

# Hadron Physics

From A to XYZ

**David Hornidge**, *Mount Allison University*

*62nd International Winter Meeting on Nuclear Physics*  
*Bormio, Italy*  
*January 18, 2026*

**MountAllison**  
UNIVERSITY



**NSERC**  
**CRSNG**





# About Me

- PhD, 1999, University of Saskatchewan. Saskatoon, Canada. Experimental Subatomic Physics.
- Postdoc, 1999-2003, Institut für Kernphysik, Mainz, Germany.
- Professor, 2003-present, Mount Allison University, Sackville, NB, Canada.



Augustinerkeller, Mainz







# Mount Allison University



**New Brunswick**

Population: 840,000

Area: 72,908 km<sup>2</sup>

English and French

Lobster, Lumber, and High Tides



**Mount Allison University**

- 2,250 students
- Undergrads only



# Bay of Fundy



**Highest tides in the world  
— 16 m!**

$$\tau \approx 12.5 - 12.7 \text{ h}$$

Bay

$$N_2 \approx 12.66 \text{ h}$$

Moon



# Hadron Physics - Conference Talks

- Kouji Miwa (Tohoku U., Japan)
- Axel Schmidt (The George Washington U., USA)
- Xiaoyan Shen (IHEP, Beijing, China)



# Full Disclosure

This talk was put together with slides from:

- Concettina Sfienti
- Harald Merkel
- Diego Bettoni
- Jianwei Qiu

Thank you!



# Hadron Physics

Where to begin...

- Introduction / History
- Experiment
- Theory
- Some Facilities / Experiments
- Outlook and Summary

# Standard Model of Particle Physics

mass →	$\approx 2.3 \text{ MeV}/c^2$	$\approx 1.275 \text{ GeV}/c^2$	$\approx 173.07 \text{ GeV}/c^2$	0	$\approx 126 \text{ GeV}/c^2$
charge →	$2/3$	$2/3$	$2/3$	0	0
spin →	$1/2$	$1/2$	$1/2$	1	0
	<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>g</b> gluon	<b>H</b> Higgs boson
QUARKS	$\approx 4.8 \text{ MeV}/c^2$	$\approx 95 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$	0	
	$-1/3$	$-1/3$	$-1/3$	0	
	$1/2$	$1/2$	$1/2$	1	
	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b>γ</b> photon	
LEPTONS	$0.511 \text{ MeV}/c^2$	$105.7 \text{ MeV}/c^2$	$1.777 \text{ GeV}/c^2$	$91.2 \text{ GeV}/c^2$	
	-1	-1	-1	0	
	$1/2$	$1/2$	$1/2$	1	
	<b>e</b> electron	<b>μ</b> muon	<b>τ</b> tau	<b>Z</b> Z boson	
	$< 2.2 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 15.5 \text{ MeV}/c^2$	$80.4 \text{ GeV}/c^2$	
	0	0	0	$\pm 1$	
	$1/2$	$1/2$	$1/2$	1	
	<b>ν<sub>e</sub></b> electron neutrino	<b>ν<sub>μ</sub></b> muon neutrino	<b>ν<sub>τ</sub></b> tau neutrino	<b>W</b> W boson	
				GAUGE BOSONS	

Includes strong and weak nuclear forces, and EM interaction

6 quarks  
6 leptons  
4 gauge bosons  
1 Higgs boson  
+ antiparticles

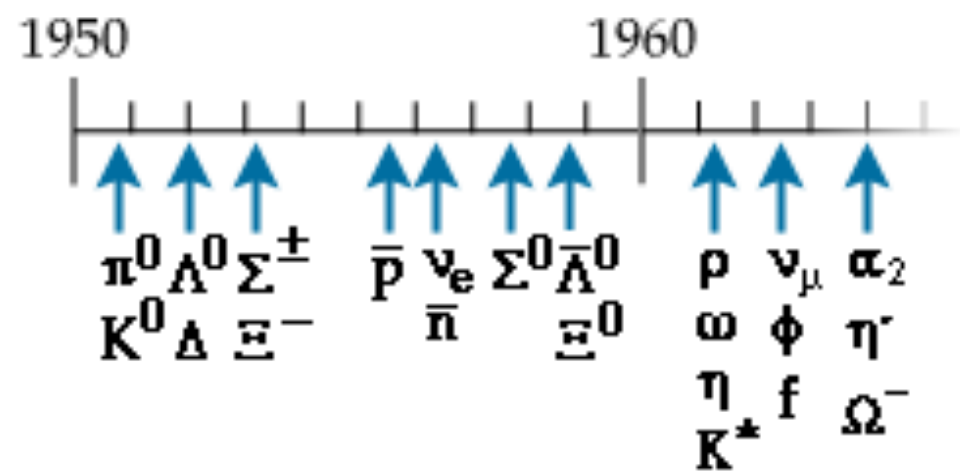
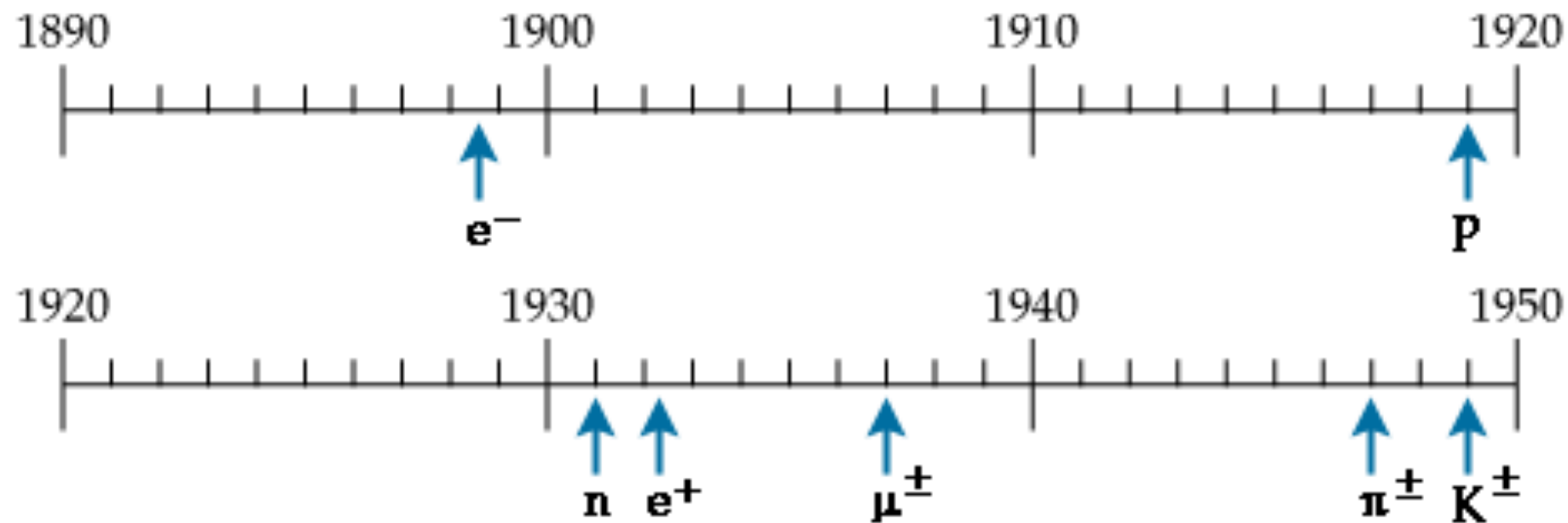
Still a some open questions.

Free parameters, gravity, dark matter, dark energy, matter-antimatter asymmetry.

## How did we get here?



# A Brief History of Subatomic Particles



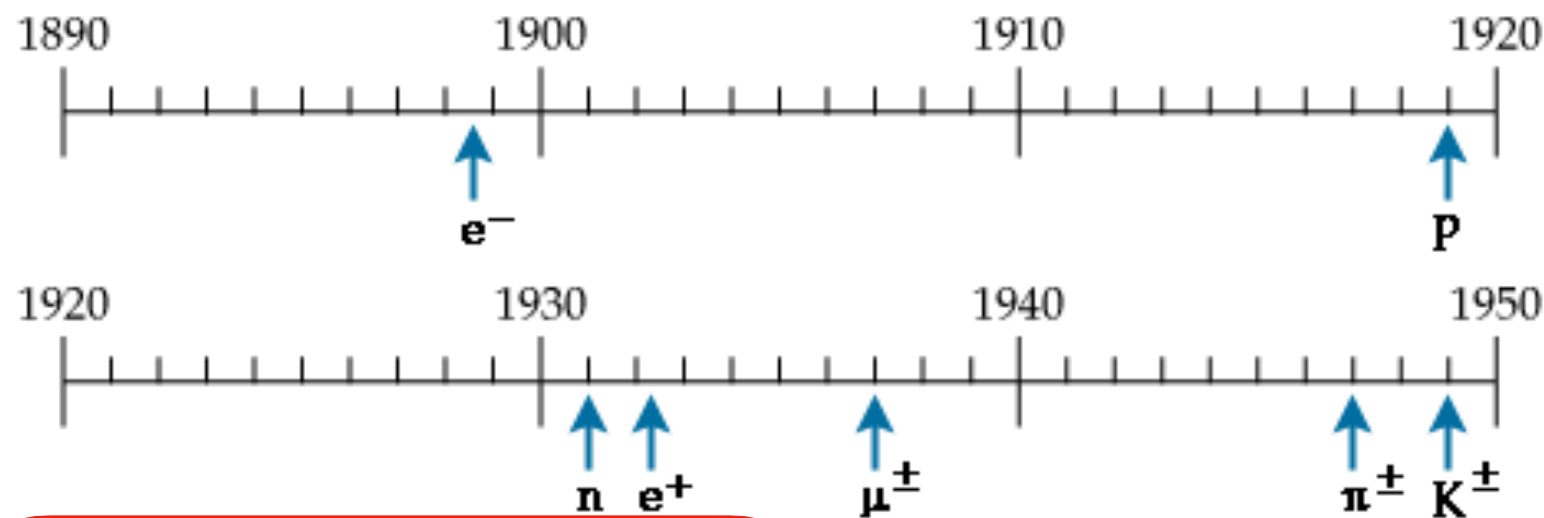
...and many more!

Advent of particle accelerators in the 1950s led to a hadron “explosion”.

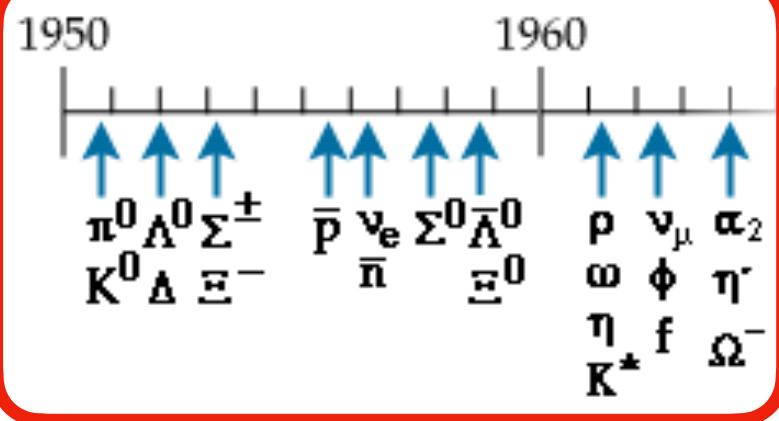
**WTF?**

Who ordered these? Unsatisfactory situation...

# A Brief History of Subatomic Particles



The hadrons were classified in two large groups in terms of **charge**, **mass**, and **strangeness**.



...and many more!

## Willis Lamb in his 1955 Nobel Prize acceptance speech:

When the Nobel Prizes were first awarded in 1901, physicists knew something of just two objects, which are now called “elementary particles”: the electron and the proton. A deluge of other “elementary” particles appeared after 1930; neutron, neutrino, muon, pion, heavier mesons, and various hyperons. I’ve heard it said that “the finder of a new elementary particle used to be rewarded by a Nobel Prize, but a discovery now ought to be punished by a \$10,000 fine.”

“The garden that had seemed so tidy in 1947 had grown into a jungle by 1960, and hadron physics could only be described as chaos.”

David Griffiths



# A Brief History of Subatomic Particles

Nucleons cannot be point like spin-1/2 Dirac particles

1933: Proton magnetic moment



Otto Stern  
Nobel Prize 1943



$$\mu_p = g_p \left( \frac{e\hbar}{2m_p} \right)$$

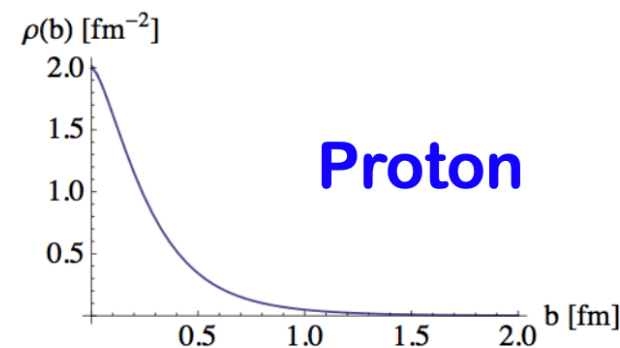
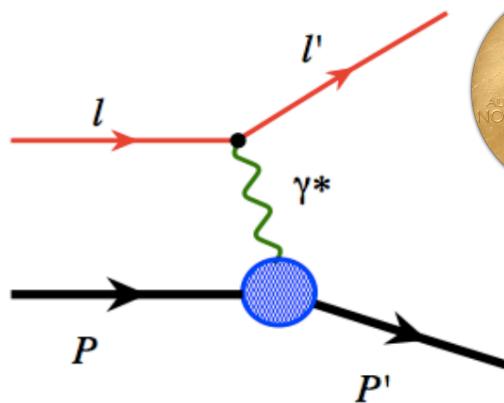
$$g_p = 2.792847356(23) \neq 2!$$

$$\mu_n = -1.913 \left( \frac{e\hbar}{2m_p} \right) \neq 0!$$

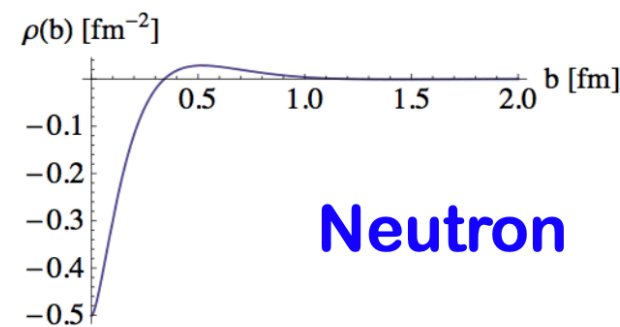
1960: Elastic e-p scattering



Robert Hofstadter  
Nobel Prize 1961



**Proton**



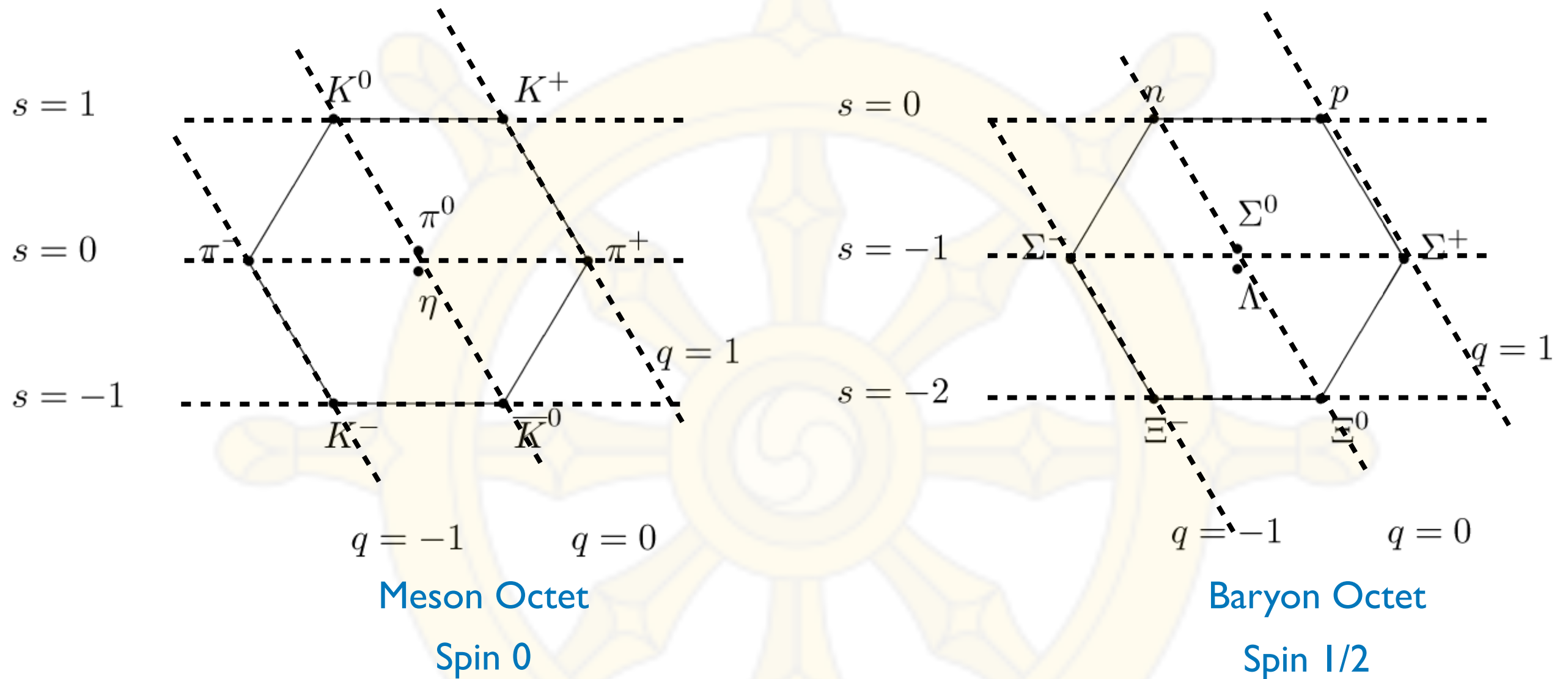
**Neutron**

Proton EM Charge  
Radius!

Form Factors  $F(Q^2) \rightarrow \rho(r)$  Electric Charge Distribution

# Eightfold Way

Proposed by Gell-Mann in 1961, independently by Ne'eman.



Arranged baryons and mesons into weird geometrical patterns, according to charge  $q$  and strangeness  $s$ .

Hinted at substructure, the same way the periodic table did.

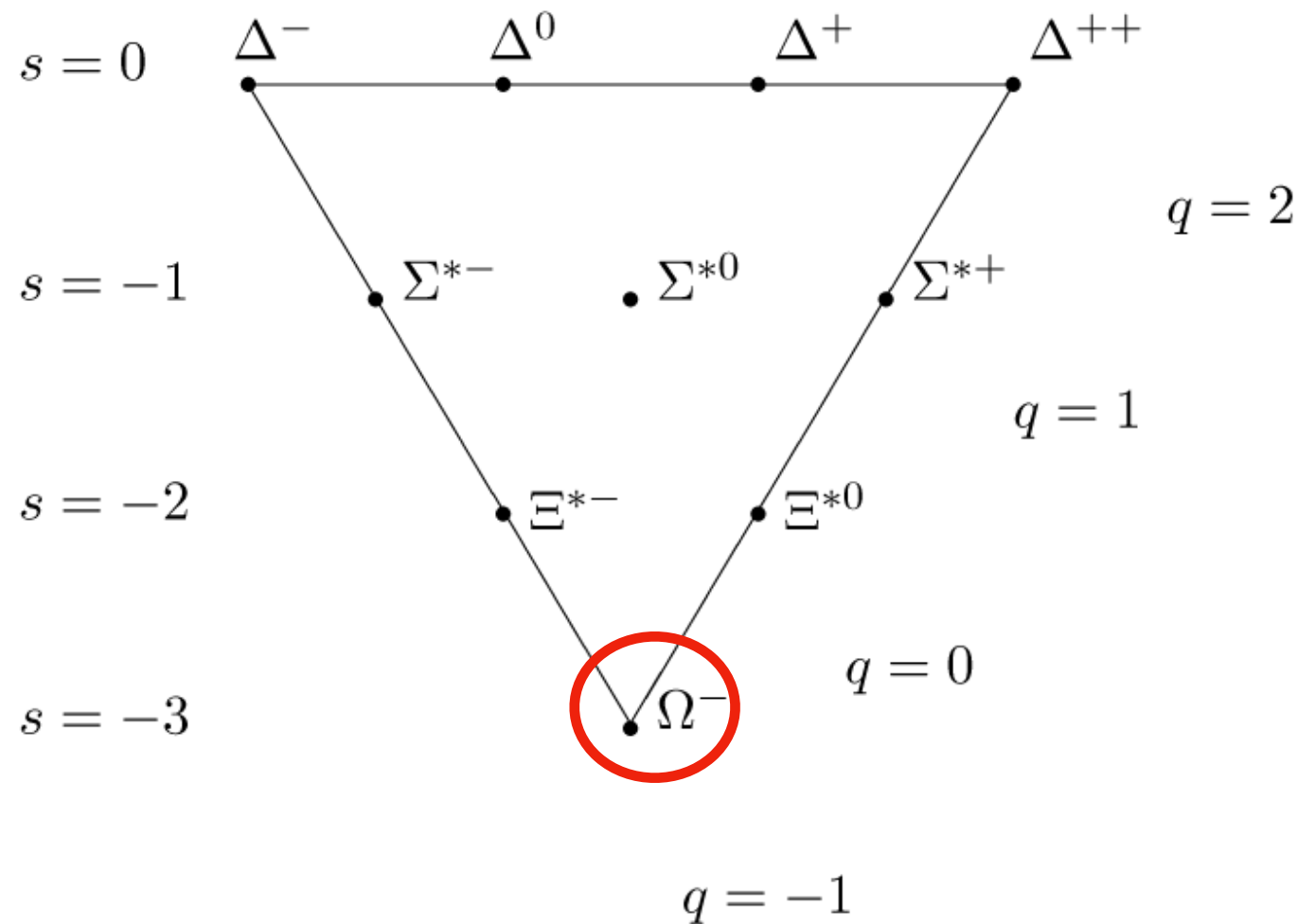
*Gell-Mann was the Mendeleev of the subatomic particle zoo...*



# Eightfold Way

## Baryon Decuplet

Spin 3/2



9 of the particles were known experimentally, but the  $\Omega^-$  was not.

Gell-Mann predicted it, and in 1964 it was discovered!

**SUCCESS!**

Over the next 10 years, every new hadron found a place in one of the Eightfold Way supermultiplets.

This begs the question, though, why the patterns?

# Constituent Quark Model

In 1964 Gell-Mann and Zweig proposed (independently) that all hadrons are composed of even more fundamental constituents, which Gell-Mann called **quarks**.

“—*Three quarks for Muster Mark!*” James Joyce in *Finnegan’s Wake*.

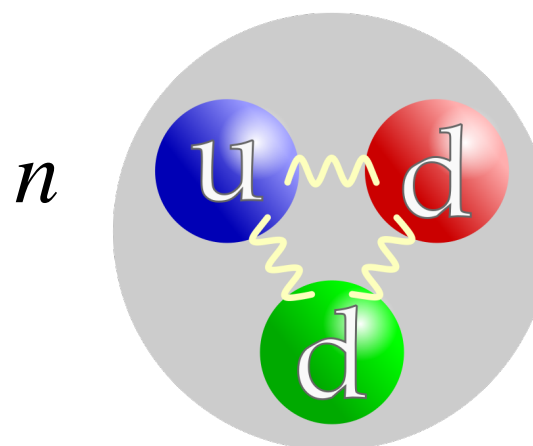
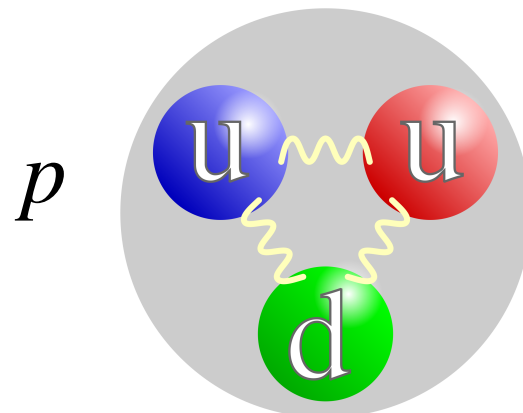
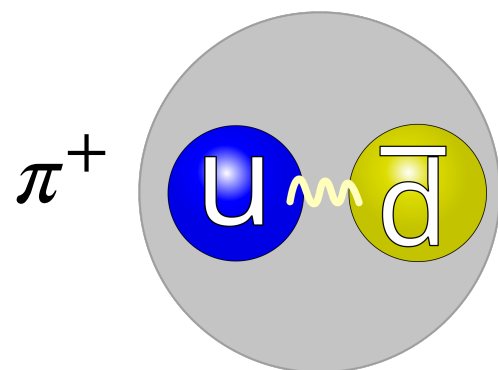
Three fundamental building blocks

1960s ( $p, n, \Lambda$ )  $\Rightarrow$  1970s ( $u, d, s$ )

- **Meson**, made of 2 quarks:  $q\bar{q}$
- **Baryon**, made of 3 quarks:  $qqq$  or  $\bar{q}\bar{q}\bar{q}$



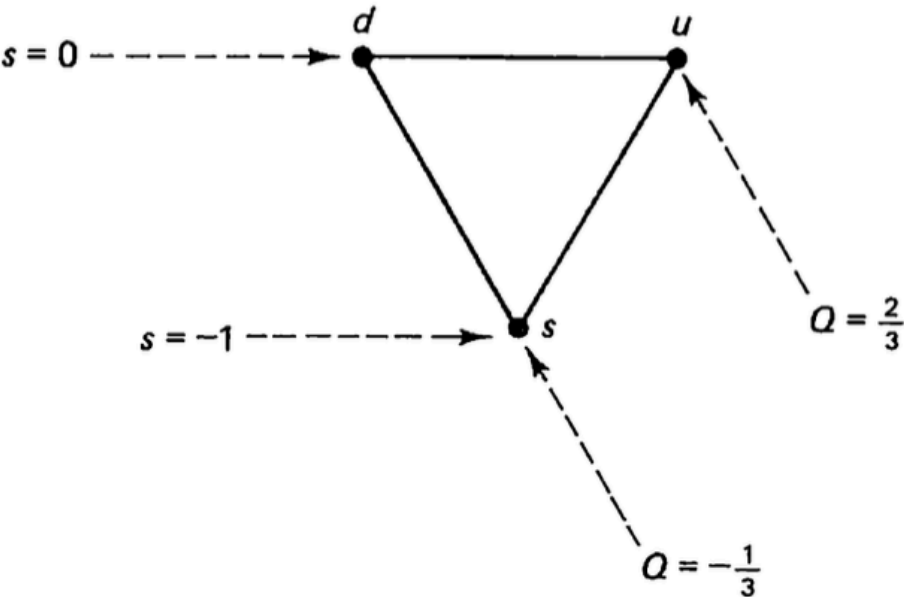
Murray Gell-Mann  
Nobel Prize 1969



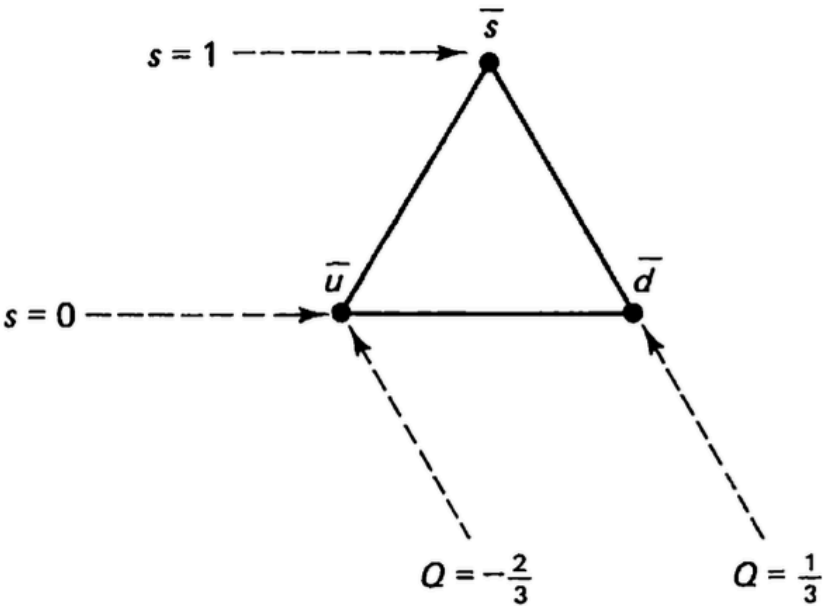


# Constituent Quark Model

## Quarks



## Antiquarks



Spin 1/2

quark	charge	strangeness
$u$	$+2/3$	0
$d$	$-1/3$	0
$s$	$-1/3$	1

The baryon decuplet

$qqq$	$Q$	$S$	Baryon
$uuu$	2	0	$\Delta^{++}$
$uud$	1	0	$\Delta^+$
$udd$	0	0	$\Delta^0$
$ddd$	-1	0	$\Delta^-$
$uus$	1	-1	$\Sigma^{*+}$
$uds$	0	-1	$\Sigma^{*0}$
$dds$	-1	-1	$\Sigma^{*-}$
$uss$	0	-2	$\Xi^{*0}$
$dss$	-1	-2	$\Xi^{*-}$
$sss$	-1	-3	$\Omega^-$

The meson nonet

$q\bar{q}$	$Q$	$S$	Meson
$u\bar{u}$	0	0	$\pi^0$
$u\bar{d}$	1	0	$\pi^+$
$d\bar{u}$	-1	0	$\pi^-$
$d\bar{d}$	0	0	$\eta$
$u\bar{s}$	1	1	$K^+$
$d\bar{s}$	0	1	$K^0$
$s\bar{u}$	-1	-1	$K^-$
$s\bar{d}$	0	-1	$\bar{K}^0$
$s\bar{s}$	0	0	$\eta'$

Actual flavour wave function for hadrons is more complicated and is a superposition of these flavour states...

# Constituent Quark Model

**Mesons**, made of 2 quarks:  $q\bar{q}$

$$\pi^+ = u\bar{d} \quad \pi^0 = \frac{1}{\sqrt{2}}(u\bar{u} - d\bar{d}) \quad \pi^- = d\bar{u}$$

$$K^+ = u\bar{s} \quad K^0 = d\bar{s} \quad \bar{K}^0 = s\bar{d} \quad K^- = s\bar{u}$$

**Baryons**, made of 3 quarks:  $qqq$  or  $\bar{q}\bar{q}\bar{q}$

$$p = uud \quad n = udd \quad \Lambda = uds$$

$$\bar{p} = \bar{u}\bar{u}\bar{d} \quad \bar{n} = \bar{u}\bar{d}\bar{d} \quad \bar{\Lambda} = \bar{u}\bar{d}\bar{s}$$

**No free quarks observed in nature, ever!**

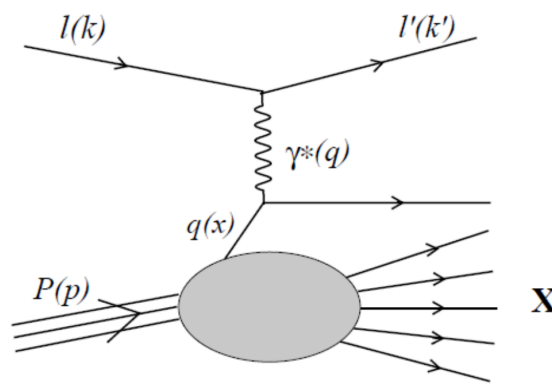
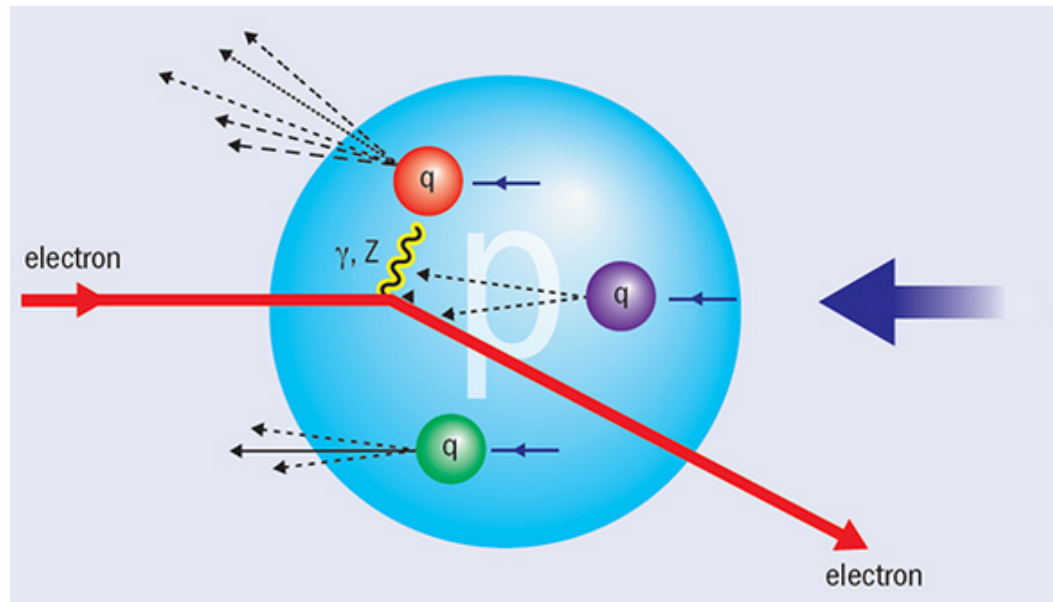
**Peculiar...**

Observed indirectly in DIS experiments at SLAC in the 1970s.



# Deep Inelastic Scattering at SLAC

$$e(p) + h(P) \rightarrow e'(p') + X$$



Localized Probe

$$Q^2 = -(p - p')^2 \gg 1 \text{ fm}^{-2}$$

$$\frac{1}{Q} \ll 1 \text{ fm}$$

Two variables:

$$Q^2 = 4EE' \sin^2(\theta/2)$$

$$x_B = \frac{Q^2}{2M_N \nu}$$

$$\nu = E - E'$$

Discovery of spin-1/2 quarks and partonic structure!

The birth of QCD (1973)

Quark Model + Yang-Mills gauge theory

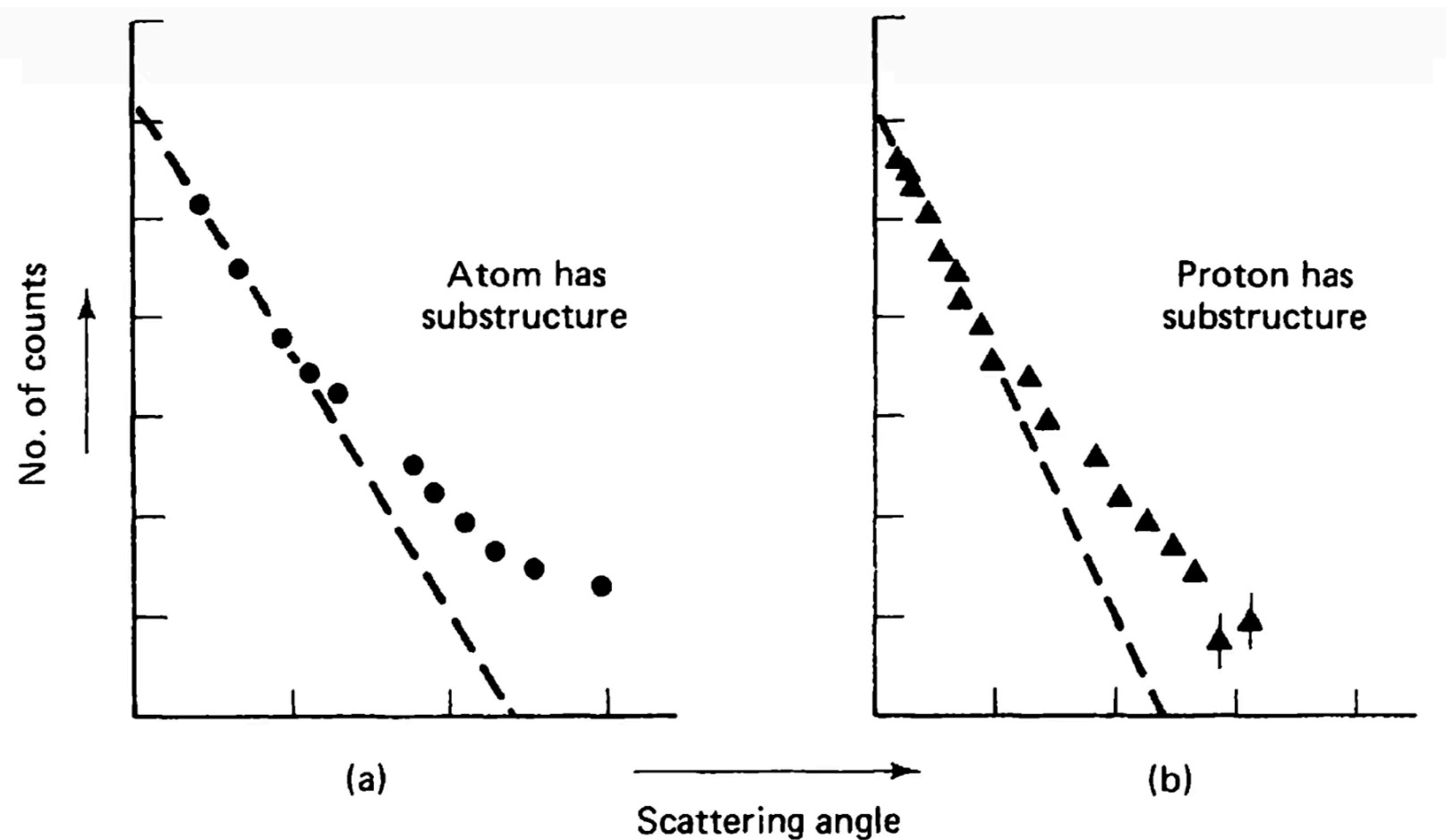
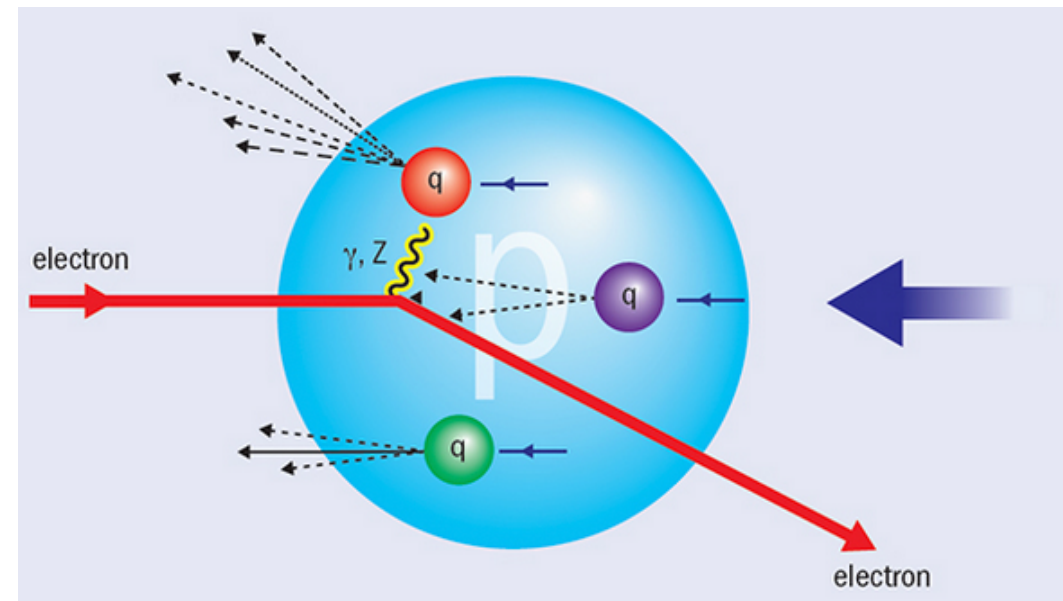
Taylor, Friedman, Kendall  
Nobel Prize, 1990



# Deep Inelastic Scattering at SLAC

“Modern Rutherford Experiment”

$$e(p) + h(P) \rightarrow e'(p') + X$$





# Quantum Chromodynamics

## PROBLEM:

The spin-3/2  $\Delta^{++}$  particle had 3 spin-1/2  $u$ -quarks all in the same apparent state!?

Similar problems with the  $\Delta^-$  ( $ddd$ ) and the  $\Omega^-$  ( $sss$ ).

*No two identical Fermions can occupy the same state.*

Colour is necessary for the Pauli exclusion principle to still hold!

$$\Delta^{++} = \underbrace{|uuu\rangle}_{\text{flavour}} \underbrace{|\uparrow\uparrow\uparrow\rangle}_{\text{spin}} \underbrace{|\ell=0\rangle}_{\text{orb. } \ell} \underbrace{\left| \frac{1}{\sqrt{6}} \varepsilon^{ijk} q_i q_j q_k \right\rangle}_{\text{colour d.o.f.}}$$

Enter Quantum **Chromo**Dynamics.

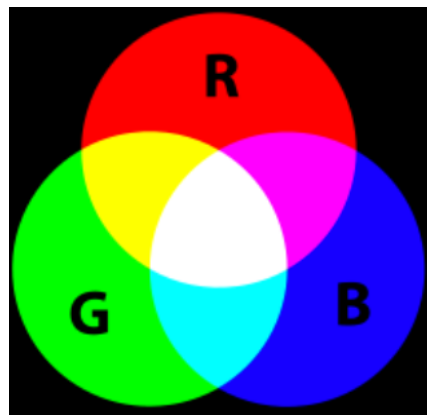
From the Greek “khroma” ( $\chi\rho\mu\alpha$ ),  
meaning colour.

$$|qqq\rangle = \sqrt{\frac{1}{6}}(RGB - RBG + BRG - BGR + GBR - GRB)$$

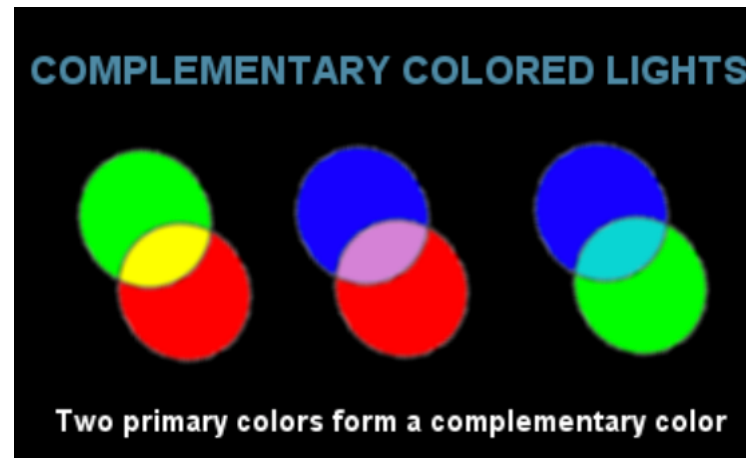
# Quantum Chromodynamics

All observable particles must be white  $\Rightarrow$  colour singlet.

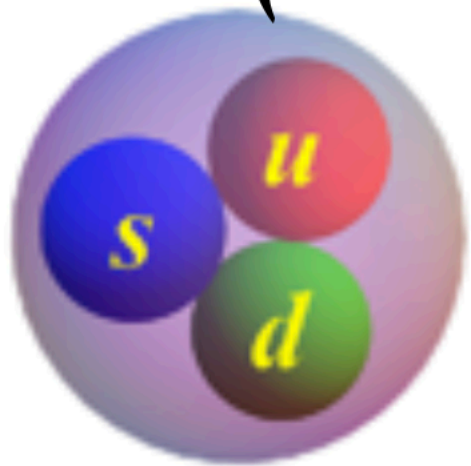
Baryons are red-blue-green triplets.



Mesons are colour-anticolour pairs.



$$\Lambda = (uds)$$



3 primary colours together  $\Rightarrow$  white  
2 primary colours  $\Rightarrow$  complementary colour

$$\pi^- = (d\bar{u})$$





# Quark Model - Status Update

End of the 1960s, early 1970s

Quark Model suffered from a profound embarrassment:

- No free quarks had ever been observed in nature!
- **Quark Confinement** was proposed to be the solution, but this didn't really explain anything.
- The fact that DIS at SLAC show three “lumps” and not one was encouraging.
- Lots of scientists didn't believe in the colour theory. “The last gasp of the quark model.”

The discovery of the  $J/\Psi$  particle simultaneously in 1974 at both Brookhaven and SLAC precipitated the “November Revolution” and saved the quark model.

# Quark Model - November Revolution

At this point, 3 quarks but 4 leptons. Lacked symmetry.

$u, d, s$

$e, \nu_e, \mu, \nu_\mu$

Discovery of  $J/\Psi$  meson:

- Very heavy
- Relatively long lived
- 4th quark, the charm quark!  $J/\Psi = c\bar{c}$
- Soon after heavier, charmed baryons  $\Lambda_c^+$  and  $\Sigma_c^{++}$  were found.
- Quark model was back on solid footing again.
- Confinement was still an issue, though.

*Of course, soon after another, heavier lepton was found, but then so was another quark...*

# QCD Lagrangian

QCD is the theory of quarks, gluons, and their interactions.

QFT based on the invariance under local gauge transformations in  $SU(3)_c$

$$\mathcal{L}_{\text{QCD}} = \bar{\psi}(i\gamma_\mu \mathcal{D}^\mu - m)\psi - \frac{1}{4}G_{\mu\nu}^j G_j^{\mu\nu}$$

Covariant derivative:

$$\mathcal{D}^\mu = \partial_\mu - ig \sum_{j=1}^8 \frac{\lambda_j}{2} \mathcal{A}_\mu^j(x)$$

Gluon field tensor:

$$G_{\mu\nu}^i(x) = \partial_\mu \mathcal{A}_\nu^i(x) - \partial_\nu \mathcal{A}_\mu^i(x) + gf_{ijk} \mathcal{A}_\mu^j(x) \mathcal{A}_\nu^k(x)$$

$\lambda_j \rightarrow$  Gell-Mann Matrices

$f_{ijk} \rightarrow$  Structure Constants

$\mathcal{A}_\mu^j \rightarrow$  Gluons

$g \rightarrow$  Coupling constant

## Some interesting results:

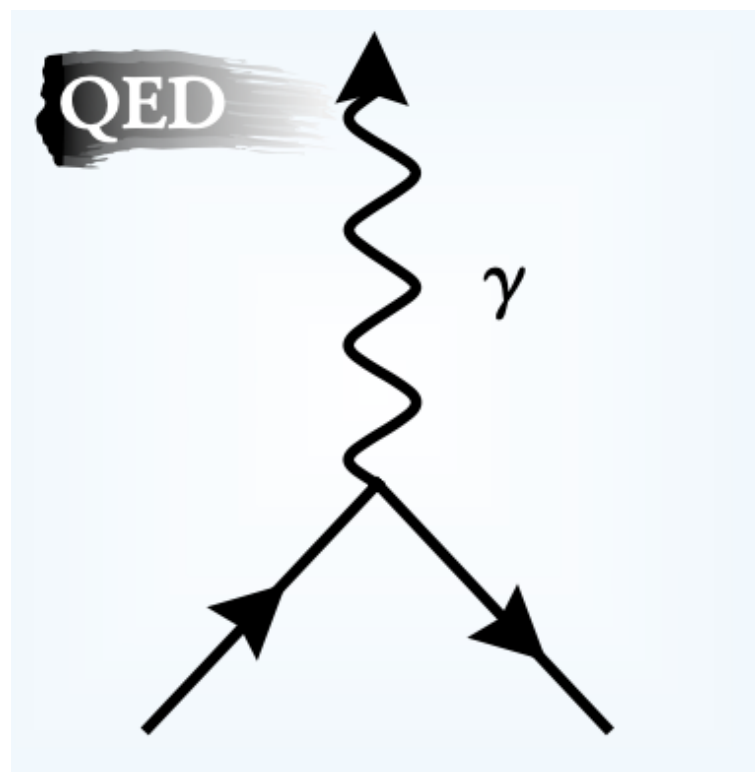
- Gluons carry colour.
- The coupling “runs”.
- Weak at high energies  $\Rightarrow$  asymptotic freedom
- Strong at low energies  $\Rightarrow$  confinement



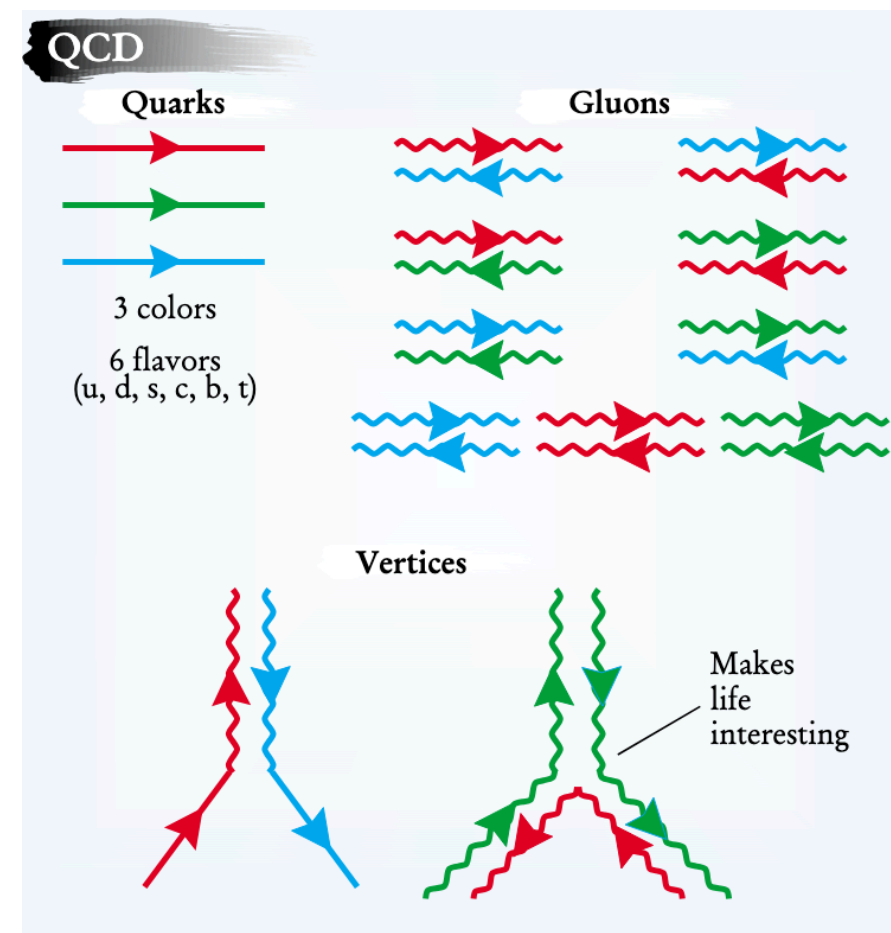
# QED vs. QCD

Two key features that distinguish QCD from QED:

1. Quarks interact more strongly the further they are apart, and more weakly as they are close by → Asymptotic Freedom!
  - No other force does this.
  - Gluons *interact with themselves* because they also carry colour charge.
  - Photons are not electrically charged and do not interact with each other.
2. QED has two types of charge — positive and negative — whereas QCD has three — red, green, and blue.

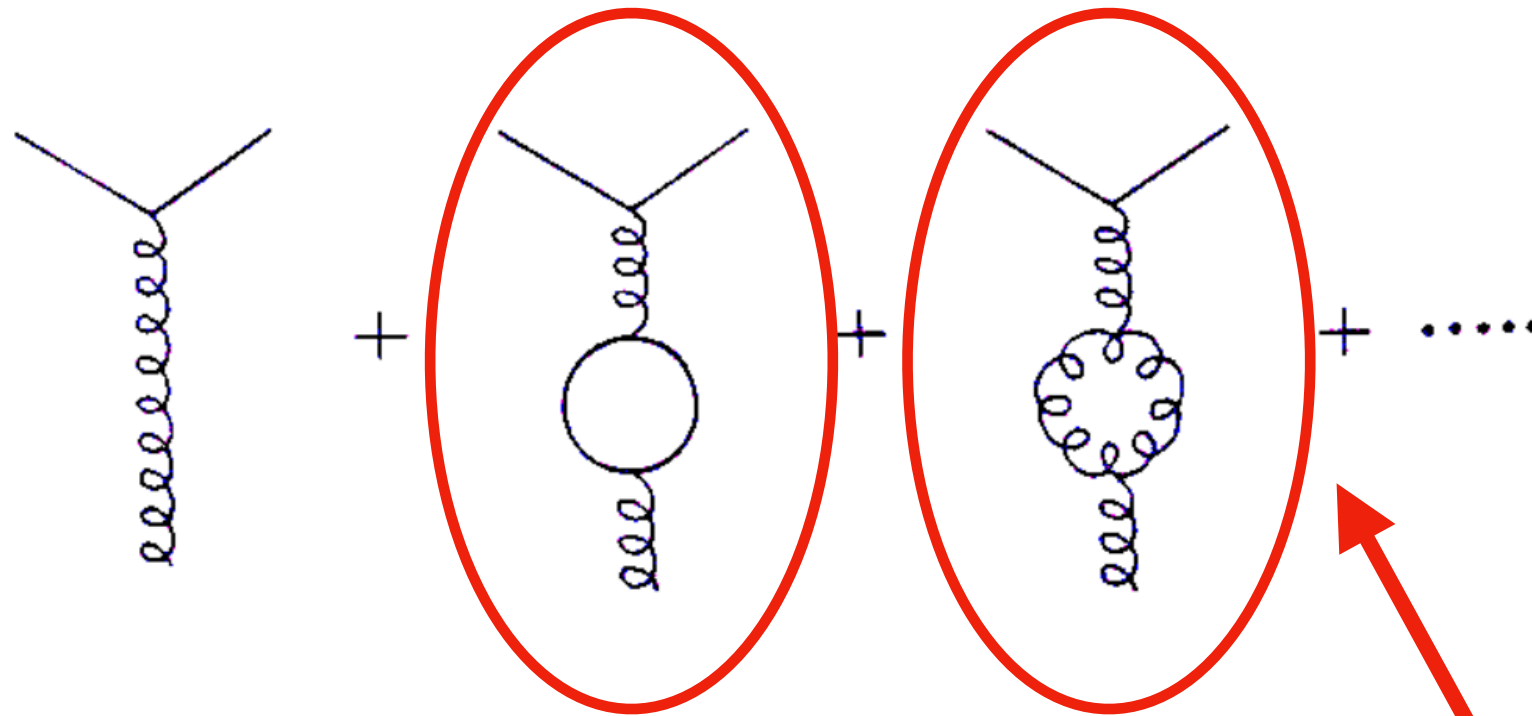


Primitive vertex



# Strong Coupling

## Loop Contributions



Similar to QED  
Quark loops like  
lepton loops in  
QED.

For each flavour,  
large mass  
suppressed

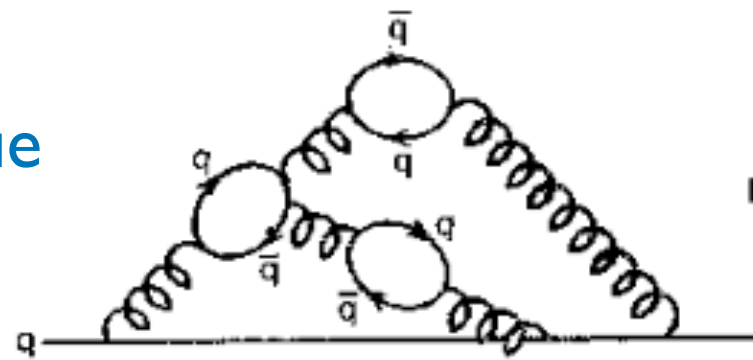
Only in QCD

- 8 gluons
- Larger contribution
- Opposite Sign
- Asymptotic Freedom

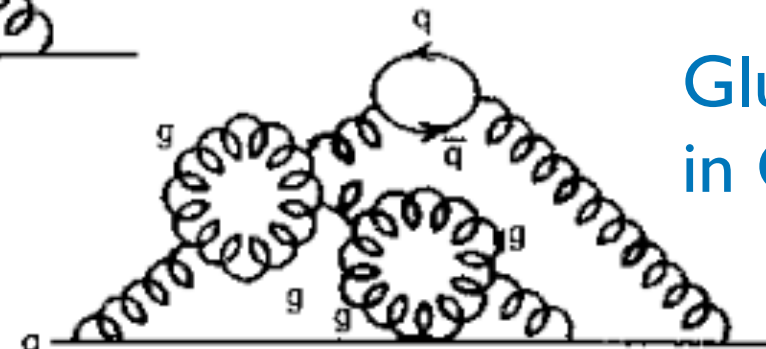
# Strong Coupling

Quantum chromodynamics (QCD)

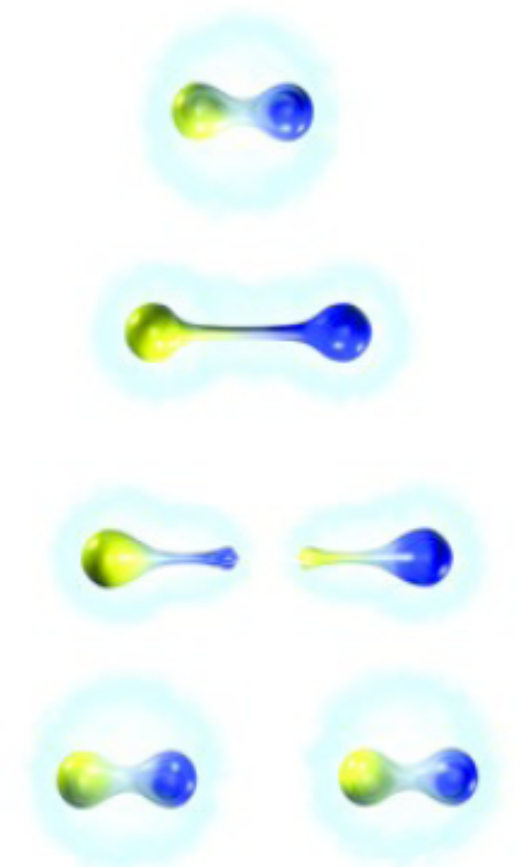
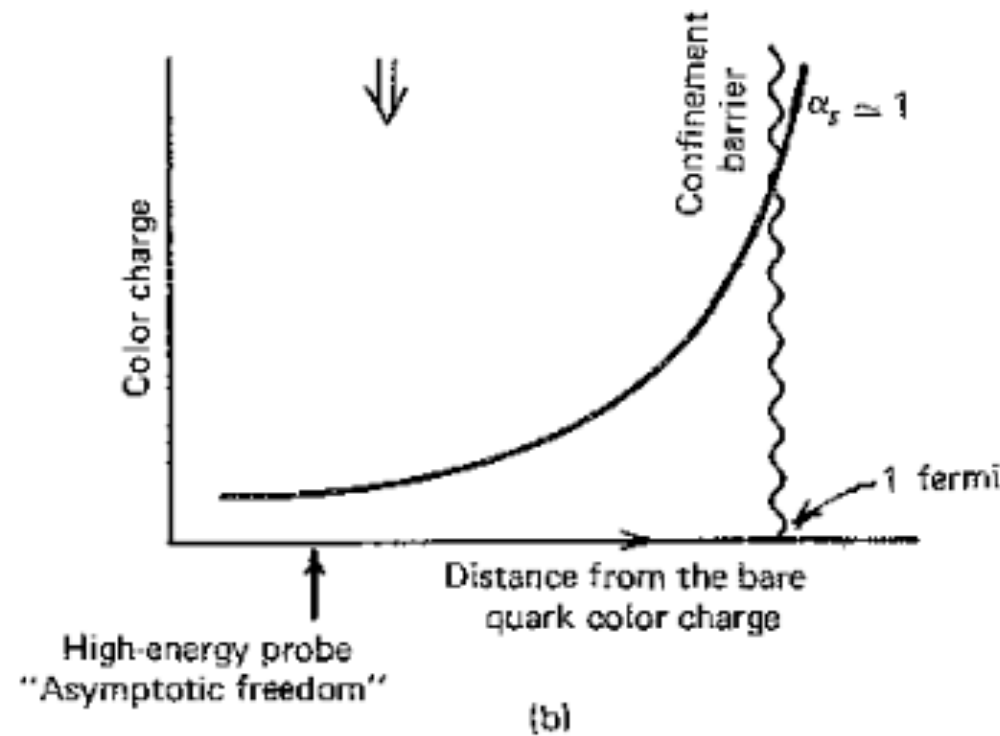
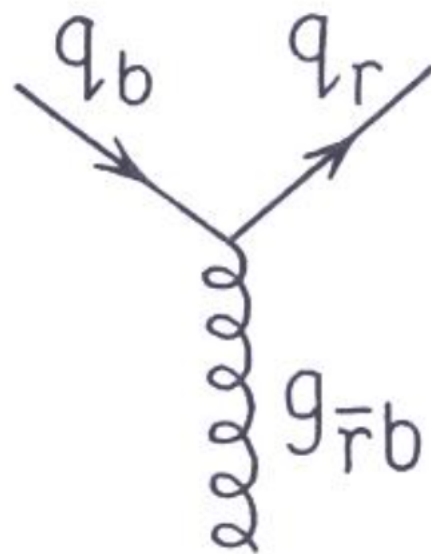
QED Analogue



but also



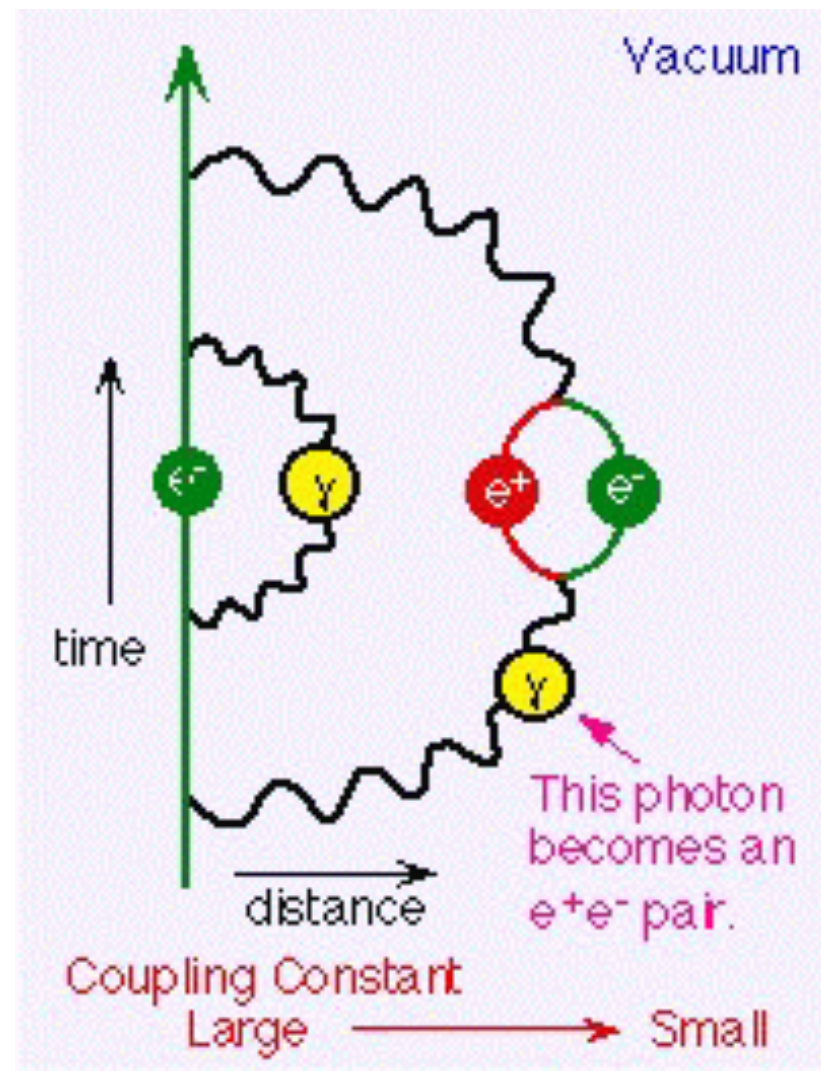
Gluon self-coupling in QCD



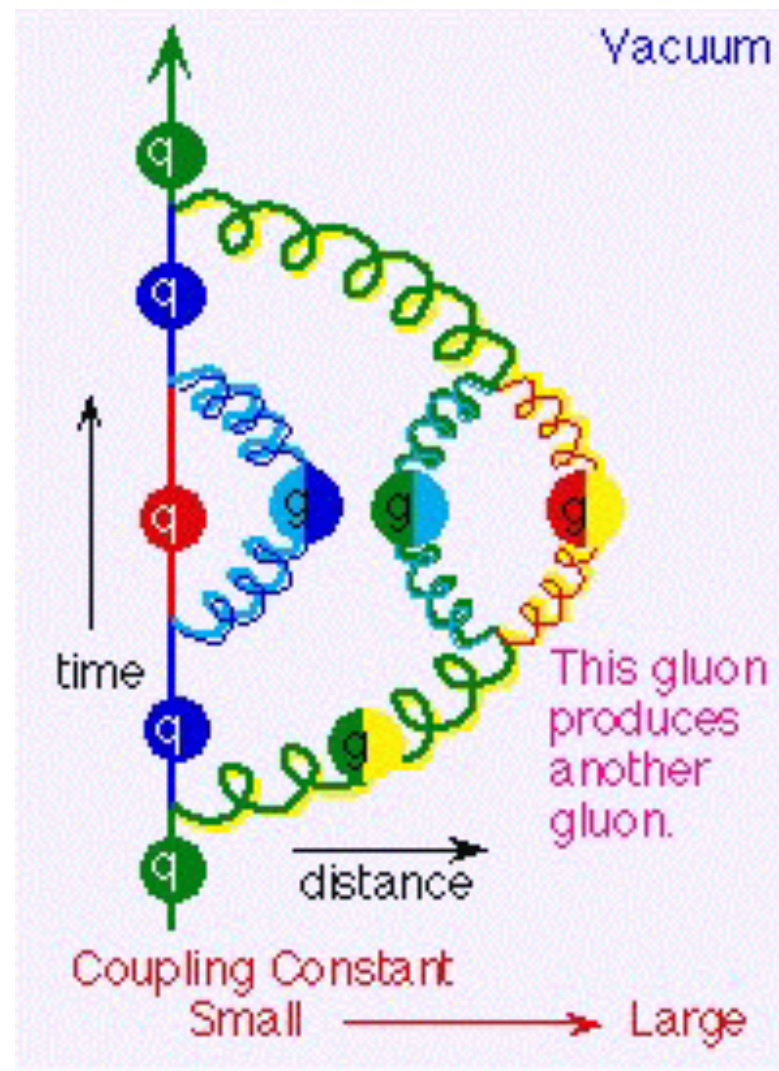


# Strong Coupling

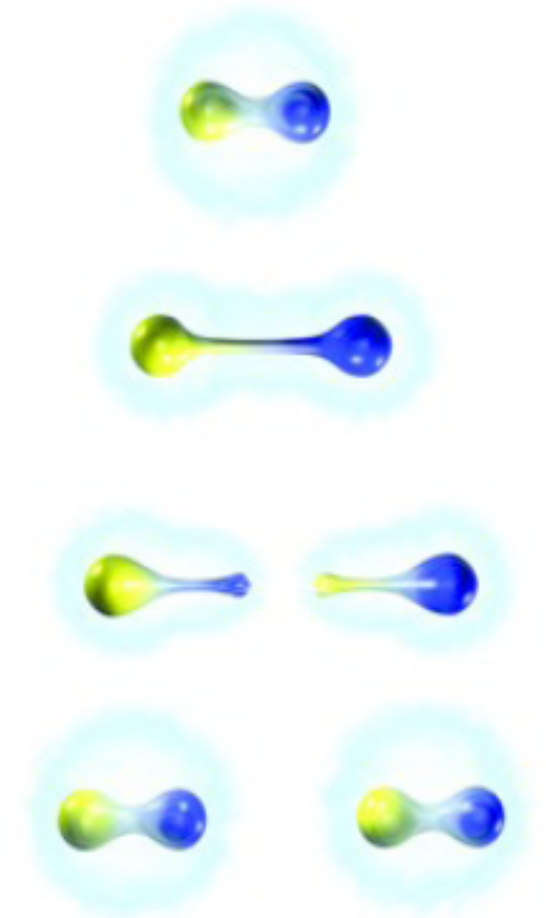
## Charge Screening



electron

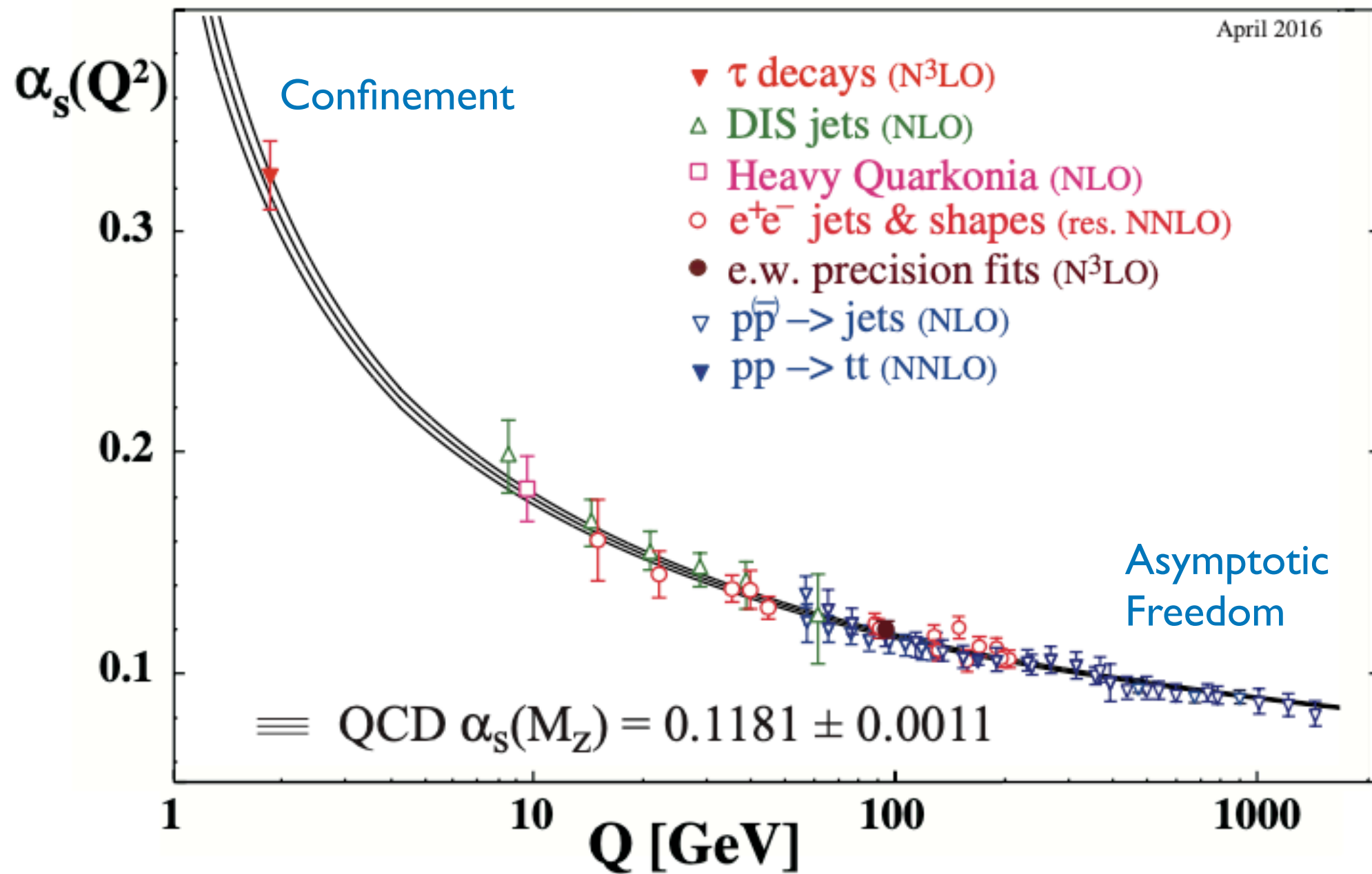


quark

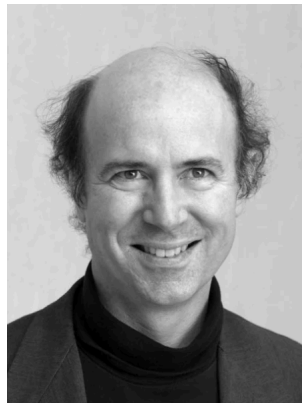


You get “anti-screening” from the gluon-gluon interaction.

# QCD Lagrangian



Nobel Prize 2004



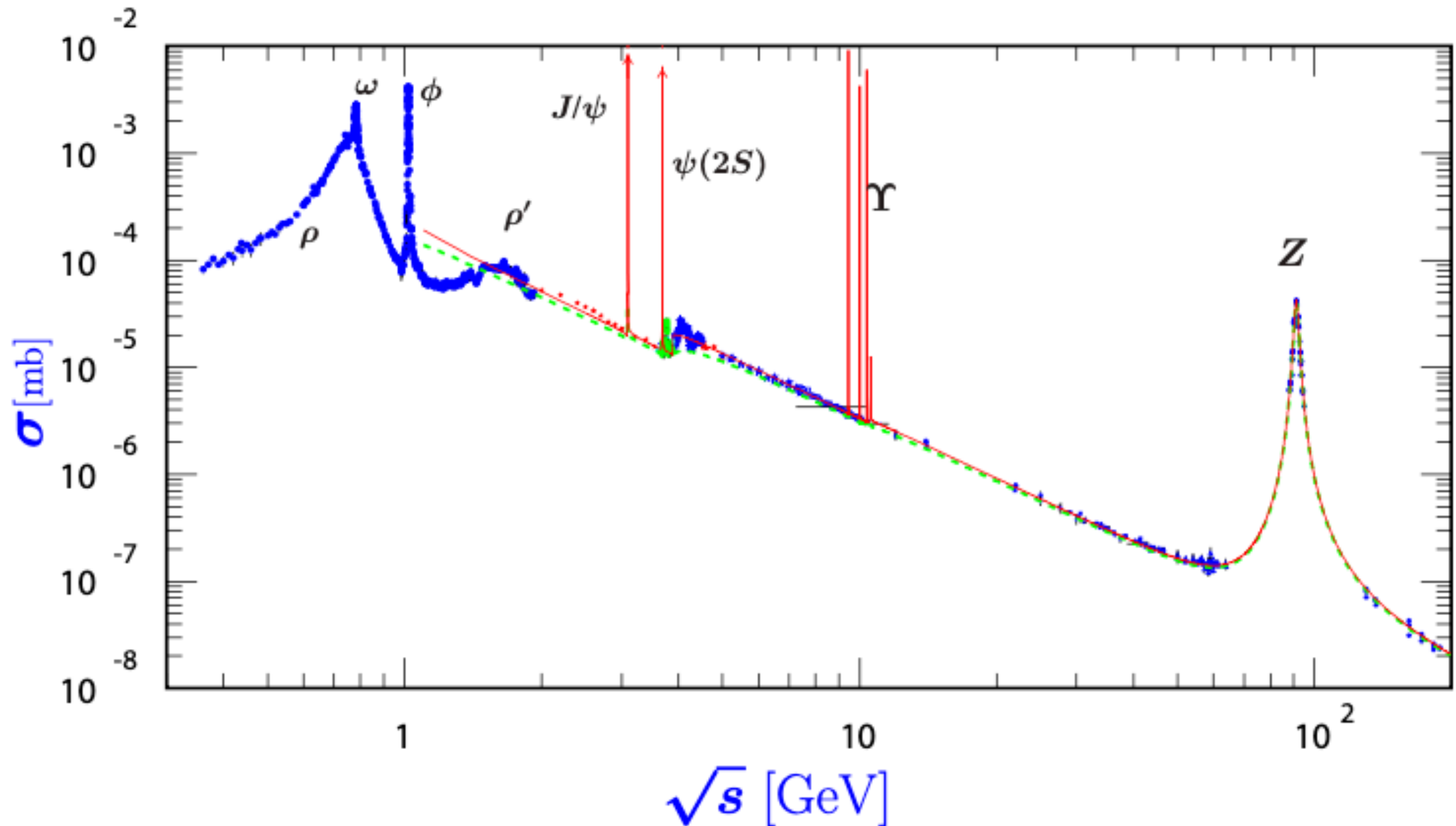
Wilczek, Gross, Politzer

Close-range behaviour

$$\alpha_s \rightarrow 0 \text{ as } Q \rightarrow \infty$$

# Electron-Positron Annihilation

Consider  $e^+e^-$  Collider Data



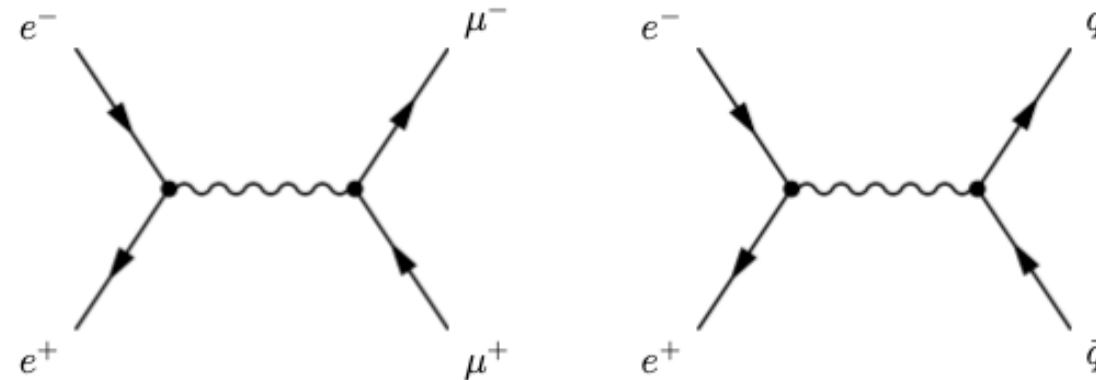
$e^+e^- \rightarrow$  Hadrons, with overall  $J^{PC} = 1^{--}$



# Electron-Positron Annihilation

## General Features

**Idea:** Relate  $q\bar{q}$  cross section to known (QED) cross section



$\mu^+\mu^-$  cross section from QED:  $\sigma(e^+e^- \rightarrow \mu^+\mu^-) = \frac{4\pi\alpha^2}{3s}$

$q\bar{q}$  cross section (also only from QED!):  $\sigma(e^+e^- \rightarrow q\bar{q}) = N_c e_q^2 \sigma(e^+e^- \rightarrow \mu^+\mu^-)$

$$\text{with } e_q = \begin{cases} -1/3 & \text{for } q = d, s, b \\ +2/3 & \text{for } q = u, c, t \end{cases}$$

and  $N_c = 3$  is the number of colours

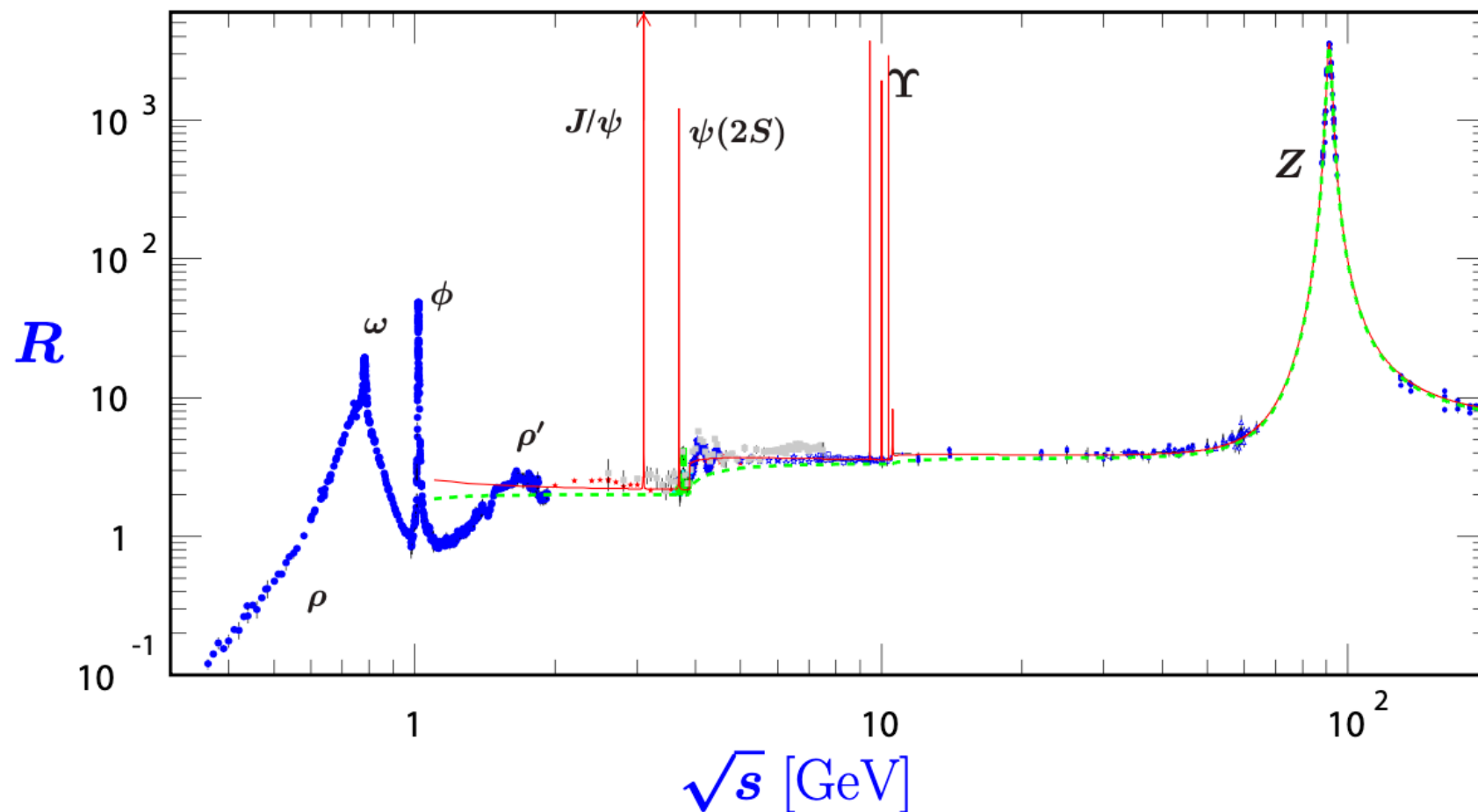
Then we define the ratio

$$R = \frac{\sigma(e^+e^- \rightarrow \text{Hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = \sum_q 3e_q^2$$

# Electron-Positron Collider

$$e^+e^- \rightarrow \text{Hadrons}$$

With QCD corrections:  $R = \sum_q 3e_q^2 \left( 1 + \frac{\alpha_s(Q^2)}{\pi} \right)$



Confirmed quark charge

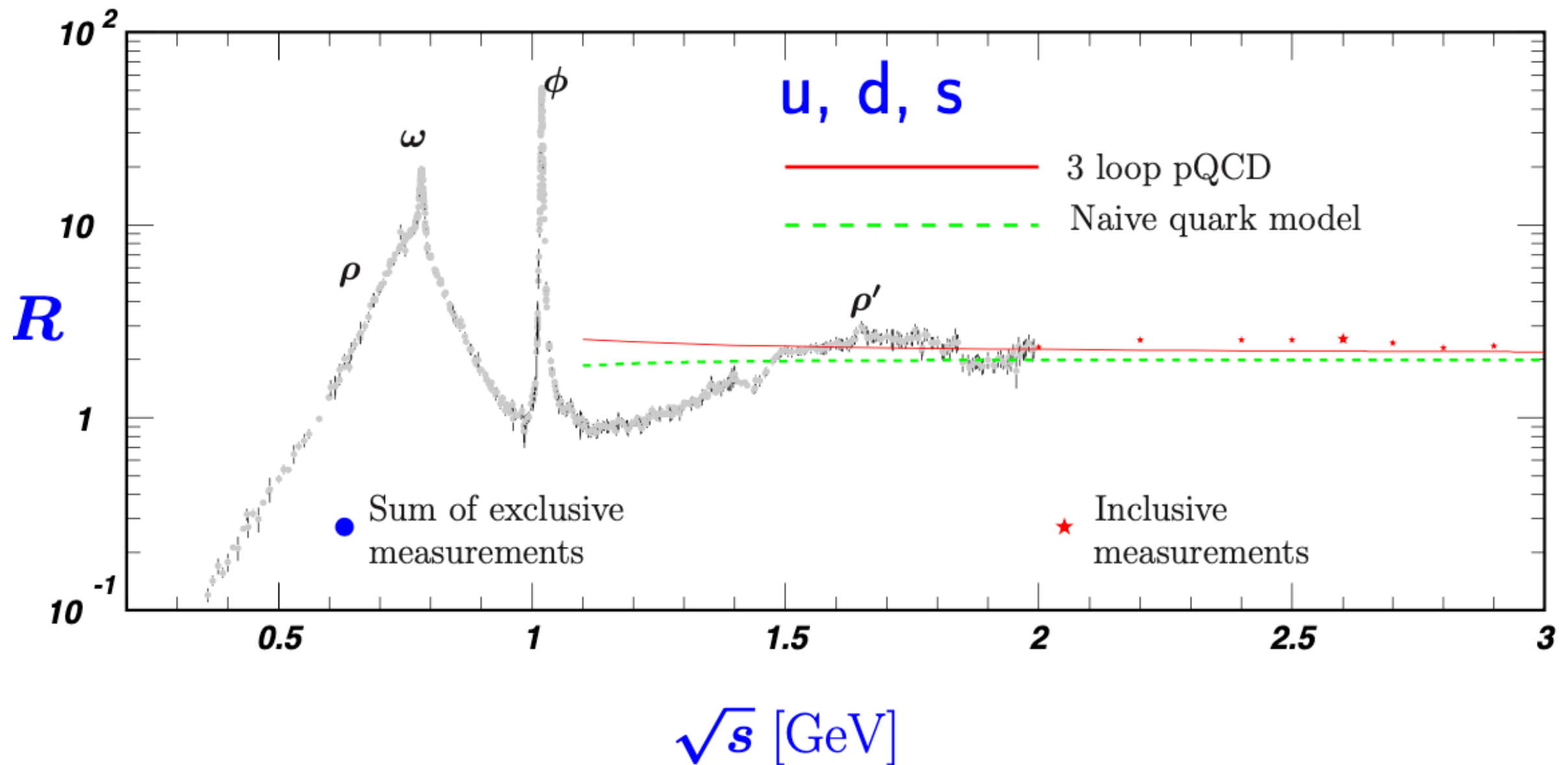
Confirmed colour hypothesis

Shows production thresholds for quark flavour production

# Electron-Positron Collider

$e^+e^- \rightarrow \text{Hadrons}$

3 Light quarks



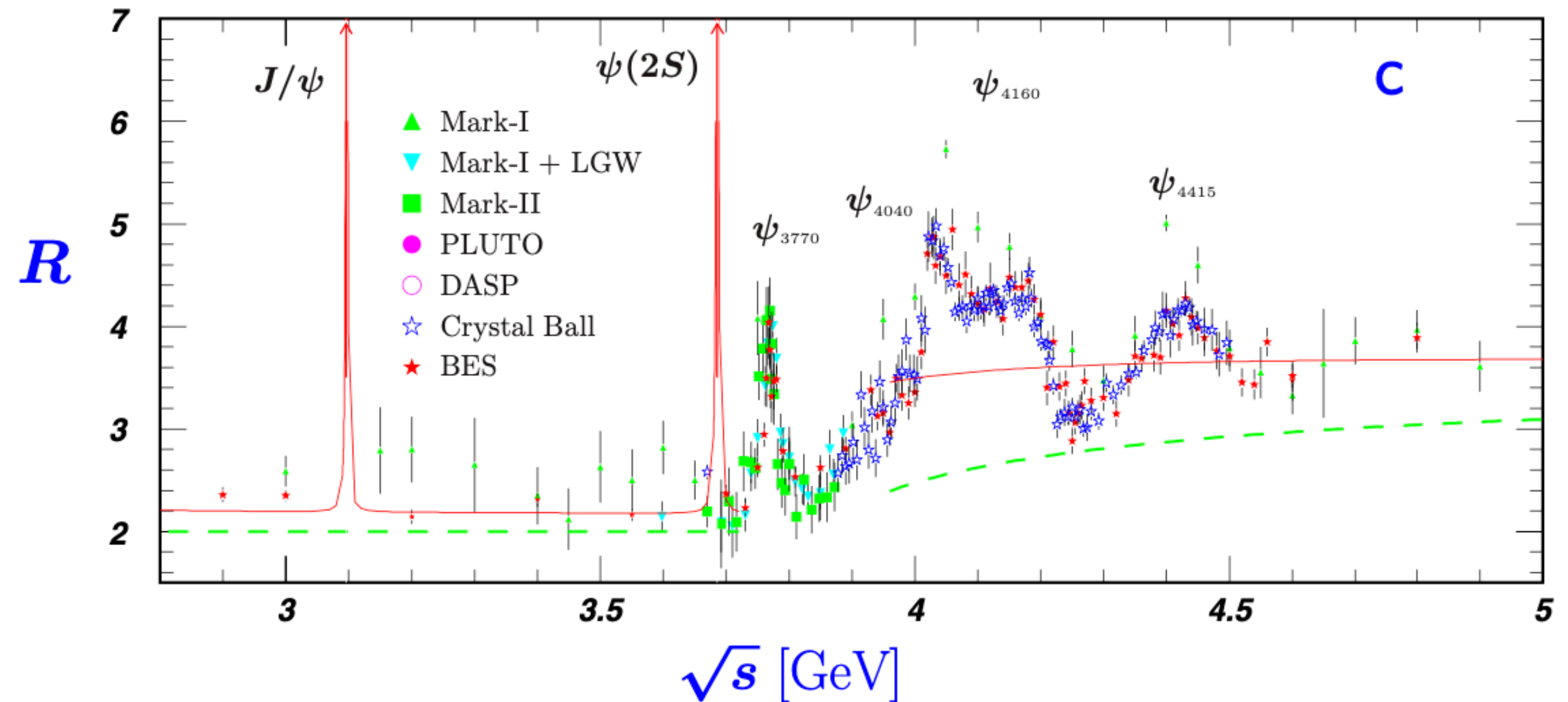
$$R = \sum_q 3e_q^2 = 3 \left[ \left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 \right] = 2$$



# Electron-Positron Collider

$$e^+e^- \rightarrow \text{Hadrons}$$

Include charm

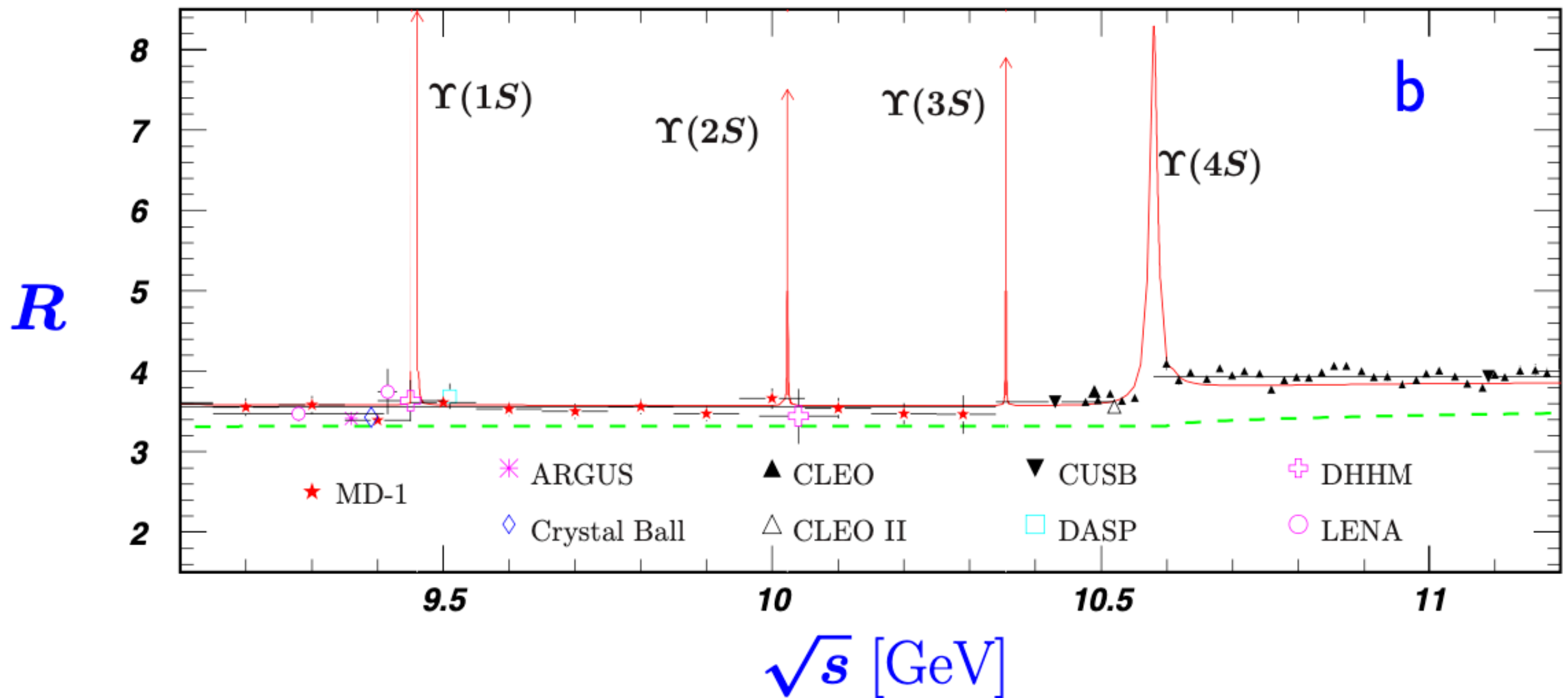


$$R = \sum_q 3e_q^2 = 3 \left[ \left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(\frac{2}{3}\right)^2 \right] = \frac{10}{3}$$

# Electron-Positron Collider

$e^+e^- \rightarrow \text{Hadrons}$

and bottom



$$R = \sum_q 3e_q^2 = 3 \left[ \left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 \right] = \frac{11}{3}$$

# Consequences of QCD

## Symmetries of the Lagrangian: Parity

$\mathcal{L}_{\text{QCD}}$  is invariant under parity transformation, i.e.  $\vec{r} \rightarrow -\vec{r}$

$\hat{P}$  acting on  $(t, \vec{r}) \rightarrow (t, -\vec{r})$

General Eigenvalue Equation:  $\hat{Q}f = qf$

Eigenvalues:

$\hat{P}\phi(t, \vec{r}) = P\phi(t, \vec{r})$  with Eigenvalues  $P = \pm 1$

## Consequences for Hadrons:

- All states can be decomposed into states with definite parity, i.e.  $P = 1$  or  $P = -1$ .
- For systems of hadrons, we just multiply the parity of the individual hadrons together to get the system parity  $\rightarrow$  multiplicative quantum number (not additive).
- Hadrons produced via QED/QCD from a state with definite parity also have the same total parity.
- Additional  $U(1)$  symmetries for baryon number, charge, lepton number  $\rightarrow$  combined parity operators.
- Define intrinsic parity  $P_{\text{proton}} = P_{\text{neutron}} = P_{\text{electron}} = 1$

# Consequences of QCD

## Symmetries of the Lagrangian: Parity

**Example:** Parity of the pion using  ${}^2\text{H} + \pi^- \rightarrow n + n$

Measure angular momentum (i.e. angular distribution)

Intrinsic parity  $P_{\text{proton}} = P_{\text{neutron}} = 1$   $\hat{P} [R(r)Y_L^M(\theta, \phi)] = Y_L^M(\pi - \theta, \phi + \pi) = (-1)^L Y_L^M(\theta, \phi)$

Deuteron has spin  $S_d = 1$   
Pion has spin  $S_\pi = 0$   
S-wave  $L = 0$   $\left. \vphantom{\begin{matrix} S_d = 1 \\ S_\pi = 0 \\ L = 0 \end{matrix}} \right\} \Rightarrow$  Total orbital angular momentum of the final state  
 $L = 1 \Rightarrow P = (-1)^L$

$n$  is a Fermion  $\rightarrow$  antisymmetric

Sum  $\begin{matrix} (1) & (1) & (P_\pi) \\ p & n & \text{Pion} \end{matrix} = \begin{matrix} (-1) & (1) & (1) \\ L=1 & n & n \end{matrix}$

$\Rightarrow$  Pion has intrinsic parity  $P_\pi = -1$ , i.e. it is a *pseudo-scalar* particle!

### General Approach:

- Calculate parity of initial state.
- Examine strong and EM (not weak!) decays, determine angular momenta.
- Find intrinsic parity



# Consequences of QCD

## Symmetries of the Lagrangian: Charge Conjugation

$\mathcal{L}_{\text{QCD}}$  is invariant under parity transformation, i.e. exchange particle  $\leftrightarrow$  antiparticle

$$\hat{C} |\phi\rangle \rightarrow |\bar{\phi}\rangle$$

Same properties as the parity operator:

- Eigenvalues  $C = \pm 1$
- Multiplicative quantum number for a system
- **NEW:** only neutral particles can be eigenstates, otherwise the eigenvalue equation  $\hat{Q}|f\rangle = q|f\rangle$  does not hold

Experimental determination: e.g. C-Parity of the pion from decay  $\pi^0 \rightarrow \gamma + \gamma$

C-Parity of the photon from  $C(\gamma) = -1$  from QED

Multiplicative  $\Rightarrow C(\pi^0) = (-1)(-1) = 1$

Quantum numbers of the pion:  $J^{PC} = 0^{-+}$

# Natural Quantum Numbers

“Natural” quantum numbers for mesons:  $J^{PC}$  with  $|L - S| \leq J \leq |L + S|$

$$\hat{P} |q\bar{q}\rangle = P_q P_{\bar{q}} (-1)^L |q\bar{q}\rangle = (+1)(-1)(-1)^L |q\bar{q}\rangle = (-1)^{L+1} |q\bar{q}\rangle$$

Charge Parity of a Meson as Quark-Antiquark pair:  $\hat{C} |q\bar{q}\rangle = C |q\bar{q}\rangle$

Charge conjugation corresponds to the exchange of a quark/antiquark pair.

Same effect on spatial w.f. as parity inversion  $\Rightarrow C \sim (-1)^L$

Spin  $\Rightarrow C \sim (-1)^{S+1}$  (e.g. spin singlet is anti-symmetric under interchange)

$C \sim +1$  for  $q$  and  $-1$  for  $\bar{q} \Rightarrow C \sim (+1)(-1) \sim (-1)$

$$\hat{C} |q\bar{q}\rangle = (-1)^L (-1)^{S+1} (-1) |q\bar{q}\rangle = (-1)^{L+S} |q\bar{q}\rangle$$

$2S+1 L_J$	$S$	$L$	$J$	P	C	$J^{PC}$	Mesons				Name
$^1S_0$	0	0	0	−	+	$0^{-+}$	$\pi$	$\eta$	$\eta'$	$K$	pseudo-scalar
$^3S_0$	1	0	1	−	−	$1^{--}$	$\rho$	$\omega$	$\phi$	$K^*$	vector
$^1P_1$	0	1	1	+	−	$1^{+-}$	$b_1$	$h_1$	$h'_1$	$K_1$	pseudo-vector
$^3P_0$	1	1	0	+	+	$0^{++}$	$a_0$	$f_0$	$f'_0$	$K_0^*$	scalar
$^3P_1$	1	1	1	+	+	$1^{++}$	$a_1$	$f_1$	$f'_1$	$K_1$	axial-vector
$^3P_2$	1	1	2	+	+	$2^{++}$	$a_2$	$f_2$	$f'_2$	$K_2^*$	tensor

Allowed:  $0^{-+}, 0^{++}, 1^{--}, 1^{+-}, 1^{++}, 2^{--}, 2^{-+}, 2^{++}, 3^{--}, 3^{+-}, 3^{++}, \dots$

Not allowed:  $0^{--}, 0^{+-}, 1^{-+}, 2^{+-}, 3^{-+}, \dots \Rightarrow$  Exotic Mesons

# Theory Approaches

**QCD is complicated!**

1. **High  $Q$**  (small distances)  
Expansion in powers of  $\alpha_s$   
Perturbation theory  
Pretty successful!
2. **Low  $Q$**  (large distances)  
Non-perturbative regime  
Approximations difficult

## **Methods for Low-Energy QCD**

Phenomenological models  $\Rightarrow$  Potential models, Quark models  
Discretize space-time  $\Rightarrow$  Lattice QCD  
Effective degrees of freedom  $\Rightarrow$  Chiral Perturbation Theory

# Non-Relativistic Potential

*The functional form of the potential is chosen to reproduce the asymptotic behaviour of the strong interaction.*

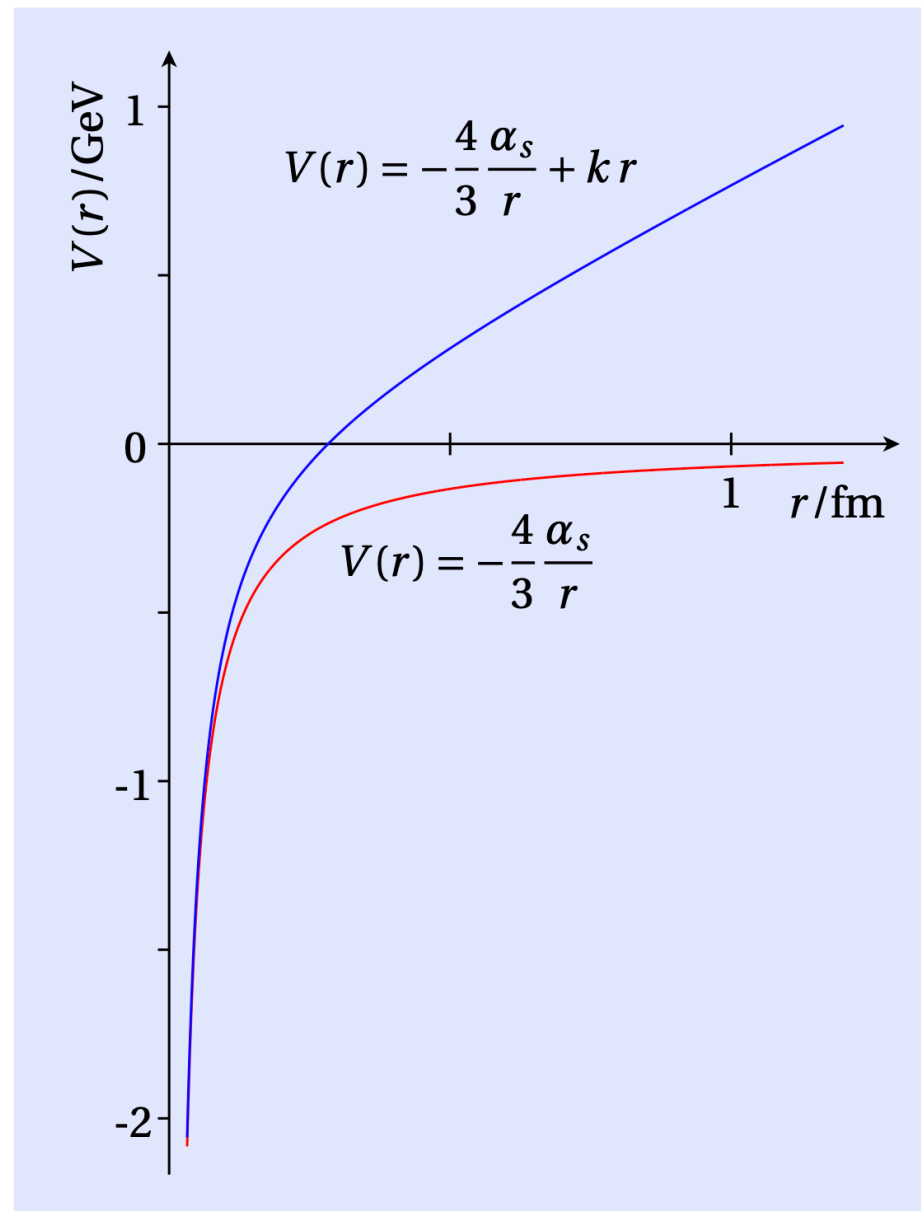
*Useful for heavy quarks, such as  $c\bar{c}$ .*

At small distances we have asymptotic freedom and the potential is Coulomb like:

$$V(r) \xrightarrow{r \rightarrow 0} -\frac{4}{3} \frac{\alpha_s(r)}{r}$$

At large distances we have confinement that works like a spring:

$$V(r) \xrightarrow{r \rightarrow \infty} kr$$



$$\alpha_s(\mu) = \frac{4\pi}{\left(11 - \frac{2}{3}n_f\right) \ln\left(\frac{\mu^2}{\Lambda^2}\right)}$$

$n_f$  is the number of flavours

$\Lambda \approx 0.2$  GeV the QCD scale parameter

$k$  is the spring constant  $\approx 1$  GeV/fm



# Lattice QCD

## The Brute Force Method

“Lattice field theory is the non-perturbative approach to QFT through **regularised Euclidean functional integrals**.

The regularisation is based on discretisation of the action which preserves **gauge invariance** at all stages”

Preserves gauge invariance

Defines observables without reference to perturbation theory

Allows for stochastic evaluation of observables

Divide continuous spacetime into a discrete lattice.

Do calculations.

Extrapolate to the continuum.

Requires LOTS of computing power.

# Lattice QCD

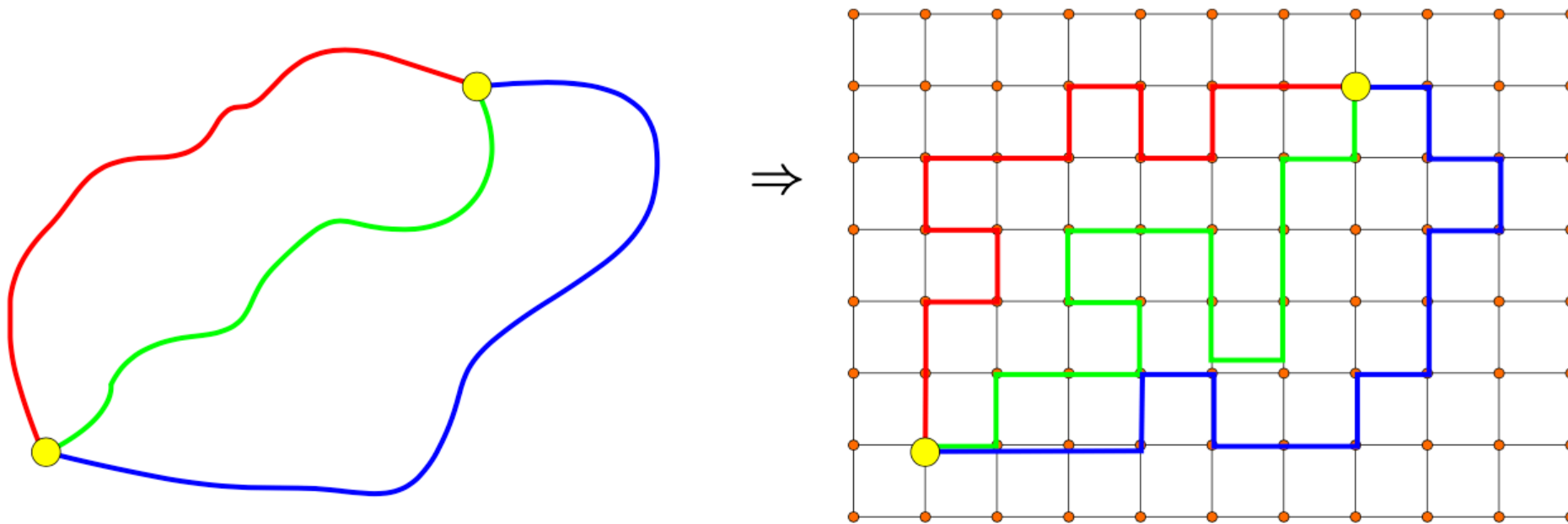
Start with Feynman's Path Integral Formulation of QM

$$\Psi(x_2, t_2) = \frac{1}{Z} \int e^{iS} \Psi(x_1, t_1) \mathcal{D}x$$

where  $\int \mathcal{D}x$  is the integration over ALL paths  $x(t)$  with  $x(0) = x_1$

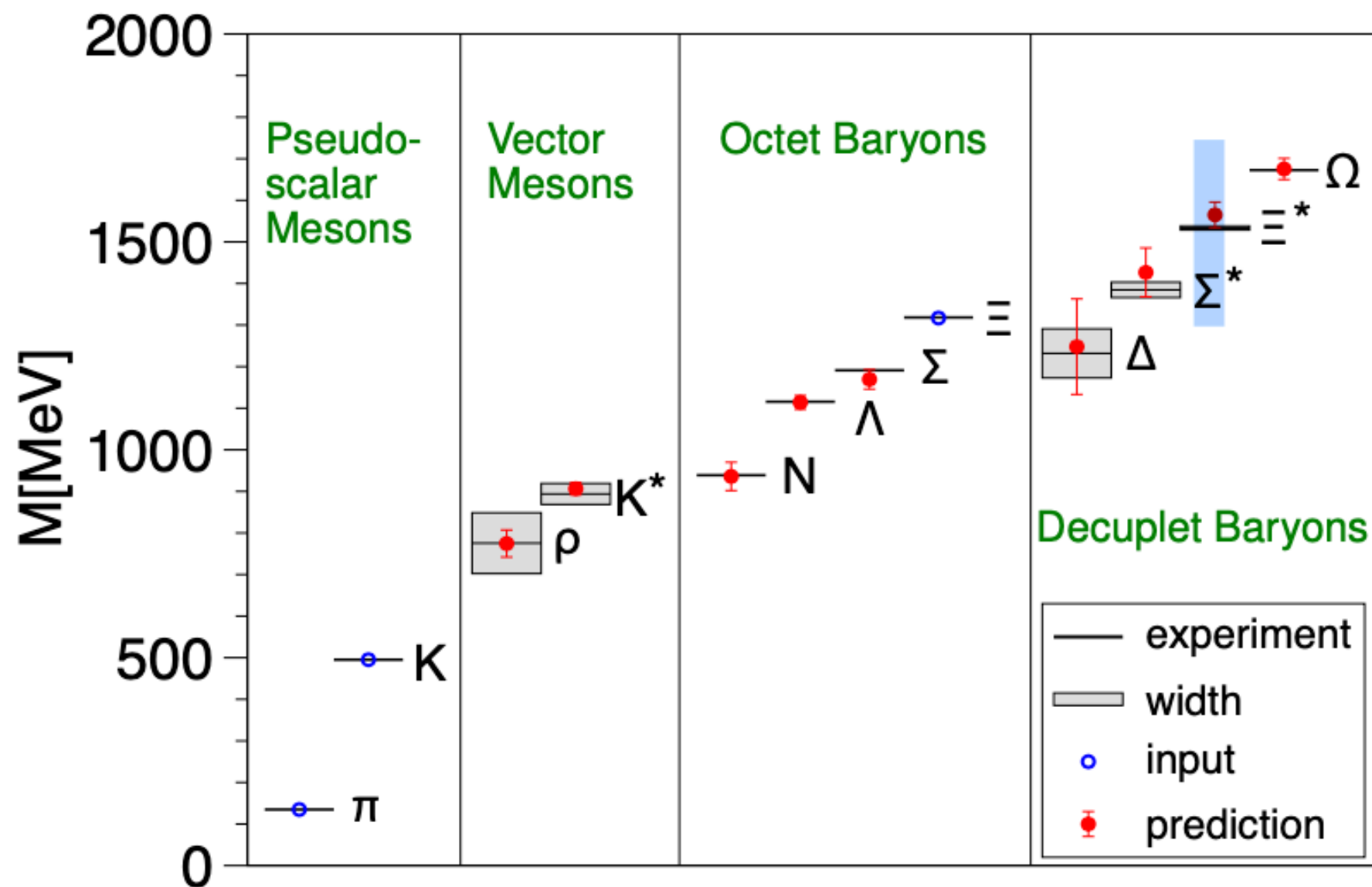
and the action  $S = \int_{t_1}^{t_2} L(x, \dot{x}, t) dt$

(a.k.a. Fermat's principle, Hamilton's principle, principle of least action)



# Lattice QCD — Summary

- Gauge invariant
- Works in the non-perturbative regime
- Finite volume, finite momentum
- Requires lots of computing power



S. Durr, et al., Science 322 (2008).

# Effective Field Theory

Replace the quarks and gluons with effective degrees of freedom that are relevant at this scale.

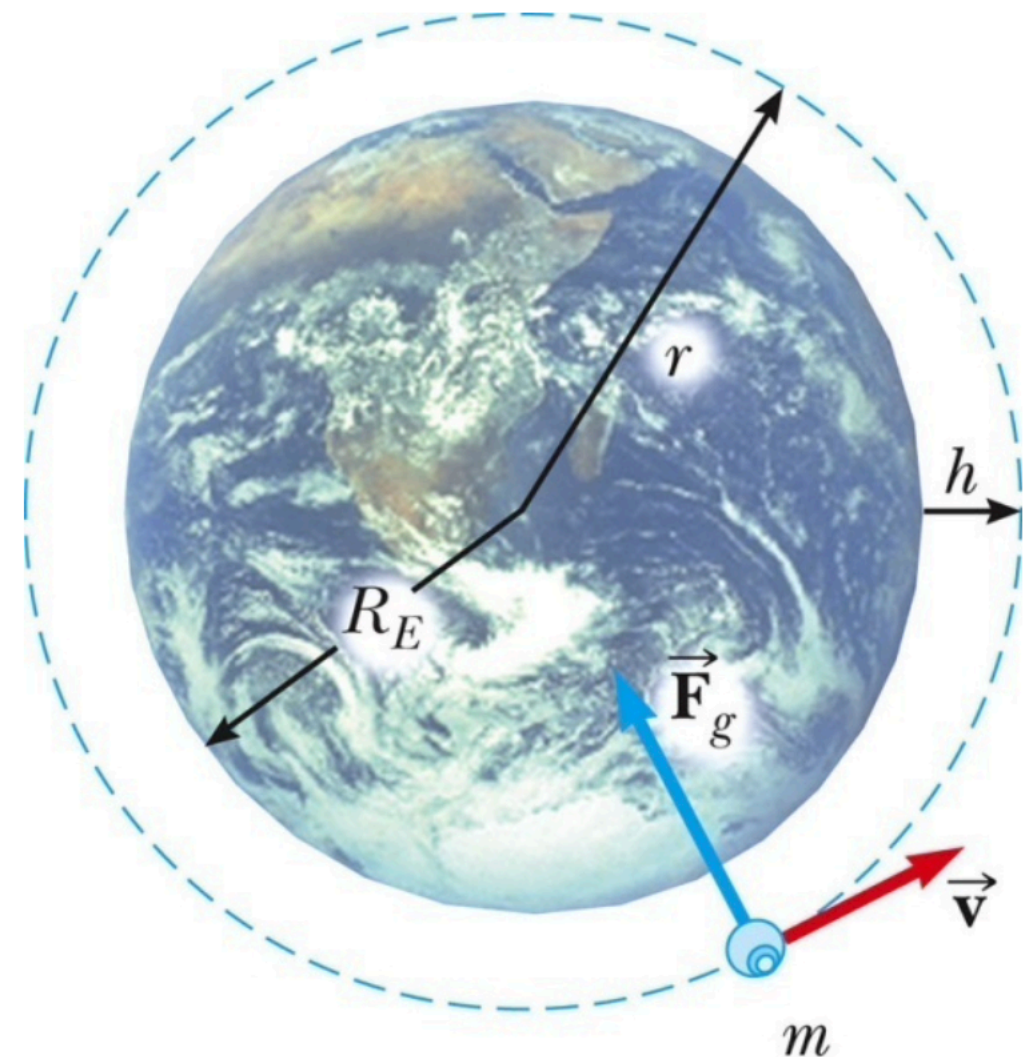
## A Classical Example

$$V(h) = mgR_E \sum_{i=1}^{\infty} (-1)^{i-1} \left( \frac{h}{R_E} \right)^i$$

Degree of freedom:  $m$

Symmetries: translations parallel to the Earth's surface and rotations about an axis normal to it.

$$V(r) = -\frac{GMm}{r} \quad \rightarrow \quad V(h) = mgh$$





# Effective Field Theory

## Approximate Symmetries of QCD

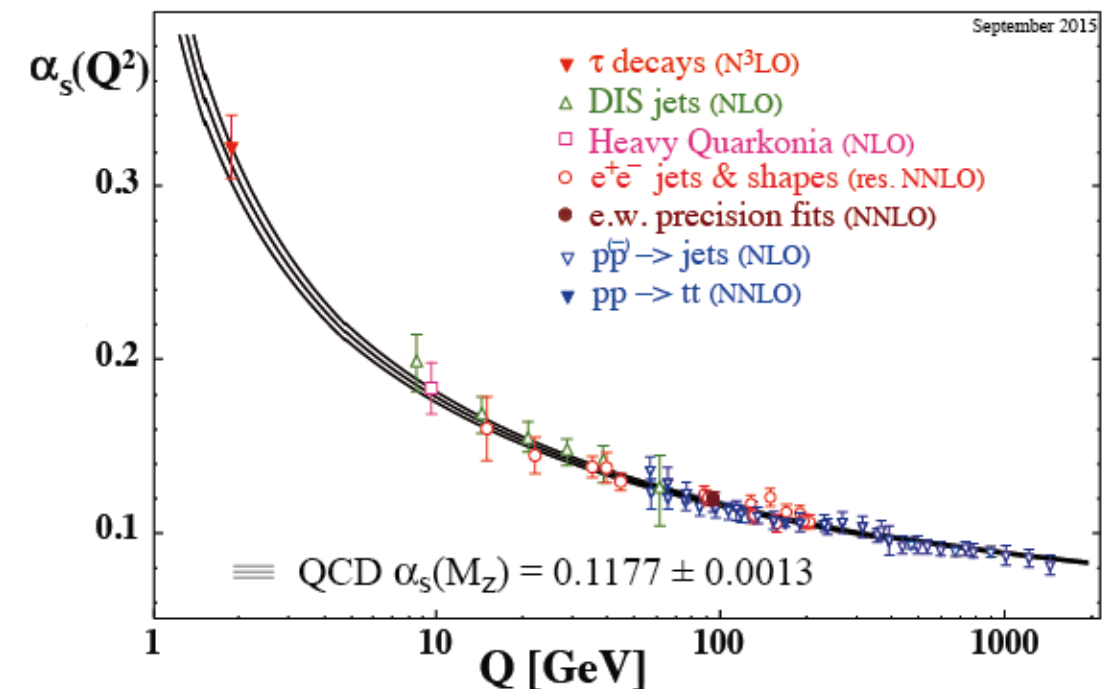
Replace the quarks and gluons with effective degrees of freedom that are relevant at this scale.

Effective Theories involve a systematic expansion of QCD.

At High Energies  $Q \rightarrow \infty$ , Quarks and Gluons are relevant  
Perturbative QCD, Expansion in  $1/Q$

Very slow hadrons  $Q \rightarrow 0$   
Pions and Kaons are relevant  
Approximate Symmetries  $\rightarrow$  Expansion in  $Q$

Heavy quarks  $m_Q \rightarrow \infty$   
Light quarks and gluons relevant  
Use approximate symmetries  
 $\rightarrow$  Expansion in  $1/m_Q$



# Effective Field Theory

## Approximate Symmetries of QCD

Low-energy approximation to a more fundamental theory (QCD).

Most general Lagrangian consistent with all symmetries. Relevant degrees of freedom are the pions, nucleons, etc.

Breakdown scale  $\Lambda \approx 1$  GeV. The mass of the lightest omitted degree of freedom.

**Challenges:** infinite number of terms in the Lagrangian, non-normalizable in the traditional sense.

### Solution:

Expansion in  $q/\Lambda$  and power counting ( $q$  is typical momentum and masses).

Finite number of terms in the Lagrangian.

Renormalizable to given order  $\Rightarrow$  finite number of Low-Energy Constants (LECs).

More LECs come in as you go up in order...

# Charmonium

November Revolution 1974

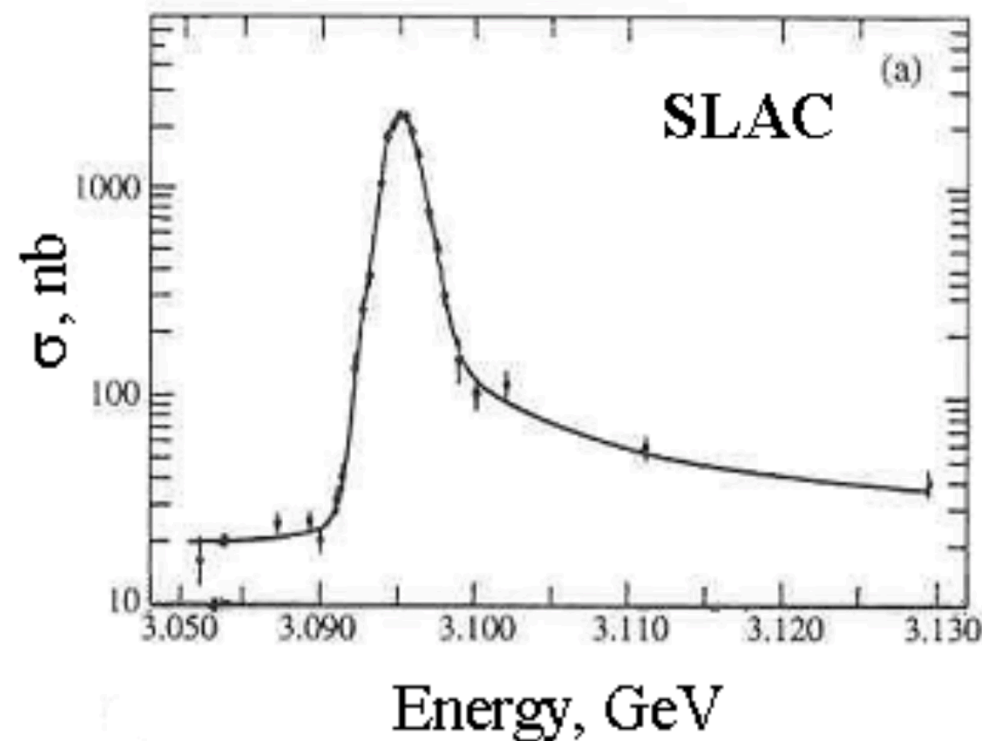
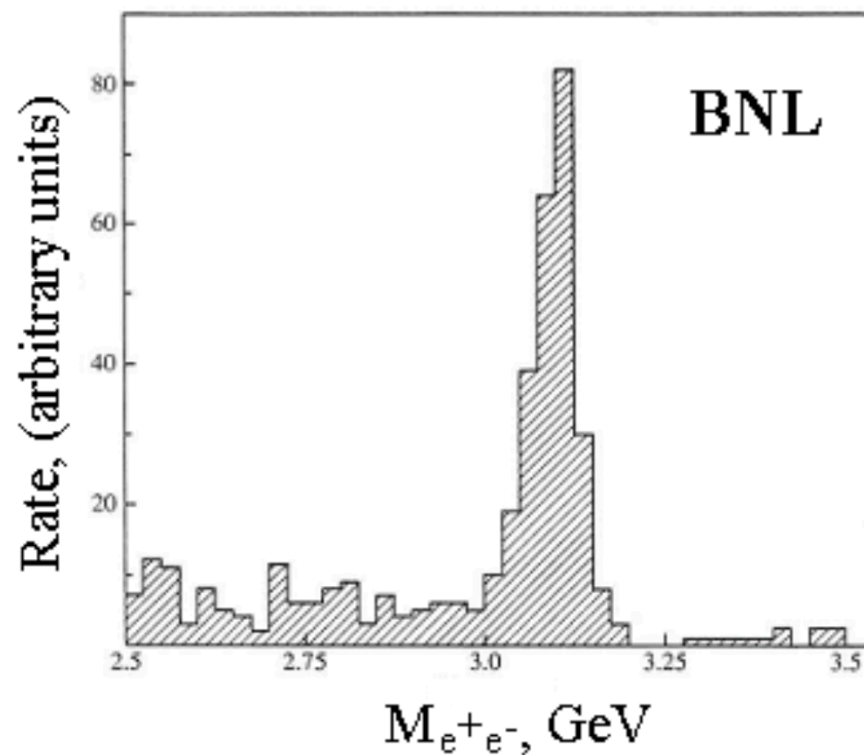
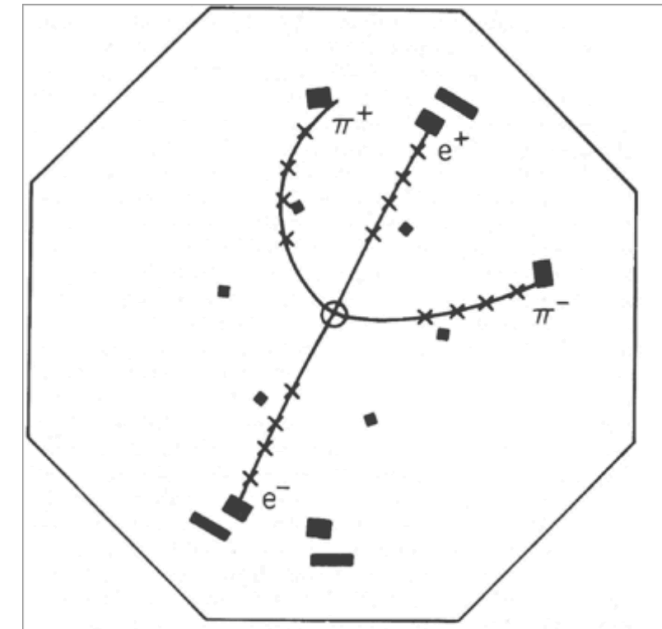
Simultaneous discovery of the (heavy)  $J/\psi$  at SLAC and BNL.

Bound state of  $|c\bar{c}\rangle \rightarrow$  “Charmonium”

First evidence of the charm quark.

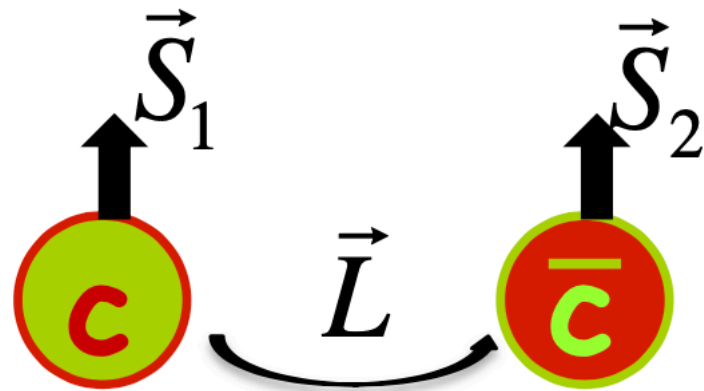
Strong confirmation of the quark model.

Discovery of  $\psi(2S) \rightarrow J/\psi(e^+e^-)\pi^+\pi^-$  soon followed.



Charm quarks are very heavy, and therefore not relativistic  
Unlike the lighter quarks  $u, d, s$

# Charmonium Properties



$J/\psi$  has the same  $J^{PC}$  as the photon,  $1^{--}$

$$n^{2S+1}L_J$$

Very similar to the spectroscopic notation for electron orbitals

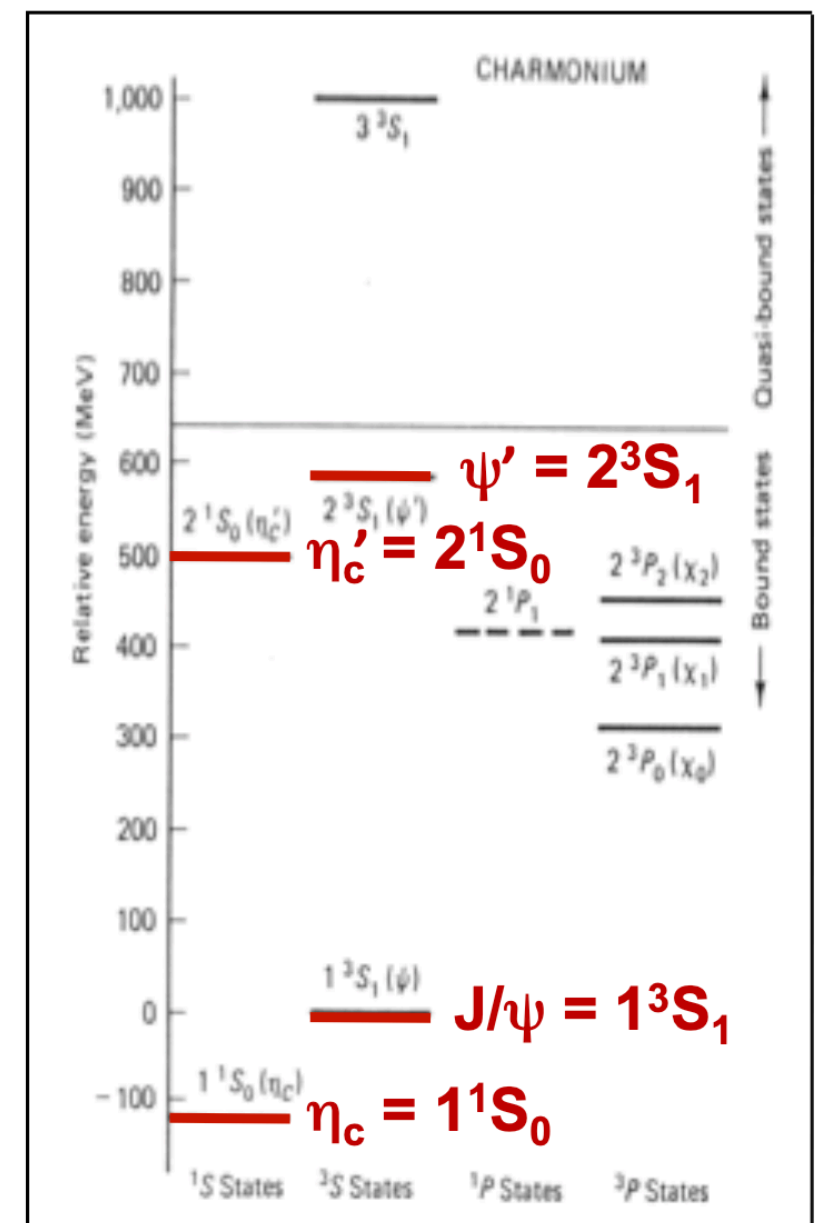
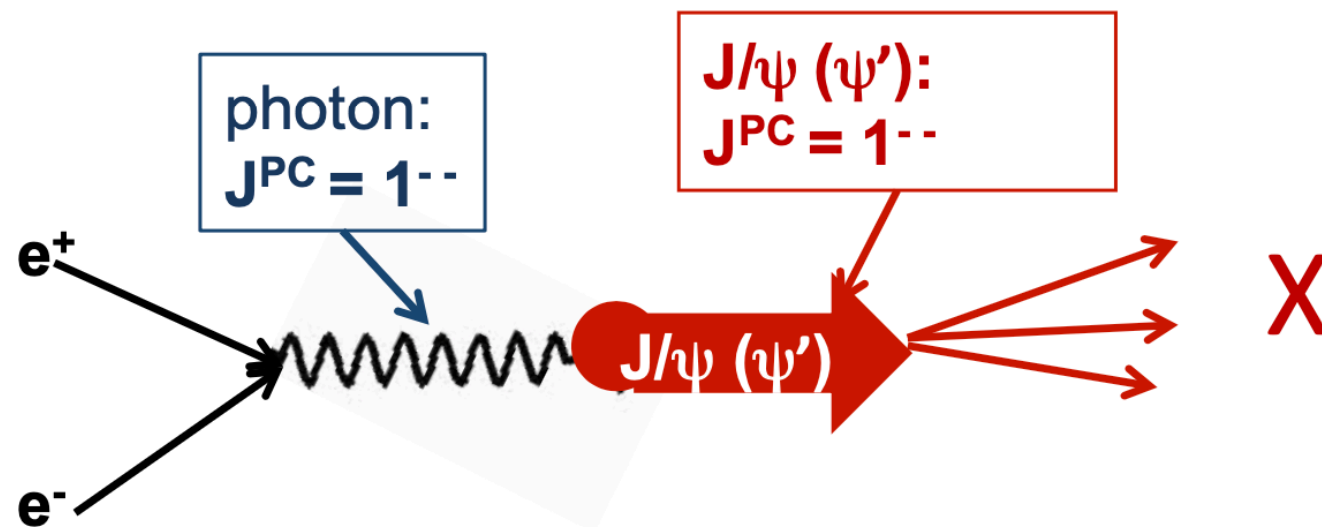
$$\vec{S} = \vec{S}_1 + \vec{S}_2$$

$$\vec{J} = \vec{L} + \vec{S}$$

$$P = (-1)^{L+1}$$

$S_1 = S_2 = 1/2$  so either  $S = 0$  (singlet) or  $S = 1$  (triplet)

$$C = (-1)^{L+S}$$



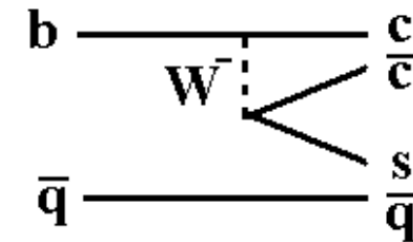




# Production of Charmonium

Colour suppressed  $b \rightarrow c$  decay

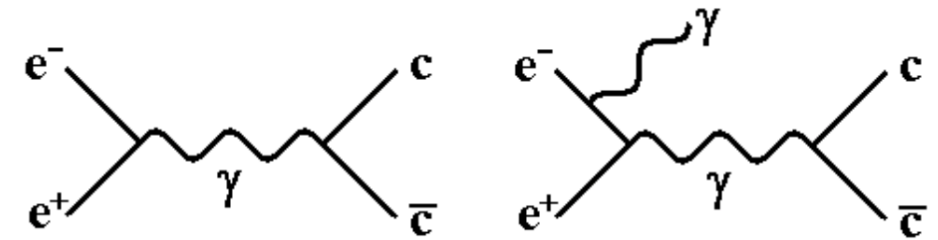
- Predominantly from  $B$ -meson decays



BELLE

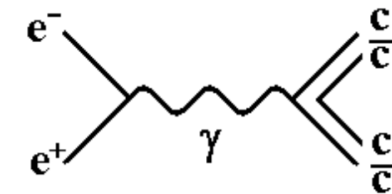
$e^+e^-$  annihilation / Initial-State Radiation (ISR)

- $e^+e^-$  collision below nominal c.m. energy
- $J^{PC} = 1^{--}$



Double charmonium production

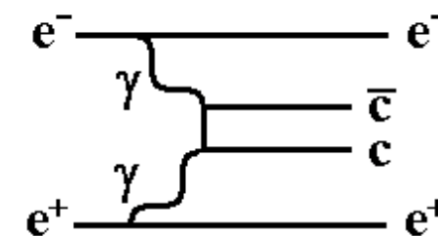
- Typically one  $J/\psi$  or  $\psi$  plus 2nd  $c\bar{c}$  state



BESIII

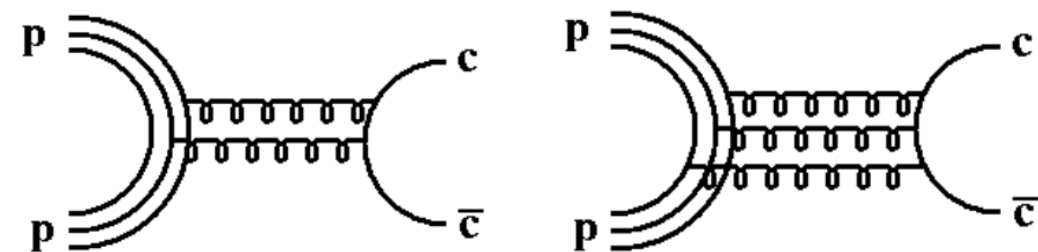
Two photon production

- Access to  $C = +1$  state



$pp$  annihilation

- All quantum numbers available

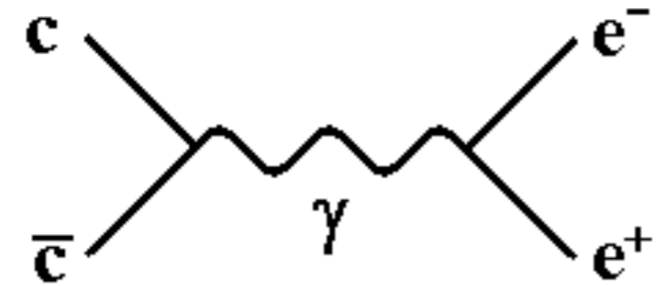


PANDA

# Decay of Charmonium

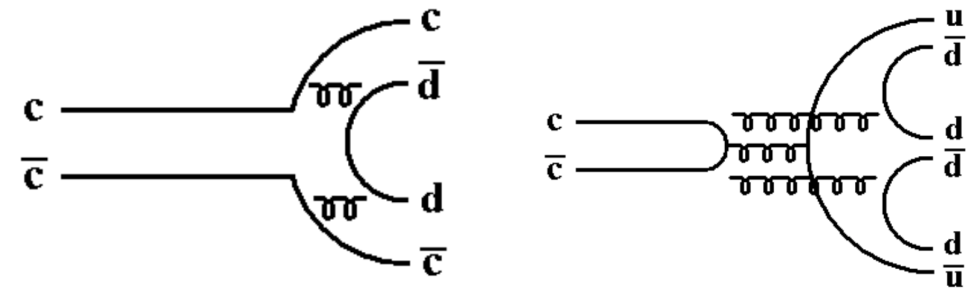
## Annihilation:

- Generally suppressed for bound state
- Decay to leptons is a clean experimental signal



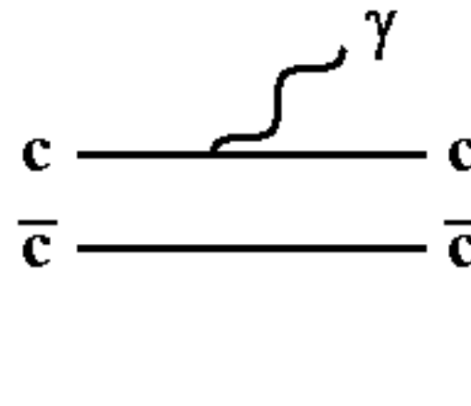
## Strong interaction:

- Dominant above  $\sim 3.72$  GeV ( $D$  mesons)
- Suppressed below this mass threshold



## Radiative:

- EM radiative transition emitting photon
- Emit gluons producing light quarks



## Features:

- Suppression of strong decays leads to (relatively) long lifetimes, narrow widths
- Radiative decays are competitive; often most accessible transitions

# Charmonium

One set of hadrons that are particularly simple are the charmonium mesons.

Each box represents an observed particle.

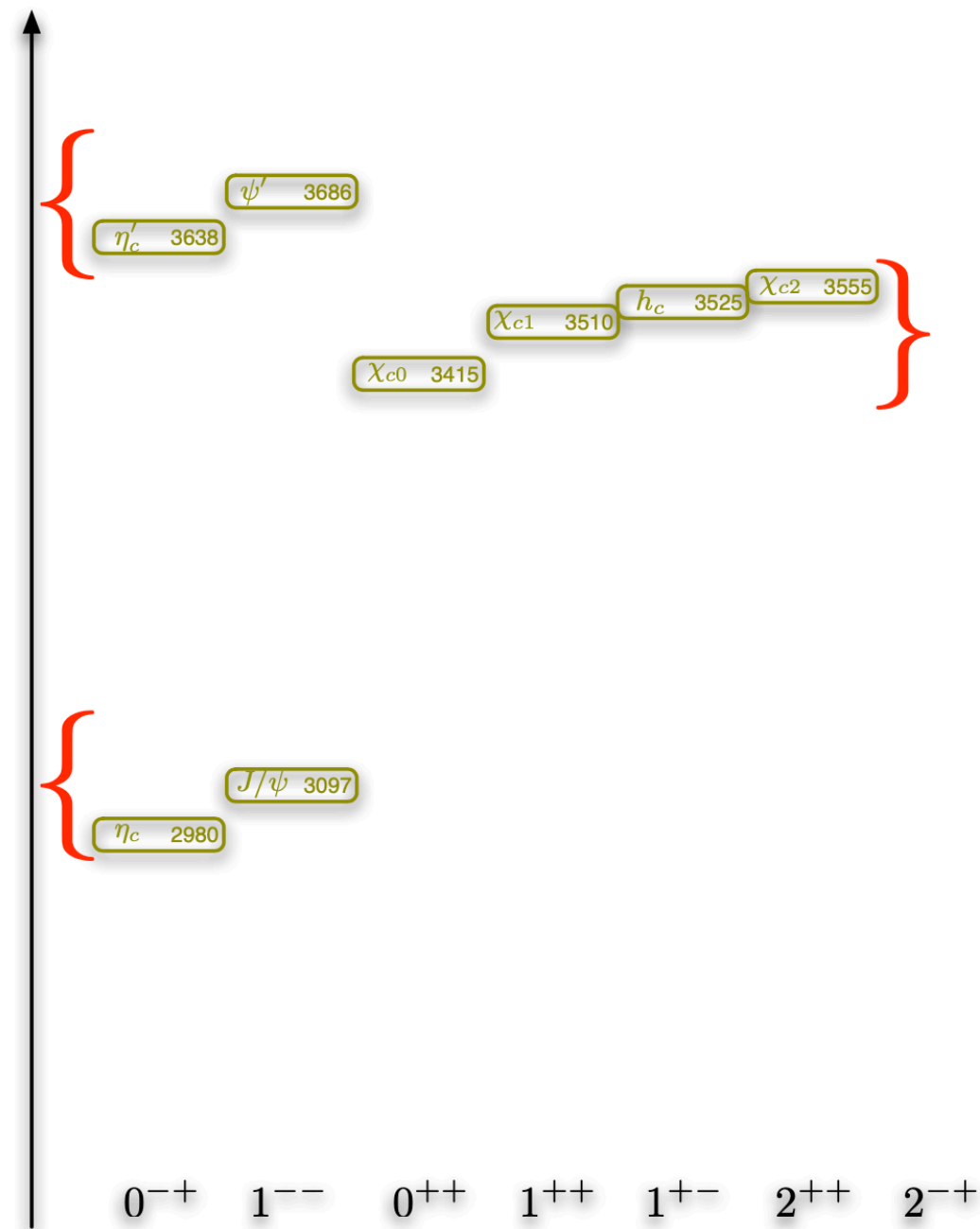
Particles fall in groups — ‘gross structure’ splitting within a group — ‘fine structure’ reminds us of quantum mechanics of atoms.

A reasonable description of the spectrum of charmonium comes from solving the Schrödinger equation assuming a potential between a charm quark and an anti-charm quark.

$$m_n = 2m_c + E_n$$

$$\frac{1}{m_n} \nabla^2 \psi + V(r) \psi = E_n \psi$$

$$V(r) = -\frac{4}{3} \frac{\alpha_s(r)}{r} + kr$$



Must be solved numerically.

# XYZ States

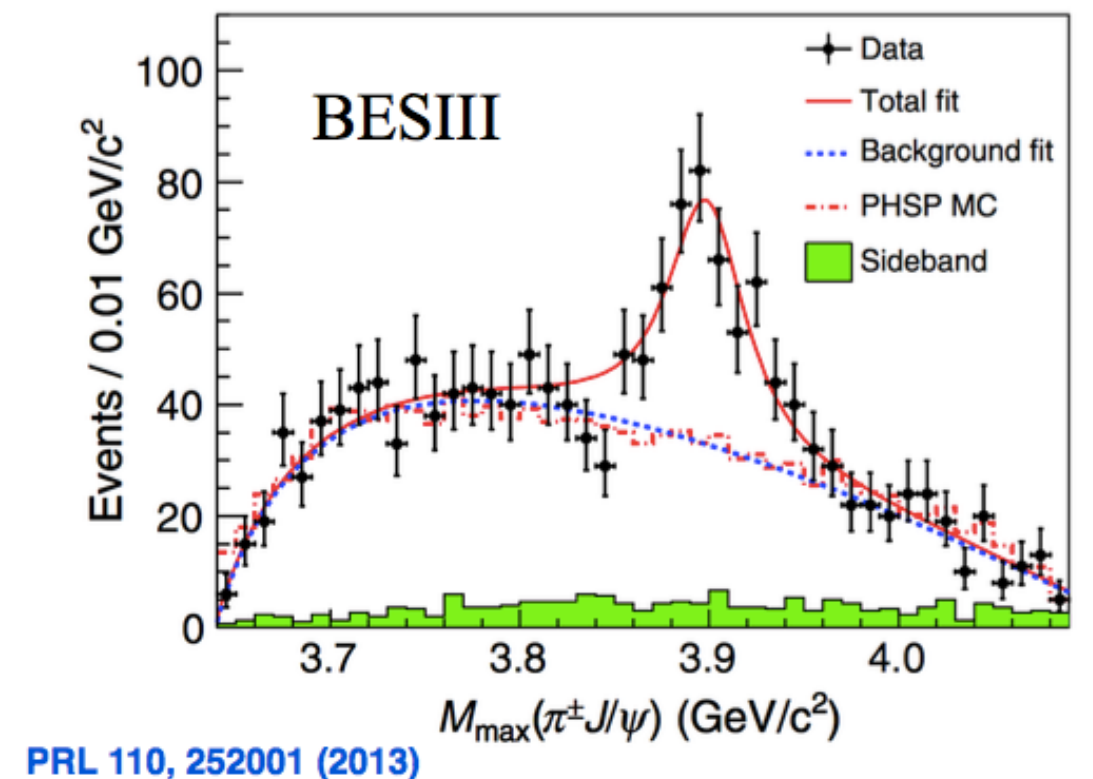
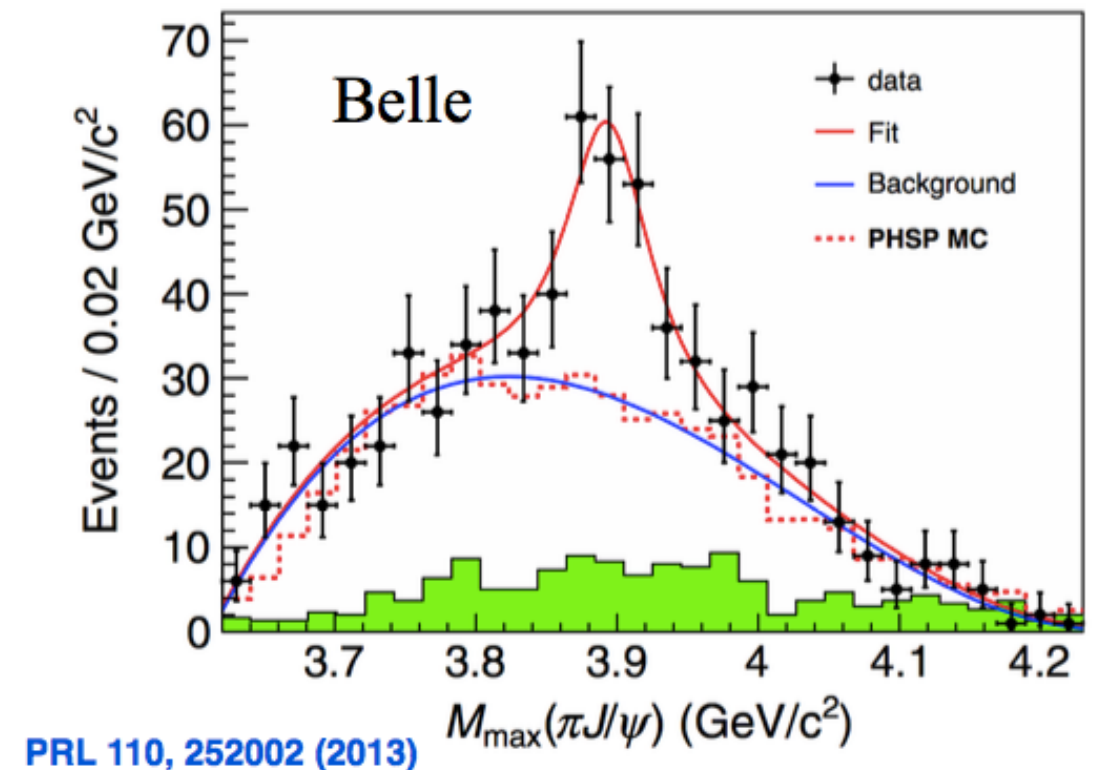
Recent evidence for *non-standard* exotic heavy mesons.

The so called **XYZ states**.

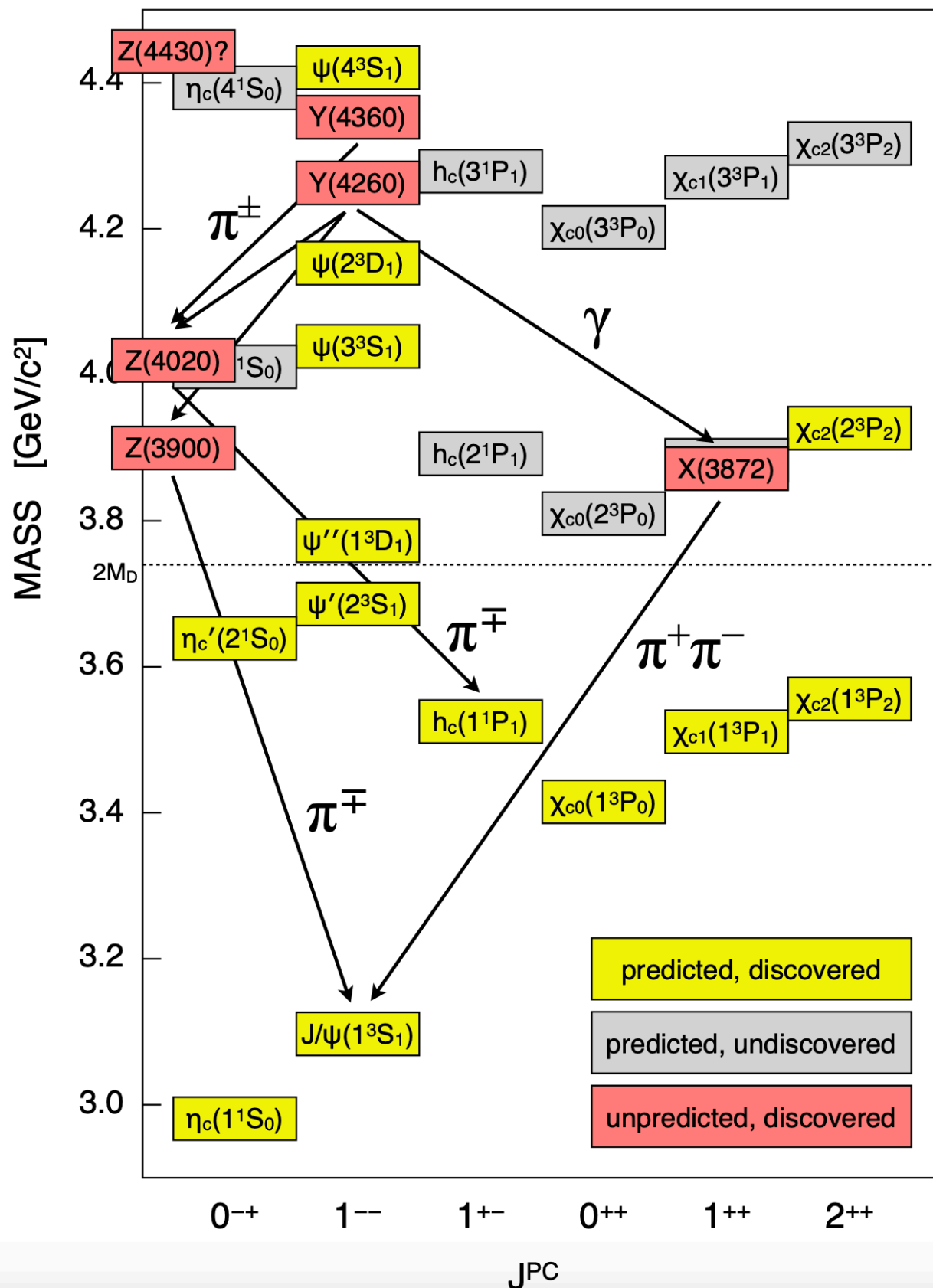
- **Y states:** same quantum numbers as the photon.  $J_{PC} = 1^{--}$
- **Z states:** all exotic charge states. Decay into quarkonium state and a light charged meson.
- **X states:** all other neutral states with quantum numbers NOT  $J_{PC} = 1^{--}$

Charmonium structure discovered at Belle and observed at both BESIII and LHCb in the decay of the  $\Upsilon(4260)$ , given the name  $X(3872)$ .

Superposition of exotic and conventional  $c\bar{c}$  states??



# Charmonium States



The quark model describes most of charmonium remarkably well.

But the XYZ states point beyond the quark model.

BESIII can directly produce the  $Y(4260)$  and  $Y(4360)$  in  $e^+e^-$  annihilation.

BESIII has observed “charged charmoniumlike structures” — the  $Z_c(3900)$  and the  $Z_c(4020)$ .

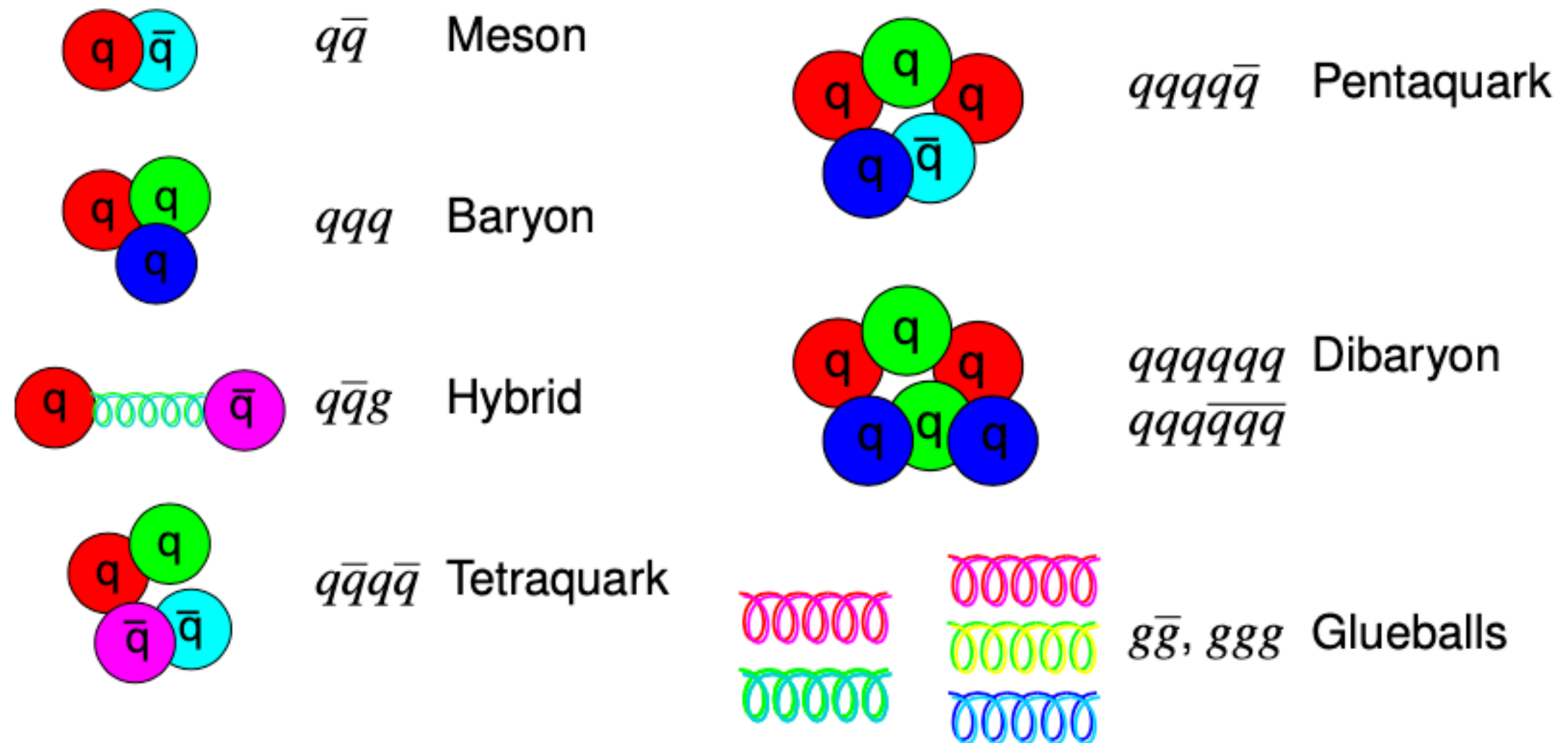
BESIII has also observed a transition to the  $X(3872)$ .



# Possible Hadrons

QCD does not explicitly require only 2- and 3-quark states. Anything that is colourless is fair game.

**Totalitarian Principle:** Anything that isn't forbidden is compulsory.



A new “zoo” of exotic mesons is possible!

# Exotic Hadrons

## Quark bound states



**Baryon**

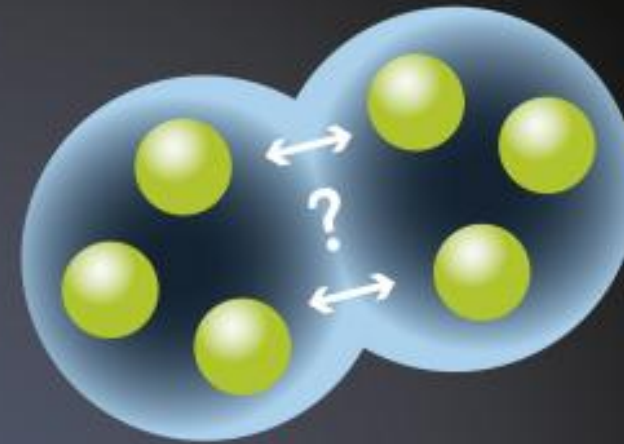
Lifetime:  
 $>10^{30}$  years (proton)  
 $\approx 15$  minutes (neutron)  
 $<10^{-10}$  seconds (others)

FAMILIAR STATES



**Meson**

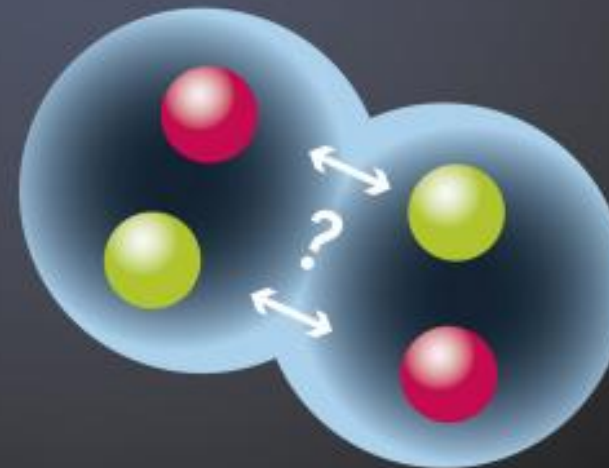
Lifetime:  
 $<10^{-8}$  seconds



NEW DISCOVERY FROM JÜLICH

**Dibaryon**

Lifetime:  
 $<10^{-23}$  seconds



RECENTLY DISCOVERED

**Tetraquark**

Lifetime:  
 $<10^{-23}$  seconds

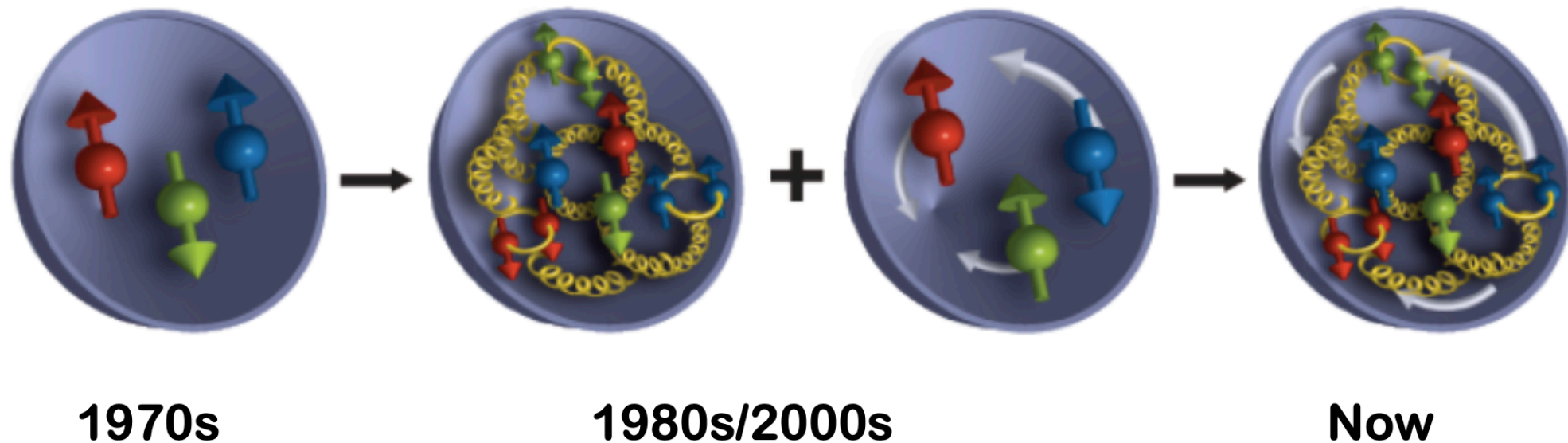
 Quark    Antiquark    Interaction

Many interesting questions about exotic mesons and other higher-energy phenomena!

...but we still don't fully understand our old friends the **nucleon** and the **pion**.

# Hadron Structure

Evolving understanding of the proton.



Hadrons are strongly interacting, relativistic bound states of quarks and gluons.  
Still lots of open questions, including mass, spin, etc.  
Lots of work still to be done.

Gluons are very  
intriguing  
particles!

Massless, yet, responsible for nearly all visible mass.

Carry colour charge, responsible for colour confinement and strong force.

But, also for asymptotic freedom.

**Motivation for the proposed Electron-Ion Collider (EIC).**

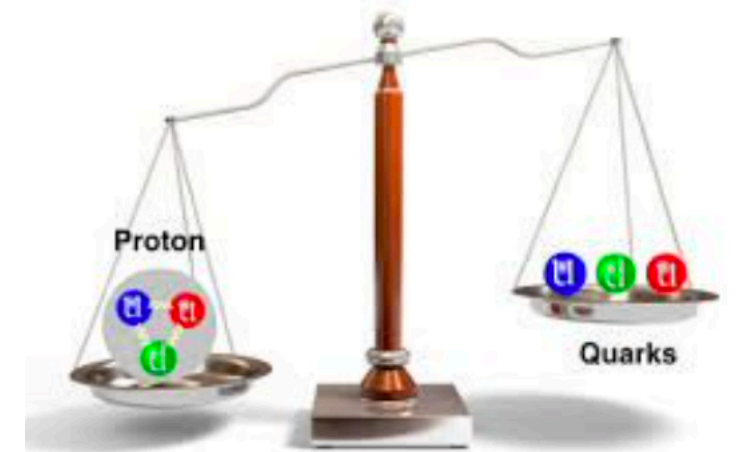
**New facility with enormous Hadron Physics potential!**

# Scientific Motivation for the EIC

The EIC hopes to shed some light on **three important questions**:

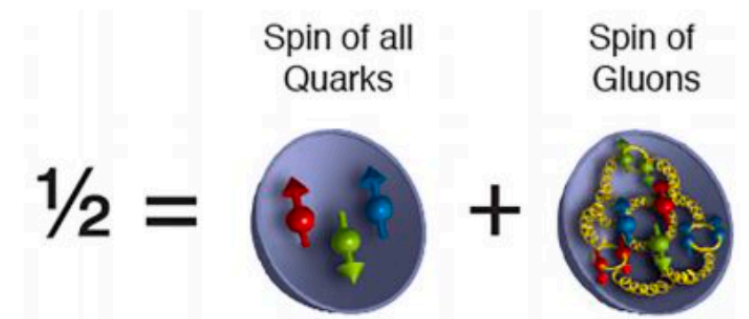
## 1. How does the **mass of the nucleon** arise?

While the Higgs mechanism can explain all of the mass of the electron, it accounts for only a small part of the mass of the proton and neutron.



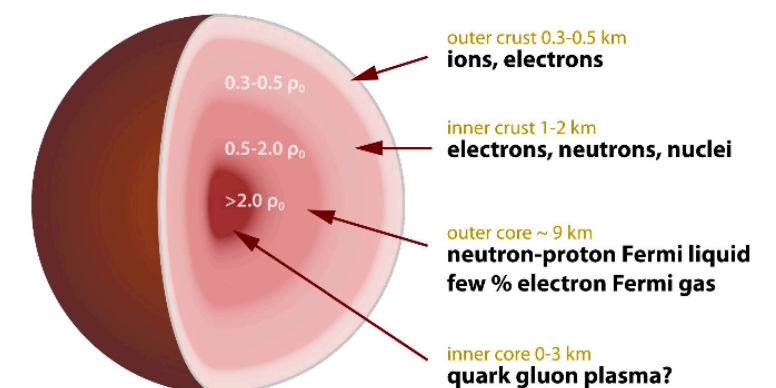
## 2. How does the **spin of the nucleon** arise?

Three spin-1/2 quarks, bound by gluons, each with angular momentum, form a spin-1/2 proton.



## 3. What are the **emergent properties of dense systems of gluons**?

How does nuclear matter behave at extremely high densities found in astrophysical systems?





# Emergent Dynamics in QCD

***Quarks and gluons are kind of a big deal...***

Massless gluons, and almost massless quarks, through their interactions generate most of the mass of the nucleons.

Gluons carry 50% of the proton's momentum, a significant fraction of the nucleon's spin, and are essential for the dynamics of confined partons.

Properties of hadrons are emergent phenomena, resulting not only from the equation of motion but are also inextricably tied to the properties of the QCD vacuum. Striking examples besides confinement are spontaneous symmetry breaking and anomalies.

The nucleon-nucleon forces emerge from quark-gluon interactions — *how this occurs remains a mystery...*

Experimental insight and guidance are crucial for complete understanding of how hadrons and nuclei emerge from quarks and gluons.

# Proton Mass $m_p \approx 938 \text{ MeV}/c^2$

Proton constituents:  $2 \text{ } u \text{ quarks} \rightarrow 2 \times 3 \text{ MeV}/c^2 \approx 6 \text{ MeV}/c^2$

$1 \text{ } d \text{ quark} \rightarrow 1 \times 6 \text{ MeV}/c^2 \approx 6 \text{ MeV}/c^2$

Total quark mass in proton:  $\underline{12 \text{ MeV}/c^2!}$

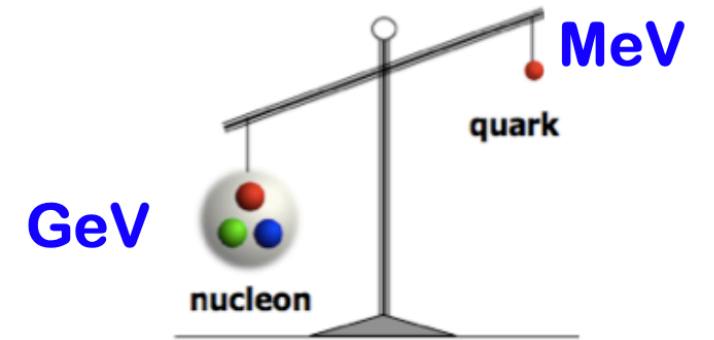
Where does the proton's mass come from?!

It's incorporated in the binding energy  
associated with the gluons!

**99% of our mass** comes from the  
quark-gluon interactions in the nucleon.

**VERY COMPLEX SYSTEM!**

# Understanding Nucleon Mass



Relativistic motion

$\chi$  symmetry breaking

Quantum fluctuation

$$M = \overbrace{E_q + E_g} + \chi m_q + T_g$$

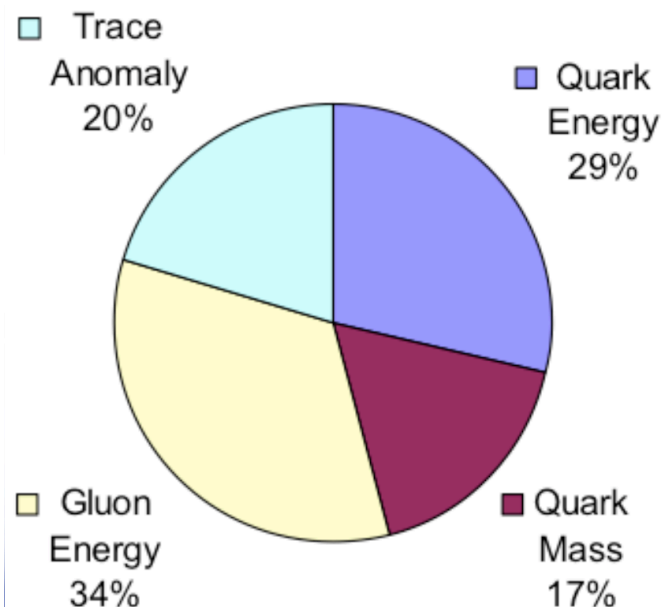
Quark energy

Gluon energy

Quark mass

Trace anomaly

## Preliminary Lattice Results



“... The vast majority of the nucleon’s mass is due to quantum fluctuations of quark- antiquark pairs, the gluons, and the energy associated with quarks moving around at close to the speed of light. ...”

*The DOE 2015 Long Range Plan for Nuclear Science*

### EIC:

- Trace anomaly via  $\Upsilon$  production near threshold
- Quark-Gluon energy from q-g momentum fractions

# Proton Spin

“Helicity sum rule”

$$\frac{1}{2} = \frac{1}{2} \underbrace{\Delta\Sigma}_{\text{quark spin}} + \underbrace{\Delta G}_{\text{gluon spin}} + \underbrace{\sum_q L_q^z + L_g^z}_{\text{orbital angular momentum}}$$



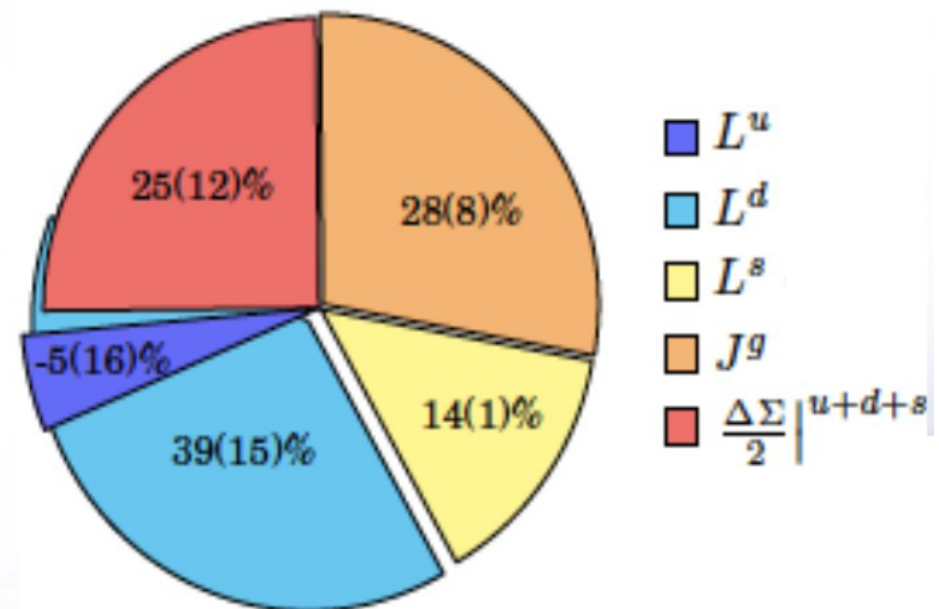
## Spin and Lattice: Recent Activities

Gluon's spin contribution on Lattice:  $S_G = 0.5(0.1)$

Yi-Bo Yang et al. PRL 118, 102001 (2017) .

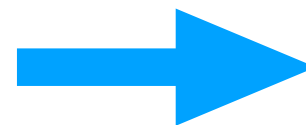
$J_q$  calculated on Lattice QCD:

QCD Collaboration, PRD 91, 014505 (2015).



**EIC:**

Precise determination  
of polarized PDFs of  
quark sea and gluons.



Precision  $\Delta\Sigma$  and  $\Delta G$

Magnitude of  $\sum_q L_q^z + L_g^z$

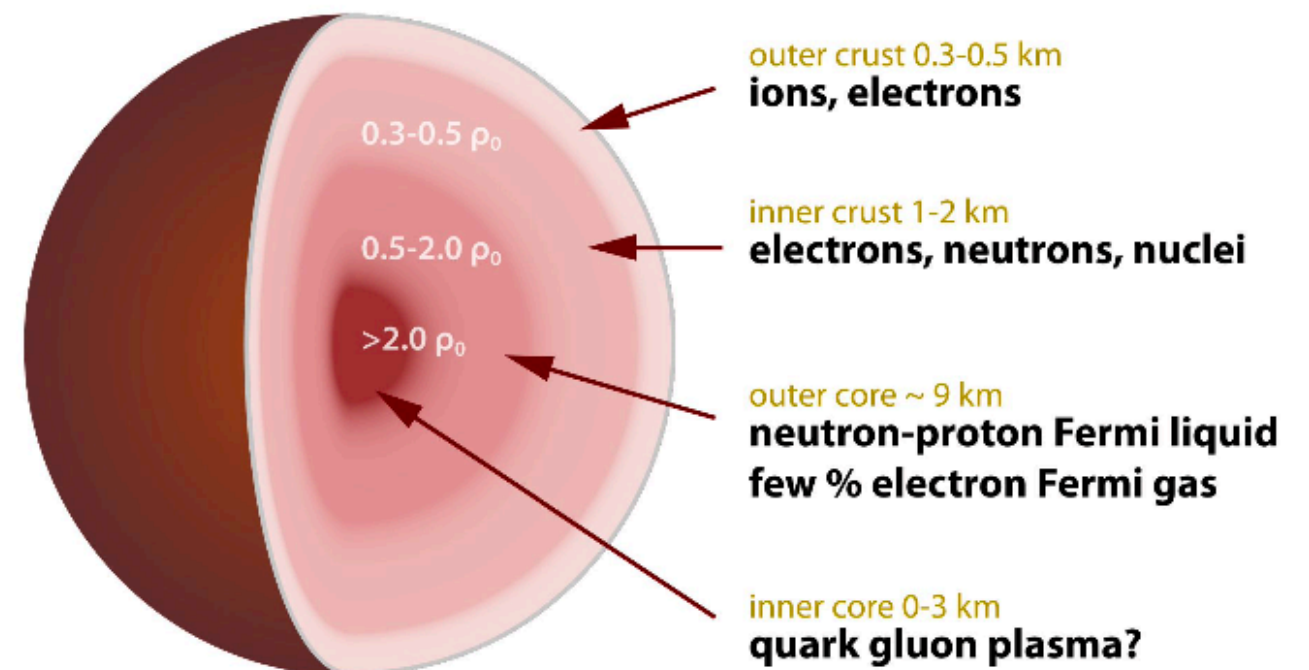
# Emergent Properties of Dense Systems of Gluons

How does a **dense nuclear environment affect** the quarks and gluons, their correlations, and their interactions?

What happens to the **gluon density in nuclei**? Does it **saturate at high energy**, giving rise to a **gluonic matter with universal properties** in all nuclei, even the proton?

## **EIC:**

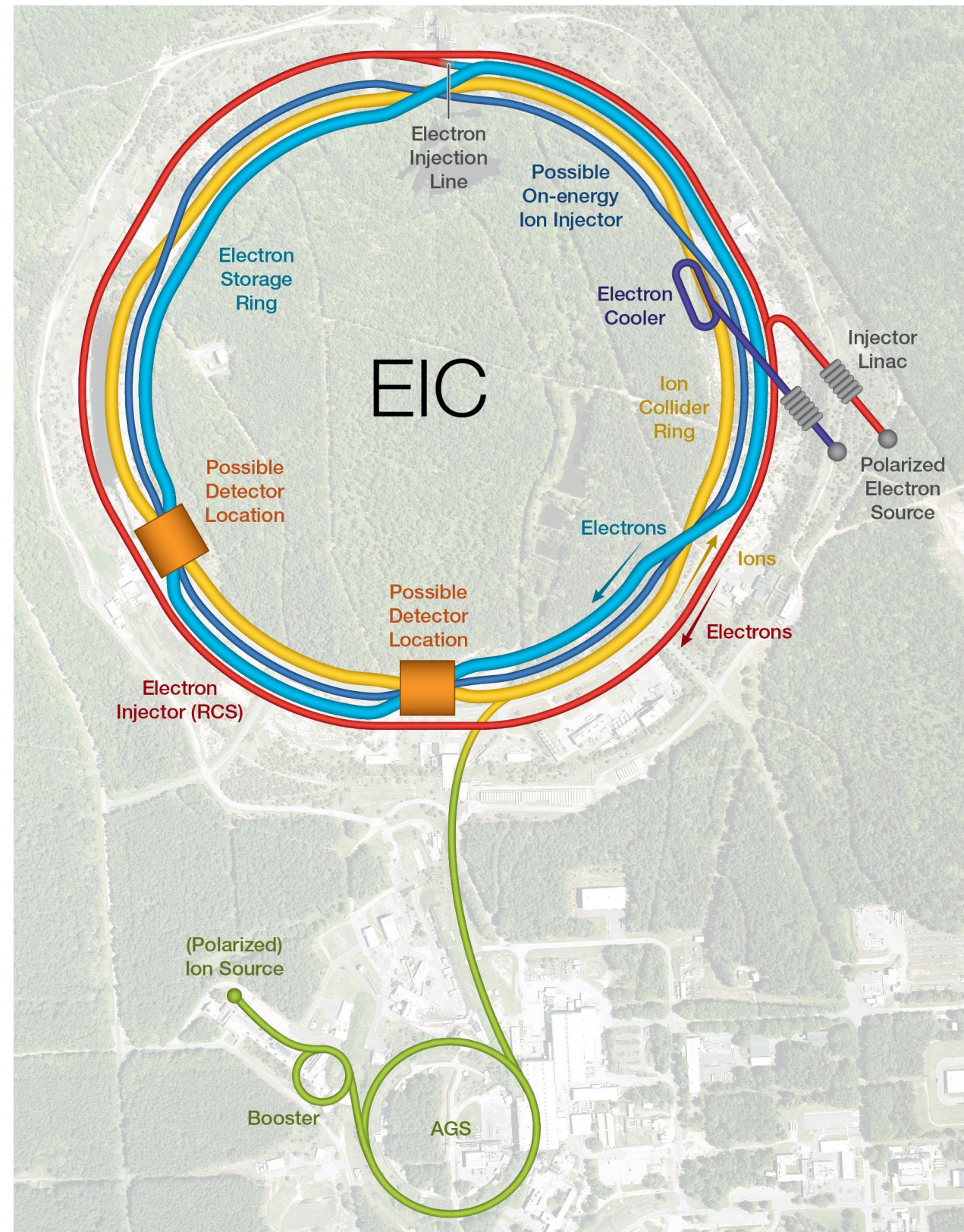
C.M. energy  
High luminosity





# What is the Electron-Ion Collider?

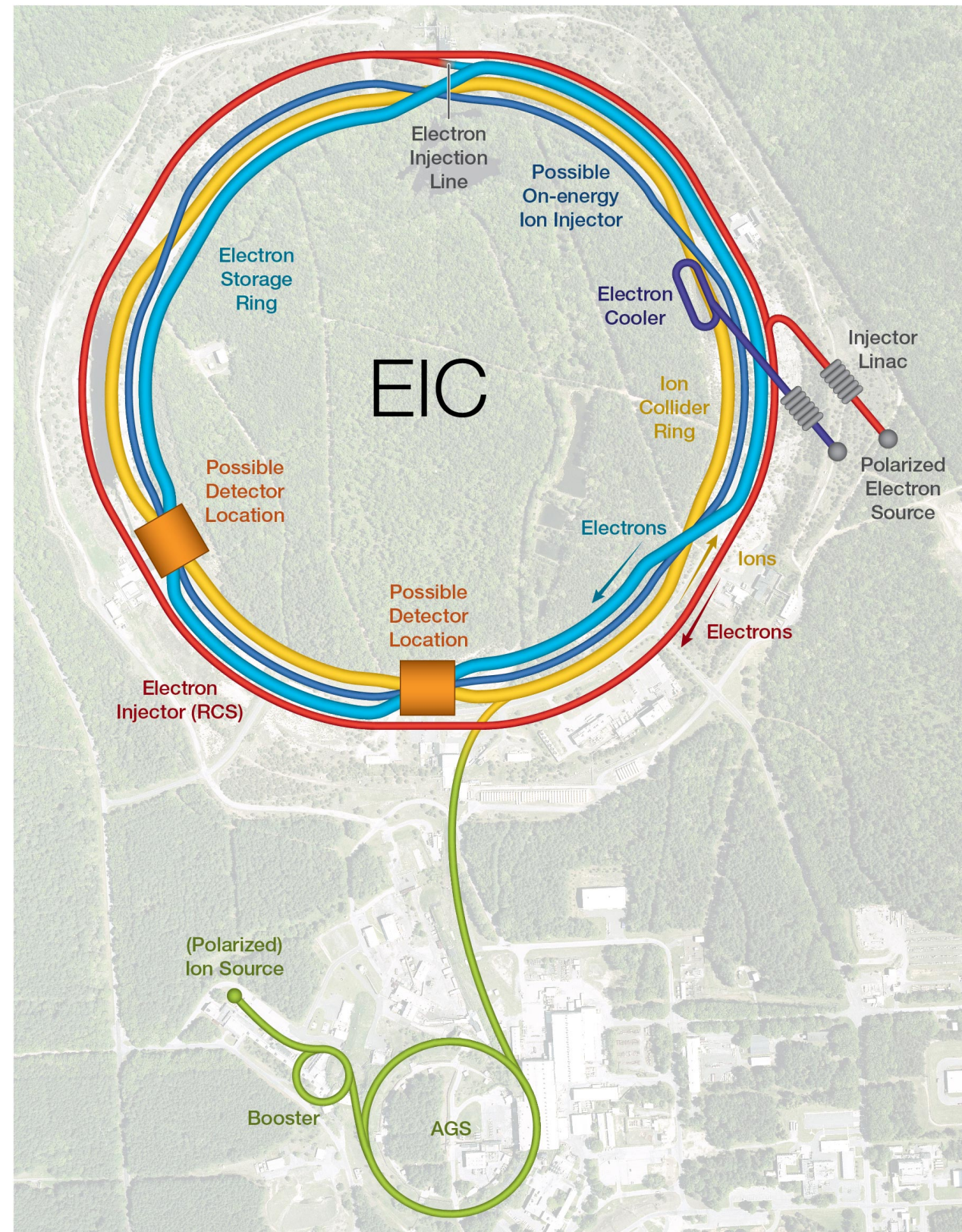
- **First major collider** to be built in North America in the 21st century.
  - **Polarized electrons:** 10–20 GeV
  - **Polarized light ions:** (p, d,  $^3\text{He}$ ) and unpolarized nuclei  $\rightarrow$  U, 50–250 GeV
  - C.M. energy of  $\sqrt{s} = 28 - 140$  GeV
  - **High luminosity**  $10^{33} - 10^{34} \text{ cm}^{-2}\text{s}^{-1}$
  - 2nd interaction region possible
- International facility with estimated cost of about **US\$2B**
- Large community of **1000+ users** at **220+ institutions** in **30+ countries**
- Site: **BNL** on **Long Island, NY**.





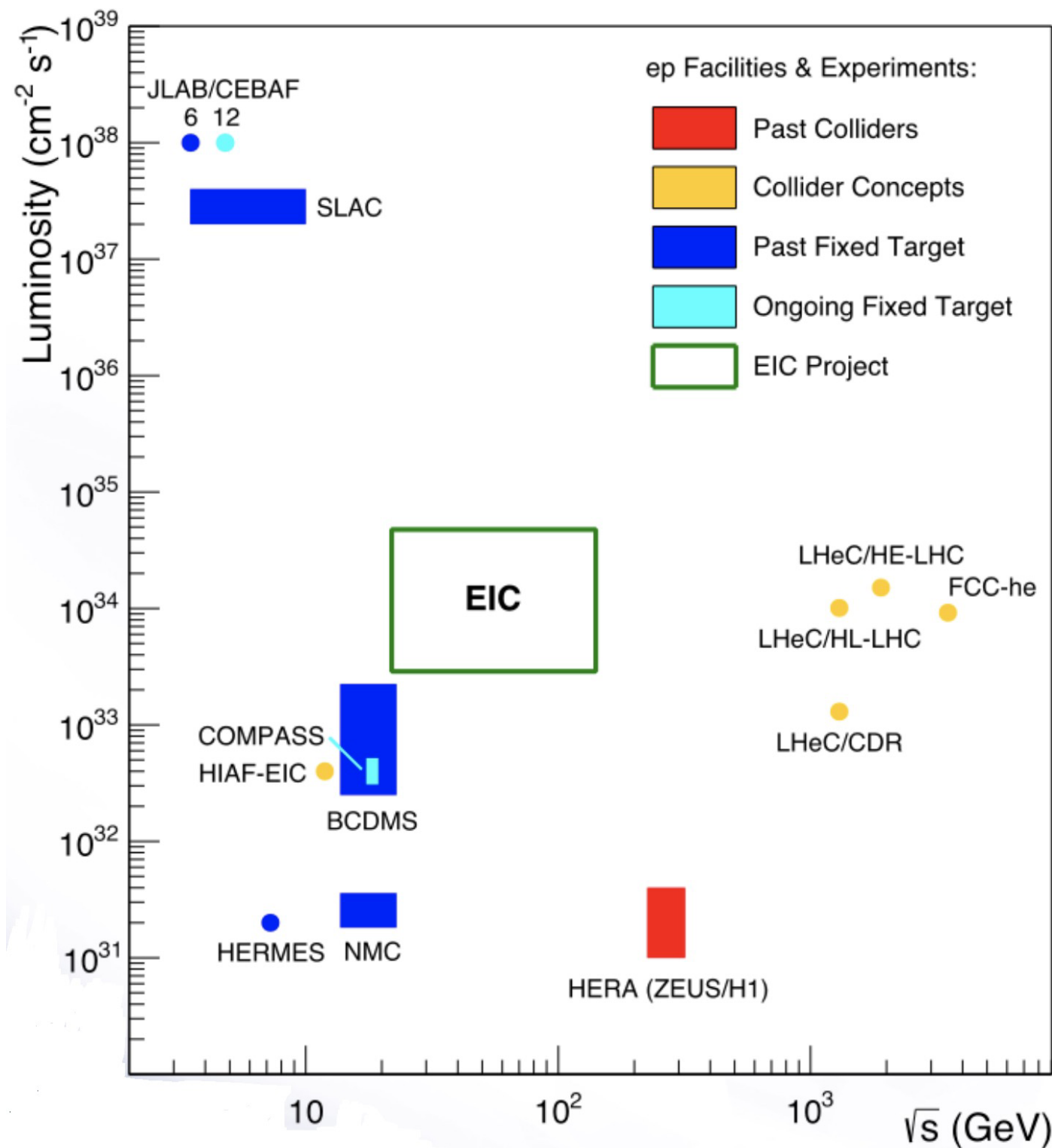
# What is the Electron-Ion Collider?

- Make use of existing Relativistic Heavy Ion Collider (RHIC).
- Existing tunnel, detector halls, hadron injector complex (AGS).
- Build new 20-GeV electron linac and add high-intensity storage ring in same tunnel.
- Achieve high-luminosity, high-energy  $e$ - $p/A$  collisions with full-acceptance detectors.
- High luminosity achieved by extensions of state-of-the-art beam cooling techniques.





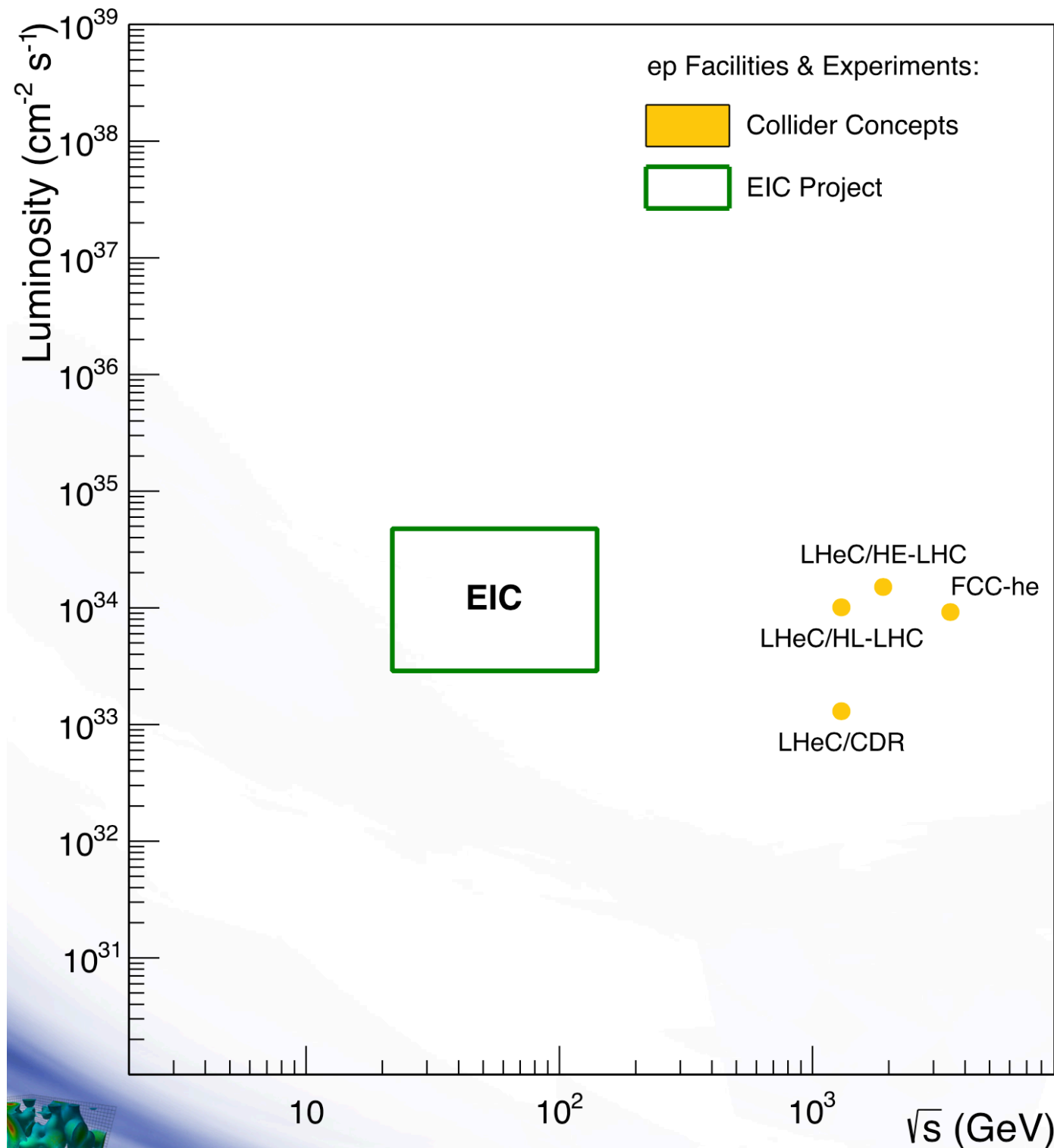
# EIC Compared to other DIS Facilities



All DIS Facilities in the world.

DIS — Deep Inelastic Scattering

# EIC Compared to other DIS Facilities



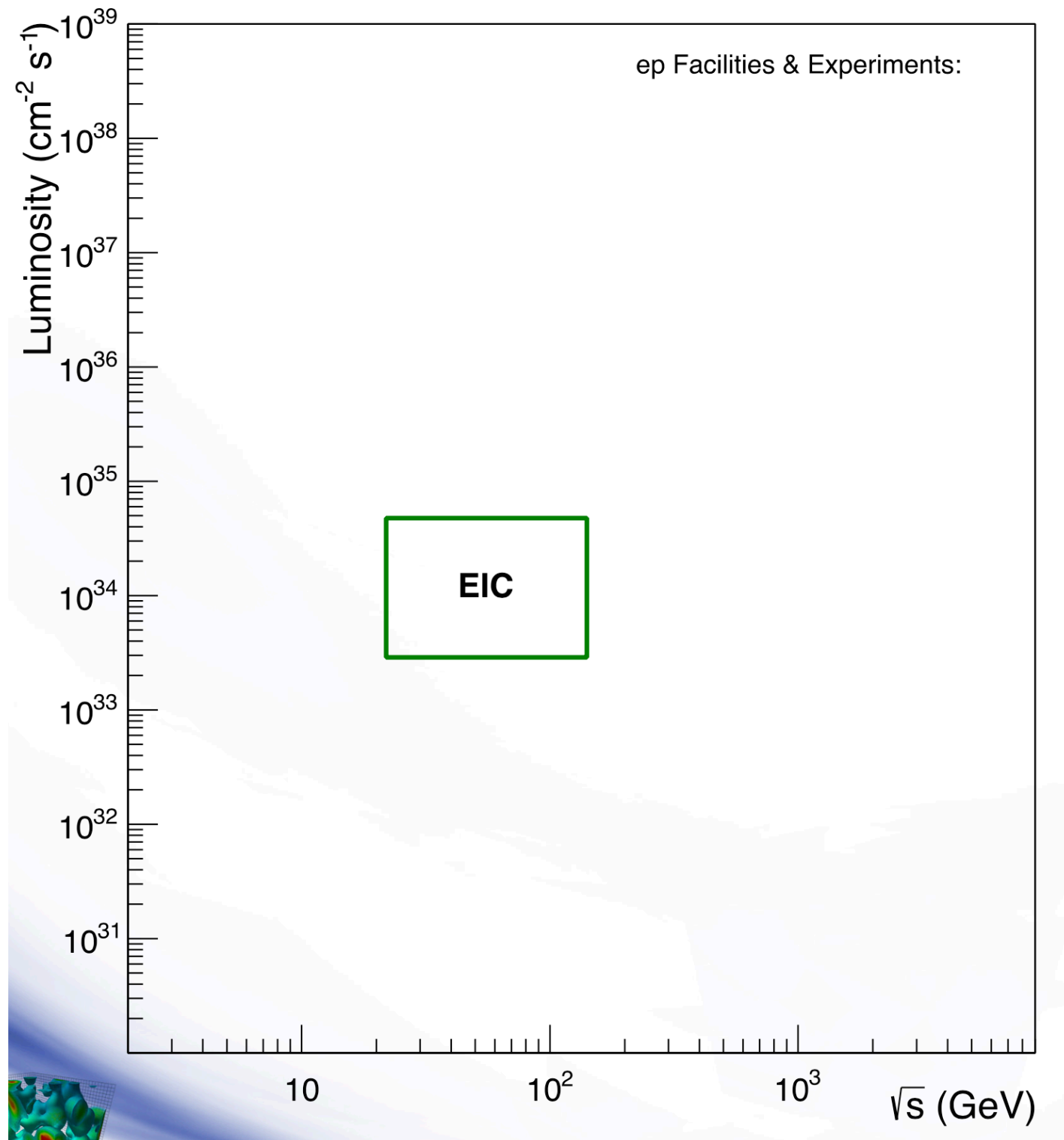
All DIS Facilities in the world.

However, if we ask for:

- high luminosity and wide range of  $\sqrt{s}$

DIS — Deep Inelastic Scattering

# EIC Compared to other DIS Facilities



All DIS Facilities in the world.

However, if we ask for:

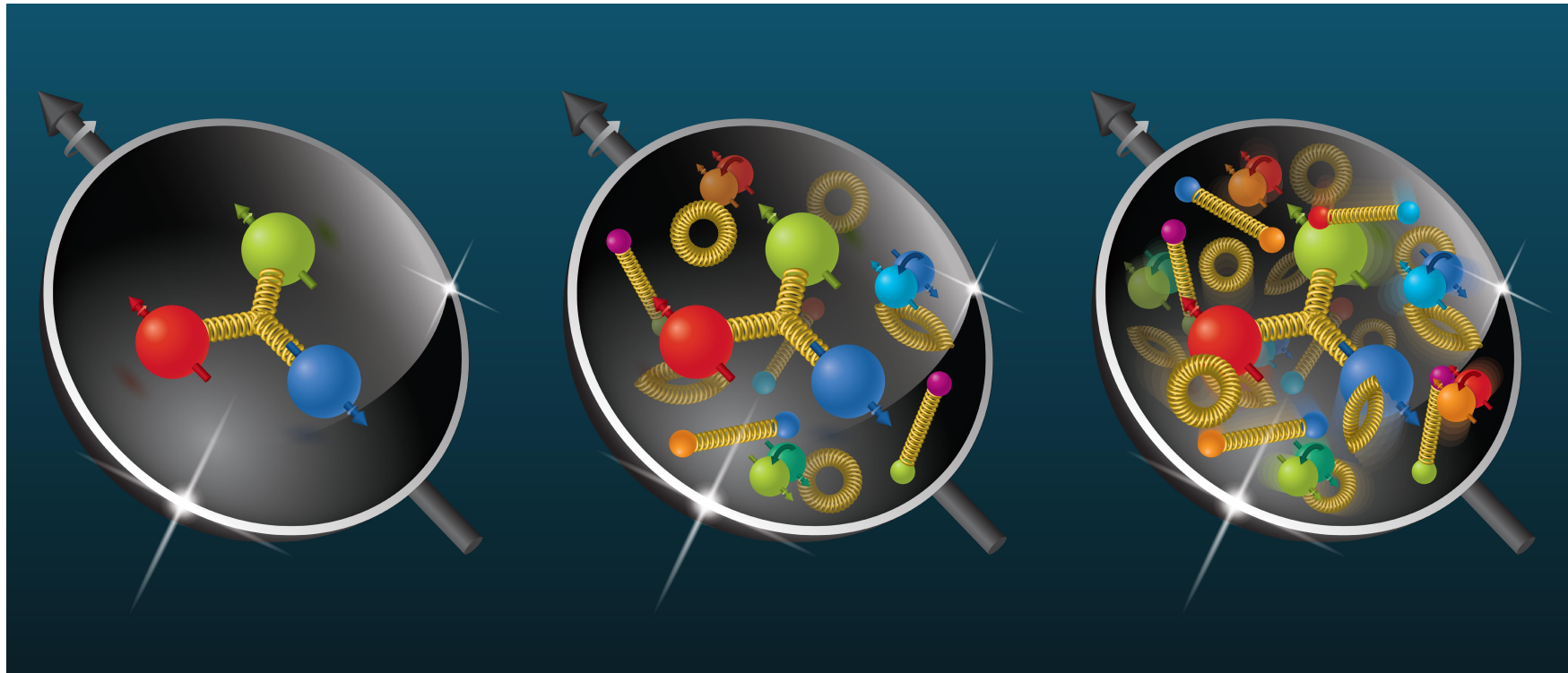
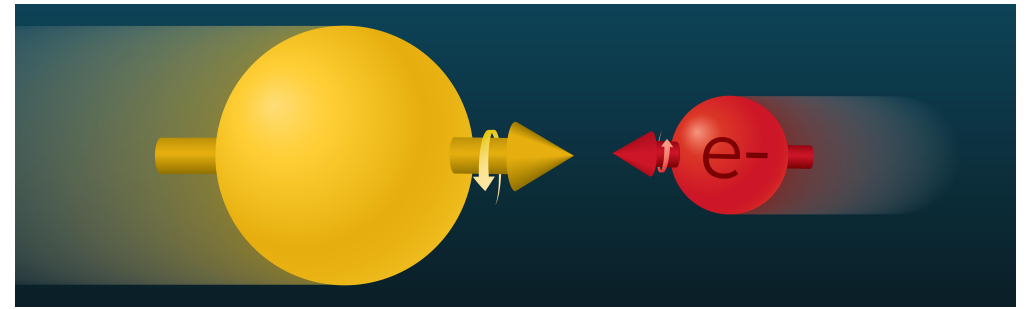
1. high luminosity and wide range of  $\sqrt{s}$
2. polarized lepton and hadron beams
3. nuclear beams

**EIC is unique!**

DIS — Deep Inelastic Scattering



# The World's First Polarized Electron-Proton Collider



Polarized proton as a laboratory for QCD

How are the sea quarks and gluons — and their spins — ***distributed in space and momentum*** inside the nucleon?

How do the ***nucleon properties emerge*** from them and their interactions?

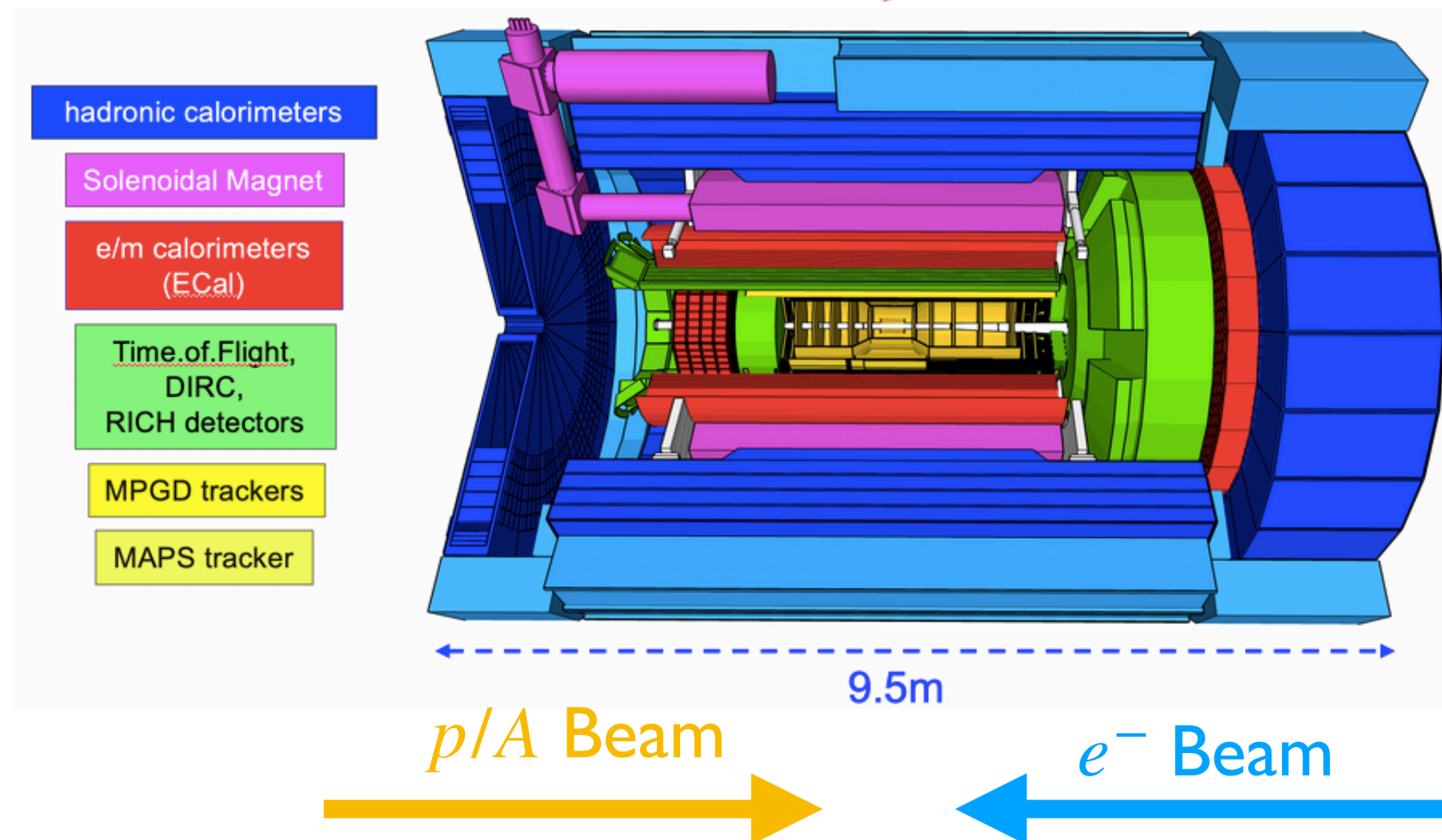
# ePIC Detector for the EIC

**ECCE + ATHENA = ePIC**

Lepton  
Endcap



Hadron  
Endcap



# Calorimetry for the ePIC Detector

## Electromagnetic calorimeter:

- Measure  $E, \theta$  for photons and identify electrons.
- Backward: PbWO<sub>4</sub> Crystals
- Forward: VV/SciFi
- Barrel: Pb/SciFi + Imaging

## Hadronic calorimeter:

- Measure energy and position of charged hadrons, neutrons, and  $K_L^0$
- Main challenge is resolution for low-E hadrons
- Fe/Scintillator sandwich with longitudinal segmentation

4-mom transfer of virtual photon

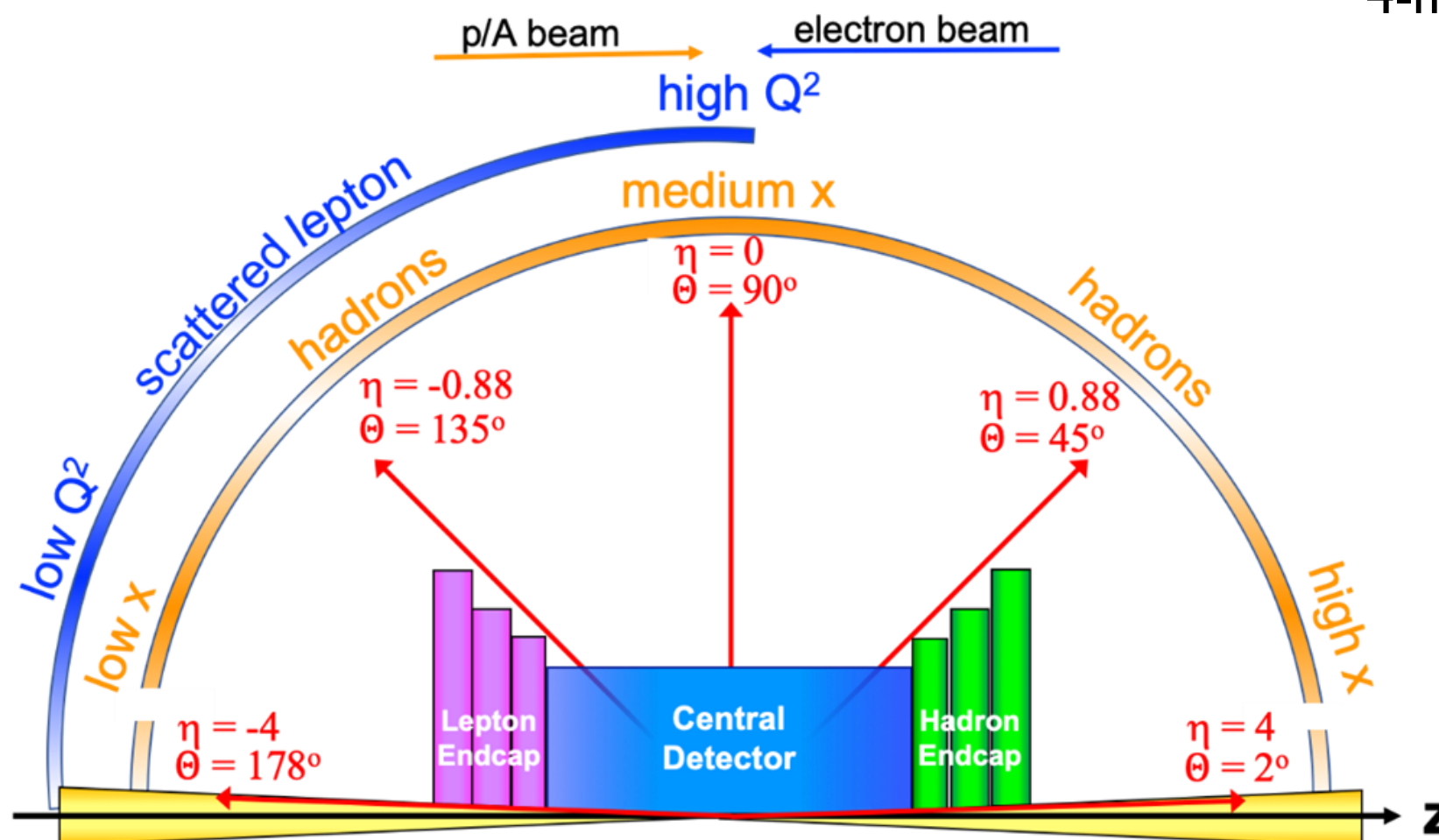
$$Q^2 = -q^2 = -(k - k')^2$$

Momentum fraction

$$x = \frac{Q^2}{2M\nu}$$

Pseudo rapidity

$$\eta = -\ln \left[ \tan \left( \frac{\theta}{2} \right) \right]$$



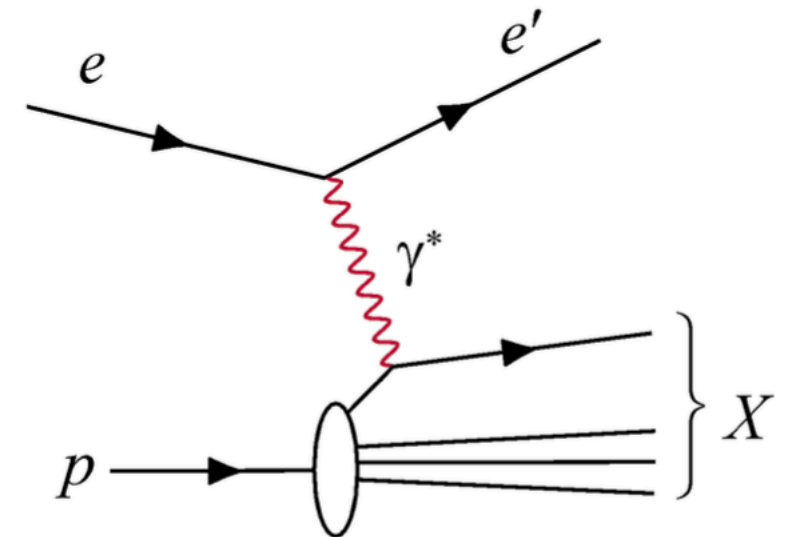
Note: The Barrel has a very wide kinematic coverage!

# Accessing Quarks in Electron-Ion Collisions

Key variables  $x$  and  $Q^2$  in DIS

Four-momentum transfer of the virtual photon

$$Q^2 = -q^2 = -(k - k')^2 \quad \text{resolution of probe}$$



Momentum fraction of struck quark  $x$

Asymmetric reaction unlike  $pp$  at LHC!

Electrons in backward direction

Hadrons go in every direction

Need excellent  $e^-/\pi^-$  separation

