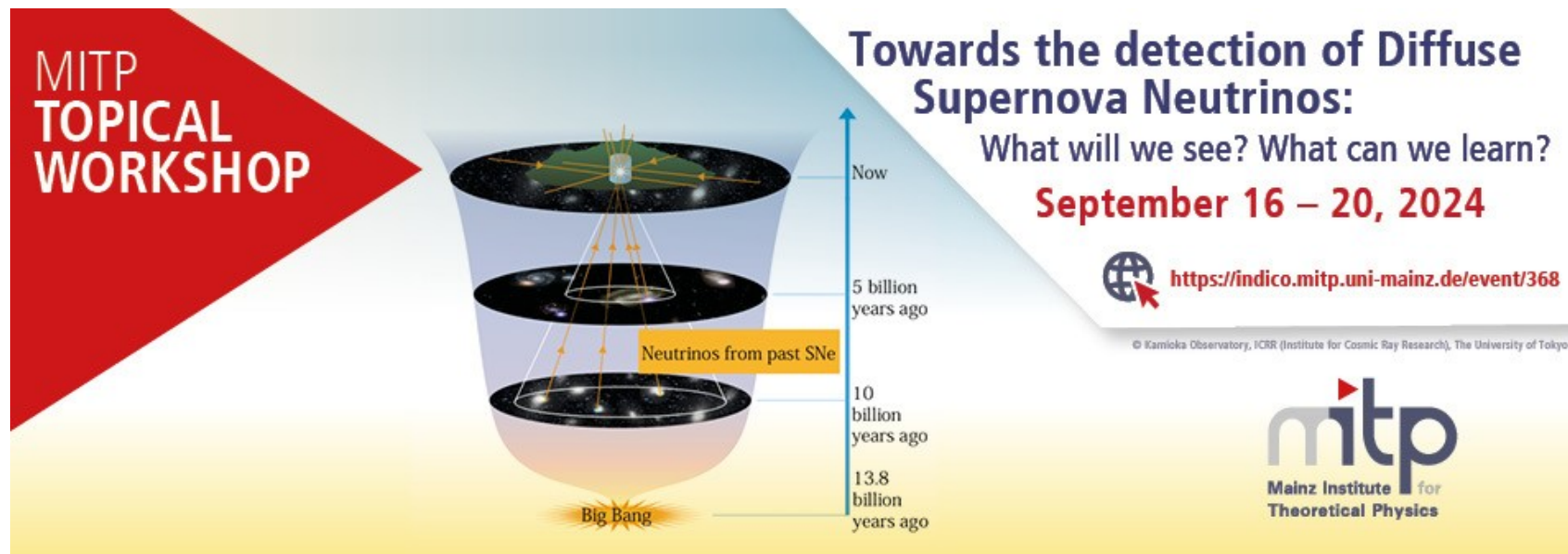


Status and prospects of DSNB modeling

Daniel Kresse, 2024-09-17



MITP TOPICAL WORKSHOP

Towards the detection of Diffuse Supernova Neutrinos:

What will we see? What can we learn?

September 16 – 20, 2024

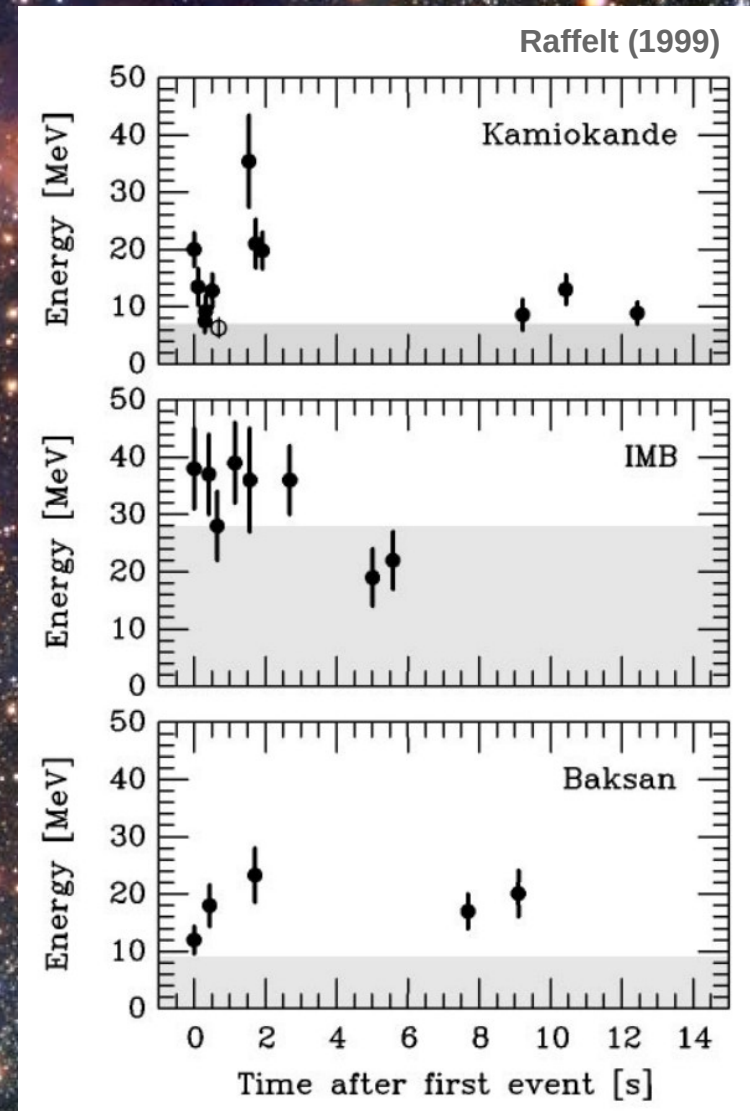
<https://indico.mitp.uni-mainz.de/event/368>

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mitp
Mainz Institute for Theoretical Physics

The diagram illustrates the timeline of neutrino detection from the Big Bang to the present. It shows a funnel-shaped structure representing the universe's expansion, with a vertical axis on the right indicating time. The axis is marked with 'Now', '5 billion years ago', '10 billion years ago', and '13.8 billion years ago'. At the bottom, 'Big Bang' is labeled. A yellow box labeled 'Neutrinos from past SNe' is positioned between the 5 billion and 10 billion years ago marks. A central detector is shown at the top, with lines representing neutrinos traveling downwards through the universe's expansion.

- core-collapse of massive stars (above $\sim 9 M_{\odot}$)
→ formation of a compact remnant (NS/BH)
- $\sim 99\%$ of released gravitational binding energy (several 10^{53} erg) radiated in the form of neutrinos and antineutrinos in an $\sim \mathcal{O}(10 \text{ s})$ long signal
→ **SN 1987A**
- waiting for next galactic/nearby SN with high expected event statistics (e.g. Super-K, IceCube)
- **however:** (1.9 ± 1.1) CCSNe per century in the Milky Way (Diehl et al. 2006)

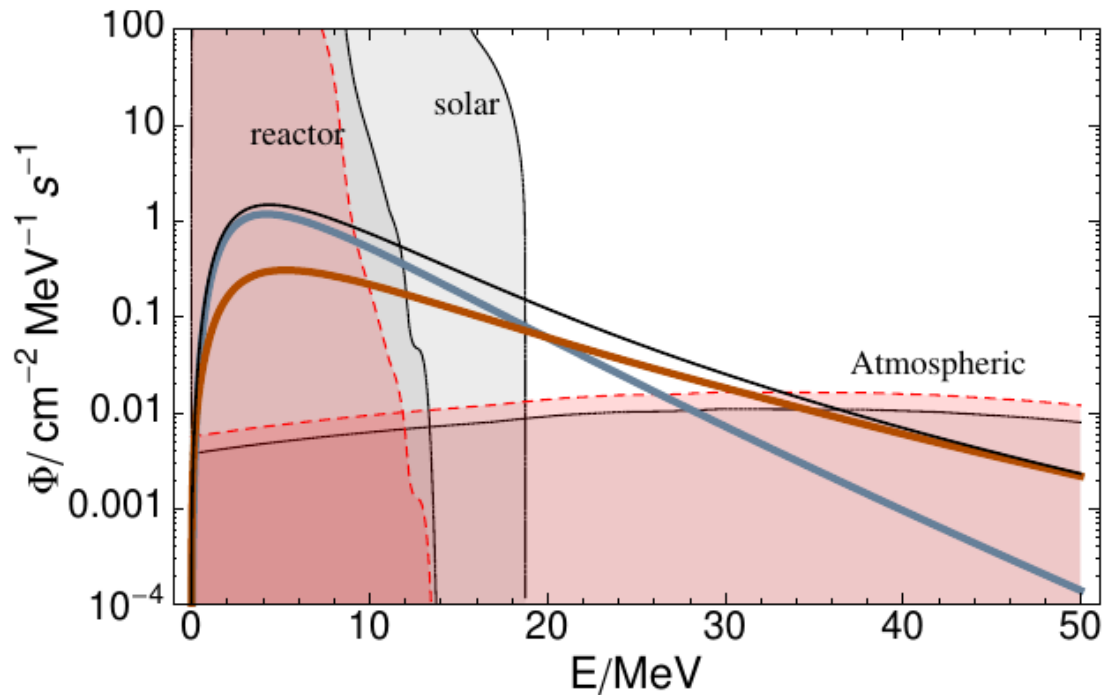




Earth is exposed to a bath of relic neutrinos from all past CCSNe:
diffuse supernova neutrino background (DSNB)

“guaranteed” (isotropic and stationary) signal of MeV (anti-)neutrinos:
expected flux of electron antineutrinos: $\sim(20-50) \text{ cm}^{-2} \text{ s}^{-1}$

DSNB Detection Prospects

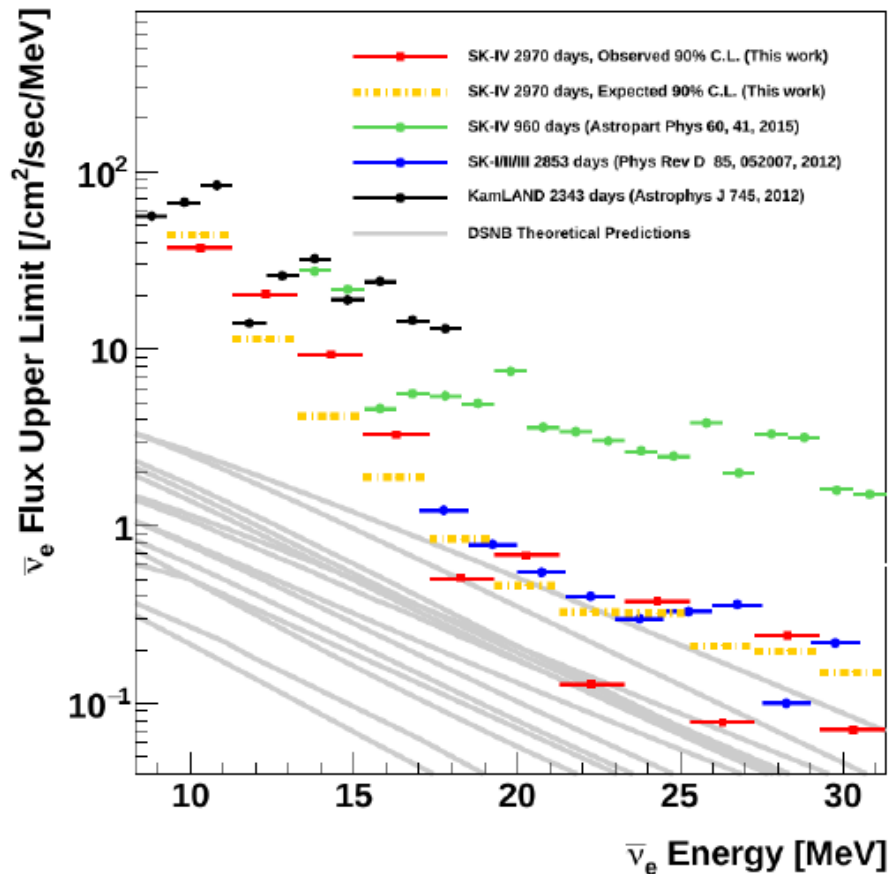


detection window:
 $\sim 10 - 30 \text{ MeV}$

Keehn & Lunardini 2012, PhRvD, 85, 043011

- upper flux limits (e.g., Abe et al. 2021) close to theoretical predictions
→ excellent discovery prospects within next decade (e.g., SK-Gd, JUNO)

DSNB Detection Prospects (Super-K flux limit)

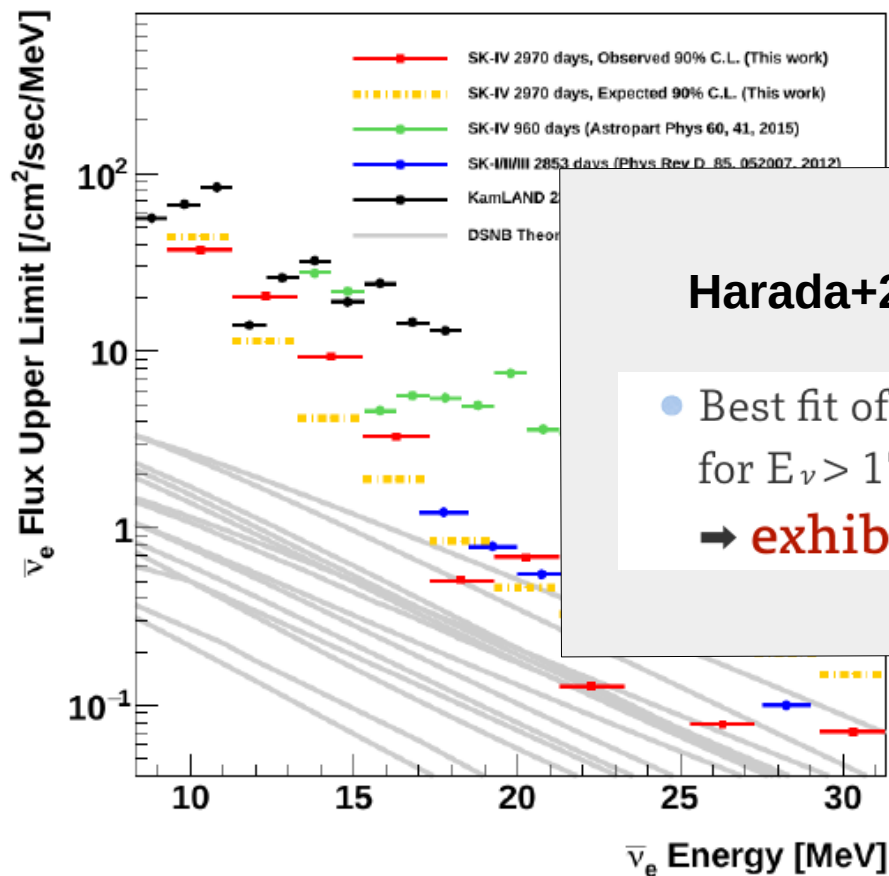


- $\Phi(E > 17.3 \text{ MeV}) \lesssim 2.7 \text{ cm}^{-2}\text{s}^{-1}$
- \sim a factor of 2 above theoretical predictions
- some models already disfavored / excluded

Abe et al. 2021, arXiv:2109.11174

- upper flux limits (e.g., Abe et al. 2021) close to theoretical predictions → excellent discovery prospects within next decade (e.g., **SK-Gd**, **JUNO**)
- **theoretical models** will be needed to interpret future measurements

DSNB Detection Prospects (Super-K flux limit)



Harada+24 (SK-Gd):

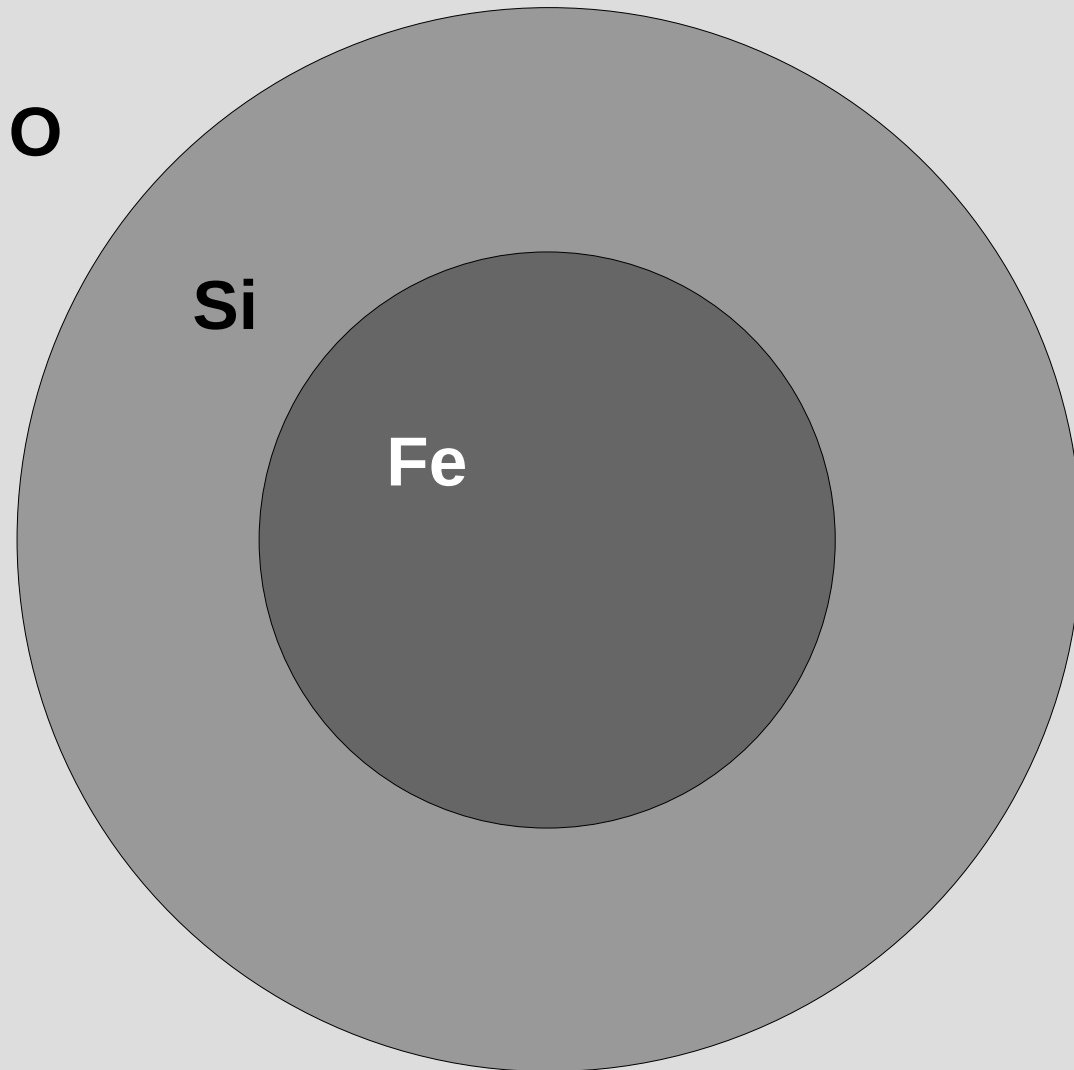
● Best fit of whole SK observation is $1.4^{+0.8}_{-0.6} \text{ cm}^{-2} \text{ s}^{-1}$ for $E_\nu > 17.3 \text{ MeV}$

➔ exhibit $\sim 2.3 \sigma$ excess!!

Abe et al. 2021, arXiv:2109.11174

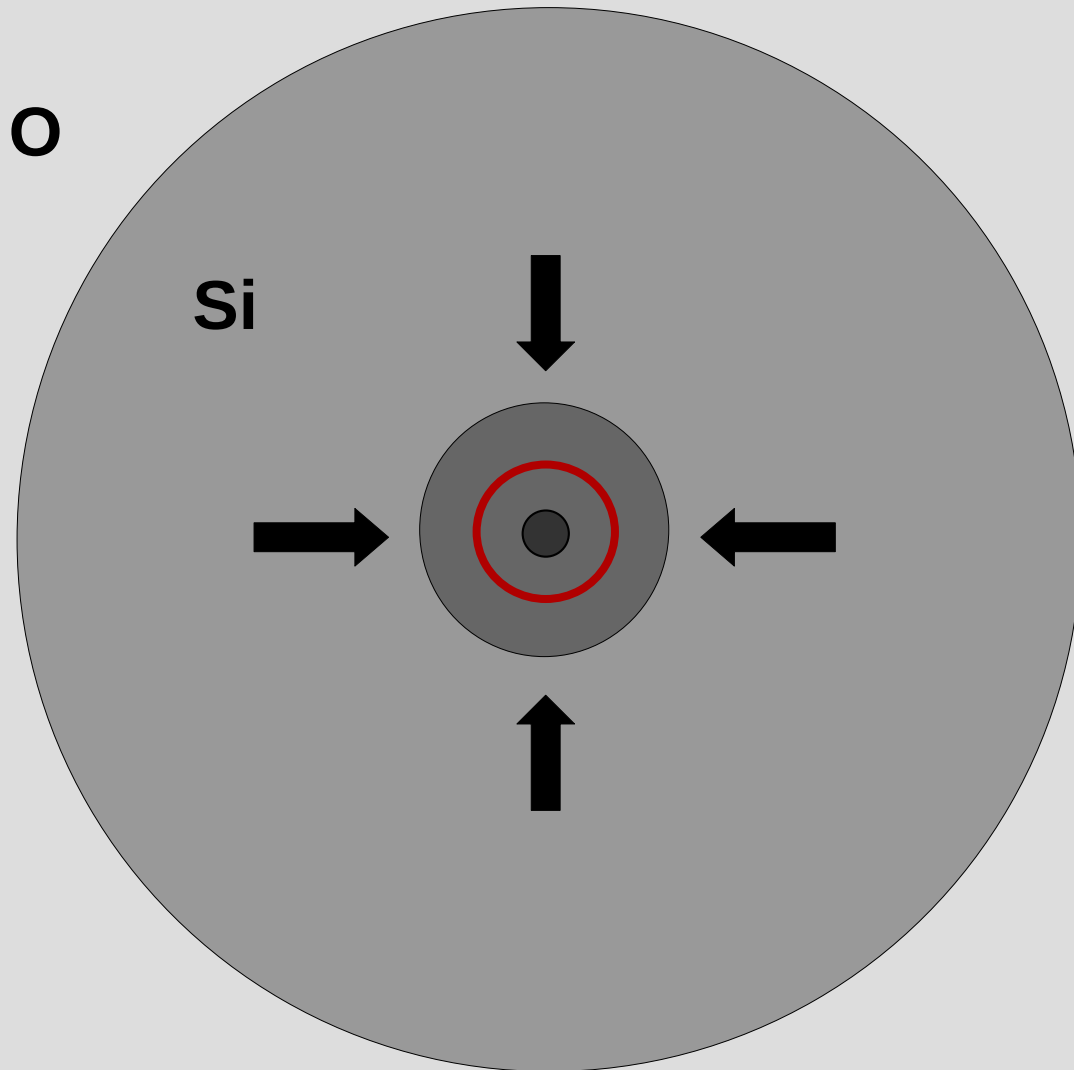
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- **theoretical models** will be needed to interpret future measurements

Core-collapse Supernovae in a Nutshell



- Onion-shell-like structure
- Stellar radius: $\sim 10^8$ - 10^9 km
- Iron (Fe) core: $\sim 10^3$ km

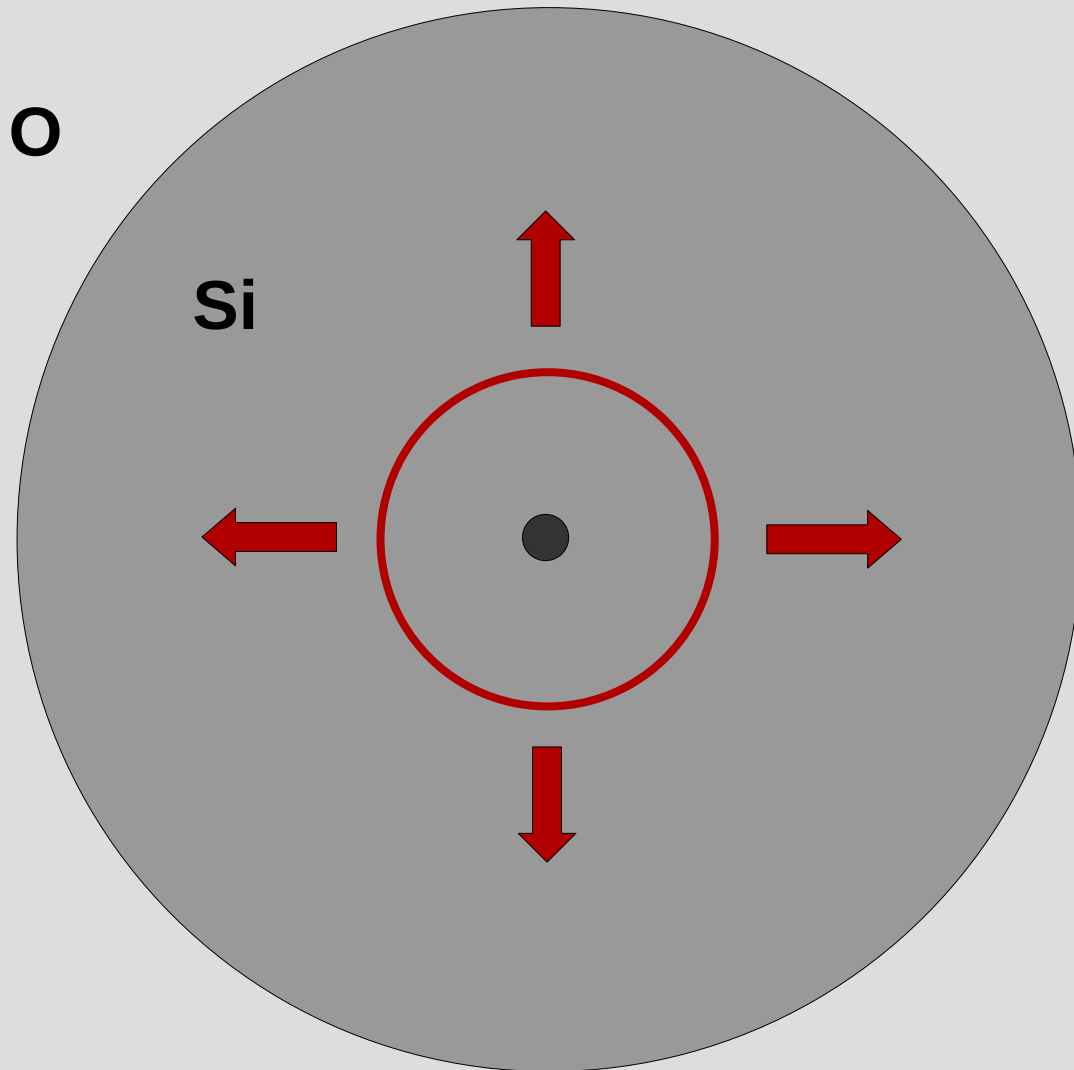
Core-collapse Supernovae in a Nutshell



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- Core bounce launches a **shock wave** (stagnates)

Core-collapse Supernovae in a Nutshell

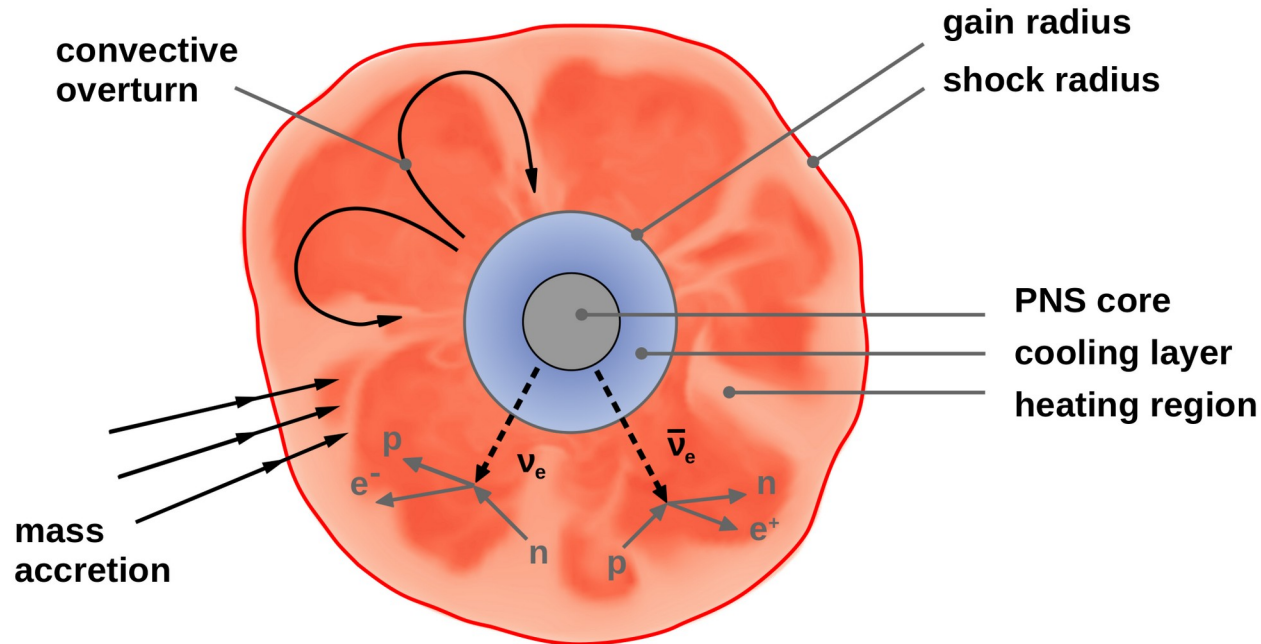


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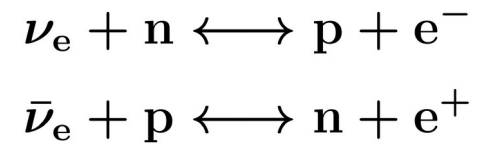
- Fe core collapses to a Proto-Neutron Star (PNS)
- Core bounce launches a shock wave (stagnates)

- Shock revival by neutrino energy deposition

Neutrino-driven Explosion Mechanism



Arnett (1966)
Colgate & White (1966)
Bethe & Wilson (1985)



- Gravitational binding energy of the collapsed Fe core ($\sim 3\text{--}4 \times 10^{53}$ erg) transiently stored in a hot and inflated PNS
- PNS contracts and cools via neutrino emission over ~ 10 s
- $\sim 1\%$ of neutrinos reabsorbed (in “gain layer” / heating layer)
- Shock revival (aided by fluid instabilities: convection & SASI)

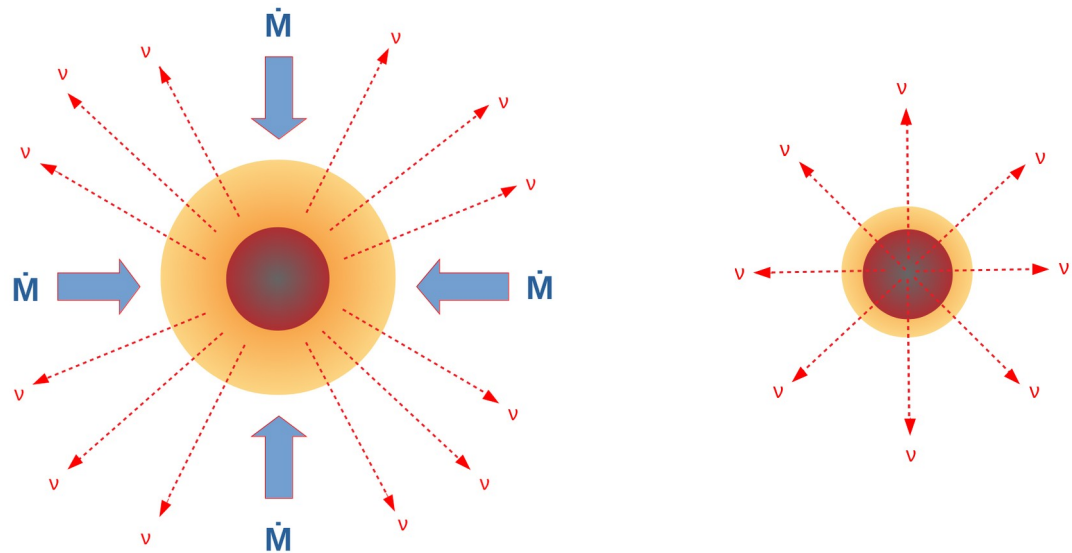
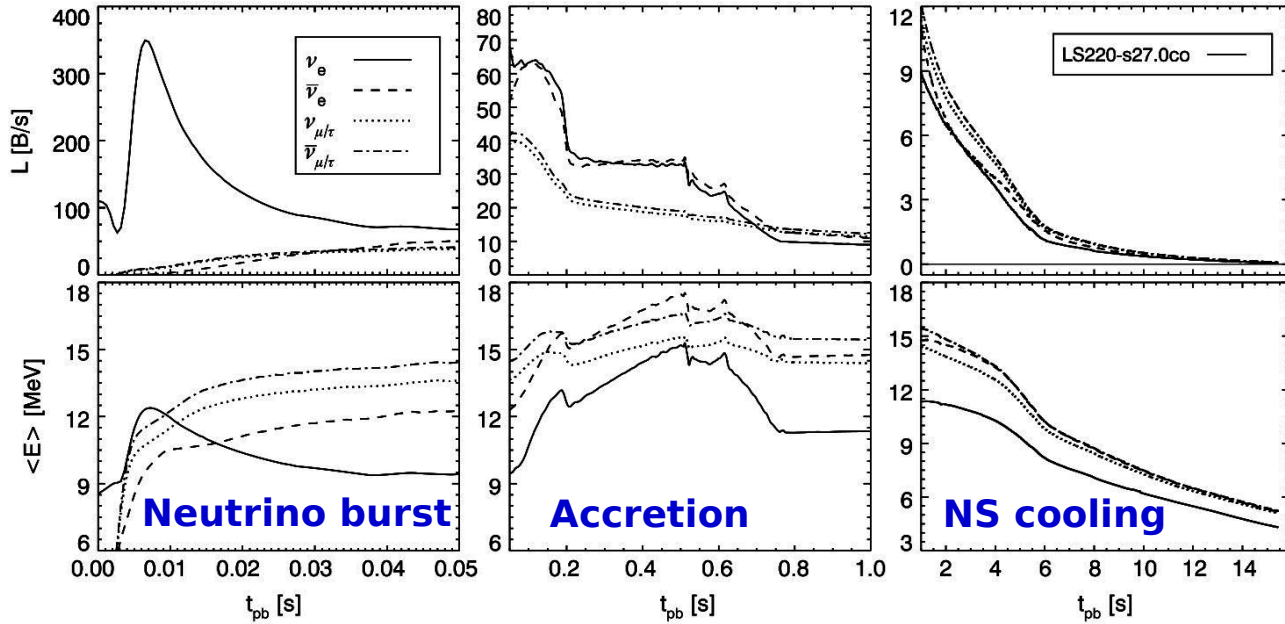
Neutrino Emission From Core-Collapse Supernovae

Mirizzi, Tamborra, Janka, et al. (2016)

Neutrino energy release from SNe

$$E_b \sim E_g \approx \frac{3}{5} \frac{GM_{\text{ns}}^2}{R_{\text{ns}}} \approx 3.6 \times 10^{53} \left(\frac{M_{\text{ns}}}{1.5 M_{\odot}} \right)^2 \left(\frac{R_{\text{ns}}}{10 \text{ km}} \right)^{-1} \text{ erg}$$

NS formation



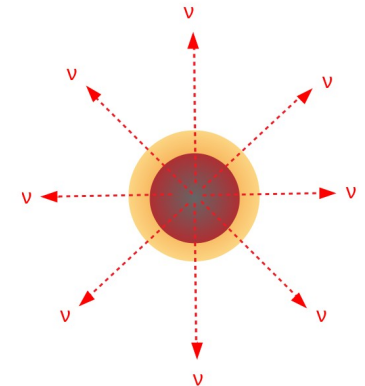
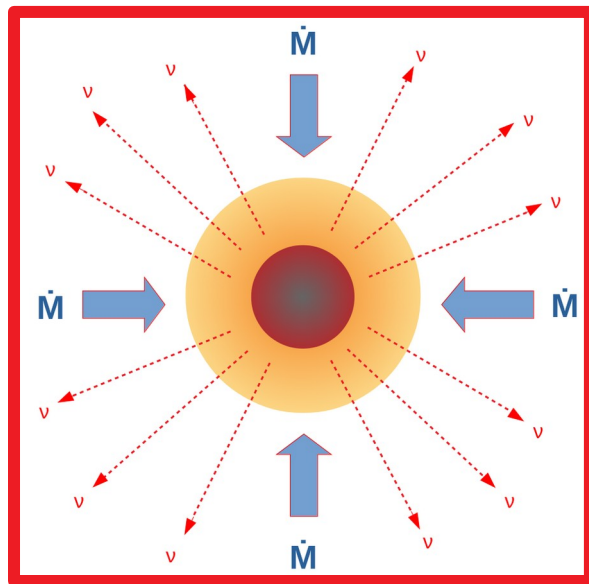
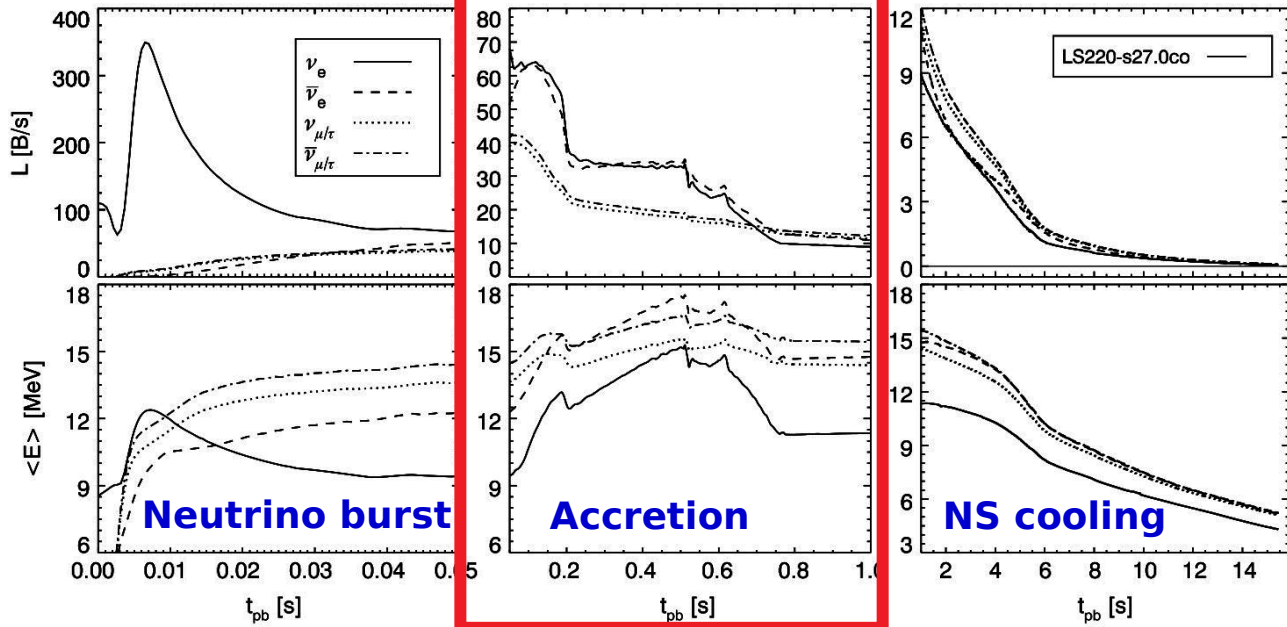
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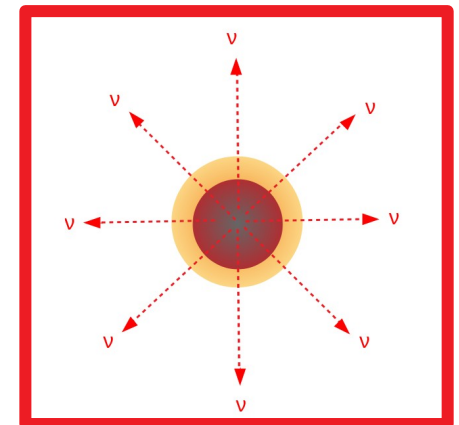
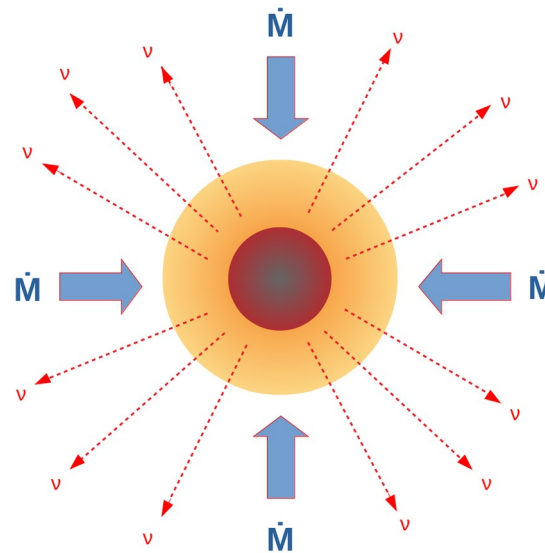
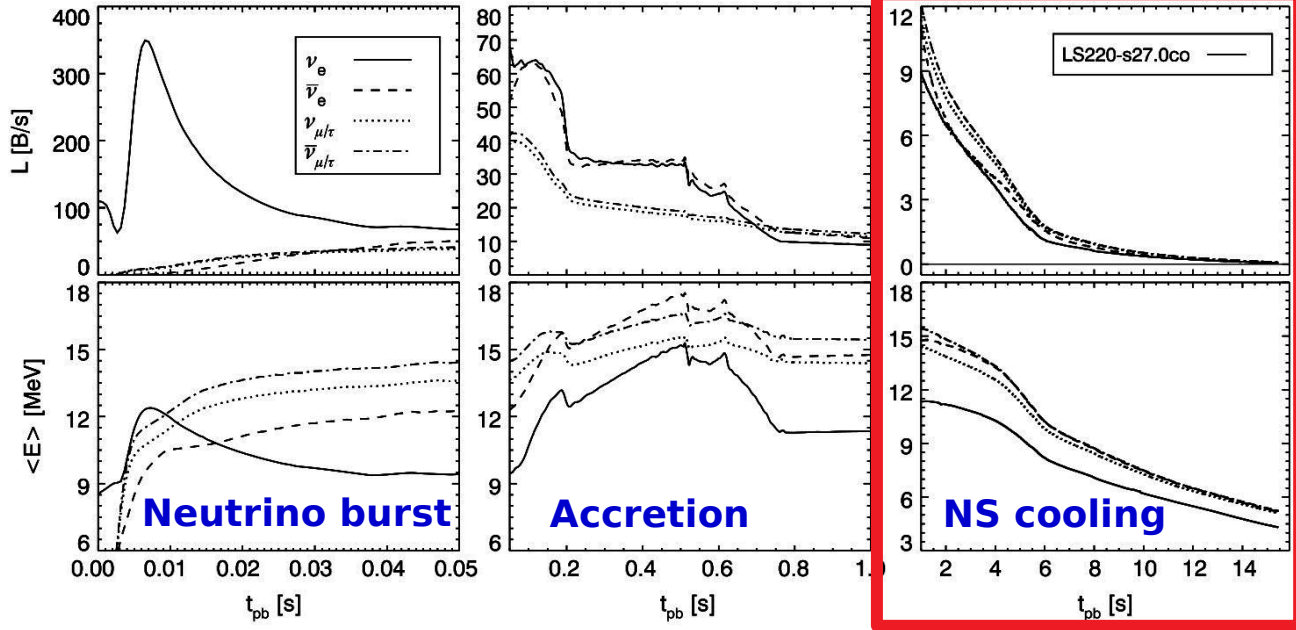
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NS formation



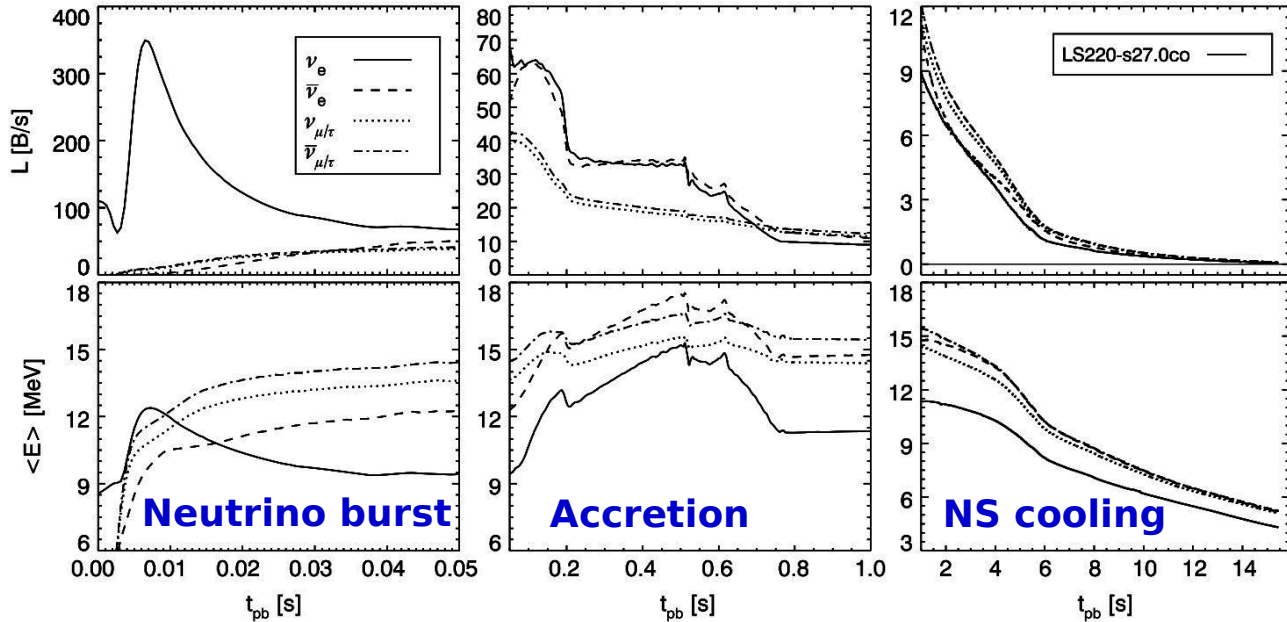
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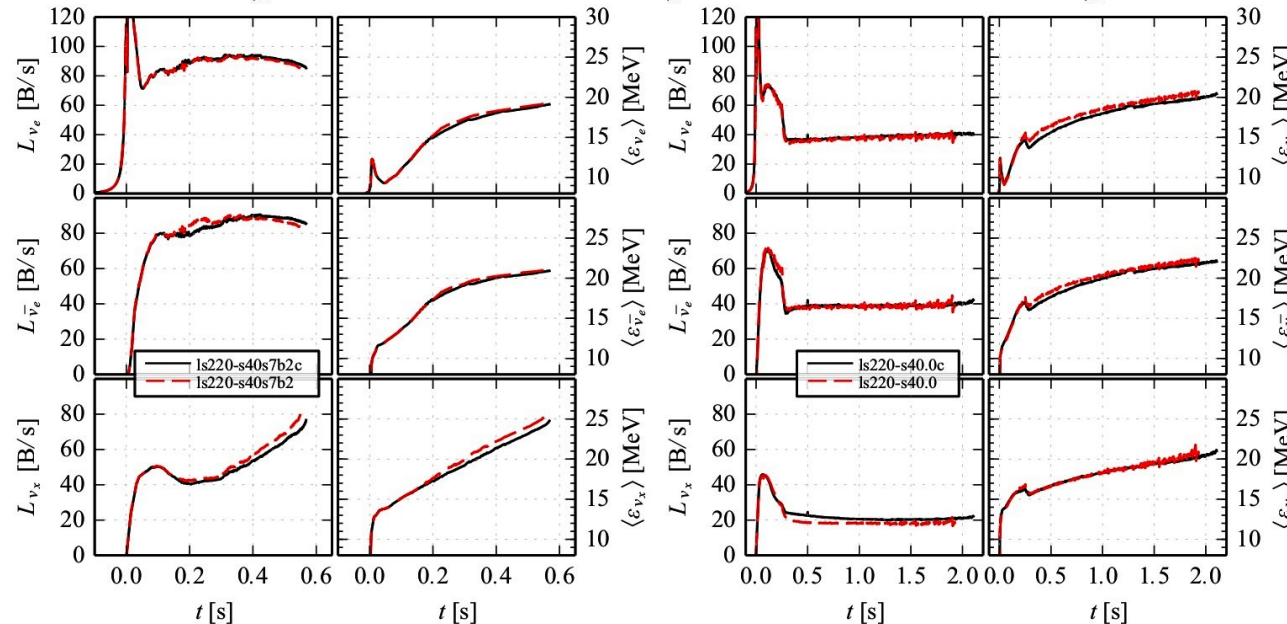
Neutrino energy release from SNe

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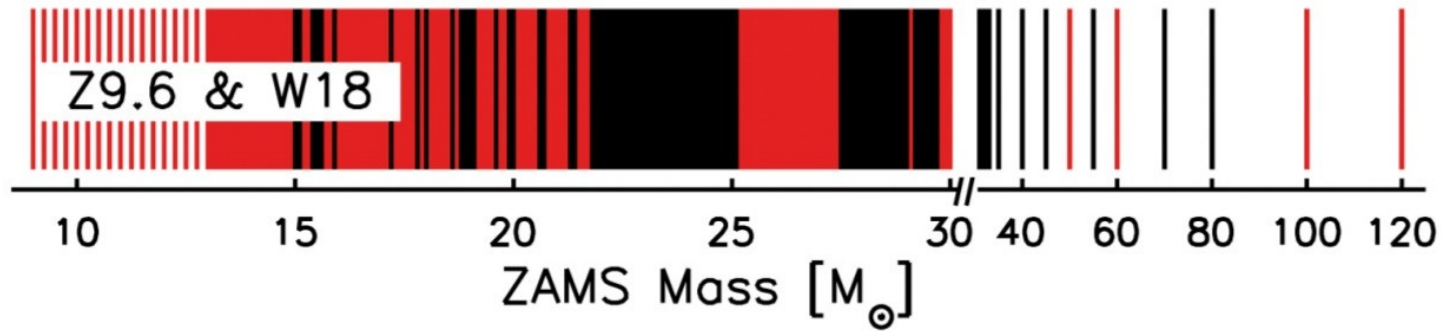
NS formation



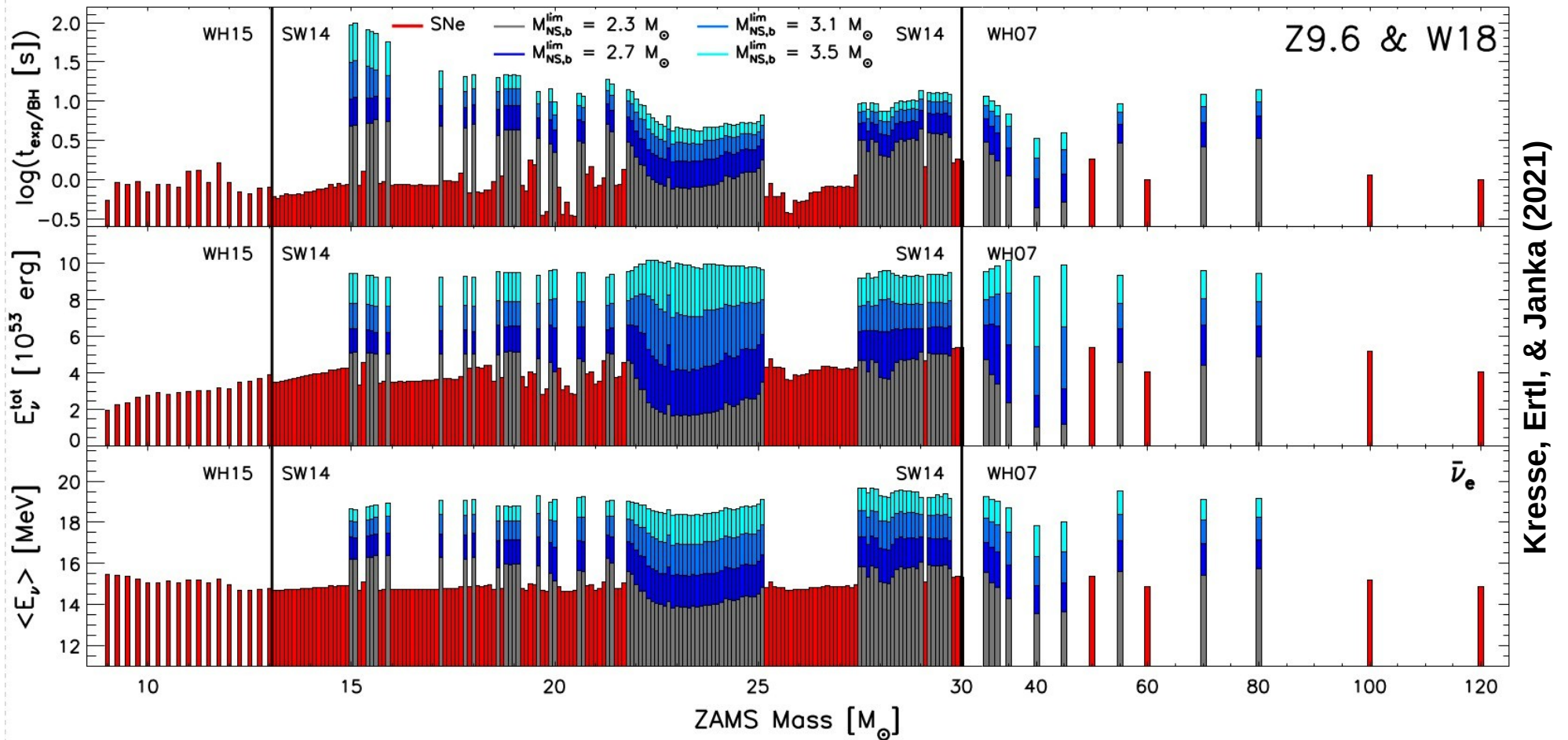
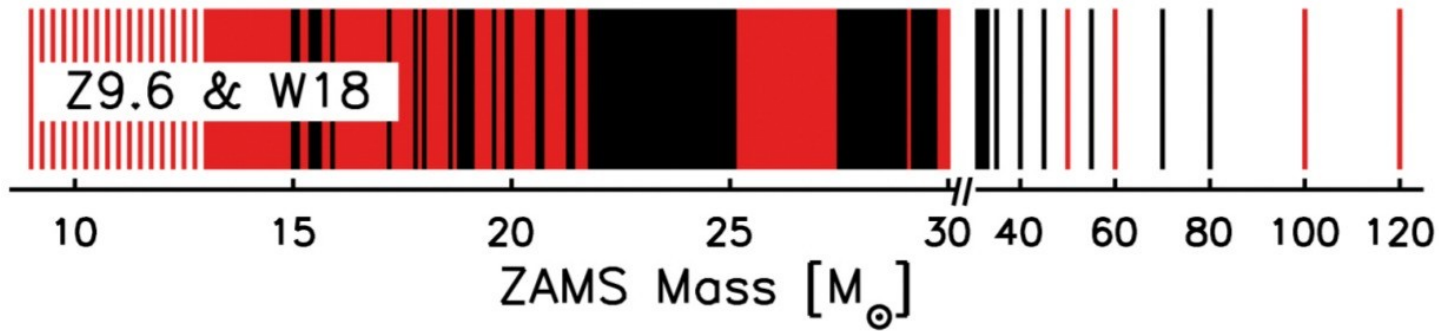
BH formation



Neutrino Emission Across the "Landscape" of Progenitors



Neutrino Emission Across the "Landscape" of Progenitors



DSNB modeling

$$\frac{d\Phi}{dE} = c \int \underbrace{\frac{dN_{\text{CC}}}{dE'} \frac{dE'}{dE}}_{(1)} \underbrace{R_{\text{CC}}(z)}_{(2)} \underbrace{\left| \frac{dt}{dz} \right|}_{(3)} dz$$

- (1) SN neutrino number spectrum [MeV^{-1}], time-integrated and IMF-folded; cosmological redshift: $E' = (1 + z)E$
- (2) Cosmic core-collapse rate density [$\text{yr}^{-1}\text{Mpc}^{-3}$]; \sim SFH
- (3) Cosmological time integral (Λ CDM)

DSNB modeling

$$\frac{d\Phi}{dE} = c \int \underbrace{\frac{dN_{CC}}{dE'}}_{(1)} \underbrace{\frac{dE'}{dE}}_{(2)} \underbrace{R_{CC}(z) \left| \frac{dt}{dz} \right|}_{(3)} dz$$

Long history of theoretical modeling:

e.g., Krauss+84, Dar 85, Hartmann+Woosley 97, Ando+Sato 03, Strigari+04/05, Hopkins+Beacom 06, Lunardini 06/07/09, Totani+09, Lunardini+Tamborra 12, Nakazato+13/15, Mathews+14, Hidaka+16/18, Horiuchi+18/21, Møller+18, Tabrizi+Horiuchi 21, Ashida+Nakazato 22/23, Suliga+22, Ekanger+22/24, Ziegler+22, Anandagoda+23, ... (**non-exhaustive!!**)

Reviews:

Ando & Sato (2004), Beacom (2010), Lunardini (2016), Ando et al. (2023)

(1) IMF-averaged time-integrated neutrino source spectrum

$$\frac{d\Phi}{dE} = c \int \boxed{\frac{dN_{\text{CC}}}{dE'} \frac{dE'}{dE}} R_{\text{CC}}(z) \left| \frac{dt}{dz} \right| dz$$

→ many different approaches & degrees of sophistication

- **thermal spectrum** (e.g., [Horiuchi+09](#))
- pinched/anti-pinched **α spectrum** (e.g., [Keil+03](#), [Lunardini 07](#))
- numerical spectra from exemplary **CCSN simulations** (e.g., [Nakazato+13/15](#), [Møller+18](#), [Ashida+Nakazato 22](#))
- considering neutrino **oscillations** (e.g., [Lunardini+Tamborra 12](#))
- including **failed (BH-forming) SNe** for certain progenitor mass intervals (e.g., [Lunardini 09](#), [Priya+Lunardini 17](#), [Møller+18](#))
- impact of **late-time** neutrino emission (e.g., [Ekanger+22](#))
- considering large sets of numerical models, accounting for **progenitor variability** (e.g., [Horiuchi+18](#), [Kresse+21](#))
- considering **binary progenitors** (e.g., [Horiuchi+21](#), [Kresse+21](#))

(2) Cosmic core-collapse rate

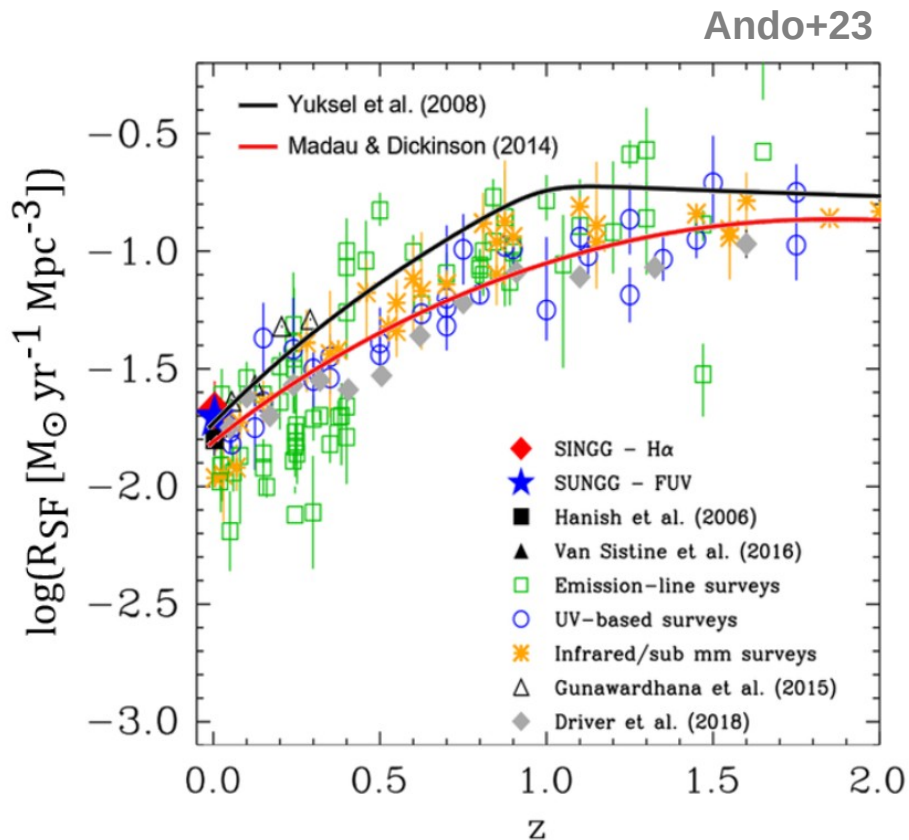
$$\frac{d\Phi}{dE} = c \int \frac{dN_{\text{CC}}}{dE'} \frac{dE'}{dE} R_{\text{CC}}(z) \left| \frac{dt}{dz} \right| dz$$

- Deduce core-collapse rate from star-formation history (SFH)
- Direct measurement of visible events (excl. faint / failed SNe)

(2) Cosmic core-collapse rate

$$\frac{d\Phi}{dE} = c \int \frac{dN_{\text{CC}}}{dE'} \frac{dE'}{dE} R_{\text{CC}}(z) \left| \frac{dt}{dz} \right| dz$$

- **Deduce core-collapse rate from star-formation history (SFH)**
- **Direct measurement of visible events (excl. faint / failed SNe)**



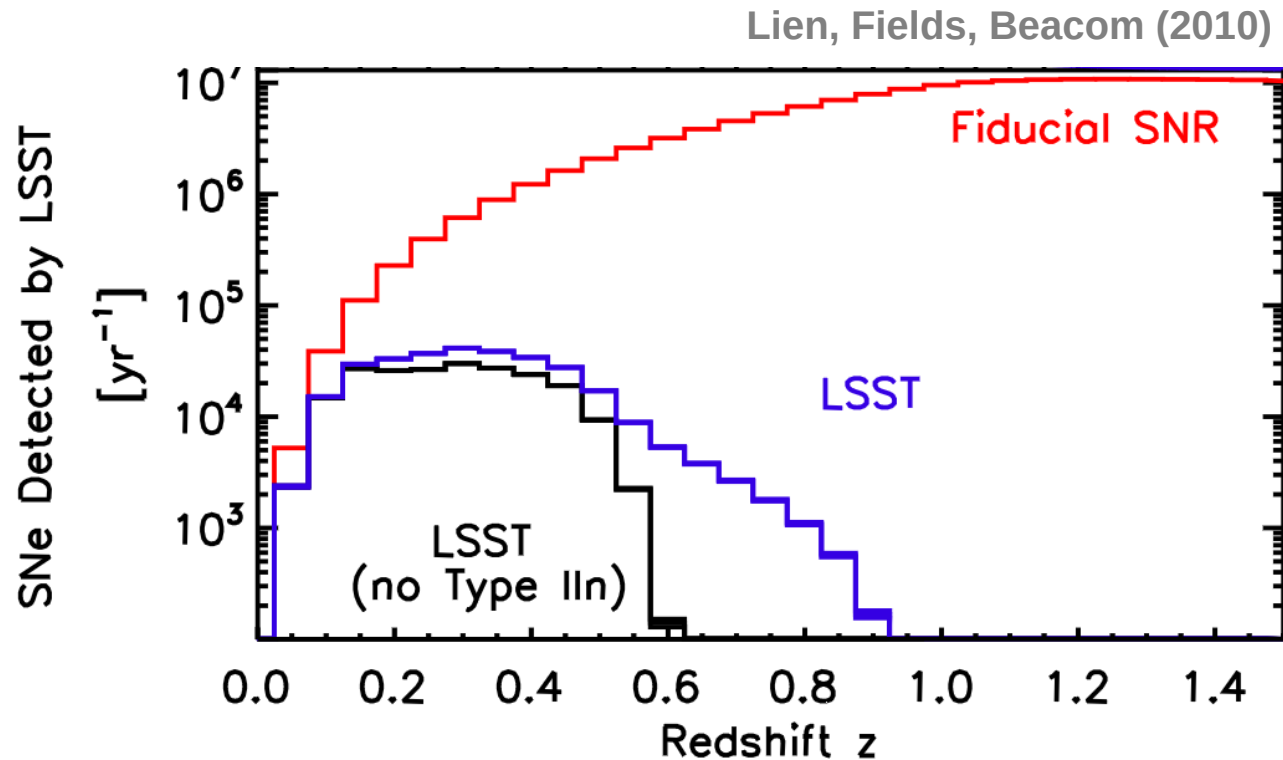
$$R_{\text{CC}}(z) = \psi_*(z) \frac{\int_{8.7 M_{\odot}}^{125 M_{\odot}} dM \phi(M)}{\int_{0.1 M_{\odot}}^{125 M_{\odot}} dM M \phi(M)} \simeq \frac{\psi_*(z)}{116 M_{\odot}}$$

(rate of successful SNe **plus**
rate of failed / faint explosions)

(2) Cosmic core-collapse rate

$$\frac{d\Phi}{dE} = c \int \frac{dN_{\text{CC}}}{dE'} \frac{dE'}{dE} R_{\text{CC}}(z) \left| \frac{dt}{dz} \right| dz$$

- Deduce core-collapse rate from star-formation history (SFH)
- **Direct measurement of visible events (excl. faint / failed SNe)**



(3) Cosmological time (redshift) integral

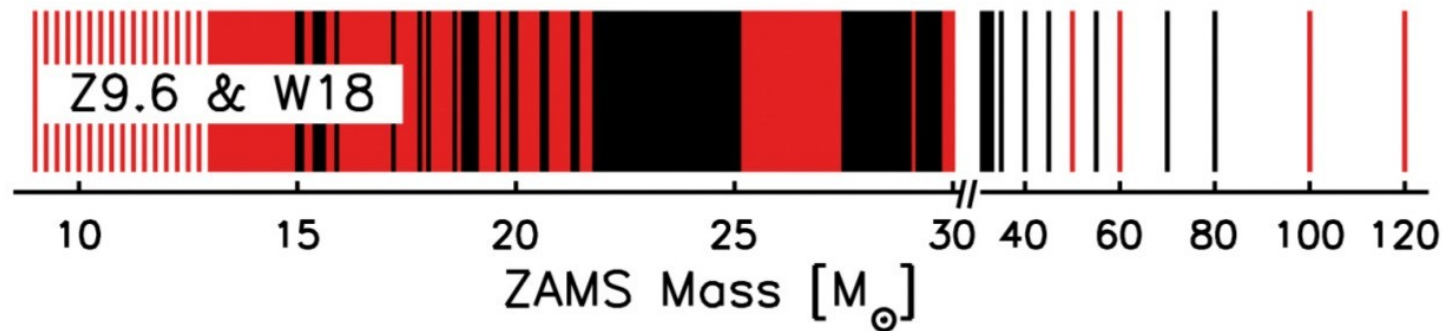
$$\begin{aligned}\frac{d\Phi}{dE} &= c \int \frac{dN_{\text{CC}}}{dE'} \frac{dE'}{dE} R_{\text{CC}}(z) \left| \frac{dt_c}{dz} \right| dz \\ &= \frac{c}{H_0} \int_0^{z_{\text{max}}} \frac{dN_{\text{CC}}}{dE'} \frac{R_{\text{CC}}(z) dz}{\sqrt{\Omega_m(1+z)^3 + \Omega_\Lambda}}\end{aligned}$$

$$\begin{aligned}H_0 &= 70 \text{ km s}^{-1} \text{ Mpc}^{-1} \\ \Omega_m &= 0.3 \text{ and } \Omega_\Lambda = 0.7\end{aligned}$$

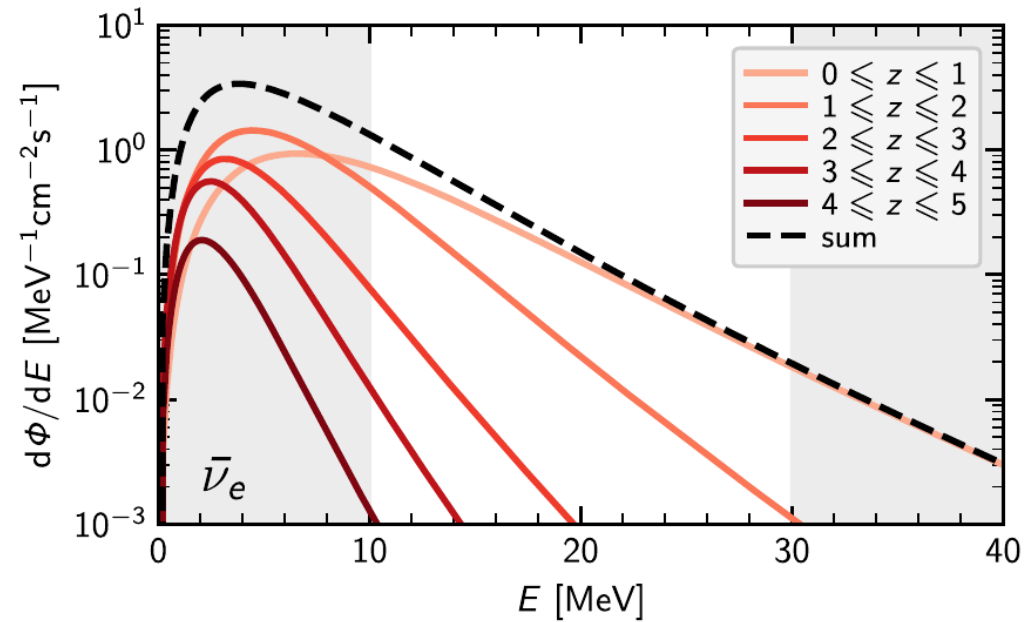
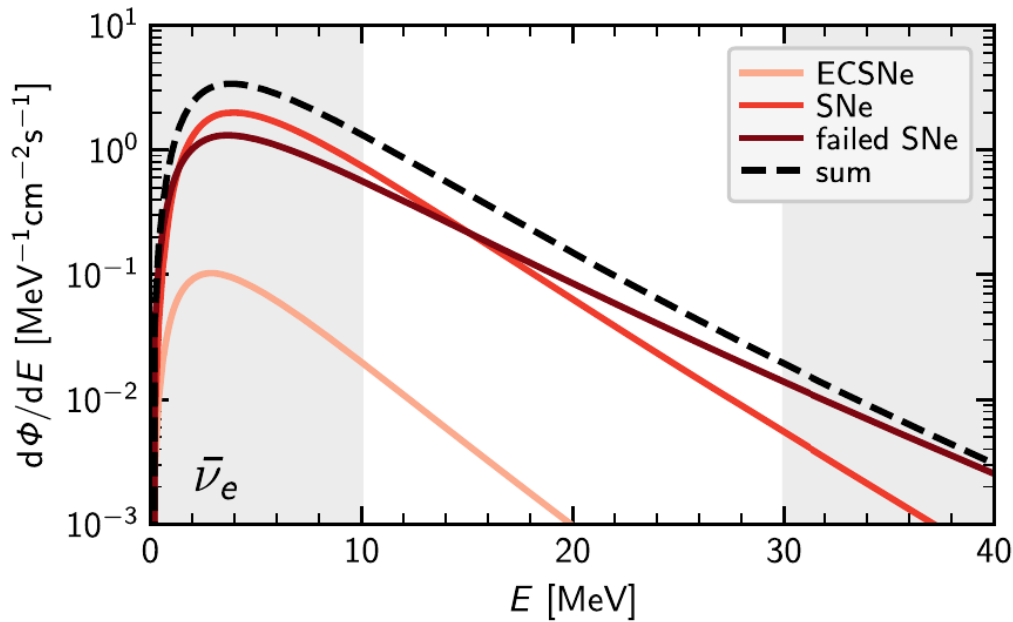
Kresse, Ertl, & Janka (2021)

ApJ 909, 169

- DSNB predictions based on large sets of (> 200) 1D CCSN models (simulated with the *Prometheus-HotB* code)
- Models previously discussed in [Ertl+16/20](#), [Sukhbold+16](#)
- Neutrino signals cover **long time spans** (> 10 s)
- Model set accounts for large **progenitor variability** (non-monotonic pattern of successful / failed explosions)



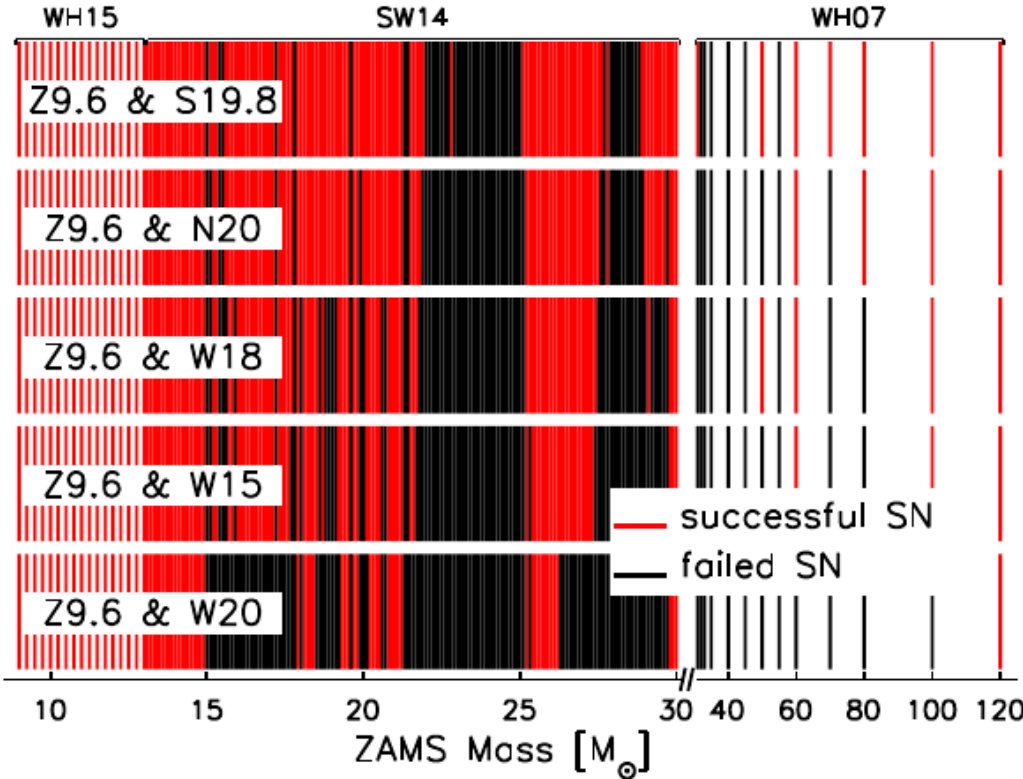
DSNB Source Components & Redshift Contributions



- negligible contribution from electron-capture SNe (ECSNe)
- below ~ 15 MeV: dominant contribution from **successful SNe**
above ~ 15 MeV: dominant contribution from **failed SNe**
- dominant contribution to the flux from $z \lesssim 1$ (within the detection window)

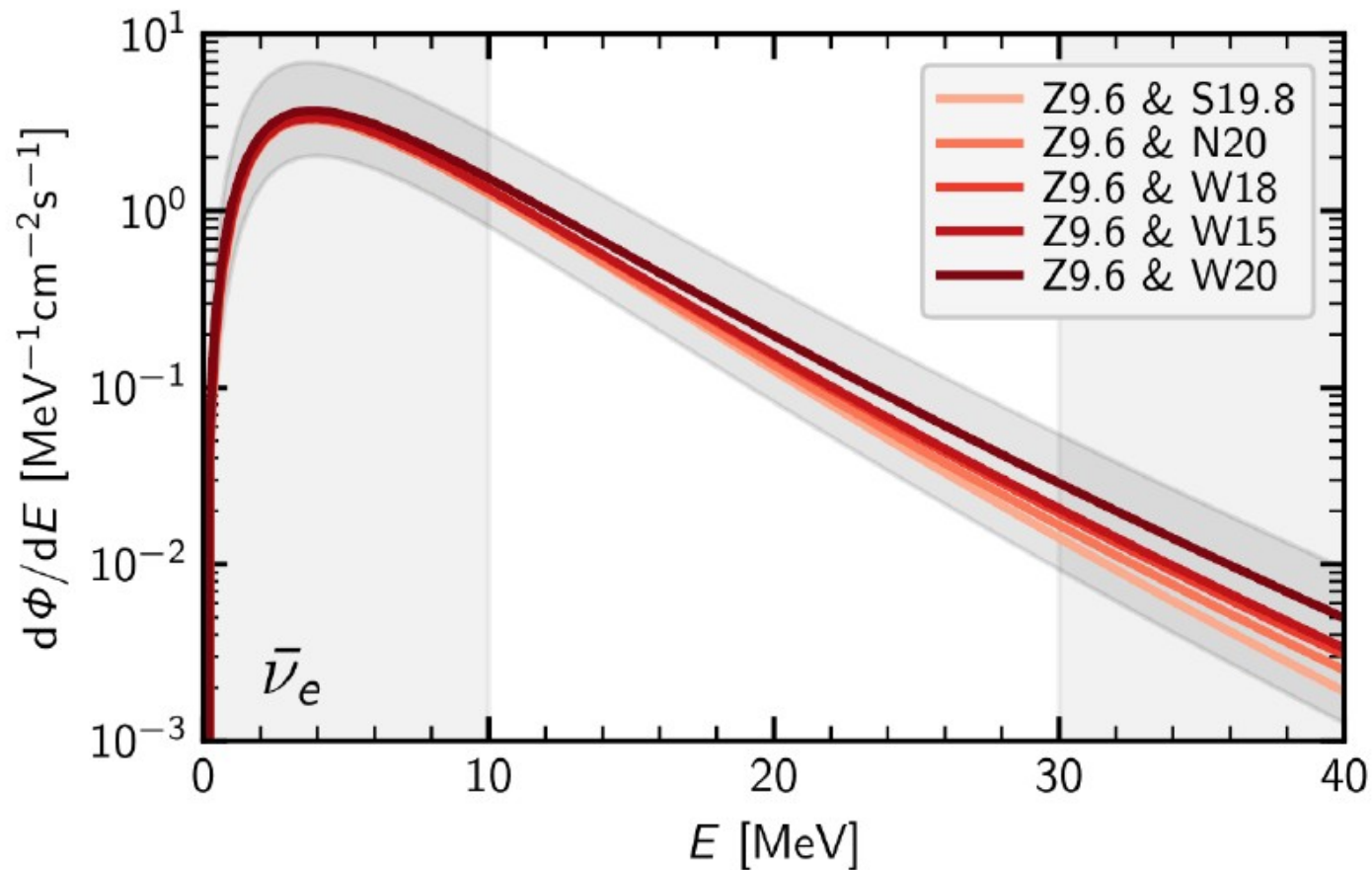
Fraction of Failed Explosions

- depending on the **strength of the “neutrino engine”**
 → more/less successful explosions



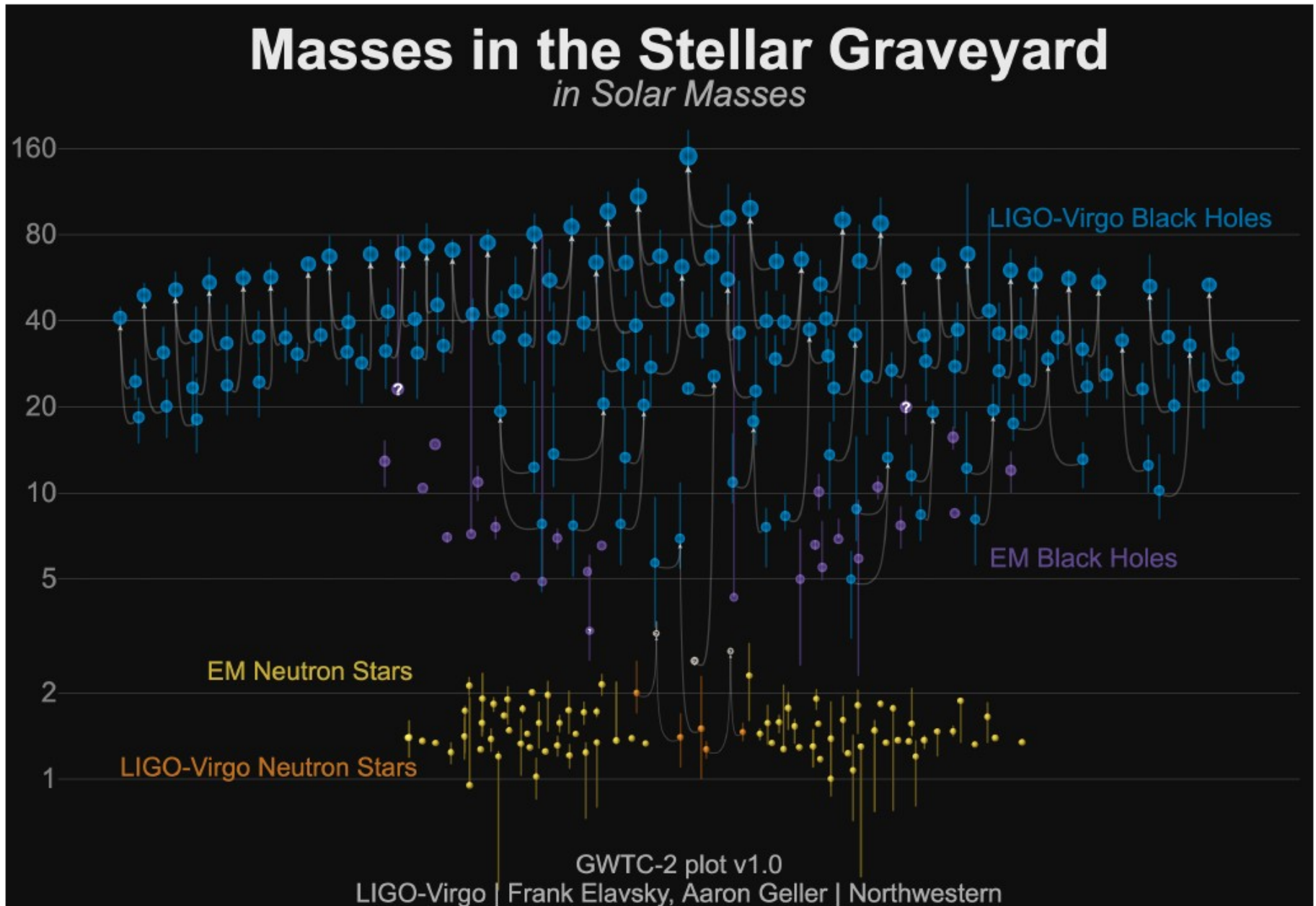
Engine Model	Successful SNe	Failed SNe
Z9.6 & S19.8	82.2 %	17.8 %
Z9.6 & N20	77.2 %	22.8 %
Z9.6 & W18	73.1 %	26.9 %
Z9.6 & W15	70.9 %	29.1 %
Z9.6 & W20	58.3 %	41.7 %

Fraction of Failed Explosions



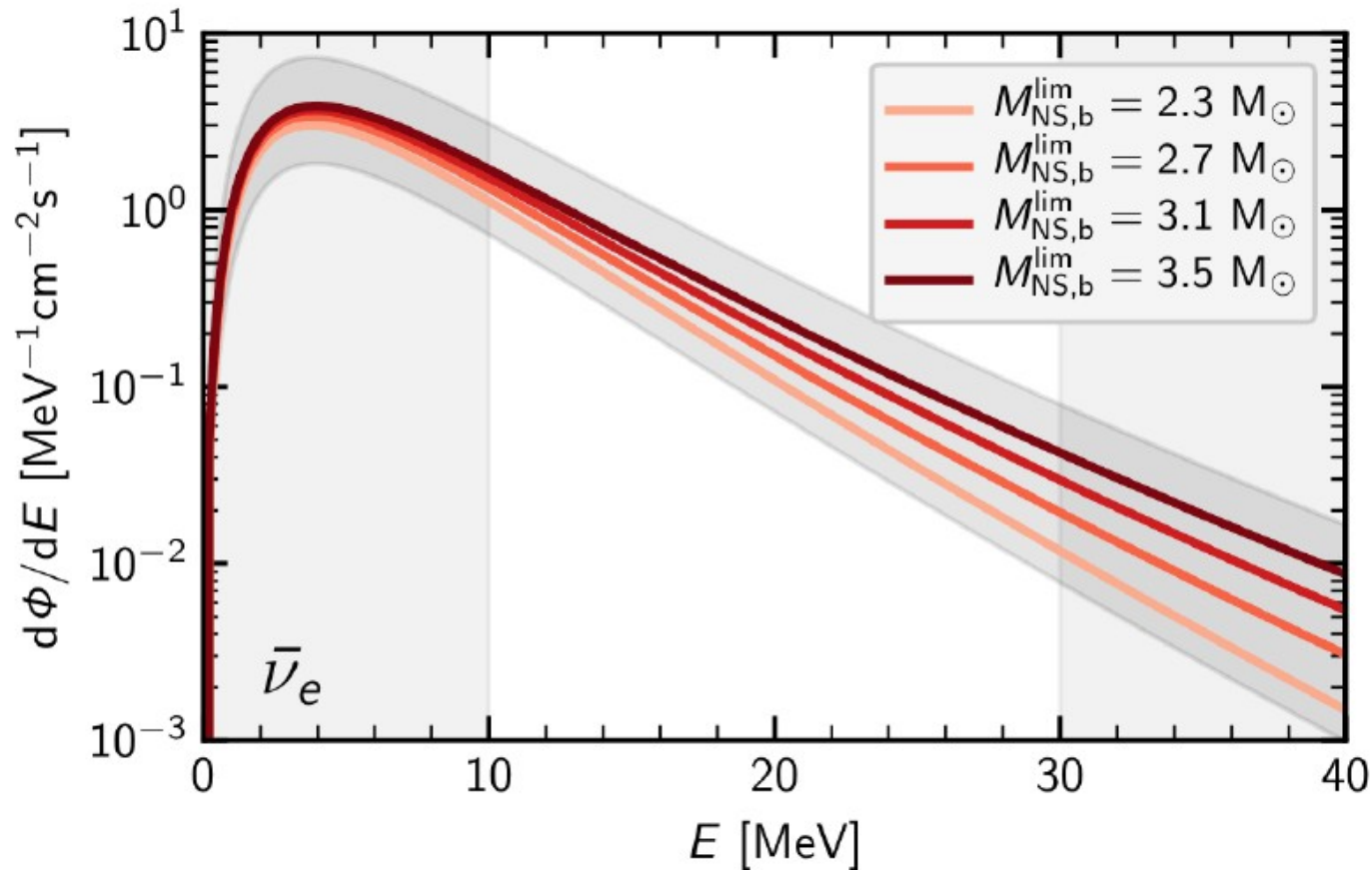
- increased fraction of failed SNe → enhancing the high-energy tail
- reference case: Z9.6 & W18

Maximum NS Mass



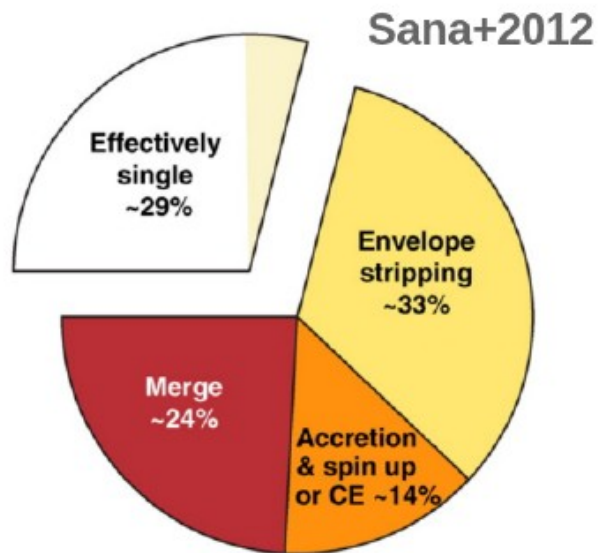
Maximum NS Mass

- neutrino signals of successful explosions simulated up to $t_{\max} = 15$ s
- BH cases up to **critical baryonic mass** $M_{\text{NS,b}}^{\text{lim}}$ (2.3, 2.7, 3.1, 3.5 M_{\odot})



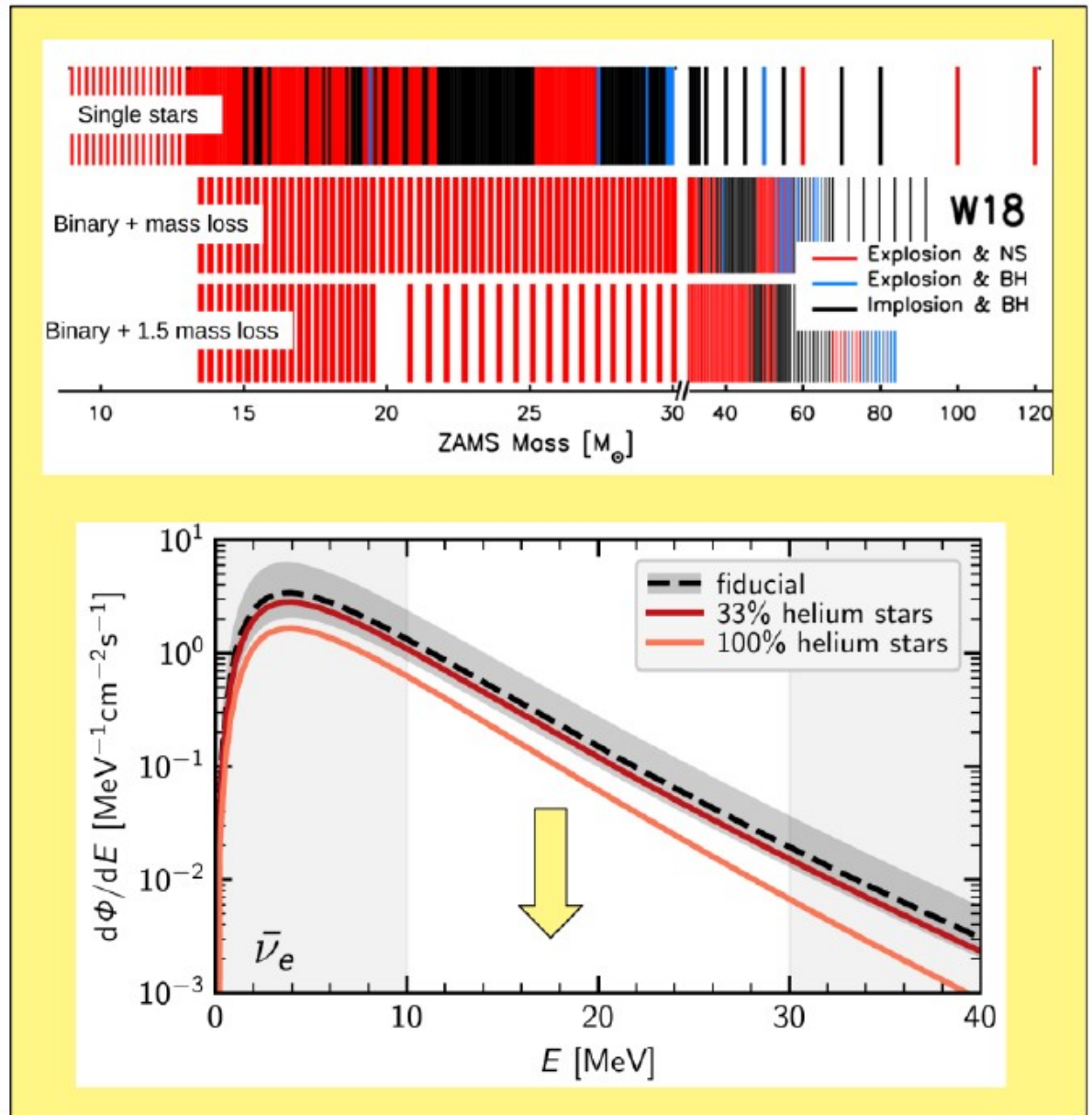
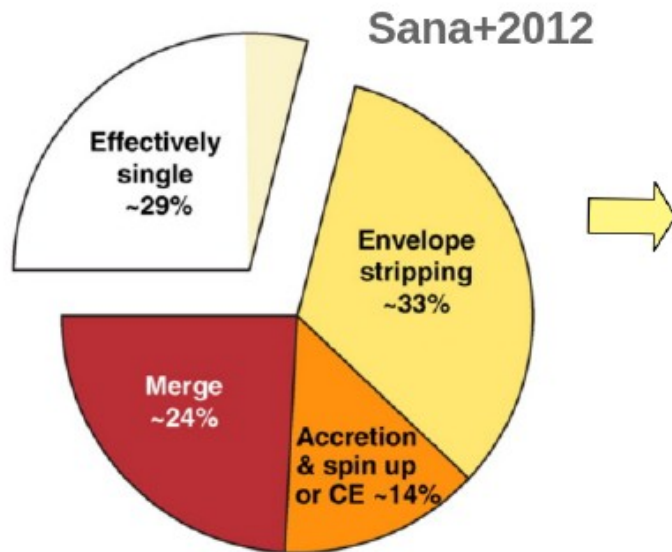
- reference case: $M_{\text{NS,b}}^{\text{lim}} = 2.7 M_{\odot}$ (GW170817) $\rightarrow M_{\text{grav}} \sim 2.23 M_{\odot}$

Binary Stars



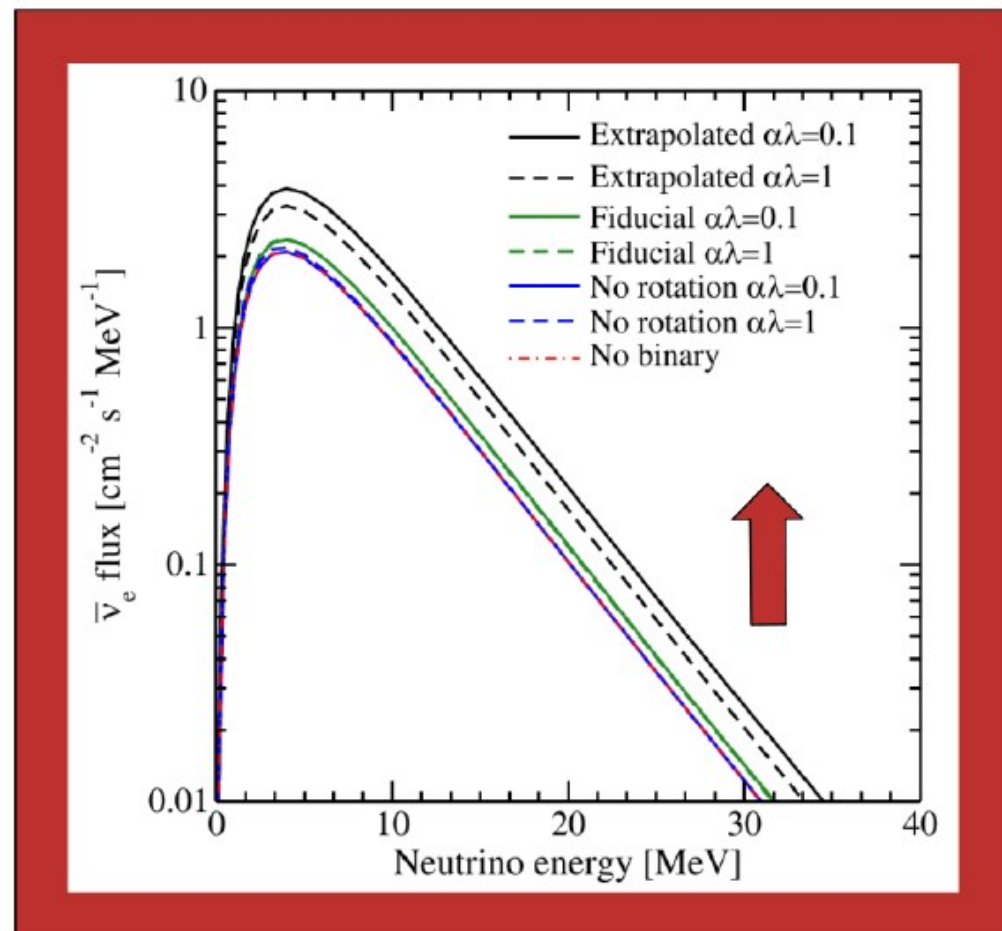
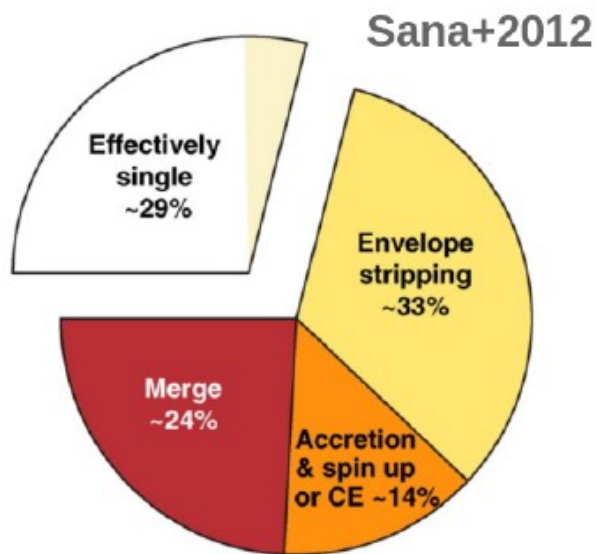
Binary Stars

Woosley 2019, Ertl+2020

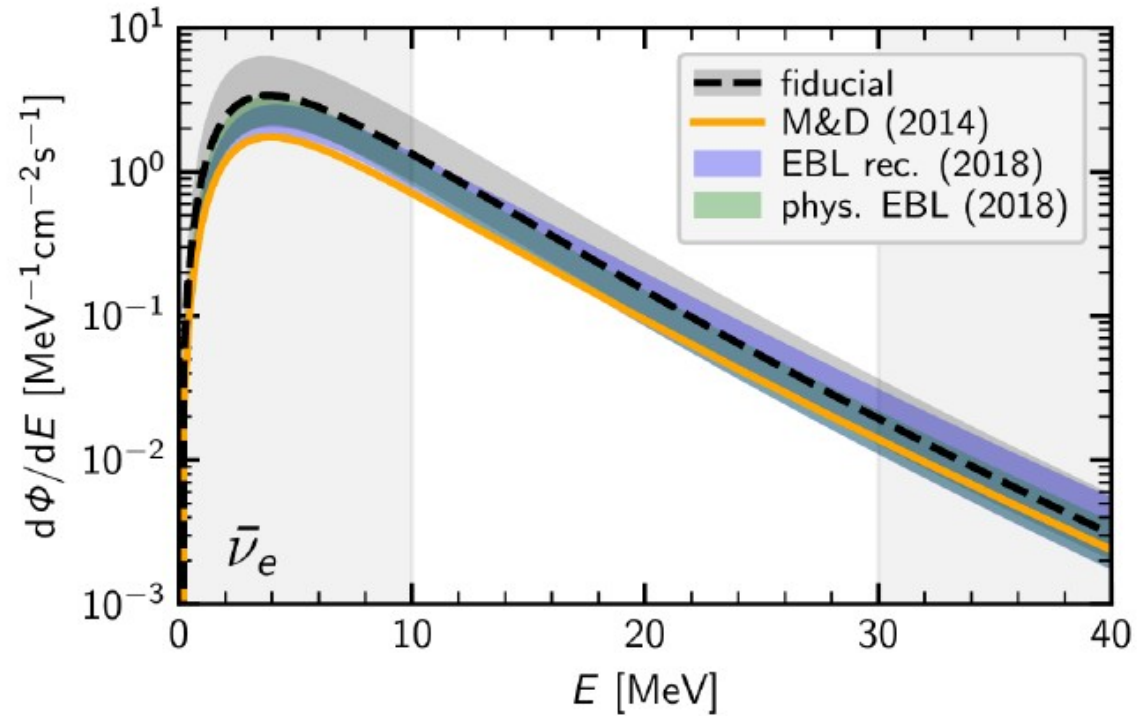
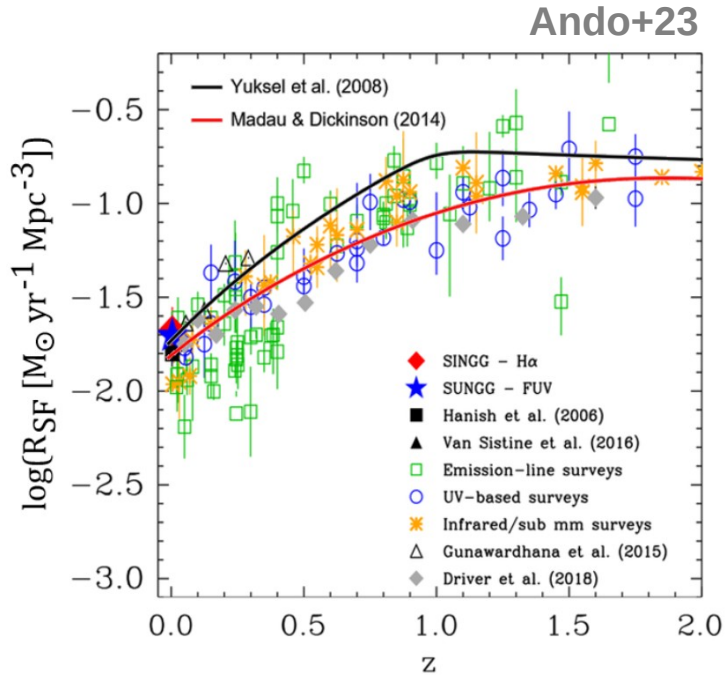


Kresse+2021

Binary Stars



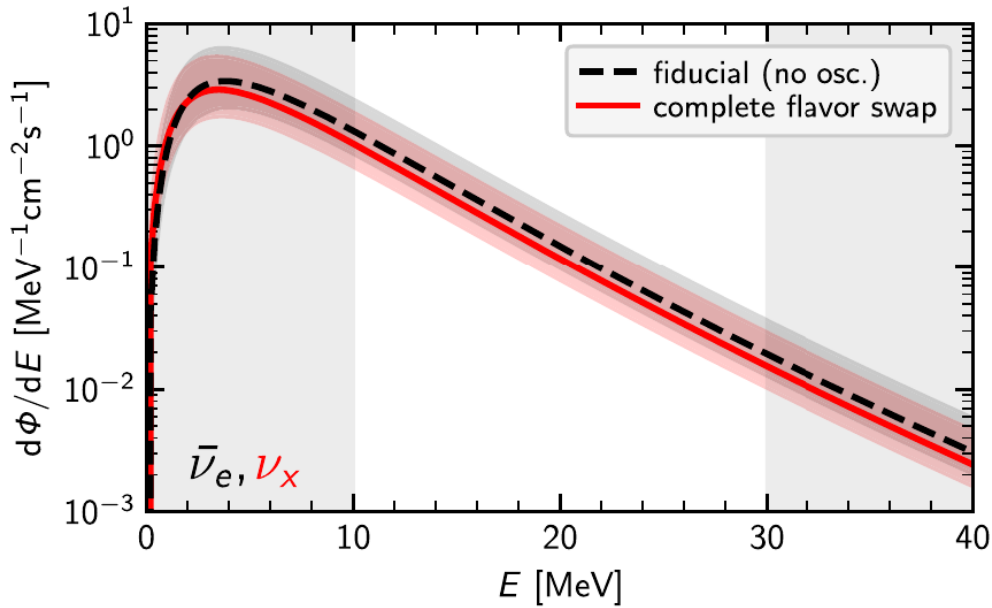
Major Uncertainty: Cosmic Star Formation History (SFH)



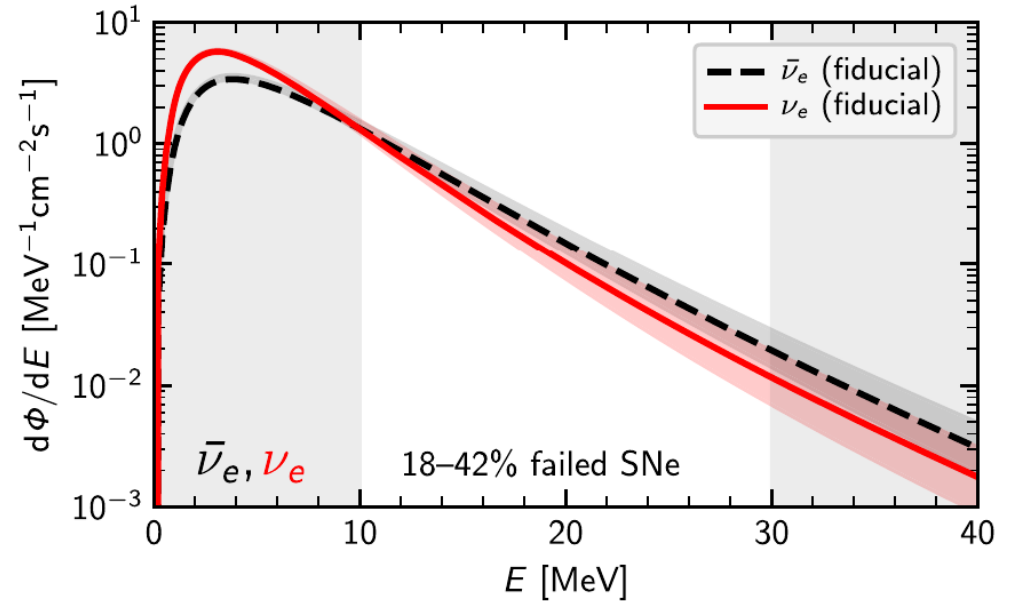
$$R_{CC}(z) = \psi_*(z) \frac{\int_{8.7 M_{\odot}}^{125 M_{\odot}} dM \phi(M)}{\int_{0.1 M_{\odot}}^{125 M_{\odot}} dM M \phi(M)}$$

- Cosmic core-collapse rate density \sim SFH
- DSNB flux uncertainty of a factor of ~ 2

Flavor oscillations (MSW)



Electron neutrino DSNB component



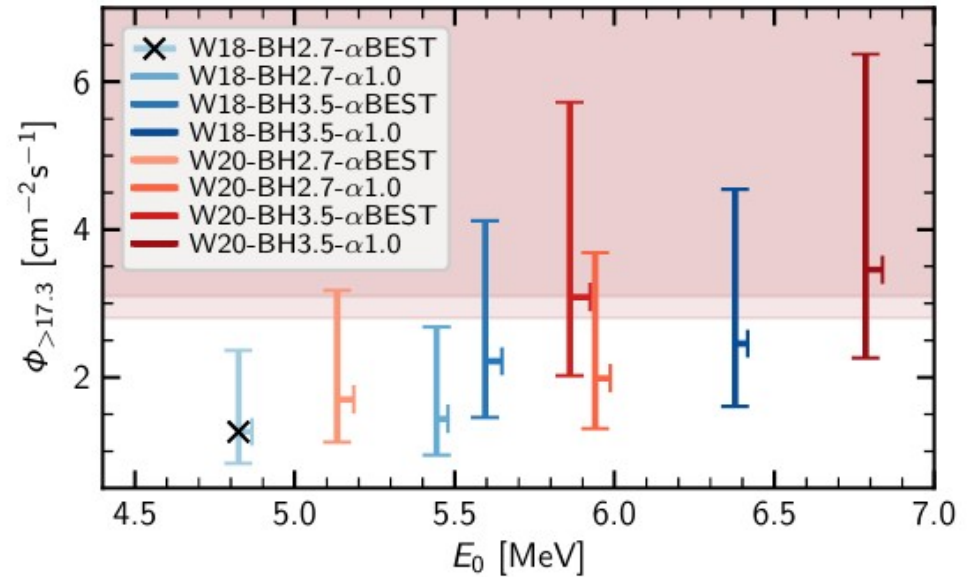
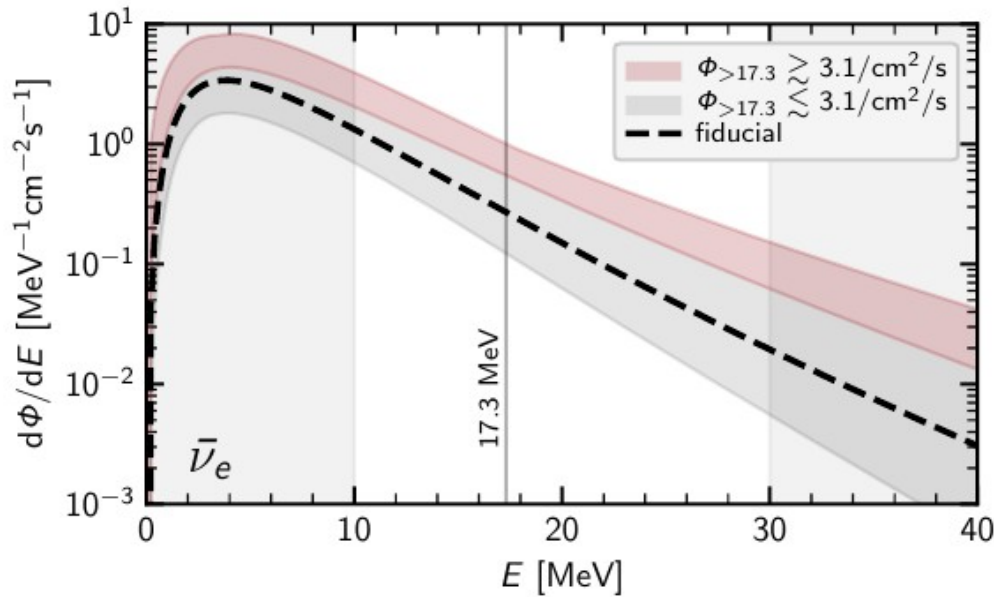
$$\frac{d\Phi_{\bar{\nu}_e}}{dE} = \bar{p} \frac{d\Phi_{\bar{\nu}_e}^0}{dE} + (1 - \bar{p}) \frac{d\Phi_{\nu_x}^0}{dE}$$

$\bar{p} \simeq 0.7$ or $\bar{p} \simeq 0$ for normal (NH) or inverted (IH)

(lower mean energies of emitted electron neutrinos compared to electron antineutrinos and muon / tau neutrinos due to higher opacities and thus lower neutrino-spheric temperatures)

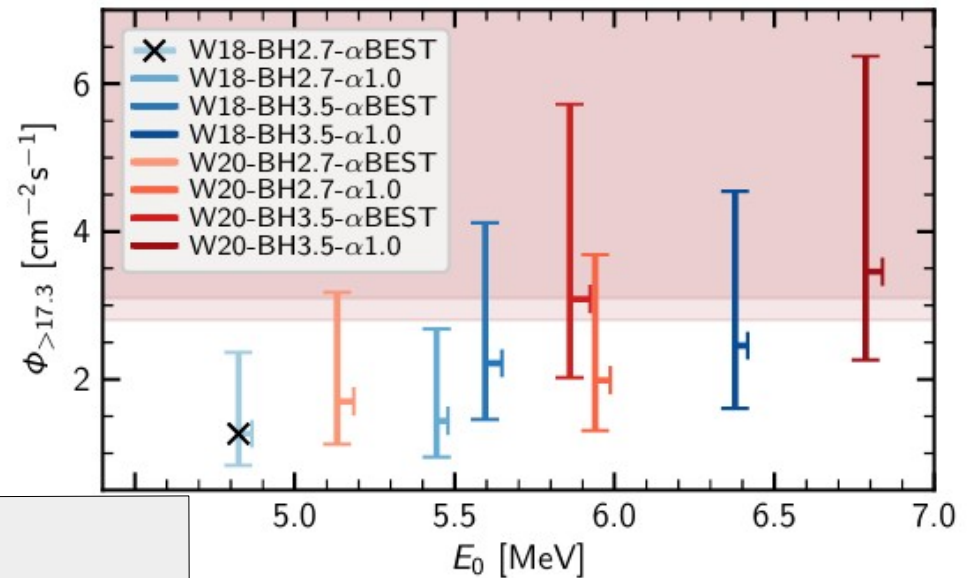
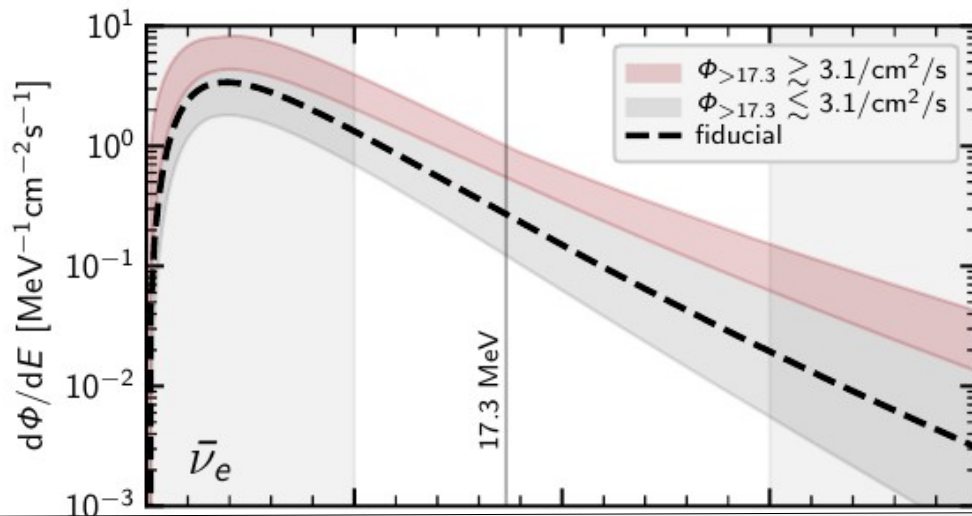
Comparison with the Super-K flux limit

- comparison to $\bar{\nu}_e$ -flux limits set by the SK experiment:
 $\Phi_{17.3} \equiv \Phi(E > 17.3 \text{ MeV}) \lesssim (2.8 - 3.1) \text{ cm}^{-2}\text{s}^{-1}$ (Bays et al. 2012)
(updated value: 2.7; Abe et al. 2021)
- $d\Phi/dE \simeq \phi_0 \exp(-E/E_0)$



Comparison with the Super-K flux limit

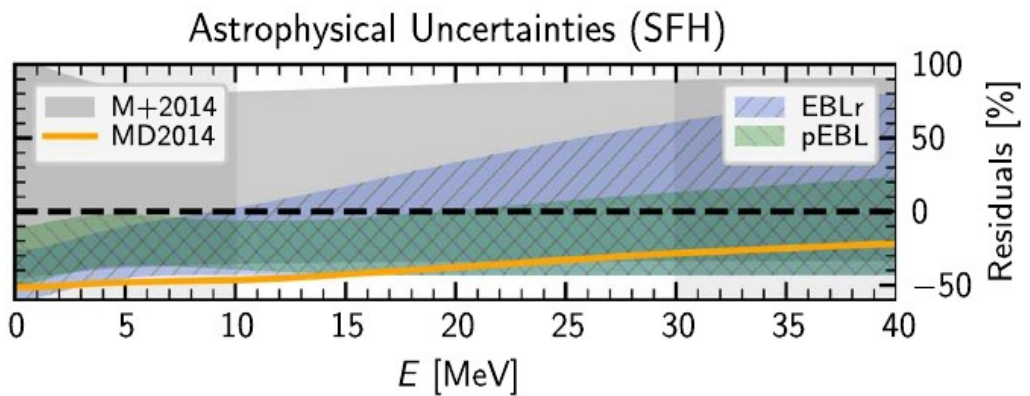
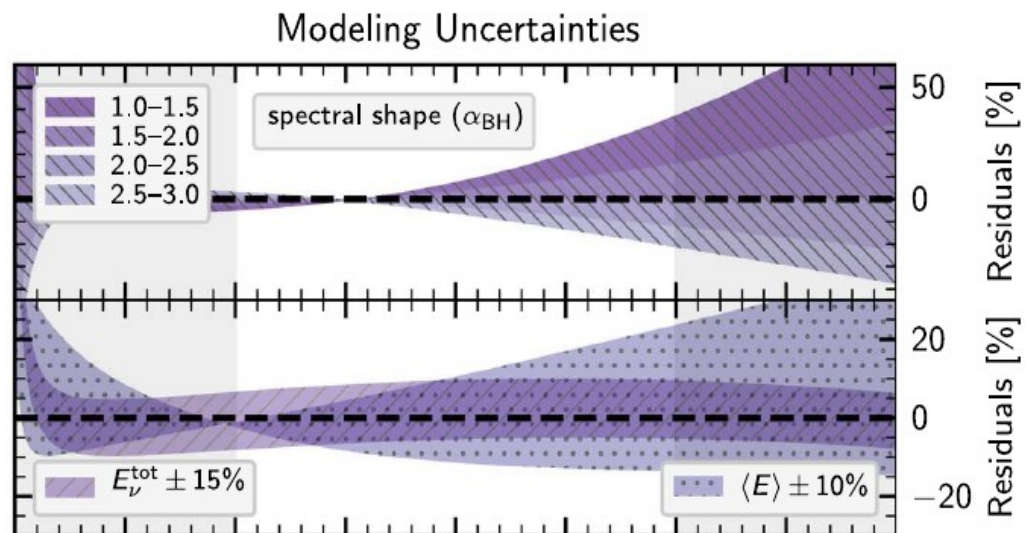
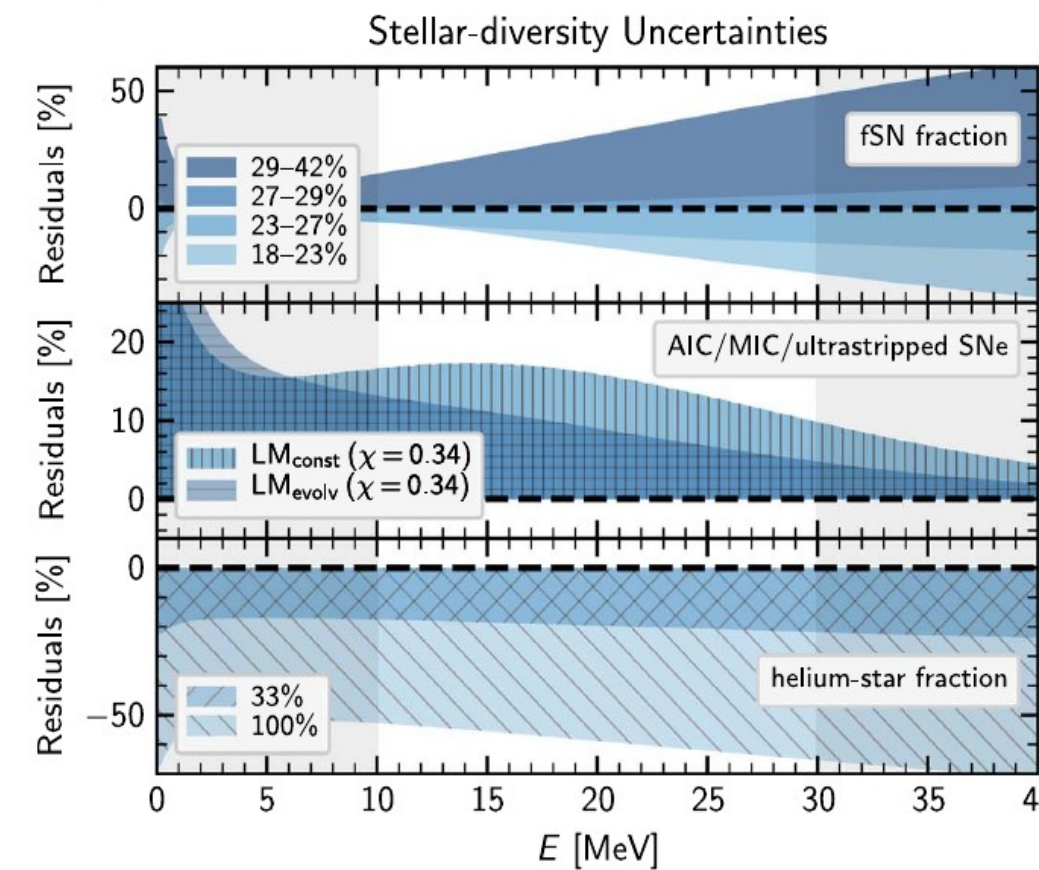
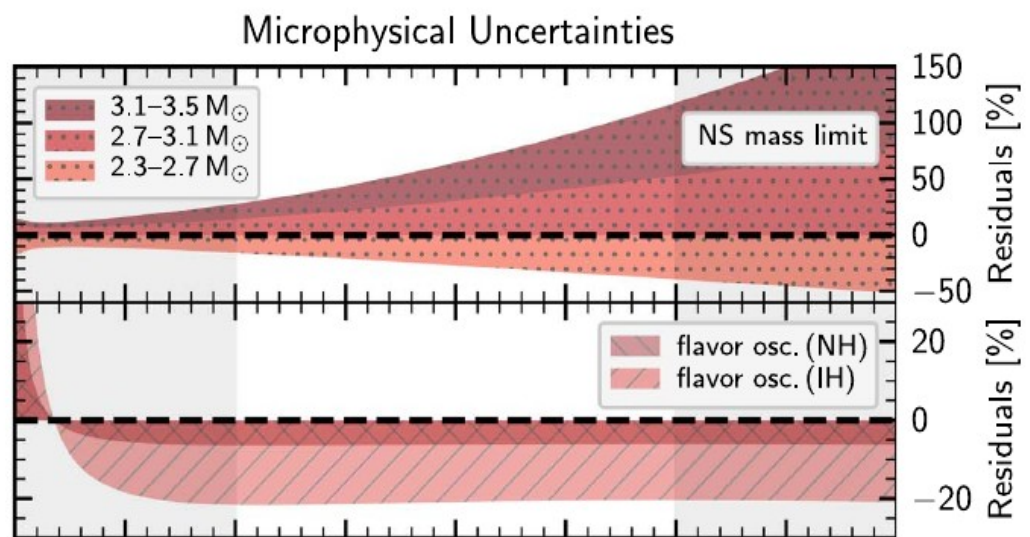
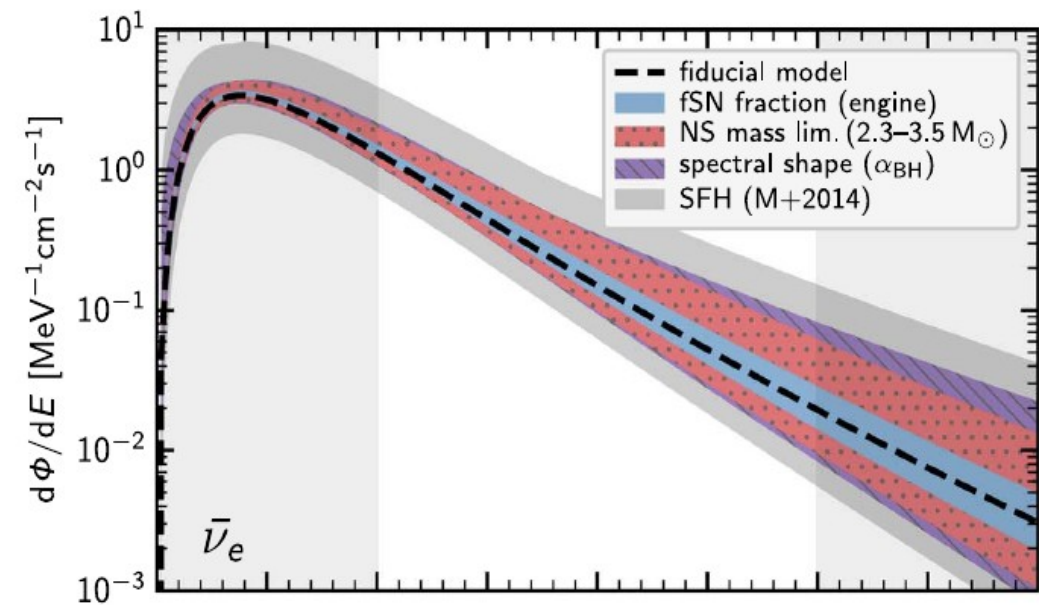
- comparison to $\bar{\nu}_e$ -flux limits set by the SK experiment:
 $\Phi_{17.3} \equiv \Phi(E > 17.3 \text{ MeV}) \lesssim (2.8 - 3.1) \text{ cm}^{-2}\text{s}^{-1}$ (Bays et al. 2012)
 (updated value: 2.7; Abe et al. 2021)
- $d\Phi/dE \simeq \phi_0 \exp(-E/E_0)$

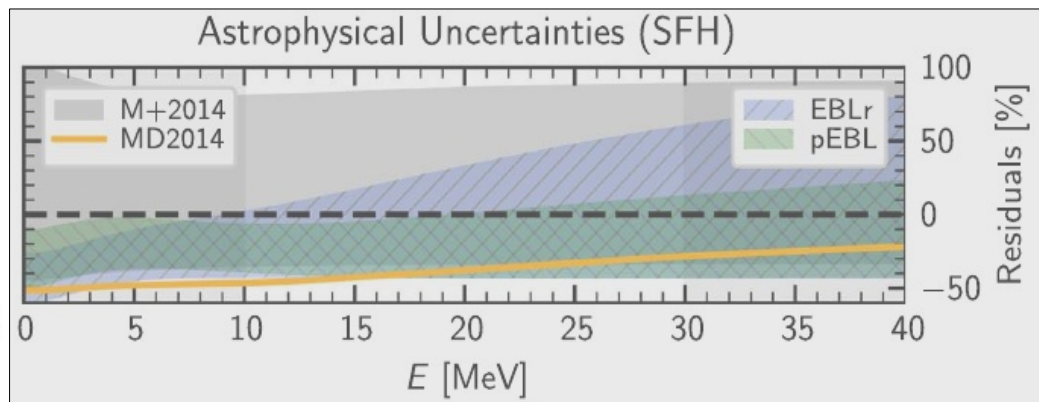
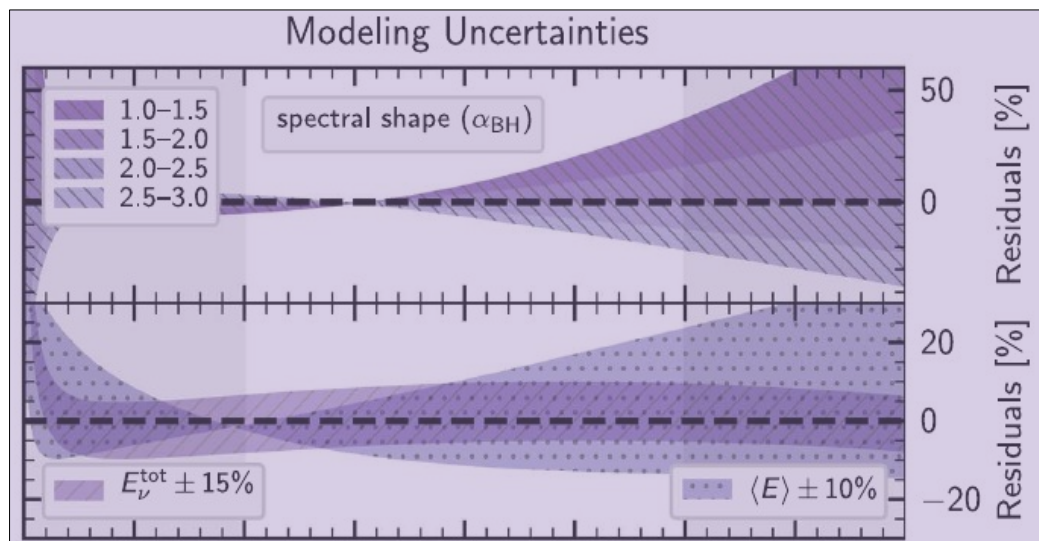
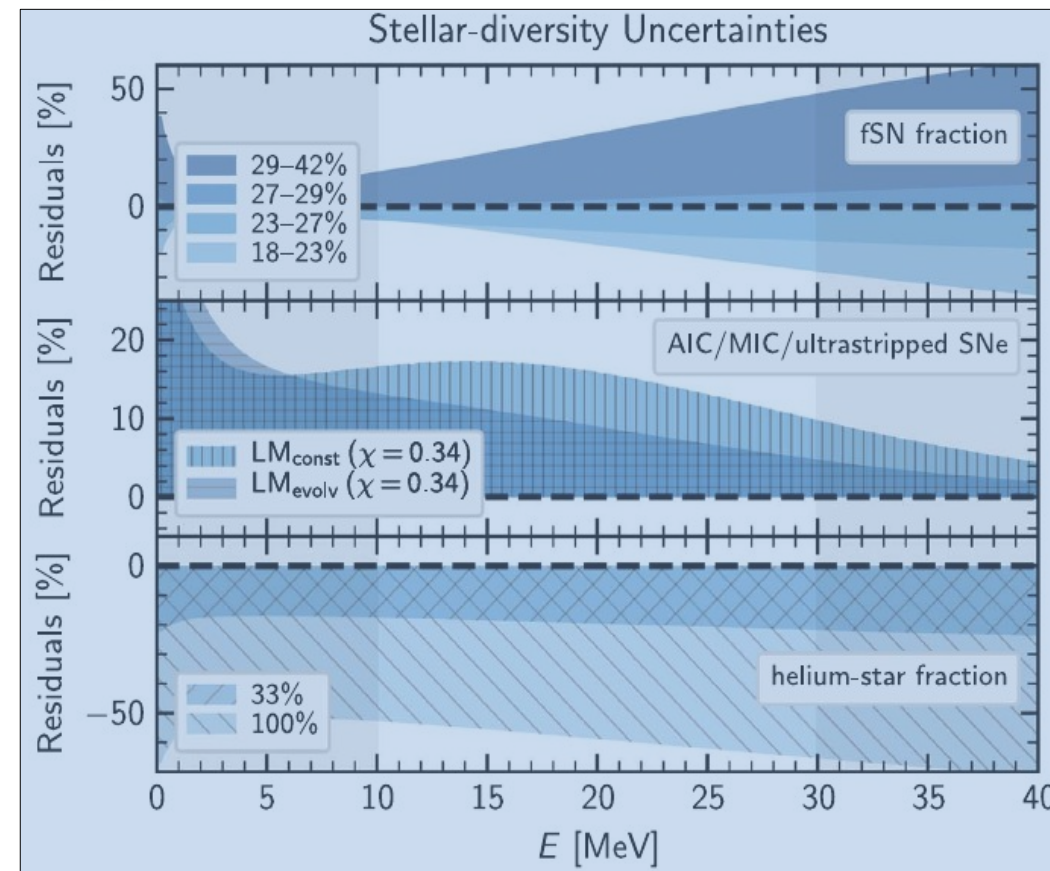
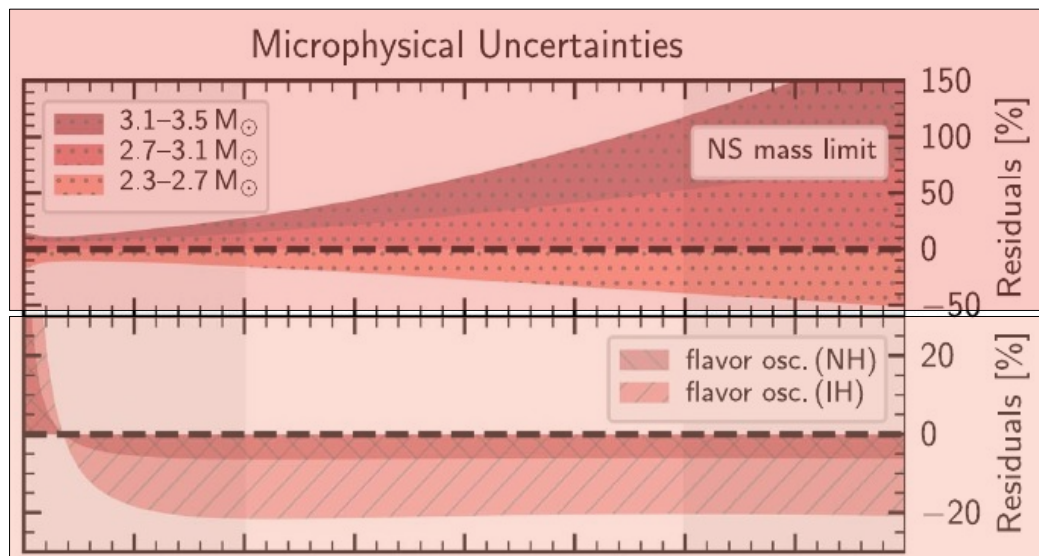
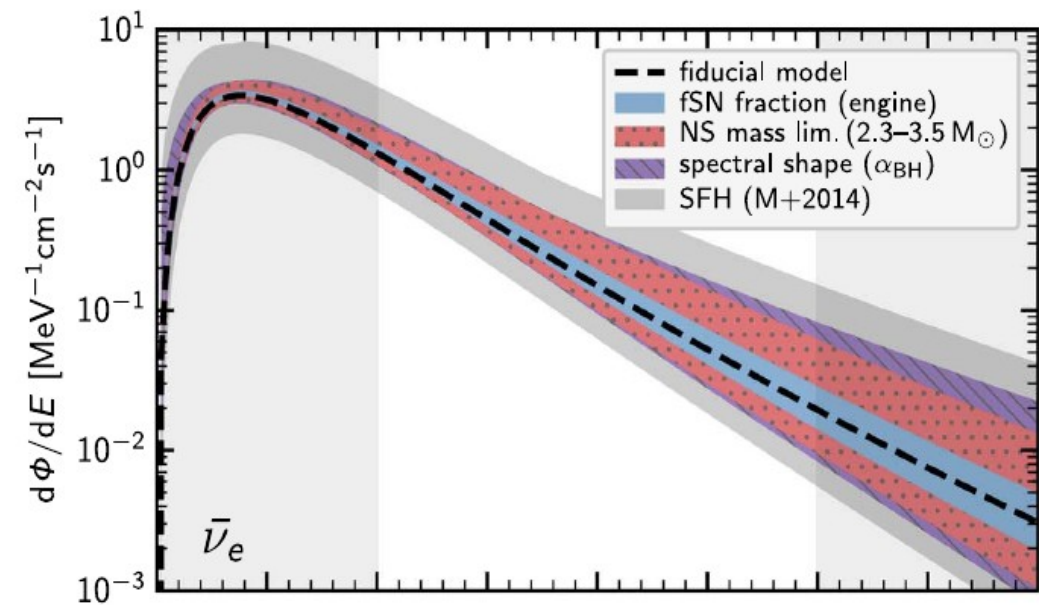


Harada+24 (SK-Gd):

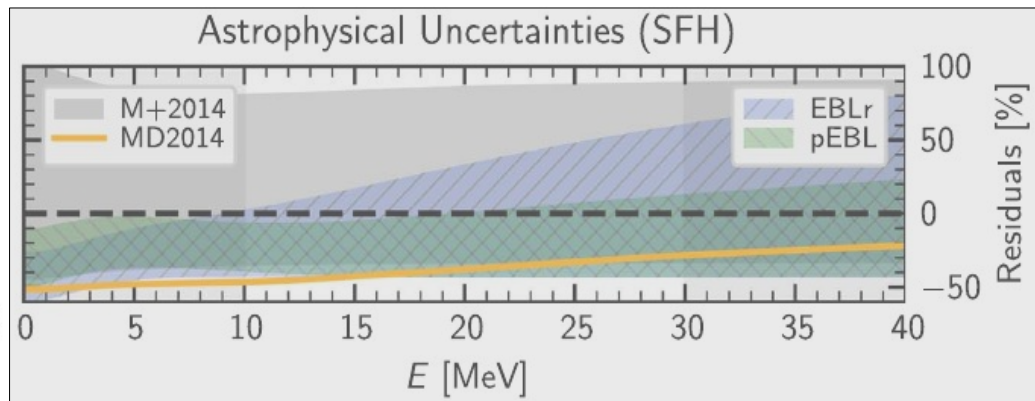
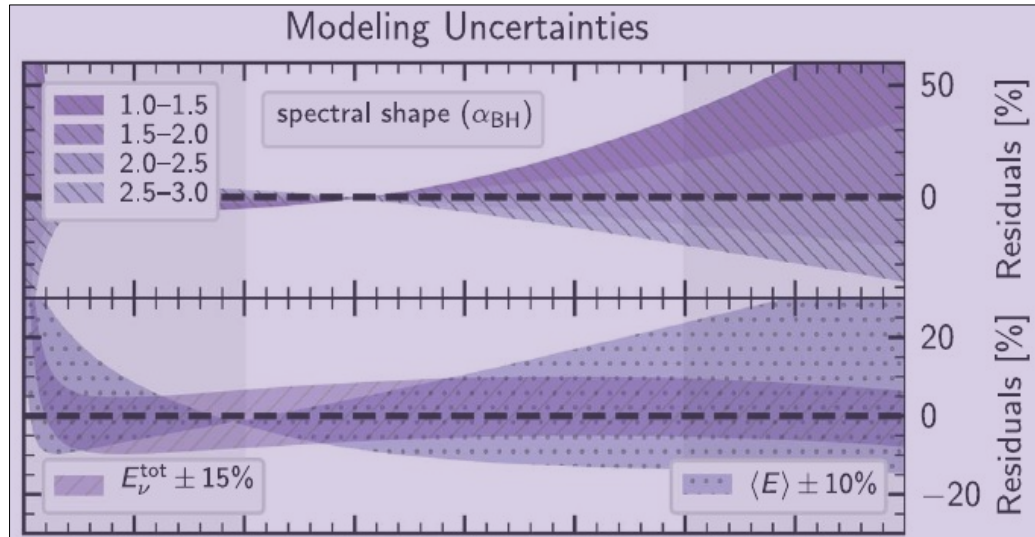
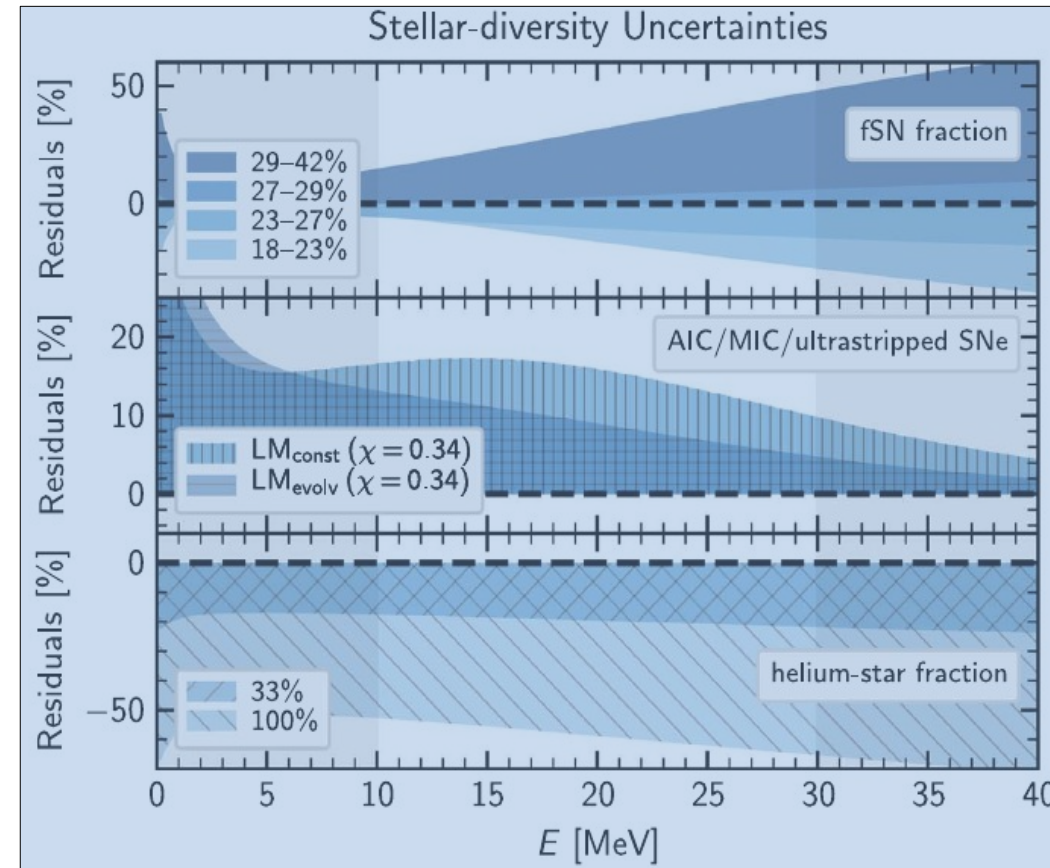
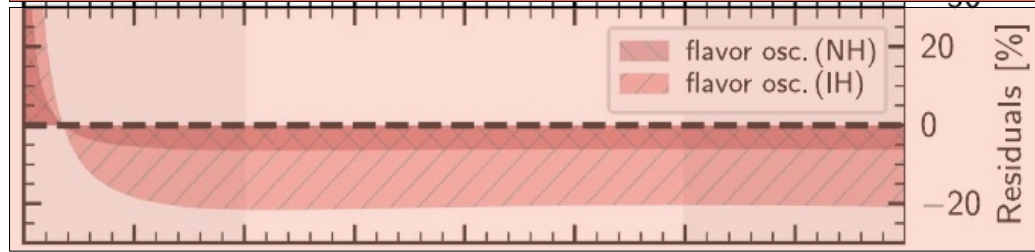
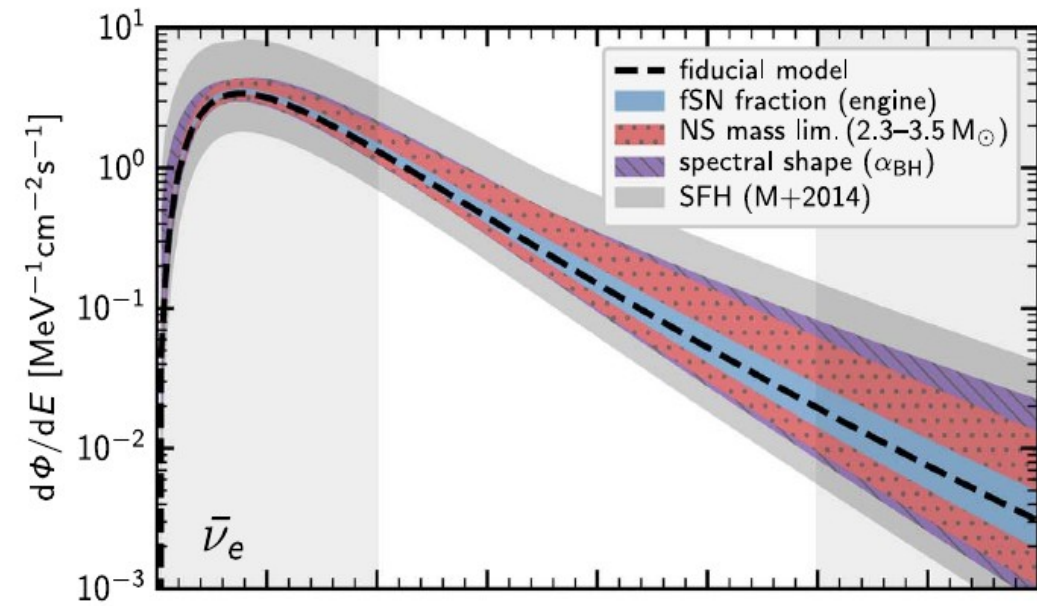
- Best fit of whole SK observation is $1.4^{+0.8}_{-0.6} \text{ cm}^{-2} \text{ s}^{-1}$ for $E_\nu > 17.3 \text{ MeV}$
- exhibit $\sim 2.3 \sigma$ excess!!

Summary of DSNB Uncertainties



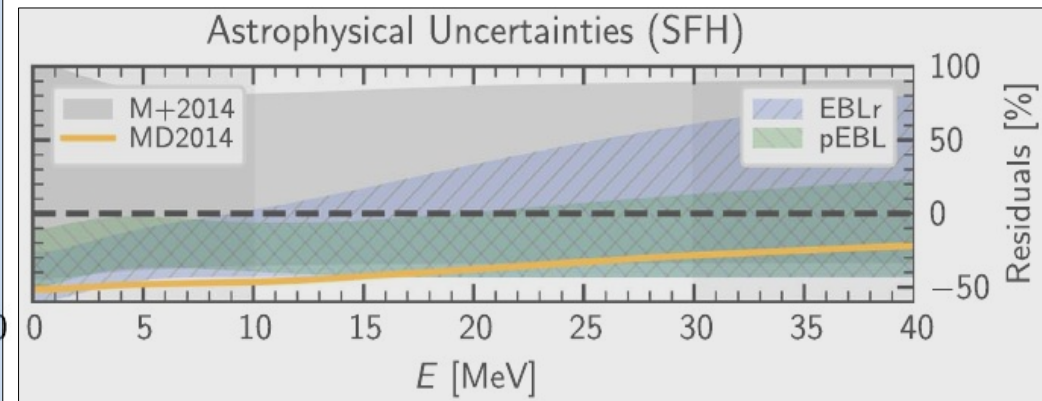
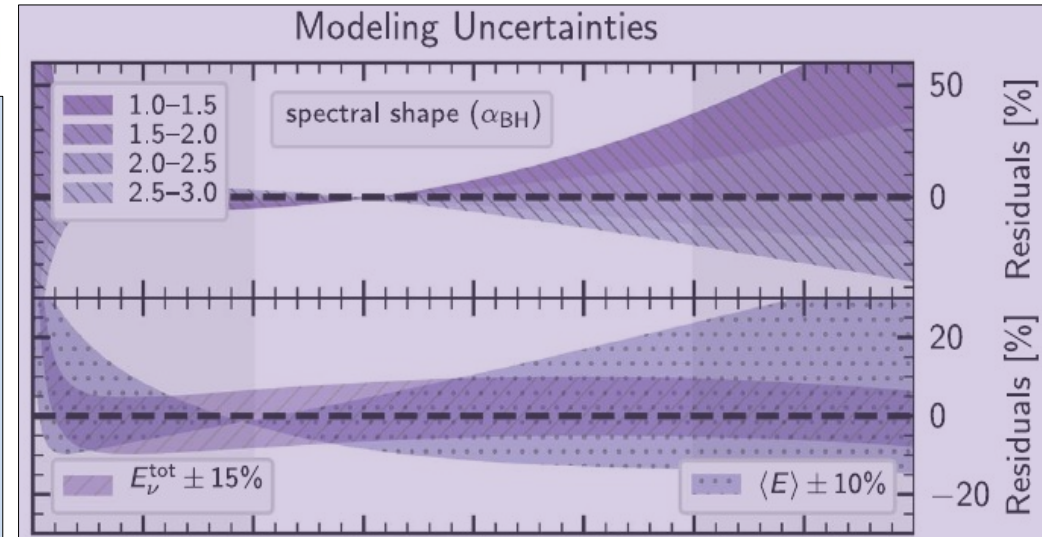
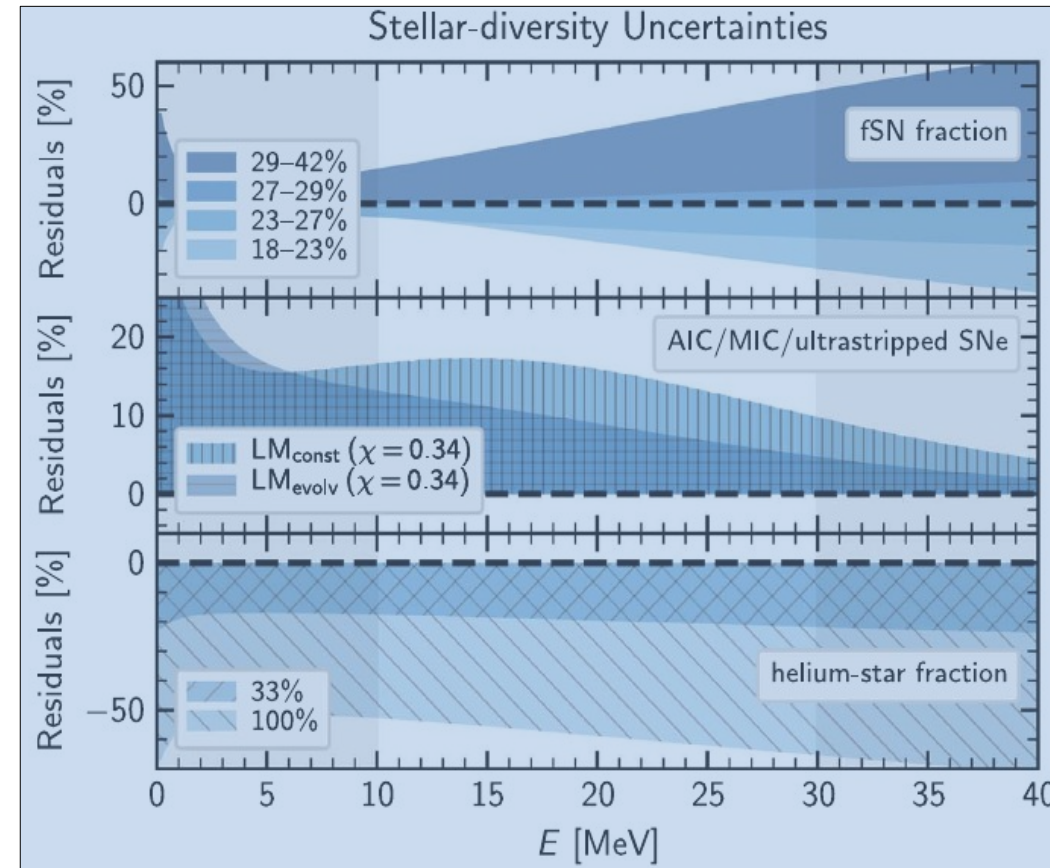
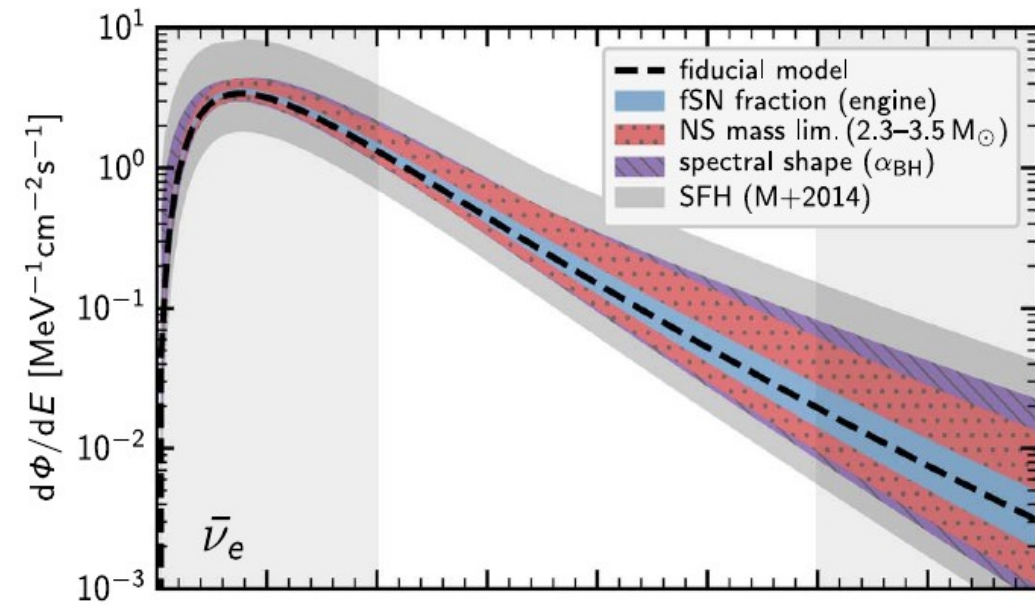


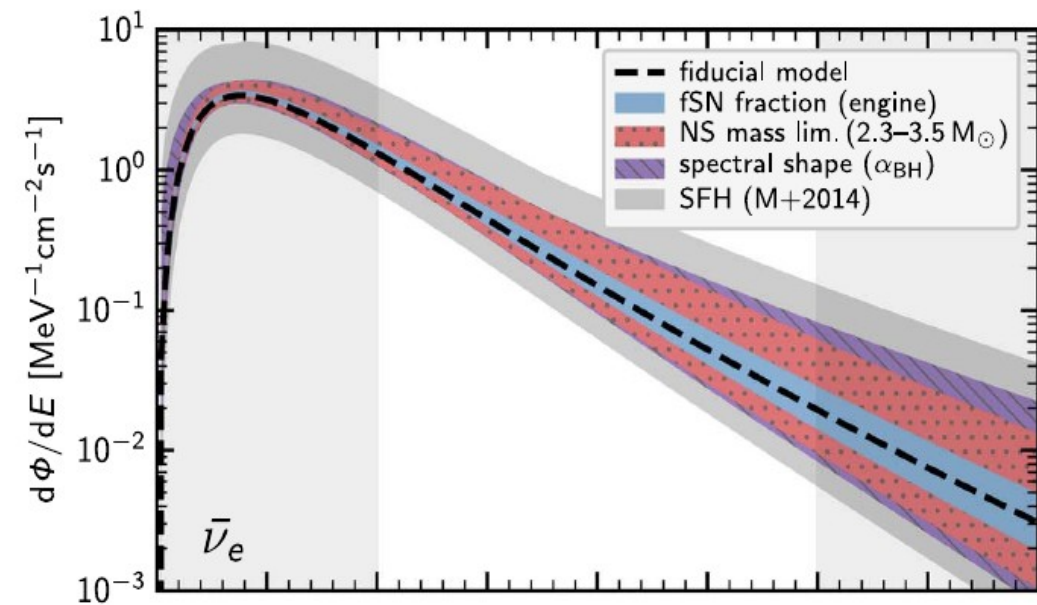
GWs from binary NS mergers (LIGO, VIRGO, KAGRA) & observations by NICER → constraints on max. NS mass / NS radii / high-density EoS



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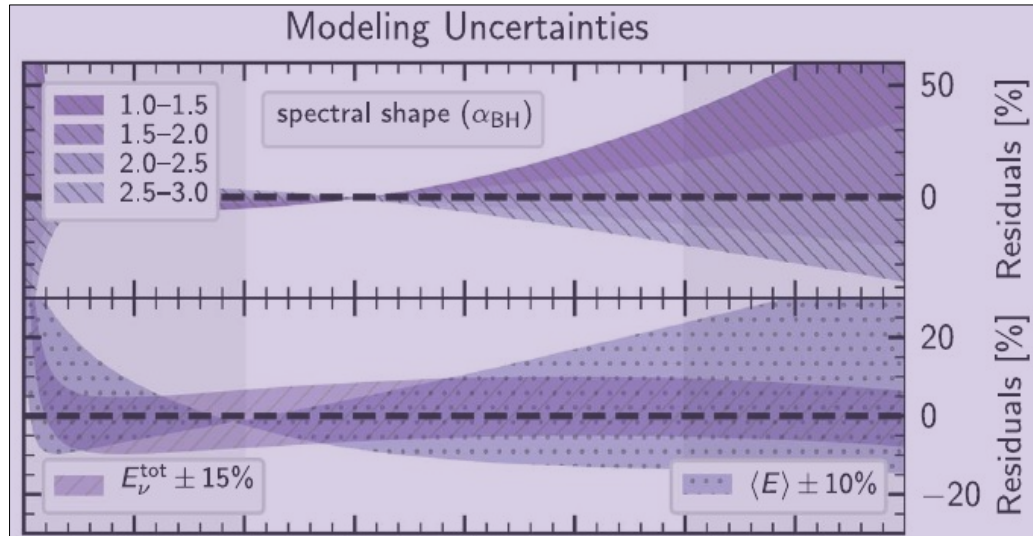
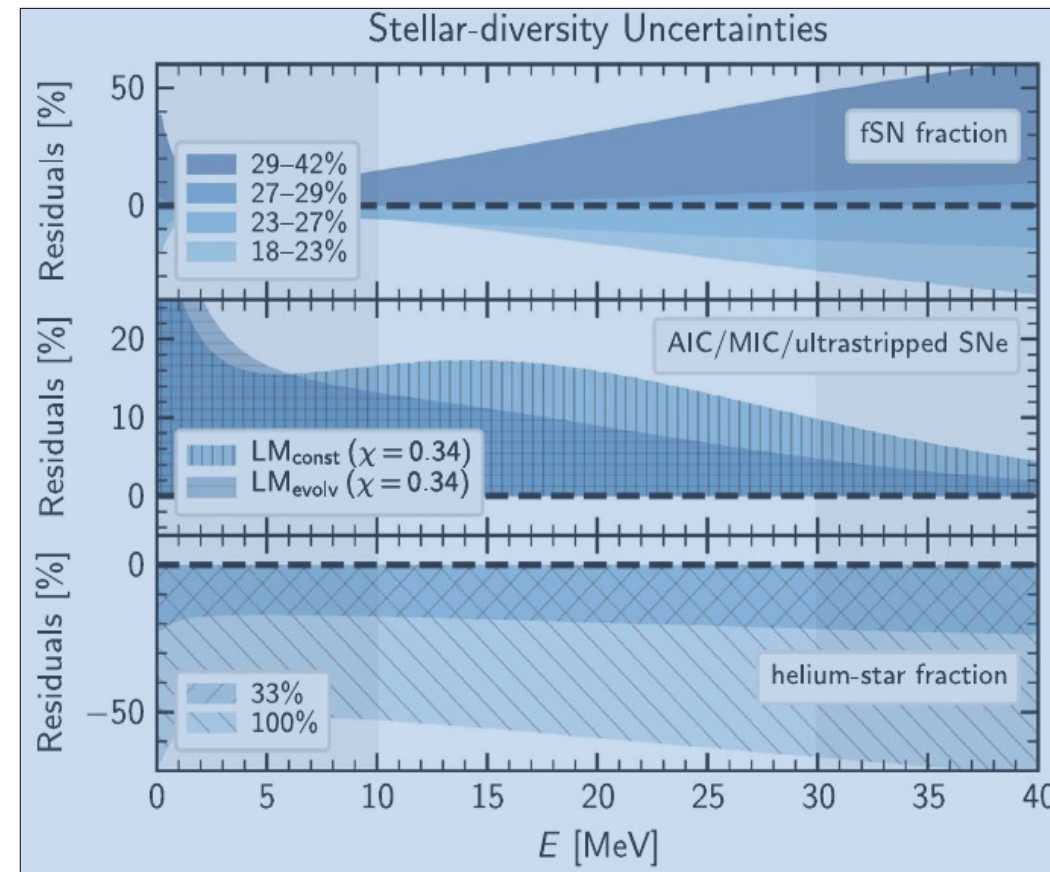
Long-baseline oscillation experiments (JUNO) → **neutrino mass hierarchy**
→ constraints on flavor conversions



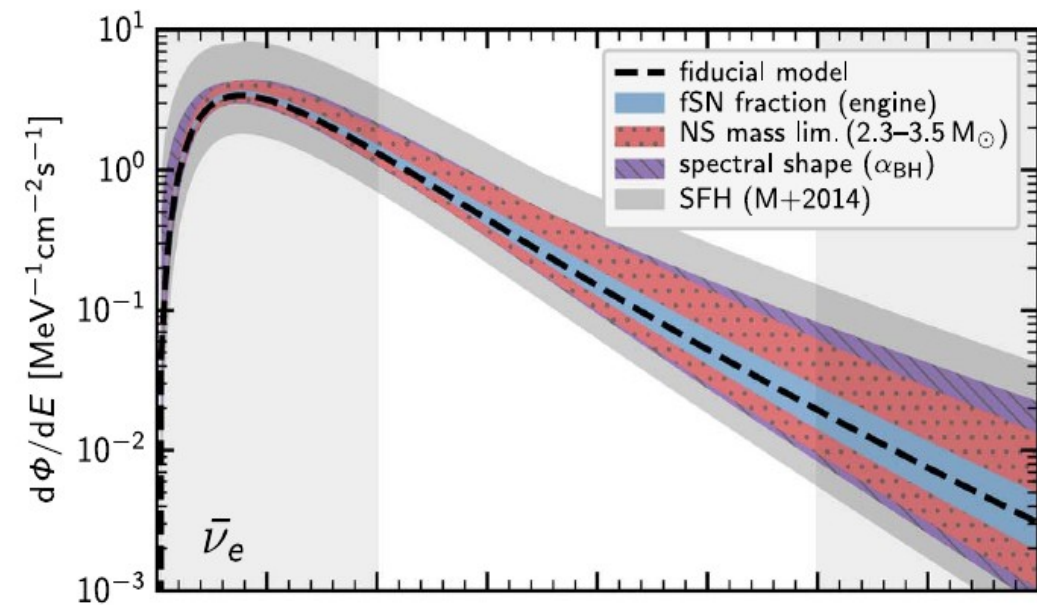


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Upcoming wide-field surveys (e.g. Rubin LSST) → **rate of visible SNe**

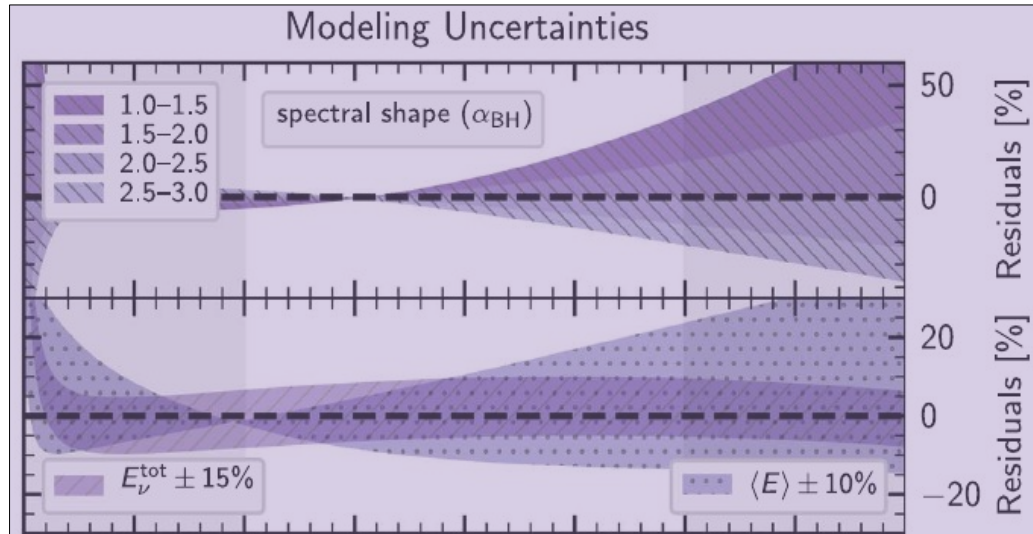


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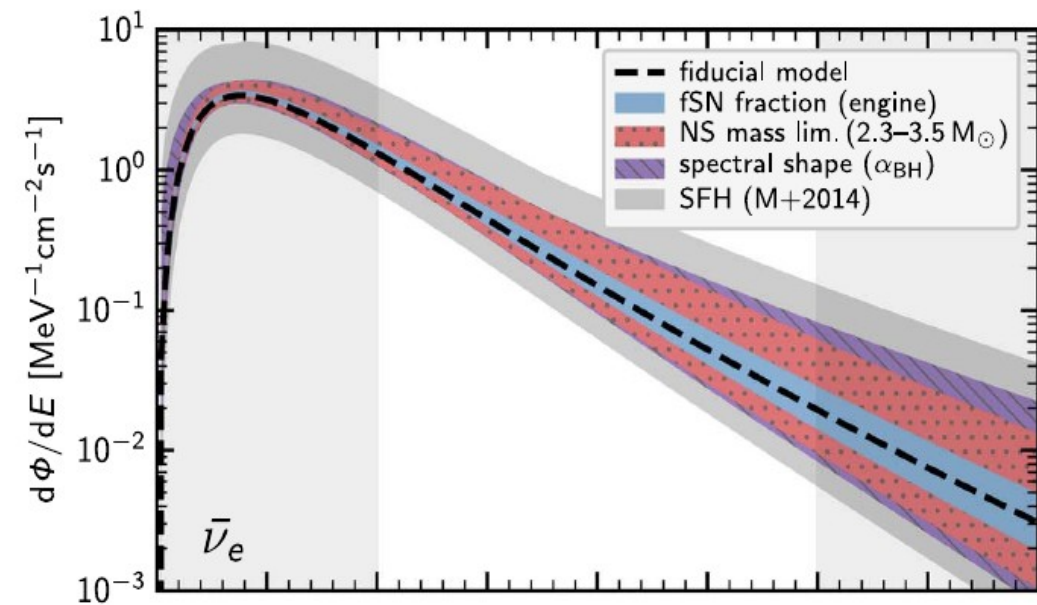
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Future DSNB measurements (SK-Gd, JUNO, HK, DUNE):

- probe the **entire population of stellar collapse events** with its full diversity (incl. faint & failed explosions)
- Imprints of **new physics??** (e.g., de Gouvêa et al. 2020, Tabrizi & Horiuchi 2021)



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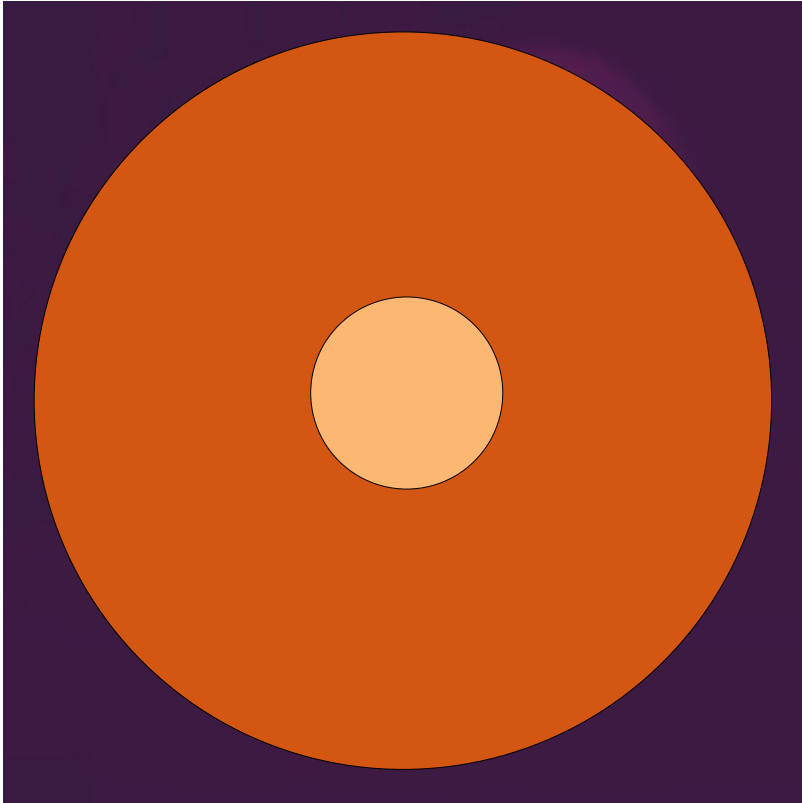
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➔ **Ongoing / future work:**

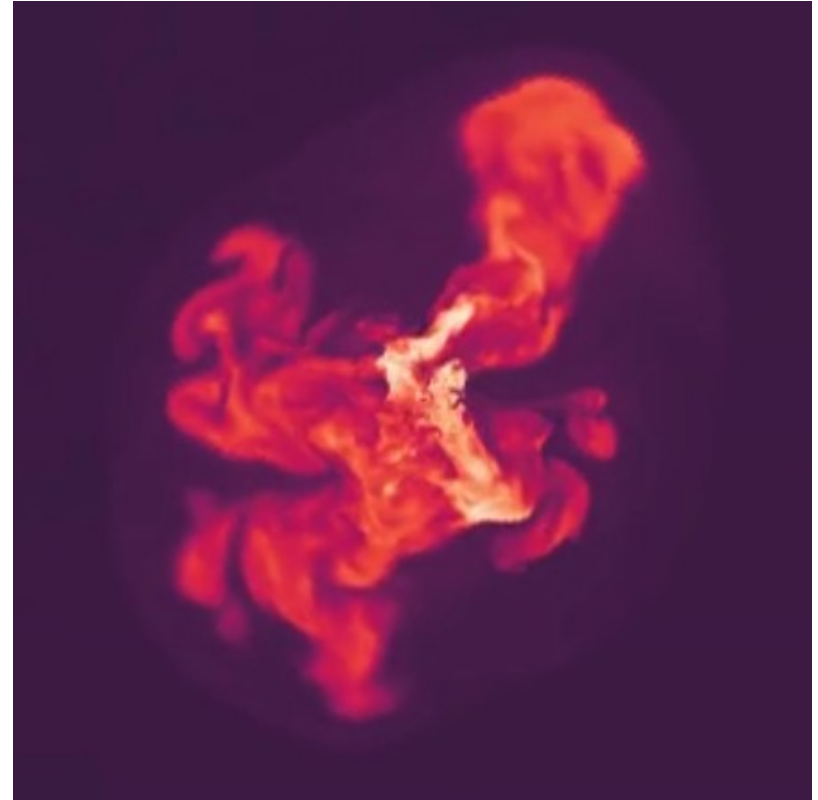
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- Growing set of long-time 3D models → cross-check 1D models; study “explodability”

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1D vs 3D (work in progress)

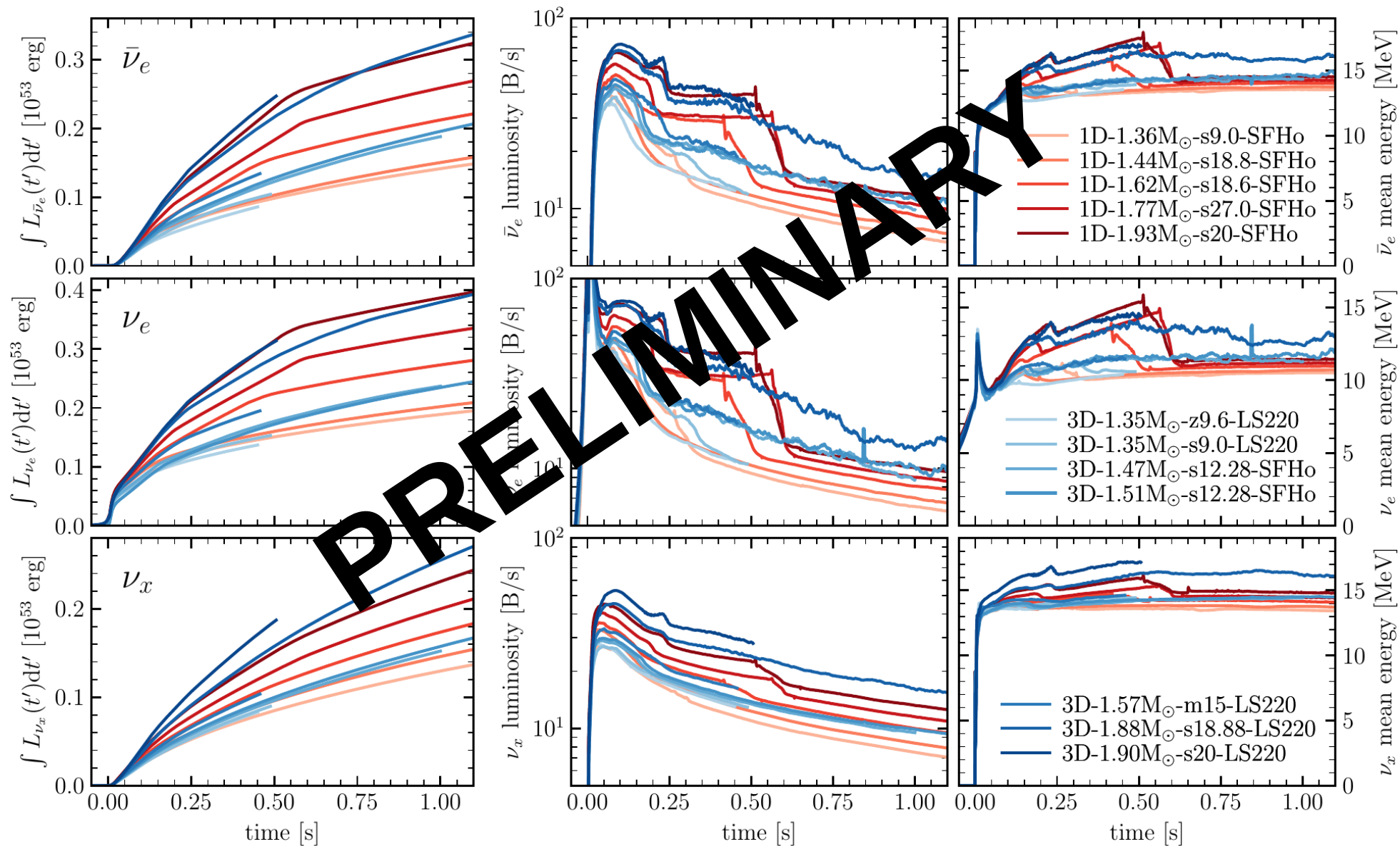


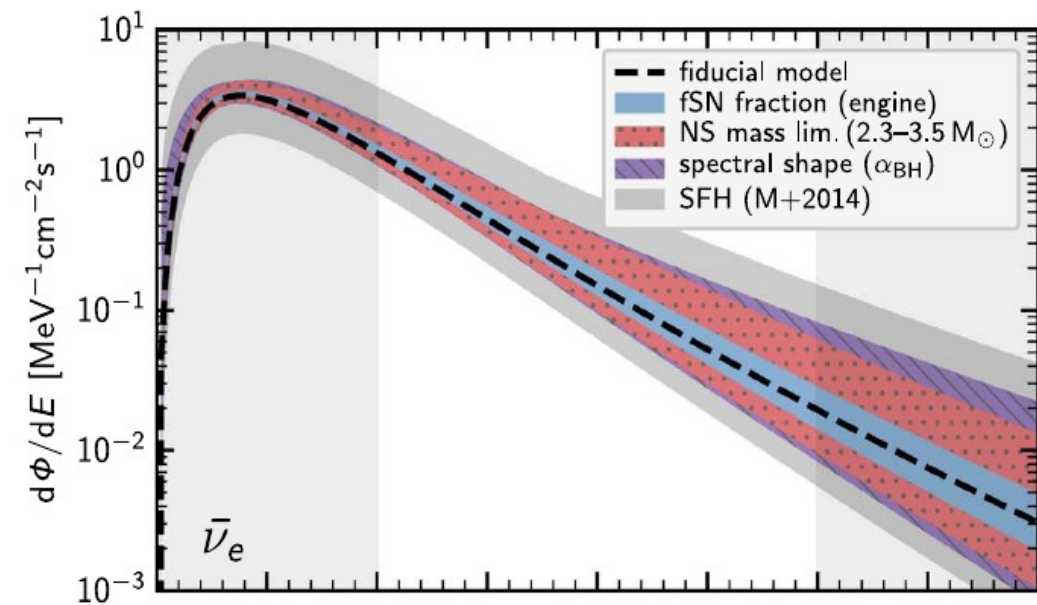
- models often computed under assumption of spherical symmetry (1D) due to computational costs



- Nature is intrinsically multi-dimensional (3D), e.g., hydrodynamical fluid instabilities

1D vs 3D (work in progress)





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