

Strong-CP with and
without Gravity

Gia Dvali

LMU-MPI, Munich

Gravity:

Newton \rightarrow Einstein \rightarrow QFT

$$g_{\mu\nu}^{(x)} = \eta_{\mu\nu} + h_{\mu\nu}$$

in quantum theory

$$h_{\mu\nu} = \frac{\langle \hat{h}_{\mu\nu} \rangle}{M_{\text{Pl}}}$$

graviton

Planck mass $\sim 10^{19}$ GeV

$\hat{h}_{\mu\nu} \rightarrow$ particle with
Spin = 2, $M = 0$

S -matrix is the only existing formulation of quantum gravity.

Organic (but not limited to) string theory.

This puts severe restrictions on vacuum landscape and, in particular, excludes: (see, G.D., 2012 02 133 [hep-th], 2209 14 219 [hep-ph])

⊗ de Sitter, (meta)stable

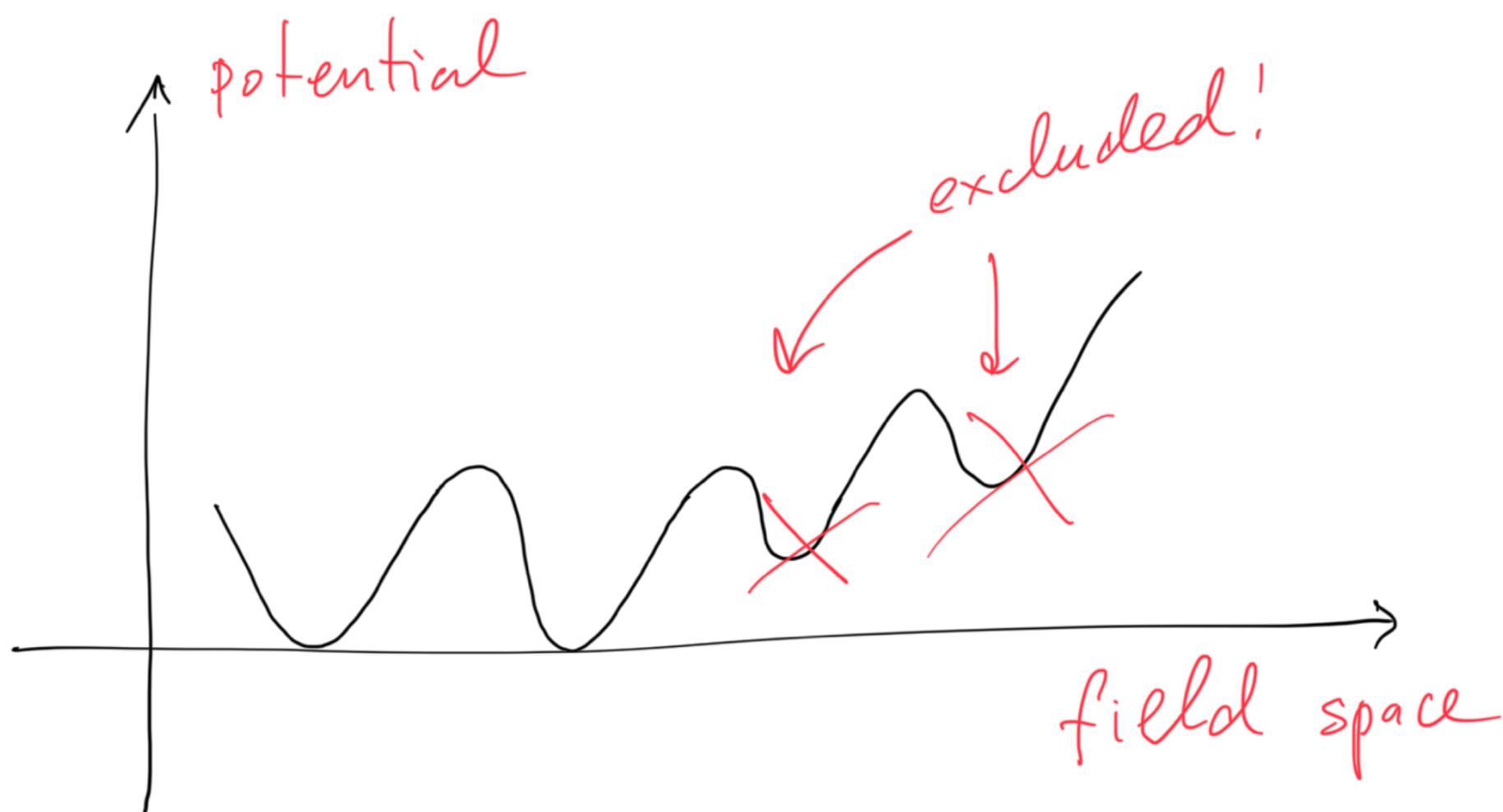
⊗ Landscapes that support eternal inflation
(Vilenkin '83, Linde '86)

G.D., Gomez '13, '14
+ Zell '17

⊗ Big crunch cosmologies;

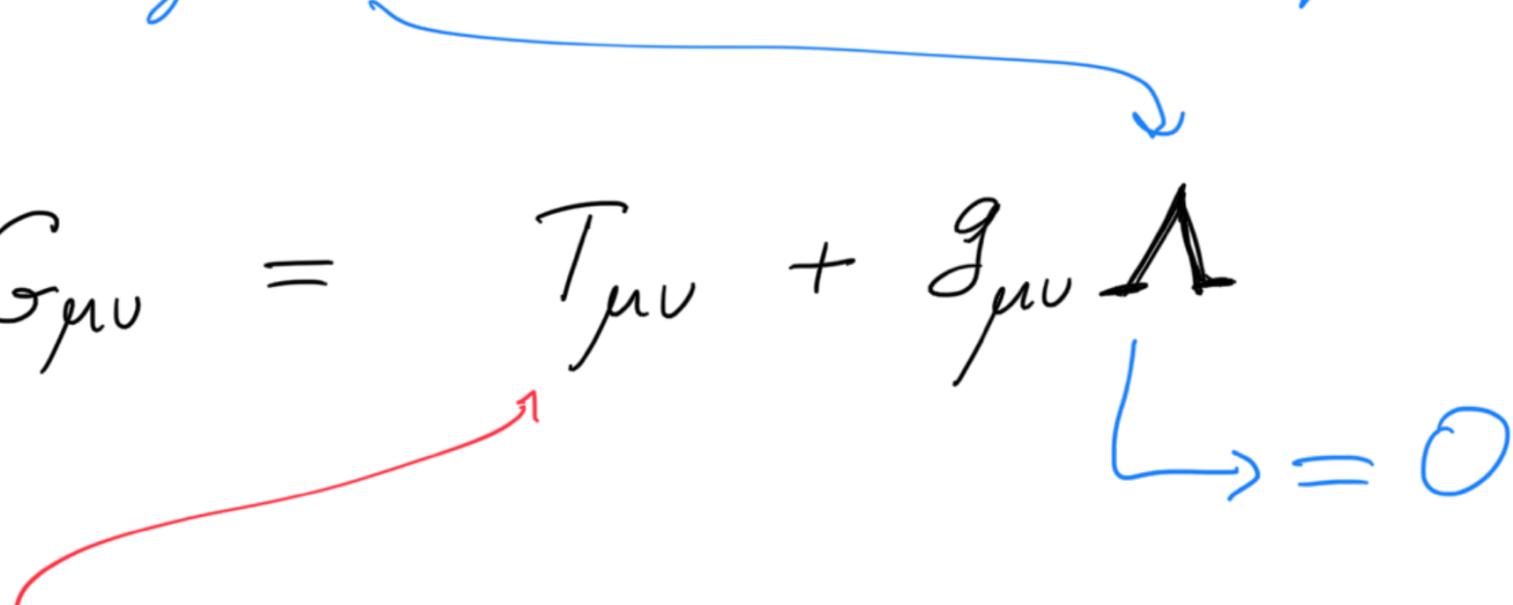
⊗ All cosmologies with non- S -matrix-vacua.

This fixes our framework:
EFT of S -matrix theory
defined on asymptotic
 S -matrix vacuum of
Minkowski



First immediate implication:
S-matrix gravity nullifies an
outstanding cosmological puzzle:
Cosmological term is Einstein's equation

$$G_{\mu\nu} = T_{\mu\nu} + g_{\mu\nu} \Lambda$$



Dark energy = New physics

Prediction: Equation of state

$$w > -1$$

(In fact, arguments indicate

$$w + 1 \approx \frac{1}{260})$$

Implication for strong-CP puzzle

$$\mathcal{L} = \mathcal{L}_{\text{QCD}} + \bar{\Theta} F \tilde{F}$$

$$\bar{\Theta} = \Theta + \text{arg. det. } M \neq 0$$

$$F \tilde{F} \equiv \epsilon^{\mu\nu\alpha\beta} \partial_\mu C_{\nu\alpha\beta}$$

chern-Simons 3-form

$$C_{\nu\alpha\beta} \equiv \text{tr} \left(A_{[\nu} \partial_\alpha A_{\beta]} + \frac{2}{3} A_{[\nu} A_\alpha A_{\beta]} \right)$$

$$A_\mu \equiv A_\mu^a T^a \quad \leftarrow \text{gluon matrix}$$

Gauge redundancy:

$$U = e^{-i\omega^a T^a}$$

$$A_\mu \rightarrow U A_\mu U^\dagger + U^\dagger \partial_\mu U$$

$$C_{\mu\nu\alpha} \rightarrow C_{\mu\nu\alpha} + \partial_{[\mu} \Omega_{\nu\alpha]}$$

$$\Omega_{\nu\alpha} = \text{tr} A_{[\mu} \partial_{\nu]} \omega$$

$\bar{\theta}$ is physical and contributes to EDMN.

The current bound

$$d_n < 2.9 \times 10^{-26} \text{ cm}$$

(Baker et. al. hep-ex/0602020)

translates as bound

$$|\bar{\theta}| \lesssim 10^{-9}$$

Thus, we live in a vacuum with very small $\bar{\theta}$.

This is the strong-CP puzzle;

formulated as naturalness problem.

$\bar{\Theta}$ is physical due to topological susceptibility of vacuum (TSV)

$$\langle F\tilde{F}, F\tilde{F} \rangle_{p \rightarrow 0} \equiv$$

$$\equiv \lim_{p \rightarrow 0} \int d^4x e^{ipx} \langle T[F\tilde{F}(x), F\tilde{F}(0)] \rangle = \text{const} \neq 0$$

Then, Källén-Lehmann spectral representation:

$$\langle C, C \rangle = \frac{1}{p^2} + \sum_{m \neq 0} \frac{\rho(m^2)}{p^2 - m^2}$$

man len 3-form!

The exact vacuum
is captured by effective theory:

$$\mathcal{L} = \mathcal{K}(E)$$

Algebraic function of $E \equiv dC$

Equation of motion

$$\partial_\mu \left(\frac{\partial \mathcal{K}}{\partial E} \right) = 0$$

Vacuum solution is arbitrary constant

$$E = \bar{\Theta} \leftarrow$$

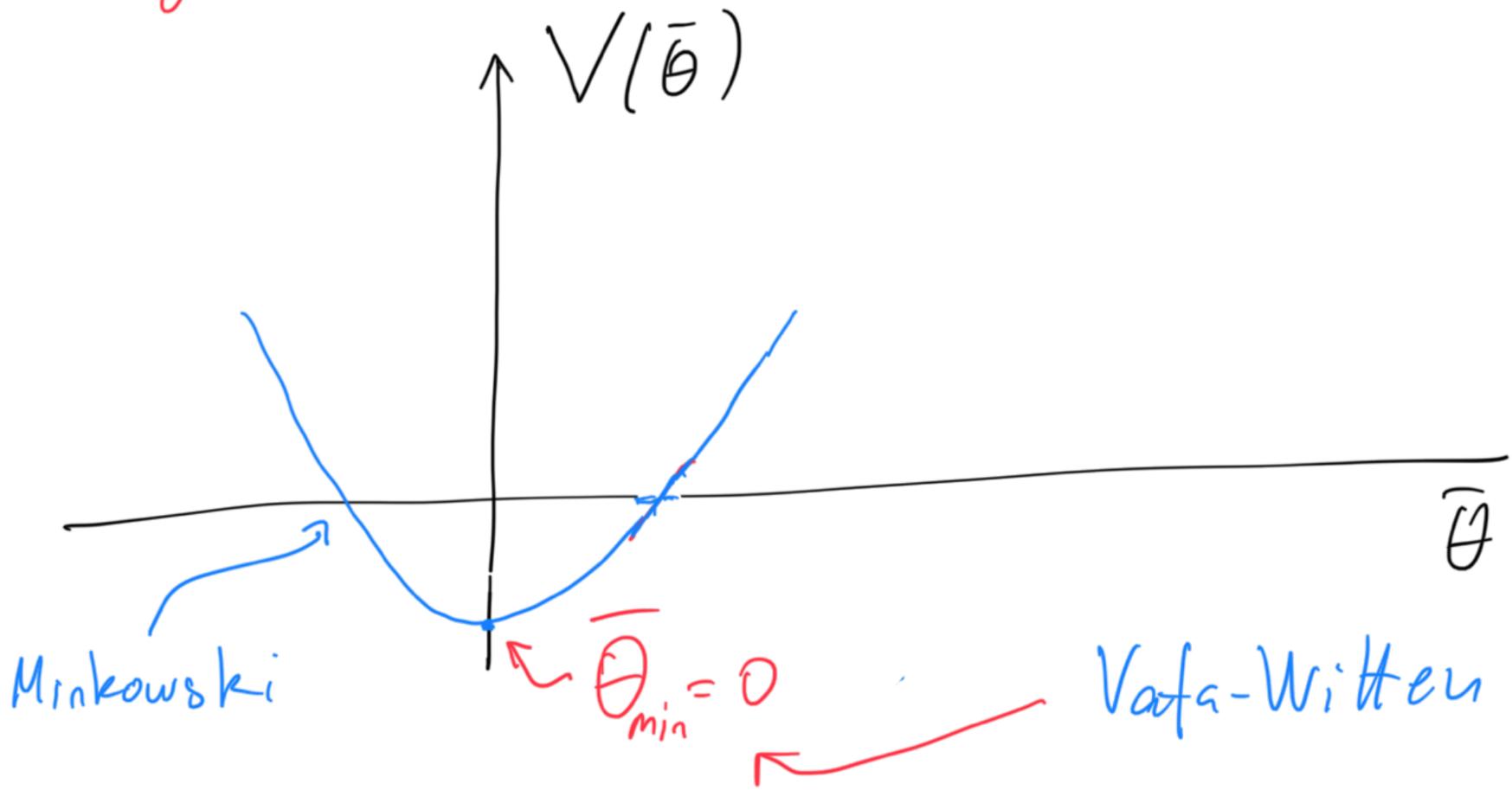
constant "electric" field of massless

3-form C

These are the celebrated

Θ -vacua of QCD

The Θ -vacua are not degenerate



If one $\bar{\Theta}$ is Minkowski,
the others are not.

This is excluded by S-matrix
gravity:

Θ -vacua must be eliminated
by consistency.

G.D, Gomez, Zell '18
G.D, '22

Gravity = Axion.

Must be exact!

Axion (Weinberg; Wilczek '78)

eliminates $\bar{\theta}$ -vacua by making $\bar{\theta}$ dynamical:

$$\mathcal{L}_a = (\partial_\mu a)^2 - \left(\frac{a}{f_a} - \bar{\theta} \right) F \tilde{F}$$

Equivalently, it Higgses the 3-form
C.D., hep-th/0507215

~~$$\langle cc \rangle = \frac{1}{p^2} + \sum_{m \neq 0} \frac{P(m^2)}{p^2 - m^2}$$~~

But for this, the axion shift
symmetry $a \rightarrow a + \text{const.}$

must be protected exactly modulo
QCD anomaly.

In Peccei-Quinn:

$$\Phi = |\bar{\Phi}| e^{i \frac{a}{f_a}}$$

Global $U(1)_{PQ}$ - symmetry.

$$\Phi \rightarrow e^{i\alpha} \Phi \rightarrow \frac{a}{f_a} \rightarrow \frac{a}{f_a} + \alpha$$

Not protected against explicit breaking!

E.g. by operators:

$$(\Phi^\dagger)^m \Phi^n \quad m \neq n.$$

S-matrix demands that they must vanish to all-orders.

In Peccei-Quinn formulation of axion as of Goldstone of global $U(1)_{PQ}$

$$\Phi = |\Phi| e^{i \frac{a}{f_a}},$$

$\bar{\Theta}$ is uncalculable:

It is sensitive to arbitrary continuous deformations of the theory by $U(1)_{PQ}$ -violating operators

$$(\Phi^\dagger)^m \Phi^n \quad m \neq n$$

This is incompatible with

S -matrix (and thus, with gravity).

This favors the alternative
pure-gauge formulation of

QCD axion: G.D., hep-th/0507215

All we need is to introduce a
single degree of freedom $B_{\mu\nu}$,
with a proper gauge charge under
QCD:

$$B_{\mu\nu} \rightarrow B_{\mu\nu} + \frac{1}{f_a} \Omega_{\mu\nu}$$

$$C_{\alpha\mu\nu} \rightarrow C_{\alpha\mu\nu} + \partial_{[\alpha} \Omega_{\mu\nu]}$$

$$\Omega_{\mu\nu}^{(x)} = \text{tr} \underbrace{A_{[\mu} \partial_{\nu]} \omega^{(x)}}_{\rightarrow}$$

QCD gauge redundancy

In this theory the axion is an intrinsic part of QCD.

It is protected by gauge symmetry under arbitrary local deformation of the theory.

Theory:

$$L = L_{QCD} + \bar{\theta} F \tilde{F} + \frac{1}{f_a^2} (C - f_a dB)^2$$

$\bar{\theta}$ is a physical to all orders in operator expansion

Axion $B_{\mu\nu}$ becomes a longitudinal (Stückelberg) polarization of the 3-form $C_{\mu\nu\alpha}$ and they compose a massive 3-form

$$C_{\mu\nu\alpha}^{(\text{massive})} \equiv C_{\mu\nu\alpha} - f_a \partial_{[\mu} B_{\nu\alpha]}$$

3-form is "Higgsed"
and

the pole at $p^2 = 0$ is removed:

$$\langle c c \rangle = \frac{1}{p^2 + M_a^2} + \dots$$

Correspondingly, $\bar{\Theta}$ is unphysical against arbitrary deformations.

EFT:

$$h = K(E) + \bar{\theta} E + \frac{1}{f_a^2} (C - \int_a d\mathcal{B})^2$$

$$\partial^\mu \frac{\partial K}{\partial E} + \frac{1}{f_a^2} * (C - d\mathcal{B})_\mu = 0$$

$$\partial^\mu * (C - d\mathcal{B})_\mu = 0$$

vacuum:

$$E = 0 \iff \bar{\theta} \text{ unphysical}$$

This is insensitive to deformation by arbitrary local operators.

General proof, see, G.D., hep-th/0507215

for some explicit examples, see:

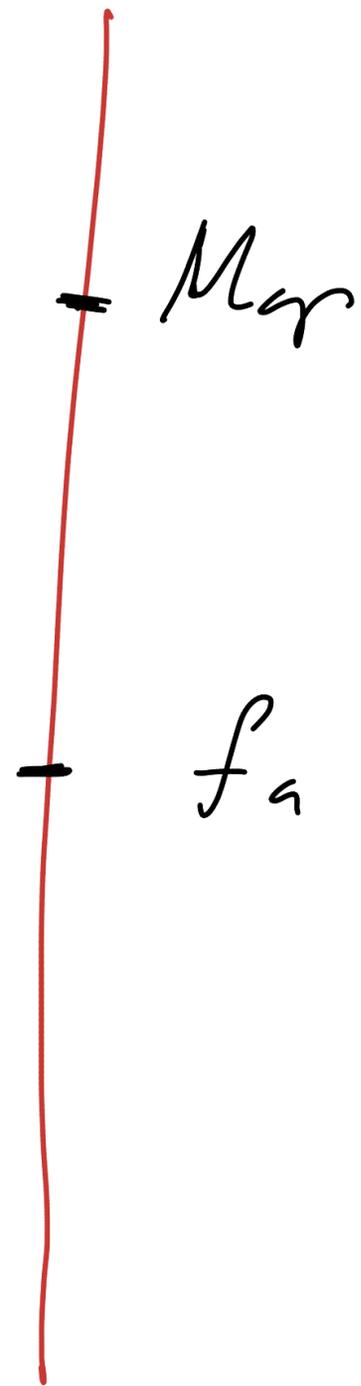
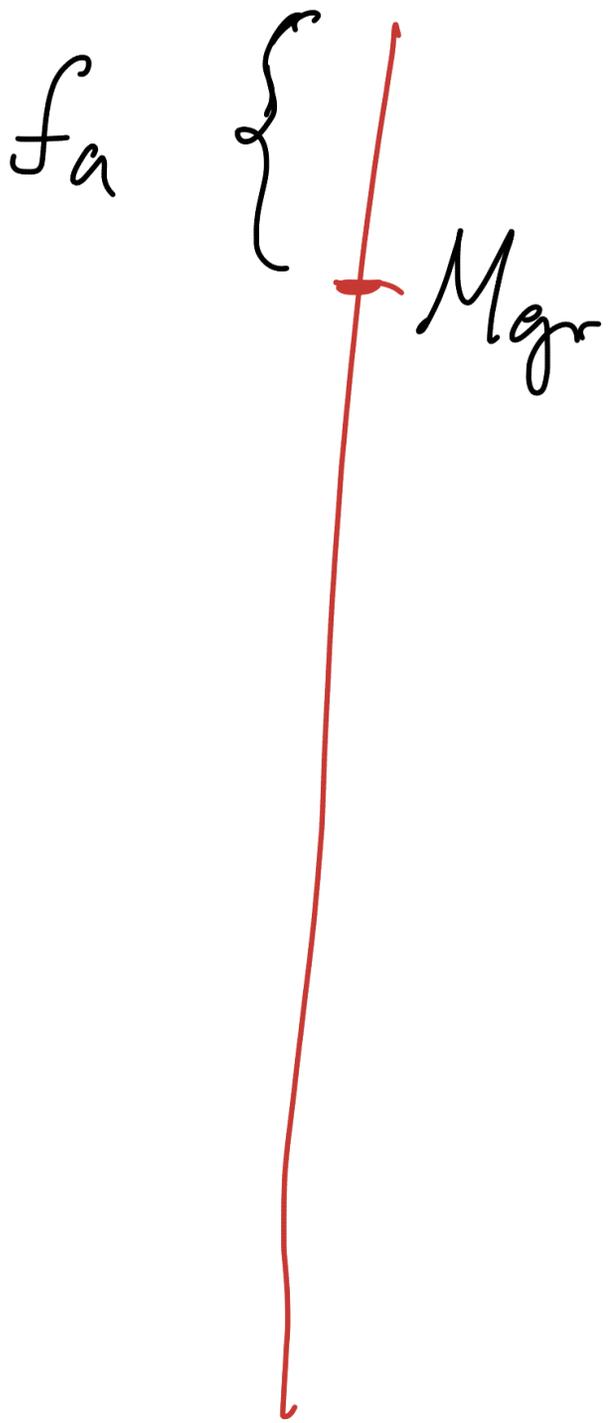
Sakharashvili, 2110.03386
[hep-th].

Thus, S-matrix motivates
gauge formulation of QCD
axion. This suggests that

$$f_a \gtrsim M_{\text{pl}} \equiv \text{scale of gravity}$$

Gauge axion:

Peccei-Quinn



The advantage in calculability:

Gauge axion predicts: $\bar{\theta} = 0$.

The weak contribution to EDMN is too small for near-future detection

$$d_n \sim 10^{-31-32} \text{ cm} \quad \text{Shahalin '79}$$
$$\text{Ellis, Gaillard '79}$$

Thus, a near-future detection of EDMN will be a signal for new CP-violating physics beyond Standard Model:

$$L = K(E) + M_a^2 (C + \tilde{C} - dB)^2$$

$$+ \tilde{K}(\tilde{E})$$

$$\hookrightarrow \tilde{E} \equiv d\tilde{C}$$

A candidate in gravity, gravitational Chern-Simons

$$\tilde{C} \equiv \Gamma \wedge d\Gamma + \frac{2}{3} \Gamma \wedge \Gamma \wedge \Gamma$$

$$\tilde{E} \equiv d\tilde{C} = R\tilde{R} = \epsilon^{\alpha\beta\mu\nu} R_{j\alpha\beta}^i R_{i\mu\nu}^j$$

If in pure gravity $\langle R\tilde{R}, R\tilde{R} \rangle_{g \rightarrow 0} \neq 0$,

gravity must provide additional

axion.

OR

anomalous chiral symmetry of
neutrino

$$\nu \rightarrow e^{i\alpha\gamma_5} \nu$$

can neutralize TVS of gravity,
resulting into $M_\nu \neq 0$.

G.P., Folkerts, Franca 1312.7273 [hep-th]

G.P., Funke, 1602.03191 [hep-ph]

Each 3-form provided by gravity
must be accompanied either by axion
or a chiral fermion.

QLD axion is safe by
consistency of S-matrix gravity.