

## GLOBAL FITS OF PARTON DISTRIBUTION FUNCTIONS (I)

Maria Ubiali, University of Cambridge

# Goal of the lectures

- Give an overview on our understanding on the structure of the proton: from Feynman parton model to modern QCD picture
- Introduce basic concepts and techniques behind PDF global fits
- Wealth of ingredients involved from low to high energy: non-perturbative effects, perturbative QCD, experimental measurements, statistical and mathematical problems, higher order calculations, phenomenology tools, machine learning.
- Discuss PDF-related phenomenology at the LHC (mostly), EIC and beyond
- Discuss current frontiers and challenges

**Disclaimer: these lectures are far from providing a complete picture of the topic.  
You can find complementary information in excellent lectures on PDFs from W. Giele, G. Salam, A. Martin, P. Nadolsky, S. Forte, D. Stump, W. Melnitchouk, D. Stump, A. Guffanti, J. Rojo ... at recent graduate schools**

# References

- G. Ridolfi "Notes on deep-inelastic scattering and the Parton model"
- Ellis, Stirling, Webber "QCD and collider physics"
- Dissertori, Knowles, Schmelling "Quantum Chromo Dynamics"
- Ubiali, [arXiv:2404.08508](#)
- Snowmass 2021 review "Proton structure at the precision frontier" [arXiv:2203.13923](#)
- Kovarik, Nadolsky, Soper [arXiv:1905.06957](#)
- Gao, Harland-Lang, Rojo [arXiv:1709.04922](#)
- Accardi, et al. [arXiv:1603.08906](#)
- PDG review  
<https://pdg.lbl.gov/2023/reviews/rpp2023-rev-structure-functions.pdf>

**List of references complemented by specific references during the lectures**

# Outline

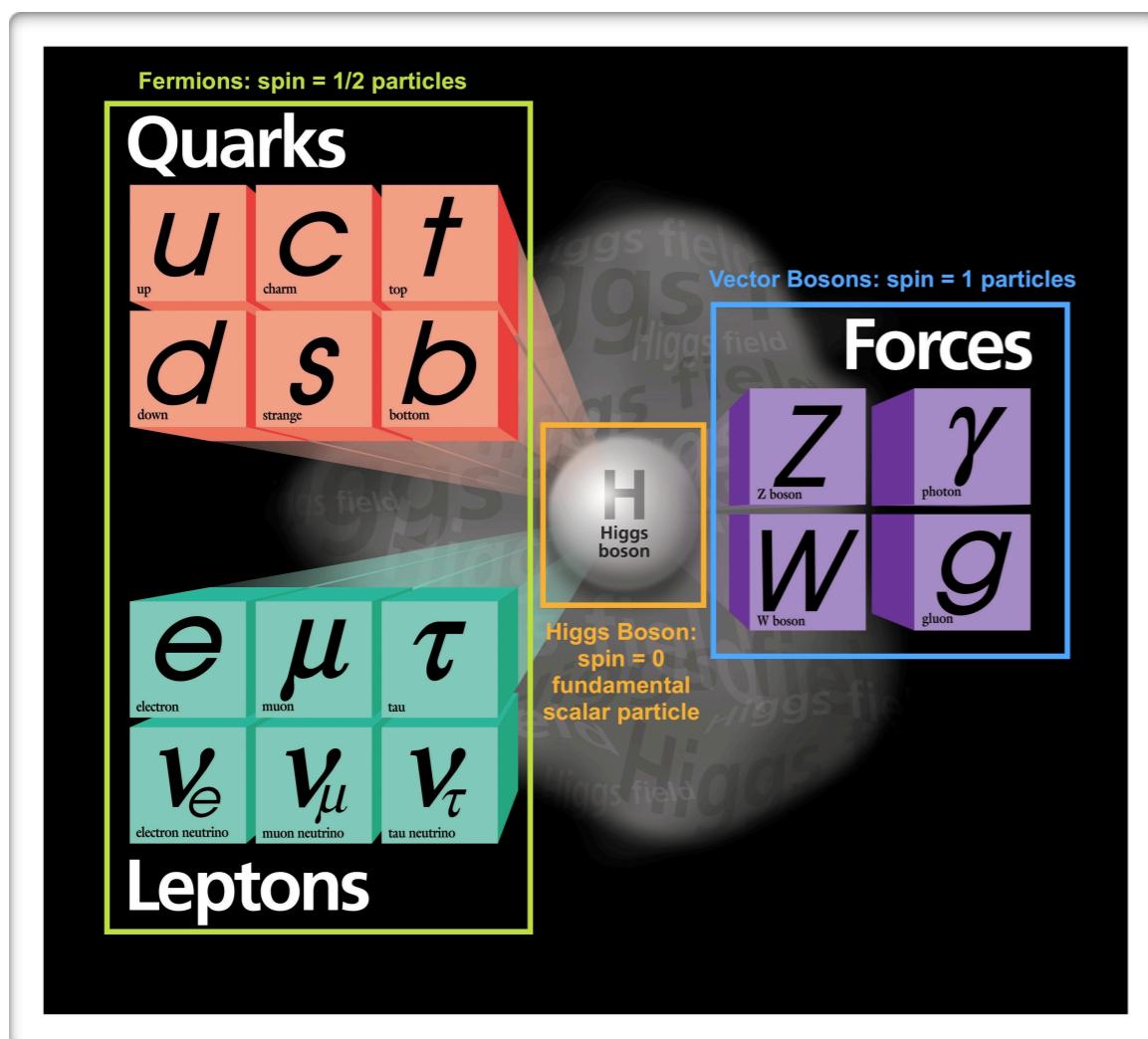
- **First lecture (Today)**
  - Second & third lectures  
(tomorrow)
    - Ingredients of a PDF global fits
    - Experimental input
    - Methodological aspects
    - Theoretical aspects
    - New frontiers and challenges
- Motivation:  
the high energy big picture
  - Parton Model and QCD
  - Collinear Factorisation

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# Motivation: the high-energy big picture

# Standard Model of particle physics

- Standard Model (SM) of particle physics one of the greatest triumph of Quantum Field Theories in the past century
- SM remarkably successful theory: no convincing deviations so far from its predictions, but necessarily incomplete



$$SU(3)_c \times SU(2)_L \times U(1)_Y$$



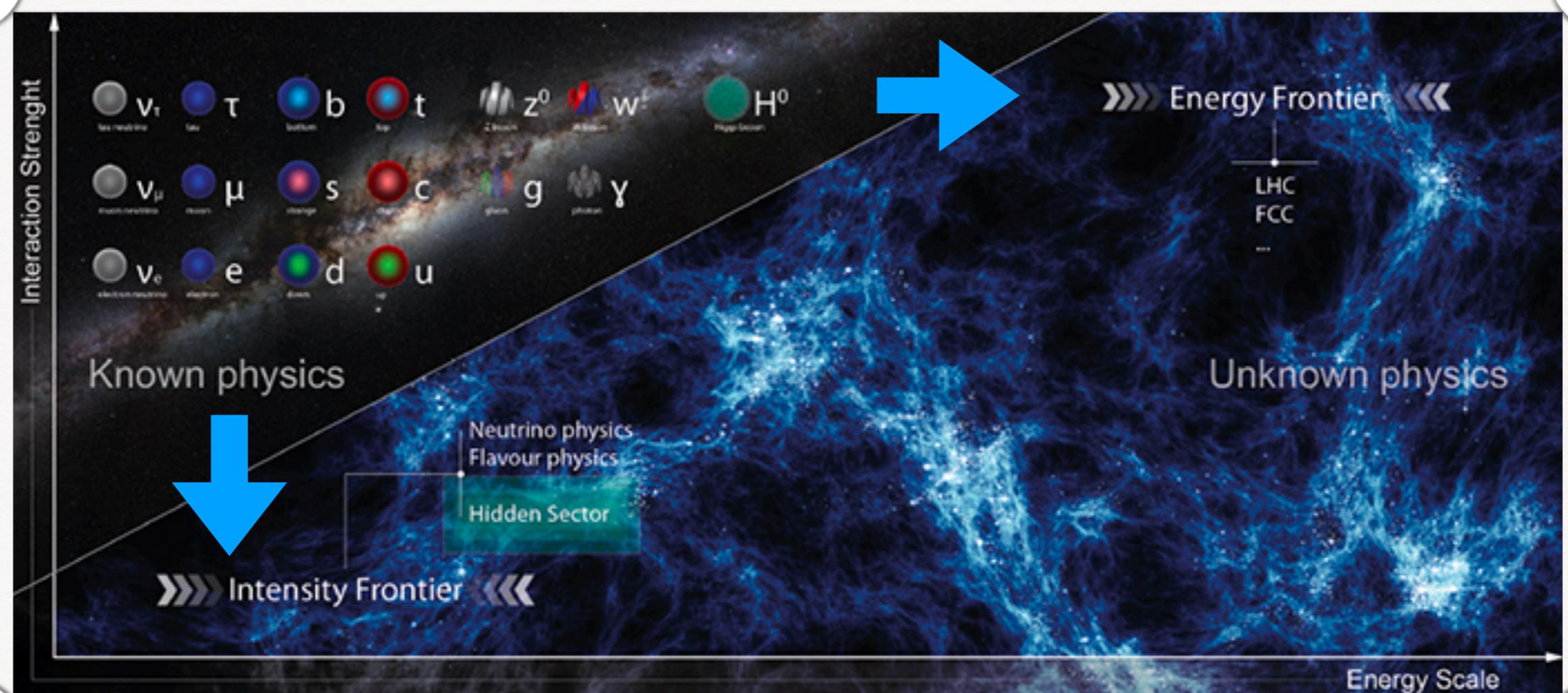
Spontaneous  
EW Symmetry  
breaking

Quantum  
Chromo  
Dynamics

$$SU(3)_c \times U(1)_{\text{e.m.}}$$

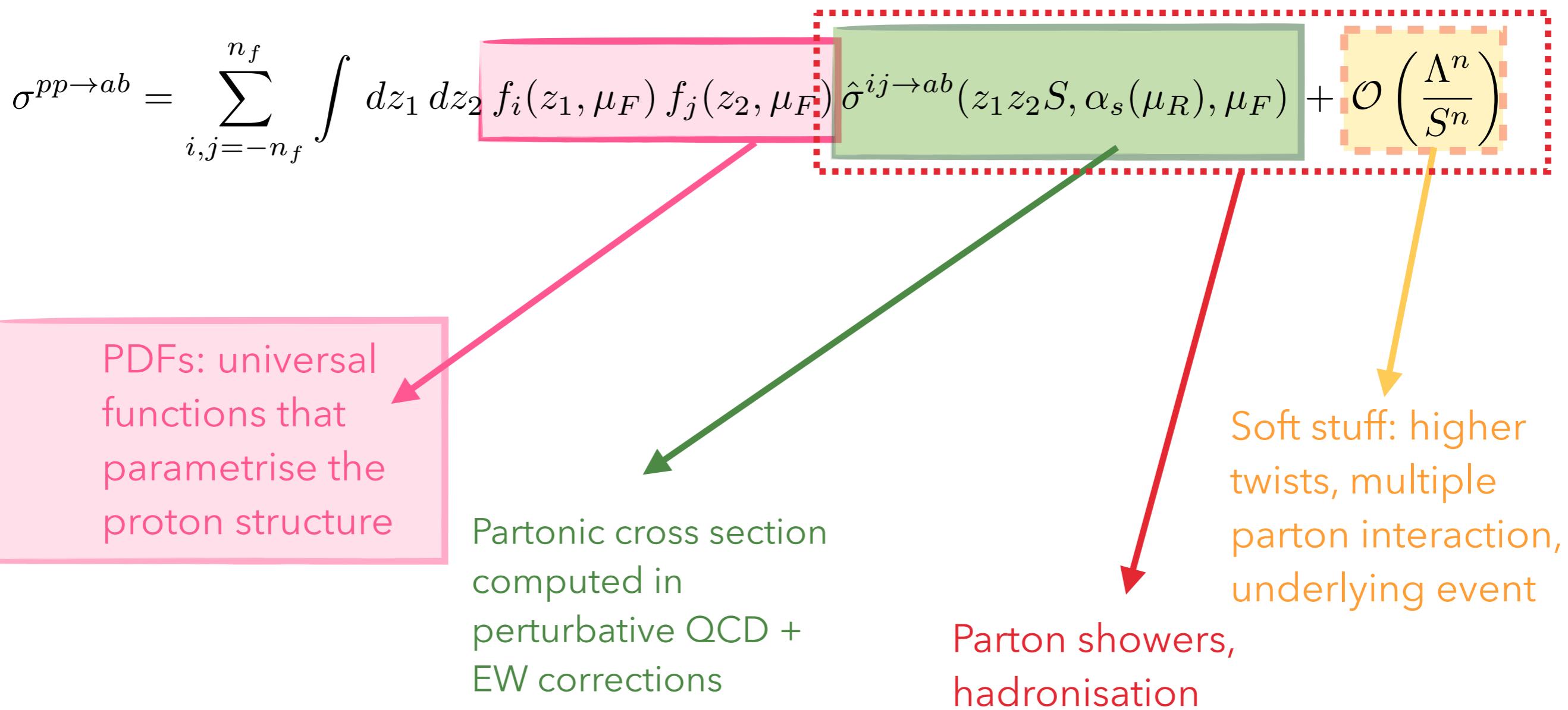
# Standard Model of particle physics

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# Collider events: a theory view

- Collinear factorisation: the LHC master formula
- Divide et impera!



# PDF uncertainties

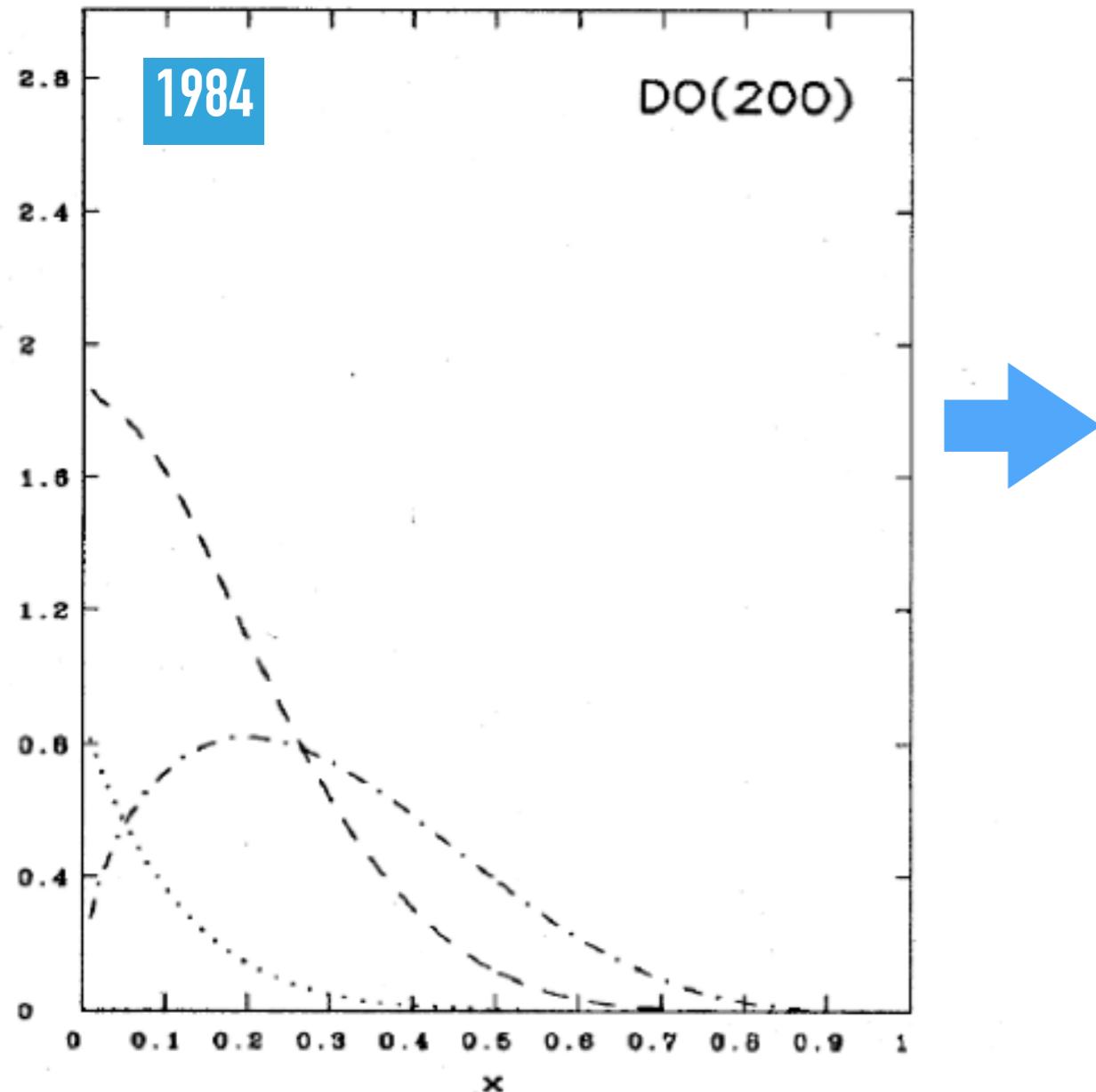
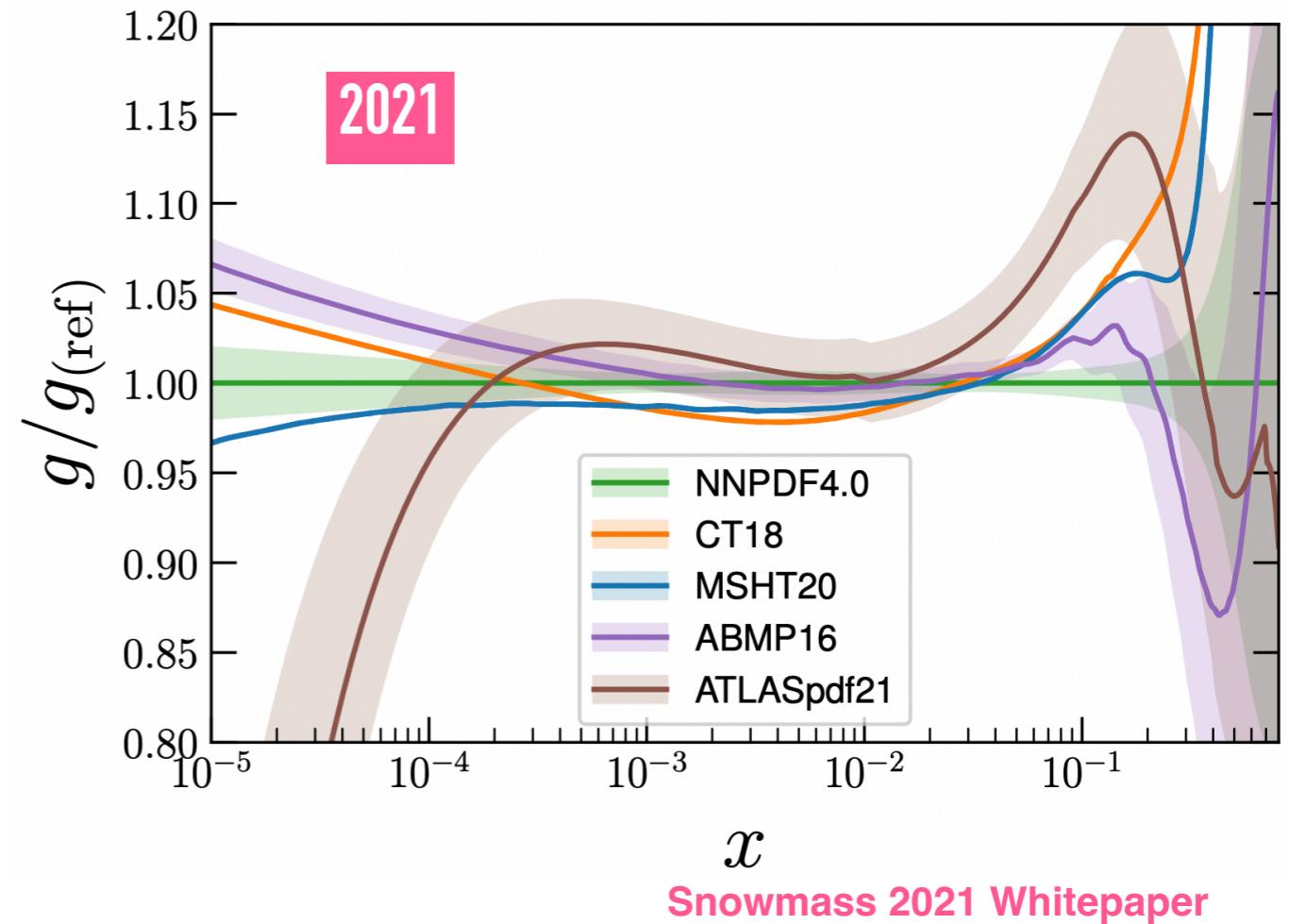


FIG. 27. “Soft-gluon” ( $\Lambda=200 \text{ MeV}$ ) parton distributions of Duke and Owens (1984) at  $Q^2=5 \text{ GeV}^2$ : valence quark distribution  $x[u_v(x) + d_v(x)]$  (dotted-dashed line),  $xG(x)$  (dashed line), and  $q_v(x)$  (dotted line).

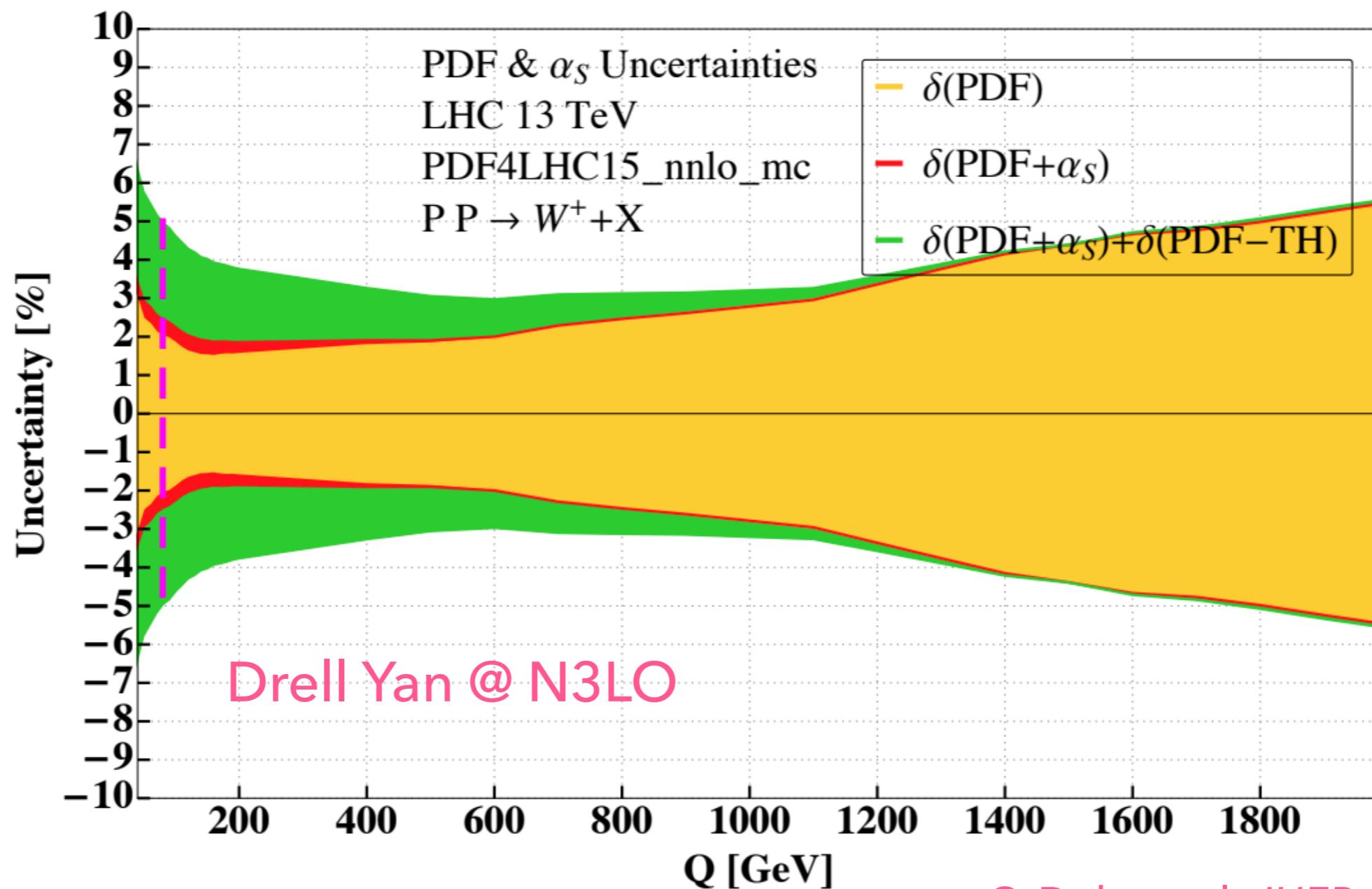
Rev. Mod. Phys. 1984



While at the beginning PDF analyses were performed as a test of QCD collider factorisation and no uncertainties were associated to functions, now PDF uncertainties and differences among different determinations are crucial

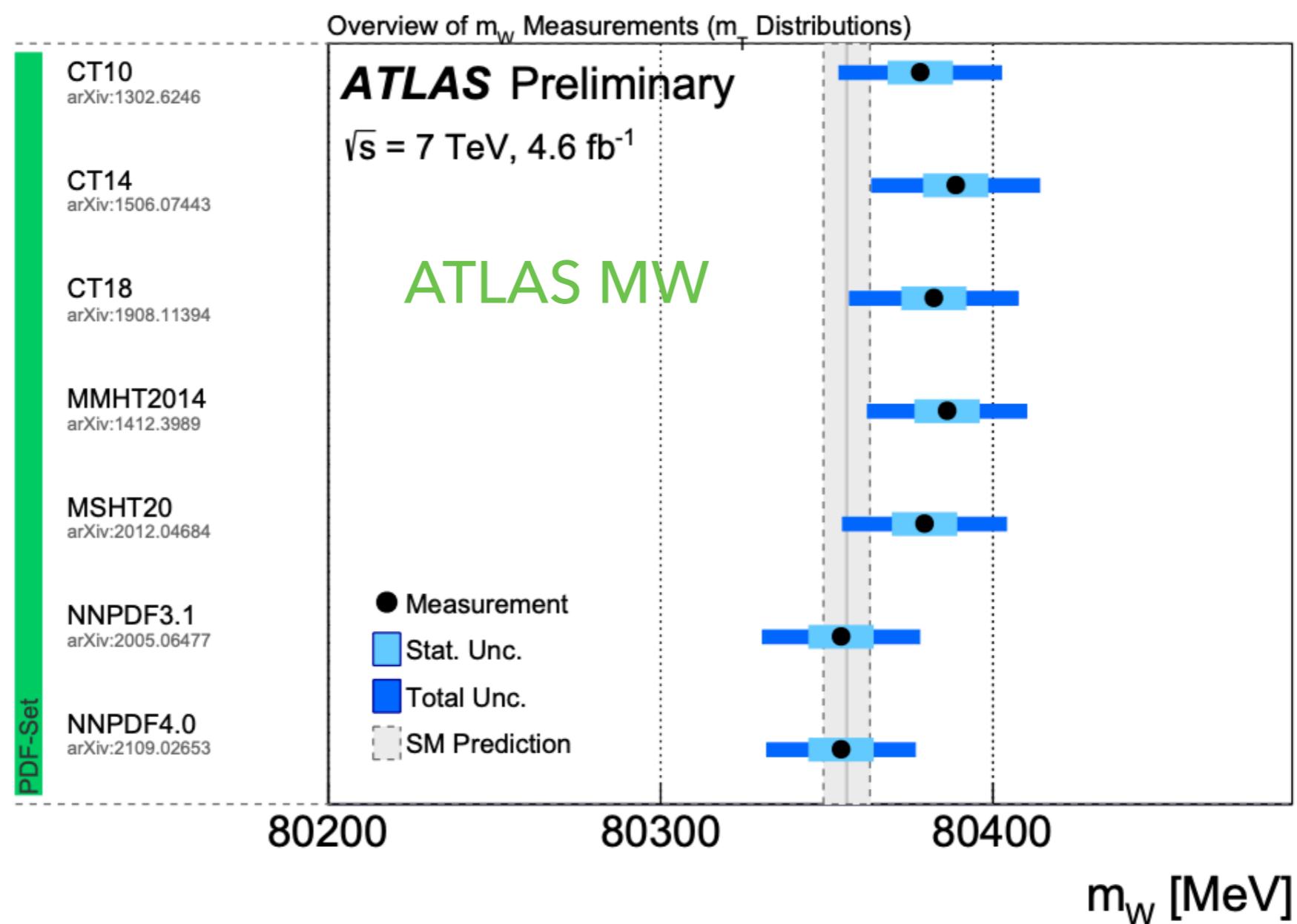
# PDF uncertainties

## #1: Theory uncertainty of SM predictions



# PDF uncertainties

## #2: Determination of SM parameters



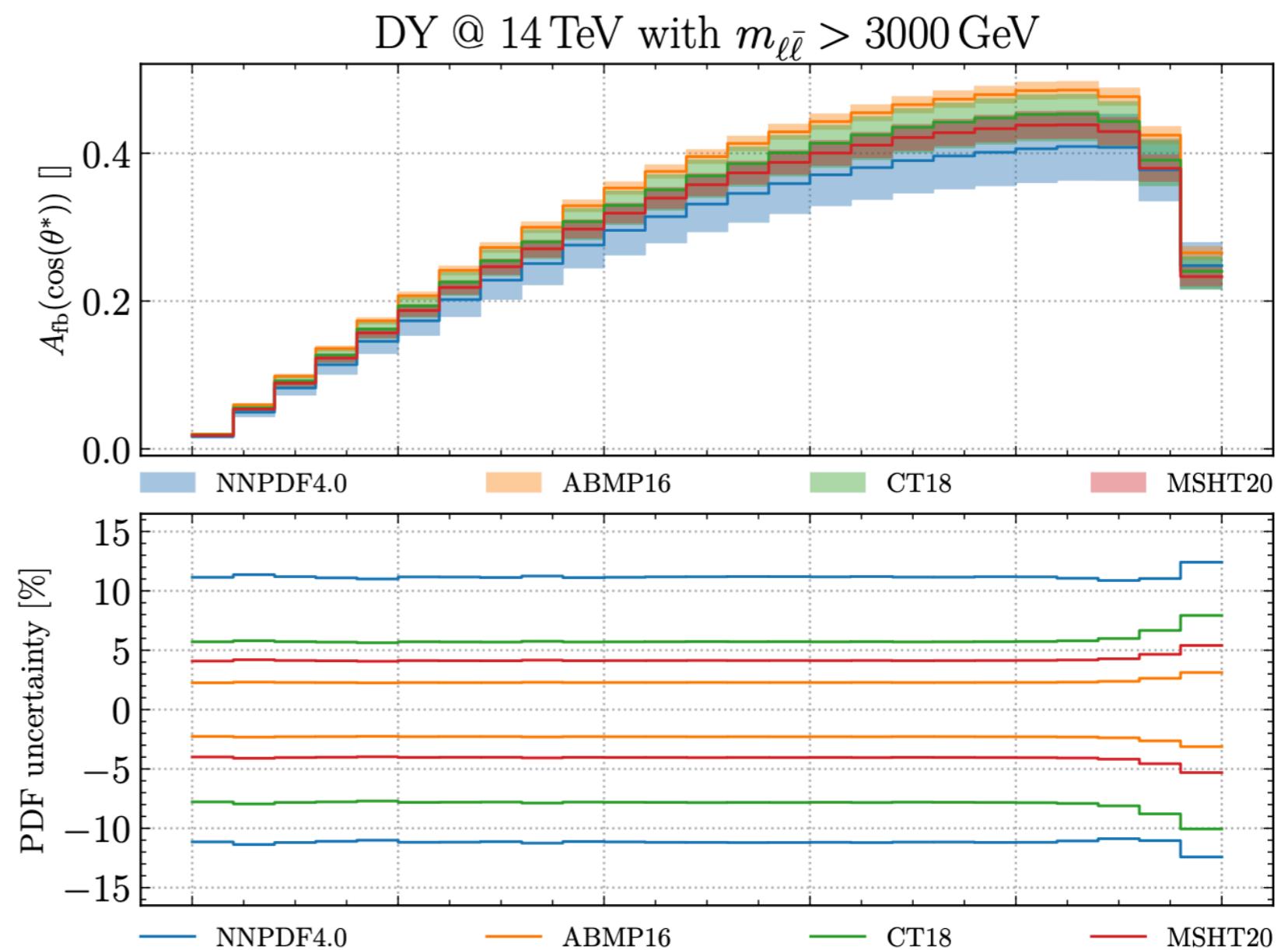
ATLAS-CONF-2023-004

# PDF uncertainties

#3: Direct searches: heavy Z' search in Drell-Yan

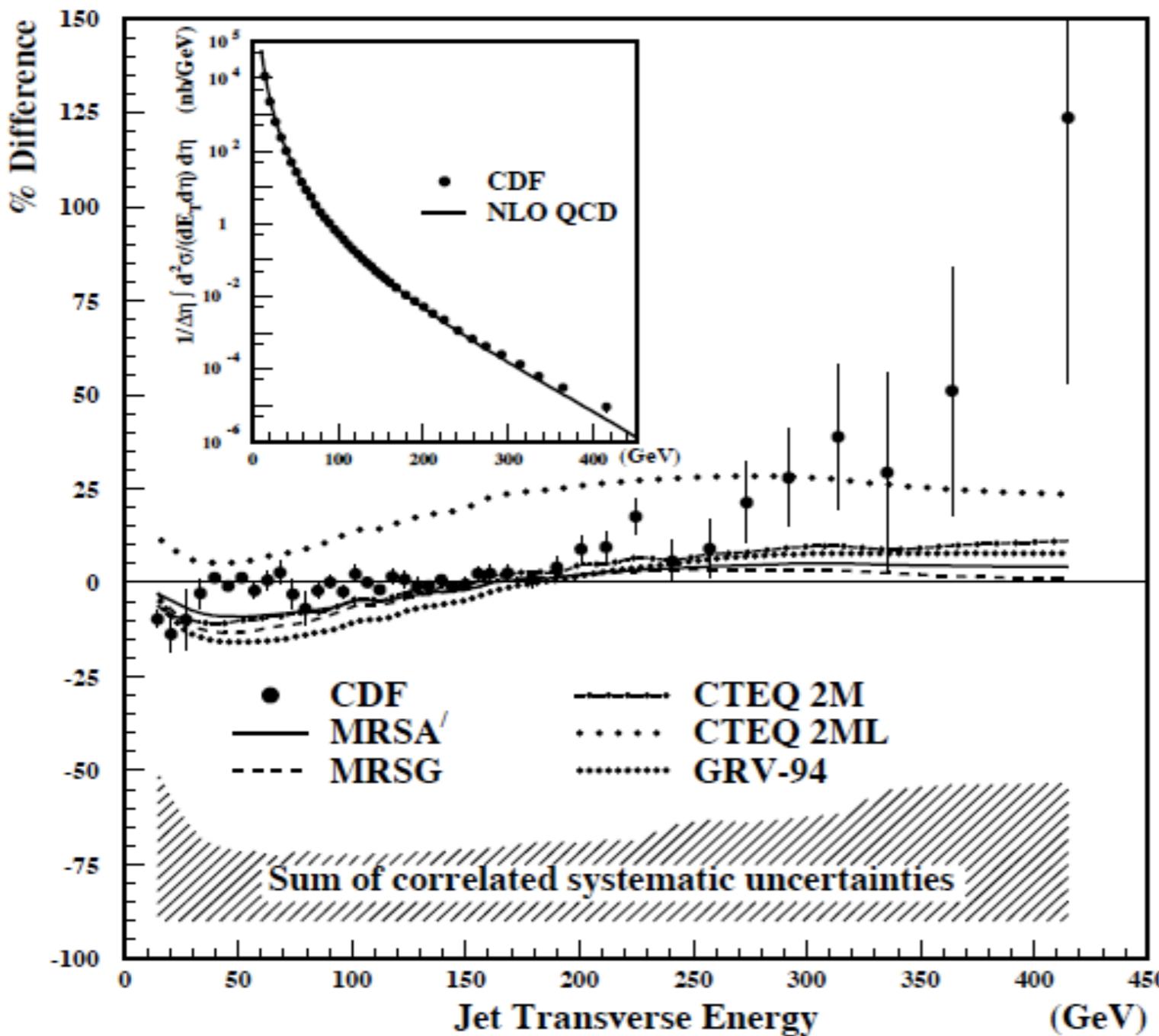
$$x \approx \frac{M}{\sqrt{S}}$$

High-mass final  
states  
 $\Leftrightarrow$   
Large-x PDFs



# PDF uncertainties

## #4: Indirect BSM searches



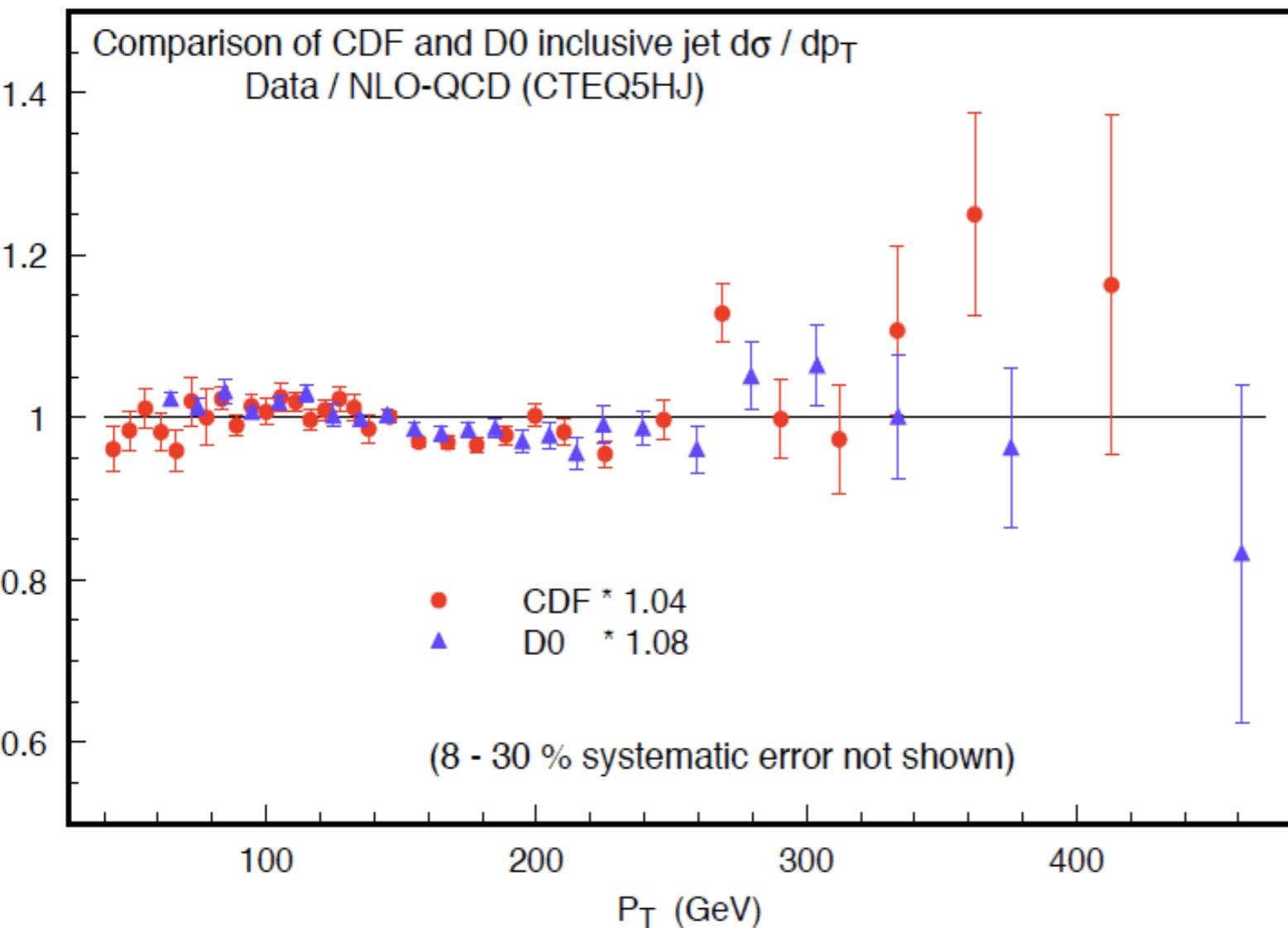
Discrepancy between QCD calculations and CDF jet data (1995)

At that time there was no information on PDF uncertainties and the theoretical prediction strongly depends on gluon shape at  $x>0.1$

**Historia magistra vitae est**

# PDF uncertainties

## FINAL CTEQ FIT (1998)



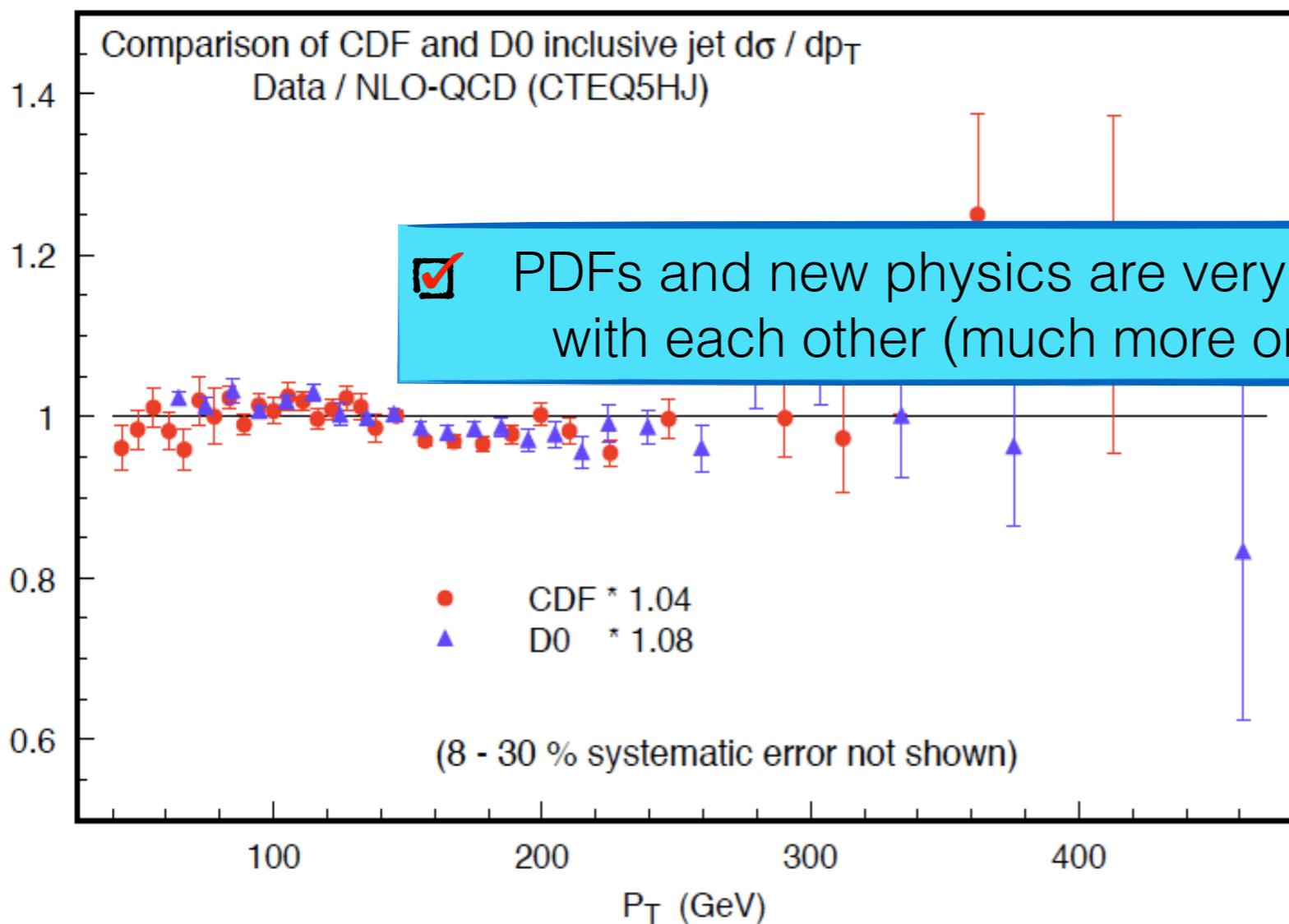
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**CTEQ re-performed the parton fit by including the jet data and the discrepancy was removed.**

# PDF uncertainties

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# Parton model and QCD

# Historic overview

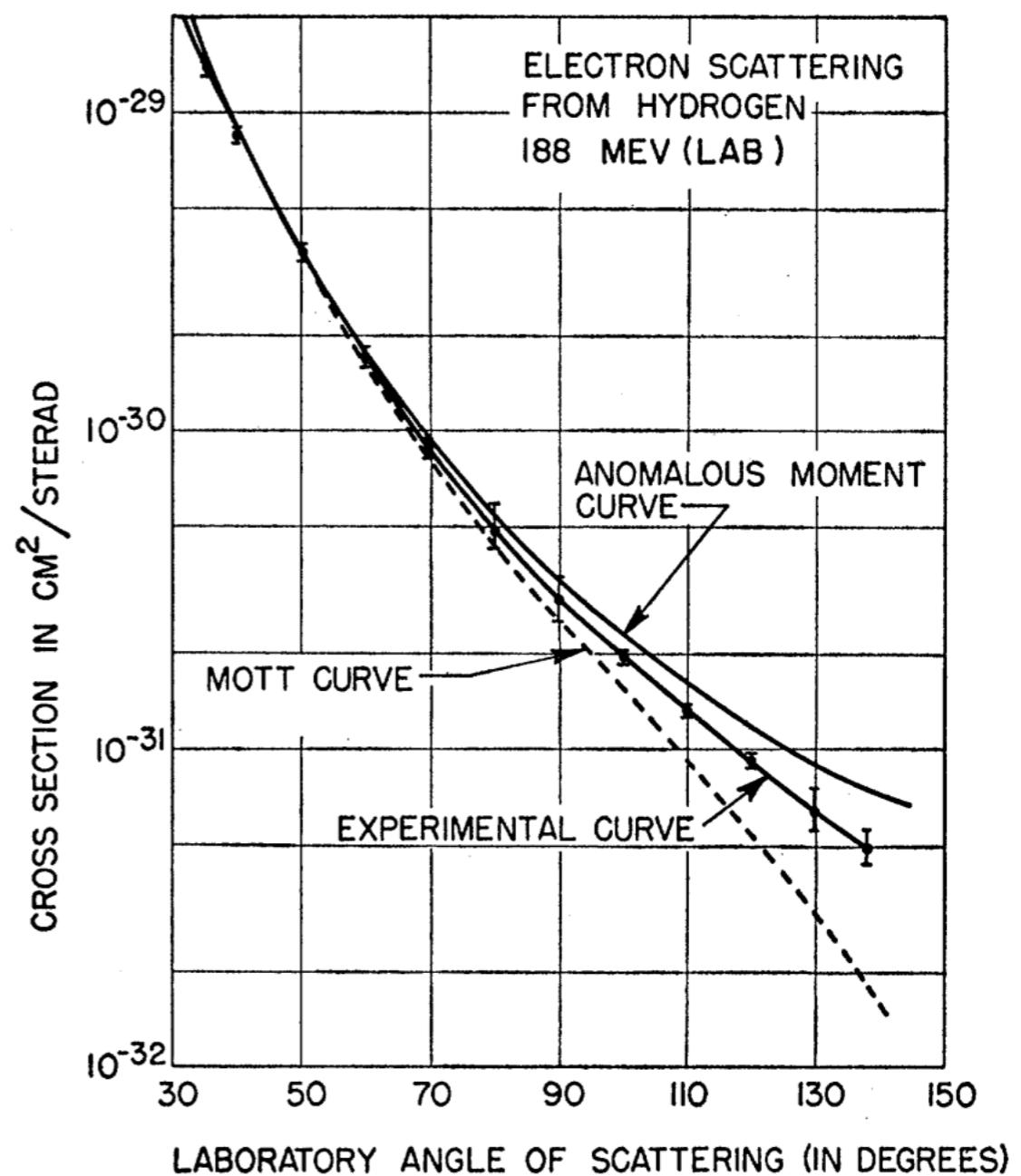
- **1955:** Hofstadter et al observed first deviations in scattering of electron off proton from simple point-like Mott scattering → Finite radius of proton  $\sim 0.7$  fm

## Electron Scattering from the Proton\*†‡

ROBERT HOFSTADTER AND ROBERT W. McALLISTER

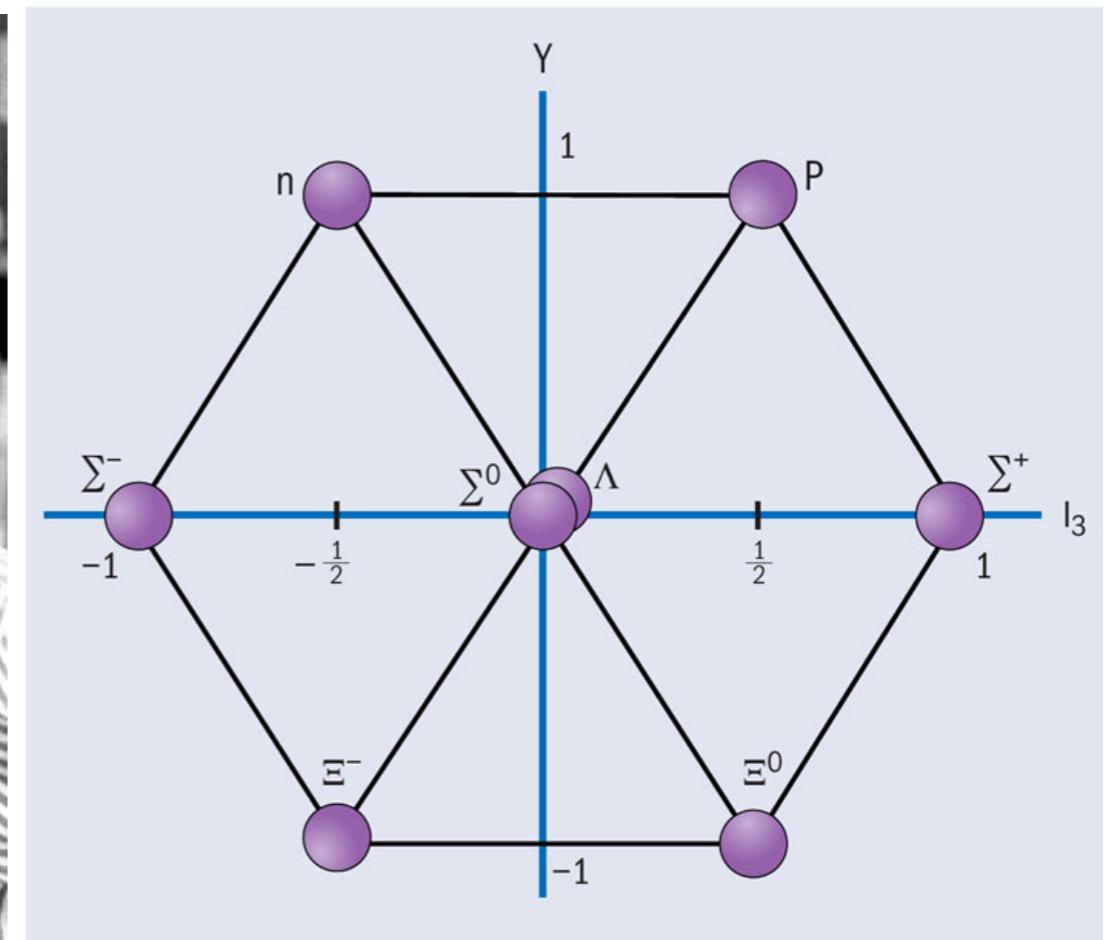
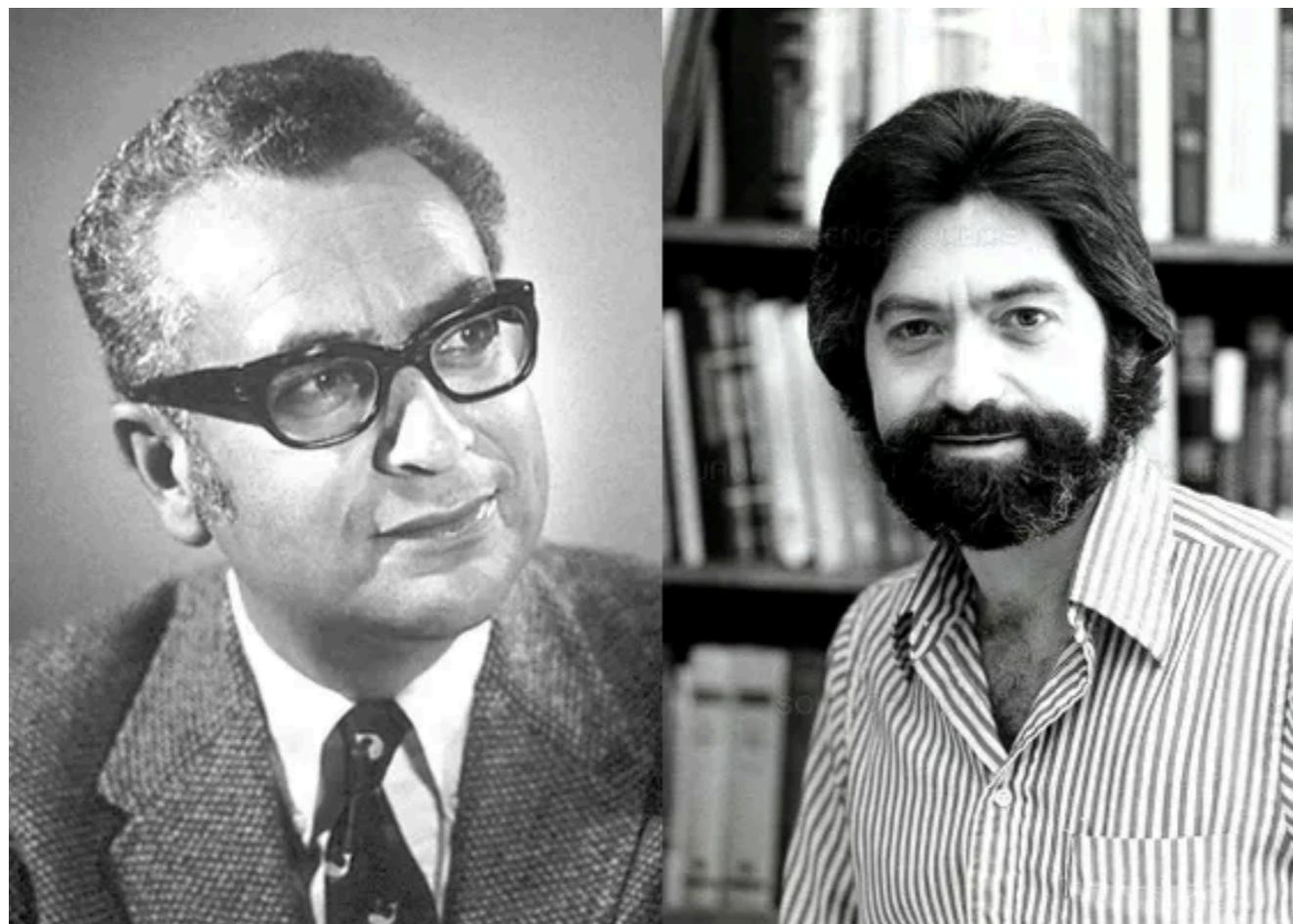
*Department of Physics and High-Energy Physics Laboratory,  
Stanford University, Stanford, California*

(Received January 24, 1955)



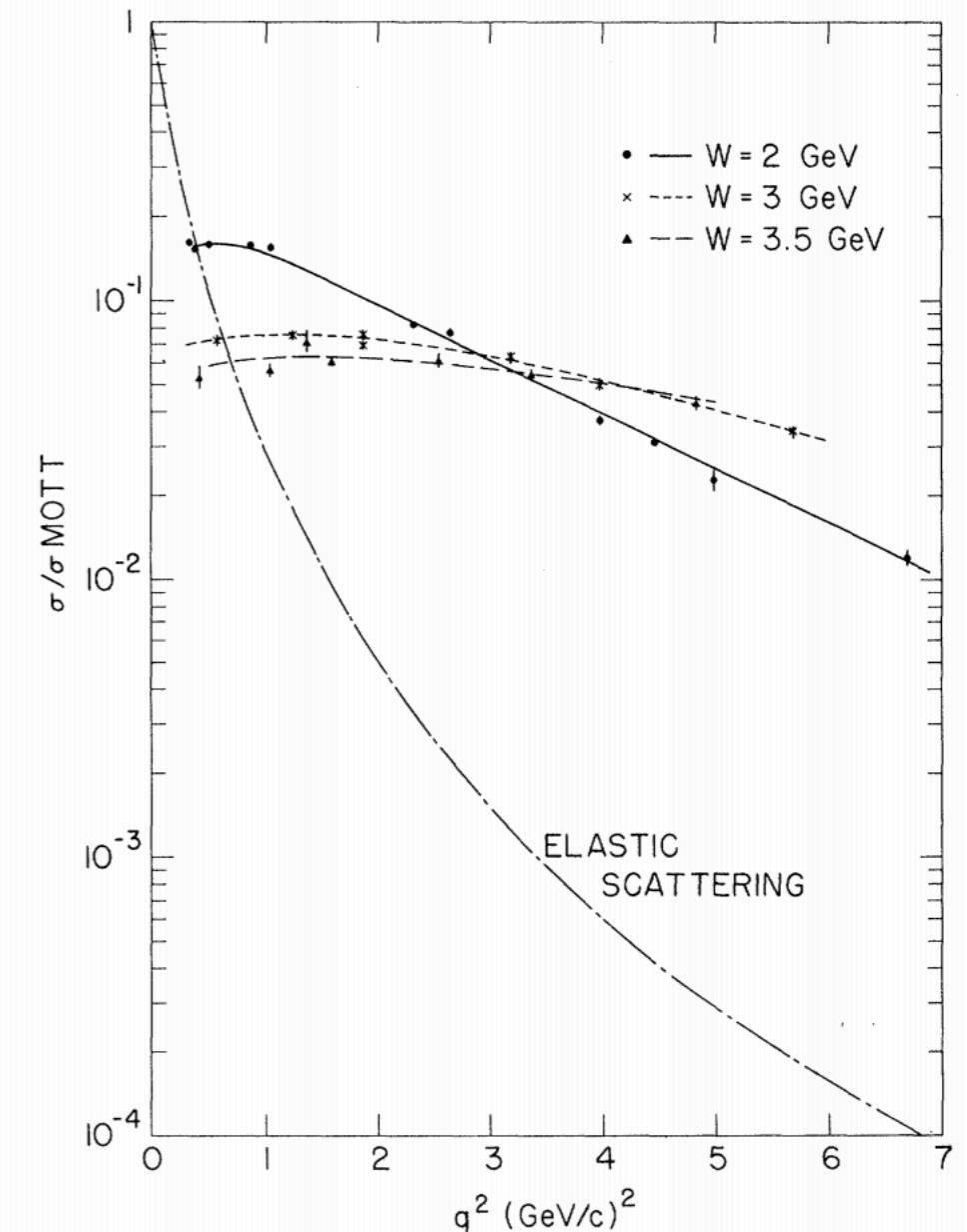
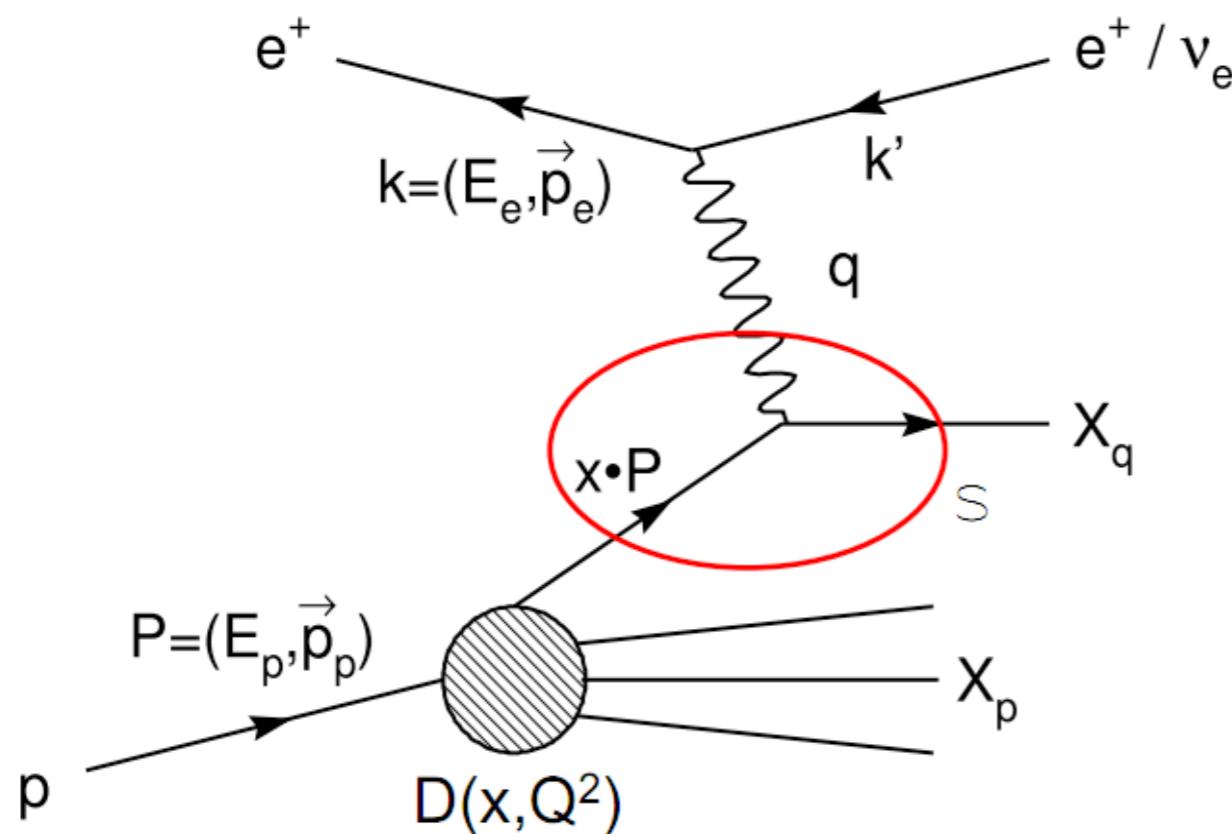
# Historic overview

- **1964:** Zweig and Gell-Mann independently postulated existence of three aces (Zweig) or quarks (Gell-Mann) with fractional electric charge and spin-1/2 to explain proliferation of mesons and baryons in nucleon collision experiments. More of a mathematical model rather than particles! How could such objects be bound so tightly together?



# Historic overview

- **1967**: First deep-inelastic scattering experiments at SLAC 20 GeV linear collider gave first evidence of point-like elementary constituents of the proton which were later identified as quarks (Bjorken scaling)



# DIS Kinematics

**Hadronic CoM energy**

$$s = (k + P)^2$$

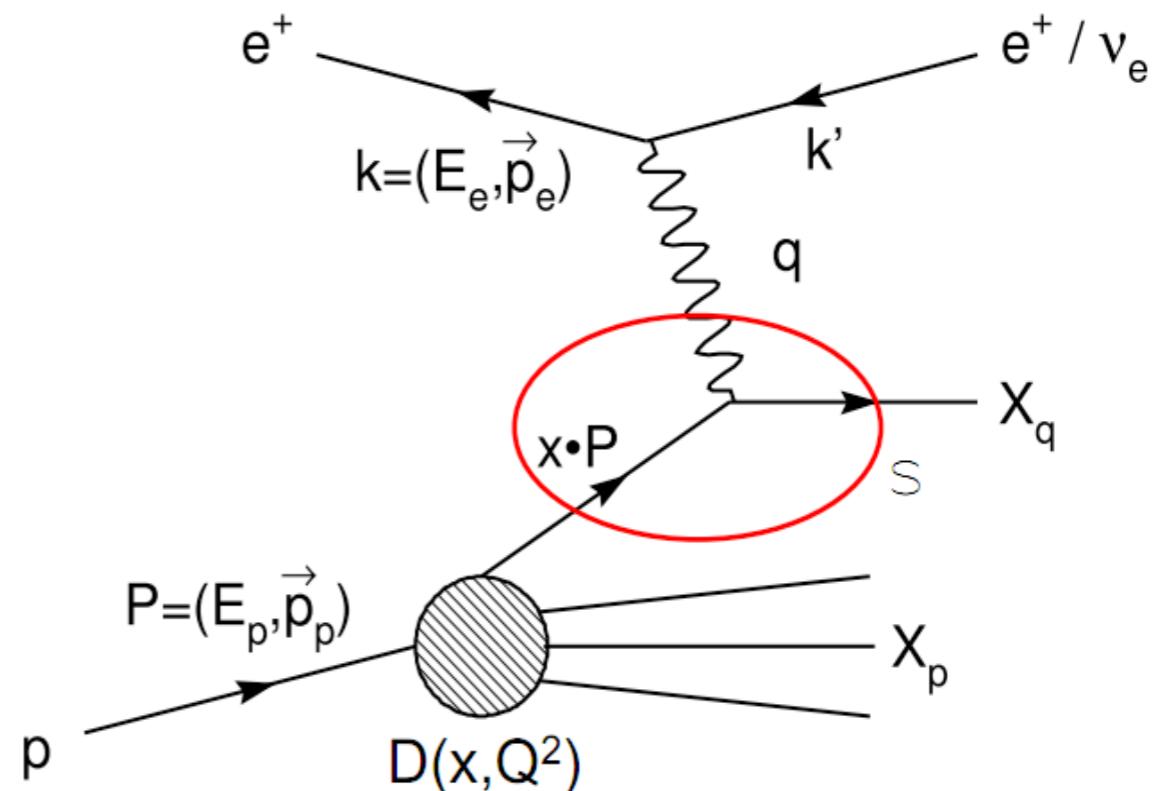
**Hadronic invariant mass**

$$M_X = (P + q)^2$$

**Photon virtuality**

$$q = k - k'$$

**Leading order QED diagram**



# DIS Kinematics

**Hadronic CoM energy**

$$s = (k + P)^2$$

**Hadronic invariant mass**

$$M_X = (P + q)^2 = \frac{Q^2(1 - x_B)}{x_B}$$

**Photon virtuality**

$$q = k - k'$$

In terms of the "standard" invariants

**Q<sup>2</sup>**

$$Q^2 = -q^2 = -(k - k')^2 \approx 2k \cdot k' = 2E_e E'_e (1 - \cos \theta) \geq 0$$

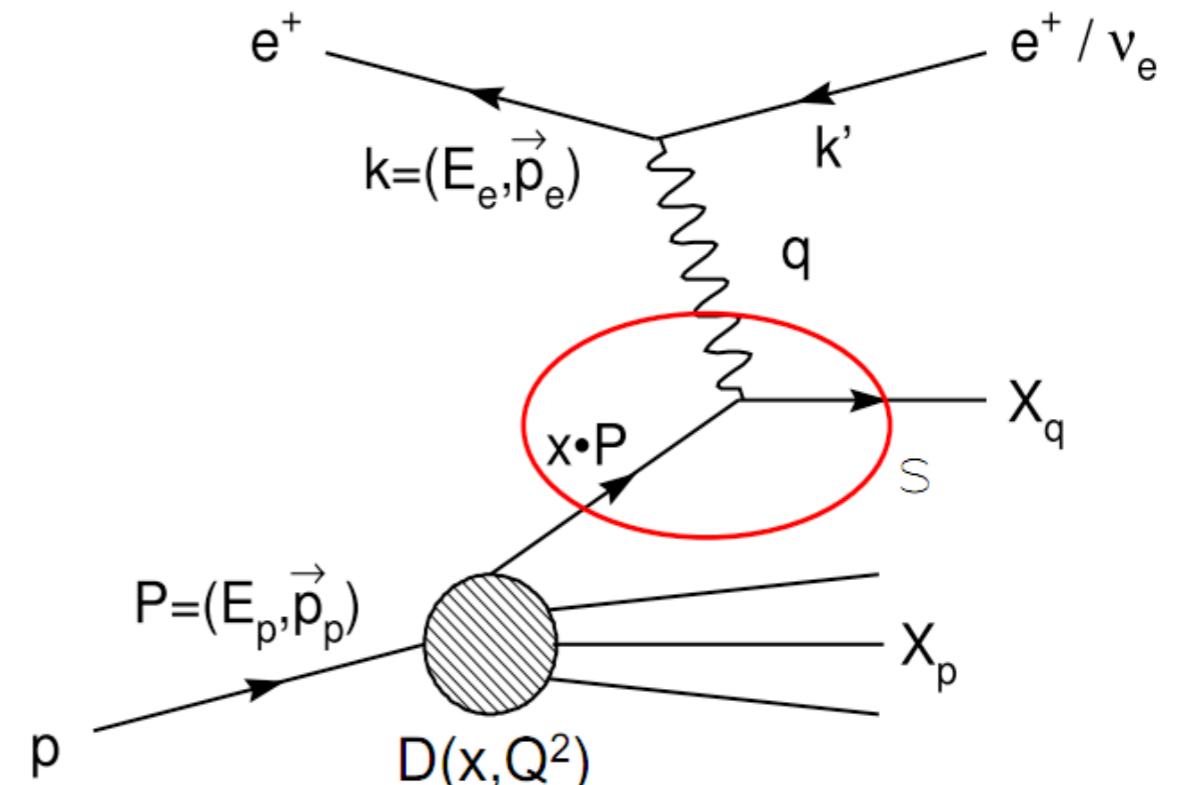
**Bjorken x**

$$x_B = \frac{Q^2}{2P \cdot q}$$

**Inelasticity**

$$y = \frac{P \cdot q}{P \cdot k} \approx \frac{E_e - E'_e}{E_e} \quad 0 \leq x_B, y \leq 1$$

**Leading order QED diagram**



Deep inelastic regime  $Mx \ll Mp$ , large  $Q^2$  for fixed  $x_B$

# DIS Kinematics

**Hadronic CoM energy**

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Deep inelastic regime  $Mx \ll M_p$ , large  $Q^2$  for fixed  $x_B$

Only two out of three of invariants  $(x_B, y, Q^2)$  are independent.

In the limit of  $m_e \rightarrow 0$

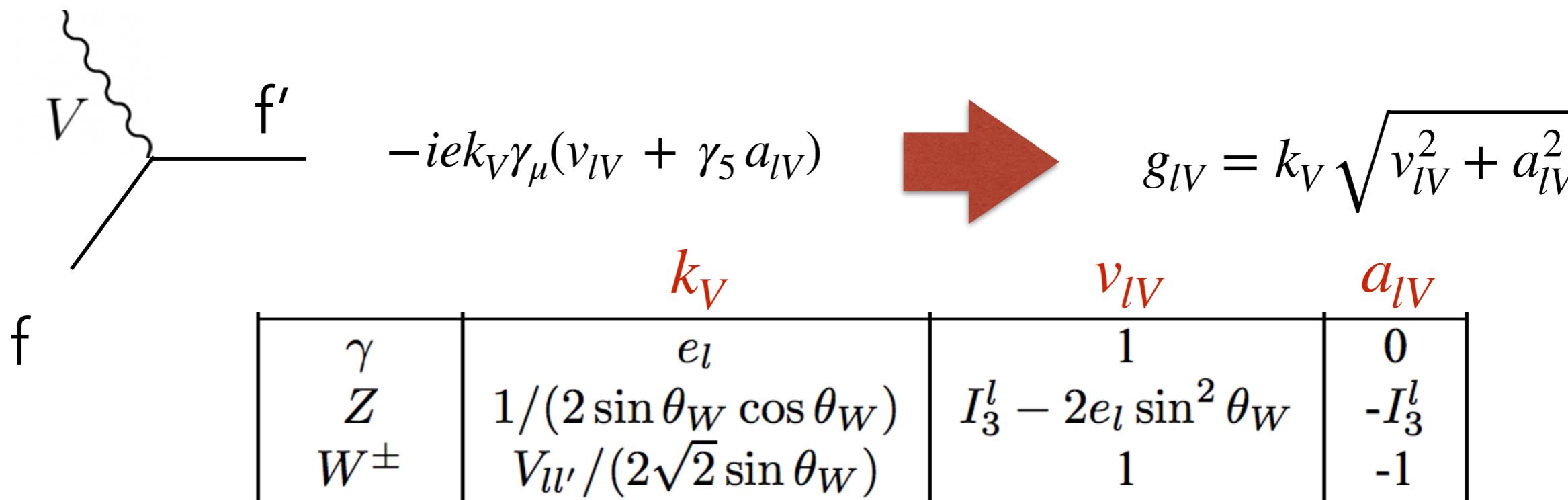
$$x_B = \frac{Q^2}{y s}$$

# DIS cross section

$$\mathcal{M}(e^\pm p \rightarrow e^\pm X) = \langle e^\pm | J^\mu | e^\pm \rangle_{\text{QED current}} g_{e\gamma} \frac{-g_{\mu\nu}}{q^2} g_{p\gamma} \langle X | J^\nu | p \rangle_{\text{hadron current}}$$

Generalised to the exchange of a vector boson V (Z or W or BSM), with mass  $M_V$   
 $f, f' = e^\pm, \nu_e, \bar{\nu}_e$

$$\mathcal{M}(fp \rightarrow f'X) = \langle f | J^\mu | f' \rangle_{\text{EW current}} g_{fV} \frac{-g_{\mu\nu}}{q^2 - M_V^2} g_{pV} \langle X | J^\nu | p \rangle$$



# DIS cross section

$$d\sigma(ep \rightarrow e'X) = \frac{1}{2s} \frac{(g_{lV}g_{hV})^2}{(Q^2 + M_V^2)^2} L_{\mu\nu} W^{\mu\nu}(4\pi) \frac{d^3 k'}{(2\pi)^3 2E'_e}$$

② Leptonic tensor    ③ Hadronic tensor    ① Final lepton phase space

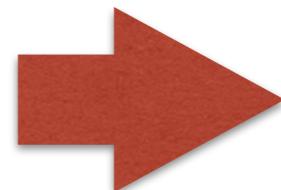
In the proton rest frame, after integrating over the azimuthal angle

$$\textcircled{1} \quad \frac{d^3 k'}{(2\pi)^3 2E'_e} = \frac{E'_e dE'_e d\cos\theta}{8\pi^2}$$

In terms of invariant

$$Q^2 = 2E_e E'_e (1 - \cos\theta) \Rightarrow dQ^2 = 2E_e E'_e d\cos\theta$$

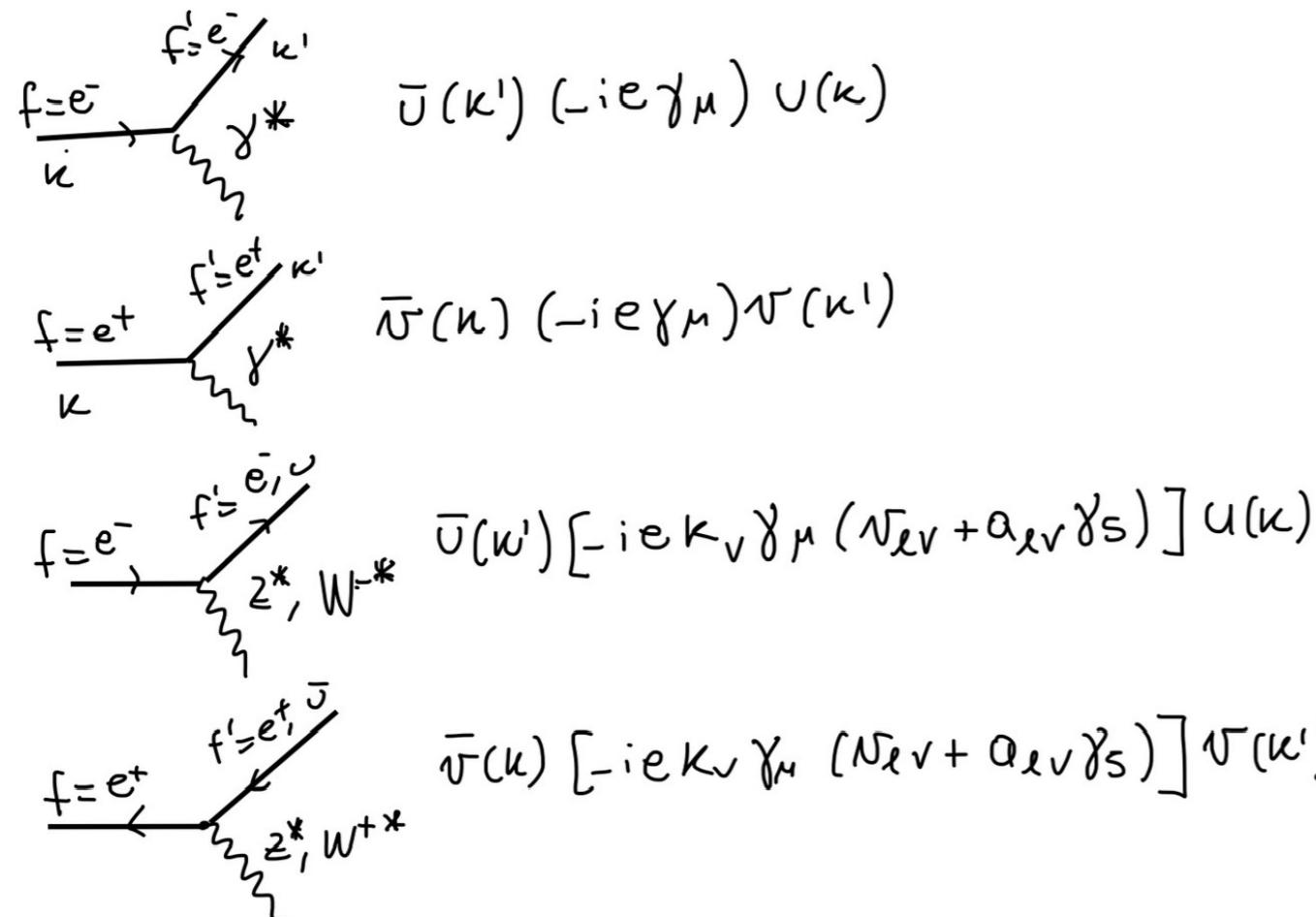
$$2P \cdot q = \frac{S}{2E_e} [2E_e - E'_e(1 + \cos\theta)] = \frac{S}{2E_e} \left[ 2(E_e - E'_e) + \frac{Q^2}{2E_e} \right] \Rightarrow dx_B = \frac{x_B^2}{Q^2} \frac{s}{E_e} dE'_e \quad \text{for fixed } Q^2$$



$$E'_e dE'_e d\cos\theta = \frac{Q^2}{2sx_B^2} dx_B dQ^2 = dy dQ^2$$

# DIS cross section

$$② \quad L_{\mu\nu} = \frac{1}{2} \langle e^- | J_\mu | e^- \rangle \langle e^- | J_\nu | e^- \rangle$$



Take the trace on the spinor indices

→  $L_{\mu\nu} = 2 \left[ k_\mu k'_\nu + k_\nu k'_\mu - \frac{Q^2}{2} g_{\mu\nu} \pm i c_{lV} \epsilon^{\tau\sigma}_{\mu\nu} k_\sigma k'_\tau \right]$

+ for  $e^-$   
- for  $e^+$

$c_{lV} = \frac{2a_{lV}v_{lV}}{v_{lV}^2 + a_{lV}^2}$

# DIS cross section

$$\textcircled{3} \quad W^{\mu\nu} = \frac{1}{2} \frac{1}{4\pi e^2} \sum_X \langle p | J^\mu | X \rangle \langle X | J^\nu | p \rangle \delta^{(4)}(p + q - P_X)$$

Most general form that respects Pointcare invariance, time-reversal symmetry and EM current conservation

$$W^{\mu\nu} = \left( -g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) F_1(x_B, Q^2) + \frac{\tilde{P}^\mu \tilde{P}^\nu}{P \cdot q} F_2(x_B, Q^2) + \frac{i \epsilon_{\alpha\beta}^{\mu\nu} P^\alpha q^\beta}{2P \cdot q} F_3(x_B, Q^2)$$

F<sub>1</sub>, F<sub>2</sub> and F<sub>3</sub> structure functions to be determined experimentally.

If we require parity conservation (QED, photon exchange) F<sub>3</sub> = 0

$$\textcircled{2} + \textcircled{3} \quad L_{\mu\nu} W^{\mu\nu} = 2Q^2 F_1(x_B, Q^2) + \frac{4(P \cdot k)(P \cdot k')}{(P \cdot q)} F_2(x_B, Q^2) \mp c_{lV} \frac{P \cdot (k + k') Q^2}{(P \cdot q)} F_3(x_B, Q^2)$$

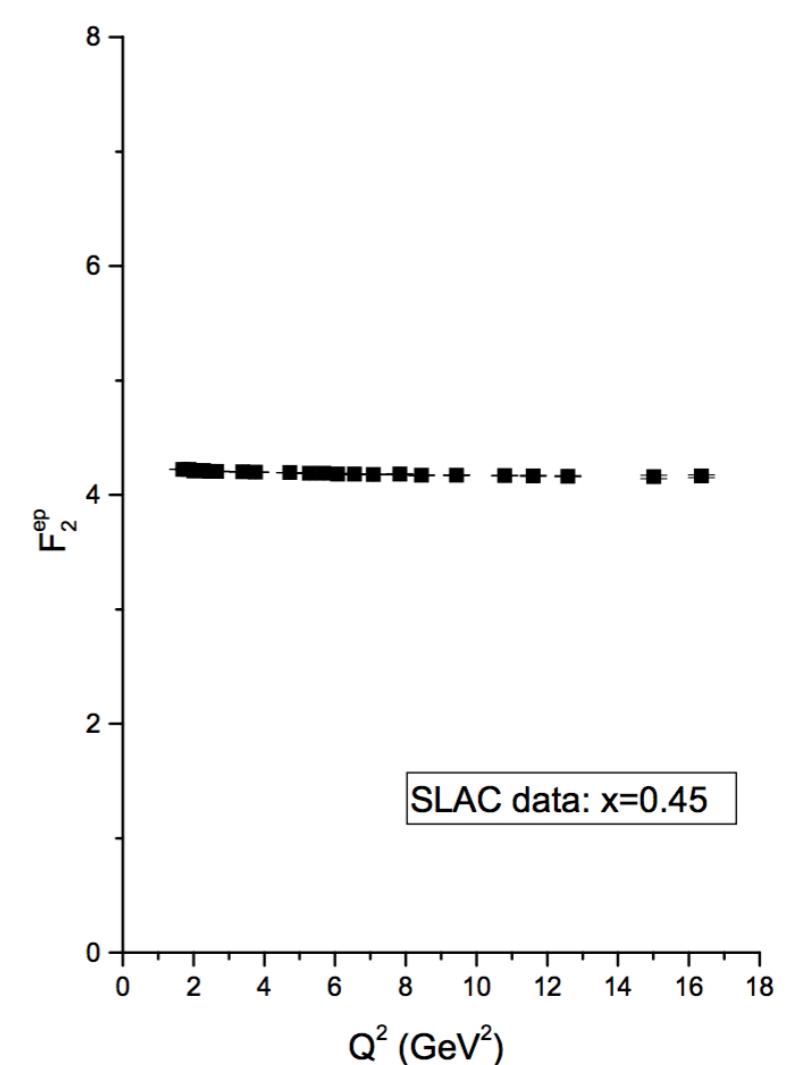
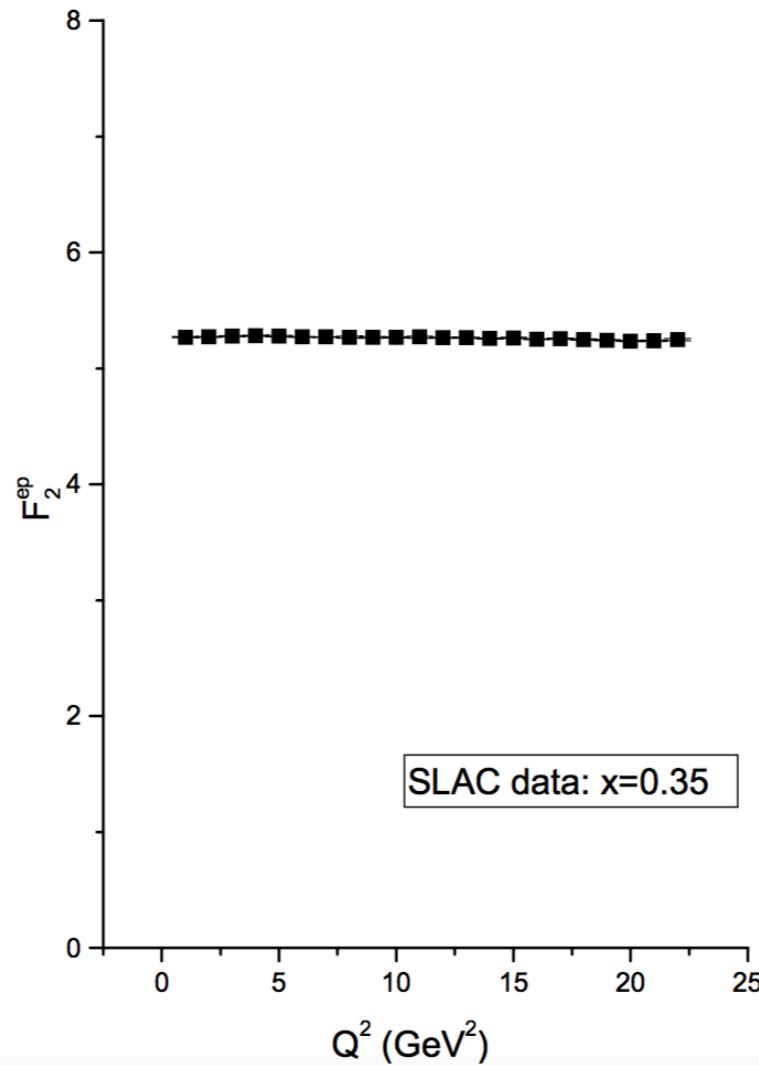
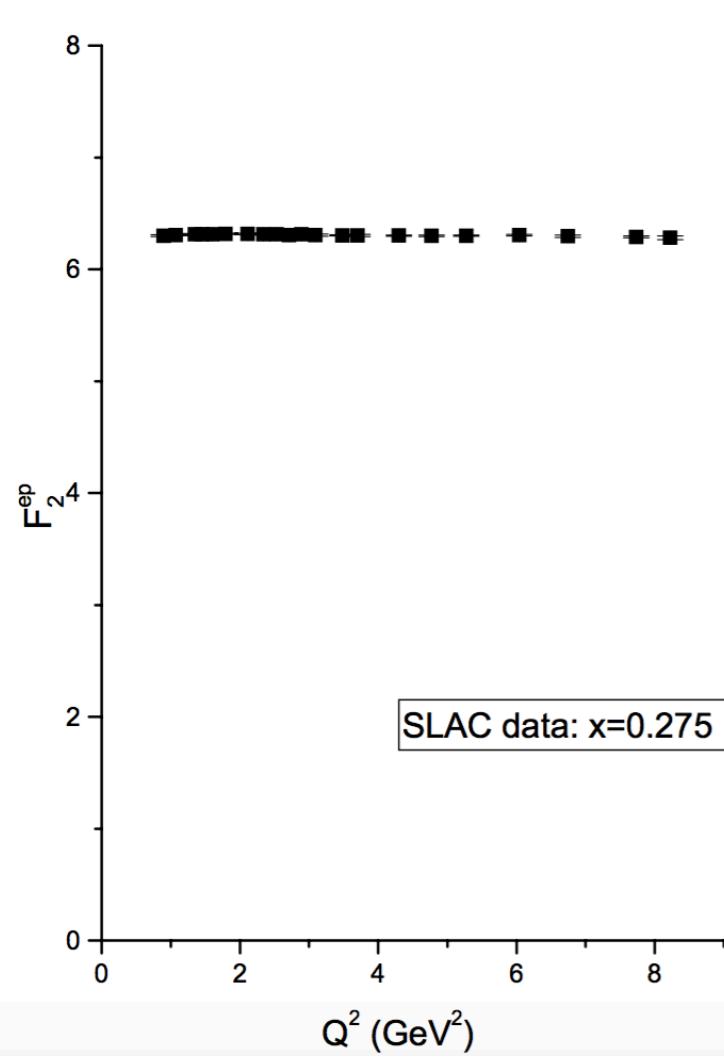
$$L_{\mu\nu} W^{\mu\nu} = \frac{2Q^2}{y^2} \left[ y^2 F_1(x_B, Q^2) + (1 - y) F_2(x_B, Q^2) \mp c_{lV} x_B \left( y - \frac{y^2}{2} \right) F_3(x_B, Q^2) \right]$$

**① + ② + ③**

$$\frac{d^2\sigma}{dx_B dQ^2} = \frac{4\pi}{x_B} \frac{\alpha_{lV} \alpha_{hV}}{(Q^2 + M_V^2)^2} \left[ x_B y^2 F_1(x_B, Q^2) + (1 - y) F_2(x_B, Q^2) \mp c_{lV} x_B \left( y - \frac{y^2}{2} \right) F_3(x_B, Q^2) \right]$$

# Bjorken scaling

The surprising results at SLAC was that  $F_{1,2}$  did not vanish as  $Q^2$  increased, rather they remained finite and constant and depended only on  $x_B$  - Bjorken scaling (1969)



Such scaling demonstrated that the exchanged vector boson (photon) scatters off point-like objects that have no mass or scale associated. The lepton scatters off charged spin 1/2 constituents (partons) that carry a fraction  $x$  of proton momentum

# The Parton Model

Basic assumption:

$$d\sigma(P) = \sum_{i \in \text{partons}} \int_0^1 dz f_i(z) d\hat{\sigma}_i(Pz)$$

Parton Distribution Functions

$z$ : fraction of proton's momentum carried by parton  $i$

Scattering of massless spin 1/2 parton  $\mathcal{Q}_i$  with charge  $e_i$  (in units of proton charge) and momentum  $\hat{p} = zP$

$$\mathcal{Q}_i(\hat{p}) + \gamma^*(q) \rightarrow \mathcal{Q}_i(\hat{p}')$$

$\gamma$  exchange

One can compute explicitly the hadronic tensor (exactly as we did with leptonic tensor)

$$W_{\mu\nu}(P, q) = \sum_{i \in \text{partons}} e_i^2 \int_0^1 \frac{dz}{z} f_i(z) \hat{W}_{\mu\nu}^i(zP, q)$$

where

$$\hat{W}_{\mu\nu}^i(\hat{p}, q) = \frac{e_i^2}{4\pi} \frac{1}{2} \int \frac{d^3 \hat{p}'}{(2\pi)^3 2\hat{p}'_0} (2\pi)^4 \delta^{(4)}(\hat{p} + q - \hat{p}') \sum_{\text{spin}} [\bar{u}(\hat{p}') \gamma_\mu u(\hat{p})] [\bar{u}(\hat{p}) \gamma_\nu u(\hat{p}')] \quad \boxed{\text{Feynman diagram: } q \text{ (wavy line)} \rightarrow \hat{p}'}$$

And from there the structure functions

$$F_1(x_B, Q^2) = \frac{1}{2} \sum_i e_i^2 f_i(x_B)$$

$$F_2(x_B, Q^2) = \sum_i e_i^2 x_B f_i(x_B)$$

# The Parton Model

Basic assumption:

- The structure functions in the parton model do not depend on  $Q^2$

## Parton Distribution Functions

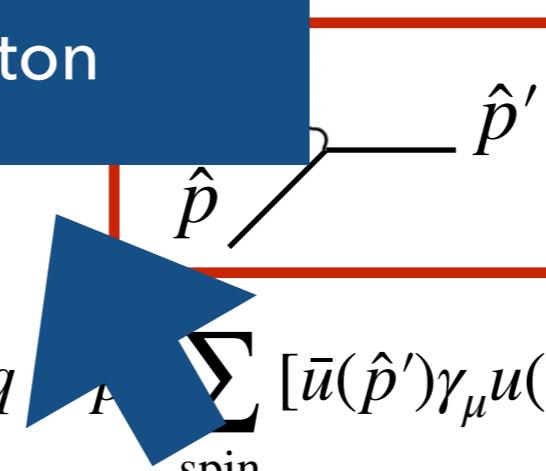
fraction of proton's momentum carried by parton i

- Scaling and macroscopicity
- Microscopic  $x \equiv$  Macroscopic  $x_B$
  - Callan-Gross relation typical of spin 1/2

$$F_2 = 2x F_1$$

- Orbits and momenta
- $F_L = F_2 - 2x F_1 \approx 0$  longitudinally polarised virtual photon much smaller than transversally polarised virtual photon

where

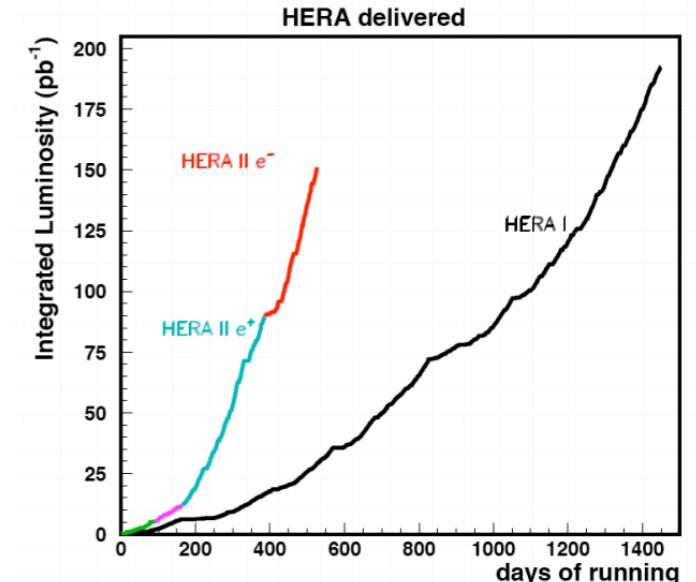
$$\hat{W}_{\mu\nu}^i(\hat{p}, q) = \frac{e_i^2}{4\pi} \frac{1}{2} \int \frac{d^3 \hat{p}'}{(2\pi)^3 2\hat{p}'_0} (2\pi)^4 \delta^{(4)}(\hat{p} + q - \hat{p}') \sum_{\text{spin}} [\bar{u}(\hat{p}') \gamma_\mu u(\hat{p})] [\bar{u}(\hat{p}) \gamma_\nu u(\hat{p}')] \quad \text{γ exchange}$$


And from there the structure functions

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# The HERA collider



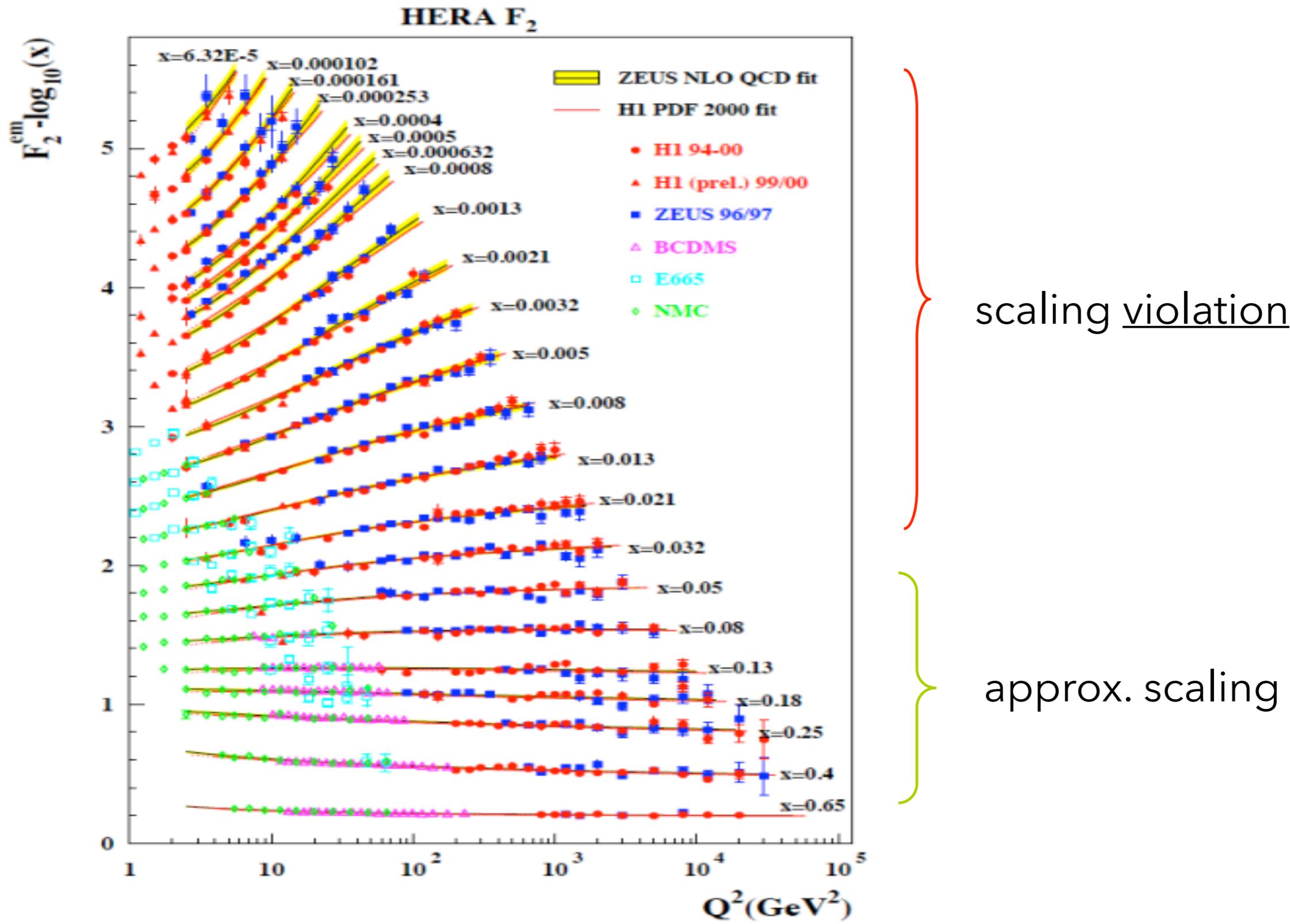
1992-2007

$$\sqrt{S} = 318 \text{ GeV}$$

$$E_e = 27.5 \text{ GeV}$$

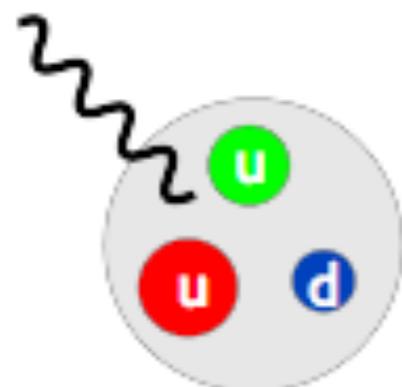
$$E_p = 920 \text{ GeV}$$

# Scaling violation



# QCD and improved parton model

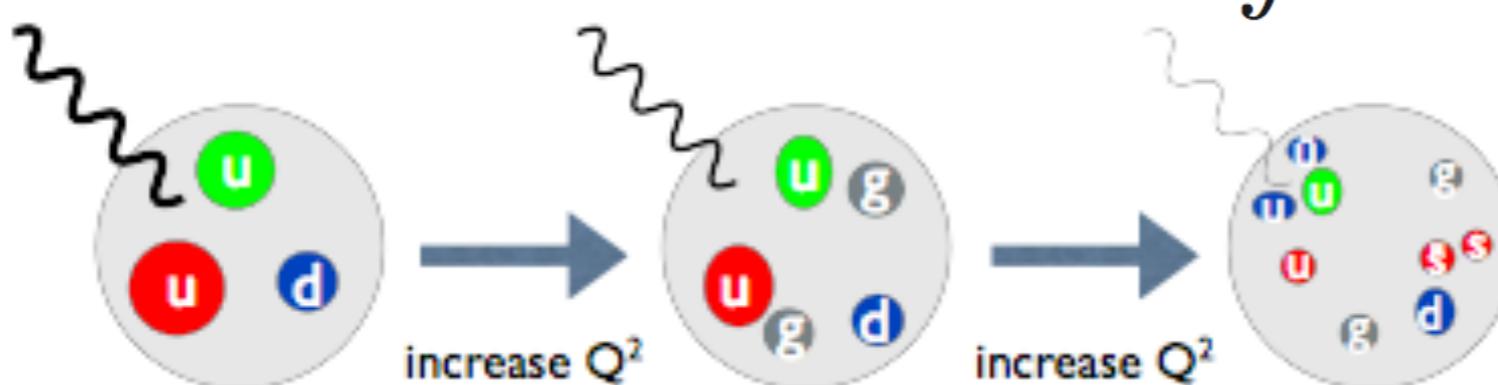
## Parton model



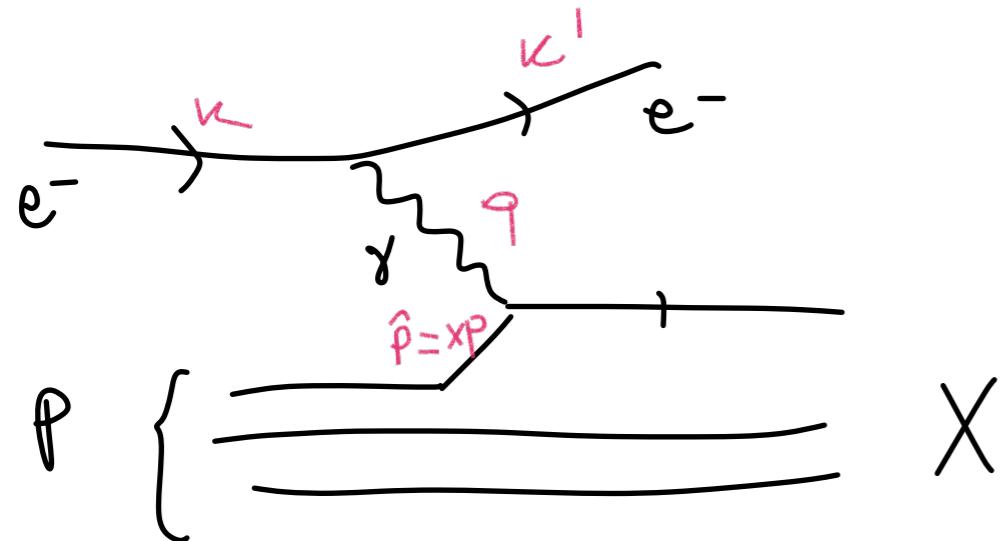
$$\sigma = \int dx f_i^{(p)}(x) \sigma^{(0)}(xp)$$

## QCD-improved Parton model

$$\sigma = \int dx f_i^{(p)}(x, \mu_F^2) \sigma(xp, \mu_F^2)$$



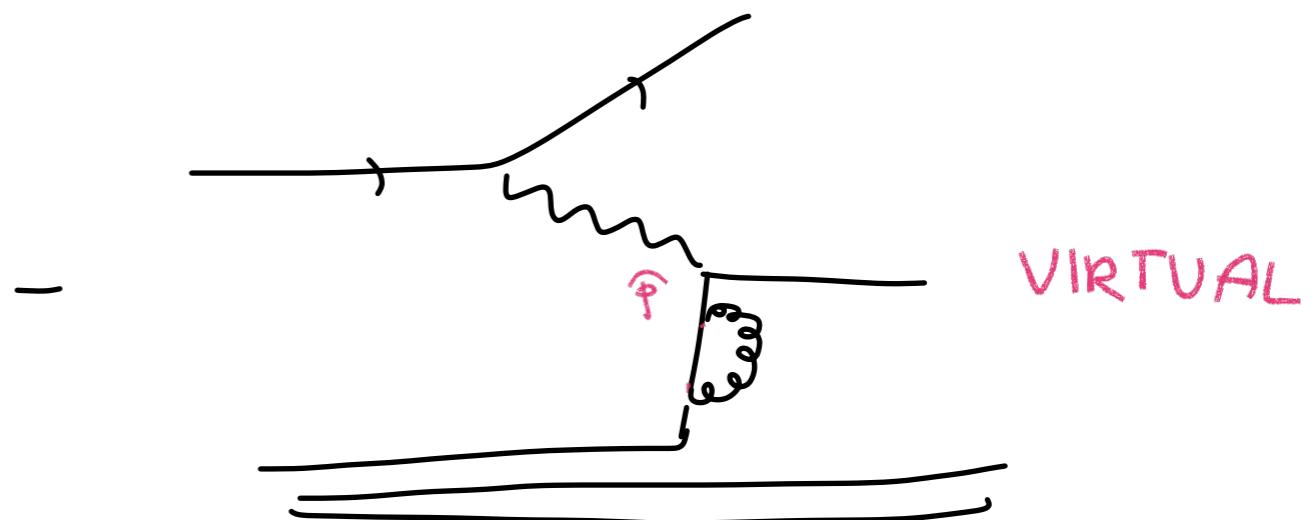
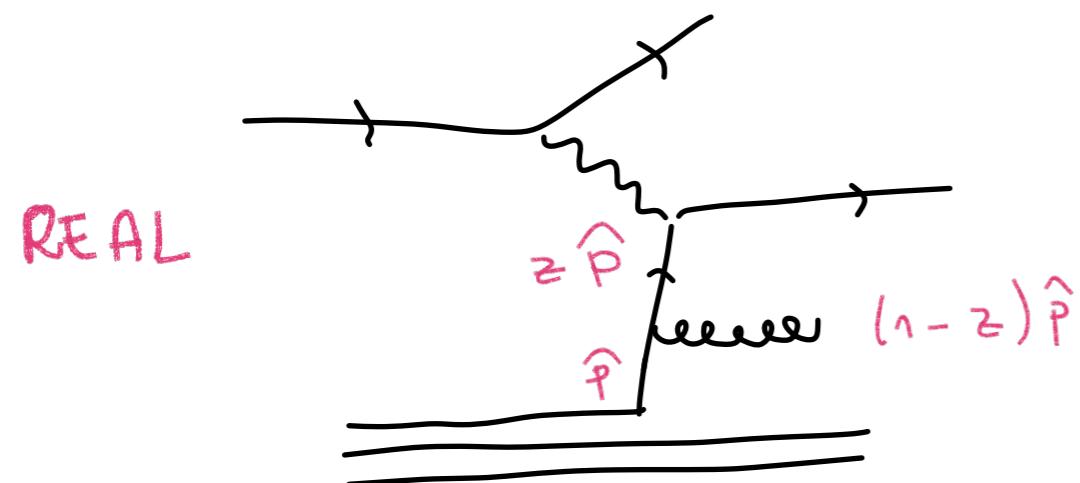
# QCD and improved parton model



**Parton model**

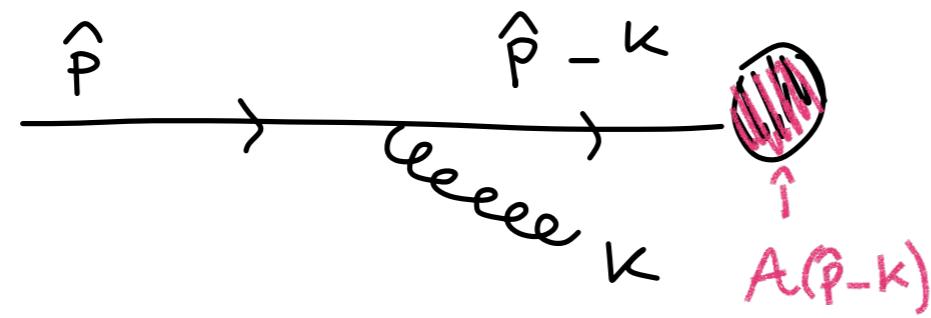
$$\sigma(e^- p \rightarrow e^- X) = \sum_{i \in \text{partons}} \int_0^1 dx f_i(x) \hat{\sigma}_i(xP)$$

Add QCD corrections



# QCD and improved parton model

Real emission



$$\hat{p} = \hat{p}_0(1,0,0,1)$$

$$\eta = \frac{1}{4\hat{p}_0}(1,0,0, -1)$$

$$k_T = (0, k_{T,1}, k_{T,2}, 0)$$

Sudakov parametrization

$$k = (1 - z)\hat{p} + k_T + \xi\eta$$

$$\text{with } \hat{p} \cdot k_T = 0$$

$$\eta \cdot k_T = 0$$

$$2\hat{p} \cdot \eta = 1$$

$$\eta^2 = 0$$

From gluon on-shell condition

$$\xi = -\frac{k_T^2}{1 - z}$$

$$\text{From } (p - k)^2 < 0 \Rightarrow z < 1$$

$$\text{From } k_3 = 0 \Rightarrow |k_T^2| < 4\hat{p}_0^2(1 - z)$$

# QCD and improved parton model

Parametrise phase space and integrate over azimuthal angle

$$\frac{d^3 k}{(2\pi)^3 2k_0} = \frac{1}{16\pi^2} \frac{d|k_T|^2 dz}{1-z}$$

Consider singular part of the amplitude

$$\begin{aligned}
 \mathcal{M}_{q,\text{sing}}^{(1)}(\hat{p}, k) &= g_s A_i(\hat{p}-k) \frac{\hat{p}-k}{(\hat{p}-k)^2} \not{f}(k) t_{ij}^\alpha u_j(\hat{p}) \\
 &= g_s \frac{(1-z)}{k_T^2} A_i(\hat{p}-k) (z \hat{p} - k_T) \not{f}(k) t_{ij}^\alpha u_j(\hat{p}) \\
 &= -\frac{g_s}{k_T^2} A_i(\hat{p}-k) [2z k_T \varepsilon(k) + (1-z) k_T \not{f}(k)] t_{ij}^\alpha u_j(\hat{p})
 \end{aligned}$$

$$\Rightarrow |\mathcal{M}_{q,\text{sing}}^{(1)}|^2 = -\frac{2g_s^2}{|k_T|^2} C_F \frac{1+z^2}{z} |\mathcal{M}^{(0)}(z\hat{p})|^2$$

# QCD and improved parton model

$$\hat{\sigma}_{q,R}^{(1)}(\hat{p}) = \frac{\alpha_S}{2\pi} C_F \int_0^1 \frac{dz}{1-z} \int_0^{|k_T^2|_{\max}} d|k_T^2| \frac{1+z^2}{|k_T^2|} \hat{\sigma}_q^{(0)}(z\hat{p})$$

The partonic cross section at NLO in  $\alpha_S$  (associated with real emission of a gluon off a quark displays two singularities:

- **SOFT** singularity ( $z \rightarrow 1$ ), which we regulate with parameter  $\epsilon$  ( $\rightarrow 0$ )
- **COLLINEAR** singularity ( $|k_T^2| \rightarrow 0$ ), which we regulate with parameter  $\lambda^2$  ( $\rightarrow 0$ )

$$\hat{\sigma}_{q,R}^{(1)}(\hat{p}) = \frac{\alpha_S}{2\pi} C_F \int_0^{1-\epsilon} \frac{dz}{1-z} \int_{\lambda^2}^{|k_T^2|_{\max}} d|k_T^2| \frac{1+z^2}{|k_T^2|} \hat{\sigma}_q^{(0)}(z\hat{p})$$

# QCD and improved parton model

Add virtual corrections, which also have soft and collinear singularities

$$\hat{\sigma}_V^{(1)}(\hat{p}) = -\hat{\sigma}_q^{(0)}(\hat{p}) \frac{\alpha_S}{2\pi} C_F \int_0^{1-\epsilon} \frac{dz}{1-z} \int_{\lambda^2}^{|k_T^2|_{\max}} d|k_T^2| \frac{1+z^2}{|k_T^2|}$$

$$\hat{\sigma}_{q,R+V}^{(1)}(\hat{p}) = \frac{\alpha_S}{2\pi} C_F \int_0^1 \frac{dz}{1-z} \int_{\lambda^2}^{|k_T^2|_{\max}} d|k_T^2| \frac{1+z^2}{|k_T^2|} \left[ \hat{\sigma}_q^{(0)}(z\hat{p}) - \hat{\sigma}_q^{(0)}(\hat{p}) \right]$$

**Pqq**

The soft singularity cancels between real and virtual contributions but the collinear singularity still there. Trick: split integration

$$\int_{\lambda^2}^{|k_T^2|_{\max}} \frac{d|k_T^2|}{|k_T^2|} \rightarrow \int_{\lambda^2}^{\mu_F^2} \frac{d|k_T^2|}{|k_T^2|} + \int_{\mu_F^2}^{|k_T^2|_{\max}} \frac{d|k_T^2|}{|k_T^2|}$$

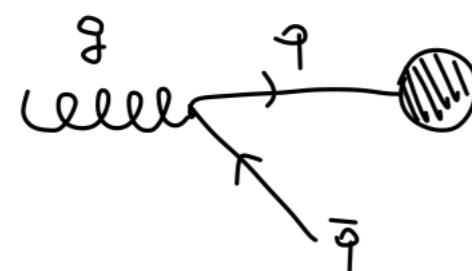
**Singular**

**Non-singular**

# QCD and improved parton model

$$\hat{\sigma}_q(\hat{p}) = \hat{\sigma}_q^{(0)}(\hat{p}) + \hat{\sigma}_q^{(1)}(\hat{p}) + \dots$$

$$= \hat{\sigma}_q^{(0)}(\hat{p}) + \frac{\alpha_S(Q^2)}{2\pi} \int_0^1 dz P_{qq}(z) \hat{\sigma}_q^{(0)}(z\hat{p}) \log \frac{\mu_F^2}{\lambda^2} + \text{non-singular terms}$$



Add LO and NLO correction from initial quark + NLO contribution from gluon-initiated process with gluon splitting into quark-antiquark pair

$$\hat{\sigma}_g(\hat{p}) = \frac{\alpha_S(Q^2)}{2\pi} \int_0^1 dz P_{qg}(z) \hat{\sigma}_q^{(0)}(z\hat{p}) \log \frac{\mu_F^2}{\lambda^2} + \text{non-singular terms}$$

$P_{qq}(z)$  and  $P_{qg}(z)$  are universal functions associated to quark and gluon splittings, called splitting functions

# QCD and improved parton model

Plug into the parton model equation:

$$\begin{aligned}\sigma(p) &= \int_0^1 dx f_q(x) \hat{\sigma}_q(xp) + f_g(x) \hat{\sigma}_g(xp) \\ \Downarrow \\ \sigma(p) &= \int_0^1 dx \left[ f_q(x) \left( \hat{\sigma}_q^{(0)}(xp) + \frac{\alpha_s}{2\pi} \int_0^1 dz P_{qq}(z) \hat{\sigma}_q^{(0)}(xzp) \log \frac{\mu_F^2}{z^2} \right) \right. \\ &\quad \left. + f_g(x) \left( \frac{\alpha_s}{2\pi} \int_0^1 dz P_{qg}(z) \hat{\sigma}_q^{(0)}(xzp) \log \frac{\mu_F^2}{z^2} \right) \right] \\ &+ \int_0^1 dx \left[ f_q(x) \hat{\sigma}_{q, \text{rcg}}^{(\gamma)}(xp, \mu_F^2) + f_g(x) \hat{\sigma}_{g, \text{rcg}}^{(\gamma)}(xp, \mu_F^2) \right]\end{aligned}$$

# QCD and improved parton model

Plug into the parton model equation:

$$\sigma(P) = \int_0^1 dx f_q(x) \hat{\sigma}_q(xP) + f_g(x) \hat{\sigma}_g(xP)$$

↓

$$\sigma(P) = \int_0^1 dx \left[ f_q(x) \left( \hat{\sigma}_q^{(0)}(xP) + \frac{\alpha_s}{2\pi} \int_0^1 dz P_{qq}(z) \hat{\sigma}_q^{(0)}(xzP) \log \frac{\mu_F^2}{z^2} \right) \right.$$

$$+ f_g(x) \left( \frac{\alpha_s}{2\pi} \int_0^1 dz P_{qg}(z) \hat{\sigma}_g^{(0)}(x z P) \log \frac{\mu_F^2}{z^2} \right) \left. + \int_0^1 dx \left[ f_q(x) \hat{\sigma}_{q, \text{reg}}^{(\gamma)}(xP, \mu_F^2) + f_g(x) \hat{\sigma}_{g, \text{reg}}^{(\gamma)}(xP, \mu_F^2) \right] \right]$$

Absorb collinear divergences into a redefinition of the parton distribution functions, which now depend on the factorisation scale

$$f_q(x, \mu^2) = \int_x^1 \frac{dz}{z} \left[ f_q(z) \left( \delta(1 - x/z) + \frac{\alpha_S(\mu^2)}{2\pi} P_{qq} \left( \frac{x}{z} \right) \log \frac{\mu^2}{\lambda^2} \right) + f_g(z) \left( \frac{\alpha_S(\mu^2)}{2\pi} P_{qg} \left( \frac{x}{z} \right) \log \frac{\mu^2}{\lambda^2} \right) \right]$$

# QCD and improved parton model

With this redefinition of the PDFs, both PDFs and partonic cross section are finite and they both acquired dependence on arbitrary factorisation scale

$$\sigma(P) = \int_0^1 dx f_q(x, \mu_F^2) \hat{\sigma}_{q,\text{reg}}(xP, \mu_F^2) + f_g(x, \mu_F^2) \hat{\sigma}_{g,\text{reg}}(xP, \mu_F^2)$$

From PDF redefinition (similar to renormalisation) note that the dependence of PDFs on the scale is totally fixed by perturbation theory.

DGLAP evolution equation, similar to renormalisation group equations for  $\alpha_S$

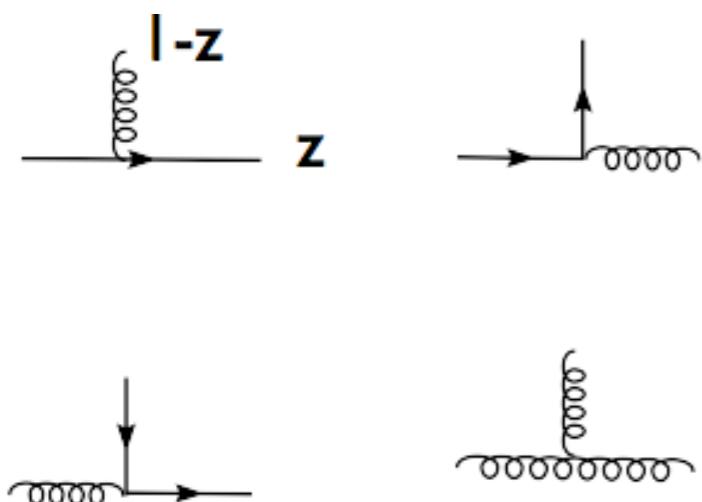
$$\mu^2 \frac{\partial f_q(x, \mu^2)}{\partial \mu^2} = \frac{\alpha_S(\mu^2)}{2\pi} \int_x^1 \frac{dz}{z} P_{qq} \left( \frac{x}{z} \right) f_q(z, \mu^2) + P_{qg} \left( \frac{x}{z} \right) f_g(z, \mu^2)$$

# DGLAP evolution equations

When you put all flavours in, get 13 coupled integro-differential equations, which can be reduced to 11 decoupled (non-singlet) and 2 coupled equations (singlet and gluon) with a change of basis in the space of PDFs

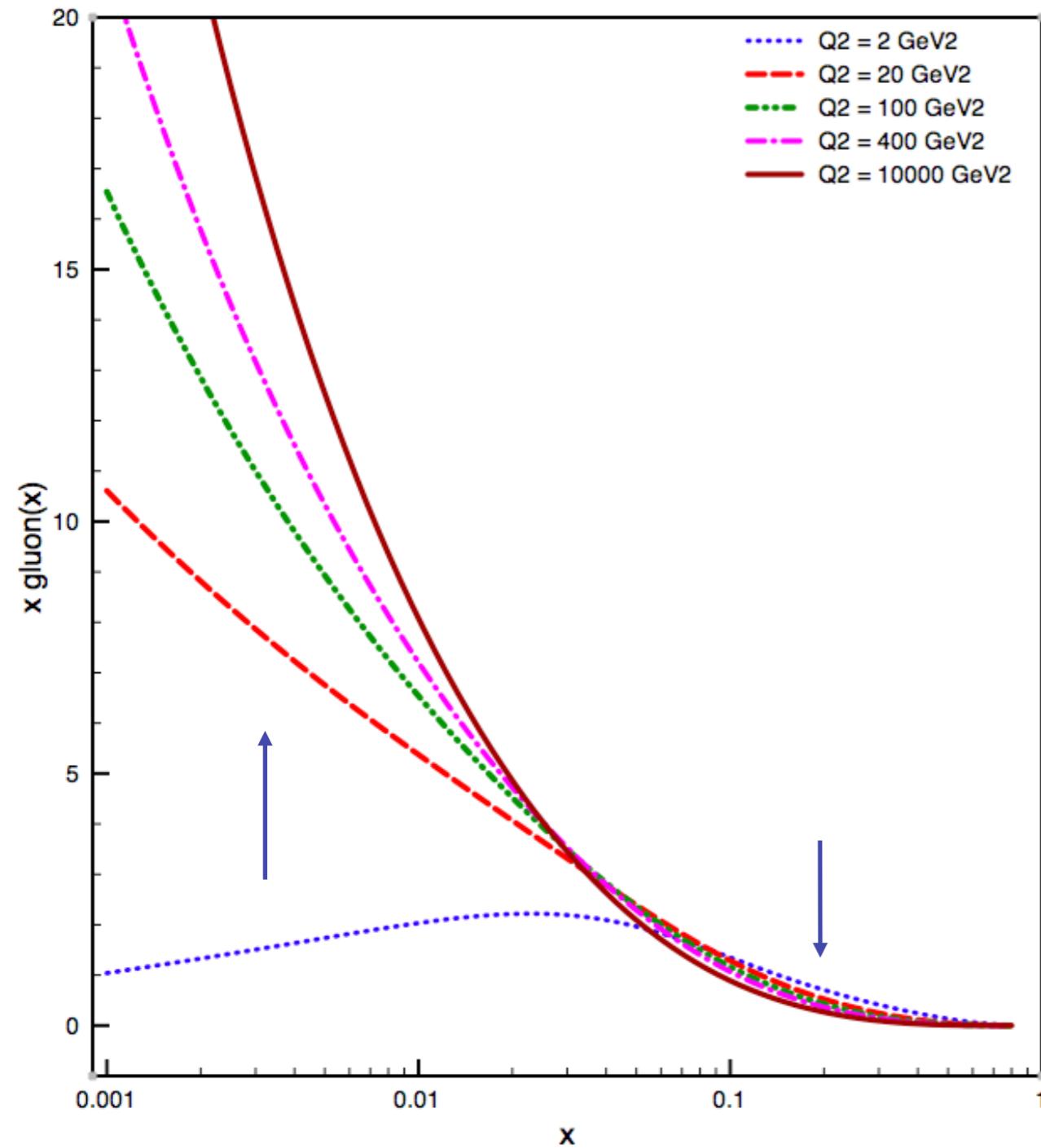
Dokshitzer, Gribov, Lipatov, Altarelli, Parisi equations

$$\mu_F \frac{\partial}{\partial \mu_F} \begin{pmatrix} \mathbf{f}_q(x, \mu_F) \\ \mathbf{f}_{\bar{q}}(x, \mu_F) \\ f_g(x, \mu_F) \end{pmatrix} = \int_x^1 \frac{dz}{z} \begin{pmatrix} P_{qq}(z, \alpha_s(\mu_F)) & P_{q\bar{q}}(z, \alpha_s(\mu_F)) & P_{qg}(z, \alpha_s(\mu_F)) \\ P_{\bar{q}q}(z, \alpha_s(\mu_F)) & P_{\bar{q}\bar{q}}(z, \alpha_s(\mu_F)) & P_{\bar{q}g}(z, \alpha_s(\mu_F)) \\ P_{gq}(z, \alpha_s(\mu_F)) & P_{g\bar{q}}(z, \alpha_s(\mu_F)) & P_{gg}(z, \alpha_s(\mu_F)) \end{pmatrix} \begin{pmatrix} \mathbf{f}_q(x/z, \mu_F) \\ \mathbf{f}_{\bar{q}}(x/z, \mu_F) \\ f_g(x/z, \mu_F) \end{pmatrix}$$



- Splitting functions known up to NNLO:
  - LO** Dokshitzer; Gribov, Lipatov; Altarelli, Parisi (1977)
  - NLO** Floratos, Ross, Sachrajda; Floratos, Lacaze, Kounnas, Gonzalez-Arroyo, Lopez, Yndurain; Curci, Furmanski, Petronzio, (1981)
  - NNLO** - Moch, Vermaseren, Vogt (2004)
  - aNNNLO** - Blumlein, Moch, Gehrmann, von Manteufel, Sotnikov, Yang, Davies, Vogt, Bonvini, Marzani, ... (2022 - ongoing)

# DGLAP evolution equations



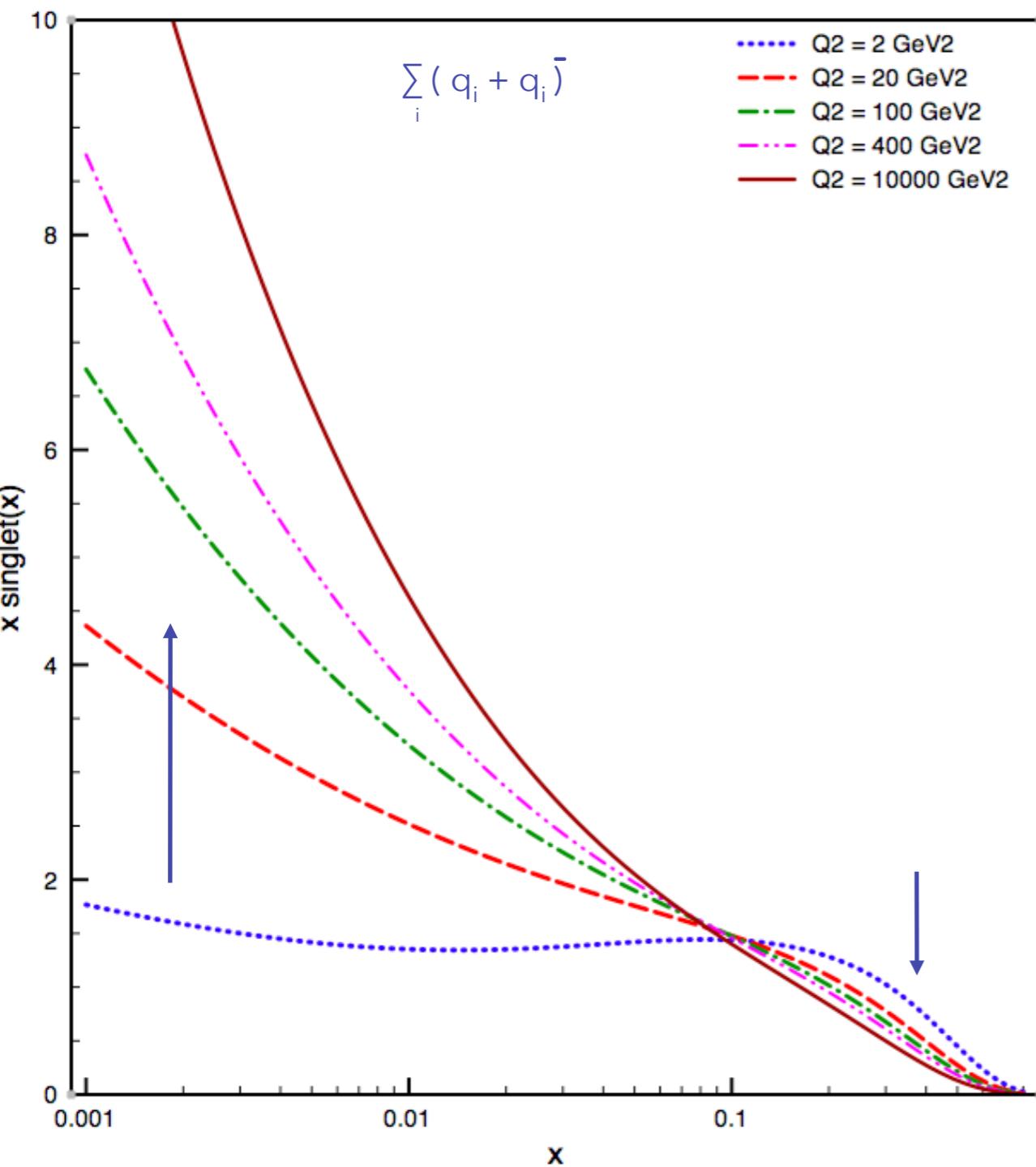
## Gluon evolution

$$g(x, \mu^2) = \Gamma_{gq} \otimes \Sigma(x, \mu_0^2) + \Gamma_{gg} \otimes g(x, \mu_0^2)$$

$$\begin{aligned} P_{gq}^{(0)}(x) &= C_F \left[ \frac{1 + (1-x)^2}{x} \right] \\ P_{gg}^{(0)}(x) &= 2N \left[ \frac{x}{(1-x)_+} + \frac{1-x}{x} + x(1-x) \right] \\ &\quad + \delta(1-x) \frac{(11N - 4n_f T_R)}{6} \end{aligned}$$

- Both  $P_{gg}$  and  $P_{gq}$  diverge for  $x \rightarrow 0$
- Gluon is depleted at large  $x$

# DGLAP evolution equations



## Singlet evolution

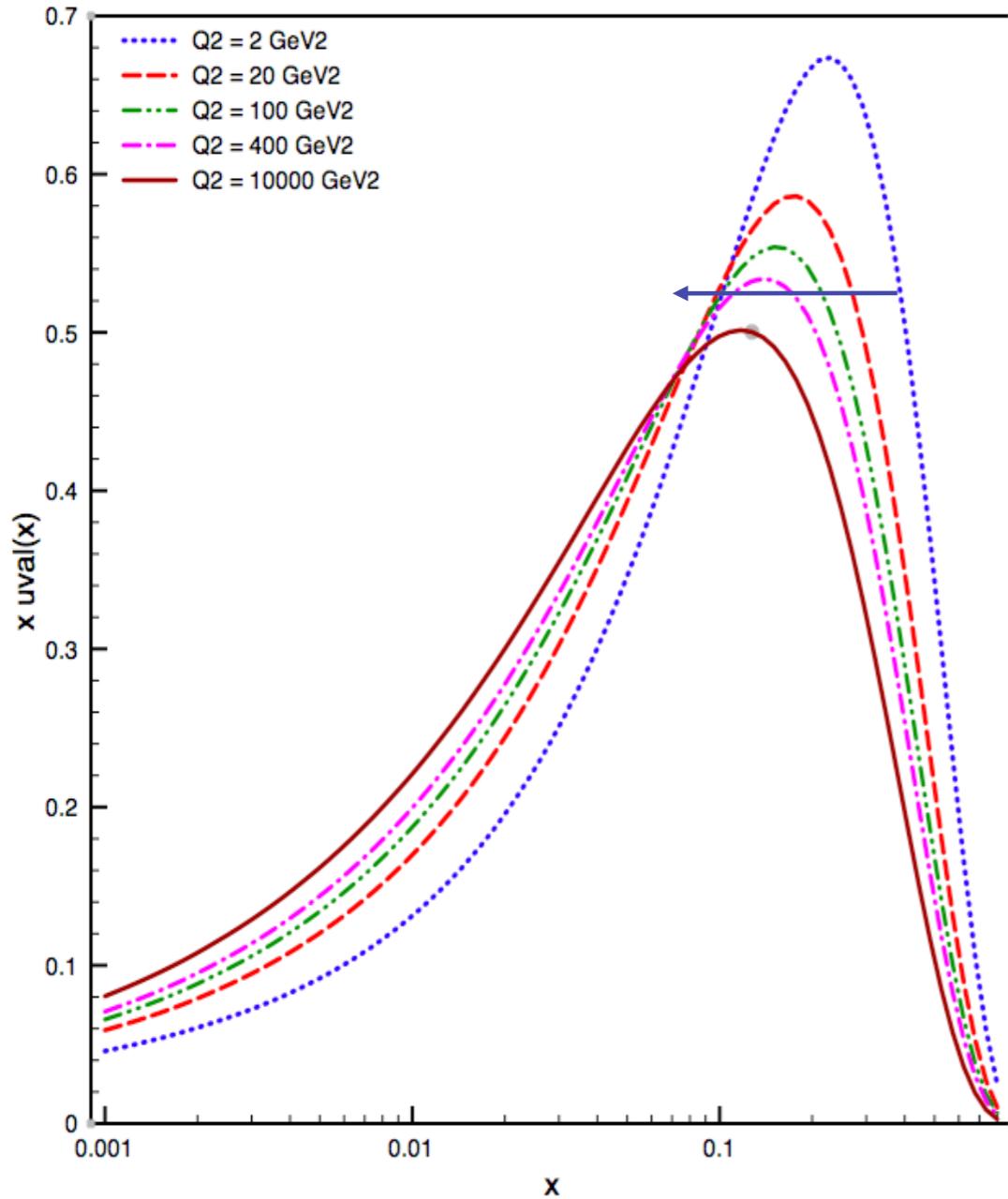
$$\Sigma(x, \mu^2) = \Gamma_{qq} \otimes \Sigma(x, \mu_0^2) + \Gamma_{qg} \otimes g(x, \mu_0^2)$$

$$P_{qq}^{(0)}(x) = C_F \left[ \frac{(1+x^2)}{(1-x)_+} + \frac{3}{2} \delta(1-x) \right]$$

$$P_{qg}^{(0)}(x) = T_R [x^2 + (1-x)^2]$$

- High-x gluon feeds growth of small-x gluon and quark
- Gluons can be seen because they help drive the quark evolution

# DGLAP evolution equations



Non-singlet valence evolution

$$u_v(x, \mu^2) = \Gamma_{NS}^v \otimes u_v(x, \mu_0^2)$$

$$P_{NS}^{(0),v} = P_{qq}^{(0)}(x) = C_F \left[ \frac{(1+x^2)}{(1-x)_+} + \frac{3}{2} \delta(1-x) \right]$$

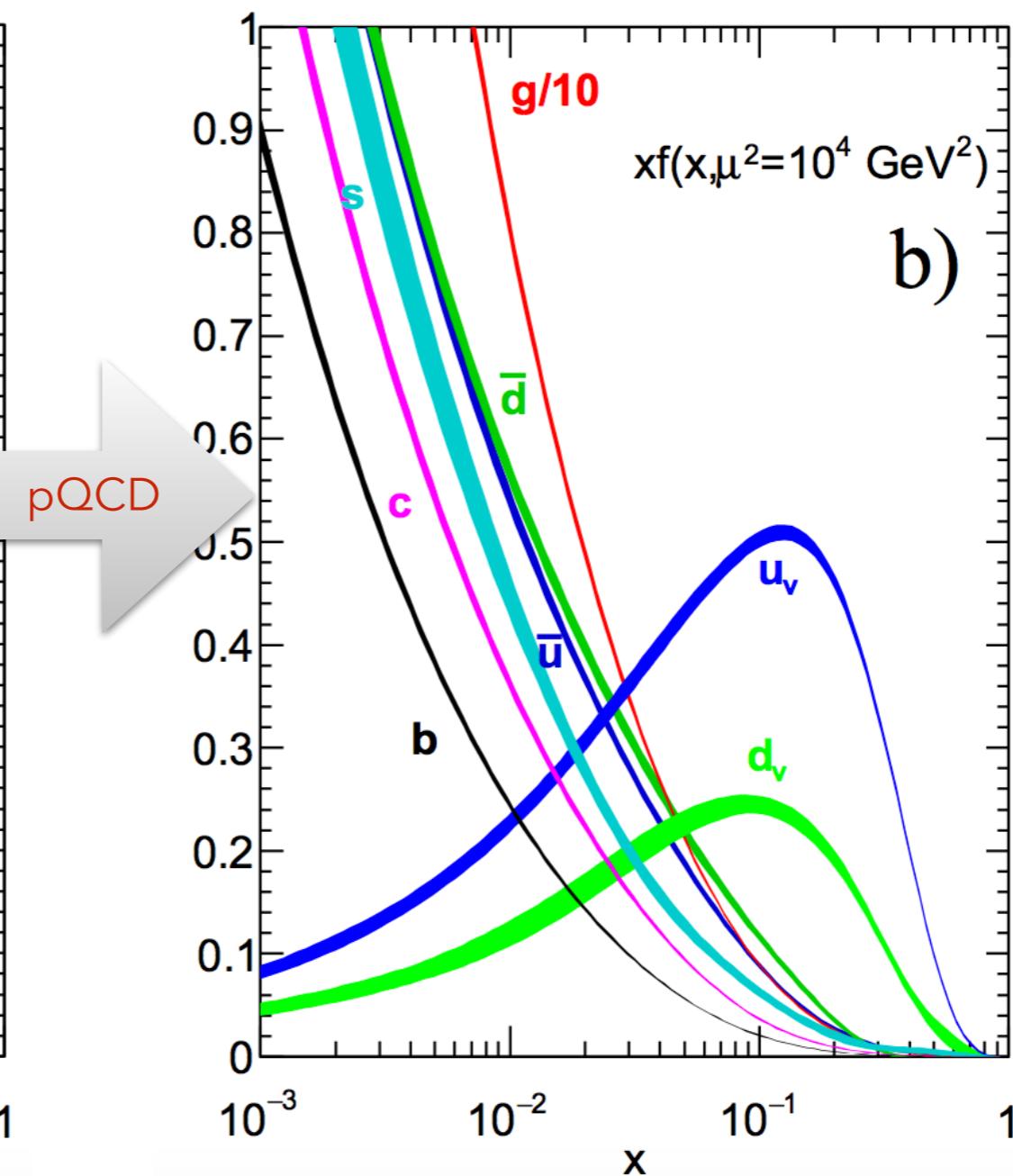
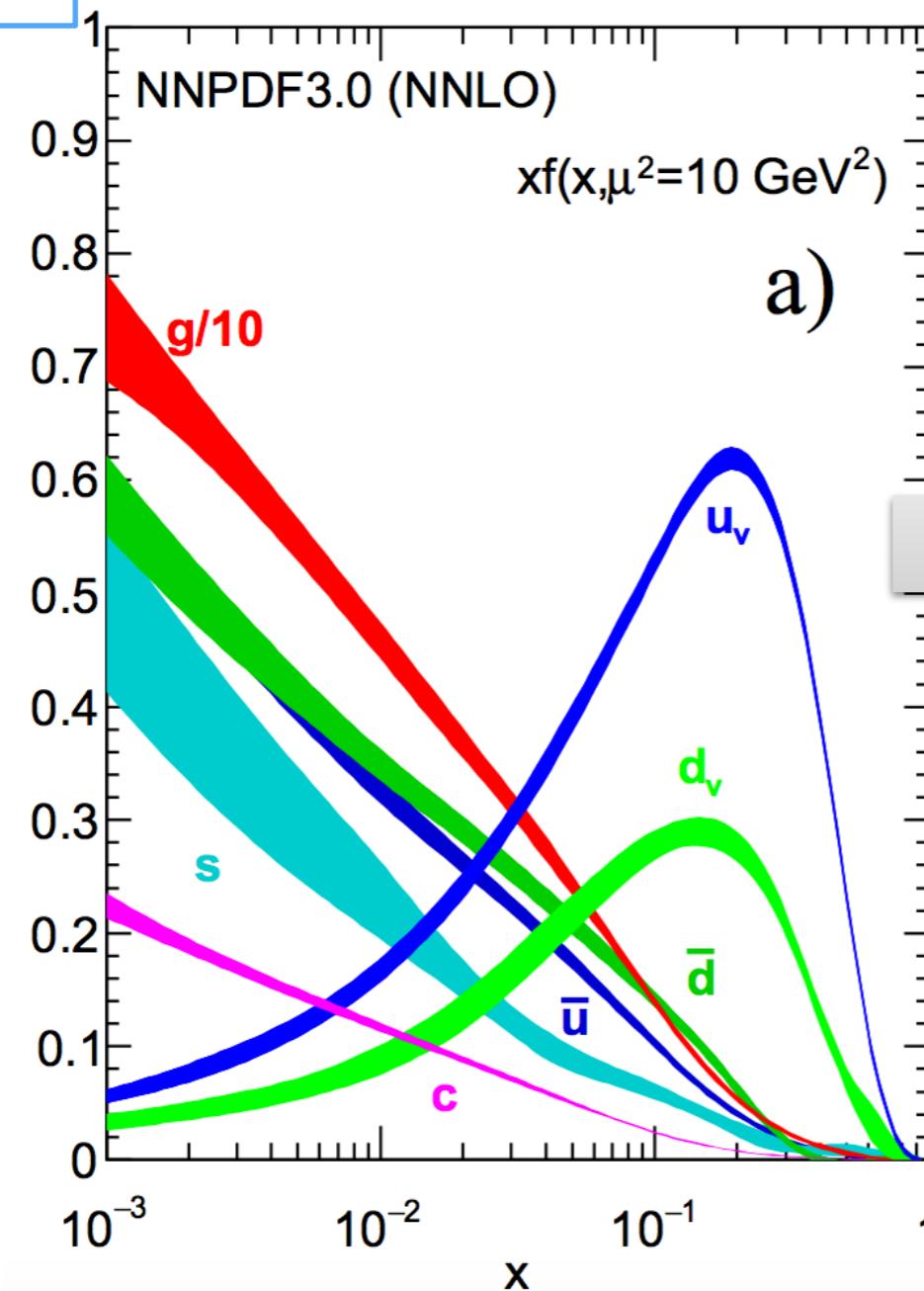
- As  $Q^2$  increases partons lose longitudinal momentum; distributions all shift to lower  $x$

- Gluons can be seen because they help drive the quark evolution

# DGLAP evolution equations

Functional dependence of PDFs on the scale is totally predicted up to NNLO accuracy by solving DGLAP evolution equations

Hadronic scale:  
global fit of PDFs



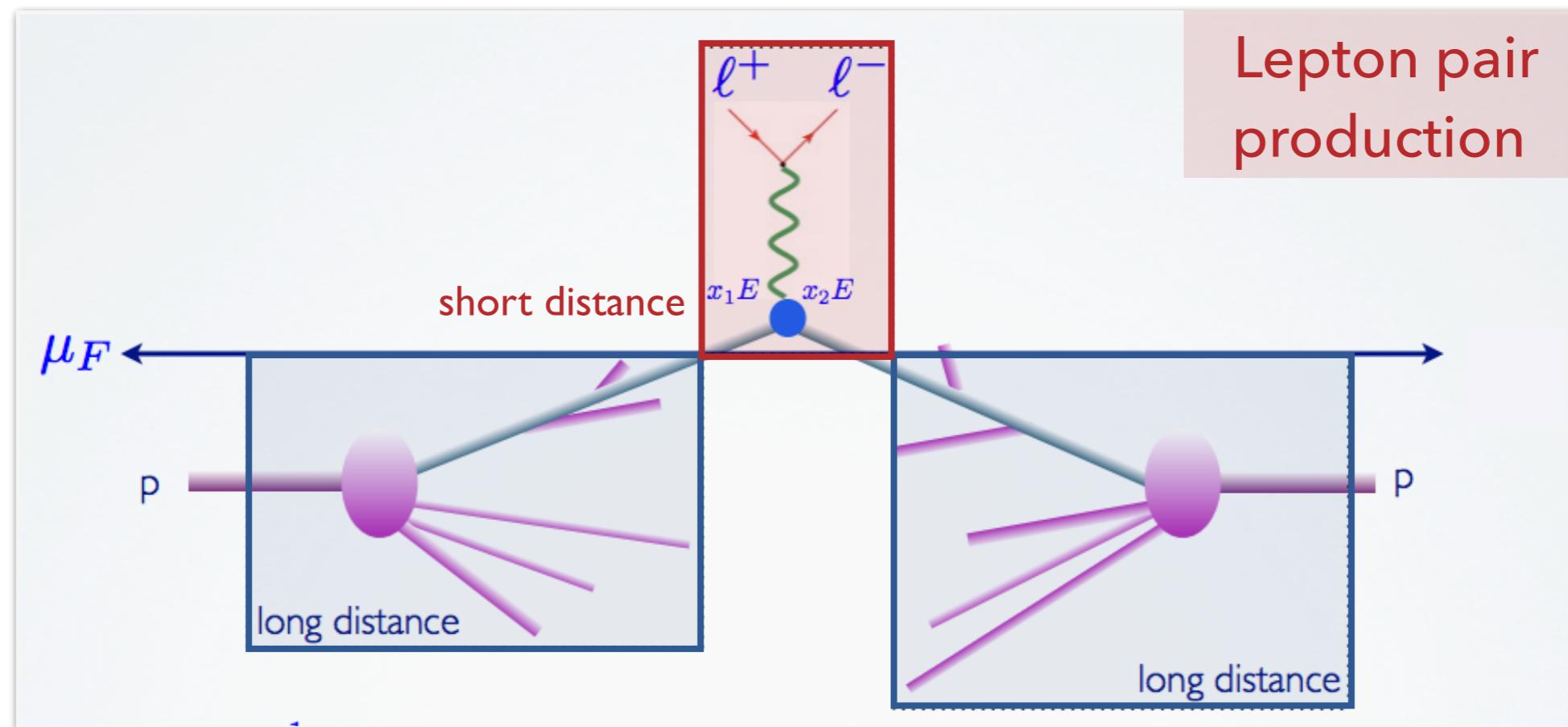
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# Collinear factorisation

# Collinear Factorisation Theorem

$$\frac{d\sigma_H^{ep \rightarrow ab}}{dX} = \sum_{i=-n_f}^{+n_f} \int_{x_B}^1 \frac{dz}{z} f_i(z, \mu_F) \frac{d\hat{\sigma}_i^{ei}}{dX}(zS, \alpha_s(\mu_R), \mu_F) + \mathcal{O}\left(\frac{\Lambda^n}{S^n}\right)$$

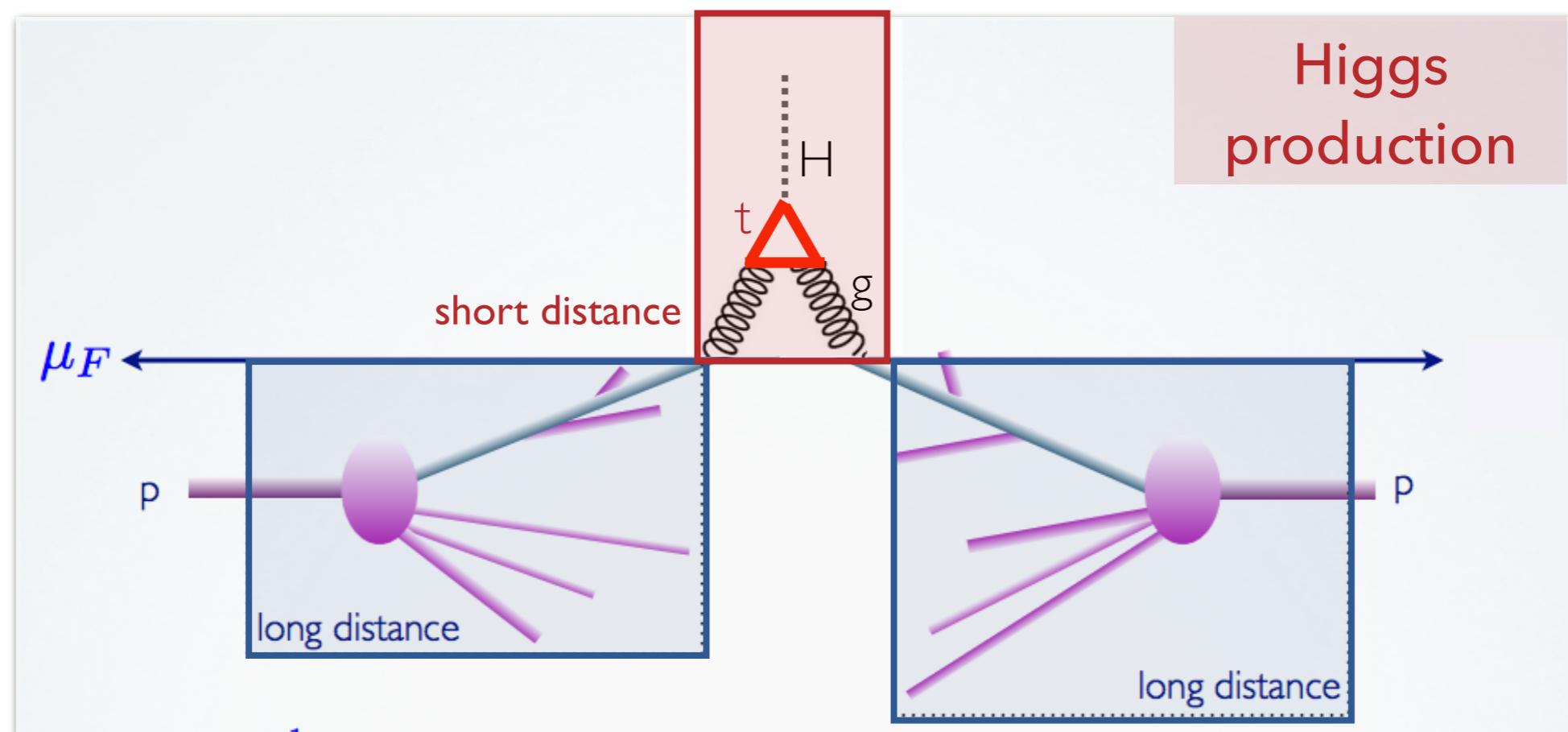
$$\frac{d\sigma_H^{pp \rightarrow ab}}{dX} = \sum_{i,j=-n_f}^{+n_f} \int_{\tau_0}^1 \frac{dz_1}{z_1} \frac{dz_2}{z_2} f_i(z_1, \mu_F) f_j(z_2, \mu_F) \frac{d\hat{\sigma}_i^{ij}}{dX}(zS, \alpha_s(\mu_R), \mu_F) + \mathcal{O}\left(\frac{\Lambda^n}{S^n}\right)$$



# Collinear Factorisation Theorem

$$\frac{d\sigma_H^{ep \rightarrow ab}}{dX} = \sum_{i=-n_f}^{+n_f} \int_{x_B}^1 \frac{dz}{z} f_i(z, \mu_F) \frac{d\hat{\sigma}_i^{ei}}{dX}(zS, \alpha_s(\mu_R), \mu_F) + \mathcal{O}\left(\frac{\Lambda^n}{S^n}\right)$$

$$\frac{d\sigma_H^{pp \rightarrow ab}}{dX} = \sum_{i,j=-n_f}^{+n_f} \int_{\tau_0}^1 \frac{dz_1}{z_1} \frac{dz_2}{z_2} f_i(z_1, \mu_F) f_j(z_2, \mu_F) \frac{d\hat{\sigma}_i^{ij}}{dX}(zS, \alpha_s(\mu_R), \mu_F) + \mathcal{O}\left(\frac{\Lambda^n}{S^n}\right)$$



# Wrap-up

- The structure of the proton has been a crucial ingredient to test and verify perturbative QCD and it is now key to the precision challenge that we are facing at the LHC
- Today's lecture
  - ✓ Parametrisation of the proton in terms of structure functions
  - ✓ Parton model picture
  - ✓ QCD - Improved parton model
  - ✓ DGLAP evolution equations
  - ✓ Collinear Factorisation Theorem

# Extra material

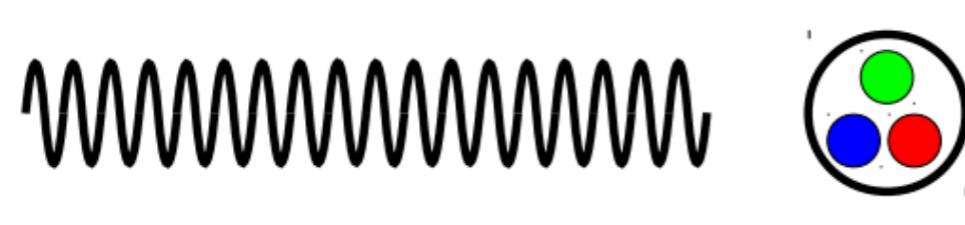
# Deep Inelastic Scattering

Slide from F Olness lectures  
CTEQ school 2017


$$d\sigma \sim \frac{4\pi\alpha^2}{Q^2} \times 1$$


$$d\sigma \sim \frac{4\pi\alpha^2}{Q^2} \times F\left(\frac{Q^2}{\Lambda^2}\right)$$

$\Lambda$  of order of the proton mass scale


$$d\sigma \sim \frac{4\pi\alpha^2}{Q^2} \times \sum_i e_i^2$$

# Exercise I: Z contribution

- Show that, in the Parton model, considering also the contribution of a virtual Z boson and its interference with the photon one obtains:

$$F_2^{\gamma, Z}(x) = x \sum_{i=1}^{n_f} c_i [q_i(x) + \bar{q}_i(x)]$$

$$F_3^{\gamma, Z}(x) = \sum_{i=1}^{n_f} d_i [q_i(x) - \bar{q}_i(x)]$$

Where

$$c_i = e_i^2 - 2e_i V_{eZ} V_{iZ} P_Z + (V_{eZ}^2 + A_{eZ}^2)(V_{iZ}^2 + A_{iZ}^2) P_Z^2$$

$$d_i = -2e_i A_{eZ} A_{iZ} P_Z + 4V_{eZ} A_{eZ} V_{iZ} A_{iZ} P_Z^2$$

$$P_Z = \frac{Q^2}{(Q^2 + M_Z^2)(4s_w^2 c_w^2)}$$



$$c_w = \cos \theta_w$$

$$s_w = \sin \theta_w$$

# Solution I

I

In the lectures we considered

$$\frac{1}{2} \sum_{\text{pol}} | \gamma^* \gamma |^2 = \frac{e^2}{2} \text{Tr} [\hat{P} \gamma^\mu \hat{P}' \gamma^\nu]$$

Add to hadronic tensor

$$\frac{1}{2} \sum_{\text{pol}} | \gamma^* \gamma |^2 = \frac{e^2 k_z^2}{2} \text{Tr} [\hat{P} \gamma^\mu (N_{iz} + \gamma^s Q_{iz}) \hat{P}' \gamma^\nu (V_{iz} + \gamma_s Q_{iz})]$$

$$= \frac{e^2}{8 S_W^2 C_W^2} \left[ (N_{iz}^2 + Q_{iz}^2) \text{Tr} [\hat{P} \gamma^\mu \hat{P}' \gamma^\nu] + 2 V_{iz} N_{iz} \text{Tr} [\hat{P} \gamma^\mu \hat{P}' \gamma^\nu \gamma_5] \right]$$

$\downarrow$                              $\downarrow$   
 $4[\hat{P}^\mu \hat{P}'^\nu + \hat{P}^\nu \hat{P}'^\mu - \hat{Q}^{\mu\nu} \hat{P} \cdot \hat{P}']$        $4i \epsilon^{\mu\nu\rho\sigma} \hat{P}_\rho \hat{P}'_\sigma$

Similar contribution in leptonic tensor

$$N_{iz} \rightarrow N_{ez}$$

$$Q_{iz} \rightarrow Q_{ez}$$

$$\text{and propagator } \frac{1}{(Q^2 + M_Z^2)^2} \cdot \frac{1}{Q^4}$$

to when contracted with anti-sym tensor

$$\gamma_{z^*} \gamma_{z^*}$$

have different signs in front of anti-sym. part

# Solution I

$$\begin{aligned}
 & \frac{1}{2} \sum_i \left( \begin{array}{c} \gamma^* \\ \rightarrow \end{array} \right)^* \left( \begin{array}{c} Z^* \\ \rightarrow \end{array} \right) \\
 & = -\frac{1}{2} e^2 k_F k_Z \text{Tr} [\hat{\rho} \gamma^\mu (\Sigma_{iz} + Q_{iz} \gamma^5) \hat{\rho}' \gamma^\mu] \\
 & \approx -\frac{e^2 e_J}{4 S_W C_W} \left[ \Sigma_{iz} \text{Tr} [\hat{\rho} \gamma^\mu \hat{\rho}' \gamma^\mu] + Q_{iz} \text{Tr} [\hat{\rho} \gamma^\mu \hat{\rho}' \gamma^\mu \gamma^5] \right]
 \end{aligned}$$

Similar contribution in  
leptonic tensor

$$\Sigma_{iz} \rightarrow N_{ez}$$

$$Q_{iz} \rightarrow \alpha_{ez}$$

and propagator

$$\frac{1}{(Q^2 + M_Z^2)} \frac{Q^2}{Q^4} \frac{1}{Q^4} P_Z$$

## Exercise II: Paschos-Wolfenstein relation

- Show that, in the Parton model, considering a (anti)neutrino-initiated DIS process on a deuteron target – assuming SU(2) isospin symmetry  $u_n(x)=d_p(x)$  and  $d_n(x) = u_p(x)$  – the ratio R

$$R = \frac{\sigma_{NC}(\nu) - \sigma_{NC}(\bar{\nu})}{\sigma_{CC}(\nu) - \sigma_{CC}(\bar{\nu})}$$

NC (mediated by Z) and CC (mediated by  $W^{+/-}$ ), assuming strange and anti-strange to be equal in the target, is independent of Parton Distribution Functions and can be used to determine the Weinberg angle  $\theta_W$

$$R = \frac{1}{2} \left( \frac{1}{2} - \sin \theta_w^2 \right)$$

You may use (without deriving it) the result (and set  $c, c\bar{c} = 0$ )

$$F_2^{W^-} = 2x(u + \bar{d} + \bar{s} + c),$$

$$F_3^{W^-} = 2x(u - \bar{d} - \bar{s} + c),$$

$$F_2^{W^+} = 2x(d + \bar{u} + \bar{c} + s),$$

$$F_3^{W^+} = 2x(d - \bar{u} - \bar{c} + s),$$

# Exercise II: Paschos-Wolfenstein relation



TESTS FOR NEUTRAL CURRENTS IN NEUTRINO REACTIONS



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July 1972

## ABSTRACT

Neutral currents predicted by weak interaction models of the type discussed by Weinberg may be detected in neutrino reactions. Limits on the ratio  $R$  of  $\sigma(\nu + N \rightarrow \nu + x)$  to  $\sigma(\nu + N \rightarrow \mu^- + x)$  are obtained independent of any dynamical assumption. For the total cross-section for high energy neutrinos, we find  $R \geq 0.18$ , provided the Weinberg mixing angle satisfies  $\sin^2 \theta_w \leq 0.33$ . For the production of a single  $\pi^0$  we find  $R' \geq 0.50$  contrasted with the experimental result  $R' \leq 0.14$  using only the assumption of (3, 3) resonance dominance. Applications are also given to anti-neutrino reactions.

# Solution II

Diagram II:

$$L_{\mu\nu}(U) = \bar{U}'(k) \frac{-i\gamma}{4C_W} \gamma^\mu (1 - \gamma_5) U(k)$$

$$L_{\mu\nu}(U) = \frac{1}{8C_W^2} \left( \text{Tr} [k \gamma^\mu k' \gamma^\mu] - \text{Tr} [\bar{k} \gamma^\mu \bar{k}' \gamma^\mu \gamma_5] \right)$$
  

Diagram III:

$$L_{\mu\nu}(\bar{U}) = \bar{U}'(k) \frac{-i\gamma}{4C_W} \gamma^\mu (1 - \gamma_5) \bar{U}(k')$$

$$L_{\mu\nu}(\bar{U}) = \frac{1}{8C_W^2} \left( \text{Tr} [k' \gamma^\mu k \gamma^\mu] - \text{Tr} [k' \gamma^\mu k \gamma^\mu \gamma_5] \right)$$

Because

$$d\sigma = \frac{1}{2s} \frac{q_{\mu\nu}^2 q_{\nu\rho}^2}{(Q^2 + M_V^2)^2} L_{\mu\nu} W^{\mu\rho} (u\pi) \frac{d^3 k'}{(2\pi)^3 2E'}$$

$\hookrightarrow M_V^4$  for  $Q^2 \ll M_V^2$

$$d\sigma(U) - d\sigma(\bar{U}) \propto \underbrace{[U L_{\mu\nu}(\bar{U})]}_{\downarrow} \propto \frac{q_{\mu\nu}^2 q_{\nu\rho}^2}{M_V^4} W^{\mu\rho} (u\pi) \frac{d^3 k'}{(2\pi)^3 2E'}$$

Only non-zero contribution when contracted with antisymmetric  $W_{\mu\nu}$

# Solution II

$$[L_{\mu\nu}(U) - L_{\mu\nu}(\bar{U})] W^{\mu\nu} = - \frac{1}{2(\tilde{p} \cdot q) c_w^2} K_\alpha u'_\mu \epsilon^{\alpha\beta\mu\nu} \epsilon_{\rho\sigma\mu\nu} \tilde{p}^\rho q^\sigma F_3(x)$$

$$= \frac{ME}{c_w^2} (2-y) \times F_3(x)$$

$$\Rightarrow \frac{d^2\sigma}{dx dy} = \frac{G_F^2 c_w^2 M_n}{2\pi^2} E_\nu \times y (2-y) F_3^{nc}(x)$$

$$\int dy dx .. = \frac{G_F^2 c_w^2}{3\pi^2} E_\nu M_n \int dx \times F_3(x)$$

with  $F_3(x) \propto \frac{1}{2} \left( \frac{1}{2} - \frac{4}{3} S_w^2 \right) (U - \bar{U} + C - \bar{C}) + \frac{1}{2} \left( \frac{2}{3} S_w^2 - \frac{1}{2} \right) (D - \bar{D} + S - \bar{S})$

using  $U^P = D^N$      $\bar{U}^P = \bar{D}^N$      $\Rightarrow$      $U^P + D^P = U^N + D^N$      $\Rightarrow$      $U = D$   
 $\bar{U} = \bar{D}$

$$S = \bar{S}$$

$$C = \bar{C} \approx 0$$

$$\rightarrow F_3^{nc}(x) \propto \left( \frac{1}{2} - S_w^2 \right) [U(x) - \bar{U}(x)]$$

# Solution II

Charged current

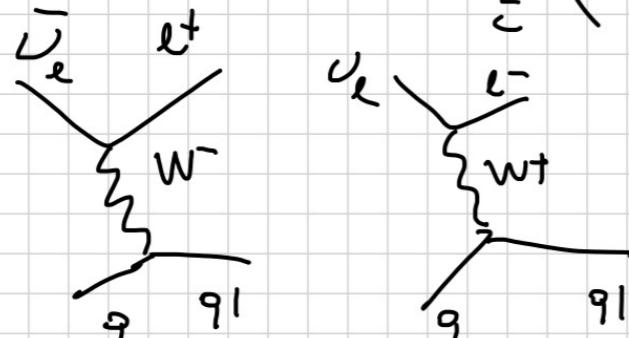
$$\hat{p} \rightarrow \hat{p}' \quad \bar{U}_k(p') \left[ -\frac{i g}{2\sqrt{2}} \gamma^M (1 - \gamma^5) \quad U_{kj} \right] U_j(p)$$



Clem Matrix (consider only  $e$  flavor)

$$U_{kj} = \begin{pmatrix} d & s \\ \bar{d} & \bar{s} \\ u & c \\ \bar{u} & \bar{c} \end{pmatrix} = \begin{pmatrix} 1 + \theta_c^z & \theta_c \\ -\theta_c & 1 + \theta_c^z \end{pmatrix}$$

expand in  $\theta_c$  and keep only  $O(1)$



$$\Rightarrow F_3^{W^+} = 2x(d - \bar{u} + s - \bar{s})$$

$$\bar{F}_3^{W^-} = 2x(u - \bar{d} - \bar{s} + c)$$

Analogously to NC

$$\sigma_{cc}(U) - \sigma_{cc}(\bar{U}) = \frac{2G_F^2}{3\pi^2} E_\nu M_N \int_0^1 x \bar{F}_3^{cc}(x) dx$$

$$F_3^{cc}(x) = \frac{1}{2} (u + \bar{d} + s + \bar{s} - \bar{u} - \bar{d} - \bar{s} - \bar{c}) \rightarrow (U - \bar{U})$$

same hypothesis

$$= \frac{2G_F^2}{3\pi^2} E_\nu M_N \int dx (U(x) - \bar{U}(x))$$

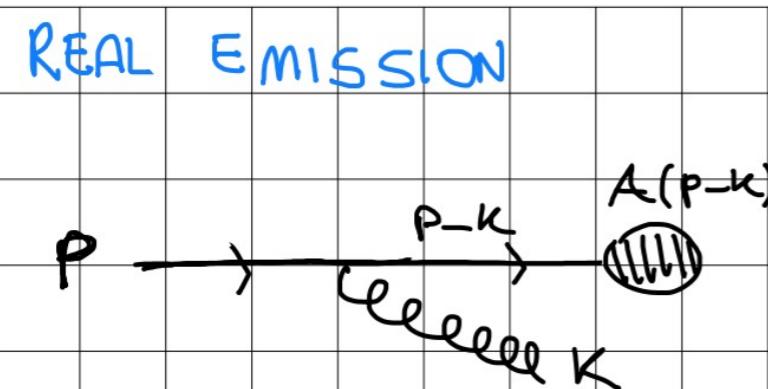
# Solution II

taking ratio

$$R = \frac{\frac{1}{2} (1 - 2 \delta^2 \omega) \int_0^1 dx [u(x) - \bar{u}(x)] dx}{2 \int_0^1 dx [u(x) - \bar{u}(x)]}$$

$$= \frac{1}{2} \left( \frac{1}{2} - \sin^2 \theta \omega \right)$$

# QCD and improved parton model



Sudakov parametrization

$$k = (1-z)P + k_T + \xi \eta$$

where  $\eta$  such that  $P \cdot k_T = 0$

$$\eta \cdot k_T = 0$$

$$\eta^2 = 0$$

$$2P \cdot \eta = 1$$

For example

$$P = P^0(1, 0, 0, 1)$$

$$\eta = \frac{1}{4P^0} (1, 0, 0, -1)$$

$$k_T = (0, k_T^1, k_T^2, 0)$$

From  $\eta^2 = 0$  (on-shell condition)

$$\Rightarrow k_T^2 + 2P \cdot \eta \xi (1-z) = 0 \quad \Rightarrow \xi = -\frac{k_T^2}{1-z}$$

From  $(P - \eta)^2 < 0$

$$\Rightarrow z < 1$$

$$\text{From } k_3 = 0 \Rightarrow |k_T^1| < 4P^2(1-z)$$

**Detailed version 1/7**

# QCD and improved parton model

$$\frac{d^3 n}{(2\pi)^3 2k^0} = \frac{1}{16\pi^2} \frac{dk_T^2 dz}{(1-z)} \quad (\text{integrating over } d\varphi)$$

Singular part of amplitudine:

$$M_{q,sing}^{(1)}(p, u) = g_s A_i(p-u) \frac{(p-k)}{(p-u)^2} \not{e}(u) \left( \epsilon_{ij}^A \right) U_j(p)$$

$\rightarrow z \not{p} - k_T - \not{\epsilon} \not{e} \xrightarrow{\text{cut}}$

$\rightarrow \frac{1-z}{k_T^2} \xrightarrow{\text{SU(3) per fund. representation}}$

$$= g_s \frac{(1-z)}{k_T^2} A_i(p-u) (z \not{p} - k_T) \not{e}(u) \epsilon_{ij}^A U_j(p)$$

$$\text{But } \not{p} U(p) = 0 \Rightarrow (1-z) \not{p} \not{e}(u) U(p) = -2 \epsilon_\mu(u) k_T^\mu U(p)$$

$\not{e}_\mu(u) = 0$

$$\Rightarrow M_{q,sing}^{(1)}(p, u) = - \frac{g_s}{k_T^2} A_i(p-u) [2z k_T \epsilon(u) + (1-z) k_T \not{e}(u)] \epsilon_{ij}^A U_j(p)$$

# QCD and improved parton model

$$|M_{q\bar{q}\gamma}^{(1)}|^2 = - \frac{2 q_s^2 C_F}{\kappa_T^2} \frac{(1+z)^2}{z} |M_q^{(0)}(z_P)|^2$$

where we have used

$$\sum \epsilon_\mu(u) \epsilon_\nu^*(u) = -g_{\mu\nu}^T = -g_{\mu\nu} + \frac{u_\mu u_\nu + v_\mu v_\nu}{u \cdot v}$$

$$t_{ij}^A t_{jk}^A = \delta_{ik} C_F$$

$$C_F = \frac{N_c^2 - 1}{2N_c} = \frac{4}{3}$$

$$\Rightarrow \hat{\sigma}_q^{(1)}(p) = \frac{1}{16\pi^2} \int_0^1 \frac{dz}{1-z} \int_0^{\kappa_T^2 \text{max}} d|\kappa_T^2| \frac{1}{p \cdot p'} |M_q^{(1)}(p, u)|^2$$

$$d\sigma = \frac{p_T^1}{4\pi} = \frac{q_S}{2\pi} C_F \int_0^1 \frac{dz}{1-z} \int_0^{\kappa_T^2 \text{max}} d|\kappa_T^2| \frac{1+z^2}{|\kappa_T^2|} \frac{1}{z(p \cdot p')} |M_q^{(0)}(z_P)|^2 + \text{regular terms}$$

$$= \frac{q_S}{2\pi} C_F \int_0^1 \frac{dz}{1-z} \int_0^{\kappa_T^2 \text{max}} d|\kappa_T^2| \frac{1+z^2}{|\kappa_T^2|} \hat{\sigma}_q^{(0)}(z_P)$$

Divergences!

SOFT

$z \rightarrow 1$

Regulator  $\epsilon \rightarrow 0$

COLLINEAR

$|\kappa_T^2| \rightarrow 0$

Regulator  $\lambda \rightarrow 0$

# QCD and improved parton model

$$\hat{\sigma}_q^{(1)}(p) = \frac{ds}{2\pi} C_F \int_0^{1-\epsilon} \frac{dz}{1-z} \int_{z^2}^{|k_T^2|_{\max}} \frac{d|k_T^2|}{|k_T^2|} (1+z^2) \hat{\sigma}_q^{(0)}(zp)$$

Adding virtual corrections

$$- \hat{\sigma}_q^{(0)}(p) \frac{ds}{2\pi} C_F \int_0^{1-\epsilon} \frac{dz}{1-z} \int_{z^2}^{|k_T^2|_{\max}} \frac{d|k_T^2|}{|k_T^2|} (1+\epsilon)$$

~~epsilon~~

the soft singularity cancels  
 $\Rightarrow \epsilon \rightarrow 0$

$$\hat{\sigma}_q^{(1)}(p) = \frac{ds}{2\pi} C_F \int_0^1 \frac{dz}{1-z} \int_{z^2}^{|k_T^2|_{\max}} \frac{d|k_T^2|}{|k_T^2|} (1+z^2) [\hat{\sigma}_q^{(0)}(zp) - \hat{\sigma}_q^{(0)}(p)]$$

Still left with COLLINEAR divergence!

Introduce  $(\mu_F)$  to split integration

$$\int_{z^2}^{|k_T^2|_{\max}} \frac{d|k_T^2|}{|k_T^2|} \rightarrow \int_{z^2}^{\mu_F^2} \frac{d|k_T^2|}{|k_T^2|} + \int_{\mu_F^2}^{|k_T^2|_{\max}} \frac{d|k_T^2|}{|k_T^2|}$$

Singular    finite

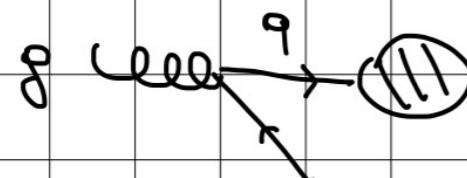
# QCD and improved parton model

$$\Rightarrow \hat{\sigma}_q(p) = \hat{\sigma}_q^{(0)}(p) + \hat{\sigma}_q^{(1)}(p)$$

universal function  $P(q \rightarrow q)$

$$= \hat{\sigma}_q^{(0)}(p) + \frac{\alpha_s}{2\pi} \int_0^1 dz P_{qq}(z) \hat{\sigma}_q^{(0)}(zp) \log \frac{\mu_F^2}{z^2} + \hat{\sigma}_{q,\text{reg}}^{(1)}(p, \mu_F^2)$$

Of course quark can come from gluon



Doing the whole calculation, we get

$$\hat{\sigma}_g(p) = \hat{\sigma}_g^{(1)}(p)$$

$$= \frac{\alpha_s}{2\pi} \int_0^1 dz P_{gg}(z) \hat{\sigma}_q^{(0)}(zp) \log \frac{\mu_F^2}{z^2} + \hat{\sigma}_{g,\text{reg}}^{(1)}(p, \mu_F^2)$$

In the parton model formula

$$\sigma(p) = \int_0^1 dy [f_q(y) \hat{\sigma}_q(y p) + f_g(y) \hat{\sigma}_g(y p)]$$

# QCD and improved parton model

$$\begin{aligned}
 \sigma(p) = & \int_0^1 dy [f_q(y) \hat{\sigma}_q^{(0)}(y_p)] \\
 & + \frac{\alpha_s}{2\pi} \int_0^1 dy f_q(y) \int_0^1 dz \hat{\sigma}_q^{(0)}(yz_p) P_{qq}(z) \log \frac{\mu_F^2}{z^2} \\
 & + \frac{\alpha_s}{2\pi} \int_0^1 dy f_F(y) \int_0^1 dz \hat{\sigma}_F^{(0)}(yz_p) P_{qF}(z) \log \frac{\mu_F^2}{z^2} \\
 & + \int_0^1 dy f_q(y) \hat{\sigma}_{q,\text{reg}}^{(1)}(y_p, \mu_F^2) + \int_0^1 dy f_F(y) \hat{\sigma}_{F,\text{reg}}^{(1)}(y_p, \mu_F^2)
 \end{aligned}$$

↓ terms  $\propto \log \frac{\mu_F^2}{x^2}$  can be reabsorbed into redefinition of  $f_q$

$x = yz$

$$f_q(x, \mu_F^2) = \int_x^1 \frac{dy}{y} \left\{ f_q(y) \left[ \delta\left(1 - \frac{x}{y}\right) + \frac{\alpha_s}{2\pi} P_{qq}\left(\frac{x}{y}\right) \log \frac{\mu_F^2}{y^2} \right] \right. \\
 \left. + f_F(y) \left[ \frac{\alpha_s}{2\pi} P_{qF}\left(\frac{x}{y}\right) \log \frac{\mu_F^2}{y^2} \right] \right\}$$

# QCD and improved parton model

So that

$$\bar{\sigma}(p) = \int_0^1 dx f_q(x, \mu_F^2) \hat{\sigma}_q(xp, \mu_F^2) + f_p(x) \hat{\sigma}_p(xp, \mu_F^2)$$



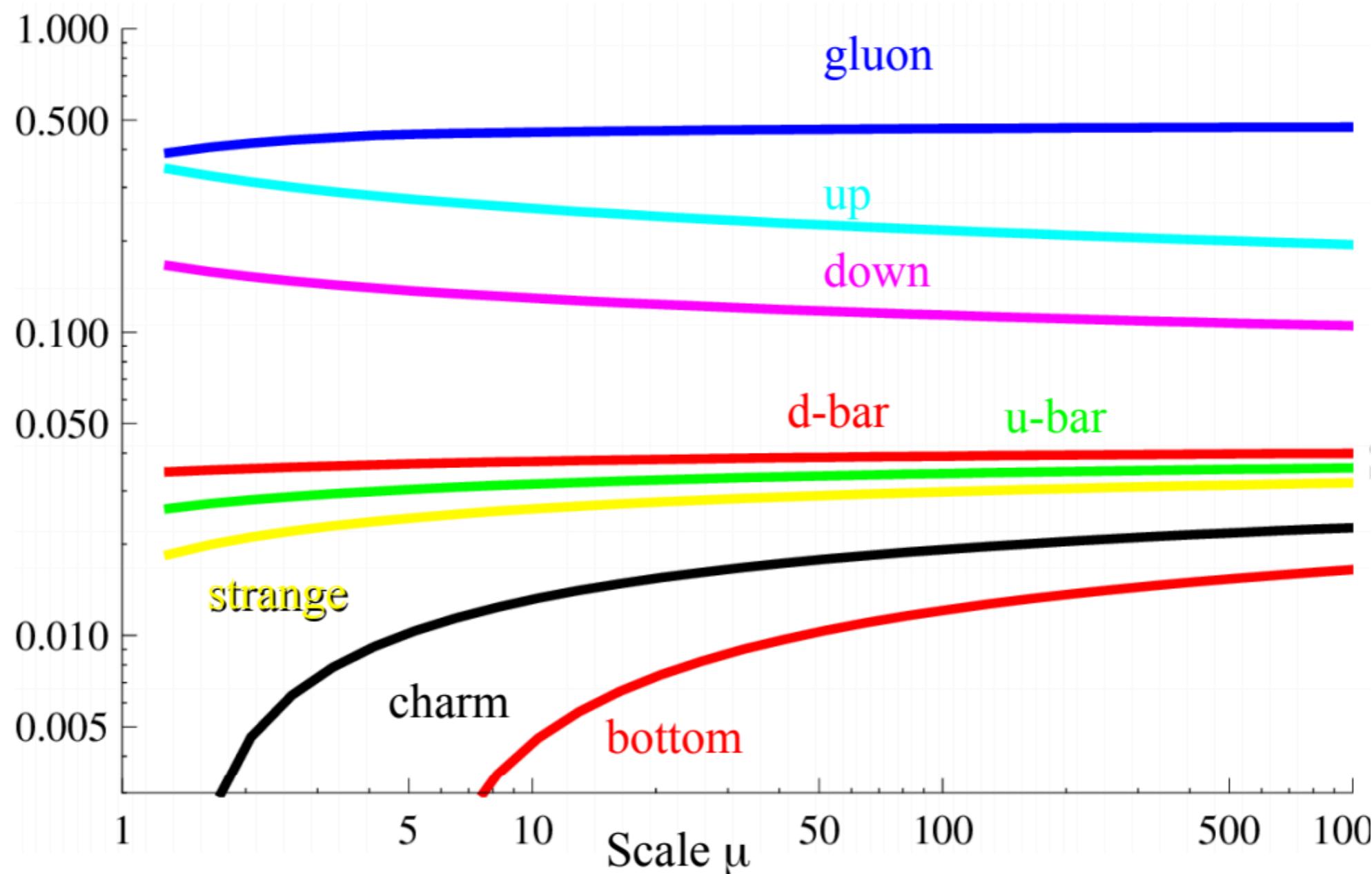
both depend on arbitrary  
FACILITATION scale

Note that however the dependence of  $f_{q,p}(x, \mu_F^2)$   
is totally ~~not~~ fixed by perturbation theory

$$\begin{aligned} \mu^2 \frac{\partial f_q(x, \mu^2)}{\partial \mu^2} &= \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} \left[ P_{qq} \left( \frac{x}{y} \right) f_q(y, \mu^2) \right. \\ &\quad \left. + P_{qf} \left( \frac{x}{y} \right) f_p(y, \mu^2) \right] \end{aligned}$$

# DGLAP evolution equations

[F. Olness, CTEQ school 2017](#)



# The Parton Model

$$\begin{aligned}
 &= e_i^2 \int d^4 \hat{p}' \delta(\hat{p}'^2) \delta^{(4)}(\hat{p} + q - \hat{p}') (\hat{p}_\mu \hat{p}'_\nu + \hat{p}_\nu \hat{p}'_\mu - g_{\mu\nu} \hat{p} \cdot \hat{p}') \\
 &= e_i^2 \delta((\hat{p} + q)^2) [\hat{p}_\mu (\hat{p} + q)_\nu + \hat{p}_\nu (\hat{p} + q)_\mu - g_{\mu\nu} \hat{p} \cdot (\hat{p} + q)] \\
 &= e_i^2 \delta(2\hat{p} \cdot q + q^2) [2\hat{p}_\mu \hat{p}_\nu - 2 \frac{\hat{p} \cdot q}{q^2} (\hat{p}_\mu q_\nu + \hat{p}_\nu q_\mu) + 2 \left( \frac{\hat{p} \cdot q}{q^2} \right)^2 q_\mu q_\nu - \\
 &\quad - 2 \left( \frac{\hat{p} \cdot q}{q^2} \right)^2 q_\mu q_\nu - g_{\mu\nu} \hat{p} \cdot q] \\
 &= \frac{e_i^2}{2\hat{p} \cdot q} \delta\left(\frac{2\hat{p} \cdot q}{2\hat{p} \cdot q} - \frac{Q^2}{2\hat{p} \cdot q}\right) \left[ 2 \left( \hat{p}_\mu - \frac{\hat{p} \cdot q}{q^2} q_\mu \right) \left( \hat{p}_\nu - \frac{\hat{p} \cdot q}{q^2} q_\nu \right) + (\hat{p} \cdot q) \left( -g_{\mu\nu} + \frac{q_\mu q_\nu}{q^2} \right) \right] \\
 &= e^2 \delta(1 - \hat{x}) \left[ \frac{1}{2} \left( -g_{\mu\nu} + \frac{q_\mu q_\nu}{q^2} \right) + \frac{1}{(\hat{p} \cdot q)} \left( \hat{p}_\mu - \frac{\hat{p} \cdot q}{q^2} q_\mu \right) \left( \hat{p}_\nu - \frac{\hat{p} \cdot q}{q^2} q_\nu \right) \right] \\
 &\text{With } \hat{x} = \frac{Q^2}{2\hat{p} \cdot q}
 \end{aligned}$$

# The Parton Model

Applying  ~~$\star$~~  to DIS cross section we get

$$\frac{4\pi q^2 L_{\mu\nu} W^{\mu\nu}(p, q)}{Q^4} \frac{y^2}{2Q^2} dQ^2 dx = \frac{4\pi q^2 L_{\mu\nu}}{Q^4} \frac{y^2}{2Q^2} \sum_i \int_0^1 dz f_i(z) \hat{W}_{\mu\nu}^{ip}(zp, q) dx$$

Keeping into account that  $\hat{x} = x_0/z$ , we get

$$W_{\mu\nu}(p, q) = \sum_{i \in \text{partons}} \int_0^1 dz f_i(z) \frac{1}{z} \hat{W}_{\mu\nu}^{i\cdot}(zp, q)$$

$$\text{Assume } (zp + q)^2 > 0 \Rightarrow z > x_B$$

$$\Rightarrow W_{\mu\nu}(p, q) = \sum_i \int_{x_B}^1 \frac{dz}{z} e_i^2 f_i \left[ \frac{1}{2} \left( -q_{\mu\nu} + \frac{q_\mu q_\nu}{q^2} \right) + \frac{z}{p \cdot q} \left( p_\mu - \frac{p \cdot q}{q^2} q_\mu \right) \left( p_\nu - \frac{p \cdot q}{q^2} q_\nu \right) \right] dz \delta(z - x_B)$$

$$\Rightarrow W_{\mu\nu}(p, q) = \sum_i e_i^2 f_i(x) \left[ \frac{1}{2} \left( -q_{\mu\nu} + \frac{q_\mu q_\nu}{q^2} \right) + \frac{x}{p \cdot q} \left( p_\mu - \frac{p \cdot q}{q^2} q_\mu \right) \left( p_\nu - \frac{p \cdot q}{q^2} q_\nu \right) \right]$$

# The Parton Model

Compared to general formula we get

$$F_1(x, Q^2) = \frac{1}{2} \sum_i e_i^2 f_i(x)$$

$$F_2(x, Q^2) = \sum_i e_i^2 \times f_i(x)$$

Explicit scaling! Also note that in PARTON MODEL

$$F_2(x) = 2x F_1(x)$$

Collan - Gross relation  
typical of spin  $1/2$

Indeed  $F_1 \rightarrow$  absorption of transversely polarized virtual photon

$F_L = F_2 - 2x F_1 \rightarrow$  " " longitudinal polarized " "

$F_L \ll F_1$  confirms spin  $1/2$  of partons

Proof  $\epsilon^\mu \epsilon^{*\nu} W_{\mu\nu}(P, q) \propto \sigma(P + \gamma^* \rightarrow X)$

Take longitudinally polarized photon

# The Parton Model

- comp. polarized  $\gamma$

$$\epsilon = \epsilon_L = 2 \left( p_- \frac{p \cdot q}{q^2} q \right)$$

$$|\lambda|^2 = \frac{q^2}{(p \cdot q)^2}$$

such that

$$q \cdot \epsilon_L = 0$$

$$\epsilon_L \cdot \epsilon_L^* = -1$$

$$\bar{\sigma}_L \propto \epsilon_L^\mu \epsilon_L^{*\nu} W_{\mu\nu}(p, q) = F_1(x, Q^2) - \frac{F_2(x, Q^2)}{2x}$$

- transv. polarized  $\gamma$

$$p \cdot \epsilon_T = 0$$

$$q \cdot \epsilon_T = 0$$

$$\epsilon_T \cdot \epsilon_T^* = -1$$

$$\bar{\sigma}_T \propto \epsilon_T^\mu \epsilon_T^{*\nu} W_{\mu\nu}(p, q) = F_1(x, Q^2)$$

$$\Rightarrow \frac{\bar{\sigma}_L}{\bar{\sigma}_T} = 1 - \left( \frac{F_2(x, Q^2)}{2x F_1(x, Q^2)} \right) \rightarrow = 1 \text{ parton model} \Rightarrow \bar{\sigma}_L = 0$$

Note that it would be  $\neq 0$

if partons were spin 0.

# DGLAP evolution equations

