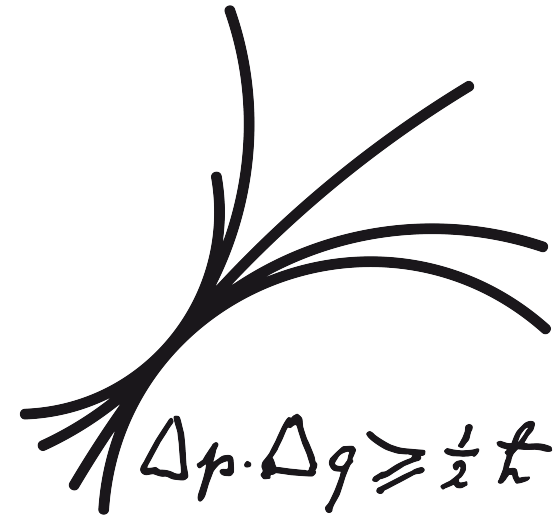


# keV Sterile Neutrino Dark Matter: Models & Production



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MAX-PLANCK-GESELLSCHAFT

*Based on:*

JCAP **1506** (2015) 011, Phys. Lett. **B749** (2015) 283, Int. J. Mod. Phys. **D22** (2013) 1330020, JCAP **1403** (2014) 028, JCAP **1107** (2011) 023, Phys. Rev. **D88** (2013) 113004, 1602.04816,...

Energy Ladder of the Universe, Mainz, 08-06-2016

## 1602.04816v1 [hep-ph] 15 Feb 2016

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[illegible]

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## *Contents:*

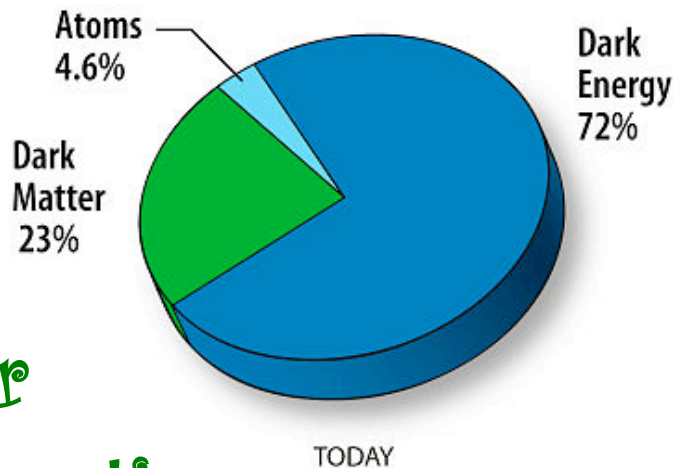
1. Introduction
2. Sterile neutrinos
3. Non-thermal DM production
4. Production of sterile neutrinos
5. Cosmic structure formation
6. Conclusions

# 1. To WIMP or not to WIMP

*What do we actually know about Dark Matter?!?*

**KNOWN** 😊

- abundance
- rough distribution
- important for structure formation



**UNKNOWN** ☹️

- identity
- production mechanism
- exact velocity spectrum

**THUS:** We should be careful not to overlook possibilities just because they are called "non-standard"!!!



# 1. To WIMP or not to WIMP

*Maybe our most natural guess for the identity of DM is a yet unknown elementary particle:*

- historically most natural possibility: **WIMP**
  - ↳ because:
    - weak interaction known → “NATURAL”
    - stable WIMPs are predicted in particular by SUSY and by extra dimensions → “THEORY MOTIVATION”
    - comparatively good detection prospects → “EXPERIMENTAL INTEREST”
- **BUT**: unfortunately no clear detection so far...
  - *let us think the unthinkable...*

**WHAT IF DARK MATTER IS NOT A WIMP?!?**

## 2. Sterile neutrinos

*What is a **sterile neutrino** and why could it be a good Dark Matter candidate?!?*

- ordinary (“active”) neutrino  $\nu_a$ : known elementary particle with very small mass and only weak interactions
- sterile neutrino  $\nu_s$ : may have a larger mass (value theoretically not predicted) and does not at all participate in standard interactions (**BUT**: small mixing with  $\nu_a$ )
- **thus**: if produced in the right amounts and with a suitable velocity spectrum,  $\nu_s$  could act as DM if they are sufficiently stable

***NB: NO constraint from oscillations!!!***

## 2. Sterile neutrinos

Indeed, a sterile neutrino with a (typical) mass of a few keV may act as DM, but...

- needs **non-standard production mechanism** (ordinary thermal freeze-out does not work due to tiny coupling)  
→ **warm/cold/non-thermal** (interesting for structure and/or galaxy formation)
- typically, this is decaying Dark Matter:  $N_1 \longrightarrow \nu + \gamma$   
→ **monoenergetic X-ray signal** e.g. from galaxies
- strong connection to ordinary neutrinos  
→ **concrete models can be tested using light neutrinos**

## 2. Sterile neutrinos

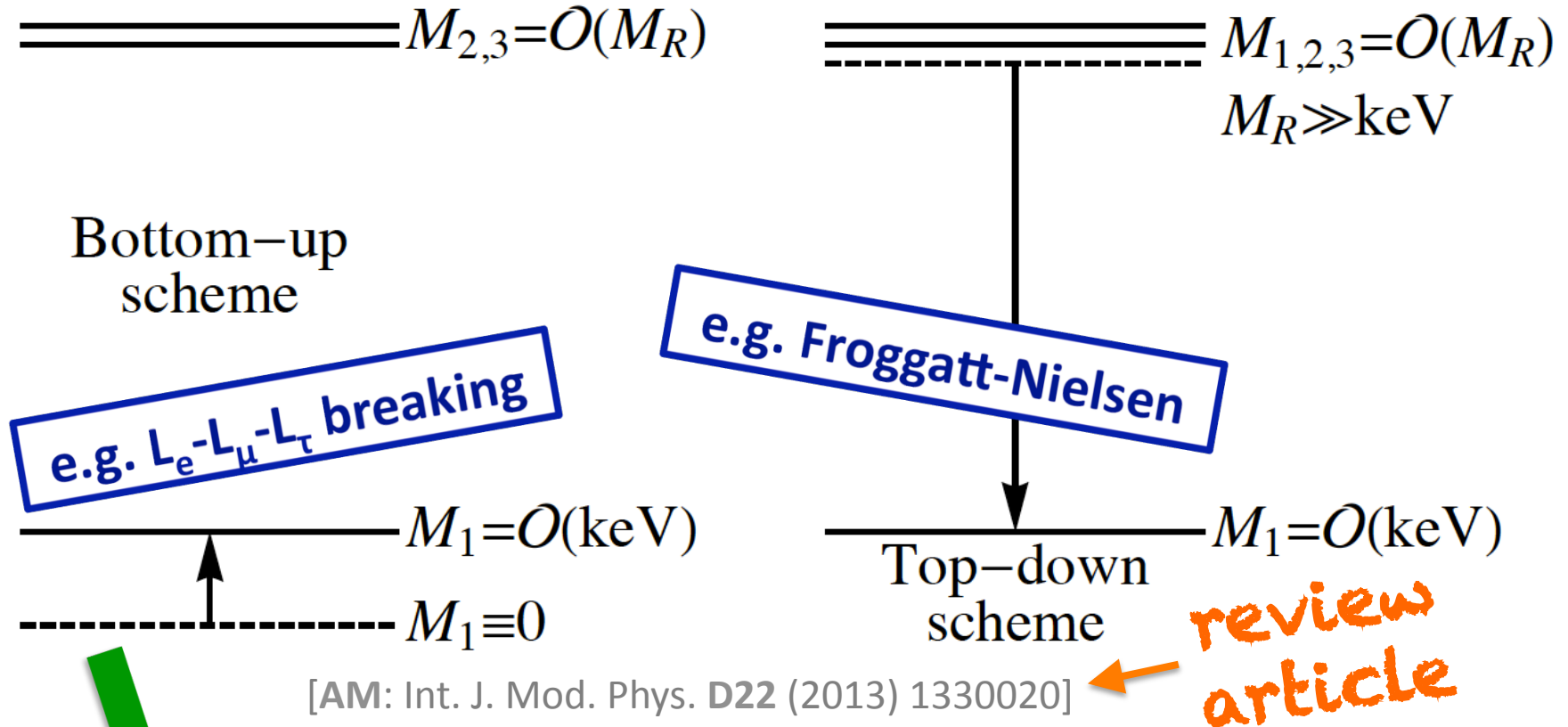
Indeed, a sterile neutrino with a (typical) mass of a few keV may act as DM, but...

- needs **non-standard production mechanism** (ordinary thermal freeze-out does not work due to tiny coupling)
  - ➔ **warm/cold/non-thermal** (interesting for structure and/or galaxy formation)
- typically, this is produced by the decay of a heavy particle:  $N_1 \rightarrow \nu + \gamma$ 
  - ➔ **monoenergetic signal** e.g. from galaxies
- strong connection to ordinary neutrinos
  - ➔ **concrete models can be tested using light neutrinos**

LET'S START  
WITH THAT!

## 2. Sterile neutrinos

Generic ideas to motivate light steriles:



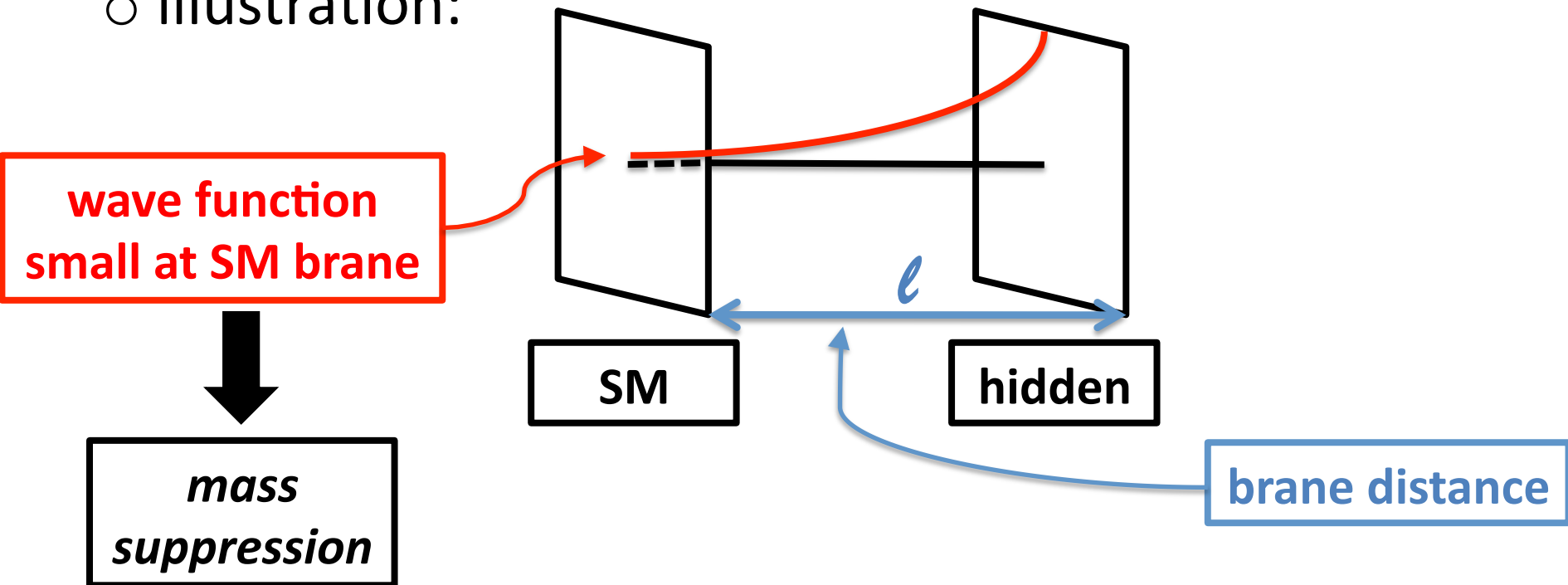
→ Most models fall into one or the other category!

additional advantage: 't Hooft naturalness tends to keep  $M_1$  small!!!

## 2. Sterile neutrinos

### Example 1: Extra dimensions

- Models based on the **Split Seesaw** mechanism:
  - idea: brane-splitting in extra dimensions  
is known to lead to mass scale suppressions
  - this can be used to get a keV mass**  
[Kusenko, Takahashi, Yanagida: Phys. Lett. B693 (2010) 144]
  - illustration:



## 2. Sterile neutrinos

### Example 1: Extra dimensions

- Models based on the **Split Seesaw** mechanism:

- 5D-action: 
$$S = \int d^4x \int_0^l dy M_0 (i\bar{\Psi}\Gamma^A\partial_A\Psi - m\bar{\Psi}\Psi)$$

→ Fourier-expanded fields:  $\Psi_{L,R}(x^\mu, y) = \sum_n \psi_{L,R}^{(n)}(x^\mu) f_{L,R}^{(n)}(y)$

- integrating out the 5th dimension leads to the characteristic exponential suppressions:

additional seesaw

5D mass (large)

effective 4D mass (TINY!!!)

$$M_i = \kappa_i \frac{v_{B-L}}{M_0} \frac{2m_i}{e^{2m_i l} - 1}$$

$$e^{-2m_i l} \ll 1 \text{ for } m_i l \gg 1$$

STRONG SUPPRESSION!!!

## 2. Sterile neutrinos

### Example 2: Broken symmetry

- Model based on a  $Q_6$  symmetry (=double cover of  $D_3$ ):

[Araki, Li: Phys. Rev. D85 (2012) 065016]

- assignment: singlet  $N_1$ , doublet  $(N_2, N_3)$  + flavons
- RH neutrino mass matrix:

$$M_R = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & M_a \\ 0 & M_a & 0 \end{pmatrix} + \frac{1}{\Lambda^2} \begin{pmatrix} M_c s_x s_y & 0 & 0 \\ 0 & M_b d_2^2 & 0 \\ 0 & 0 & M_b d_1^2 \end{pmatrix}$$

leading mass:  $(M_1, M_2, M_3) = (0, M_a, M_a)$

subleading correction:  $M_1 = \frac{s_x s_y}{\Lambda^2} M_c$

$$M_{2,3} = M_a \pm M_b (d_1^2 + d_2^2) / \Lambda^2$$



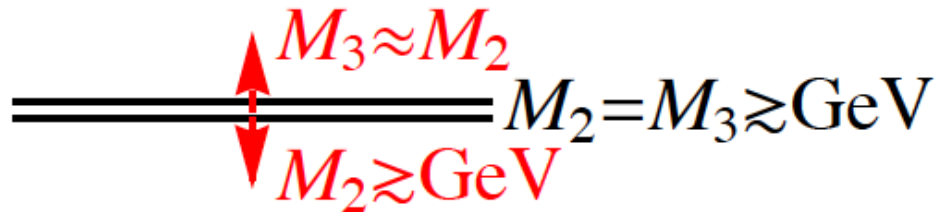
## 2. Sterile neutrinos

### Example 2: Broken symmetry

- Model based on a  $Q_6$  symmetry (=double cover of  $D_3$ ):

[Araki, Li: Phys. Rev. D85 (2012) 065016]

- mass shifting scheme:



A diagram showing a mass shifting scheme. It consists of two parallel horizontal black lines. A red double-headed vertical arrow is positioned between the lines. To the left of the arrow, the text  $M_3 \approx M_2$  is written in red above the line and  $M_2 \gtrsim \text{GeV}$  is written in red below the line. To the right of the lines, the text  $M_2 = M_3 \gtrsim \text{GeV}$  is written in black.

$Q_6$  symmetry

$$O(1/\Lambda^0) \text{ } O(1/\Lambda^2)$$

→ the broken symmetry motivates a certain hierarchy between the sterile neutrino masses (NO ABSOLUTE SCALE, THOUGH!!!)



A diagram showing a mass hierarchy. It consists of two horizontal lines: a top red line and a bottom black line. A red arrow points upwards from the black line to the red line. To the right of the lines, the text  $M_1 \sim \text{keV}$  is written in red above the line and  $M_1 \equiv 0$  is written in black below the line.

### 3. Non-thermal production mechanisms

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**NB: In reality we don't know the spectrum of Dark Matter very well!!**

**NON-THERMAL SPECTRA HAVE TO BE INVESTIGATED IF WE WANT A COMPLETE PICTURE!!!**

# 3. Non-thermal production mechanisms

Non-thermal...

*What does this mean?!?*

**THERMAL**

$$f(p) = \frac{1}{\exp\left(\frac{\sqrt{p^2+m^2}}{T}\right) \pm 1}$$

**HOT**

$$T \gg m$$

**WARM/COOL**

$$T \sim m$$

**COLD**

$$T \ll m$$

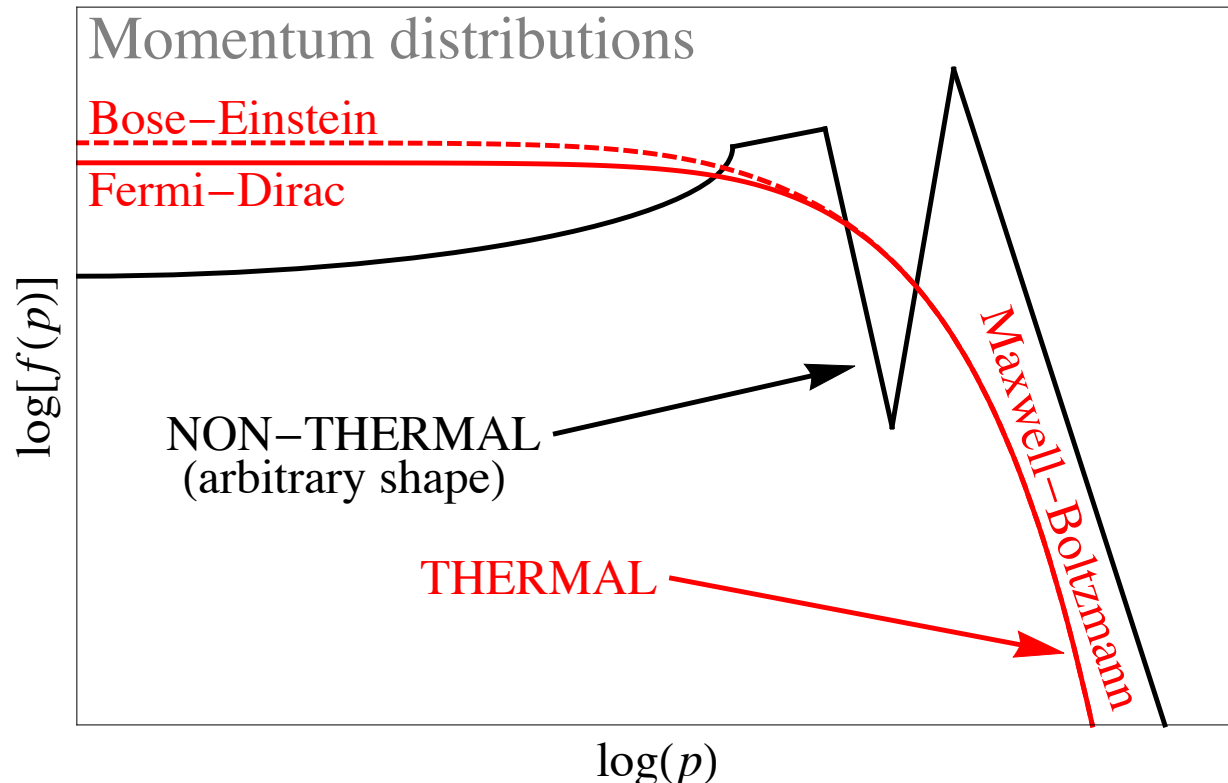
**NON-THERMAL**

$f(p)$  arbitrary

**with:**

$$\int_{p=0}^{\infty} p^2 f(p) dp < \infty$$

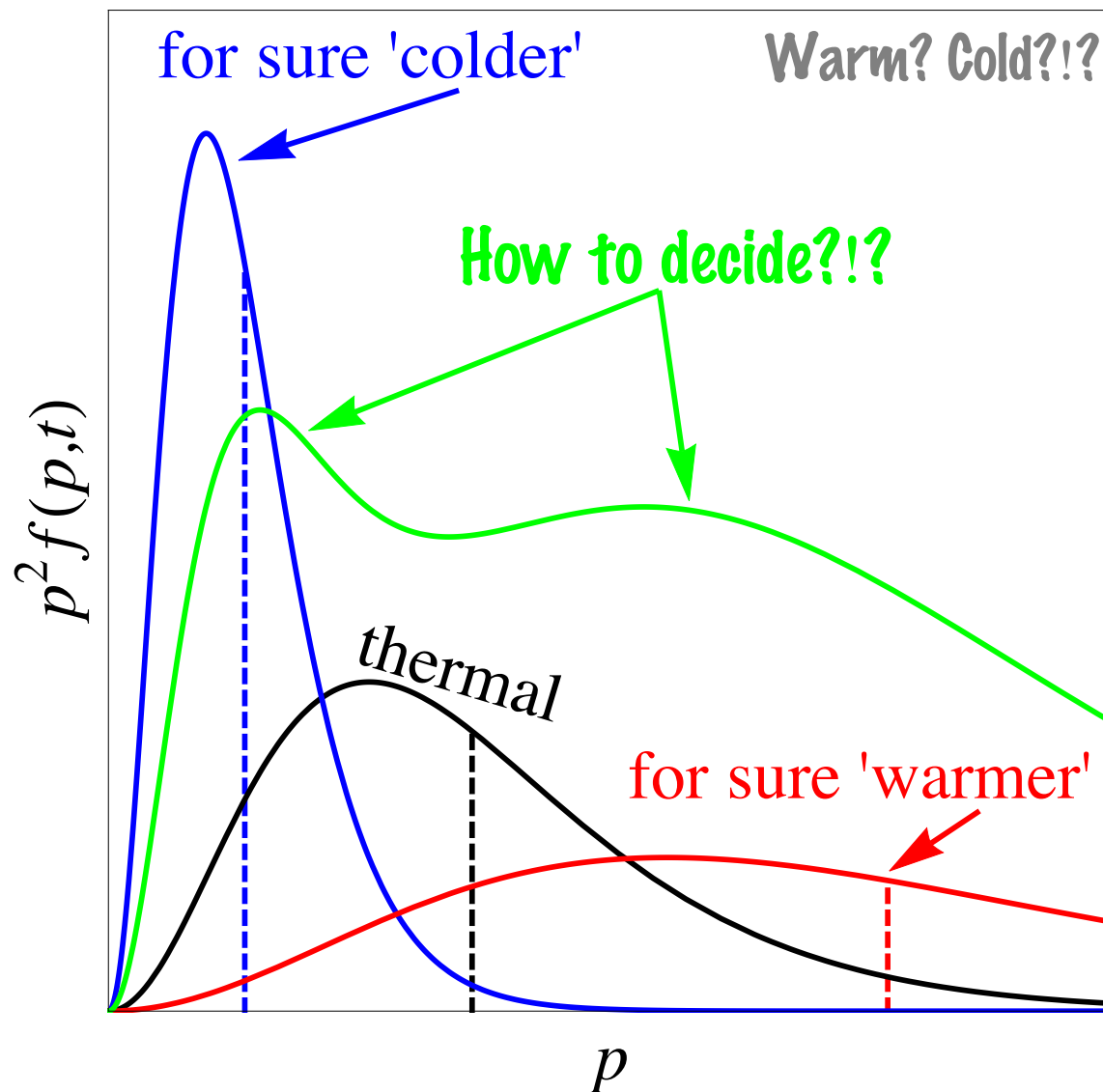
$T$  not defined!!!



# 3. Non-thermal production mechanisms

**PROBLEM:**

**HOW TO COMPARE TO THE THERMAL CASE?**



→ I will tell you later in this talk!!

→ for now, let's look at the concrete case of sterile neutrinos

→ see talk by Rouzbeh Allahverdi

## 4. Production of Sterile Neutrinos

# 4. Production of Sterile Neutrinos

## 4 main mechanisms for sterile neutrinos:

### Non-resonant transitions

First: Langacker

DM: **Dodelson & Widrow**

Idea: active-sterile mixings  
gradually produce  $\nu_s$

### Resonant transitions

First: Enquist, Kainulainen

DM: **Shi & Fuller, Abazajian,  
Shaposhnikov, Laine, ...**

Idea: “MSW”-enhancement  
of active-sterile transitions

### Decay production

$\nu_s$ -DM: **Kusenko, AM,  
Boyanovsky, Shaposhnikov, ...**

Idea: other new particle is  
produced and decays into  $\nu_s$

### Diluted thermal production

$\nu_s$ -DM: **Bezrukov, Lindner,  
Nemevsek, Senjanovic, AM, ...**

Idea: produce entropy to  
dilute thermal over-  
abundance

# 4. Production of Sterile Neutrinos

## 4 main mechanisms for sterile neutrinos:

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gradual

### Resonant transitions

First: Enquist, Kajantie, Lindner

DM: Shi & Fulling, ...

...

resonance enhancement

active-sterile transitions

**BUT: When confronted with data...**

**WHICH MECHANISMS SURVIVE?!?**

$\nu_s$ -DM: Kusenko, AM, Boyanovsky, Shaposhnikov, ...

Idea: other new particle is produced and decays into  $\nu_s$

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$\nu_s$ -DM: Bezrukov, Lindner, Nemevsek, Senjanovic, AM, ...

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# 4. Production of Sterile Neutrinos

4 main mechanisms for sterile neutrinos:

## Non-resonant transitions

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gradually produce  $\nu_s$

EXCLUDED BY  
STRUCTURE  
FORMATION  
(TOO "HOT" DM)

## Resonant transitions

Minulainen

Abazajian,

Ly, Laine, ...

" $\nu_s$ -enhancement  
or active-sterile transitions

## Decay production

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# 4. Production of Sterile Neutrinos

4 main mechanisms

for sterile neutrinos:

## Non-resonant

First: Langacker

DM: Dodelson

Idea: active-sterile

gradually produce  $\nu_s$

AT LEAST  
PUSHED BY  
STRUCTURE  
FORMATION  
(RELATIVELY  
"WARM")

## Resonant transitions

First: Enquist, Kainulainen

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**STRONGLY PUSHED BY  
BBN REQUIREMENTS**

$\nu_s$ -DM: Boyarsky, Shaposhnikov, ...

Idea: other new particle is  
produced and decays into  $\nu_s$

### Diluted thermal production

$\nu_s$ -DM: Bezrukov, Lindner,  
Nemevsek, Senjanovic, AM, ...

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# 4. Production of Sterile Neutrinos

## 4 main mechanisms for sterile neutrinos:

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Idea: active-sterile mixings  
gradually produce

### Resonant transitions

First: Enquist, Kainulainen

DM: Shi & Fuller, Abazajian, ...

OKAY FOR A SIGNIFICANT PART  
OF THE PARAMETER SPACE  
(BUT: partially just like CDM)

### Decay production

$\nu_s$ -DM: Kusenko, AM,  
Boyanovsky, Shaposhnikov, ...

Idea: other new particle is  
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### Diluted thermal production

$\nu_s$ -DM: Bezrukov, Lindner,  
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# 4. *Example 1: Active-Sterile Transitions*

## Simplest idea:

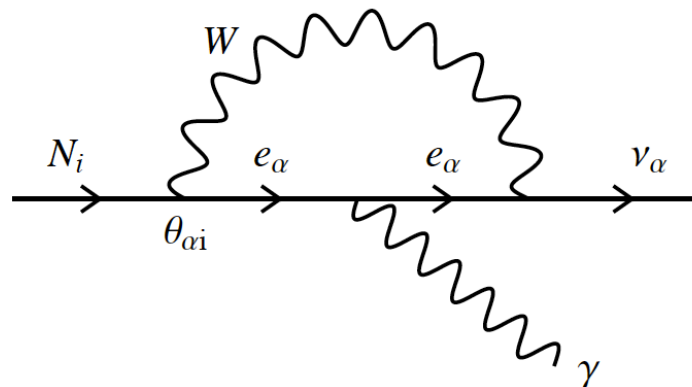
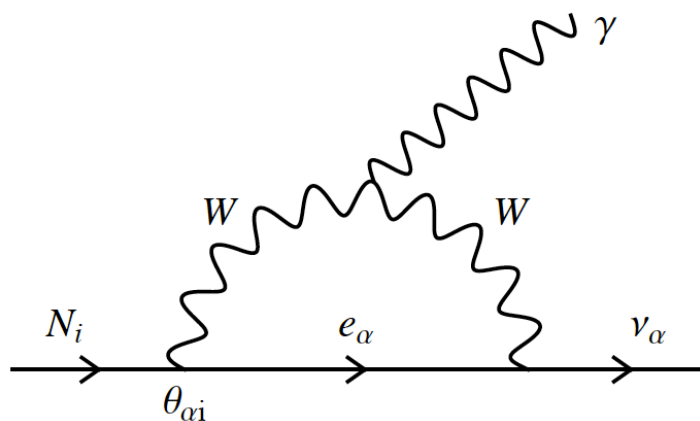
- sterile neutrinos are not entirely sterile
    - *their small mixing with active neutrinos connect them to the Standard Model*
  - Why not using this to efficiently produce them?!?
    - *non-resonant active-sterile transitions*  
("Dodelson-Widrow")
    - *resonant active-sterile transitions*  
("Shi-Fuller")
- ... these are the "standard" options

# The Dodelson-Widrow mechanism

It could all be sooo simple...

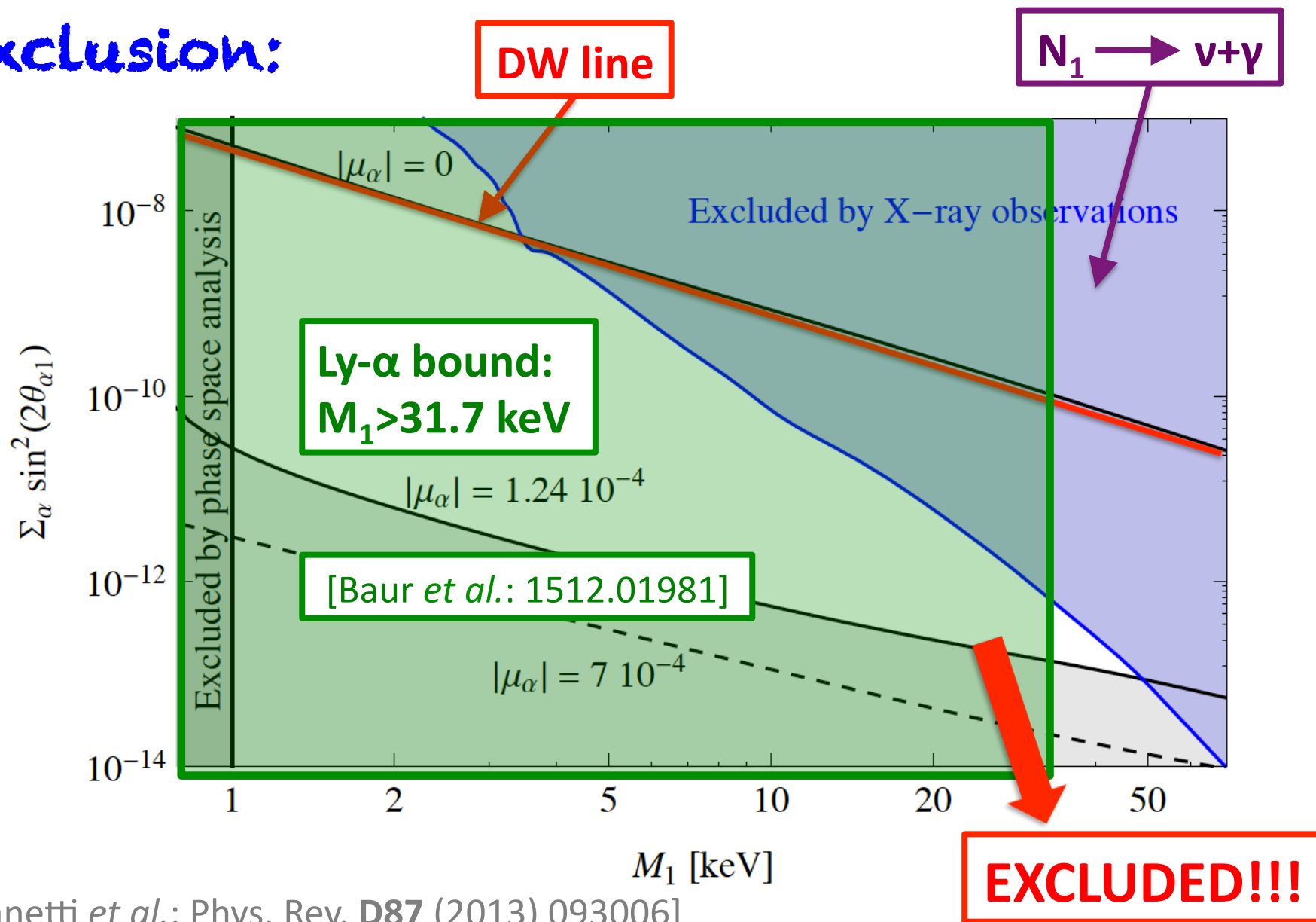
[Dodelson, Widrow: Phys. Rev. Lett. 72 (1994) 17]

- slow non-resonant “oscillations” of active into sterile neutrinos can gradually produce the DM from the thermal plasma (just like “freeze-in”) → *nice & simple*
- this mechanism produces relatively hot DM → large mass  $M_1$  needed, **BUT decay into X-rays scales like  $M_1^5$** :



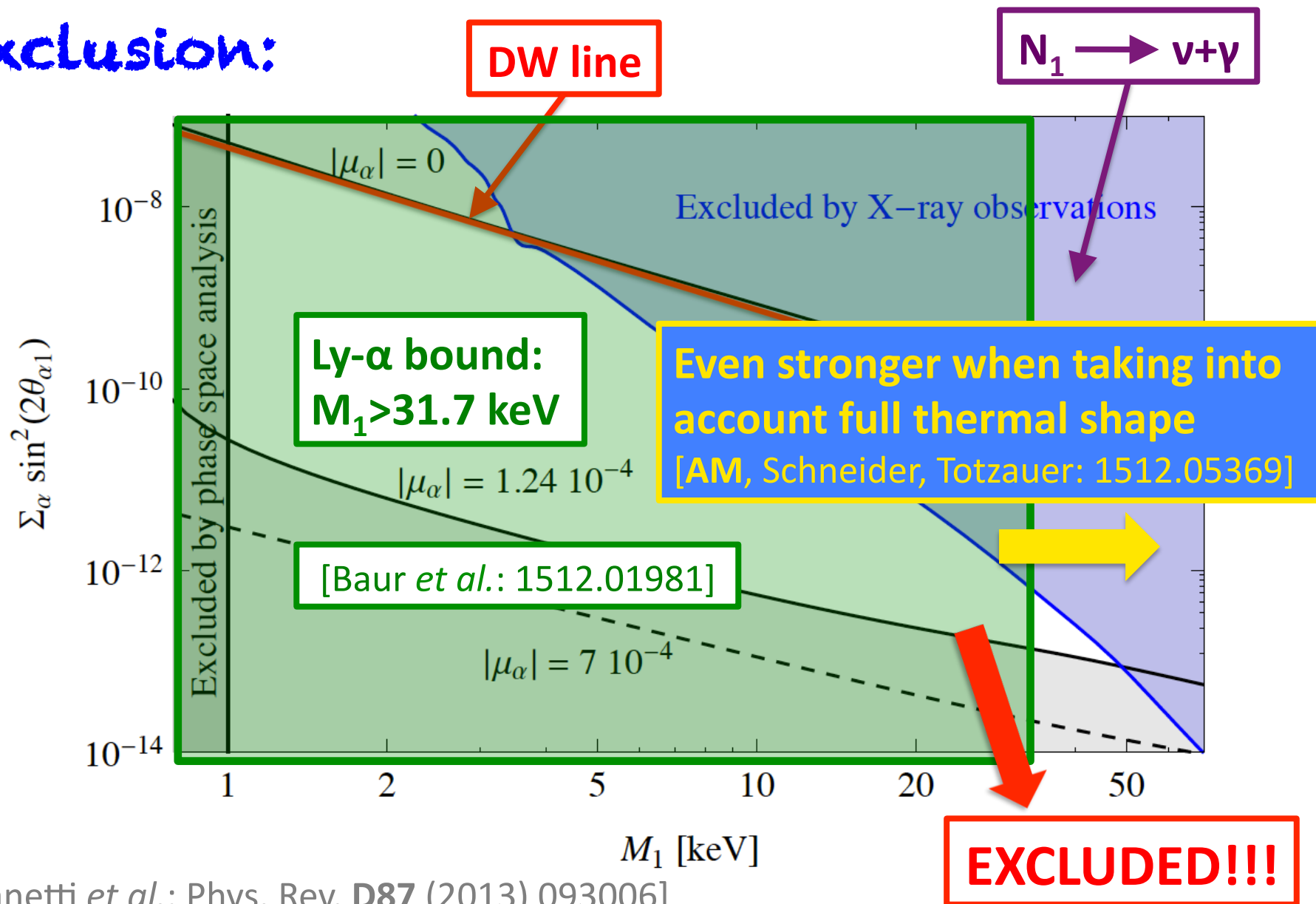
# The Dodelson-Widrow mechanism

Exclusion:



# The Dodelson-Widrow mechanism

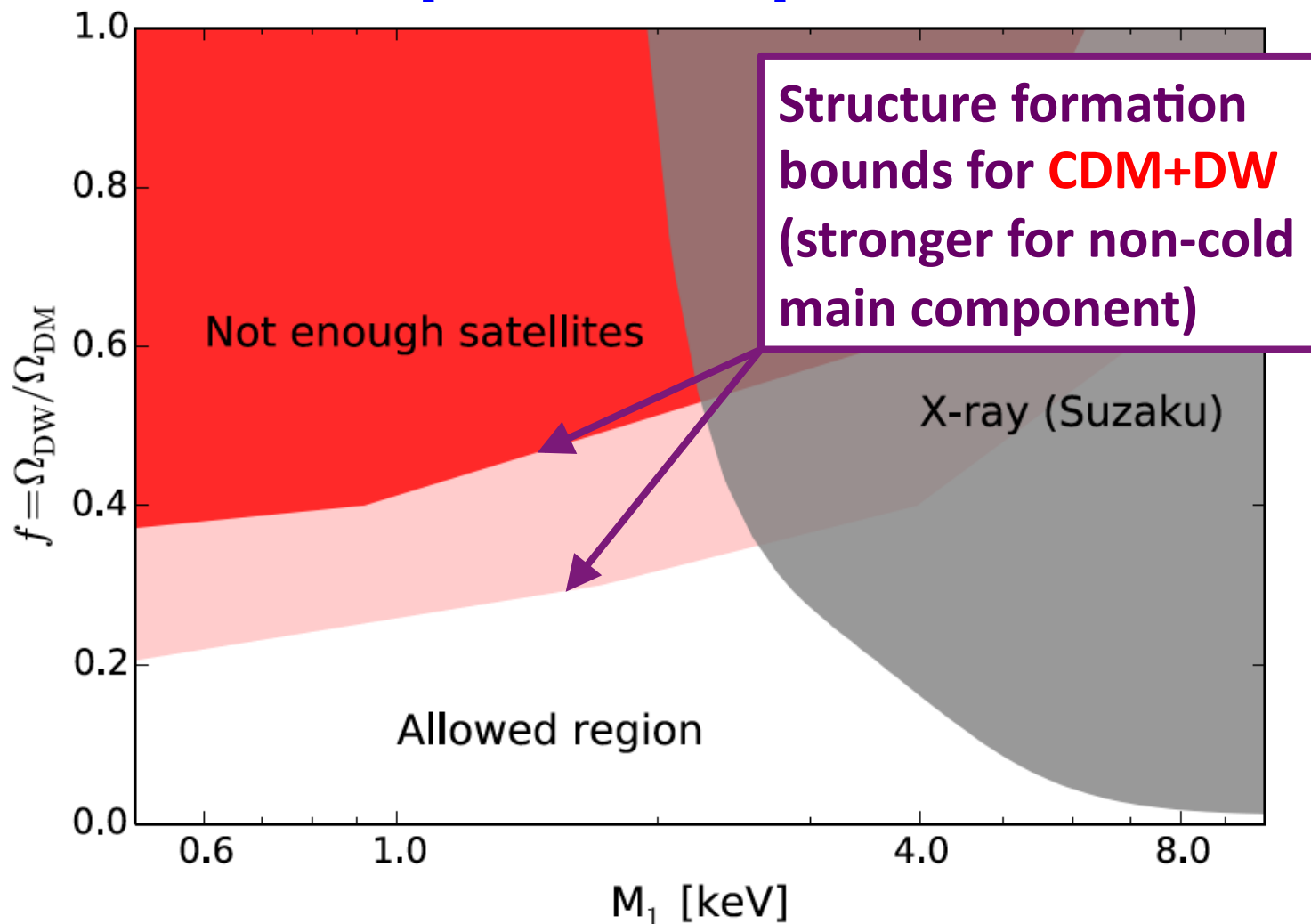
Exclusion:





# The Dodelson-Widrow mechanism

DW could still produce part of the DM:



# *The Shi-Fuller mechanism*

**Is there a good way out?!?**

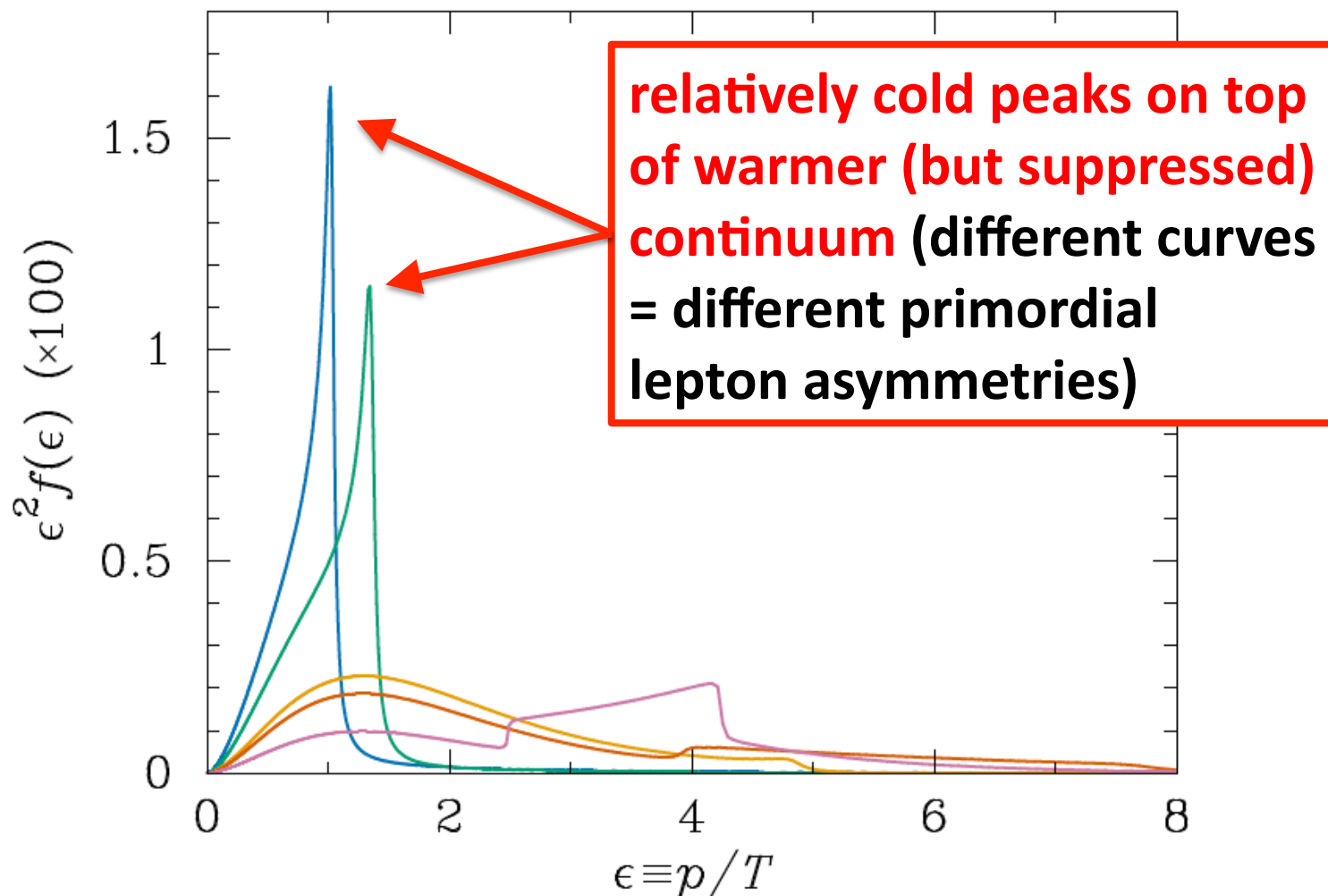
[Shi, Fuller: Phys. Rev. Lett. 82 (1999) 2832]

- just like for ordinary neutrinos in the Sun, active-sterile neutrino **transitions could be resonantly enhanced by a sizeable lepton number asymmetry  $|\mu_\alpha|$**  present in the early Universe
- this would produce a large amount of  $\nu_s$  at a specific (momentum-dependent) resonance temperature  
→ *cooler spectrum*
- **BUT: the origin of such a primordial lepton number asymmetry is unclear... XXXX**

# *The Shi-Fuller mechanism*

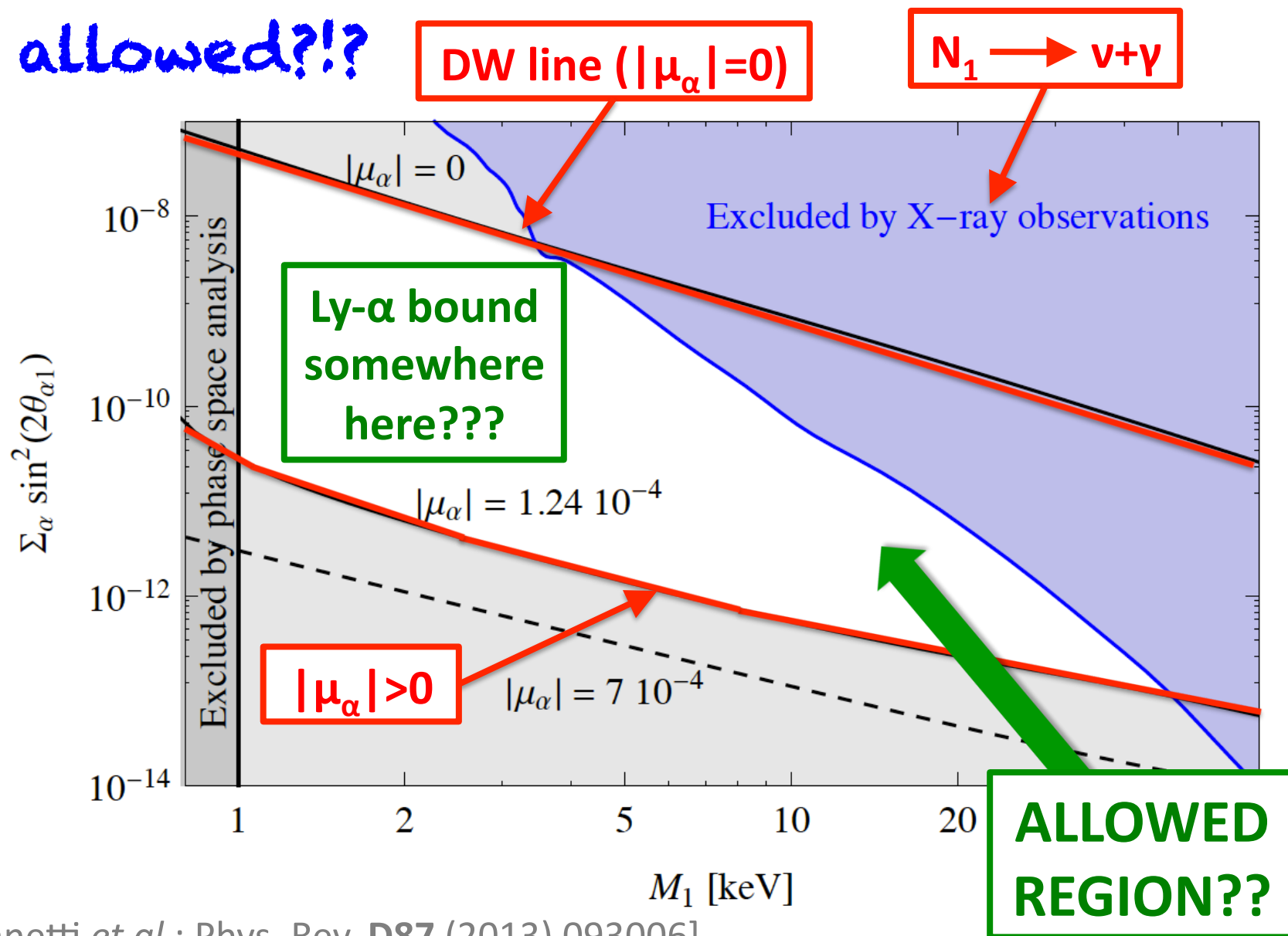
**This produces to non-trivial spectra:**

[Abazajian: Phys. Rev. Lett. **112** (2014) 161303]



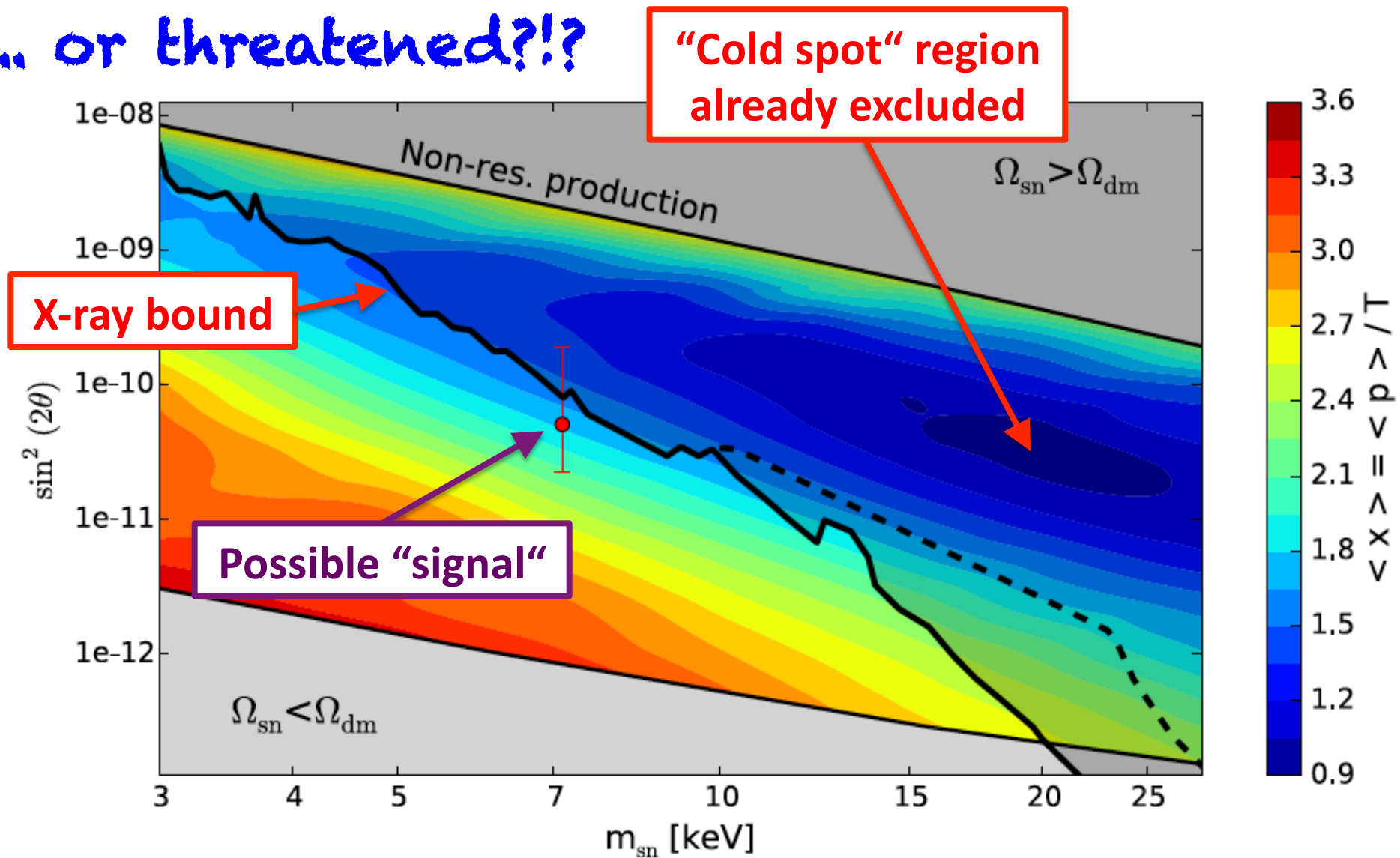
# The Shi-Fuller mechanism

... allowed?!?



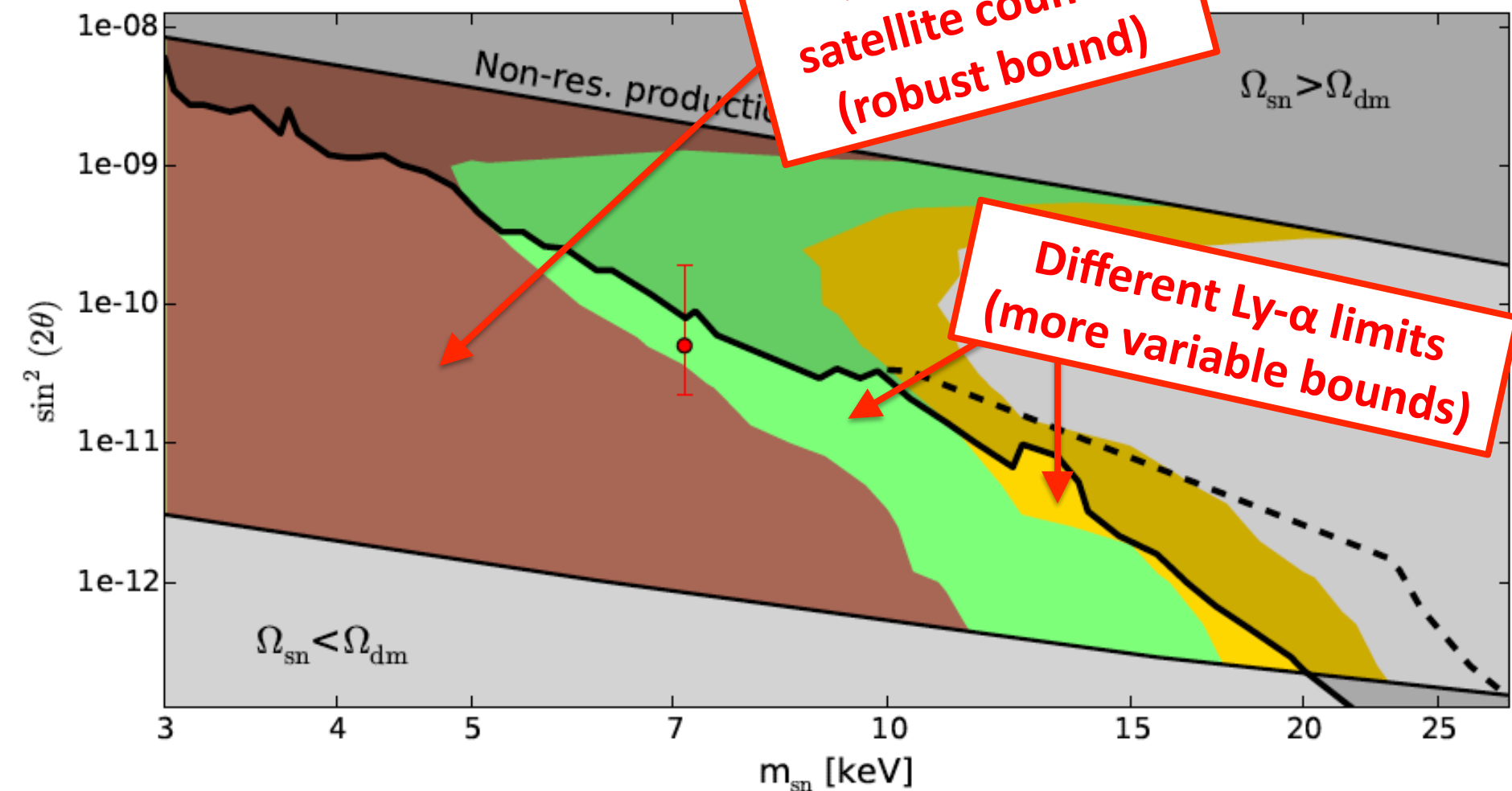
# The Shi-Fuller mechanism

... or threatened?!?



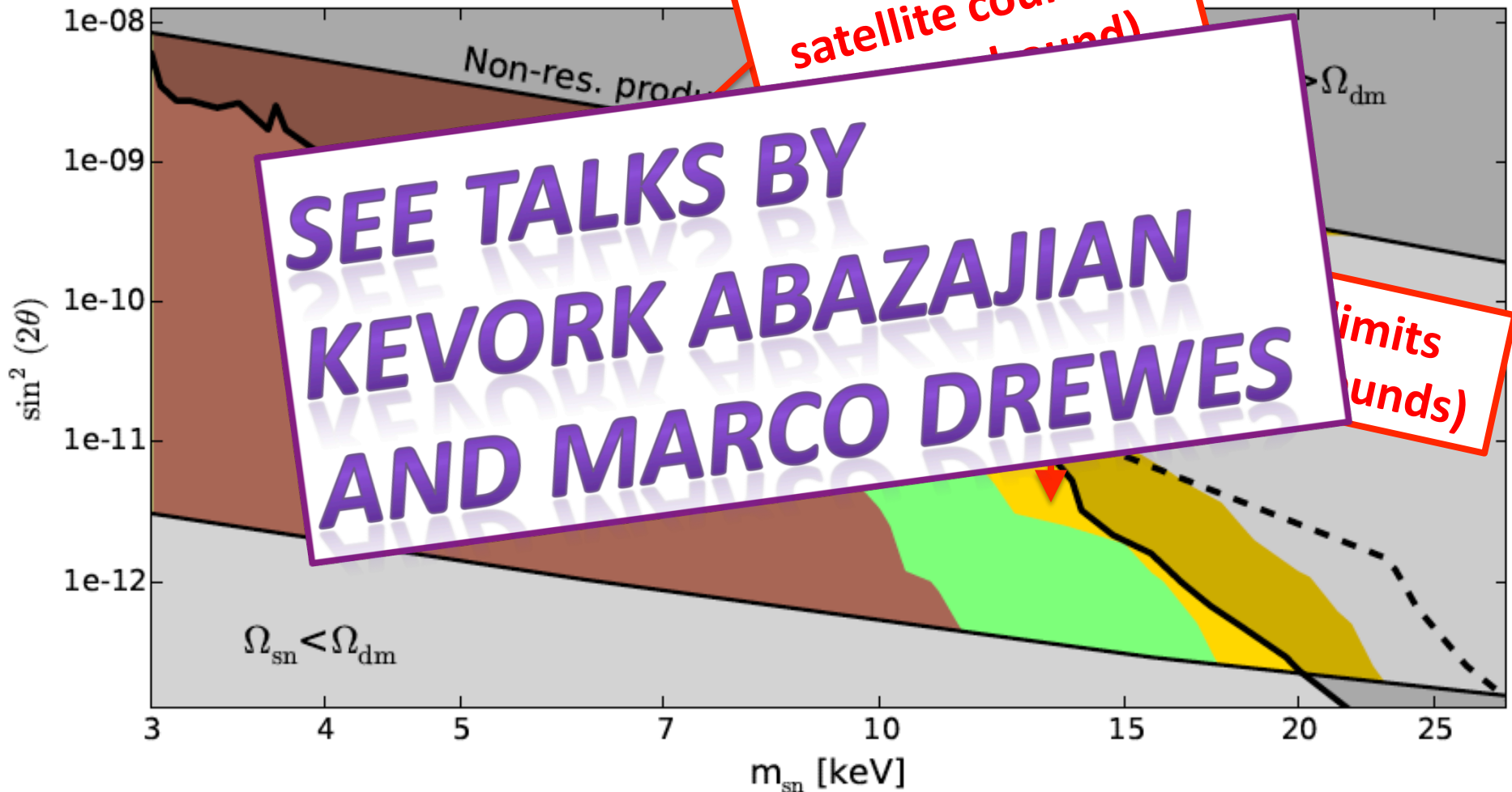
# The Shi-Fuller mechanism

... or threatened?!?



# The Shi-Fuller mechanism

... or threatened?!?



## 4. *Example 2*: Decay production



## 4. *Example 2*: Decay production

E.g. scalar decays: e.g.  $S \rightarrow N_1 N_1$

- **decaying inflaton**

[Asaka *et al.*: Phys. Lett. **B638** (2006) 401]

[Anisimov *et al.*: Phys. Lett. **B671** (2009) 211]

[Bezrukov, Gorbunov: JHEP **1005** (2010) 010]

- **singlet scalar that freezes out**

[Kusenko: Phys. Rev. Lett. **97** (2006) 241301; Kusenko, Petraki: Phys. Rev. **D77** (2008) 065014]

[Frigerio, Yaguna: Eur. Phys. J. **C75** (2015), 1]

[AM, Schneider: Phys. Lett. **B749** (2015) 283; AM, Totzauer: JCAP **1506** (2015) 011]

- **singlet scalar that freezes in**

[AM, Niro, Schmidt: JCAP **1403** (2013) 028]

[Adulpravitchai, Schmidt: JHEP **1501** (2015) 006]

[AM, Schneider: Phys. Lett. **B749** (2015) 283; AM, Totzauer: JCAP **1506** (2015) 011]

[Klasen, Yaguna: JCAP **1311** (2013) 039]

- **other particle that decays**

[Lello, Boyanovsky: Phys. Rev. **D91** (2015) 063502]

[Lello, Boyanovsky: 1508.04077]

# 4. Example 2: Decay production

- SINGLET SCALAR “S” FREEZES IN OR OUT BEFORE DECAY

[Kusenko: Phys. Rev. Lett. **97** (2006) 241301; Kusenko, Petraki: Phys. Rev. **D77** (2008) 065014]

[AM, Niro, Schmidt: JCAP **1403** (2013) 028; AM, Totzauer: JCAP **1506** (2015) 011]

## Two-step process:

scalar  $S$  must be produced before it can decay

→ solution: distribution of scalar translates directly into distribution of sterile neutrinos

$$f_N(x, r) = \int_0^r dr' 2\mathcal{C}_\Gamma \frac{r'^2}{x^2} \int_{\hat{x}_{\min}}^{\infty} d\hat{x} \frac{\hat{x}}{\sqrt{\hat{x}^2 + r'^2}} f_S(\hat{x}, r')$$

$$\hat{x}_{\min} = \|x - r^2/(4x)\|.$$

$$\begin{array}{l} x=p/T \\ r=m_s/T \end{array}$$

**direct translation**

## 4. *Example 2*: Decay production

- **SINGLET SCALAR “S” FREEZES IN OR OUT BEFORE DECAY**

[Kusenko: Phys. Rev. Lett. **97** (2006) 241301; Kusenko, Petraki: Phys. Rev. **D77** (2008) 065014]

[AM, Niro, Schmidt: JCAP **1403** (2013) 028; AM, Totzauer: JCAP **1506** (2015) 011]

### Two-step process:

scalar  $S$  must be produced before it can decay  
→ TWO crucial quantities (in convenient units):

$$\begin{cases} \text{the effective decay width:} & C_{\Gamma} \equiv \frac{M_0}{m_S} \frac{\Gamma}{m_S} \\ \text{the effective (squared) Higgs portal:} & C_{\text{HP}} \equiv \frac{M_0}{m_S} \frac{\lambda^2}{16\pi^3} \end{cases}$$

→  $C_{\Gamma}$ : “rate of scalar decay”

→  $C_{\text{HP}}$ : “coupling strength to SM particles”

For sufficiently high temperatures, where the production happens,  $m_S$  drops out of quantities which are not dimensionful (in particular the DM abundance only depends on  $M_1/m_S$ )!!!

# 4. Example 2: Decay production

## • SINGLET SCALAR “S” FREEZES IN OR OUT BEFORE DECAY

[Kusenko: Phys. Rev. Lett. **97** (2006) 241301; Kusenko, Petraki: Phys. Rev. **D77** (2008) 065014]

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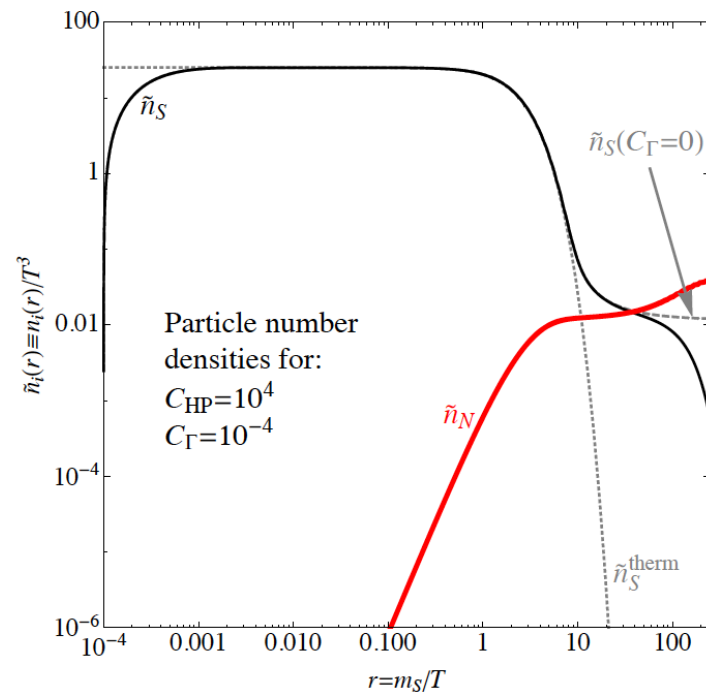
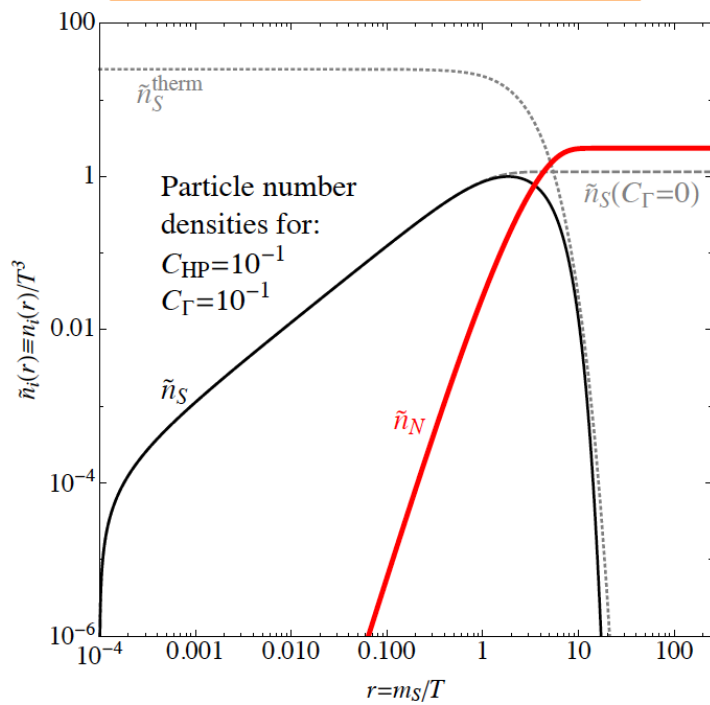
Two-step process:

scalar  $S$  must be produced before it can decay

S freezes-in and decays afterwards

S freezes-out and decays both in and out of equilibrium

Abundance



# 4. Example 2: Decay production

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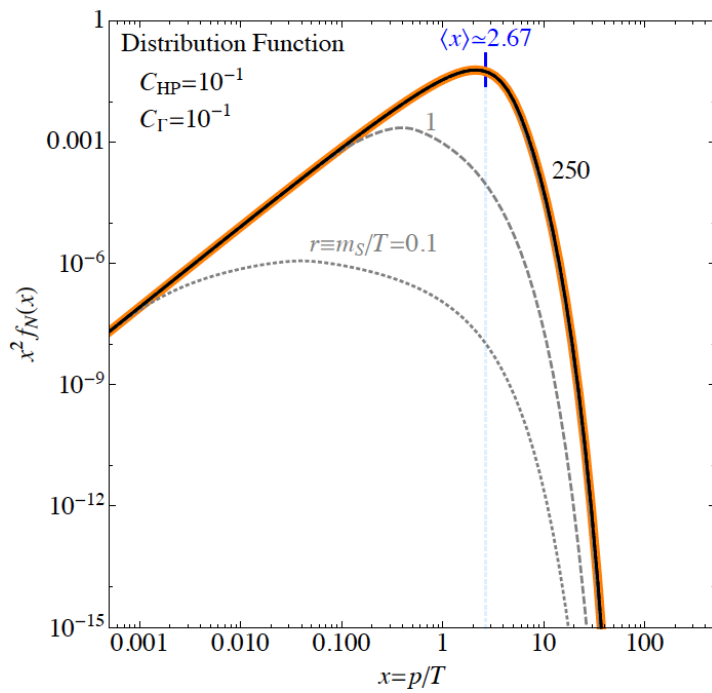
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Two-step process:

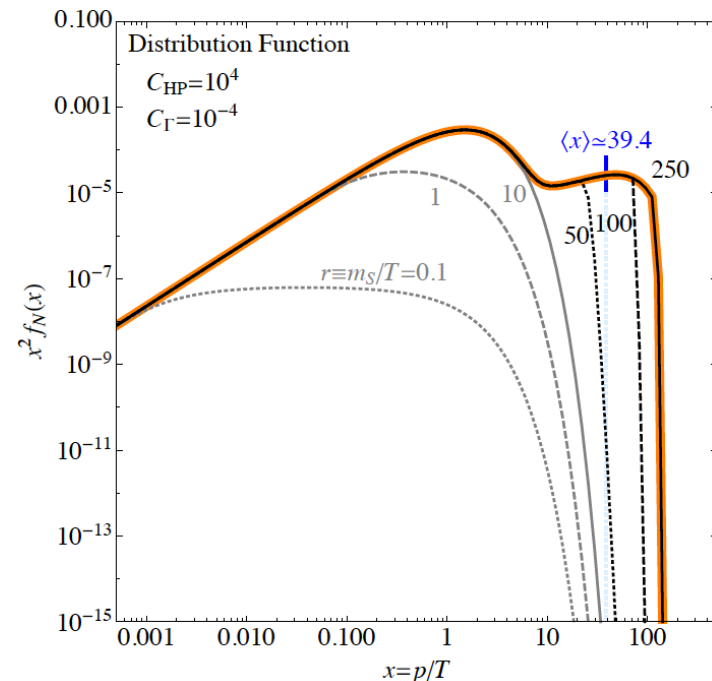
scalar  $S$  must be produced before it can decay

$S$  freezes-in and decays afterwards

$S$  freezes-out and decays both in and out of equilibrium



Distribution function



# 4. Example 2: Decay production

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Two-step process:

scalar  $S$  must be produced before it can decay

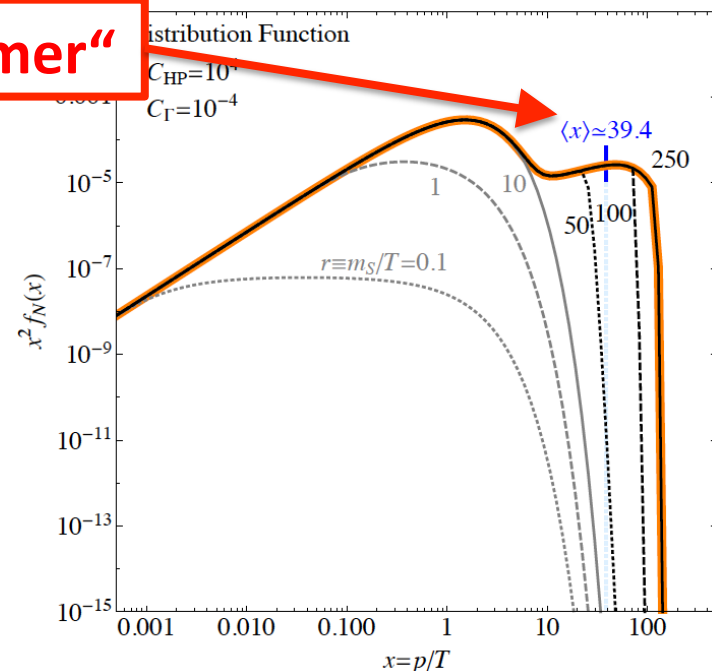
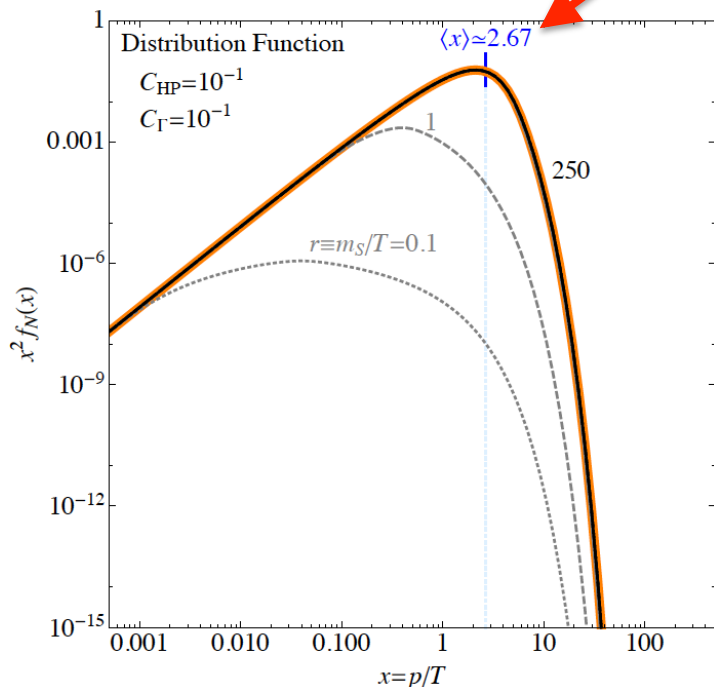
$S$  freezes-in and decays afterwards

“colder”

$S$  freezes-out and decays both in and out of equilibrium

“warmer”

Distribution function



# 4. Example 2: Decay production

## • SINGLET SCALAR “S” FREEZES IN OR OUT BEFORE DECAY

[Kusenko: Phys. Rev. Lett. **97** (2006) 241301; Kusenko, Petraki: Phys. Rev. **D77** (2008) 065014]

[AM, Niro, Schmidt: JCAP **1403** (2013) 028; AM, Totzauer: JCAP **1506** (2015) 011]

Two-step process:

scalar  $S$  must be produced before it can decay

$S$  freezes-in and decays afterwards

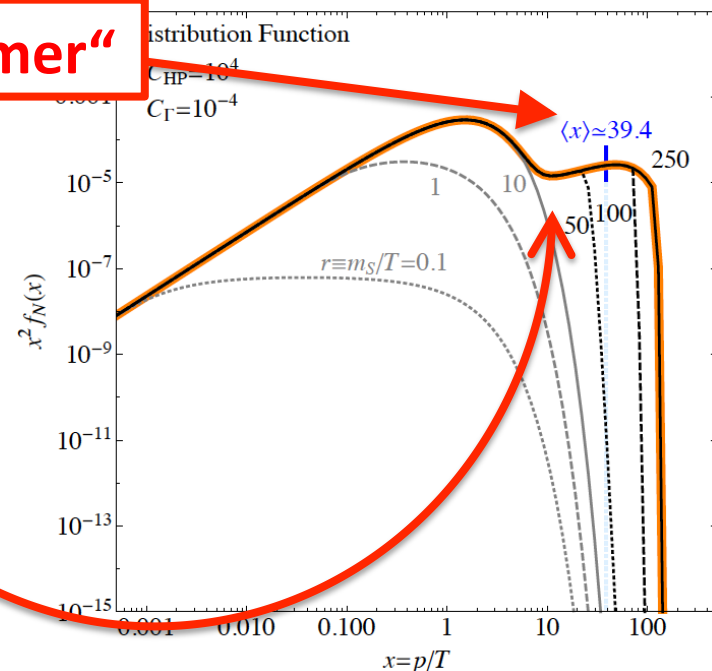
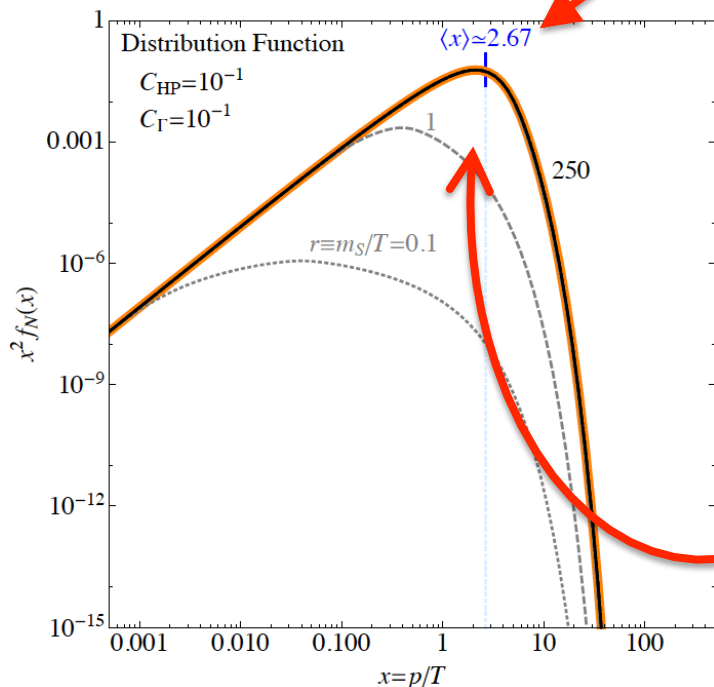
“colder”

$S$  freezes-out and decays both in and out of equilibrium

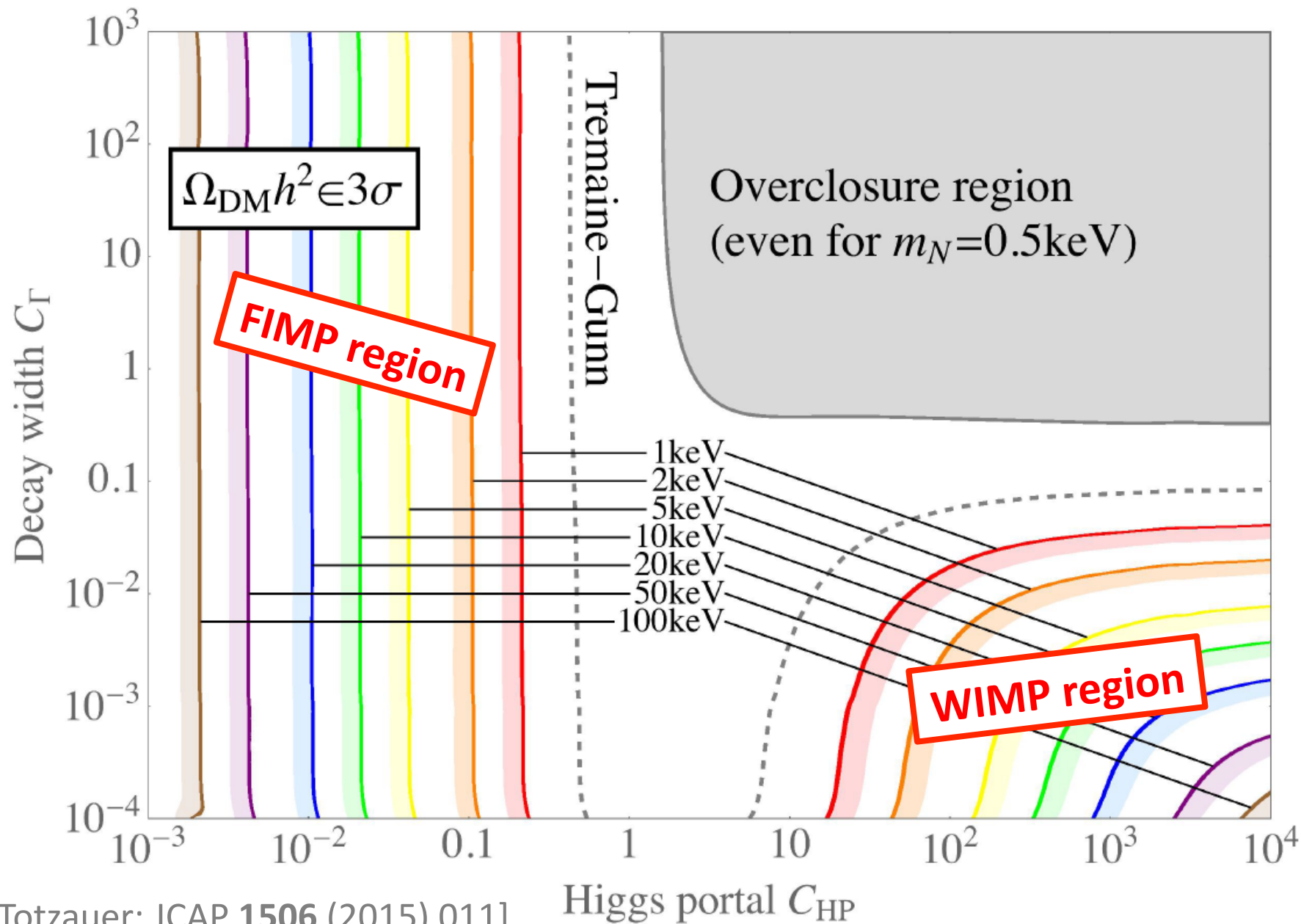
“warmer”

Distribution function

shape depends on regime

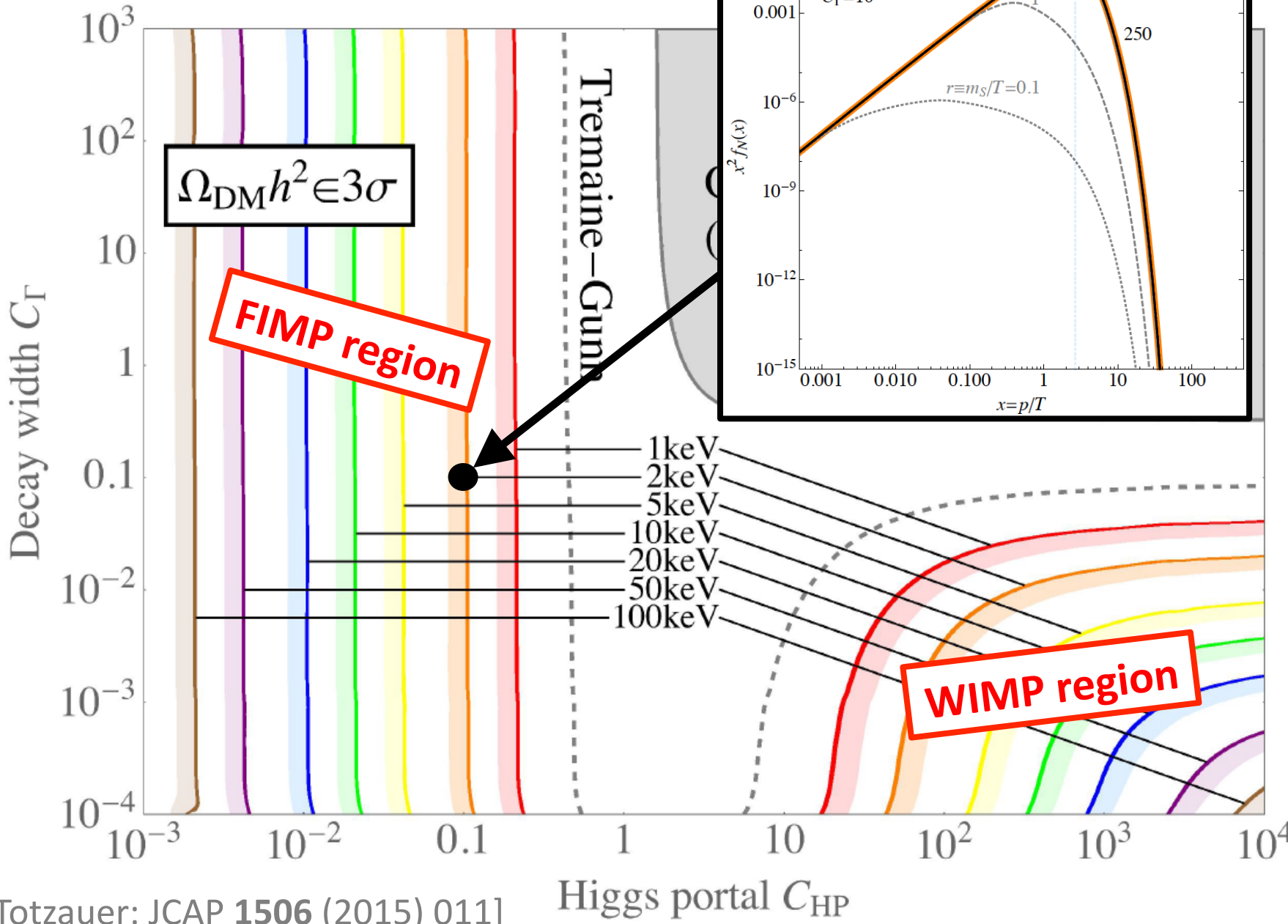


# Whole parameter space:

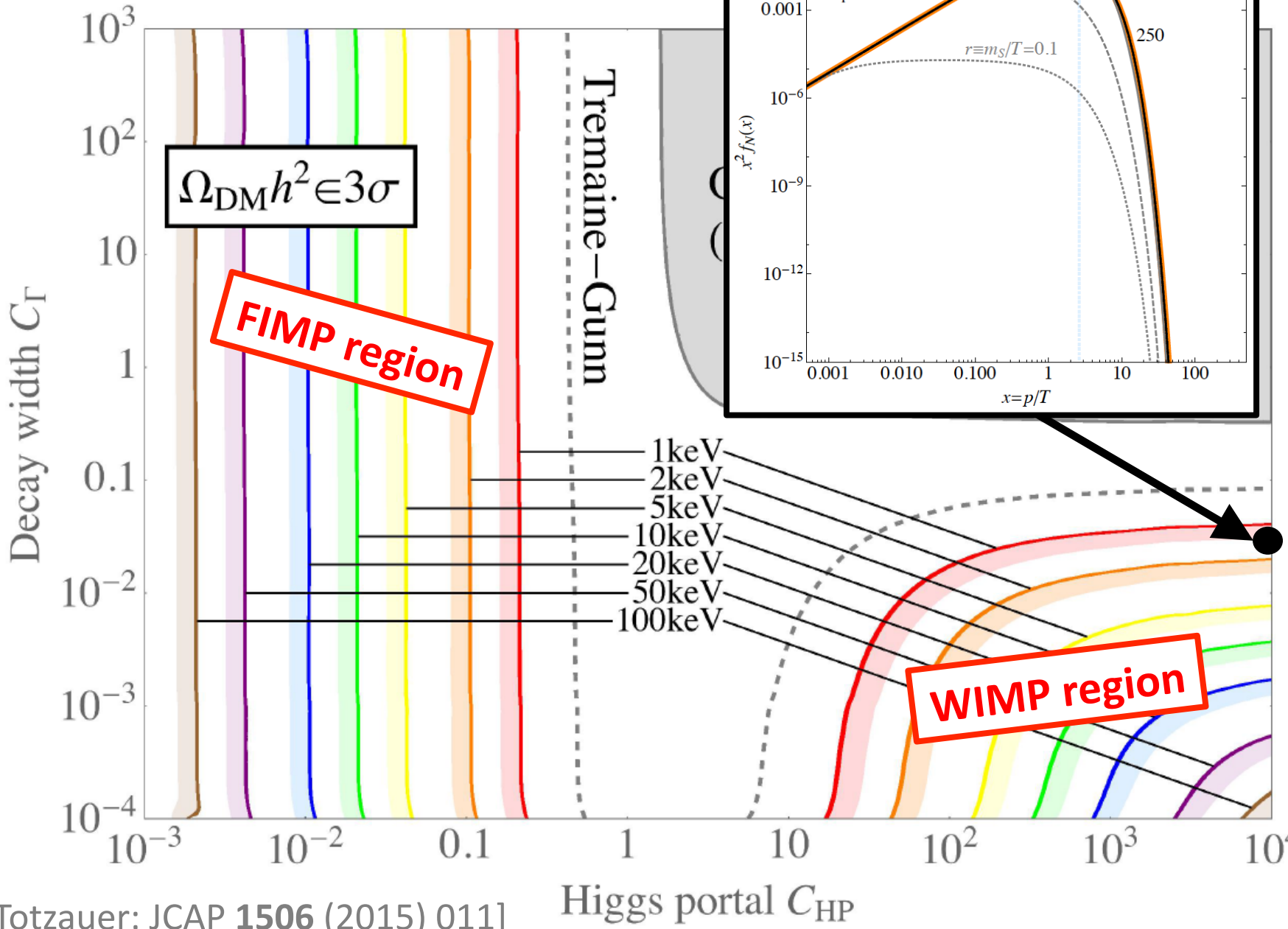




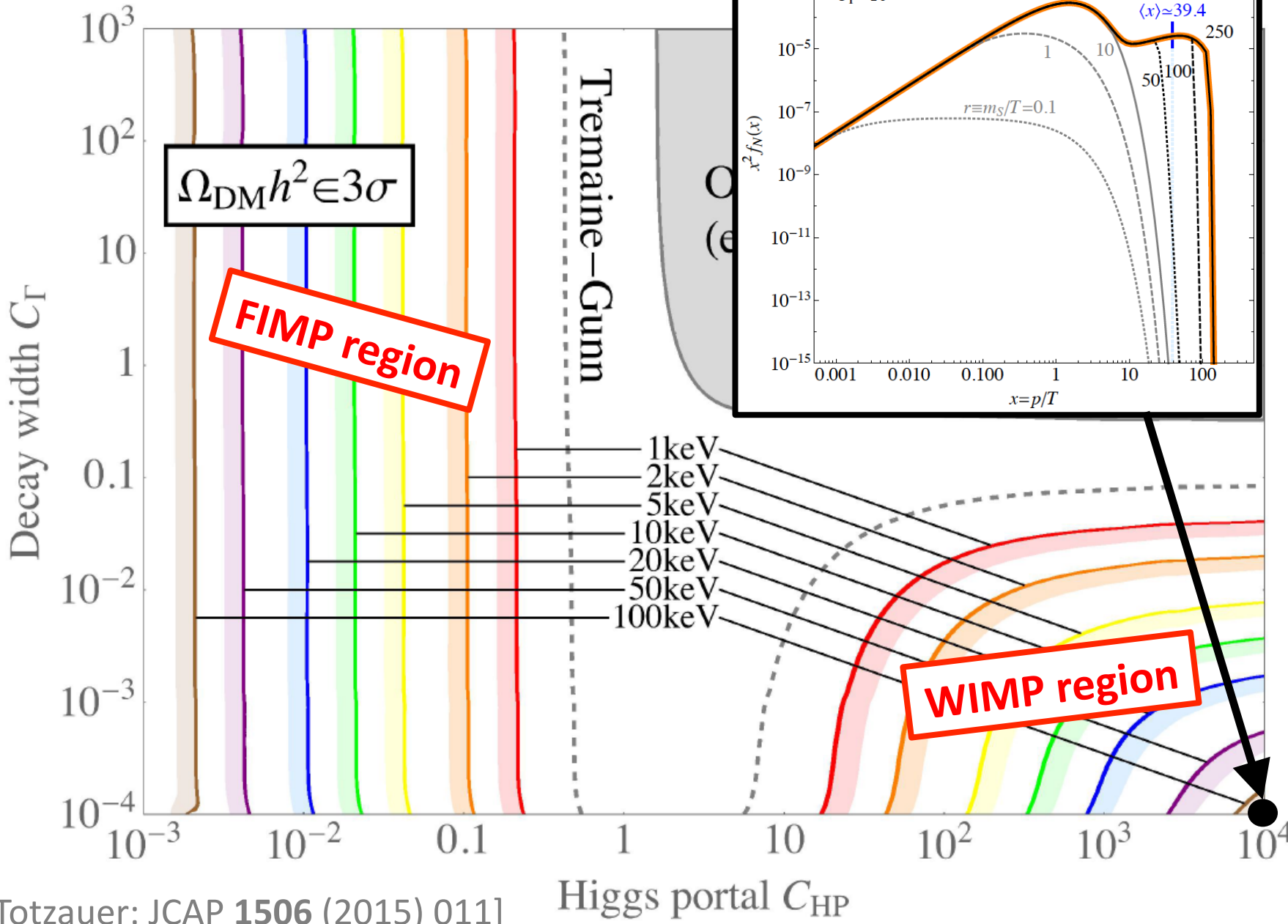
Whole parameter space:



Whole parameter space:

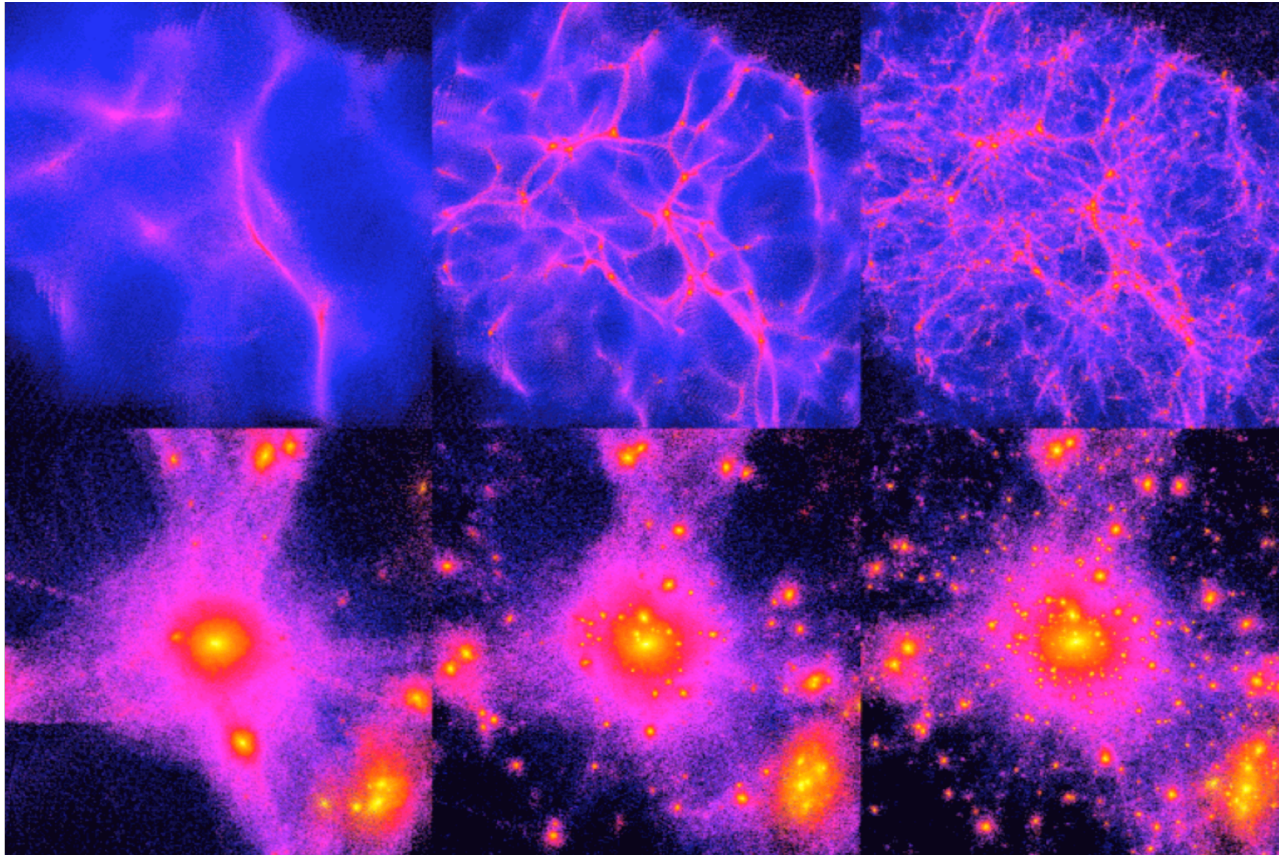


# Whole parameter space:



# 5. Structure Formation

But what about structure formation?!?



HOT

WARM

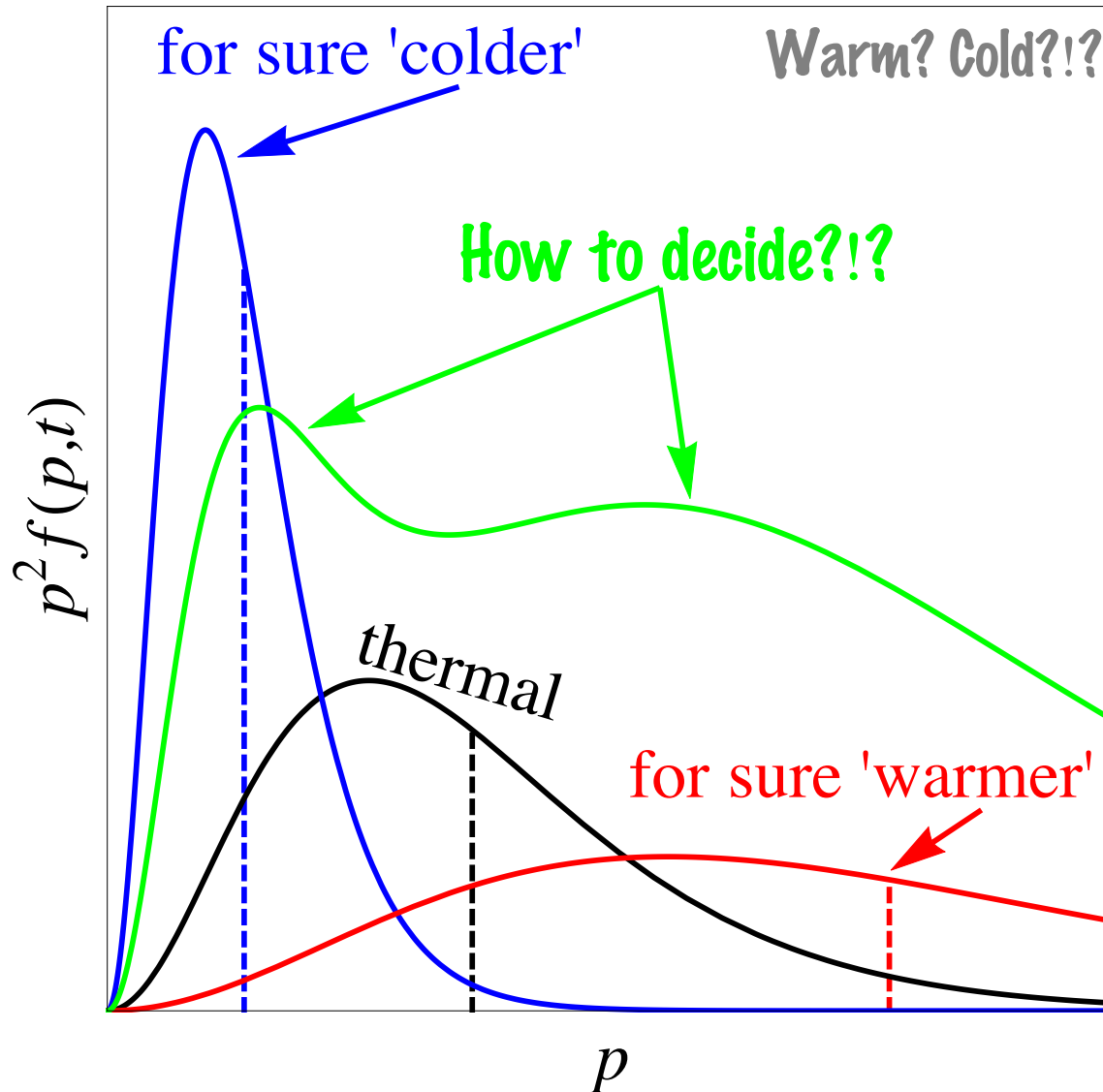
COLD

With non-thermal spectra...?!?



# 5. Structure Formation

NOW WE ARE COMING BACK TO THIS ISSUE:



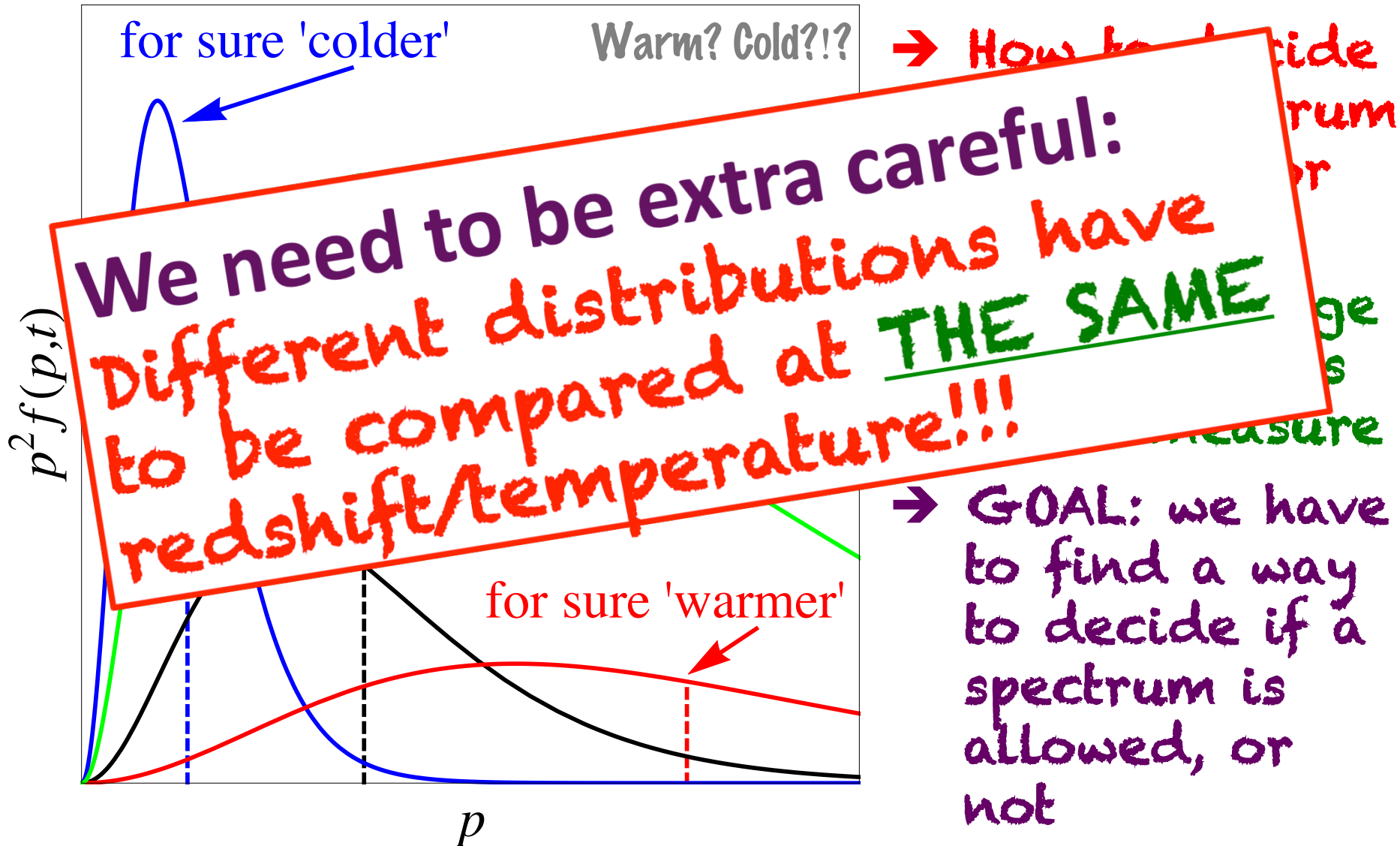
→ How to decide which spectrum is warmer or cooler?!?

→ ISSUE: average momentum is a bad measure

→ GOAL: we have to find a way to decide if a spectrum is allowed, or not

# 5. Structure Formation

NOW WE ARE COMING BACK TO THIS ISSUE:



# 5. Structure Formation

## • SINGLET SCALAR “S” FREEZES IN OR OUT BEFORE DECAY

[Kusenko: Phys. Rev. Lett. **97** (2006) 241301; Kusenko, Petraki: Phys. Rev. **D77** (2008) 065014]

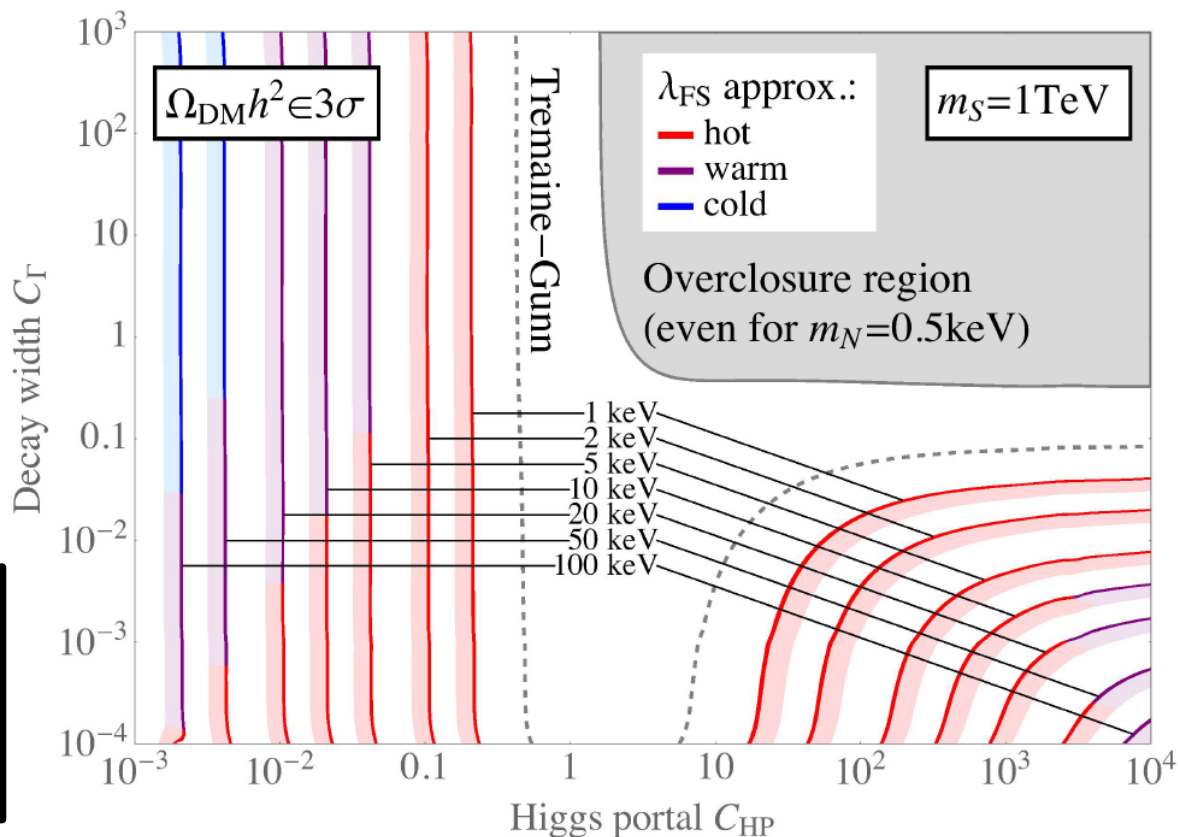
[AM, Niro, Schmidt: JCAP **1403** (2013) 028; AM, Totzauer: JCAP **1506** (2015) 011]

### Free-streaming horizon

$$r_{\text{FS}} = \int_{t_{\text{in}}}^{t_0} \frac{\langle v(t) \rangle}{a(t)} dt$$



Decides about whether the keV sterile neutrinos are **HOT**, **WARM**, or **COLD**



→ ALL possible depending on two effective parameters:  
 effective decay widths  $C_r$  & effective Higgs portal  $C_{\text{HP}}$

# 5. Structure Formation

## • SINGLET SCALAR “S” FREEZES IN OR OUT BEFORE DECAY

[Kusenko: Phys. Rev. Lett. **97** (2006) 241301; Kusenko, Petr **065014**]

[AM, Niro, Schmidt: JCAP **1403** (2013) 028: AM]

Free-streaming bound

Three problems:

- only large scalar mass region
- DW-contribution neglected
- free-streaming too crude!!!  
(based on average velocity...)

Decide  
the key  
are **HO**

Higgs portal  $C_{HP}$

→ ALL possible depending on two effective parameters:  
effective decay widths  $C_r$  & effective Higgs portal  $C_{HP}$

1TeV

$10^{-3}$   $10^{-2}$  0.1 1 10  $10^2$   $10^3$   $10^4$



# 5. Structure Formation

- **ALL PROBLEMS SOLVED IN NEXT PAPER:**

[König, AM, Totzauer: *Work in Progress*... 1607.XXXXX]

- DW-contribution already shown to be often negligible [AM, Schneider, Totzauer: JCAP 1604 (2016) 003]
- smaller scalar masses: technically involved (e.g.  $g_*$  not constant, more channels, Higgs freeze-out, ...) → difficult but done
- much better estimate of structure formation properties, based on power spectra obtained with the CLASS code (Lesgourgues & Tram)...

... and even better ones to come!

[König, AM, Schneider, Totzauer: *Work in Progress*]

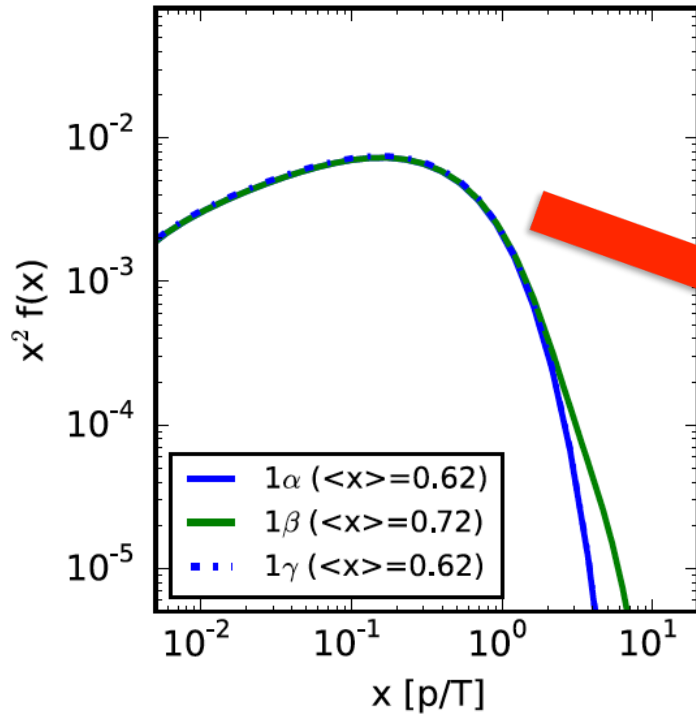
[Menci, AM, Schneider, Viel: *Work in Progress*]

# 5. Structure Formation

- **ALL PROBLEMS SOLVED IN NEXT PAPER:**

[König, AM, Totzauer: *Work in Progress*... 1607.XXXXX]

- we can use CLASS [[www.class-code.net](http://www.class-code.net)]  
→ authors: Julien Lesgourgues & Thomas Tram

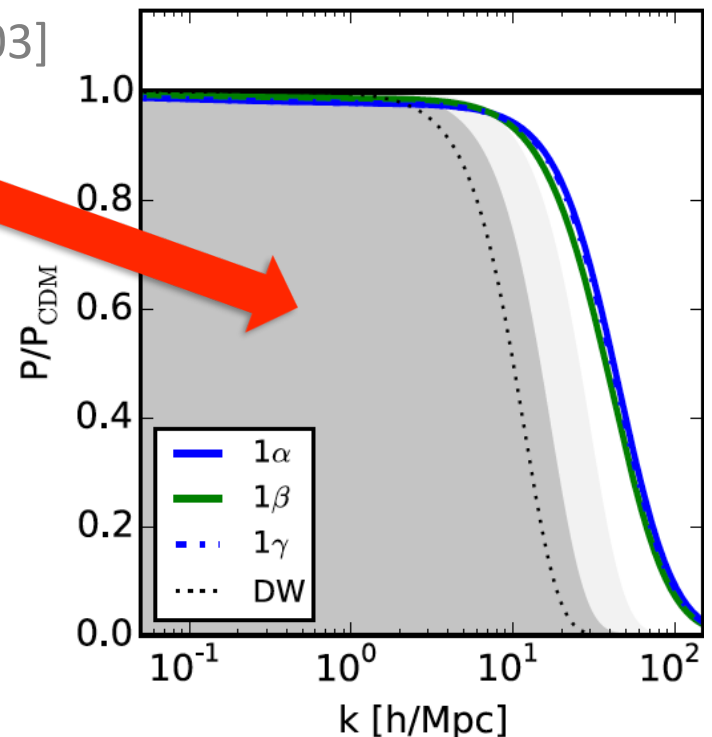


INPUT: momentum  
distribution  $f(p,T)$

[AM, Schneider,  
Totzauer: JCAP  
1604 (2016) 003]

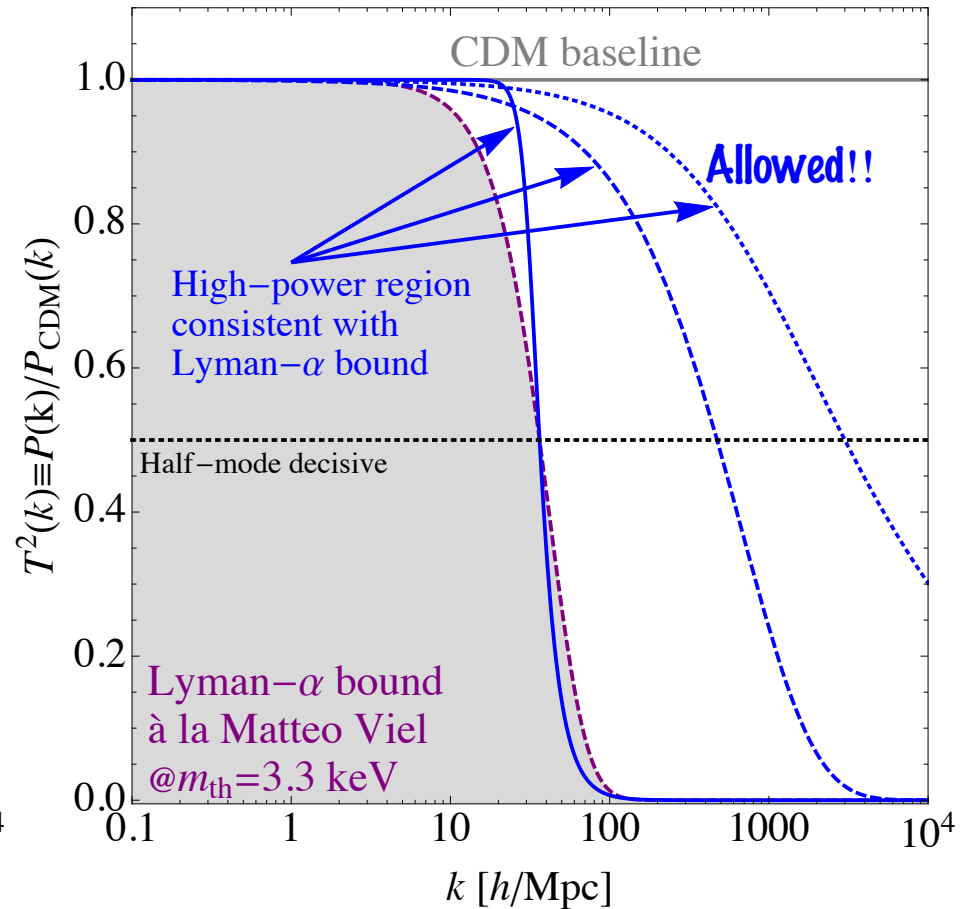
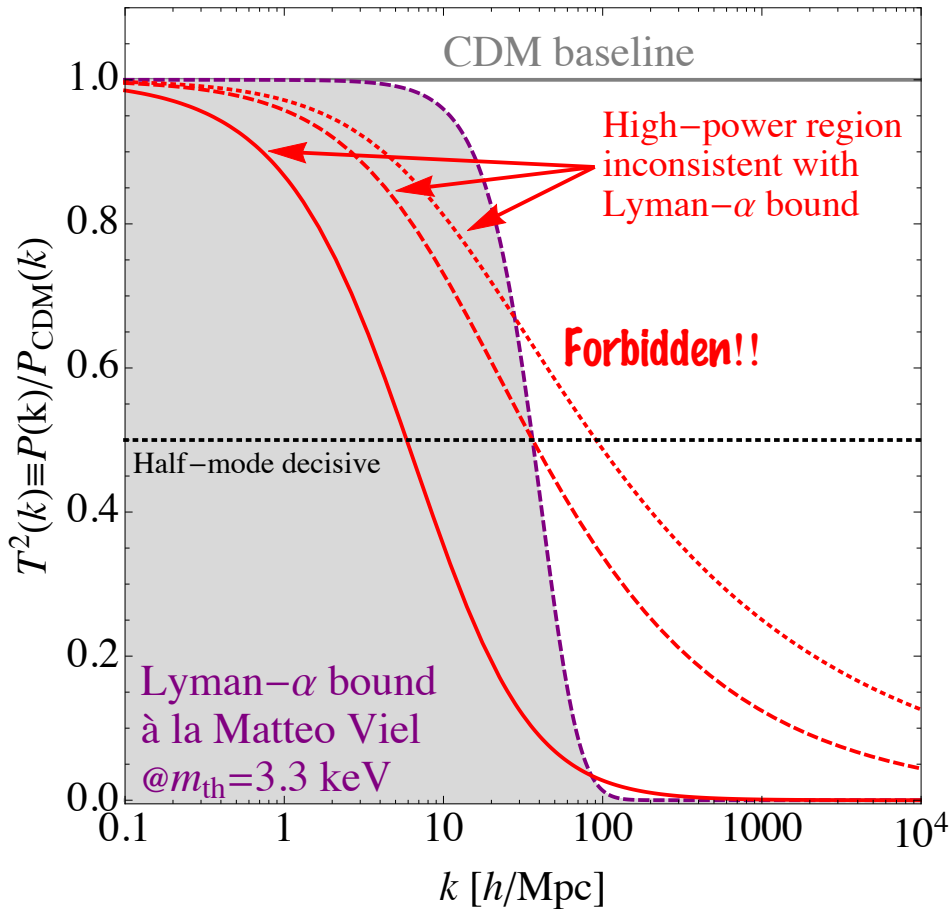
scalar  
decay  
+DW

OUTPUT: (squared)  
transfer function



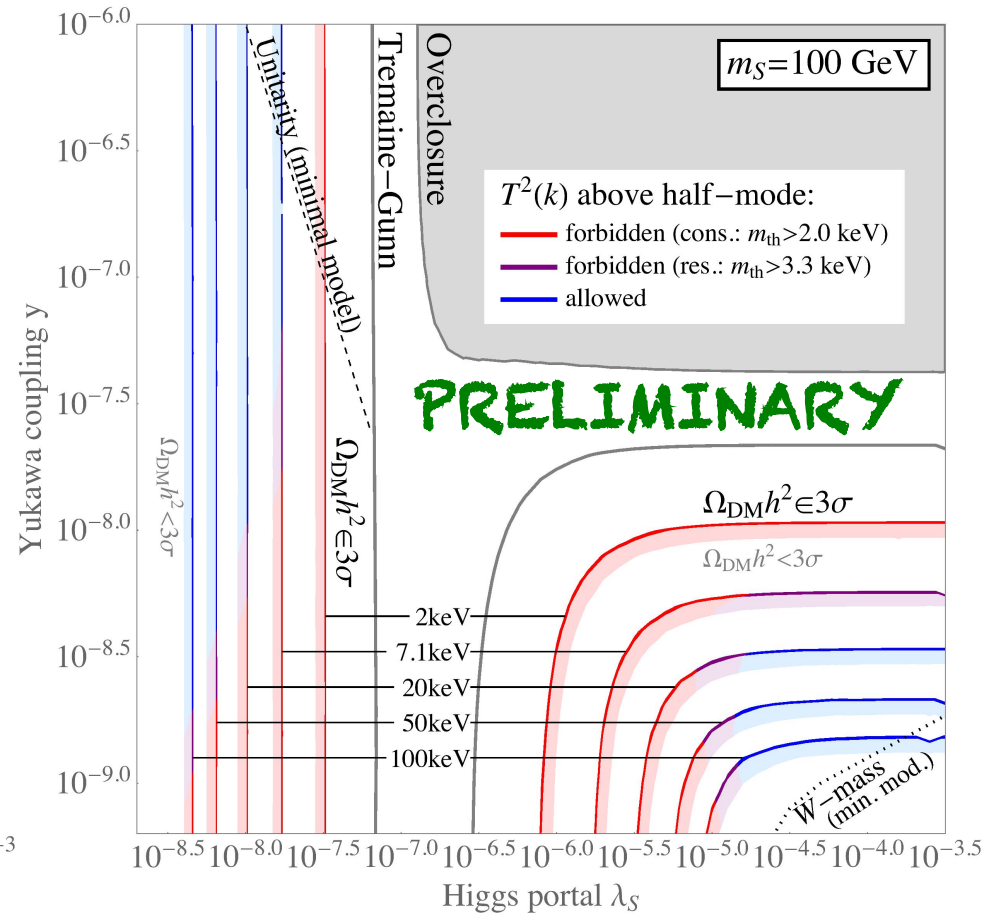
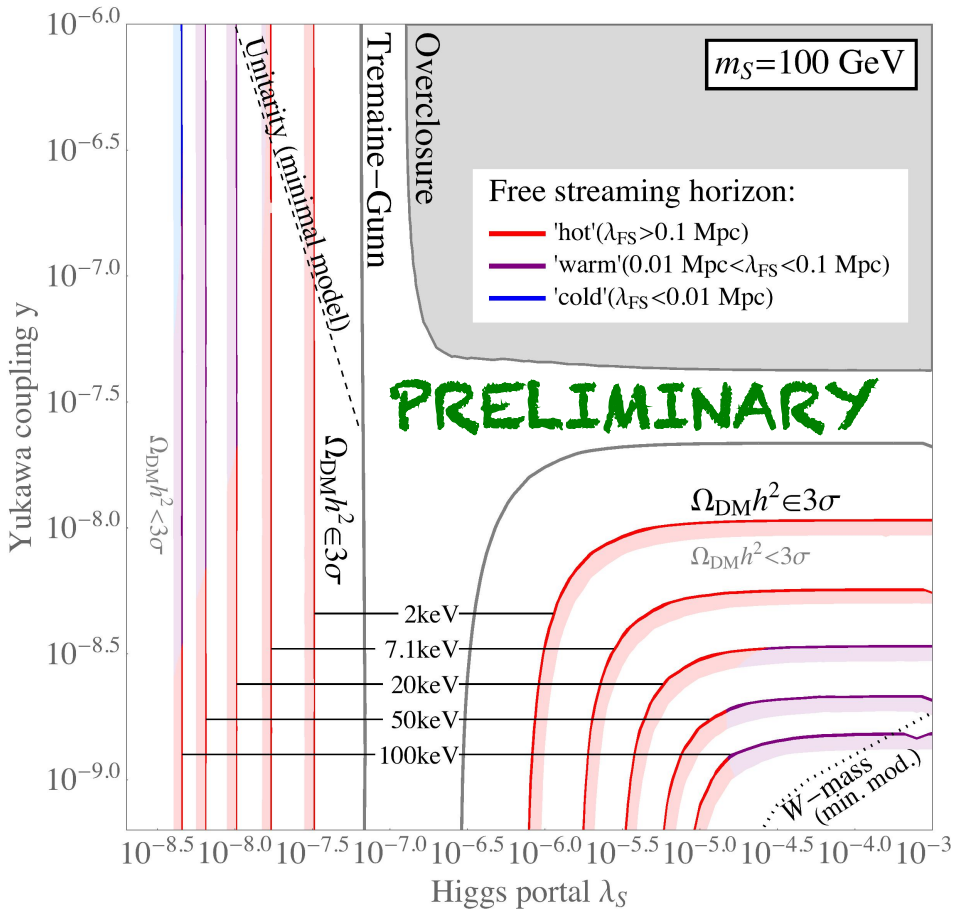
# 5. Structure Formation

- use half-mode crossing, instead of free streaming: WORKS FOR ANY DISTRIBUTION, NO MATTER HOW NON-THERMAL!!



# 5. Structure Formation

- YIELDS A MUCH MORE ACCURATE ESTIMATE:

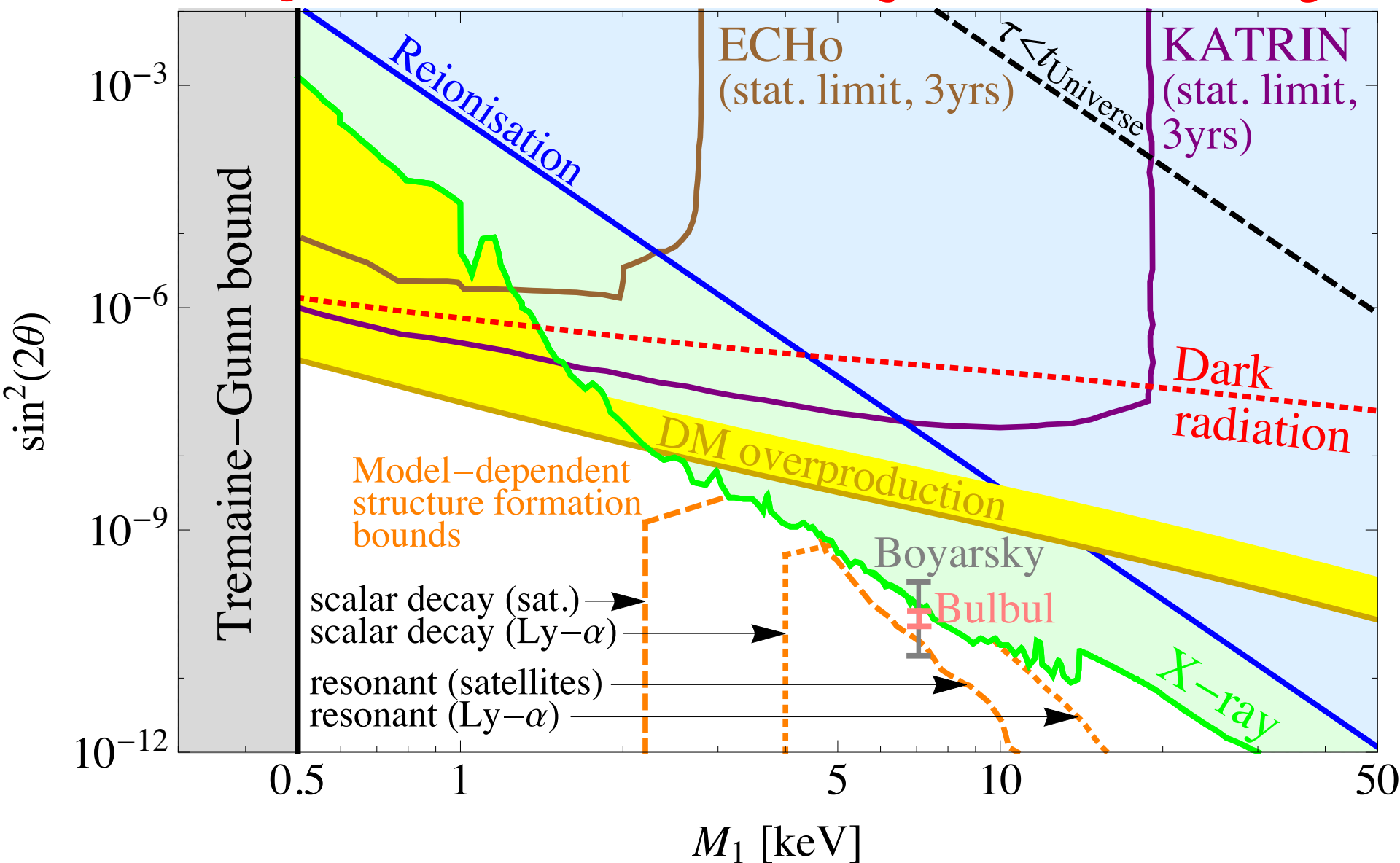


- FS-Horizon is actually too restrictive
- this method is as close as possible to a particle physicist's comfort zone

# 6. Conclusions

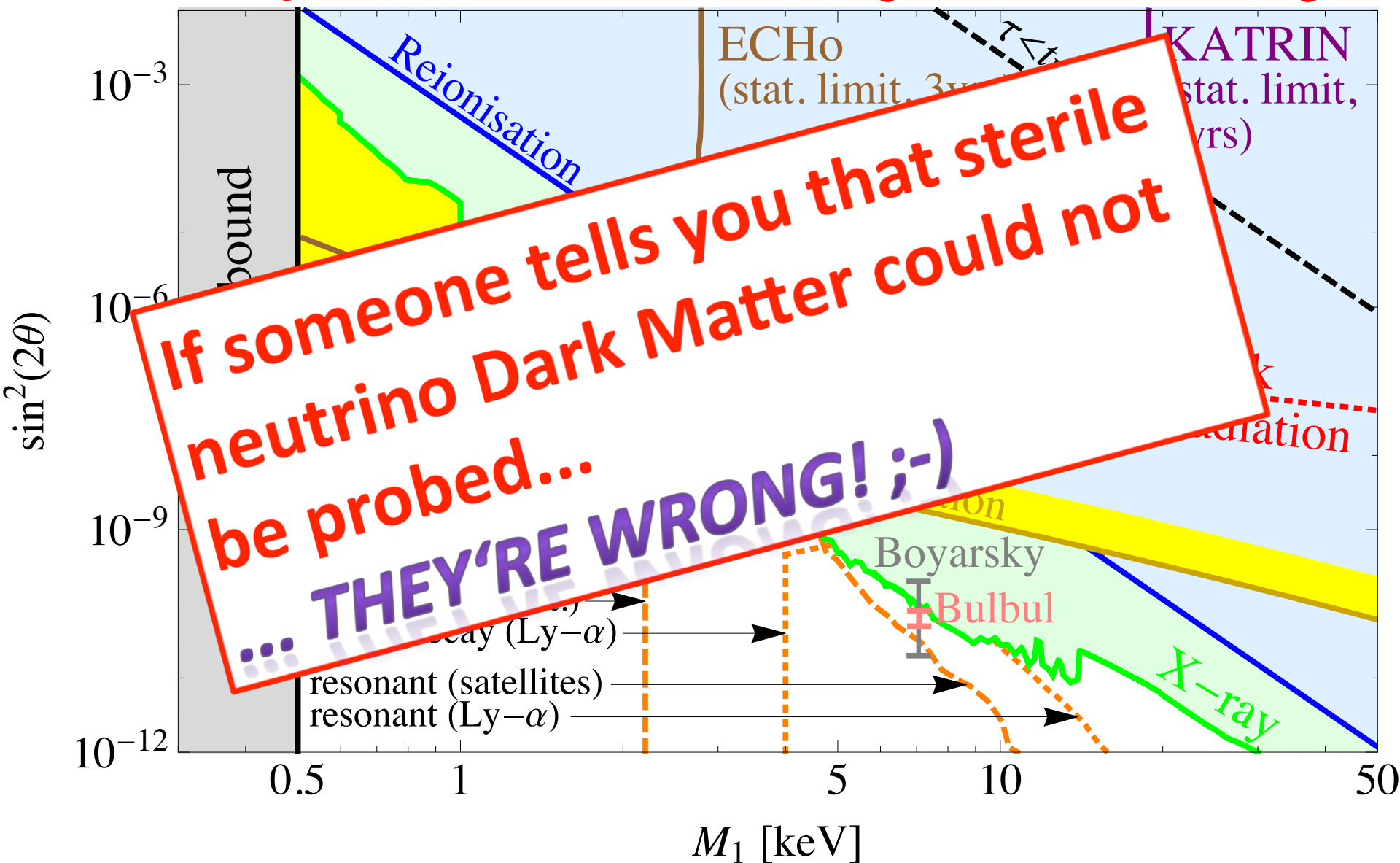
6. This is my take-home message...

**Summary of all constraints (from keV-WP):**



6. This is my take-home message...

## Summary of all constraints (from keV-WP):







**THANK  
YOU!!!**