+1/3, 6)	(-2/3, 6)	
3-ii	$(\bar{u}\bar{u})(d)(d)(\bar{e}\bar{e})$		$(+4/3, \bar{3})$	(+5/3, 3)	(+2, 1)	only with $V_{\rho}$
			(+4/3, 6)	(+5/3, 3)	(+2, 1)	
3-iii	$(dd)(\bar{u})(\bar{u})(\bar{e}\bar{e})$		(+2/3, 3)	$(+4/3, \bar{3})$	(+2, 1)	only with $V_{\rho}$
			$(+2/3, \overline{6})$	$(+4/3, \bar{3})$	(+2, 1)	
4-i	$(d\bar{e})(\bar{u})(\bar{u})(d\bar{e})$	(c)	$(-2/3, \bar{3})$	(0, 1)	(+2/3, 3)	RPV 58-60
			$(-2/3, \overline{3})$	(0, 8)	(+2/3, 3)	RPV 58-60
4-ii-a	$(\bar{u}\bar{u})(d)(\bar{e})(d\bar{e})$		$(+4/3, \overline{3})$	(+5/3, 3)	(+2/3, 3)	only with $V_{\rho}$
			(+4/3, 6)	(+5/3, 3)	(+2/3, 3)	see Sec. 4 (this work)
4-ii-b	$(\bar{u}\bar{u})(\bar{e})(d)(d\bar{e})$		$(+4/3, \overline{3})$	$(+1/3, \bar{3})$	(+2/3, 3)	only with $V_{\rho}$
			(+4/3, 6)	(+1/3, 6)	(+2/3, 3)	
5-i	$(\bar{u}\bar{e})(d)(d)(\bar{u}\bar{e})$	(c)	(-1/3, 3)	(0, 1)	$(+1/3, \bar{3})$	RPV 58-60
			(-1/3, 3)	(0, <b>8</b> )	$(+1/3, \bar{3})$	RPV <b>58-60</b>
5-ii-a	$(\bar{u}\bar{e})(\bar{u})(\bar{e})(dd)$		(-1/3, 3)	$(+1/3, \overline{3})$	$(-2/3, \overline{3})$	only with $V'_{ ho}$
			(-1/3, 3)	(+1/3, 6)	(-2/3, 6)	-
5-ii-b	$(\bar{u}\bar{e})(\bar{e})(\bar{u})(dd)$		(-1/3, 3)	(-4/3, 3)	$(-2/3, \overline{3})$	only with $V'_{ ho}$
			(-1/3, 3)	(-4/3, 3)	(-2/3, 6)	•



## A few comments on a theory of flavor: The $\theta_{13}$ challenge

"Flavor symmetry model" = acronym for breaking the symmetry among different flavors by introducing flavor-dependent properties





## Anarchy: The flavor model builder's antichrist

- Idea: perhaps the mixing parameters are a "random draw"?
- Challenge: define "basis-independent" measure for mixing angles
- Result: large θ<sub>13</sub> "natural", no magic needed
- Challenges: Justify small mixings in the quark sector? Predictions for masses?



(Hall, Murayama, Weiner, 2000; de Gouvea, Murayama, 2003, 2012)



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## Simple flavor models: Froggatt Nielsen



>  $\Psi_{L/R}$  are SM fermions

- > Integrate out the heavy fermions: spontaneously break flavor symmetry  $\mathcal{L}_{\mathrm{eff}} \sim K \langle H \rangle \varepsilon^n \bar{\Psi}_L \Psi_R, \quad \varepsilon = \frac{v}{M_f}$
- Integer power n is controlled by the (generation/flavor-dependent) quantum numbers of the fermions under the flavor symmetry
  Example:
- K: (complex) generation dependent (random) order one coefficients
- Well-suited to describe hierarchies among masses, and small mixings



 $\mathsf{M}_{\mathsf{I}} \sim \left(\begin{array}{ccc} \epsilon^{4} & \epsilon^{3} & \epsilon \\ 0 & \epsilon^{2} & \epsilon^{2} \\ 0 & \epsilon^{4} & 1 \end{array}\right)$ 

## Particle physics of cosmic neutrino sources



#### Neutrinos as extragalatic cosmic messengers

> The birth of neutrino astronomy:

Feb. 23, 1987 Detection of twelve neutrinos from an extragalactic supernova explosion in Kamiokande (so far, the only one ...); Nobel prize 2002



1450 m

#### The birth of high-energy neutrino astrophysics: The IceCube neutrino telescope of the South Pole sees 28 events in the TeV-PeV range Science 342 (2013) 1242856

# Physics World Breakthrough of the year 2013







## **Cascades: Neutrinos with > 1 PeV**





IceCube (Halzen at WIN'15)



## IceCube: Event topologies?



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## 2015: 54 high energy cosmic neutrinos



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#### A simple toy model for the source

If neutrons can escape: Source of cosmic rays

$$n \rightarrow p + e^- + \overline{\nu}_e$$

Neutrinos produced in ratio ( $v_e:v_\mu:v_\tau$ )=(1:2:0)

$$\rightarrow \mu^+ + \nu_\mu ,$$
  
$$\mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$$

#### Delta resonance approximation:

$$p + \gamma \to \Delta^+ \to \begin{cases} n + \pi^+ & 1/3 \text{ of all cases} \\ p + \pi^0 & 2/3 \text{ of all cases} \end{cases}$$

 $\pi^+$ 

$$\pi^0 \rightarrow \gamma + \gamma$$

High energetic gamma-rays; typically cascade down to lower E Additional constraints!

Cosmic messengers



#### Cosmic vs. terrestrial particle accelerators

Lorentz force = centrifugal force  $\rightarrow E_{max} \sim q B R$ 

- > E<sub>max</sub> ~ 300,000,000 TeV
- **>** B ~ 1 mT 1 T

**>** B ~ 8 T

 $> E_{max} \sim 7 \text{ TeV}$ 

R ~ 100,000 – 10,000,000,000 km > R ~ 4.3 km







## Acceleration of primaries (protons, nuclei)





## **Secondary production: Particle physics 101**



> Treat energy losses/escape in continuous limit in radiation zone:



 $b(E)=-E t^{-1}_{loss}$ Q(E,t) [GeV<sup>-1</sup> cm<sup>-3</sup> s<sup>-1</sup>] injection per time frame (e. g. from acc. zone) N(E,t) [GeV<sup>-1</sup> cm<sup>-3</sup>] particle spectrum including spectral effects

Need N(E) to compute particle interactions

- > Simple case: No energy losses b=0:  $N(E) = Q(E) t_{esc}$
- Special case: t<sub>esc</sub> ~ R/c (free-streaming, aka "leaky box")



## In the presence of strong B: Secondary cooling

Example: GRB

Secondary spectra ( $\mu$ ,  $\pi$ , K) loss-steepend above critical energy

$$E_{c}' = \sqrt{\frac{9\pi\epsilon_{0}m^{5}c^{7}}{\tau_{0}e^{4}B'^{2}}}$$

- >  $E_c^{\prime}$  depends on particle physics only (m,  $\tau_0$ ), and **B**<sup>4</sup>
- Leads to characteristic flavor composition and shape



Baerwald, Hümmer, Winter, Astropart. Phys. 35 (2012) 508; also: Kashti, Waxman, 2005; Lipari et al, 2007; ...





## Neutrino propagation: From source to detector





## Neutrino flavor and the test of fundamental physics



#### Flavor composition at source from numerical simulations

Example: py, target photons from synchrotron emission of co-accelerated electrons



#### Parameter space scan of Hillas plot

- Flavor composition is, in all realistic cases, a function of energy!
- "Test points":
  - Neutron stars
     White dwarfs
     Active galaxies:

     3 nuclei
     4 jets
     5 hot-spots
     6 lobes

     7 Colliding galaxies
     8 Clusters
     9 Galactic disk
     10 Galactic halo
     11 SNRs



#### Flavor composition at detector?

> Measurement

#### > Standard Model expectation



(there is a marginal tension ...)



#### **IceCube – Generation Two?**

- Plans for upgrade of IceCube experiment
- Instrumented volume O(10) km<sup>3</sup>, string spacing 240-300m
- Purpose: "deliver substantial increases in the astrophysical neutrino sample for all flavors"
- PINGU-infill for oscillation physics (about 40 strings for lower threshold in DeepCore region). Neutrino mass ordering!
- Similar ideas in sea water (KM3NeT, ORCA)



#### The future: SM expectations vs. measurement?



(shaded regions: current  $3\sigma$  range for mixing params)

Bustamante, Beacom, Winter, PRL 115 (2015) 16, 161302

Start to constrain specific flavor compositions at source



## What if there is physics beyond the Standard Model?



## **Propagation effects over cosmological distances**

Example: Neutrino lifetime ... but generic thoughts apply to other classes of new physics as well ...



## **Neutrino lifetime: Basics**

- > If neutrino mass eigenstates decay: Decay rate  $\lambda_i = 1/(\tau_{0,i} \gamma) = m_i/(\tau_{0,i} E)$
- > Rest frame lifetime  $\tau_0$  cannot be measured. Describe by

$$\kappa^{-1} \left[ \frac{\mathrm{s}}{\mathrm{eV}} \right] \equiv \frac{\tau \,[\mathrm{s}]}{m \,[\mathrm{eV}]} \simeq 10^2 \, \frac{L \,[\mathrm{Mpc}]}{E \,[\mathrm{TeV}]}$$

(last term: estimate for sensitive L/E-range)

- > Naively: need long distances and low energies to test decay!
- Best bounds from SN 1987A neutrinos: τ/m > 10<sup>5</sup> s/eV Caveat: large uncertainty in neutrino flux normalization and only electron flavor measured; bound must apply to either m<sub>1</sub> or m<sub>2</sub> (or both)
- Can one obtain better bounds over cosmological distances, such as from high-z gamma-ray burst neutrinos (GRBs)?
- > Have to face subtleties of new physics over cosmological distances!



#### **Propagation effects over cosmologial distances**

> What is the "clock" for the decay of the neutrinos? Light-travel distance

$$L(z) = L_H \int_0^z \frac{dz'}{(1+z') h(z')}$$

$$h(z) \equiv H(z)/H_0$$

- The light-travel distance is limited by the Hubble length
- Consequence: Time/distance dependent new physics effects in the propagation (including oscillations) cannot be tested for arbitrarily large distances!

e. g. Weiler, Simmons, Pakvasa, Learned, 1994; Wagner, Weiler, 1997; Beacom et al., 2004; Esmaili, Farzan, 2012; Baerwald, Bustamante, Winter, 2012

$$H(z) \equiv H_0 \sqrt{\Omega_m \left(1+z\right)^3 + \Omega_\Lambda}$$



## A stability paradox

Invisible decays

$$\begin{split} P_{\alpha\beta}(E_0,z) &= \sum_i |U_{\alpha i}|^2 \, |U_{\beta i}|^2 \, \frac{N_i(E_0,z)}{\hat{N}_i(E_0)} = \sum_i |U_{\alpha i}|^2 \, |U_{\beta i}|^2 \, D_i(E_0,z) \,, \\ & \text{Damping factor} \end{split}$$
Ansatz for decays:  $N_i(z) = \hat{N}_i \, e^{-\lambda_i L(z)}$ 

> Re-write as 
$$D_i(E_0, z) = [\mathcal{Z}_1(z)]^{-\frac{\kappa_i L_H}{E_0}}$$
  
 $\mathcal{Z}_1(z) \equiv \exp\left(\frac{L(z)}{L_H \cdot (1+z)}\right)$ 

Baerwald, Bustamante, Winter, JCAP 1210 (2012) 020 For  $z \rightarrow \infty$ : L  $\rightarrow$  L<sub>H</sub> and Z<sub>1</sub>  $\rightarrow$  1 Thus: D  $\rightarrow$  1 and neutrinos from extremely high z are stable! Stability paradox! What is wrong?



Start with differential equation, re-written in terms of redshift

$$\frac{dN_i(E_0,z)}{dz} = -\frac{\kappa_i}{E_0} \frac{dL}{dz} \frac{N_i(E_0,z)}{1+z}$$

> Result

$$D_{i}(E_{0}, z) = \exp\left(-\frac{\kappa L_{H}}{E_{0}} \int_{0}^{z} \frac{dz'}{(1+z')^{2} h(z')}\right) = \left[\mathcal{Z}_{2}(z)\right]^{-\frac{\kappa L_{H}}{E_{0}}}$$



Neutrinos from high z decay now!

# Baerwald, Bustamante, Winter, JCAP 1210 (2012) 020



## **Decays of GRB neutrinos?**

Interesting implications:

 $> v_{\mu}$  from GRBs may be suppressed (current stacking analyses based on  $E^2 \phi_{\nu}/(\text{GeV}\cdot s^{-1}\cdot\text{cm}^{-2})$  $v_{\mu}!$ ). Need GRB-cascade searches

Flavor composition depends on energy





#### **Neutrino physics?!**

