



# COMBINED SENSITIVITY OF **SK** + JUNO ON BLACK HOLE FRACTION

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# MOTIVATION

Imagine a few years into the future:  
We have observed now the DSNB ...

Thank you for  
this question.  
You see  
astronomers  
have this  
problem...

What interesting  
problem in  
astrophysics can  
you now solve?





We can't detect stars that silently vanish into black holes in distant galaxies. It's like they disappear without a trace !

Perhaps it's time we use a different cosmic messenger?

You mean not relying on light?

Exactly! Let's turn to neutrinos!

The background features a dense field of white binary digits (0s and 1s) on a dark blue and purple gradient. In the center, a glowing nebula with a bright blue core and a white orbital path is visible. In the foreground, two silhouetted figures stand on a dark, grassy hill. One figure is standing and gesturing towards a large telescope mounted on a tripod, while the other is sitting on the ground. The overall scene is a blend of digital data and cosmic exploration.

The Diffuse Supernova  
Neutrino Background?

Yes! Neutrinos can reveal the  
fraction of black hole-  
forming CCSN that photons  
cannot show us.



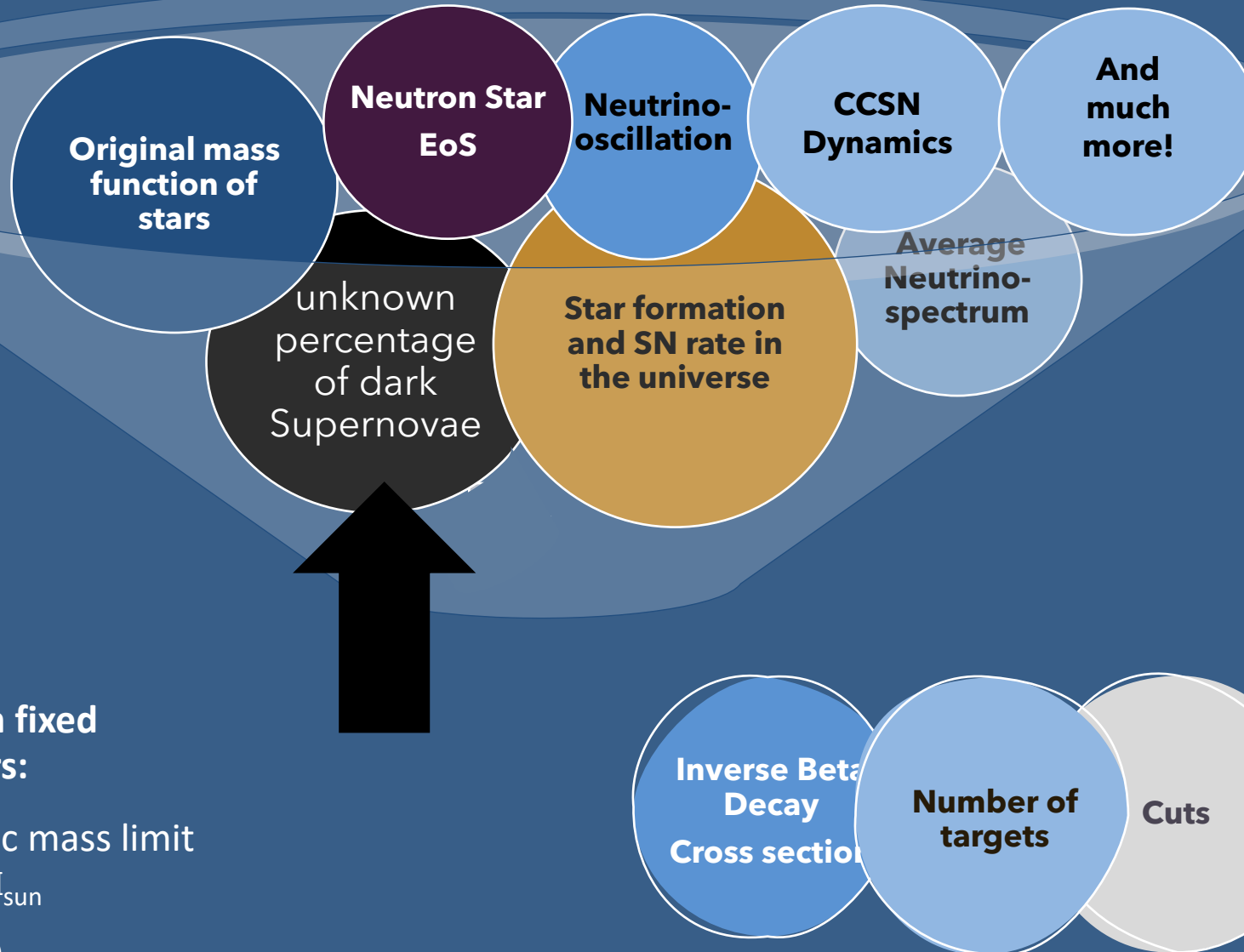
How?



# THE EFFECT OF BLACK HOLES ON NEUTRINO ENERGIES

- Accretion heating before black hole formation further increases the neutrino energy.
- Shorter emission timescale means more energetic neutrinos are emitted in a brief period before the emission stops abruptly.
- Higher neutrinospheric temperatures result in higher-energy neutrinos in black hole-forming supernovae.

# CALCULATION OF THE DSNB



The uncertainty range is dominated by insufficiently constrained cosmic rate of stellar core-collapse events.

LSST survey at the upcoming Vera Rubin Telescope will allow to better constrain the SN rate as a function of redshift.

GW Telescopes can better constrain the nuclear EoS and therefore the neutron star mass limit.

## Simulation fixed parameters:

- Baryonic mass limit of  $2.7 M_{\text{sun}}$
- $\alpha_{\text{BH}}=2.0$

# THE INFLUENCE OF A FRACTION ON THE SPECTRA

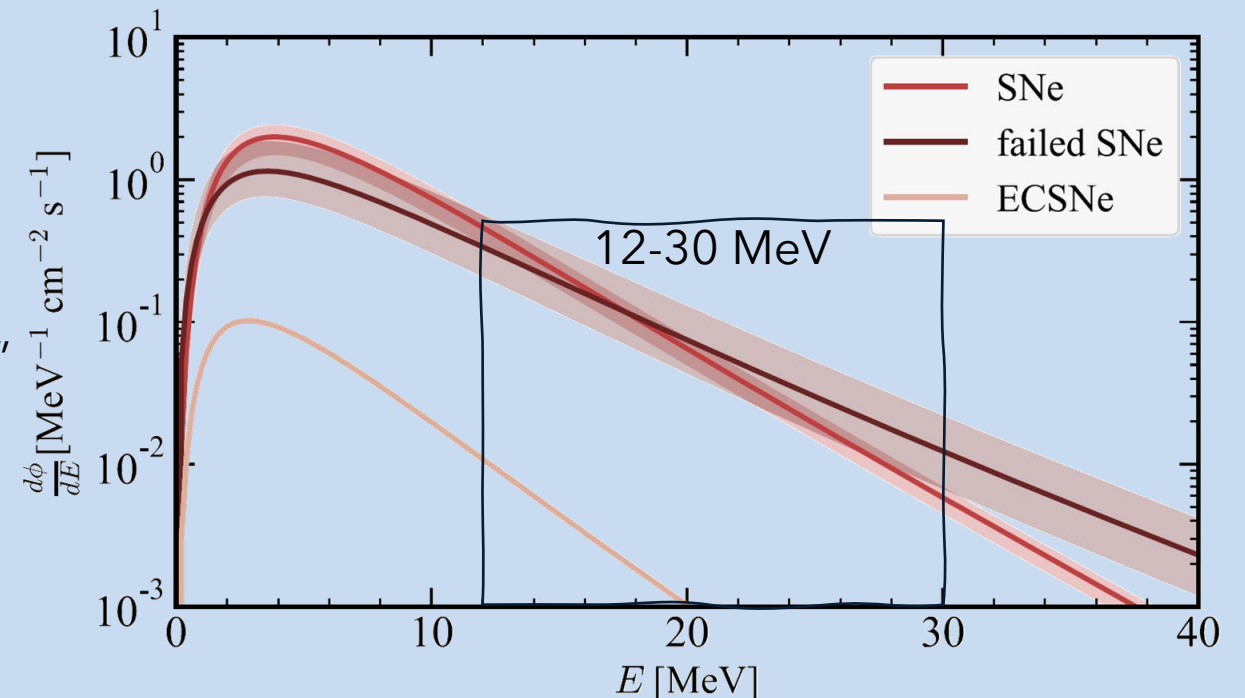
<https://arxiv.org/pdf/2010.04728>

- DSNB Spectrum from 200 x1D CCSN simulations done by the Garching Group\*

Fractions of Successful and Failed SNe

Engine Model	Successful SNe	Failed SNe
Z9.6 and S19.8	82.2%	17.8%
Z9.6 and N20	77.2%	22.8%
Z9.6 and W18	73.1%	26.9%
Z9.6 and W15	70.9%	29.1%
Z9.6 and W20	58.3%	41.7%

W20 "Upper"  
W18 "Fiducial"  
S19.8 "Lower"



\*The DSNB models are available for download upon request on the Garching Core-Collapse Supernova Archive <https://www.mpa.mpg.de/ccsnarchive/archive.html>.



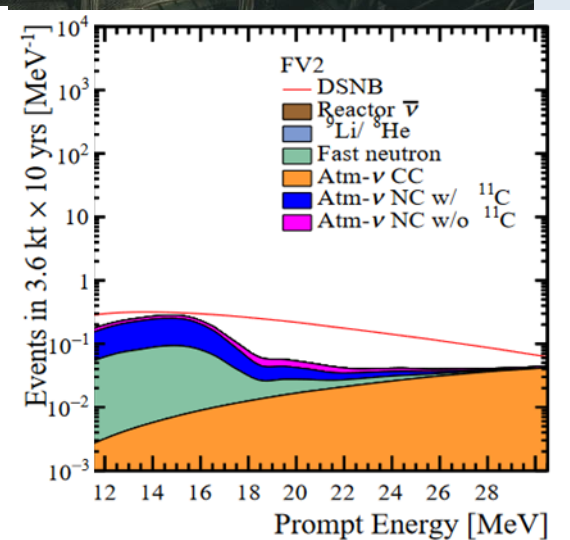
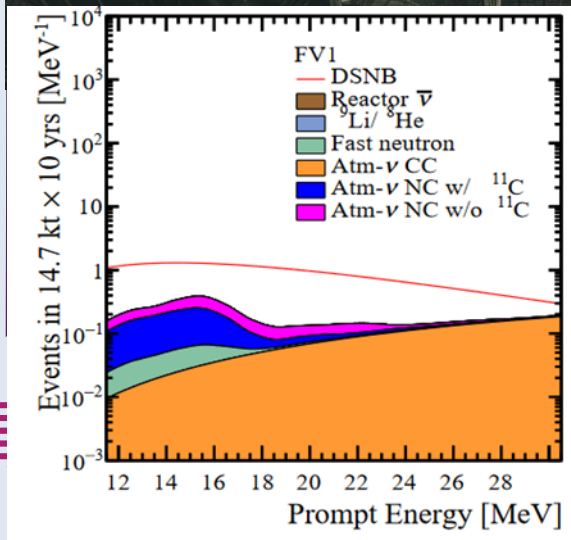
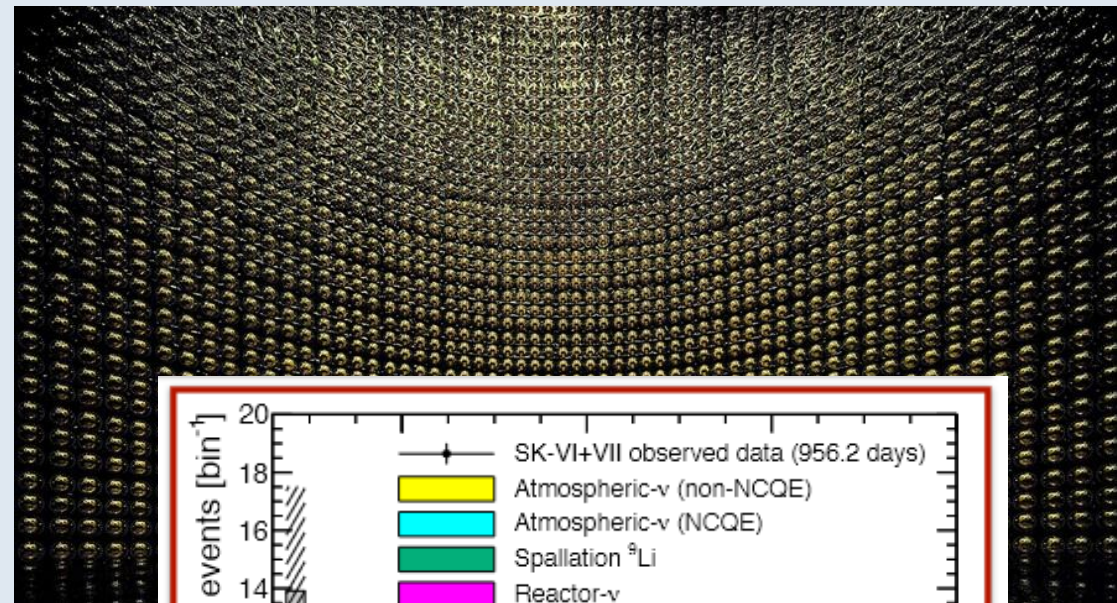
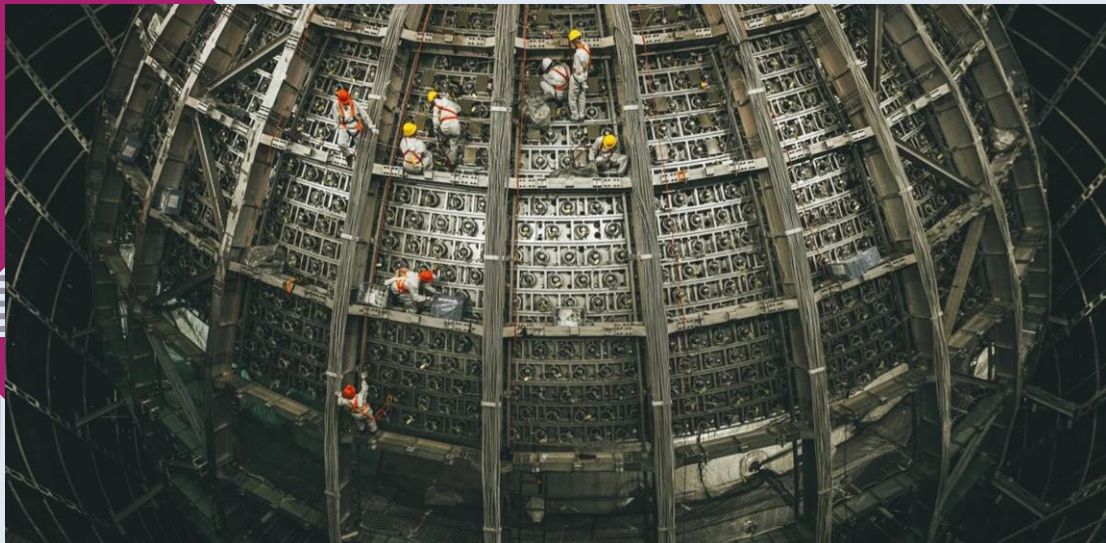


# COMBINATION OF FLUX & BACKGROUNDS

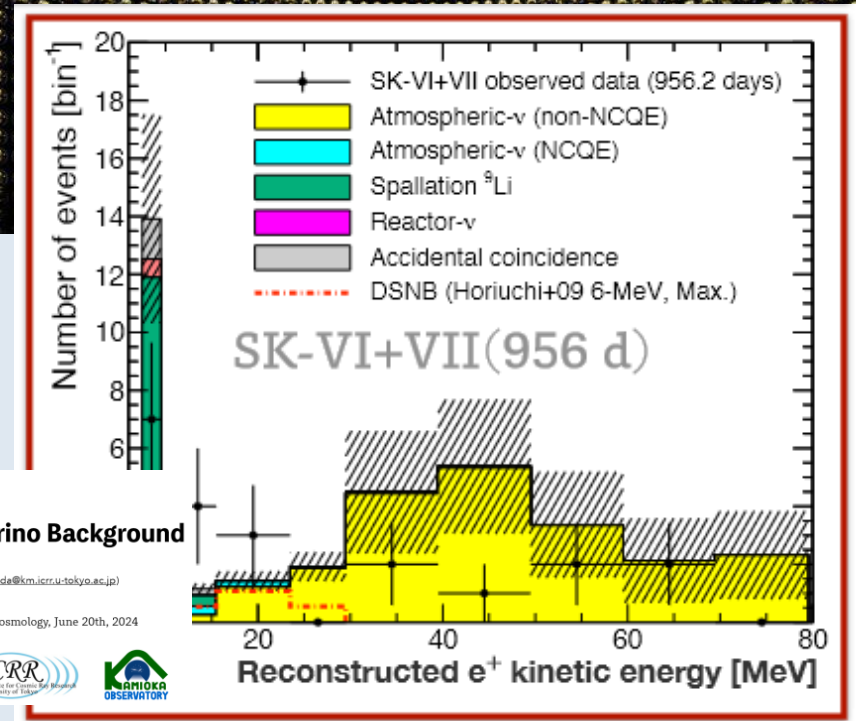
# BACKGROUNDS IN JUNO AND SK

JUNO

SuperK



<https://arxiv.org/pdf/2205.08830>



## Review of Diffuse SN Neutrino Background

Masayuki Harada  
ICRR, University of Tokyo, Japan (mharada@icrr.u-tokyo.ac.jp)

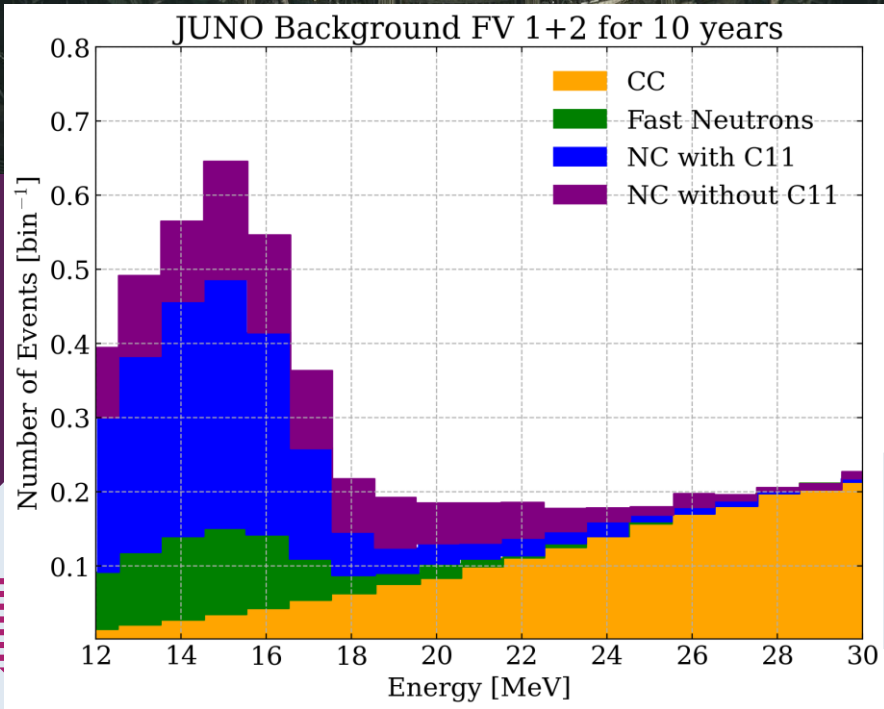
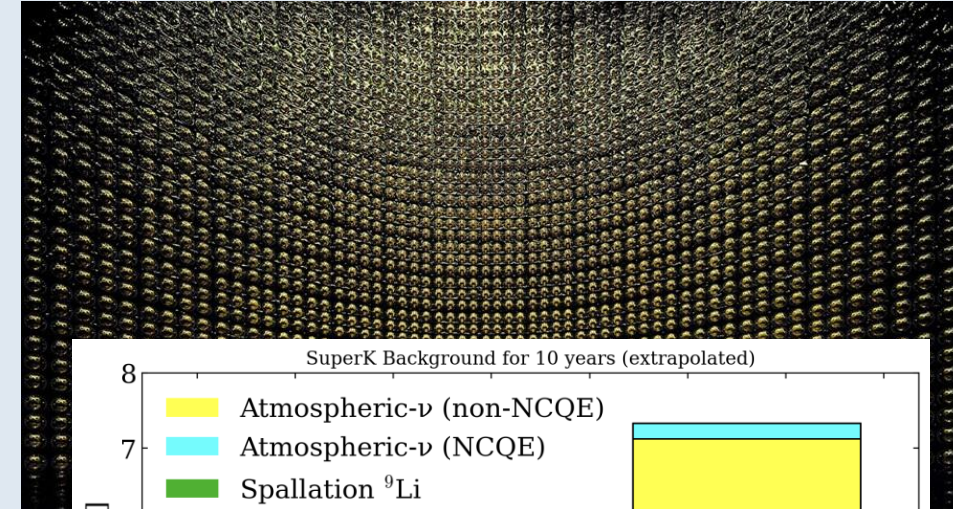
NEUTRINO 2024 S10: Neutrino Cosmology, June 20th, 2024  
@Milan, Italy



# BACKGROUNDS IN JUNO AND SUPERK

JUNO

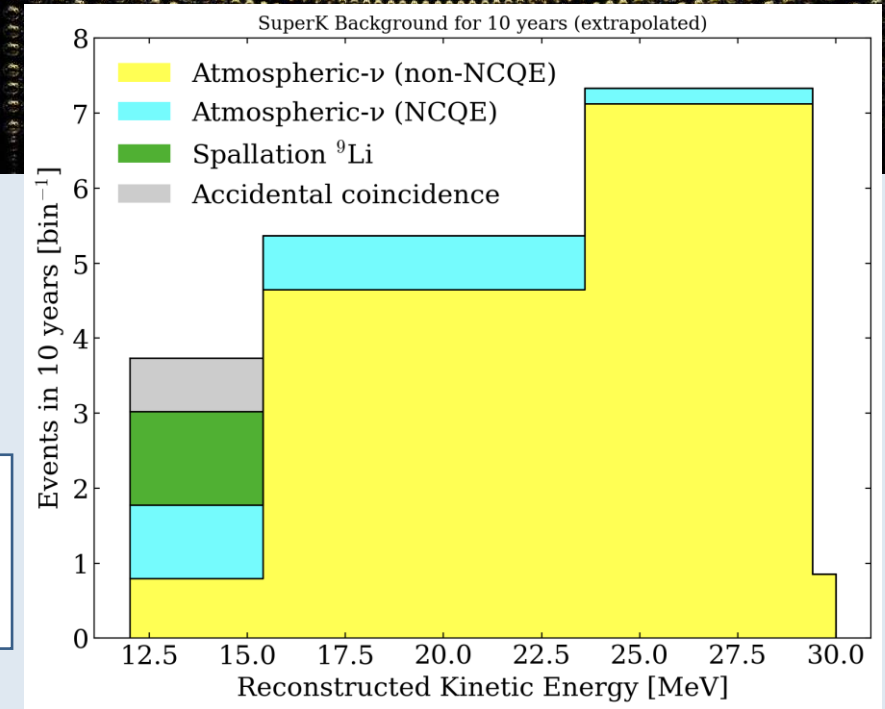
SuperK



~5.5  
residual  
BG events

+

~17.3  
residual  
BG events



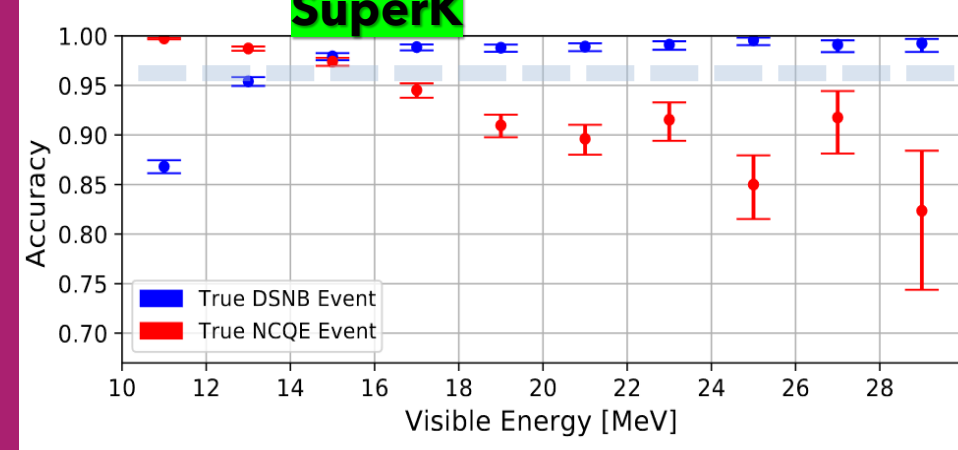
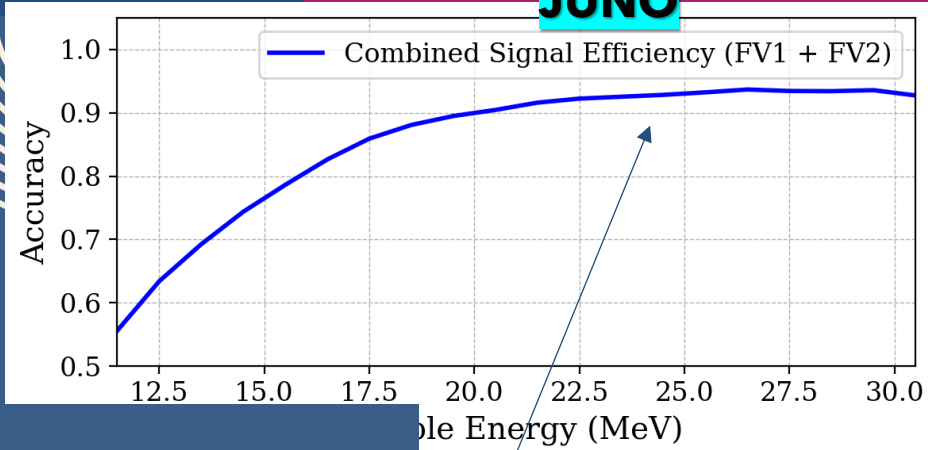
x Machine Learning  
Signal Efficiency

# DSNB Flux

x Machine Learning  
Signal Efficiency

**JUNO**

**SuperK**



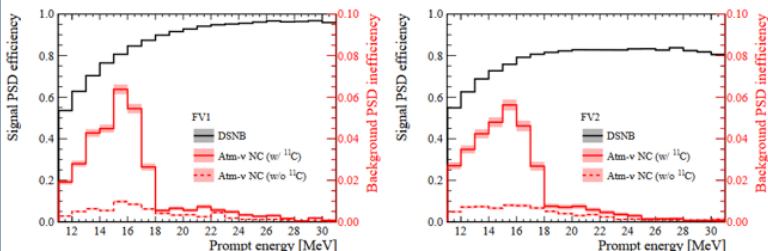
## JUNO SIGNAL SENSITIVITY

<https://arxiv.org/pdf/2205.08830>

### Sensitivity

Signal	Rate[147 kt × yr]	muon veto	PSD	TC cut
12 MeV	16.2	15.2	12.9	12.1
15 MeV	20.8	93.6%	19.4	16.7
18 MeV	25.2	23.6	20.4	93.6% 19.1
21 MeV	29.0	27.2	23.7	22.1

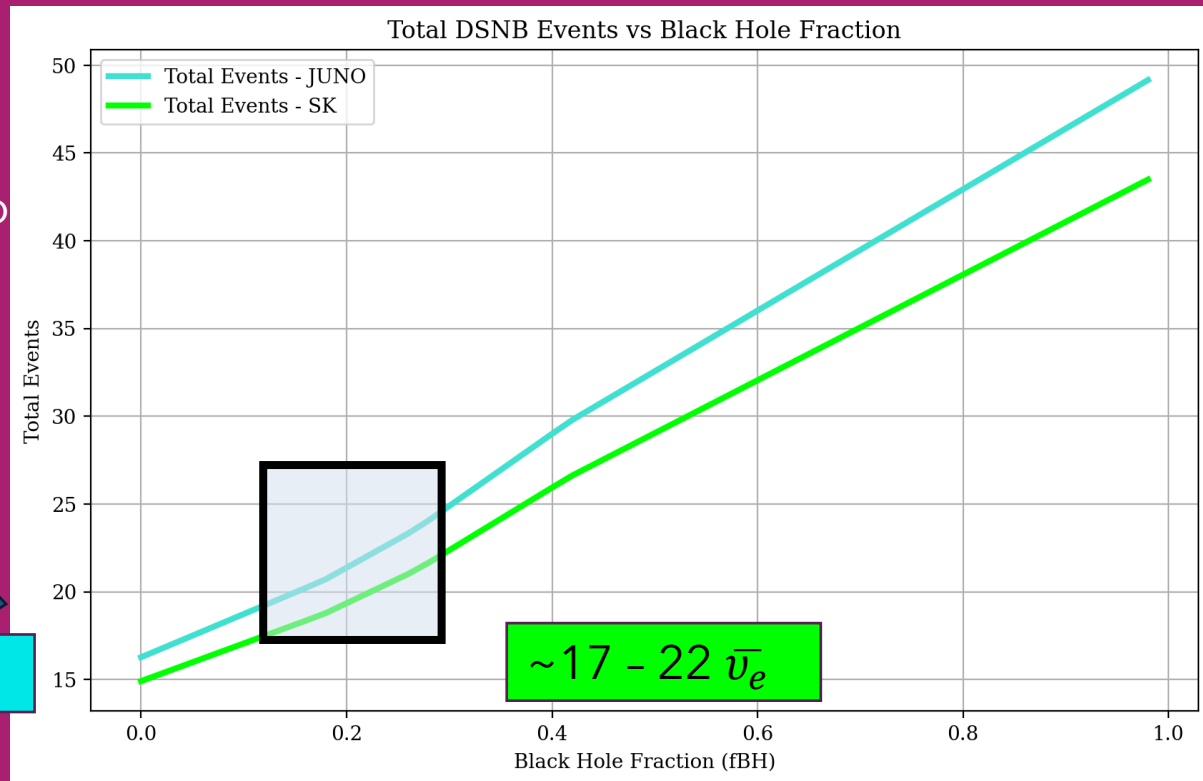
Signal	Rate[36 kt × yr]	muon veto	PSD
12 MeV	3.9	3.6	2.8
15 MeV	5.0	93.6%	4.6
18 MeV	6.0	5.6	4.4
21 MeV	6.9	6.5	5.1



936  
muon veto

936  
TC Cut

25  $\bar{\nu}_e$



x0.67  
Neutron  
Capture

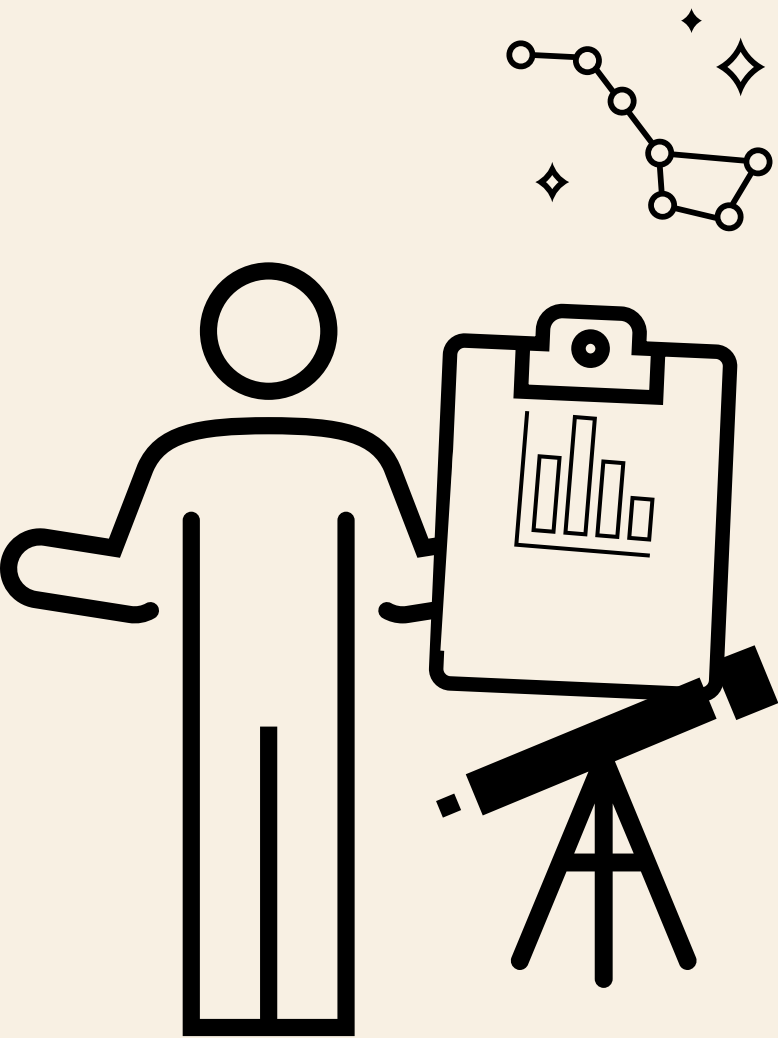


# TWO TESTS

**1st Test**, the expected events do **not** include BH events.

**2nd Test**, the expected events include BH events

# FIRST TEST



## Assumption:

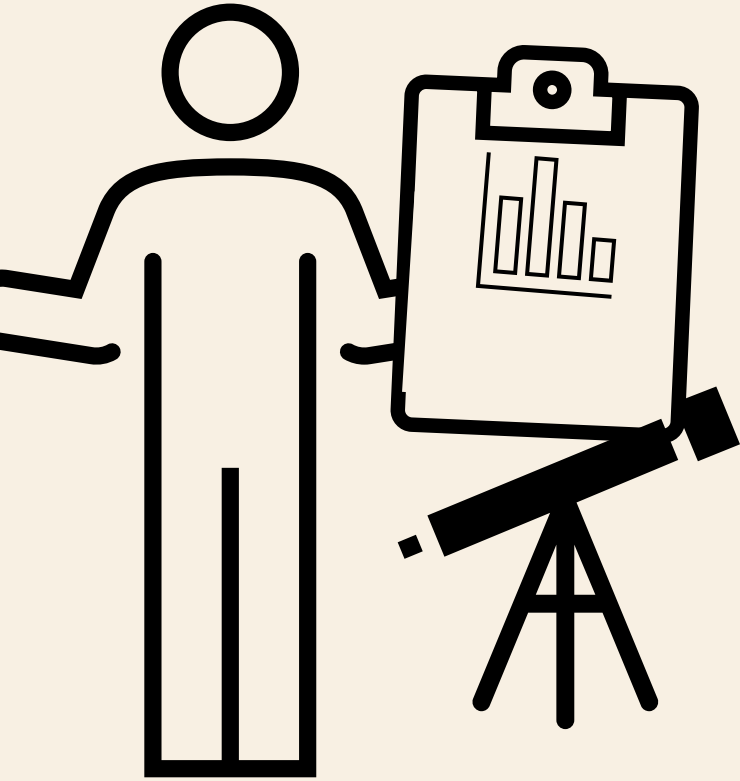
### •**Precise Knowledge of Successful CCSN Rate:**

We have an accurate measurement of the rate of successful core-collapse supernovae (CCSN) that form neutron stars, obtained through astronomical observations.

•**Testing for Failed CCSN Contribution:** This known rate allows us to investigate whether the measured Diffuse Supernova Neutrino Background (DSNB) includes an additional contribution from failed CCSN that result in black holes.



# FIRST TEST METHODOLOGY:



## Data/Input:

**Successful Supernovae (NS)**

**Failed Supernovae (BH)**

**Background Events (BKG):** Include background neutrino events relevant to the experiment (e.g., JUNO and SuperK).

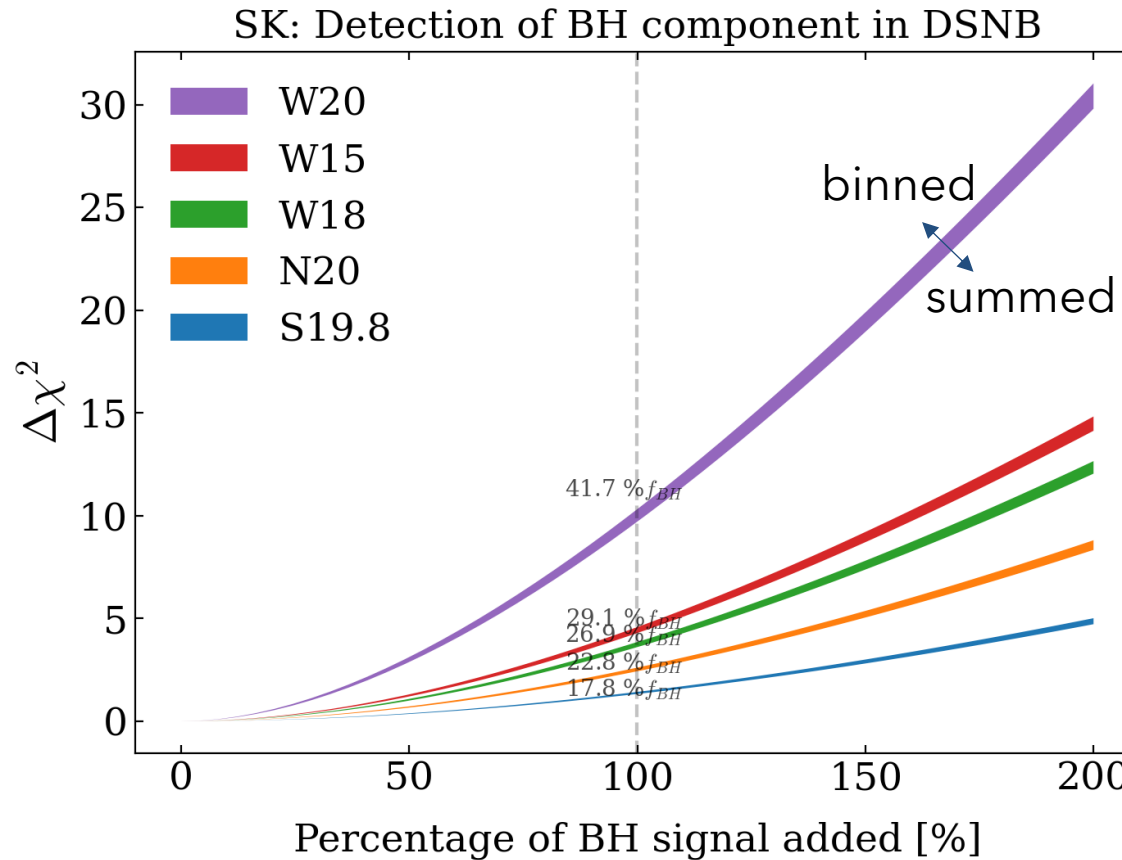
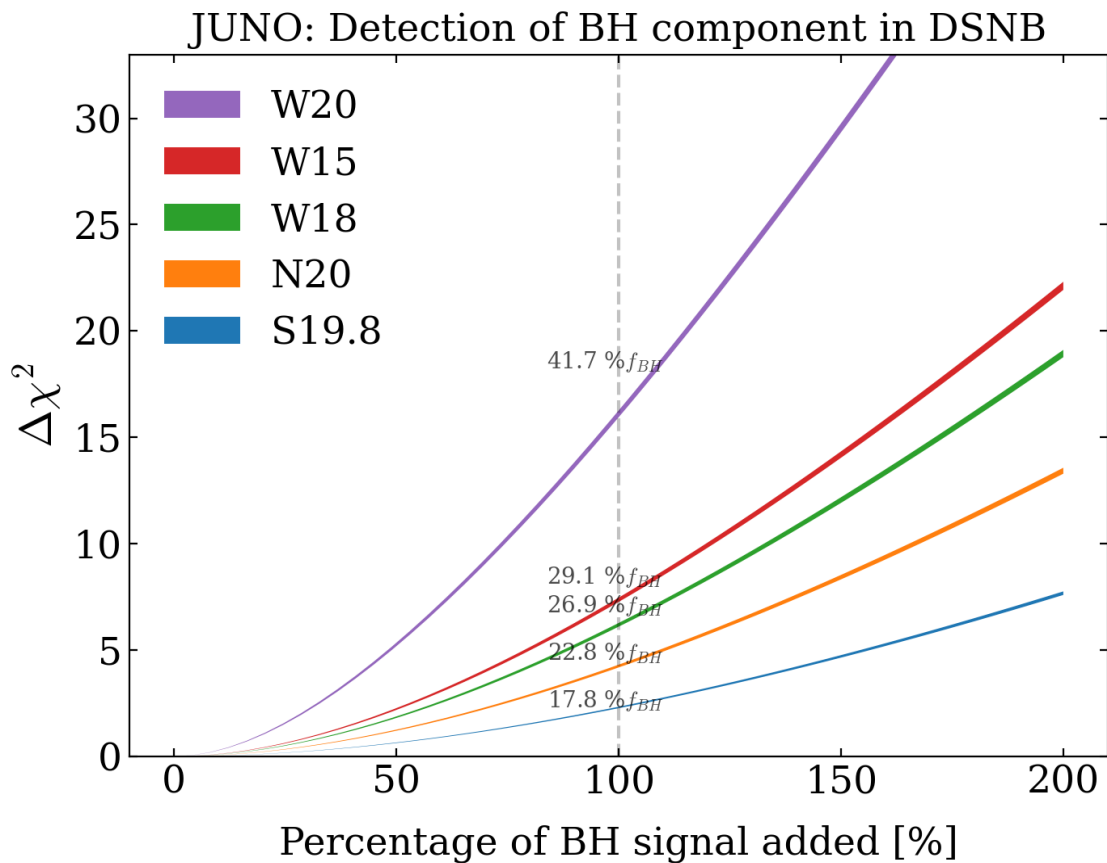
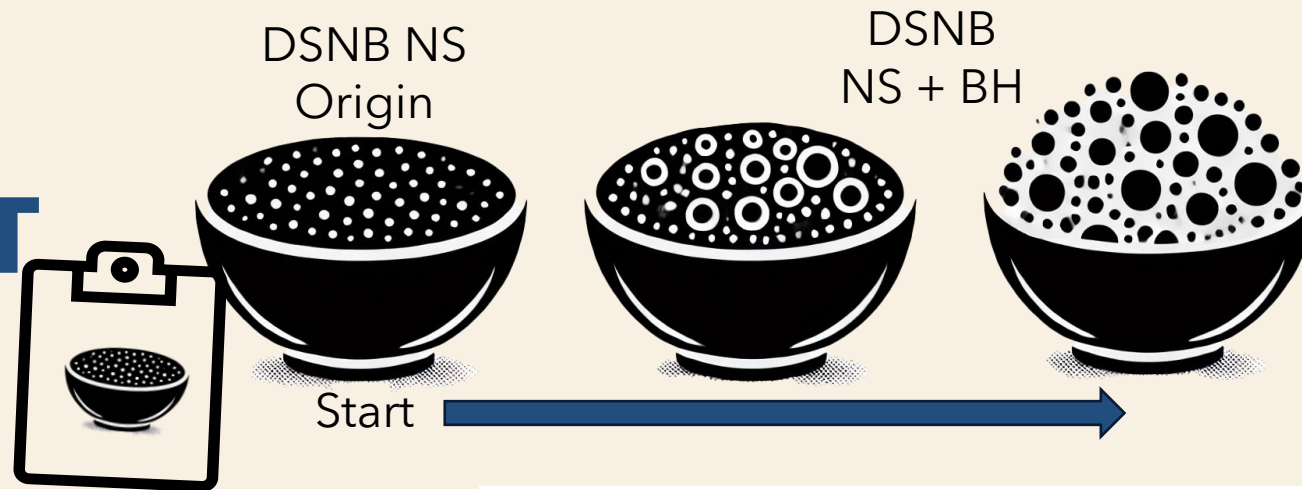
## Statistical Analysis:

1. For each model, we add a variable fraction [0-200%] of BH events
2. For each fraction, we compare the
  - **Expected events:** NS events+ background
  - **Observed events:** NS events + scaled BH events + background
3. Likelihood Function: Utilize the Poisson likelihood function to compute the test statistic  $-2\ln\lambda$ .

**Bin-by-Bin Sensitivities:** Perform the statistical test for each energy bin given a detector resolution.

**Summed Sensitivities:** Sum over all bins to evaluate the overall sensitivity of the experiment to the failed CCSN contribution.

# FIRST TEST PLOTS:





# CONSIDERING THE FUTURE: TIMELINE OF BLACK HOLE COMPONENT SENSITIVITY IN THE DSNB ACROSS EXPERIMENTS

## Super-Kamiokande:

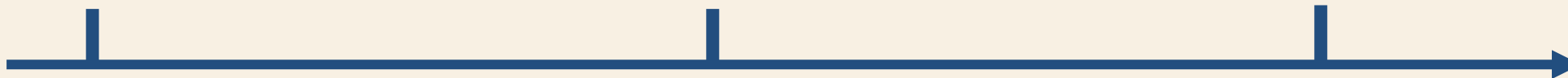
- Operates from Year 0 to 7. (2020-2027)
- Assumption: SK stops data-taking when HK begins.

## JUNO:

- Operates from Year 5 onwards. (2025-)
- Contribution: Increases sensitivity, particularly in specific energy ranges.

## Hyper-Kamiokande:

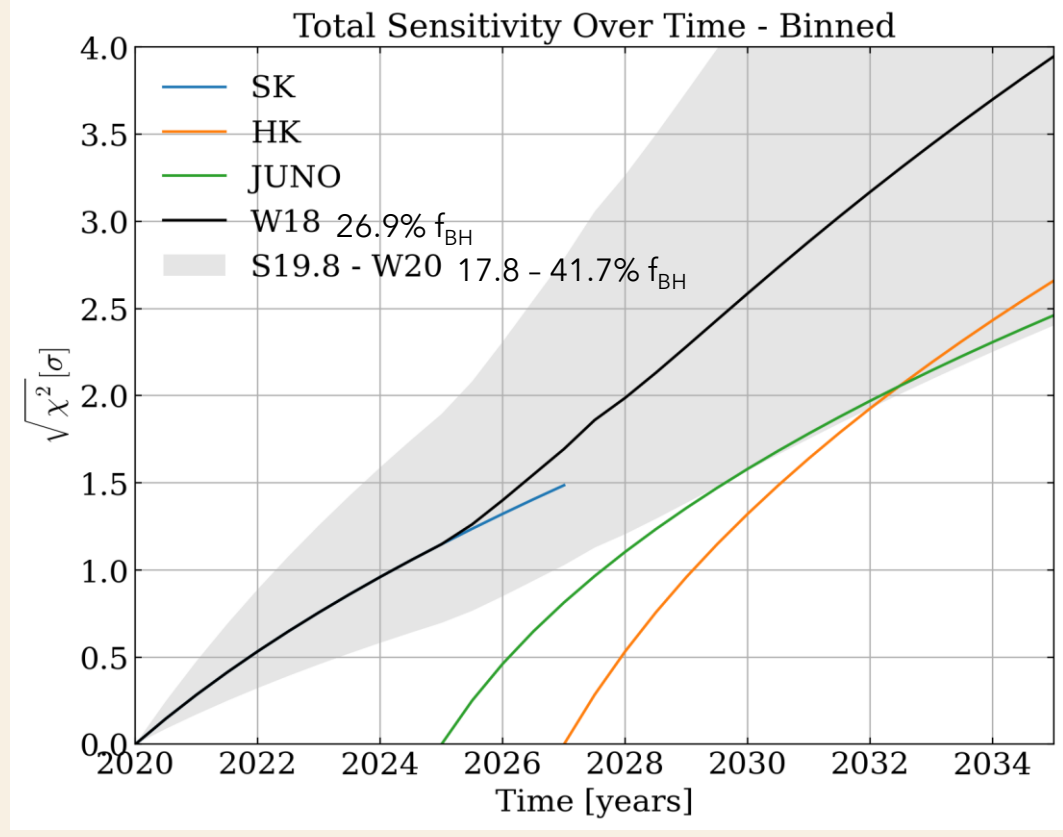
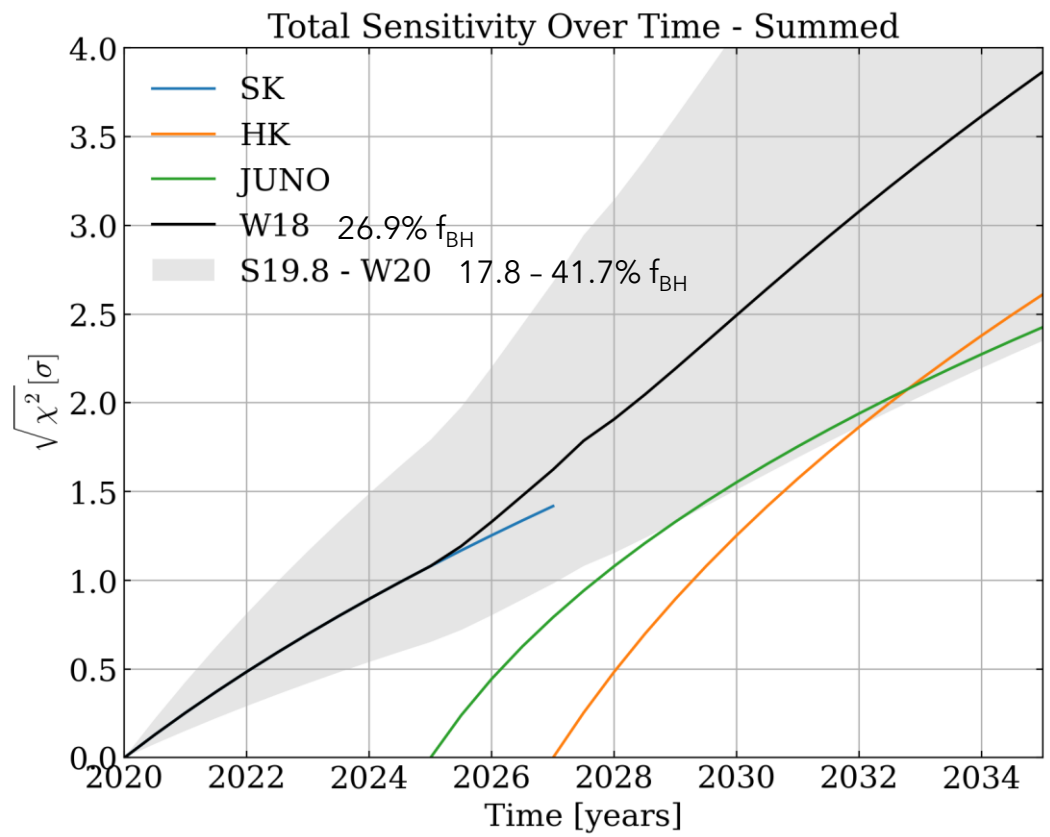
- Operates from Year 7 onwards. (2027-)
- Assumption: Double SK (Background and Signal).



# FIRST TEST

## SUMMED VS BINNED

### WITH BACKGROUNDS



# SECOND TEST



## Objective:

### •**Assess Sensitivity to BH Fraction:**

Determine how sensitive we are to different black hole fractions in the DSNB by comparing spectra of different mixtures.

•**Spectrum Fitting:** Evaluate how well (or poorly) a DSNB spectrum with a specific neutron star and scaled black hole mixture can be fitted with a specific model.

# SECOND TEST METHODOLOGY:

## Statistical Analysis:

### 1. Interpolated Spectra:

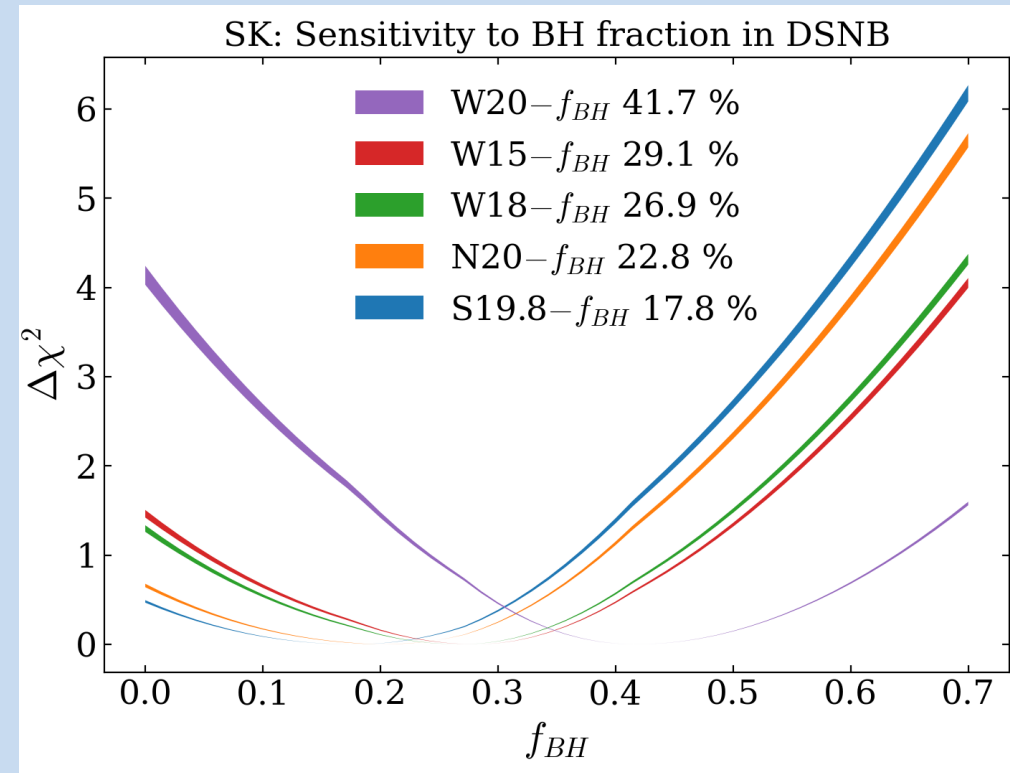
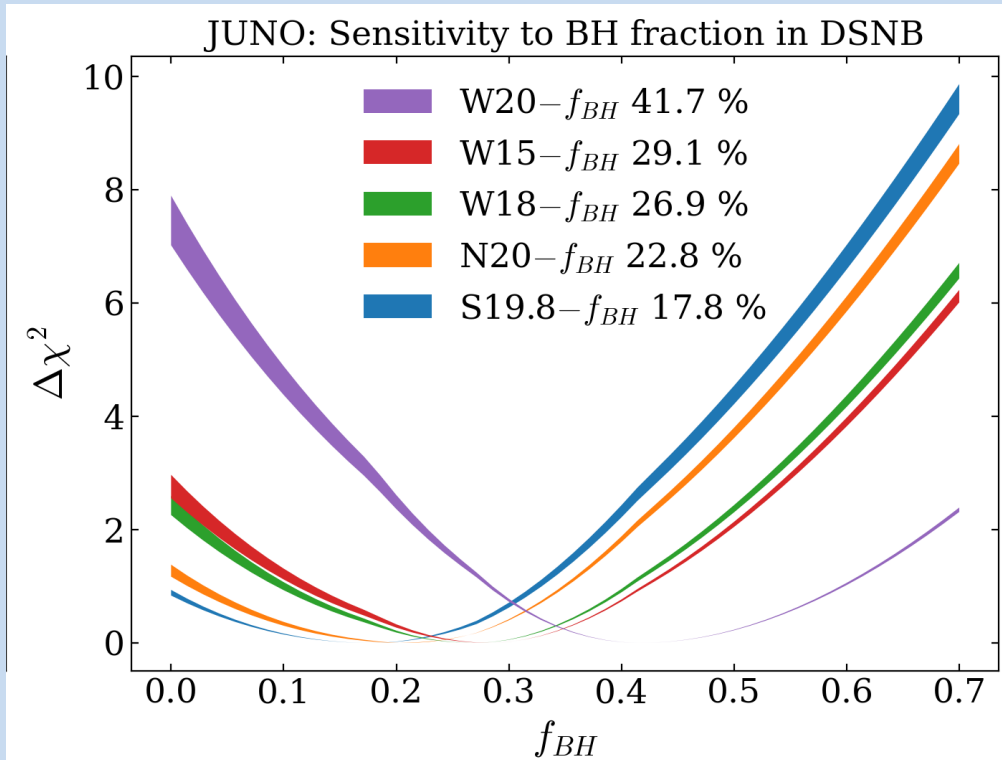
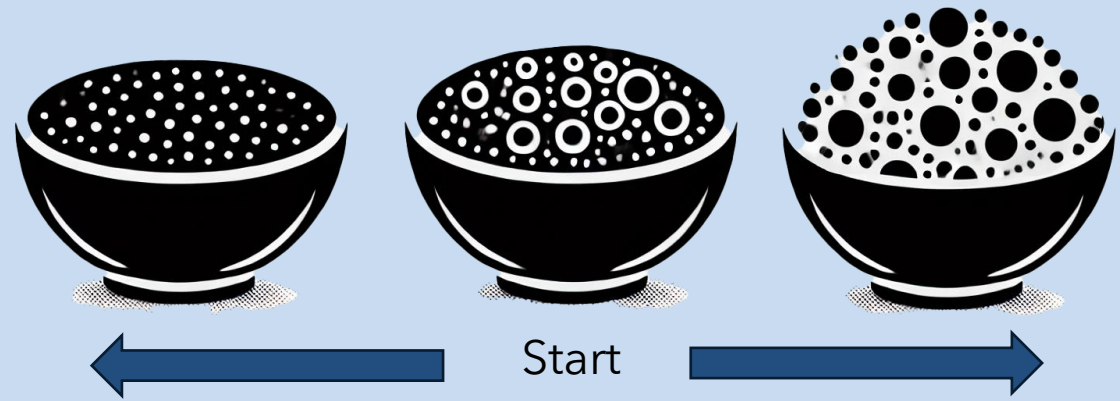
For a given BH fractions ( $f_{BH}$ ), interpolate NS and BH fluxes to generate new spectra

- **Expected events:** (NS + BH) events from model + background
- **Observed events:** (NS + BH) events interpolated across models for a given  $f_{BH}$  + background

2. Likelihood Function: Utilize the Poisson likelihood function to compute the test statistic  $-2\ln\lambda$ .



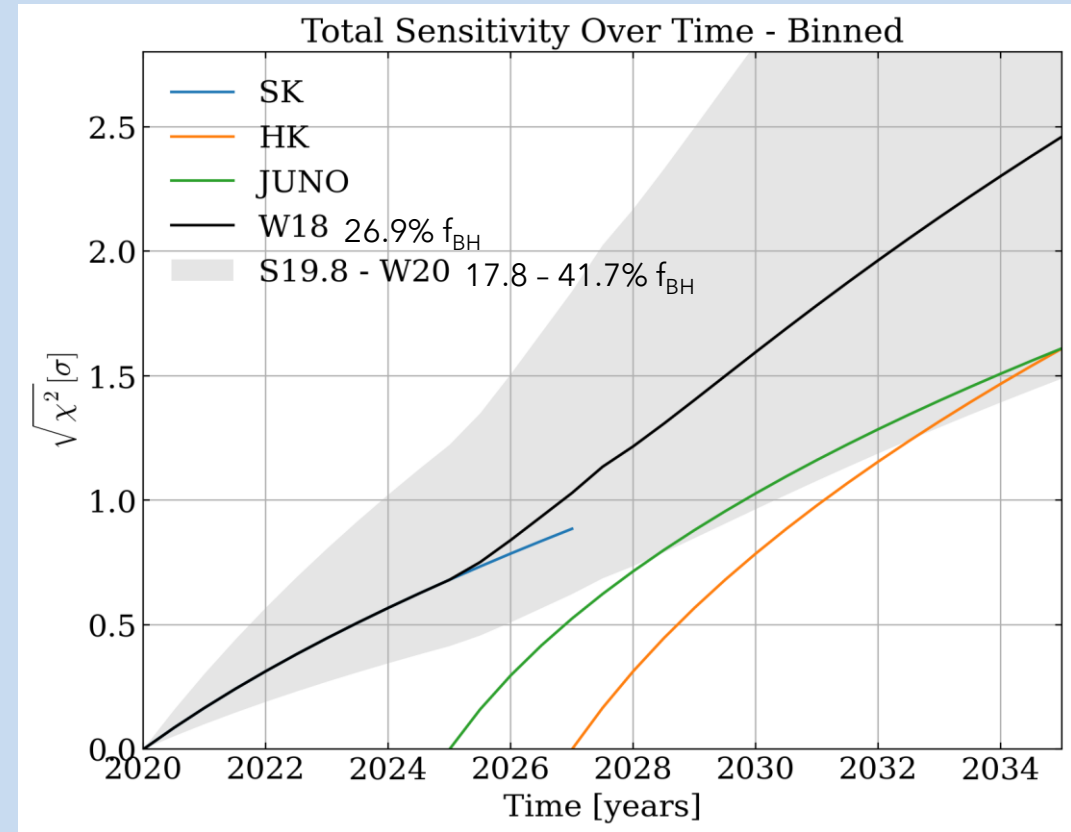
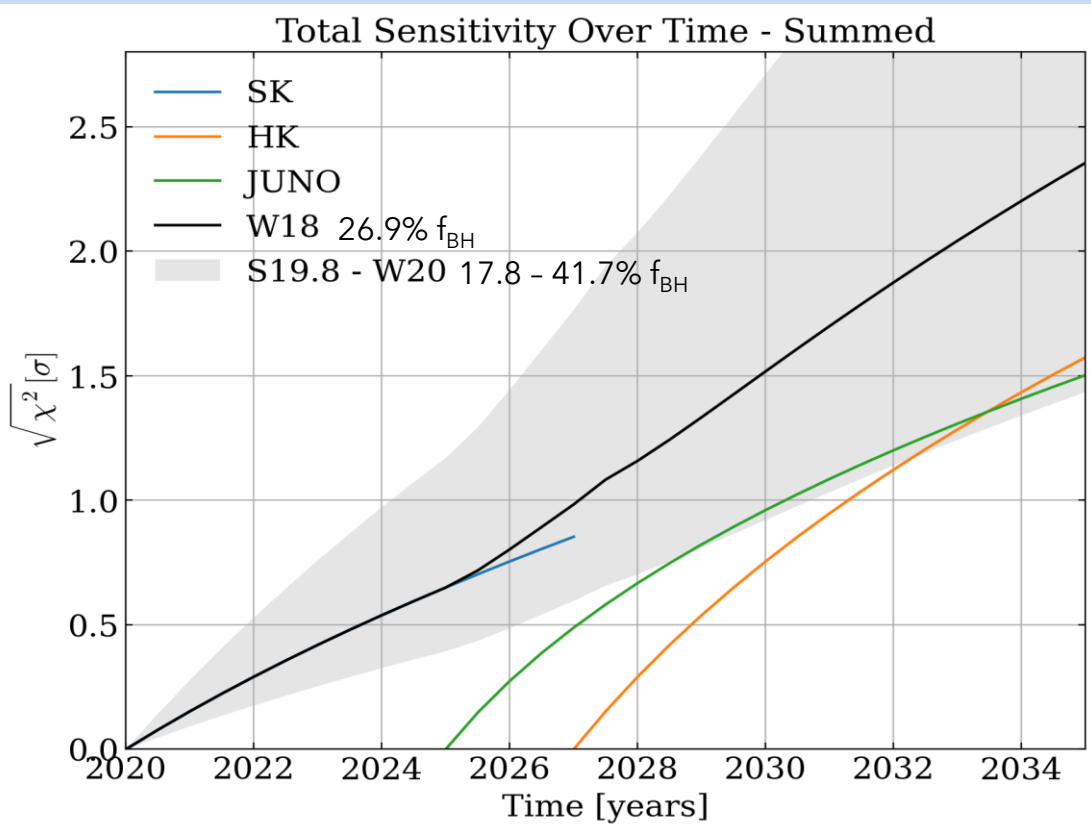
# SECOND TEST PLOTS:



# SECOND TEST

## SUMMED VS BINNED

### WITH BACKGROUNDS



# THANK YOU FOR YOUR ATTENTION

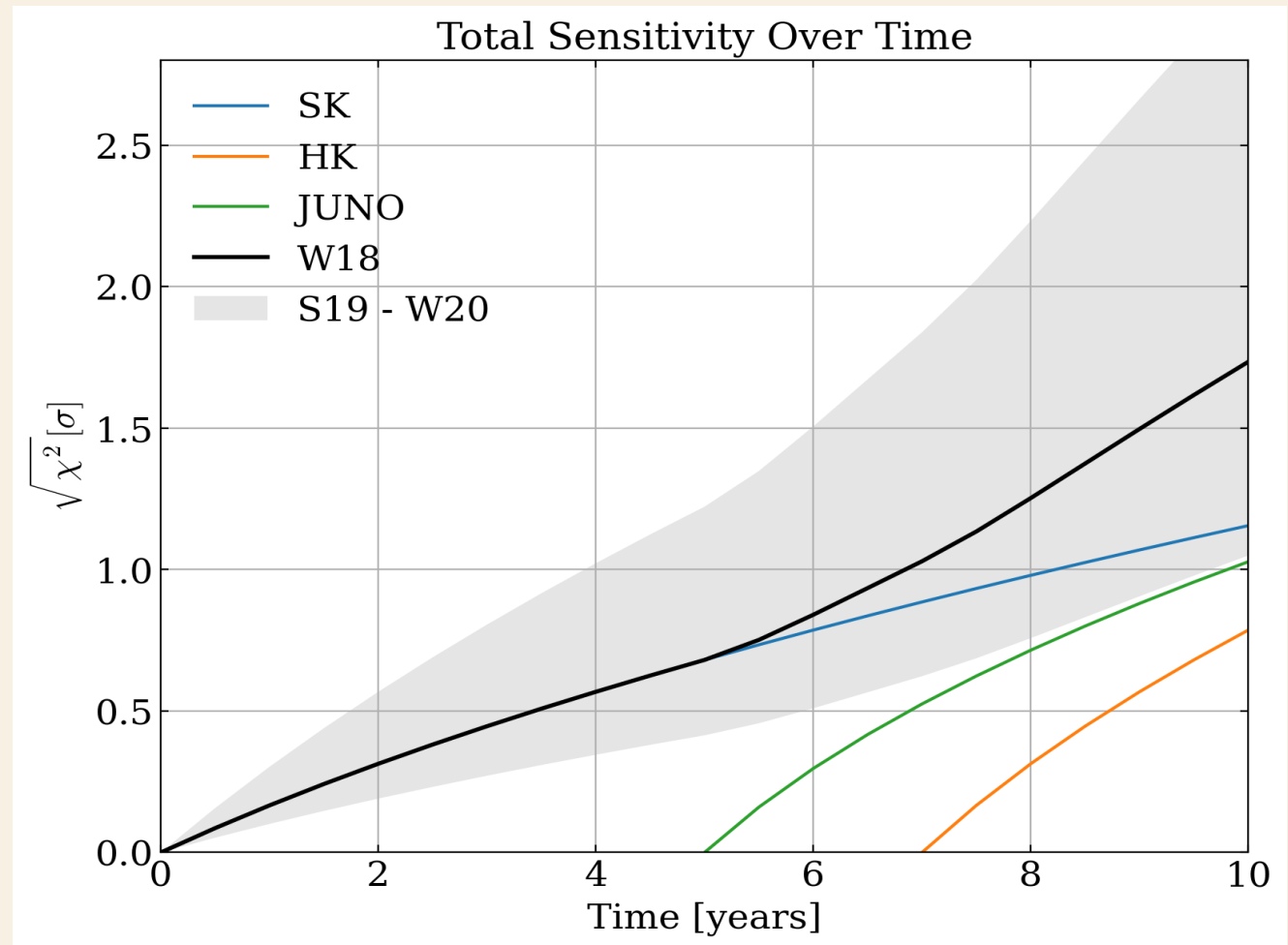


QUESTIONS?



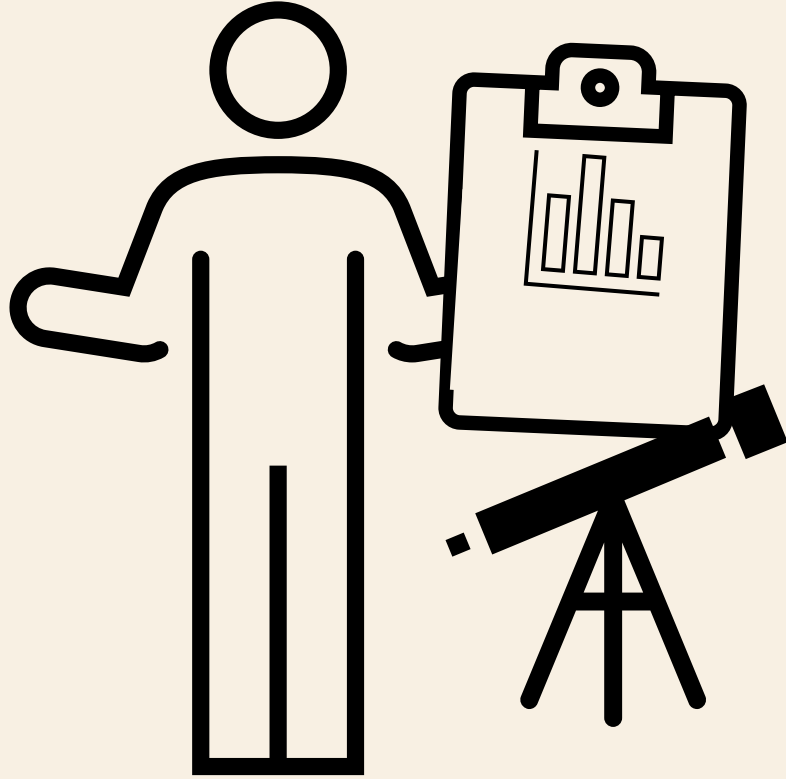
CONTACT:  
DAVID MAKSIMOVIC  
DAMAKSIM@UNI-MAINZ.DE

# TIMELINE WITH SK NOT SHUTTING DOWN





# FIRST TEST METHODOLOGY:



## 1. Event Rate Calculation:

- 1. Successful Supernovae (NS):** Calculate the expected neutrino event rates from successful CCSN.
- 2. Failed Supernovae (BH):** Consider a variable fraction of failed CCSN contributing to the event rates.
- 3. Background Events (BKG):** Include background neutrino events relevant to the experiment (e.g., JUNO and SuperK).

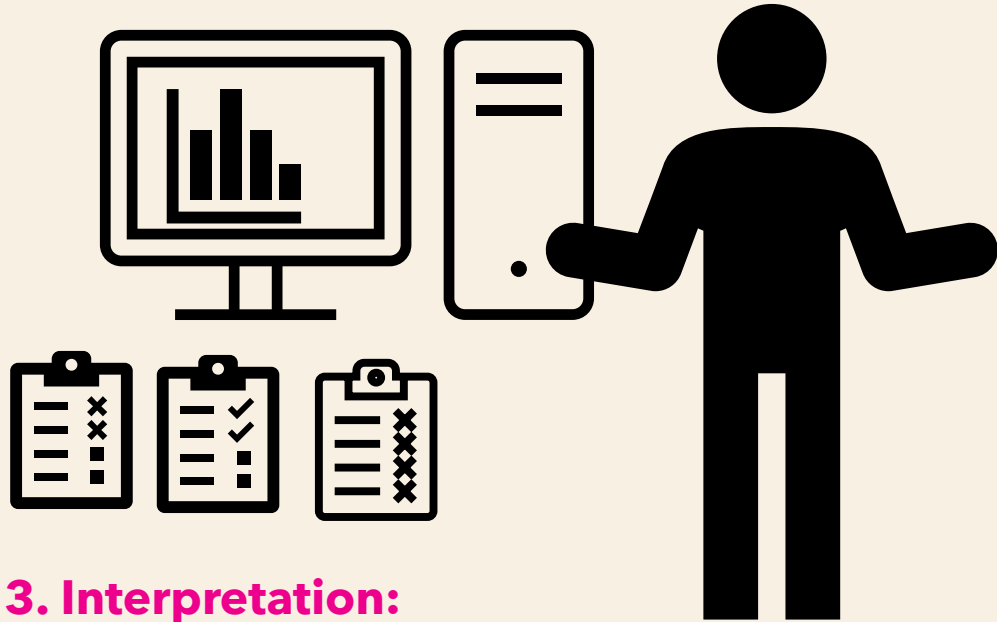
## 2. Statistical Analysis:

- 1. Observed vs. Expected Events:** Compare the expected events (successful CCSN + background) with the observed events, which may include a contribution from failed CCSN.
- 2. Likelihood Function:** Utilize the Poisson likelihood function to assess the probability of observing the data given the expected rates.
- 3. Test Statistic:** Compute the test statistic  $-2\ln\lambda$  to quantify the difference between the observed and expected event rates.

## 3. Sensitivity Evaluation:

- 1. Bin-by-Bin Analysis:** Perform the statistical test for each energy bin to identify discrepancies.
- 2. Summed Sensitivities:** Sum over all bins to evaluate the overall sensitivity of the experiment to the failed CCSN contribution.

# SECOND TEST METHODOLOGY:



## 3. Interpretation:

### Best Fit Identification:

The BH fraction corresponding to the minimum test statistic indicates the best fit to the observed data.

### Sensitivity Assessment:

Analyze how the test statistic varies with different BH fractions to understand the experiment's sensitivity.

## 1.Event Spectrum Calculation:

- **Observed Events (ex):**

$$ex = \text{events\_NS} + \text{events\_BH} + \text{events\_BKG}$$

- **Interpolated Spectra (Model Predictions):**

For varying BH fractions (fBH), interpolate NS and BH fluxes to generate new spectra.

- **Expected Events (ob):**

$$ob = \text{interp\_NS} + \text{interp\_BH} + \text{events\_BKG}$$

## 2.Statistical Analysis:

**Likelihood Function:** Use the Poisson likelihood to compare the observed events (ex) with the expected events from the model (ob).

$$-2\ln\lambda = -2\sum(ex \cdot \ln(ob) - ob - \ln(ex!))$$

### Sensitivity Calculation:

1. Compute the test statistic for each BH fraction.
2. Normalize the test statistics by subtracting the minimum value to highlight relative differences.

# JUNO SIGNAL SENSITIVITY

<https://arxiv.org/pdf/2205.08830>

## Sensitivity

Signal	Rate[147 kt × yr]	muon veto	PSD	TC cut
12 MeV	16.2	15.2	12.9	12.1
15 MeV	20.8	93.6% 19.4	16.7	15.6
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