

CP Violation in Effective Field Theory

*How many BSM sources of CPV?
How large can they be?*

Based on:

1. Q. Bonnefoy (DESY), E. Gendy (UHH), CG and J. Ruderman (NYU)
arXiv: 2112.03889 *“Beyond Jarlskog: 699 invariants for CP violation in SMEFT”*.
2. Q. Bonnefoy (DESY), CG, J. Kley (DESY)
arXiv: 2206.04182 *“The shift-invariant orders of an ALP”*.
3. Q. Bonnefoy (DESY), E. Gendy (UHH), CG and J. Ruderman (NYU)
arXiv: 2302.07288 *“Opportunistic CP violation”*.



Thank You

I'm glad that MITP exists

- I'm benefiting a lot from the various events organised.
- It gives me an example of a successful story when I'm arguing with the administration at DESY about the need and the benefits of having a strong Centre for Theoretical Physics.

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The problem is Matthias... because the directors keep repeating me

- Try to be as good as Matthias first.
- Then you can think of a Centre.

Outline

1) CP violation:

- The collective nature of **CPV**: real vs. imaginary interactions?
- The (flavour-)invariant measures of CPV
- Beyond Jarlskog: the 699 (minimal) CPV invariants of SMEFT₆
- Opportunistic CP violation: new interference with CKM phase.

2) ALP shift symmetry

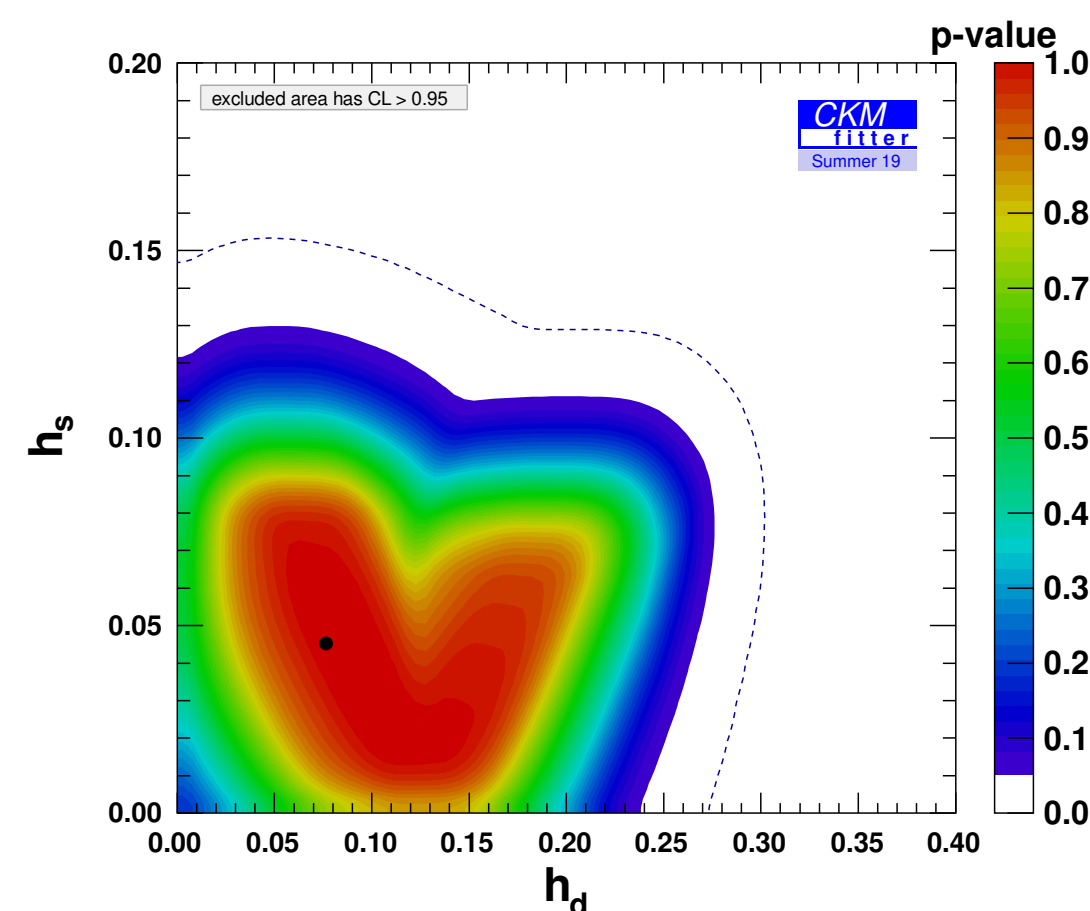
- Beyond Jarlskog: the 13 invariants of **ALP** shift-symmetry breaking
- The collective nature of shift-symmetry breaking
- RG invariance of the invariants

Note 1: I'll consider only heavy/decoupling new physics

Note 2: I'll assume that $SU(2) \times U(1)$ is linearly realised above the weak scale, i.e. SMEFT rather than HEFT. Our construction can be generalised but we haven't gone through this exercise (yet). I'll also assume that possible B and L violating effects are pushed to a high scale irrelevant for our discussion.

Part I. Does new physics break CP?

- Unlike B & L numbers, CP is not an accidental symmetry of SM₄
- But its violation is “screened” by the CKM selection rules (see next slides). Not large enough for baryogenesis.
- BSM CPV effects can be O(1) in most loop-level FCNC processes



$$h e^{2i\sigma} = A_{\text{NP}}(B^0 \rightarrow \bar{B}^0) / A_{\text{SM}}(B^0 \rightarrow \bar{B}^0)$$

NP parameters

$$\frac{C_{ij}^2}{\Lambda^2} (\bar{q}_{i,L} \gamma_\mu q_{j,L})^2 \rightarrow h \simeq \frac{|C_{ij}|^2}{|V_{ti}^* V_{tj}|^2} \left(\frac{4.5 \text{ TeV}}{\Lambda} \right)^2$$

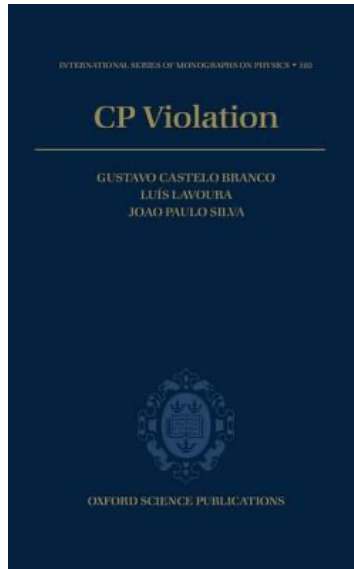
| Couplings | NP loop order | Sensitivity for Summer 2019 [TeV] | | Phase I Sensitivity [TeV] | | Phase II Sensitivity [TeV] | |
|----------------------------------------------|---------------|-----------------------------------|-----------------|---------------------------|-----------------|----------------------------|-----------------|
| | | B_d mixing | B_s mixing | B_d mixing | B_s mixing | B_d mixing | B_s mixing |
| $ C_{ij} = V_{ti} V_{tj}^* $ (CKM-like) | tree level | 9 | 13 | 17 | 18 | 20 | 21 |
| | one loop | 0.7 | 1.0 | 1.3 | 1.4 | 1.6 | 1.7 |
| $ C_{ij} = 1$ (no hierarchy) | tree level | 1×10^3 | 3×10^2 | 2×10^3 | 4×10^2 | 2×10^3 | 5×10^2 |
| | one loop | 80 | 20 | 2×10^2 | 30 | 2×10^2 | 40 |

Charles et al. '20

- On the other hand, there are already strong (indirect) constraints, e.g., EDMs
- **We need a map/guide to explore CPV effects:**
 - What are the BSM sources of CPV?
 - What could be their sizes?
 - What should be the structure of CPV to allow new physics still accessible at colliders? MCPV?

CPV in SM₄

CPV comes from mixing among quarks and the resulting couplings to W



$$\mathcal{L}_{\text{mix}} = \frac{e}{\sqrt{2} \sin \theta_w} \left[W_{\mu}^{+} \bar{u} V \gamma^{\mu} \left(\frac{1 - \gamma_5}{2} \right) d + W_{\mu}^{-} \bar{d} V^{\dagger} \gamma^{\mu} \left(\frac{1 - \gamma_5}{2} \right) u \right]$$

Proper \downarrow CP

$$\frac{e}{\sqrt{2} \sin \theta_w} \left[W_{\mu}^{+} \bar{u} (V^{\dagger})^T \gamma^{\mu} \left(\frac{1 - \gamma_5}{2} \right) d + W_{\mu}^{-} \bar{d} V^T \gamma^{\mu} \left(\frac{1 - \gamma_5}{2} \right) u \right]$$

Phases in CKM (can) break CP!

See for instance, G. Branco et al.

Are Phases a Sign of CPV?

Only after exhausting all flavour symmetries!

$$V_{\text{CKM}} = \begin{pmatrix} \frac{72-21i}{325} & \frac{4}{13} & -\frac{12i}{13} \\ -\frac{12}{13} & \frac{576+168i}{1625} & \frac{49-168i}{65} \\ -\frac{96-28i}{325} & -\frac{57}{65} & -\frac{24i}{65} \end{pmatrix}$$

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phases absorbed by redefining quark fields

no complex phase after appropriate phase shifts of quark fields

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if $m_u=m_c$,

enlarged U(2) flavour symmetry

that can be used to remove phase in CKM

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~~CPV \leftrightarrow \exists phase in Lagrangian parameters~~

The SM₄ Collective CPV

The well-known KM counting

Kobayashi and Maskawa '73

Y_u

$(9R + 9I)$

Y_d

$(9R + 9I)$

$SU(3)_Q$

$SU(3)_u$

$SU(3)_d$

$U(1)_u$

$U(1)_d$

$U(1)_B$

3

$\bar{3}$

1

1

0

0

3

1

$\bar{3}$

0

1

0

$3R+5I$

$3R+5I$

$3R+5I$

$1I$

$1I$

$1I$

†

The SM₄ Collective CPV

The well-known KM counting

Kobayashi and Maskawa '73

Y_u $(9R + 9I)$
 Y_d $(9R + 9I)$

➔

| $SU(3)_Q$ | $SU(3)_u$ | $SU(3)_d$ | $U(1)_u$ | $U(1)_d$ | $U(1)_B$ |
|------------|-----------|-----------|----------|----------|----------------------------|
| 3 | $\bar{3}$ | 1 | 1 | 0 | 0 |
| 3 | 1 | $\bar{3}$ | 0 | 1 | 0 |
| <hr/> | | | | | |
| $3R+5I$ | $3R+5I$ | $3R+5I$ | $1I$ | $1I$ | $1I$ |
| <hr/> | | | | | |
| $9R + 17I$ | | | | | |

➔

physical
 $9R + 1I$

†

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| | $SU(3)_Q$ | $SU(3)_u$ | $SU(3)_d$ | $U(1)_u$ | $U(1)_d$ | $U(1)_B$ | |
|-------------------|------------|-----------|-----------|----------|----------|----------------------------|-------------------------------------------------------------|
| $Y_u \ (9R + 9I)$ | 3 | $\bar{3}$ | 1 | 1 | 0 | 0 | <div> physical $9R + 1I$ </div> |
| $Y_d \ (9R + 9I)$ | 3 | 1 | $\bar{3}$ | 0 | 1 | 0 | |
| | $3R+5I$ | $3R+5I$ | $3R+5I$ | $1I$ | $1I$ | $1I$ | |
| | $9R + 17I$ | | | | | | |

- The position of this physical phase is (flavour)-basis dependent, e.g.
 - Up-basis: $Y_u = \text{diag}$, $Y_d = V_{\text{CKM}} \cdot \text{diag}$
 - Down-basis: $Y_u = V_{\text{CKM}}^\dagger \cdot \text{diag}$, $Y_d = \text{diag}$
 - many other choices of flavour bases

The SM₄ Collective CPV

The well-known KM counting

Kobayashi and Maskawa '73

| | $SU(3)_Q$ | $SU(3)_u$ | $SU(3)_d$ | $U(1)_u$ | $U(1)_d$ | $U(1)_B$ | |
|-----------------|------------|-----------|-----------|----------|----------|----------------------------|-----------------------|
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standard parametrisation
(particular choice of flavour basis)

$$\begin{aligned}
 V_{\text{CKM}} &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \\
 &= \begin{pmatrix} c_{12}c_{13} & c_{13}s_{12} & s_{13}e^{-i\delta_{\text{CKM}}} \\ -c_{23}s_{12} - c_{12}s_{13}s_{23}e^{i\delta_{\text{CKM}}} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta_{\text{CKM}}} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{\text{CKM}}} & -c_{12}s_{23} - c_{23}s_{12}s_{13}e^{i\delta_{\text{CKM}}} & c_{13}c_{23} \end{pmatrix}
 \end{aligned}$$

Jarlskog Invariant

Jarlskog '85

see also Bernabeu, Branco, Gronau '86

The SM CPV order

- The lowest order flavour invariant sensitive to CPV

$$J_4 = \text{ImTr} \left([Y_u Y_u^\dagger, Y_d Y_d^\dagger]^3 \right)$$

- Explicitly

$$J_4 = \underbrace{6c_{12}s_{12}c_{13}^2s_{13}c_{23}s_{23}}_{\mathcal{O}(\lambda^6)} \underbrace{(y_c^2 - y_u^2)(y_t^2 - y_u^2)(y_t^2 - y_c^2)(y_s^2 - y_d^2)(y_b^2 - y_d^2)(y_b^2 - y_s^2)}_{\mathcal{O}(\lambda^{30})} \underbrace{\sin \delta}_{\mathcal{O}(\lambda^0)}$$

Wolfenstein parametrisation

$$V_{\text{CKM}} = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4) \quad \lambda \sim 0.22$$

Wolfenstein '83

- Even if $\delta \sim \mathcal{O}(1)$, large suppression effects due to collective nature of CPV
- Important property: **CP is conserved iff $J_4=0$** (neglecting θ_{QCD} for now)

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exercise 1: check that indeed J_4 vanishes on the two examples of previous slide (one need $m_u=m_c$ for the second one!)

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Jarlskog '85

see also Bernabeu, Branco, Gronau '86

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exercise 2: check that for $N_F=2$, J_4 always vanishes

BSM CPV is also a Collective Effect

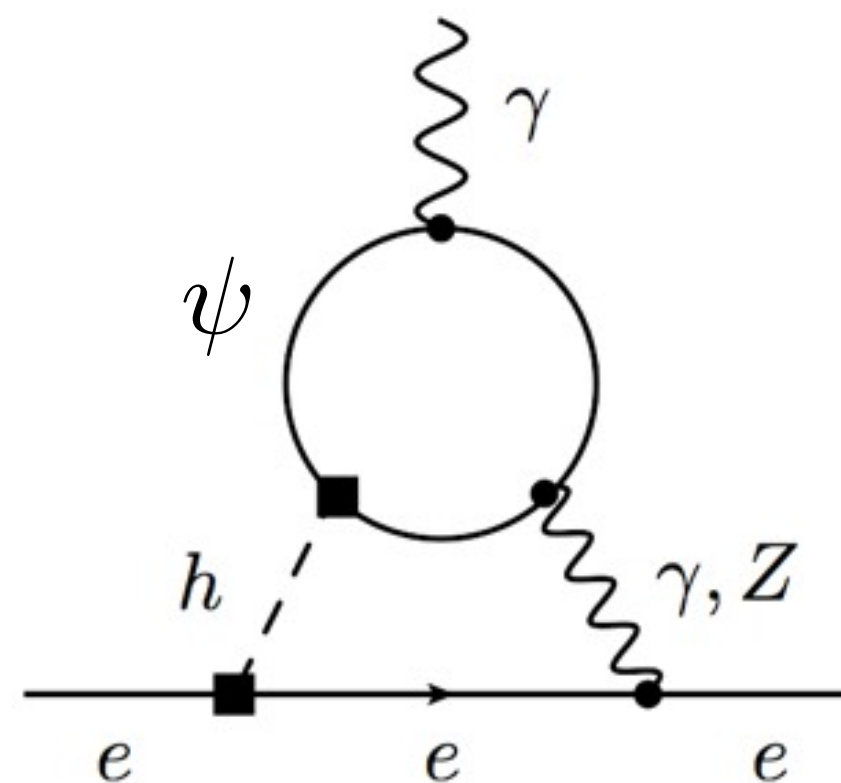
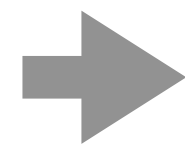
The example of electron EDM

- “Imaginary” Yukawa coupling gives rise to eEDM through Barr-Zee diagram

$$\mathcal{L} = Y_u \bar{Q} \tilde{H} U + C_{uH} |H|^2 \bar{Q} \tilde{H} U$$

$$\mathcal{L} = y h \bar{\psi} \psi$$

$$y_u = \frac{\sqrt{2} m_u}{v} (1 + C_{uH} v^2 / \Lambda^2)$$



Brod, Haisch, Zupan '13

$$\frac{d_e}{e} = -\frac{1}{48\pi^2} \frac{v m_e m_u}{m_h^2} \frac{\text{Im}(C_{uH})}{\Lambda^2} F_1\left(\frac{m_u^2}{m_h^2}, 0\right)$$

BSM CPV is also a Collective Effect

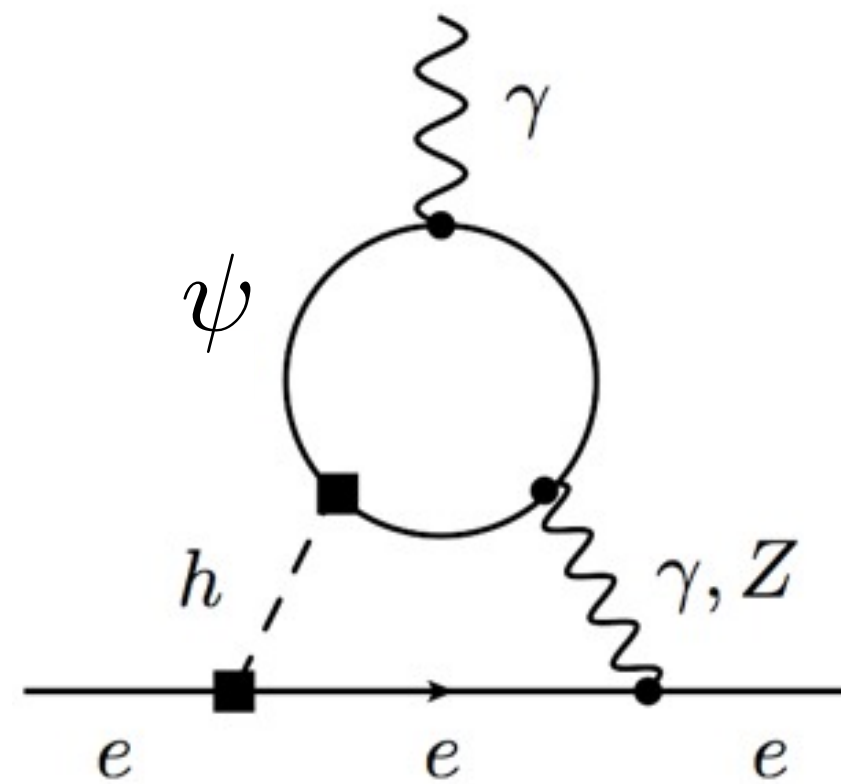
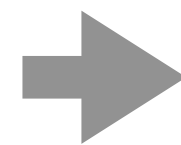
The example of electron EDM

- “Imaginary” Yukawa coupling gives rise to eEDM through Barr-Zee diagram

$$\mathcal{L} = Y_u \bar{Q} \tilde{H} U + C_{uH} |H|^2 \bar{Q} \tilde{H} U$$

$$\mathcal{L} = y h \bar{\psi} \psi$$

$$y_u = \frac{\sqrt{2} m_u}{v} (1 + C_{uH} v^2 / \Lambda^2)$$



Brod, Haisch, Zupan '13

~~$$\frac{d_e}{e} = -\frac{1}{48\pi^2} \frac{v m_e m_u}{m_h^2} \frac{\text{Im}(C_{uH})}{\Lambda^2} F_1\left(\frac{m_u^2}{m_h^2}, 0\right)$$~~

$$\frac{d_e}{e} = -\frac{1}{48\pi^2} \frac{v m_e}{m_h^2} \frac{\text{Im}(m_u^* C_{uH})}{\Lambda^2} F_1\left(\frac{|m_u|^2}{m_h^2}, 0\right)$$

- The Yukawa can be made real by chiral rotation: $\psi \rightarrow e^{i\theta\gamma^5} \psi$
- The “phase” will appear in the mass
- The CPV effect is captured by $\text{Im}(y^\dagger \cdot m)$, which is invariant under chiral rotation

Trivial here, but can get complicated: flavour indices, links to UV parameters...

Dim-6 Yukawa's Contribution to EDMs

CP doesn't say Wilson coefficients are real

$$\mathcal{L} = \underbrace{Y_u}_{\substack{3 \times 3 \text{ complex} \\ (9R+9I)}} \bar{Q} \tilde{H} U + \underbrace{C_{uH}}_{\substack{3 \times 3 \text{ complex} \\ (9R+9I)}} |H|^2 \bar{Q} \tilde{H} U \quad \rightarrow \quad \underbrace{g_{huu}^{ij}}_{Y_u^{ij} + 3v^2 C_{uH}^{ij}} h \bar{u}_i u_j$$

One can choose $U(3)_Q \times U(3)_U$ transformations to make C_{uH} (or g_{huu}) *real*

CPV effects $\overset{!}{\leftrightarrow}$ **Im C_{uH}**

Phases can be moved to mass matrices — even in mass basis, \exists residual $U(1)$'s to move phase around
(flavour basis fully specified by the location of the phase in the CKM matrix)

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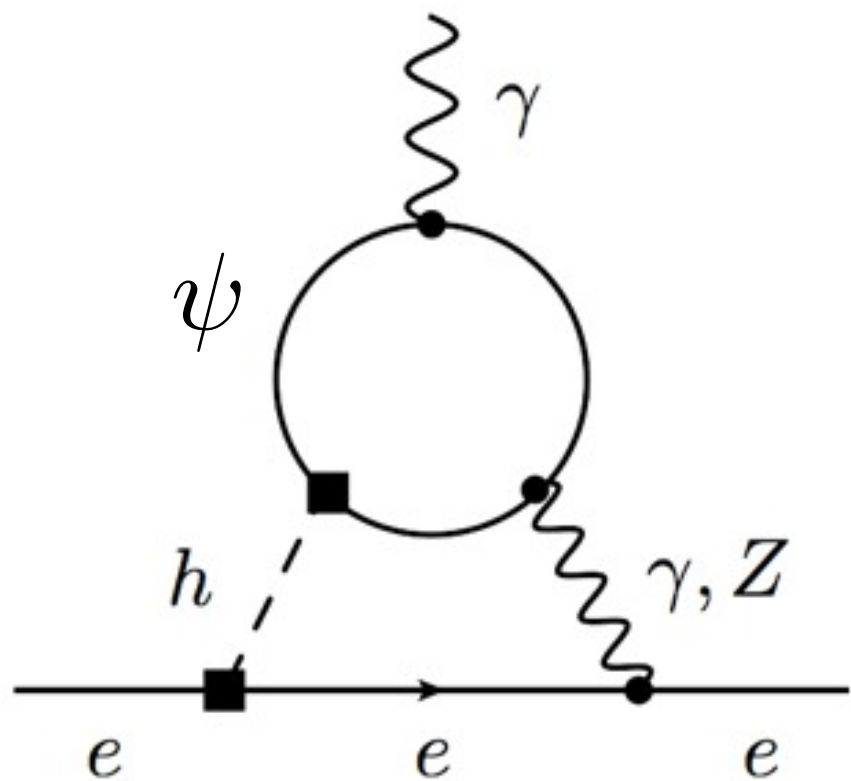
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(only diagonal phases can contribute at 2-loops because no FCNC in SM)



$$\frac{d_e}{e} \propto \frac{\alpha y_e}{16\pi^3} (a I_1 + b I_2 + c I_3)$$

with

$$I_n = \text{Im} \text{Tr} \left(Y_u^\dagger (Y_u Y_u^\dagger)^n C_{uH} \right)$$

a, b, c functions of Y_u only

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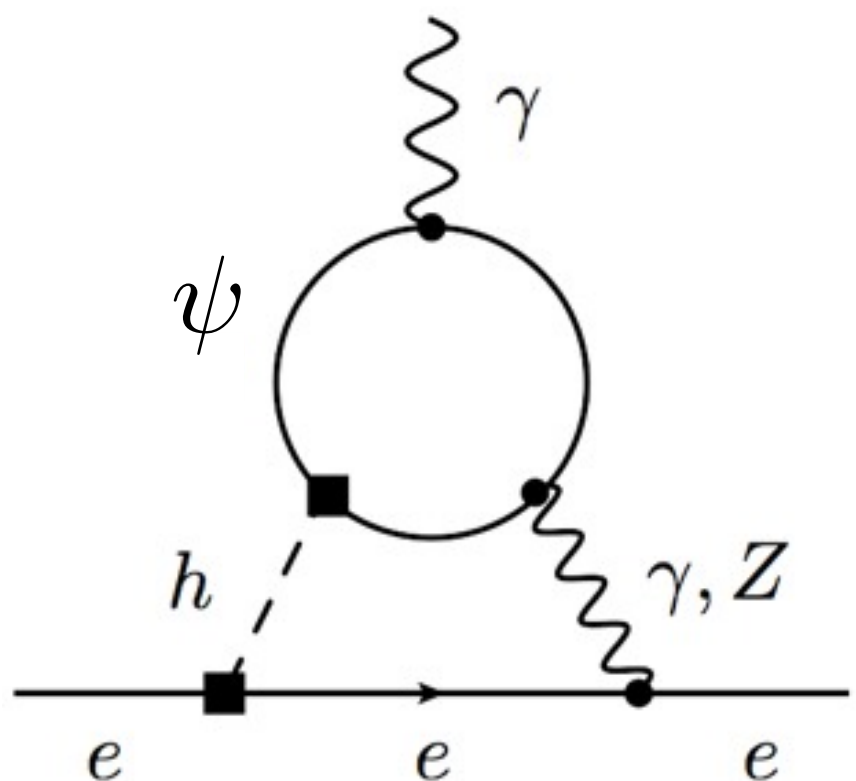
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- How many?
- How many constraints should we impose to ensure CP is conserved?

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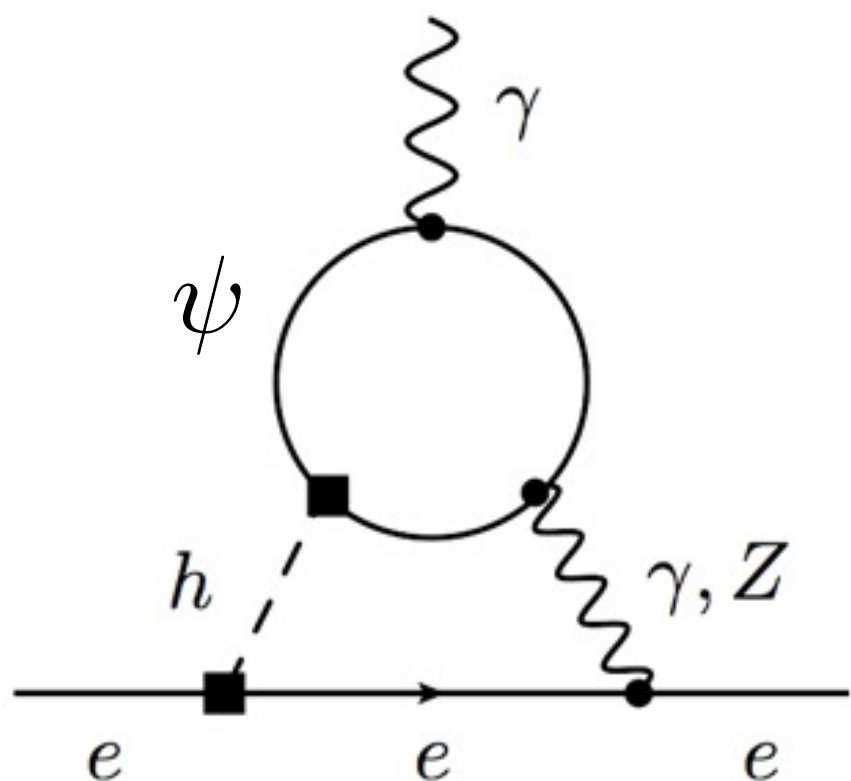
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~~CP \leftrightarrow C_{uH} real matrix~~

Beyond Jarlskog

Necessary and sufficient conditions for CPV?

$$\mathcal{A} = \mathcal{A}^{(4)} + \mathcal{A}^{(6)} + \dots \Rightarrow |\mathcal{A}^{(4)}|^2 + 2\text{Re} \left(\mathcal{A}^{(4)} \mathcal{A}^{(6)*} \right)$$

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CP iff $J_4=0$ & ???

How many conditions?

Any relation with the number of phases that can appear in L_{SM6} ?

Beyond Jarlskog: Building SM₆ invariants

Examples of invariants from with bilinear operators

- For each operators, e.g. the dim-6 Yukawa operators, we can build a series of CP-odd invariants:

$$I_{u_1 \dots d_k} = \text{Im} \text{Tr} \left(Y_u^\dagger (Y_u Y_u^\dagger)^{u_1} (Y_d Y_d^\dagger)^{d_1} \dots (Y_u Y_u^\dagger)^{u_k} (Y_d Y_d^\dagger)^{d_k} C_{uH} \right)$$

- Of course, they are not all independent:

e.g., for 3 families,
$$I_3 = \text{Tr} (Y_u Y_u^\dagger) I_2 + \frac{1}{2} \left(\text{Tr} \left((Y_u Y_u^\dagger)^2 \right) - \text{Tr}^2 (Y_u Y_u^\dagger) \right) I_1$$

- Only need to consider only a finite set of invariants:

Cayley-Hamilton:
$$A^3 = A^2 \text{Tr}(A) - \frac{1}{2} A [\text{Tr}(A)^2 - \text{Tr}(A^2)] + \frac{1}{6} [\text{Tr}(A)^3 - 3 \text{Tr}(A^2) \text{Tr}(A) + 2 \text{Tr}(A^3)] \mathbb{I}_{3 \times 3}$$

→ enough to consider
$$\text{Tr} (X_u^a X_d^b X_u^c X_d^d C)$$

a,b,c,d=0,1,2, a≠b,c≠d

$$X_{u/d} = Y_{u/d} Y_{u/d}^\dagger$$

Can find a basis of invariants linearly independent from each others (see backup)

Opportunistic CP violation

Opportunistic CPV = interference with CKM phase

- If $J_4=0$, we can find 699 independent invariants \Rightarrow **minimal** basis of invariants.

“CP is conserved iff J_4 and the invariants of the minimal basis are all vanishing”

- If $J_4 \neq 0$, we can actually build more invariants! Not surprising, because CP-even BSM can interfere with CP-odd SM. But what was maybe unexpected is that many of these interfering invariants can be much larger than $J_4 \rightarrow$ **maximal** basis of invariants.

dim (maximal basis) = number of physical (real and imaginary) parameters
that can interfere with SM
and thus can show up in observables at leading $O(1/\Lambda^2)$

Opportunistic CPV relies on interference with SM phase but it doesn't
have to suffer from the same collective suppression!

How many independent invariants at a given order in Cabibbo expansion?

Taylor Rank

$$\text{Taylor Rank}_{|\epsilon^n} (M) = \min_{N=M+\mathcal{O}(\epsilon^{n+1})} \text{Rank} (N)$$

$$M = \begin{pmatrix} 1 & \epsilon \\ \epsilon & \epsilon^2 \end{pmatrix}$$

$$\text{Taylor Rank}_{|\epsilon^0} = 1 = \text{Rank} (M_{|\epsilon^0})$$

$$\text{Taylor Rank}_{|\epsilon^1} = 1 \neq \text{Rank} (M_{|\epsilon^1}) = 2$$

Scaling of Collective CPV BSM Effects

The new invariants don't suffer from the same suppression factors

- The invariants can be evaluated in e.g. the up-flavour basis:

dim.6
up-Yukawa
operator

$$I_n = \underbrace{y_u^{2n+1}}_{\mathcal{O}(\lambda^{16n+8})} \eta_u + \underbrace{y_c^{2n+1}}_{\mathcal{O}(\lambda^{8n+4})} \eta_c + \underbrace{y_t^{2n+1}}_{\mathcal{O}(\lambda^0)} \eta_t$$

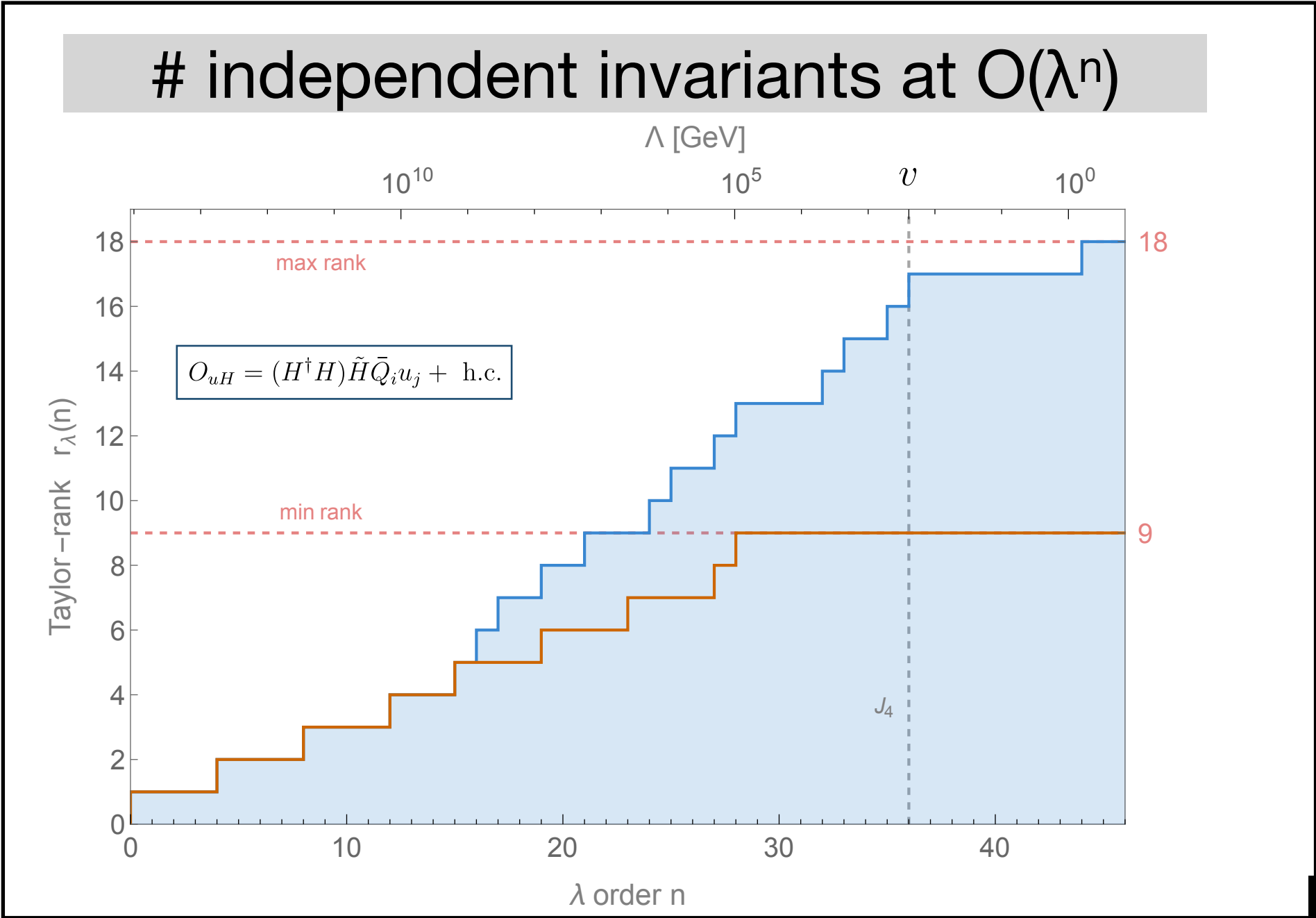
$$I_{1,1} = \underbrace{c_{13} c_{23} s_{13} s_\delta}_{\mathcal{O}(\lambda^3)} \underbrace{(y_b^2 - c_{12}^2 y_d^2 - s_{12}^2 y_s^2)}_{\mathcal{O}(\lambda^6)} y_t \rho_{ut} + \dots$$

$$I_n = \text{Im Tr} \left(Y_u^\dagger (Y_u Y_u^\dagger)^n C_{uH} \right)$$

$$I_{1,1} = \text{Im Tr} \left(Y_u^\dagger (Y_u Y_u^\dagger) (Y_d Y_d^\dagger) C_{uH} \right)$$

- The BSM invariants are suppressed by scale of new physics
- but not necessarily by small Yukawa/mixing angles as J₄

$$I \sim \lambda^n \frac{v^2}{\Lambda^2}$$



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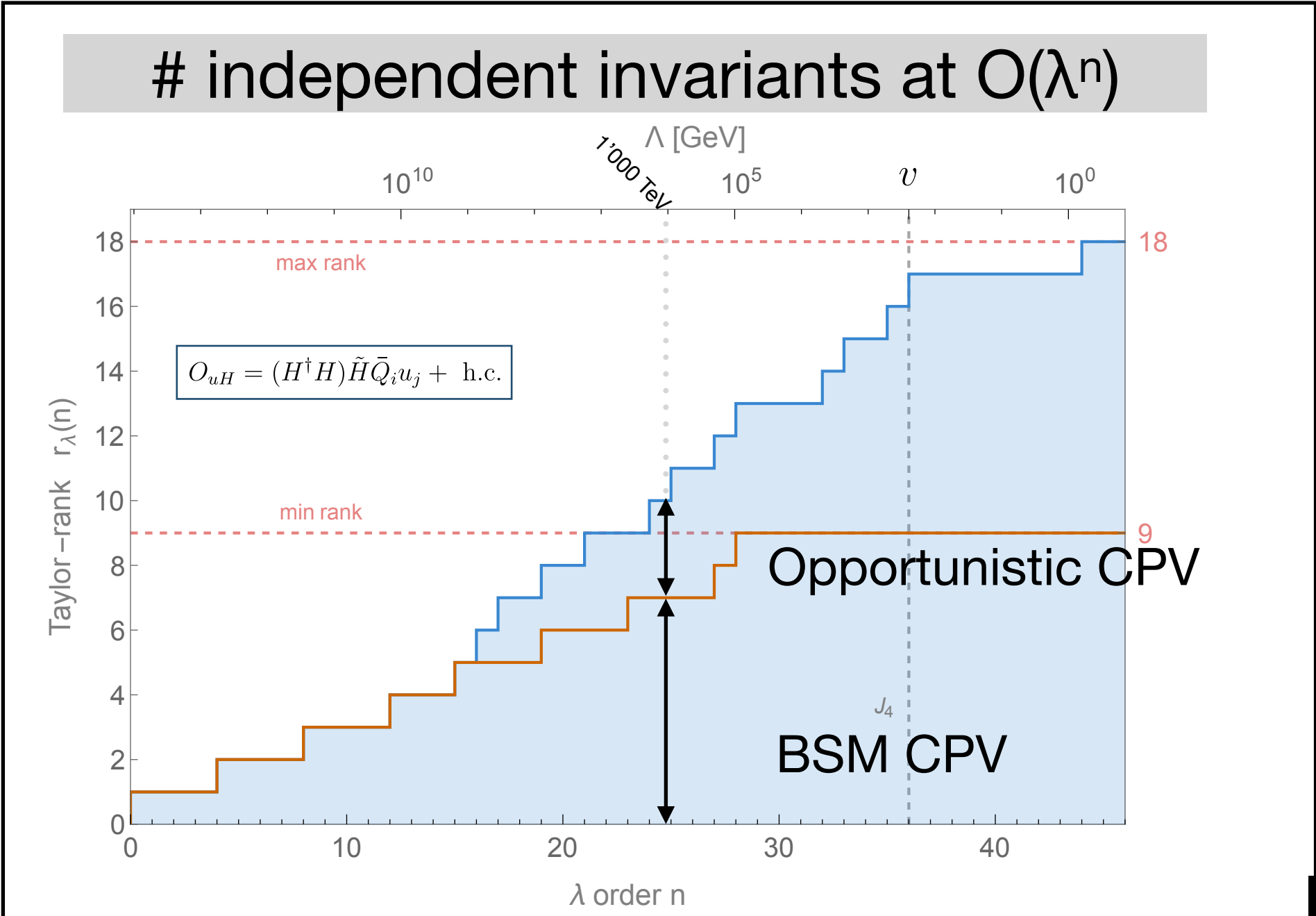
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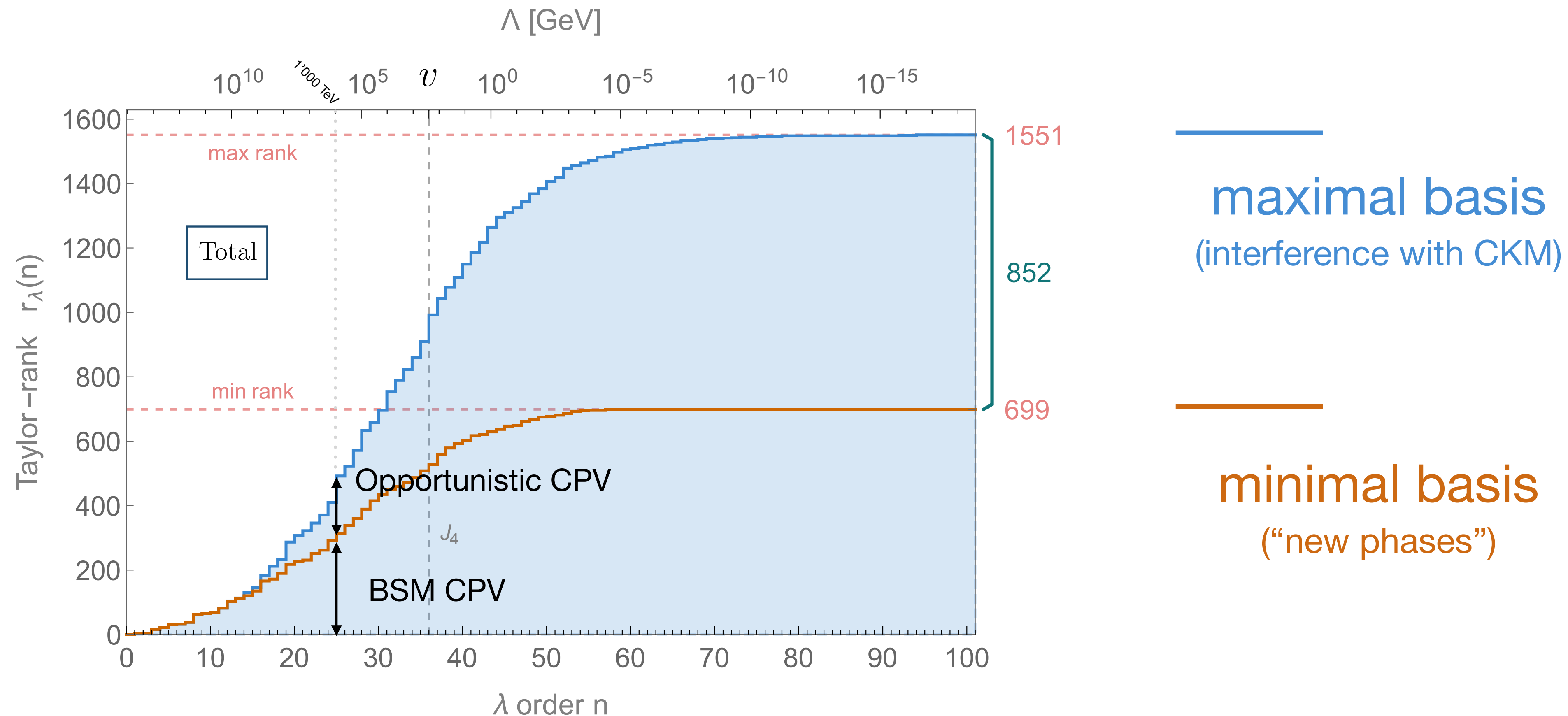
$$I \sim \lambda^n \frac{v^2}{\Lambda^2} > J_4 \sim \lambda^{36} \leftrightarrow \Lambda < \lambda^{n/2-18} v$$

$\Lambda \sim 1'000 \text{ TeV} \rightarrow$
7 BSM and 3 Opportunistic invariants larger than J_4



Scaling of Collective CPV BSM Effects

independent invariants at $O(\lambda^n)$ for dim-6 operators



$\Lambda \sim 1'000$ TeV \rightarrow ~ 250 BSM and ~ 250 Opportunistic invariants larger than J_4

Models of Flavours

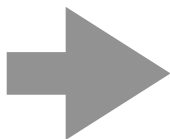
Beyond generic flavour model: MFV

- Other constraints from CP-even observables: totally flavour generic/anarchic dim-6 operators are severely constrained. How do additional flavour structure affect the orders of CPV computed above in the generic case?
- Let's first stick to the canonical flavour “model”: Minimal Flavour Violation

$$c_{uH} = aY_u + b \left(Y_u Y_u^\dagger \right) Y_u + c \left(Y_d Y_d^\dagger \right) Y_u + \dots$$

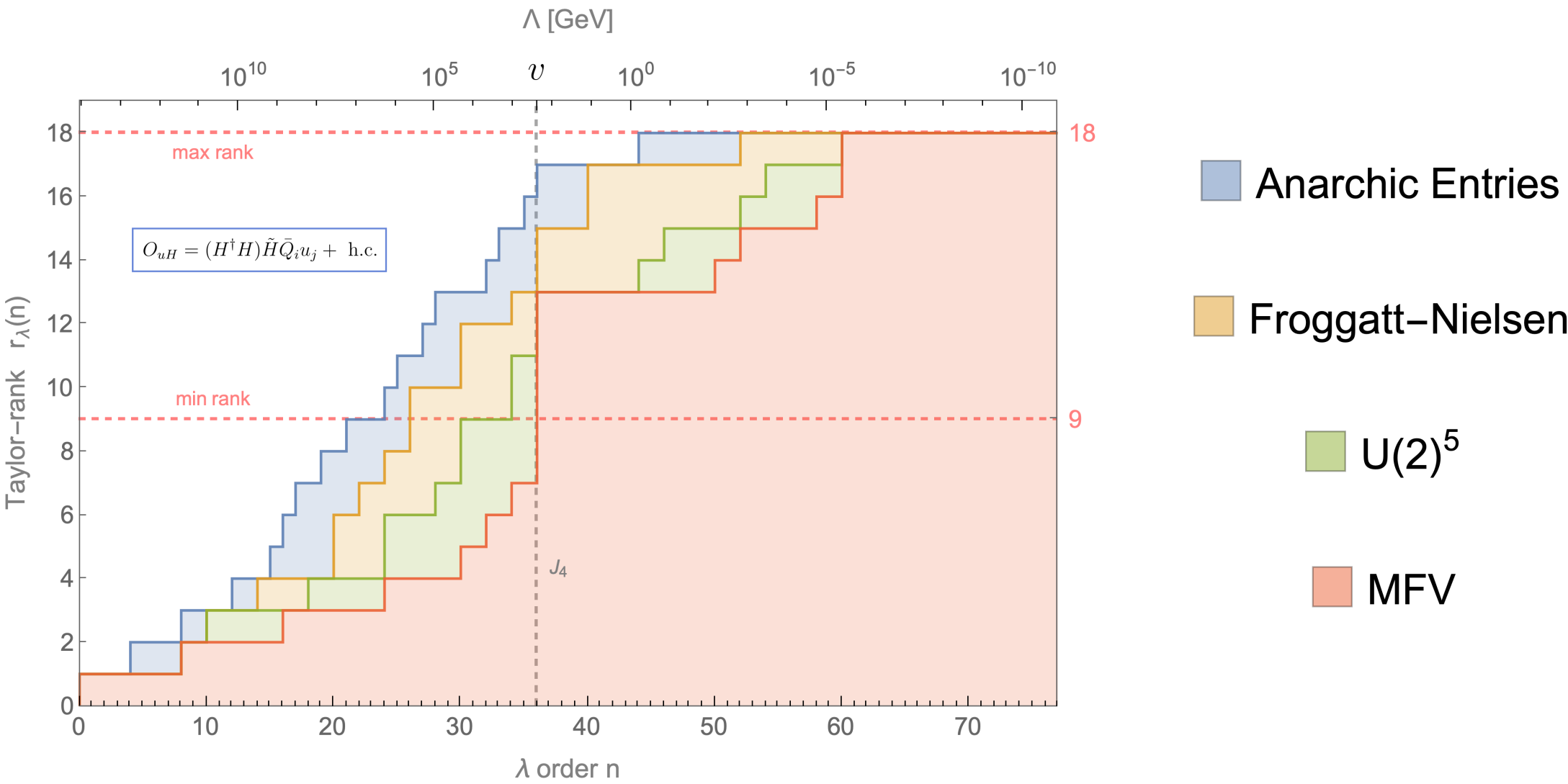
Generic Flavour

Rank 1 $\rightarrow \mathcal{O}(\lambda^0)$
 Rank 2 $\rightarrow \mathcal{O}(\lambda^4)$
 Rank 3 $\rightarrow \mathcal{O}(\lambda^8)$
 \vdots



MFV

Rank 1 $\rightarrow \mathcal{O}(\lambda^0)$
 Rank 2 $\rightarrow \mathcal{O}(\lambda^8)$
 Rank 3 $\rightarrow \mathcal{O}(\lambda^{16})$
 \vdots

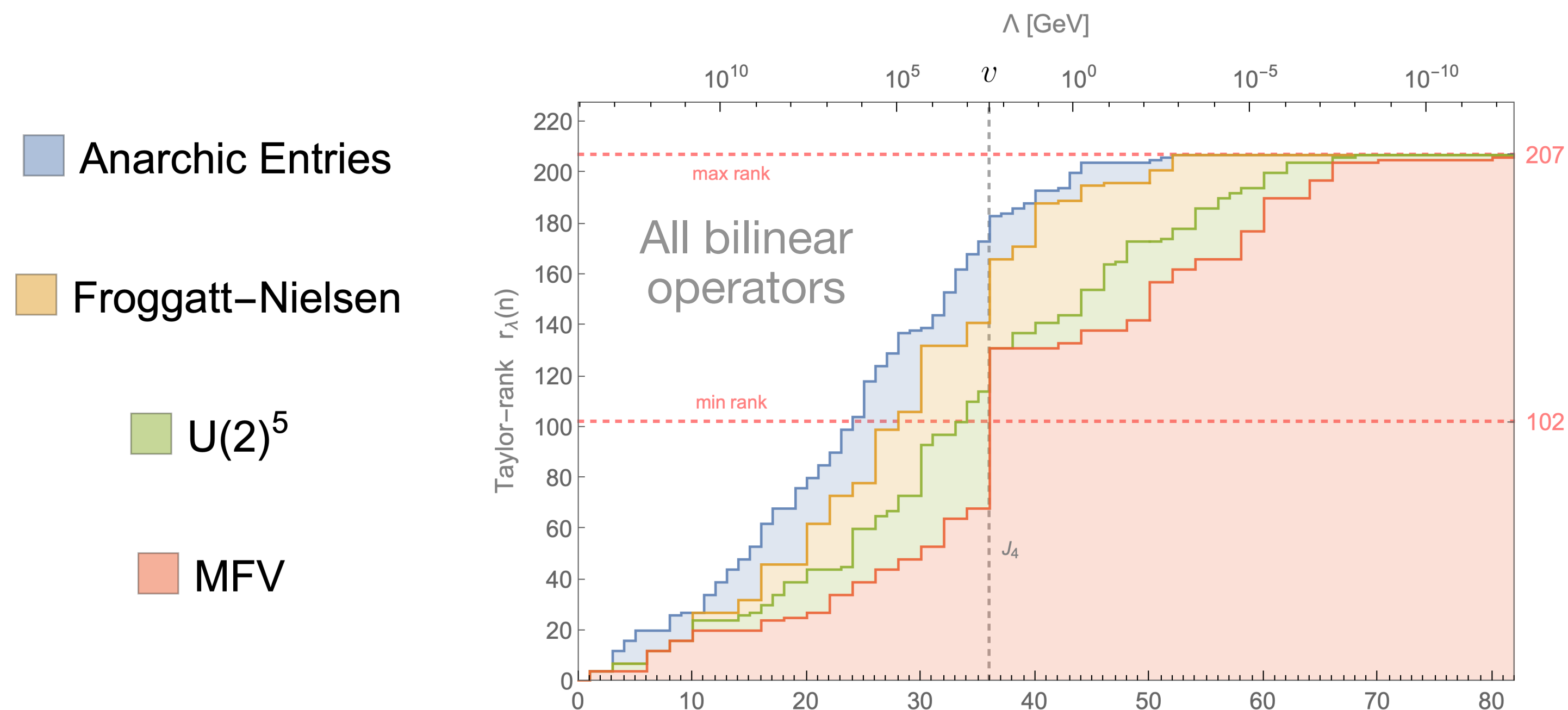


CPV Orders in Alignment Models

Froggatt-Nielsen-type & $U(2)^3$ Flavour Structure

- Another popular flavour structure is alignment inherited e.g. from $U(1)_{\text{FN}}$ symmetry
- The $U(1)$ charges of the quarks will imprint a particular scaling of the dim.6 WC:

$$Y_u = \begin{pmatrix} \lambda^8 & \lambda^5 & \lambda^3 \\ \lambda^7 & \lambda^4 & \lambda^2 \\ \lambda^5 & \lambda^2 & 1 \end{pmatrix} \quad Y_d = \begin{pmatrix} \lambda^7 & \lambda^6 & \lambda^6 \\ \lambda^6 & \lambda^5 & \lambda^5 \\ \lambda^4 & \lambda^3 & \lambda^3 \end{pmatrix} \quad C_{uH} = \text{generic} = \begin{pmatrix} \lambda^8 & \lambda^5 & \lambda^3 \\ \lambda^7 & \lambda^4 & \lambda^2 \\ \lambda^5 & \lambda^2 & 1 \end{pmatrix}$$



Generic Flavour Structure

$\Lambda > 1'000 \text{ TeV} \Rightarrow \sim 120$ sources of CPV larger than SM

MFV Flavour Structure

$\Lambda > 5\text{-}10 \text{ TeV} \Rightarrow \sim 50$ sources of CPV larger than SM

We couldn't explore effects of Flavour assumptions on 4 Fermi operators (too computational intensive)

Part II. CPV in Axion couplings to fermions?

Axion/ALP=Goldstone boson \rightarrow shift-symmetry

$$a \rightarrow a + \epsilon f$$

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{1}{2} (\partial_\mu a) (\partial^\mu a) + \frac{\partial_\mu a}{f} \sum_{\psi \in \text{SM}} \bar{\psi} \mathbf{c}_\psi \gamma^\mu \psi + \mathcal{O}\left(\frac{1}{f^2}\right)$$

↑
hermitian matrices
(26 CP-even and 13 CP-odd couplings)

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↑ ↑ ↑
generic matrices
(27 CP-even and 25 CP-odd couplings)

What is the power counting of these new couplings?

What are the conditions to recover a shift-symmetry?

ALP shift invariance and CP

1. Conditions to enforce ALP shift-symmetry

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{1}{2} (\partial_\mu a) (\partial^\mu a) + \frac{\partial_\mu a}{f} \sum_{\psi \in \text{SM}} \bar{\psi} c_\psi \gamma^\mu \psi + \mathcal{O}\left(\frac{1}{f^2}\right) \xrightarrow{\psi \rightarrow e^{-ic_\psi a/f} \psi} \mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{1}{2} (\partial_\mu a) (\partial^\mu a) - \frac{a}{f} (\bar{Q} \tilde{Y}_u \tilde{H} u + \bar{Q} \tilde{Y}_d H d + \bar{L} \tilde{Y}_e H e + \text{h.c.})$$

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Numbers of physical parameters

| hermitian matrices (6 angles and 3 phases) | | | generic matrices (9 angles and 9 phases) | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|--------|-------------------------------------------------|--------|-----------------------|--------|
| | Shift-symmetric Wilson coefficients $c_{Q,u,d,L,e}$ | | Generic Wilson coefficients $\tilde{Y}_{u,d,e}$ | | Number of constraints | |
| | CP-even | CP-odd | CP-even | CP-odd | CP-even | CP-odd |
| Quark sector | 17 | 9 | 18 | 18 | 1 | 9 |
| Lepton sector | 9 | 4 | 9 | 7 | 0 | 3 |
| <div>U(1)_B and U(1)_L conserved currents $\partial_\mu a J^\mu$ added to Lagrangian 3*6-1=17 2*6-3=9</div> <div>#L_i remove 2 phases</div> <div>#L_i remove 2 phases</div> | | | | | | |

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↑
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13 conditions on \tilde{Y} to recover a shift symmetry (1 CP-even and 12 CP-odd)

ALP shift invariance

The conditions for shift-symmetry can be written in an invariant way

$$X_x = Y_x Y_x^\dagger$$

- **Lepton sector**

$$\text{Re Tr} \left(X_e^{0,1,2} \tilde{Y}_e Y_e^\dagger \right) = 0 \quad \text{3 invariants}$$

- **Quark sector**

$$\begin{aligned} I_u^{(1)} &= \text{Re Tr} \left(\tilde{Y}_u Y_u^\dagger \right), & I_u^{(2)} &= \text{Re Tr} \left(X_u \tilde{Y}_u Y_u^\dagger \right), & I_u^{(3)} &= \text{Re Tr} \left(X_u^2 \tilde{Y}_u Y_u^\dagger \right), \\ I_d^{(1)} &= \text{Re Tr} \left(\tilde{Y}_d Y_d^\dagger \right), & I_d^{(2)} &= \text{Re Tr} \left(X_d \tilde{Y}_d Y_d^\dagger \right), & I_d^{(3)} &= \text{Re Tr} \left(X_d^2 \tilde{Y}_d Y_d^\dagger \right), \end{aligned}$$

$I_{i=0}$

$$\begin{aligned} I_{ud}^{(1)} &= \text{Re Tr} \left(X_d \tilde{Y}_u Y_u^\dagger + X_u \tilde{Y}_d Y_d^\dagger \right), \\ I_{ud,u}^{(2)} &= \text{Re Tr} \left(X_u^2 \tilde{Y}_d Y_d^\dagger + \{X_u, X_d\} \tilde{Y}_u Y_u^\dagger \right), \\ I_{ud,d}^{(2)} &= \text{Re Tr} \left(X_d^2 \tilde{Y}_u Y_u^\dagger + \{X_u, X_d\} \tilde{Y}_d Y_d^\dagger \right), \\ I_{ud}^{(3)} &= \text{Re Tr} \left(X_d X_u X_d \tilde{Y}_u Y_u^\dagger + X_u X_d X_u \tilde{Y}_d Y_d^\dagger \right) \\ I_{ud}^{(4)} &= \text{Im Tr} \left(\left[X_u, X_d \right]^2 \left(\left[X_d, \tilde{Y}_u Y_u^\dagger \right] - \left[X_u, \tilde{Y}_d Y_d^\dagger \right] \right) \right) \end{aligned}$$

4 entangled conditions
between up and down sectors
 \Rightarrow collective nature

one algebraic relation \Rightarrow only **10 independent invariants**

13 flavour invariants all linear in \tilde{Y} (CP ensure that all but $I_{ud}^{(4)}$ vanish)

RG invariance

The set of invariants is closed under RG

$$\begin{aligned}\dot{I}_e^{(1)} &= 2\gamma_e I_e^{(1)} + 6I_e^{(2)} + 2\text{Tr}(X_e) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\ \dot{I}_e^{(2)} &= 4\gamma_e I_e^{(2)} + 9I_e^{(3)} + 2\text{Tr}(X_e^2) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\ \dot{I}_e^{(3)} &= 6\gamma_e I_e^{(3)} + 12I_e^{(4)} + 2\text{Tr}(X_e^3) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right) \\ \dot{I}_u^{(1)} &= 2\gamma_u I_u^{(1)} + 6I_u^{(2)} - 3I_{ud}^{(1)} - 2\text{Tr}(X_u) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\ \dot{I}_u^{(2)} &= 4\gamma_u I_u^{(2)} + 9I_u^{(3)} - 3I_{ud,u}^{(2)} - 2\text{Tr}(X_u^2) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\ \dot{I}_u^{(3)} &= 6\gamma_u I_u^{(3)} + 12I_u^{(4)} - 3I_u' - 2\text{Tr}(X_u^3) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\ \dot{I}_d^{(1)} &= 2\gamma_d I_d^{(1)} + 6I_d^{(2)} - 3I_{ud}^{(1)} + 2\text{Tr}(X_d) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\ \dot{I}_d^{(2)} &= 4\gamma_d I_d^{(2)} + 9I_d^{(3)} - 3I_{ud,d}^{(2)} + 2\text{Tr}(X_d^2) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\ \dot{I}_d^{(3)} &= 6\gamma_d I_d^{(3)} + 12I_d^{(4)} - 3I_d' + 2\text{Tr}(X_d^3) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\ \dot{I}_{ud}^{(1)} &= 2(\gamma_u + \gamma_d) I_{ud}^{(1)}, \\ \dot{I}_{ud,u}^{(2)} &= (4\gamma_u + 2\gamma_d) I_{ud,u}^{(2)} + 3I_u' - 6I_{ud}^{(3)} - 2\text{Tr}(X_u X_d X_u) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\ \dot{I}_{ud,d}^{(2)} &= (4\gamma_d + 2\gamma_u) I_{ud,d}^{(2)} + 3I_d' - 6I_{ud}^{(3)} + 2\text{Tr}(X_d X_u X_d) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\ \dot{I}_{ud}^{(3)} &= 4(\gamma_u + \gamma_d) I_{ud}^{(3)}, \\ \dot{I}_{ud}^{(4)} &= 6 \left(\gamma_u + \gamma_d + \frac{1}{2} \text{Tr}(X_u + X_d) \right) I_{ud}^{(4)} - \text{Im Tr}([X_u, X_d]^3) (I_u^{(1)} + I_d^{(1)}).\end{aligned}$$

$$\gamma_e = -\frac{15}{4}g_1^2 - \frac{9}{4}g_2^2 + \text{Tr}(X_e + 3(X_u + X_d))$$

$$\gamma_u \equiv -\frac{17}{12}g_1^2 - \frac{9}{4}g_2^2 - 8g_3^2 + \text{Tr}(X_e + 3(X_u + X_d))$$

$$\gamma_d \equiv -\frac{5}{12}g_1^2 - \frac{9}{4}g_2^2 - 8g_3^2 + \text{Tr}(X_e + 3(X_u + X_d))$$

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 \dot{I}_u^{(1)} &= 2\gamma_u I_u^{(1)} + 6I_u^{(2)} - 3I_{ud}^{(1)} - 2\text{Tr}(X_u) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\
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 \dot{I}_{ud}^{(1)} &= 2(\gamma_u + \gamma_d) I_{ud}^{(1)}, \\
 \dot{I}_{ud,u}^{(2)} &= (4\gamma_u + 2\gamma_d) I_{ud,u}^{(2)} + 3I_u' - 6I_{ud}^{(3)} - 2\text{Tr}(X_u X_d X_u) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\
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 \dot{I}_{ud}^{(3)} &= 4(\gamma_u + \gamma_d) I_{ud}^{(3)}, \\
 \dot{I}_{ud}^{(4)} &= 6 \left(\gamma_u + \gamma_d + \frac{1}{2} \text{Tr}(X_u + X_d) \right) I_{ud}^{(4)} - \text{Im Tr}([X_u, X_d]^3) (I_u^{(1)} + I_d^{(1)}).
 \end{aligned}$$

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$$\gamma_u \equiv -\frac{17}{12}g_1^2 - \frac{9}{4}g_2^2 - 8g_3^2 + \text{Tr}(X_e + 3(X_u + X_d))$$

$$\gamma_d \equiv -\frac{5}{12}g_1^2 - \frac{9}{4}g_2^2 - 8g_3^2 + \text{Tr}(X_e + 3(X_u + X_d))$$

closed set except for:

$$I_e^{(4)} = \text{Re Tr}(X_e^3 \tilde{Y}_e Y_e^\dagger)$$

$$I_u' = \text{Re Tr}((X_u X_d X_u + \{X_d, X_u^2\}) \tilde{Y}_u Y_u^\dagger + X_u^3 \tilde{Y}_d Y_d^\dagger)$$

$$I_d' = I_u'(u \leftrightarrow d)$$

RG invariance

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 \dot{I}_e^{(3)} &= 6\gamma_e I_e^{(3)} + 12I_e^{(4)} + 2\text{Tr}(X_e^3) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\
 \dot{I}_u^{(1)} &= 2\gamma_u I_u^{(1)} + 6I_u^{(2)} - 3I_{ud}^{(1)} - 2\text{Tr}(X_u) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\
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 \dot{I}_{ud}^{(1)} &= 2(\gamma_u + \gamma_d) I_{ud}^{(1)}, \\
 \dot{I}_{ud,u}^{(2)} &= (4\gamma_u + 2\gamma_d) I_{ud,u}^{(2)} + 3I_u' - 6I_{ud}^{(3)} - 2\text{Tr}(X_u X_d X_u) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\
 \dot{I}_{ud,d}^{(2)} &= (4\gamma_d + 2\gamma_u) I_{ud,d}^{(2)} + 3I_d' - 6I_{ud}^{(3)} + 2\text{Tr}(X_d X_u X_d) \left(I_e^{(1)} + 3(I_d^{(1)} - I_u^{(1)}) \right), \\
 \dot{I}_{ud}^{(3)} &= 4(\gamma_u + \gamma_d) I_{ud}^{(3)}, \\
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 \end{aligned}$$

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$$\gamma_u \equiv -\frac{17}{12}g_1^2 - \frac{9}{4}g_2^2 - 8g_3^2 + \text{Tr}(X_e + 3(X_u + X_d))$$

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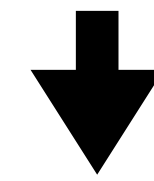
closed set except for:

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$$I_d' = I_u'(u \leftrightarrow d)$$

but Cayley-Hamilton eq. tells us that these 3 invariants are actually linear combinations of our original set



shift-invariance conditions are closed under RG

Conclusions

EDM constraints don't exclude all BSM sources of CPV!

- CPV is a **collective effect**.
- CP is not an accidental symmetry but **CPV is accidentally small** in SM_4 .
- Many new possible (large) sources of CPV at dim-6 level.
- **Shift-symmetry of an ALP** reduces to Jarlskog-like invariant conditions
- ALP shift-symmetry is surprisingly closely connected to CP-symmetry

BONUS

SM₆

Basis of dim-6 operators, aka Warsaw basis

| 1 : X^3 | | 2 : H^6 | 3 : H^4D^2 | | 5 : $\psi^2H^3 + \text{h.c.}$ | |
|----------------|-------------------------------------------------------------------|----------------------------|--------------|--------------------------------------------|-------------------------------|-----------------------------------------|
| Q_G | $f^{ABC}G_\mu^{A\nu}G_\nu^{B\rho}G_\rho^{C\mu}$ | $Q_H \mid (H^\dagger H)^3$ | $Q_{H\Box}$ | $(H^\dagger H)\Box(H^\dagger H)$ | Q_{eH} | $(H^\dagger H)(\bar{l}_p e_r H)$ |
| $Q_{\tilde G}$ | $f^{ABC}\tilde G_\mu^{A\nu}G_\nu^{B\rho}G_\rho^{C\mu}$ | | Q_{HD} | $(H^\dagger D_\mu H)^*(H^\dagger D_\mu H)$ | Q_{uH} | $(H^\dagger H)(\bar{q}_p u_r \tilde H)$ |
| Q_W | $\epsilon^{IJK}W_\mu^{I\nu}W_\nu^{J\rho}W_\rho^{K\mu}$ | | | | Q_{dH} | $(H^\dagger H)(\bar{q}_p d_r H)$ |
| $Q_{\tilde W}$ | $\epsilon^{IJK}\widetilde W_\mu^{I\nu}W_\nu^{J\rho}W_\rho^{K\mu}$ | | | | | |

| 4 : X^2H^2 | | 6 : $\psi^2XH + \text{h.c.}$ | | 7 : ψ^2H^2D | |
|------------------|---------------------------------------------------------|------------------------------|----------------------------------------------------------------|-------------------------|---------------------------------------------------------------------------------|
| Q_{HG} | $H^\dagger H G_{\mu\nu}^A G^{A\mu\nu}$ | Q_{eW} | $(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I H W_{\mu\nu}^I$ | $Q_{Hl}^{(1)}$ | $(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{l}_p \gamma^\mu l_r)$ |
| $Q_{H\tilde G}$ | $H^\dagger H \tilde G_{\mu\nu}^A G^{A\mu\nu}$ | Q_{eB} | $(\bar{l}_p \sigma^{\mu\nu} e_r) H B_{\mu\nu}$ | $Q_{Hl}^{(3)}$ | $(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{l}_p \tau^I \gamma^\mu l_r)$ |
| Q_{HW} | $H^\dagger H W_{\mu\nu}^I W^{I\mu\nu}$ | Q_{uG} | $(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde H G_{\mu\nu}^A$ | Q_{He} | $(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{e}_p \gamma^\mu e_r)$ |
| $Q_{H\tilde W}$ | $H^\dagger H \widetilde W_{\mu\nu}^I W^{I\mu\nu}$ | Q_{uW} | $(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde H W_{\mu\nu}^I$ | $Q_{Hq}^{(1)}$ | $(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{q}_p \gamma^\mu q_r)$ |
| Q_{HB} | $H^\dagger H B_{\mu\nu} B^{\mu\nu}$ | Q_{uB} | $(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde H B_{\mu\nu}$ | $Q_{Hq}^{(3)}$ | $(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{q}_p \tau^I \gamma^\mu q_r)$ |
| $Q_{H\tilde B}$ | $H^\dagger H \tilde B_{\mu\nu} B^{\mu\nu}$ | Q_{dG} | $(\bar{q}_p \sigma^{\mu\nu} T^A d_r) H G_{\mu\nu}^A$ | Q_{Hu} | $(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{u}_p \gamma^\mu u_r)$ |
| Q_{HWB} | $H^\dagger \tau^I H W_{\mu\nu}^I B^{\mu\nu}$ | Q_{dW} | $(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I H W_{\mu\nu}^I$ | Q_{Hd} | $(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{d}_p \gamma^\mu d_r)$ |
| $Q_{H\tilde WB}$ | $H^\dagger \tau^I H \widetilde W_{\mu\nu}^I B^{\mu\nu}$ | Q_{dB} | $(\bar{q}_p \sigma^{\mu\nu} d_r) H B_{\mu\nu}$ | $Q_{Hud} + \text{h.c.}$ | $i(\tilde H^\dagger D_\mu H)(\bar{u}_p \gamma^\mu d_r)$ |

| 8 : $(\bar{L}L)(\bar{L}L)$ | | 8 : $(\bar{R}R)(\bar{R}R)$ | | 8 : $(\bar{L}L)(\bar{R}R)$ | |
|----------------------------|----------------------------------------------------------------------|----------------------------|----------------------------------------------------------------|----------------------------|----------------------------------------------------------------|
| Q_{ll} | $(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$ | Q_{ee} | $(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$ | Q_{le} | $(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$ |
| $Q_{qq}^{(1)}$ | $(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$ | Q_{uu} | $(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$ | Q_{lu} | $(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$ |
| $Q_{qq}^{(3)}$ | $(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$ | Q_{dd} | $(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$ | Q_{ld} | $(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$ |
| $Q_{lq}^{(1)}$ | $(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$ | Q_{eu} | $(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$ | Q_{qe} | $(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$ |
| $Q_{lq}^{(3)}$ | $(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$ | Q_{ed} | $(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$ | $Q_{qu}^{(1)}$ | $(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$ |
| | | $Q_{ud}^{(1)}$ | $(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$ | $Q_{qu}^{(8)}$ | $(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$ |
| | | $Q_{ud}^{(8)}$ | $(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$ | $Q_{qd}^{(1)}$ | $(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$ |
| | | | | $Q_{qd}^{(8)}$ | $(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$ |

| 8 : $(\bar{L}R)(\bar{R}L) + \text{h.c.}$ | | 8 : $(\bar{L}R)(\bar{L}R) + \text{h.c.}$ | |
|------------------------------------------|---------------------------------------|------------------------------------------|-------------------------------------------------------------------------------------|
| Q_{ledq} | $(\bar{l}_p^j e_r)(\bar{d}_s q_{tj})$ | $Q_{quqd}^{(1)}$ | $(\bar{q}_p^j u_r) \epsilon_{jk} (\bar{q}_s^k d_t)$ |
| | | $Q_{quqd}^{(8)}$ | $(\bar{q}_p^j T^A u_r) \epsilon_{jk} (\bar{q}_s^k T^A d_t)$ |
| | | $Q_{lequ}^{(1)}$ | $(\bar{l}_p^j e_r) \epsilon_{jk} (\bar{q}_s^k u_t)$ |
| | | $Q_{lequ}^{(3)}$ | $(\bar{l}_p^j \sigma_{\mu\nu} e_r) \epsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$ |

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM}^{(4)} + \sum_{n \geq 5} \frac{c_n}{\Lambda^{n-4}} \mathcal{O}^{(n)}$$

59 types of operators.
2499 independent Wilson coefficients
(1350 real and 1149 imaginary).

SM₆

Basis of dim-6 operators, aka Warsaw basis

| 1 : X^3 | | 2 : H^6 | | 3 : $H^4 D^2$ | | 5 : $\psi^2 H^3 + \text{h.c.}$ | |
|----------------|------------------------------------------------------------------|-----------|-------------------|---------------|---------------------------------------------|--------------------------------|-----------------------------------------|
| Q_G | $f^{ABC} G_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$ | Q_H | $(H^\dagger H)^3$ | $Q_{H\Box}$ | $(H^\dagger H)\Box(H^\dagger H)$ | Q_{eH} | $(H^\dagger H)(\bar{l}_p e_r H)$ |
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| $Q_{H\tilde G}$ | $H^\dagger H \tilde G_{\mu\nu}^A G^{A\mu\nu}$ | Q_{eB} | $(\bar{l}_p \sigma^{\mu\nu} e_r) H B_{\mu\nu}$ | $Q_{Hl}^{(3)}$ | $(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{l}_p \tau^I \gamma^\mu l_r)$ |
| Q_{HW} | $H^\dagger H W_{\mu\nu}^I W^{I\mu\nu}$ | Q_{uG} | $(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde H G_{\mu\nu}^A$ | Q_{He} | $(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{e}_p \gamma^\mu e_r)$ |
| $Q_{H\tilde W}$ | $H^\dagger H \tilde W_{\mu\nu}^I W^{I\mu\nu}$ | Q_{uW} | $(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde H W_{\mu\nu}^I$ | $Q_{Hq}^{(1)}$ | $(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{q}_p \gamma^\mu q_r)$ |
| Q_{HB} | $H^\dagger H B_{\mu\nu} B^{\mu\nu}$ | Q_{uB} | $(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde H B_{\mu\nu}$ | $Q_{Hq}^{(3)}$ | $(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{q}_p \tau^I \gamma^\mu q_r)$ |
| $Q_{H\tilde B}$ | $H^\dagger H \tilde B_{\mu\nu} B^{\mu\nu}$ | Q_{dG} | $(\bar{q}_p \sigma^{\mu\nu} T^A d_r) H G_{\mu\nu}^A$ | Q_{Hu} | $(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{u}_p \gamma^\mu u_r)$ |
| Q_{HWB} | $H^\dagger \tau^I H W_{\mu\nu}^I B^{\mu\nu}$ | Q_{dW} | $(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I H W_{\mu\nu}^I$ | Q_{Hd} | $(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{d}_p \gamma^\mu d_r)$ |
| $Q_{H\tilde WB}$ | $H^\dagger \tau^I H \tilde W_{\mu\nu}^I B^{\mu\nu}$ | Q_{dB} | $(\bar{q}_p \sigma^{\mu\nu} d_r) H B_{\mu\nu}$ | $Q_{Hud} + \text{h.c.}$ | $i(\tilde H^\dagger D_\mu H)(\bar{u}_p \gamma^\mu d_r)$ |

| 8 : $(\bar{L}L)(\bar{L}L)$ | | 8 : $(\bar{R}R)(\bar{R}R)$ | | 8 : $(\bar{L}L)(\bar{R}R)$ | |
|----------------------------|----------------------------------------------------------------------|----------------------------|----------------------------------------------------------------|----------------------------|----------------------------------------------------------------|
| Q_{ll} | $(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$ | Q_{ee} | $(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$ | Q_{le} | $(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$ |
| $Q_{qq}^{(1)}$ | $(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$ | Q_{uu} | $(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$ | Q_{lu} | $(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$ |
| $Q_{qq}^{(3)}$ | $(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$ | Q_{dd} | $(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$ | Q_{ld} | $(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$ |
| $Q_{lq}^{(1)}$ | $(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$ | Q_{eu} | $(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$ | Q_{qe} | $(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$ |
| $Q_{lq}^{(3)}$ | $(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$ | Q_{ed} | $(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$ | $Q_{qu}^{(1)}$ | $(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$ |
| | | $Q_{ud}^{(1)}$ | $(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$ | $Q_{qu}^{(8)}$ | $(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$ |
| | | $Q_{ud}^{(8)}$ | $(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$ | $Q_{qd}^{(1)}$ | $(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$ |
| | | | | $Q_{qd}^{(8)}$ | $(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$ |

| 8 : $(\bar{L}R)(\bar{R}L) + \text{h.c.}$ | | 8 : $(\bar{L}R)(\bar{L}R) + \text{h.c.}$ | |
|------------------------------------------|---------------------------------------|------------------------------------------|-------------------------------------------------------------------------------------|
| Q_{ledq} | $(\bar{l}_p^j e_r)(\bar{d}_s q_{tj})$ | $Q_{quqd}^{(1)}$ | $(\bar{q}_p^j u_r) \epsilon_{jk} (\bar{q}_s^k d_t)$ |
| | | $Q_{quqd}^{(8)}$ | $(\bar{q}_p^j T^A u_r) \epsilon_{jk} (\bar{q}_s^k T^A d_t)$ |
| | | $Q_{lequ}^{(1)}$ | $(\bar{l}_p^j e_r) \epsilon_{jk} (\bar{q}_s^k u_t)$ |
| | | $Q_{lequ}^{(3)}$ | $(\bar{l}_p^j \sigma_{\mu\nu} e_r) \epsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$ |

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM}^{(4)} + \sum_{n \geq 5} \frac{c_n}{\Lambda^{n-4}} \mathcal{O}^{(n)}$$

59 types of operators.
2499 independent Wilson coefficients
(1350 real and 1149 imaginary).

- 1. How many new sources of CPV?
- 2. Which ones can appear at BSM leading order (1/Λ²)?
 - Not because a parameter is O(1/Λ²) that it can contribute at leading order in any physical observable!
- We'll see indeed that there are general non-interference theorems —

- 3. What are the collective breaking patterns associated to these new sources of CPV?
- 4. Where should we look for CPV?

Beyond Jarlskog: Building SM₆ invariants

Playing with new fermion bilinear interactions first


- In the Warsaw basis, Manohar et al. counted 7 **Hermitian** (6R+3I) and 12 **generic** bilinear (9R+9I) operators for a total of 129 phases (and 150 real parameters)

| | | 5 : $\psi^2 H^3 + \text{h.c.}$ | 6 : $\psi^2 XH + \text{h.c.}$ | $SU(3)_Q$ | $SU(3)_u$ | $SU(3)_d$ | $SU(3)_L$ | $SU(3)_e$ |
|-----------------------|------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|-----------|-----------|-----------|-----------|-----------|
| generic matrices | Q_{eH} | $(H^\dagger H)(\bar{l}_p e_r H)$ | Q_{eW}, Q_{eB} | 1 | 1 | 1 | 3 | $\bar{3}$ |
| | Q_{uH} | $(H^\dagger H)(\bar{q}_p u_r \tilde{H})$ | Q_{uG}, Q_{uW}, Q_{uB} | 3 | $\bar{3}$ | 1 | 1 | 1 |
| | Q_{dH} | $(H^\dagger H)(\bar{q}_p d_r H)$ | Q_{dG}, Q_{dW}, Q_{dB} | 3 | 1 | $\bar{3}$ | 1 | 1 |
| | | 7 : $\psi^2 H^2 D$ | | | | | | |
| Hermitian matrices | $Q_{Hl}^{(1)}, Q_{Hl}^{(3)}$ | $(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{l}_p \gamma^\mu l_r), (H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{l}_p \tau^I \gamma^\mu l_r)$ | | 1 | 1 | 1 | 8 + 1 | 1 |
| | Q_{He} | $(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{e}_p \gamma^\mu e_r)$ | | 1 | 1 | 1 | 1 | 8 + 1 |
| | $Q_{Hq}^{(1)}, Q_{Hq}^{(3)}$ | $(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{q}_p \gamma^\mu q_r), (H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{q}_p \tau^I \gamma^\mu q_r)$ | | 8 + 1 | 1 | 1 | 1 | 1 |
| | Q_{Hu} | $(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{u}_p \gamma^\mu u_r)$ | | 1 | 8 + 1 | 1 | 1 | 1 |
| generic | Q_{Hd} | $(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{d}_p \gamma^\mu d_r)$ | | 1 | 1 | 8 + 1 | 1 | 1 |
| | Q_{Hud} | $i(\tilde{H}^\dagger D_\mu H)(\bar{u}_p \gamma^\mu d_r)$ | | 1 | 3 | $\bar{3}$ | 1 | 1 |

- In the limit $m_\nu=0$, lepton numbers in each family are conserved. The WC not invariant under these U(1)'s can never show up at linear order in any amplitude: 129 \rightarrow 102 phases (and 150 \rightarrow 123 real parameters) — see later for more details

Beyond Jarlskog: Minimal Basis


Transfer matrix of maximal rank

$$\begin{pmatrix} I_1 \\ I_2 \\ \dots \\ I_n \end{pmatrix} = \begin{pmatrix} T^R & T^I \end{pmatrix} \begin{pmatrix} \text{Re}C_1 \\ \text{Re}C_2 \\ \dots \\ \text{Re}C_p \\ \text{Im}C_1 \\ \dots \\ \text{Im}C_q \end{pmatrix}$$


transfer matrix that depends
only on Y_u and Y_d

Beyond Jarlskog: Minimal Basis

Transfer matrix of maximal rank

$$\begin{pmatrix} I_1 \\ I_2 \\ \dots \\ I_n \end{pmatrix} = \begin{pmatrix} T^R & T^I \end{pmatrix} \begin{pmatrix} \text{Re}C_1 \\ \text{Re}C_2 \\ \dots \\ \text{Re}C_p \\ \text{Im}C_1 \\ \dots \\ \text{Im}C_q \end{pmatrix}$$


transfer matrix that depends
only on Y_u and Y_d

The problem boils down to find what is the maximal rank of the transfer matrix
in general and also when $J_4=0$

Beyond Jarlskog: Minimal Basis

Transfer matrix of maximal rank

Seems a simple exercise to compute the rank!

But the invariants are real monsters when computed explicitly in a particular flavour basis

(up to $9^7 \approx 5 \times 10^6$ of terms for some of the invariants)

Hopeless to analytically compute ranks.

Numerically tricky too → compute ranks for rational matrices

Beyond Jarlskog: Minimal Basis

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Beyond Jarlskog: Minimal Basis

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Hopeless to analytically compute ranks.

Numerically tricky too → compute ranks for rational matrices

| Type of op. | | # of ops | # real | # im. | # CP-odd invariants |
|---------------|-----------------|----------|--------|-------|---------------------|
| bilinears | Yukawa | 3 | 27 | 27 | 21 |
| | Dipoles | 8 | 72 | 72 | 60 |
| | current-current | 8 | 51 | 30 | 21 |
| all bilinears | | 19 | 150 | 129 | 102 |

Beyond Jarlskog: Minimal Basis

Transfer matrix of maximal rank

Seems a simple exercise to compute the rank!

But the invariants are real monsters when computed explicitly in a particular flavour basis
(up to $9^7 \approx 5 \times 10^6$ of terms for some of the invariants)

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| | current-current | 8 | 51 | 30 | 21 |
| all bilinears | | 19 | 150 | 129 | 102 |

Note that there are fewer CP-odd invariants than phases

Not all the phases can appear in observables — not interference theorems

Non-Interference

Conservation of individual family lepton numbers

Let us see it in a fixed basis, e.g.

$$Y_u = \text{diag}(y_u, y_c, y_t) \quad Y_d = V_{\text{CKM}} \text{diag}(y_d, y_s, y_b) \quad Y_e = \text{diag}(y_e, y_\mu, y_\tau)$$

In the lepton sector, this choice breaks the $U(3)_L \times U(3)_e$ of the free Lagrangian down to the $U(1)^3$ described by the transformation

$$(L, e) \rightarrow \text{diag}(e^{i\delta_1}, e^{i\delta_2}, e^{i\delta_3})(L, e)$$

At dimension 6, operators containing leptons are charged under this symmetry, e.g.

$$\mathcal{O}_{He} = \frac{1}{\Lambda^2} C_{He,mn} (H^\dagger i \overleftrightarrow{D}_\mu H) \bar{e}_m \gamma^\mu e_n \quad C_{He,mn} = \begin{pmatrix} c_{11} & c_{12} & c_{13} \\ c_{12}^* & c_{22} & c_{23} \\ c_{13}^* & c_{23}^* & c_{33} \end{pmatrix} \xrightarrow{U(1)^3} \begin{pmatrix} c_{11} & c_{12} e^{i(\delta_2 - \delta_1)} & c_{13} e^{i(\delta_3 - \delta_1)} \\ c_{12}^* e^{-i(\delta_2 - \delta_1)} & c_{22} & c_{23} e^{i(\delta_3 - \delta_2)} \\ c_{13}^* e^{-i(\delta_3 - \delta_1)} & c_{23}^* e^{-i(\delta_3 - \delta_2)} & c_{33} \end{pmatrix}$$

The off-diagonal elements cannot enter into observables at linear order!

Non-Interference

Conservation of individual family lepton numbers

| | Type of op. | # of ops | # real | # im. | inv. under $U(1)_{L_i} - U(1)_{L_j}$ | | # CP-odd invariants |
|---------------|-----------------|----------|--------|-------|--------------------------------------|-------|---------------------|
| | | | | | # real | # im. | |
| bilinears | Yukawa | 3 | 27 | 27 | 21 | 21 | 21 |
| | Dipoles | 8 | 72 | 72 | 60 | 60 | 60 |
| | current-current | 8 | 51 | 30 | 42 | 21 | 21 |
| all bilinears | | 19 | 150 | 129 | 123 | 102 | 102 |

Minimal sets can be built explicitly
— not a unique choice —

Minimal Sets for Fermion Bilinear Operators

| Wilson coefficient | Number of phases | Minimal set |
|-----------------------------------------------------------------------------|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| $C_e \equiv \begin{cases} C_{eH} \\ C_{eW} \\ C_{eB} \end{cases}$ | 3 | $\left\{ L_0 \left(C_e Y_e^\dagger \right) L_1 \left(C_e Y_e^\dagger \right) L_2 \left(C_e Y_e^\dagger \right) \right\}$ |
| $C_u \equiv \begin{cases} C_{uH} \\ C_{uG} \\ C_{uW} \\ C_{uB} \end{cases}$ | 9 | $\left\{ \begin{array}{l} L_{0000} \left(C_u Y_u^\dagger \right) L_{1000} \left(C_u Y_u^\dagger \right) L_{0100} \left(C_u Y_u^\dagger \right) \\ L_{1100} \left(C_u Y_u^\dagger \right) L_{0110} \left(C_u Y_u^\dagger \right) L_{2200} \left(C_u Y_u^\dagger \right) \\ L_{0220} \left(C_u Y_u^\dagger \right) L_{1220} \left(C_u Y_u^\dagger \right) L_{0122} \left(C_u Y_u^\dagger \right) \end{array} \right\}$ |
| $C_d \equiv \begin{cases} C_{dH} \\ C_{dG} \\ C_{dW} \\ C_{dB} \end{cases}$ | | Same with $C_u Y_u^\dagger \rightarrow C_d Y_d^\dagger$ |
| C_{Hud} | | Same with $C_u Y_u^\dagger \rightarrow Y_u C_{Hud} Y_d^\dagger$ |
| $C_{HL}^{(1,3)}, C_{He}$ | 0 | \emptyset |
| $C_{HQ}^{(1,3)}$ | 3 | $\left\{ L_{1100} \left(C_{HQ}^{(1,3)} \right) L_{2200} \left(C_{HQ}^{(1,3)} \right) L_{1122} \left(C_{HQ}^{(1,3)} \right) \right\}$ |
| C_{Hu} | | Same with $C_{HQ}^{(1,3)} \rightarrow Y_u C_{Hu} Y_u^\dagger$ |
| C_{Hd} | | Same with $C_{HQ}^{(1,3)} \rightarrow Y_d C_{Hd} Y_d^\dagger$ |

One explicit basis of invariants

$$L_{abcd}(\tilde{C}) \equiv \text{Im Tr} \left(X_u^a X_d^b X_u^c X_d^d \tilde{C} \right)$$

4-Fermi Operators

4F invariants from bilinear invariants

- In the Warsaw basis, Manohar et al. also counted the free-parameters in 4F operators: 1014 phases. As before, not all these phases can show up at leading order when the neutrino masses are taken to vanish: only 597 survive (adding to the 102 bilinear ones and J_4 for a total of 700 phases)

e.g. $C_{QuQd} \bar{Q}u\bar{Q}d$ $\frac{SU(3)_Q}{1+3+6} \frac{SU(3)_u}{\bar{3}} \frac{SU(3)_d}{\bar{3}}$

- One can build two types of 4F-invariants out of the bilinear invariants:

A-type

$$\text{Im} \left(\underbrace{M_{ij}^{uH}} \underbrace{M_{kl}^{dH}} C_{ijkl}^{QuQd} \right)$$

B-type

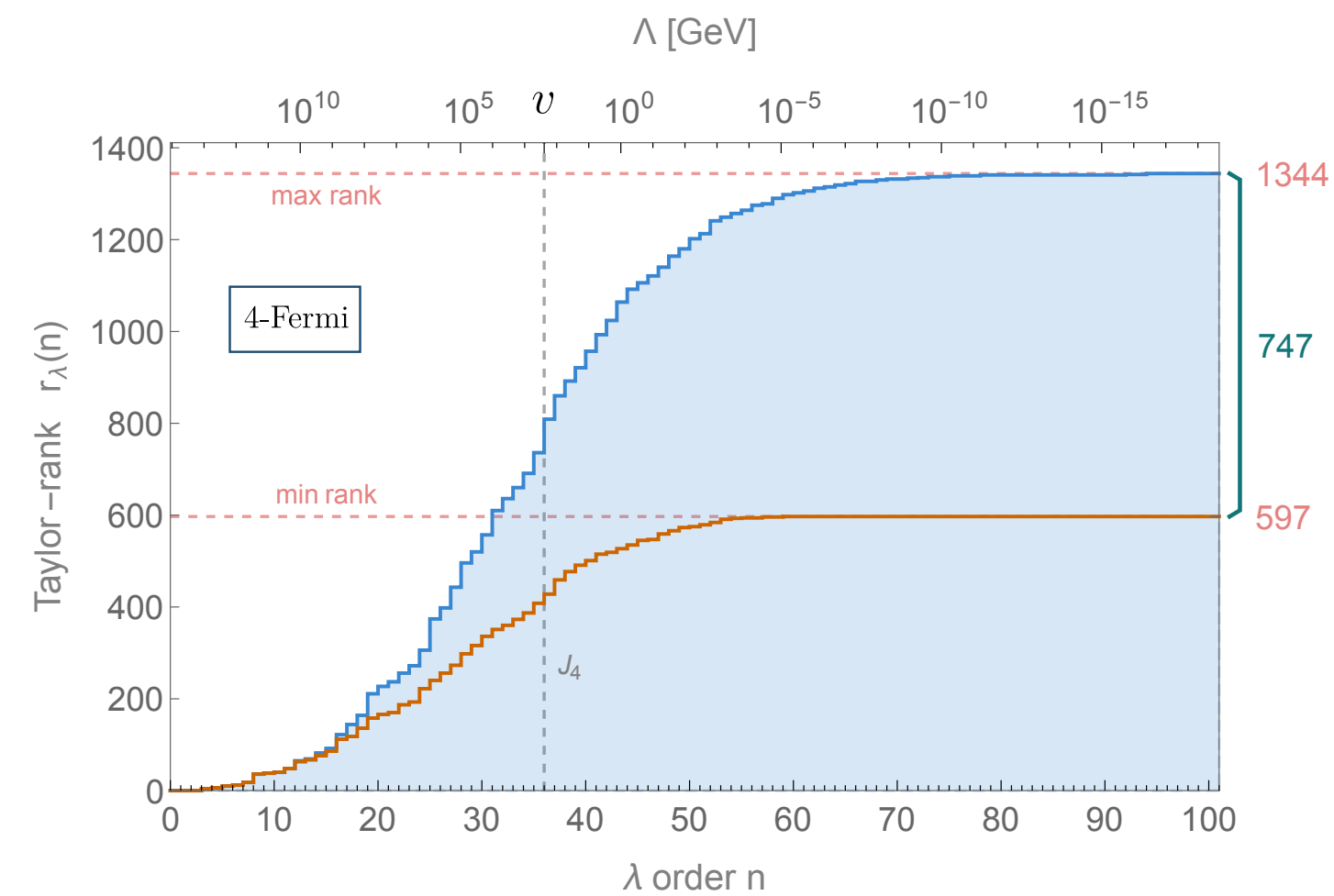
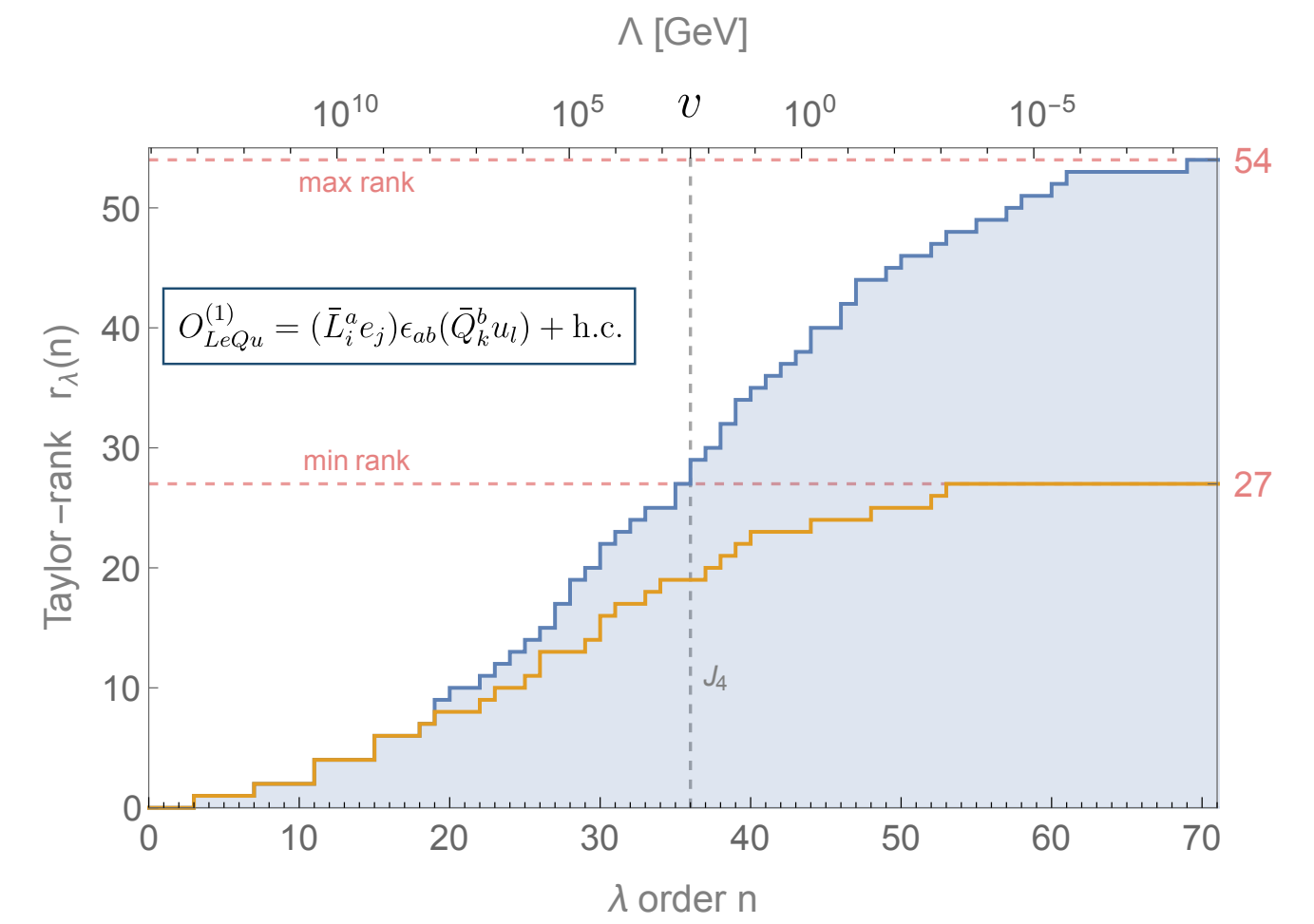
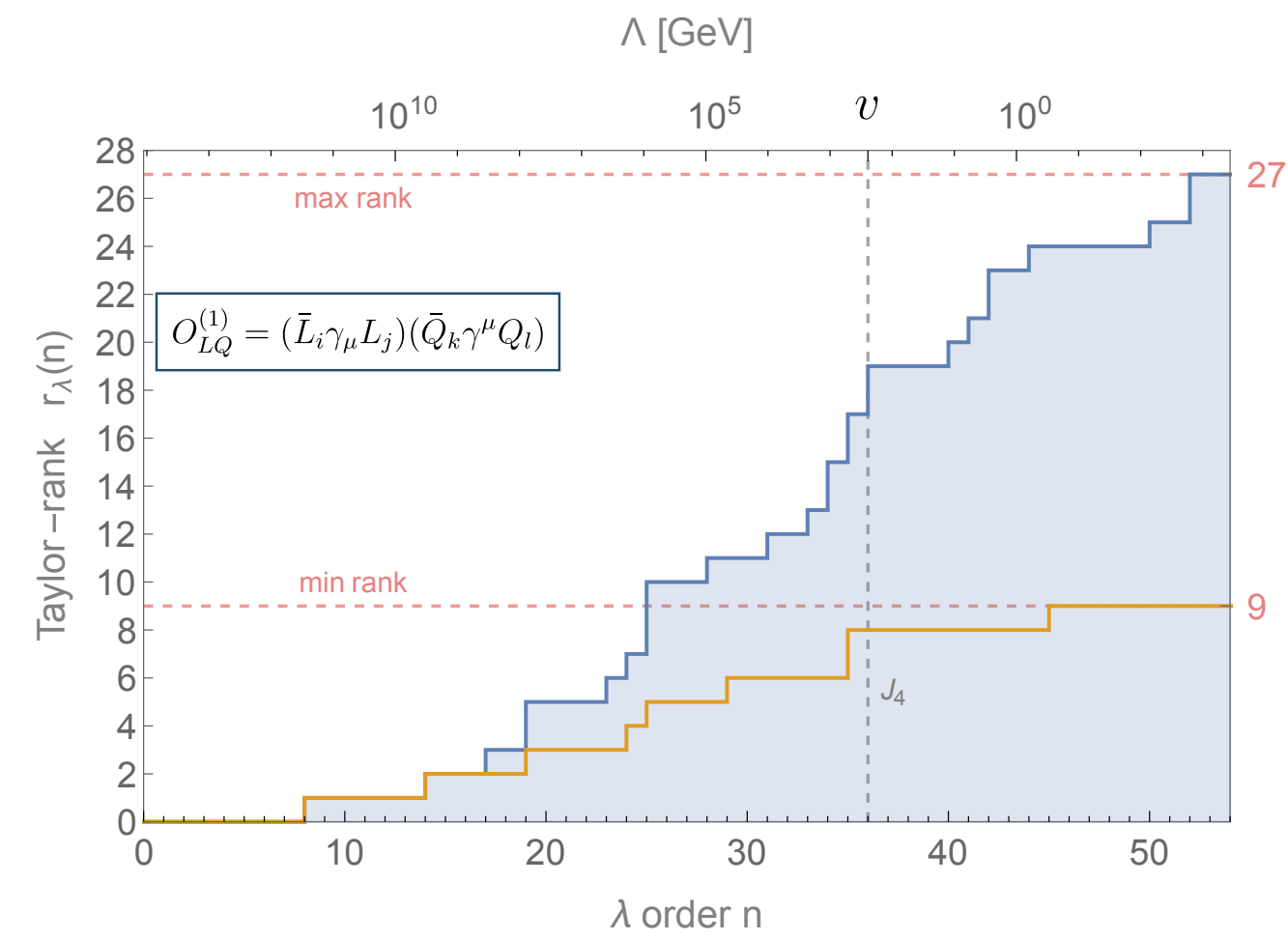
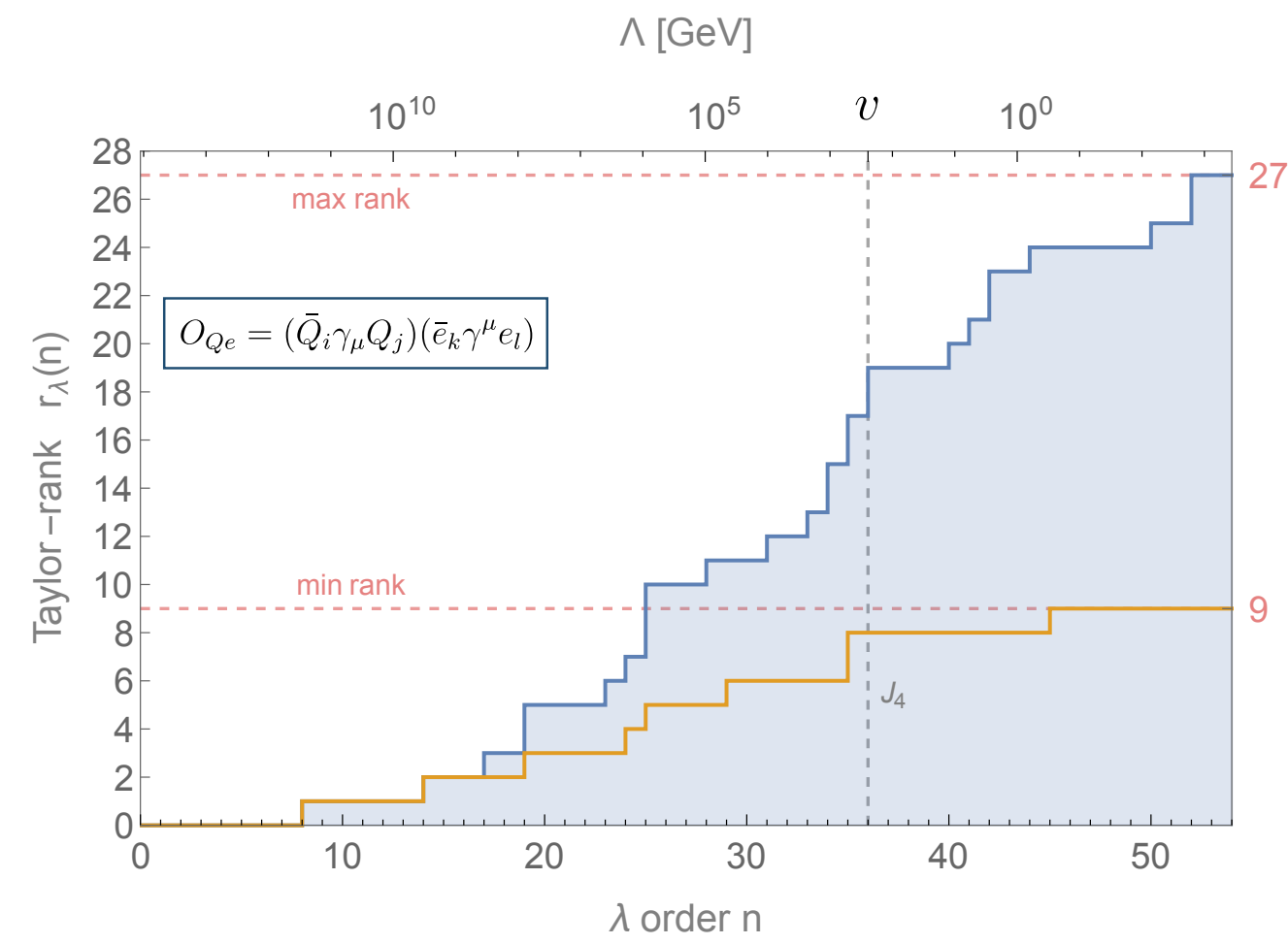
$$\text{Im} \left(\underbrace{M_{il}^{dH}} \underbrace{M_{jk}^{uH\dagger}} C_{ijkl}^{QuQd} \right)$$

matrices built out of Y_u and Y_d that to form bilinear invariants, e.g., $\text{Im Tr} (M^{uH} C_{uH})$

An explicit basis of 597 invariants for the 4F operators can be built (see bonus slides)

4-Fermi Operators

independent invariants at $O(\lambda^n)$ for some 4F operators



Minimal Set

parameters for the different types of operators

| | | | | | inv. under $U(1)_{L_i}-U(1)_{L_j}$ | |
|---------------|-----------------|----------|--------|-------|------------------------------------|-------|
| Type of op. | | # of ops | # real | # im. | # real | # im. |
| bilinears | Yukawa | 3 | 27 | 27 | 21 | 21 |
| | Dipoles | 8 | 72 | 72 | 60 | 60 |
| | current-current | 8 | 51 | 30 | 42 | 21 |
| all bilinears | | 19 | 150 | 129 | 123 | 102 |
| 4-Fermi | LLLL | 5 | 171 | 126 | 99 | 54 |
| | RRRR | 7 | 255 | 195 | 186 | 126 |
| | LLRR | 8 | 360 | 288 | 246 | 174 |
| | LRRL | 1 | 81 | 81 | 27 | 27 |
| | LRLR | 4 | 324 | 324 | 216 | 216 |
| all 4-Fermi | | 25 | 1191 | 1014 | 774 | 597 |
| all | | | 1341 | 1143 | 897 | 699 |

primary sources of CPV

| | Bilinears | | | | 4-Fermi | | | | | | |
|--------------------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------|----------------------------------------|----------------------|----------------------|----------------------------------------|----------------------------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------|
| | C_{eH} C_{eW} C_{eB} | C_{uH} C_{uG} C_{uW} C_{uB} C_{dH} C_{dG} C_{dW} C_{dB} C_{Hud} | $C_{HL}^{1,3}$ C_{He} | $C_{HQ}^{1,3}$ C_{Hu} C_{Hd} | C_{LL} C_{ee} | C_{Le} | $C_{QQ}^{1,3}$ C_{uu} C_{dd} | $C_{LQ}^{1,3}$ C_{Le} C_{Lu} C_{eu} C_{Ld} C_{ed} | $C_{ud}^{1,8}$ $C_{Qu}^{1,8}$ $C_{Qd}^{1,8}$ | C_{LedQ} $C_{LeQu}^{1,3}$ | $C_{QuQd}^{1,8}$ |
| $U(1)_B$ | 3 | 9 | 0 | 3 | 0 | 3 | 18 | 9 | 36 | 27 | 81 |
| $U(1)^2$ | 3 | 5 | 0 | 1 | 0 | 3 | 5 | 3 | 12 | 15 | 33 |
| $U(1)^3$ | 3 | 3 | 0 | 0 | 0 | 3 | 0 | 0 | 3 | 9 | 15 |
| $U(2) \times U(1)$ | 3 | 2 | 0 | 0 | 0 | 3 | 0 | 0 | 1 | 6 | 7 |
| $U(3)$ | 3 | 1 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 3 | 2 |
| Two degenerate electron-type leptons | $\times \frac{2}{3}$ | $\times 1$ | | $\times 1$ | | $\times \frac{2}{3}$ | $\times 1$ | $\times \frac{2}{3}$ | $\times 1$ | $\times \frac{2}{3}$ | $\times 1$ |
| All electron-type leptons degenerate | $\times \frac{1}{3}$ | $\times 1$ | | $\times 1$ | | $\times \frac{1}{3}$ | $\times 1$ | $\times \frac{1}{3}$ | $\times 1$ | $\times \frac{1}{3}$ | $\times 1$ |

CPV for Degenerate Spectrum

- As noticed already in SM₄, degenerate spectra (equal mass, zero or maximal mixing angle) have different CPV counting than generic case

| Parameter values | | Flavor symmetries of the SM ₄ Lagrangian |
|----------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|
| $m_u \neq m_c \neq m_t$ $m_d \neq m_s \neq m_b$ | Generic V_{CKM} | $U(1)_B$ |
| | $ V_{\text{CKM},i_0j_0} = 1, V_{\text{CKM},ij_0} = V_{\text{CKM},i_0j} = 0$ $i \neq i_0, j \neq j_0$ | $U(1)^2$ |
| | $ V_{\text{CKM},i_1j_1} = V_{\text{CKM},i_2j_2} = V_{\text{CKM},i_3j_3} = 1$ for $i_1 \neq i_2 \neq i_3$ $j_1 \neq j_2 \neq j_3$ | $U(1)^3$ |
| | $V_{\text{CKM},ij} = 0$ elsewhere | |
| $m_u \neq m_c = m_t$ $m_d \neq m_s \neq m_b$ | Generic V_{CKM} (see Eq. (4.16)) | $U(1)_B$ |
| | $ V_{\text{CKM},i_0j_0} = 1, V_{\text{CKM},ij_0} = V_{\text{CKM},i_0j} = 0$ $i \neq i_0, j \neq j_0$ | $U(1)^2$ |
| | $ V_{\text{CKM},i_1j_1} = V_{\text{CKM},i_2j_2} = V_{\text{CKM},i_3j_3} = 1$ for $i_1 \neq i_2 \neq i_3$ $j_1 \neq j_2 \neq j_3$ | $U(1)^3$ |
| | $V_{\text{CKM},ij} = 0$ elsewhere | |
| $m_u \neq m_c \neq m_t$ $m_d = m_s \neq m_b$ | Same as the previous case with $V_{\text{CKM}} \leftrightarrow V_{\text{CKM}}^\dagger$ | |
| | | |
| $m_u \neq m_c = m_t$ $m_d = m_s \neq m_b$ | Generic V_{CKM} | $U(1)^2$ |
| | $ V_{\text{CKM},11} = V_{\text{CKM},22} = V_{\text{CKM},33} = 1$ $V_{\text{CKM},ij} = 0$ elsewhere | $U(1)^3$ |
| | $ V_{\text{CKM},13} = V_{\text{CKM},22} = V_{\text{CKM},31} = 1$ $V_{\text{CKM},ij} = 0$ elsewhere | $U(2) \times U(1)$ |
| | | |
| $m_u = m_c = m_t$ | $m_d \neq m_s \neq m_b$ | $U(1)^3$ |
| | $m_d = m_s \neq m_b$ | $U(2) \times U(1)$ |
| | $m_d = m_s = m_b$ | $U(3)$ |
| $m_d = m_s = m_b$ | $m_u \neq m_c \neq m_t$ | $U(1)^3$ |
| | $m_u \neq m_c = m_t$ | $U(2) \times U(1)$ |
| | $m_u = m_c = m_t$ | $U(3)$ |

| | Bilinears | | | |
|-----------------------------------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------|----------------------------------------|
| Flavour symmetries of the quark sector of the SM | C_{eH} C_{eW} C_{eB} | C_{uH} C_{uG} C_{uW} C_{uB} C_{dH} C_{dG} C_{dW} C_{dB} C_{Hud} | $C_{HL}^{1,3}$ C_{He} | $C_{HQ}^{1,3}$ C_{Hu} C_{Hd} |
| $U(1)_B$ | 3 | 9 | 0 | 3 |
| $U(1)^2$ | 3 | 5 | 0 | 1 |
| $U(1)^3$ | 3 | 3 | 0 | 0 |
| $U(2) \times U(1)$ | 3 | 2 | 0 | 0 |
| $U(3)$ | 3 | 1 | 0 | 0 |
| Two degenerate electron-type leptons | $\times \frac{2}{3}$ | $\times 1$ | | $\times 1$ |
| All electron-type leptons degenerate | $\times \frac{1}{3}$ | $\times 1$ | | $\times 1$ |

maximal rank of transfer matrix
for different flavour symmetries of the Yukawa matrices

Minimal Sets for 4-Fermi Operators

| Wilson coefficient | Number of phases | Minimal set |
|--------------------|------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| C_{LL}, C_{ee} | 0 | \emptyset |
| C_{Le} | 3 | $\left\{ B_0^0 (C_{LL\bar{e}\bar{e}}) \ B_0^1 (C_{LL\bar{e}\bar{e}}) \ B_0^2 (C_{LL\bar{e}\bar{e}}) \right\}$ |
| C_{Qe} | 9 | $\left\{ \begin{array}{ccc} A_0^{1100} (C_{QQee}) & A_1^{1100} (C_{QQee}) & A_2^{1100} (C_{QQee}) \\ A_0^{2200} (C_{QQee}) & A_1^{2200} (C_{QQee}) & A_2^{2200} (C_{QQee}) \\ A_0^{1122} (C_{QQee}) & A_1^{1122} (C_{QQee}) & A_2^{1122} (C_{QQee}) \end{array} \right\}$ |
| C_{ed} | | Same with $C_{QQee} \rightarrow C_{eed\bar{d}}$ (exchanging upper with lower indices and with $Y_e \leftrightarrow Y_e^\dagger$) |
| C_{eu} | | Same with $C_{QQee} \rightarrow C_{ee\bar{u}\bar{u}}$ (exchanging upper with lower indices and with $Y_e \leftrightarrow Y_e^\dagger$) |
| $C_{LQ}^{(1,3)}$ | | $\left\{ \begin{array}{ccc} A_{1100}^0 (C_{LQ}^{(1,3)}) & A_{1100}^1 (C_{LQ}^{(1,3)}) & A_{1100}^2 (C_{LQ}^{(1,3)}) \\ A_{2200}^0 (C_{LQ}^{(1,3)}) & A_{2200}^1 (C_{LQ}^{(1,3)}) & A_{2200}^2 (C_{LQ}^{(1,3)}) \\ A_{1122}^0 (C_{LQ}^{(1,3)}) & A_{1122}^1 (C_{LQ}^{(1,3)}) & A_{1122}^2 (C_{LQ}^{(1,3)}) \end{array} \right\}$ |
| C_{Ld} | | Same with $C_{LQ}^{(1,3)} \rightarrow C_{LL\bar{d}\bar{d}}$ |
| C_{Lu} | | Same with $C_{LQ}^{(1,3)} \rightarrow C_{LL\bar{u}\bar{u}}$ |
| $C_{LeQu}^{(1,3)}$ | 27 | $\left\{ \begin{array}{ccc} A_{0000}^0 (C_{L\bar{e}Q\bar{u}}) & A_{0000}^1 (C_{L\bar{e}Q\bar{u}}) & A_{0000}^2 (C_{L\bar{e}Q\bar{u}}) \\ A_{1000}^0 (C_{L\bar{e}Q\bar{u}}) & A_{1000}^1 (C_{L\bar{e}Q\bar{u}}) & A_{1000}^2 (C_{L\bar{e}Q\bar{u}}) \\ A_{0100}^0 (C_{L\bar{e}Q\bar{u}}) & A_{0100}^1 (C_{L\bar{e}Q\bar{u}}) & A_{0100}^2 (C_{L\bar{e}Q\bar{u}}) \\ A_{1100}^0 (C_{L\bar{e}Q\bar{u}}) & A_{1100}^1 (C_{L\bar{e}Q\bar{u}}) & A_{1100}^2 (C_{L\bar{e}Q\bar{u}}) \\ A_{0110}^0 (C_{L\bar{e}Q\bar{u}}) & A_{0110}^1 (C_{L\bar{e}Q\bar{u}}) & A_{0110}^2 (C_{L\bar{e}Q\bar{u}}) \\ A_{2200}^0 (C_{L\bar{e}Q\bar{u}}) & A_{2200}^1 (C_{L\bar{e}Q\bar{u}}) & A_{2200}^2 (C_{L\bar{e}Q\bar{u}}) \\ A_{0220}^0 (C_{L\bar{e}Q\bar{u}}) & A_{0220}^1 (C_{L\bar{e}Q\bar{u}}) & A_{0220}^2 (C_{L\bar{e}Q\bar{u}}) \\ A_{1220}^0 (C_{L\bar{e}Q\bar{u}}) & A_{1220}^1 (C_{L\bar{e}Q\bar{u}}) & A_{1220}^2 (C_{L\bar{e}Q\bar{u}}) \\ A_{0122}^0 (C_{L\bar{e}Q\bar{u}}) & A_{0122}^1 (C_{L\bar{e}Q\bar{u}}) & A_{0122}^2 (C_{L\bar{e}Q\bar{u}}) \end{array} \right\}$ |
| C_{LedQ} | | Same with $C_{L\bar{e}Q\bar{u}} \rightarrow C_{L\bar{e}\bar{d}Q}$ and $A_{bcde}^a \rightarrow A_{edcb}^a$ |

| Wilson coefficient | Number of phases | Minimal set |
|--------------------|------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| $C_{QQ}^{(1,3)}$ | 18 | $\left\{ \begin{array}{ccc} A_{1100}^{0000} (C_{QQQQ}) & A_{1100}^{1000} (C_{QQQQ}) & A_{1100}^{0100} (C_{QQQQ}) \\ A_{2200}^{0000} (C_{QQQQ}) & A_{1100}^{1100} (C_{QQQQ}) & A_{2200}^{1000} (C_{QQQQ}) \\ A_{2200}^{0100} (C_{QQQQ}) & A_{1122}^{0000} (C_{QQQQ}) & A_{2200}^{1100} (C_{QQQQ}) \\ A_{2100}^{1200} (C_{QQQQ}) & A_{1122}^{1000} (C_{QQQQ}) & A_{1122}^{0100} (C_{QQQQ}) \\ A_{1122}^{1100} (C_{QQQQ}) & A_{2200}^{2200} (C_{QQQQ}) & B_{1100}^{0000} (C_{QQQQ}) \\ B_{2200}^{0000} (C_{QQQQ}) & B_{1122}^{0000} (C_{QQQQ}) & A_{1122}^{2200} (C_{QQQQ}) \end{array} \right\}$ |
| C_{uu} | 18 | $\left\{ \begin{array}{ccc} A_{1100}^{0000} (C_{uu\bar{u}\bar{u}}) & A_{1100}^{1000} (C_{uu\bar{u}\bar{u}}) & A_{1100}^{0100} (C_{uu\bar{u}\bar{u}}) \\ A_{2200}^{0000} (C_{uu\bar{u}\bar{u}}) & A_{1100}^{1100} (C_{uu\bar{u}\bar{u}}) & A_{2200}^{1000} (C_{uu\bar{u}\bar{u}}) \\ A_{2200}^{0100} (C_{uu\bar{u}\bar{u}}) & A_{1122}^{0000} (C_{uu\bar{u}\bar{u}}) & A_{2200}^{1100} (C_{uu\bar{u}\bar{u}}) \\ A_{1122}^{1000} (C_{uu\bar{u}\bar{u}}) & A_{1122}^{1000} (C_{uu\bar{u}\bar{u}}) & A_{0122}^{1100} (C_{uu\bar{u}\bar{u}}) \\ A_{1200}^{1200} (C_{uu\bar{u}\bar{u}}) & B_{1100}^{0000} (C_{uu\bar{u}\bar{u}}) & B_{1100}^{0100} (C_{uu\bar{u}\bar{u}}) \\ B_{0200}^{1200} (C_{uu\bar{u}\bar{u}}) & A_{1122}^{1200} (C_{uu\bar{u}\bar{u}}) & B_{1200}^{1000} (C_{uu\bar{u}\bar{u}}) \end{array} \right\}$ |
| C_{dd} | 18 | $\left\{ \begin{array}{ccc} A_{1100}^{0000} (C_{dd\bar{d}\bar{d}}) & A_{1100}^{1000} (C_{dd\bar{d}\bar{d}}) & A_{2200}^{0000} (C_{dd\bar{d}\bar{d}}) \\ A_{2000}^{1100} (C_{dd\bar{d}\bar{d}}) & A_{1100}^{0100} (C_{dd\bar{d}\bar{d}}) & A_{1100}^{1100} (C_{dd\bar{d}\bar{d}}) \\ A_{2200}^{1000} (C_{dd\bar{d}\bar{d}}) & A_{1122}^{0000} (C_{dd\bar{d}\bar{d}}) & A_{2200}^{1100} (C_{dd\bar{d}\bar{d}}) \\ A_{1122}^{1000} (C_{dd\bar{d}\bar{d}}) & A_{1220}^{1100} (C_{dd\bar{d}\bar{d}}) & A_{2110}^{1200} (C_{dd\bar{d}\bar{d}}) \\ A_{0122}^{2100} (C_{dd\bar{d}\bar{d}}) & A_{1220}^{2200} (C_{dd\bar{d}\bar{d}}) & B_{1100}^{0000} (C_{dd\bar{d}\bar{d}}) \\ B_{2100}^{0100} (C_{dd\bar{d}\bar{d}}) & B_{1100}^{1000} (C_{dd\bar{d}\bar{d}}) & B_{2000}^{1200} (C_{dd\bar{d}\bar{d}}) \end{array} \right\}$ |
| $C_{Qu}^{(1,8)}$ | 36 | $\left\{ \begin{array}{ccc} A_{0000}^{1100} (C_{QQuu}) & A_{1100}^{0000} (C_{QQ\bar{u}\bar{u}}) & A_{1100}^{1000} (C_{QQ\bar{u}\bar{u}}) \\ A_{0100}^{1100} (C_{QQ\bar{u}\bar{u}}) & A_{1100}^{0100} (C_{QQ\bar{u}\bar{u}}) & A_{0110}^{1100} (C_{QQ\bar{u}\bar{u}}) \\ A_{1000}^{1200} (C_{QQ\bar{u}\bar{u}}) & A_{0000}^{2200} (C_{QQuu}) & A_{2200}^{1100} (C_{QQ\bar{u}\bar{u}}) \\ A_{0220}^{1100} (C_{QQ\bar{u}\bar{u}}) & A_{0110}^{2200} (C_{QQ\bar{u}\bar{u}}) & A_{1122}^{1100} (C_{QQ\bar{u}\bar{u}}) \\ A_{1200}^{1220} (C_{QQ\bar{u}\bar{u}}) & A_{1122}^{2200} (C_{QQ\bar{u}\bar{u}}) & B_{0100}^{0000} (C_{QQ\bar{u}\bar{u}}) \\ B_{1000}^{0000} (C_{QQ\bar{u}\bar{u}}) & B_{0110}^{0000} (C_{QQ\bar{u}\bar{u}}) & B_{0220}^{0000} (C_{QQ\bar{u}\bar{u}}) \\ B_{0000}^{1100} (C_{QQ\bar{u}\bar{u}}) & B_{0221}^{0000} (C_{QQ\bar{u}\bar{u}}) & B_{1000}^{0100} (C_{QQ\bar{u}\bar{u}}) \\ B_{0100}^{1100} (C_{QQ\bar{u}\bar{u}}) & B_{2100}^{0100} (C_{QQ\bar{u}\bar{u}}) & B_{2110}^{0100} (C_{QQ\bar{u}\bar{u}}) \\ B_{0200}^{1100} (C_{QQ\bar{u}\bar{u}}) & B_{2100}^{0200} (C_{QQ\bar{u}\bar{u}}) & B_{2110}^{0200} (C_{QQ\bar{u}\bar{u}}) \\ B_{0110}^{1000} (C_{QQ\bar{u}\bar{u}}) & B_{1000}^{0220} (C_{QQ\bar{u}\bar{u}}) & B_{1000}^{1000} (C_{QQ\bar{u}\bar{u}}) \\ B_{1100}^{1100} (C_{QQ\bar{u}\bar{u}}) & B_{2200}^{1100} (C_{QQ\bar{u}\bar{u}}) & B_{2100}^{1200} (C_{QQ\bar{u}\bar{u}}) \\ B_{1200}^{1200} (C_{QQ\bar{u}\bar{u}}) & B_{1200}^{2100} (C_{QQ\bar{u}\bar{u}}) & B_{0221}^{0110} (C_{QQ\bar{u}\bar{u}}) \end{array} \right\}$ |
| $C_{Qd}^{(1,8)}$ | 36 | $\left\{ \begin{array}{ccc} A_{0000}^{1100} (C_{QQdd}) & A_{1100}^{0000} (C_{QQ\bar{d}\bar{d}}) & A_{1100}^{1000} (C_{QQ\bar{d}\bar{d}}) \\ A_{1000}^{1100} (C_{QQ\bar{d}\bar{d}}) & A_{0000}^{2200} (C_{QQdd}) & A_{1100}^{0100} (C_{QQ\bar{d}\bar{d}}) \\ A_{2200}^{0000} (C_{QQ\bar{d}\bar{d}}) & A_{1100}^{1100} (C_{QQ\bar{d}\bar{d}}) & A_{2100}^{1100} (C_{QQ\bar{d}\bar{d}}) \\ A_{0000}^{1122} (C_{QQdd}) & A_{1122}^{0000} (C_{QQ\bar{d}\bar{d}}) & A_{2200}^{1100} (C_{QQ\bar{d}\bar{d}}) \\ A_{0100}^{1100} (C_{QQ\bar{d}\bar{d}}) & A_{1122}^{1000} (C_{QQ\bar{d}\bar{d}}) & A_{1100}^{1122} (C_{QQ\bar{d}\bar{d}}) \\ A_{0122}^{2100} (C_{QQ\bar{d}\bar{d}}) & B_{0100}^{0000} (C_{QQ\bar{d}\bar{d}}) & B_{1000}^{0000} (C_{QQ\bar{d}\bar{d}}) \\ B_{0000}^{0110} (C_{QQ\bar{d}\bar{d}}) & B_{0220}^{0000} (C_{QQ\bar{d}\bar{d}}) & B_{1100}^{0000} (C_{QQ\bar{d}\bar{d}}) \\ B_{0221}^{0000} (C_{QQ\bar{d}\bar{d}}) & B_{2200}^{0000} (C_{QQ\bar{d}\bar{d}}) & B_{2210}^{0000} (C_{QQ\bar{d}\bar{d}}) \\ B_{0100}^{1000} (C_{QQ\bar{d}\bar{d}}) & B_{0120}^{0100} (C_{QQ\bar{d}\bar{d}}) & B_{1100}^{0100} (C_{QQ\bar{d}\bar{d}}) \\ B_{0210}^{0100} (C_{QQ\bar{d}\bar{d}}) & B_{0110}^{1000} (C_{QQ\bar{d}\bar{d}}) & B_{0220}^{1000} (C_{QQ\bar{d}\bar{d}}) \\ B_{0221}^{1000} (C_{QQ\bar{d}\bar{d}}) & B_{1200}^{1000} (C_{QQ\bar{d}\bar{d}}) & B_{2200}^{1100} (C_{QQ\bar{d}\bar{d}}) \\ B_{1100}^{1100} (C_{QQ\bar{d}\bar{d}}) & B_{2100}^{1200} (C_{QQ\bar{d}\bar{d}}) & B_{2211}^{2100} (C_{QQ\bar{d}\bar{d}}) \end{array} \right\}$ |

| Wilson coefficient | Number of phases | Minimal set |
|--------------------|------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| $C_{ud}^{(1,8)}$ | 36 | $\left\{ \begin{array}{ccc} A_{0000}^{1100} (C_{\bar{u}ud\bar{d}}) & A_{1100}^{0000} (C_{uud\bar{d}}) & A_{1100}^{1000} (C_{\bar{u}ud\bar{d}}) \\ A_{1000}^{1100} (C_{\bar{u}ud\bar{d}}) & A_{0000}^{2200} (C_{\bar{u}ud\bar{d}}) & A_{1100}^{0100} (C_{\bar{u}ud\bar{d}}) \\ A_{2200}^{0000} (C_{uud\bar{d}}) & A_{1100}^{1100} (C_{\bar{u}ud\bar{d}}) & A_{0110}^{1100} (C_{\bar{u}ud\bar{d}}) \\ A_{2200}^{1000} (C_{\bar{u}ud\bar{d}}) & A_{2100}^{1100} (C_{\bar{u}ud\bar{d}}) & A_{0000}^{1122} (C_{\bar{u}ud\bar{d}}) \\ A_{0100}^{1100} (C_{\bar{u}ud\bar{d}}) & A_{0000}^{1122} (C_{uud\bar{d}}) & A_{2200}^{1100} (C_{\bar{u}ud\bar{d}}) \\ A_{1122}^{1000} (C_{\bar{u}ud\bar{d}}) & A_{1122}^{0100} (C_{\bar{u}ud\bar{d}}) & A_{1122}^{1100} (C_{\bar{u}ud\bar{d}}) \\ B_{0100}^{0000} (C_{\bar{u}ud\bar{d}}) & B_{1000}^{0000} (C_{\bar{u}ud\bar{d}}) & B_{0110}^{0000} (C_{\bar{u}ud\bar{d}}) \\ B_{0000}^{1100} (C_{\bar{u}ud\bar{d}}) & B_{0221}^{0000} (C_{\bar{u}ud\bar{d}}) & B_{2200}^{0000} (C_{\bar{u}ud\bar{d}}) \\ B_{0100}^{1000} (C_{\bar{u}ud\bar{d}}) & B_{0110}^{1000} (C_{\bar{u}ud\bar{d}}) & B_{2110}^{0100} (C_{\bar{u}ud\bar{d}}) \\ B_{0200}^{1000} (C_{\bar{u}ud\bar{d}}) & B_{0110}^{0200} (C_{\bar{u}ud\bar{d}}) & B_{0110}^{1000} (C_{\bar{u}ud\bar{d}}) \\ B_{0221}^{1000} (C_{\bar{u}ud\bar{d}}) & B_{1200}^{1000} (C_{\bar{u}ud\bar{d}}) & B_{2200}^{1100} (C_{\bar{u}ud\bar{d}}) \\ B_{2211}^{1100} (C_{\bar{u}ud\bar{d}}) & B_{2100}^{1200} (C_{\bar{u}ud\bar{d}}) & B_{1200}^{1100} (C_{\bar{u}ud\bar{d}}) \end{array} \right\}$ |
| $C_{QuQd}^{(1,8)}$ | 81 | $\left\{ \begin{array}{ccc} A_{0000}^{0000} (C_{Q\bar{u}Q\bar{d}}) & A_{1000}^{0000} (C_{Q\bar{u}Q\bar{d}}) & A_{0000}^{1000} (C_{Q\bar{u}Q\bar{d}}) \\ A_{1000}^{1000} (C_{Q\bar{u}Q\bar{d}}) & A_{0100}^{0000} (C_{Q\bar{u}Q\bar{d}}) & A_{0000}^{0100} (C_{Q\bar{u}Q\bar{d}}) \\ A_{0000}^{1100} (C_{Q\bar{u}Q\bar{d}}) & A_{0110}^{0000} (C_{Q\bar{u}Q\bar{d}}) & A_{1000}^{0100} (C_{Q\bar{u}Q\bar{d}}) \\ A_{0100}^{1000} (C_{Q\bar{u}Q\bar{d}}) & A_{0000}^{1100} (C_{Q\bar{u}Q\bar{d}}) & A_{0000}^{0110} (C_{Q\bar{u}Q\bar{d}}) \\ A_{1100}^{1000} (C_{Q\bar{u}Q\bar{d}}) & A_{0110}^{1000} (C_{Q\bar{u}Q\bar{d}}) & A_{1000}^{0110} (C_{Q\bar{u}Q\bar{d}}) \\ A_{0100}^{0100} (C_{Q\bar{u}Q\bar{d}}) & A_{0100}^{0100} (C_{Q\bar{u}Q\bar{d}}) & A_{0100}^{0100} (C_{Q\bar{u}Q\bar{d}}) \\ A_{0110}^{0100} (C_{Q\bar{u}Q\bar{d}}) & A_{0220}^{0000} (C_{Q\bar{u}Q\bar{d}}) & A_{0000}^{0220} (C_{Q\bar{u}Q\bar{d}}) \\ A_{0200}^{0000} (C_{Q\bar{u}Q\bar{d}}) & A_{1100}^{0100} (C_{Q\bar{u}Q\bar{d}}) & A_{0110}^{1100} (C_{Q\bar{u}Q\bar{d}}) \\ A_{0200}^{1000} (C_{Q\bar{u}Q\bar{d}}) & A_{2100}^{0100} (C_{Q\bar{u}Q\bar{d}}) & A_{0110}^{0100} (C_{Q\bar{u}Q\bar{d}}) \\ A_{0110}^{0110} (C_{Q\bar{u}Q\bar{d}}) & A_{0210}^{0100} (C_{Q\bar{u}Q\bar{d}}) & A_{0000}^{0122} (C_{Q\bar{u}Q\bar{d}}) \\ A_{1200}^{0100} (C_{Q\bar{u}Q\bar{d}}) & A_{0122}^{0000} (C_{Q\bar{u}Q\bar{d}}) & A_{1220}^{0100} (C_{Q\bar{u}Q\bar{d}}) \\ A_{2000}^{0100} (C_{Q\bar{u}Q\bar{d}}) & A_{0122}^{0100} (C_{Q\bar{u}Q\bar{d}}) & A_{1220}^{0100} (C_{Q\bar{u}Q\bar{d}}) \\ A_{0122}^{1000} (C_{Q\bar{u}Q\bar{d}}) & A_{1100}^{1100} (C_{Q\bar{u}Q\bar{d}}) & A_{0220}^{1100} (C_{Q\bar{u}Q\bar{d}}) \\ A_{1200}^{1100} (C_{Q\bar{u}Q\bar{d}}) & A_{1200}^{2100} (C_{Q\bar{u}Q\bar{d}}) & A_{0210}^{2100} (C_{Q\bar{u}Q\bar{d}}) \\ A_{2100}^{1200} (C_{Q\bar{u}Q\bar{d}}) & A_{2200}^{0110} (C_{Q\bar{u}Q\bar{d}}) & A_{0220}^{0110} (C_{Q\bar{u}Q\bar{d}}) \\ A_{0110}^{0112} (C_{Q\bar{u}Q\bar{d}}) & A_{1100}^{1220} (C_{Q\bar{u}Q\bar{d}}) & A_{2100}^{1000} (C_{Q\bar{u}Q\bar{d}}) \\ A_{2000}^{0112} (C_{Q\bar{u}Q\bar{d}}) & A_{1122}^{0100} (C_{Q\bar{u}Q\bar{d}}) & A_{0112}^{0100} (C_{Q\bar{u}Q\bar{d}}) \\ A_{1200}^{0122} (C_{Q\bar{u}Q\bar{d}}) & A_{2200}^{0100} (C_{Q\bar{u}Q\bar{d}}) & A_{0110}^{0122} (C_{Q\bar{u}Q\bar{d}}) \\ A_{0122}^{0100} (C_{Q\bar{u}Q\bar{d}}) & A_{0220}^{0100} (C_{Q\bar{u}Q\bar{d}}) & B_{0000}^{0000} (C_{Q\bar{u}Q\bar{d}}) \\ B_{0000}^{0100} (C_{Q\bar{u}Q\bar{d}}) & B_{0000}^{0000} (C_{Q\bar{u}Q\bar{d}}) & B_{0000}^{0100} (C_{Q\bar{u}Q\bar{d}}) \\ B_{0000}^{0200} (C_{Q\bar{u}Q\bar{d}}) & B_{0000}^{0110} (C_{Q\bar{u}Q\bar{d}}) & B_{0000}^{0122} (C_{Q\bar{u}Q\bar{d}}) \\ B_{0000}^{0220} (C_{Q\bar{u}Q\bar{d}}) & B_{0000}^{0100} (C_{Q\bar{u}Q\bar{d}}) & B_{1000}^{0100} (C_{Q\bar{u}Q\bar{d}}) \\ B_{0100}^{0100} (C_{Q\bar{u}Q\bar{d}}) & B_{2100}^{0100} (C_{Q\bar{u}Q\bar{d}}) & B_{0120}^{0100} (C_{Q\bar{u}Q\bar{d}}) \\ B_{0100}^{0120} (C_{Q\bar{u}Q\bar{d}}) & B_{0120}^{0200} (C_{Q\bar{u}Q\bar{d}}) & B_{0000}^{0100} (C_{Q\bar{u}Q\bar{d}}) \\ B_{0100}^{0100} (C_{Q\bar{u}Q\bar{d}}) & B_{1200}^{1000} (C_{Q\bar{u}Q\bar{d}}) & B_{0110}^{1000} (C_{Q\bar{u}Q\bar{d}}) \\ B_{0122}^{1000} (C_{Q\bar{u}Q\bar{d}}) & B_{1200}^{1000} (C_{Q\bar{u}Q\bar{d}}) & B_{0110}^{1000} (C_{Q\bar{u}Q\bar{d}}) \\ B_{0220}^{1000} (C_{Q\bar{u}Q\bar{d}}) & B_{1200}^{1000} (C_{Q\bar{u}Q\bar{d}}) & B_{0110}^{1000} (C_{Q\bar{u}Q\bar{d}}) \\ B_{0221}^{1000} (C_{Q\bar{u}Q\bar{d}}) & B_{1200}^{1000} (C_{Q\bar{u}Q\bar{d}}) & B_{2100}^{1000} (C_{Q\bar{u}Q\bar{d}}) \\ B_{0122}^{1000} (C_{Q\bar{u}Q\bar{d}}) & B_{0000}^{2200} (C_{Q\bar{u}Q\bar{d}}) & A_{1122}^{2200} (C_{Q\bar{u}Q\bar{d}}) \end{array} \right\}$ |

the 597 invariants associated to the 4F operators

4-Fermi Operators

Minimal and maximal bases

- As for the bilinears, one can construct a minimal basis of invariants:

“CP is conserved iff J_4 and the invariants of a minimal basis are all vanishing”

- The dimension of the **minimal** basis is always equal to the number of physical phases associated to an operator: $QQQQ \rightarrow 18$, $QuQd \rightarrow 81$, $LLuu \rightarrow 36/9$ (w/wo neutrino masses) ...
- But the real coefficients also contribute to CPV: the dimension of the **maximal** basis is equal to the total number of parameters associated to an operator: $QQQQ \rightarrow 45$, $QuQd \rightarrow 162$, $LLuu \rightarrow 81/27$ (w/wo neutrino masses) ...

SMEFT CPV Invariants with Theta QCD

Can we build new invariants using Θ_{QCD} ?

| | $SU(3)_{Q_L}$ | $U(1)_{Q_L}$ | $SU(3)_{u_R}$ | $U(1)_{u_R}$ | $SU(3)_{d_R}$ | $U(1)_{d_R}$ |
|----------------------------|---------------|--------------|--------------------|--------------|--------------------|--------------|
| Q_L | 3 | 1 | 1 | 0 | 1 | 0 |
| u_R | 1 | 0 | 3 | 1 | 1 | 0 |
| d_R | 1 | 0 | 1 | 0 | 3 | 1 |
| Y_u | 3 | 1 | $\bar{\mathbf{3}}$ | -1 | 1 | 0 |
| Y_d | 3 | 1 | 1 | 0 | $\bar{\mathbf{3}}$ | -1 |
| $e^{i\theta_{\text{QCD}}}$ | 1 | 6 | 1 | -3 | 1 | -3 |

- Given that $\bar{\theta} = \theta - \arg \det(Y_u Y_d)$ is a flavour invariant, no new SM₄ invariant can be constructed
- In SM₆, in principle, new structure can emerge

$$\text{Im} \left(e^{-i\theta_{\text{QCD}}} \epsilon^{ABC} \epsilon^{abc} Y_{u,Aa} Y_{u,Bb} C_{uH,Cc} \det Y_d \right)$$

- Probably highly suppressed in the perturbative regime of QCD ($e^{-8\pi^2/g_s^2} \sim \lambda^{37}$)
- Relevant at low scale?

Shift-invariant axion: non-perturbative condition

Θ_{QCD} again

$$-\frac{C_g g_3^2}{16\pi^2} \frac{a}{f} \text{Tr}(G_{\mu\nu} \tilde{G}^{\mu\nu})$$

breaks shift-invariance non-perturbatively (instanton effects)
(in the operator basis where fermion couplings are derivative)

Shift-invariant axion: non-perturbative condition

Θ_{QCD} again

$$-\frac{C_g g_3^2}{16\pi^2} \frac{a}{f} \text{Tr}(G_{\mu\nu} \tilde{G}^{\mu\nu})$$

breaks shift-invariance non-perturbatively (instanton effects)
(in the operator basis where fermion couplings are derivative)

$$I_g \equiv C_g + \text{Im Tr} (Y_u^{-1} \tilde{Y}_u + Y_d^{-1} \tilde{Y}_d) = 0$$

is the basis independent condition for the shift-invariance to be maintained at the non-perturbative level

Shift-invariant axion: non-perturbative condition

Θ_{QCD} again

$$-\frac{C_g g_3^2}{16\pi^2} \frac{a}{f} \text{Tr}(G_{\mu\nu} \tilde{G}^{\mu\nu})$$

breaks shift-invariance non-perturbatively (instanton effects)
(in the operator basis where fermion couplings are derivative)

$$I_g \equiv C_g + \text{Im Tr} (Y_u^{-1} \tilde{Y}_u + Y_d^{-1} \tilde{Y}_d) = 0$$

is the basis independent condition for the shift-invariance to be maintained at the non-perturbative level

It can be shown again that this condition is **RG invariant**

$$\mu \frac{dI_g}{d\mu} = 0 \quad \text{whenever shift-symmetry holds } (l_g = l_i = 0 \text{ for } i=1 \dots 13)$$