

# Misaligned SUSY in String Vacua

Giorgio Leone

Unito & INFN

C. Angelantonj, I. Florakis, G. L., arXiv:2302.13702

G.L., arXiv: 2308.09757

Strings Breaking SUSY, November 2023

## SUSY breaking at the string scale induces instabilities

- Best case scenario      **→**      tachyon free vacua with non vanishing contributions to dilaton potential from tadpoles or perturbative corrections
  - Worst case scenario      **→**      tachyons in the tree level spectrum
- can we distinguish the two?

# Misaligned SUSY for Closed Strings

Interplay between IR and UV properties

[Dienes, 1994]

$$\begin{aligned}
 \mathcal{T} &= \sum_{i, \bar{i}} N_{i\bar{i}} \chi_i(\tau) \bar{\chi}_{\bar{i}}(\bar{\tau}) \\
 &\quad \nearrow \sum_{n=0}^{\infty} d_i(n) q^{n+H_i} \quad \searrow H_i = h_i - \frac{c}{24} \\
 &\quad \searrow \langle d(n) \rangle = \sum_{i\bar{i}} N_{i\bar{i}} d_i(n) \bar{d}_{\bar{i}}(n) \\
 &\quad \swarrow \\
 \text{Sector averaged sum} &\quad \longrightarrow \quad \text{counts dof in the large mass regime}
 \end{aligned}$$

# Rademacher expansion

The degeneracies  $d_i(n)$  from Cauchy integral

$$d_i(n) = \oint \frac{dq}{2\pi i} \frac{\chi_i(q)}{q^{n+H_i+1}}$$

Integral hard to evaluate  $\longrightarrow$  *Circle Method*

[Hardy Ramanujan, 1917 ]

[Rademacher, 1938]

sum over essential  
singularities at  $\tau = \frac{p}{l}$

contour from arcs of Ford  
Circles of each irreducible  
fraction

Rademacher *exact* formula

Generalised  
Kloosterman sum



Moebius

$$\hat{Q}^{(l,n)} = (-1)^n Q^{(l,n)}$$

$$d_i(n) = \sum_{l=1}^{\infty} \sum_{H_j < 0} Q_{ij}^{(l,n)} \left( \frac{|H_j|}{n + H_i} \right)^{d/2} I_{\frac{d}{2}} \left( \frac{4\pi}{l} \sqrt{|H_j|(n + H_i)} \right)$$

$$\sum_{\substack{p=0 \\ \gcd(l,p)=1}}^{l-1} \left( \gamma_{l,p}^{-1} \right)_{ij} e^{-\frac{2\pi i}{l}(p(n+H_i) - p'H_j)}$$

$f_j(l, n)$

sum over tachyonic  
characters

modular transformation mapping  
rational points on the unit circle  $|q| = 1$   
to  $q = 0$

[Sussman, 2017 ]

[Manschot et al., 2010]

[Dijkgraaf et al., 2007]

Dof's rearranged into a finite number of subclasses

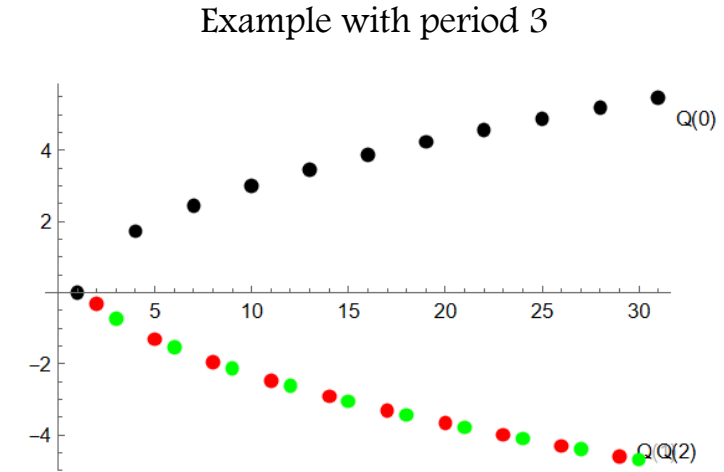
[Cribiori et al., 2021]

$$n = w + l m$$

$$Q^{(l, w + l m)} = Q^{(l, w)}$$

Refined degeneracies

$$d_i(n) \longrightarrow \sum_{l \geq 1} \sum_{w=0}^{l-1} \sum_{H_j < 0} Q_{ij}^{(l, w)} f_j(n, l)$$



But left and right sectors imply the periodicity be

$$\text{lcm}(l, \bar{l})$$

Modular invariance, level rearrangement and Rademacher expansion imply

$$\langle d(n) \rangle (\mathcal{T}) = \sum_{\substack{i, \bar{i} \\ H_i = \bar{H}_{\bar{i}} < 0}} N_{i\bar{i}} d_i(0) \bar{d}_{\bar{i}}(0) \sum_{\ell=1}^{\infty} \varphi(\ell) e^{\frac{8\pi}{\ell} \sqrt{|H_i|} n}$$

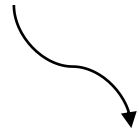


contributions only from level matched tachyons



Orientifold vacua?

Elephant in the room:



absence of modular invariance



connects  $\langle d(n) \rangle$  with tachyons



how is it translated in orientifolds?



# Orientifold vacua

Modular action is trivialised

$$\curvearrowright \mathcal{Z} = \mathcal{K}, \mathcal{A}, \mathcal{M}$$

$$\mathcal{Z} = \sum_i \zeta_i \chi_i(\tau) \longrightarrow \langle d(n) \rangle (\mathcal{Z}) = \sum_i \zeta_i d_i(n)$$

➡ Modular group acts covariantly

➡  $S$  and  $P$  map different descriptions of the same amplitudes

$$\tilde{\mathcal{Z}} = \sum_i \tilde{\zeta}_i \chi_i(\tau) \longrightarrow \langle d(n) \rangle (\tilde{\mathcal{Z}}) = \sum_i \tilde{\zeta}_i d_i(n)$$

For orientifolds only holomorphic sector

Refined degeneracies

$$d_i(n) \longrightarrow \sum_{l \geq 1} \sum_{w=0}^{l-1} \sum_{H_j < 0} Q_{ij}^{(l,w)} f_j(n, l)$$

But

$$\sum_{w=0}^{l-1} Q^{(l,w)} = 0, \quad l > 1 \quad \longrightarrow \quad \text{only } S \text{ survives}$$

$$\sum_{w=0}^{\text{lcm}(l,2)-1} \hat{Q}^{(l,w)} = 0, \quad l \neq 2 \quad \longrightarrow \quad \text{only } P \text{ survives}$$

Direct channel

$$\langle d(n) \rangle(\mathcal{Z}) = \sqrt{\frac{\ell_{\mathcal{Z}}}{2}} \sum_{i | H_i < 0} \tilde{\zeta}_i d_i(0) e^{\frac{4\pi}{\ell_{\mathcal{Z}}} \sqrt{|H_i|} n}$$

➔ vanishes only if tachyons are absent in the transverse channel

Transverse channel

$$\langle d(n) \rangle(\tilde{\mathcal{Z}}) = \sqrt{\frac{\ell_{\tilde{\mathcal{Z}}}}{2}} \sum_{i | H_i < 0} \zeta_i d_i(0) e^{\frac{4\pi}{\ell_{\tilde{\mathcal{Z}}}} \sqrt{|H_i|} n}$$

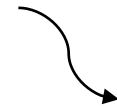
➔ vanishes only if tachyons are absent in the direct channel

# Misaligned SUSY in Orientifolds

No closed tachyons



$$\langle d(n) \rangle(\mathcal{Z}) = 0$$



Necessary condition

$$\langle d(n) \rangle(\tilde{\mathcal{Z}}) = 0$$



No closed and open tachyons

$$\langle d(n) \rangle(\mathcal{T}) = 0$$



Sufficient condition



Necessary and sufficient condition?

Problem:

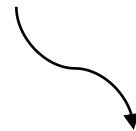
Tachyon can be removed by orientifold projection



non vanishing sector averaged sums even  
without tachyons



mutual cancellation required



impossible to achieve

# Examples in 10d

Type 0B superstring

$$\mathcal{T} = |O_8|^2 + |V_8|^2 + |S_8|^2 + |C_8|^2$$



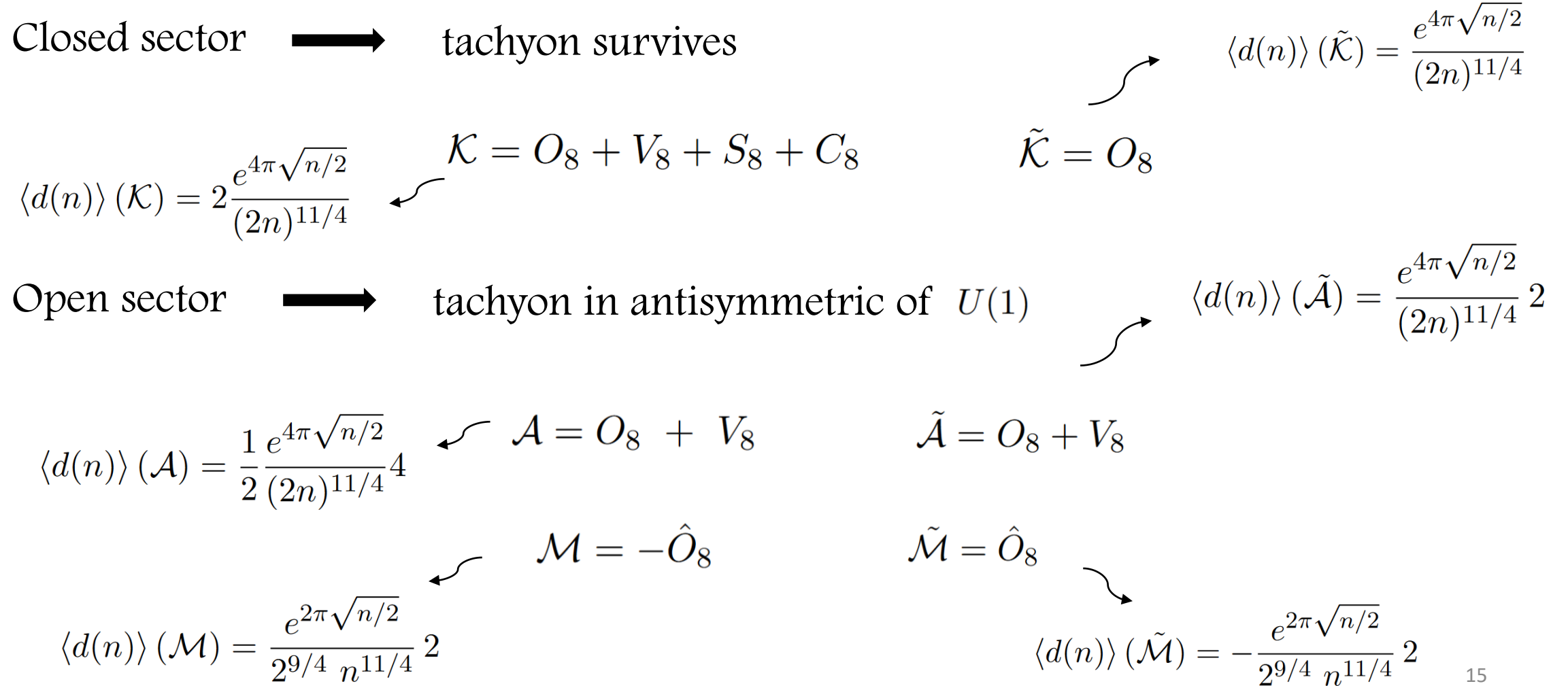
tachyon level matched

Sector averaged sum

$$\langle d(n) \rangle (\mathcal{T}) = \sum_{\ell=1}^{\infty} \varphi(\ell) \frac{e^{\frac{8\pi}{\ell} \sqrt{n/2}}}{(2n)^{11/2}}$$

# Type 0B2

[Sagnotti, 1995]



# Type 0B3

[Sagnotti, 1995]

Closed sector  $\longrightarrow$  tachyon projected away

$$\langle d(n) \rangle (\mathcal{K}) = 0 \quad \mathcal{K} = -O_8 + V_8 + S_8 - C_8 \quad \tilde{\mathcal{K}} = -C_8 \quad \langle d(n) \rangle (\tilde{\mathcal{K}}) = -\frac{e^{4\pi\sqrt{n/2}}}{(2n)^{11/4}}$$

Open sector  $\longrightarrow$  tachyon in bifundamental of  $U(32+n) \times U(n)$

$\curvearrowright$  tachyon not projected

Unable to cancel

$$\langle d(n) \rangle (\mathcal{T}) = \sum_{\ell=1}^{\infty} \varphi(\ell) \frac{e^{\frac{8\pi}{\ell}\sqrt{n/2}}}{(2n)^{11/2}}$$



# Conclusions

## Oriented Closed Strings

No physical  
tachyons



$$\langle d(n) \rangle = 0$$

## Orientifolds

Direct (transverse)  
 $\langle d(n) \rangle = 0$



No transverse (direct)  
tachyons

# Outlook

Connection with Rankin-Selberg-Zagier?

[Kutasov, Seiberg, 1990]  
[Angelantonj et al., 2011]

New technology for orientifolds?

THANK YOU FOR THE ATTENTION

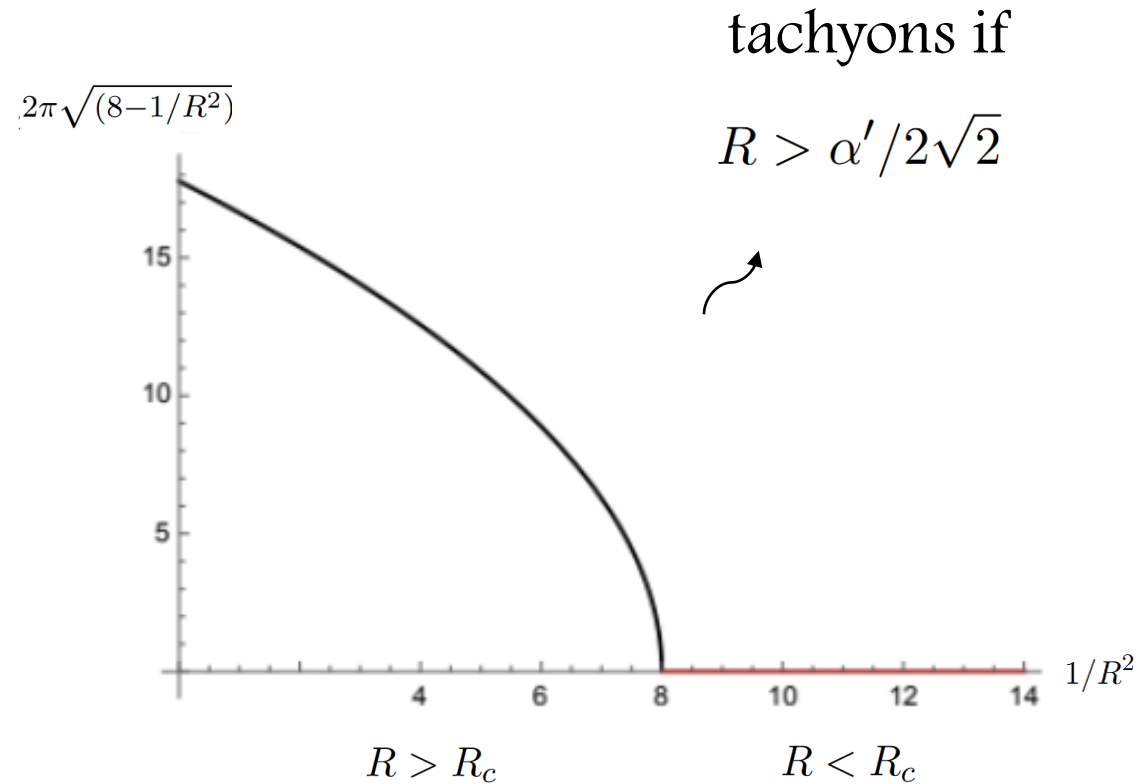
# Examples in 9d

M-theory breaking compactification

$$\langle d(n) \rangle (\mathcal{T}) = \begin{cases} 0 & R \leq 1/8 \\ e^{2\pi\sqrt{(8-1/R^2)n}} & R > 1/8 \end{cases}$$

$R \rightarrow \infty \quad \longrightarrow \quad$  OB (purely bosonic)

$R \rightarrow 0 \quad \longrightarrow \quad$  IIB in 10d (SUSY)



# M~theory breaking orientifolds

[Antoniadis, 1998]

World-sheet parity allows

$$\mathcal{K} = (V_8 - S_8) P_m + (O_8 - C_8) P_{m+1/2}$$

Tachyon survives

$$\langle d(n) \rangle (\tilde{\mathcal{K}}) \sim e^{\pi \sqrt{(8-\alpha'/R^2)n}}$$

$$\langle d(n) \rangle (\tilde{\mathcal{M}}) = 0$$

Open sector  $\longrightarrow$  tachyon in bifundamental of  $SO(16) \times SO(16)$

$$\langle d(n) \rangle (\tilde{\mathcal{A}}) \sim 2 \cdot 16 \cdot 16 e^{\pi \sqrt{(8-\alpha'/R^2)n}}$$

No tachyonic tadpoles

$$\curvearrowright \langle d(n) \rangle (\tilde{\mathcal{Z}}) = 0$$

# A variation on M-theory breaking orientifolds

Orientifold projection dressed with extra symmetries

[Dudas, 2002]

$$\mathcal{K} = (V_8 - S_8) P_m - (O_8 - C_8) P_{m+1/2}$$

No open tachyons and no tachyonic tadpoles

$$\langle d(n) \rangle (\tilde{\mathcal{A}}) = 0 \quad \langle d(n) \rangle (\tilde{\mathcal{M}}) = 0 \quad \langle d(n) \rangle (\mathcal{Z}) = 0$$

Tachyon projected away

$$\langle d(n) \rangle (\tilde{\mathcal{K}}) \sim -e^{\pi \sqrt{(8-\alpha'/R^2)n}}$$

$\longrightarrow$  but unable to cancel  $\langle d(n) \rangle (\mathcal{T}) = \sum_{\ell=1}^{\infty} \varphi(\ell) e^{\frac{2\pi}{\ell} \sqrt{(8-\alpha'/R^2)n}}$