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מכון ויצמן למדע

WEIZMANN INSTITUTE OF SCIENCE

# Machine Learning in Particle Theory - MITP Summer School 2023

## Eilam Gross

### Particle Flow with Deep Learning

✓ Lecture 1: GNN+Attention

✓ Lecture 2: Transformers + Set Generation  
(with the help of **N. Kakati** and **N. Soybelman**)

✓ Lecture 3: Hyper Graphs + TSPN Particle Flow  
(with the help of **N. Kakati**, **Etienne Dreyer** )



# Syllabus

✓ Graph Neural Nets

✓ Set to Graph

✓ Attention is all you need

✓ • Transformers, ✓ Slot Attention (SA)

✓ • Set Prediction Networks with a Transformer and SA (TSPN-SA)

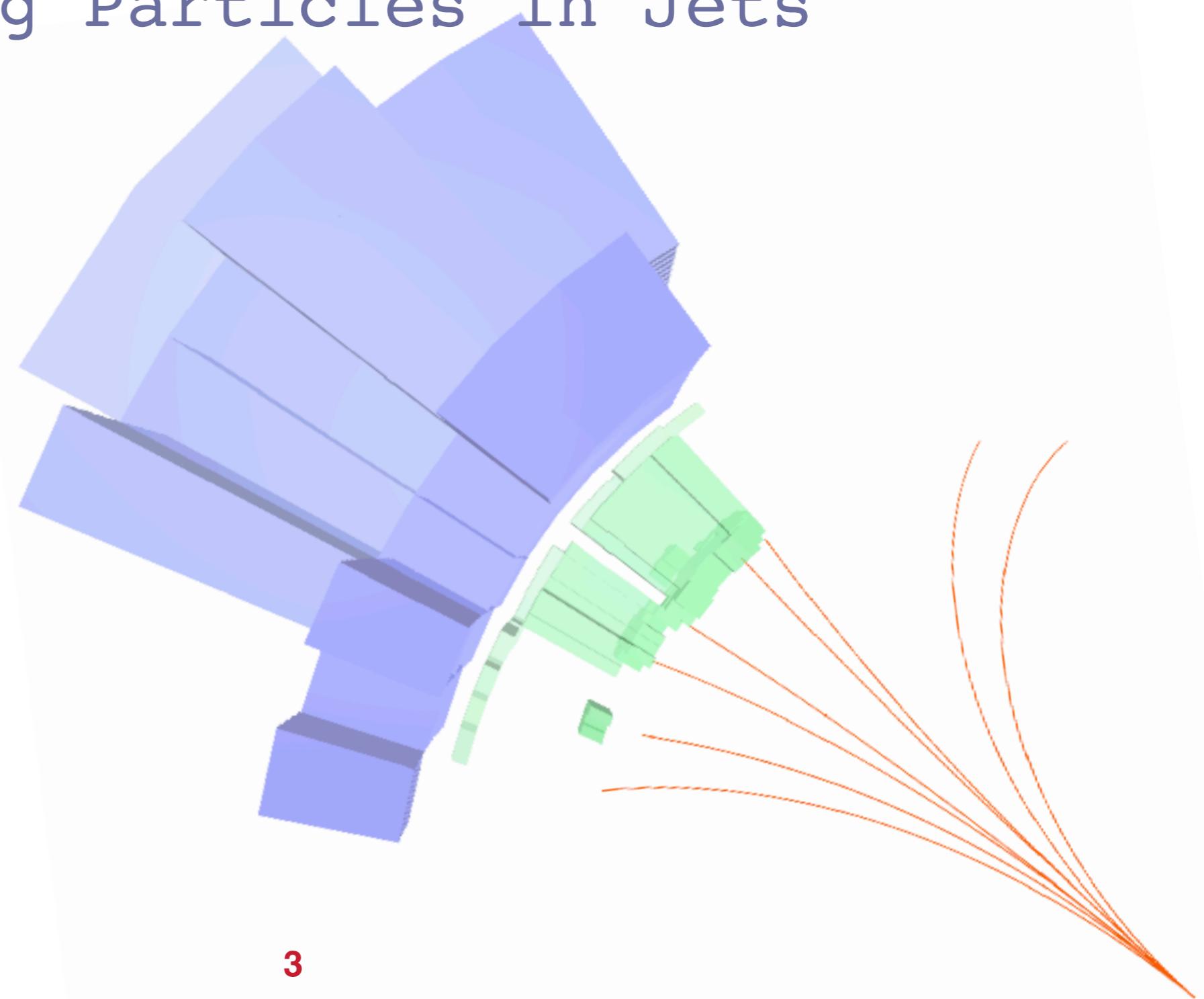
✓ Constrained Variational Auto Encoder (cVAE)

✓ Particle Flow

(Reconstructing Particles in Jets using TSPN-SA,  
Hyper-Graph PFlow [HGPflow])

✓ Simulation of PF Objects (Using TSPN-SA, cVAE)

# Towards Computer Vision Particle Flow or Reconstructing Particles in Jets



Bubble Chamber

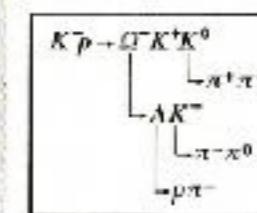
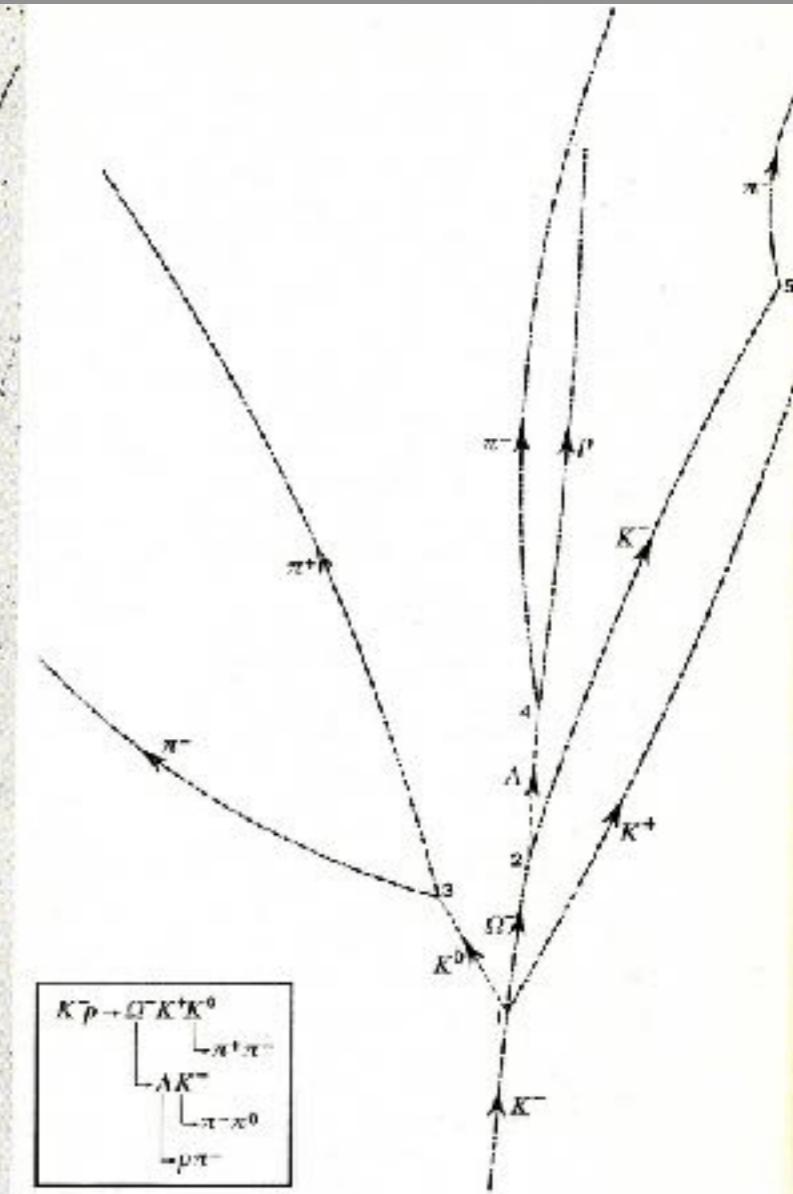


1964

Omega Minus Discovery

# Piecing together particles

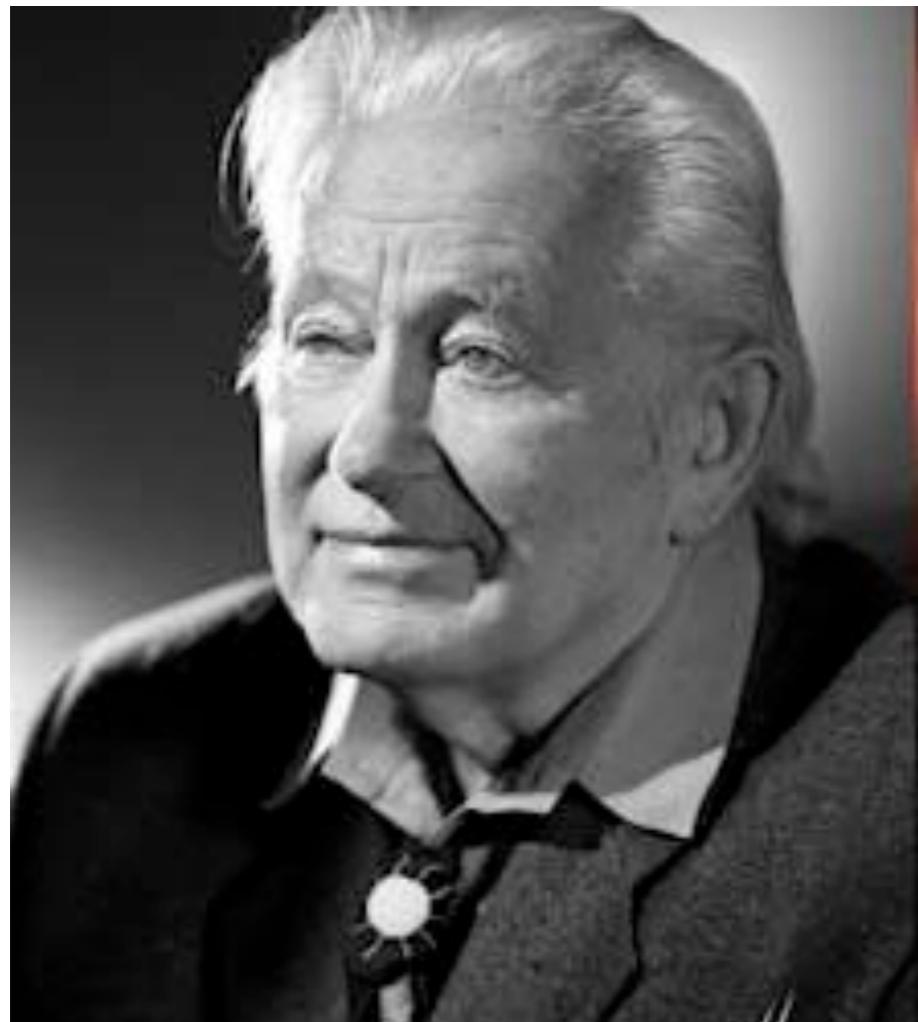
Einstein: imagination is more important than knowledge.



1968 Multiwire Proportional Chamber

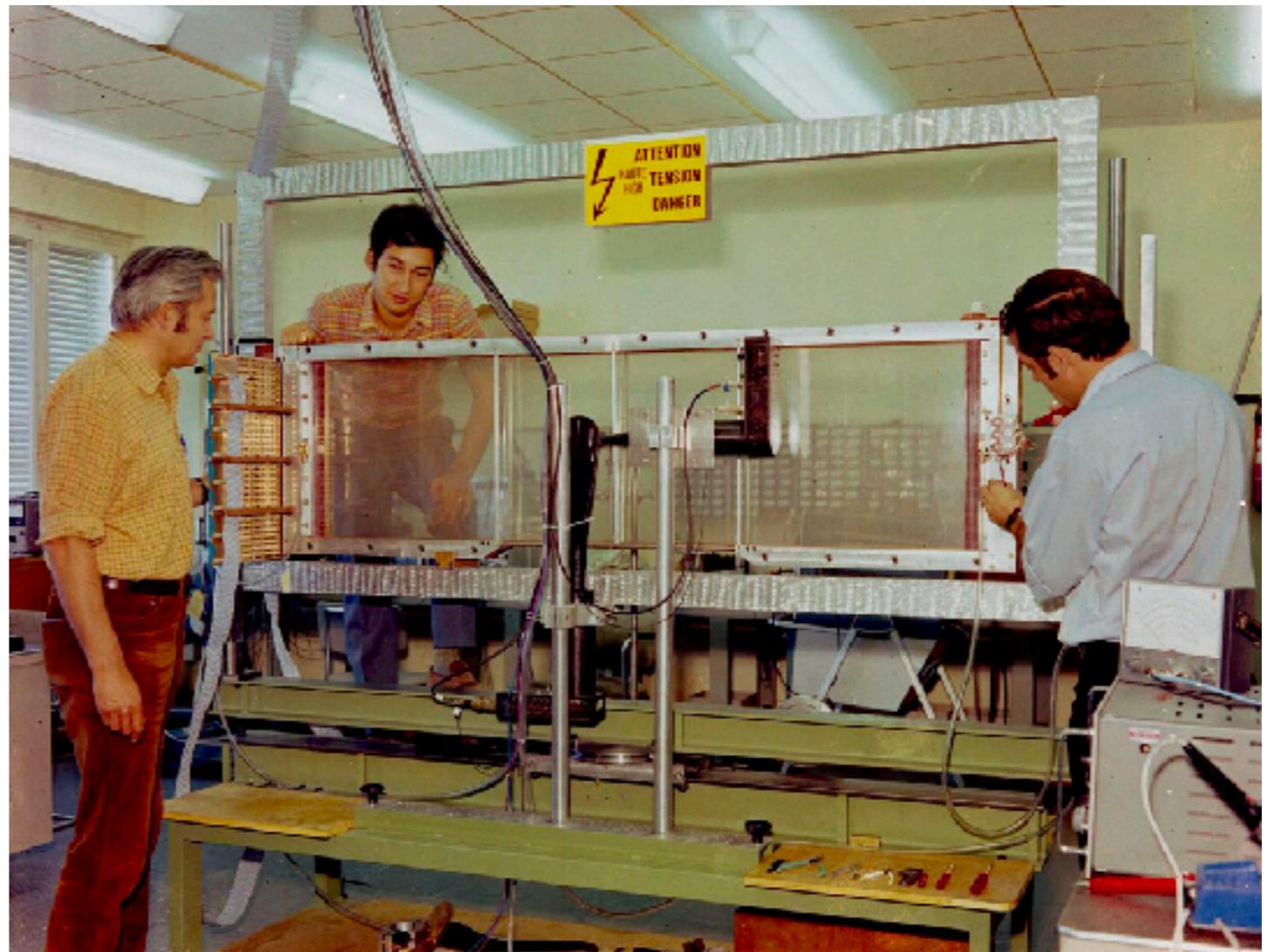


1992  
Georges Charpak

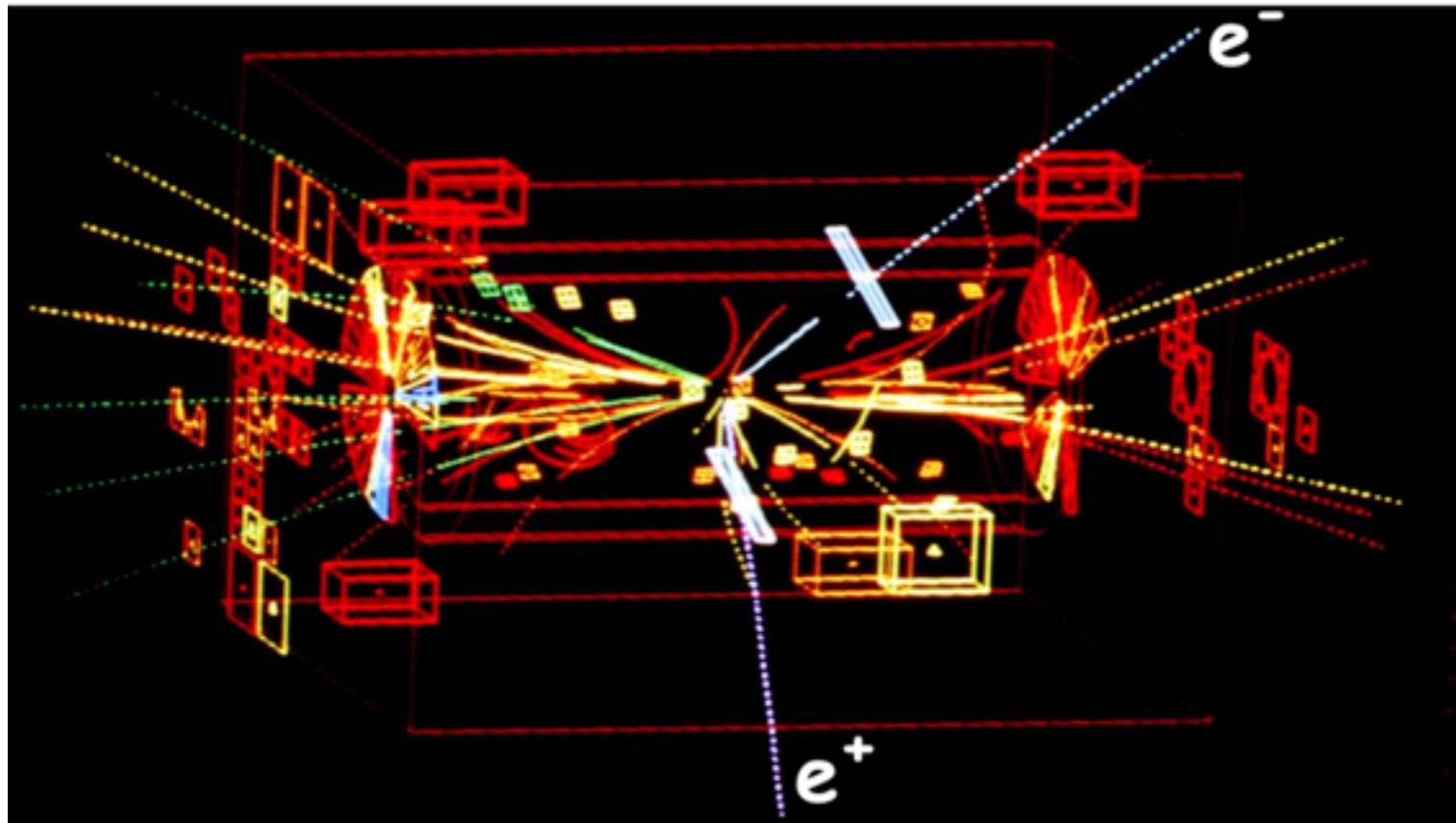


# Piecing together particles

1968 multiwire proportional chamber



# Piecing together particles

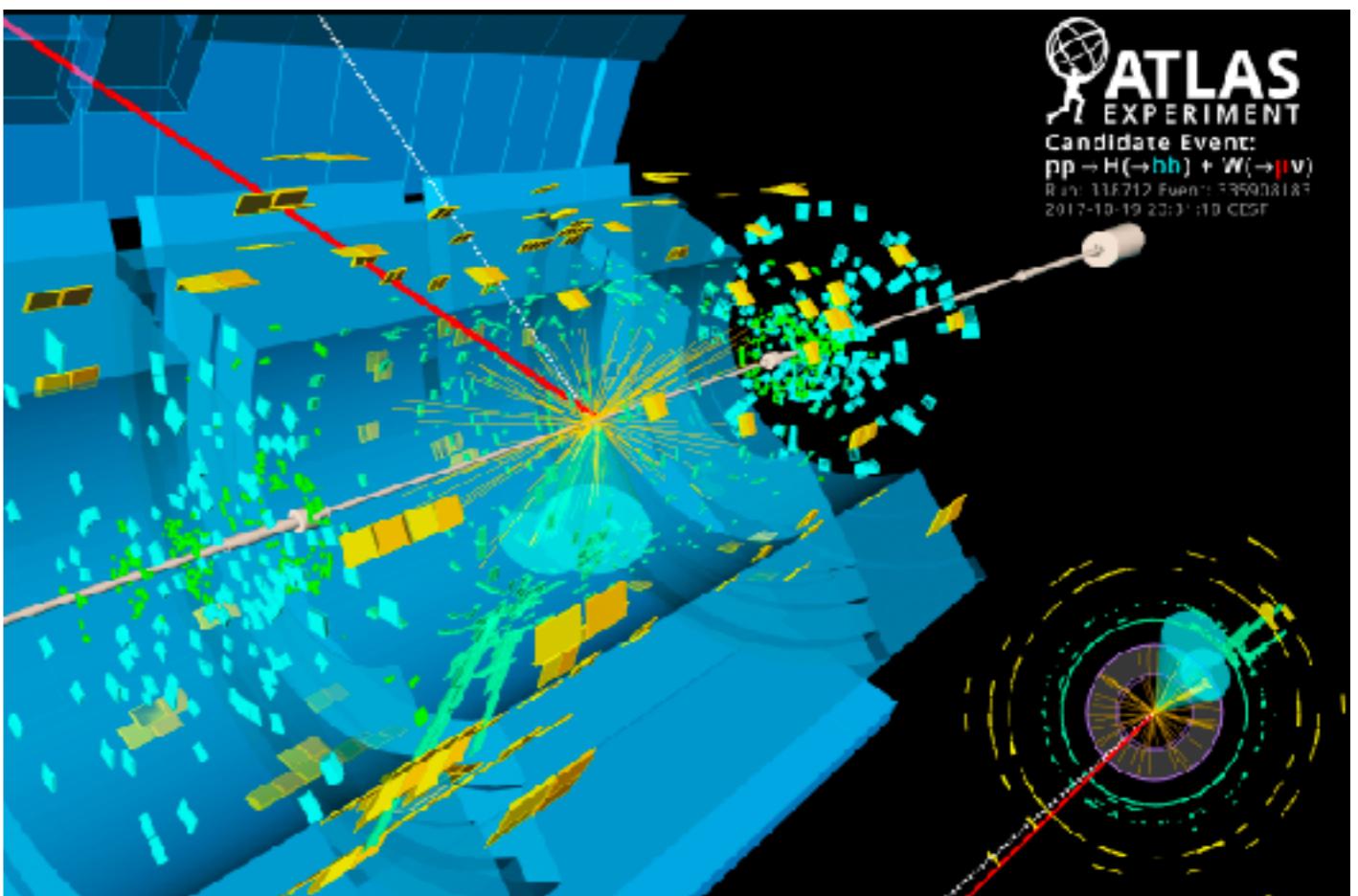
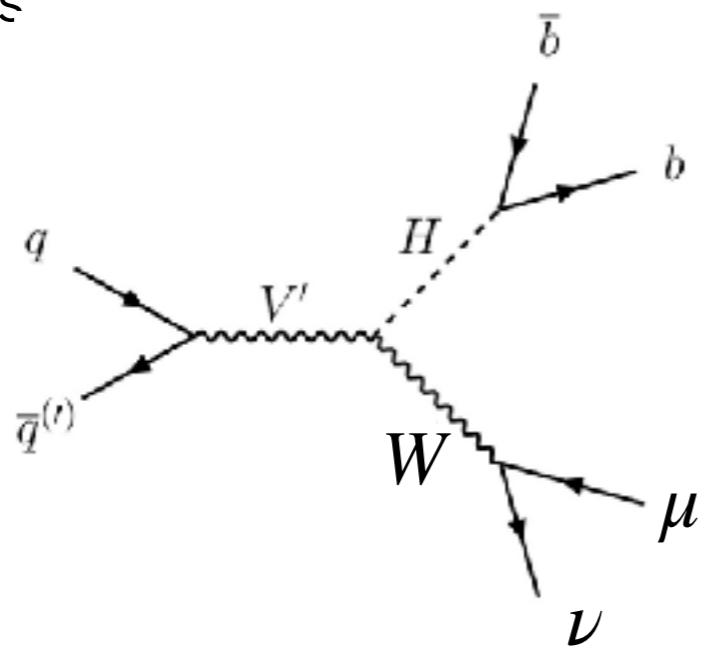


ATLAS & CMS  
2013

Higgs boson

UA1  
1984

W, Z bosons

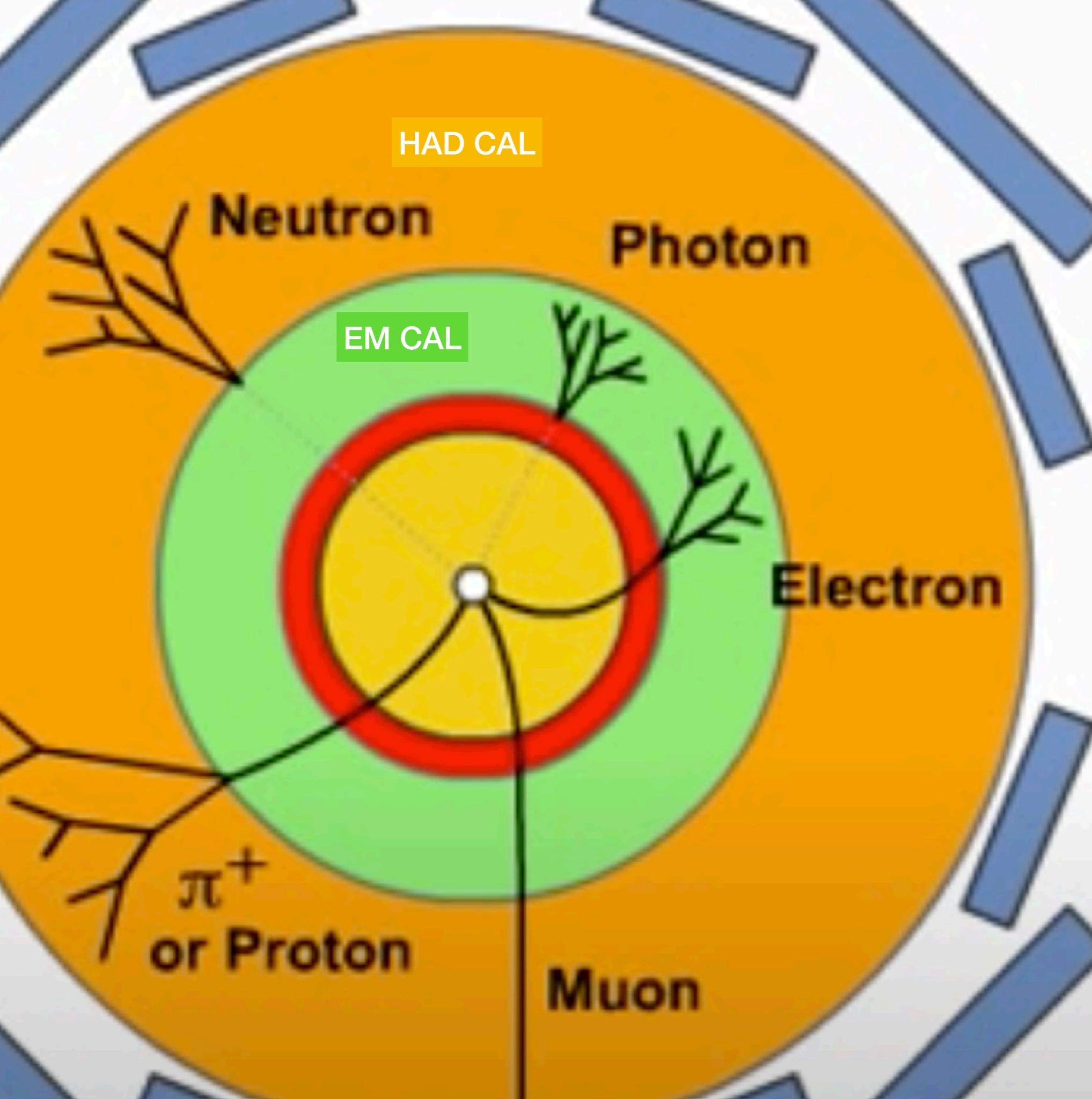


**A Particle Detector**



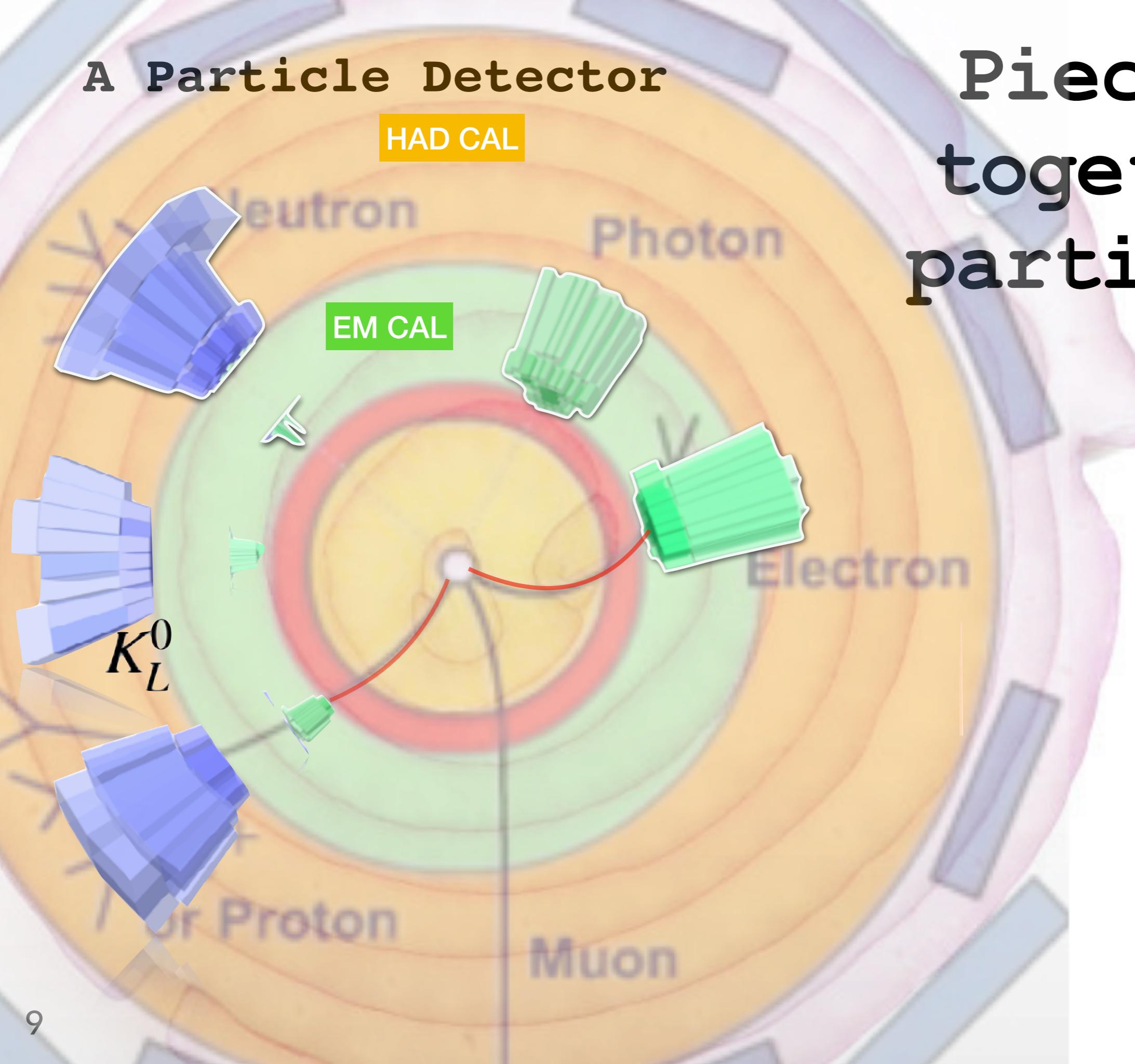
**Piecing  
together  
particles**

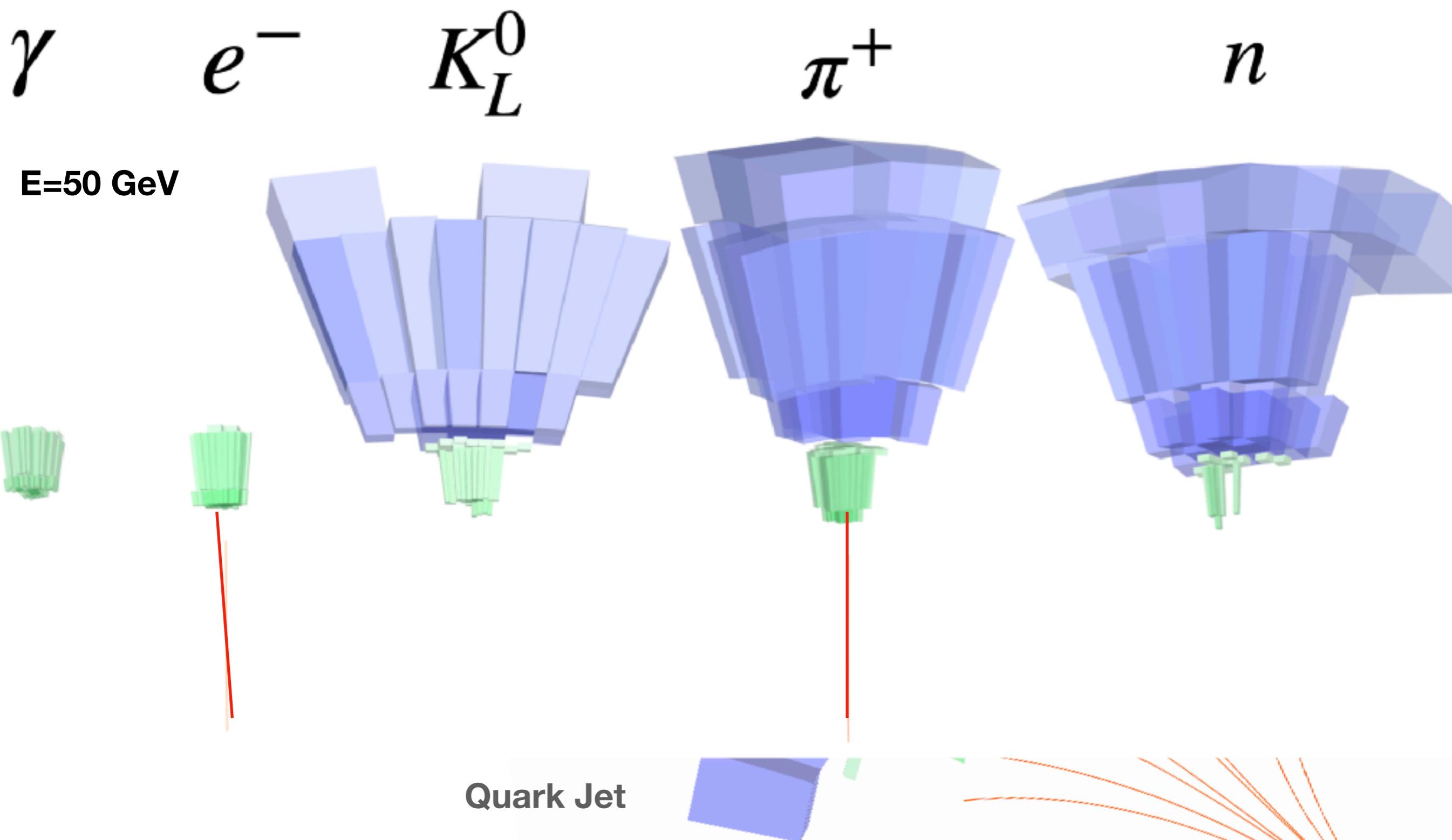
receiving  
ether  
particles



## A Particle Detector

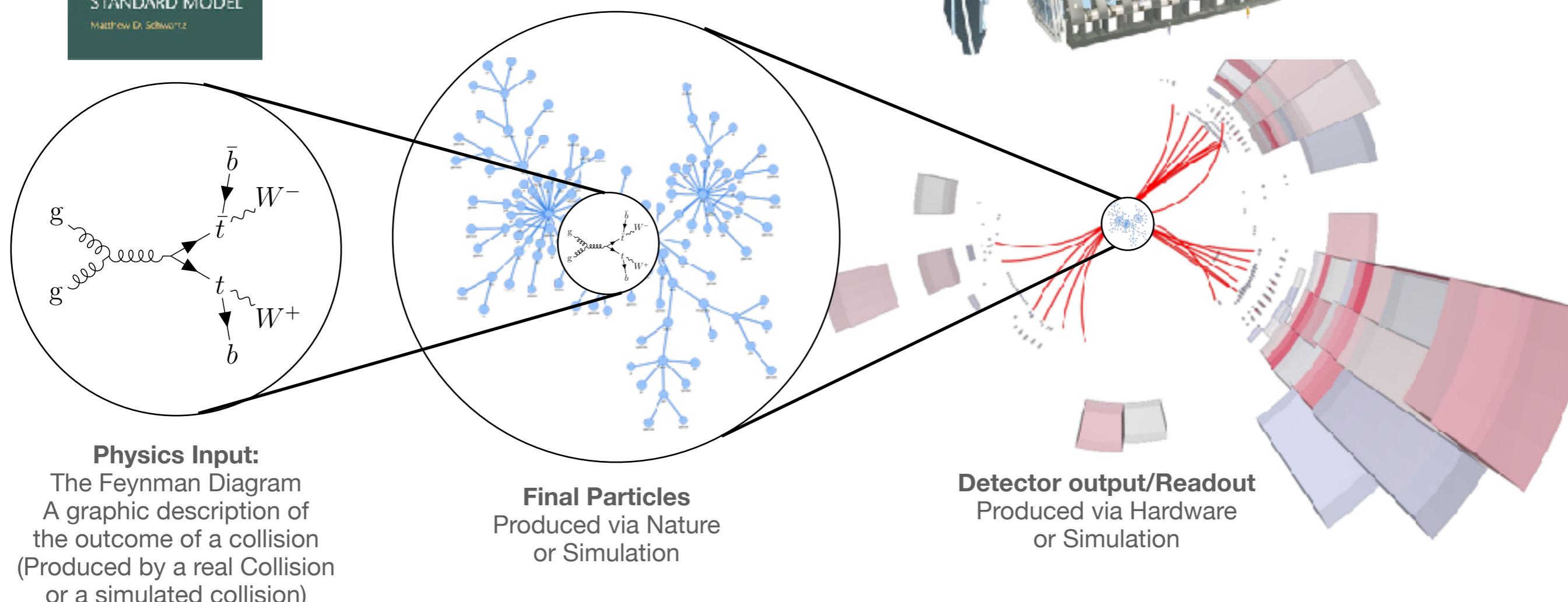
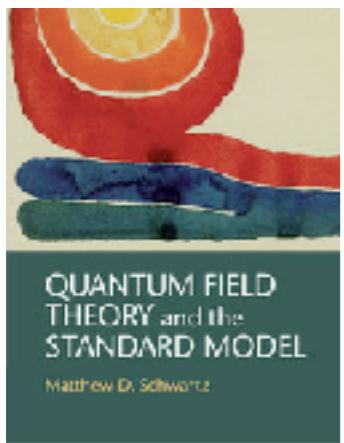
Piecing  
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particles





**Most Neutral Hadrons below 5 GeV release their Energy in Ecal only**

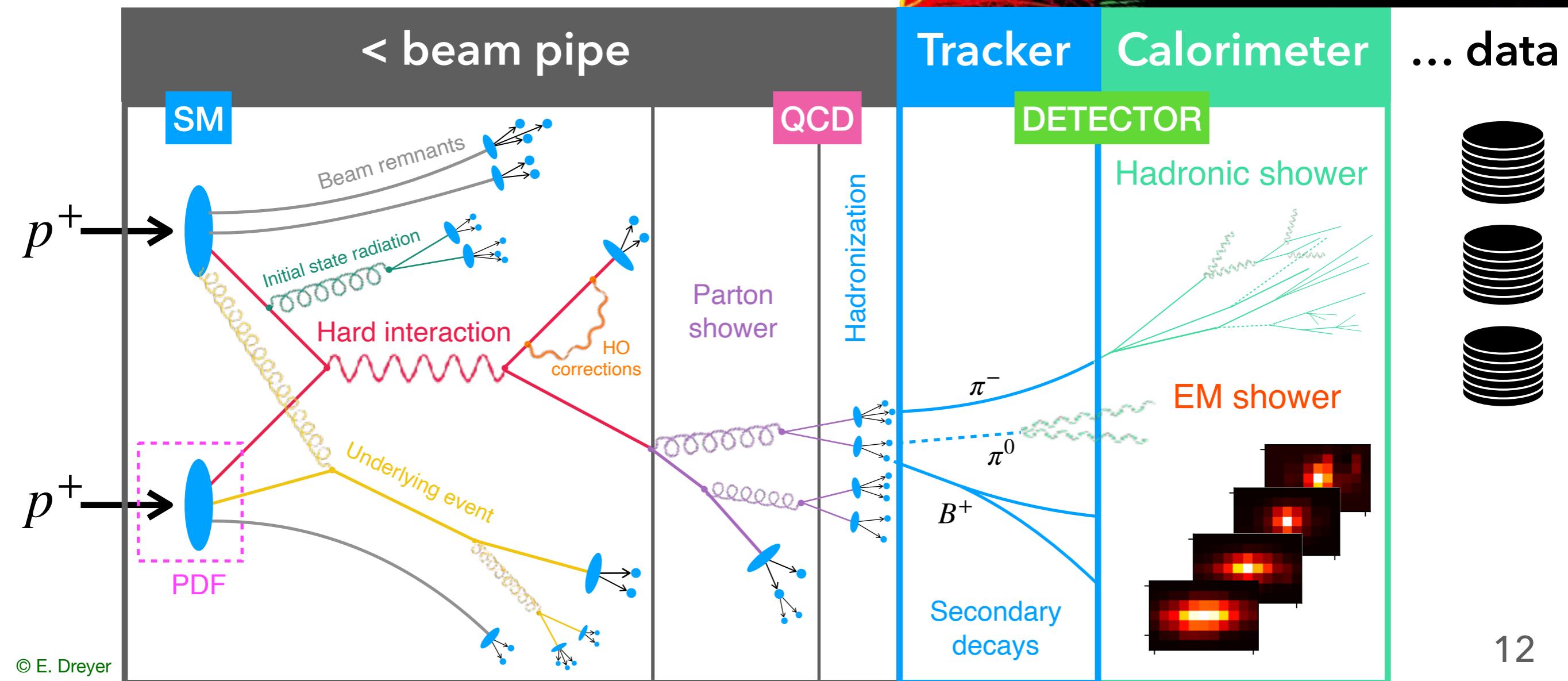
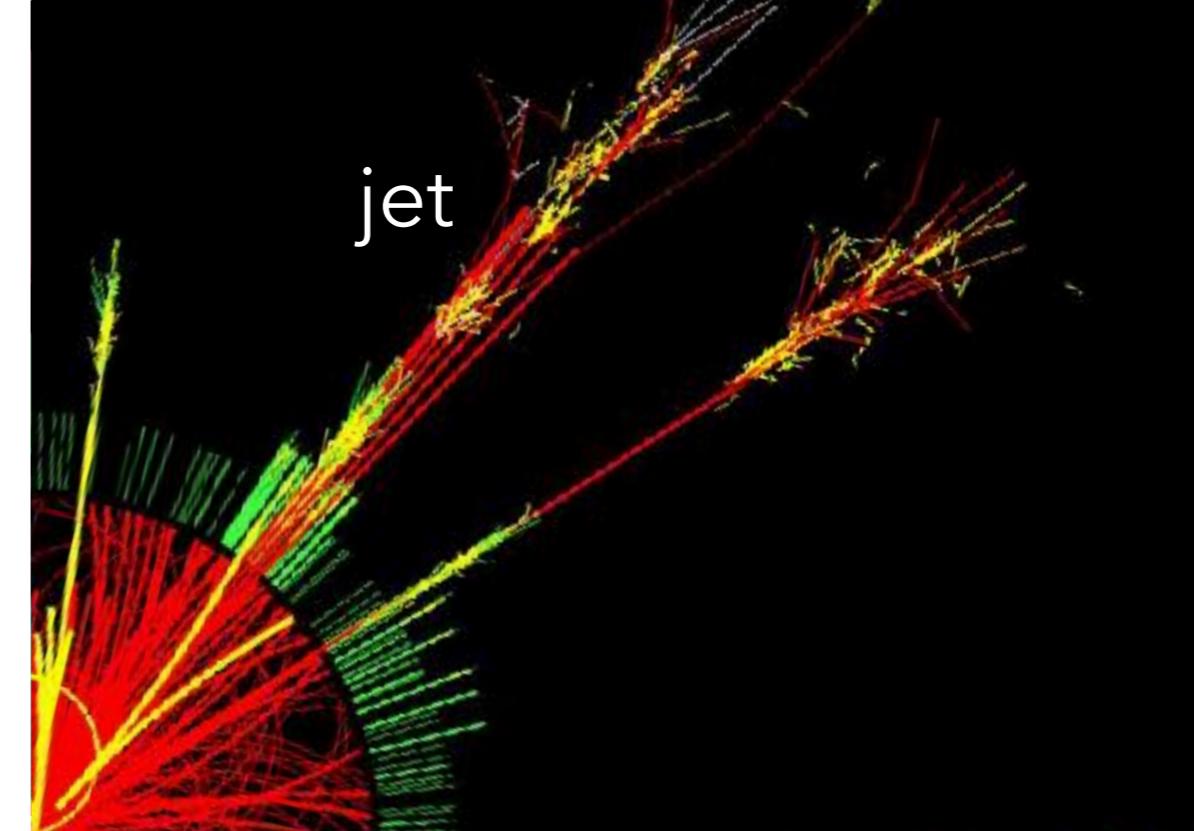
# In a Nut Shell: From Reconstruction to Particles



**The Goal:** Reconstruct the stable outgoing particles  
from the detector readout.

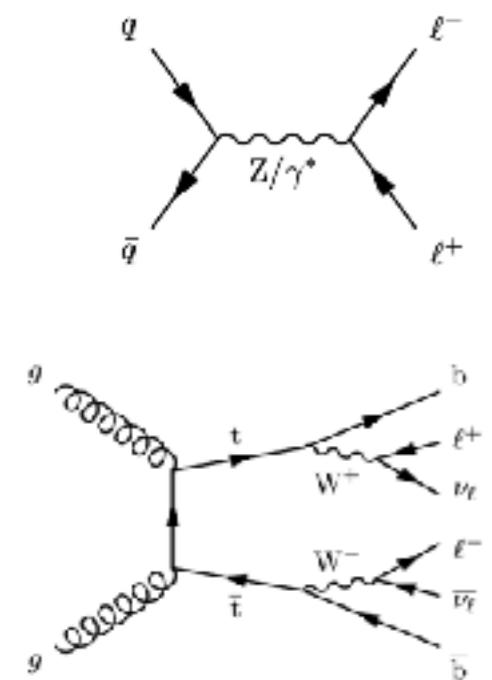
# Information flow in $p^+p^+$ collisions

Soft QCD dynamics  
⇒ collimated jets of hadrons



# The inverse problem

Event classification



Regression

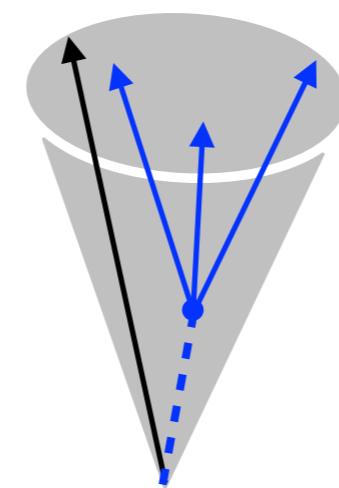
Reconstruction

Classification

momentum  
 $p_T$  ( $10^0 - 10^3$  GeV)

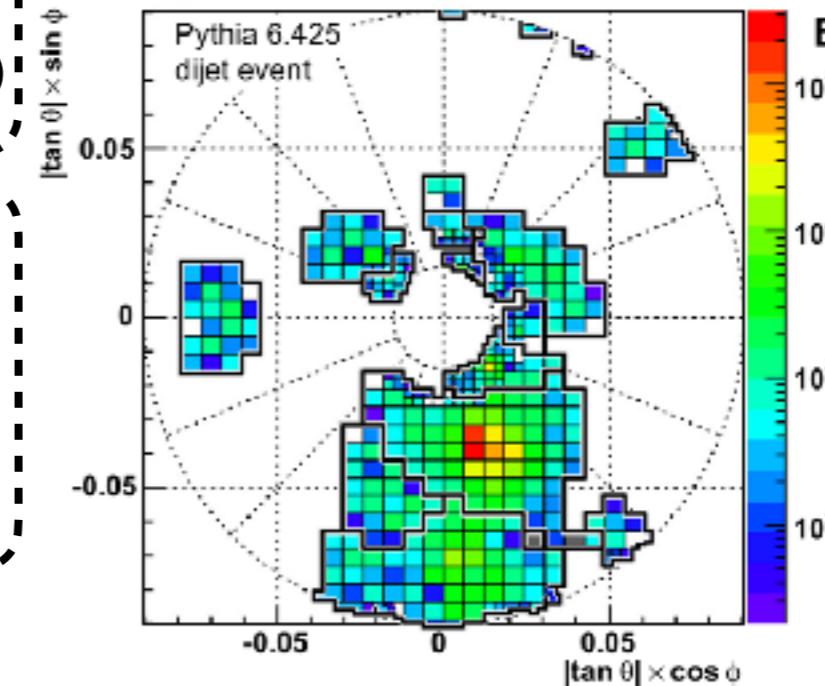
$\gamma$   
 $e, \mu, \tau$   
 $\nu$  ( $E_T^{\text{miss}}$ )  
hadrons (jets)

Jet tagging

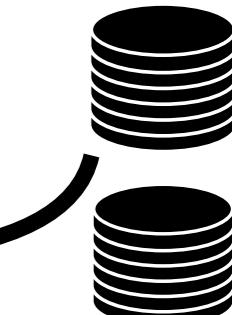


Clustering

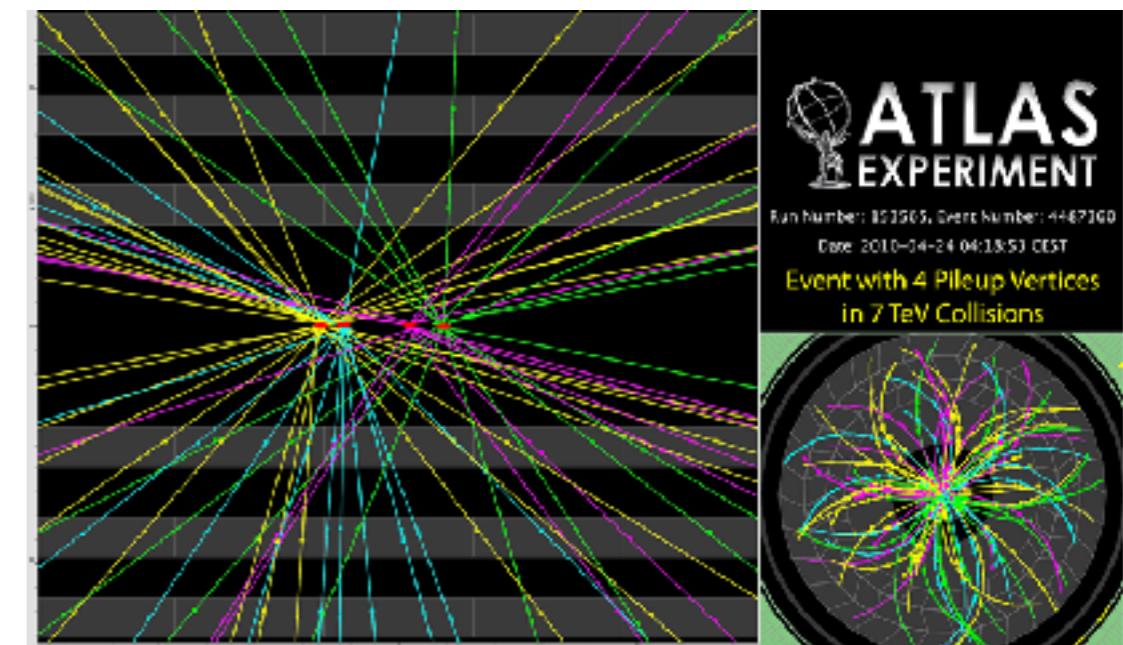
ATLAS simulation 2010



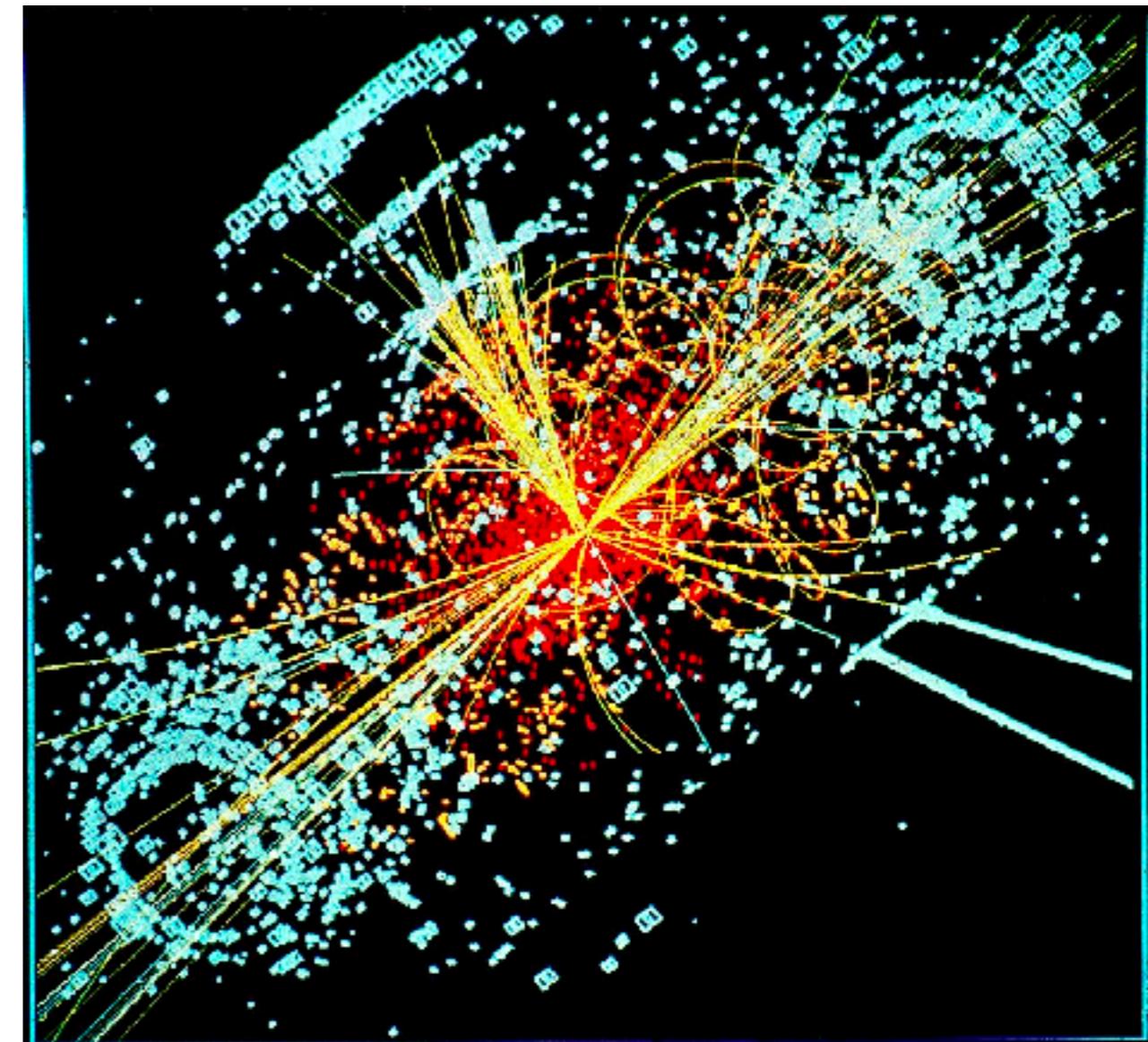
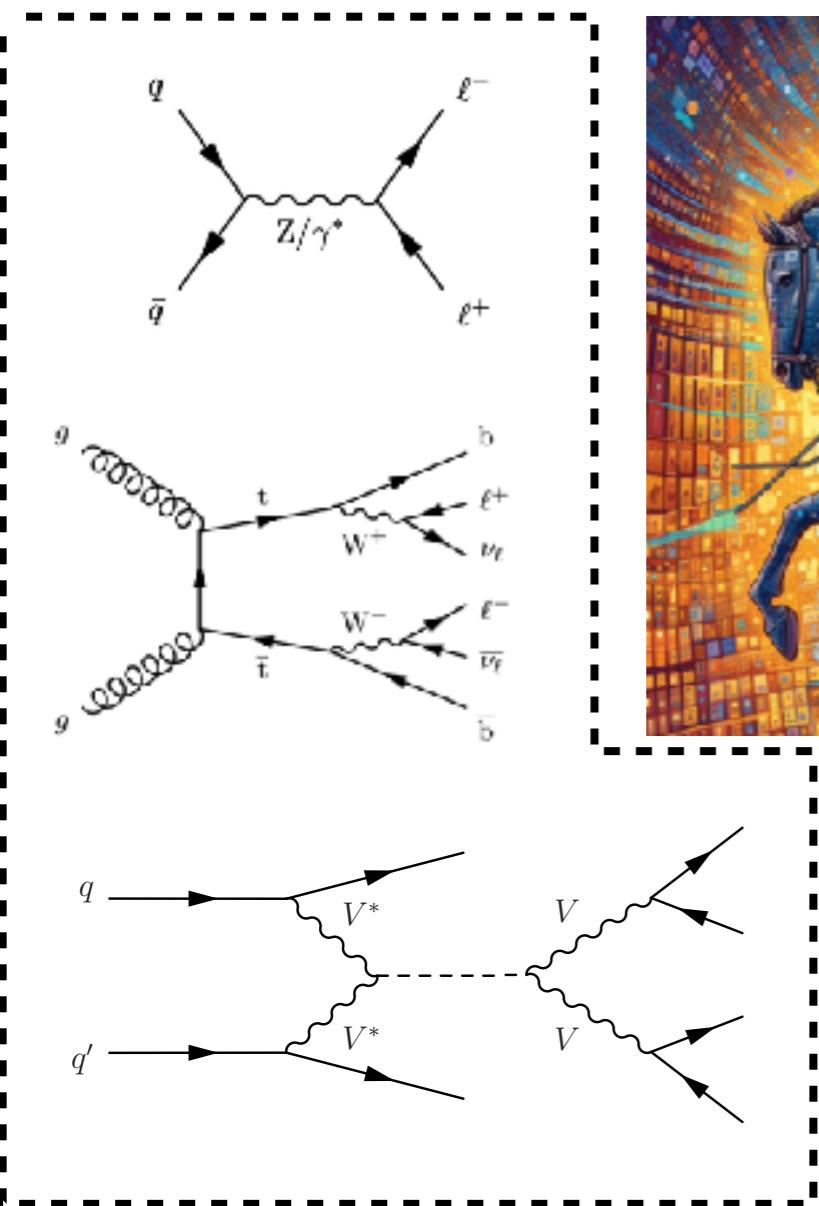
... data



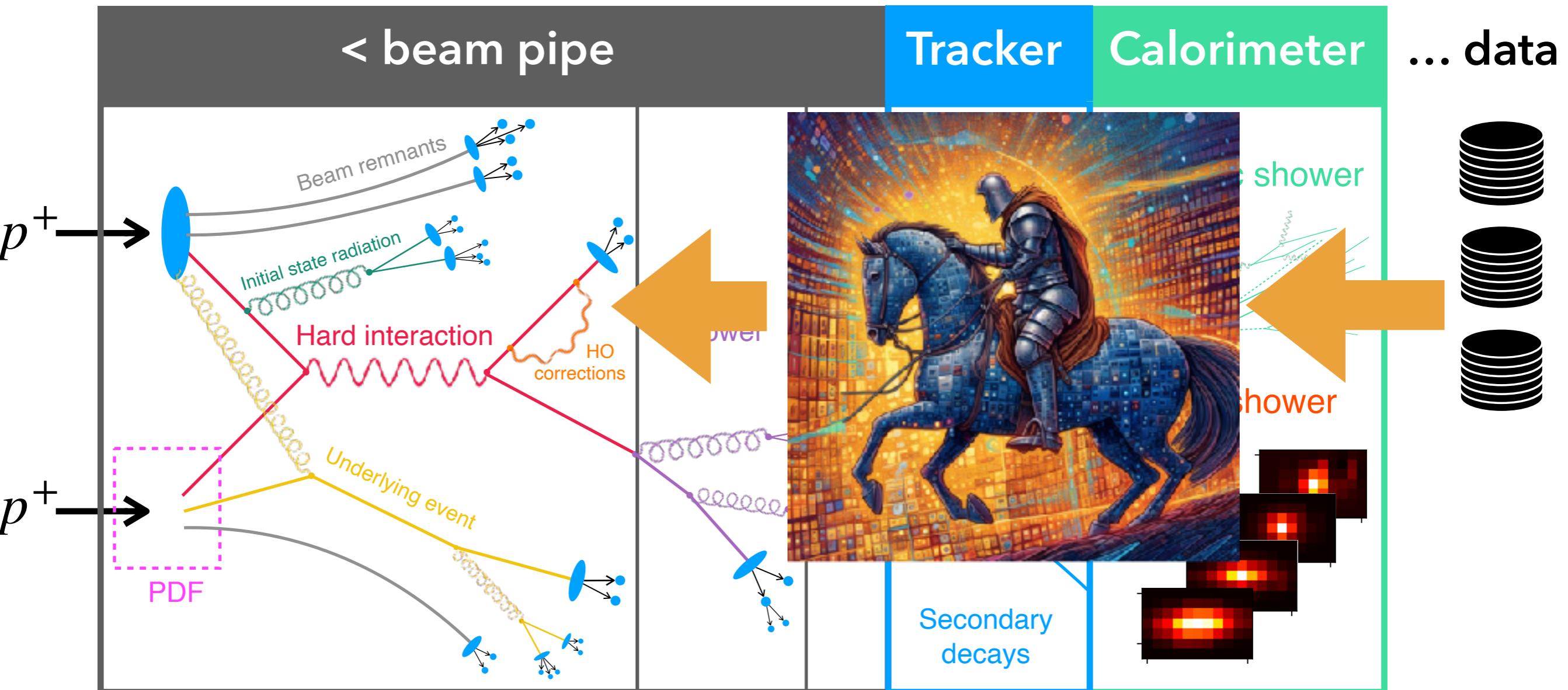
Tracking



# The Holy Grail of Particle Physics



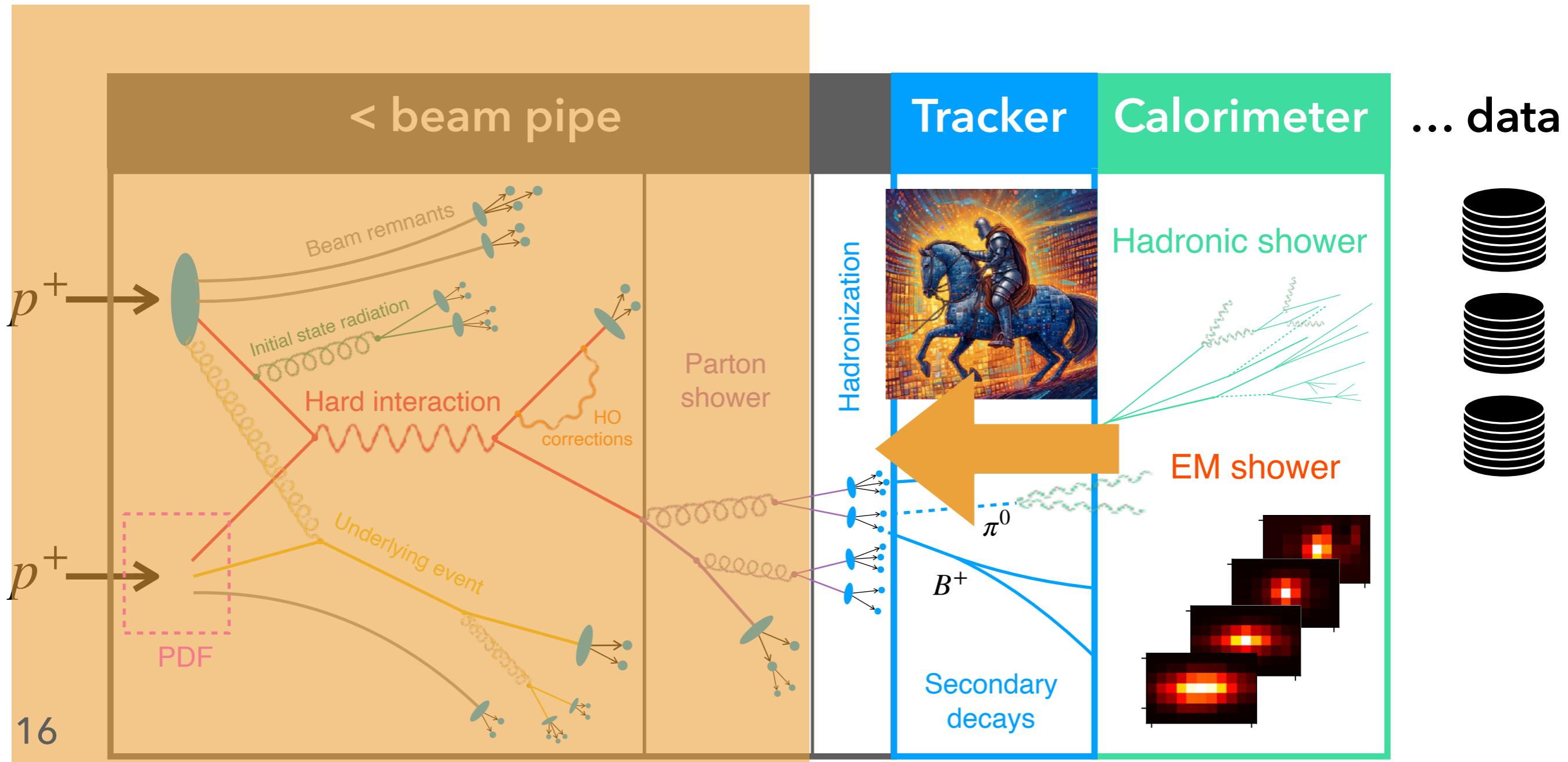
# The Holy Grail of Particle Physics



The Holy Grail of Particle Physics

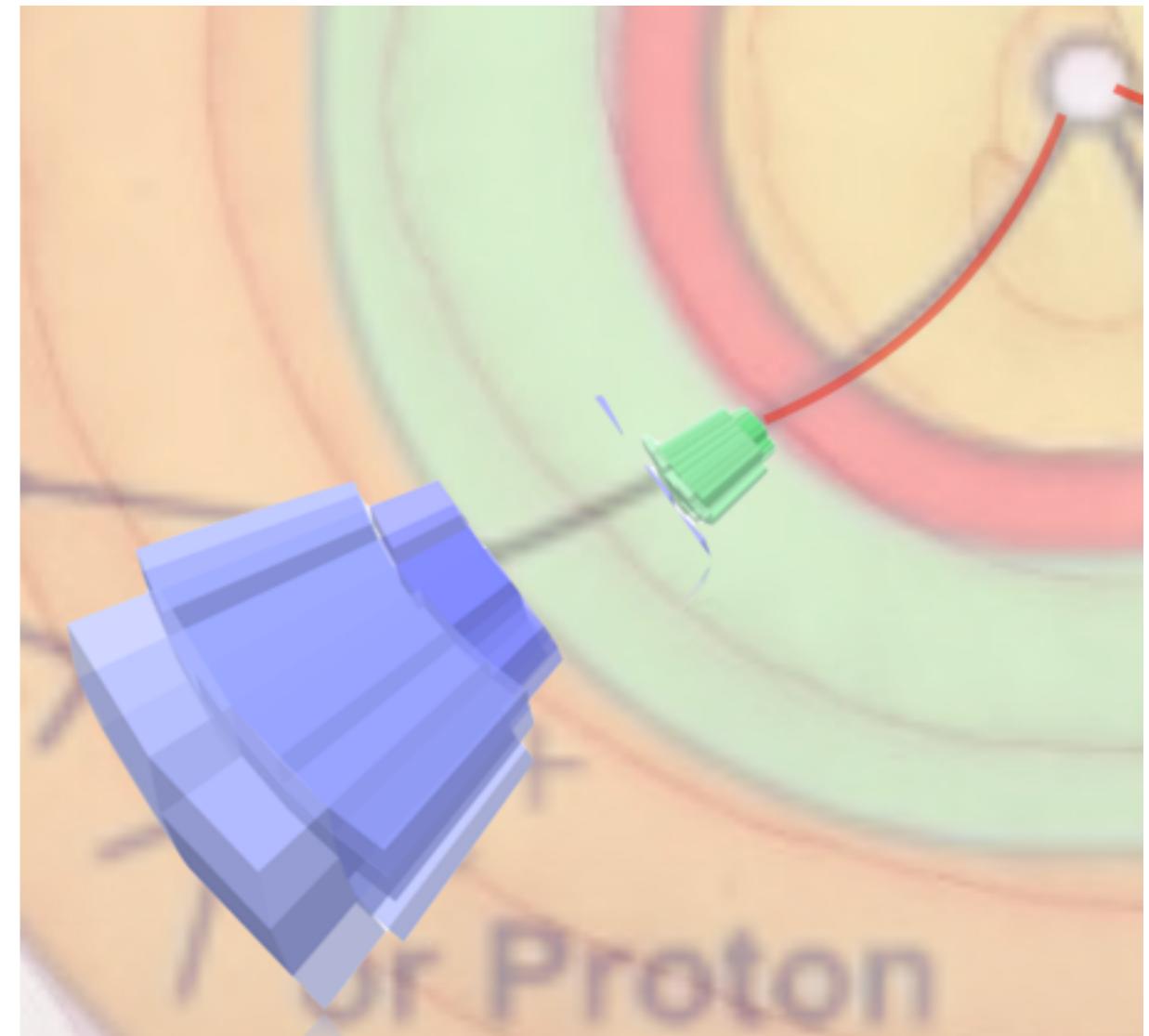
Still a way to go...

# From Reconstruction to Particles



# Particle Flow

- ▶ Combine track and calorimeter information in a complementary way while avoiding double counting

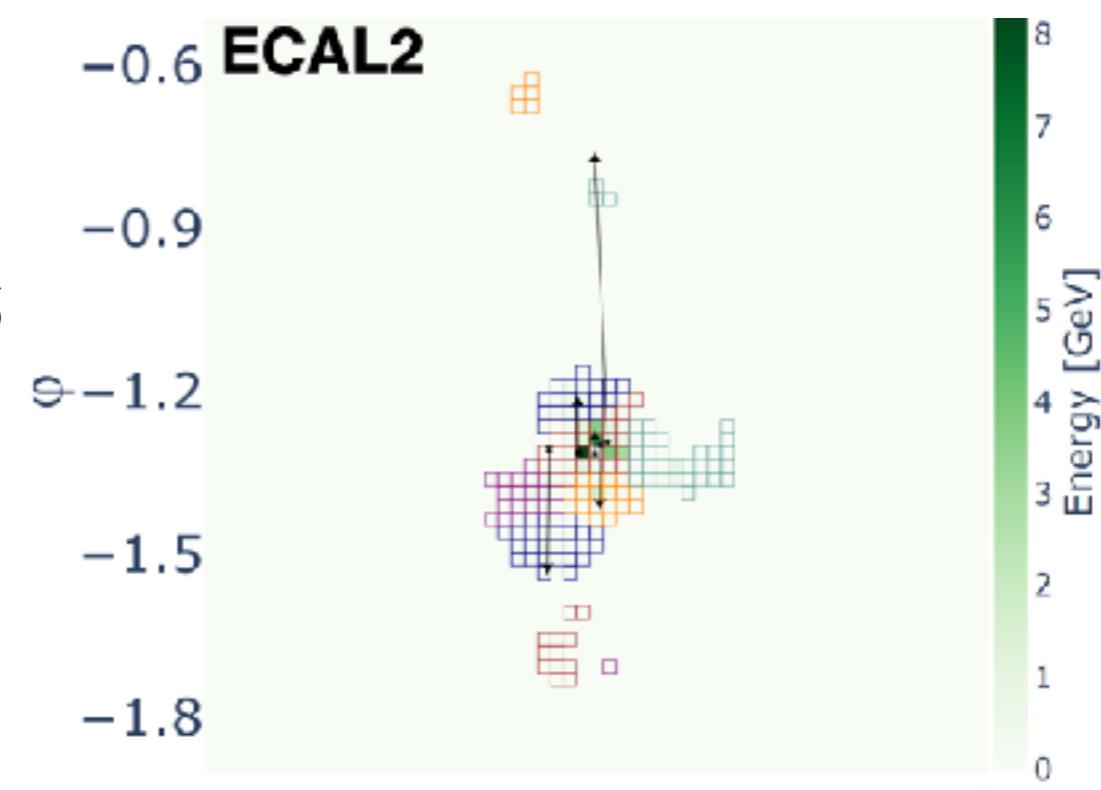


# Classical ATLAS “Particle flow” paradigm

Problem: Double counting of Tracks and Energy deposit

## Traditional recipe [1]

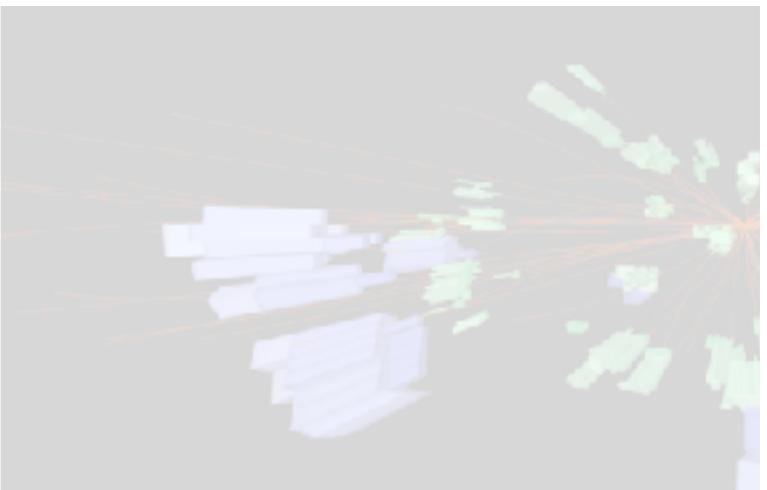
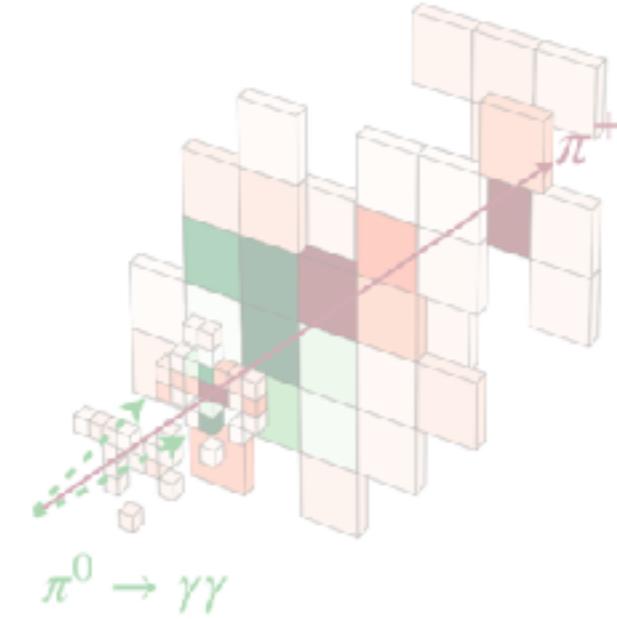
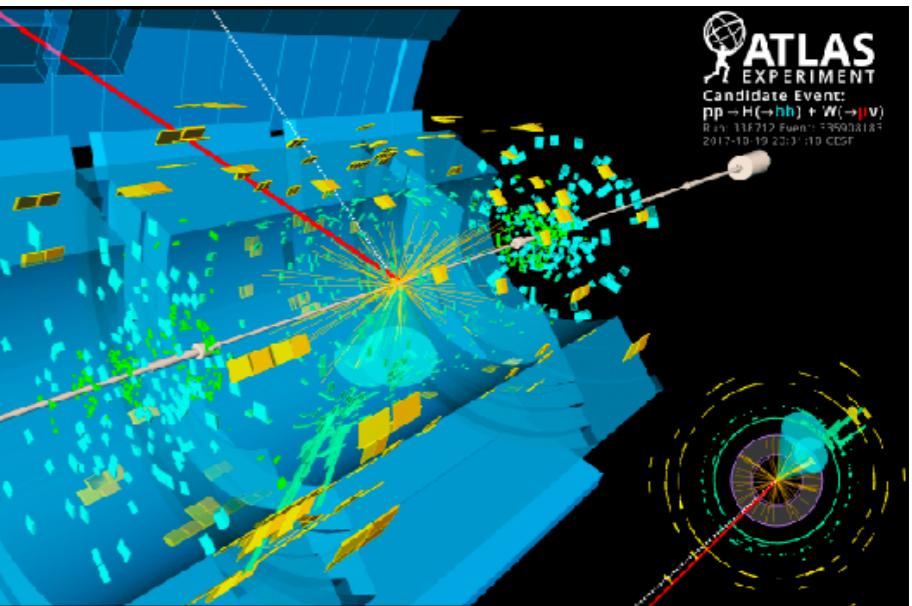
1. Cluster groups of cells which are adjacent and have high energy significance around some seed  $\left( \frac{E}{\sigma_E} > 4.6 \right)$  -> **TOPOCLUSTERS**
2. Find associated tracks
3. Decide whether to merge with additional topoclusters
4. Subtract expected E from track to infer contribution from neutral particles



Can we approach this as a machine learning task?

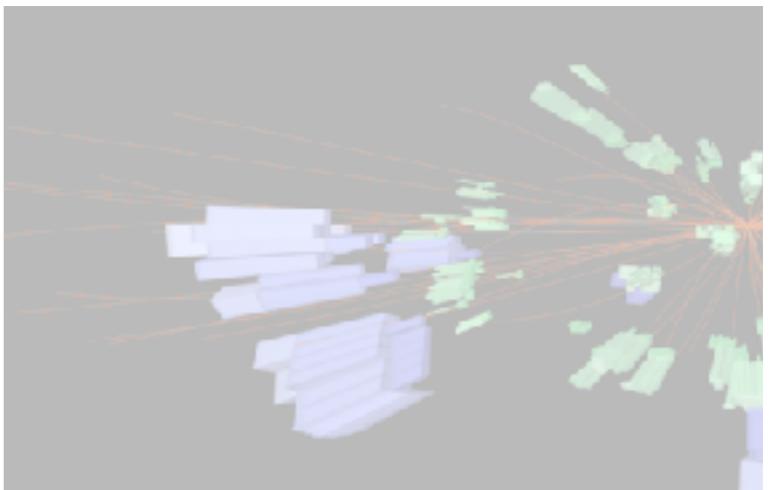
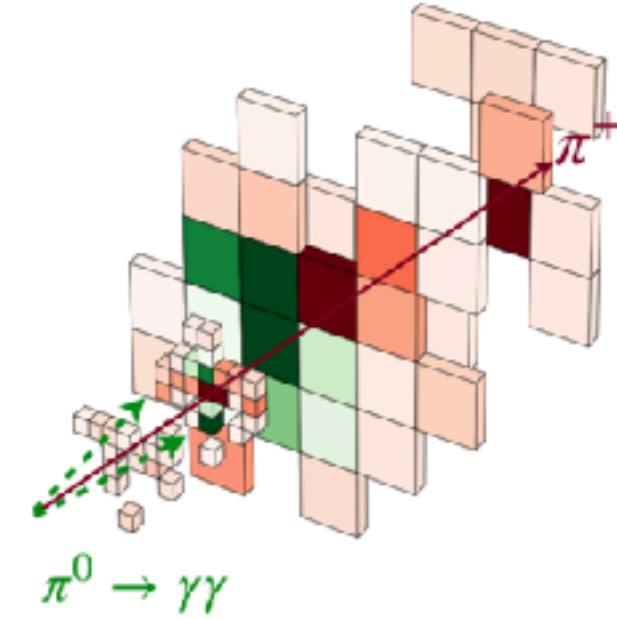
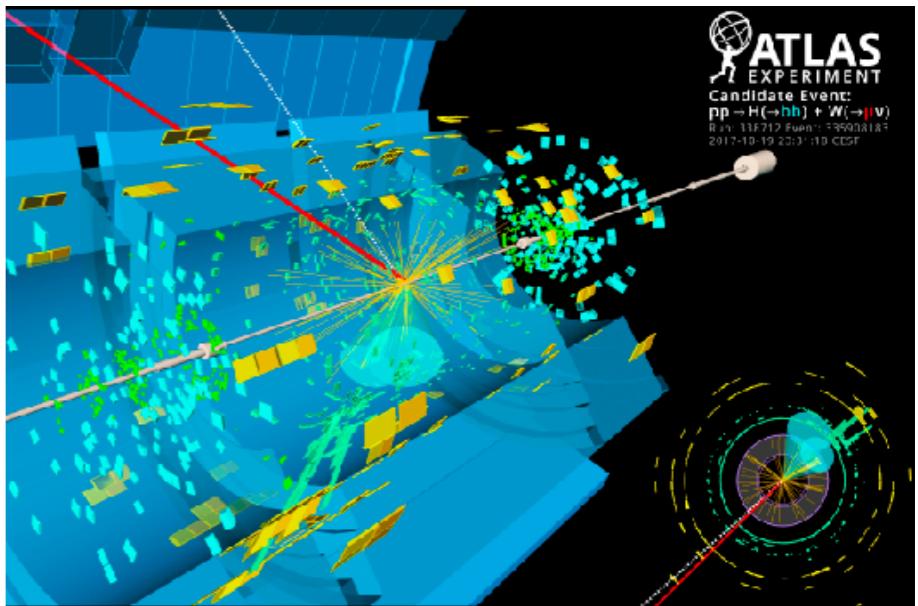
# The Work Plan

- In order to learn you need a detailed realistic detector simulation ala ATLAS, CMS etc... Including Tracks & Cells
- Proof of Concept, can you tell a Neutral Hadron (e.g.  $\pi^0 \rightarrow \gamma\gamma$ ) from an overlapping Charged Hadron (e.g.  $\pi^+$ )
- Can you reconstruct a whole Jet?



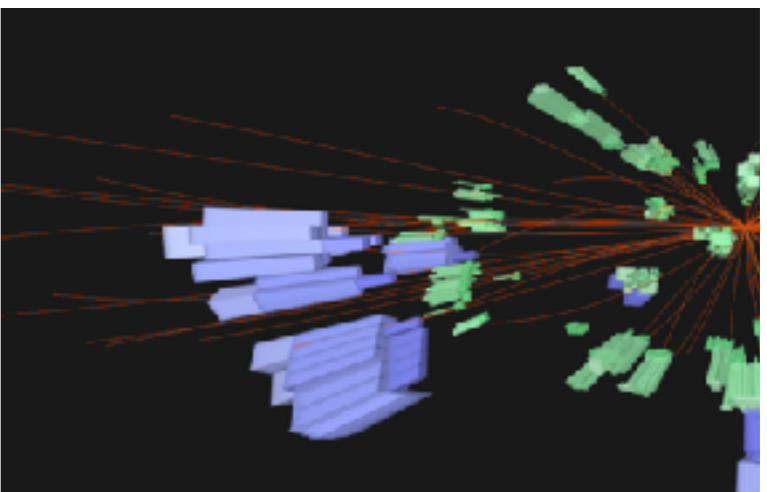
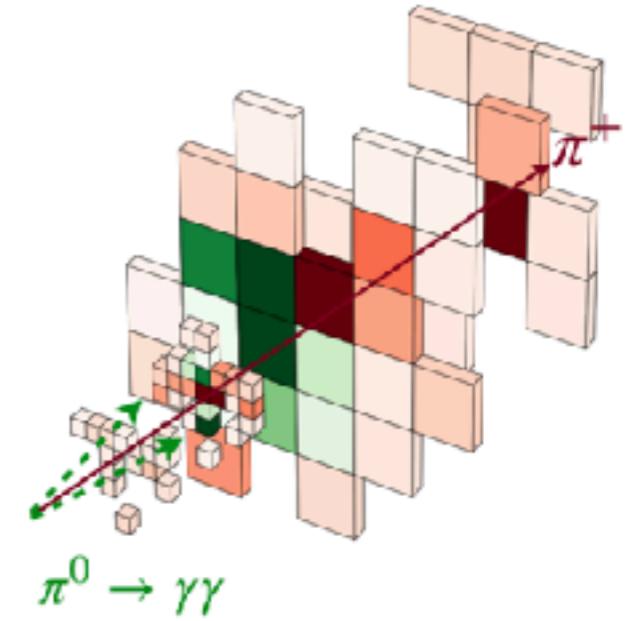
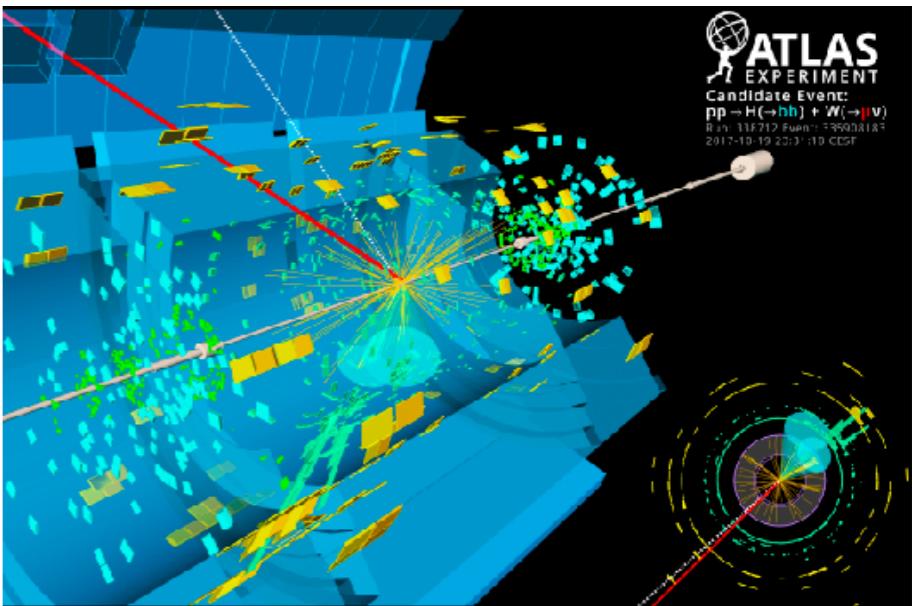
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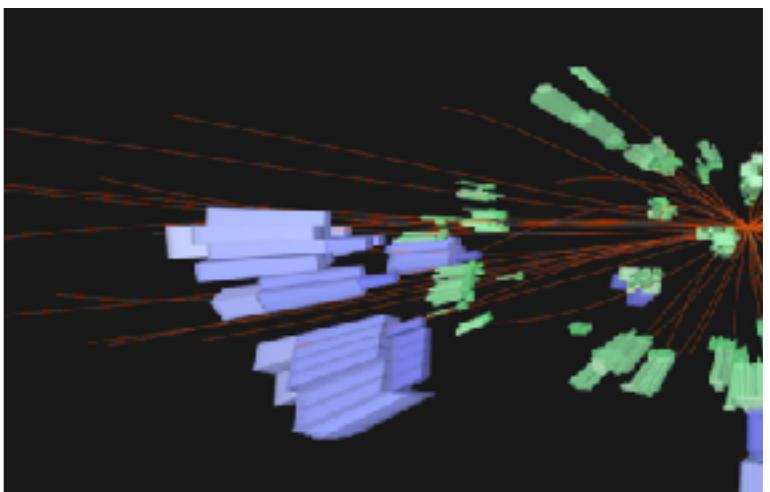
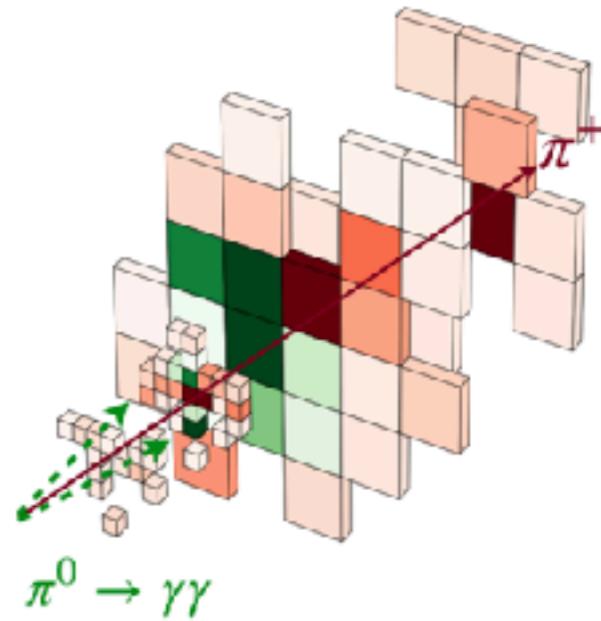
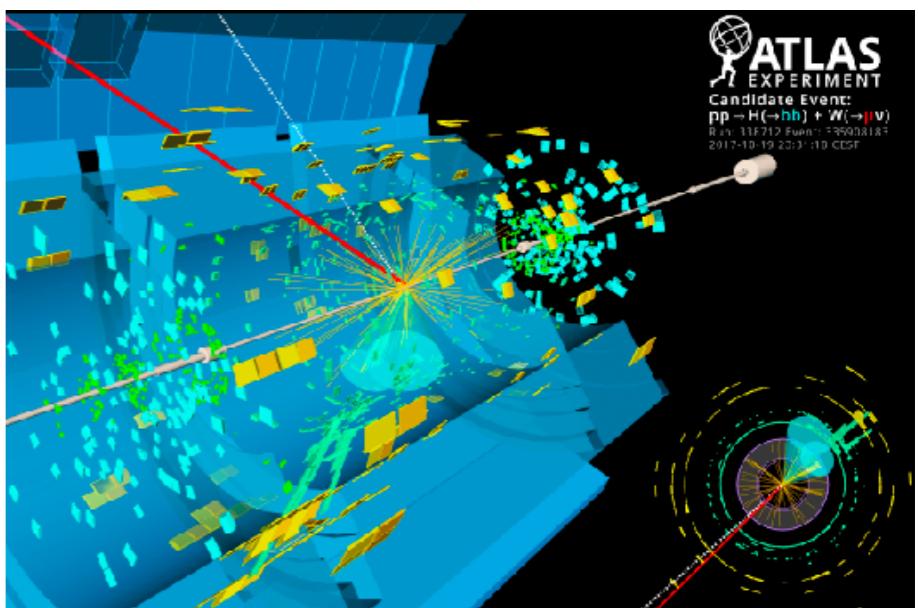
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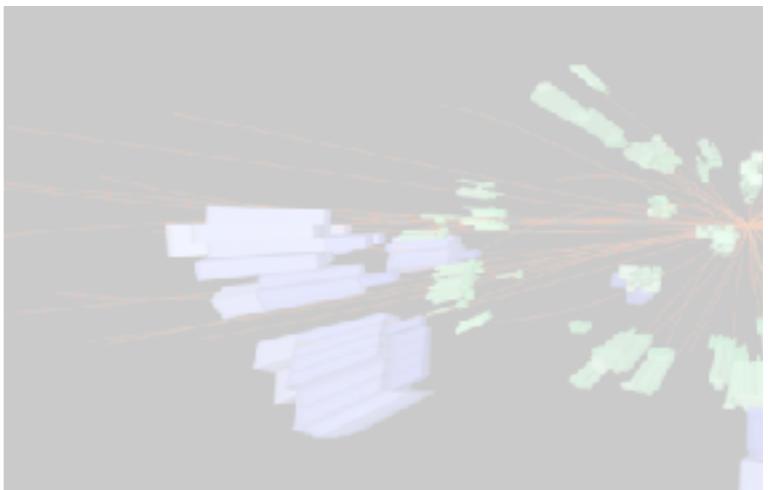
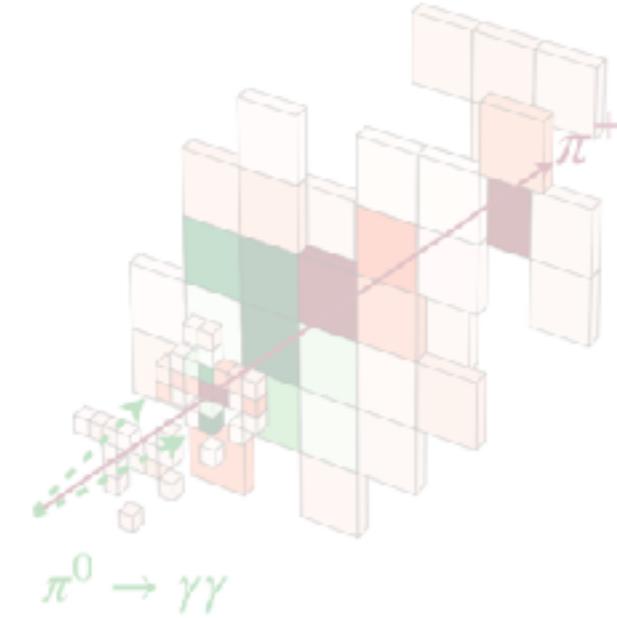
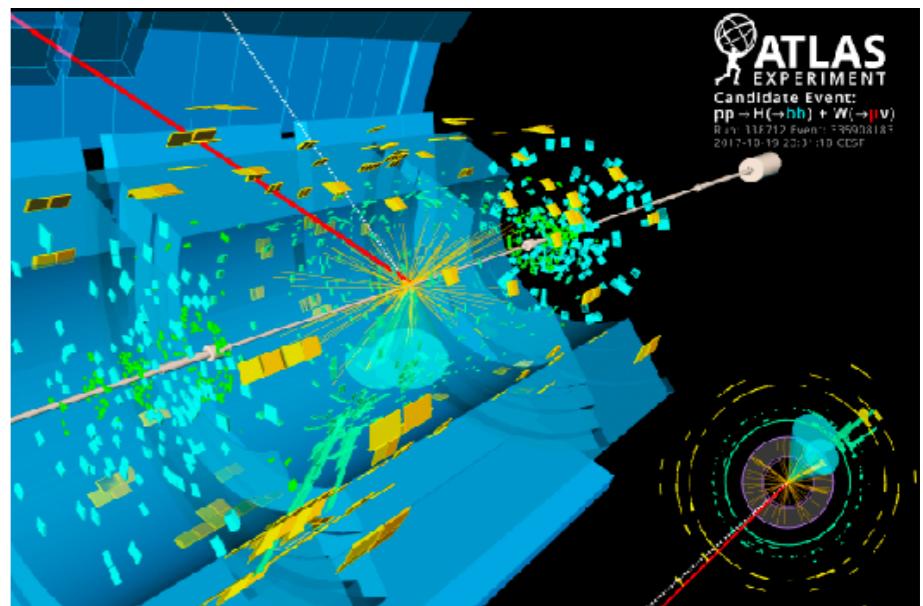
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# Configurable Calorimeter simulation for AI

COCOA

Hadronic

- 3 layers
- Fe / polyvinyl
- $\lambda_{\text{int}} = 26.6 \text{ cm} X_0$

Electromagnetic calorimeter (ECAL)

- 3 layers
- 9 layers of thin Si-Fe interface
- 3.8 T B-field
- Pb/Liquid Ar mix (1:3.83)
- 4.4 cm Fe (solenoid) casing

ATLAS-like calorimeter simulation

- 3 ECAL + 3 HCAL concentric calorimeter layers (GEANT 4)
- Interfaced to an event Monte Carlo generator (Pythia8)
- Tracking emulation in 3.8T magnetic field

# Configurable Calorimeter simulation for AI

COCOA

A. Charkin-Gorbulin, K. Cranmer,  
F. A. Di Bello, Etienne Dreyer,  
S. Ganguly, E. Gross, L. Heinrich,  
M. Kado, N. Kakati, P. Rieck,  
L. Santi, M. Tusoni

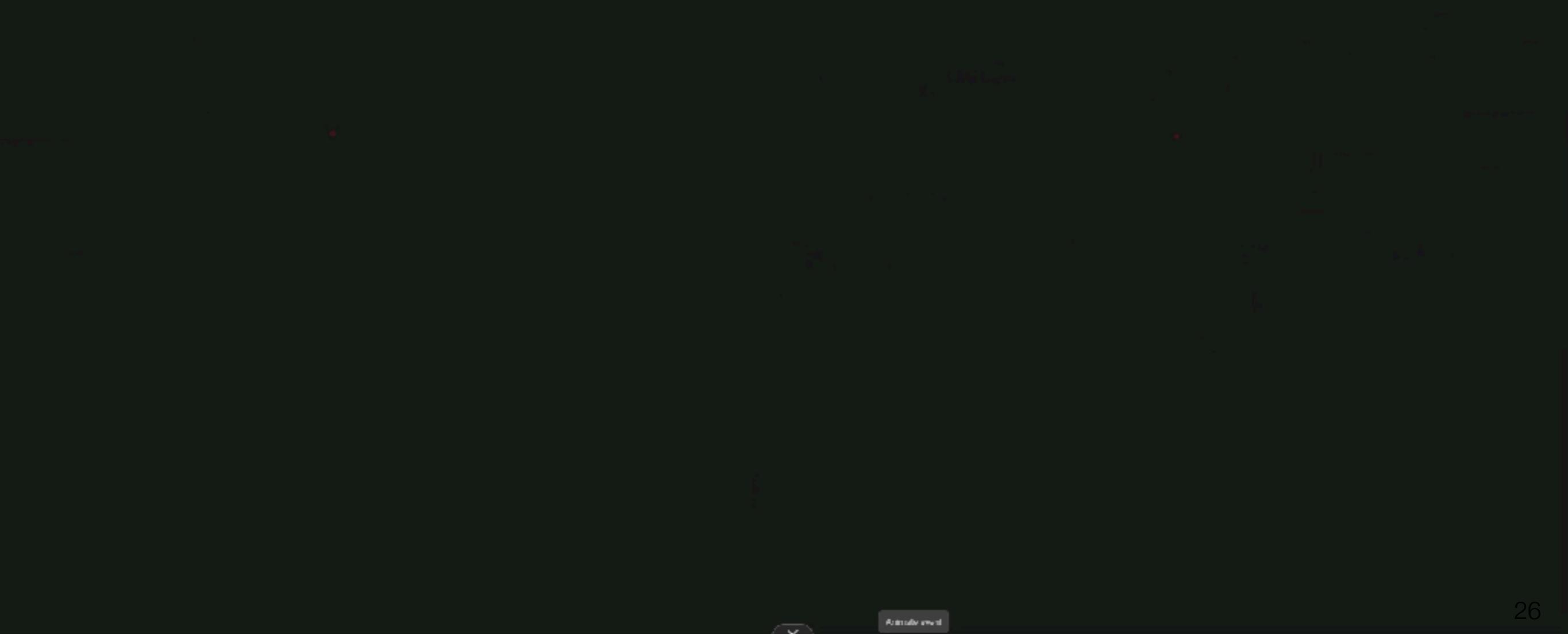
arXiv:2303.02101

Configurable calorimeter simulation for AI application

Francesco Armando Di Bello <sup>1</sup>, Anton Charkin-Gorbulin <sup>2</sup>, Kyle Cranmer <sup>4,5</sup>, Etienne Dreyer <sup>3,c</sup>, Sanmay Ganguly <sup>6,a</sup>, Eilam Gross <sup>3</sup>, Lukas Heinrich <sup>7</sup>, Lorenzo Santi <sup>9</sup>, Marumi Kado <sup>8,9</sup>, Nilotpal Kakati <sup>3</sup>, Patrick Rieck <sup>4,b</sup>, Matteo Tusoni <sup>9</sup>

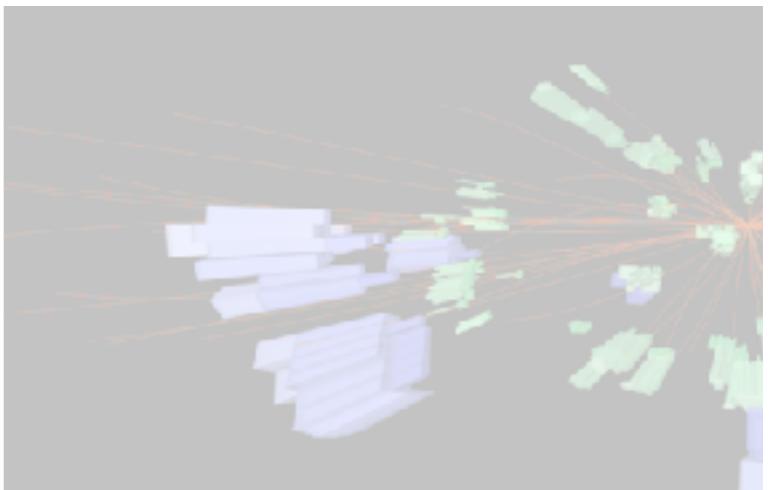
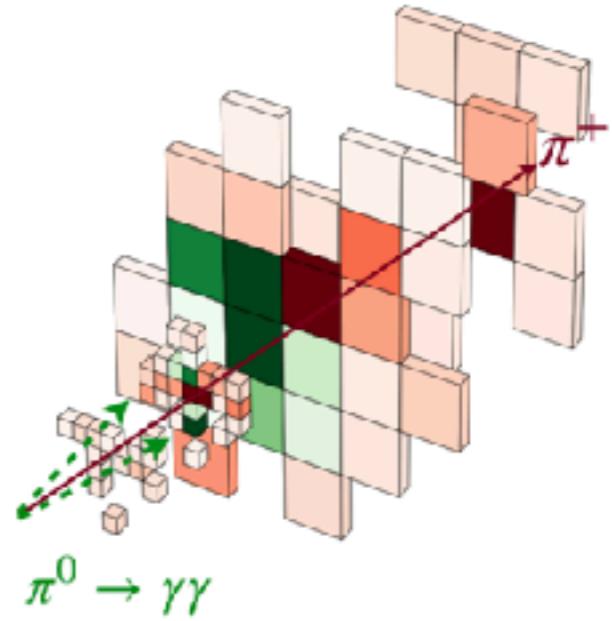
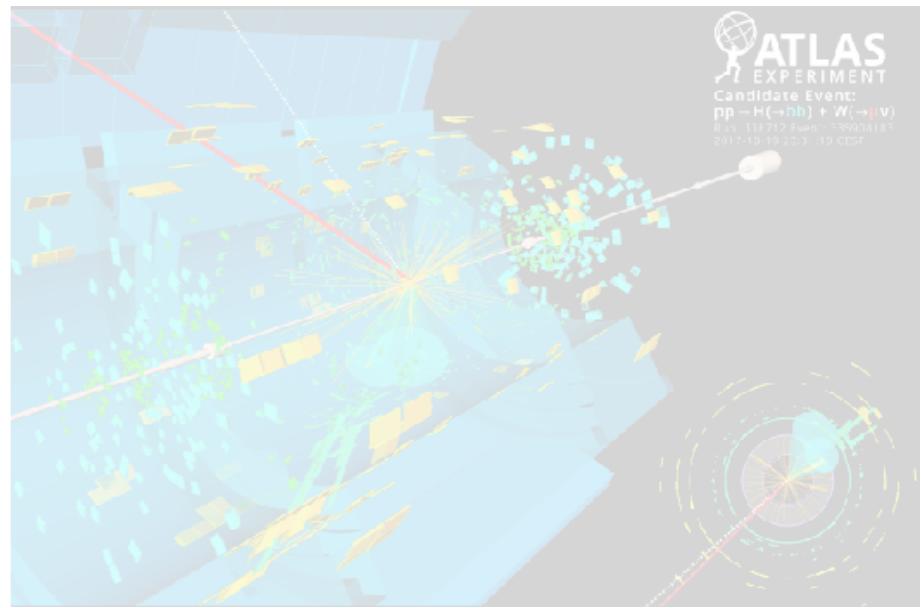
# COCOA Event Display

COCOA



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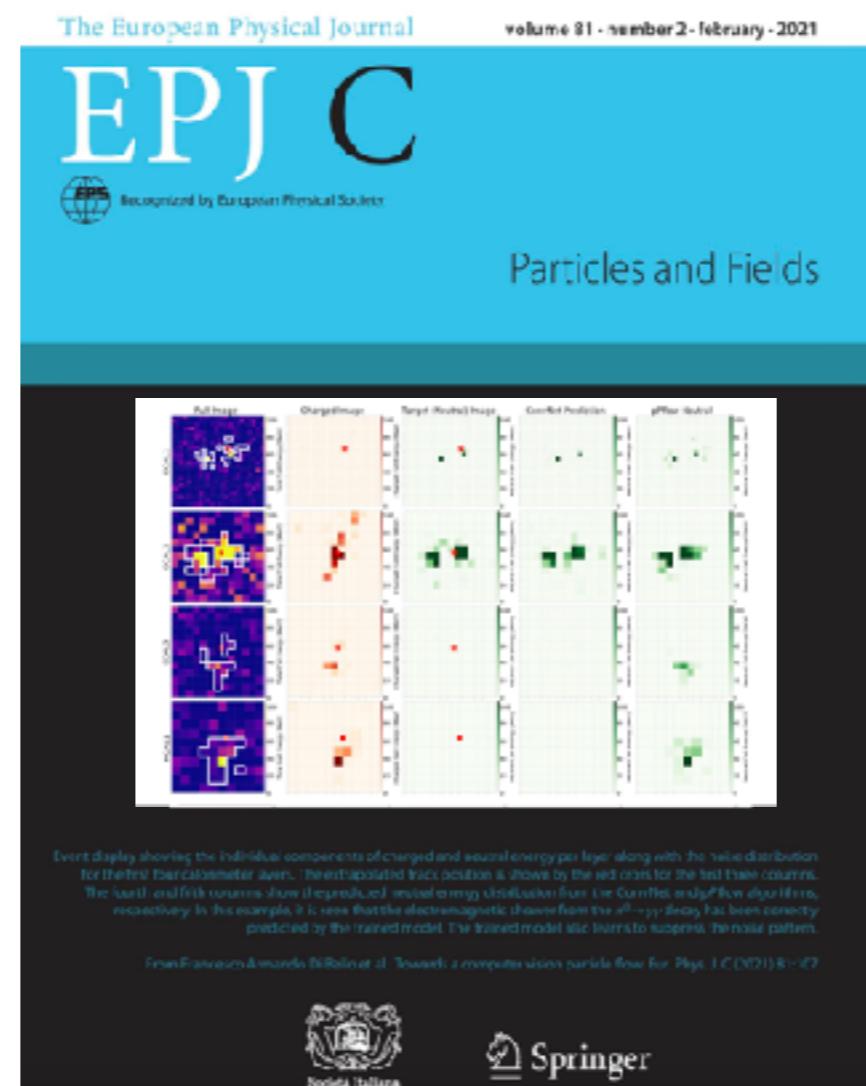


# Proof of Concept (Convolutional NN)

## Towards a Computer Vision Particle Flow <sup>★</sup>

Francesco Armando Di Bello<sup>a,3</sup>, Sanmay Ganguly<sup>b,1</sup>, Eilam Gross<sup>1</sup>, Marumi Kado<sup>3,4</sup>, Michael Pitt<sup>2</sup>, Lorenzo Santi<sup>3</sup>, Jonathan Shlomi<sup>1</sup>

<https://arxiv.org/pdf/2003.08863.pdf>



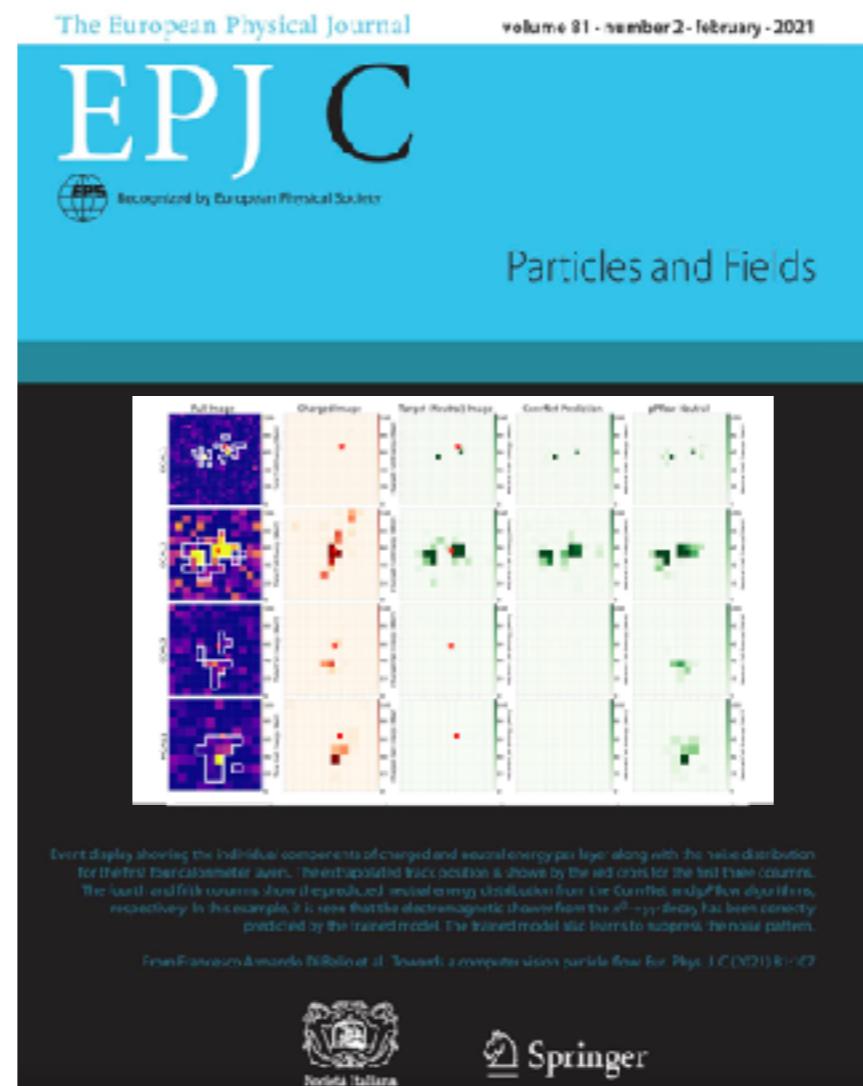
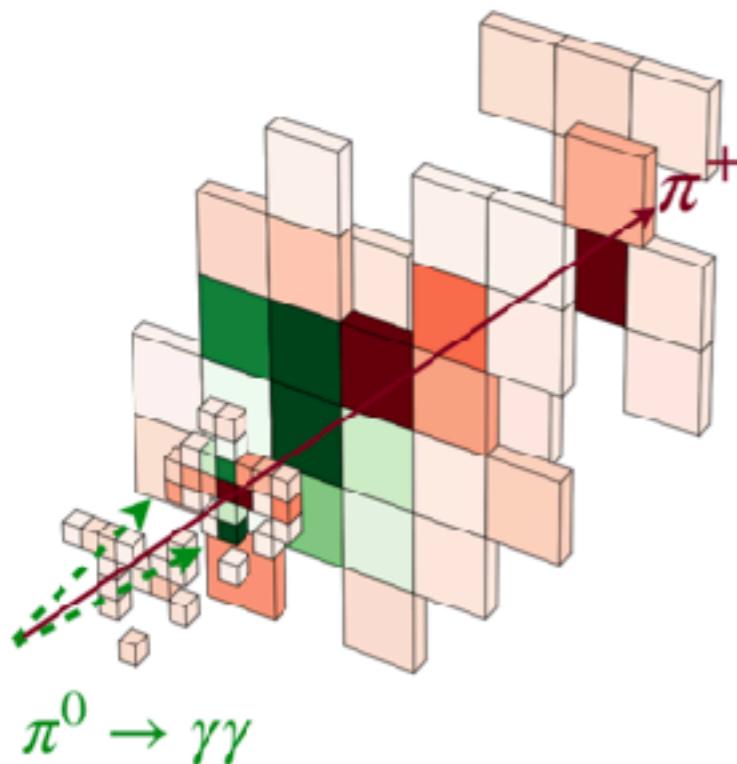
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Overlapping  $\pi^+$  and  $\pi^0$  ( $\rightarrow \gamma\gamma$ )



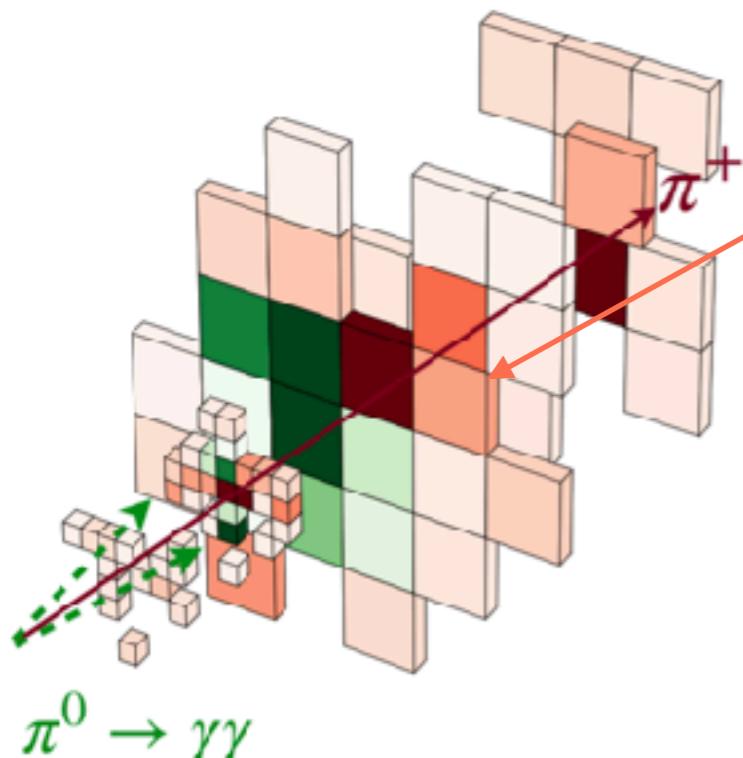
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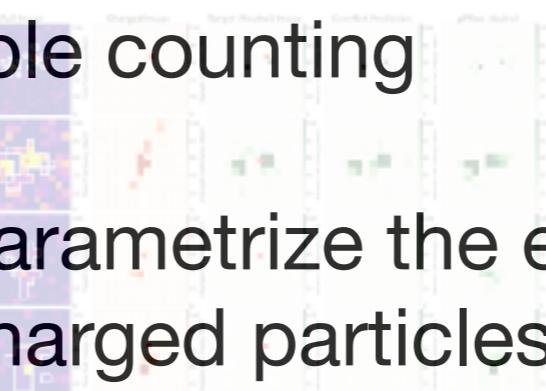
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Overlapping  $\pi^+$  and  
 $\pi^0 (\rightarrow \gamma\gamma)$



### The Good'n old Parametrized Pflow PPflow

Combining tracks and clusters lead to double counting



1. Parametrize the energy deposit of charged particles in the calorimeter
2. Subtract it from the total calorimeter deposited energy to get the Neutral Energy deposit

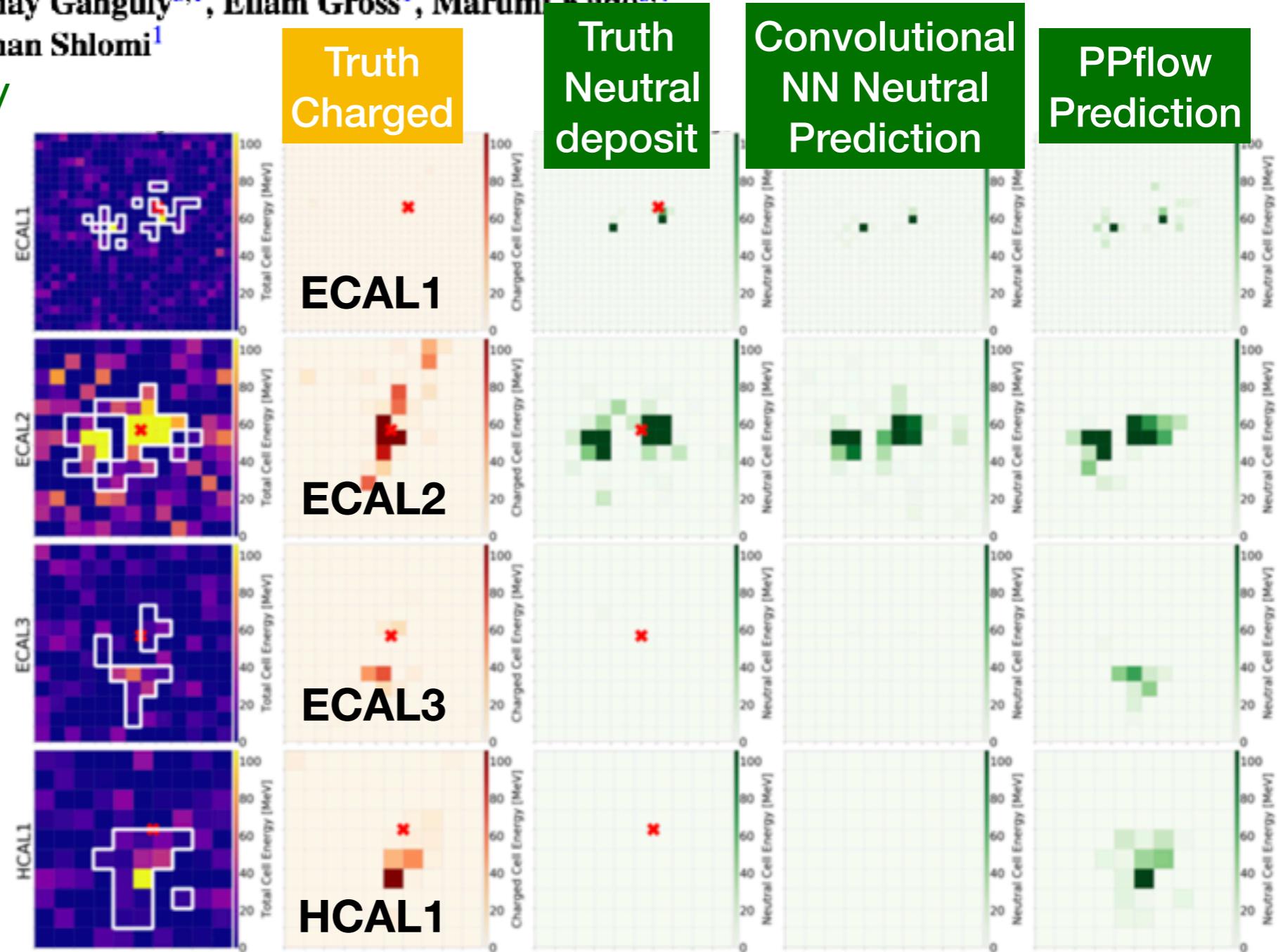
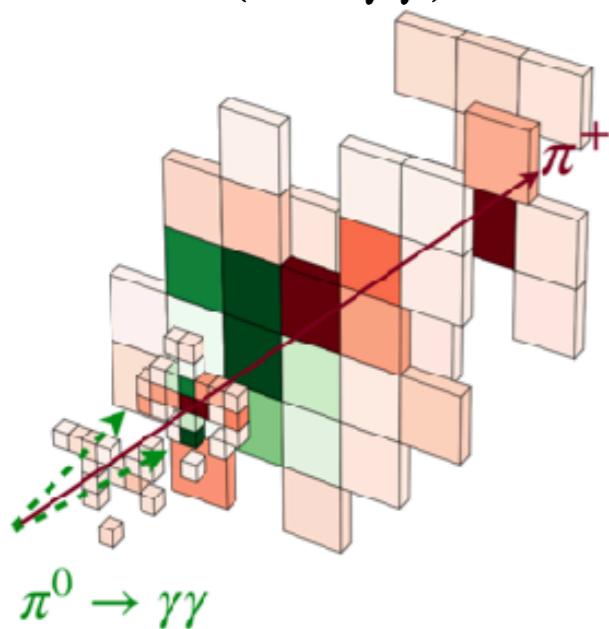
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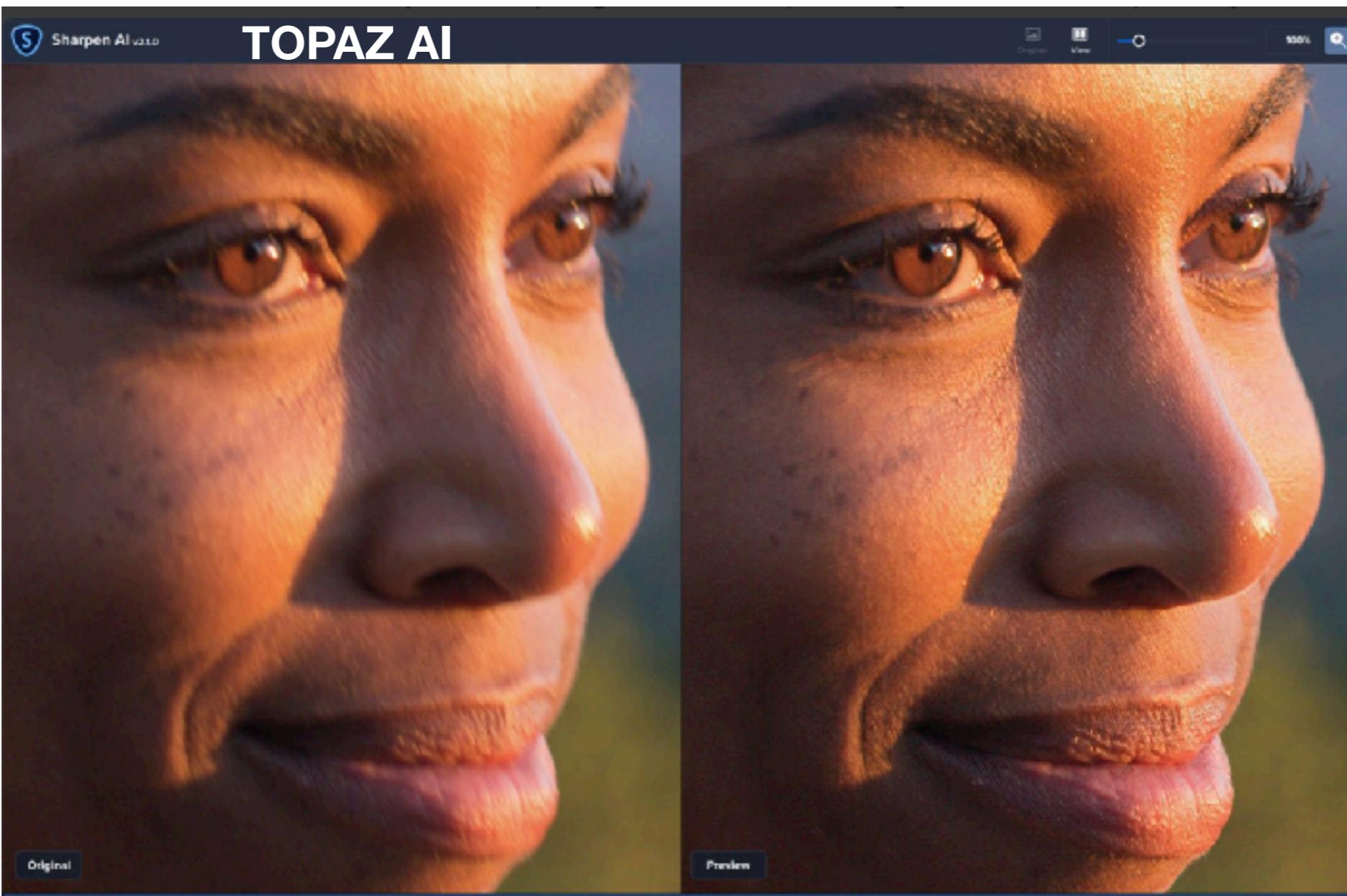
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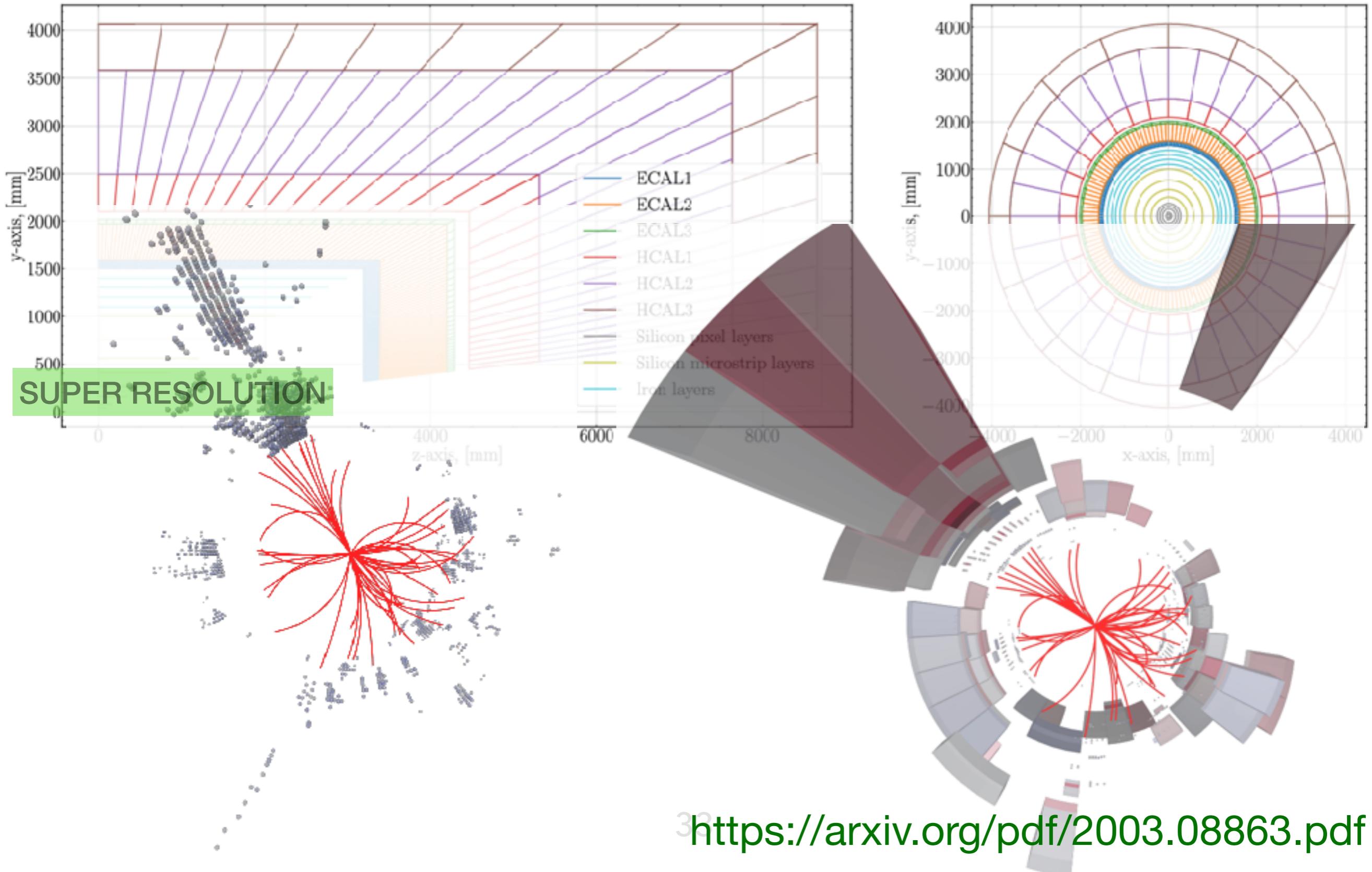
# A Byproduct: Super Resolution

Train the net to match low resolution to high resolution photograph.



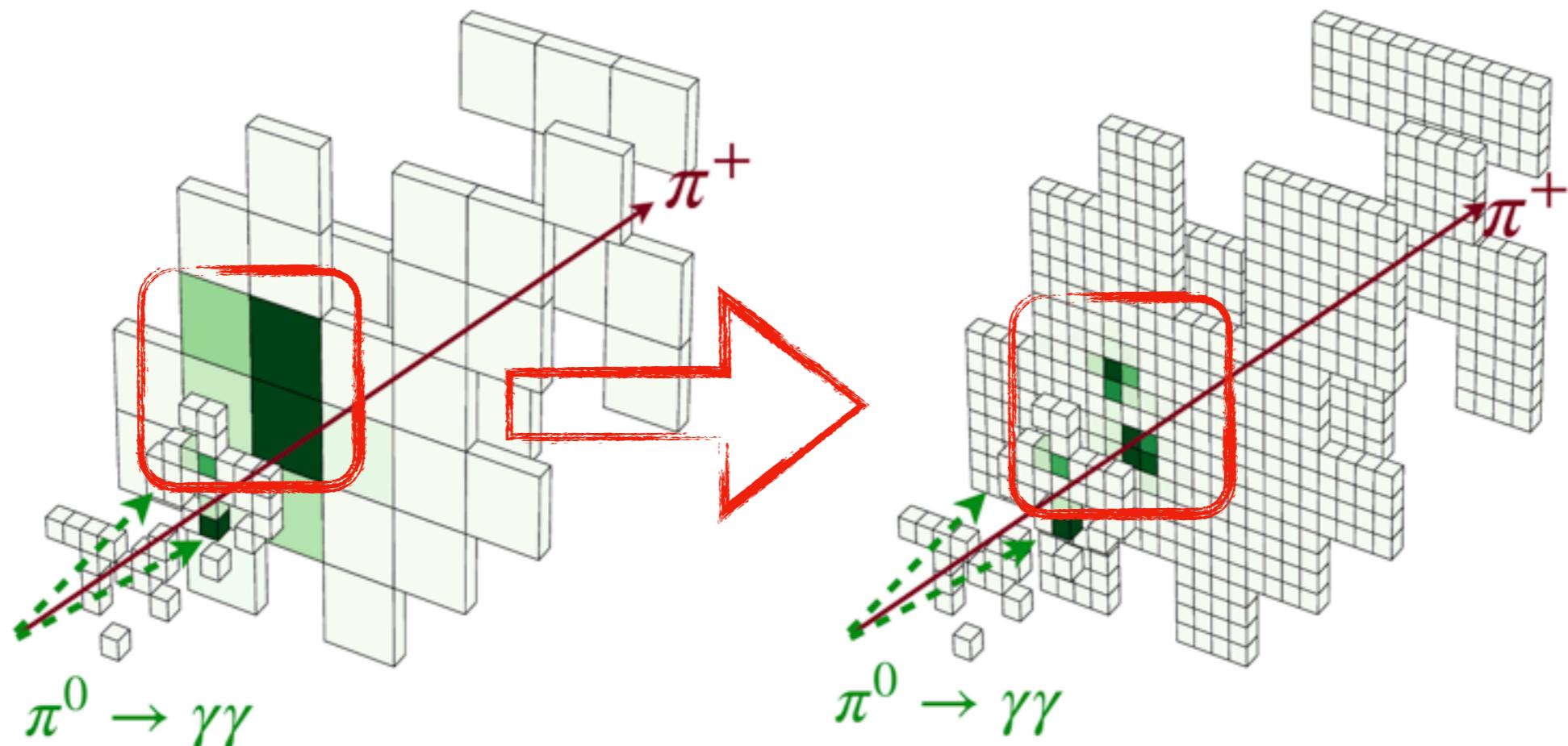
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Train the net to match low resolution to high resolution detector readout.  
Do an inverse inference to predict the final particles.



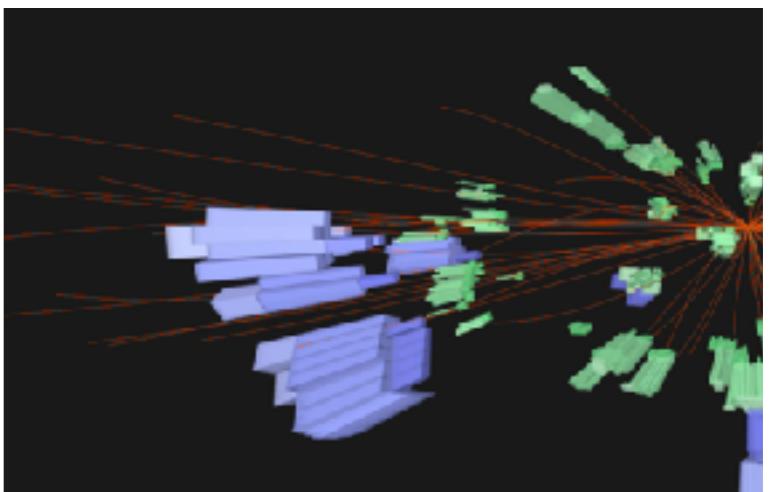
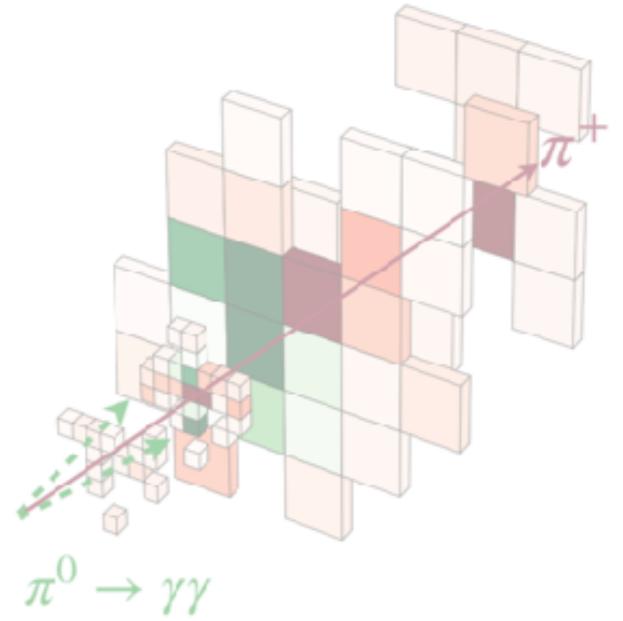
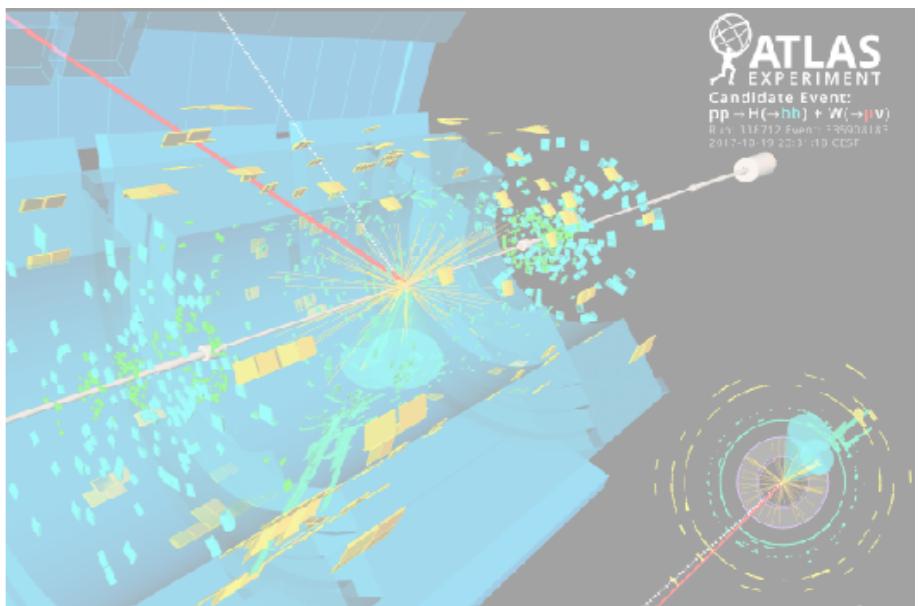
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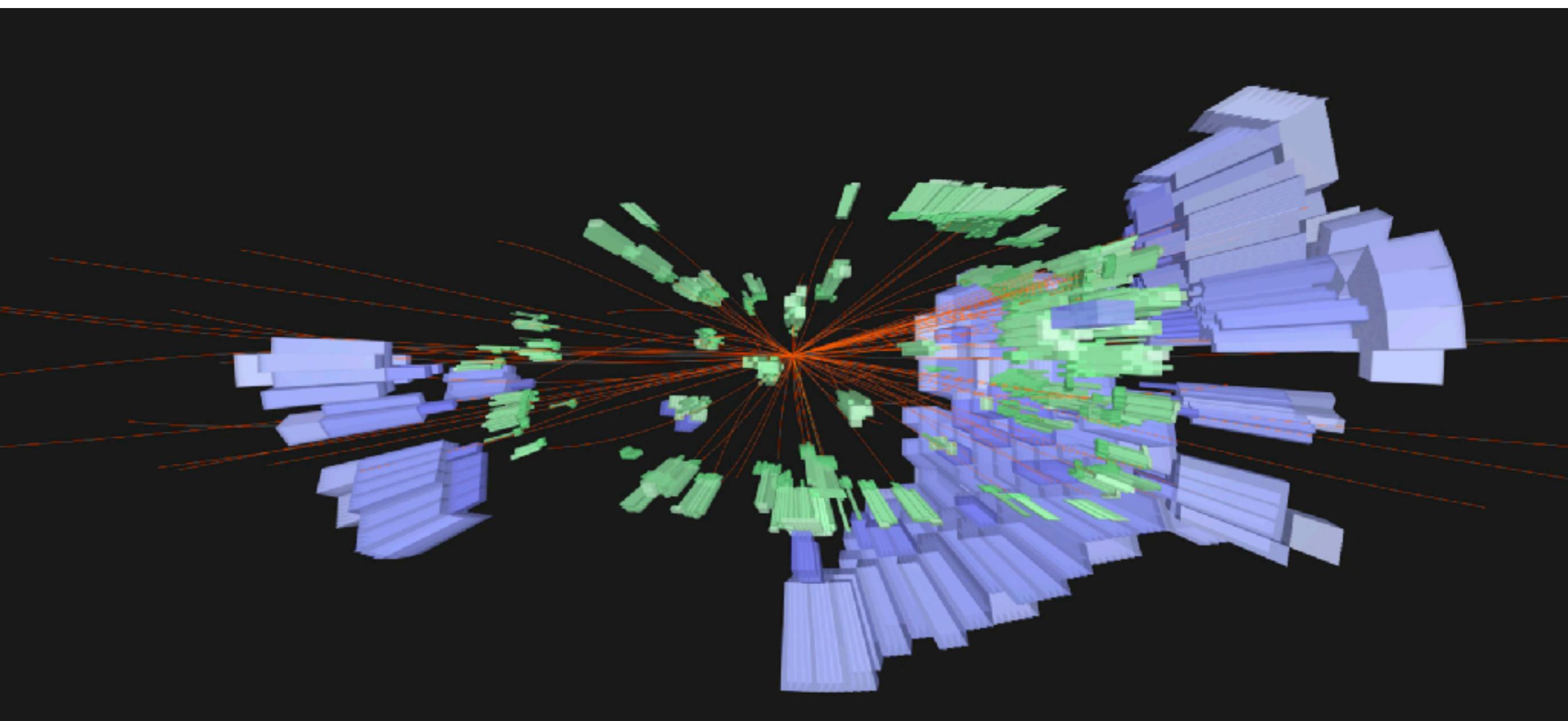
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# THE CHALLENGE:

## Reconstruct a Whole Jet



# Reconstructing particles in jets using set transformer and hypergraph prediction networks

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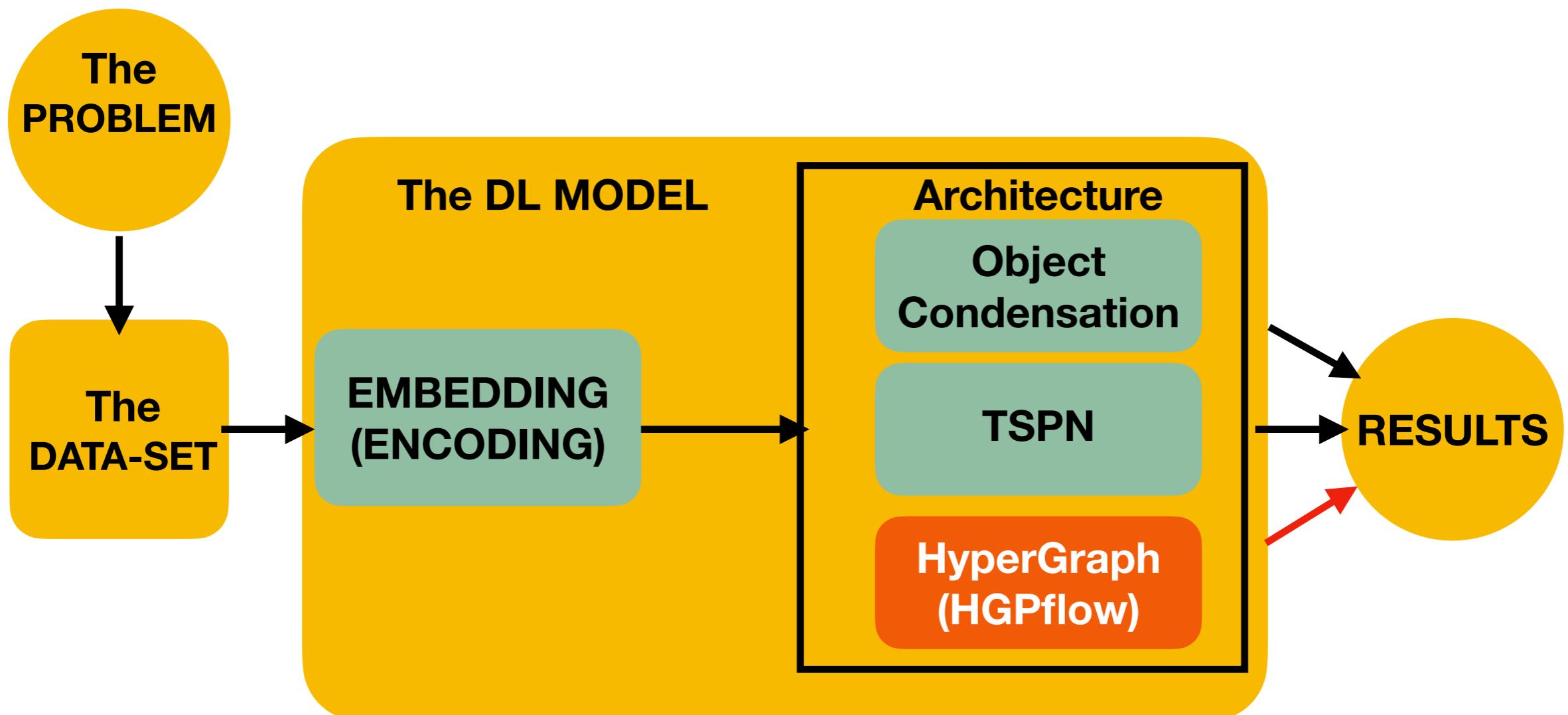
<sup>5</sup>Max Planck Institute for Physics

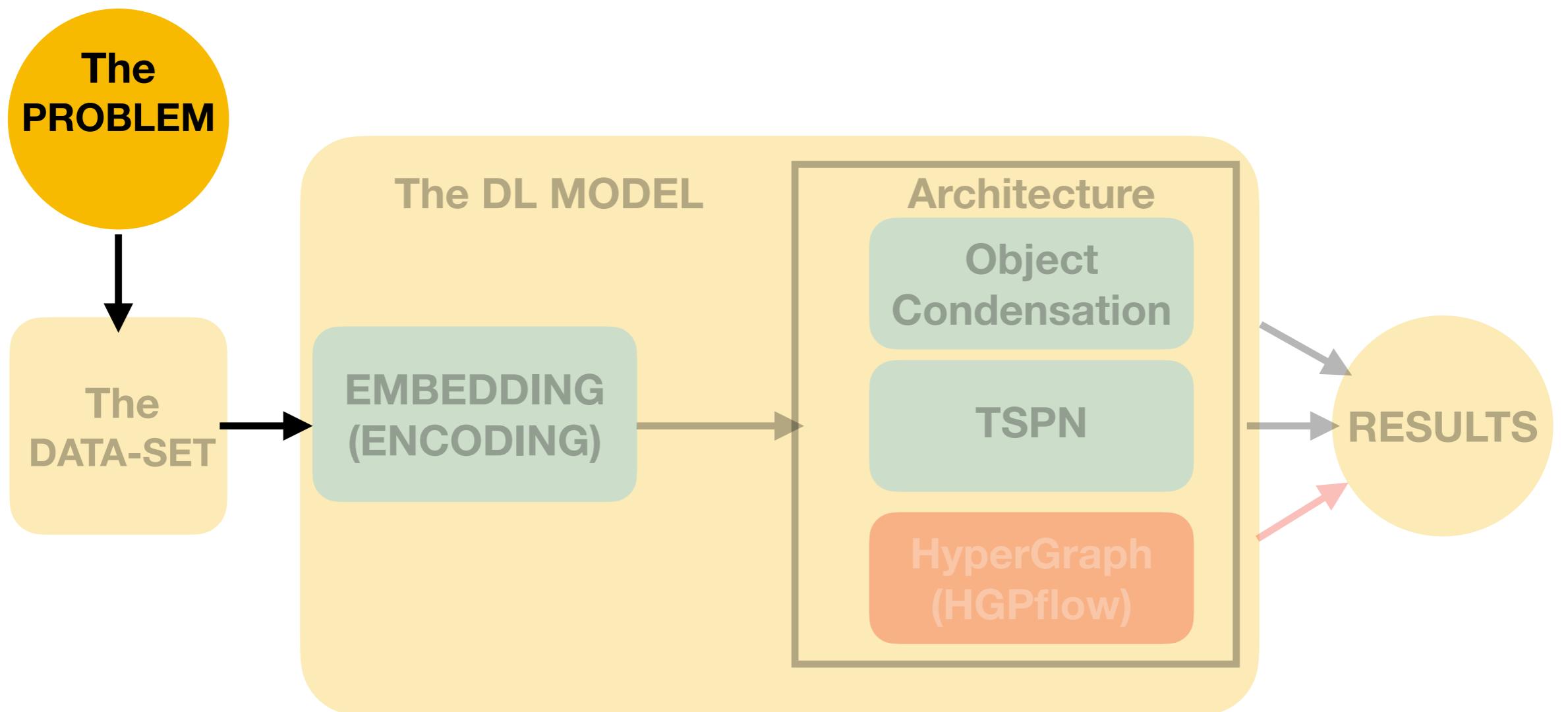
<sup>6</sup>INFN and Sapienza University of Rome

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<https://arxiv.org/abs/2212.01328>

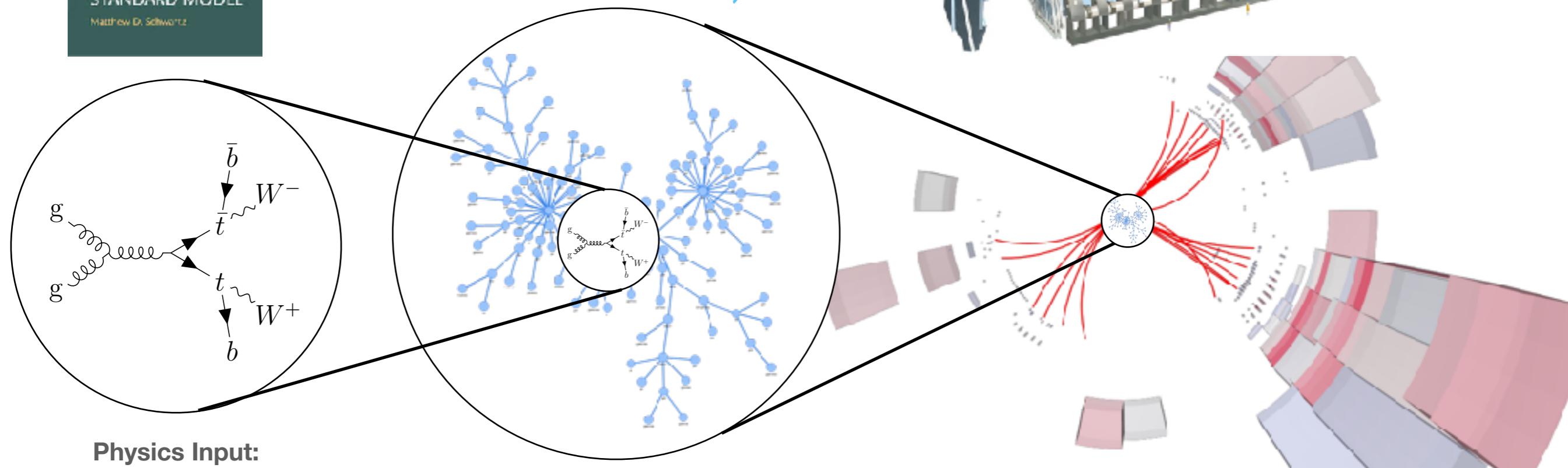
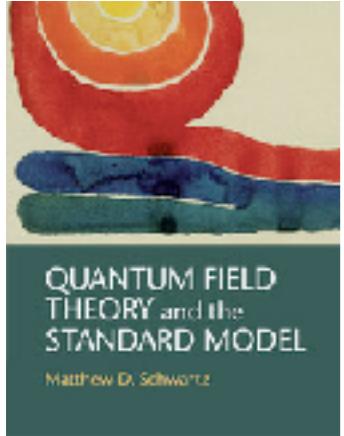
Accepted for Publication in EPJC





# In a Nut Shell: From Reconstruction to Particles

The Goal: Reconstruct the stable outgoing particles from the detector readout.



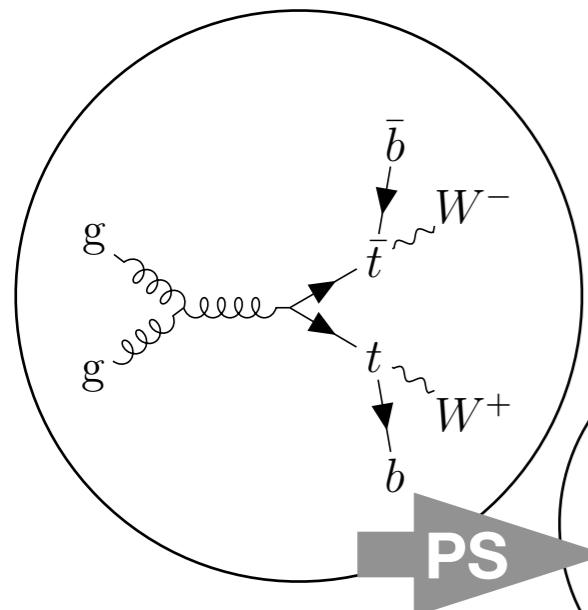
**Physics Input:**  
The Feynman Diagram  
A graphic description of  
the outcome of a collision  
(Produced by a real Collision  
or a simulated collision)

**Final Particles**  
Produced via Nature  
or Simulation

**Detector output/Readout**  
Produced via Hardware  
or Simulation

# Particle reconstruction

Hard scatter process



Final state particles

**SET** **Particles**  
predicted particles

analysis

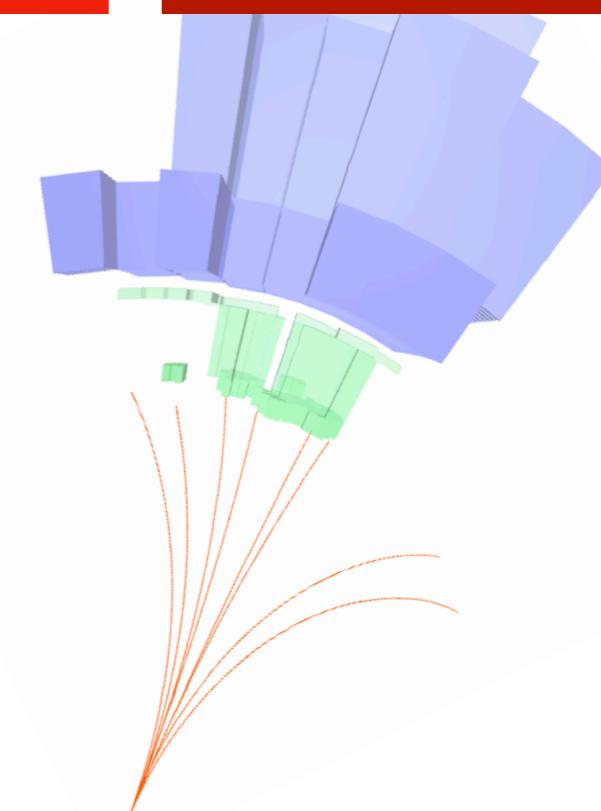
true particles (target)

Hadron...

**SET** **CELLS & TRACKS**

reconstruction

Particle-Matter  
interactions

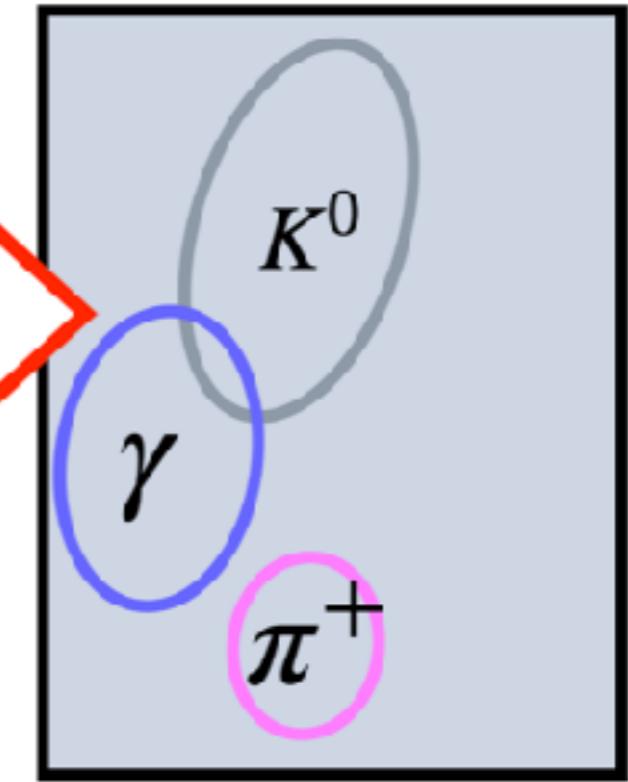
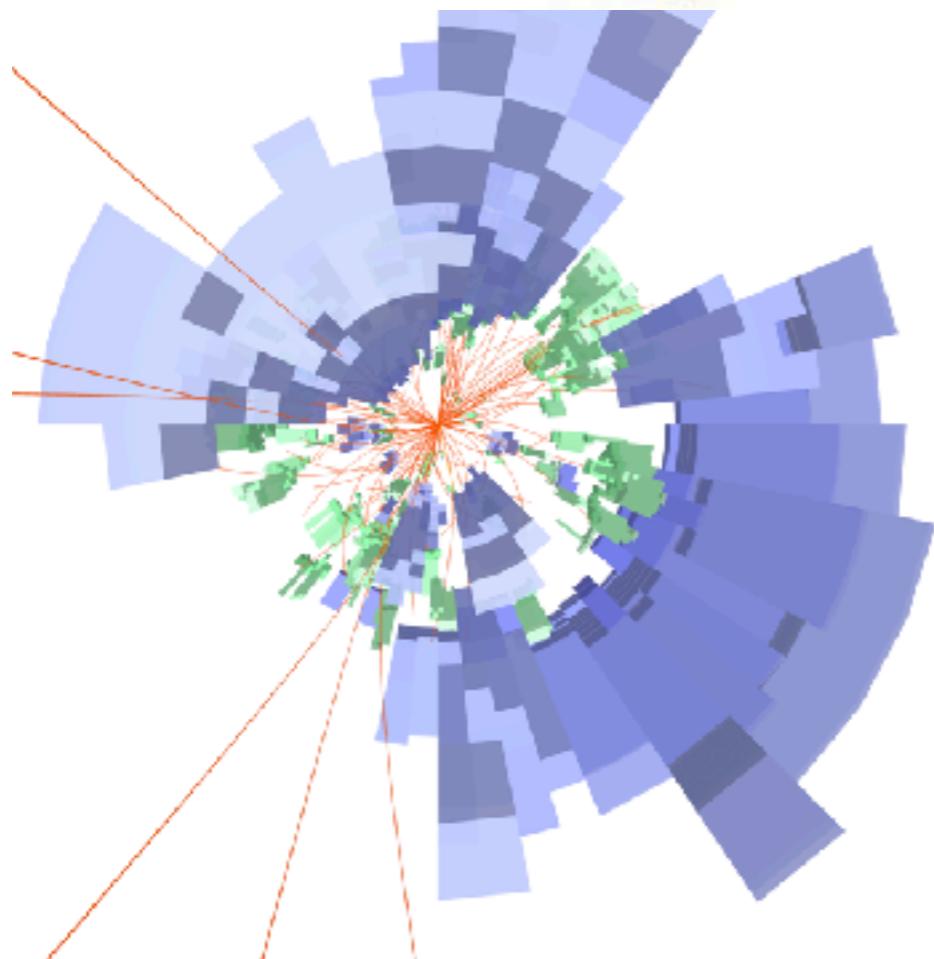
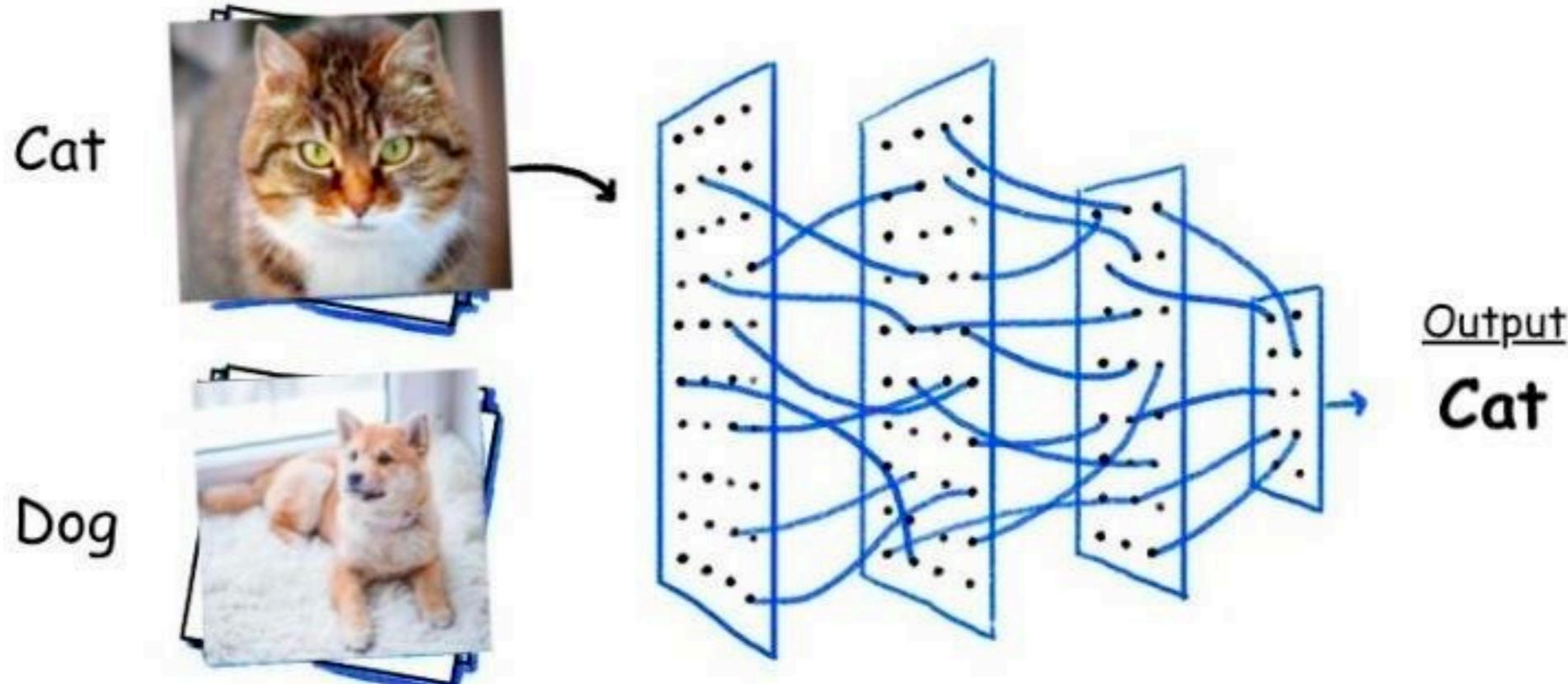


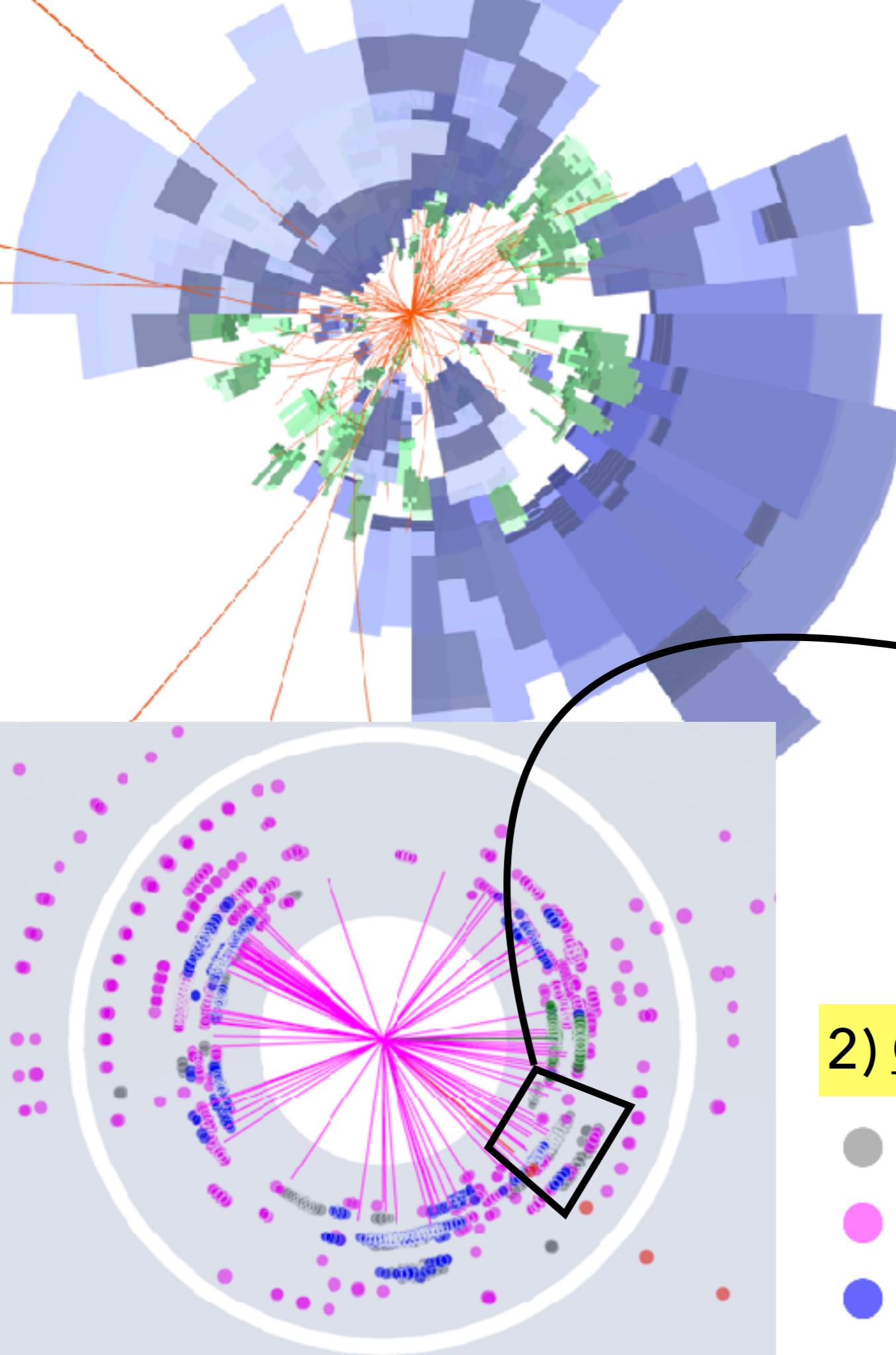
Infer the **set** of particles (reconstructed output) which produced the **set** of energy deposits in detector (cells and tracks)

## Challenges:

- Physical overlap (due to collimated particles and pileup)
- Feature overlap between different particle signatures (e.g. energy deposits)
- Dimensionality of data and complexity of 3D spatial correlations

## Cats & Dogs Classification is a Piece of Cake

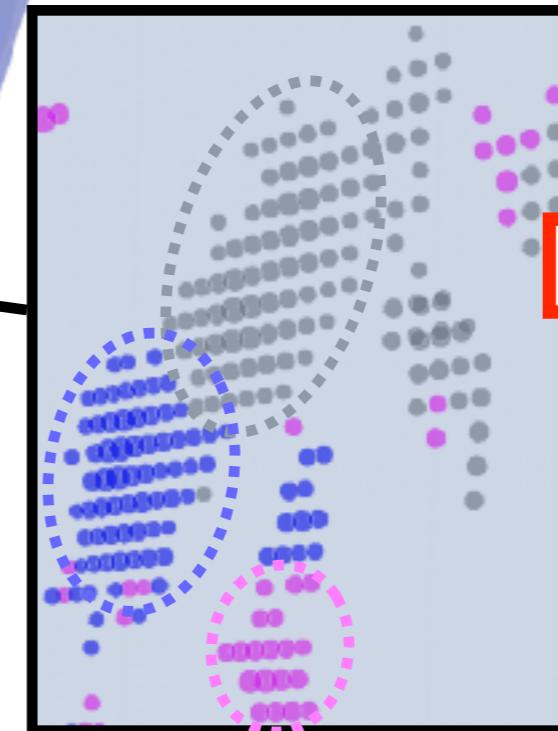




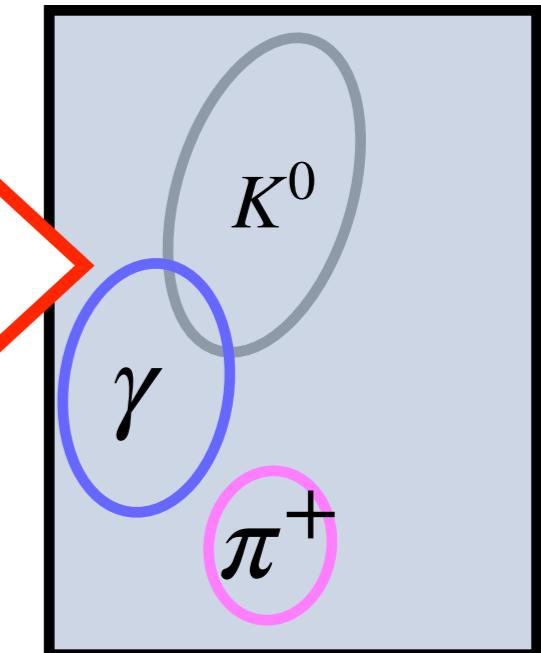
# Particle reco. set-to-set task

1) Reconstruct particles  
(cardinality)

**Input set**



**Output set**

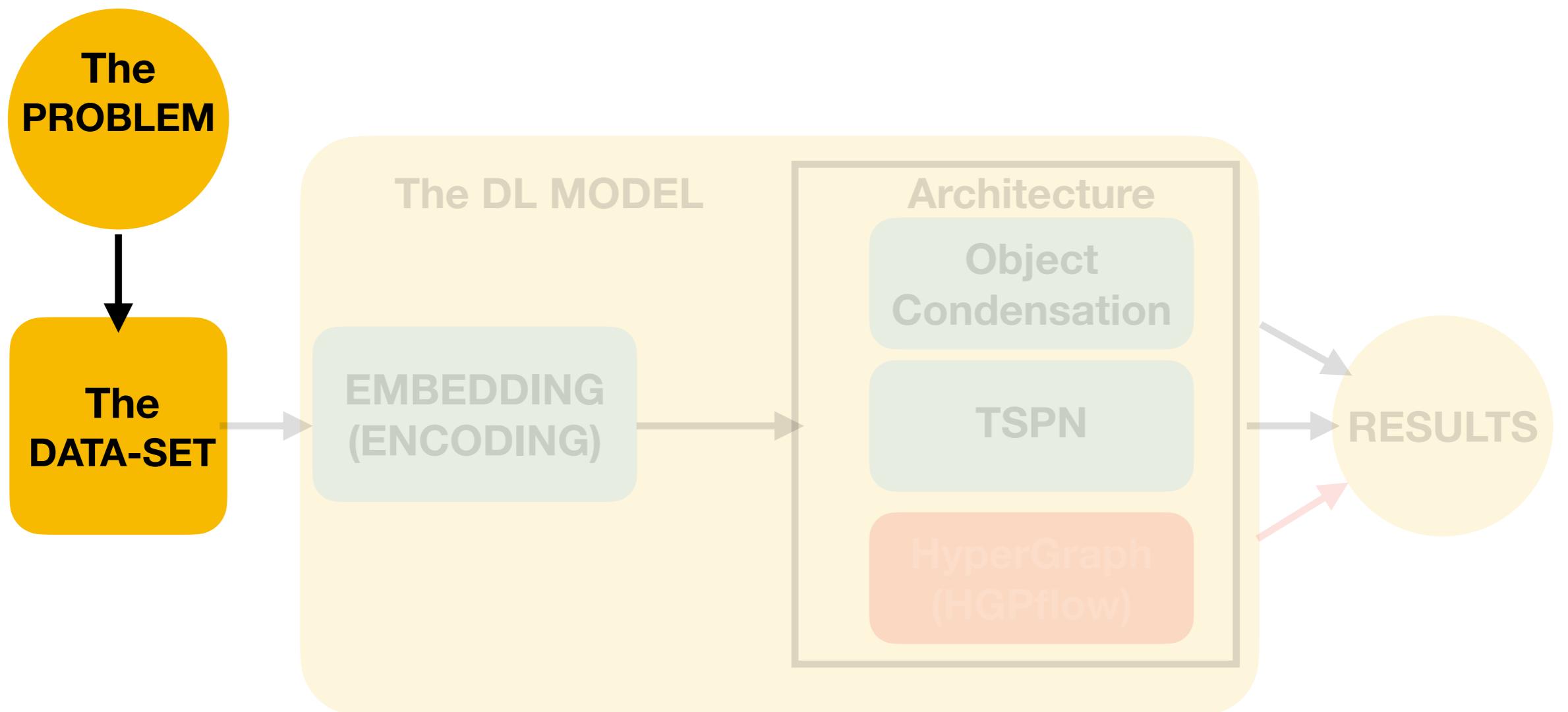


2) Classify them

- neutral had.,
- charged had.,
- photon,

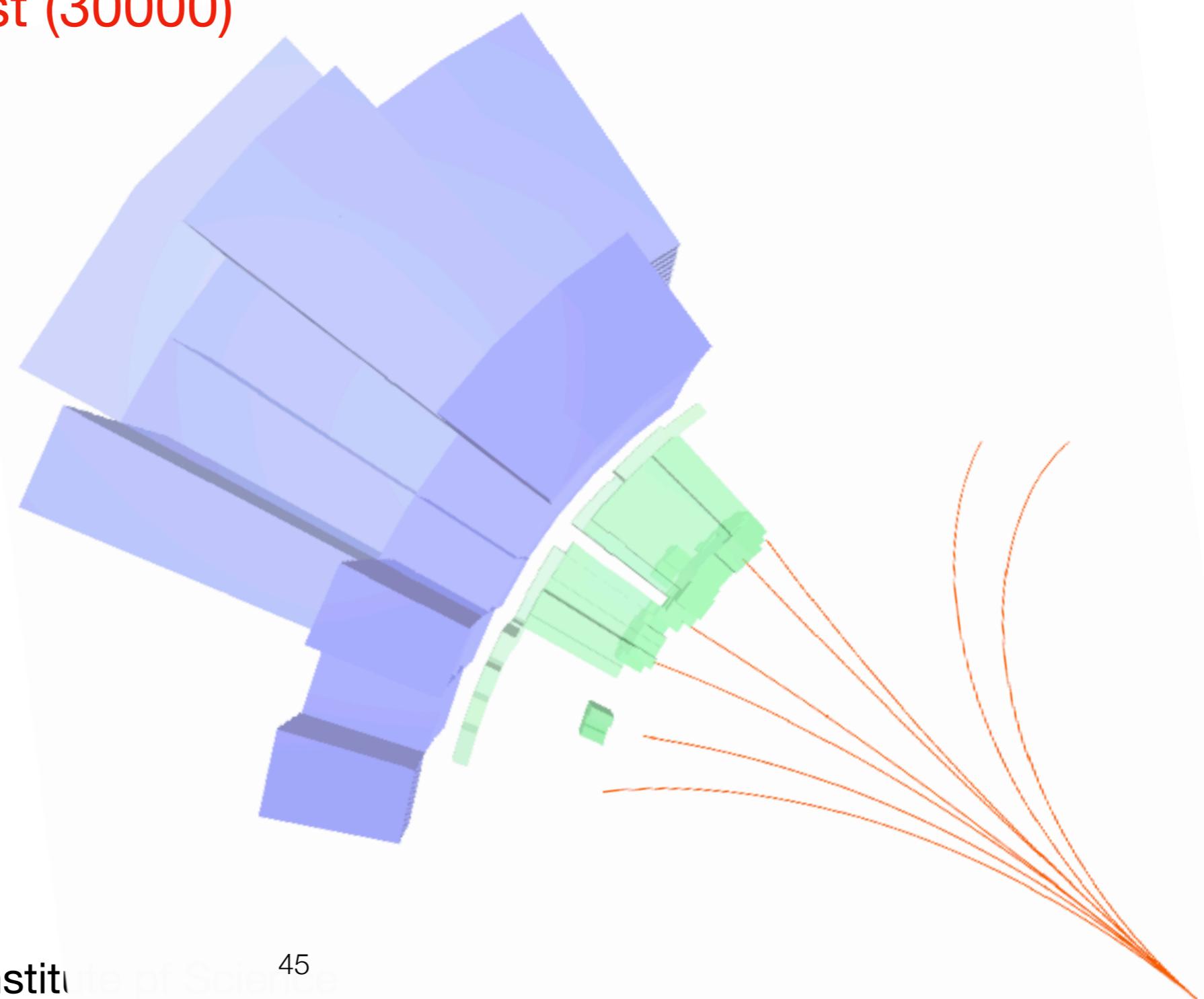
3) Regress their  
properties

- ✓ Direction ( $\eta, \phi$ )
- ✓ Momentum ( $p_T$ )

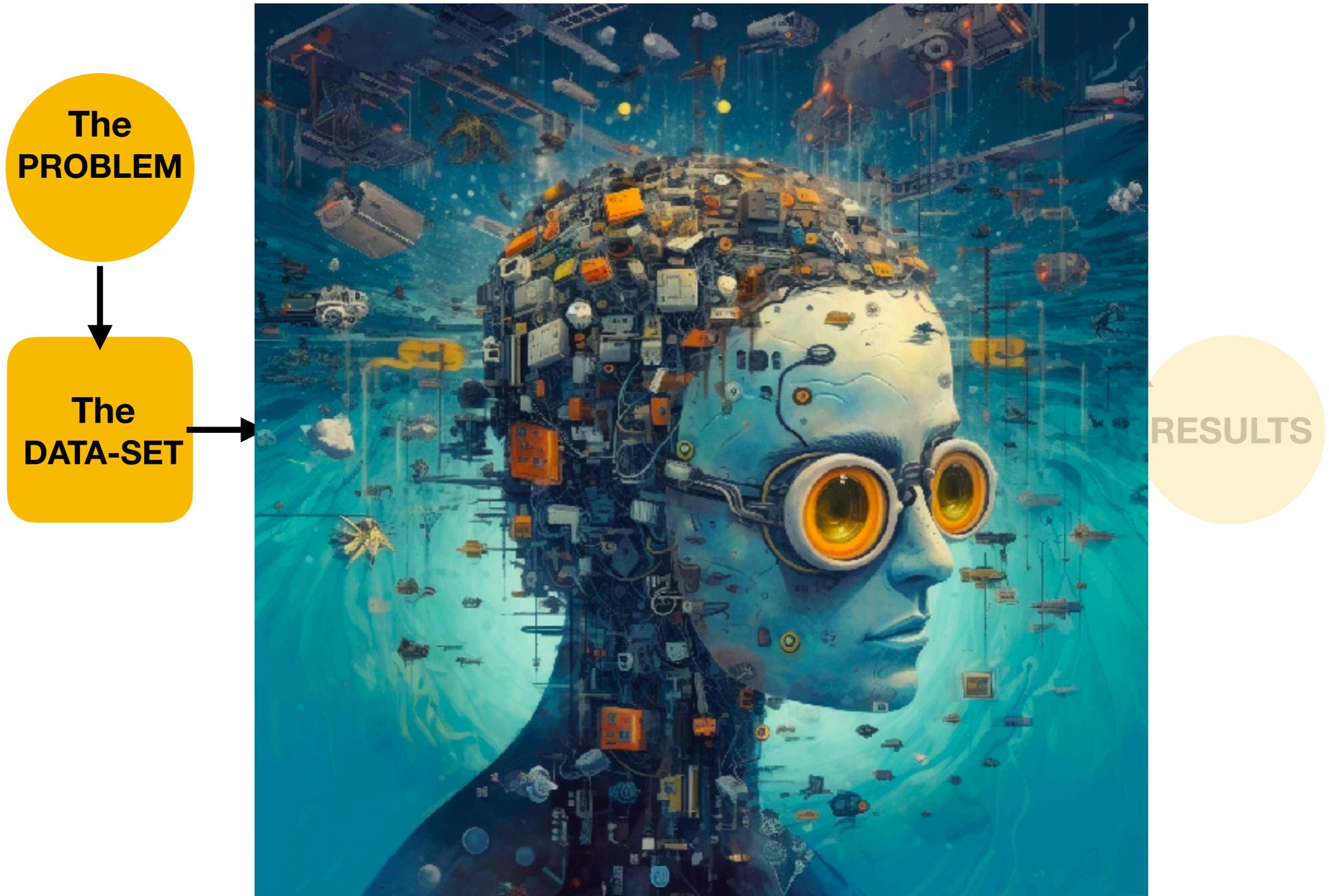


# The Data Set

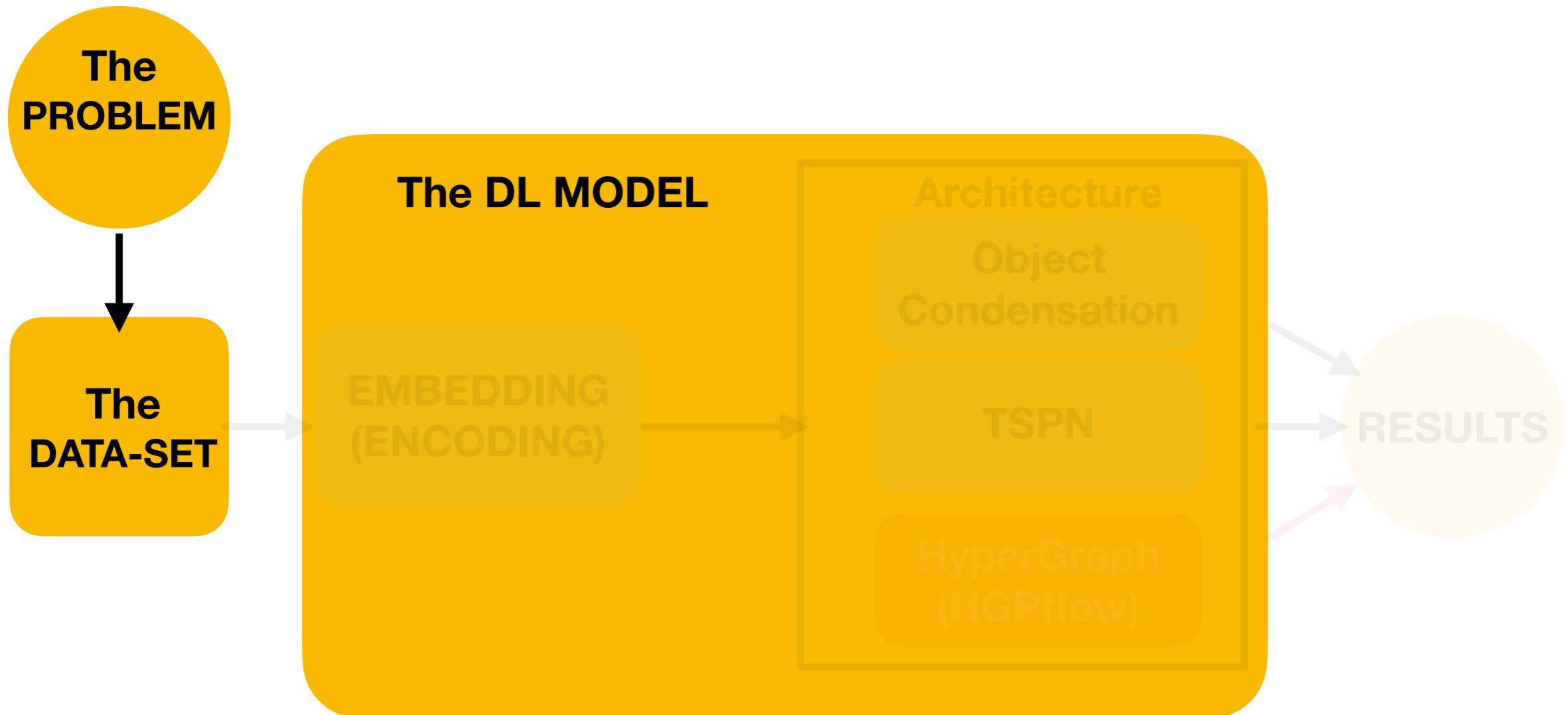
- Single Light Jets
- Train (50000) Test (30000)



# Diving into Deep Learning

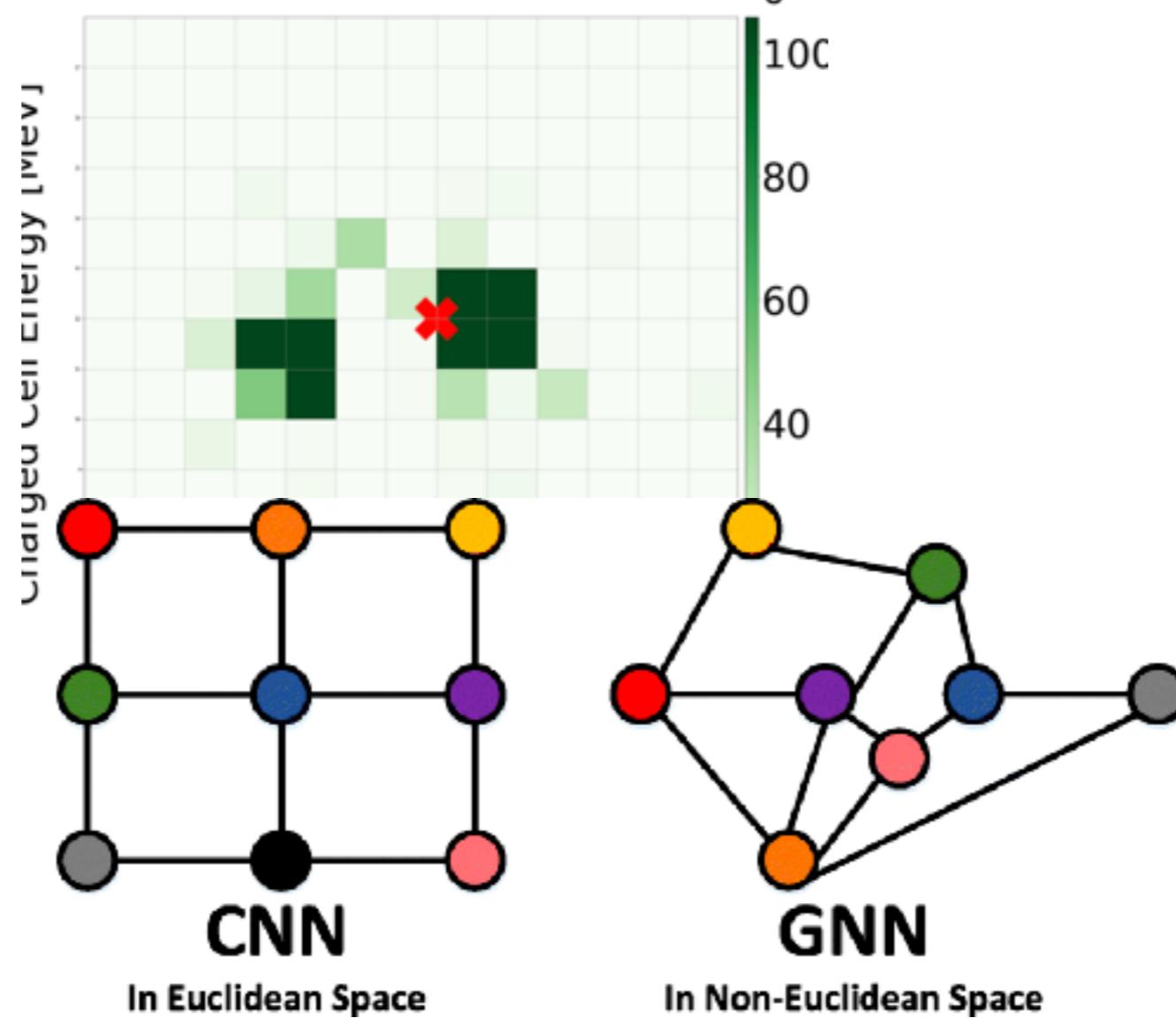
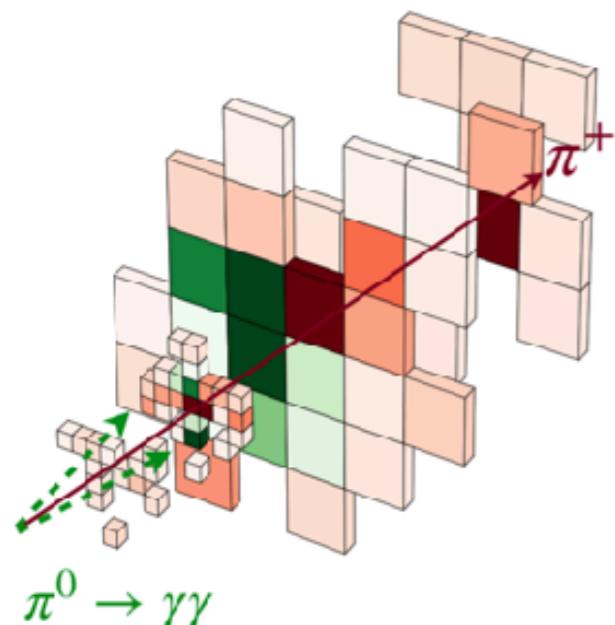


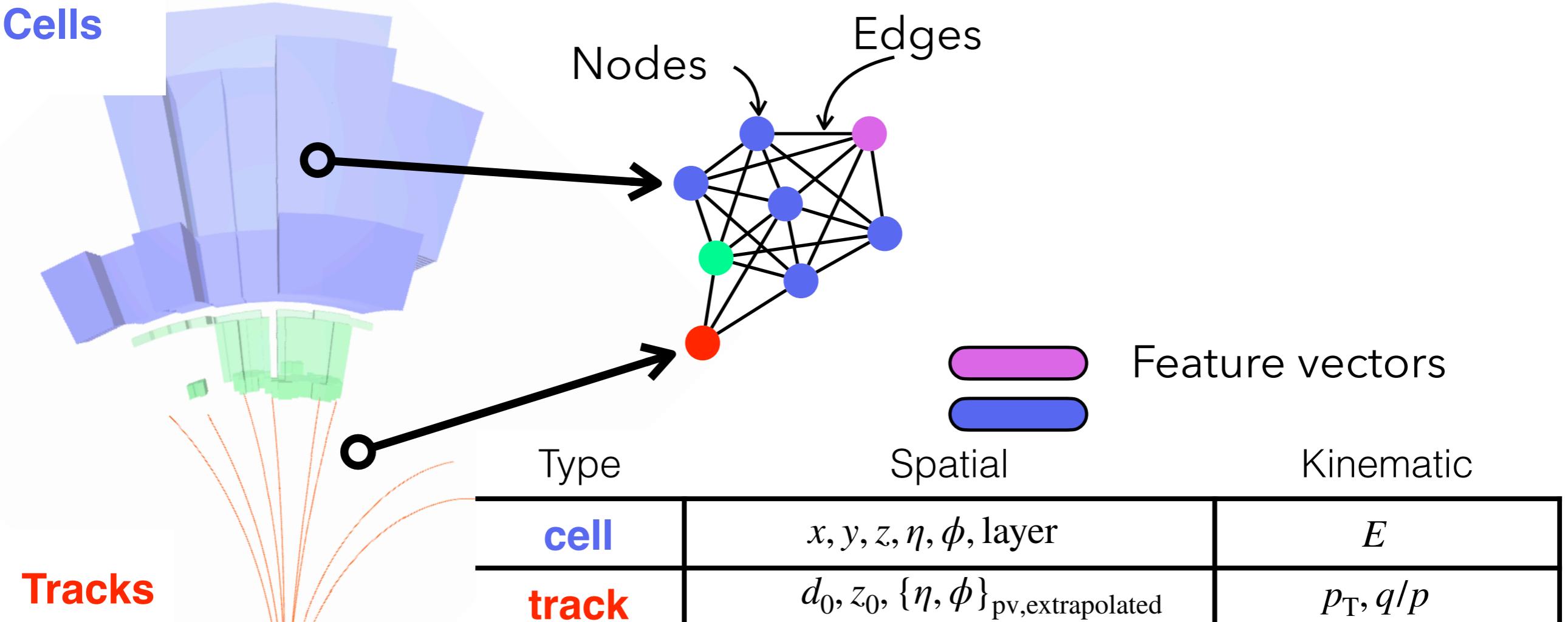
# Diving into Deep Learning



# Graph vs Convolutional NN

- CNNs are specially built to operate on regular (Euclidean) structured data, while in GNNs the numbers of nodes connections vary and the nodes are unordered.
- Graphs capture spatial correlations encoded in irregular detector geometry and well suited to the sparsity and variable cardinality of input set.



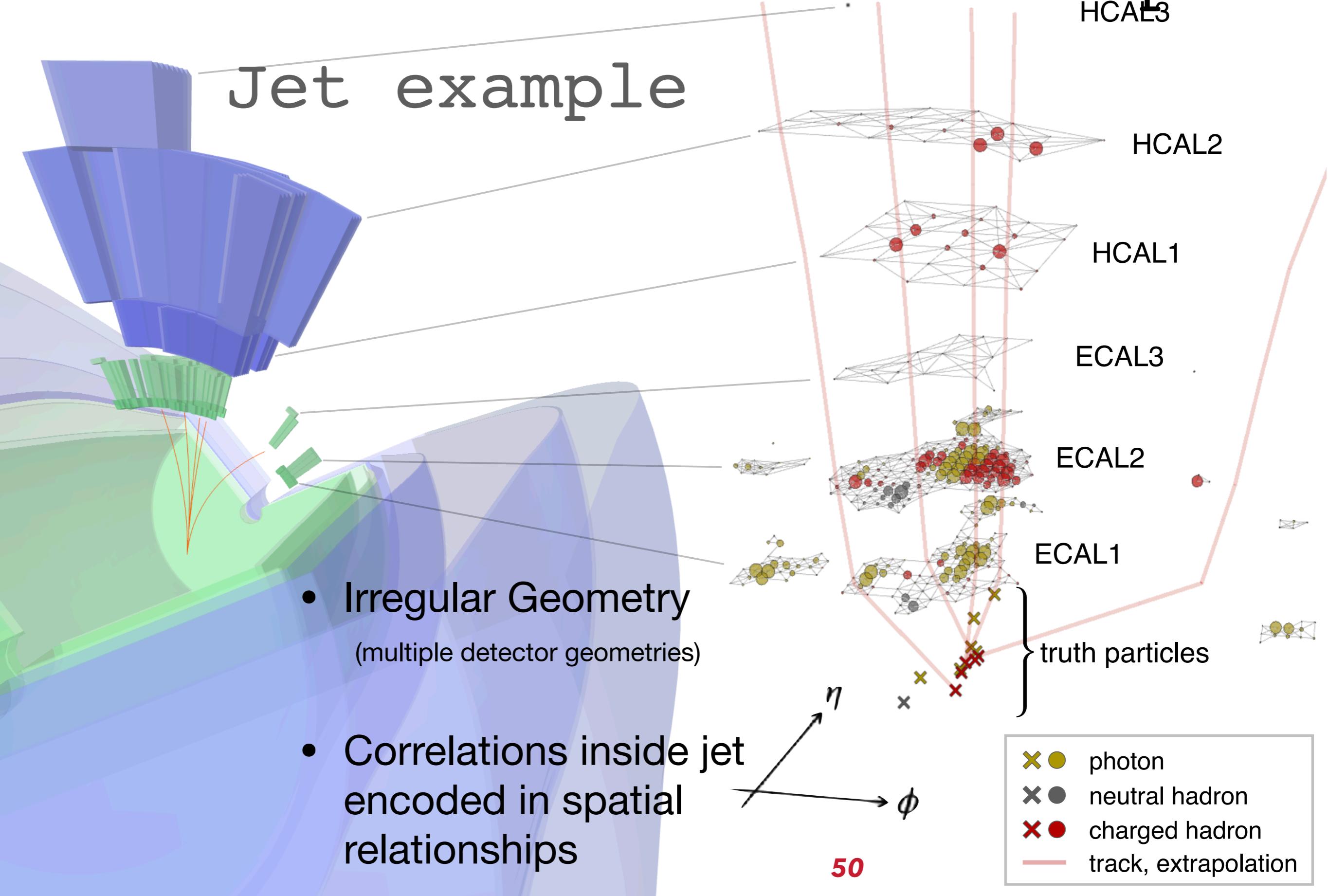


- **Sparse**  
(most cells not activated)
- **Set-to-Set**  
(reconstructed-to-particles)
- **Equivariant**  
(permute input nodes should not affect  
the conclusion)

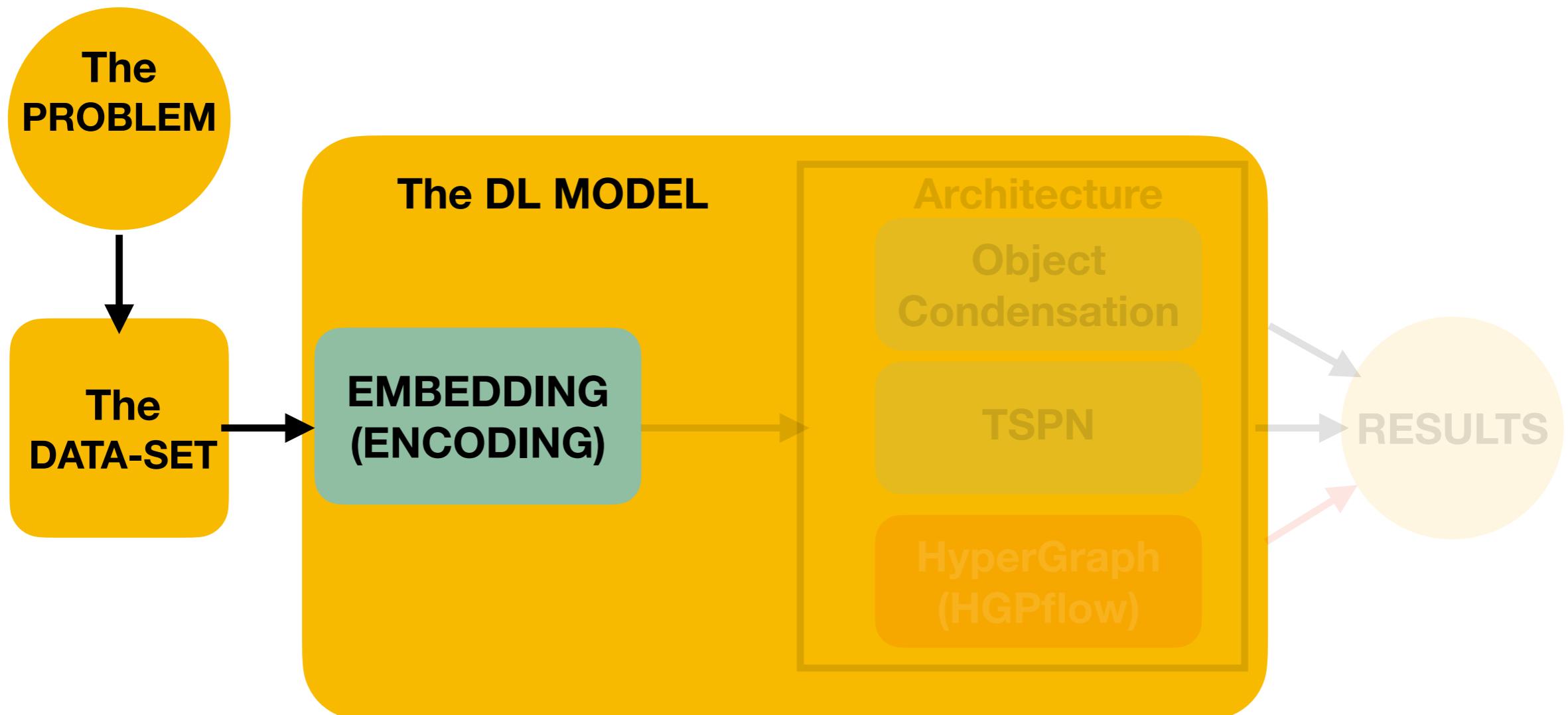
# Detector Readout as a Graph

HCAL3

## Jet example

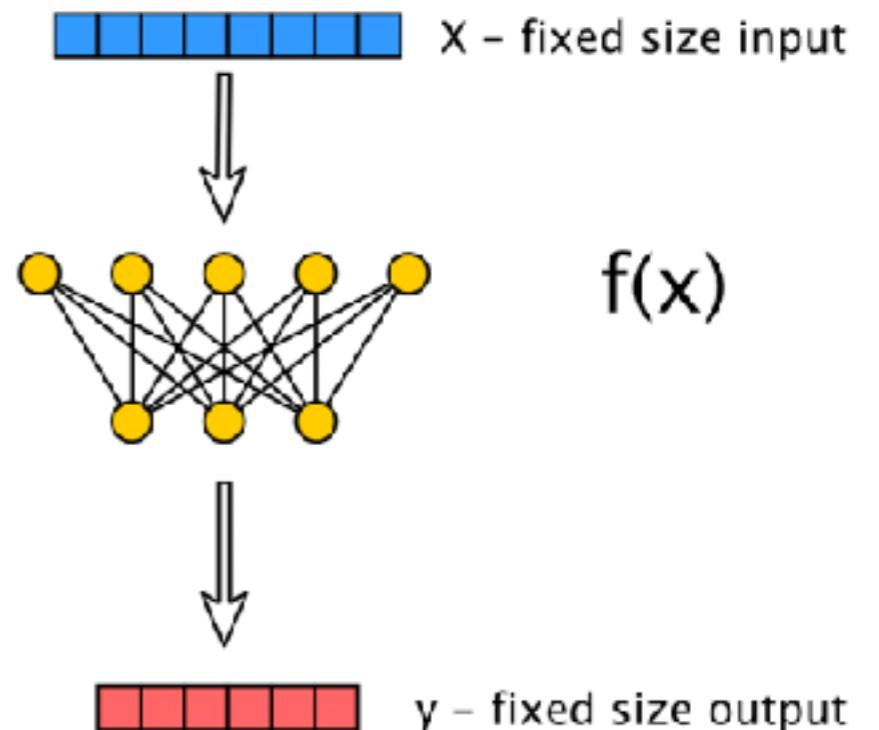


# Diving into Deep Learning



# GRAPH NN 101

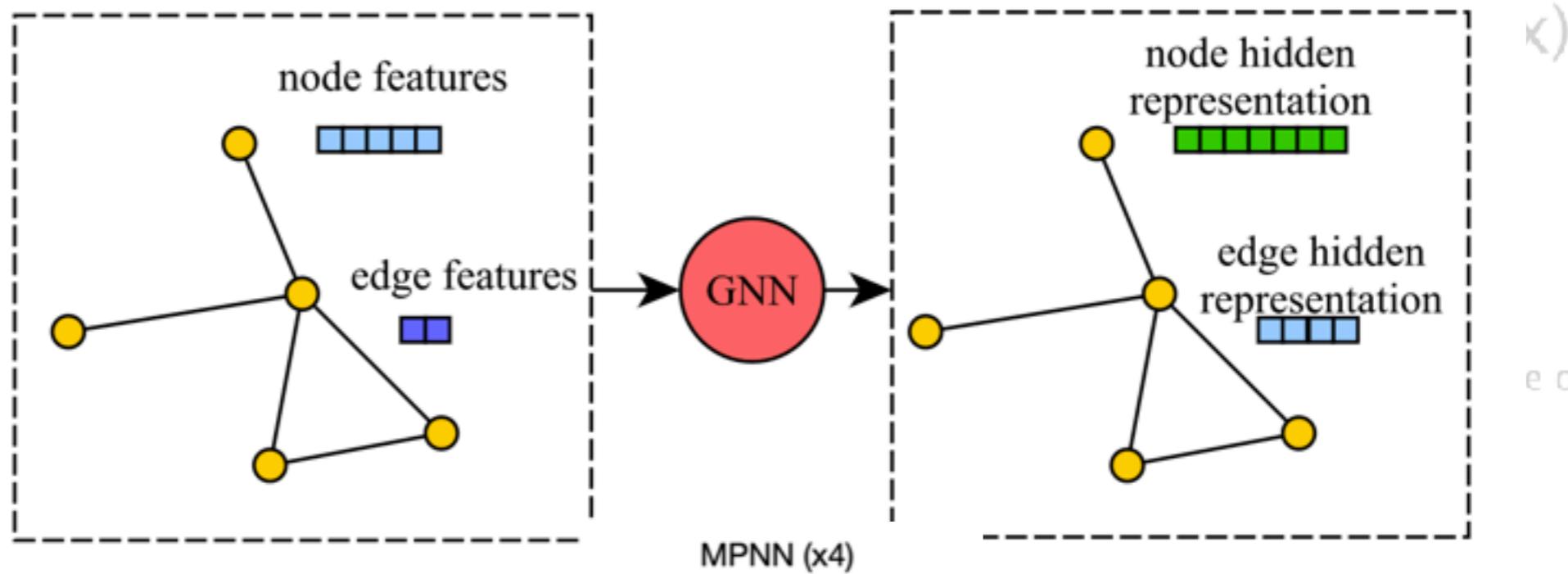
- MLP (Multi Layer Perceptron) [NN] is the basic building block which encodes features into the Deep Learning language
- It has a fixed-size of input and output
- This structure can, in theory, learn to approximate any function



# GRAPH NN 101

- MLP (Multi Layer Perceptron) [NN] is the basic into t

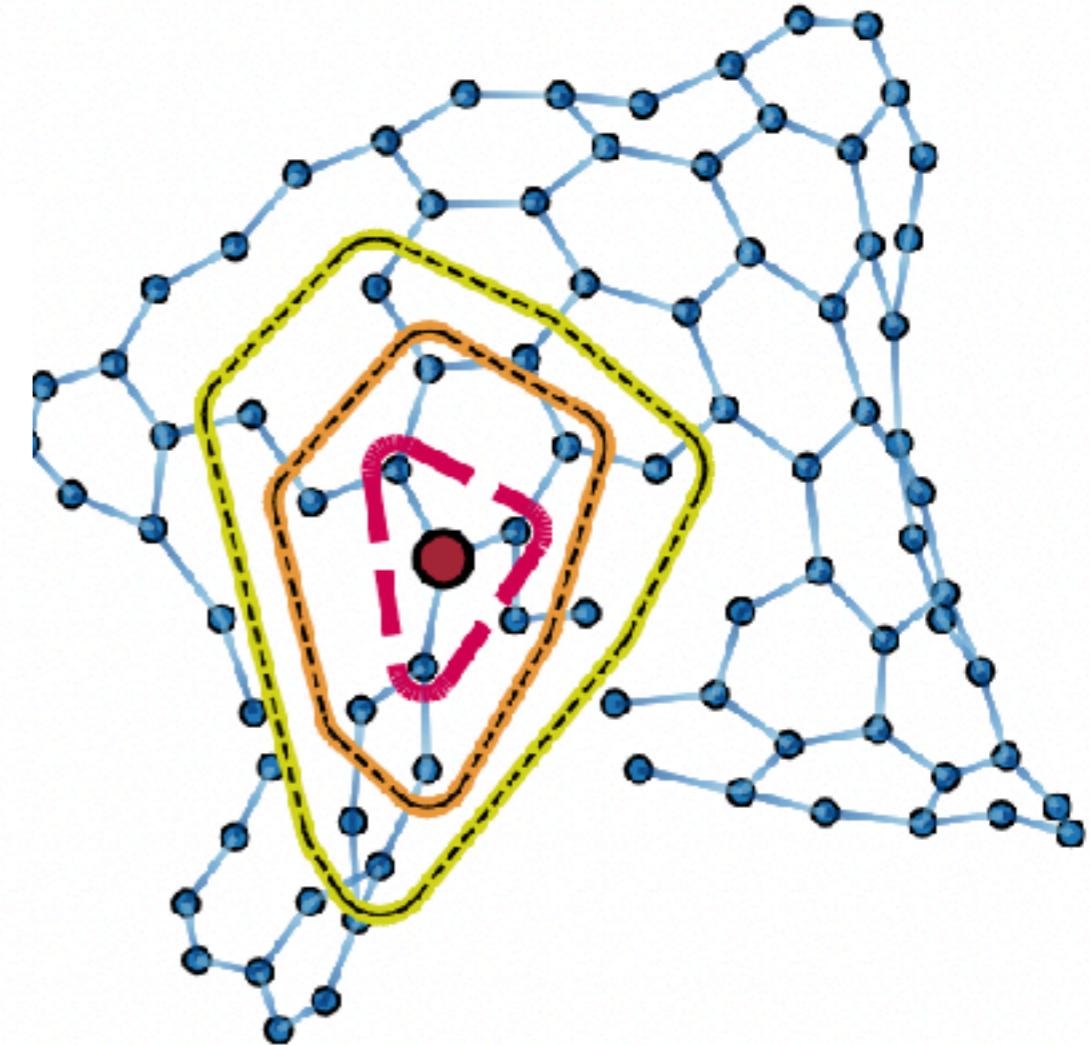
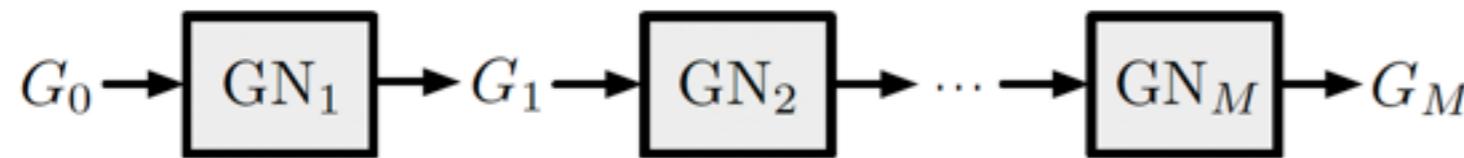
- It has input
- This appro



$$\vec{n}'_i = \text{MLP}(\vec{n}_i, \sum_{\text{neighbors}} \vec{n}_j)$$

# Increasing the Receptive Field

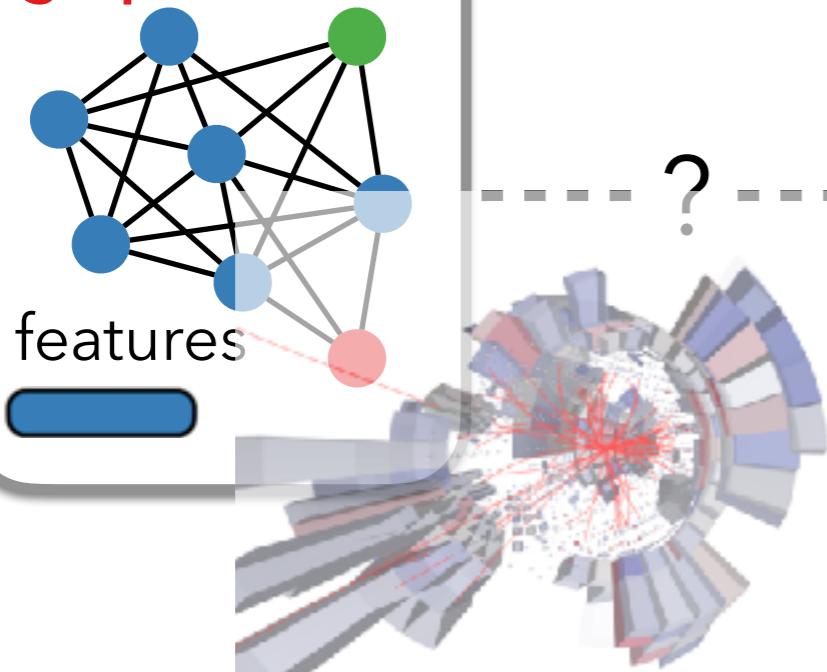
- Stacking GN Blocks increases the receptive field of a node
- Each iteration communicates with a remoter circle of neighbors



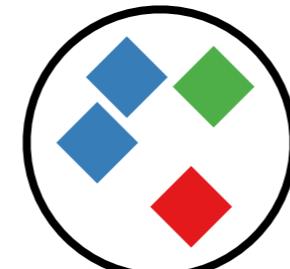
# INPUT

# OUTPUT

Data graph



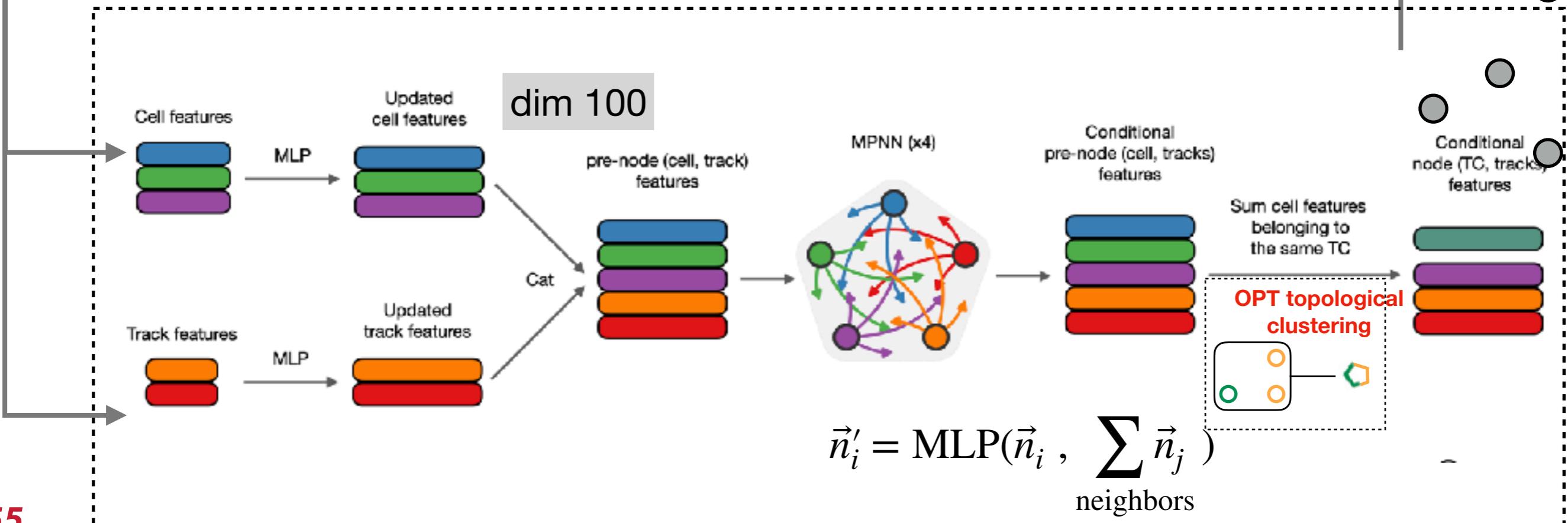
Predicted particles



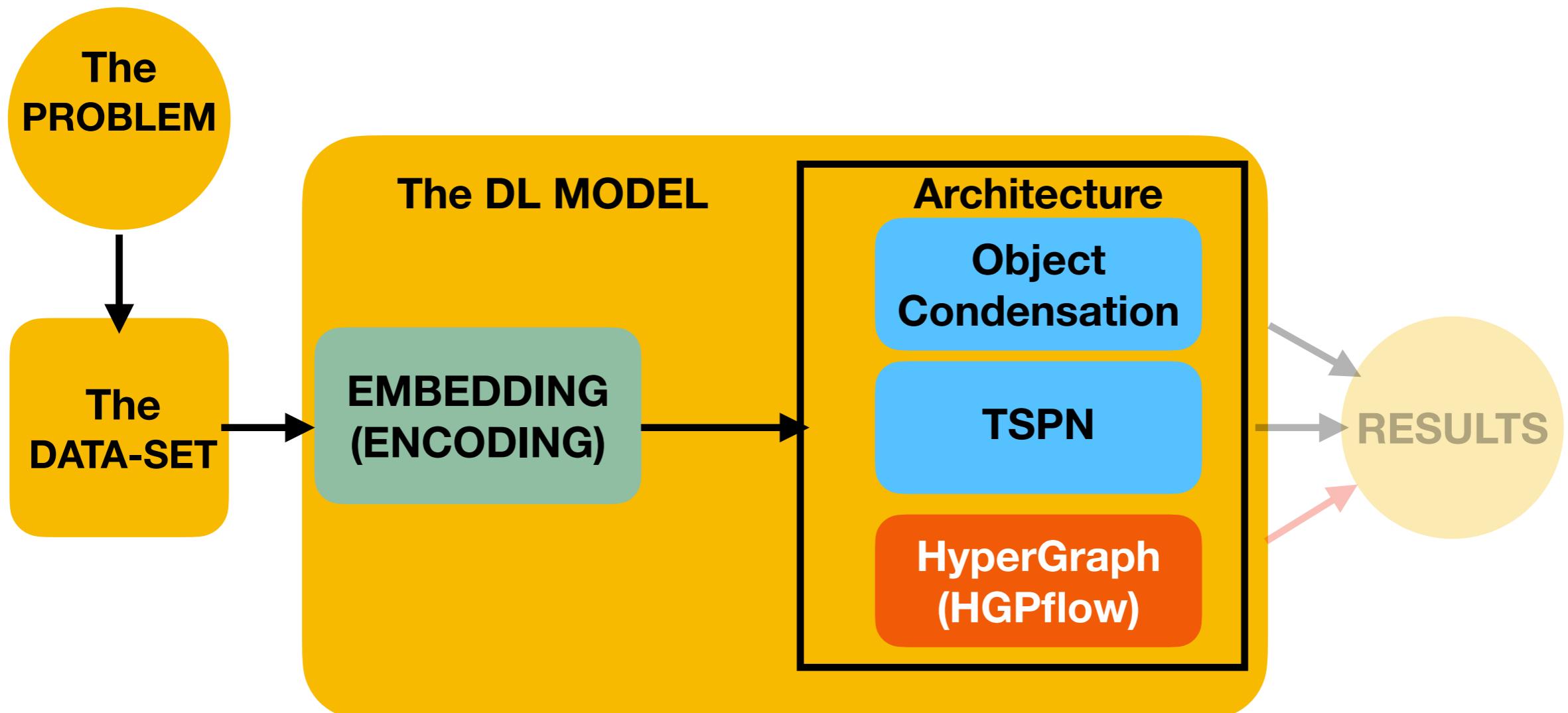
properties

DL Architecture

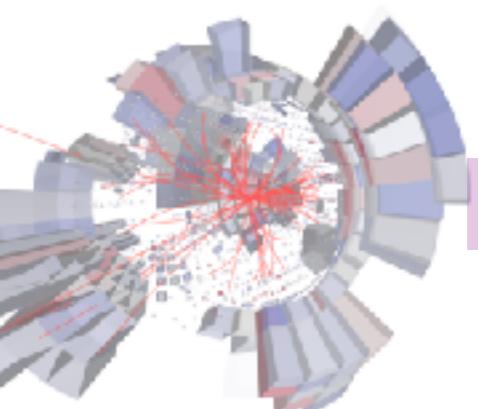
## Node encoding



# Diving into Deep Learning



# Going from (many) nodes to (few) particles



## Input set

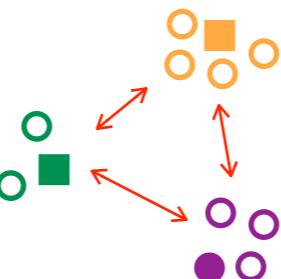
cells & tracks  
 ○ □  
 ○ ○ ○ ○  
 □ ○ ○  
 ○ ○ ○

based on J. Kieseler arXiv:2002.03605

## Object Condensation

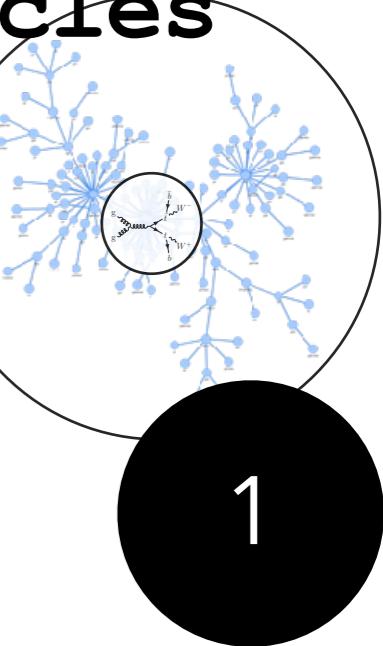
A node is mapped to one particle

supervised clustering



## Output set

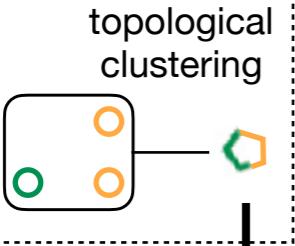
predicted particles



1

A node is mapped to >1 particle

topological clustering



topoclusters & tracks

○ □ ○ ○  
 ○ ○ ○ ○  
 □ ○ ○  
 ○ ○ ○

based on Slot Attention

## TSPN-SA

## learnable attention in latent space

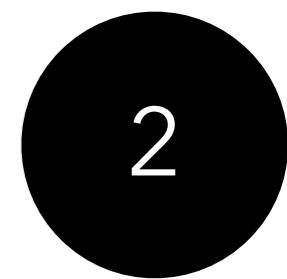
randomly initialized proto-particles

$N$



transformer

predicted particles

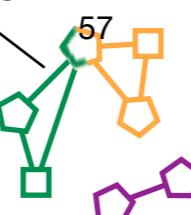


2

**predicts nodes → particles**  
based on physics inductive bias

## HGPflow

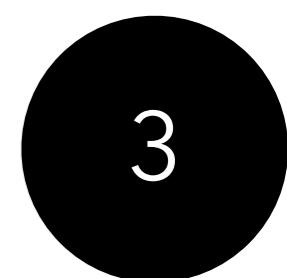
hyperedges



Math based on D. Zhang, G. J. Burghout, C. G. M. Snuek

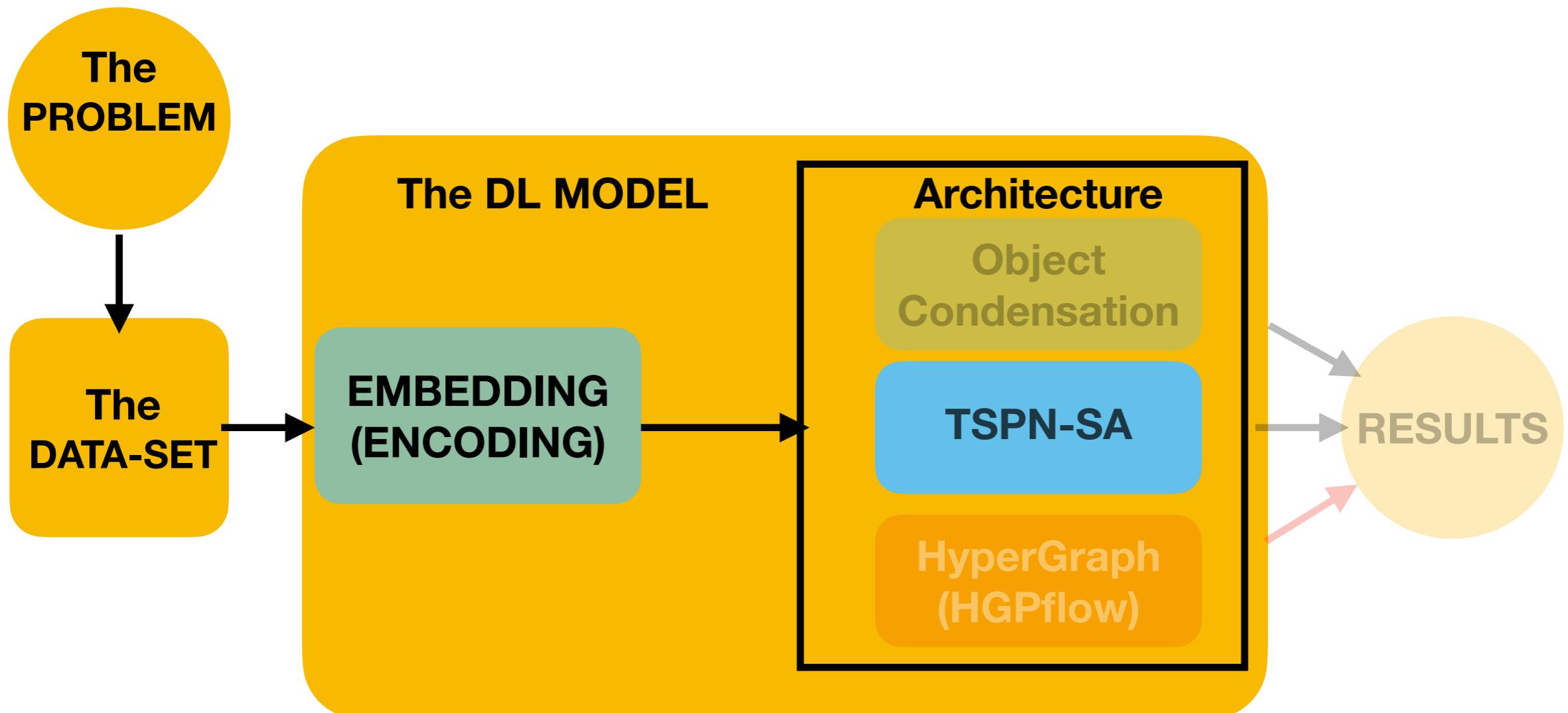
<https://arxiv.org/pdf/2106.13919.pdf>

predicted particles

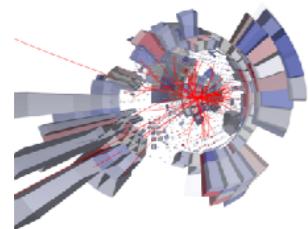


3

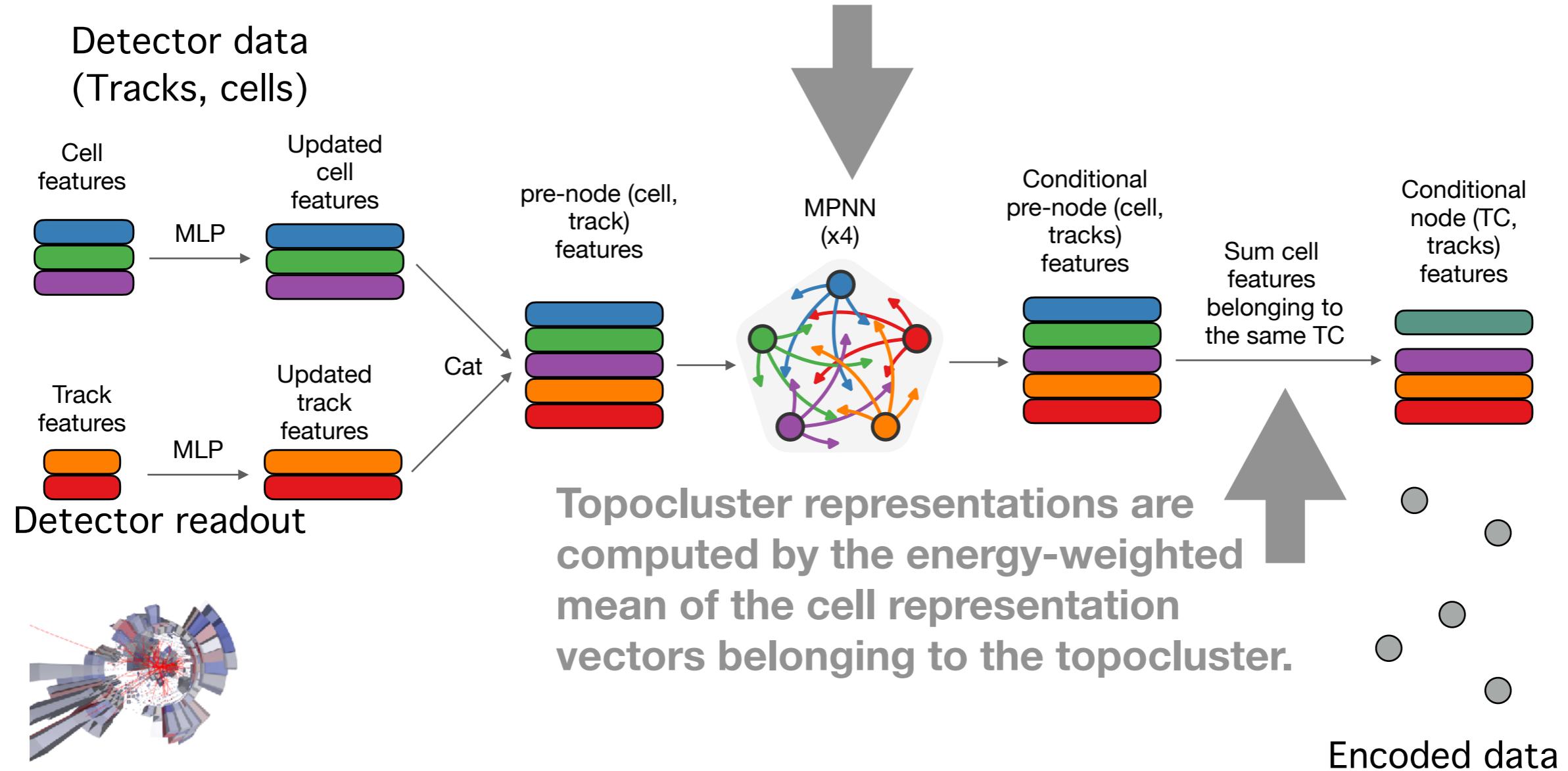
# Diving into Deep Learning



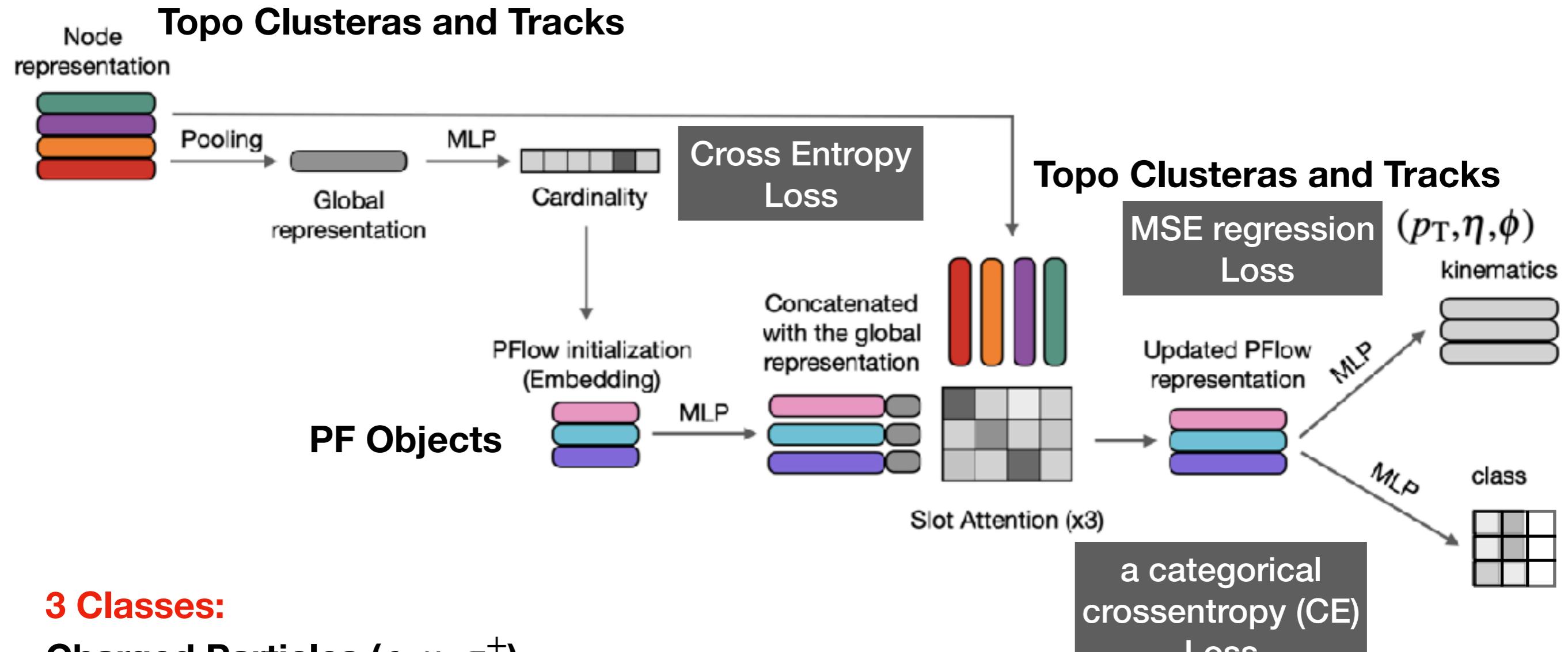
# Embedding the DATA



The node encodings are updated to incorporate the graph relational structure via 4 successive blocks of message passing along edges.

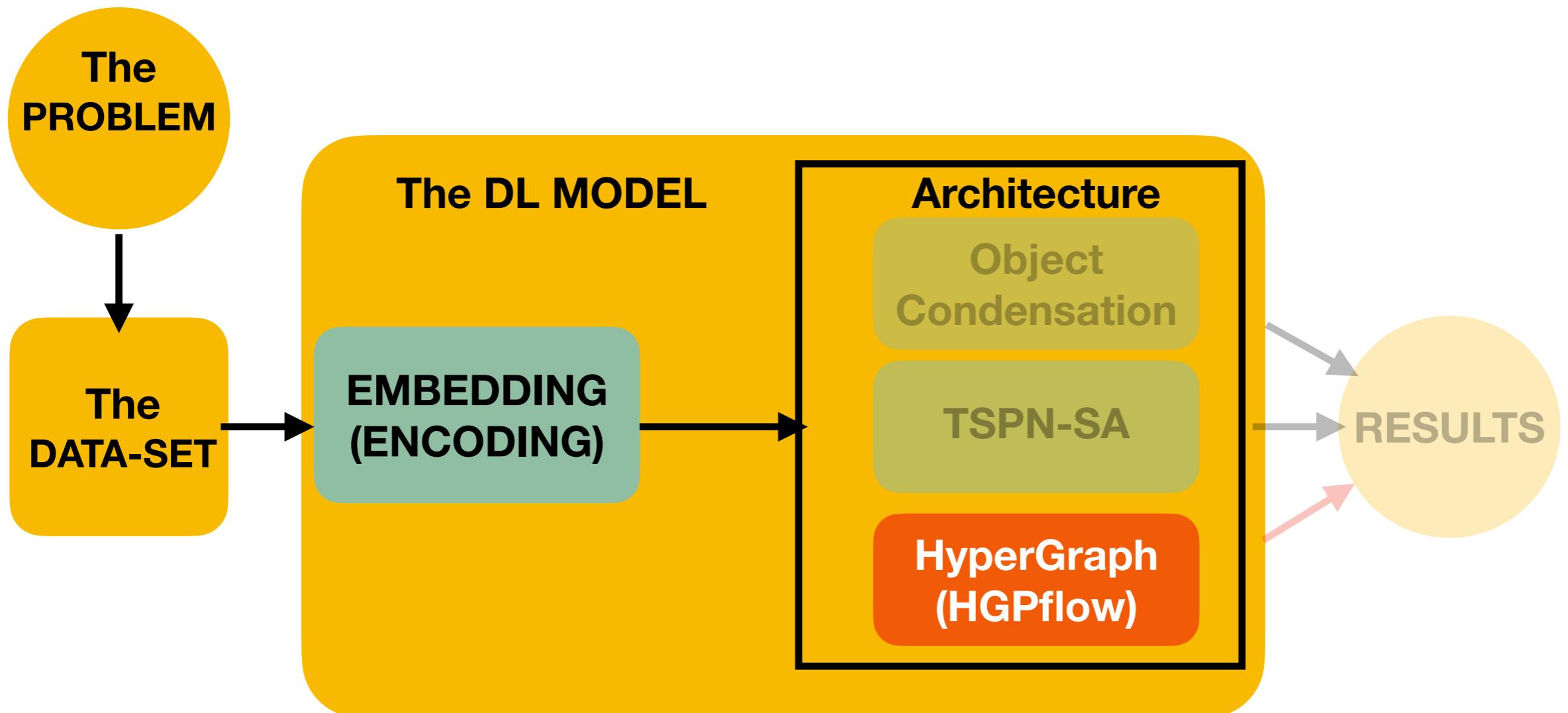


# Slot Attention



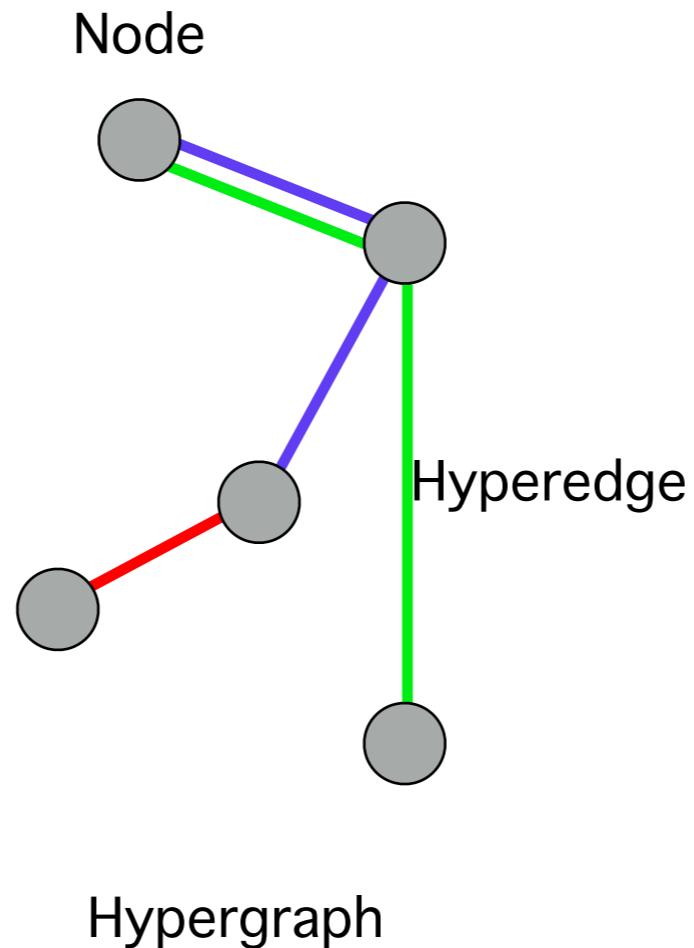
Performance: Later

# Diving into Deep Learning

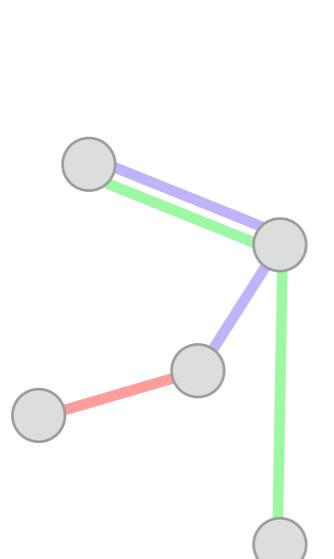


# Hypergraph 101

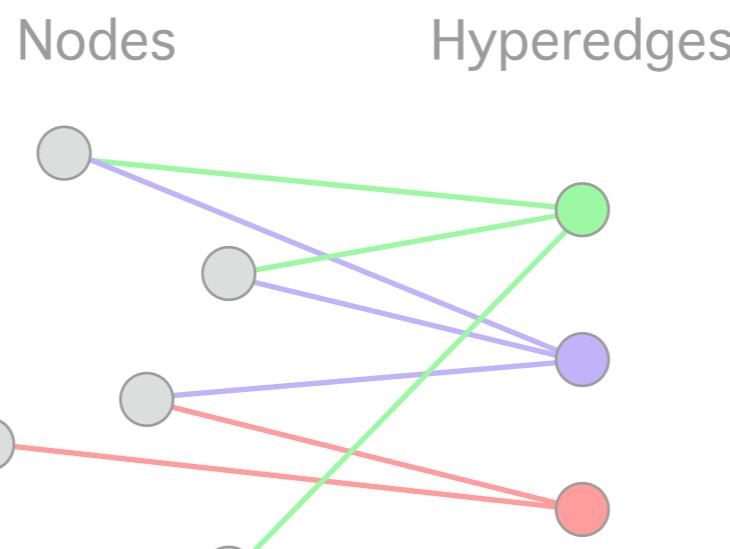
*A hypergraph is a generalization of a graph where hyperedges can each connect one, two, or multiple nodes*



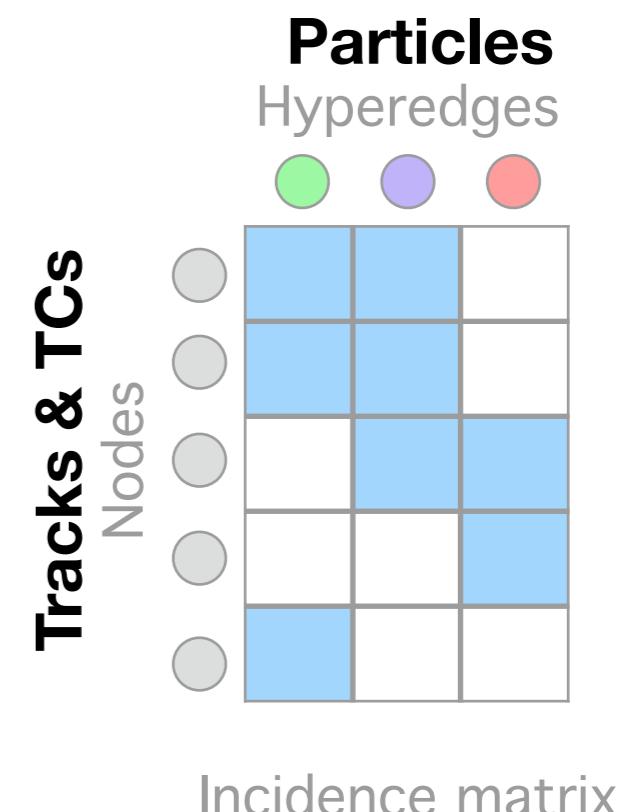
# Hypergraph 101



Hypergraph



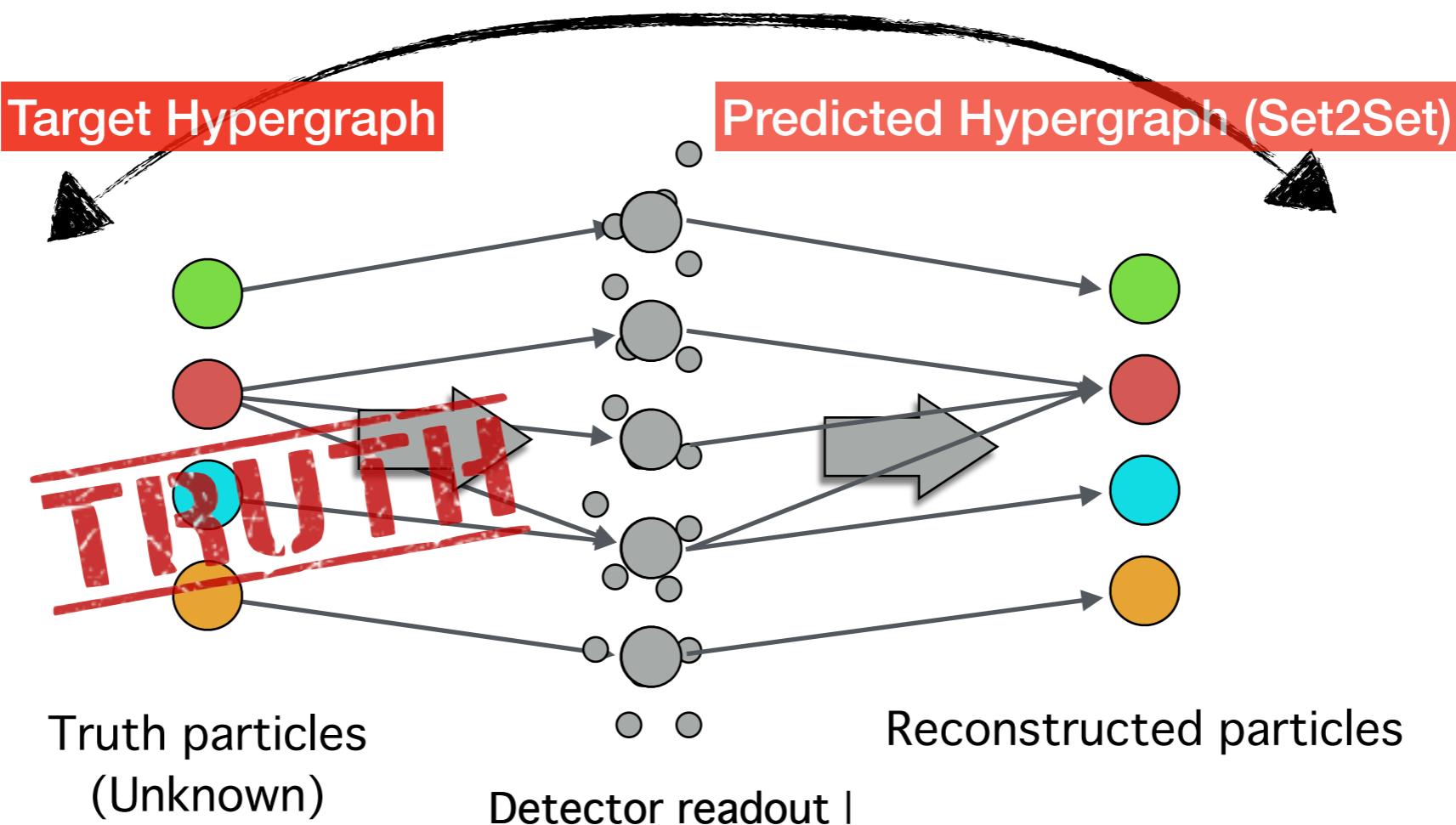
Bipartite graph



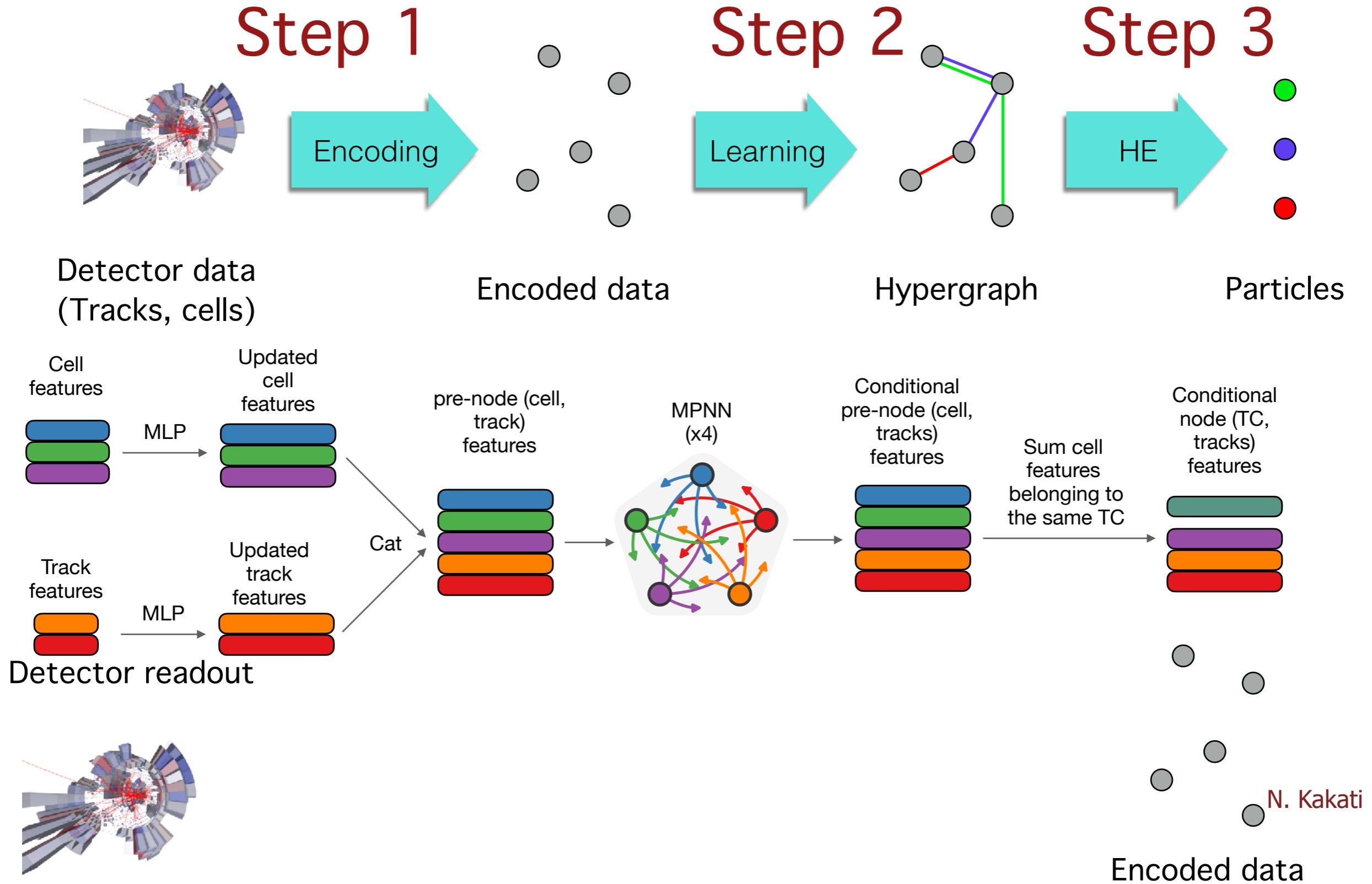
$$\text{Hypergraph} \equiv G(\mathcal{V}, \mathcal{E}, \mathcal{I})$$

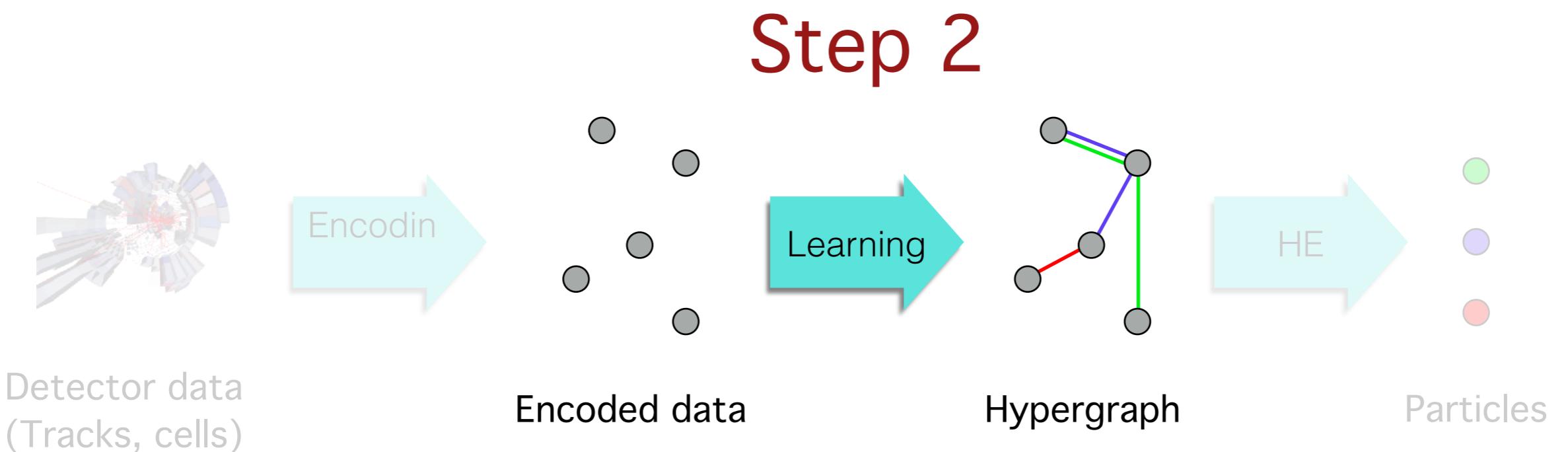
# Why HyperGraphs

- Particle Flow = Learning a Hypergraph
- Physics Interpretability (next)

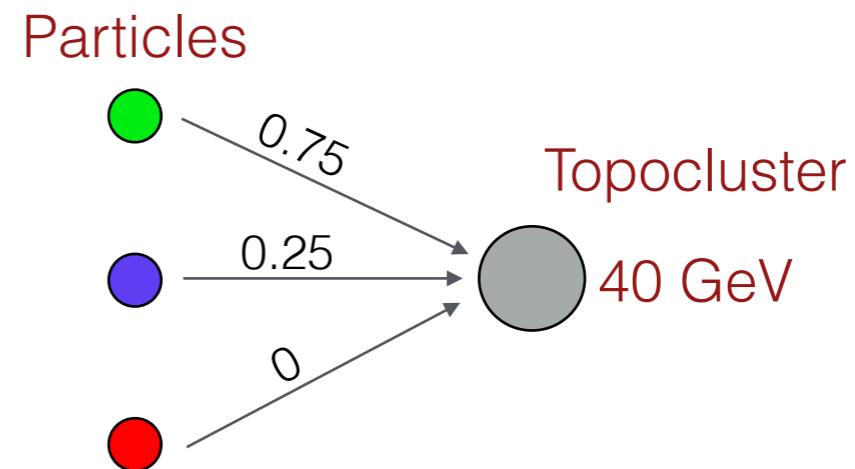
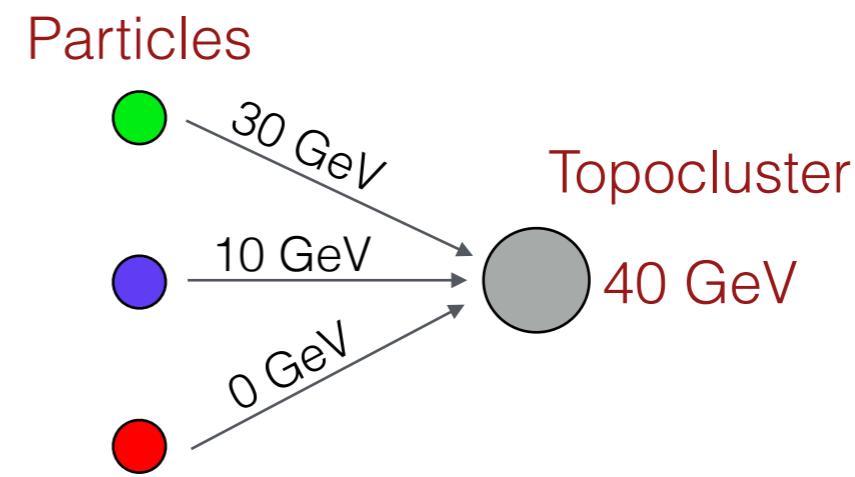
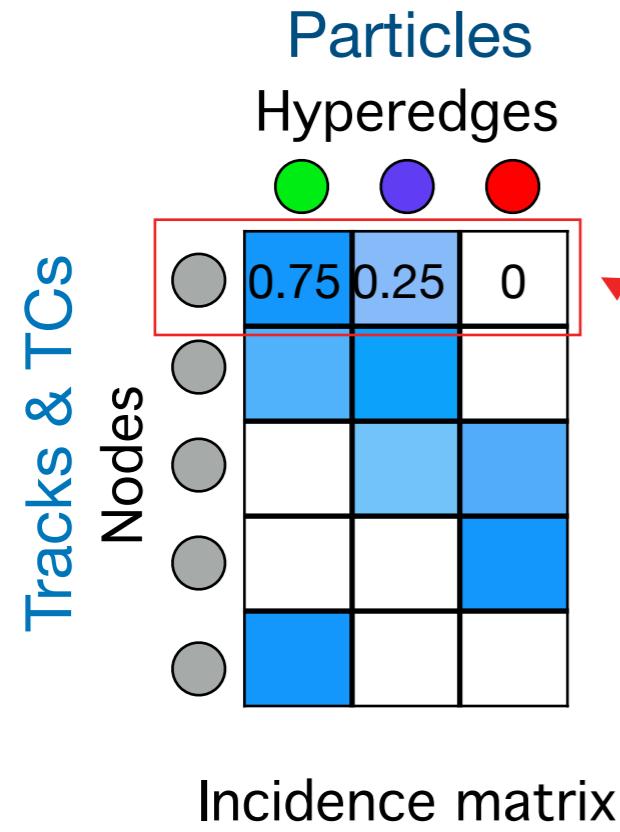


# The overall plan





# Incidence matrix



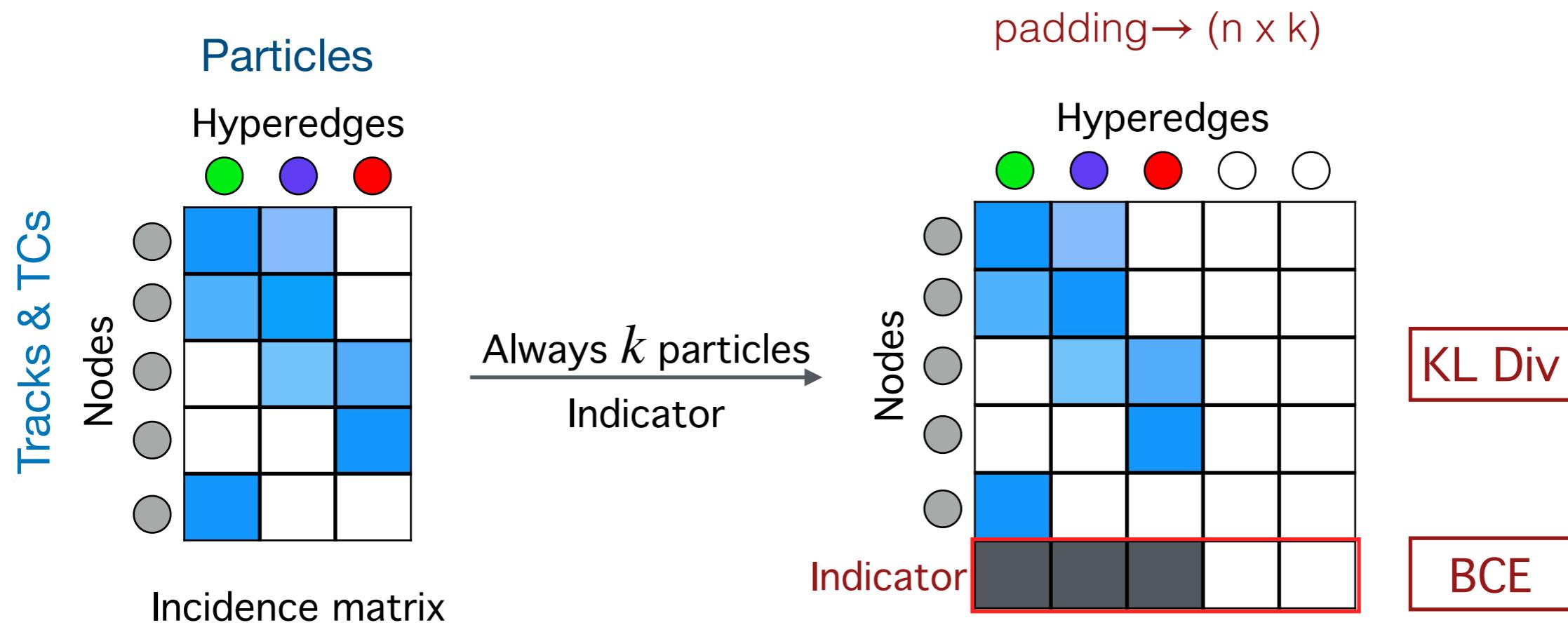
## Inductive Bias

- \* Biased toward E conservation
- \* Can approx. particle energy as incidence-weighted sum of node energies

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# Indicator

- Variable number of particles
- Indicator to the rescue!
- Indicator predicts the cardinality



$$[I]_{ia} = \frac{E_{ia}}{\sum_{\text{particles } b} E_{ib}} = \frac{E_{ia}}{E_i}$$

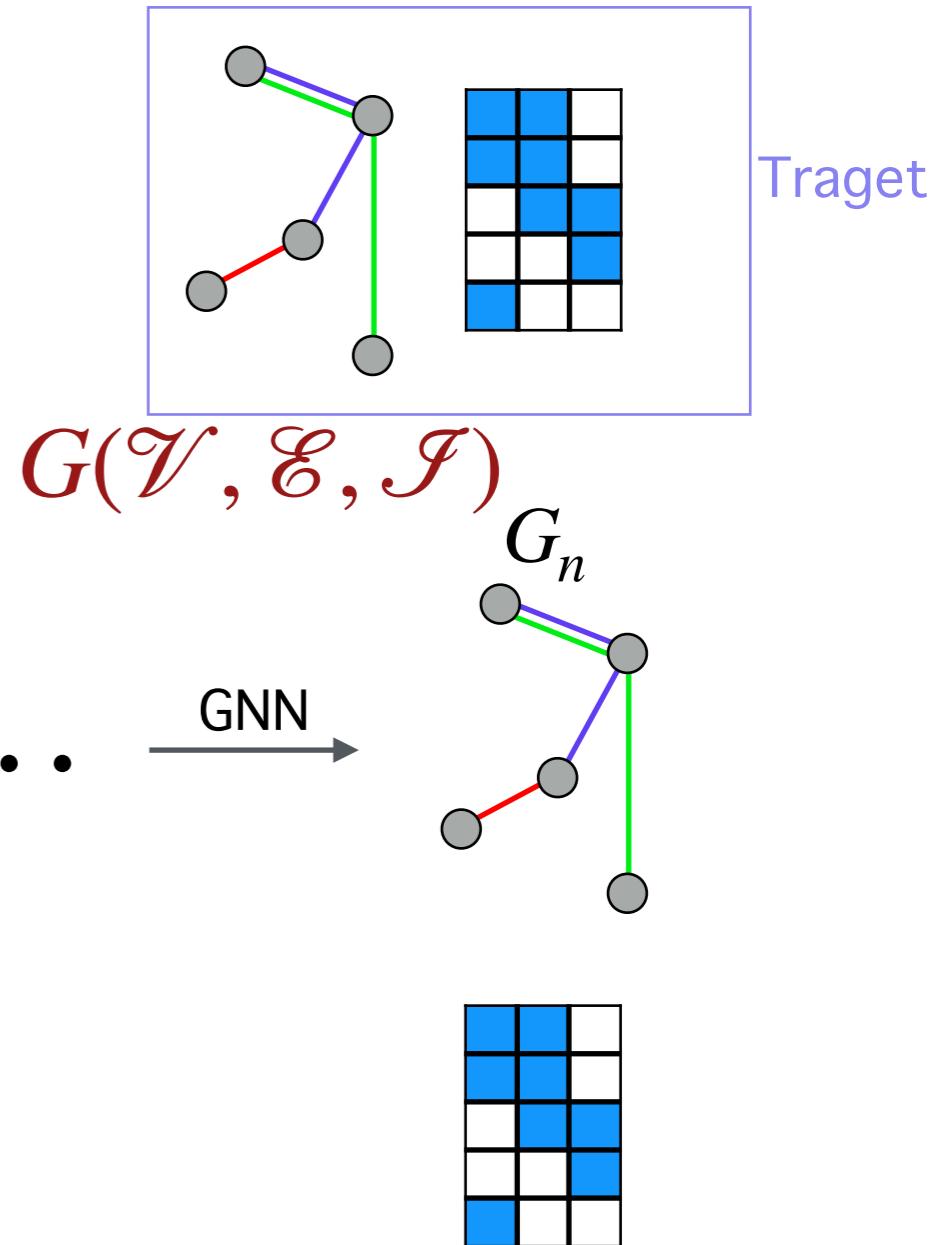
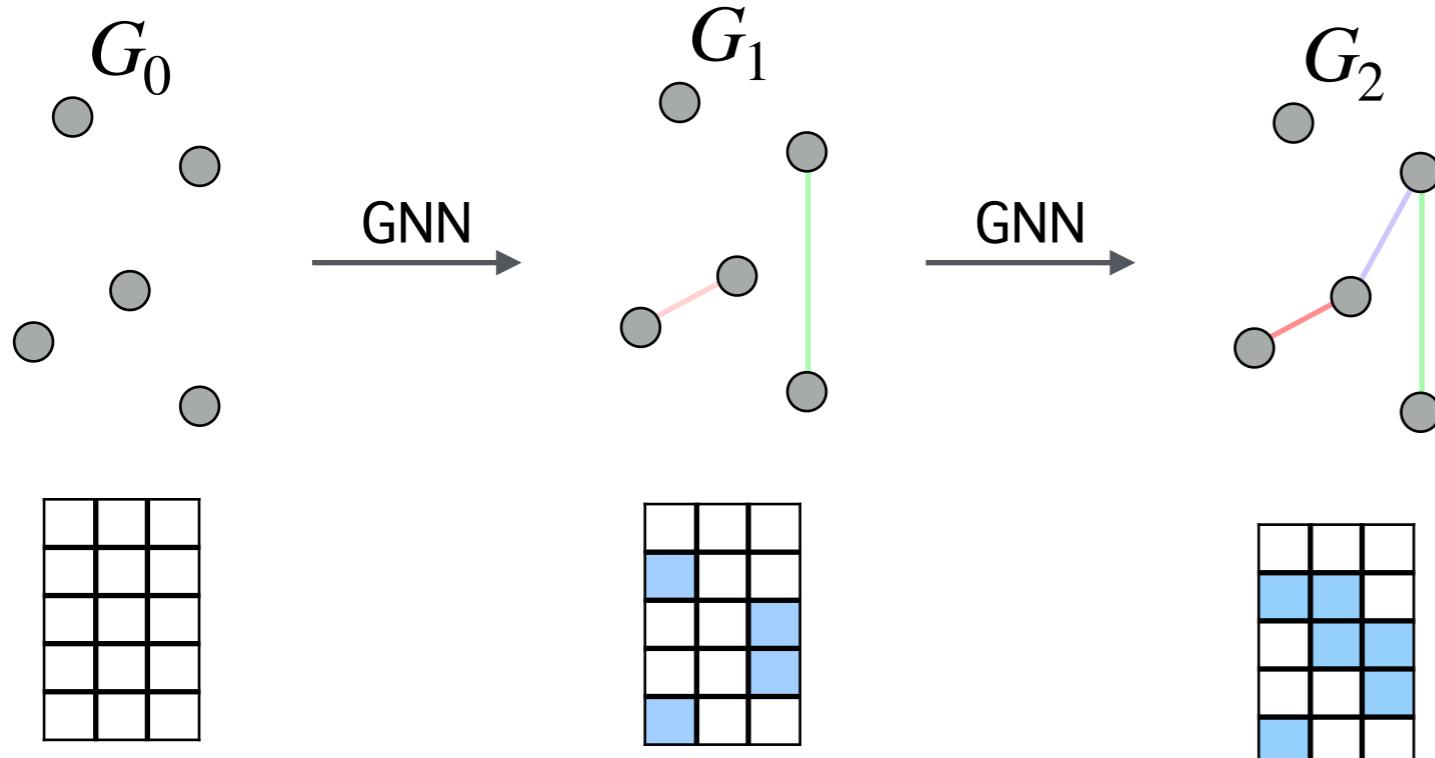
**Eia** is the amount of energy that particle **a** contributes to the total energy **Ei** of node **i**.

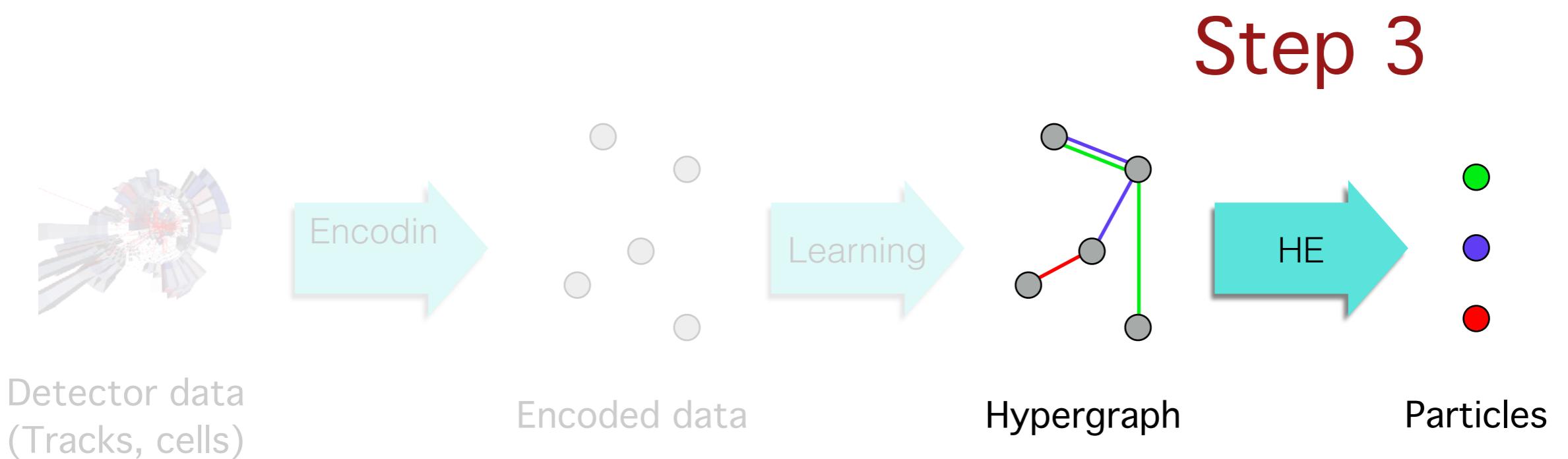
KL Div 68  $Loss_{inc} = \sum_a KL_i(I_{ia}^{targ}, \text{Softmax}_i(I_{ia}^{pred}))$

# Recurrently learning Hypergraph

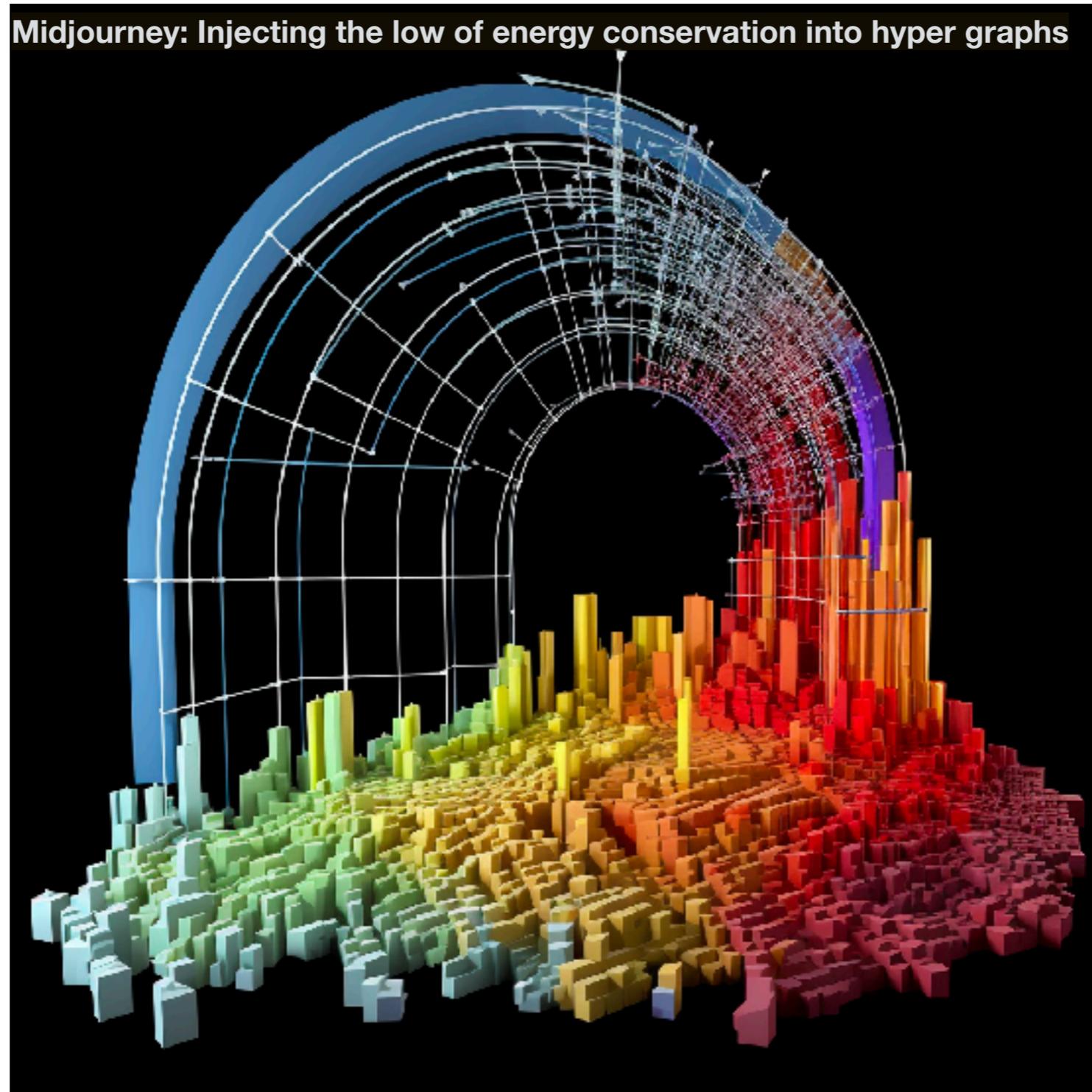
Math based on D. Zhang, G. J. Burghout, C. G. M. Snuek  
<https://arxiv.org/pdf/2106.13919.pdf>

Recurrence! (X16)





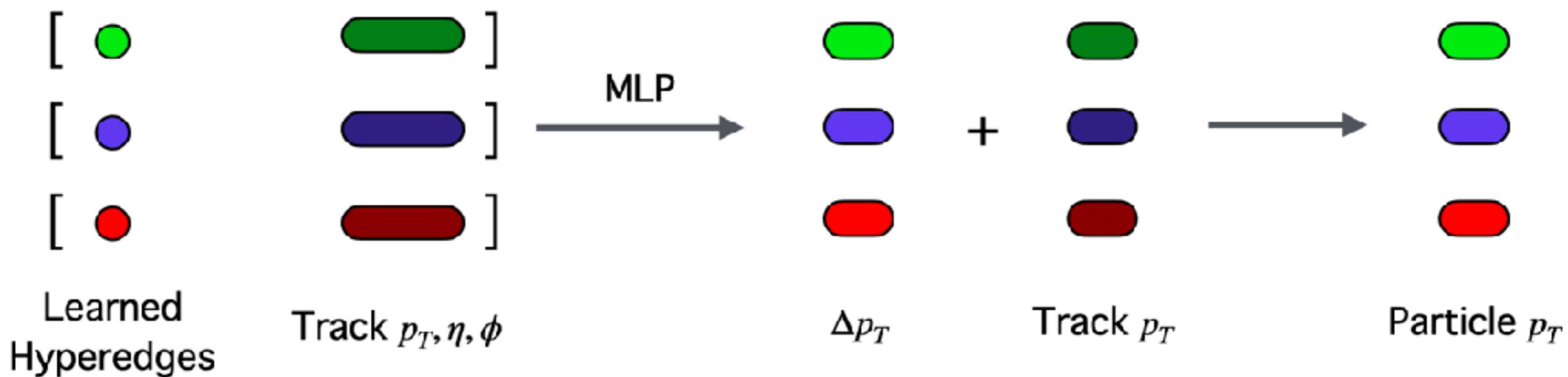
# Interpretability



# Charged Particles

- Tracks are Good Proxies for Charged Particles  $p_T$  & directions
- —> Separate the inference networks for Charged and Neutral particles
- Take  $\eta$  and  $\phi$  from the track, and predict a correction to track  $p_T$

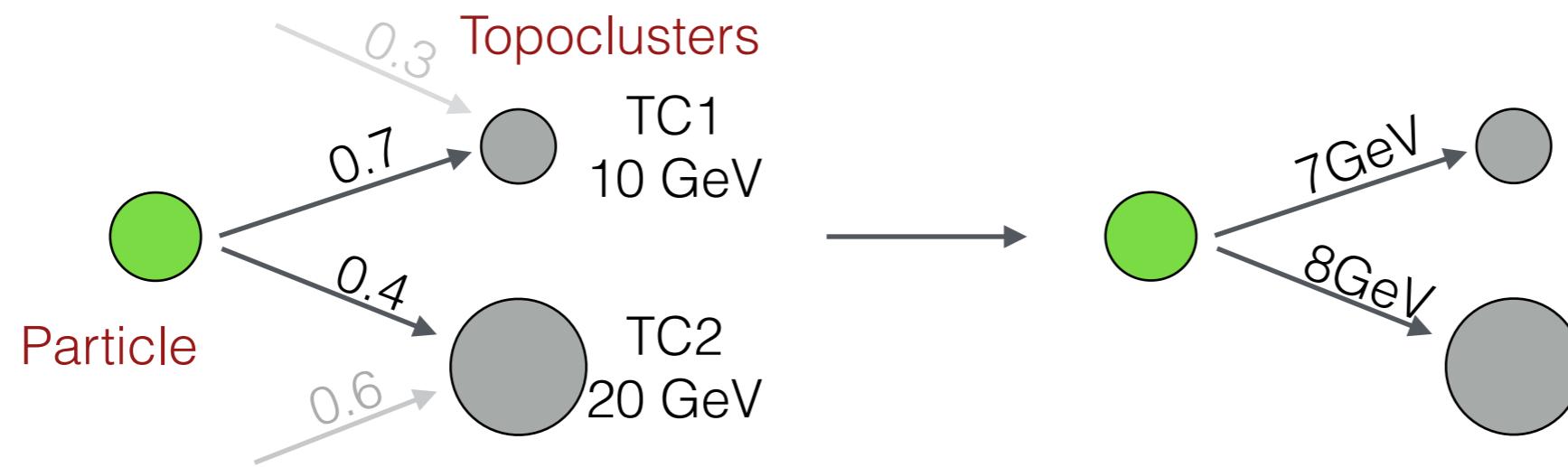
$$\in R^{N \times (100+3)}$$



# Neutral Particles

## Neutral particles

- Incidence matrix injects the Energy-Conservation into the learnt hyper-edges which by now can also serve as proxies for Neutral Particle



Proxy properties of

$$\begin{aligned} \bullet E = E_1 + E_2 &= 15 \text{ GeV} & \bullet \eta = \frac{7\eta_1 + 8\eta_2}{15} & \bullet \phi = \frac{7\phi_1 + 8\phi_2}{15} \\ \bullet p_T &= \frac{E}{cosh(\eta)} \end{aligned}$$

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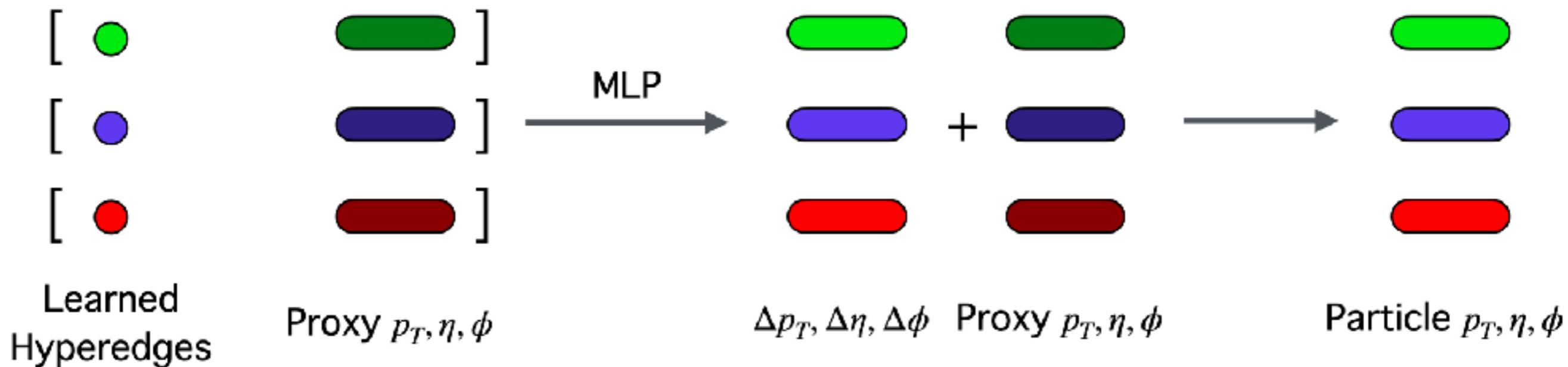
$$Proxy^{Neutral} = (E, \eta, \phi) = \left( 15, \frac{7\eta_1 + 8\eta_2}{15}, \frac{7\phi_1 + 8\phi_2}{15} \right)$$

N. Kakati

Weizmann Institute of Science

# Neutral Particles

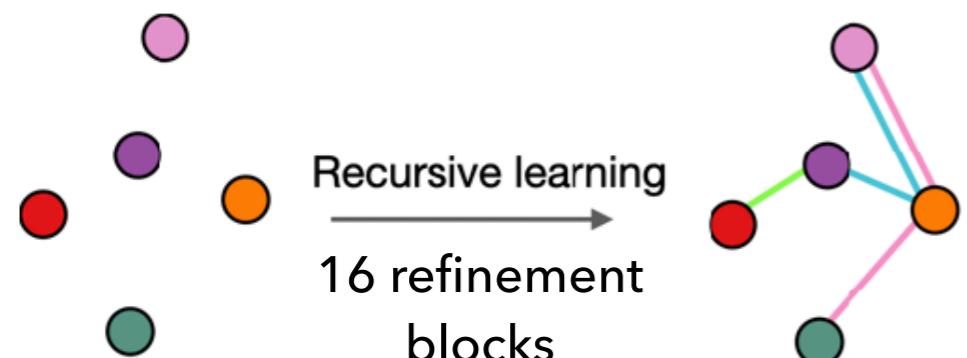
$$\in R^{N \times (100+3)}$$



# Overall HGPflow algorithm

## 1) predict incidence matrix

input nodes



Energy-fraction  
incidence matrix

$$I_{ia} =$$

Nodes

	Hyperedges	
Hyperedges	■ ■ ■	
Nodes	■ ■ ■ ■	
■	0.4 0.6	
■		0.7 0.3
■	0.6 0.4	
■	1	
■		
■		1

Incidence matrix

Recurrently predicting hypergraphs  
[arXiv:2106.13919](https://arxiv.org/abs/2106.13919)

## 2) predict particle properties

hyperedge rep.  
proxy quantity



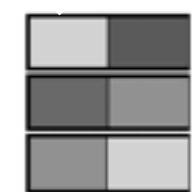
Hyperedge rep.  
proxy quantity

assoc.  
track?

N

Y

photon  
nu-had.



[charged  
particle]

$$\eta_a^{\text{pred}} = \hat{\eta}_a + \Delta\eta_a^{\text{net}}$$

predict  
offsets



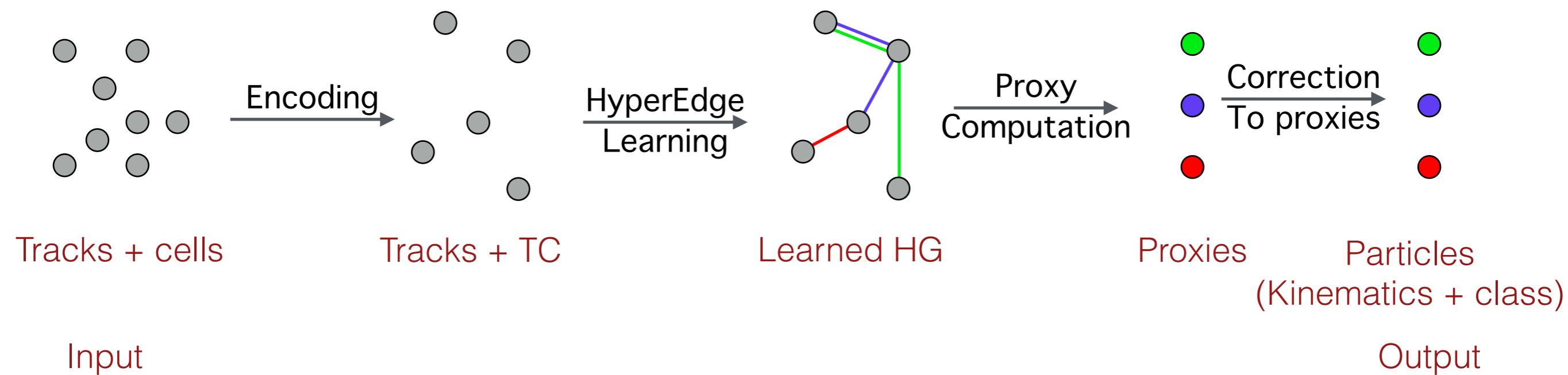
$p_T \ \eta \ \phi$

Energy-weighted  
proxy quantities:

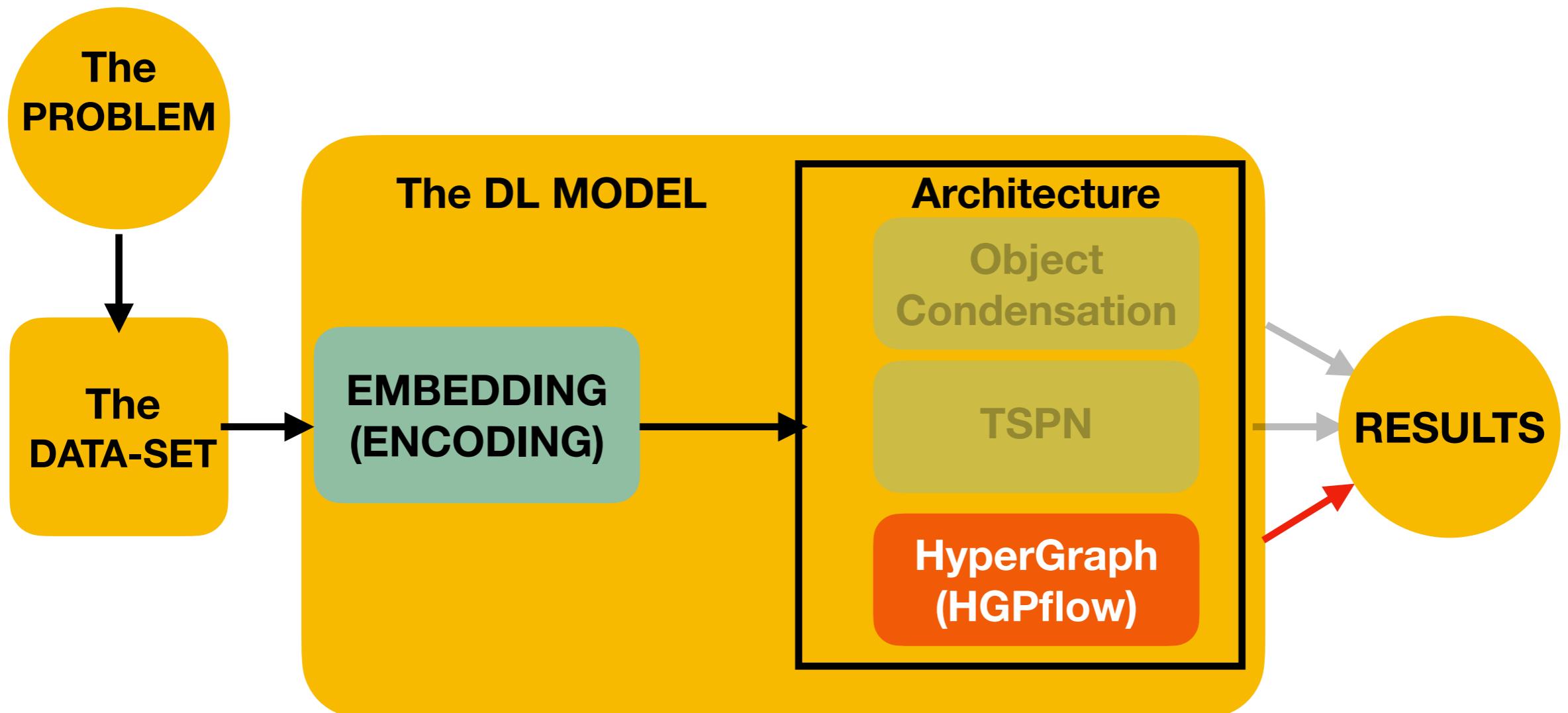
$$E_{\square} = 0.60E_{\bullet} + 0.70E_{\circlearrowleft} + 0.40E_{\circlearrowright}$$

$$\eta_{\square} = \eta_{\bullet} \frac{0.60}{E_{\square}} + \eta_{\circlearrowleft} \frac{0.70}{E_{\square}} + \eta_{\circlearrowright} \frac{0.40}{E_{\square}}$$

# Overall HGPflow algorithm

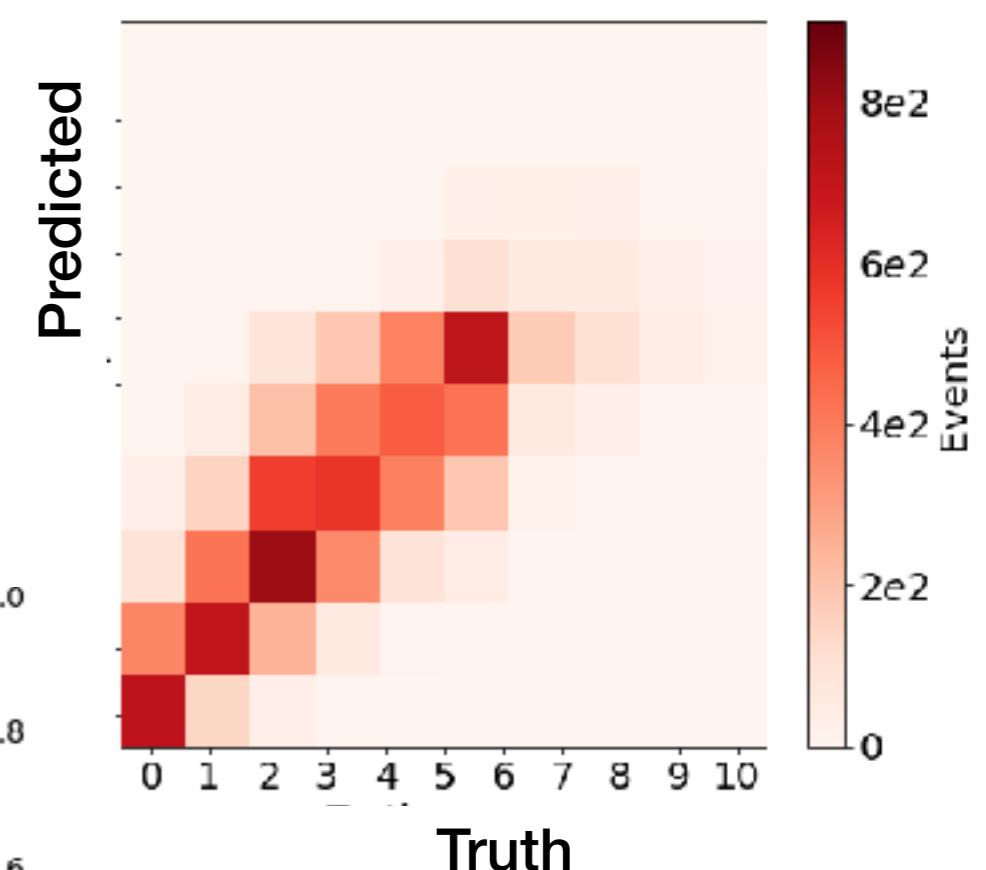
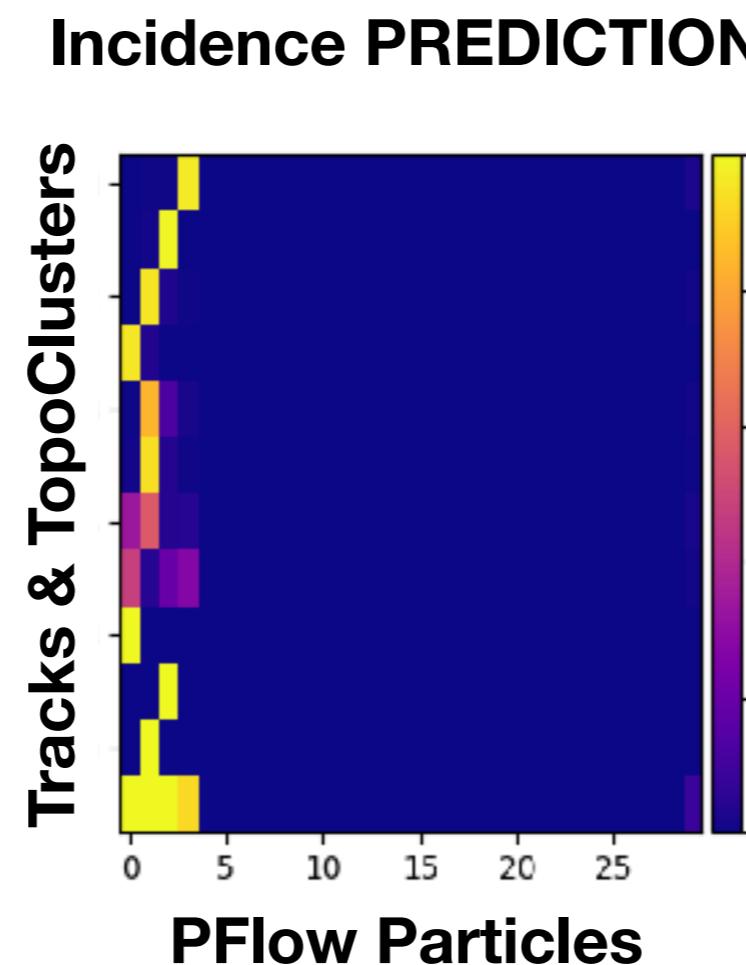
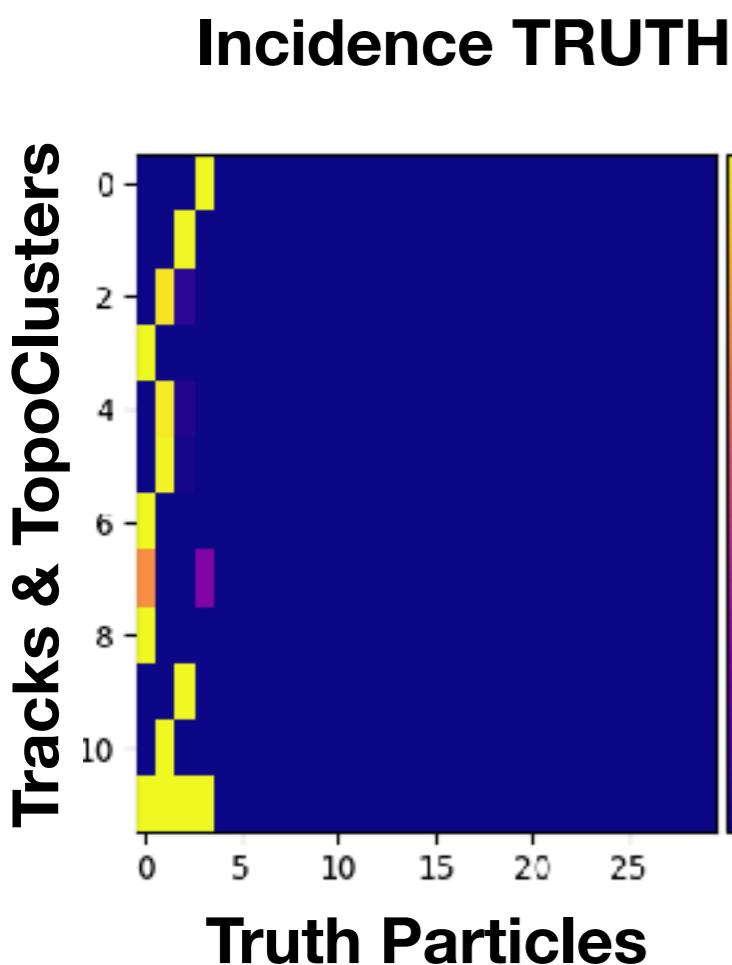


# Diving into Deep Learning



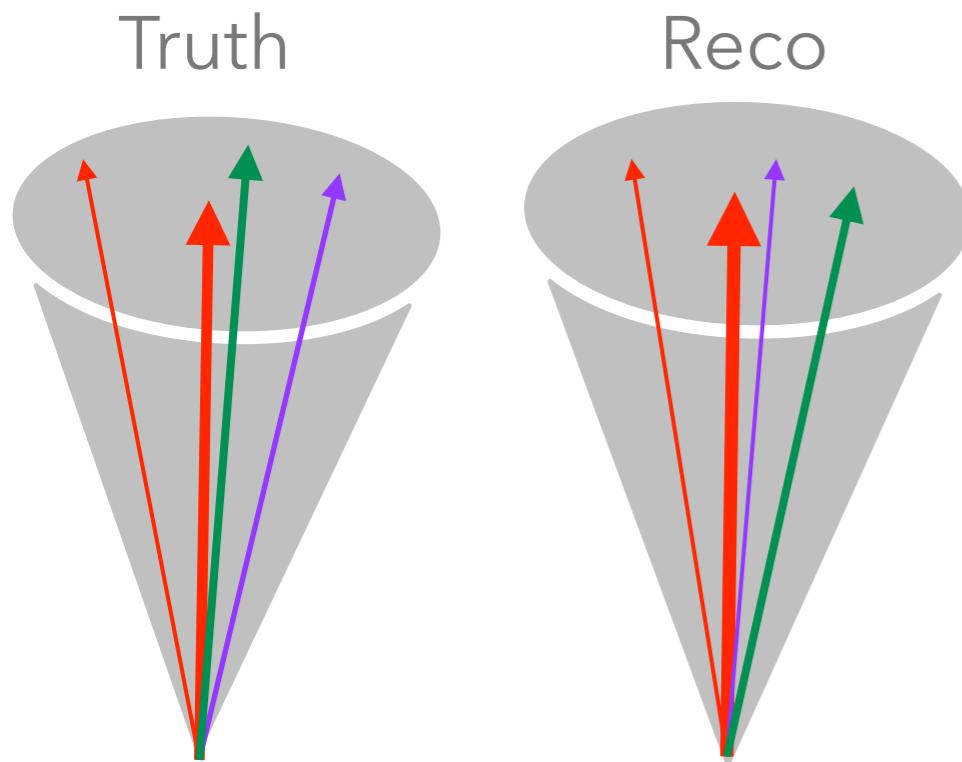
# Cardinality of Neutral particles

- Fairly diagonal
- Cardinality prediction is much robust, by construction
- “local” reconstruction



# Comparing pred. vs target particles

- Jet-level quantities



1) Hungarian matching:

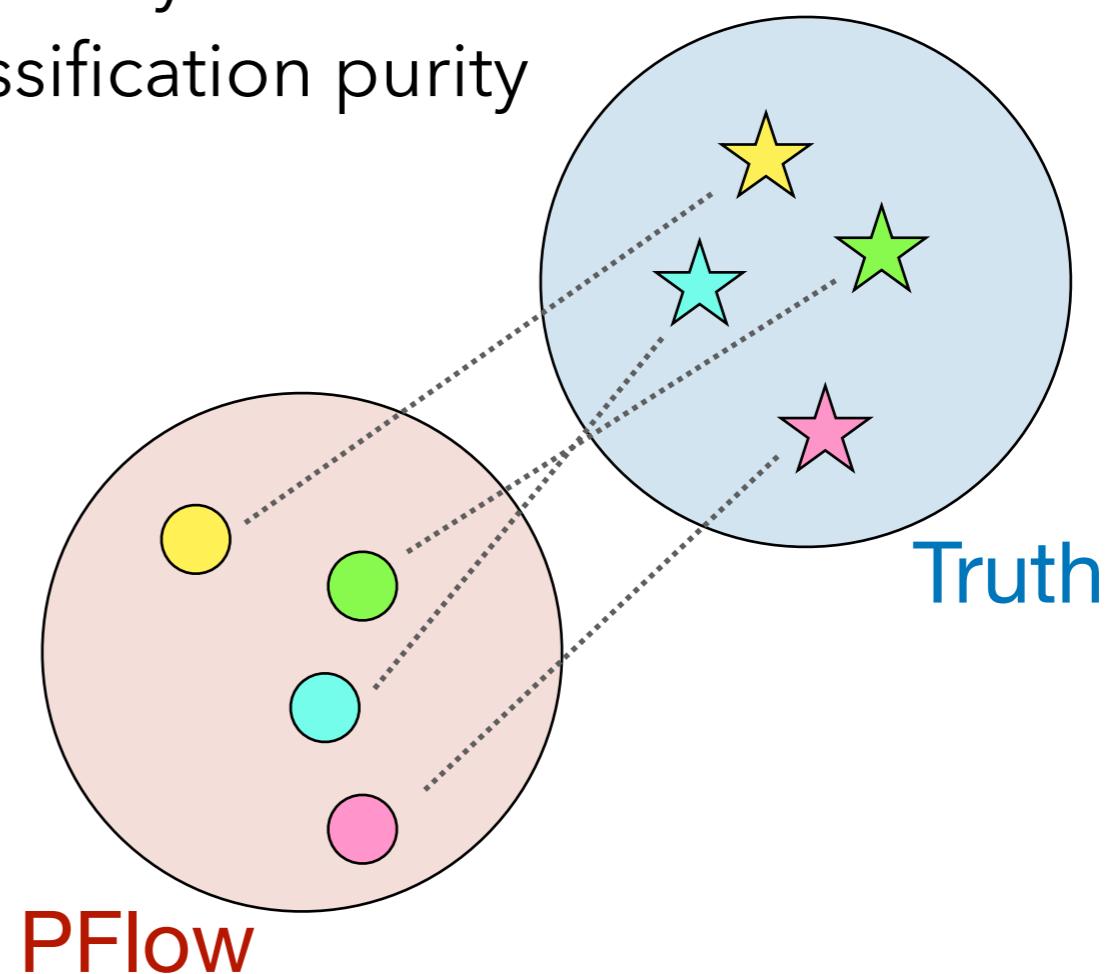
$$d_{match} = \sqrt{\left(\frac{\Delta p_T}{p_T}\right)^2 + \Delta\eta^2 + \Delta\phi^2}$$

## 2) Performance metrics

- Particle angular, momentum residuals

$$\frac{x - o}{x}$$

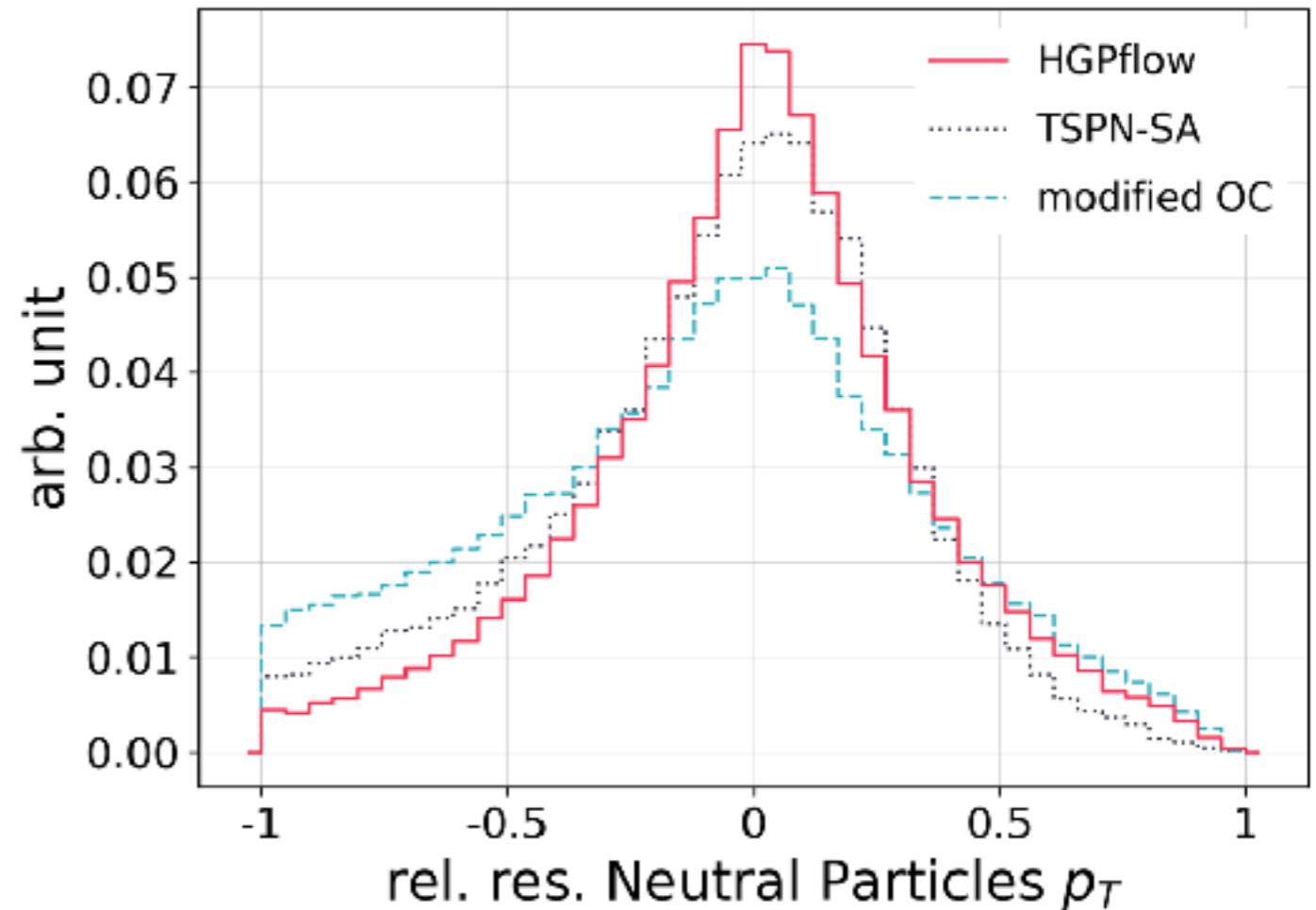
- Efficiency and fake rate
- Classification purity



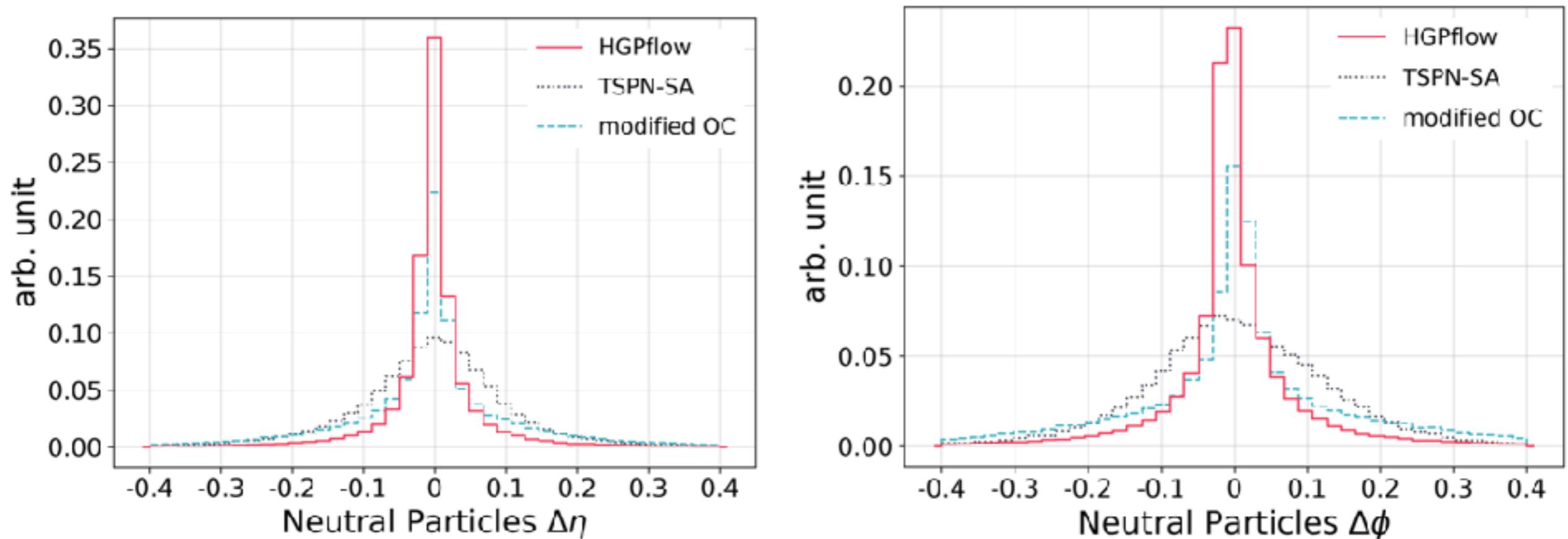
# Neutral Particles (Photons & Hadrons)

$$\frac{P_T^{truth} - P_T^{predicted}}{P_T^{truth}}$$

- HG can understand overlapping showers more precisely
- Helps in better reconstruction



# Neutral Particles (Photons & Hadrons)



## Photon efficiency:

- Supervised links b/w particle and input nodes assist in interpreting eff and fakes

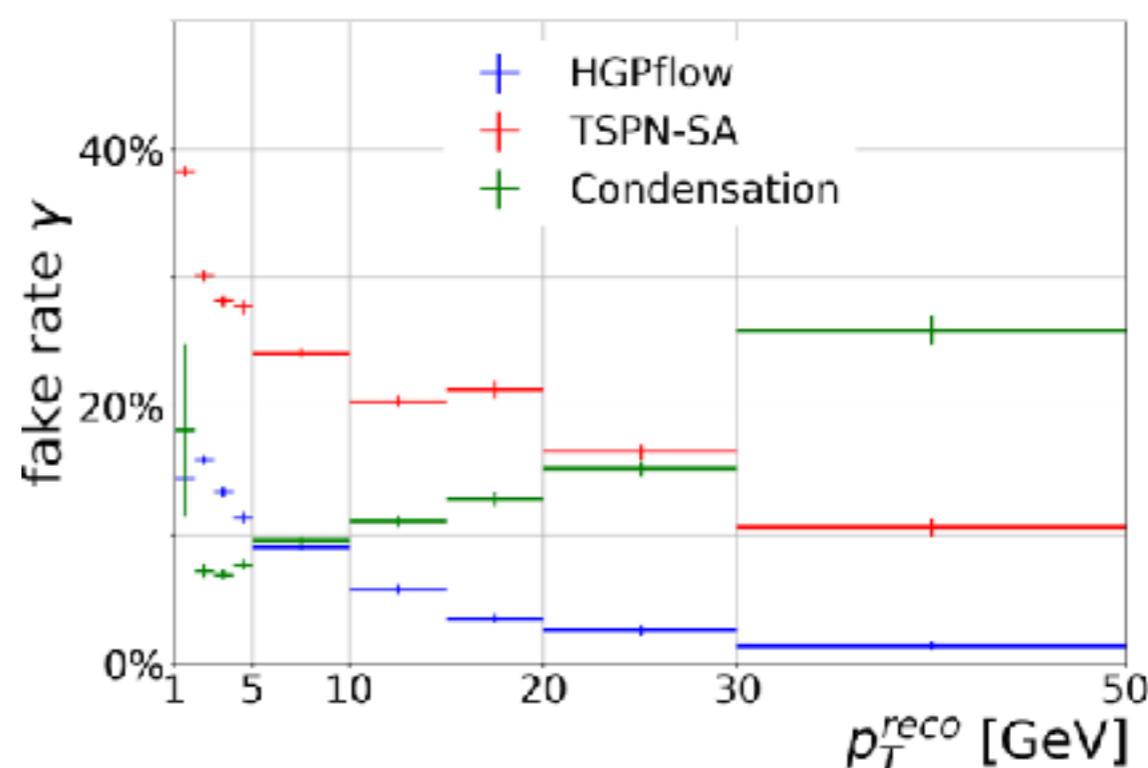
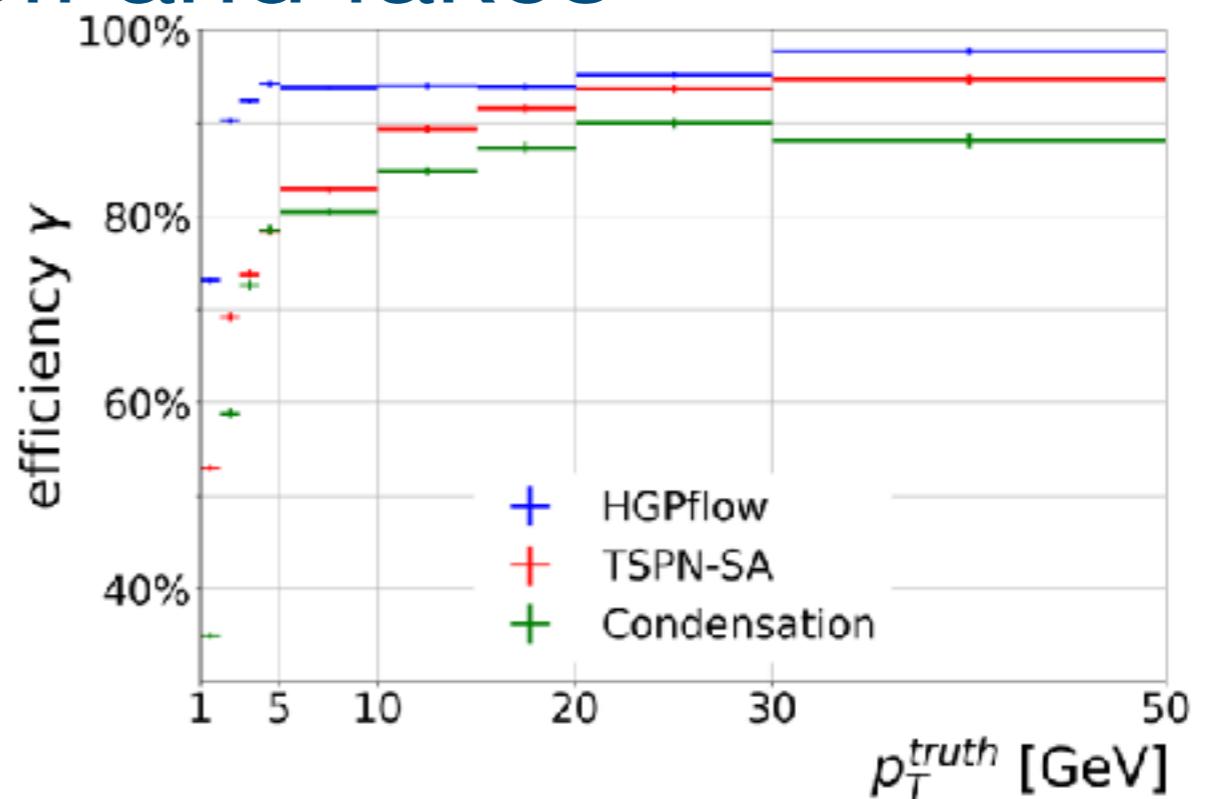
$$\epsilon_{ff} = \frac{N_{match,pred}}{N_{target}}$$

>90% above 2 GeV

## Photon fake rate:

$$fake = \frac{N_{unmatch,pred}}{N_{pred}}$$

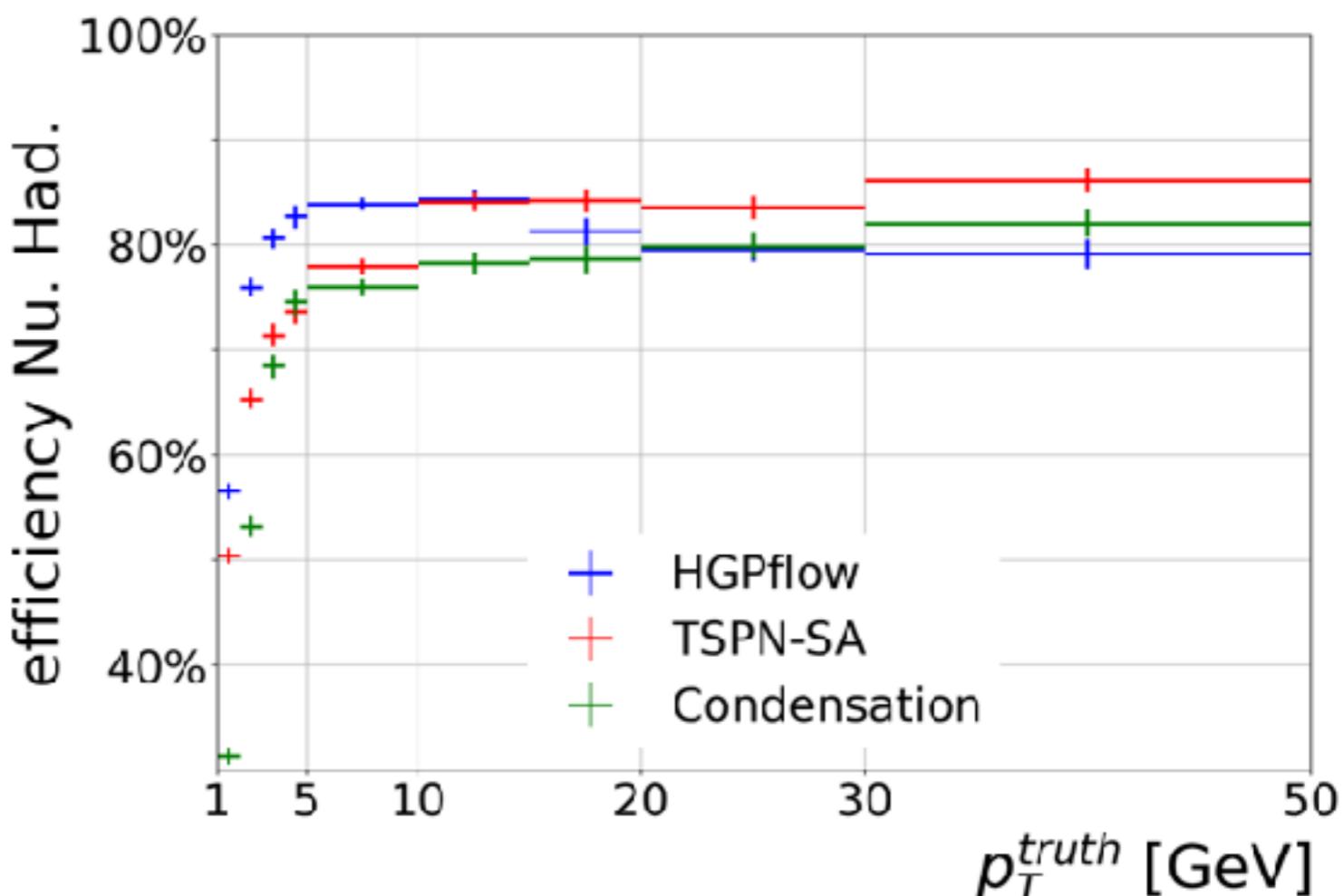
<5% above 20 GeV



Nu. Had. efficiency:

$$\epsilon_{ff} = \frac{N_{match,pred}}{N_{target}}$$

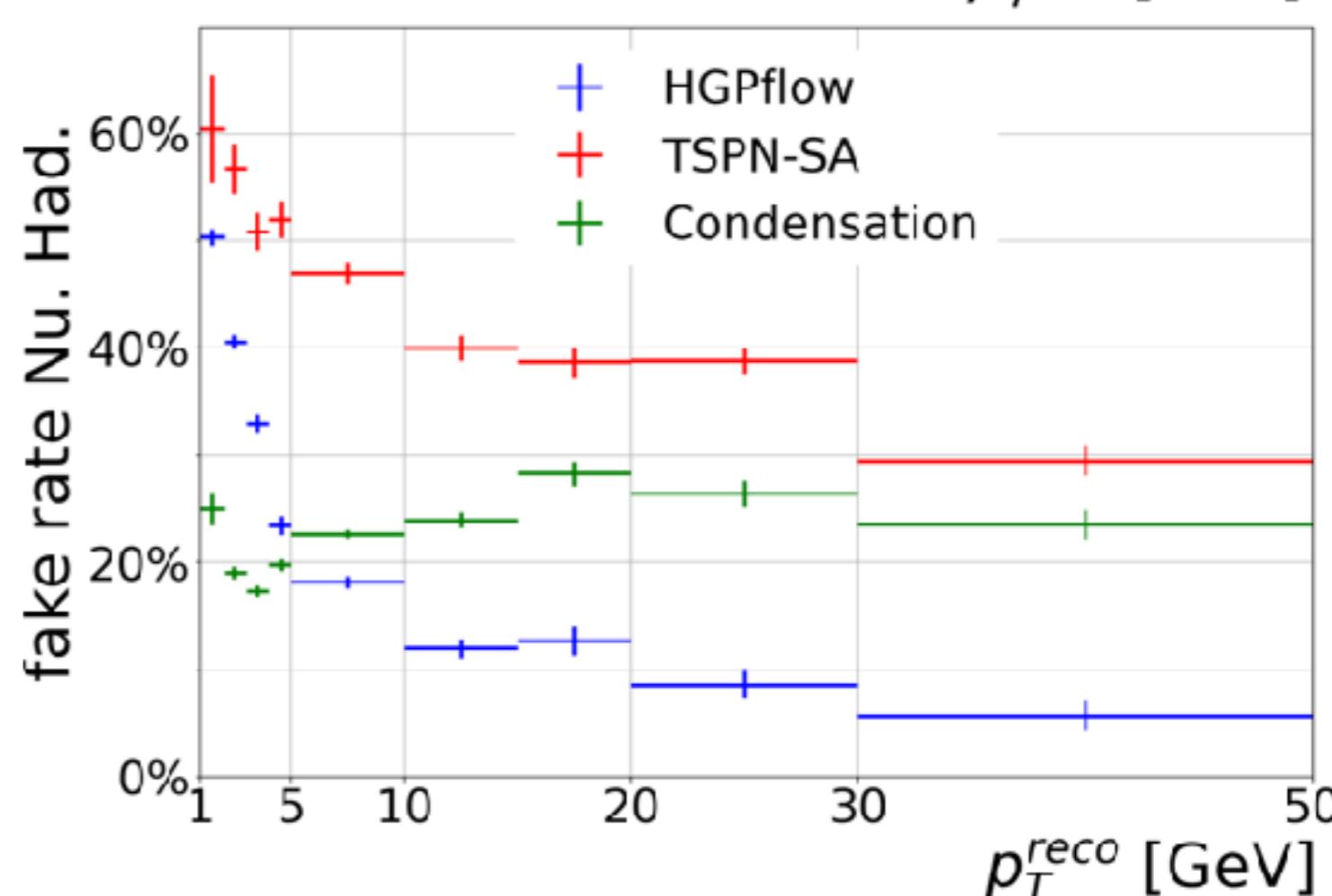
$\approx 80\%$  above 3 GeV



Nu. Had. fake rate:

$$fake = \frac{N_{unmatch,pred}}{N_{pred}}$$

<10% above 20 GeV



# Classification accuracy

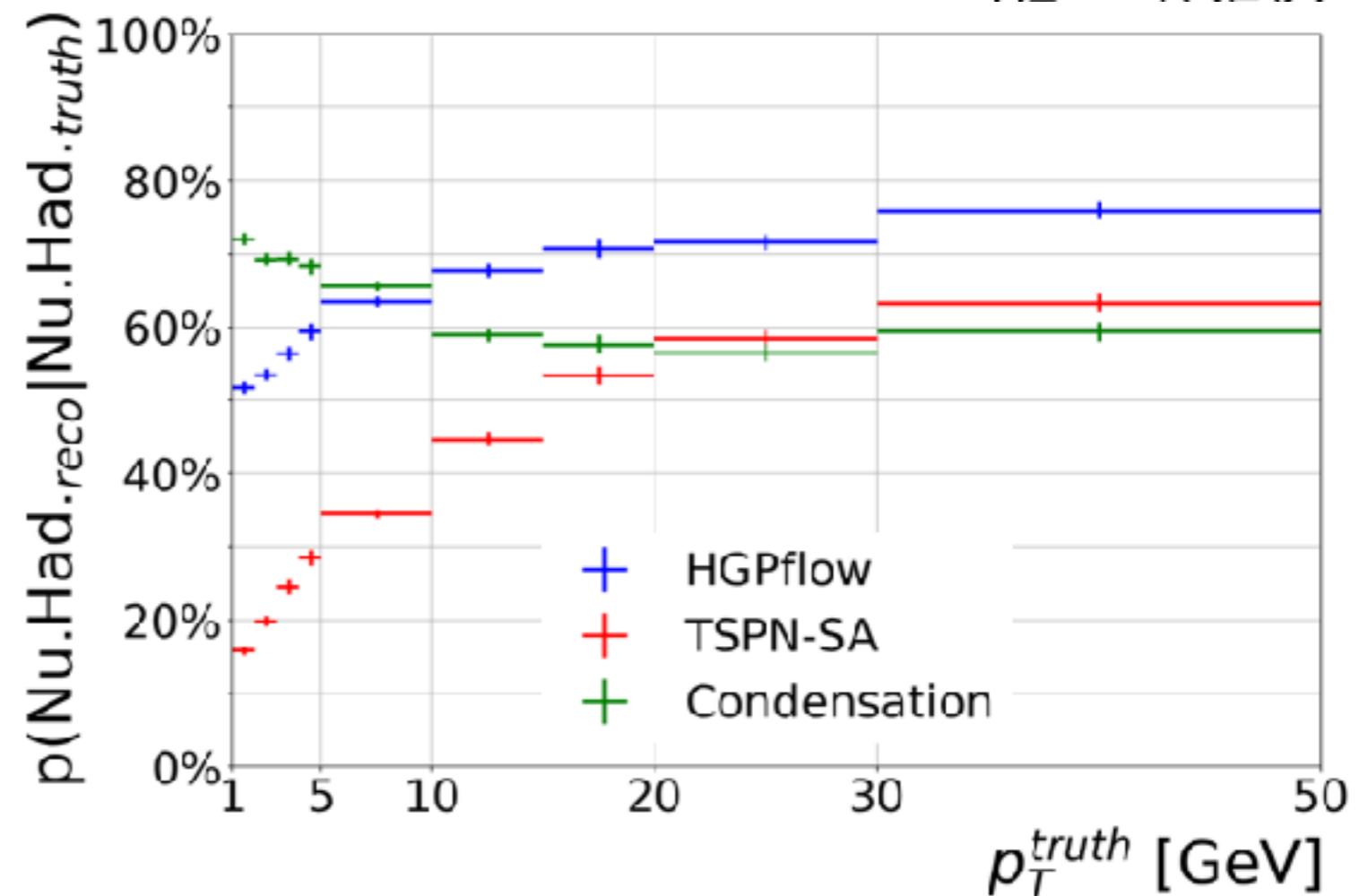
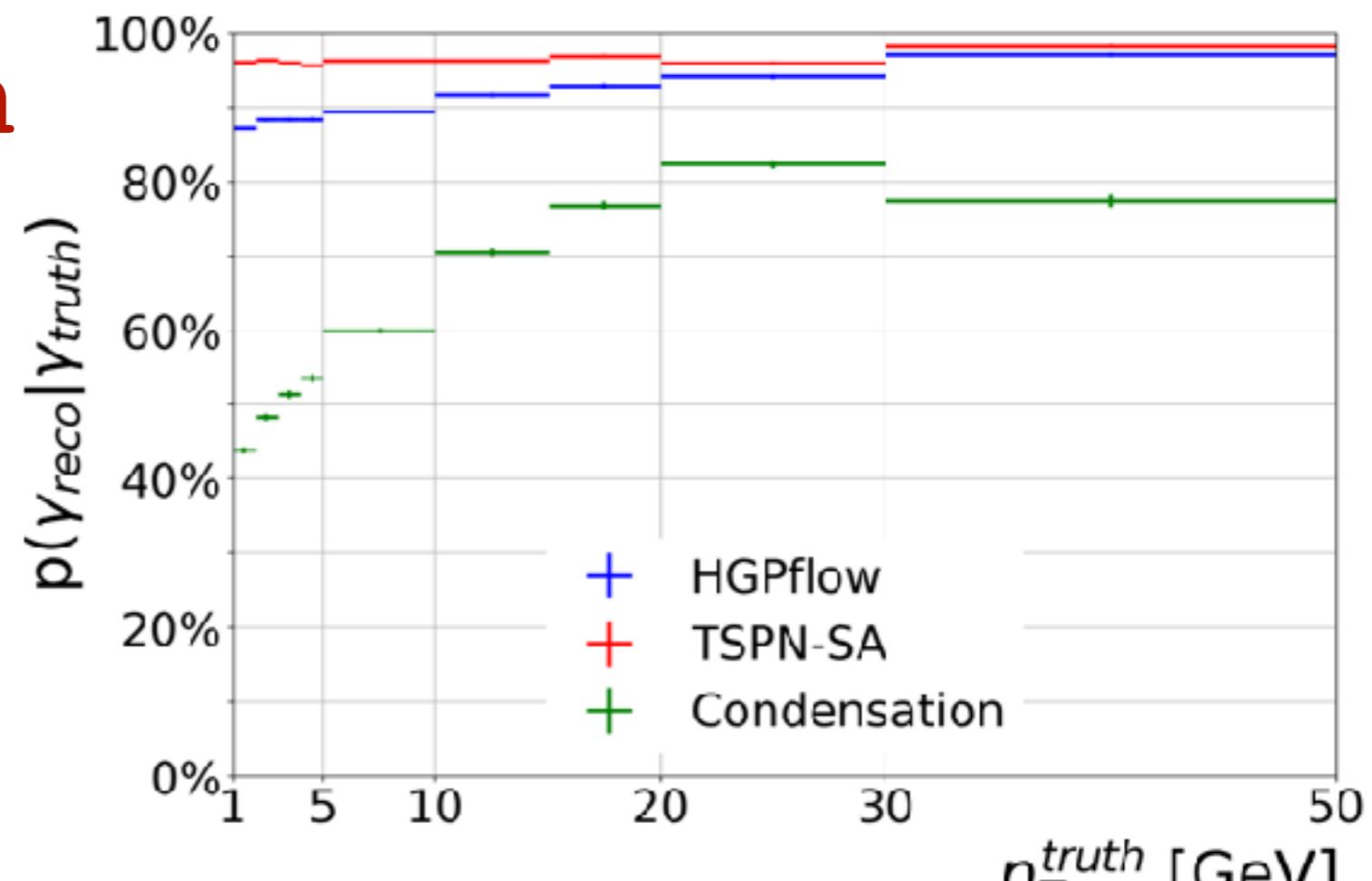
the probability that the predicted neutral particles which are matched to truth photons (neut hadrons) are assigned the correct class

Photons

>90% above 5 GeV

Neutral Hadrons

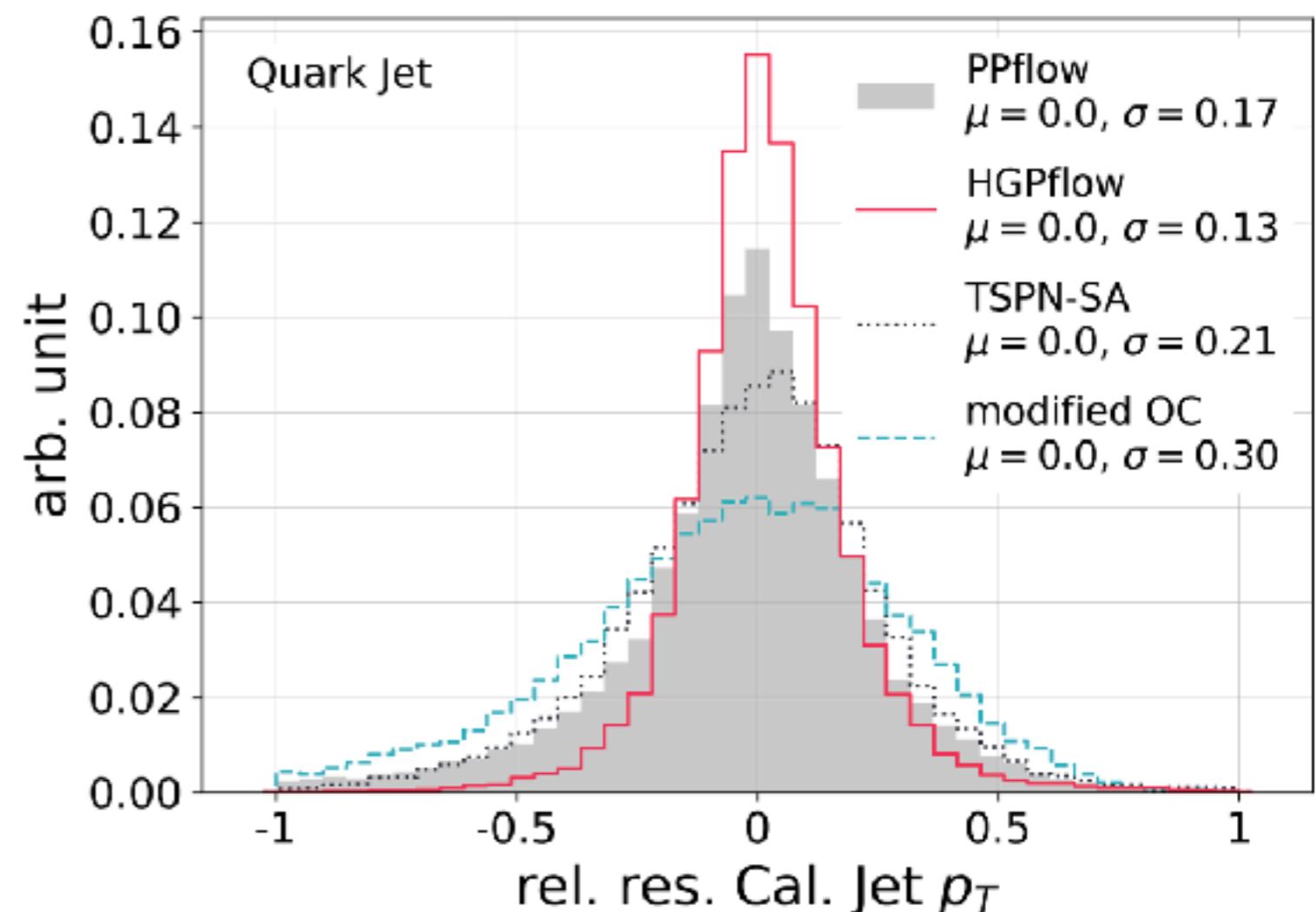
>90% above 15 GeV



Improved Resolution!

## Jets (Quarks)

- The parametrization of PPflow is optimized for jet resolution
- ML algos were not trained on this objective



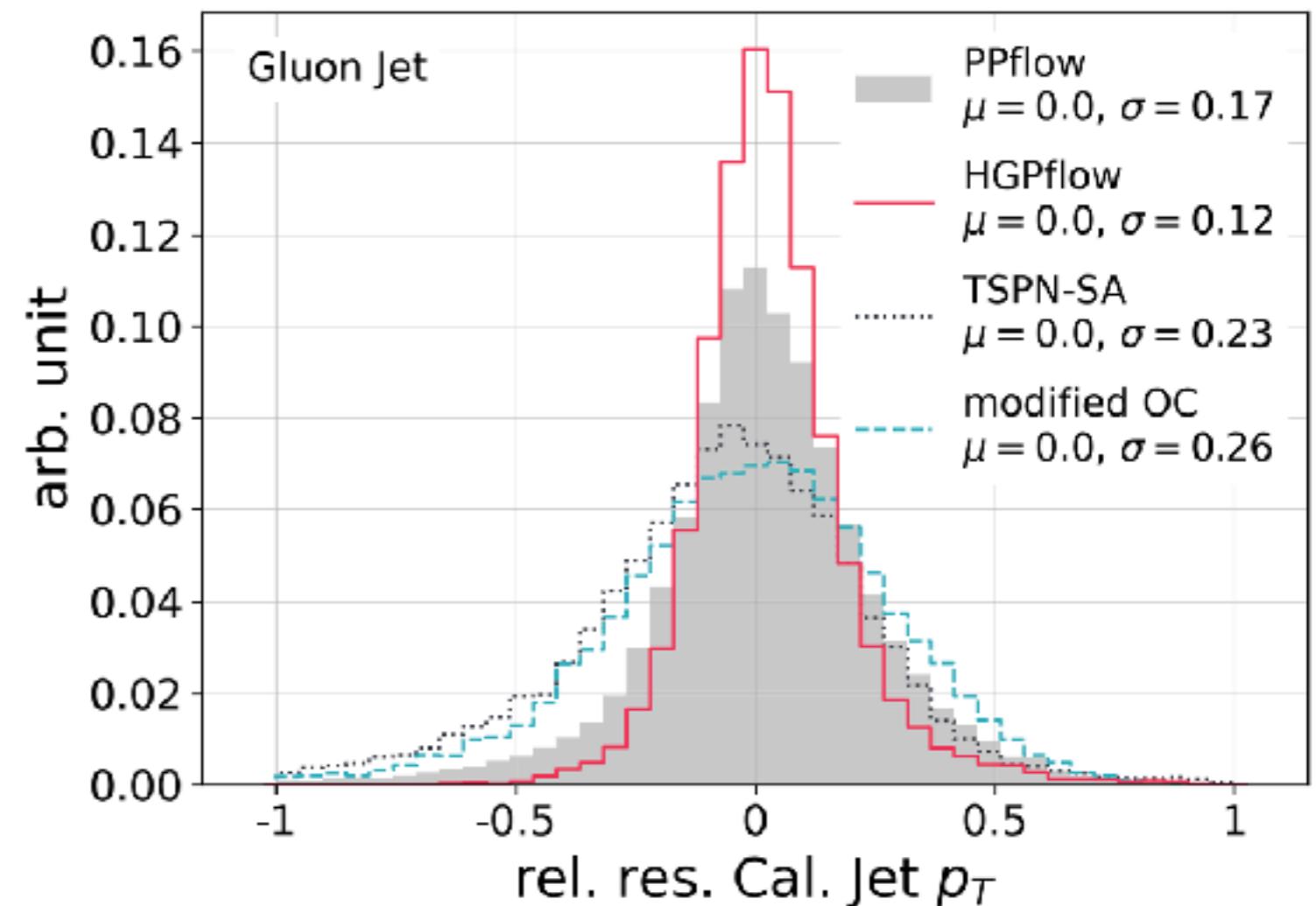
$$\frac{P_T^{truth} - P_T^{predicted}}{P_T^{truth}}$$

85

Improved Resolution!

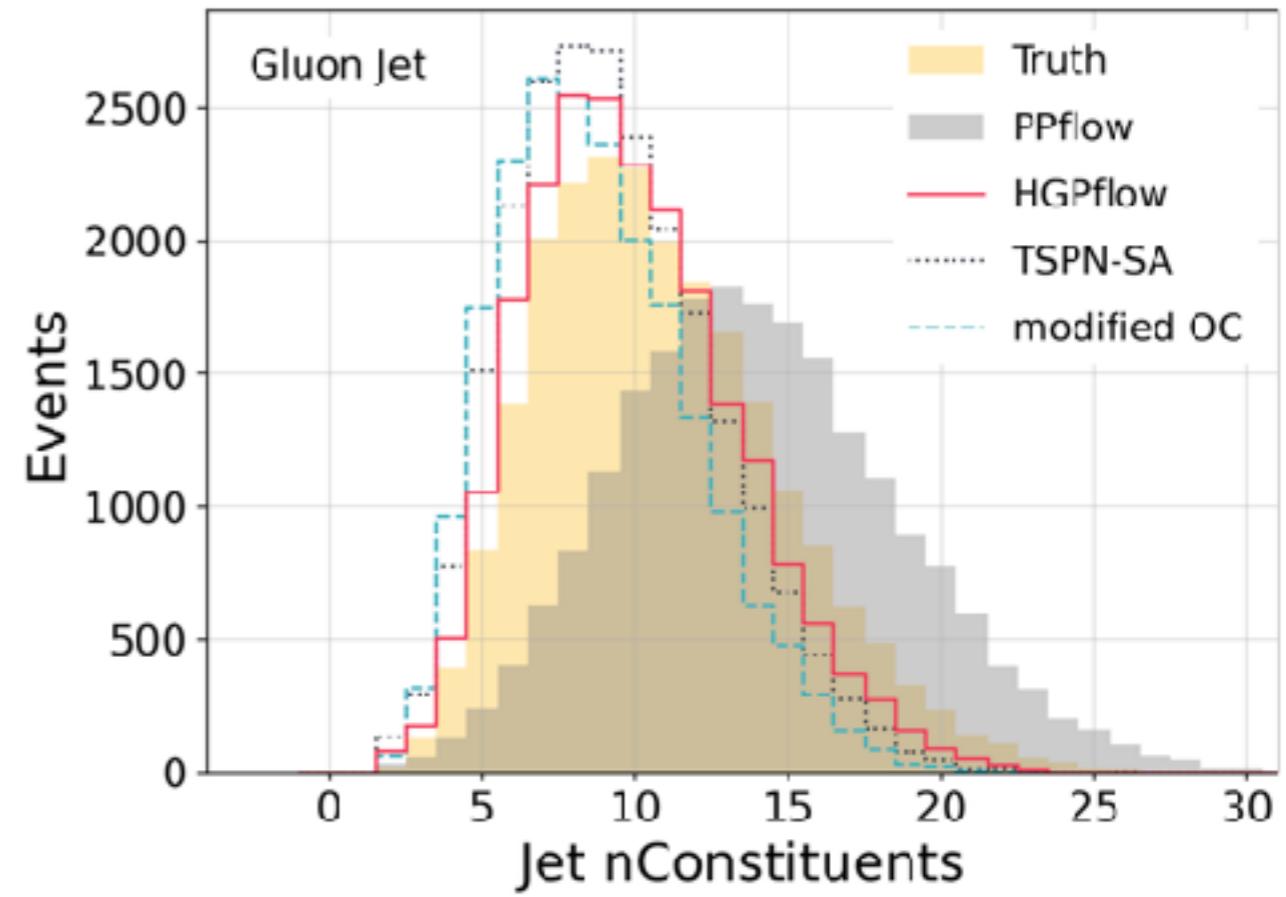
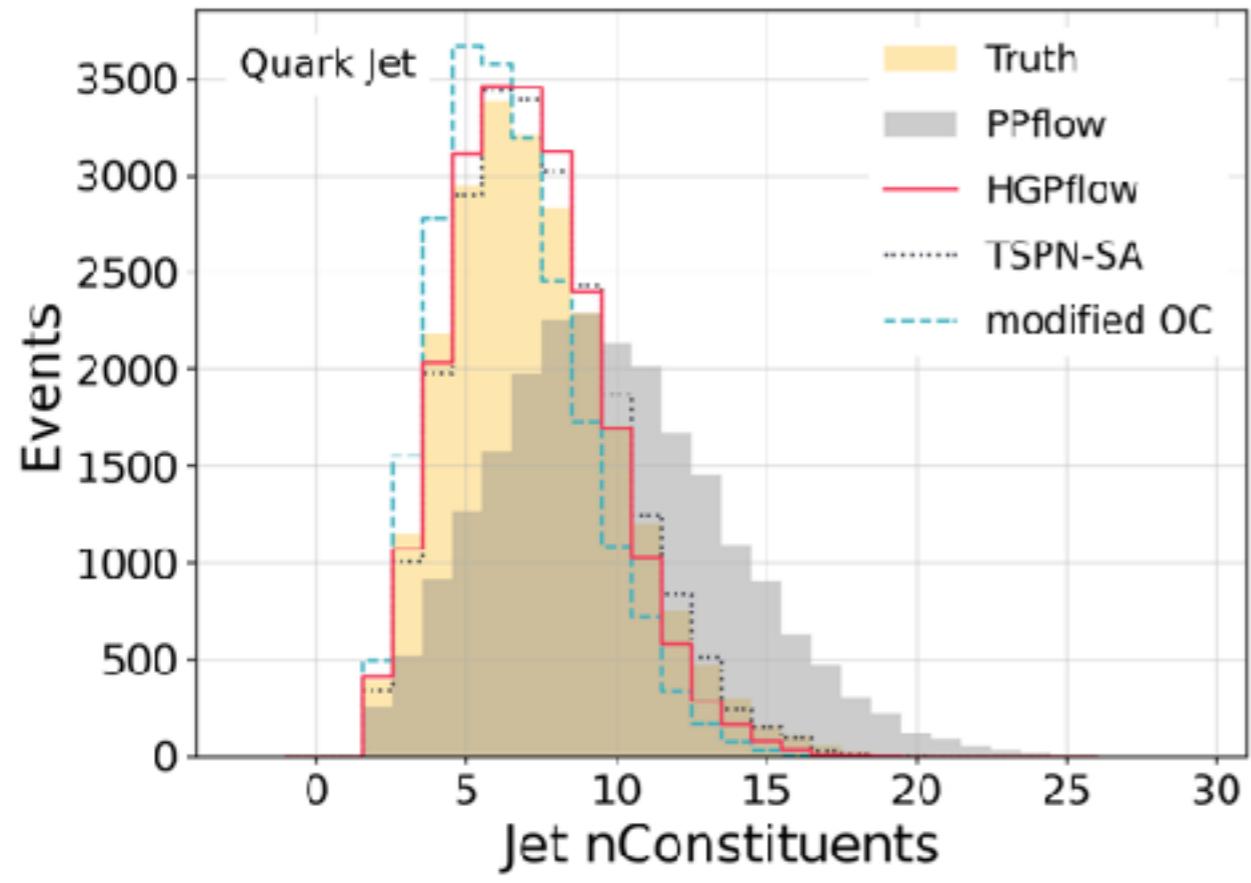
## Jets (Gluons)

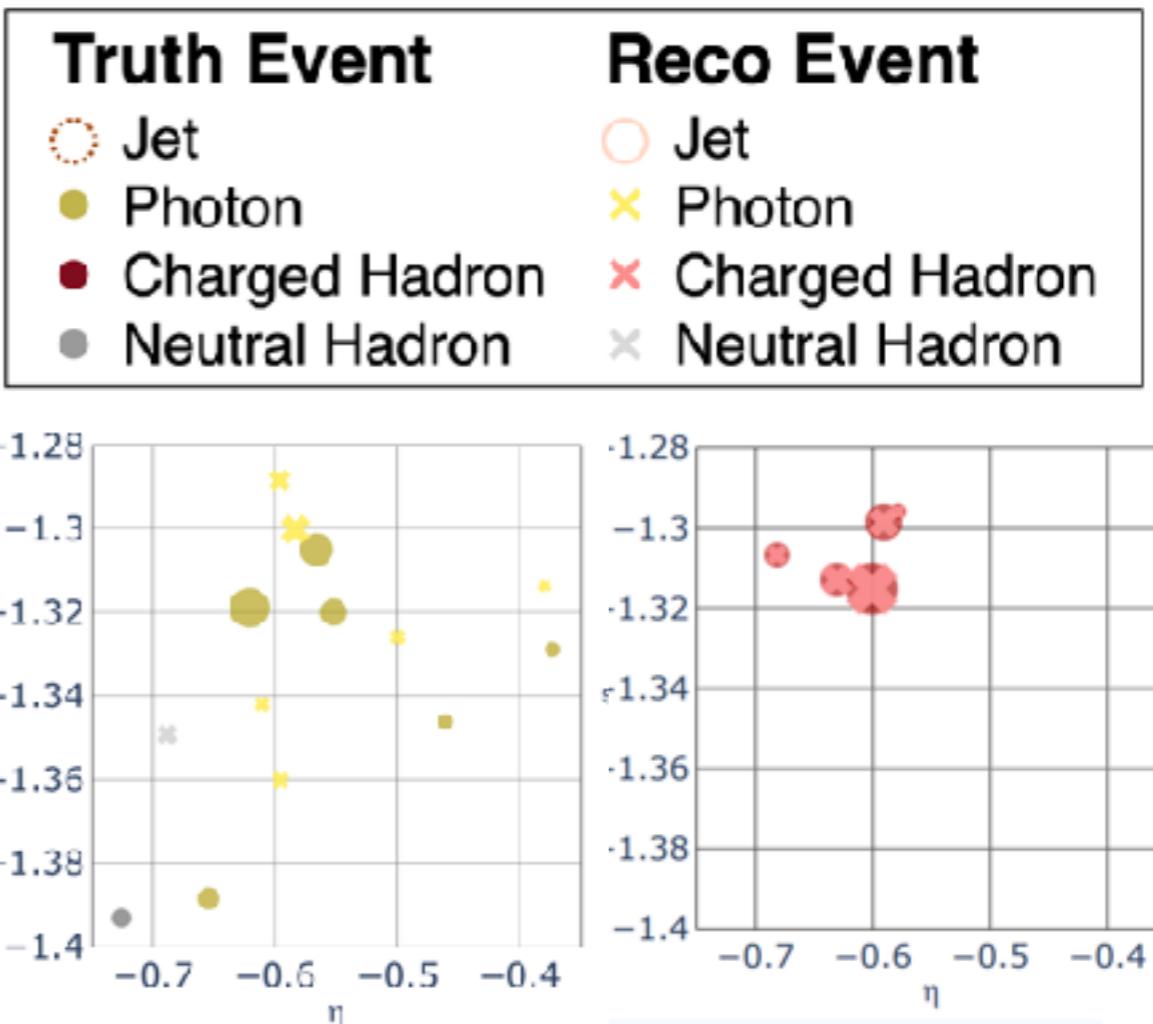
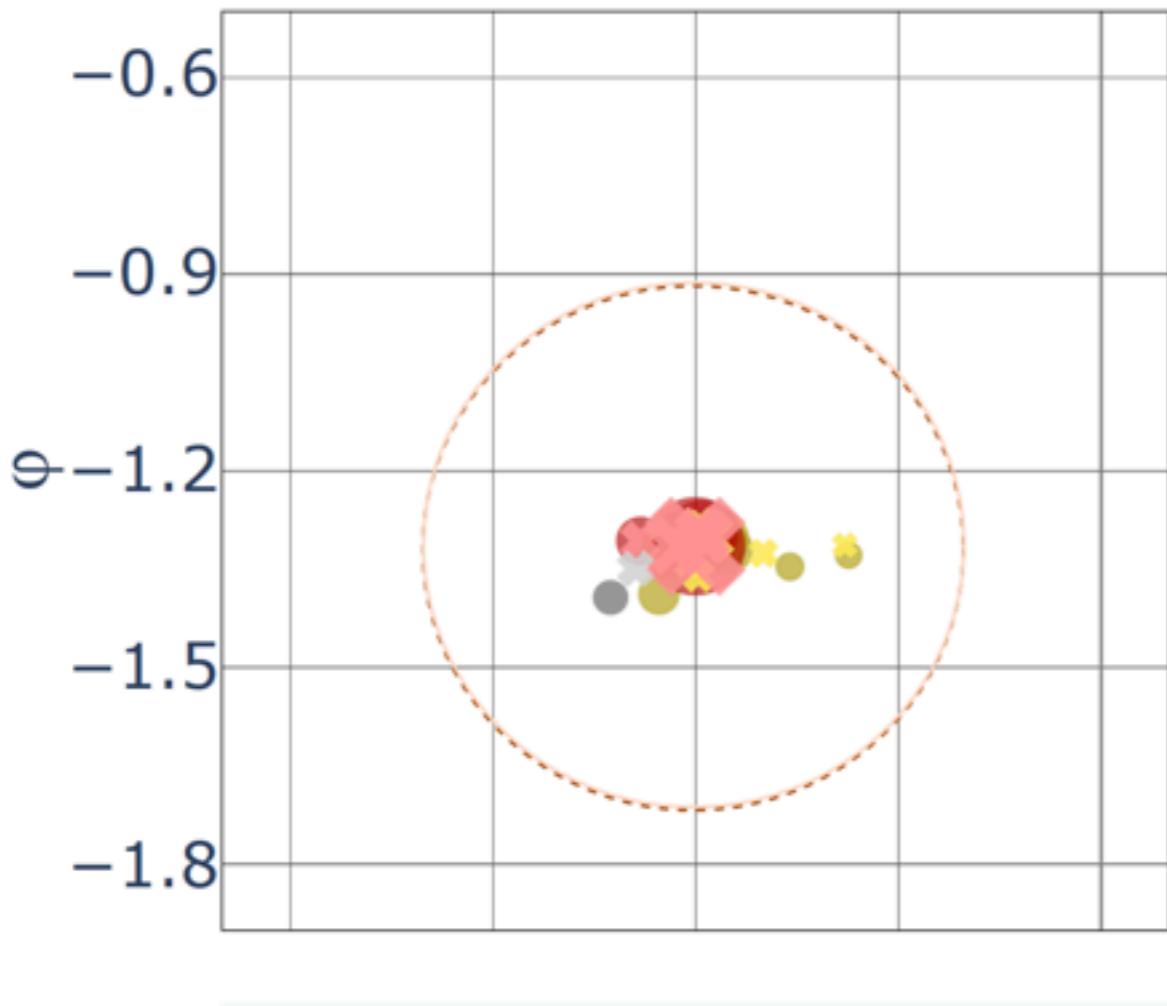
- HGPflow generalizes pretty well to gluon jets, though NOT TRAINED on Gluon Jets



$$\frac{P_T^{truth} - P_T^{predicted}}{P_T^{truth}}$$

# Jet constituent



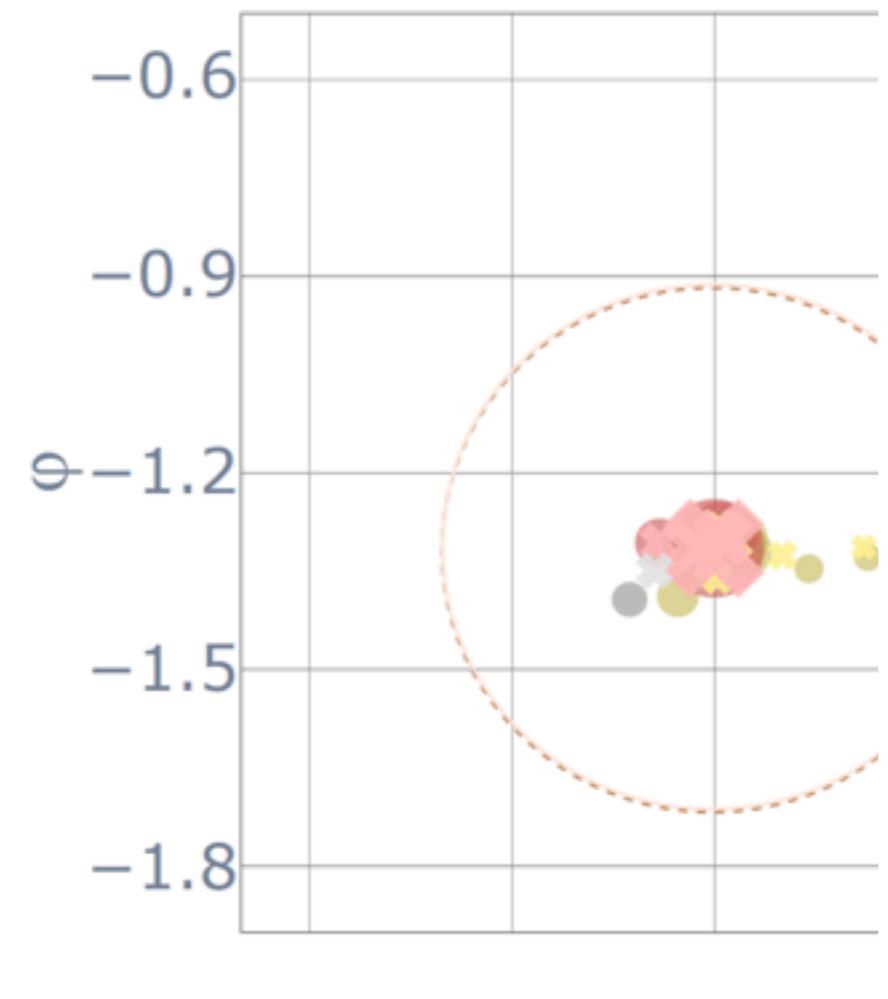


## Truth Event

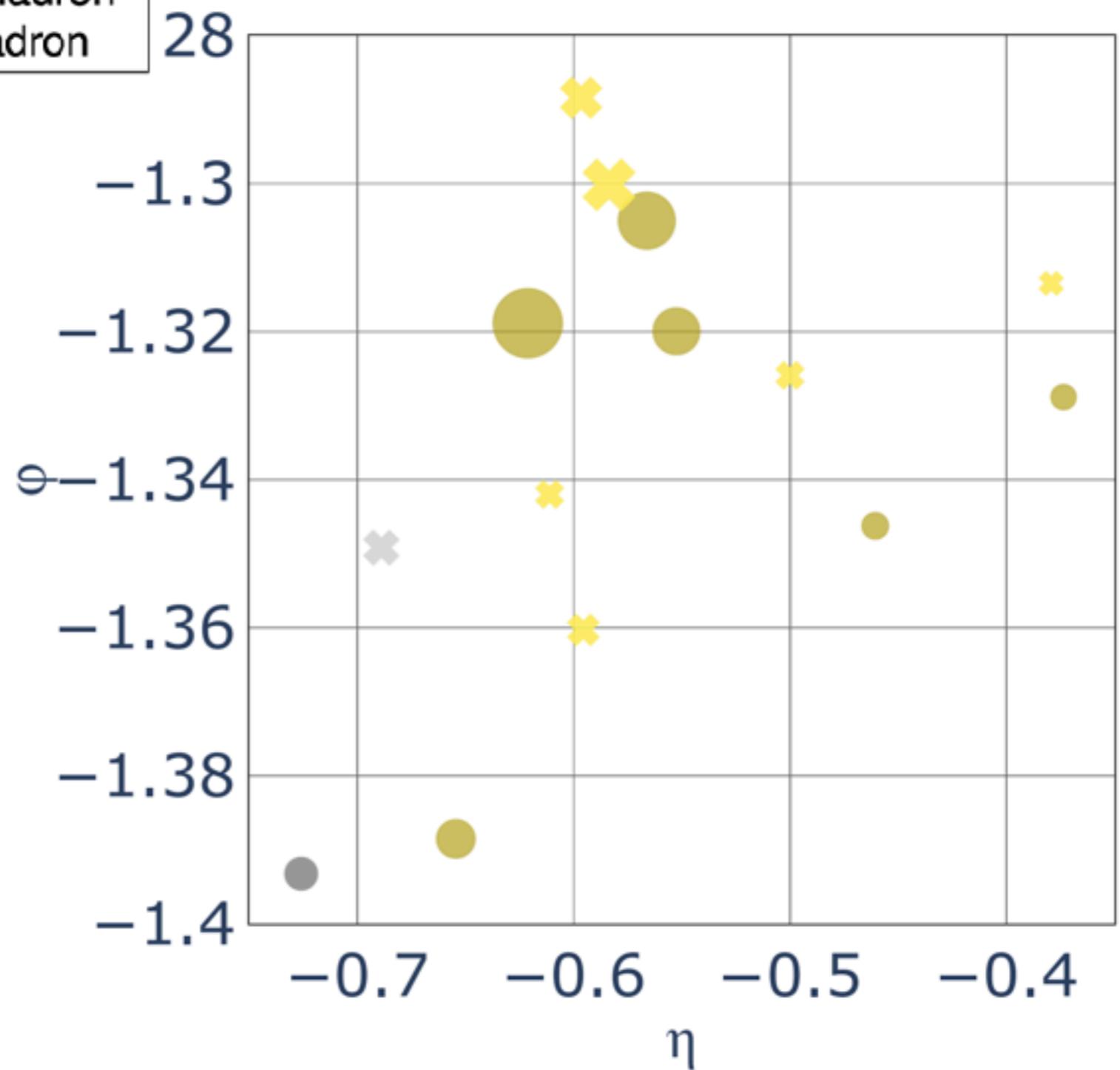
- Jet
- Photon
- Charged Hadron
- Neutral Hadron

## Reco Event

- Jet
- Photon
- Charged Hadron
- Neutral Hadron



6 photons + 1 neutral had

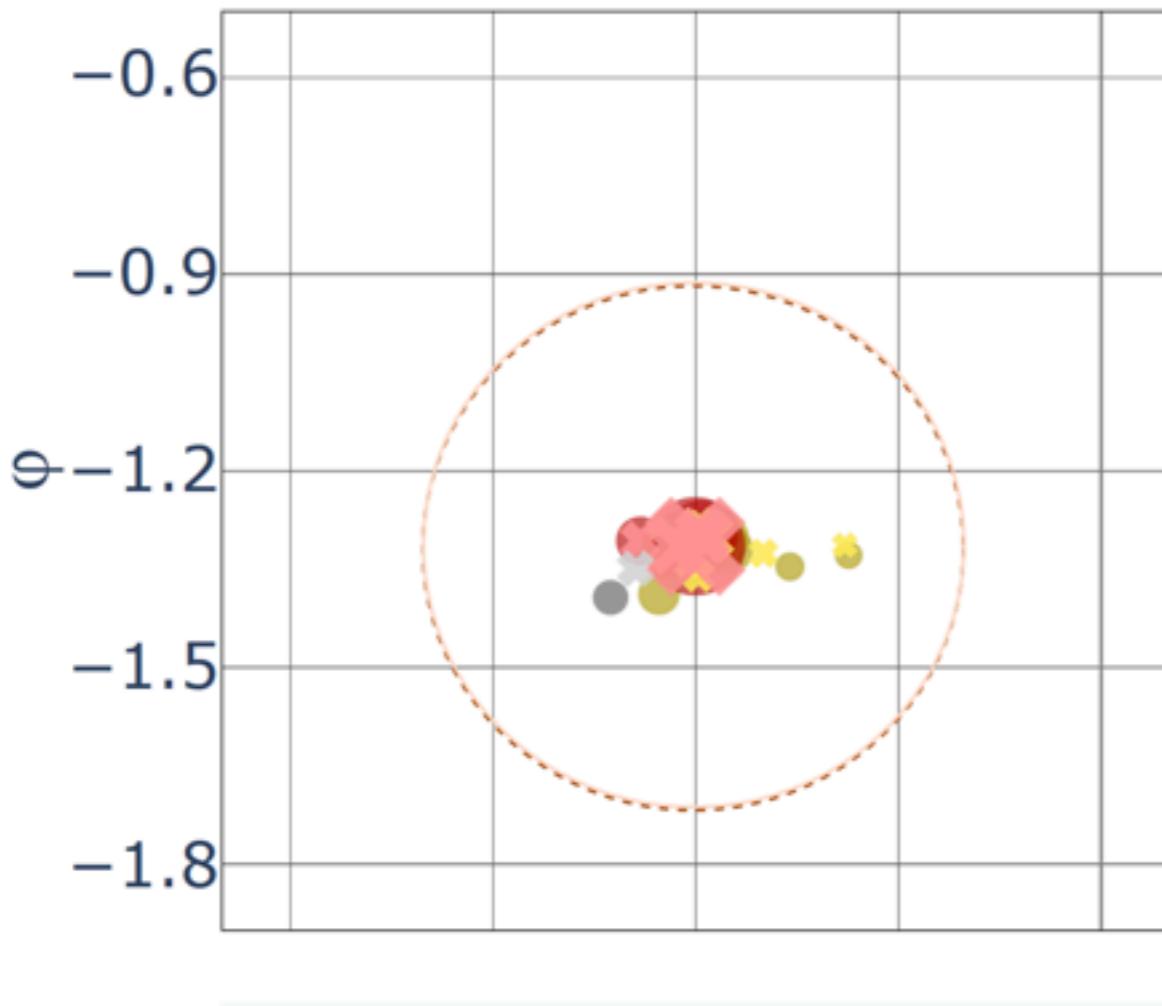


## Truth Event

- Jet
- Photon
- Charged Hadron
- Neutral Hadron

## Reco Event

- Jet
- Photon
- Charged Hadron
- Neutral Hadron

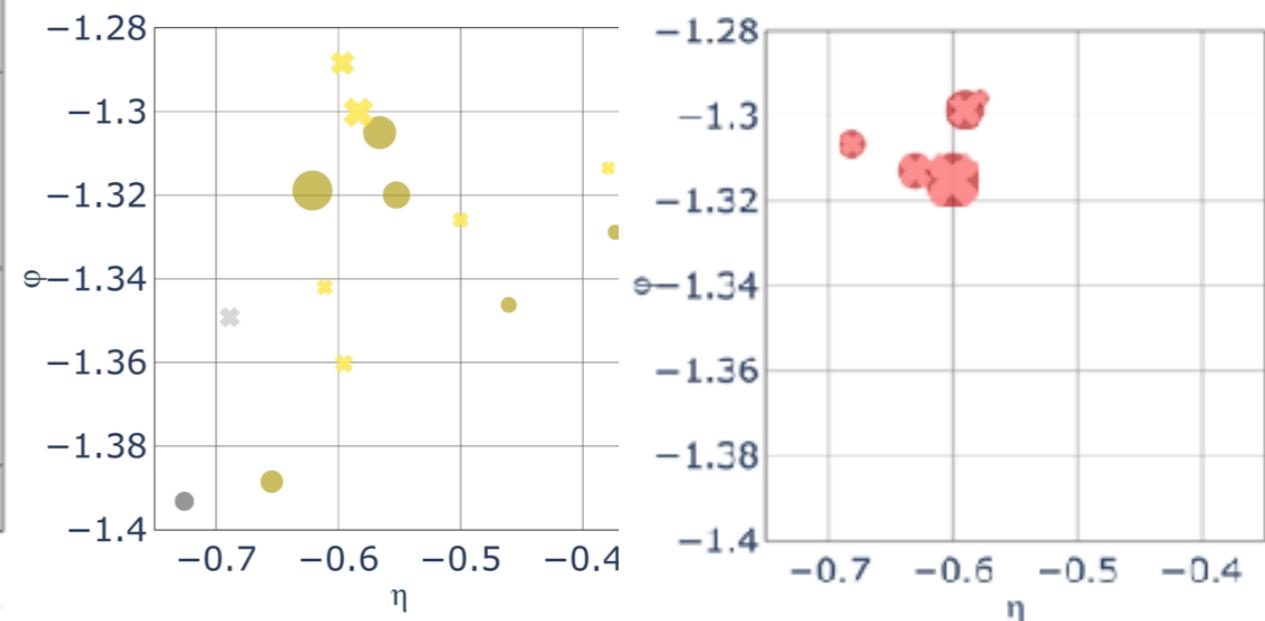


## Truth Event

- Jet
- Photon
- Charged Hadron
- Neutral Hadron

## Reco Event

- Jet
- Photon
- Charged Hadron
- Neutral Hadron

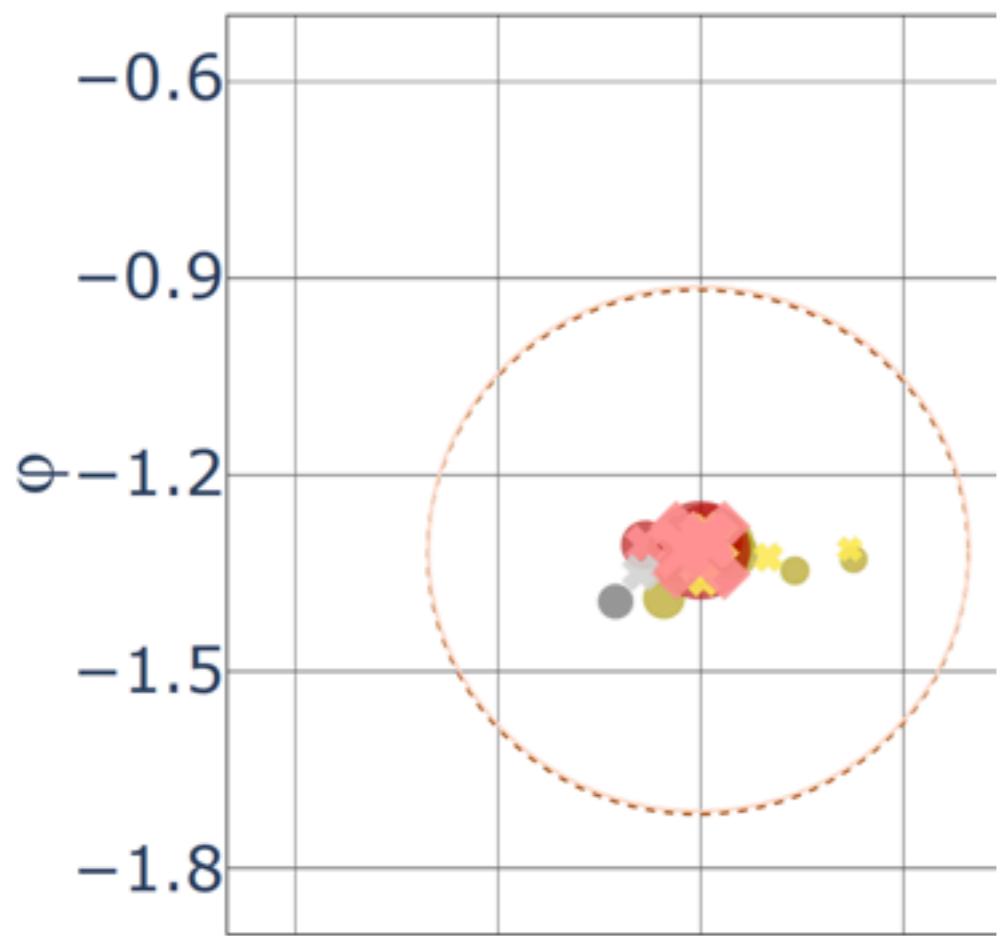


## Truth Event

- Jet
- Photon
- Charged Hadron
- Neutral Hadron

## Reco Event

- Jet
- Photon
- Charged Hadron
- Neutral Hadron



-1.28

-1.3

-1.32

-1.34

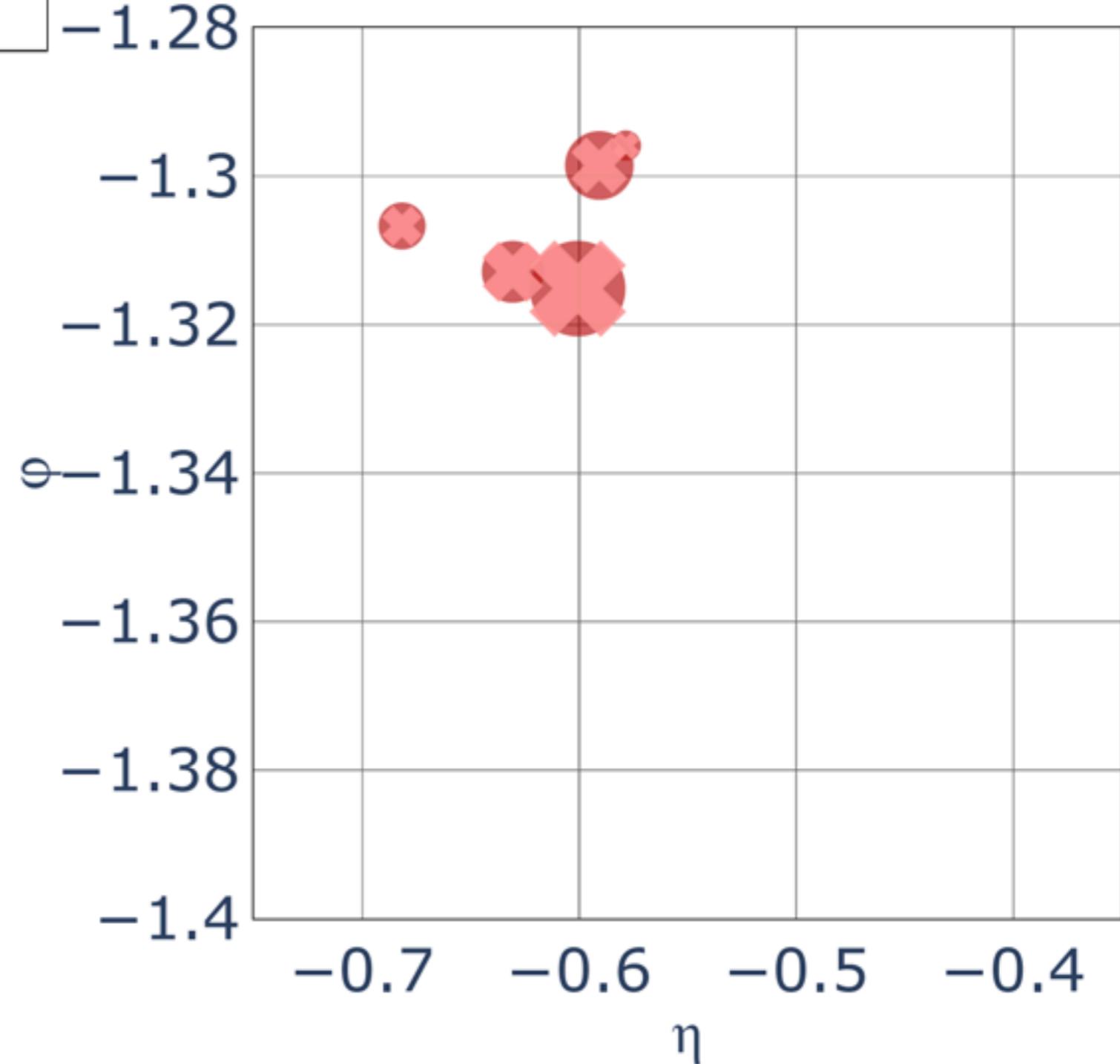
-1.36

-1.38

-1.4

-0.7 -0.6 -0.5 -0.4

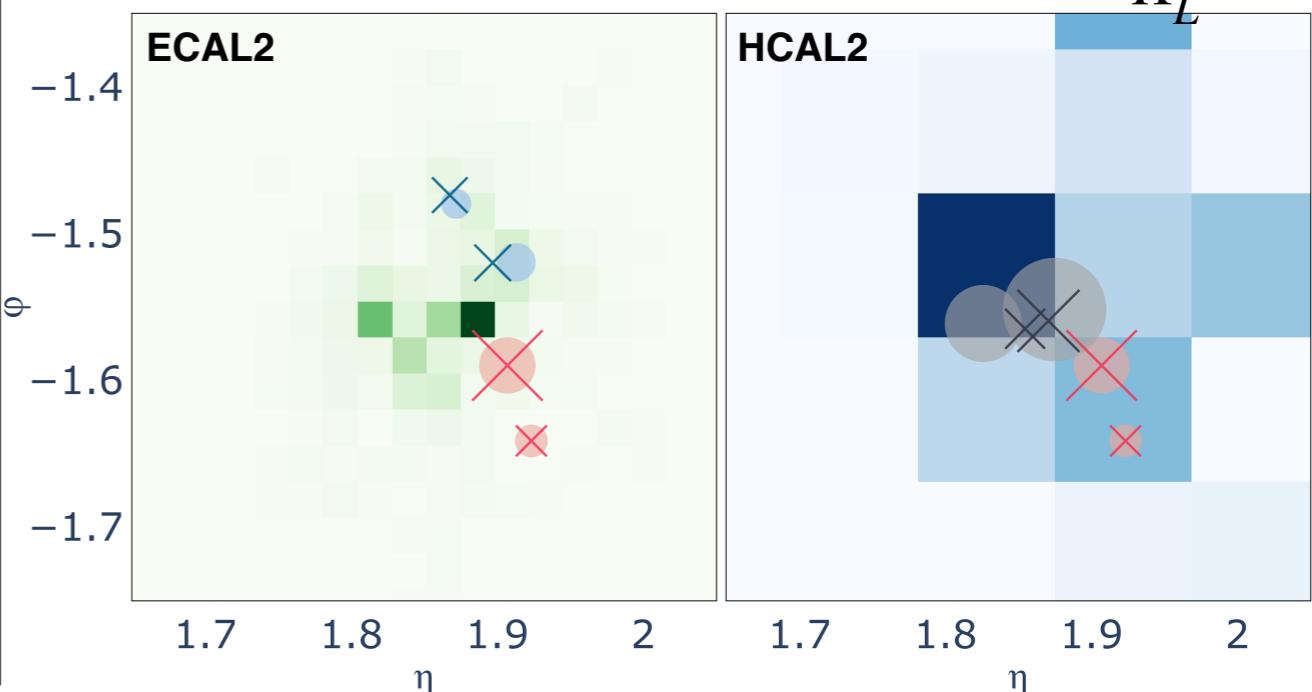
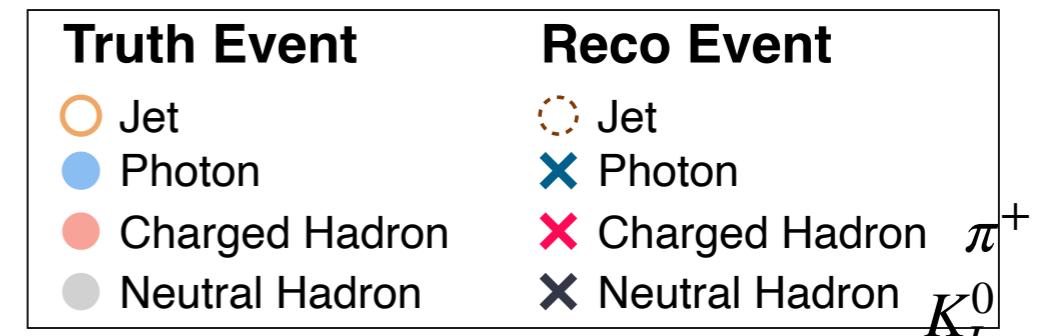
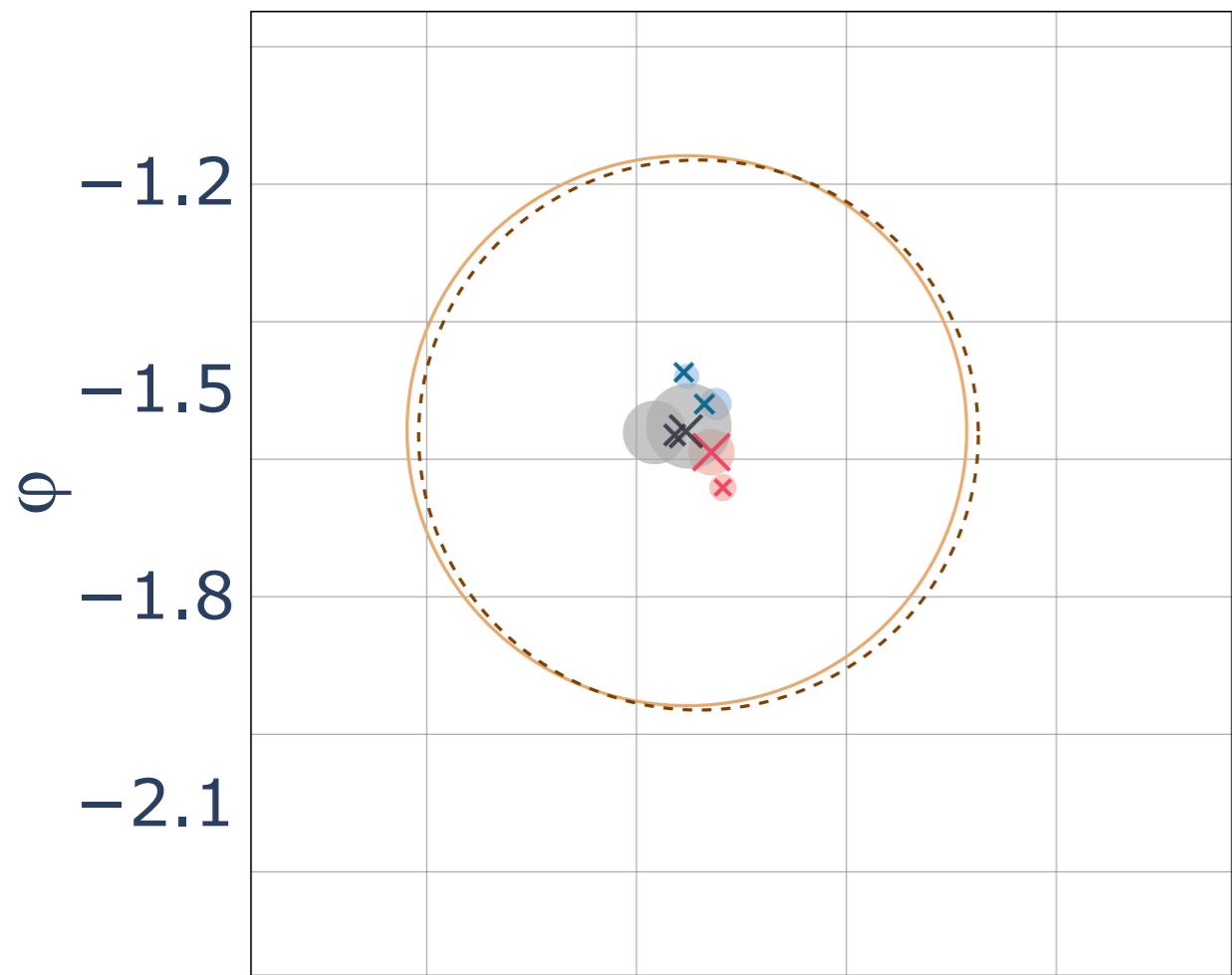
$\eta$



# Event Display

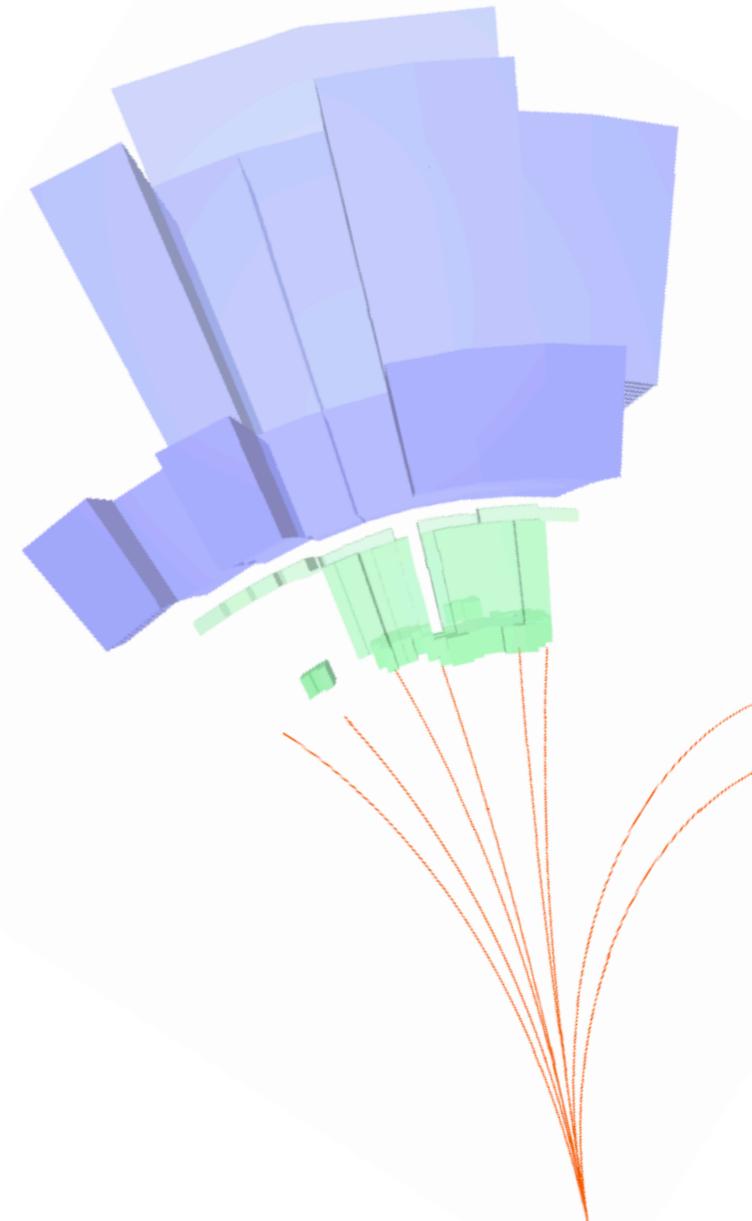
## Reconstruction with HGPflow

Predicted and true anti kT jets R=0.4



# A Comment

- *Why HG{flow} is Superior to SA*
  - *The attention weights in SA have a latent rather than physical meaning and are learned in an unsupervised way.*  
*On the other hand, HGPflow not only explicitly predicts the incidence matrix, which is the key to unraveling overlapping particle showers, but expresses it in the physical basis of energy contributions with a built in physics induction bias*

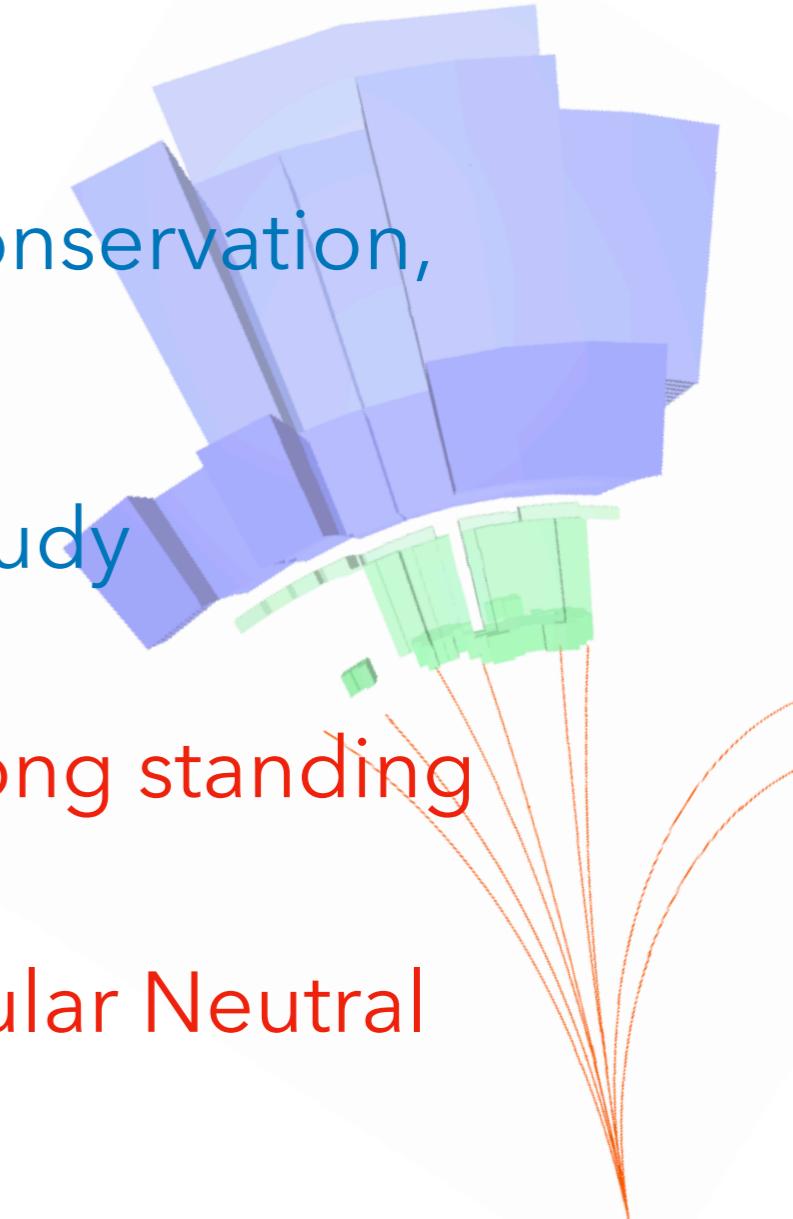


# Conclusion

- *HGPflow (HyperGraphs PFlow):*  
**hyperedges proxies for particles**

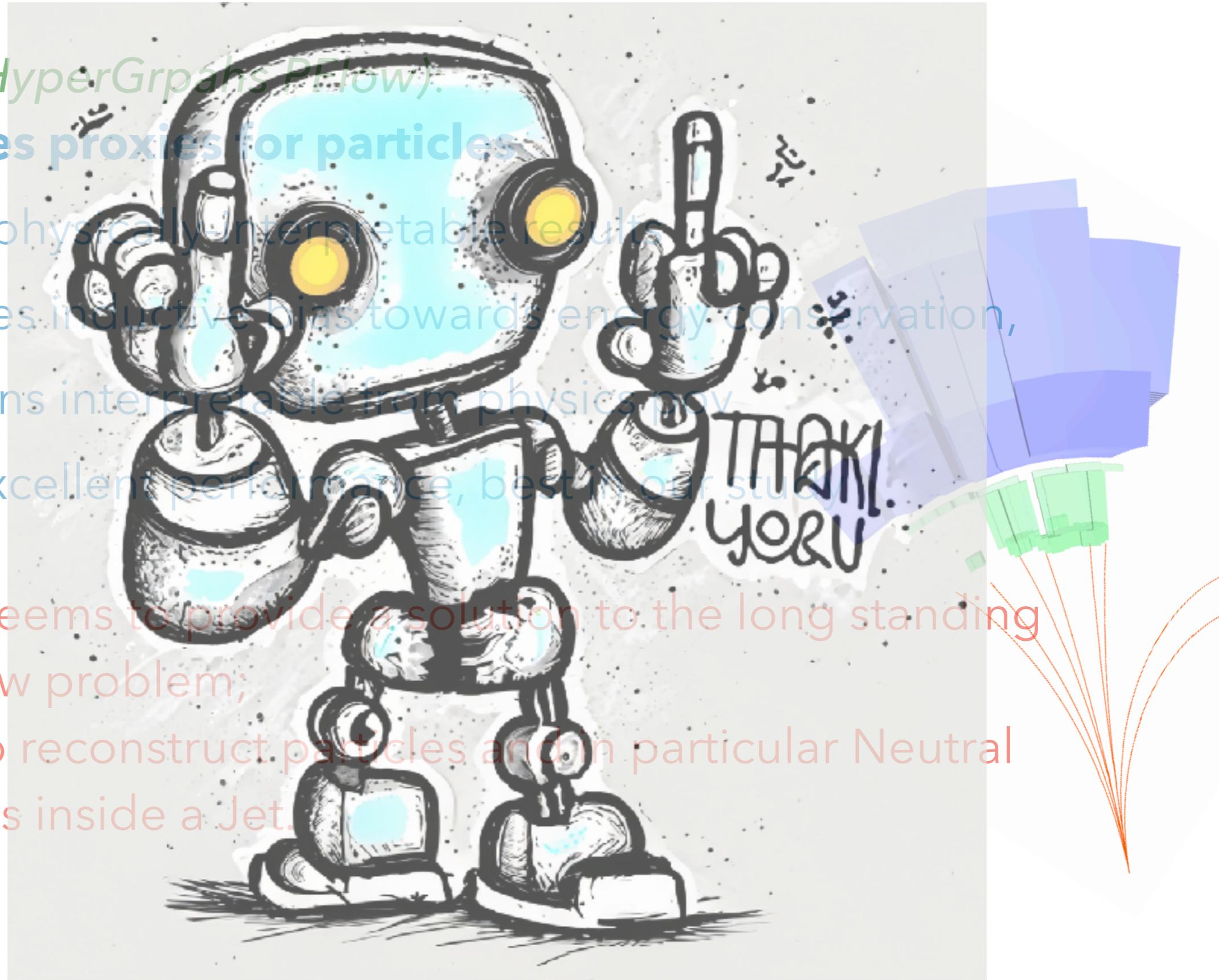
- ✓ Enables physically-interpretable results
- ✓ Introduces inductive bias towards energy conservation,
- ✓ Predictions interpretable from physics pov
- ✓ Shows excellent performance, best in our study

- HGPFlow seems to provide a solution to the long standing Particle Flow problem;  
Allowing to reconstruct particles and in particular Neutral constituents inside a Jet.



# Conclusion

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hyperedges proxies for particles*
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  - ✓ Predictions interpretable from physics pov
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- HGPFlow seems to provide a solution to the long standing Particle Flow problem;  
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backup