

# SUSY in rare K decays

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NA62 Handbook  
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# Outline

1.  $K \rightarrow \pi \nu \bar{\nu}$ : generalities
2.  $K \rightarrow \pi \nu \bar{\nu}$ : MSSM
3. Beyond  $K \rightarrow \pi \nu \bar{\nu}$
4. Conclusions

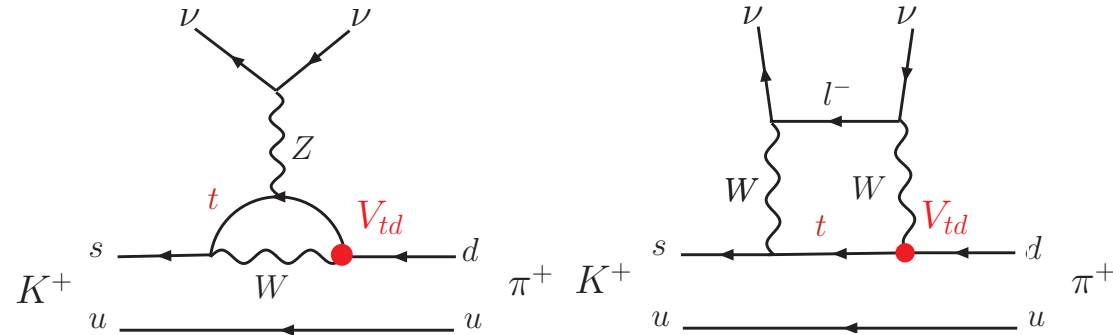
Non-supersymmetric scenarios covered by M Blanke (also A Buras)

Warning: I have not published on rare K decays for more than 10 years. This may be apparent at times in this talk.

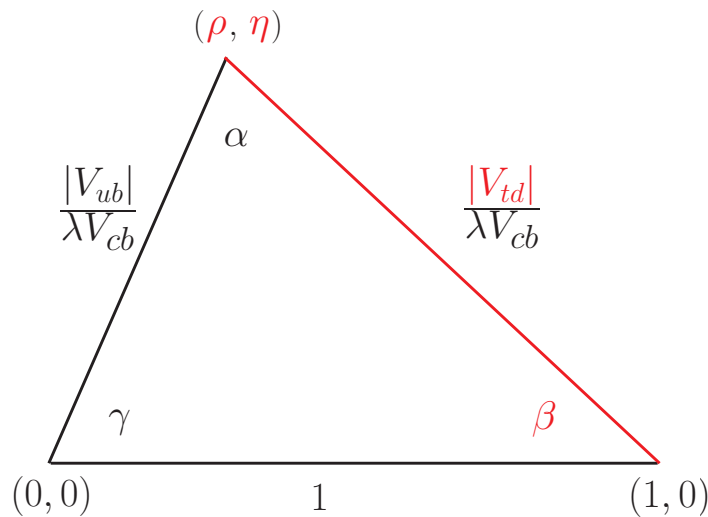
# 1. $K \rightarrow \pi$ nu nubar: generalities

# What can $K \rightarrow \pi \nu \bar{\nu}$ tell us?

FCNC process,  
sensitive to **heavy  
particles & their  
couplings**



QCD matrix elements: form factors, extracted from leading semileptonic K decays or calculated on the lattice



Charm, light quark, pQCD effects  
**well understood**

see talk by Gorbahn

Standard Model: theoretically  
cleanest UT determination

# BSM effects

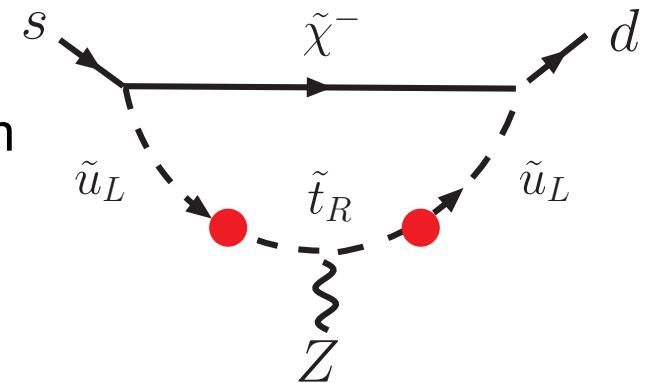
Modified Z-penguin or direct contributions to the semileptonic 4-fermion operators

e.g. heavy new physics affects the Z coupling to left-handed quarks through a single operator

$$(\bar{D} \gamma_\mu S) (H^\dagger D_\mu H) \rightarrow d_L \gamma_\mu Z^\mu s_L + u_L \gamma_\mu Z^\mu c_L + \dots$$

dimension-six operator, will decouple as  $1/M^2$ , as expected from decoupling thm

in SUSY this operator can arise, primarily due to chargino-squark loops

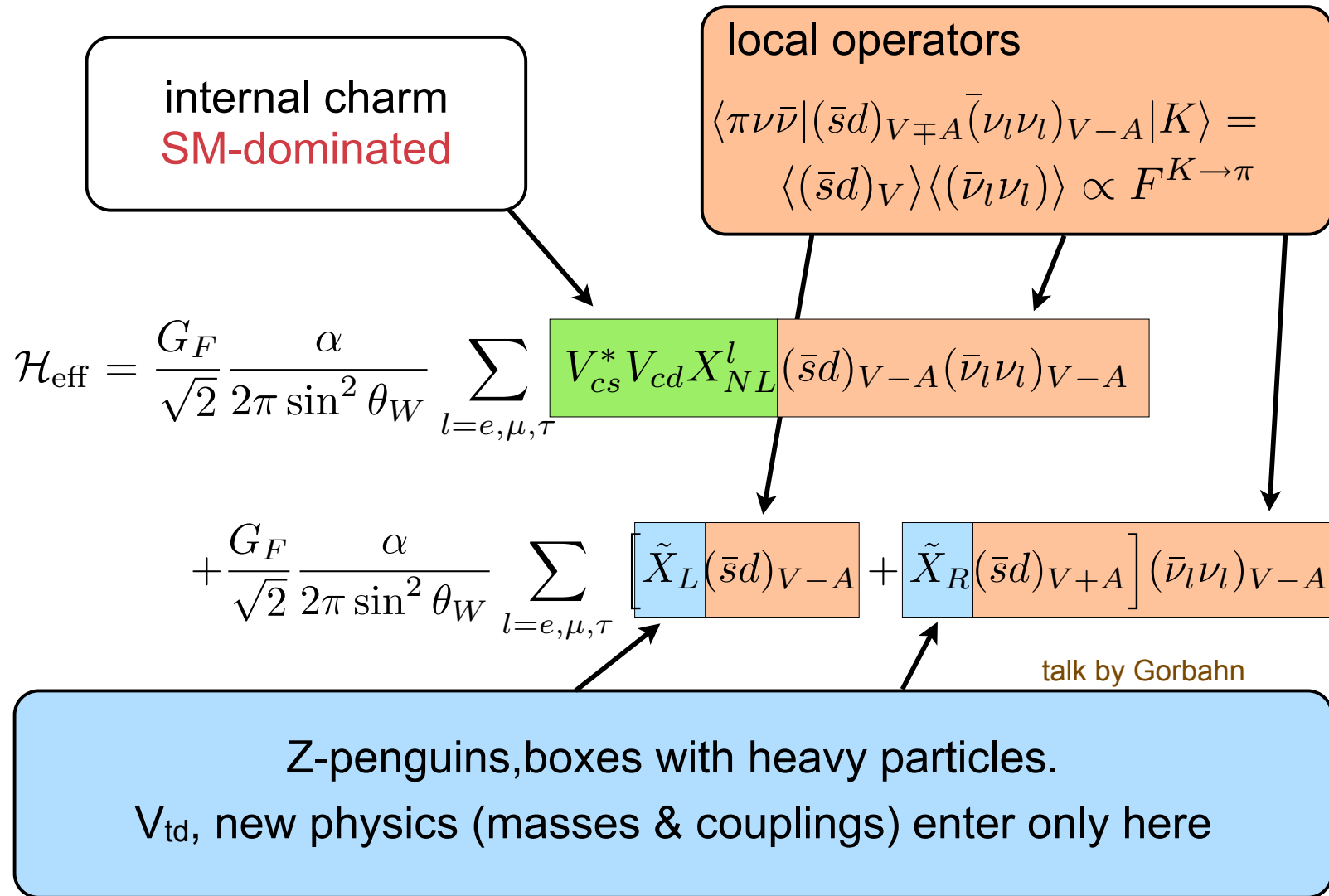


parameterize coefficient by Inami-Lim function C

$$\text{SM: } \lambda_t C; \quad \text{NP: } \lambda_t C \rightarrow \lambda_t C + C_{\text{NP}}$$

# with QCD corrections

see talk by Gorbahn



# Observables

$$BR_+ \equiv BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = \kappa_+ \left[ \left( \frac{\tilde{P}_c}{\lambda} + \frac{\text{Re}\tilde{X}}{\lambda^5} \right)^2 + \left( \frac{\text{Im}\tilde{X}}{\lambda^5} \right)^2 \right]$$

$$BR_L \equiv BR(K_L \rightarrow \pi^0 \nu \bar{\nu}) = \kappa_L \left( \frac{\text{Im}\tilde{X}}{\lambda^5} \right)^2$$

$$\kappa_+ = 0.5173(25) \times 10^{-10}$$

(form factors)

Mescia, Smitch 2007

$$\kappa_L = 2.231(13) \times 10^{-10}$$

$$\tilde{P}_c \equiv \text{Re}\lambda_c P_c \quad P_c = 0.372 \pm 0.015 \quad (\text{charm})$$

Brod, Gorbahn, Stamou 2010

ca +10% shift due to long-distance charm, up Isidori, Mescia, Smith 2005

$$\tilde{X} \equiv \tilde{X}_L + \tilde{X}_R \equiv \lambda_t X \quad \lambda_t = V_{ts}^* V_{td} \quad X_{\text{SM}} = 1.53 \pm 0.04$$

$$\frac{BR_L}{BR_+} \leq \frac{\kappa_L}{\kappa_+} = 4.4$$

model-independent bound

Grossman, Nir (1997)

SM:  $BR_L/BR_+ \sim 0.4$

# $K \rightarrow \pi \nu \bar{\nu}$ beyond the SM

$$BR_+ = 7.81(75)(29) \times 10^{-11}$$

$$BR_L = 2.43(39)(6) \times 10^{-11}$$

SM prediction

Brod, Gorbahn, Stamou 2011

$$BR_+^{\text{exp}} = (1.73_{-1.05}^{+1.15}) \times 10^{-10}$$

$$BR_L^{\text{exp}} < 2.6 \times 10^{-8} \quad (90\% \text{ CL})$$

BNL AGS E787, E949

E391a

Ongoing measurements at NA62 ( $BR_+$ ) and KOTO ( $BR_L$ )



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Ongoing measurements at NA62 ( $BR_+$ ) and KOTO ( $BR_L$ )

In general, saturating Grossman-Nir would allow  $BR_L$  up to  $\sim 10^{-9}$  given the experimental upper bound on  $BR_+$

to saturate would need to suppress  $\left(\frac{\tilde{P}_c}{\lambda} + \frac{\text{Re}\tilde{X}}{\lambda^5}\right)^2$

modify  $|\tilde{X}|$  (only possibility for minimal flavor violation)

and/or change  $\arg \tilde{X}$  (requires non-minimal flavour violation)

## 2. K->pi nu nubar: MSSM

# Flavour violation in the MSSM

MSSM has plentiful sources of flavour violation.

In fact, flavour physics imposes the most stringent constraints on the SUSY scale, or alternatively on the SUSY breaking.

6x6 squark mass matrices have flavor structure (most of it parameterising soft SUSY breaking)

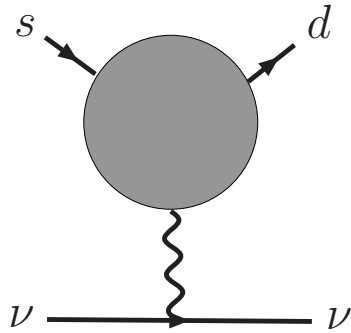
$$M_{(\tilde{u}, \tilde{d})}^2 = \begin{pmatrix} M_{\tilde{q} LL}^2 & M_{(\tilde{u}, \tilde{d}) LR}^2 \\ (M_{(\tilde{u}, \tilde{d}) LR}^2)^\dagger & M_{(\tilde{u}, \tilde{d}) RR}^2 \end{pmatrix}$$

3x3 matrices

LR, RL SU(2) breaking

LL, RR gauge invariant

# Anatomy of SUSY contribution



$$\propto \frac{1}{M_Z^2} V_{Z\bar{s}d}$$

req. SU(2)-breaking (cf  
general discussion part)

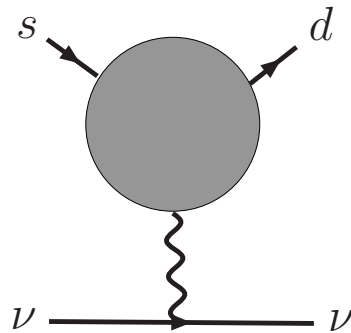
$$\Rightarrow V_{Z\bar{s}d} = \mathcal{O}\left(\frac{M_Z^2}{M_{\text{SUSY}}^2}\right)$$

Nir & Worah (1997)

Buras, Romanino, Silvestrini (1997)

**Colangelo & Isidori (1998)**

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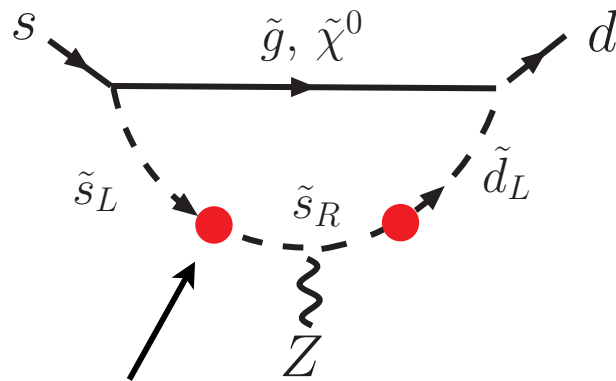
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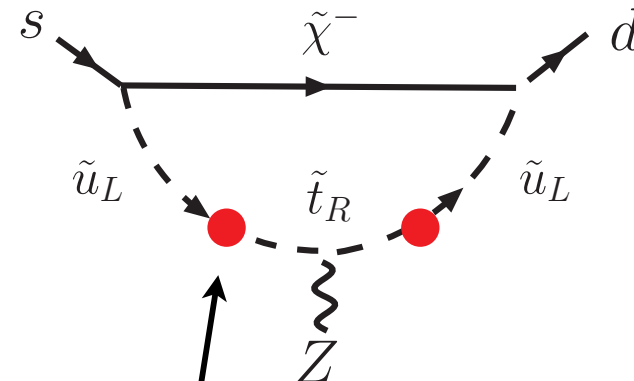
Buras, Romanino, Silvestrini (1997)

Colangelo & Isidori (1998)

sources of SU(2) breaking include



constrained by KK, BB mixing



weakly constrained

# SUSY contributions (2)

**Penguins**  $\tilde{X}_{\text{SUSY}}^{(\text{peng})} \propto \frac{(M_{LR}^2)_{d't} (M_{LR}^2)_{s't}^*}{M_{\text{SUSY}}^4}$  Colangelo & Isidori (1998)

**Boxes** require no SU(2) breaking (+)  
suppressed by additional SUSY propagator (-)

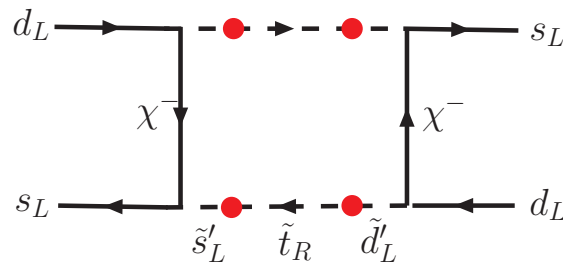
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impact on other observables, e.g. KK mixing?

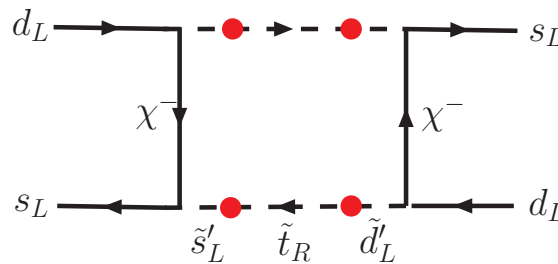
conventionally, require individually



$$< \Delta M_K^{\text{exp}}$$

desirable:

(SM) +

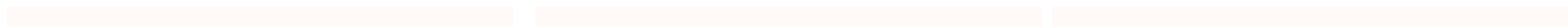


$$+ \dots \approx \Delta M_K^{\text{exp}}$$

# Complex $X$ plane, $BR_L$ vs $BR_+$

Scan over 16 most relevant MSSM parameters

Buras, Ewerth, SJ, Rosiek 2004

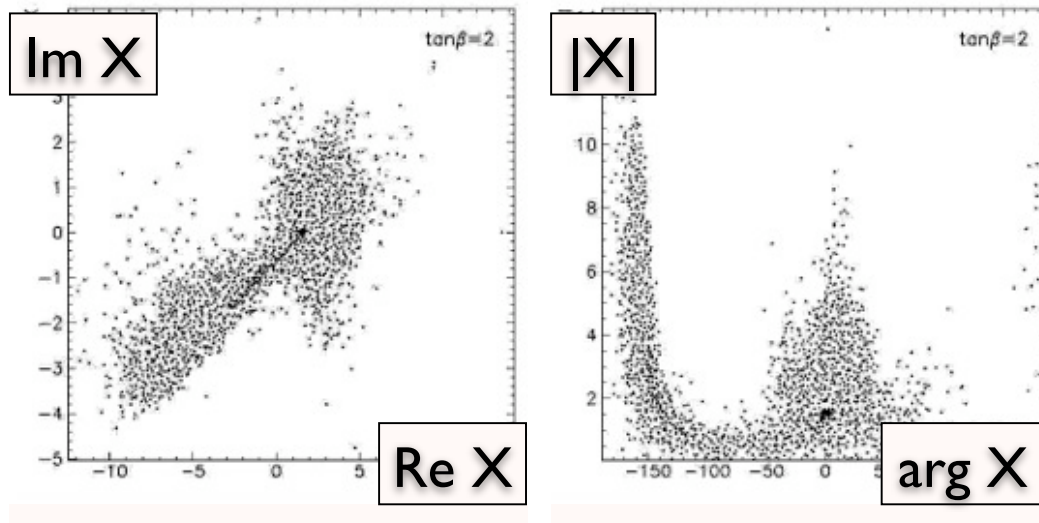




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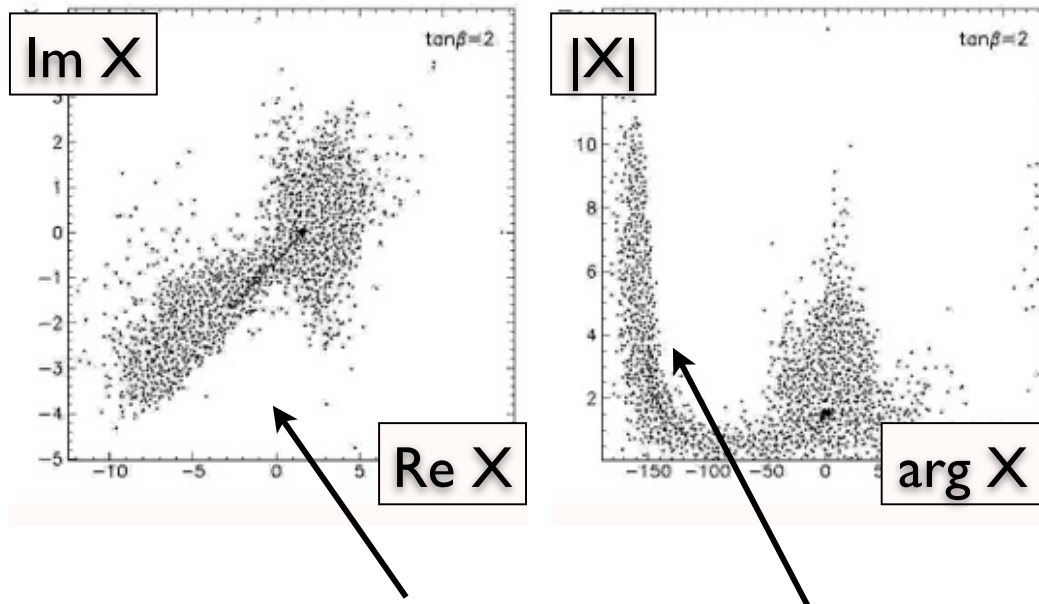
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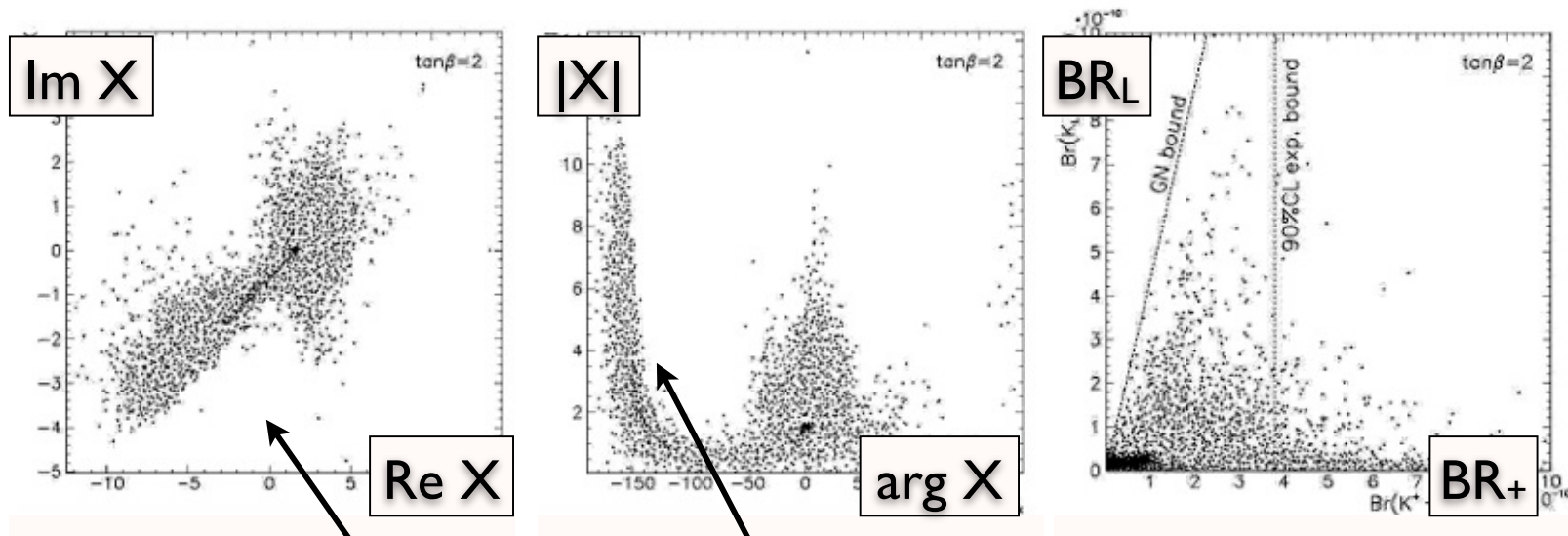


observe clear boundaries

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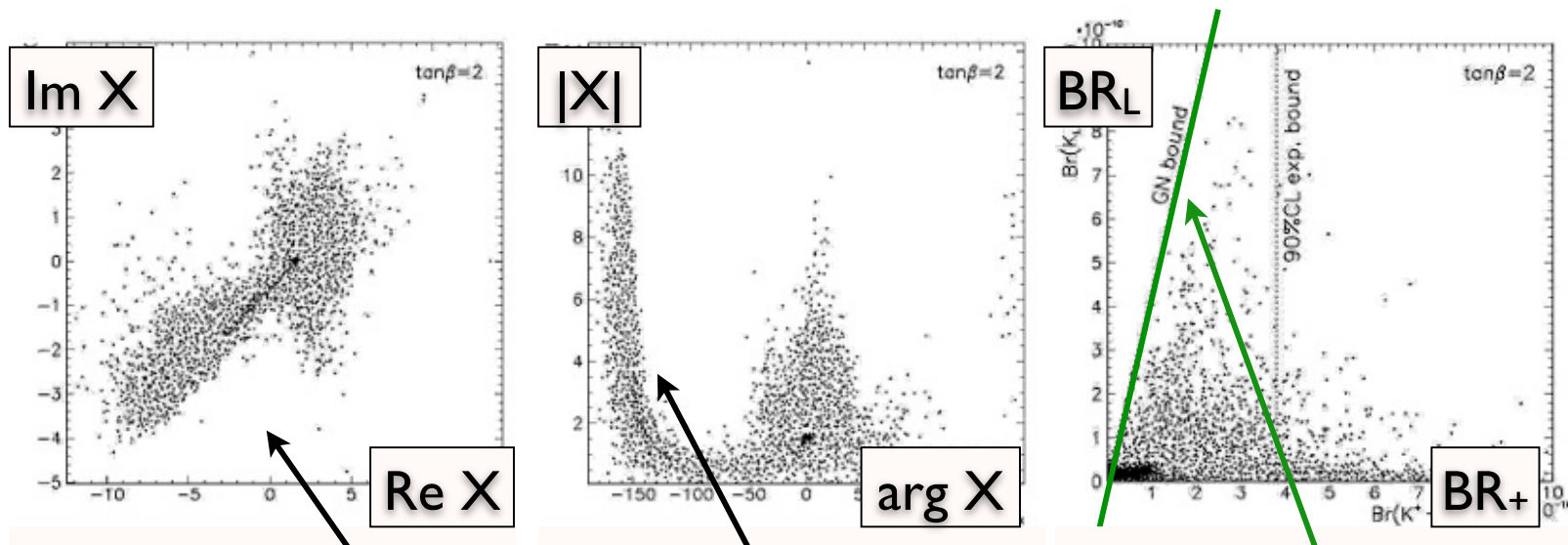


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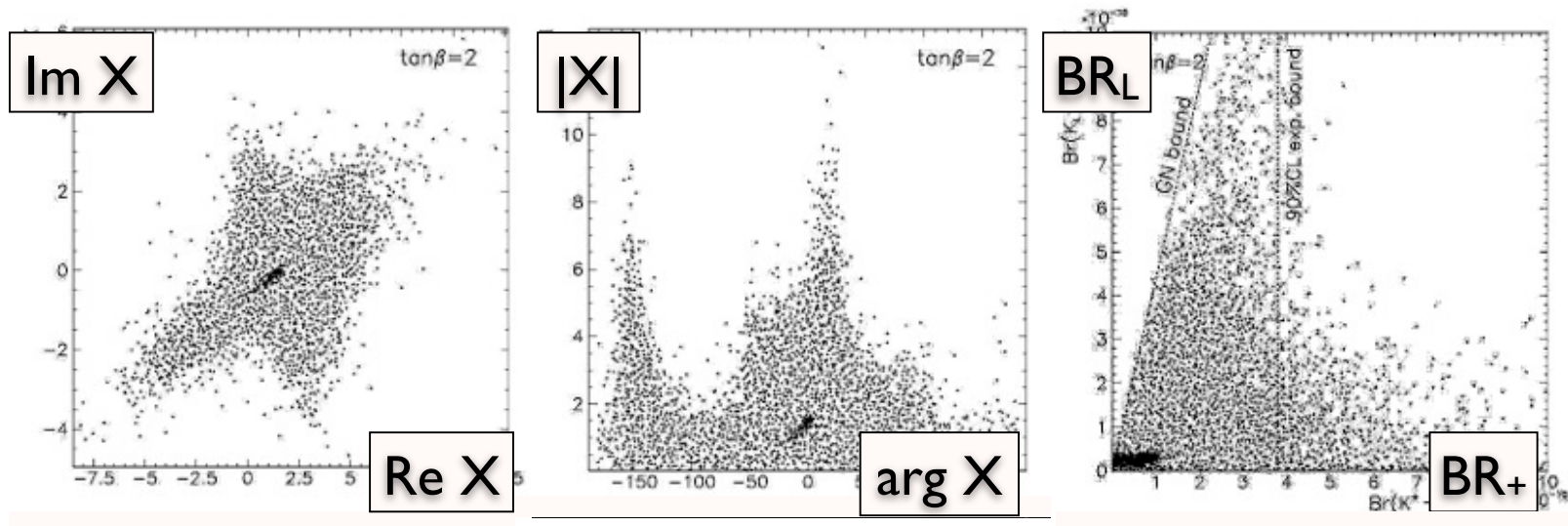
observe clear boundaries

can saturate Grossman-Nir bound

nb - 2004 analysis, substantial parts of parameter space now in conflict with LHC direct searches

66 parameters - all that enter amplitudes

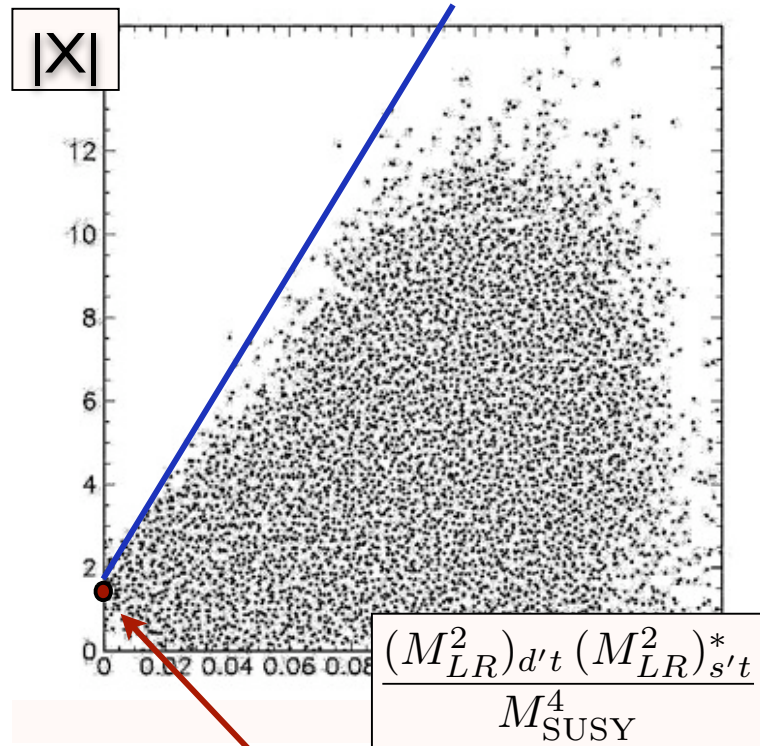
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minor changes - confirms expectations of hierarchies of contributions/importance of parameters

# Probing the anatomy numerically

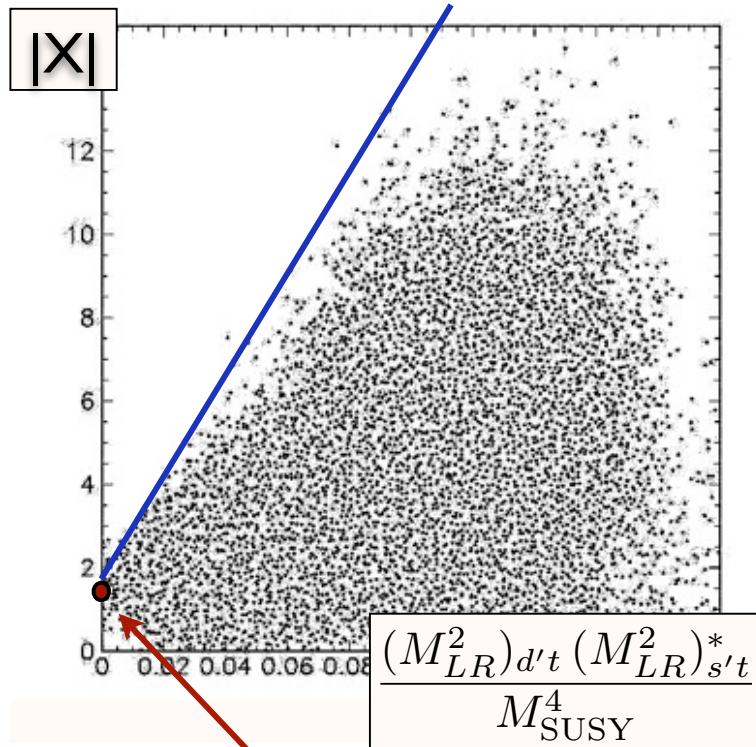
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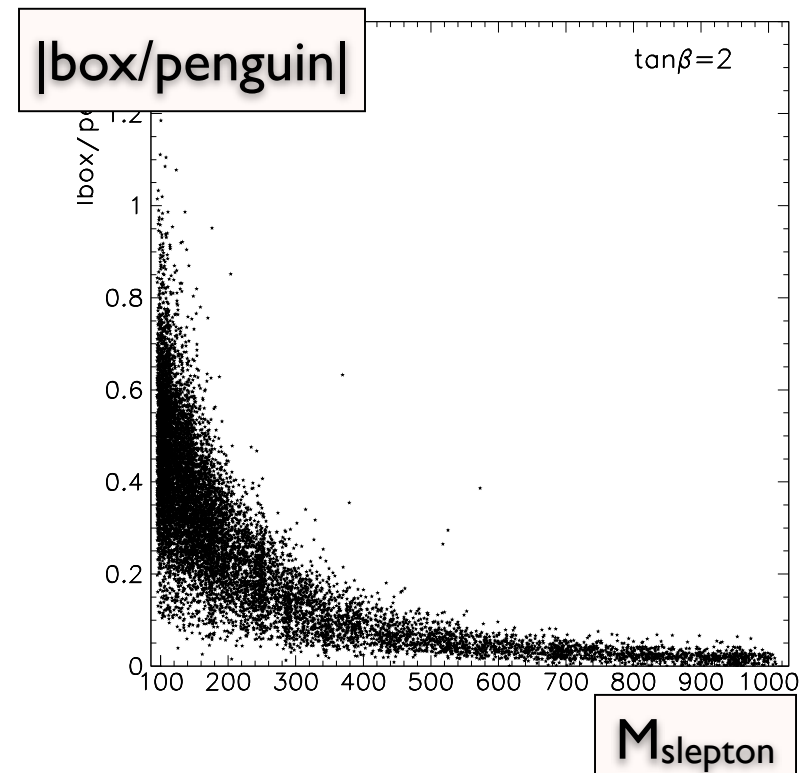
Standard Model

# Probing the anatomy numerically

Buras, Ewerth, SJ, Rosiek 2004



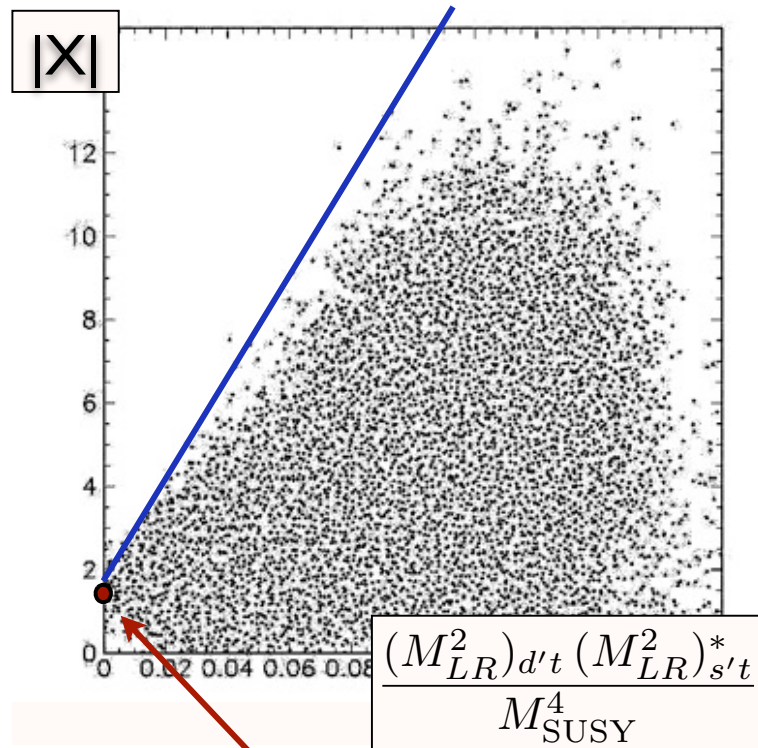
Standard Model



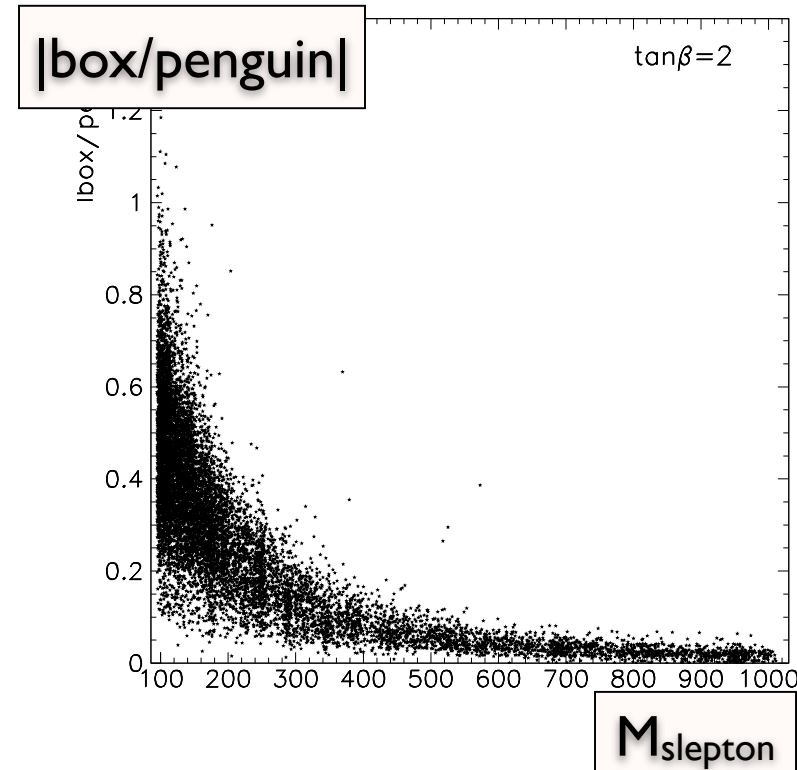
boxes not negligible!

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Standard Model



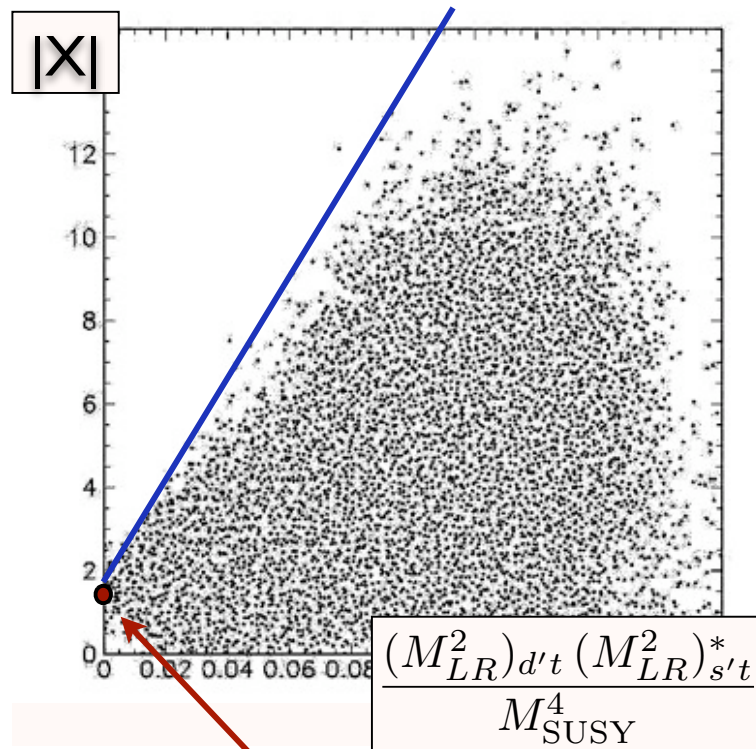
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strong sensitivity to just **one parameter combination in general MSSM** - holds even for boxes. Note boxes not covered by the Colangelo-Isidori argument.

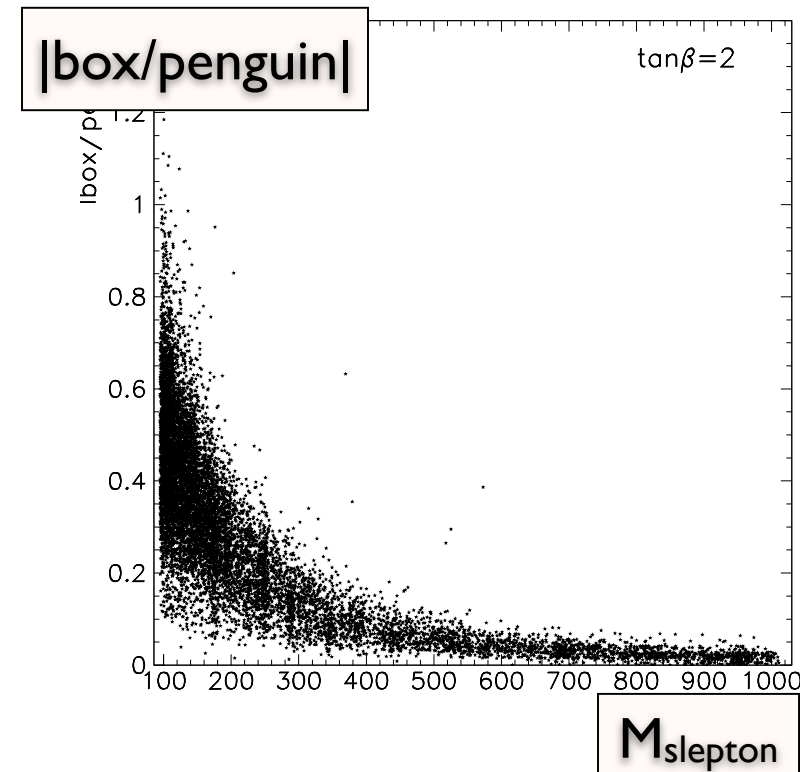


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Standard Model



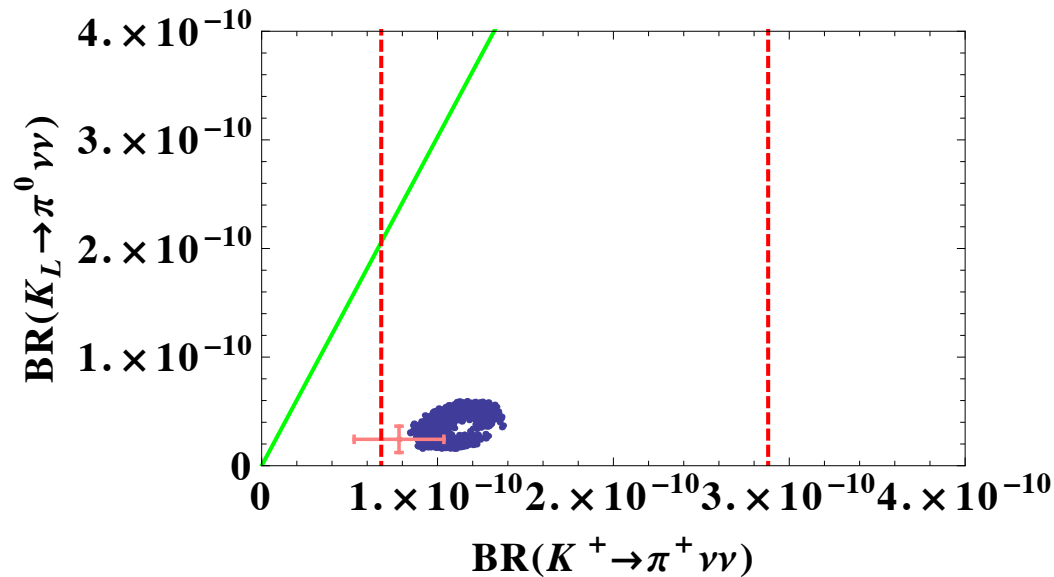
boxes not negligible!

strong sensitivity to just **one parameter combination in general MSSM** - holds even for boxes. Note boxes not covered by the Colangelo-Isidori argument.

Boxes (when large) are dominated by the same flavour structure simply because that structure is weakly constrained by KK mixing (ie can be large).

# LHC era

Direct LHC searches exclude parts of the parameter space.



Tanimoto, Yamamoto 2015

O(1) effects still possible in both  $BR_+$  and  $BR_L$

also work on large  $\tan(\beta)$  with LL mixing effects of O(10%) in  $BR_+$

Blazek, Matak 2015

### 3. Beyond $K \rightarrow \pi \nu \bar{\nu}$

# Other rare modes and their correlations

Operator		Observable	Observable					$P_T(K^+ \rightarrow \pi^0 \mu^+ \nu)$	$\Delta_{\text{CKM}}$	$\epsilon'/\epsilon$	$\epsilon_K$	from: SJ, talk at NA62 Handbook workshop 2009	in MSSM?
			$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	$K_L \rightarrow \pi^0 \nu \bar{\nu}$	$K_L \rightarrow \pi^0 \ell^+ \ell^-$	$K_L \rightarrow \ell^+ \ell^-$	$K^+ \rightarrow \ell^+ \nu$						
$O_{lq}^{(1)}$	$(\bar{D}_L \gamma^\mu S_L)(\bar{L}_L \gamma_\mu L_L)$	✓	✓	✓	hs	–	–	–	–	–	–	✓	
$O_{lq}^{(3)}$	$(\bar{D}_L \gamma^\mu \sigma^i S_L)(\bar{L}_L \gamma_\mu \sigma^i L_L)$	✓	✓	✓	hs	hs	✓	✓	–	–	–	✓	
$O_{qe}$	$(\bar{D}_L \gamma^\mu S_L)(\bar{l}_R \gamma_\mu l_R)$	–	–	✓	hs	–	–	–	–	–	–	small	
$O_{ld}$	$(\bar{d}_R \gamma^\mu s_R)(\bar{L}_L \gamma_\mu L_L)$	✓	✓	✓	hs	–	–	–	–	–	–	small	
$O_{ed}$	$(\bar{d}_R \gamma^\mu s_R)(\bar{l}_R \gamma_\mu l_R)$	–	–	✓	hs	–	–	–	–	–	–	small	
$O_{lq}^\dagger$	$(\bar{u}_R S_L) \cdot (\bar{l}_R L_L)$	–	–	–	–	✓	✓	✓	–	–	–	tiny	
$(O_{lq}^t)^\dagger$	$(\bar{u}_R \sigma_{\mu\nu} S_L) \cdot (\bar{l}_R \sigma^{\mu\nu} L_L)$	–	–	–	–	–	?	?	–	–	–	tiny	
$O_{qde}$	$(\bar{d}_R S_L)(\bar{L}_L l_R)$	–	–	✓	✓	–	–	–	–	–	–	tiny	
$O_{qde}^\dagger$	$(\bar{D}_L S_R)(\bar{l}_R L_L)$	–	–	✓	✓	✓	✓	✓	–	–	–	large $\tan \beta$	
$O_{\varphi q}^{(1)}$	$(\bar{D}_L \gamma^\mu S_L)(H^\dagger D_\mu H)$	✓	✓	✓	hs	–	–	–	✓	(✓)	–	✓	
$O_{\varphi q}^{(3)}$	$(\bar{D}_L \gamma^\mu \sigma^i S_L)(H^\dagger D_\mu \sigma^i H)$	✓	✓	✓	hs	hs	✓	✓	✓	(✓)	–	✓	
$O_{\varphi d}$	$(\bar{d}_R \gamma^\mu s_R)(H^\dagger D_\mu H)$	✓	✓	✓	hs	–	–	–	✓	(✓)	–	large $\tan \beta$ (non-MFV)	

# Other rare modes and their correlations

Operator	Observable	\$K^+ \to \pi^+ \nu \bar{\nu}\$		\$K_L \to \pi^0 \ell^+ \ell^-\$	\$K_L \to \ell^+ \ell^-\$	\$K^+ \to \ell^+ \nu\$	\$P_T(K^+ \to \pi^0 \mu^+ \nu)\$	\$\Delta_{\text{CKM}}\$	\$\epsilon'/\epsilon\$	\$\epsilon_K\$	in MSSM?
		\$K^+ \to \pi^+ \nu \bar{\nu}\$	\$K_L \to \pi^0 \nu \bar{\nu}\$								
\$O_{lq}^{(1)}\$	\$(\bar{D}_L \gamma^\mu S_L)(\bar{L}_L \gamma_\mu L_L)\$	✓	✓	✓	hs	–	–	–	–	–	✓
\$O_{lq}^{(3)}\$	\$(\bar{D}_L \gamma^\mu \sigma^i S_L)(\bar{L}_L \gamma_\mu \sigma^i L_L)\$	✓	✓	✓	hs	hs	✓	✓	–	–	✓
\$O_{qe}\$	\$(\bar{D}_L \gamma^\mu S_L)(\bar{l}_R \gamma_\mu l_R)\$	–	–	✓	hs	–	–	–	–	–	small
\$O_{ld}\$	\$(\bar{d}_R \gamma^\mu s_R)(\bar{L}_L \gamma_\mu L_L)\$	✓	✓	✓	hs	–	–	–	–	–	small
\$O_{ed}\$	\$(\bar{d}_R \gamma^\mu s_R)(\bar{l}_R \gamma_\mu l_R)\$	–	–	✓	hs	–	–	–	–	–	small
\$O_{lq}^\dagger\$	\$(\bar{u}_R S_L) \cdot (\bar{l}_R L_L)\$	–	–	–	–	✓	✓	✓	–	–	tiny
\$(O_{lq}^t)^\dagger\$	\$(\bar{u}_R \sigma_{\mu\nu} S_L) \cdot (\bar{l}_R \sigma^{\mu\nu} L_L)\$	–	–	–	–	–	?	?	–	–	tiny
\$O_{qde}\$	\$(\bar{d}_R S_L)(\bar{L}_L l_R)\$	–	–	✓	✓	–	–	–	–	–	tiny
\$O_{qde}^\dagger\$	\$(\bar{D}_L S_R)(\bar{l}_R L_L)\$	–	–	✓	✓	✓	✓	✓	–	–	large \$\tan \beta\$
\$O_{\varphi q}^{(1)}\$	\$(\bar{D}_L \gamma^\mu S_L)(H^\dagger D_\mu H)\$	✓	✓	✓	hs	–	–	–	✓	(✓)	✓
\$O_{\varphi q}^{(3)}\$	\$(\bar{D}_L \gamma^\mu \sigma^i S_L)(H^\dagger D_\mu \sigma^i H)\$	✓	✓	✓	hs	hs	✓	✓	✓	(✓)	✓
\$O_{\varphi d}\$	\$(\bar{d}_R \gamma^\mu s_R)(H^\dagger D_\mu H)\$	✓	✓	✓	hs	–	–	–	✓	(✓)	large \$\tan \beta\$ (non-MFV)

from: SJ, talk at  
NA62 Handbook workshop  
2009

# Other rare modes and their correlations

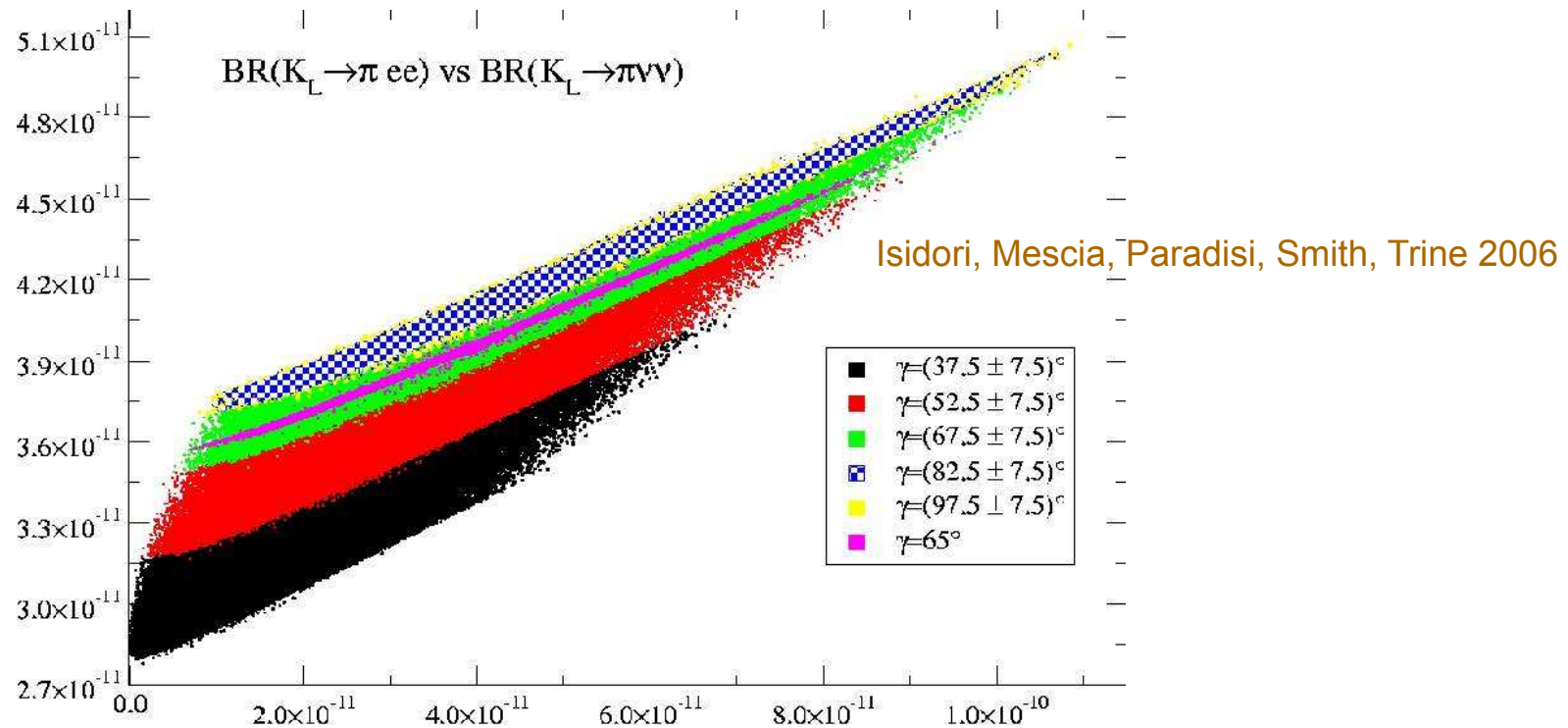
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$O_{lq}^{(3)}$	$(\bar{D}_L \gamma^\mu \sigma^i S_L)(\bar{L}_L \gamma_\mu \sigma^i L_L)$	✓	✓	✓	hs	hs	✓	✓	–	–	✓
$O_{qe}$	$(\bar{D}_L \gamma^\mu S_L)(\bar{l}_R \gamma_\mu l_R)$	–	–	✓	hs	–	–	–	–	–	small
$O_{ld}$	$(\bar{d}_R \gamma^\mu s_R)(\bar{L}_L \gamma_\mu L_L)$	✓	✓	✓	hs	–	–	–	–	–	small
$O_{ed}$	$(d_R \gamma^\mu s_R)(\bar{l}_R \gamma_\mu l_R)$	–	–	✓	hs	–	–	–	–	–	small
$O_{lq}^\dagger$	$(\bar{u}_R S_L) \cdot (\bar{l}_R L_L)$	–	–	–	–	✓	✓	✓	–	–	tiny
$(O_{lq}^t)^\dagger$	$(\bar{u}_R \sigma_{\mu\nu} S_L) \cdot (\bar{l}_R \sigma^{\mu\nu} L_L)$	–	–	–	–	–	?	?	–	–	tiny
$O_{qde}$	$(\bar{d}_R S_L)(\bar{L}_L l_R)$	–	–	✓	✓	–	–	–	–	–	tiny
$O_{qde}^\dagger$	$(\bar{D}_L S_R)(\bar{l}_R L_L)$	–	–	✓	✓	✓	✓	✓	–	–	large $\tan \beta$
$O_{\varphi q}^{(1)}$	$(D_L \gamma^\mu S_L)(H^\dagger D_\mu H)$	✓	✓	✓	hs	–	–	–	✓	(✓)	✓
$O_{\varphi q}^{(3)}$	$(\bar{D}_L \gamma^\mu \sigma^i S_L)(H^\dagger D_\mu \sigma^i H)$	✓	✓	✓	hs	hs	✓	✓	✓	(✓)	✓
$O_{\varphi d}$	$(\bar{d}_R \gamma^\mu s_R)(H^\dagger D_\mu H)$	✓	✓	✓	hs	–	–	–	✓	(✓)	large $\tan \beta$ (non-MFV)

# Other rare modes and their correlations

Operator	Observable	from: SJ, talk at NA62 Handbook workshop 2009										in MSSM?
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$O_{qe}$	$(\bar{D}_L \gamma^\mu S_L)(\bar{l}_R \gamma_\mu l_R)$	—	—	✓	hs	—	—	—	—	—	—	small
$O_{ld}$	$(\bar{d}_R \gamma^\mu s_R)(\bar{L}_L \gamma_\mu L_L)$	✓	✓	✓	hs	—	—	—	—	—	—	small
$O_{ed}$	$(d_R \gamma^\mu s_R)(l_R \gamma_\mu l_R)$	—	—	✓	hs	—	—	—	—	—	—	small
$O_{lq}^\dagger$	$(\bar{u}_R S_L) \cdot (\bar{l}_R L_L)$	—	—	—	—	✓	✓	✓	—	—	—	tiny
$(O_{lq}^t)^\dagger$	$(\bar{u}_R \sigma_{\mu\nu} S_L) \cdot (\bar{l}_R \sigma^{\mu\nu} L_L)$	—	—	—	—	—	?	?	—	—	—	tiny
$O_{qde}$	$(\bar{d}_R S_L)(\bar{L}_L l_R)$	—	—	✓	✓	—	—	—	—	—	—	tiny
$O_{qde}^\dagger$	$(\bar{D}_L S_R)(\bar{l}_R L_L)$	—	—	✓	✓	✓	✓	✓	—	—	—	large $\tan \beta$
$O_{\varphi q}^{(1)}$	$(D_L \gamma^\mu S_L)(H^\dagger D_\mu H)$	✓	✓	✓	hs	—	—	—	✓	(✓)	—	✓
$O_{\varphi q}^{(3)}$	$(\bar{D}_L \gamma^\mu \sigma^i S_L)(H^\dagger D_\mu \sigma^i H)$	✓	✓	✓	hs	hs	✓	✓	✓	(✓)	—	✓
$O_{\varphi d}$	$(\bar{d}_R \gamma^\mu s_R)(H^\dagger D_\mu H)$	✓	✓	✓	hs	—	—	—	✓	(✓)	—	large $\tan \beta$ (non-MFV)

# Rare leptonic charged

Interference of short- and long-distance contributions  
(discussed at this conference from lattice, dispersive, chiral  
Lagrangian perspectives)



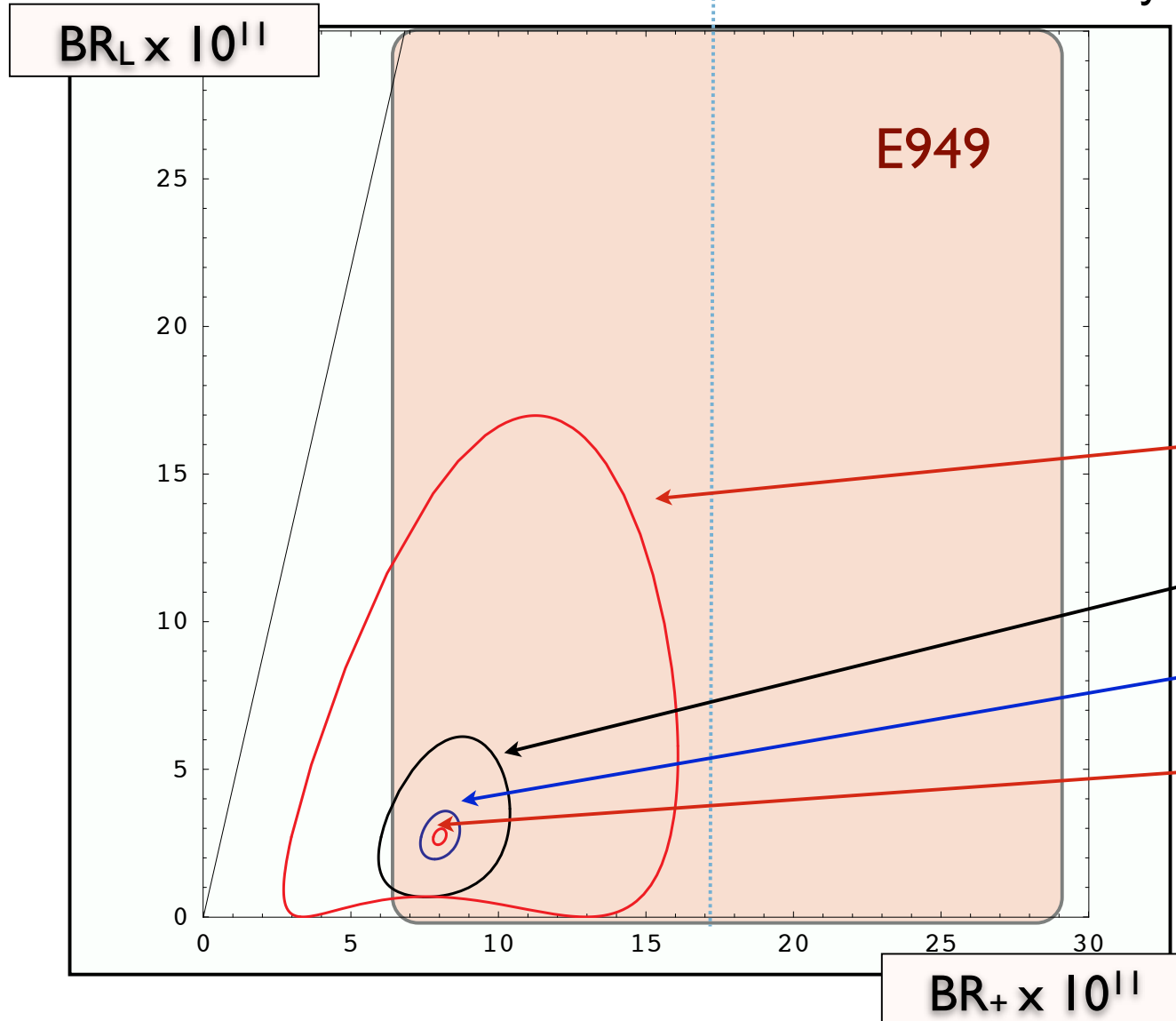


# Correlation with $\epsilon'$

assumes BSM only in Z-penguin

from: SJ, talk at  
NA62 Handbook workshop  
2009

see also talk by Buras



$$|C_{NP}| = 3 \times 10^{-4}$$

$$|C_{NP}| = 1 \times 10^{-4}$$

$$|C_{NP}| = 3 \times 10^{-5}$$

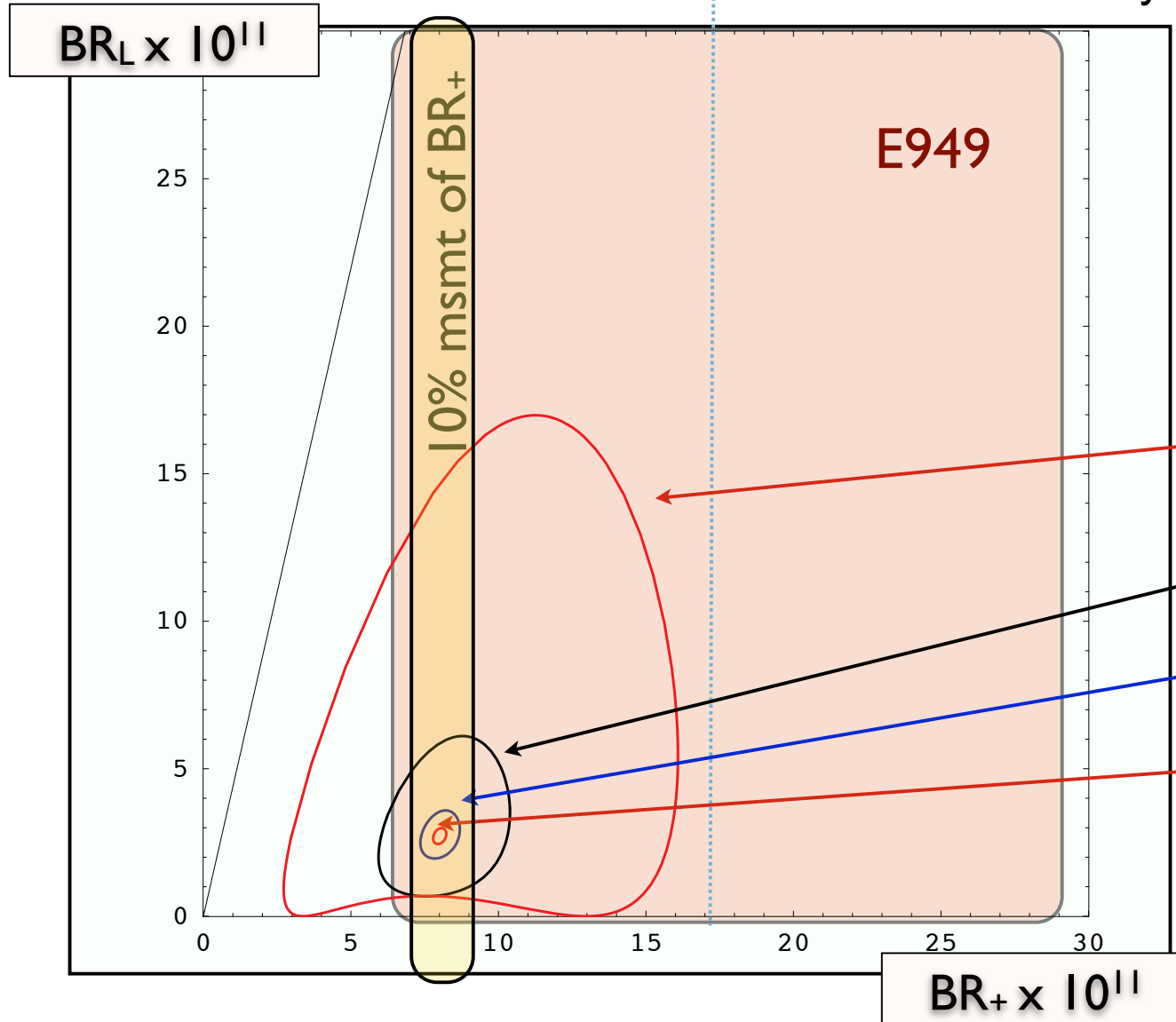
$$|C_{NP}| = 1 \times 10^{-5}$$

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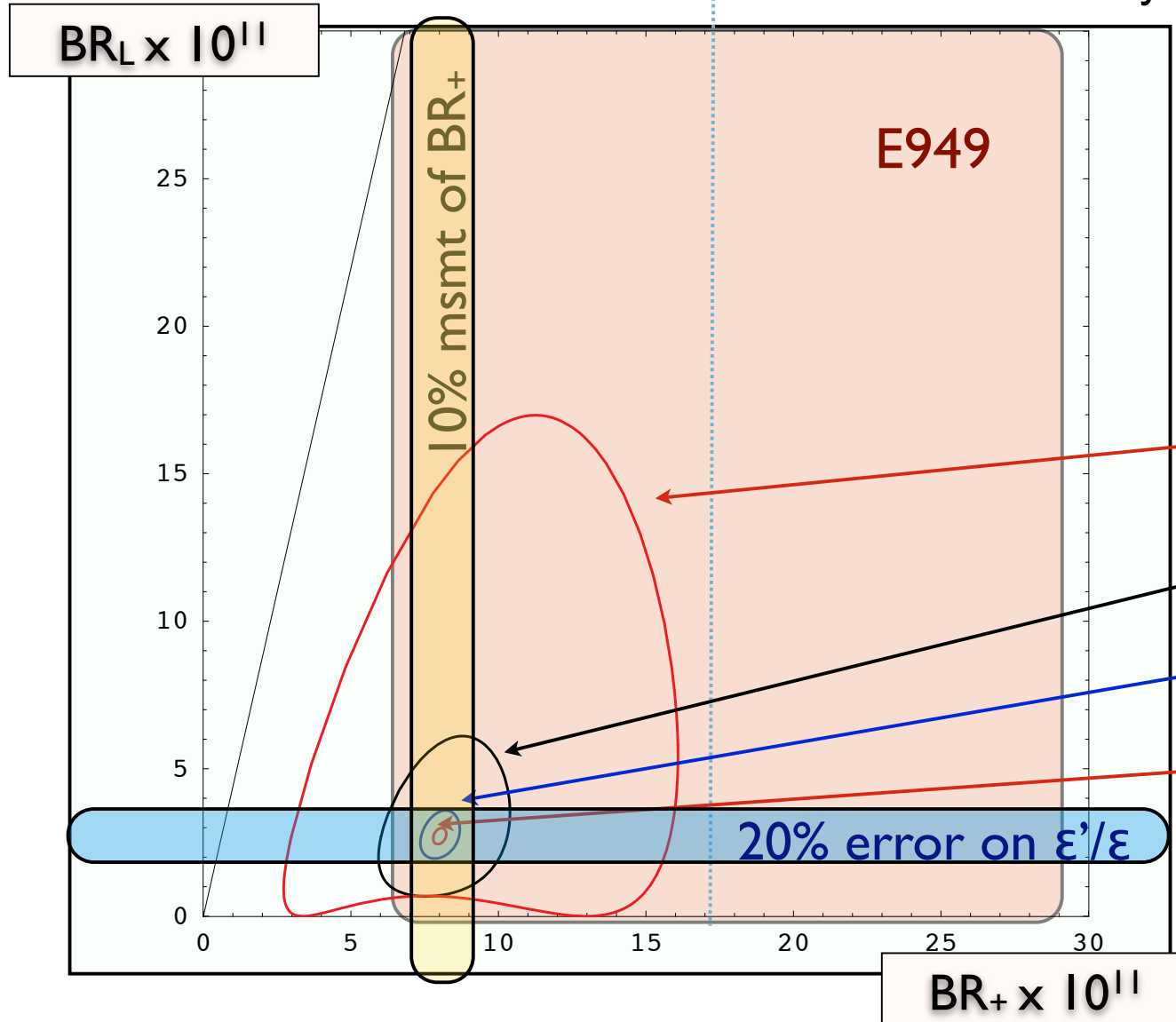
$$|C_{NP}| = 1 \times 10^{-5}$$

# Correlation with $\epsilon'$

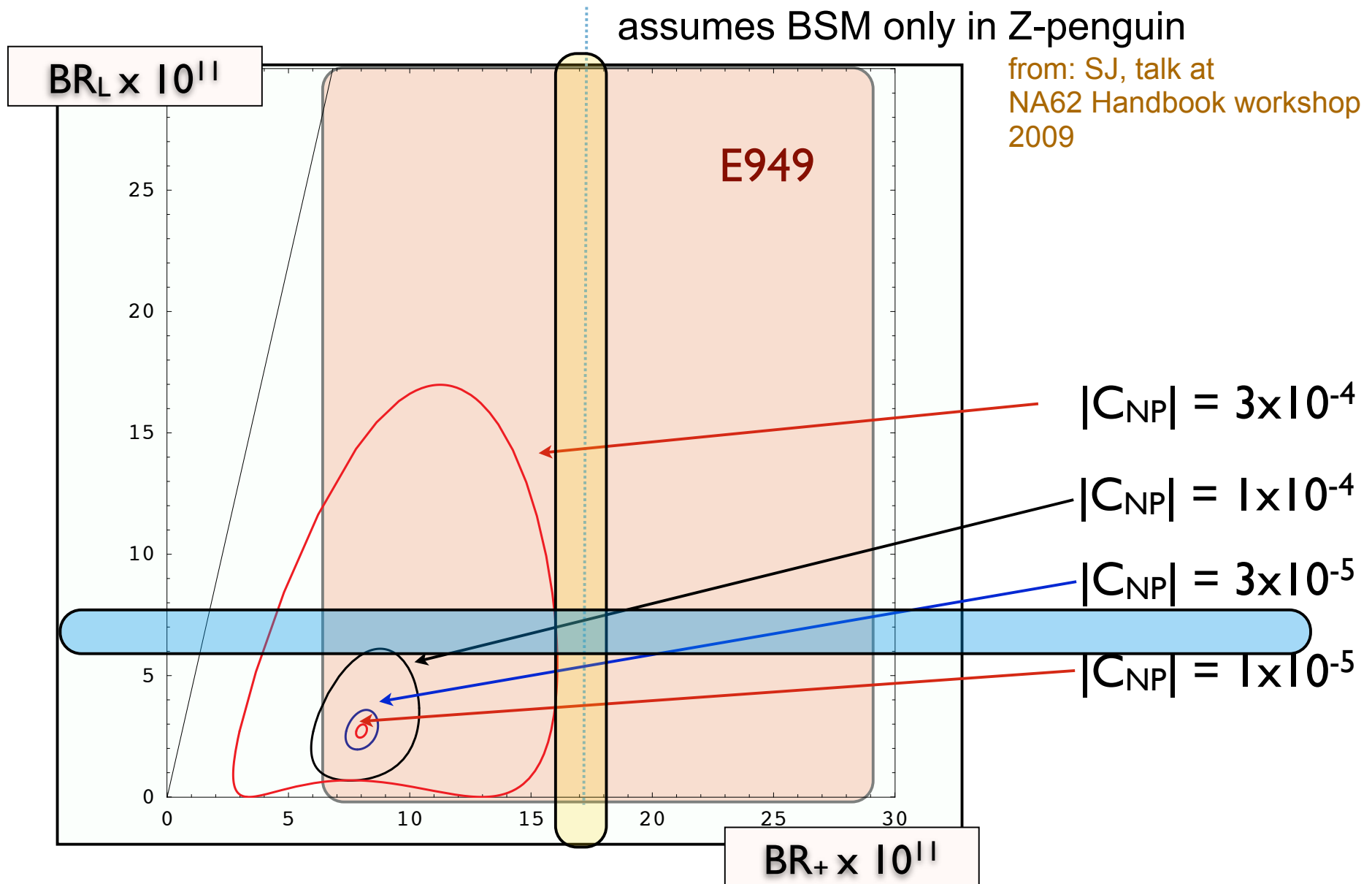
assumes BSM only in Z-penguin

from: SJ, talk at  
NA62 Handbook workshop  
2009

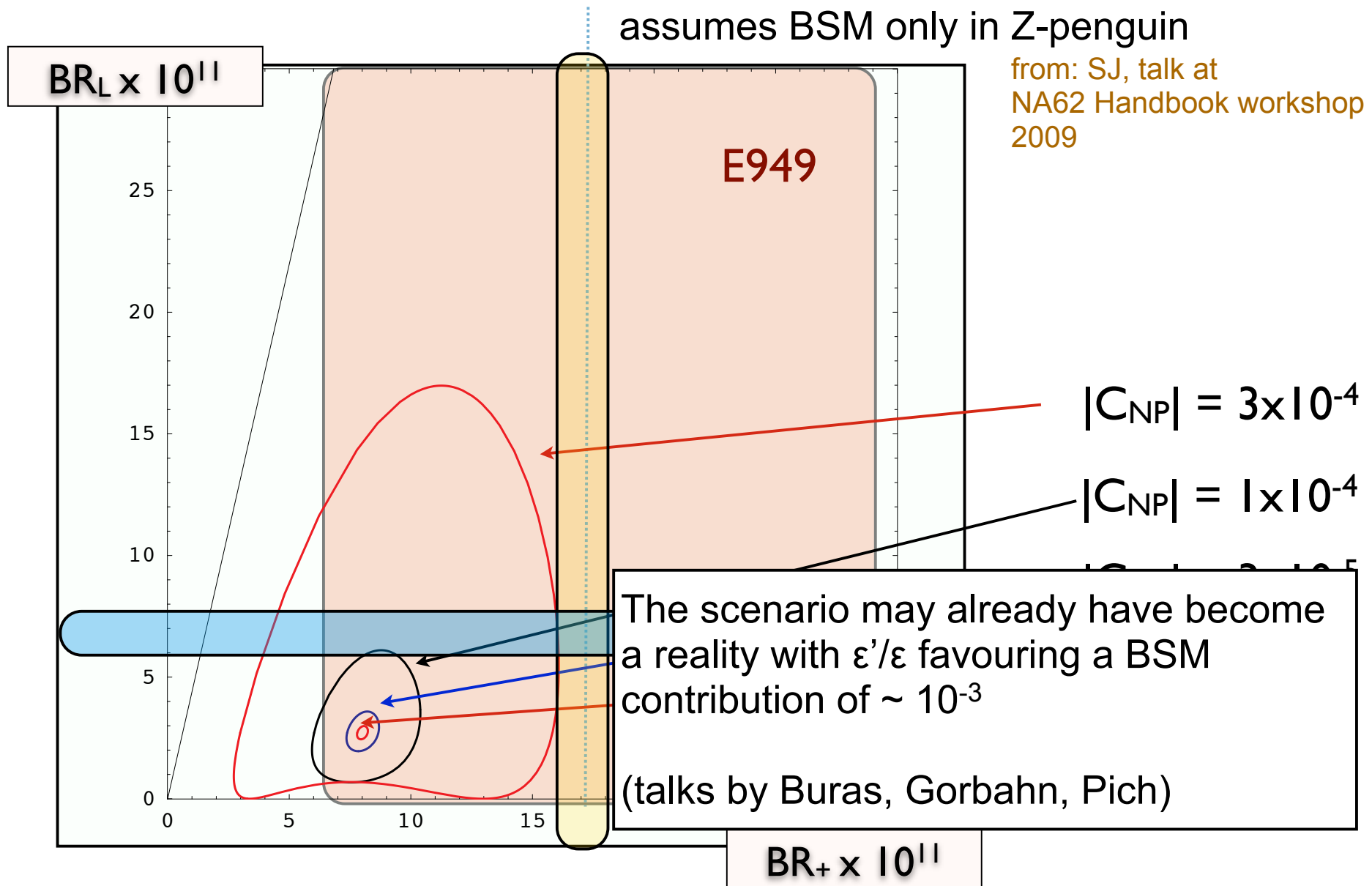
see also talk by Buras



# “exciting” scenario envisioned in 2009



# “exciting” scenario envisioned in 2009



# 4. Conclusions

Supersymmetry, like other natural BSM candidate frameworks, has long been facing its greatest challenges from Kaon physics, primarily through  $\epsilon_K$

Recent progress in experiment (NA62, KOTO, ...) as well as theory (lattice, perturbative, ...) makes new precision observables accessible. Rare K decays may well play a (very) prominent role in the next 10 years for BSM searches, in a SUSY context and beyond.