

# Hamiltonian Truncation and Effective Field Theory

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University of Geneva

based on work with Tim Cohen, Rachel Houtz, Markus Luty and Dorian Wenzel  
arXiv: 2110.08273 and work in progress

# Landscape of quantum field theory

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- QFT is nature's language

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- vast landscape of possible QFTs

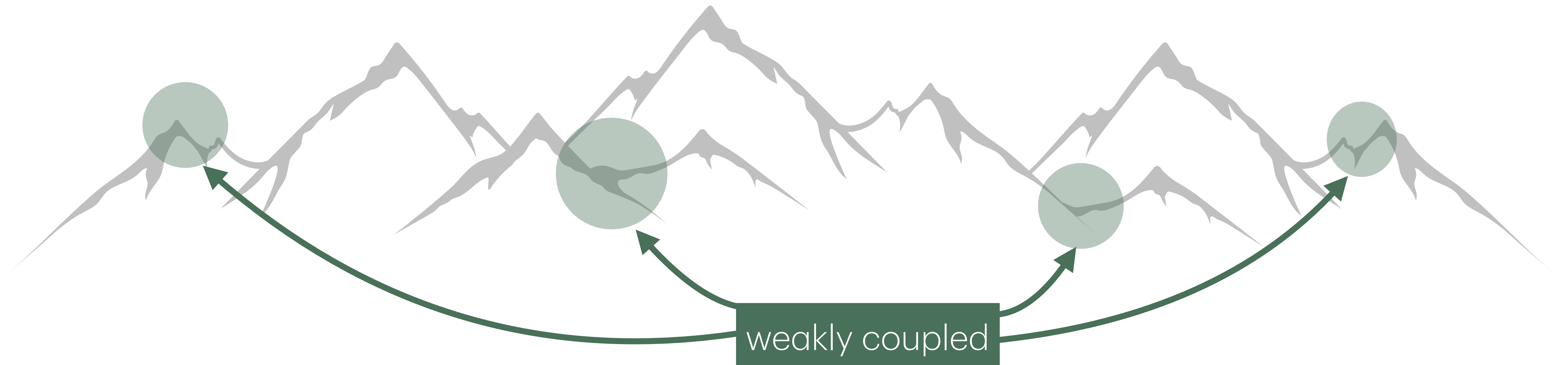


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- vast landscape of possible QFTs
- powerful tools for weak coupling



perturbation theory



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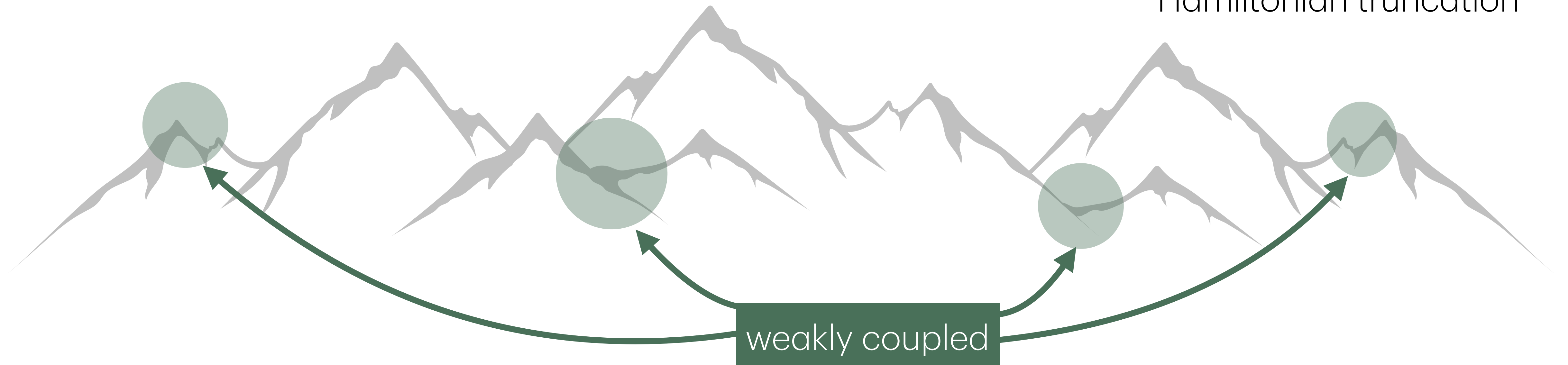


perturbation theory



Lattice methods

Conformal bootstrap  
Hamiltonian truncation





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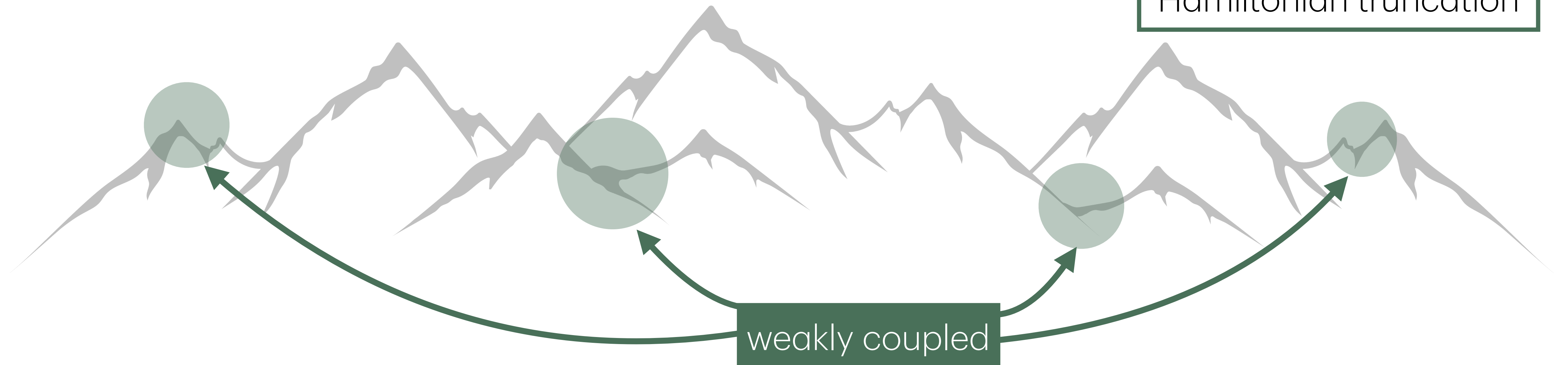


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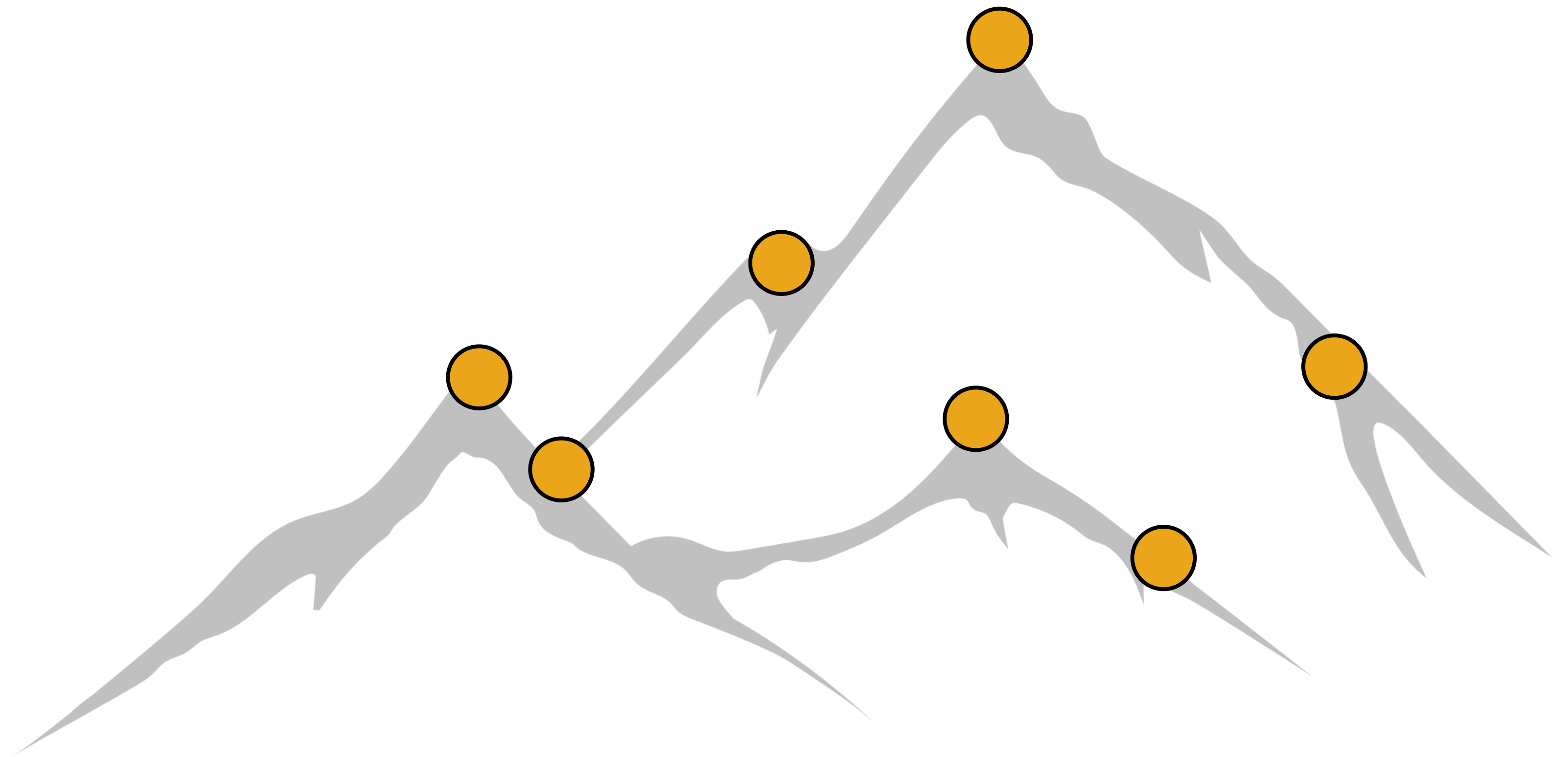
QFT = fixed points + flows





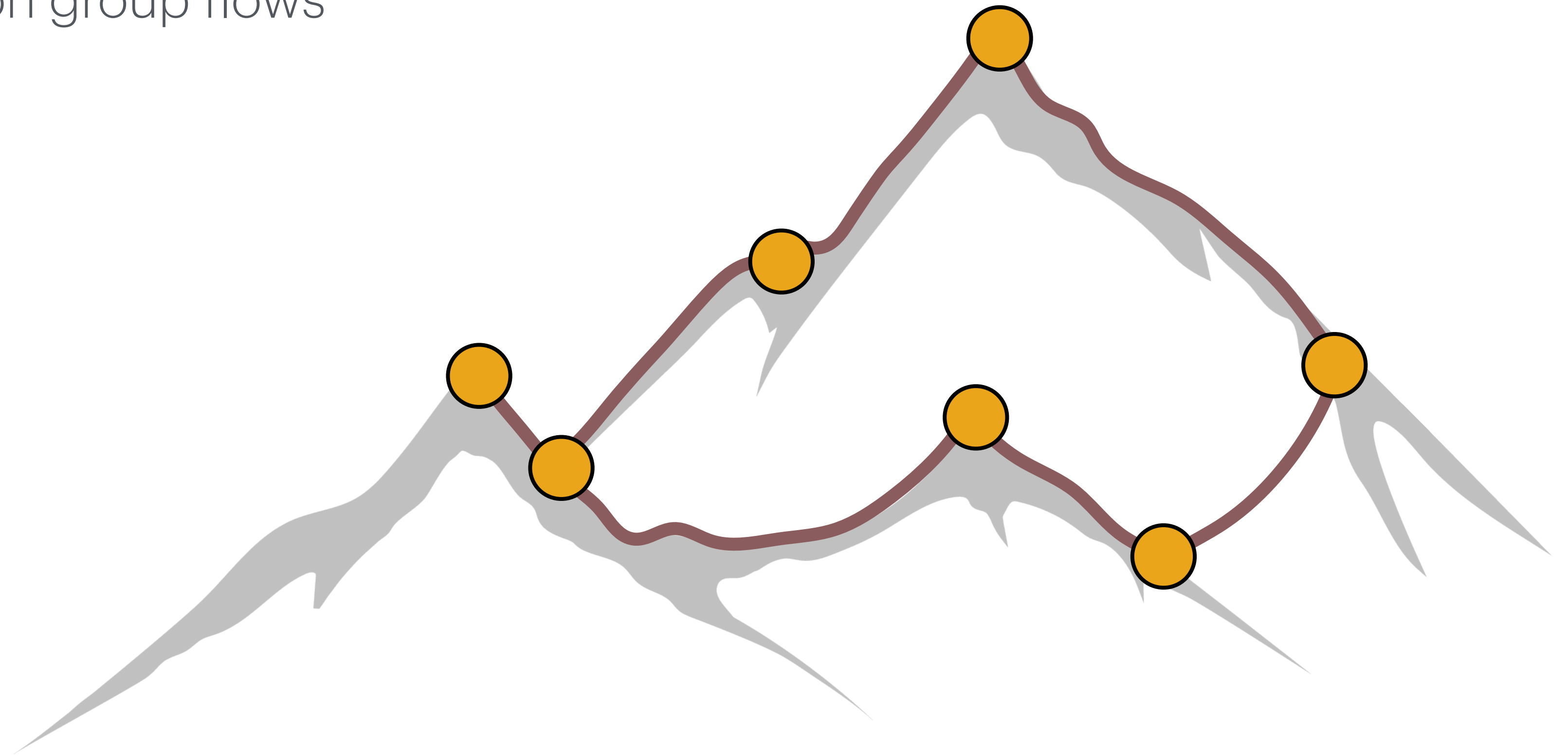
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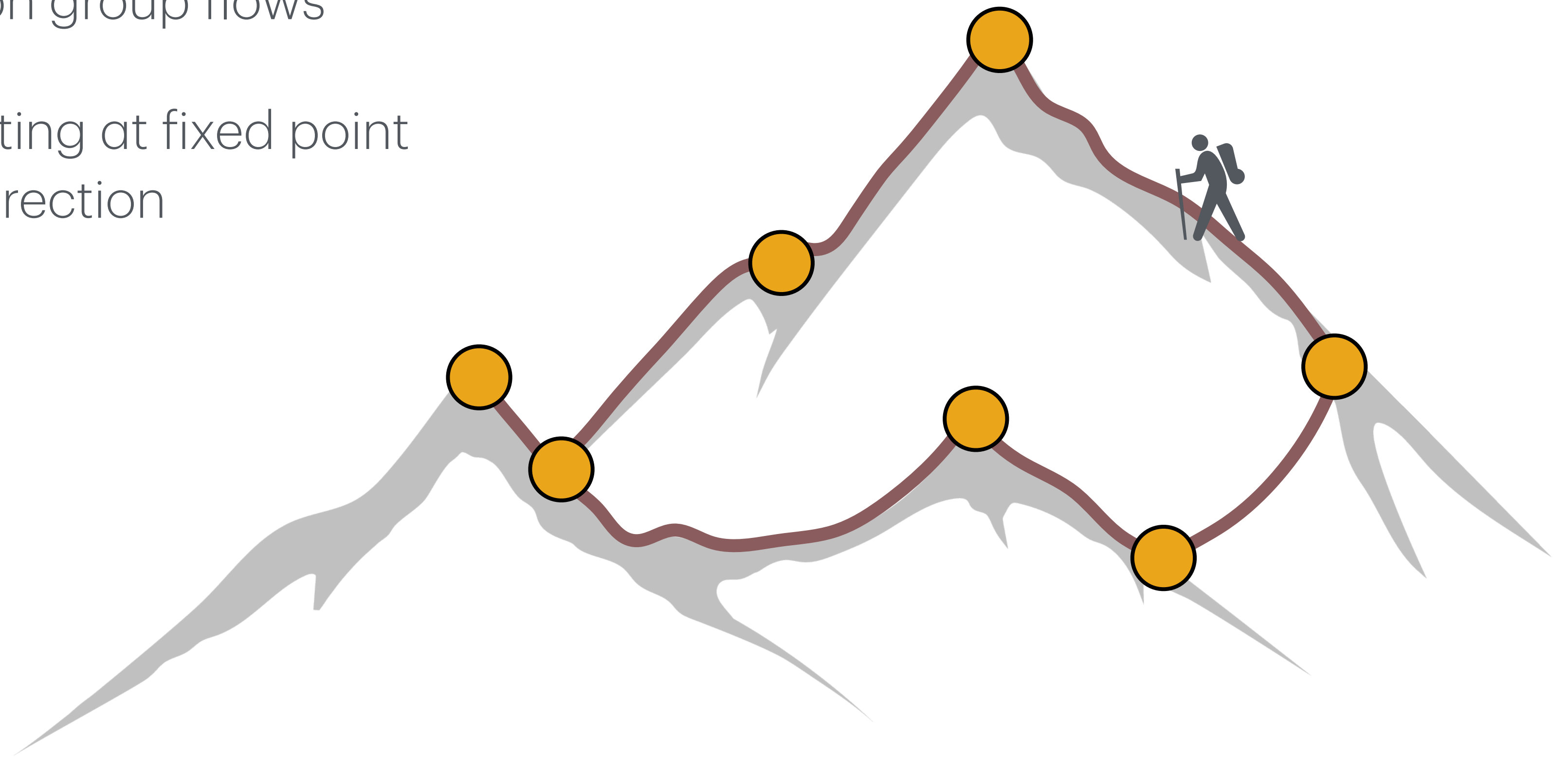
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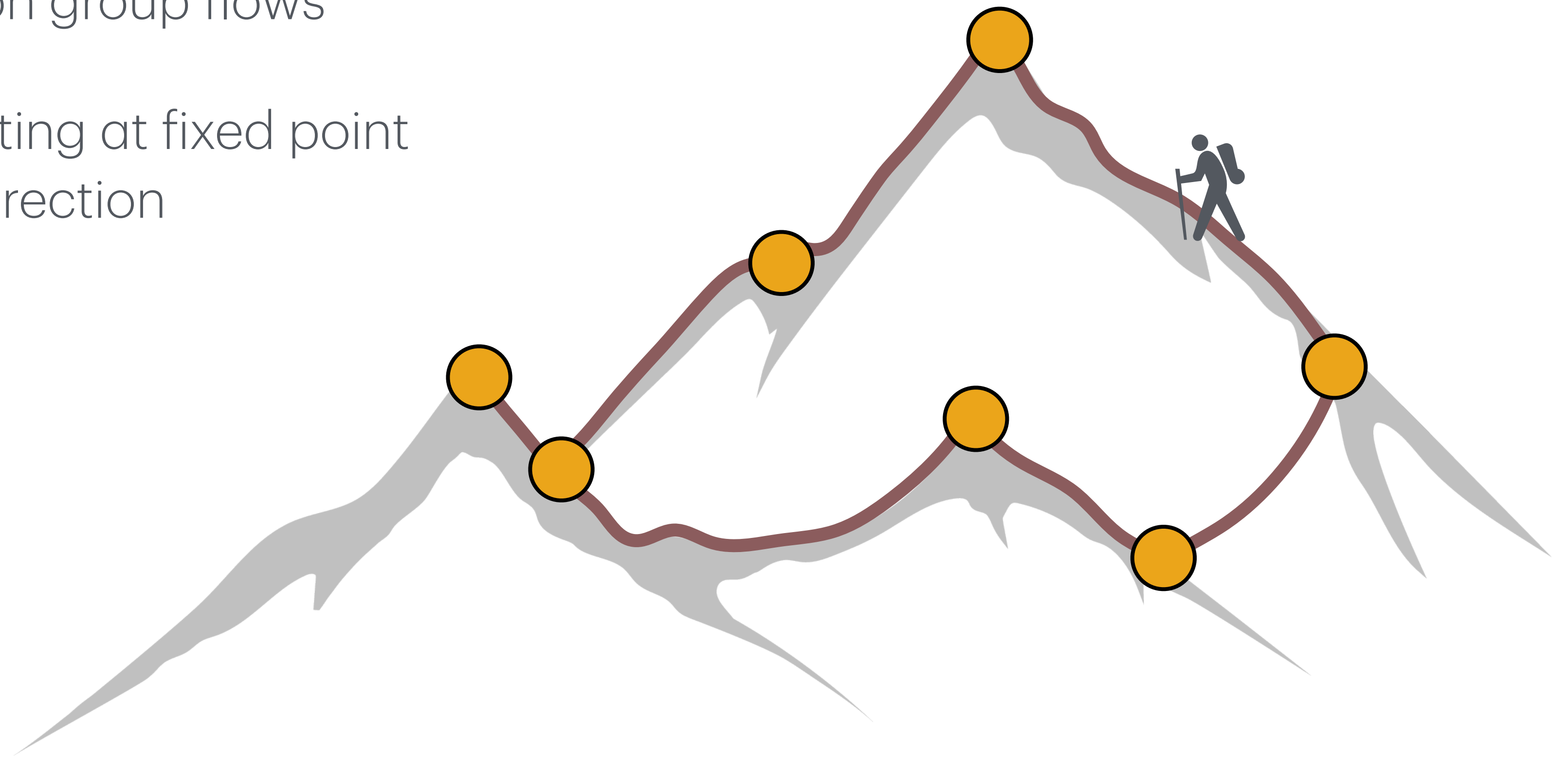
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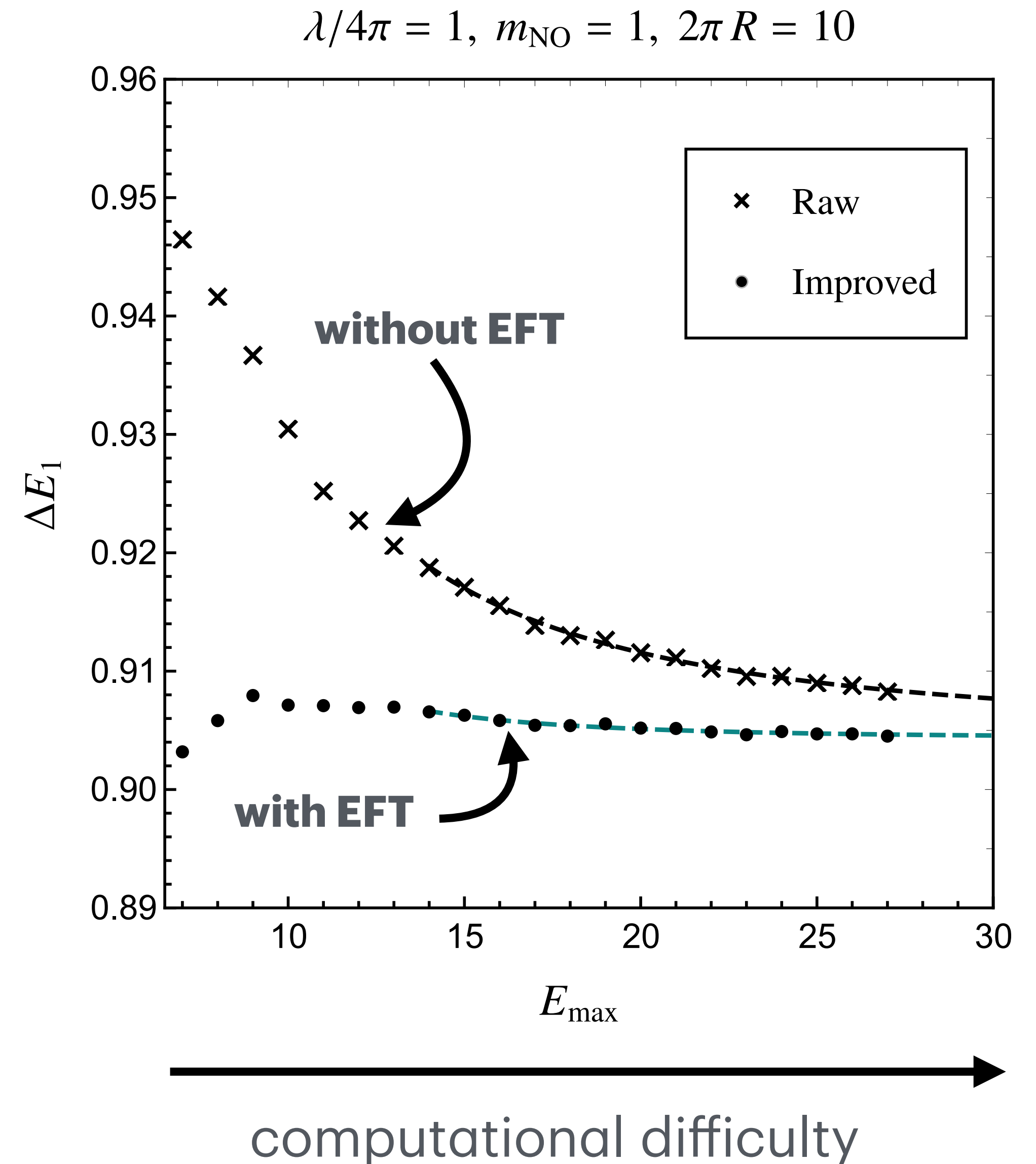
- special points in landscape = fixed points
- connected by renormalization group flows
- can think of **any** QFT as starting at fixed point and flowing in a particular direction
- captures intuition
  - universality
  - relevant vs. irrelevant



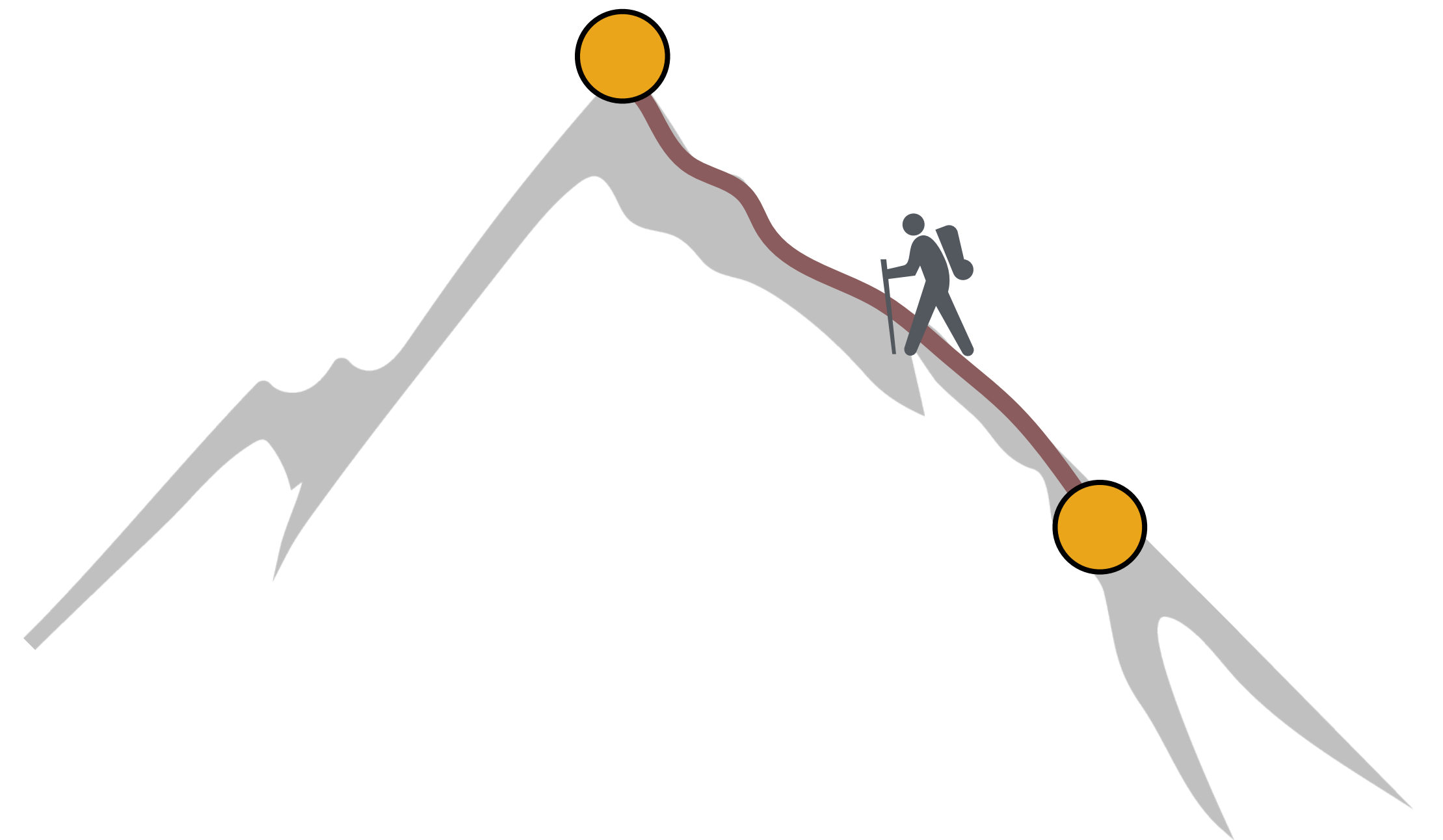
**Hamiltonian truncation** solidifies this conceptual picture

# Punchline

- Hamiltonian truncation = non-perturbative method for computing observables in strongly coupled QFTs
- effective field theory = powerful tool for compensating for ignorance
- effective field theory techniques **drastically improve** convergence in Hamiltonian truncation calculations

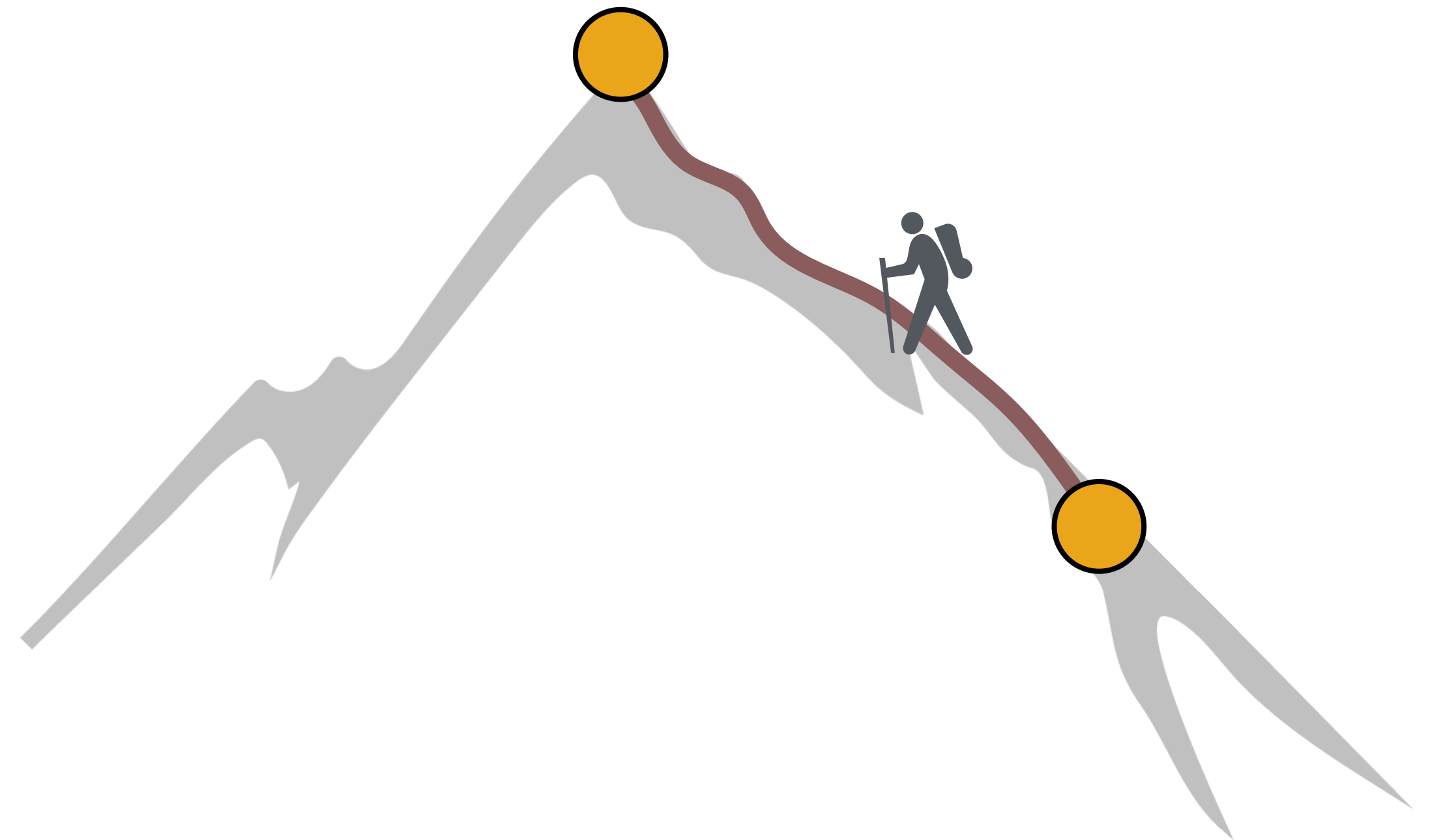


# Hamiltonian truncation



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$$H = H_0 + V$$

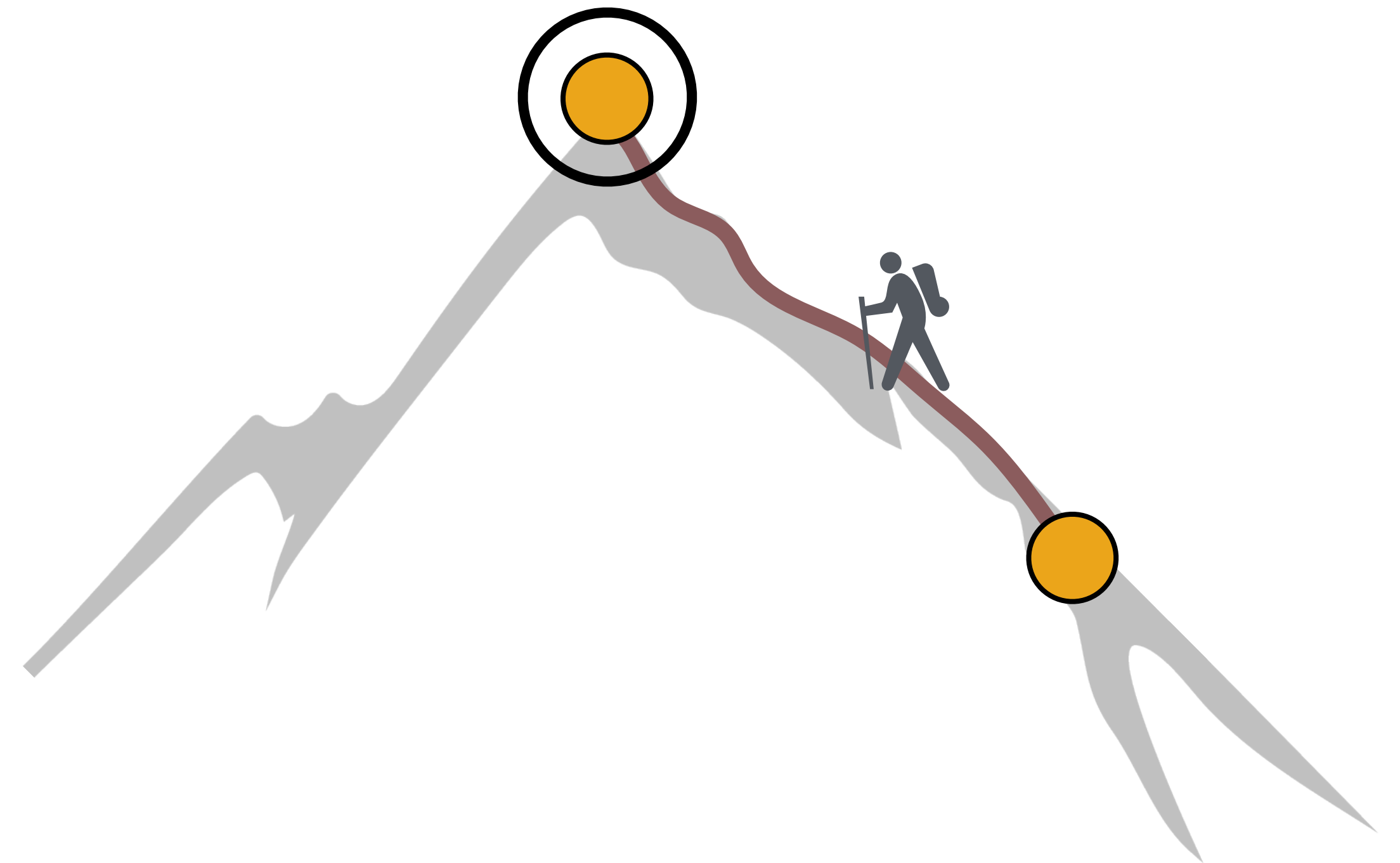




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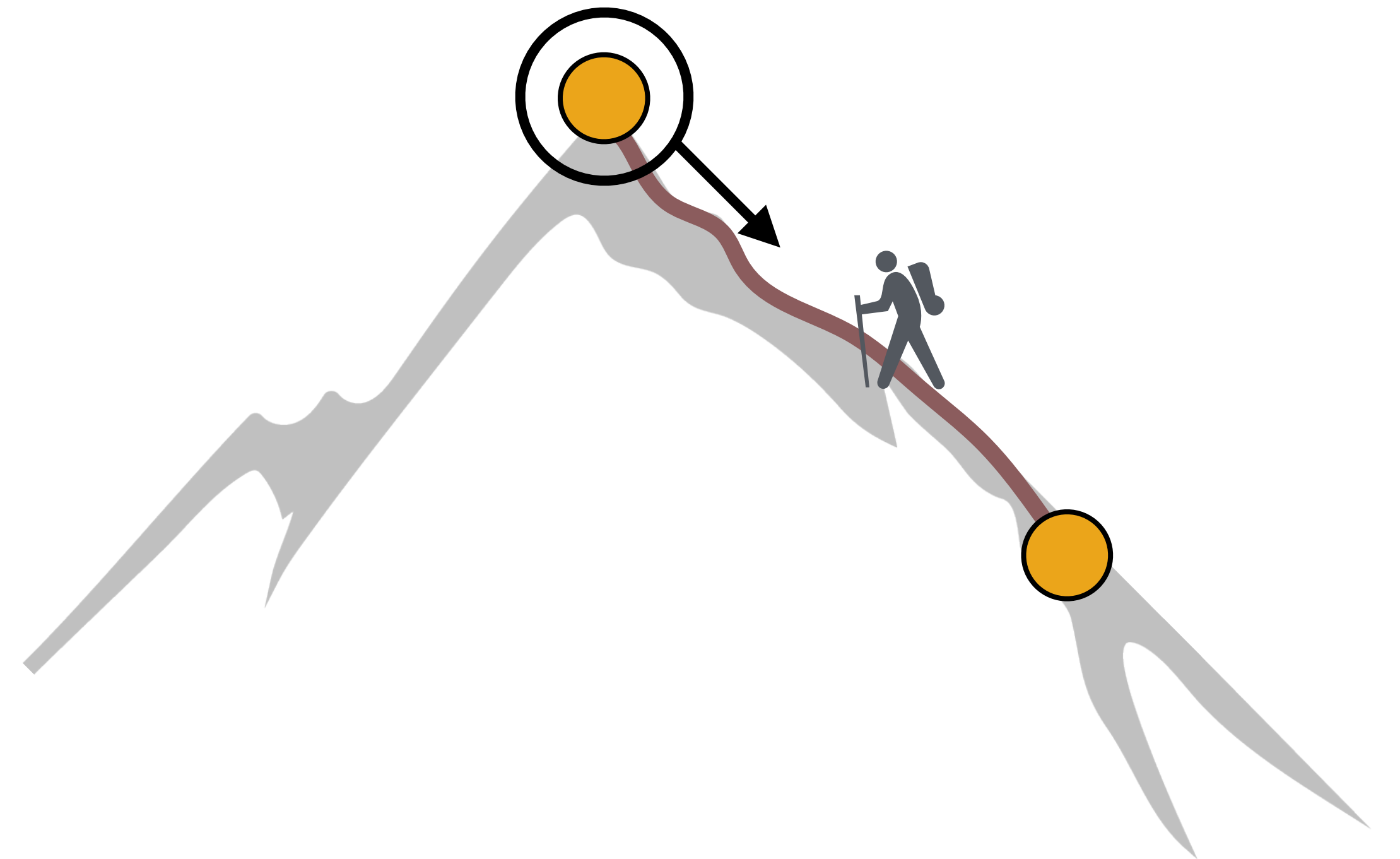


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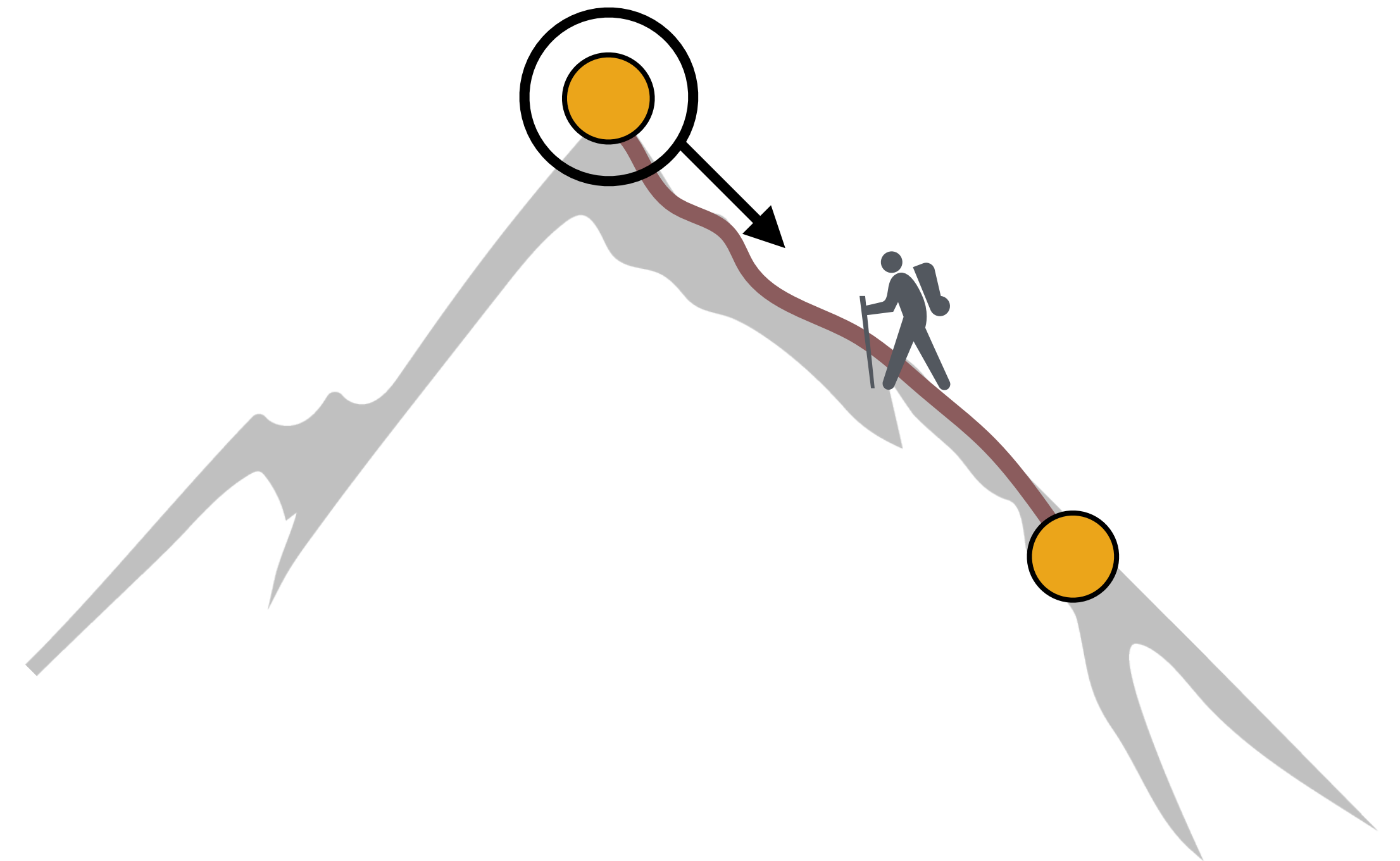


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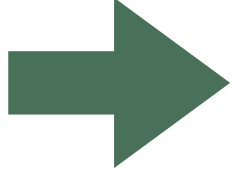
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can't diagonalize full  $H$  → truncate!

# Hamiltonian truncation in practice

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- discretize  finite volume

- lots of approaches: DLCQ (Pauli et al '85), TCSA (Yurov, '90), Massive Fock Space (Brooks et al '84, Rychkov et al '14), LCT (Katz et al '16), RCMPS (Tilloy '21), ...

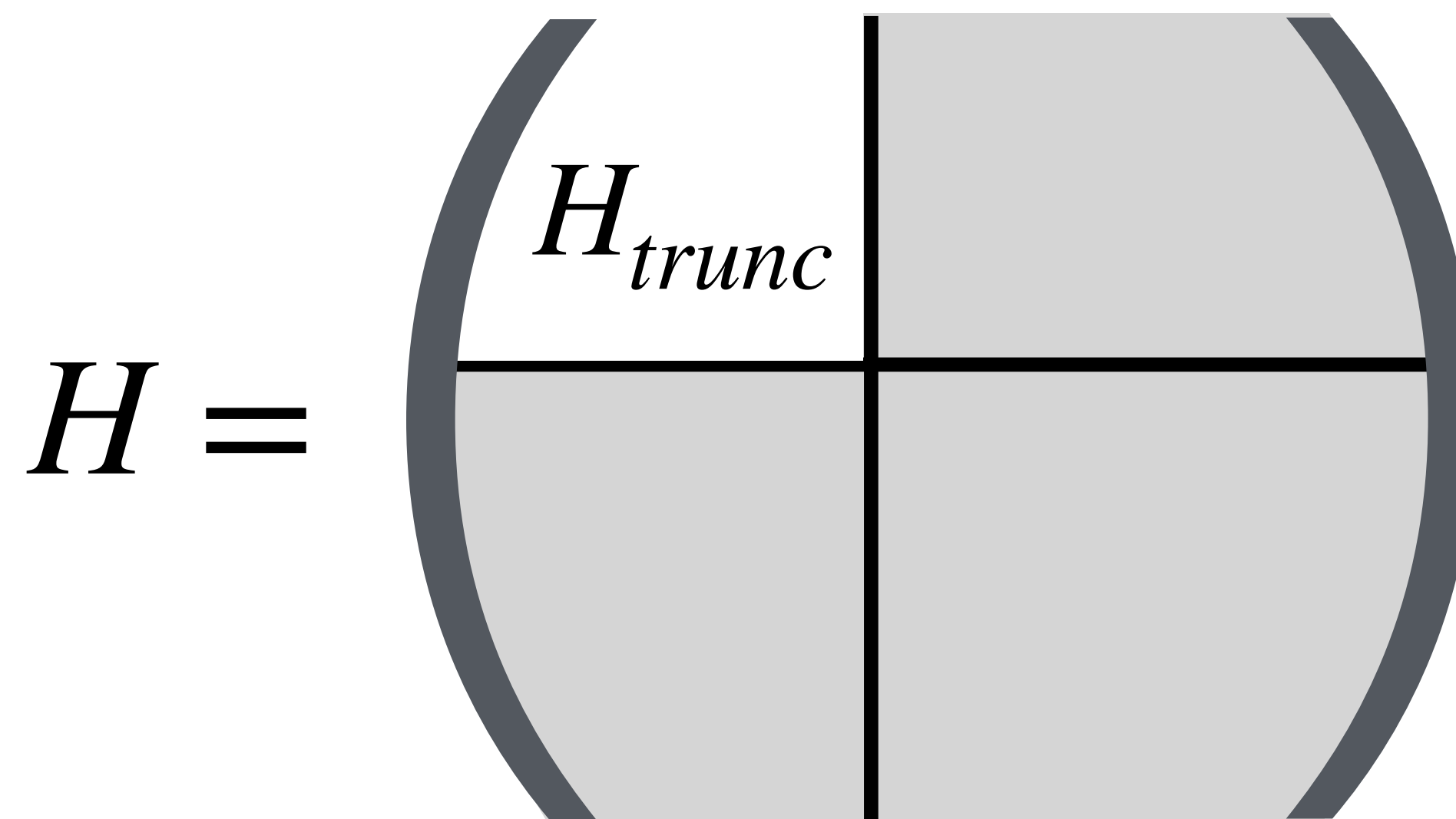
# Hamiltonian truncation in practice

- discretize  $\rightarrow$  finite volume
- truncate  $\rightarrow$  separate Hamiltonian

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# Hamiltonian truncation in practice

- discretize  $\rightarrow$  finite volume
- truncate  $\rightarrow$  separate Hamiltonian
- diagonalize  $\rightarrow$  spectrum (finite volume)

$$H = \begin{array}{|c|c|} \hline H_{trunc} & \\ \hline & \\ \hline \end{array}$$

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(e.g. fixed point)

interaction  
(small in UV, big in IR)

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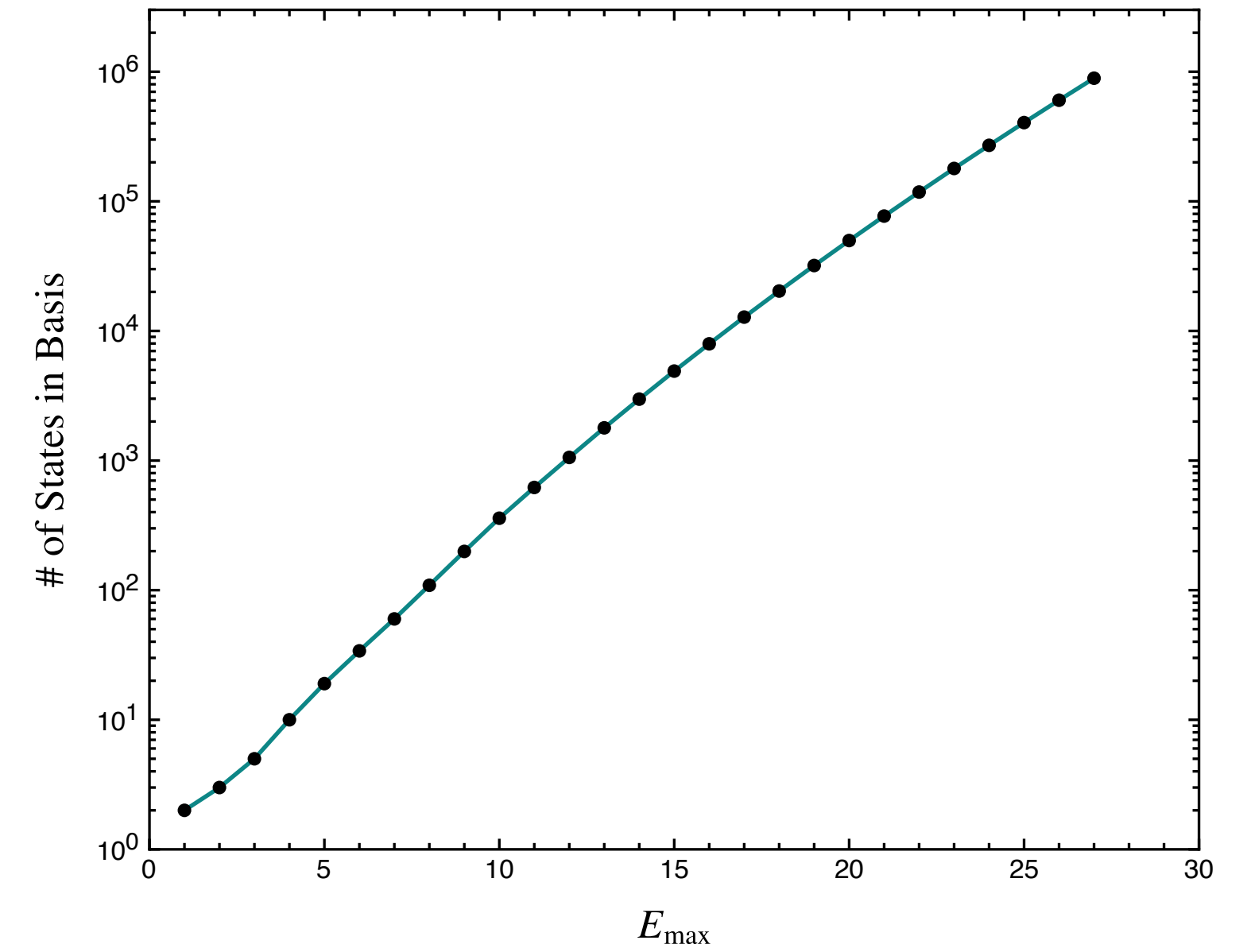
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- **any** value of coupling
- don't **need** extra symmetry (conformal, supersymmetry, etc.)
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- direct access to **dynamics** ( $i\partial_t\Psi = H\Psi$ )

Just one potential issue



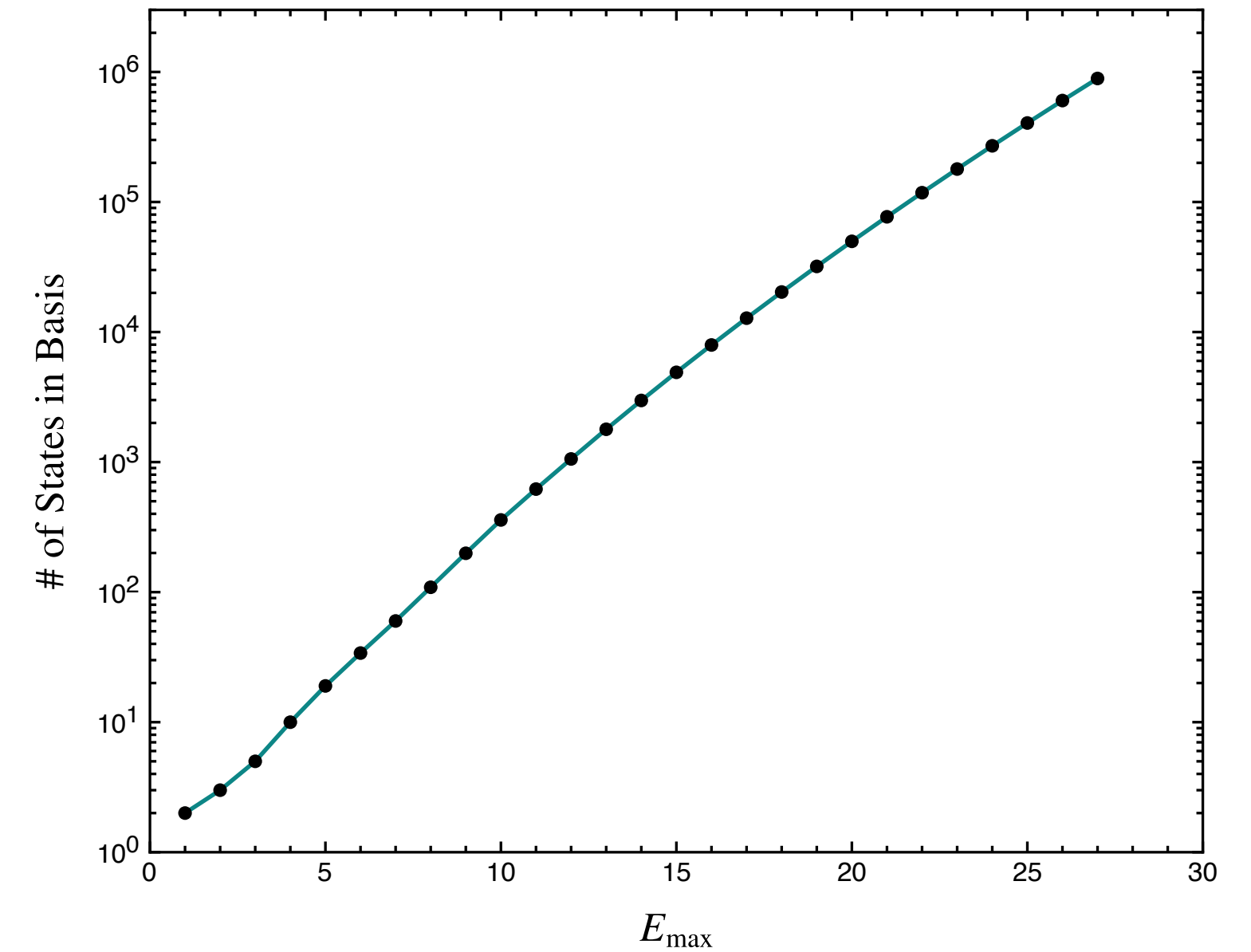
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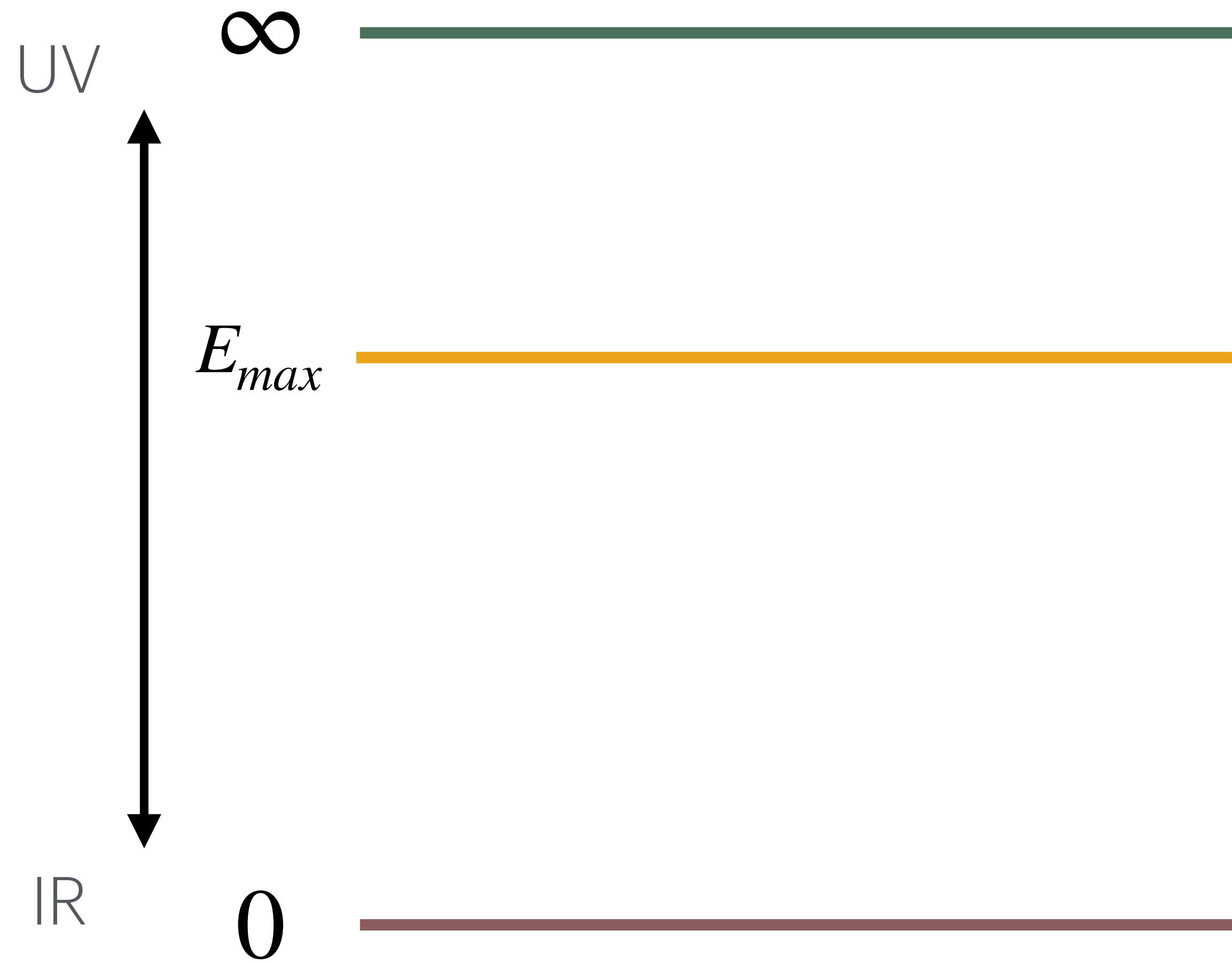
- Hilbert space grows exponentially with  $E_{max}$
- add corrections to account for effects from states outside truncated Hilbert space (“integrate out”)



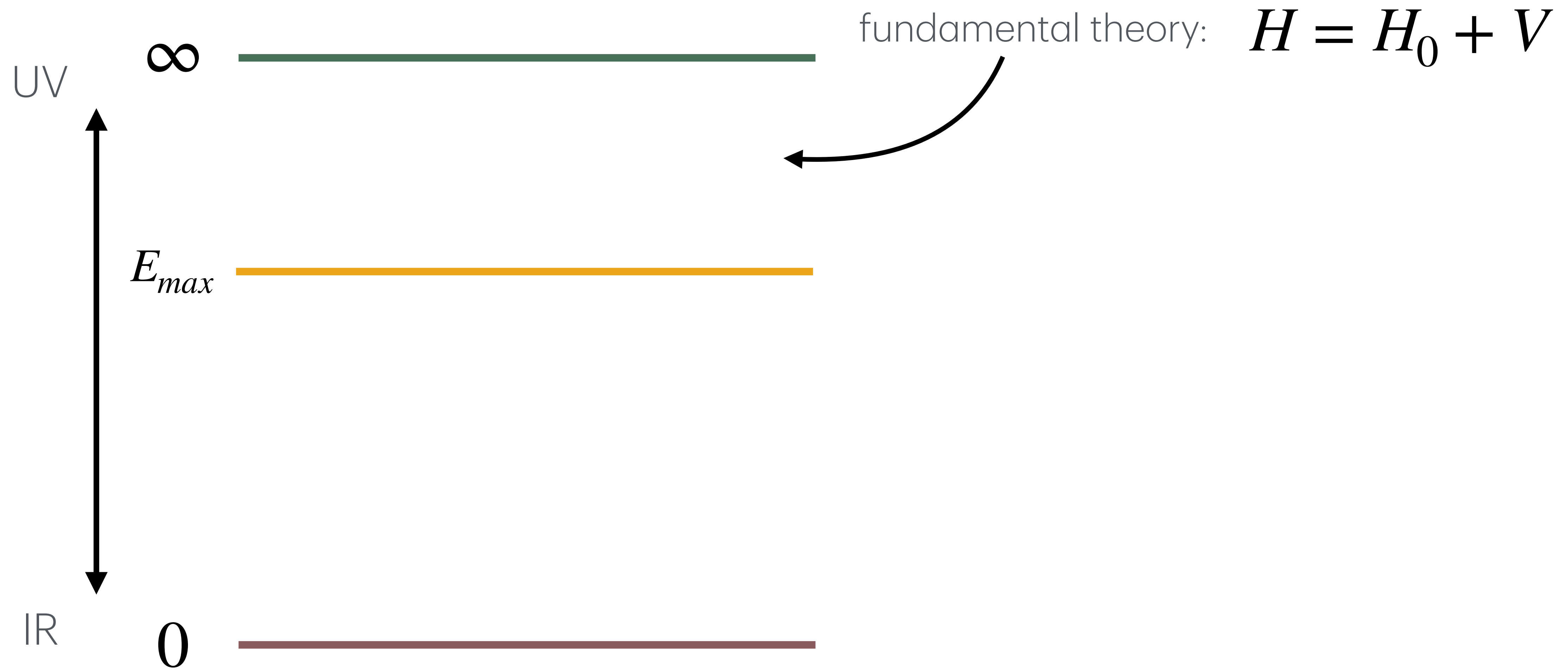
$$H = \left( \begin{array}{c|c} H_{trunc} & \\ \hline & \end{array} \right) + \left( \begin{array}{c|c} H_{corr} & \\ \hline & \end{array} \right)$$

- similar approaches: Feverati et al '06, Hogervorst et al '14, Elias-Miro et al '17, ...

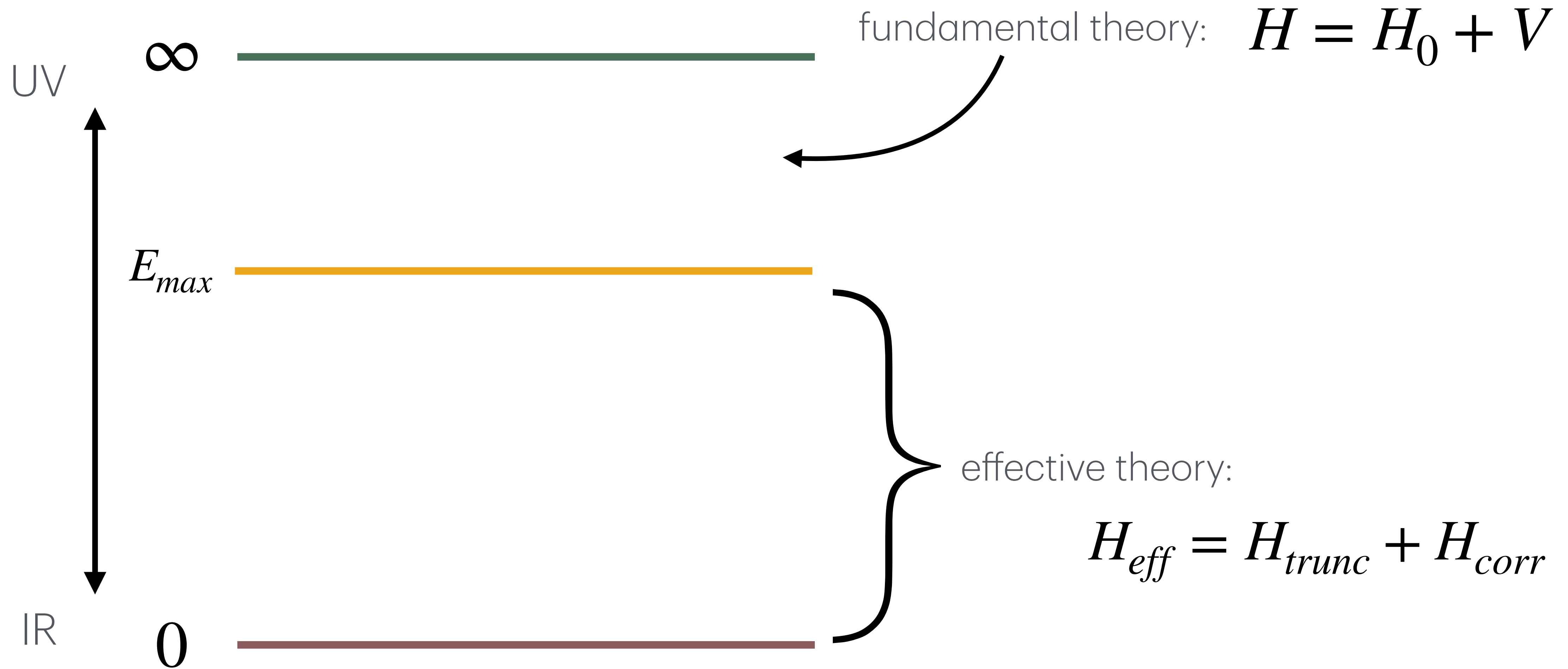
# Effective field theory for truncation



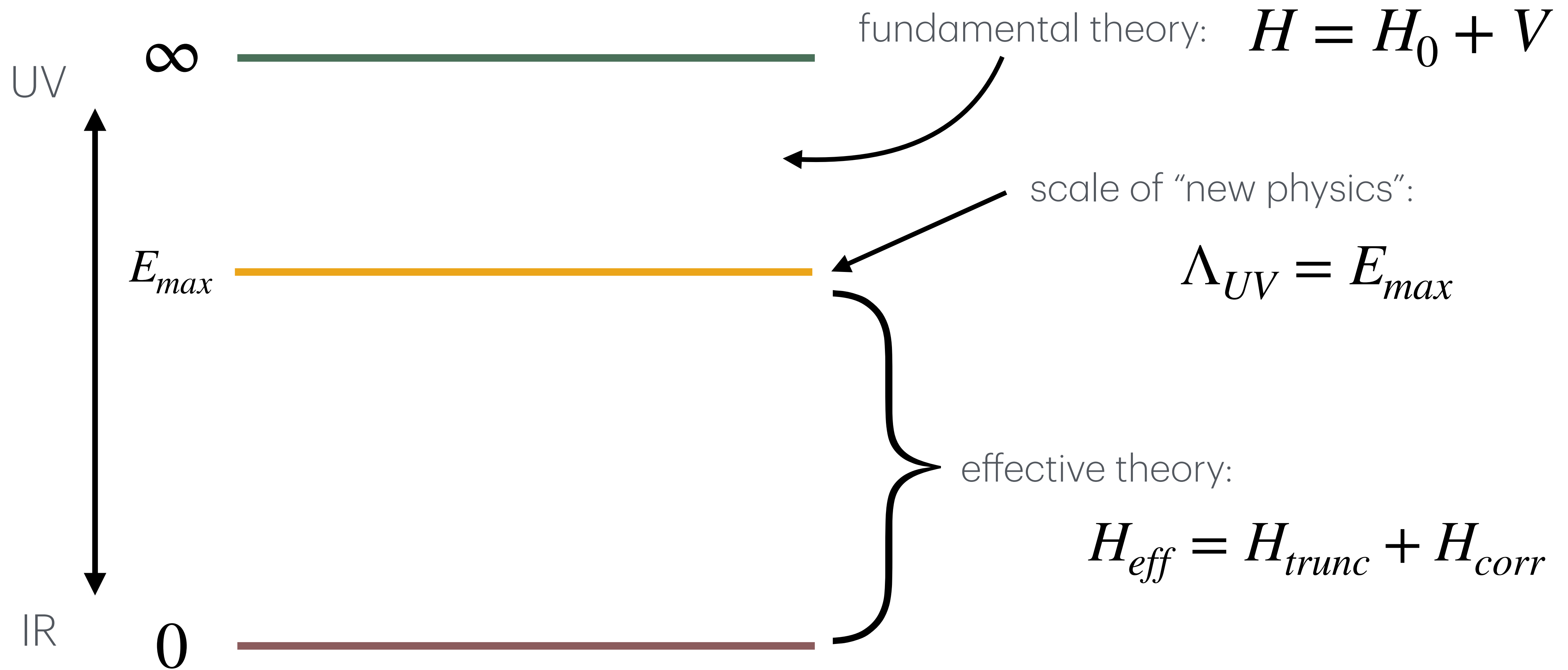
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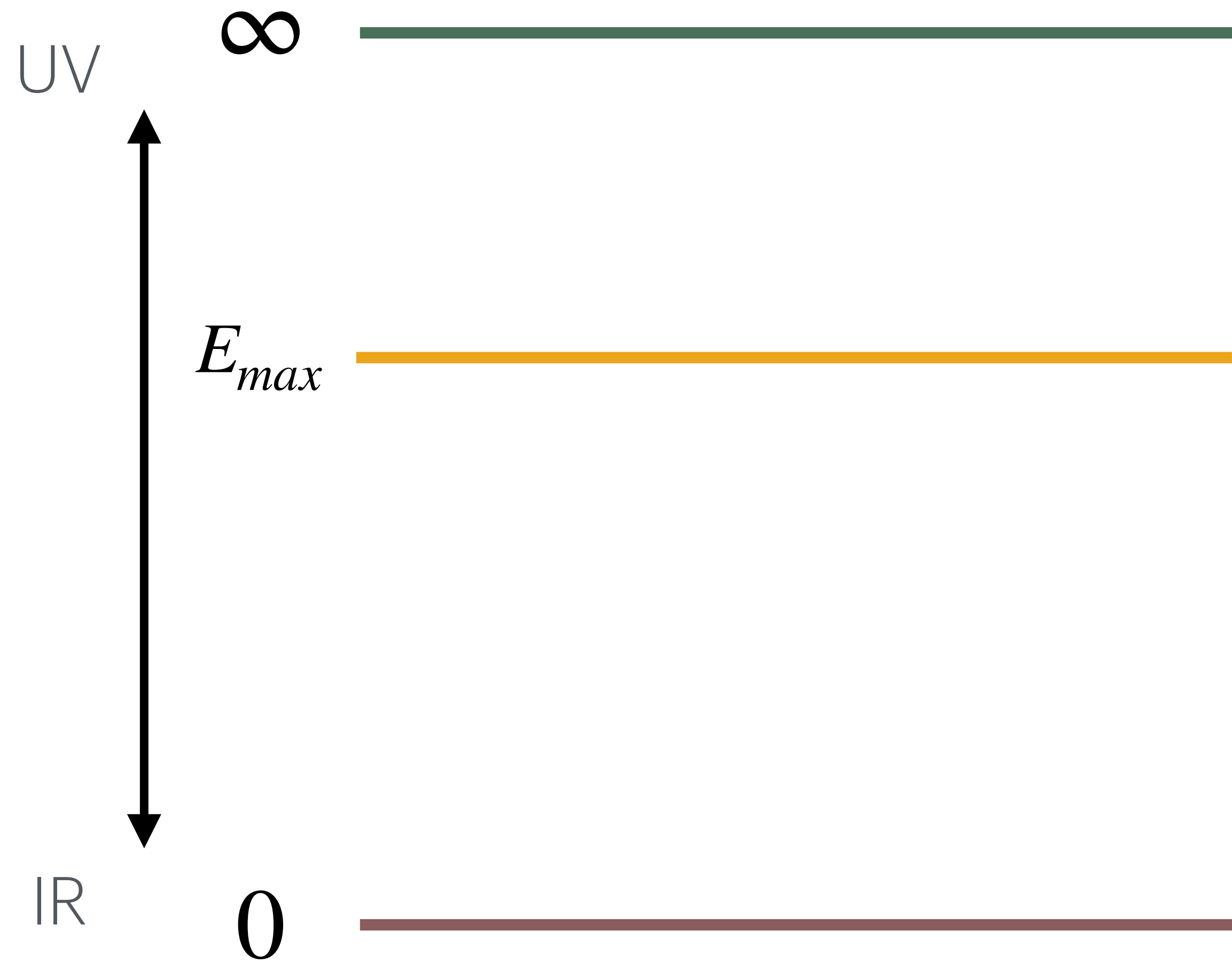
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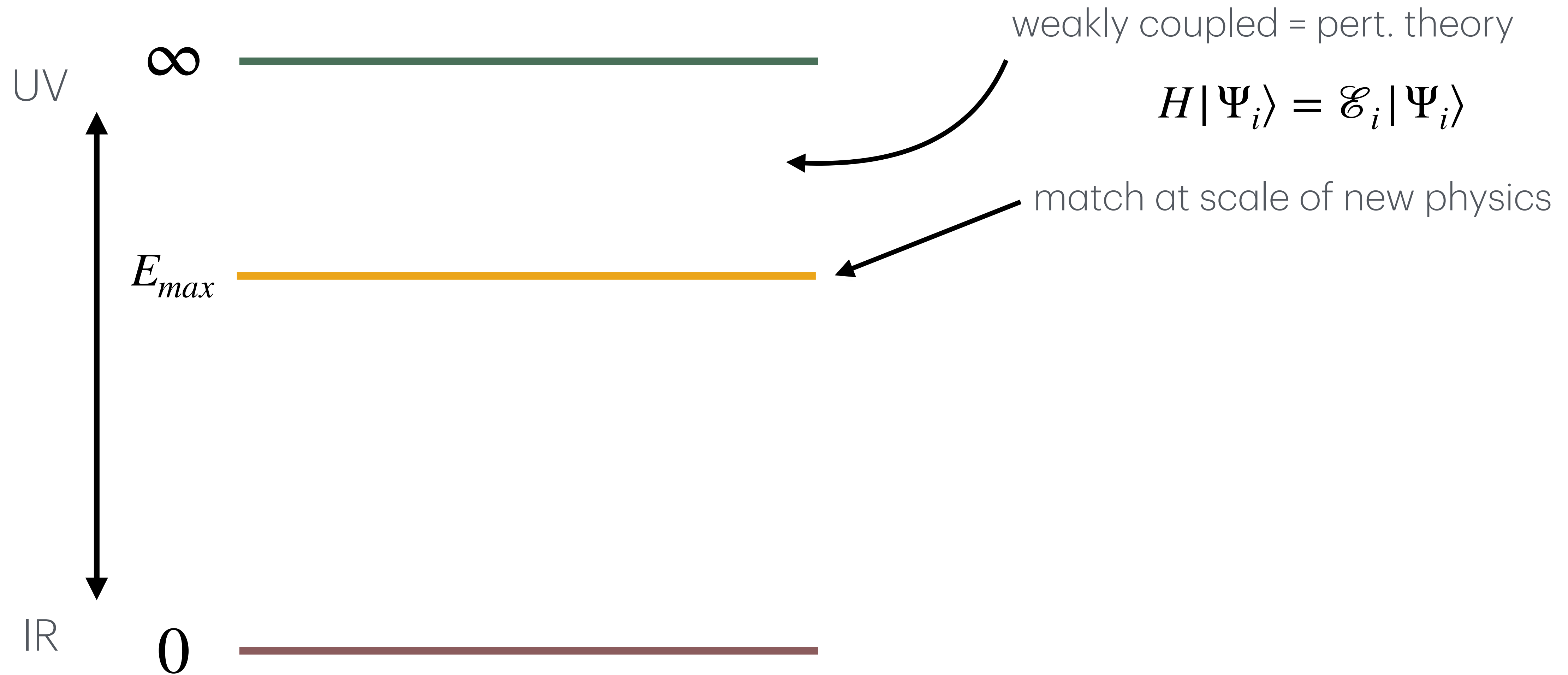




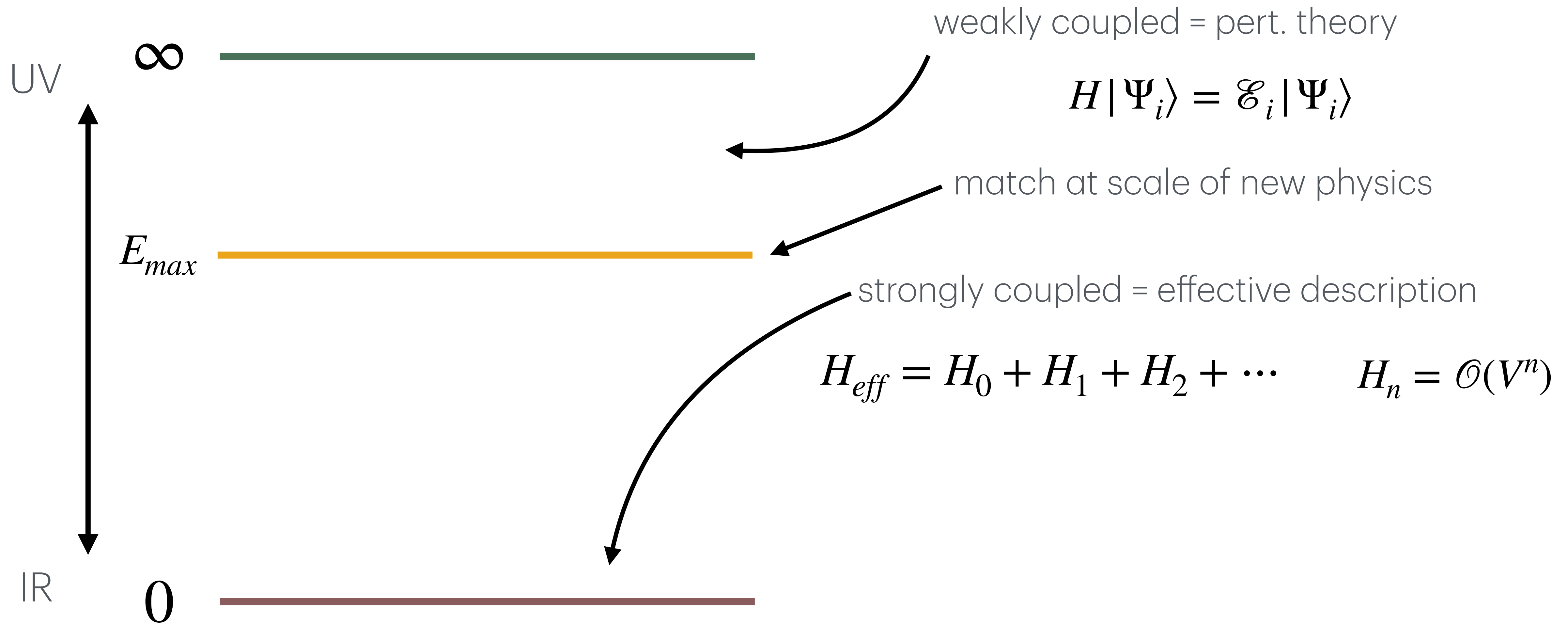
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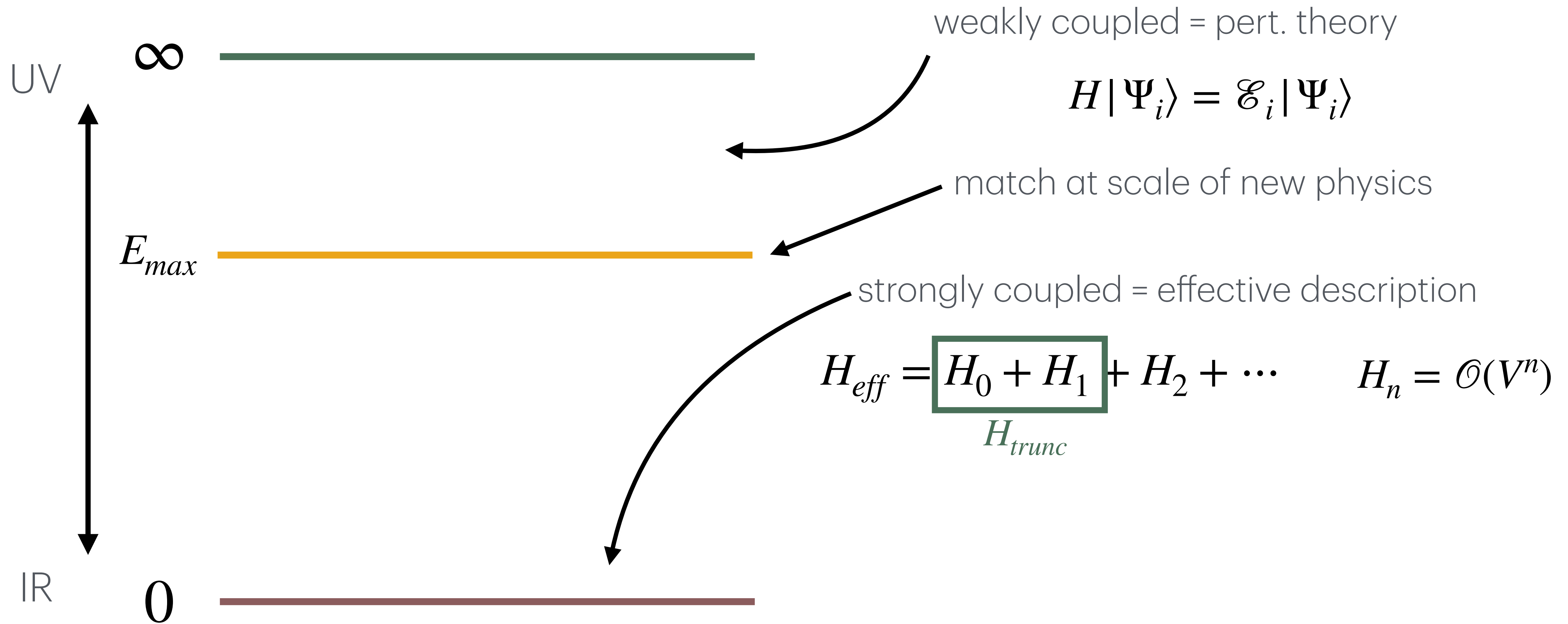
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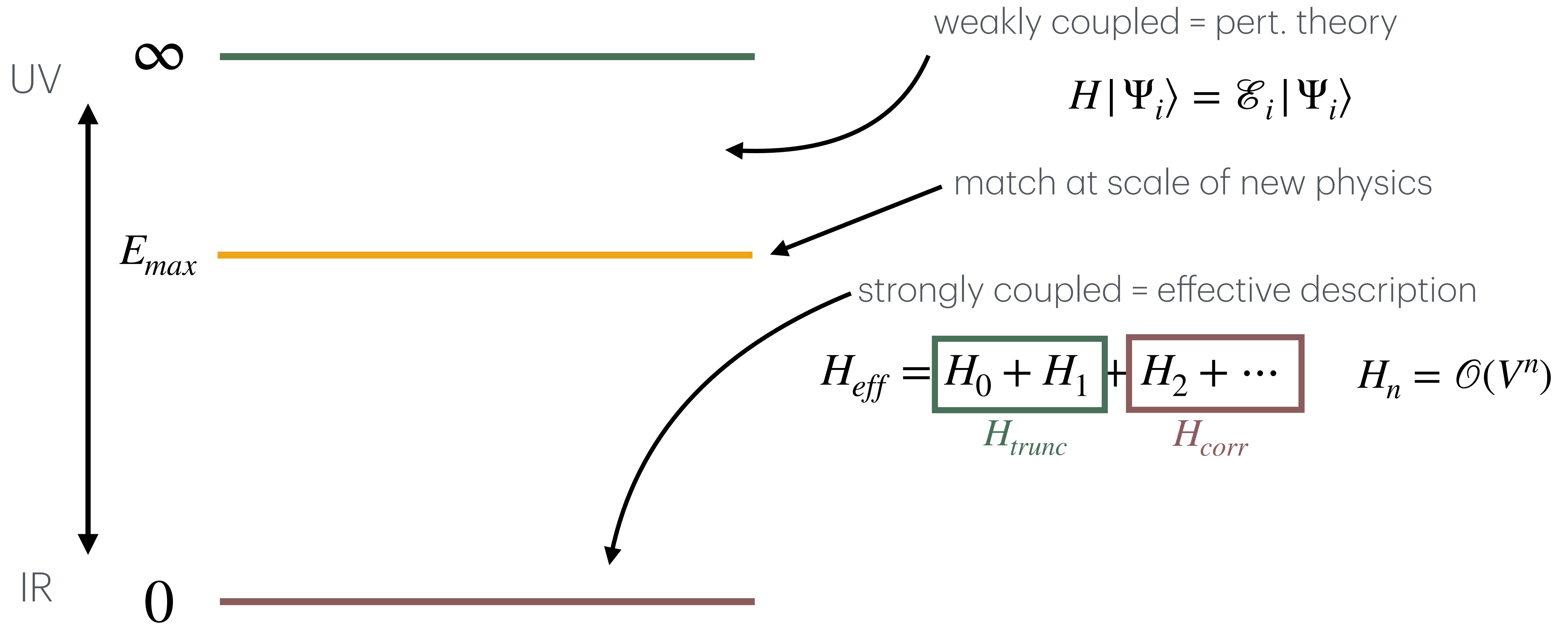
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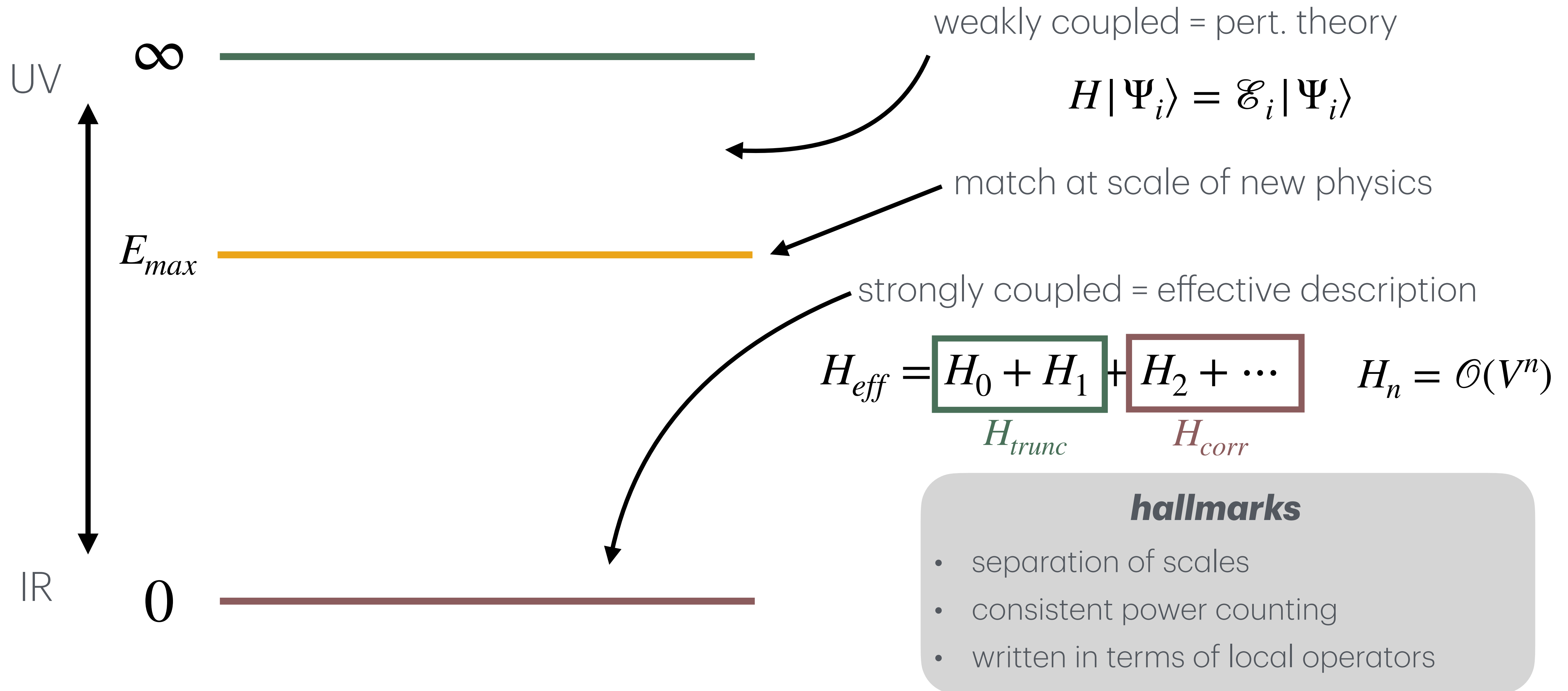
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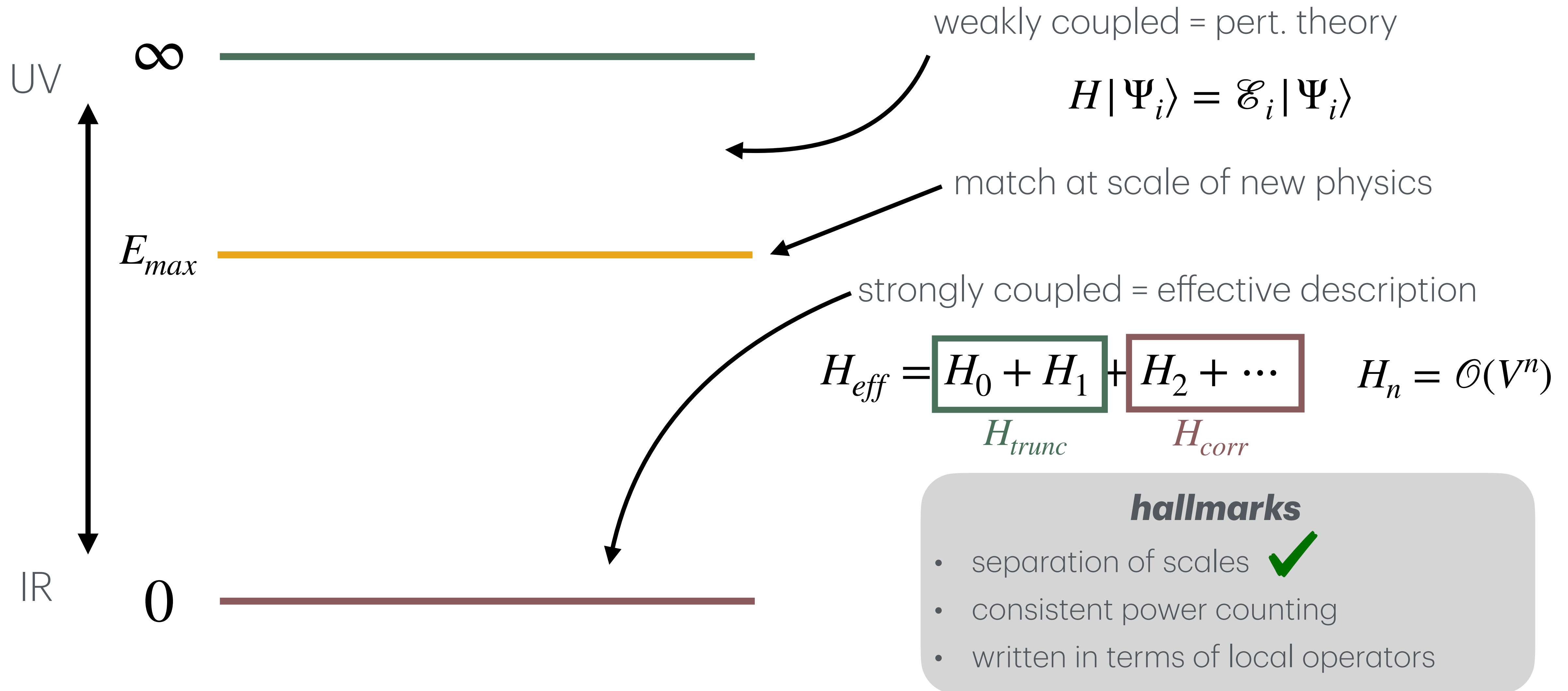
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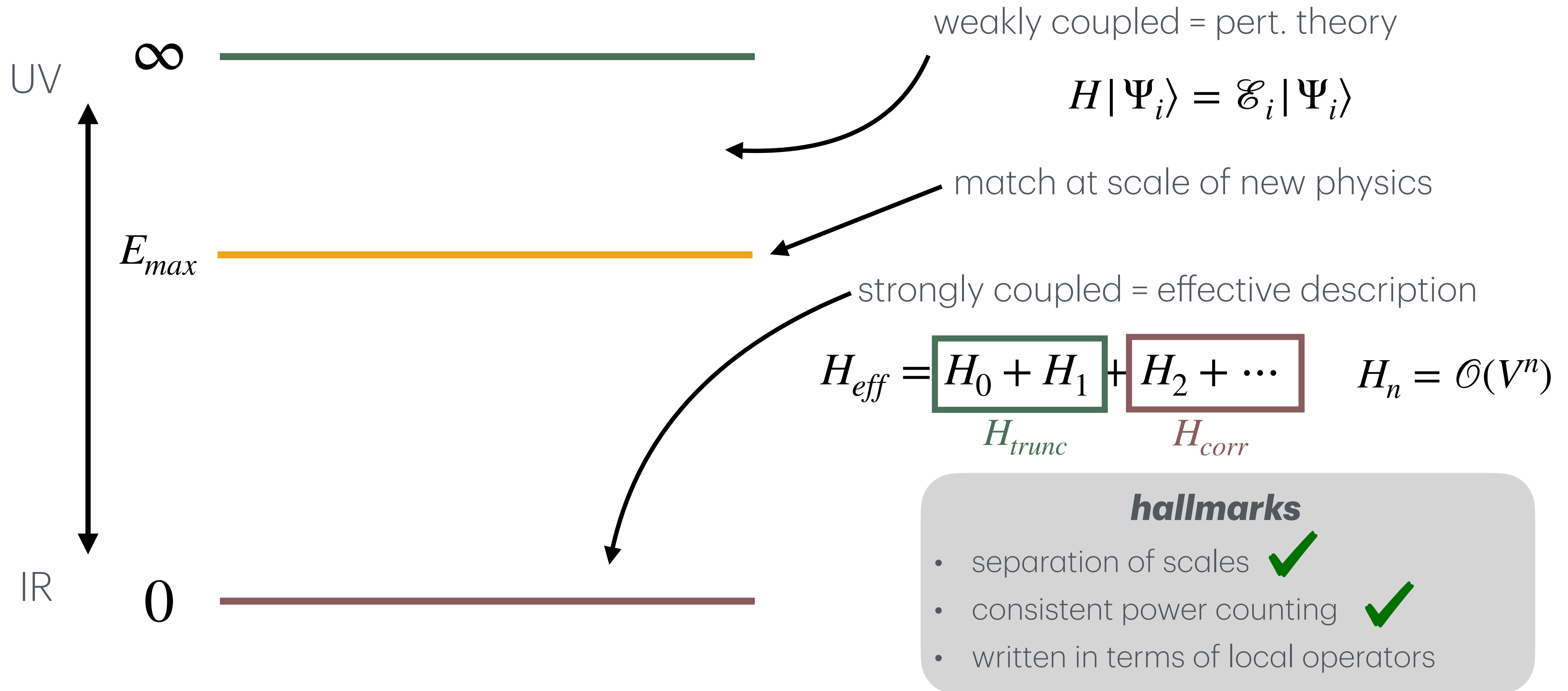
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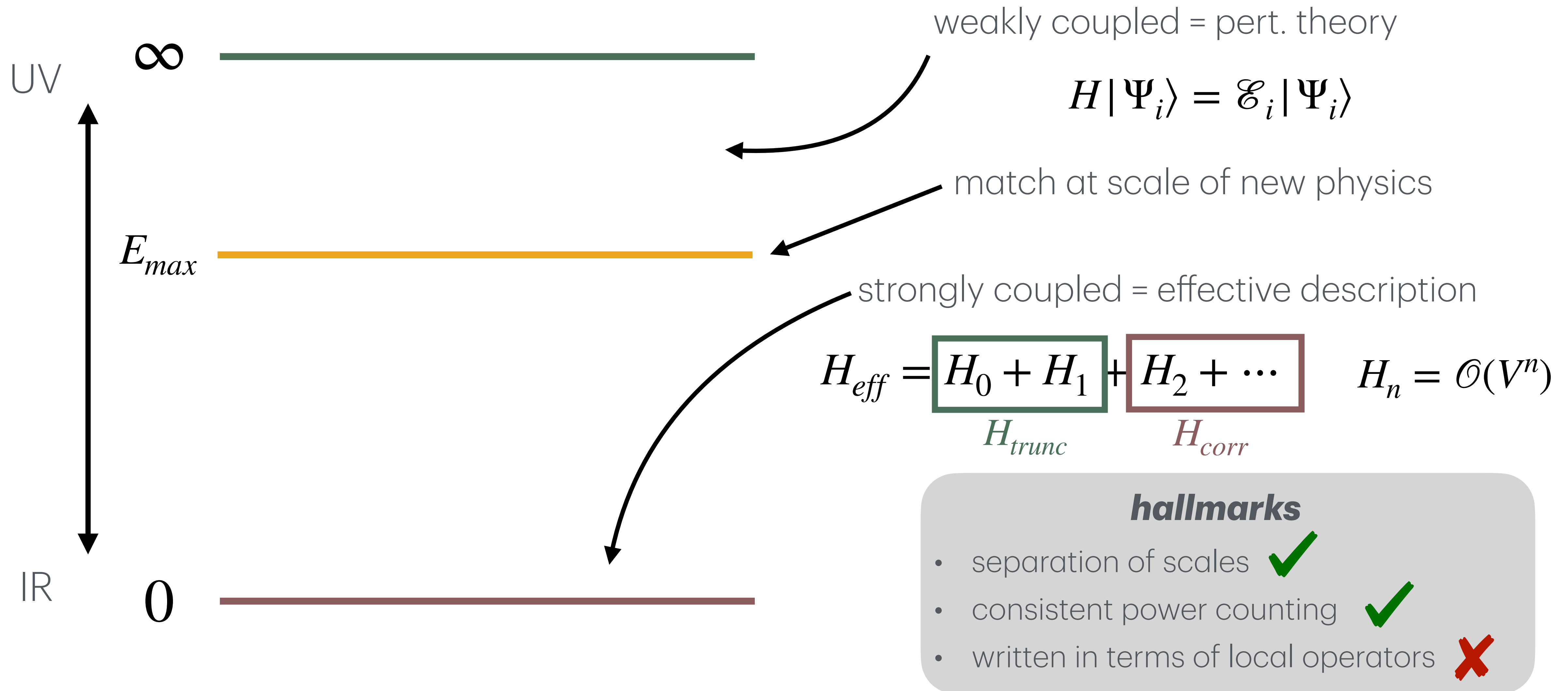


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Example Theory: 2D  $\lambda\phi^4$

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- strongly relevant interaction
- $\mathbb{Z}_2$  ( $\phi \rightarrow -\phi$ ) symmetry broken at strong coupling = phase transition
  - in universality class of Ising Model: good check

# Power Counting for 2D $\lambda\phi^4$

$$[\lambda] = 2, \quad [\phi] = 0$$

$$H_n \simeq \frac{\lambda^n}{E_{max}^{2n-2}} \int dx \text{ (dimensionless)}$$

$$H_2 \simeq \frac{\lambda^2}{E_{max}^2} \int dx \left( \phi^2 + \phi^4 + \mathcal{O}\left(\frac{E_f}{E_{max}}, \frac{R^{-1}}{E_{max}}\right) \right)$$

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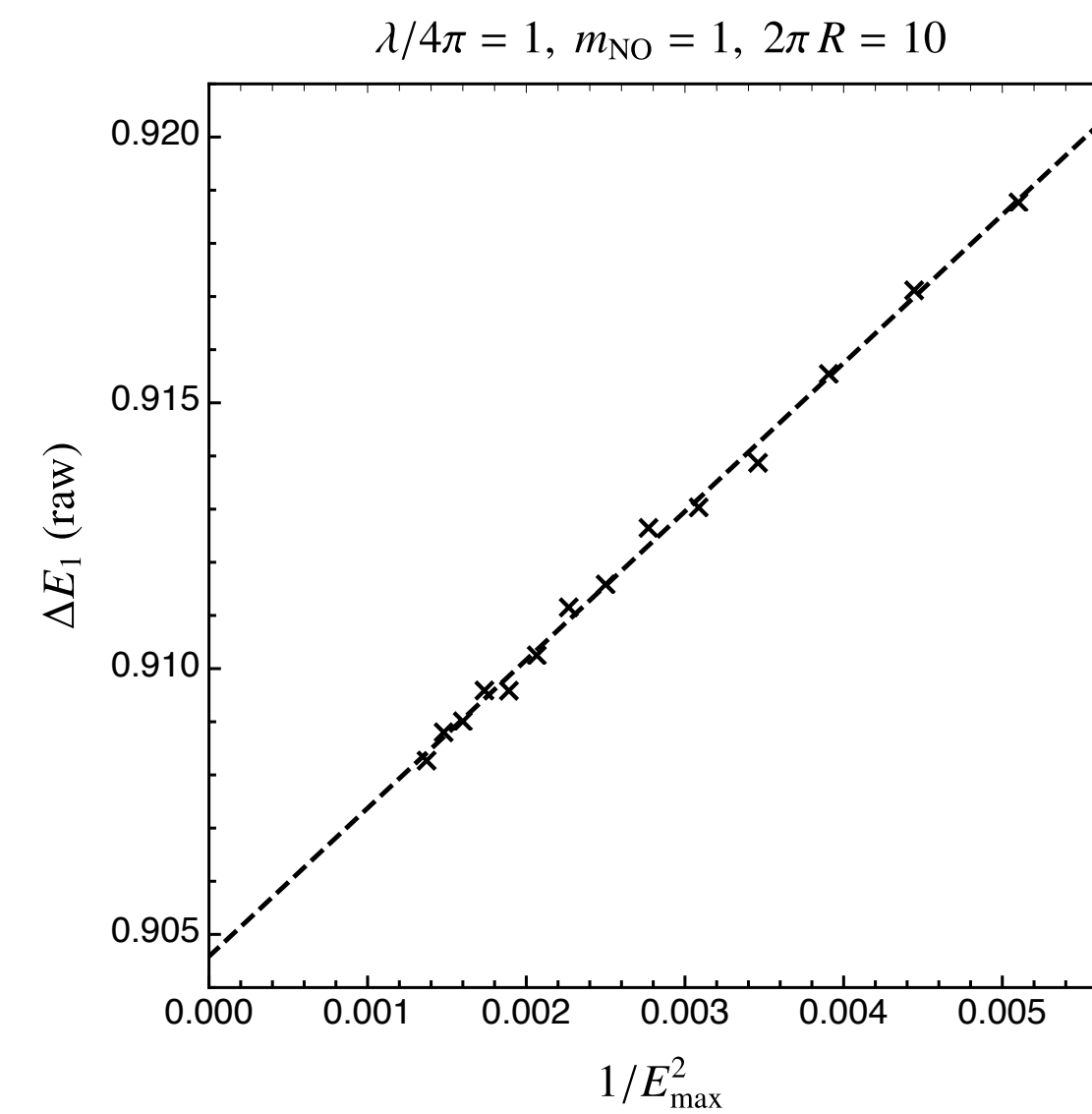
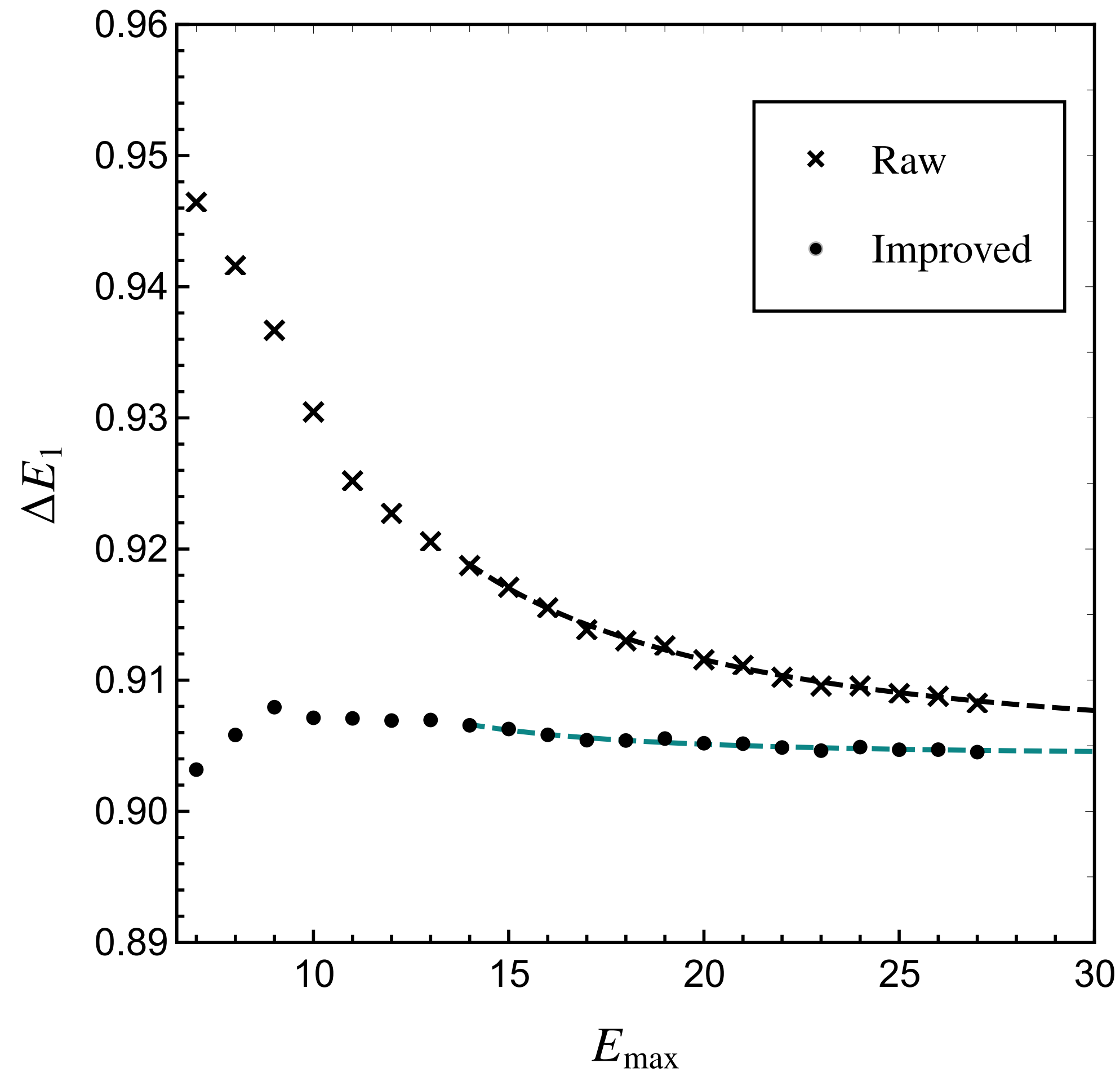
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- error  $\sim 1/E_{max}^2$  for raw truncation
- error  $\sim 1/E_{max}^3$  after including corrections
- phase transition:
  - $\mathbb{Z}_2$  symmetry breaking at critical coupling
  - 2D Ising model

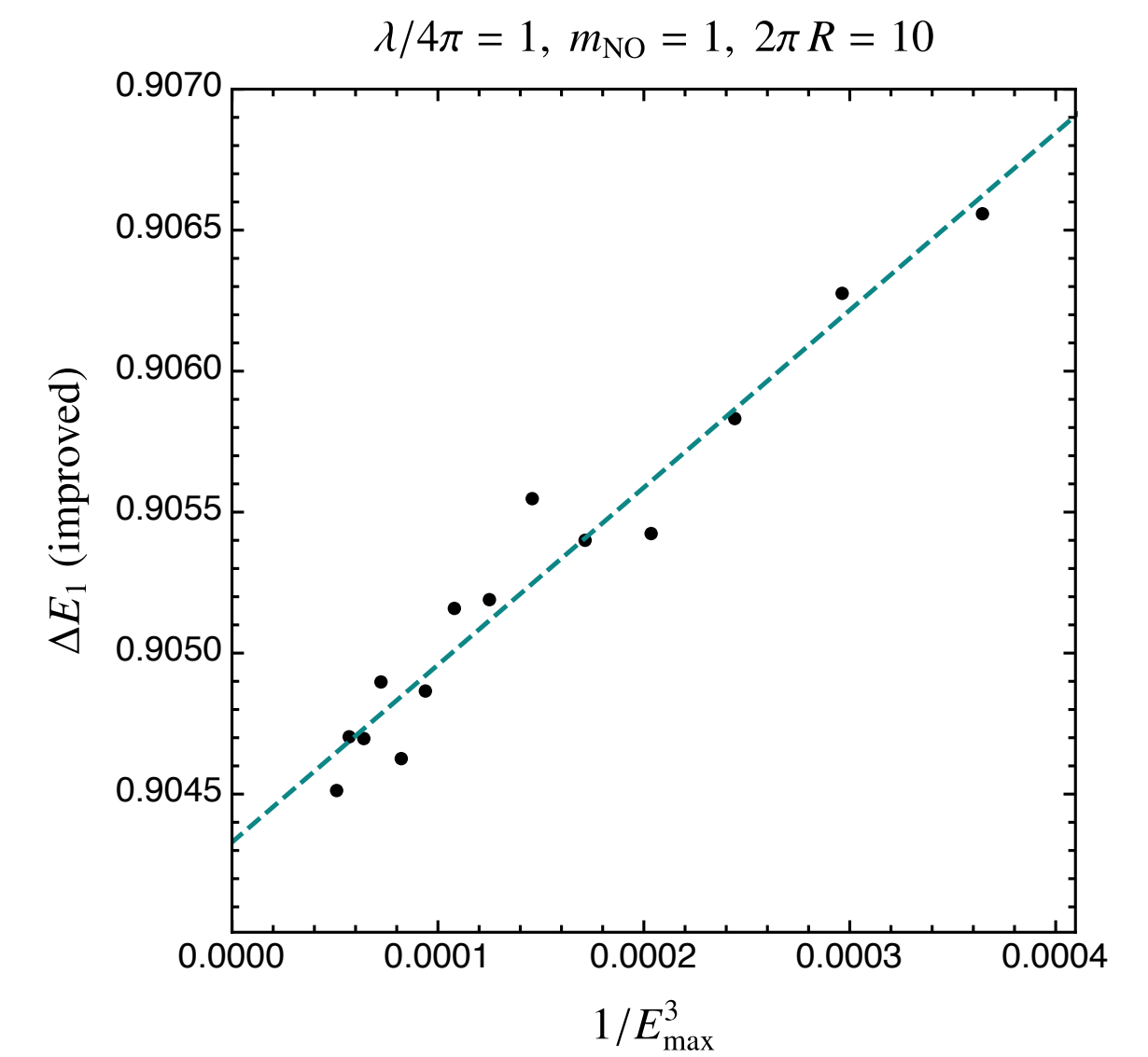
# Scaling raw vs. improved

$$\lambda/4\pi = 1, m_{\text{NO}} = 1, 2\pi R = 10$$



without EFT

$$\sim 1/E_{\text{max}}^2$$

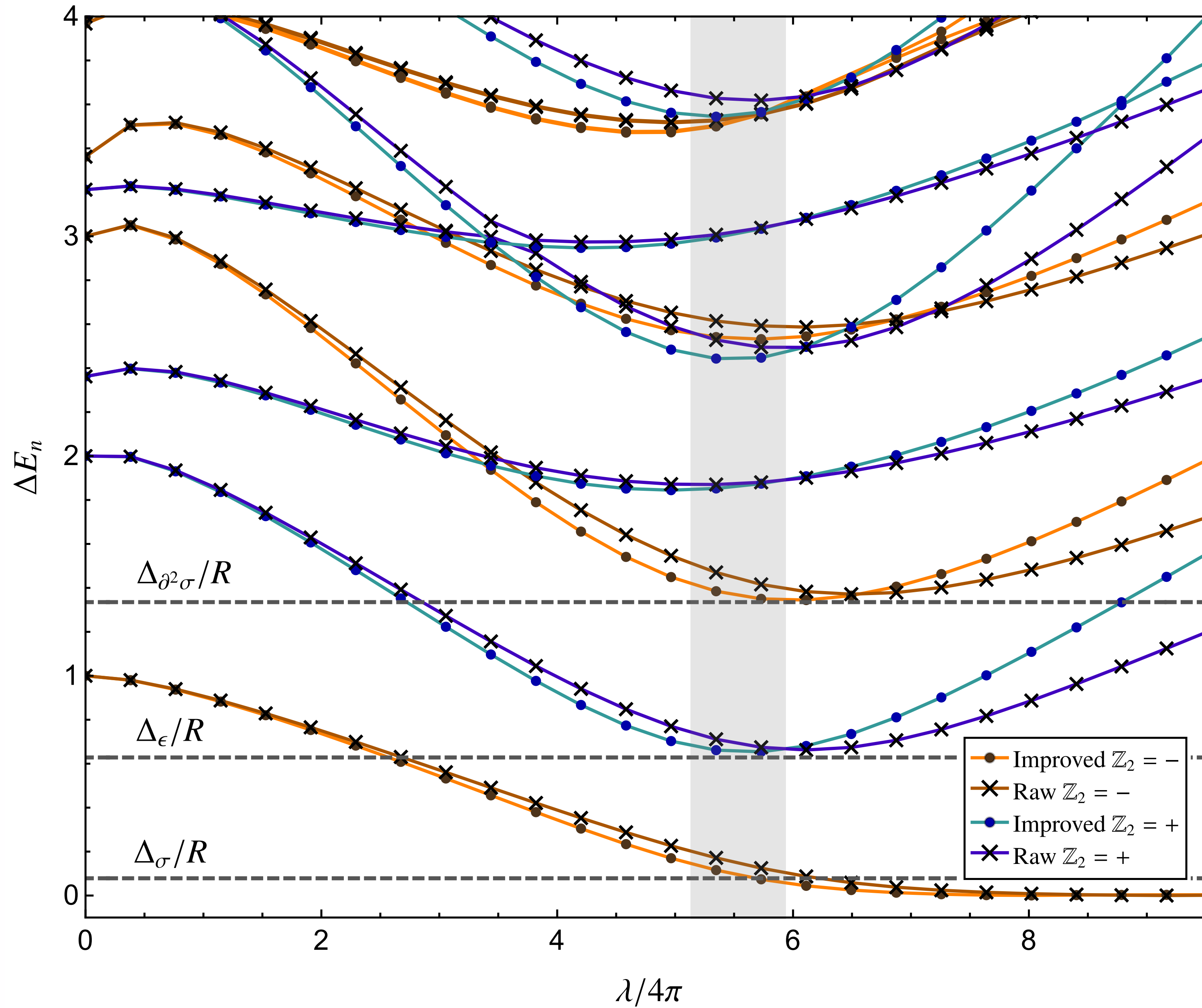


with EFT

$$\sim 1/E_{\text{max}}^3$$



$$E_{\max} = 27, m_{\text{NO}} = 1, 2\pi R = 10$$



What about 4D QCD?

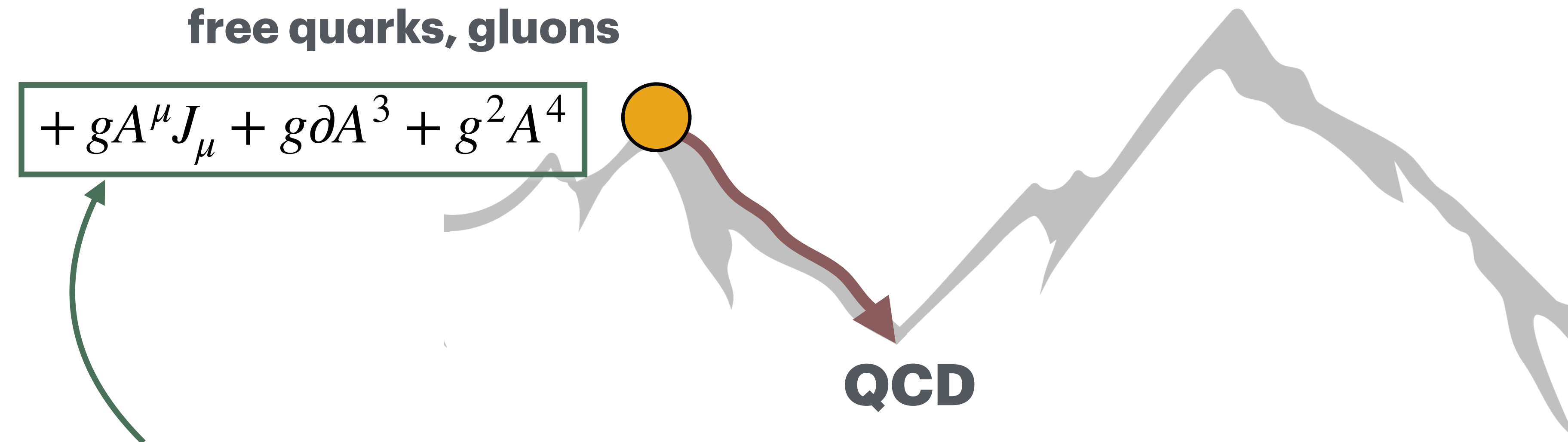


# What about 4D QCD?

**free quarks, gluons**



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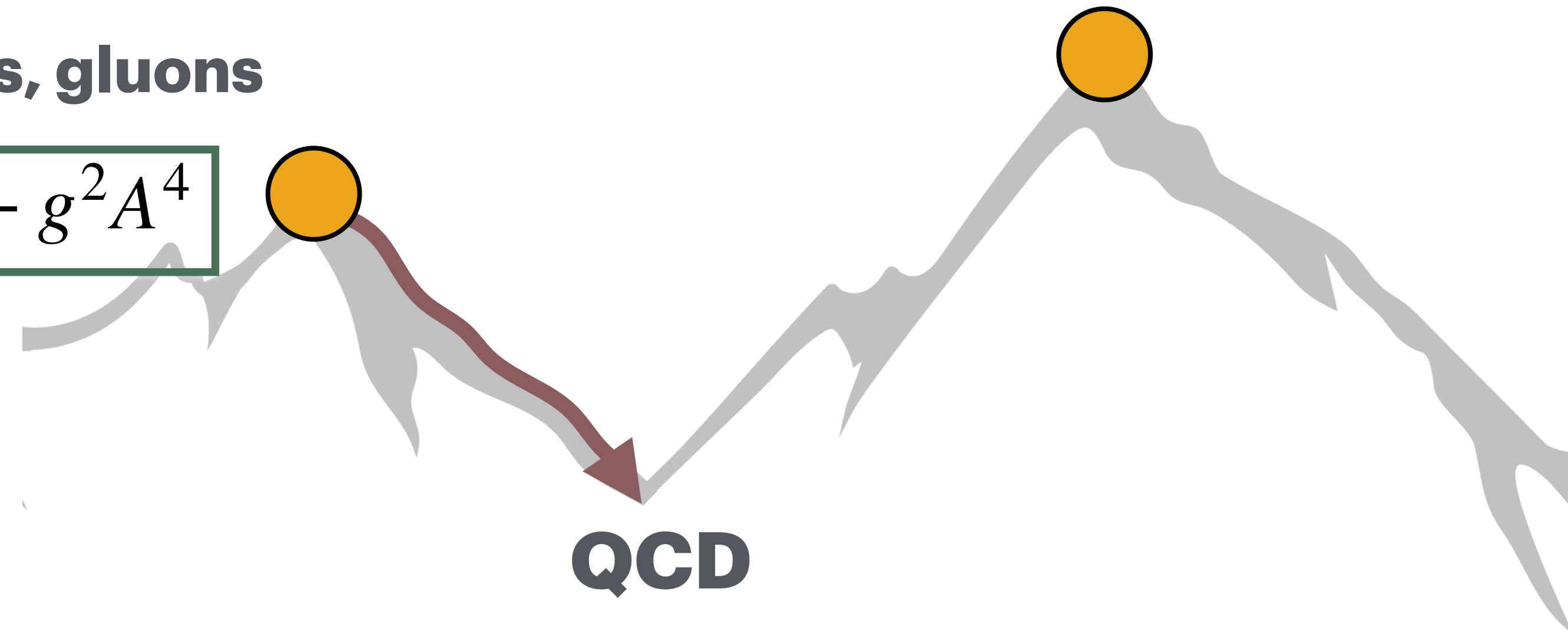
**Banks-Zaks (e.g.  $SU(3), N_f = 16$ )**

**free quarks, gluons**

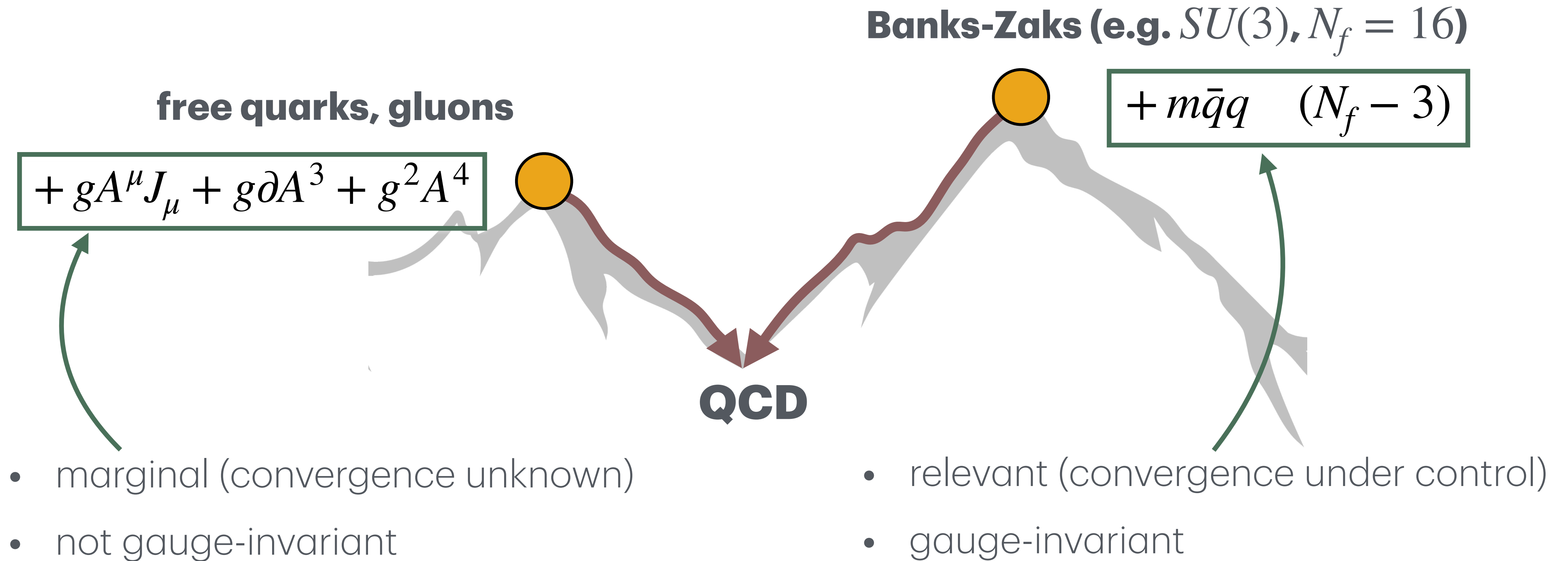
$$+ gA^\mu J_\mu + g\partial A^3 + g^2 A^4$$

**QCD**

- marginal (convergence unknown)
- not gauge-invariant



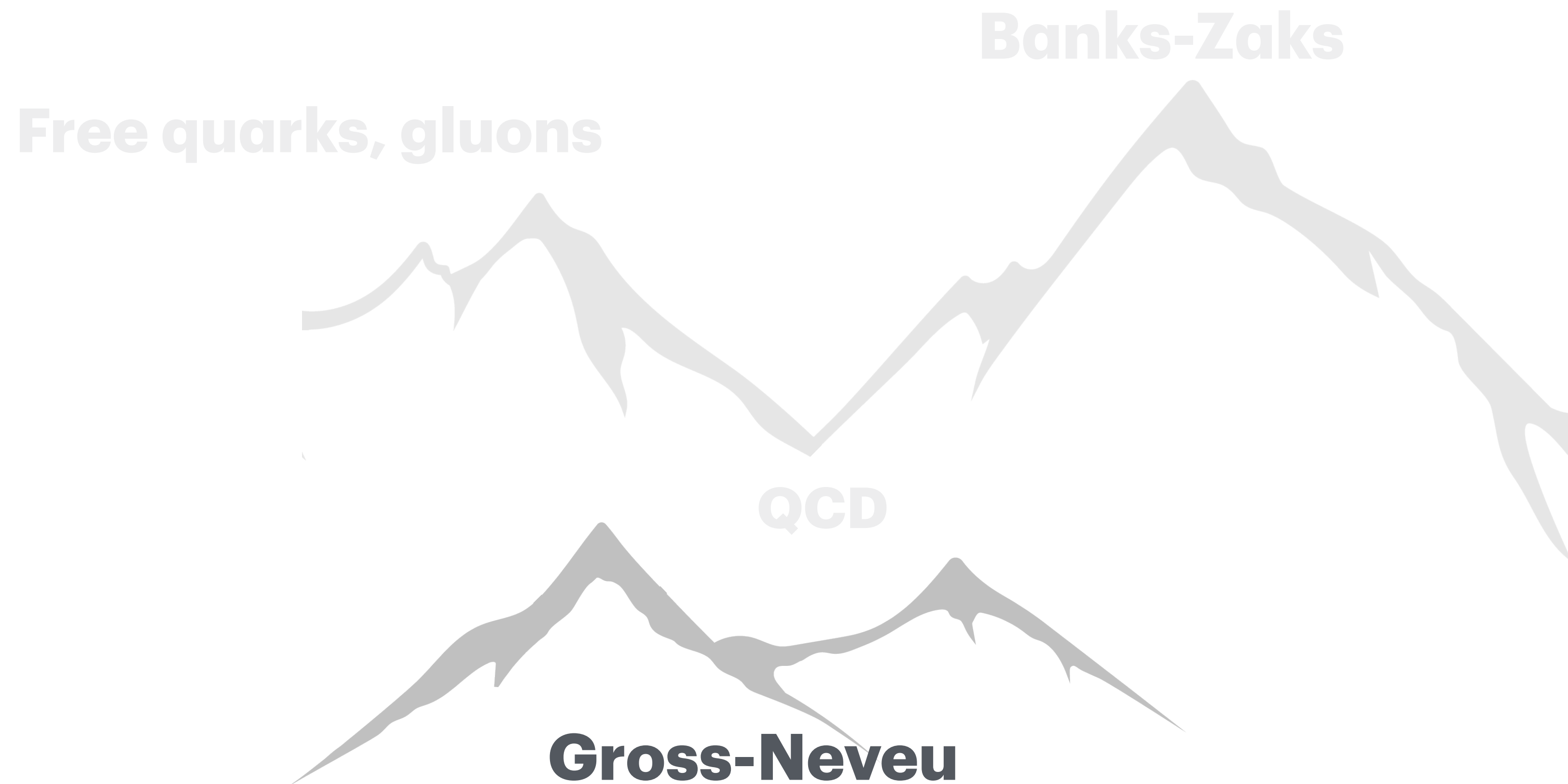
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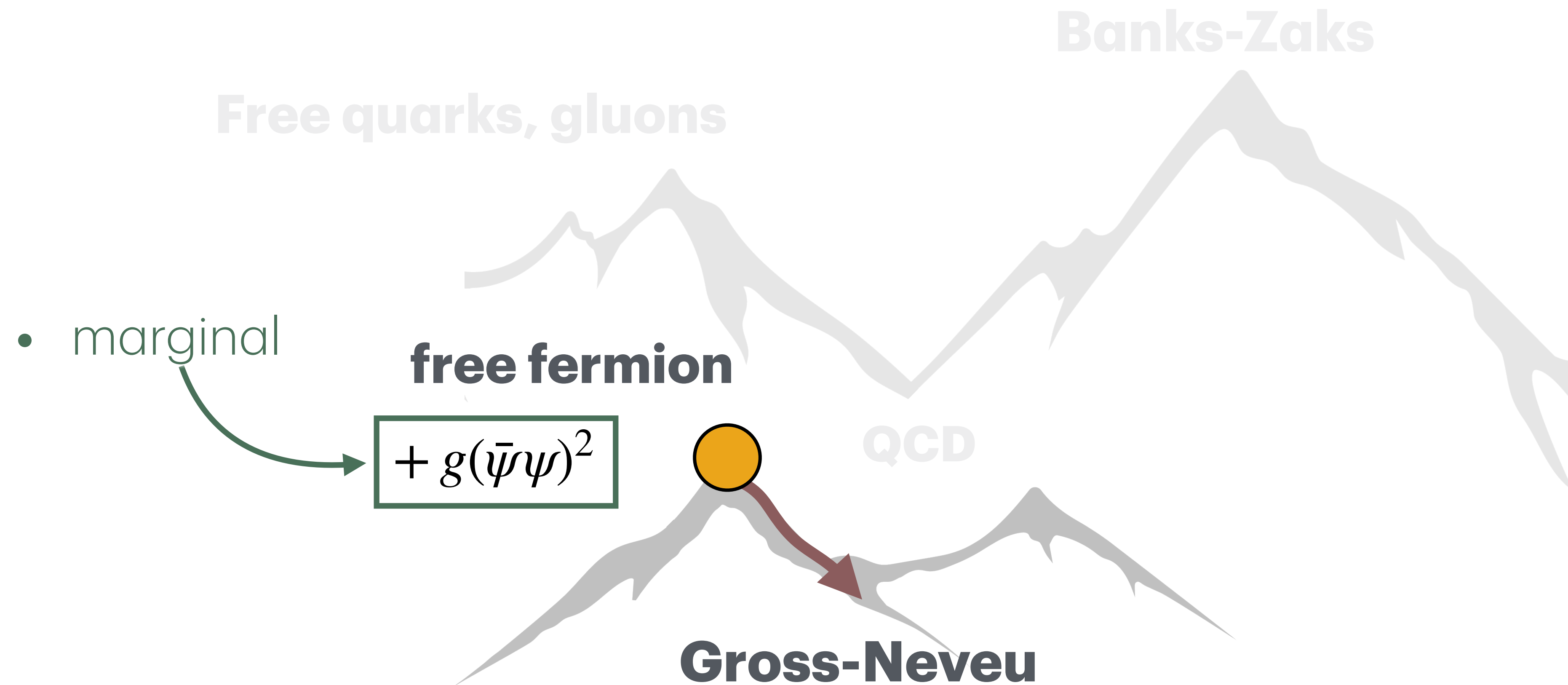




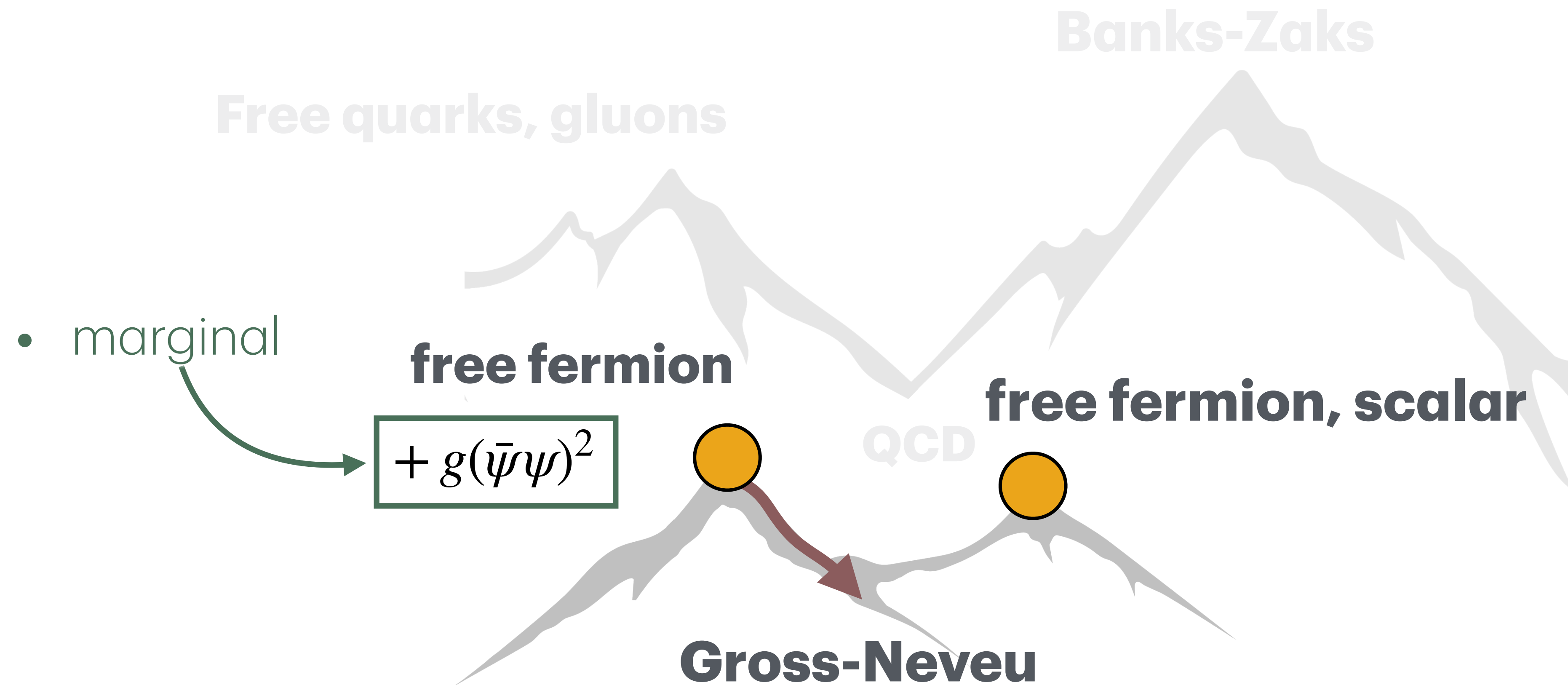
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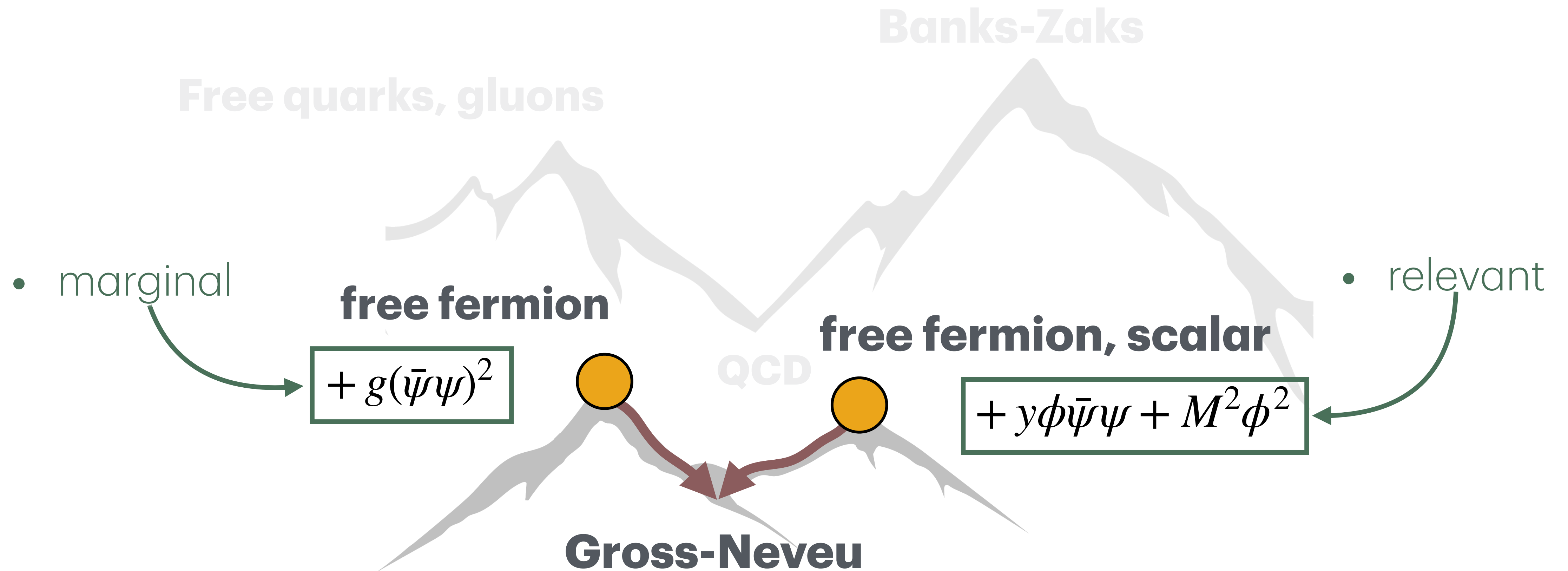
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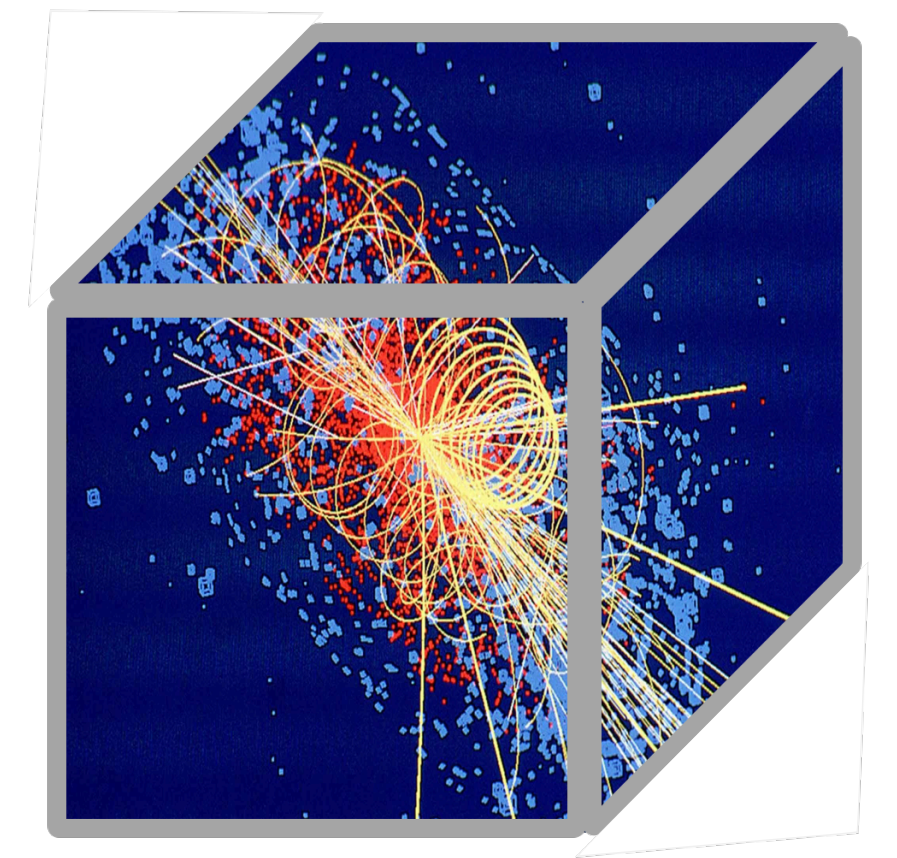


Simpler testing ground on way to QCD

# Future directions

- **improve this method** (next order, include more UV divergences)
  - work in progress with Rachel Houtz
- look at **new observables** (Wilson loops, entanglement entropy, **energy correlators**)
  - finite volume S-matrix, work in progress with Carl Beadle, Francesco Riva, Matthew Walters
- move to **higher dimensions**
- include **new fields** (gauge bosons)
- **curved spacetime** (cosmology, S-matrix)
- **connection** to other non-perturbative methods
- ... you tell me!

$$S_{fi} = \langle \Psi_f | \Psi_i \rangle ?$$



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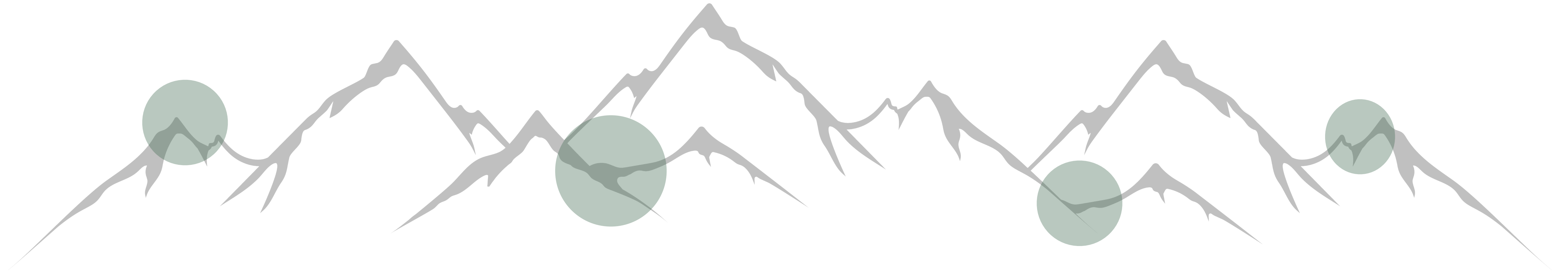


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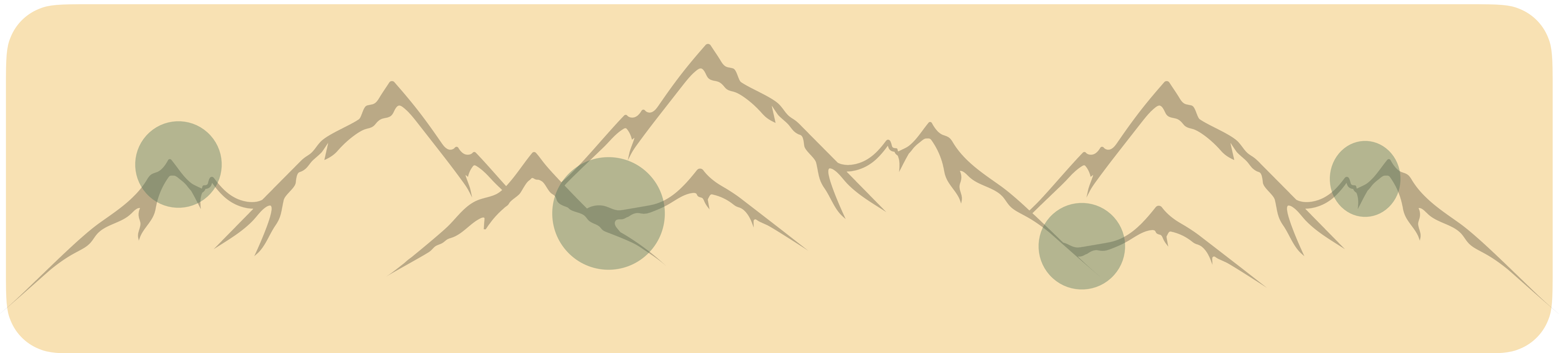




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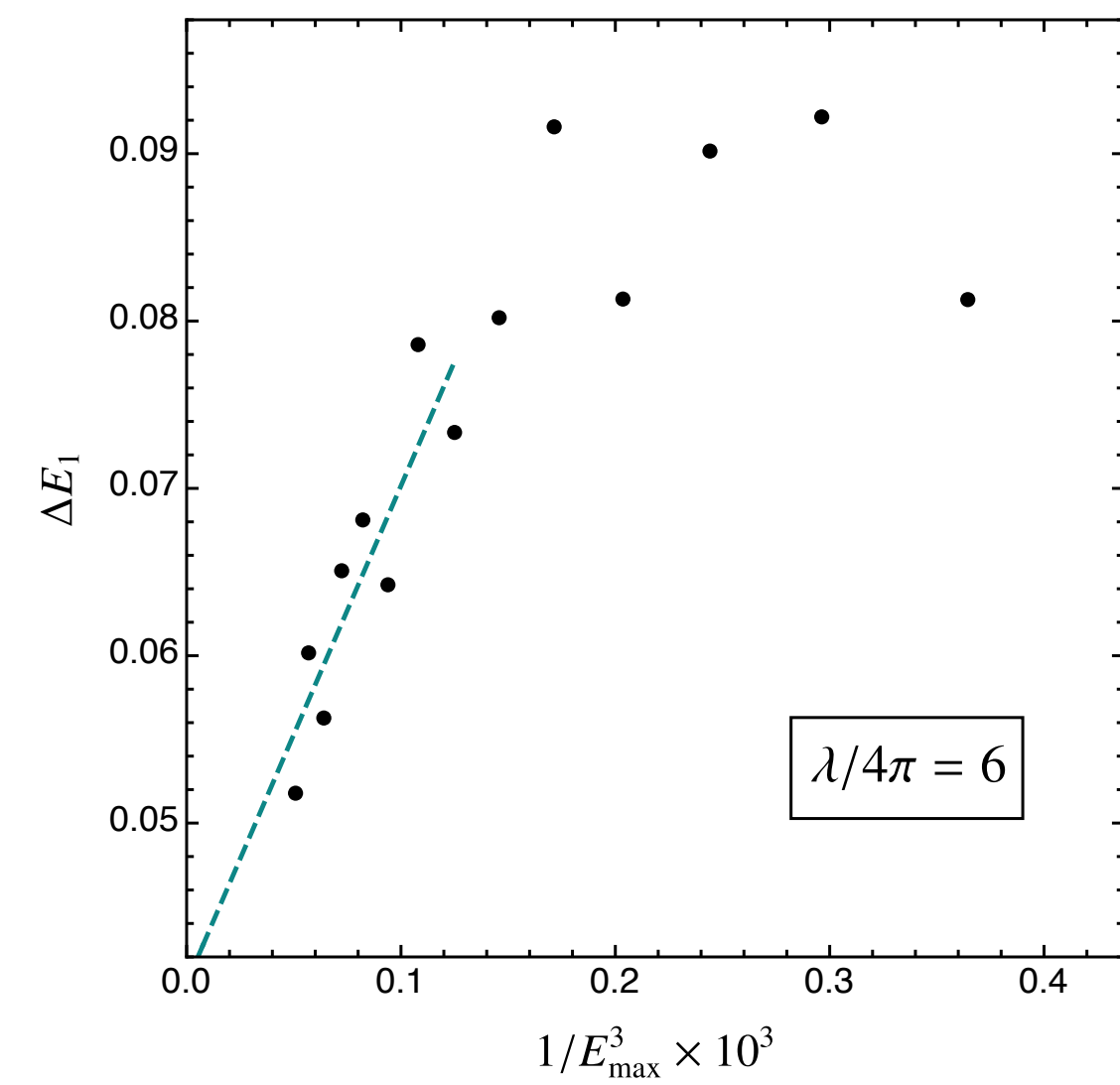
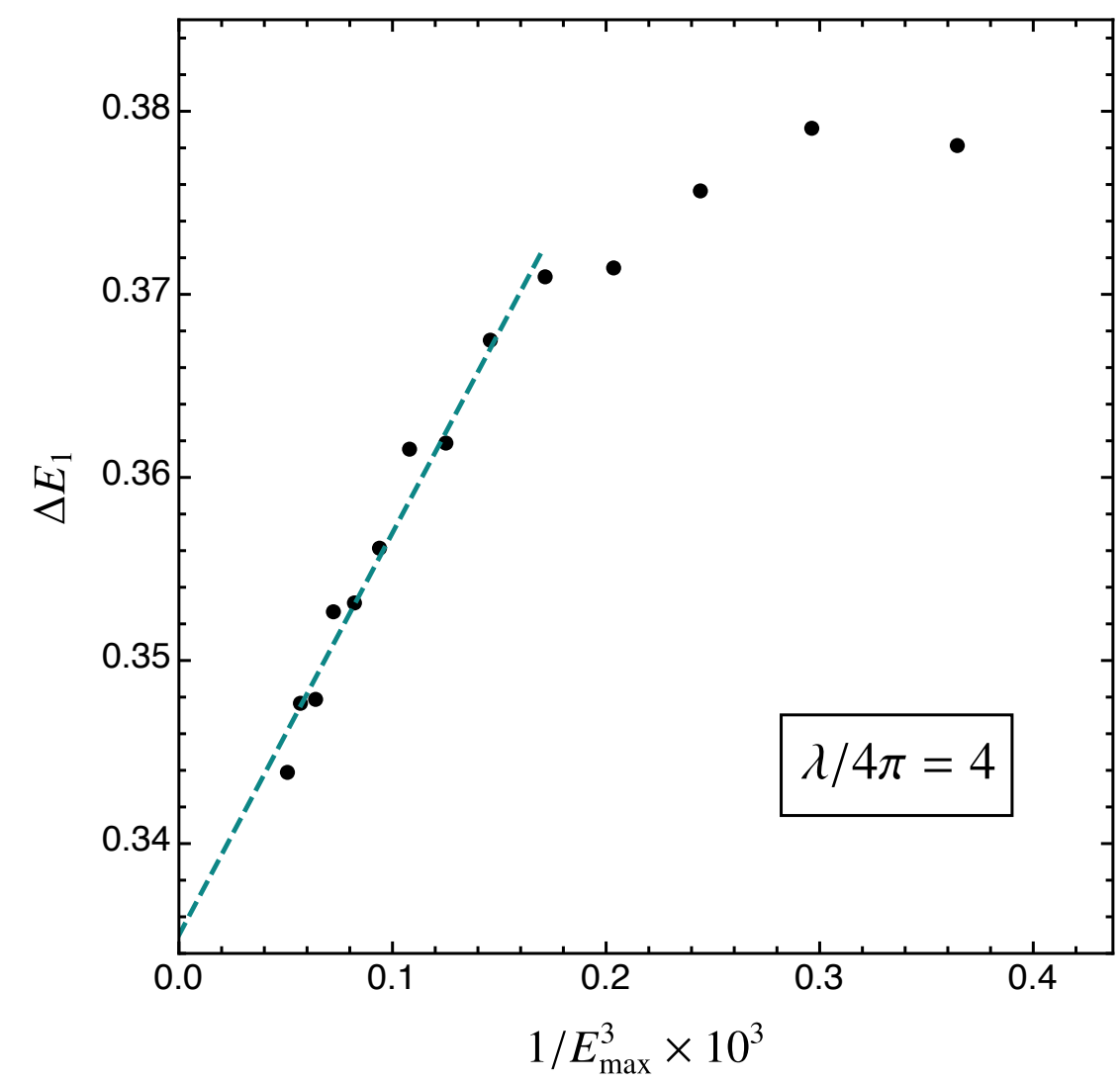
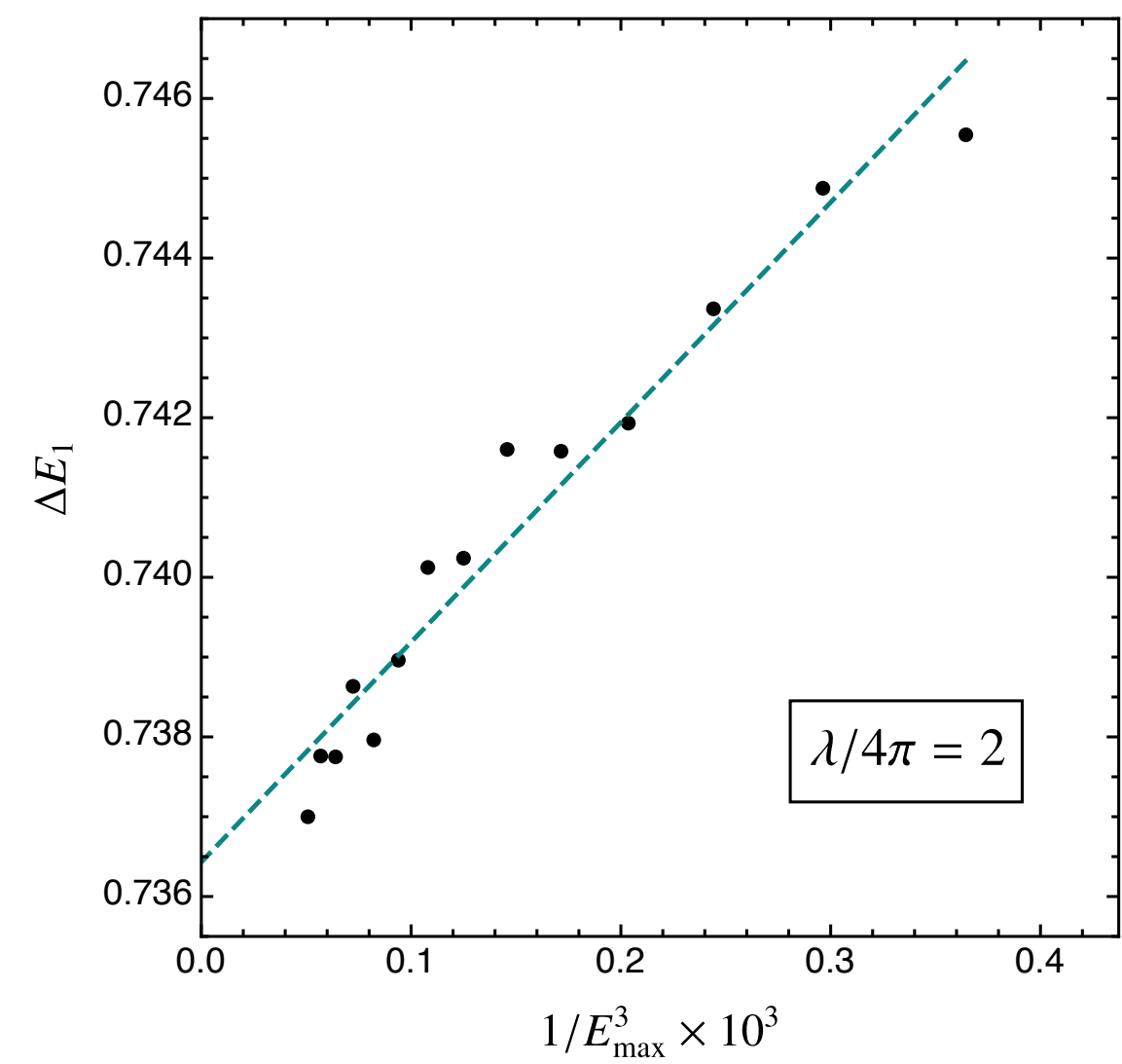
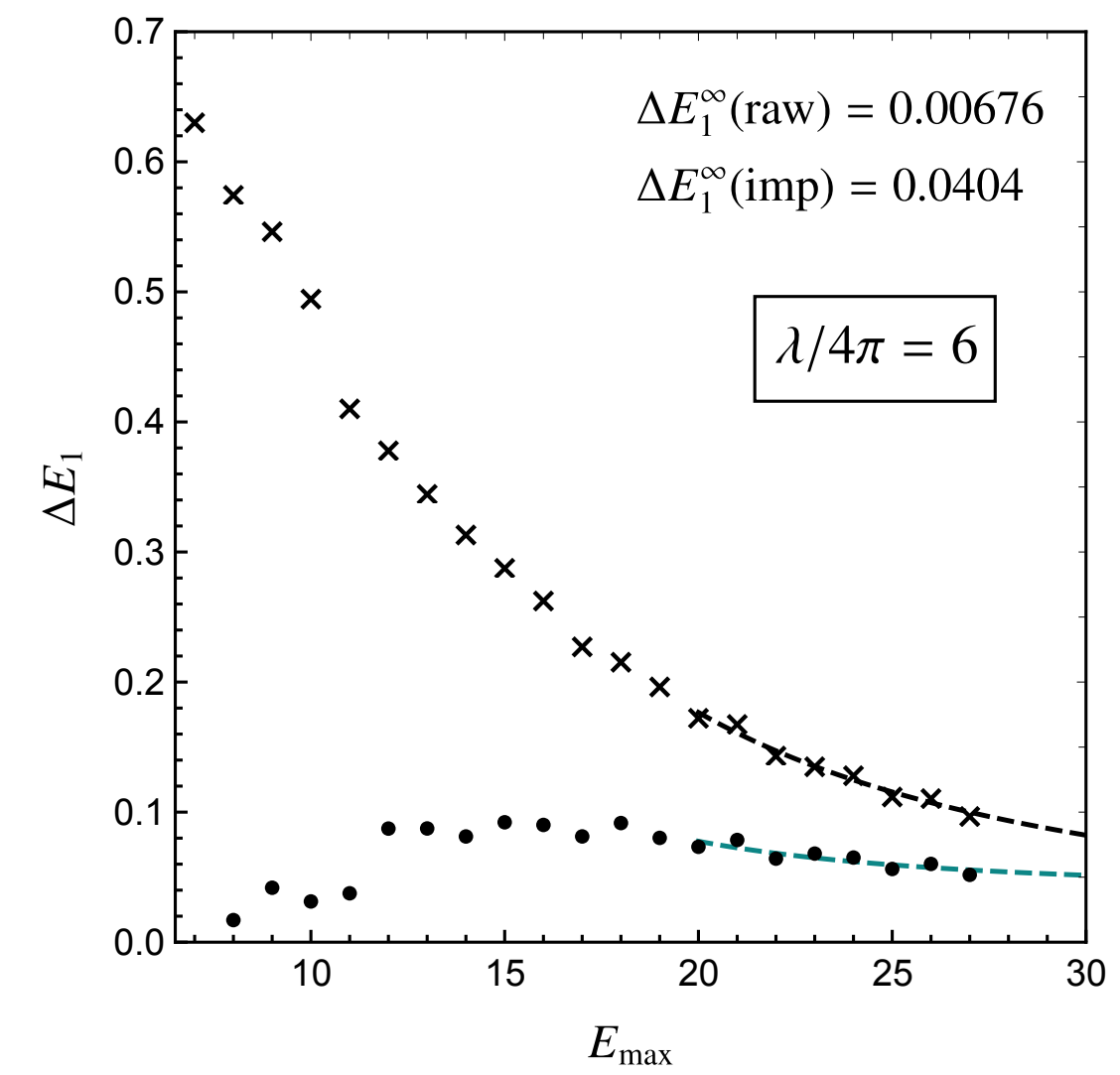
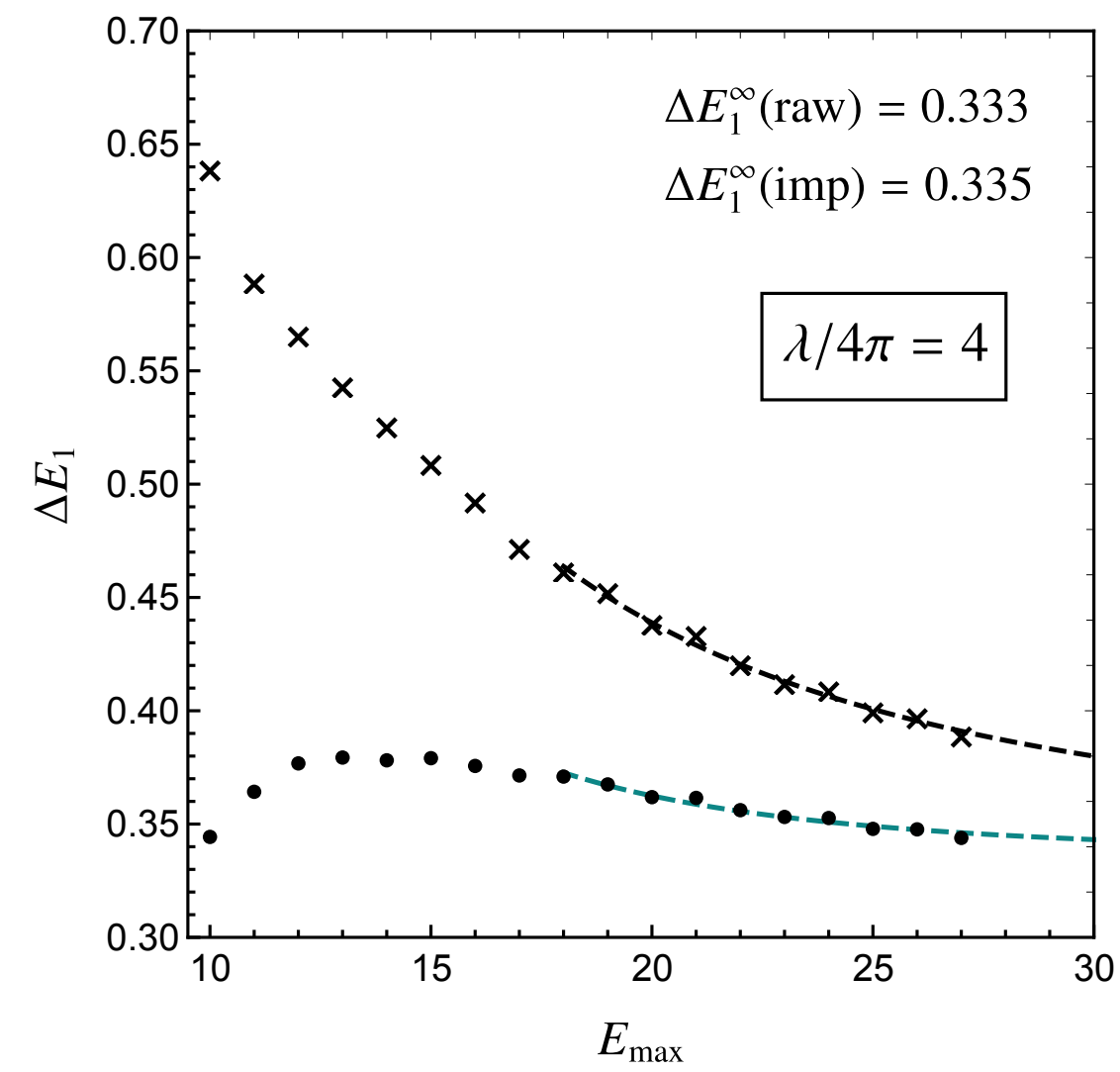
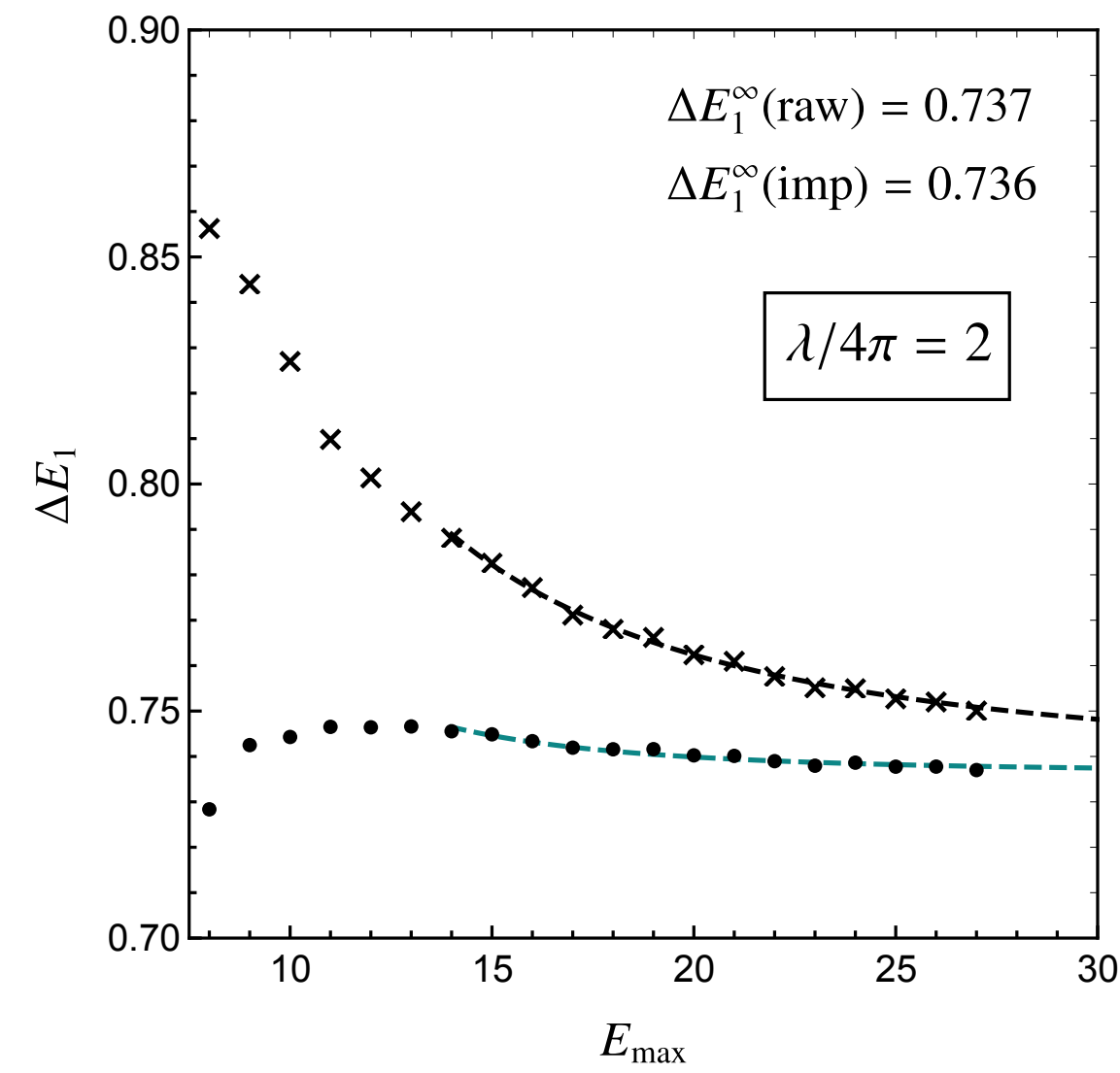


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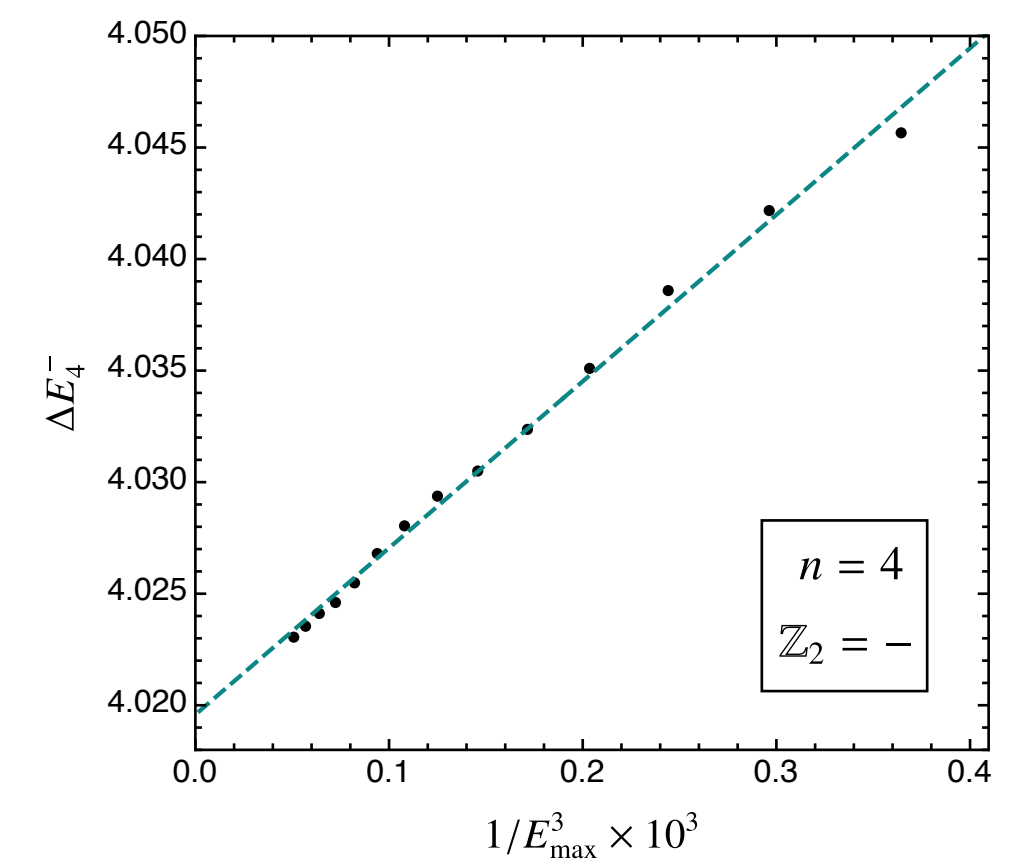
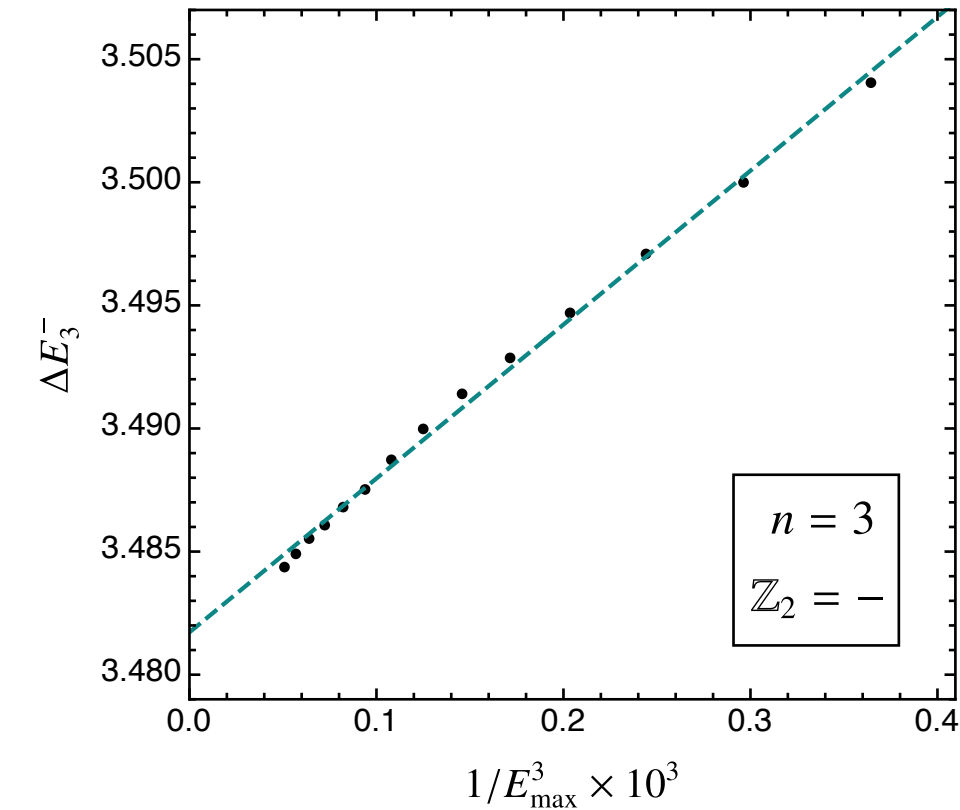
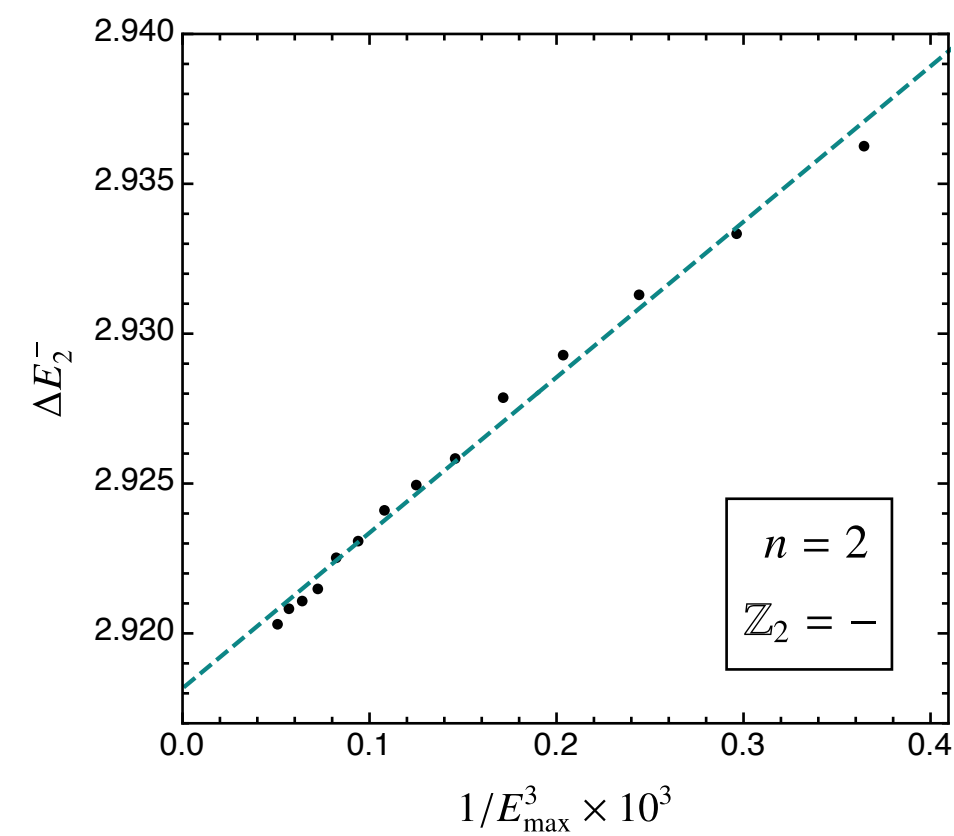
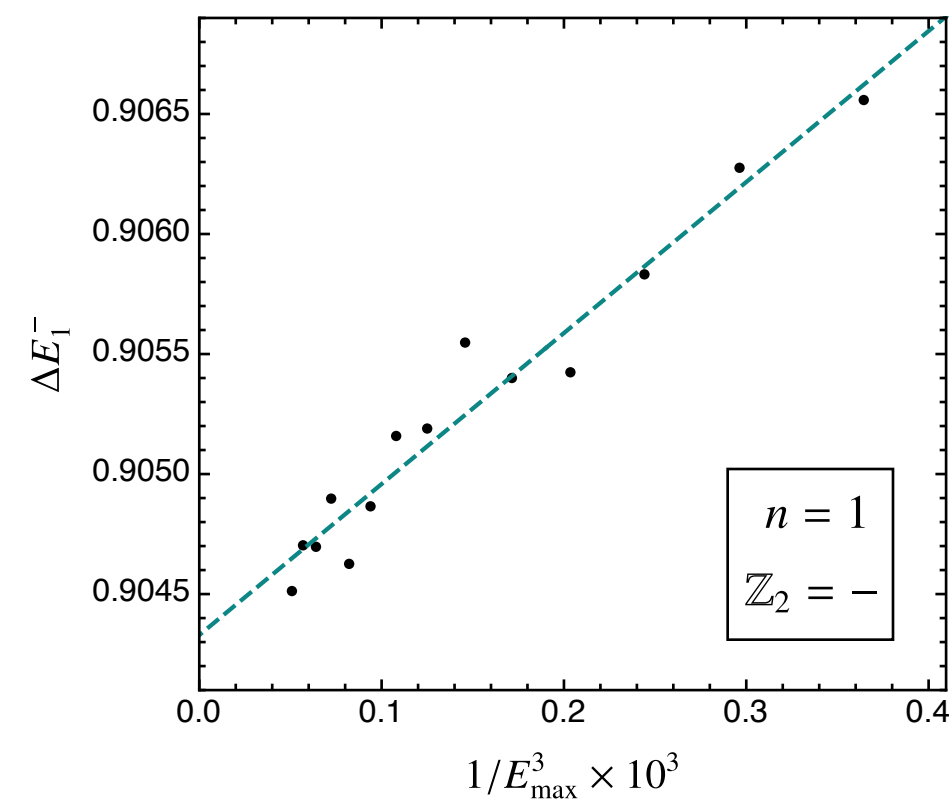
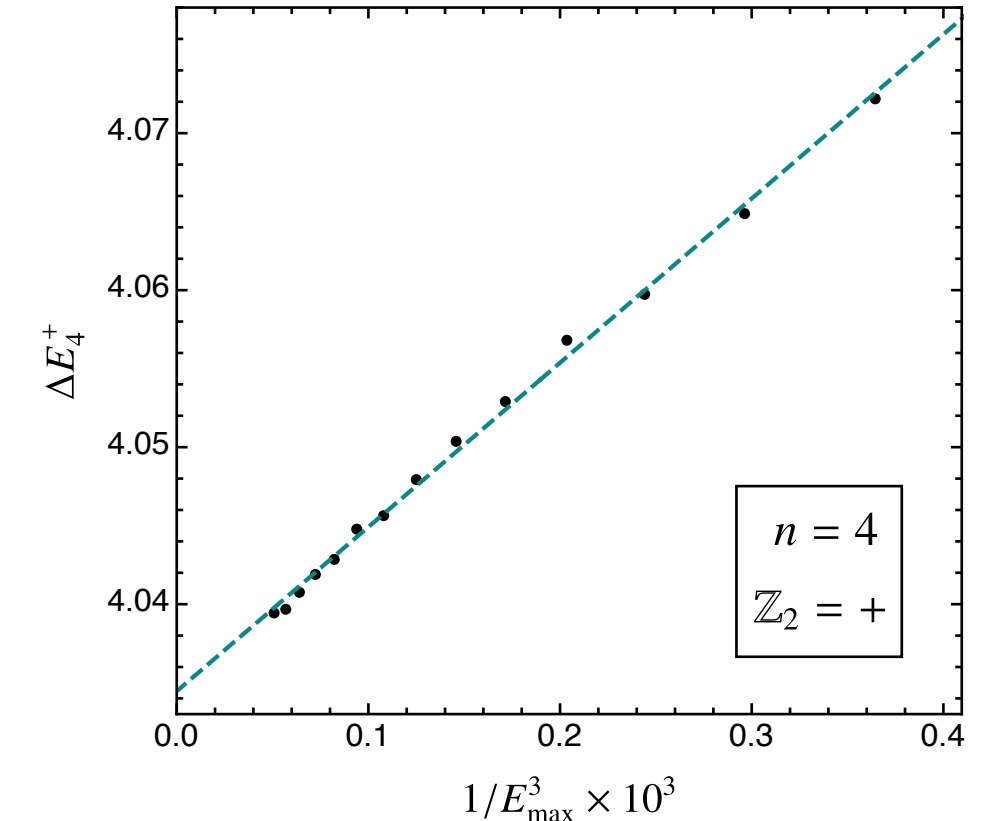
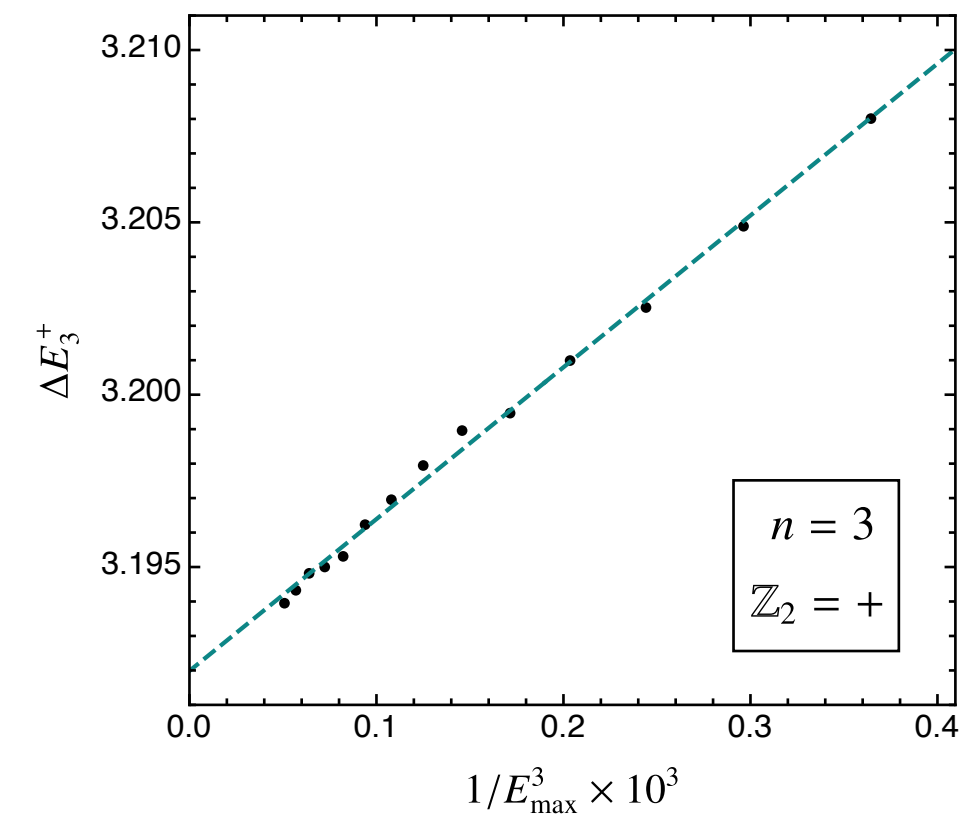
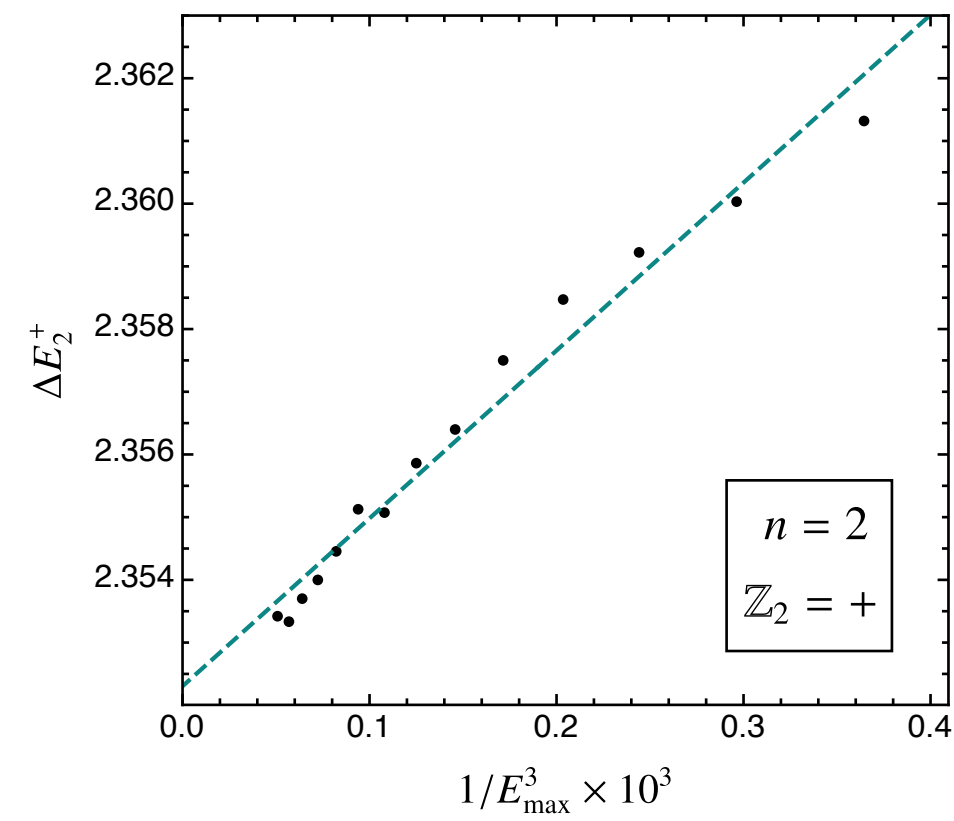
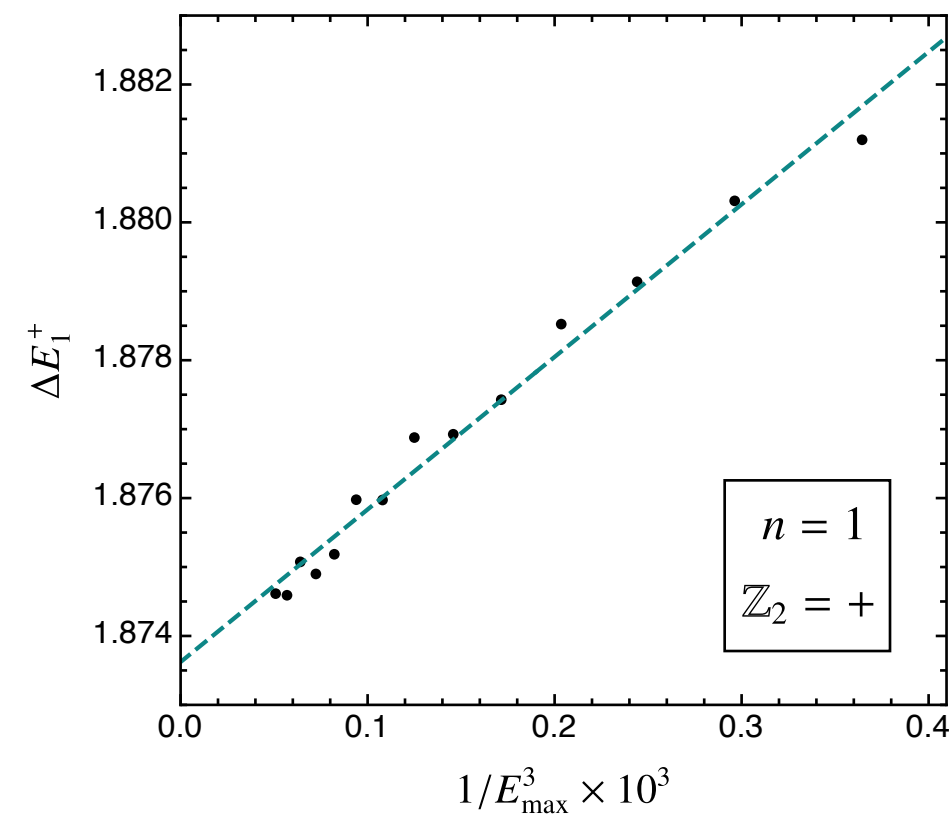


Thank You!

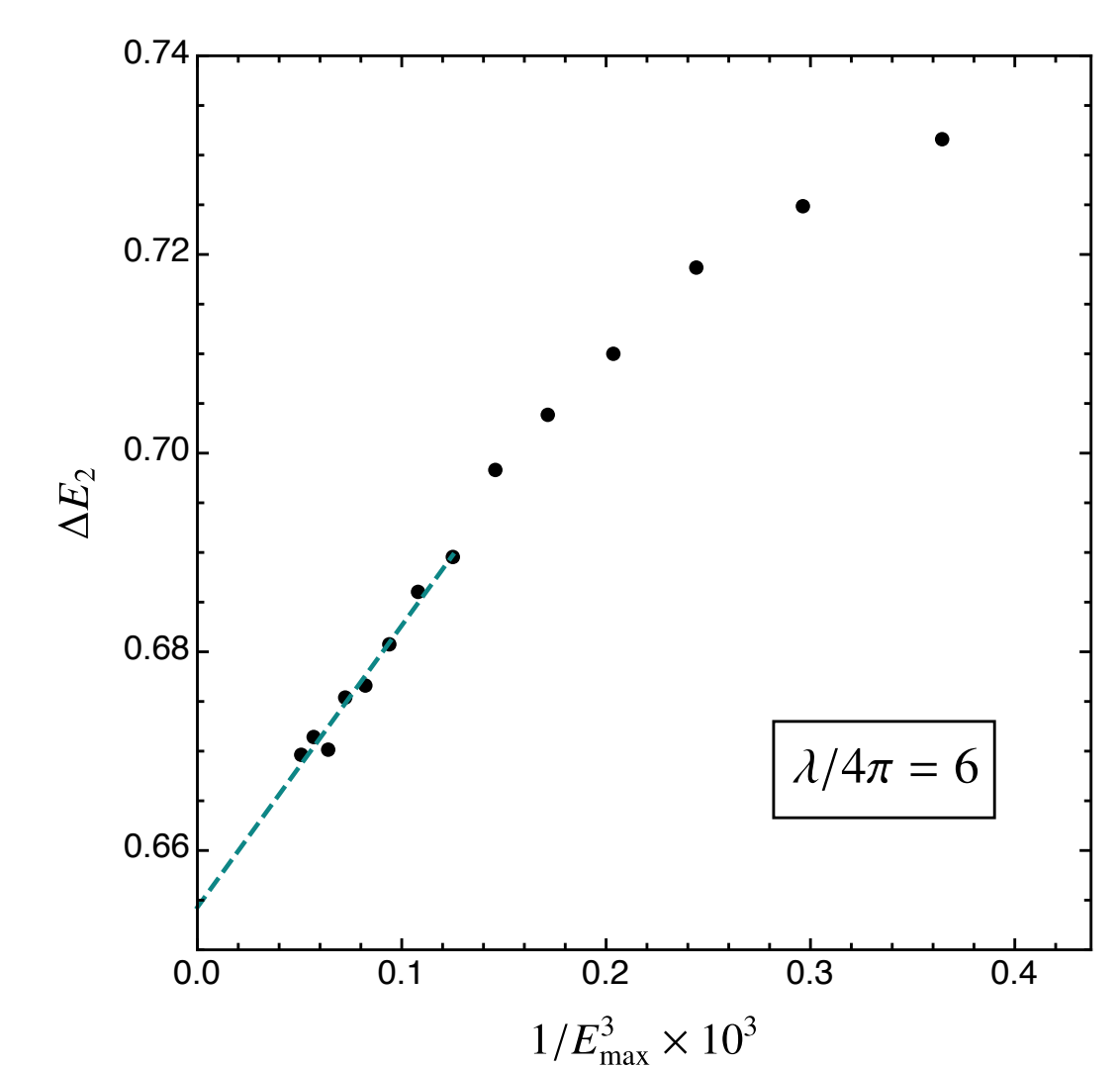
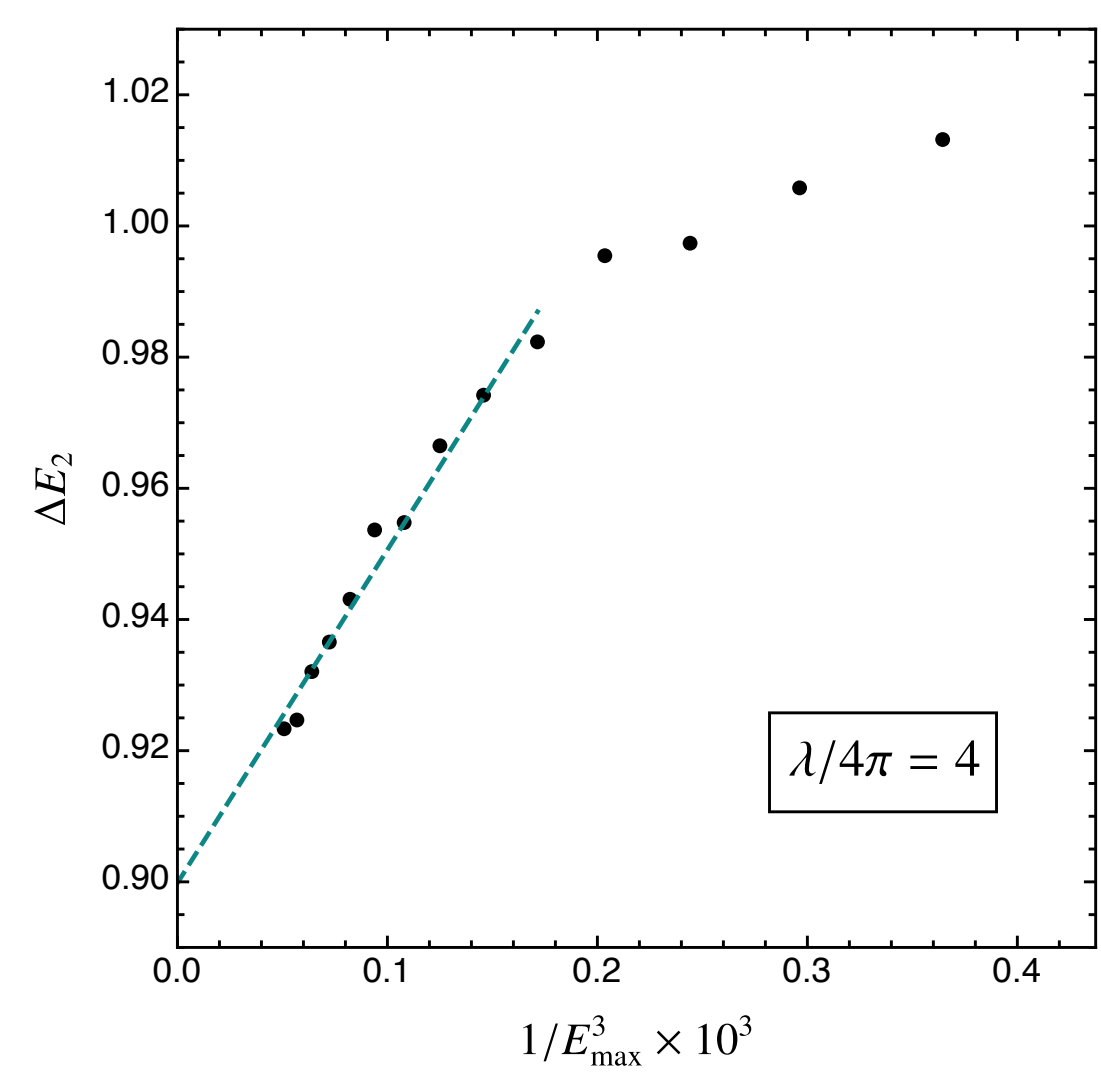
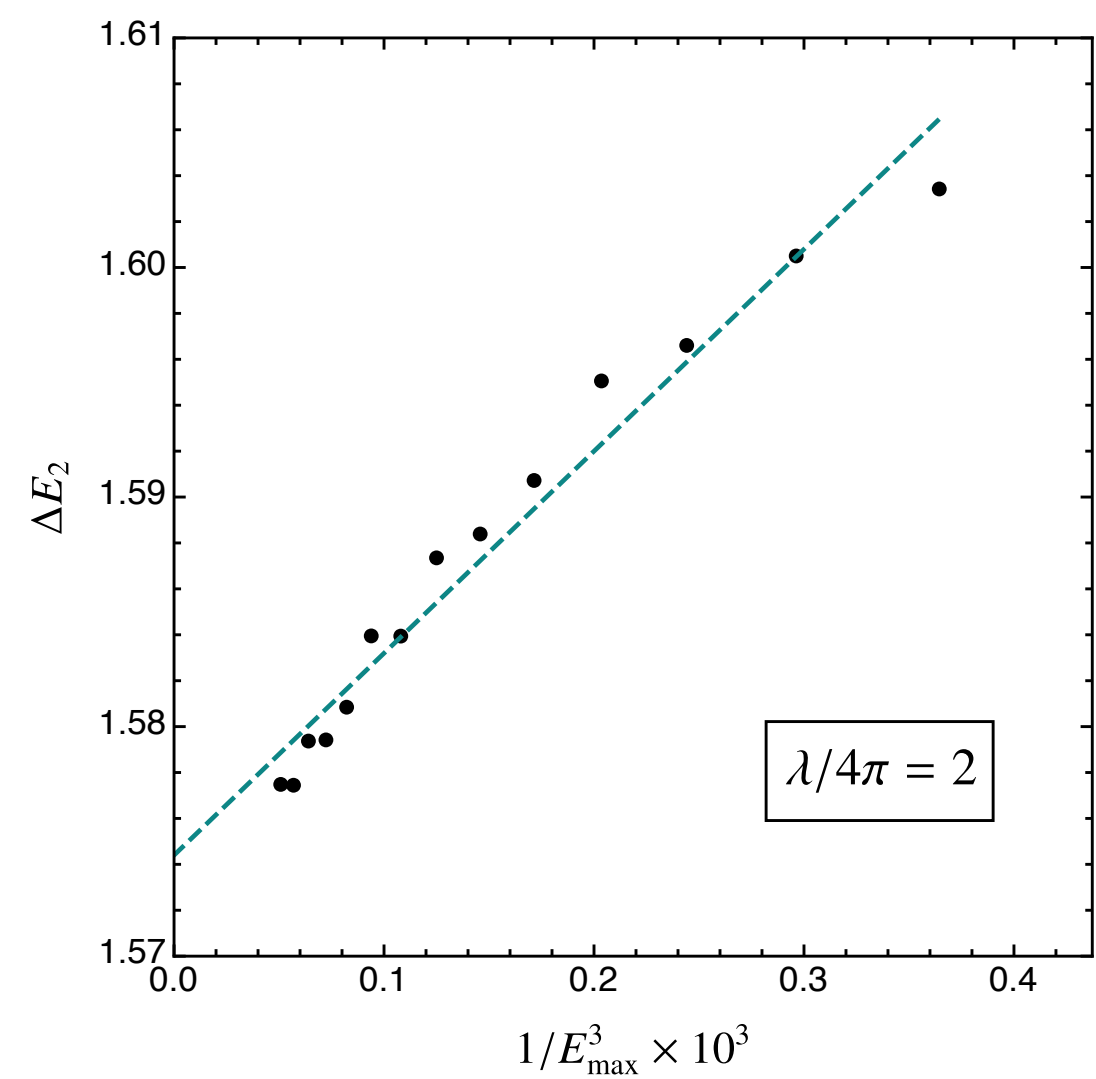
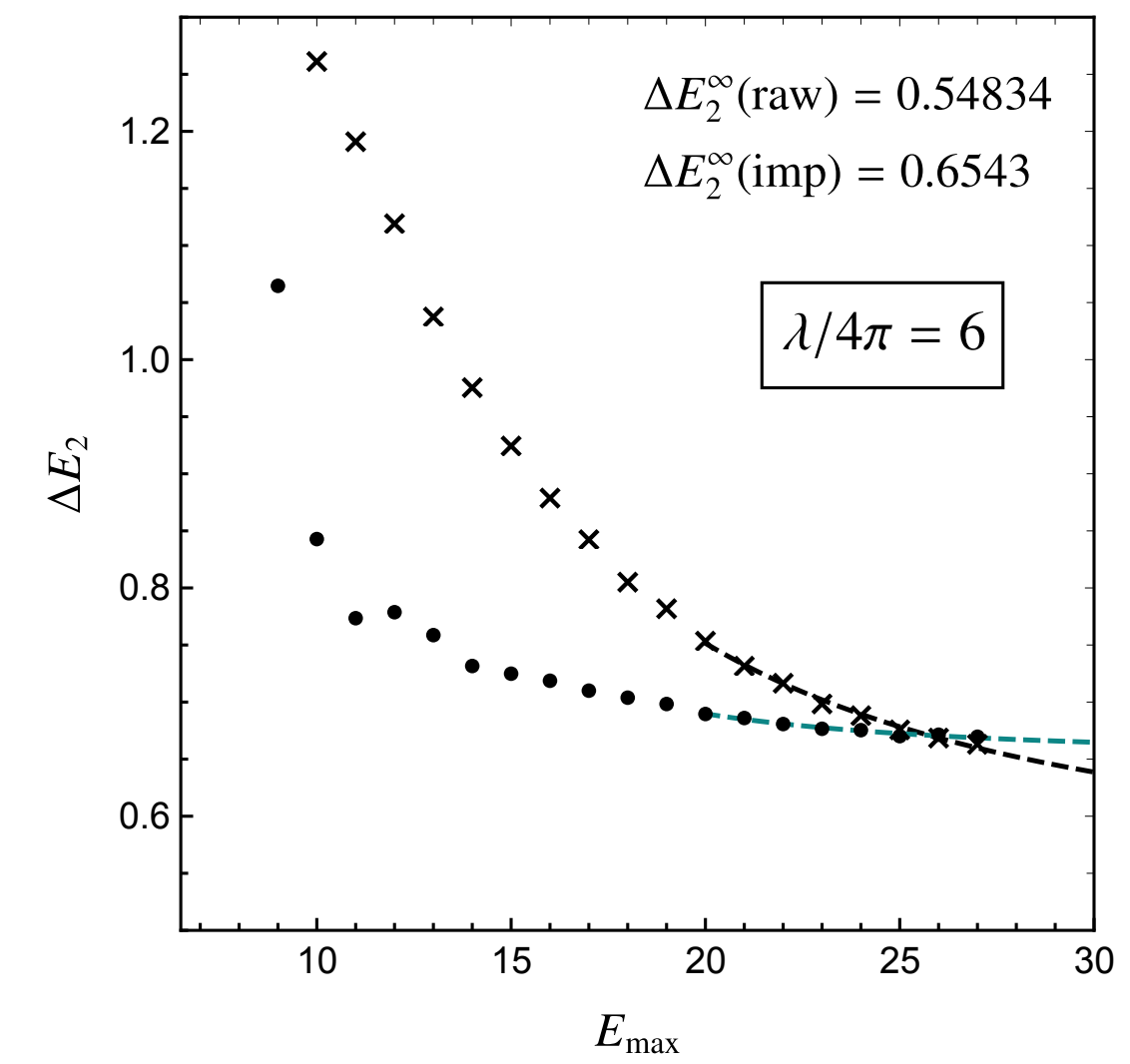
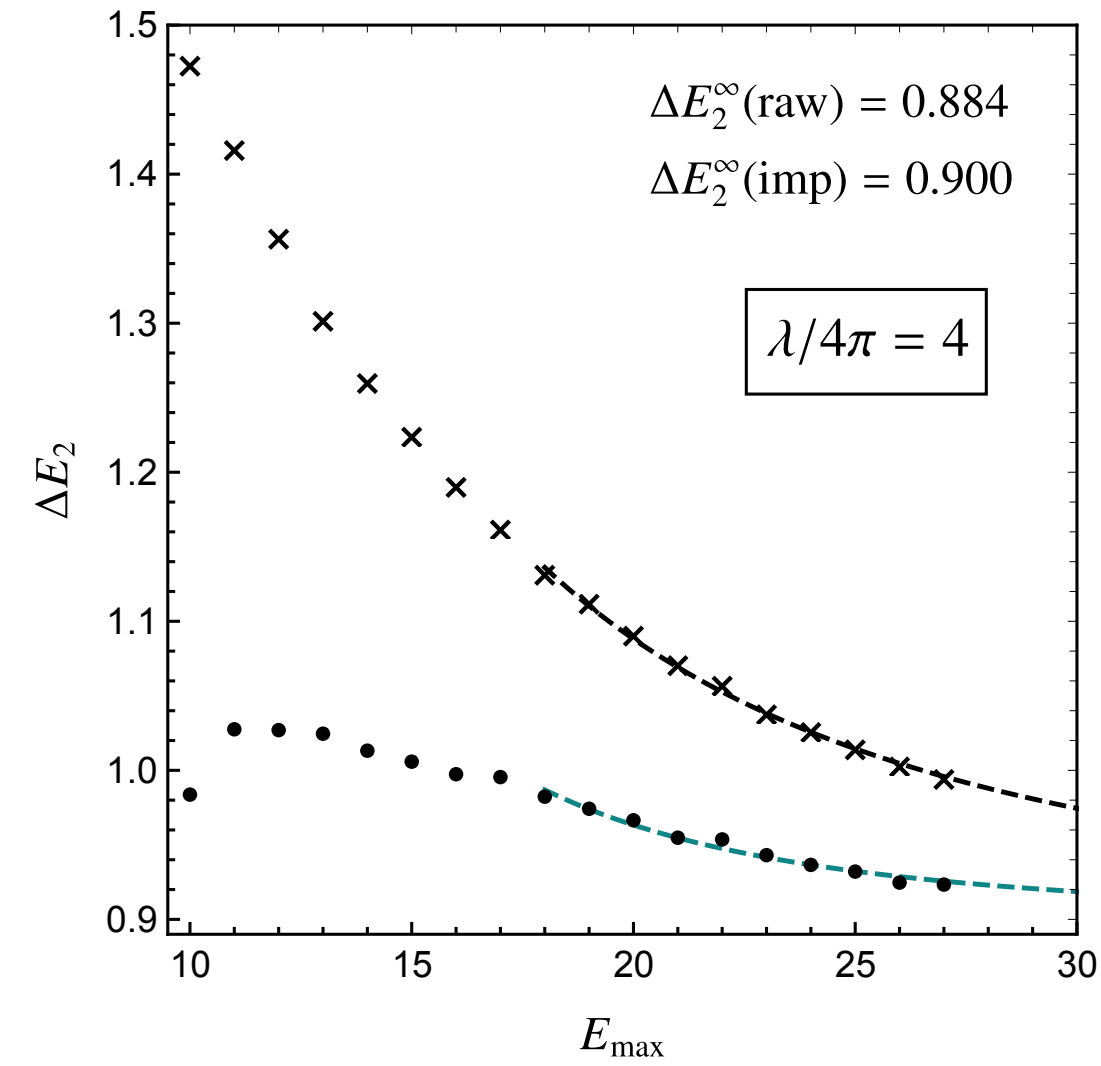
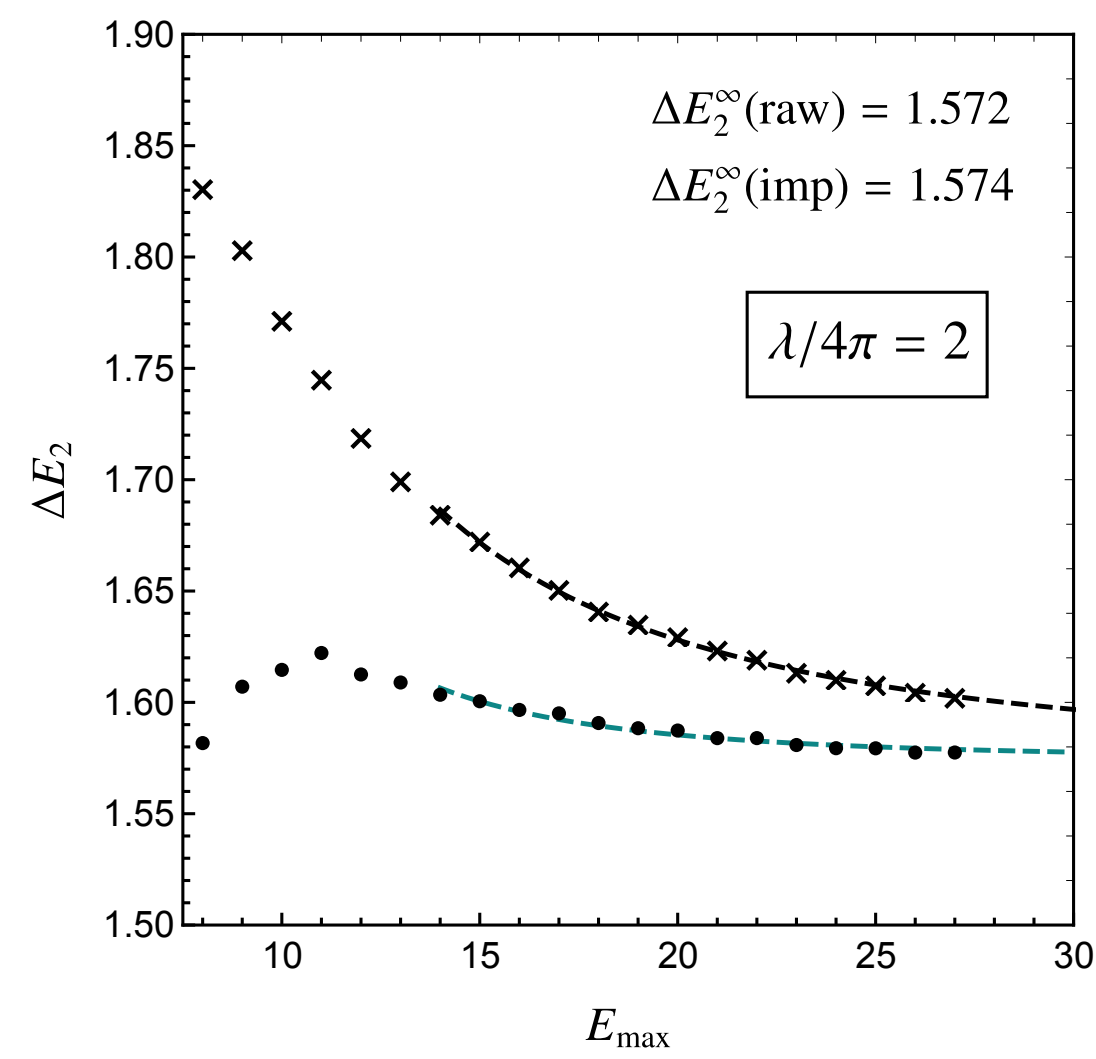
# Higher coupling



# Higher Excited States



# Backup: Higher coupling $\Delta E_2$



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eigenvectors?  $\langle \Psi_f|i\rangle \rightarrow \langle f|H_1|i\rangle = \langle f|V|i\rangle$       uniquely fixes  $H_{eff}$

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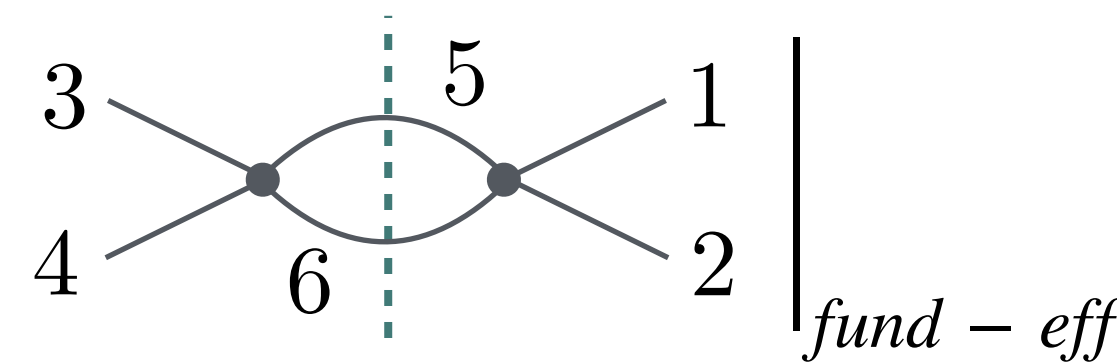
Example Theory 1: 2D  $\lambda\phi^4$

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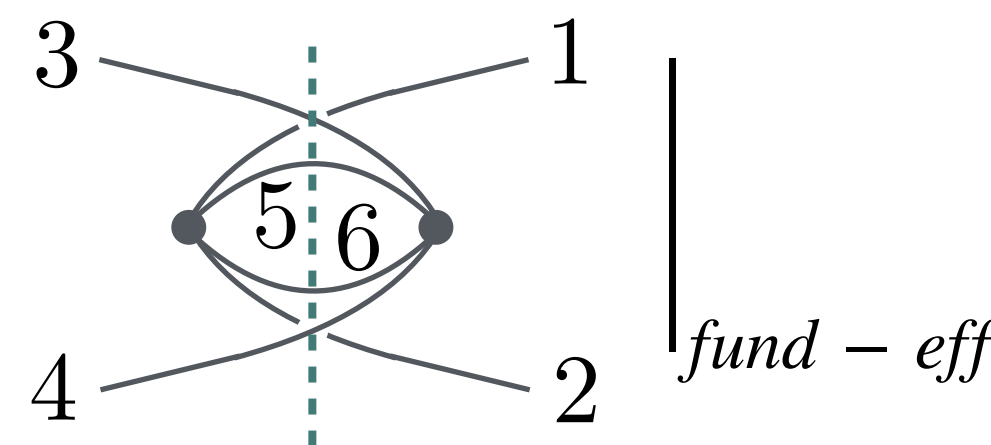
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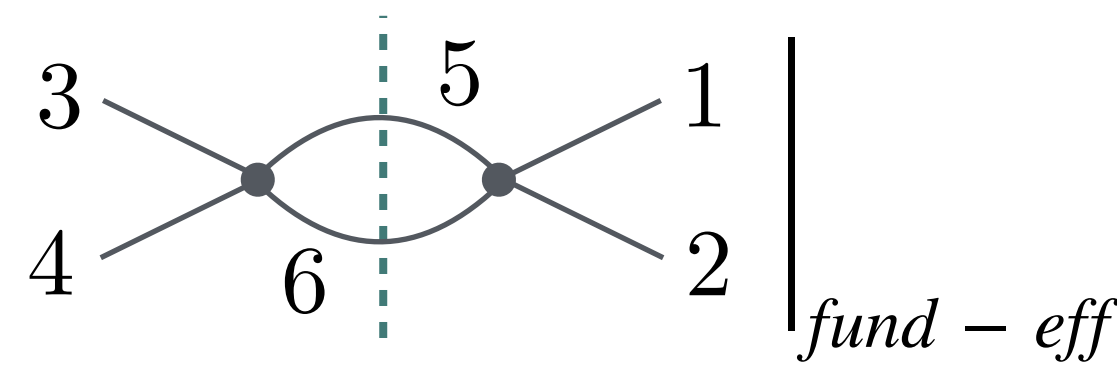
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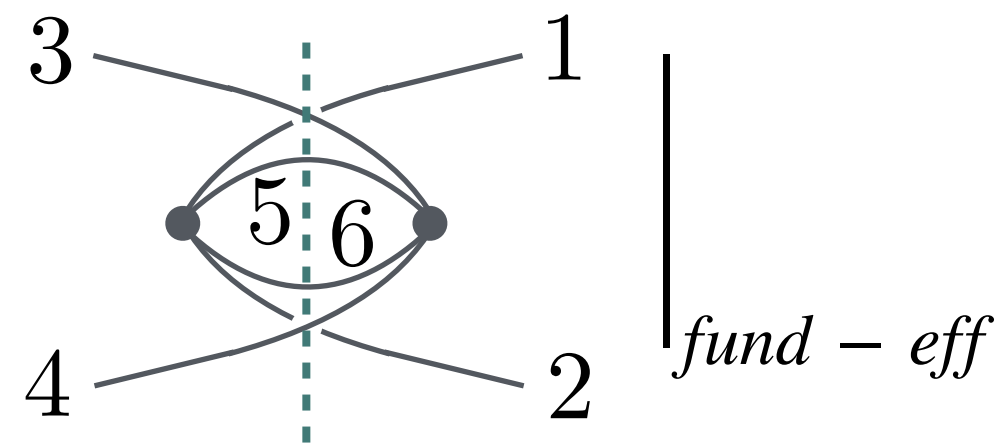
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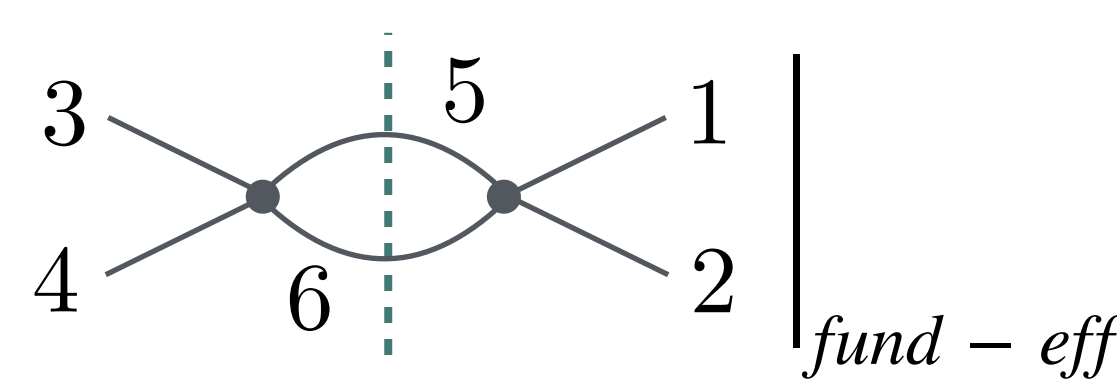


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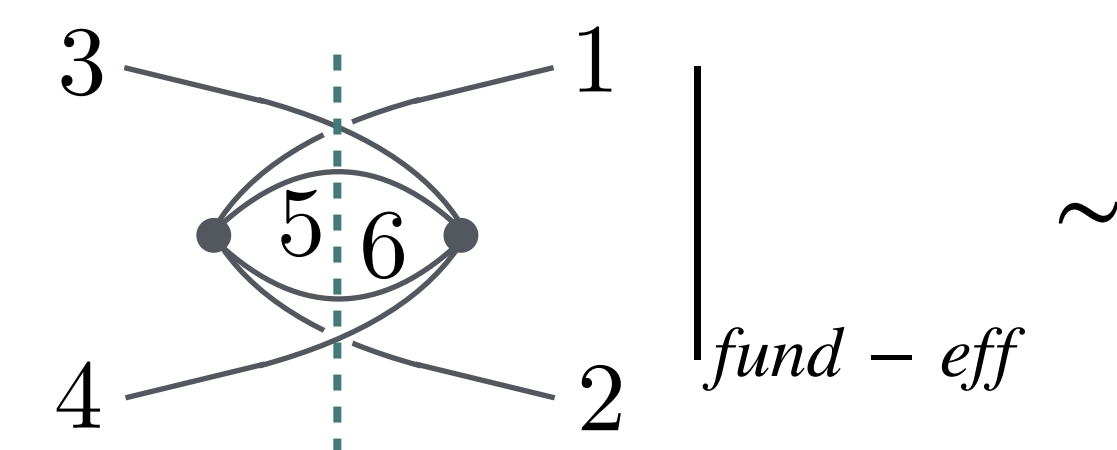
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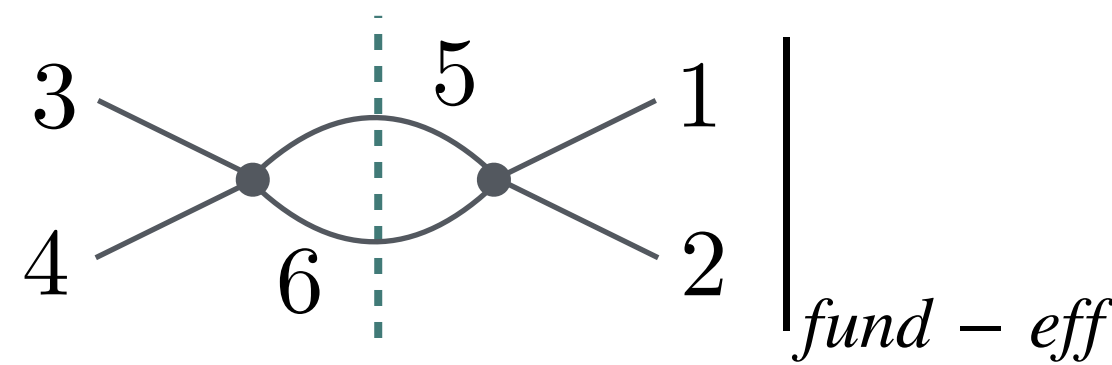
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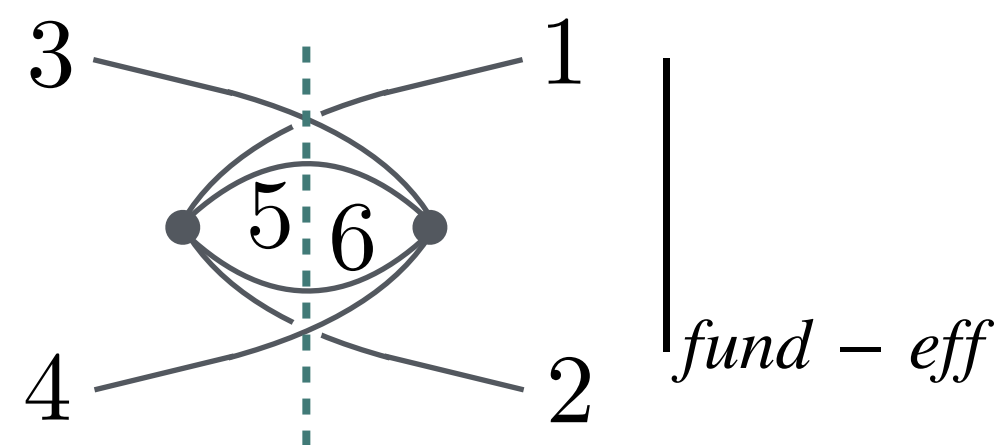
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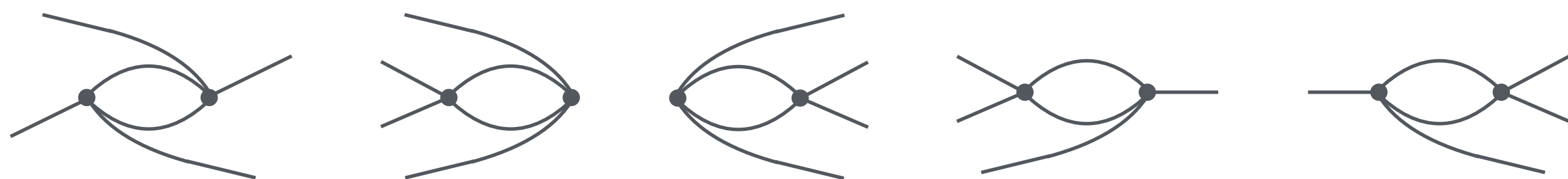


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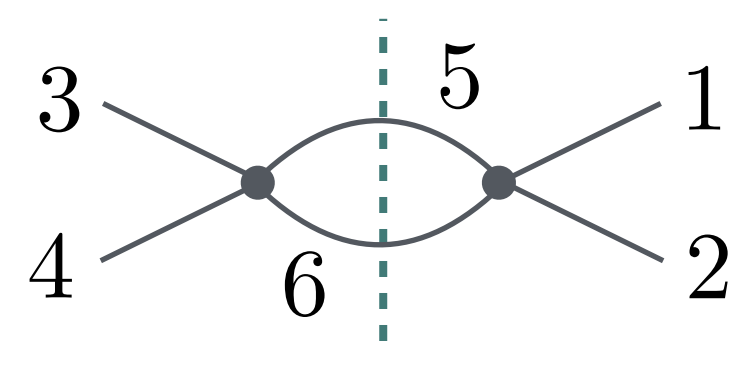
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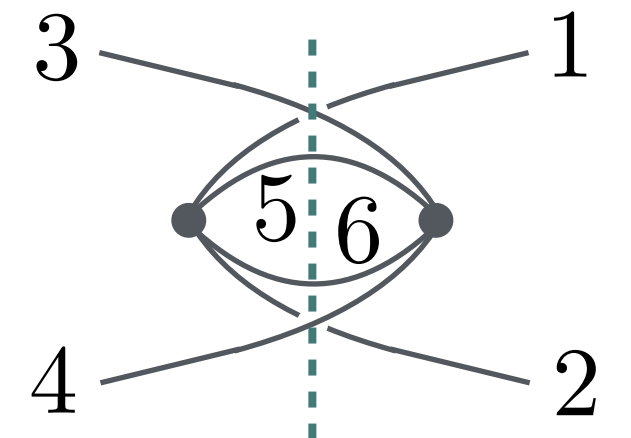
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fund - eff

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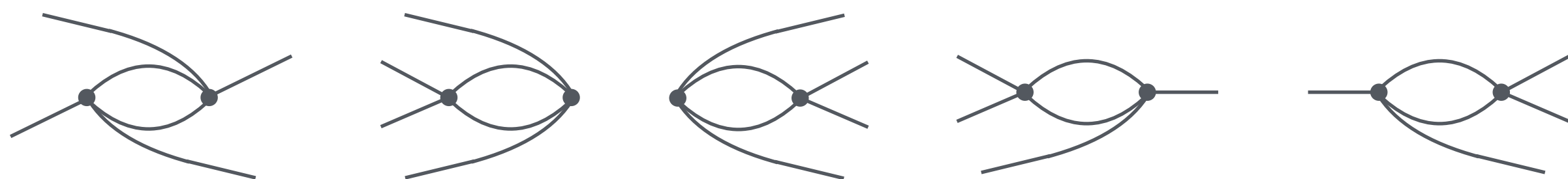
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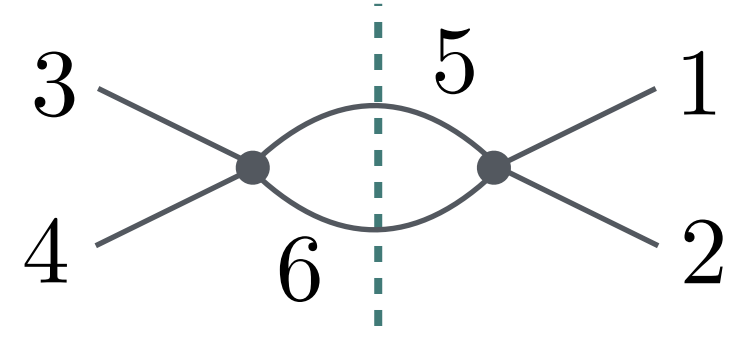
fund - eff



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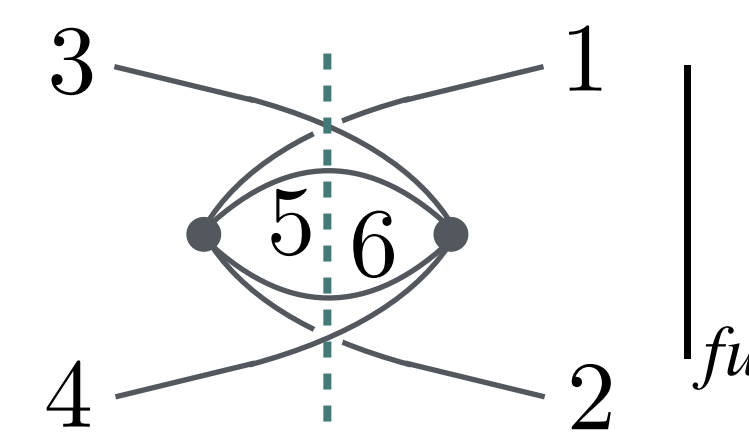
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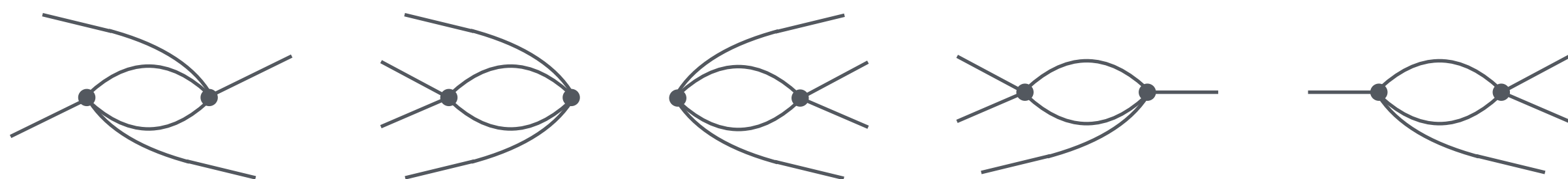
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