# On the Frame Problem in Inflation

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## Outline

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- Classical Dynamics
- Cosmological Perturbations
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- Frame Covariance
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## Introduction



- Inflation solves flatness, horizon, relic... problems
- Inflation is also generic explanation for origin of anisotropies in the CMB
- No definitive driving mechanism for inflation exists; increasing complexity of models poses a challenge in extracting analytical predictions

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#### **Scalar-Curvature Theories**

Action  $S = S[g_{\mu\nu}, \varphi, f(\varphi), k(\varphi), V(\varphi)]$  for wide class of inflation models in the *Jordan frame* given by:

$$S = \int d^4x \sqrt{-g} \left[ -rac{f(arphi)}{2}R + rac{1}{2}k(arphi)g^{\mu
u}
abla_\muarphi
abla_
uarphi - V(arphi) 
ight]$$

• Conformal transformation and reparametrisation of the inflaton (collectively frame transformation) link different scalar-curvature theories (Einstein frame defined by  $f(\varphi) = M_P^2$ ,  $k(\varphi) = 1$ ):

$$egin{aligned} g_{\mu
u} &
ightarrow ilde{g}_{\mu
u} = \Omega(x)^2 g_{\mu
u}, \ arphi &
ightarrow ilde{arphi} = ilde{arphi}(arphi), \qquad (d ilde{arphi}/darphi)^2 = K(arphi) \end{aligned}$$

The above transformation properties of model parameters imply

$$S[g_{\mu\nu},\varphi,f(\varphi),k(\varphi),V(\varphi)]=S[\tilde{g}_{\mu\nu},\tilde{\varphi},\tilde{f}(\tilde{\varphi}),\tilde{k}(\tilde{\varphi}),\widetilde{V}(\tilde{\varphi})]$$

- Frame problem: are frame transformations physical or not? A given action may not necessarily be a priori frame invariant
- Aim to answer this by developing formalism applicable to scalar-curvature theories with general model functions

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## **Classical Dynamics**

- Einstein field equations modified due to non-minimal coupling  $f(\varphi) \neq M_P^2$
- Assume homogeneous inflaton and Friedman-Walker-Robertson metric:

$$g_{\mu
u}= ext{diag}(N_L^2,-a^2,-a^2,-a^2)$$

 $\blacksquare$  N<sub>L</sub> is general lapse function, used to define the Hubble parameter

$$H \equiv \frac{\dot{a}}{a} \equiv \frac{1}{N_L} \frac{d \ln a}{dt}$$

Energy density and pressure are replaced by their non-minimal (NM) extensions:

$$\frac{\rho^{(\mathrm{NM})}}{M_P^2} = \frac{\rho}{f} - \frac{3H\dot{f}}{f}, \qquad \frac{p^{(\mathrm{NM})}}{M_P^2} = \frac{p}{f} + \frac{2H\dot{f}}{f} - \frac{\ddot{f}}{f}$$

Form of acceleration, Friedman, and continuity equations is unchanged

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### **Cosmological perturbations**

- Observable anisotropies seeded by primordial perturbations of the metric  $(g_{\mu\nu}dx^{\mu}dx^{\nu} = (1 + 2\phi)N_L^2dt^2 + \cdots)$  and the inflaton field  $(\varphi = \bar{\varphi} + \delta\varphi)$
- The only physically relevant inflationary quantity is the diffeomorphism-invariant comoving curvature perturbation

$$\mathcal{R} \equiv \phi - \frac{H}{\dot{ar{arphi}}} \delta arphi$$

- "Freezes" outside the horizon (Weinberg, 2003)
- Scalar and tensor power spectra extracted via two-point functions of R evaluated at horizon crossing:

$$P_{\mathcal{R}}\equiv rac{k^3}{4\pi^2}rac{H^4}{Z_{\mathcal{R}}\dot{arphi}^2}, \qquad P_{\mathcal{T}}\equiv rac{2k^3}{\pi^2}rac{H^2}{Z_{\mathcal{T}}}, \qquad r=8rac{Z_{\mathcal{R}}}{Z_{\mathcal{T}}}rac{\dot{arphi}^2}{H^2}$$

### Slow-roll inflation

• Define scalar spectral index and its running, evaluated at horizon crossing of largest cosmological scales ( $k = 0.002 \text{ Mpc}^{-1}$ ):

$$n_{\mathcal{R}} - 1 = \left. \frac{d \ln P_{\mathcal{R}}}{d \ln k} \right|_{k=aH}, \qquad \alpha_{\mathcal{R}} = \frac{dn_{\mathcal{R}}}{d \ln k}$$

Slow-roll approximation  $\ddot{\varphi} \ll H\dot{\varphi} \ll H^2 \varphi$  implies that Hubble *slow-roll parameters* (HSRP) (Hwang, 1996) are *small* and *slowly varying*:

$$\epsilon_{H} \equiv -\frac{\dot{H}}{H^{2}}, \qquad \delta_{H} \equiv -\frac{\ddot{\varphi}}{H\dot{\varphi}}, \\ \kappa_{H} \equiv \frac{1}{2}\frac{\dot{f}}{Hf}, \qquad \sigma_{H} \equiv \frac{1}{2}\frac{\dot{E}}{HE}, \qquad E \equiv kf + \frac{3}{2}f_{,\varphi}^{2}$$

We may express cosmological observables in terms of HSRP:

$$n_{\mathcal{R}} = 1 - 4\epsilon_H - 2\delta_H + 2\kappa_H - 2\sigma_H,$$
  
 $r = 16(\epsilon_H + \kappa_H)$ 

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### Slow-roll inflation

- HSRP expressions for n<sub>R</sub> and r require solving the cosmological equations of motion (impractical in all but the simplest of cases)
- Inflationary attractor: class of solutions which all inflationary trajectories approach in phase space
- The equations of motion simplify in the limit of vanishing SRP:

$$H^2 \approx \frac{fU}{3}, \qquad -3EH\dot{\varphi} \approx f^3 U_{,\varphi}, \qquad \qquad \frac{H}{\dot{\varphi}} \approx -\frac{EU}{f^2 U_{,\varphi}}$$

New potential slow-roll parameters (PSRP) reduce to the corresponding HSRP in the slow-roll limit:

$$\begin{split} \epsilon_U &\equiv \frac{1}{2} \frac{fU_{,\varphi}(fU)_{,\varphi}}{EU^2}, \qquad \delta_U &\equiv \frac{1}{2} \frac{fU_{,\varphi}(fU)_{,\varphi}}{EU^2} + \left(\frac{f^2U_{,\varphi}}{EU}\right)_{,\varphi}, \\ \kappa_U &\equiv -\frac{f_{,\varphi}}{2} \frac{fU_{,\varphi}}{EU}, \qquad \sigma_U &\equiv -\frac{1}{2} \frac{E_{,\varphi}}{E^2} \frac{f^2U_{,\varphi}}{U} \end{split}$$

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#### Frame covariance

- Extract model functions f, k, and V from transformed action after a general frame trasformation
- PSRP transform as

$$\begin{split} \tilde{\epsilon}_U &= \epsilon_U - 2\Delta_\Omega, & \tilde{\delta}_U &= \delta_U - 2\Delta_\Omega + \Delta_K, \\ \tilde{\kappa}_U &= \kappa_U + 2\Delta_\Omega, & \tilde{\sigma}_U &= \sigma_U + 4\Delta_\Omega + \Delta_K, \\ \Delta_\Omega &\equiv \frac{1}{2} \frac{f^2 U_{,\varphi}}{EU} \frac{\Omega_{,\varphi}}{\Omega}, & \Delta_K &\equiv \frac{1}{2} \frac{f^2 U_{,\varphi}}{EU} \frac{K_{,\varphi}}{K} \end{split}$$

Inflationary observables are frame-invariant to first (slow-roll) order

• Number of e-foldings ( $dN \equiv HN_L dt$ ) not frame-invariant to all orders:

$$N \rightarrow N = N + \ln[\Omega(t)/\Omega(t_{end})]$$

■ In potential formalism, *N* is frame-invariant as long as end-of-inflation condition  $max(\epsilon_U, |\epsilon_U + \delta_U|) = 1$  is extended to:

$$\max(\epsilon_U + \kappa_U, |\epsilon_U + \delta_U + 4\kappa_U - \sigma_U|) = 1$$

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## Specific models

- Induced gravity inflation: f(φ) = ξφ<sup>2</sup>, V(φ) = λ(φ<sup>2</sup> 1/ξ)<sup>2</sup>
  Higgs inflation: f(φ) = M<sup>2</sup><sub>P</sub> + ξφ<sup>2</sup>, V(φ) = λ(φ<sup>2</sup> v<sup>2</sup>)<sup>2</sup>

	Induced gravity inflation	Higgs inflation
r	$\frac{128\xi(1\!+\!6\xi)}{[(8N\!-\!6)\xi\!-\!1]^2}$	$rac{16(1+6\xi)}{8\xi N^2+N}$
$n_{\mathcal{R}}$	$\frac{4(16N^2 - 56N - 15)\xi^2 - 4(4N+1)\xi + 1}{[(8N-6)\xi - 1]^2}$	$\frac{64\xi^2 N^3 + (1 - 40\xi - 192\xi^2) N - 16\xi(8\xi - 1)N^2 - 3(1 + 6\xi)}{N(1 + 8\xi N)^2}$
$lpha_{\mathcal{R}}$	$-\frac{1024N\xi^{3}(2(4N+9)\xi+3)}{[(6-8N)\xi+1]^{4}}$	$-\frac{1}{N^{2}(1+8\xi N)^{4}} \left[ 2048\xi^{3}N^{2} \left( 4N^{2} + 15N + 9 \right)\xi^{4} + 32N \left( 160N^{2} + 300N + 81 \right) + 12(8N + 3)\xi + 3 + 4 \left( 272N^{2} + 252N + 27 \right)\xi^{2} \right]$
$\alpha_T$	$-\frac{2048N\xi^3(1+6\xi)}{[(6-8N)\xi+1]^4}$	$-\frac{2(1+6\xi) \big( 32N(4N+3)\xi^2+6(4N+1)\xi+1 \big)}{N^2 (8\xi N+1)^3}$

• Possible to incorporate F(R) models in the formalism via Legendre transformation  $\chi = F(R)_{,R}$ 

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#### Conclusion

- Frame problem resolved in a natural way; frame invariance of the action not imposed "by hand"
- Easy-to-use calculational tool for extracting cosmological observables from any scalar-curvature theory:
  - All higher-order runnings of cosmological observables may be calculated without defining additional slow-roll parameters
  - No further approximation beyond inflationary attractor is necessary (such as approximating the high-field potential in the Einstein frame)
- Possible extensions to the formalism:
  - Multi-field non-minimally coupled inflation
  - $F(\varphi, R)$  theories (recast in multi-field form via Legendre transformations)
- Form invariance of action is starting point for studying effect of frame transformations to radiative corrections in inflation

#### Cosmological perturbations: backup slides

 SVT decomposition leads to linearised modified Einstein field equations (where T<sup>(NM)</sup><sub>µv</sub> is non-minimal energy-momentum tensor):

$$\delta G_{\mu\nu} = \delta T^{(NM)}_{\mu\nu}$$

Comoving curvature perturbation satisfies

$$\frac{1}{N_L^2 a^3 Q_R} \frac{d}{dt} \left( N_L a^3 Q_R \dot{R} \right) + \frac{k^2 \mathcal{R}}{a^2} = 0, \quad Q_R = \frac{k \dot{\varphi}^2 + \frac{3\dot{f}^2}{2f}}{\left( H + \frac{\dot{f}}{2f} \right)^2} \equiv \frac{\dot{\varphi}^2}{H^2} Z_R$$

• Canonicalizing the primordial perturbation via  $z_{\mathcal{R}} \equiv a\sqrt{Q_{\mathcal{R}}}$ ,  $v_{\mathcal{R}} \equiv z_{\mathcal{R}}\mathcal{R}$ , we derive the generalised *Sasaki-Mukhanov* (SM) equation (with  $N_L dt \equiv a d\tau$ )

$$\frac{d^2 v_{\mathcal{R},k}}{d\eta^2} + \left(k^2 - \frac{1}{z_{\mathcal{R}}}\frac{d^2 z_{\mathcal{R}}}{d\eta^2}\right) v_{\mathcal{R},k} = 0$$

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#### Cosmological perturbations: backup slides

- Power spectrum of perturbations is quantum in origin
- Quantise SM equation by imposing usual commutation relations and the Bunch-Davies vacuum (fields behave as if free at very early times)
- Solving for the mode functions at large scale limit, we find the two-point function of the primordial perturbations via

$$\langle \mathbf{v}_{\mathcal{R},\mathbf{k}_1} | \mathbf{v}_{\mathcal{R},\mathbf{k}_2} \rangle = | \mathbf{v}_{\mathcal{R},k} |^2 \, \delta(\mathbf{k}_1 + \mathbf{k}_2)$$

Taking into account transfer functions which induce a multiplicative multipole contribution, we extract the observed scalar power spectrum and tensor-to-scalar ratio from the two-point function:

$$P_{\mathcal{R}}\equiv rac{k^3}{4\pi^2}rac{H^4}{Z_{\mathcal{R}}\dot{arphi}^2}, \qquad P_{\mathcal{T}}\equiv rac{2k^3}{\pi^2}rac{H^2}{Z_{\mathcal{T}}}, \qquad r=8rac{Z_{\mathcal{R}}}{Z_{\mathcal{T}}}rac{\dot{arphi}^2}{H^2}$$