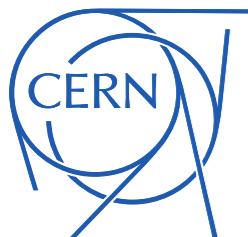


Sterile Neutrinos

Joachim Kopp (CERN & JGU Mainz)
MITP Summer School, Mainz | July 2024



The Neutrino Portal

$$\mathcal{L} \supset y \bar{L} (i\sigma^2 H^*) N$$

- the only renormalizable coupling of the SM to a singlet fermion (aka “sterile neutrino” or “heavy neutral lepton”)



The Neutrino Portal

SM lepton doublet

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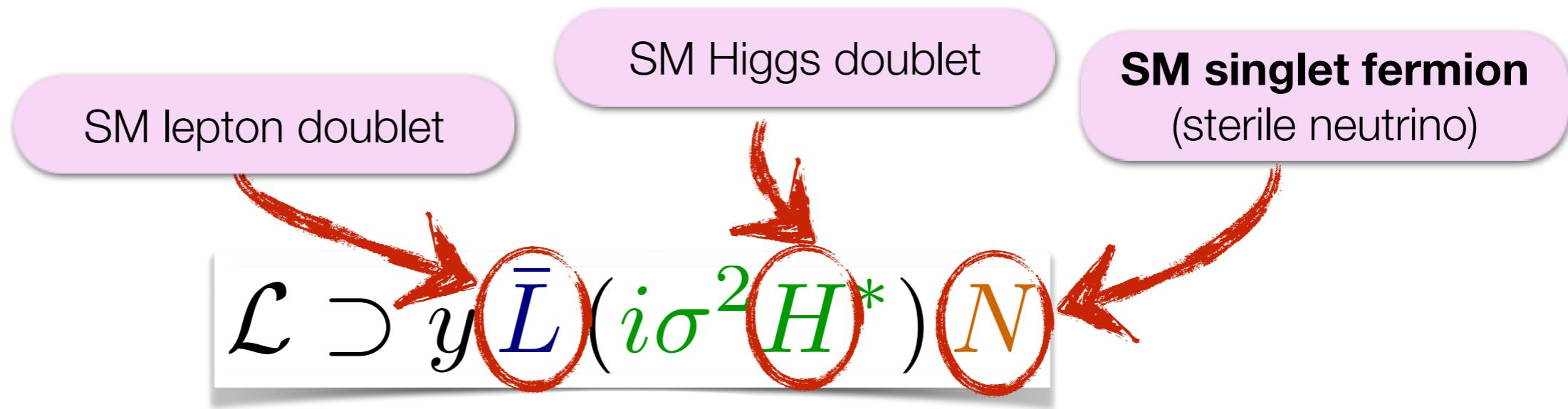
The Neutrino Portal

A diagram showing the interaction between the SM Higgs doublet and the SM lepton doublet. A red arrow points from the SM lepton doublet term to the coupling term $y \bar{L} (i\sigma^2 H^*) N$. The SM lepton doublet is labeled "SM lepton doublet" and the SM Higgs doublet is labeled "SM Higgs doublet".

$$\mathcal{L} \supset y \bar{L} (i\sigma^2 H^*) N$$

- the only renormalizable coupling of the SM to a singlet fermion (aka “sterile neutrino” or “heavy neutral lepton”)

The Neutrino Portal



- the only **renormalizable** coupling of the SM to a **singlet fermion** (aka “sterile neutrino” or “heavy neutral lepton”)

Definition: sterile neutrino = SM singlet fermion

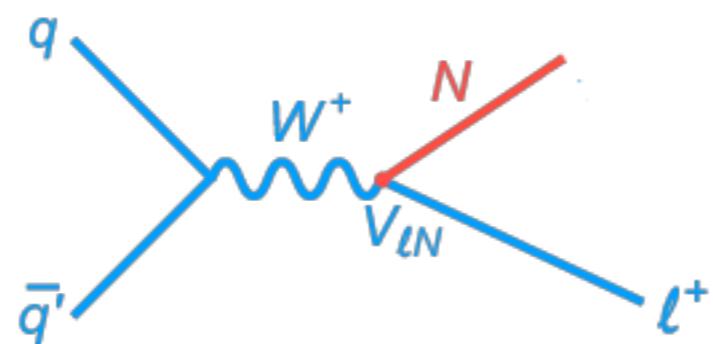
- Very generic extension of SM
 - can be leftover of extended gauge multiplet
- Useful phenomenological tool
 - can explain ν masses (seesaw mechanism, $m \sim \text{TeV} \dots M_{\text{Pl}}$)
 - can explain cosmic baryon asymmetry
(thermal leptogenesis at $m \gg 100 \text{ GeV}$, ARS leptogenesis at $m < 100 \text{ GeV}$)
 - can explain dark matter ($m \sim \text{keV}$)
 - can act a mediator to a dark sector (any mass)
 - can explain oscillation anomalies ($m \sim \text{eV}$)
 - ➡ Georgia Karagiorgi's talk



Neutrino Portal Phenomenology

$$\mathcal{L} \supset y \bar{L} (i\sigma^2 H^*) N$$

- new contribution to the ν mass matrix
leads to mass mixing between ν and N
 - ➡ active–sterile neutrino oscillations
 - ➡ N production in neutrino interactions



Neutrino Oscillations

Initial state

$$|\nu_\alpha\rangle = \sum_j U_{\alpha j}^* |\nu_j\rangle$$

Transition probability

$$\begin{aligned} P_{\alpha \rightarrow \beta} &= |\langle \nu_\beta | e^{-i \hat{H} T} | \nu_\alpha \rangle|^2 \\ &= \sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \exp [-i(E_j - E_k)T] \end{aligned}$$

Two flavor approximation

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$$P_{\alpha \rightarrow \beta} \simeq \sin^2 2\theta \sin^2 \frac{\Delta m^2 T}{4E}$$



Neutrino Oscillations

Initial state

$$|\nu_\alpha\rangle = \sum_j U_{\alpha j}^* |\nu_j\rangle$$

generalizes to
> 3 flavors

Transition probability

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Oscillation Example: ν_μ Disappearance



Joachim Kopp — Oscillation Anomalies

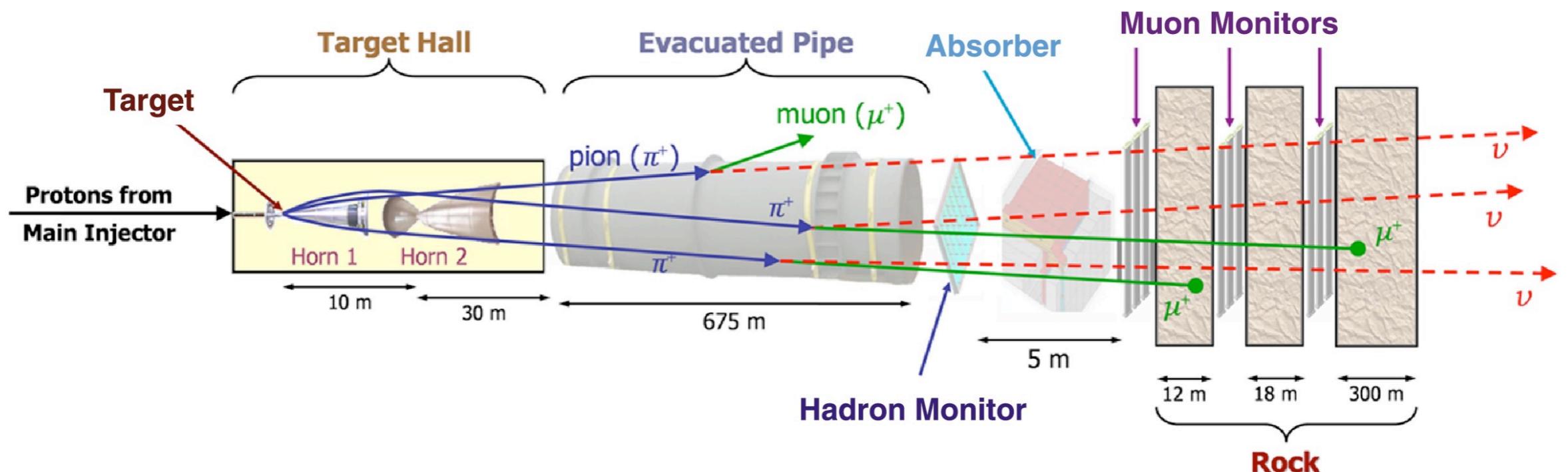
Oscillation Example: ν_μ Disappearance

- Use intense flux of ν_μ from pion decay in accelerator experiment or in the upper atmosphere



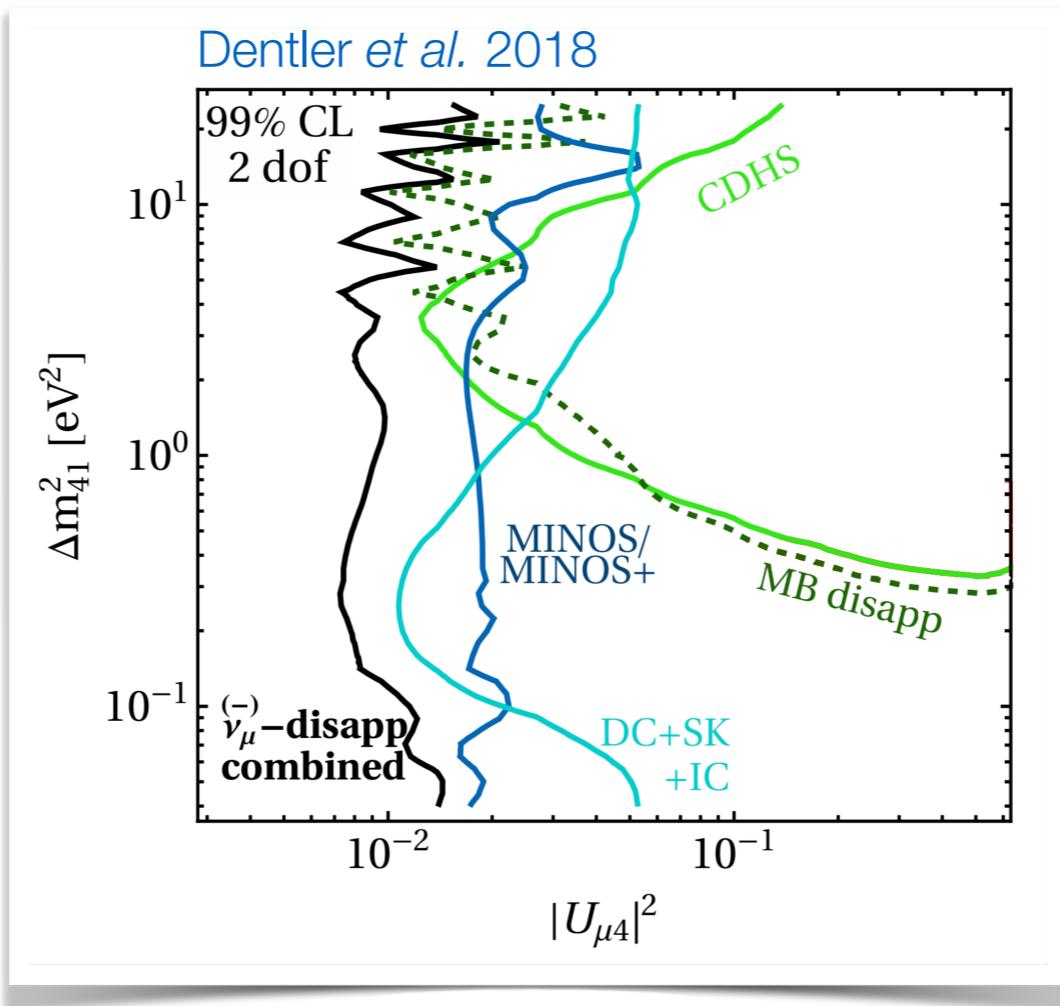
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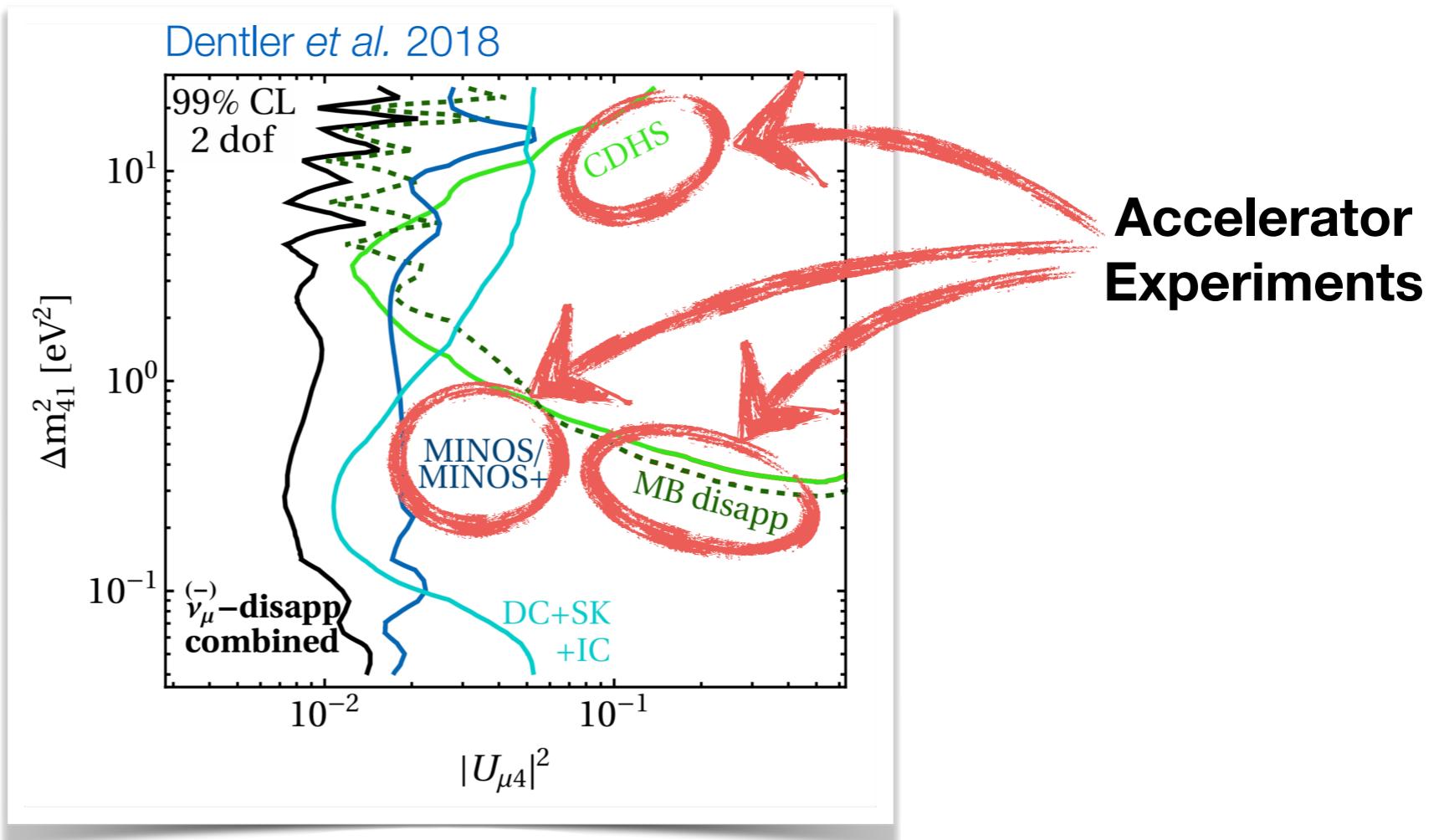
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- Use intense flux of ν_μ from pion decay in accelerator experiment or in the upper atmosphere
- Look for “missing” ν_μ at distances too short to be compatible with standard oscillations



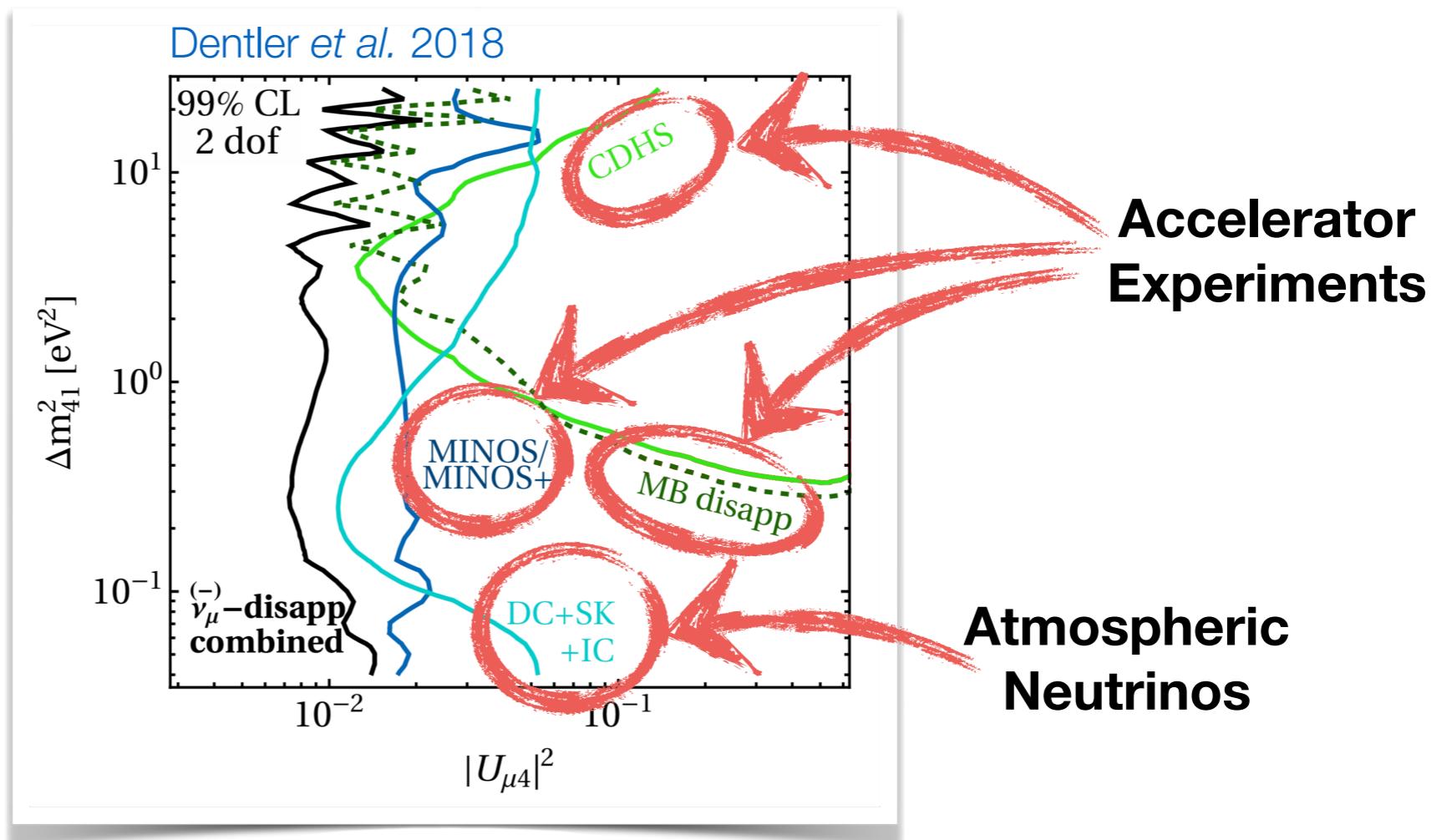
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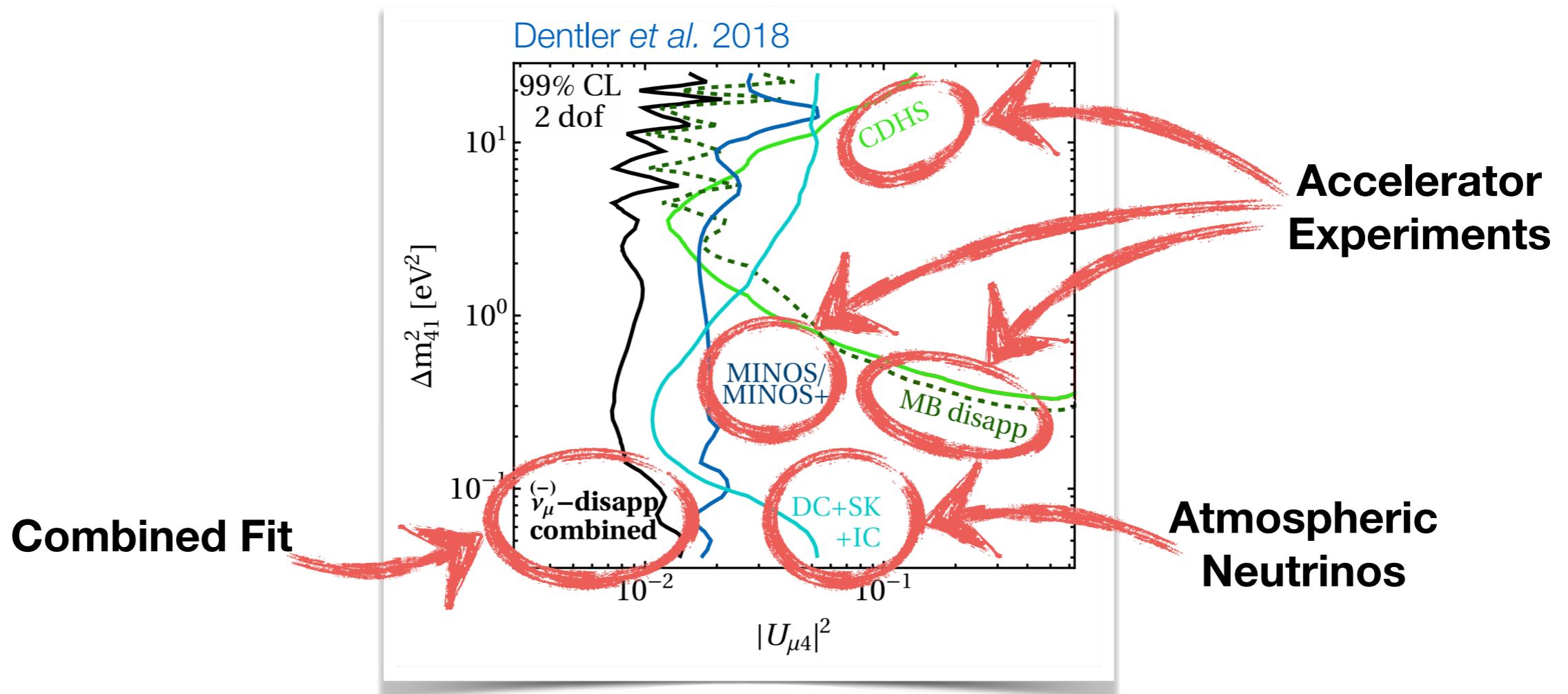
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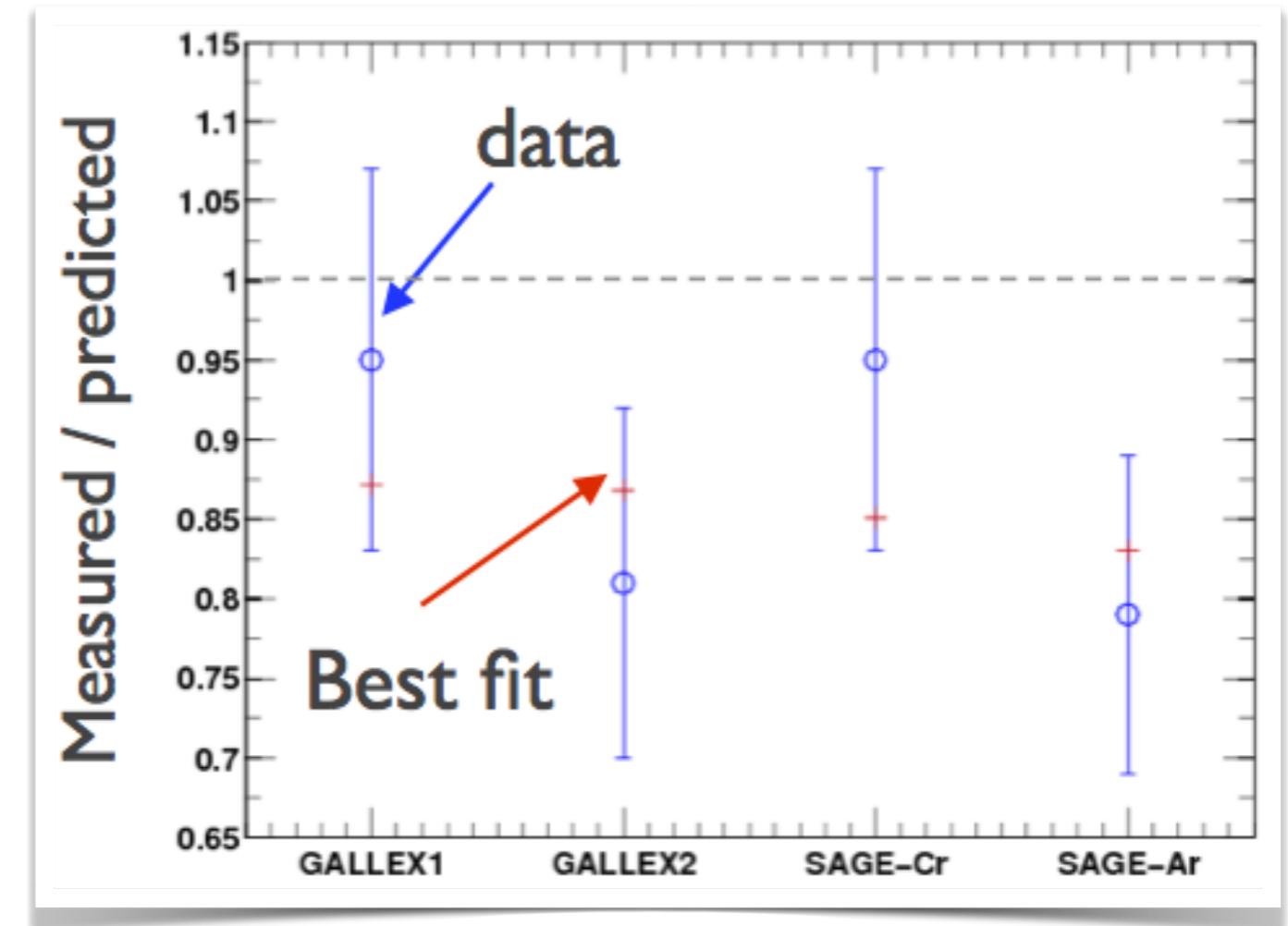
Short-Baseline Anomalies

Interestingly, some experiments have presented results consistent with oscillations involving sterile neutrinos



The Gallium Anomaly

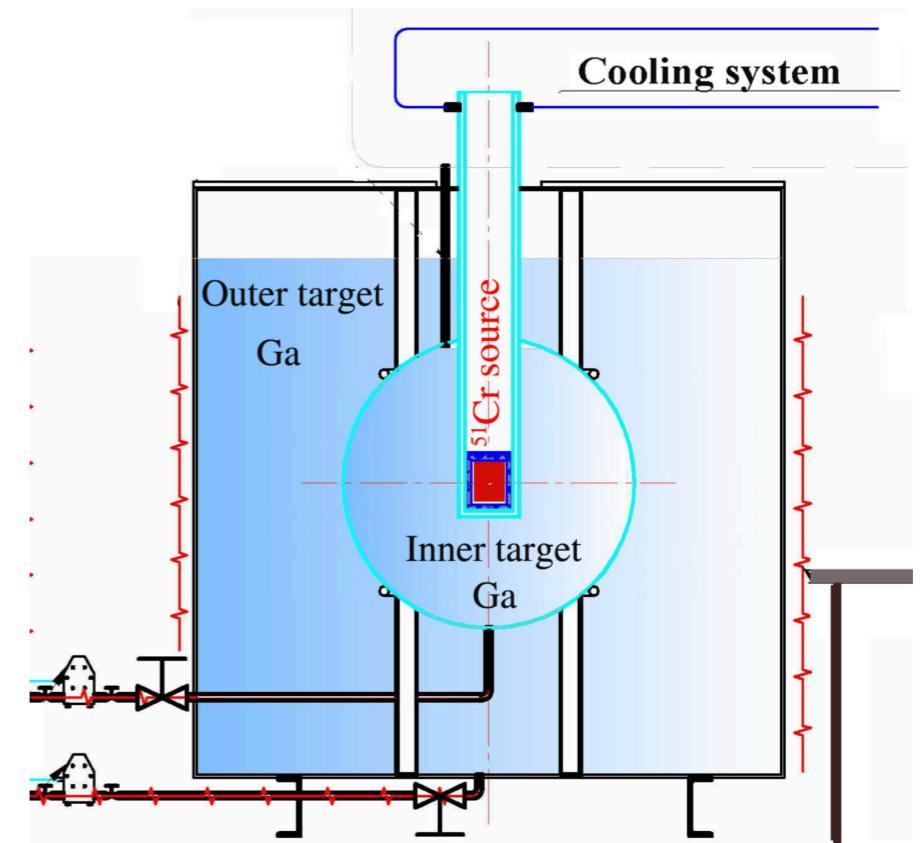
- Experiments with intense radioactive sources
- Neutrino detection via
$$^{71}\text{Ga} + \nu_e \rightarrow ^{71}\text{Ge} + e^-$$
- $\sim 3\sigma$ deficit
- ν_e disappearance into sterile state?
- would require very large mixing (conflict with reactor observations)



Giunti Laveder [1006.3244](#)

The Gallium Anomaly

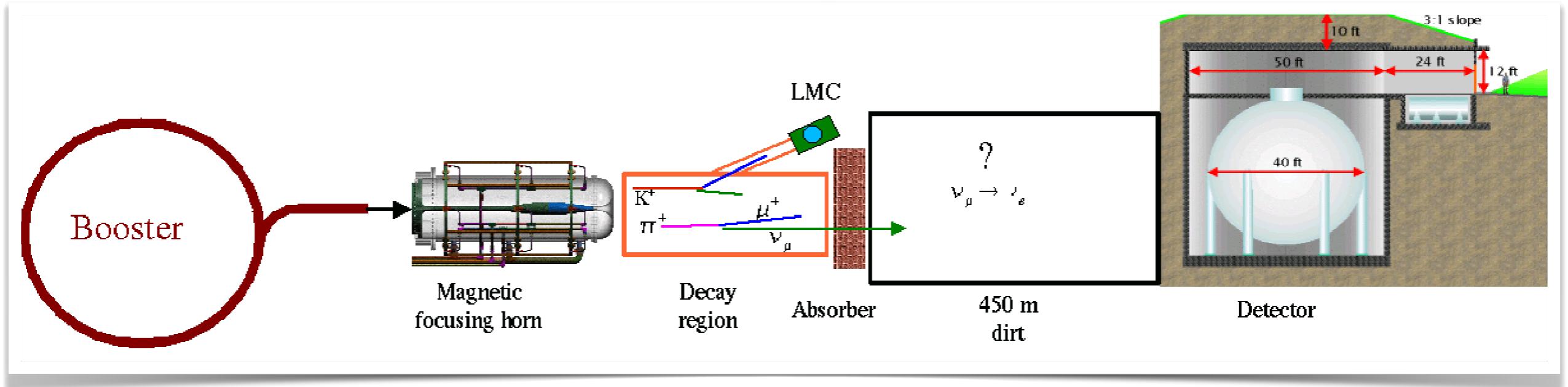
- recently confirmed by BEST
- two independent target volumes
(hoping to see oscillation pattern)
- radiochemistry similar to
other gallium experiments
(correlated systematics?)
- but: past experiments
cross-calibrated with solar neutrinos



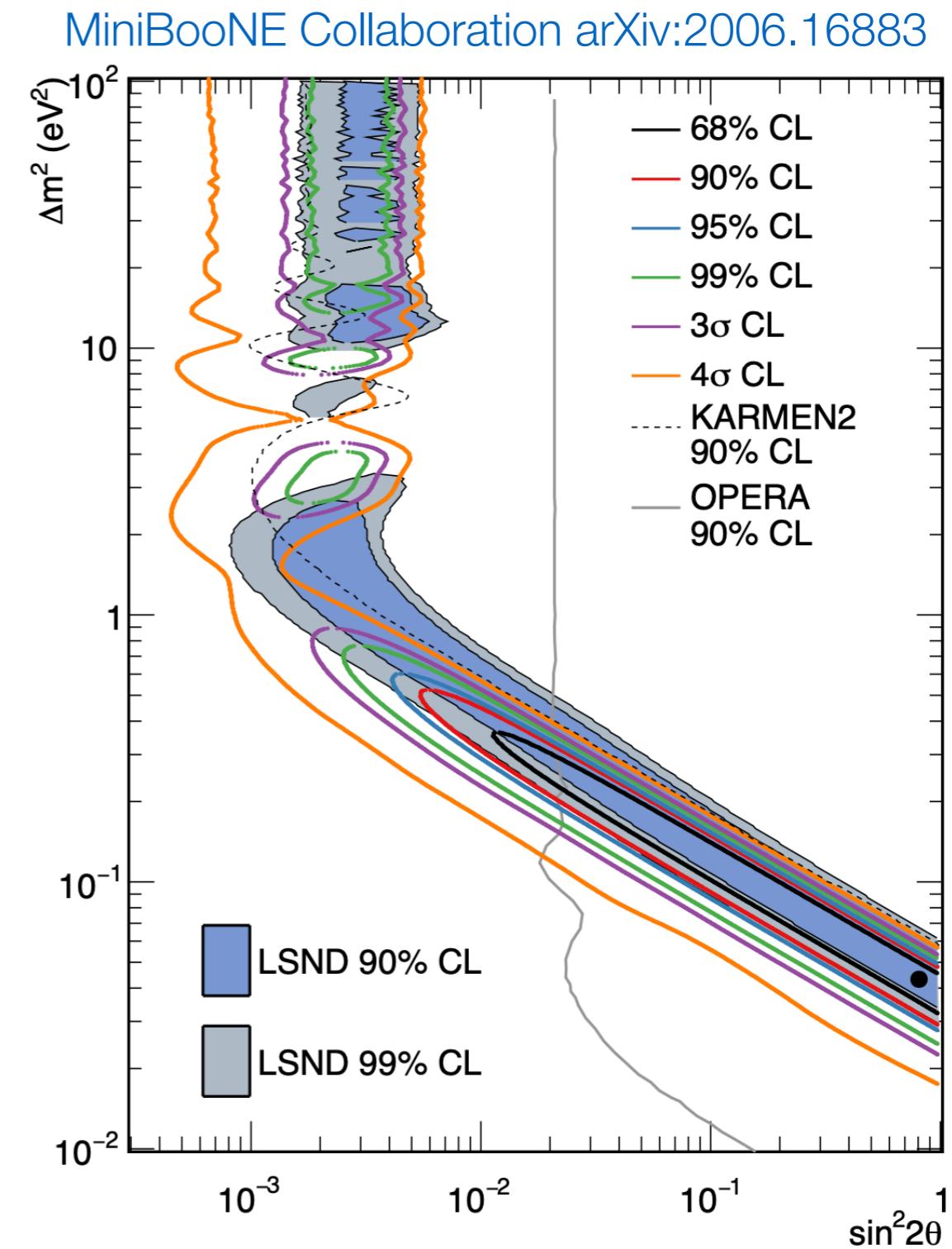
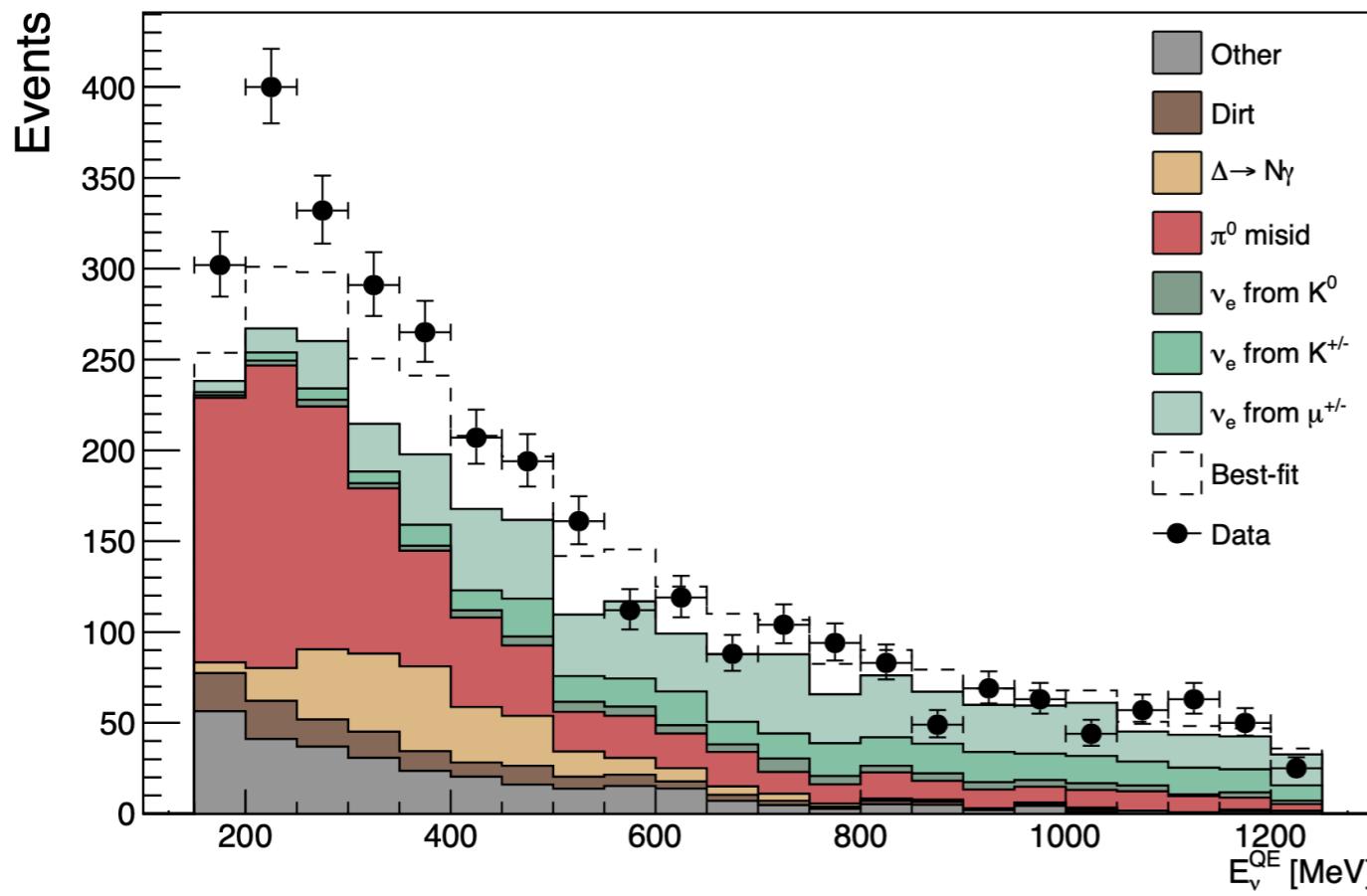
BEST [arXiv:2109.11482](https://arxiv.org/abs/2109.11482)

Barinov Gorbunov [arXiv:2109.14654](https://arxiv.org/abs/2109.14654)

MiniBooNE



- Unexplained low- E excess
- L/E too small for std. oscillations (wrong Δm^2)

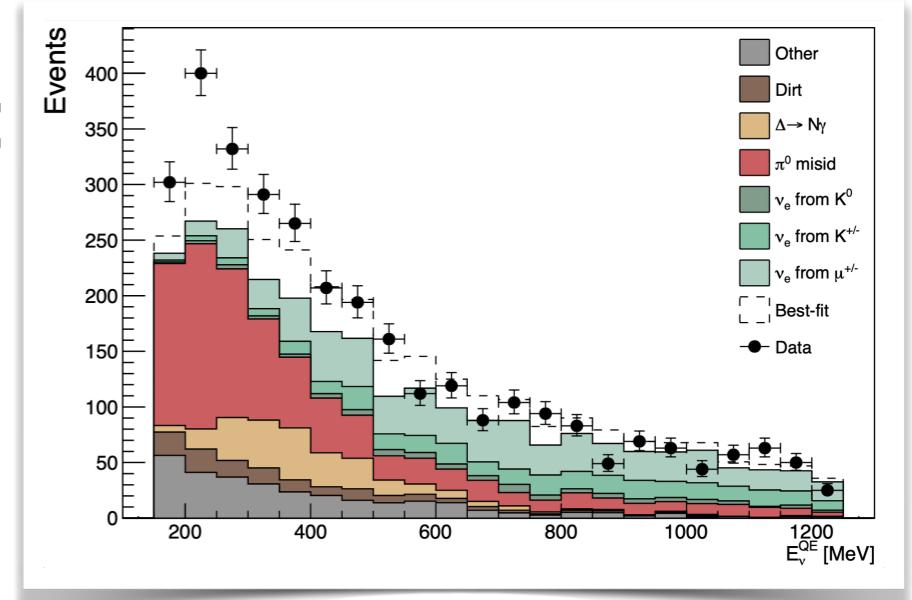


- Neutral current neutrino interaction:
 $\nu + N \rightarrow \nu + \Delta(1232)$

- $\Delta(1232)$ mostly decays to $\pi + N$

- But a rare decay exists to $\gamma + N$

- MiniBooNE cannot distinguish
 γ from e^-

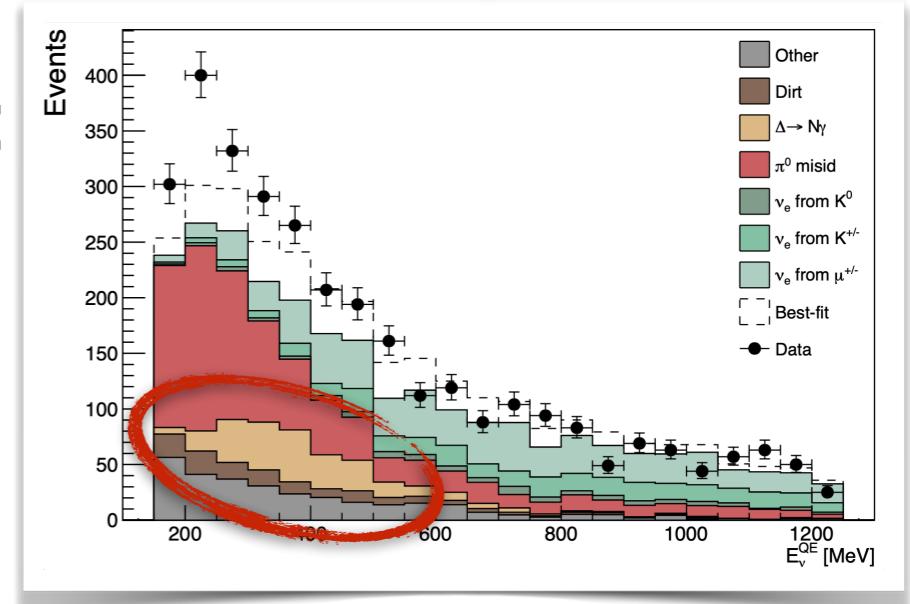


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Δ production rate can be estimated from $\Delta \rightarrow \pi N$

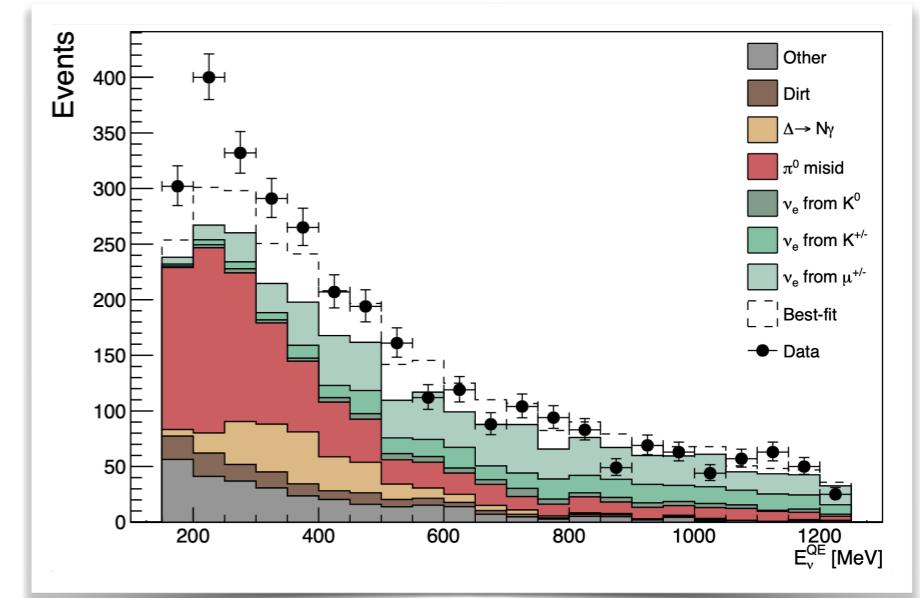
Pions may be absorbed on their way out of the nucleus

- may excite another Δ resonance

→ $\Delta \rightarrow \gamma N$ enhanced by ~factor 2

- or may be absorbed

→ control region suppressed by ~factor 2



Ioannisian [1909.08571](#)

Giunti Ioannisian Ranucci [1912.01524](#)

This factor 2 has been taken into account by MiniBooNE

private communication from Bill Louis

Cross Section Uncertainties

- Large systematic uncertainties in
 - Composition of neutrino beam
 - Neutrino interaction cross sections

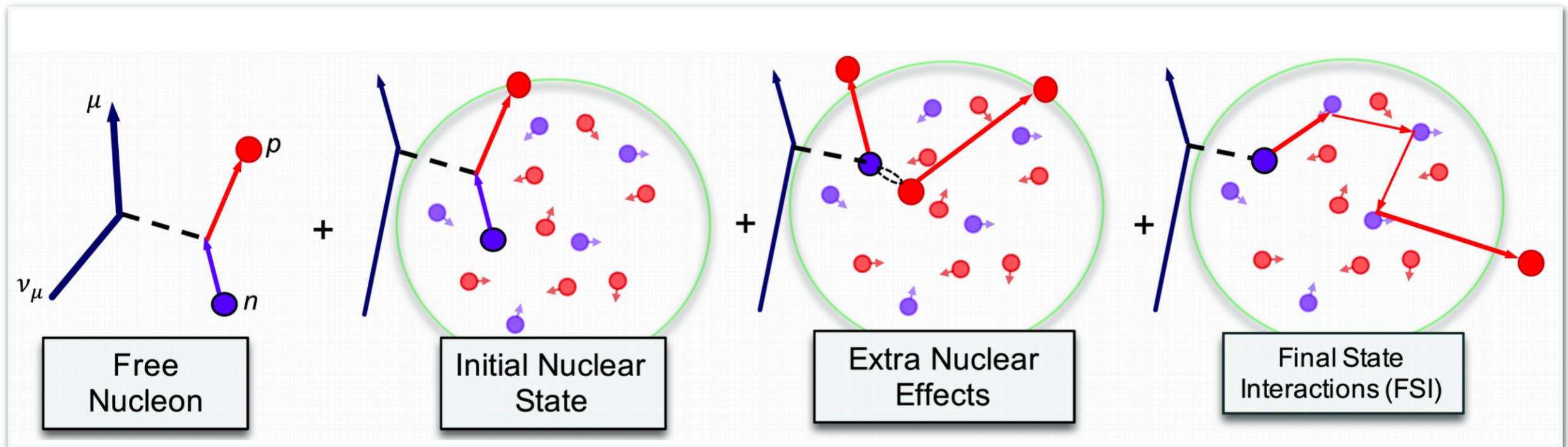


Image Credit: Callum Wilkinson

Understanding Neutrino Interactions

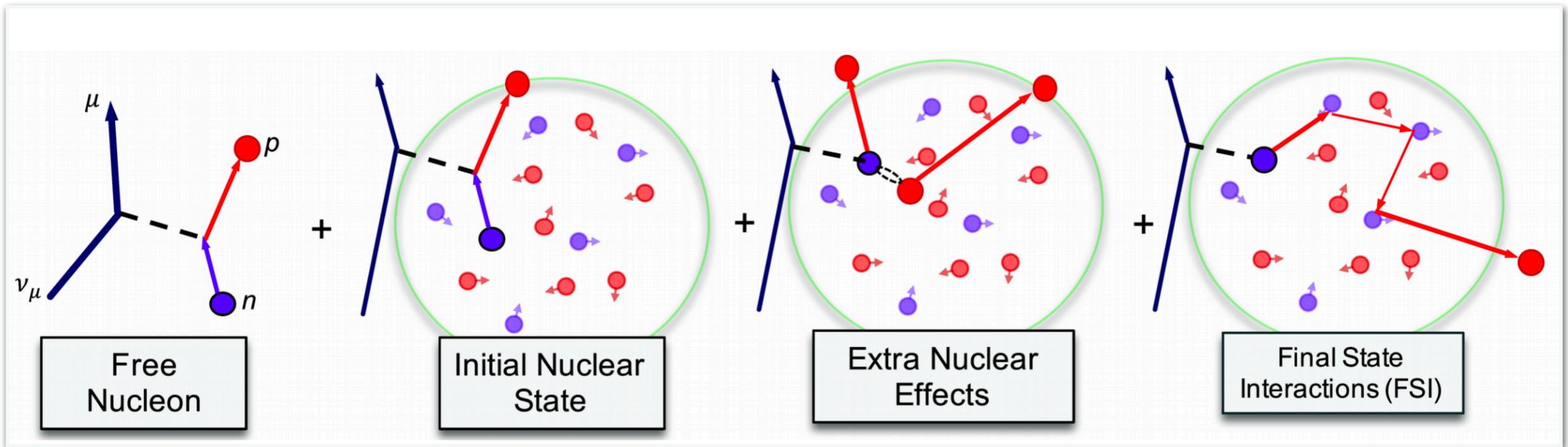


Image Credit: Callum Wilkinson



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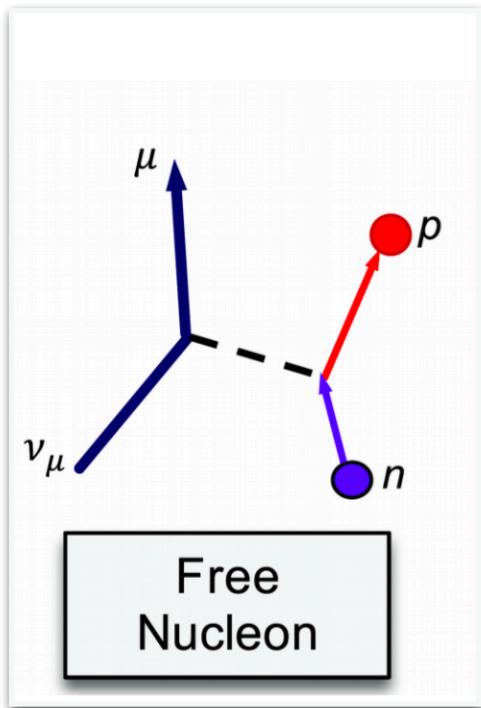


Image Credit: Callum Wilkinson



Understanding Neutrino Interactions

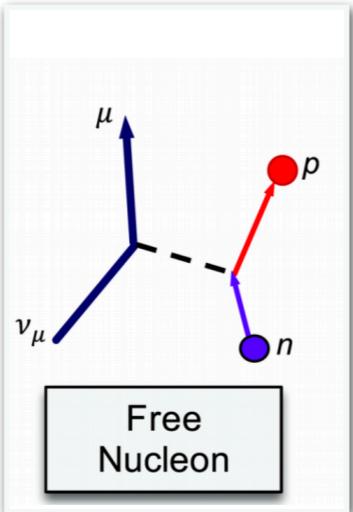


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Joachim Kopp — Oscillation Anomalies

Understanding Neutrino Interactions

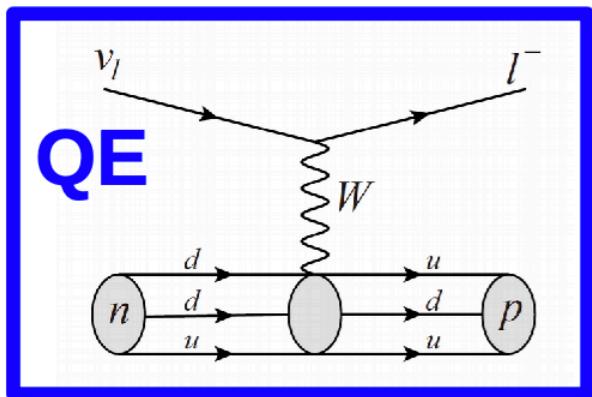
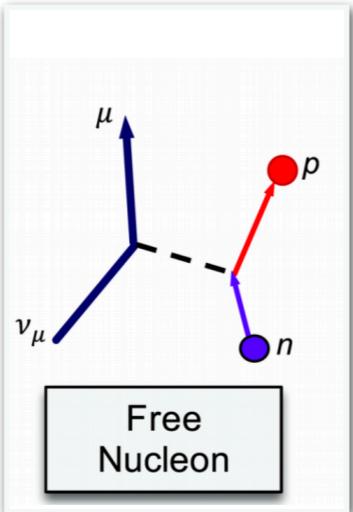


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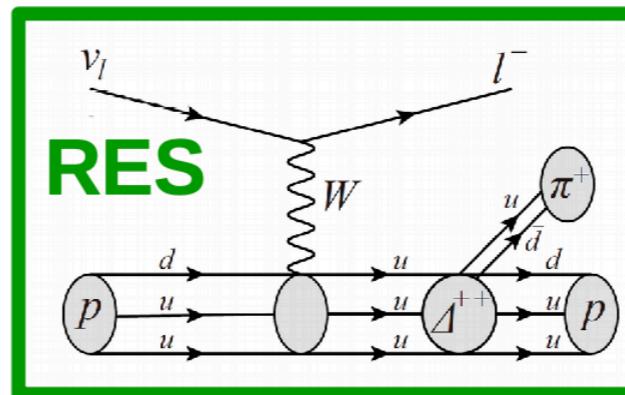
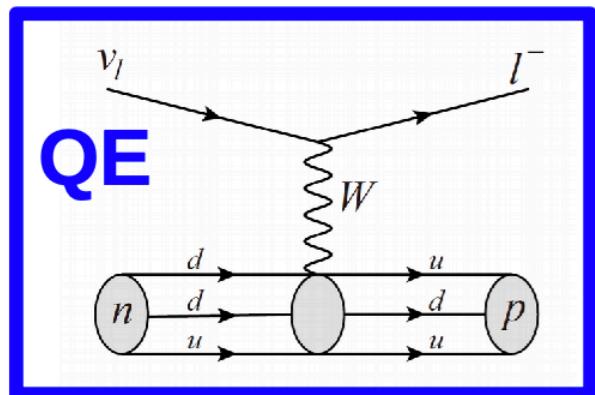
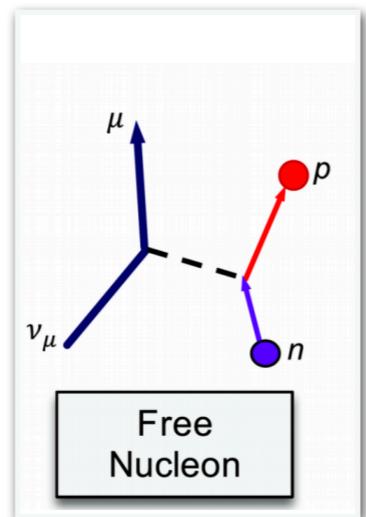


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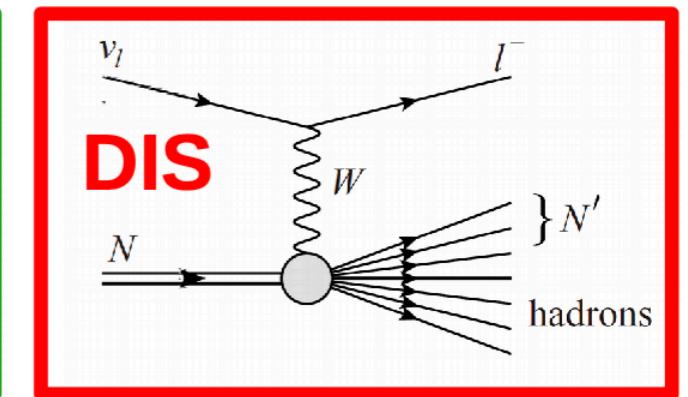
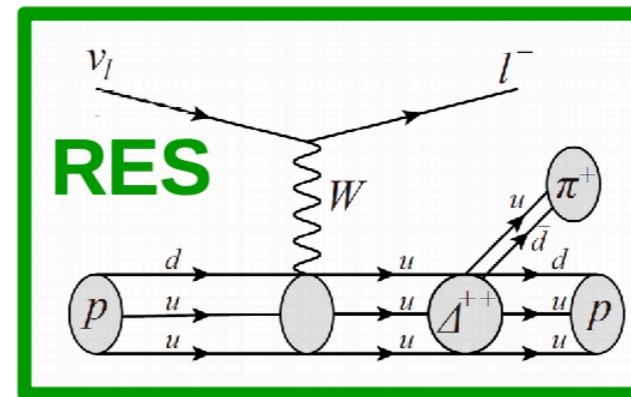
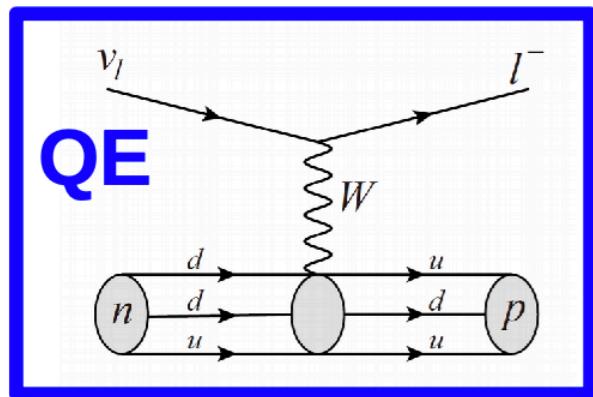
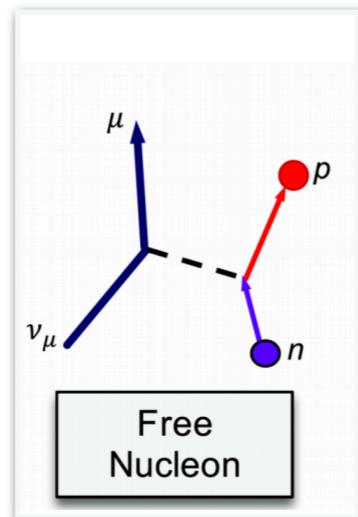


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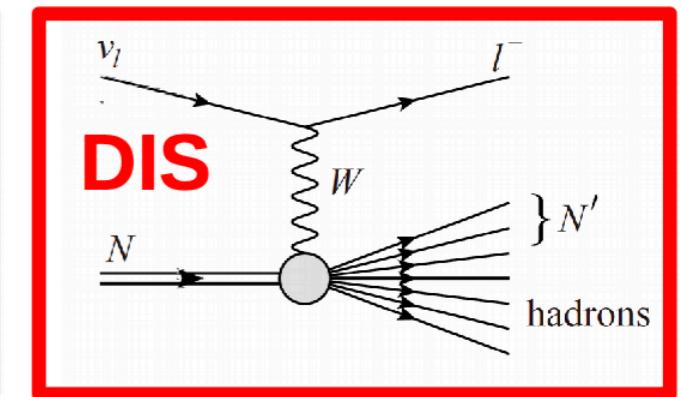
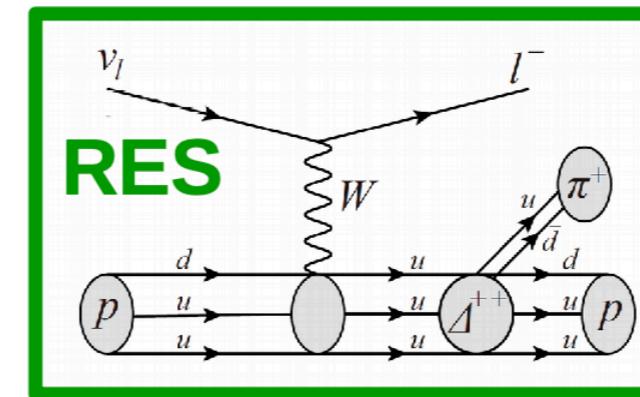
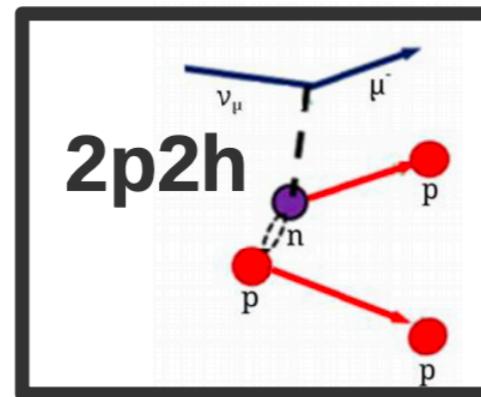
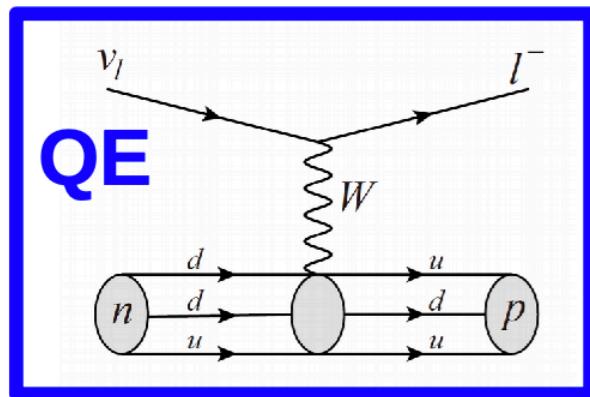
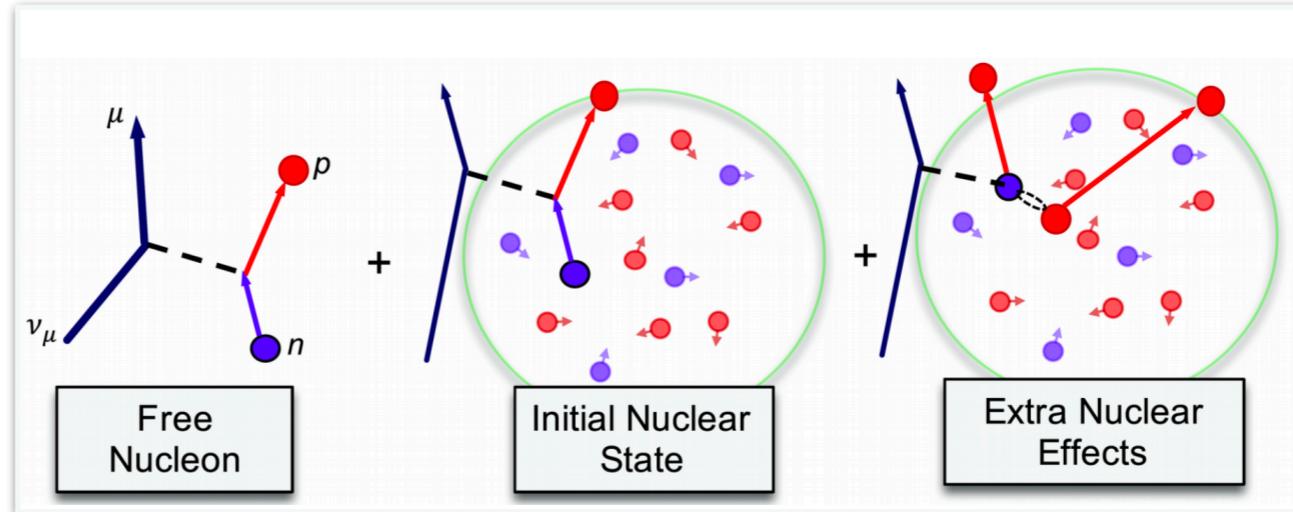
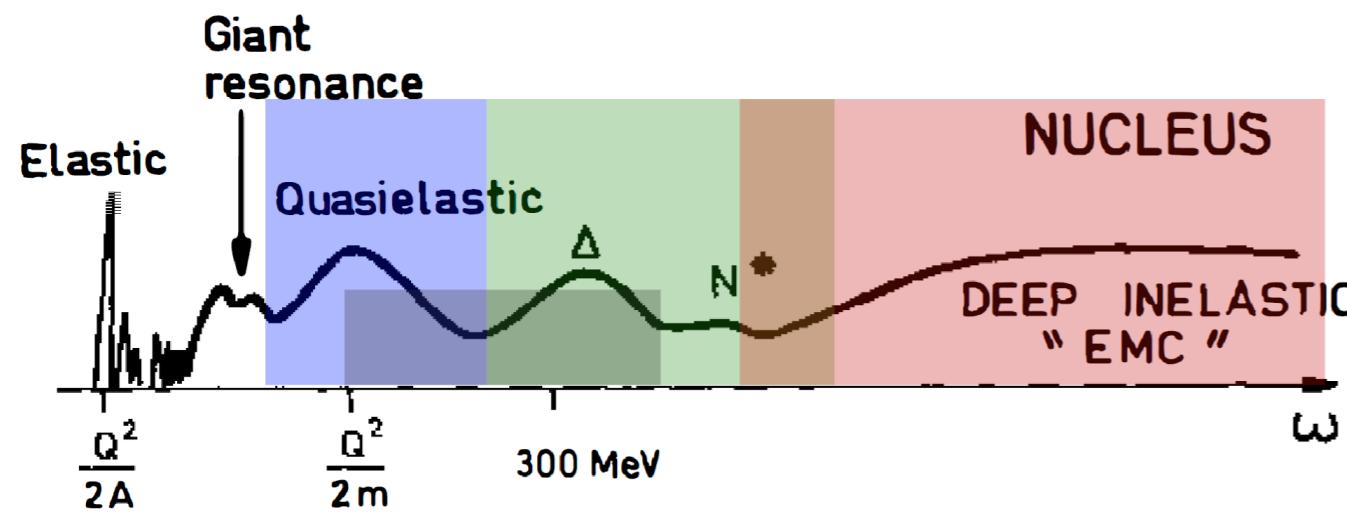
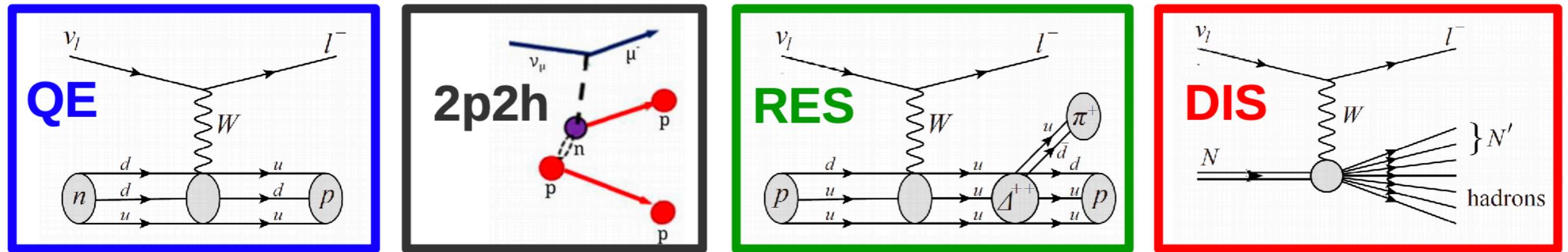
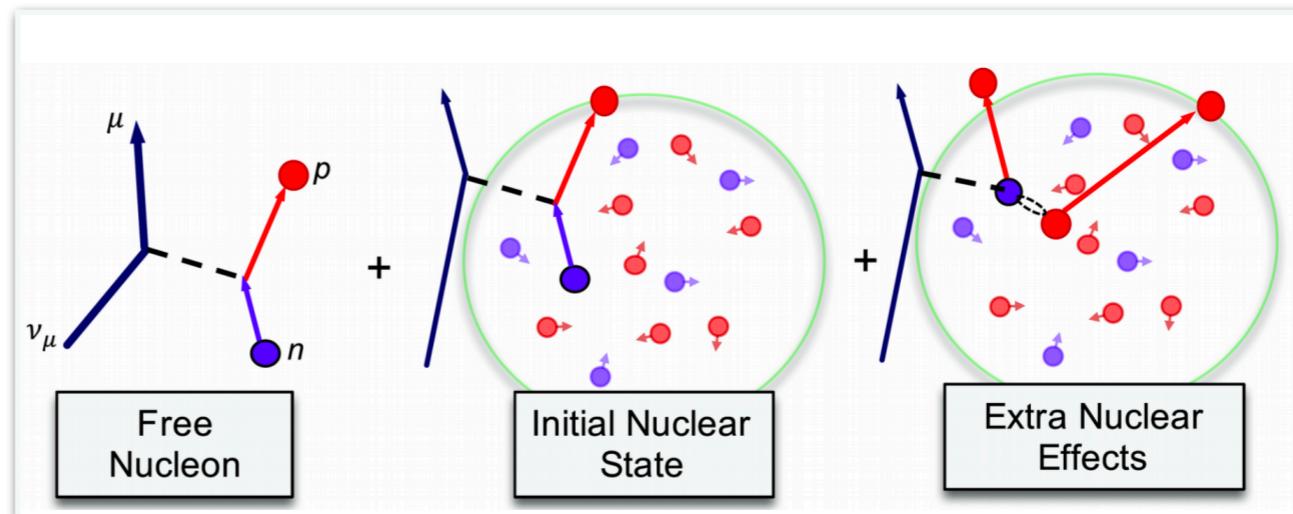


Image Credit: Callum Wilkinson



Understanding Neutrino Interactions



multi-nucleon effects
are crucial

Image Credit: Callum Wilkinson