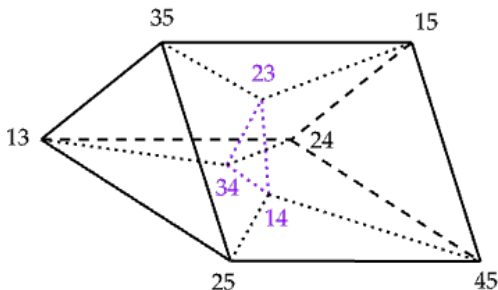


Kinematic Stratifications

Bernd Sturmfels
MPI-MiS Leipzig



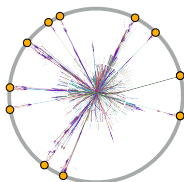
Joint work with **Veronica Calvo Cortes** and **Hadleigh Frost**

In physics, particles are represented by momentum vectors p in *Minkowski space* $\mathbb{R}^{1+d}$, with *Lorentzian inner product*

$$p \cdot q = p_0 q_0 - p_1 q_1 - \cdots - p_d q_d.$$

The *universal speed limit* states that $p \cdot p \geq 0$ for each particle.

A particle is *massless* if the equality $p \cdot p = 0$ holds.



Massless: think *photon*

Massive: think *proton*

Positive Geometry in Particle Physics and Cosmology

The Lightcone



Several Particles

Consider n particles, with momenta $p^{(1)}, p^{(2)}, \dots, p^{(n)} \in \mathbb{R}^{1+d}$.

The **Lorentz group** $SO(1, d)$ acts on such configurations.

Kinematic data are invariant under this action.

The **Mandelstam invariants** are the entries in the symmetric matrix

$$\begin{bmatrix} s_{11} & s_{12} & \cdots & s_{1n} \\ s_{12} & s_{22} & \cdots & s_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ s_{1n} & s_{2n} & \cdots & s_{nn} \end{bmatrix} = \begin{bmatrix} -p^{(1)} \\ -p^{(2)} \\ \vdots \\ -p^{(n)} \end{bmatrix} \begin{bmatrix} +1 & 0 & \cdots & 0 \\ 0 & -1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & -1 \end{bmatrix} \begin{bmatrix} | & | & \cdots & | \\ (p^{(1)})^T & (p^{(2)})^T & \cdots & (p^{(n)})^T \\ | & | & \cdots & | \end{bmatrix}$$

Several Particles

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The **Mandelstam region** $\mathcal{M}_{n,r}$ is the semi-algebraic set of these matrices, for fixed rank $r \leq 1+d$. It has codimension $\binom{n-r-1}{2}$ in $\mathbb{R}^{\binom{n+1}{2}}$.

We examine the **stratification** of $\mathcal{M}_{n,r}$ by the **signs** of the s_{ij} .

Being Lorentzian

The Mandelstam region $\mathcal{M}_{n,r}$ consists of matrices S that satisfy:

- ▶ the n diagonal entries s_{ii} of S are non-negative, and
- ▶ S has one positive eigenvalue and $r - 1$ negative eigenvalues.

The *Lorentzian region* is the subset of nonnegative matrices

$$\mathcal{L}_{n,r} = \mathcal{M}_{n,r} \cap (\mathbb{R}_{\geq 0})^{\binom{n+1}{2}}.$$

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Points in $\mathcal{L}_{n,\leq n} = \sqcup_{r=1}^n \mathcal{L}_{n,r}$ are *Lorentzian polynomials* of degree two.

Petter Brändén and June Huh: *Lorentzian polynomials*, Ann. Math. (2020).

Brändén proved that $\mathcal{L}_{n,\leq n}$ is a topological ball of dimension $\binom{n+1}{2}$.

P. Brändén: *Spaces of Lorentzian and real stable polynomials are Euclidean balls*, Forum Math. Sigma (2021)

Thus, $\mathcal{M}_{n,\leq n} = \sqcup_{r=1}^n \mathcal{M}_{n,r}$ is a disjoint union of 2^{n-1} such balls.

Our stratifications match those in

M. Baker, J. Huh, M. Kummer, O. Lorscheid: *Lorentzian polynomials and matroids over triangular hyperfields*.

Principal Minors

Lemma

$S \in \mathcal{M}_{n,r}$ if and only if the principal minors have *alternating signs*:

$$(-1)^{|I|-1} \cdot \det(S_I) \geq 0 \quad \text{for all } I \subseteq [n].$$

For minors of size 2 and 3,

$$s_{ii}s_{jj} \leq s_{ij}^2 \quad \text{and} \quad 2s_{ij}s_{ik}s_{jk} + s_{ii}s_{jj}s_{kk} \geq s_{ii}s_{jk}^2 + s_{jj}s_{ik}^2 + s_{kk}s_{ij}^2.$$

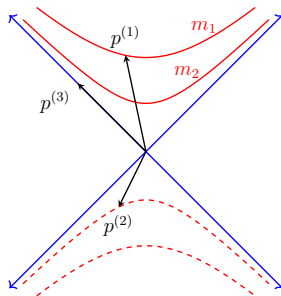
This implies

$$s_{ij}s_{ik}s_{jk} \geq 0.$$

Proposition

If $S \in \mathcal{M}_{n,r}$ has nonzero entries then there exists $\sigma \in \{-, +\}^n$ such that $\text{sgn}(s_{ij}) = \sigma_i \sigma_j$ for all i, j .

Causality



Corollary

The region $\mathcal{M}_{n,r}$ is the union of the 2^{n-1} **signed Mandelstam regions** $\mathcal{M}_{n,\sigma,r}$. Their relative interiors are pairwise disjoint:

$$\mathcal{M}_{n,r} = \bigcup_{\sigma} \mathcal{M}_{n,\sigma,r}.$$

The sign vector σ distinguishes the **future** from the **past**.

The *Lorentzian region* $\mathcal{L}_{n,r}$ is the closure of the region $\mathcal{M}_{n,\sigma,r}$ with $\sigma = (+, +, \dots, +)$. **Everything lies in the future, or in the past.**

Massless Particles

From now on, all particles are **massless**:

$$s_{11} = s_{22} = \cdots = s_{nn} = 0.$$

Principal 4×4 minors of $S \in \mathcal{M}_{n,r}^0$ satisfy $\det(S_{\{i,j,k,l\}}) =$

$$s_{ij}^2 s_{kl}^2 + s_{ik}^2 s_{jl}^2 + s_{il}^2 s_{jk}^2 - 2 \cdot (s_{ij} s_{ik} s_{jl} s_{kl} + s_{ij} s_{il} s_{jk} s_{kl} + s_{ik} s_{il} s_{jk} s_{jl}) \leq 0.$$

If we set $p_{ij} = \sqrt{s_{ij}}, \dots, p_{kl} = \sqrt{s_{kl}}$, then this factors:

$$\det(S_{\{i,j,k,l\}}) = (p_{ij} p_{kl} + p_{ik} p_{jl} + p_{il} p_{jk})(-p_{ij} p_{kl} - p_{ik} p_{jl} + p_{il} p_{jk}) \\ (-p_{ij} p_{kl} + p_{ik} p_{jl} - p_{il} p_{jk})(p_{ij} p_{kl} - p_{ik} p_{jl} - p_{il} p_{jk}).$$

Think: **Plücker**, **Schouten**, **squared Grassmannian**, ...

H. Friedman: *Likelihood geometry of the squared Grassmannian*, Proceedings AMS (2025+)

This guides us to **matroids**. In this talk, **all matroids have rank two**.

Matroids

of rank two

A *matroid* is a partition $P = P_1 \sqcup P_2 \sqcup \cdots \sqcup P_m$ of a subset of $[n] = \{1, \dots, n\}$. The *bases* of P are pairs $\{u, v\}$ where $u \in P_i$ and $v \in P_j$ for $i \neq j$. Elements in $[n] \setminus P$ are *loops*.

The matroid P has $m \geq 2$ parts and $l = n - |P|$ loops.

Example

The *uniform matroid* U_n is the partition of $P = [n]$ into n singletons $P_i = \{i\}$.

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Example

The *uniform matroid* U_n is the partition of $P = [n]$ into n singletons $P_i = \{i\}$.

For $\sigma \in \{-, +\}^P$, the pair (P, σ) is a *signed matroid*.

Definition

The *stratum* $\mathcal{M}_{P, \sigma, r}^0$ is the subset of the massless Mandelstam region $\mathcal{M}_{n, r}^0$ defined by

$$\text{sign}(s_{ij}) = \sigma_i \sigma_j \text{ if } \{i, j\} \text{ is a basis of } P, \text{ and } s_{ij} = 0 \text{ otherwise.}$$

Stratification

Theorem

Fix $r \geq 1$. The *massless Mandelstam region* equals

$$\mathcal{M}_{n,r}^0 = \bigsqcup_{(P,\sigma)} \mathcal{M}_{P,\sigma,r}^0.$$

The disjoint union is over all *signed matroids* (P, σ) on $[n]$.

The *kinematic stratum* $\mathcal{M}_{P,\sigma,r}^0$ is non-empty if and only if $3 \leq r \leq m$ or $r = m = 2$. If this holds, then its dimension is

$$\dim(\mathcal{M}_{P,\sigma,r}^0) = m(r-2) + n - l - \binom{r}{2}.$$

Recall: The matroid P has $m \geq 2$ parts and $l = n - |P|$ loops.

Enumerative Combinatorics

We write $\left\{ \begin{smallmatrix} n-l \\ m \end{smallmatrix} \right\}$ for the *Stirling number of second kind*. This is the number of partitions of the set $[n-l]$ into exactly m parts.

Corollary

The *number of kinematic strata* $\mathcal{M}_{P,\sigma,r}^0$ of dimension d in the Mandelstam region $\mathcal{M}_{n,r}^0$ is given, for a fixed sign vector σ or for all possible sign vectors, respectively, by

$$\sum_{m \geq r} \binom{n}{l} \left\{ \begin{smallmatrix} n-l \\ m \end{smallmatrix} \right\} \quad \text{and} \quad \sum_{m \geq r} 2^{n-l-1} \binom{n}{l} \left\{ \begin{smallmatrix} n-l \\ m \end{smallmatrix} \right\}.$$

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d / r	2	3	4
1	6 12		
2	12 48		
3	7 56	4 16	
4		6 48	
5		1 8	
6			1 8

(a) $n = 4$

d / r	2	3	4	5
1	10 20			
2	30 120			
3	35 280	10 40		
4	15 240	30 240		
5		30 440		
6		10 160	5 40	
7		1 16	10 160	
8				
9			1 16	
10				1 16

(b) $n = 5$

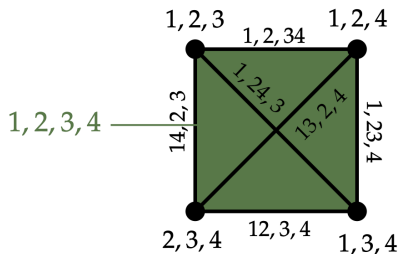
Posets of Matroids

The strata of $\mathcal{L}_{n,r}^0$ form a poset: $P \leq P'$ if every loop of P' is a loop in P , and the partition P' refines the partition P .

Same as containment of matroid polytopes.

For $n = 4, r = 3$, there are $11 = 1 + 6 + 4$ strata.

The top stratum $\mathcal{L}_{U_{4,3}}^0$ has **three connected components**:



The strata $\mathcal{M}_{P,\sigma,r}^0$ of $\mathcal{M}_{n,r}^0$ form a poset:

$(P, \sigma) \leq (P', \sigma')$ if $P \leq P'$ and $\sigma = \sigma'$ for all non-loops of P .

Inclusions and Topology

Our kinematic stratifications are nice: if a stratum intersects the closure of another stratum, then containment holds.

But, the topology of strata is quite interesting:

Proposition

$\mathcal{M}_{P,\sigma,3}^0$ has $(m-1)!/2$ connected components.

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Proposition

$\mathcal{M}_{P,\sigma,3}^0$ has $(m-1)!/2$ connected components.

Theorem

The kinematic stratum $\mathcal{M}_{P,\sigma,\leq r}^0$ is homotopic to the **configuration space** $F(\mathbb{S}^{r-2}, m)/\mathrm{SO}(r-1)$ for m points on the sphere $\mathbb{S}^{r-2}$.

Corollary

The stratum $\mathcal{M}_{P,\sigma,\leq 4}^0$ is homotopic to the moduli space $M_{0,m}(\mathbb{C})$, and hence to the complement of the affine braid arrangement.

E. Feichtner and G. Ziegler: *The integral cohomology algebras of ordered configuration spaces of spheres* (2000)

What matters for physics?

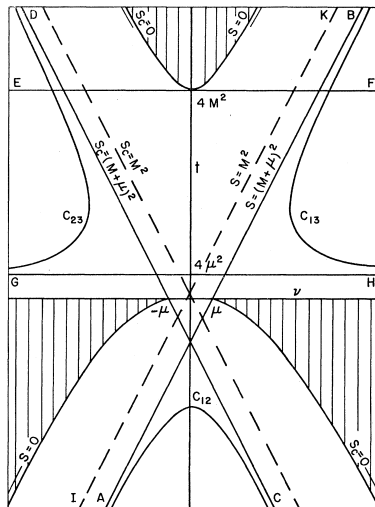
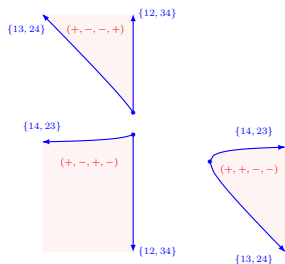


FIG. 1. Kinematics of the reactions I, II, and III.

Stanley Mandelstam: *Determination of the pion-nucleon scattering amplitude from dispersion relations and unitarity. General theory*, Physical Review (1958).

Momentum Conservation

The *massless momentum conserving (MMC) region* $\mathcal{C}_{n,r}^0$ is

$$\mathcal{C}_{n,r}^0 = \bigsqcup_{(P,\sigma)} \mathcal{C}_{P,\sigma,r}^0,$$

where $\mathcal{C}_{P,\sigma,r}^0$ is the intersection of $\mathcal{M}_{P,\sigma,r}^0$ with the subspace

$$\mathbb{R}^{n(n-3)/2} = \{S : s_{i1} + s_{i2} + \cdots + s_{in} = 0 \text{ for } i = 1, 2, \dots, n\}.$$

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Theorem

The stratum $\mathcal{C}_{P,\sigma,r}^0$ is non-empty if and only if

1. For $3 \leq r < m$: there exist i, j, k, l in $[n]$, with $\sigma_i = \sigma_j = +$ and $\sigma_k = \sigma_l = -$, such that the restriction of the matroid P to $\{i, j, k, l\}$ is either U_4 or $\{ik, jl\}$, and
2. for $2 \leq r = m$: each part of P has elements with opposite signs.

In this case, $\dim(\mathcal{C}_{P,\sigma,r}^0) = (m-1)(r-1) - \binom{r}{2} + (n-l-m) - 1$.

Four Particles

Use the rank 3 matrix

$$S = \begin{bmatrix} 0 & x & -x-y & y \\ x & 0 & y & -x-y \\ -x-y & y & 0 & x \\ y & -x-y & x & 0 \end{bmatrix}.$$

The principal 3×3 minors are $\det(S_{ijk}) = -2xy(x+y) \geq 0$.

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The **MMC region** $\mathcal{C}_{4,\leq 3}^0 = \mathcal{C}_{4,3}^0 \cup \mathcal{C}_{4,2}^0$ has nine strata:

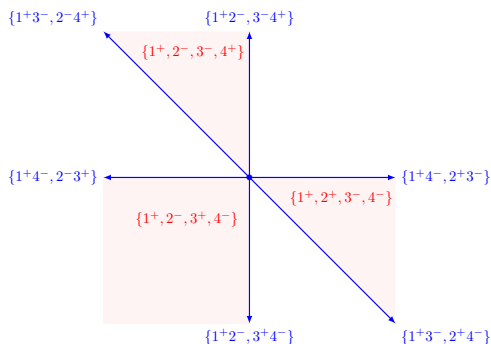


Figure 3: The **3** + **6** MMC strata for $n = 4$.

On-Shell

For $n = 4$ particles, fix masses $\mathbf{m} = (\mu, \mu, m, m)$ with $m > \mu > 0$.
We studied the MMC region $\mathcal{C}_{4,3}^{\mathbf{m}}$ by modifying our matrix:

$$S = \begin{bmatrix} \mu^2 & x & -x - y - \mu^2 & y \\ x & \mu^2 & y & -x - y - \mu^2 \\ -x - y - \mu^2 & y & m^2 & \mu^2 - m^2 + x \\ y & -x - y - \mu^2 & \mu^2 - m^2 + x & m^2 \end{bmatrix}.$$

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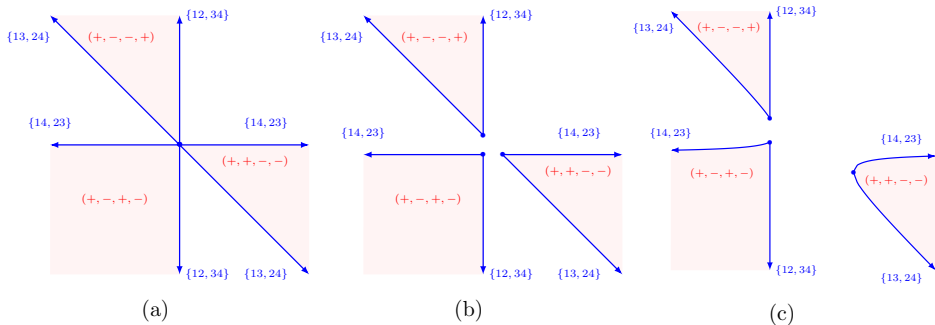


Figure 4: Regions for (a) massless, (b) equal masses, and (c) two unequal masses.

Back to 1958

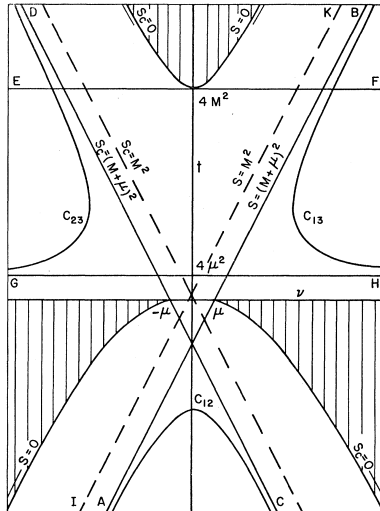
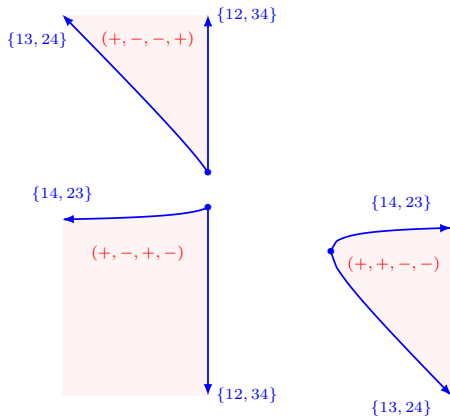


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Five Particles

10 cyclic polytopes $C(4, 6)$
 $f = (6, 15, 18, 9)$

d / r	2	3	4
1	6 30		
2	6 60	3 15	
3		9 90	
4		1 10	
5			1 10

$$S = \begin{bmatrix} 0 & a & -a - b + d & b - d - e & e \\ a & 0 & b & -b - c + e & -a + c - e \\ -a - b + d & b & 0 & c & a - c - d \\ b - d - e & -b - c + e & c & 0 & d \\ e & -a + c - e & a - c - d & d & 0 \end{bmatrix}.$$

Five Particles

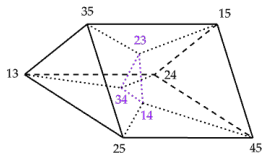
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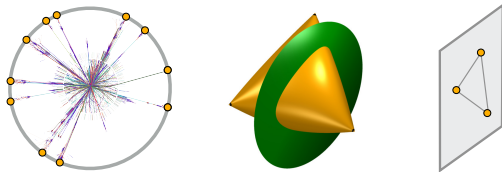
Igusa quartic

$$\begin{aligned} & a^2b^2 + b^2c^2 + c^2d^2 + d^2e^2 + a^2e^2 \\ & + 2abcd + 2abce + 2abde + 2acde + 2bcde \\ & - 2ab^2c - 2bc^2d - 2cd^2e - 2ade^2 - 2a^2be < 0. \end{aligned}$$



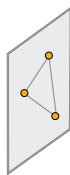
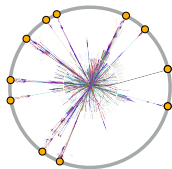
σ	s_{12}	s_{13}	s_{14}	s_{15}	s_{23}	s_{24}	s_{25}	s_{34}	s_{35}	s_{45}
$(-, -, +, +, +)$	+	-	-	-	-	-	-	+	+	+
$(-, +, -, +, +)$	-	+	-	-	-	+	+	-	-	+
$(-, +, +, -, +)$	-	-	+	-	+	-	+	-	+	-
$(-, +, +, +, -)$	-	-	-	+	+	+	-	+	-	-
$(+, -, -, +, +)$	-	-	+	+	+	-	-	-	-	+
$(+, -, +, -, +)$	-	+	-	+	-	+	-	-	+	-
$(+, -, -, +, +)$	-	+	+	-	-	-	+	+	-	-
$(+, +, -, -, +)$	+	-	-	+	-	-	+	+	-	-
$(+, +, -, +, -)$	+	-	+	+	+	+	+	+	+	+
$(+, +, +, -, -)$	+	+	-	-	+	-	-	-	-	-

Conclusion



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- ▶ This talk discussed a collaboration between the nodes in Leipzig and Princeton. The other two are Amsterdam and Munich.
- ▶ Our paper was submitted to the journal *Discrete and Computational Geometry*

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- ▶ **Thanks for listening!**

