

Remarks on the average effective action in Functional Renormalization Group approach

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- Faddeev-Popov (FP) method
- Functional renormalization group (FRG) approach
- Vacuum functional
- Gauge dependence of average effective action
- New approach
- Loop expansion
- Gauge (in)dependence: a simple example
- Conclusion

Yang-Mills action[(1954)]

$$S_{YM}(A) = -\frac{1}{4}F_{\mu\nu}^a F^{a\mu\nu}, \quad F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + f^{abc} A_\mu^b A_\nu^c$$

Gauge invariance

$$\delta S_{YM} = 0, \quad \delta A_\mu^a = D_\mu^{ab} \xi^b, \quad D_\mu^{ab} = \delta^{ab} \partial_\mu + f^{acb} A_\mu^c$$

Non-unitarity of S-matrix[Feynman (1963)]

Faddeev-Popov action[(1967)]

$$S_{FP}(\Phi) = S_{YM} + S_{gf} + S_{gh} = S_{YM}(A) + \chi^a B^a + \bar{C}^a K^{ab} C^b$$

$$\Phi^A = (A_\mu^a, B^a, C^a, \bar{C}^a), \quad \varepsilon(\Phi^A) = \varepsilon_A$$

$$K^{ab} = \frac{\delta \chi^a}{\delta A_\mu^c} D_\mu^{cb}$$

For more popular gauges in Yang-Mills theories the functions χ^a are chosen as

Landau gauge

$$\chi^a = \partial^\mu A_\mu^a$$

R_ξ gauge

$$\chi^a = \partial^\mu A_\mu^a + \frac{\xi}{2} B^a$$

The FP-operator

$$K^{ab} = \partial^\mu D_\mu^{ab} = \delta^{ab} \partial^\mu \partial_\mu + f^{acb} \partial^\mu \cdot A_\mu^c$$

BRST symmetry

[Becchi,Rouet,Stora (1974),Tyutin (1975)]

$$\delta_B S_{FP}(\Phi) = 0$$

$$\delta_B A_\mu^a(x) = D_\mu^{ab} C^b(x) \mu$$

$$\delta_B C^a(x) = \frac{1}{2} f^{abc} C^b(x) C^c(x) \mu$$

$$\delta_B \bar{C}^a(x) = B^a(x) \mu$$

$$\delta_B B^a(x) = 0$$

μ is a constant Grassmann parameter, $\mu^2 = 0$. Due to the Noether theorem there exists conserved charge, the BRST charge Q_B . Corresponding BRST operator, \hat{Q}_B , defines the physical space states, $\hat{Q}_B |phys\rangle = 0$.

Let $\delta_B \Phi^A = \hat{s} \Phi^A{}_\mu$. Then

Nilpotency of the BRST transformations

$$\hat{s}^2 A_\mu^a = \hat{s} D_\mu^{ab} C^b = 0$$

$$\hat{s}^2 \bar{C}^a = \hat{s} B^a = 0$$

$$\hat{s}^2 B^a = 0$$

$$\hat{s}^2 C^a = \hat{s} \frac{1}{2} f^{abc} C^b C^c = 0$$

It leads to very important property of the BRST operator \hat{Q}_B to be nilpotent, $\hat{Q}_B^2 = 0$. In its turn this property allows effectively to analyze the unitarity problem with the help of KO-quartet mechanism [Kugo, Ojima (1979)].

Generating functionals of Green's functions and connected Green's functions

$$Z(J) = \int \mathcal{D}\Phi \exp\left\{\frac{i}{\hbar}\left(S_{FP}(\Phi) + J_A\Phi^A\right)\right\} = \exp\left\{\frac{i}{\hbar}W(J)\right\}$$

$J_A(x)$ are external sources to fields $\Phi^A(x)$, $\varepsilon(\Phi^A) = \varepsilon_A$.

Generating functional of vertex functions (effective action)

$$\Gamma(\Phi) = W(J) - J_A\Phi^A$$

$$\Phi^A(x) = \frac{\delta W(J)}{\delta J_A(x)}, \quad \frac{\delta \Gamma(\Phi)}{\delta \Phi^A(x)} = -J_A(x)$$

Vacuum functional $Z(0) \equiv Z_\chi$ constructed for a given gauge $\chi^a = 0$ is

$$Z_\chi = \int \mathcal{D}\Phi \exp\left\{\frac{i}{\hbar} S_{FP}(\Phi)\right\}.$$

Consider the gauge $\chi^a + \delta\chi^a = 0$ and corresponding vacuum functional $Z_{\chi+\delta\chi}$. Use the change of the variables of integration in the form of BRST transformations with

$$\mu = -\frac{i}{\hbar} \bar{C}^a \delta\chi^a.$$

Gauge independence of vacuum functional

$$Z_{\chi+\delta\chi} = Z_\chi.$$

Recently this result has been extended on the level of arbitrary finite change of gauge [PML, Lechtenfeld (2013)]

The effective action $\Gamma = \Gamma(\Phi)$ is the main object and depends on gauges. Consider an infinitesimal variation of gauge function $\chi^a \rightarrow \chi^a + \delta\chi^a$. The equation describing the gauge dependence of effective action Γ under variation of gauge has the form [PML, Tyutin (1981)]

$$\delta\Gamma = \frac{\delta\Gamma}{\delta\Phi^A} F^A$$

with functionals F^A depending on $\delta\chi^a$.

The main feature is that the effective action does not depend on gauge on its extremals

Gauge independence of Γ on its extremals

$$\frac{\delta\Gamma}{\delta\Phi^A} = 0, \quad \delta\Gamma \Big|_{\frac{\delta\Gamma}{\delta\Phi}=0} = 0.$$

FRG approach

[Wetterich (1993), Reuter, Wetterich (1994)]

The main idea of the FRG is to use instead of Γ an average effective action, Γ_k , with a momentum-shell parameter k , such that

$$\lim_{k \rightarrow 0} \Gamma_k = \Gamma.$$

For the Yang-Mills theories it was suggested to modify the Faddeev-Popov action with the help of the specially designed regulator action S_k

$$S_k(A, C, \bar{C}) = \frac{1}{2} A^{a\mu} (R_{k,A})_{\mu\nu}^{ab} A^{b\nu} + \bar{C}^a (R_{k,gh})^{ab} C^b.$$

Regulator functions $R_{k,A}$ and $R_{k,gh}$ obey the properties

$$\lim_{k \rightarrow 0} (R_{k,A})_{\mu\nu}^{ab} = 0, \quad \lim_{k \rightarrow 0} (R_{k,gh})^{ab} = 0.$$

BRST non-invariance

$$\delta_B S_k(A, C, \bar{C}) \neq 0.$$

The generating functionals of Green's functions, \mathcal{Z}_k , and connected Green's functions, $\mathcal{W}_k(J)$ is constructed in the form of the functional integral

$$\begin{aligned}\mathcal{Z}_k(J) &= \int \mathcal{D}\Phi \exp \left\{ \frac{i}{\hbar} [S_{FP}(\Phi) + S_k(\Phi) + J\Phi] \right\} = \\ &= \exp \left\{ \frac{i}{\hbar} \mathcal{W}_k(J) \right\}\end{aligned}$$

where, for the sake of uniformity, we used notation $S_k(\Phi)$ instead $S_k(A, C, \bar{C})$, despite S_k does not depend on fields B^a

The generating functional of vertex functions in the presence of regulators (the average effective action), $\Gamma_k = \Gamma_k(\Phi)$, satisfies the functional integro-differential equation

$$\exp \left\{ \frac{i}{\hbar} \Gamma_k(\Phi) \right\} = \int \mathcal{D}\varphi \exp \left\{ \frac{i}{\hbar} \left[S_{FP}(\Phi + \varphi) + S_k(\Phi + \varphi) - \frac{\delta \Gamma_k(\Phi)}{\delta \Phi} \varphi \right] \right\}.$$

The tree-level (zero-loop) approximation corresponds to

$$\Gamma_k^{(0)}(\Phi) = S_{FP}(\Phi) + S_k(\Phi).$$

The FRG flow equation for Γ_k ($t = \ln k$)

$$\partial_t \Gamma_k = \partial_t S_k + i\hbar \left\{ \frac{1}{2} \partial_t (R_{k,A})_{\mu\nu}^{ab} (\Gamma_k''^{-1})^{(a\mu)(b\nu)} + \partial_t (R_{k,gh})^{ab} (\Gamma_k''^{-1})^{ab} \right\}.$$

In the condensed notations

The Wetterich equation

$$\begin{aligned} \partial_t \bar{\Gamma}_k = i\hbar \left\{ \frac{1}{2} \text{Tr} \left[\partial_t R_{k,A} (\bar{\Gamma}_k'' + R_{k,A})^{-1} \right]_A - \right. \\ \left. - \text{Tr} \left[\partial_t (R_{k,gh}) (\bar{\Gamma}_k'' + R_{k,gh})^{-1} \right]_C \right\}. \end{aligned}$$

where $\bar{\Gamma}_k = \Gamma_k - S_k$ and we took into account the anticommuting nature of the ghost fields C^A and defined

$$\begin{aligned} \text{Tr} \left[\partial_t (R_{k,gh}) (\Gamma_k''^{-1}) \right]_C &= -\partial_t (R_{k,gh})^{ab} (\Gamma_k''^{-1})^{ab}, \\ \text{Tr} \left[\partial_t (R_{k,A}) (\Gamma_k''^{-1}) \right]_A &= \partial_t (R_{k,A})_{\mu\nu}^{ab} (\Gamma_k''^{-1})^{(a\mu)(b\nu)}. \end{aligned}$$

The vacuum functional, corresponding to a given gauge function χ^a in the FP action reads

$$Z_{k,\chi} = Z_k(0) = \int \mathcal{D}\Phi \exp \left\{ \frac{i}{\hbar} (S_{FP}(\Phi) + S_k(\Phi)) \right\}.$$

By construction, the regulator functions in the FRG approach do not depend on gauge χ^a and therefore the action S_k is gauge independent. Let us consider an infinitesimal variation of gauge $\chi \rightarrow \chi + \delta\chi$ and construct the vacuum functional corresponding to this gauge

$$Z_{k,\chi+\delta\chi} = \int \mathcal{D}\Phi \exp \left\{ \frac{i}{\hbar} \left(S_{FP}(\Phi) + S_k(\Phi) + \bar{C}^a \frac{\delta \delta\chi^a}{\delta A_\mu^c} D_\mu^{cb} C^b + \delta\chi^a B^a \right) \right\}.$$

Vacuum functional

In the last functional integral we make a change of variables in the form of the BRST transformations but considering the constant Grassmann-odd parameter μ as a functional $\Lambda = \Lambda(\Phi)$. The Faddeev-Popov action, S_{FP} , is invariant under such change of variables but S_k is not invariant, with the variation given by

$$\delta S_k = A^{a\mu}(R_{k,A})_{\mu\nu}^{ab} D^{\nu bc} C^c \Lambda + \frac{1}{2} \bar{C}^a (R_{k,gh})^{ab} f^{bcd} C^c C^d \Lambda - B^a (R_{k,gh})^{ab} C^b \Lambda.$$

Choosing Λ in a natural way, $\Lambda = i\hbar^{-1} \bar{C}^a \delta\chi^a$, then

$$Z_{k,\chi+\delta\chi} = \int \mathcal{D}\Phi \exp \left\{ \frac{i}{\hbar} (S_{FP} + S_k + \delta S_k) \right\},$$

then, for any value $k \neq 0$, one has

Gauge dependence of vacuum functional

$$Z_{k,\chi+\delta\chi} \neq Z_{k,\chi}.$$

Let us explore the gauge dependence of the generating functionals \mathcal{Z}_k and Γ_k for Yang-Mills theory in the framework of the FRG approach. The derivation of this dependence is based on a variation of the gauge-fixing function, $\chi^a \rightarrow \chi^a + \delta\chi^a$, which leads to the variation of the FP action S_{FP} and consequently of the generating functionals $\mathcal{Z}_k = \mathcal{Z}_k(J)$, $\mathcal{W}_k = \mathcal{W}_k(J)$, $\Gamma_k = \Gamma_k(\Phi)$.

In terms of the average effective action [PML, Shapiro (2013)]

$$\delta\Gamma_k = \frac{\delta\Gamma_k}{\delta\Phi^A} F_k^A + G_k,$$

where $F_k^A = F_k^A(\Phi)$, $G_k = G_k(\Phi)$ are definite functionals disappearing when $\delta\chi^a$ come to zero,

$$\lim_{\delta\chi \rightarrow 0} F_k^A = 0, \quad \lim_{\delta\chi \rightarrow 0} G_k = 0.$$

We see that

Gauge dependence of Γ_k on its extremals

$$\frac{\delta\Gamma_k}{\delta\Phi^A} = 0, \quad \delta\Gamma_k \Big|_{\frac{\delta\Gamma_k}{\delta\Phi}=0} \neq 0$$

This result shows that the gauge dependence represents a serious problem for the FRG approach in the standard conventional formulation.

New approach

[Lavrov, Shapiro (2013)]

Our approach is based on an idea of the composite fields [Cornwell, Jackiw, Tomboulis, (1974)] to formulate the FRG framework for gauge theories. The idea is to use such fields to implement regulator functions. Consider the regulator functions

$$\begin{aligned}L_k^1(x) &= \frac{1}{2} A^{a\mu}(x)(R_{k,A})_{\mu\nu}^{ab}(x)A^{b\nu}(x), & (R_{k,A})_{\mu\nu}^{ab} &= (R_{k,A})_{\nu\mu}^{ba}, \\L_k^2(x) &= \bar{C}^a(x)(R_{k,gh})^{ab}(x)C^b(x), & (R_{k,gh})^{ab} &= -(R_{k,gh})^{ba}.\end{aligned}$$

Now we introduce external scalar sources $\Sigma_1(x)$ and $\Sigma_2(x)$ and construct the generating functional of Green's functions for Yang-Mills theories with composite fields

$$\mathcal{Z}_k(J, \Sigma) = \int \mathcal{D}\Phi \exp \left\{ \frac{i}{\hbar} [S_{FP}(\Phi) + J\Phi + \Sigma_i L_k^i(\Phi)] \right\} = \exp \left\{ \frac{i}{\hbar} \mathcal{W}_k(J, \Sigma) \right\}$$

where $\Sigma_i L_k^i(\Phi) = \int dx [\Sigma_1(x)L_k^1(x) + \Sigma_2(x)L_k^2(x)]$.

Using the explicit structure of the regulator Lagrangians and the definitions $\mathcal{Z}_k, \mathcal{W}_k$ we deduce the relations

$$\frac{\delta \mathcal{Z}_k}{\delta \Sigma_i} = \frac{\hbar}{2i} \frac{\delta^2 \mathcal{Z}_k}{\delta J_B \delta J_A} (L_k^{i''})_{AB} (-1)^{\varepsilon_B},$$

or,

$$\frac{\delta \mathcal{W}_k}{\delta \Sigma_i} = \frac{\hbar}{2i} \left[\frac{\delta^2 \mathcal{W}_k}{\delta J_B \delta J_A} + \frac{i}{\hbar} \frac{\delta \mathcal{W}_k}{\delta J_B} \frac{\delta \mathcal{W}_k}{\delta J_A} \right] (L_k^{i''})_{AB} (-1)^{\varepsilon_B}.$$

$$(L_k^{i''})_{AB} = \overrightarrow{\partial}_A L_k^i(\Phi) \overleftarrow{\partial}_B, \quad i = 1, 2, \quad \partial_A = \frac{\delta}{\delta \Phi^A}$$

are constant supermatrices.

The effective action $\Gamma_k = \Gamma_k(\Phi; F)$ is introduced with the help of double Legendre transformations

$$\Gamma_k(\Phi; F) = \mathcal{W}_k(J; \Sigma) - J_A \Phi^A - \Sigma_i \left[L_k^i(\Phi) + \frac{\hbar}{2} F^i \right],$$

where

$$\Phi^A = \frac{\delta \mathcal{W}_k}{\delta J_A}, \quad \frac{\hbar}{2} F^i = \frac{\delta \mathcal{W}_k}{\delta \Sigma_i} - L_k^i \left(\frac{\delta \mathcal{W}_k}{\delta J} \right), \quad i = 1, 2.$$

$$\frac{\delta \Gamma_k}{\delta \Phi^A} = -J_A - \Sigma_i \frac{\delta L_k^i(\Phi)}{\delta \Phi^A}, \quad \frac{\delta \Gamma_k}{\delta F^i} = -\frac{\hbar}{2} \Sigma_i.$$

New approach

Let us introduce the full sets of fields \mathcal{F}^A and sources \mathcal{J}_A according to

$$\mathcal{F}^A = (\Phi^A, \hbar F^i), \quad \mathcal{J}_A = (J_A, \Sigma_i).$$

From the condition of solvability of equations

$$\frac{\delta \mathcal{F}^C(\mathcal{J})}{\delta \mathcal{J}_B} \frac{\delta_l \mathcal{J}_A(\mathcal{F})}{\delta \mathcal{F}^C} = \delta_A^B.$$

One can express \mathcal{J}_A as a function of the fields in the form

$$\mathcal{J}_A = \left(-\frac{\delta \Gamma_k}{\delta \Phi^A} + \frac{2}{\hbar} \frac{\delta \Gamma_k}{\delta F^i} \frac{\delta L_k^i(\Phi)}{\delta \Phi^A}, -\frac{2}{\hbar} \frac{\delta \Gamma_k}{\delta F^i} \right)$$

and, therefore,

$$\frac{\delta_l \mathcal{J}_B(\mathcal{F})}{\delta \mathcal{F}^A} = -(G''_k)_{AB}, \quad \frac{\delta \mathcal{F}^B(\mathcal{J})}{\delta \mathcal{J}_A} = -(G_k''^{-1})^{AB}.$$

Now we can present the new relation on the level of average effective action in a closed form

$$-iF^i = \mathcal{W}_k^{AB} (L_k^{i''})_{BA} (-1)^{\varepsilon_A} = \text{sTr} \mathcal{W}_k^{AC} (L_k^{i''})_{CB},$$

where

$$\mathcal{W}_k^{AB} = - \left((\Gamma_k'')_{AB} - \frac{2}{\hbar} \Gamma_{k,i} (L_k^{i''})_{AB} - (\overrightarrow{\partial}_A \Gamma_{k,i}) (\Gamma_k^{-1})^{ij} (\Gamma_{k,j} \overleftarrow{\partial}_B) \right)^{-1}.$$

It is very important to discuss the structure of supermatrices $(L_k^{i''})_{AB}$ which itself have no maximal rank but for any combination

$$\Sigma_i(L_k^{i''})_{AB} = \begin{pmatrix} \Sigma_1(R_{k,A})_{\mu\nu}^{ab} & 0 & 0 \\ 0 & 0 & \Sigma_2(R_{k,gh})^{ba} \\ 0 & \Sigma_2(R_{k,gh})^{ab} & 0 \end{pmatrix}.$$

there exists the inverse supermatrix

$$(L_k^{i''-1})^{AB} = \begin{pmatrix} (R_{k,A}^{-1})^{\mu\nu}_{ab} & 0 & 0 \\ 0 & 0 & (R_{k,gh}^{-1})_{ba} \\ 0 & (R_{k,gh}^{-1})_{ab} & 0 \end{pmatrix},$$

where

$$(R_{k,A})_{\mu\alpha}^{ac}(R_{k,A}^{-1})_{cb}^{\alpha\nu} = \delta_b^a \delta_\mu^\nu, \quad (R_{k,gh})^{ac}(R_{k,gh}^{-1})_{cb} = \delta_b^a.$$

We obtain an useful relation

$$\Sigma_i(L_k^{i''})_{AC}(L_k''^{-1})^{CB} = \begin{pmatrix} \Sigma_1 \delta_b^a \delta_\mu^\nu & 0 & 0 \\ 0 & \Sigma_2 \delta_b^a & 0 \\ 0 & 0 & \Sigma_2 \delta_b^a \end{pmatrix}.$$

The gauge dependence of Γ_k is described by the equation

$$\delta\Gamma_k = \Gamma_{k,A} H^A + \Gamma_{k,i} G^i, \quad \Gamma_{k,A} = \frac{\delta\Gamma_k}{\delta\Phi^A}, \quad \Gamma_{k,i} = \frac{\delta\Gamma_k}{\delta F^i}$$

where $H^A = H^A(\Phi, F)$, $G^i = G^i(\Phi, F)$ obey the properties

$$\lim_{\delta\chi \rightarrow 0} H^A = 0, \quad \lim_{\delta\chi \rightarrow 0} G^i = 0.$$

Gauge independence

$$\delta\Gamma_k \Big|_{\Gamma_{k,A}=0, \Gamma_{k,i}=0} = 0$$

Our starting point in loop expansion is the equation for the effective action

$$\bar{\Gamma}_k(\Phi; F) = \Gamma_k(\Phi; F) - S_{FP}(\Phi)$$

$$\begin{aligned} \exp \left\{ \frac{i}{\hbar} \bar{\Gamma}_k(\Phi; F) \right\} &= \exp \left\{ -\frac{i}{2} \Sigma_i F^i \right\} \times \\ &\times \int \mathcal{D}\varphi \exp \left\{ \frac{i}{\hbar} \left[\frac{1}{2} \varphi^A \left((S''_{FP}(\Phi))_{AB} + \Sigma_i (L_k^{i''})_{AB} \right) \varphi^B - \right. \right. \\ &\quad \left. \left. - (\bar{\Gamma}_k(\Phi; F) \overleftarrow{\partial}_A) \varphi^A + S_{int}(\Phi, \varphi) \right] \right\} \end{aligned}$$

where

$$\begin{aligned} S_{int}(\Phi, \varphi) &= S_{FP}(\Phi + \varphi) - S_{FP}(\Phi) - (S_{FP}(\Phi) \overleftarrow{\partial}_A) \varphi^A - \\ &\quad - \frac{1}{2} \varphi^A (S''_{FP}(\Phi))_{AB} \varphi^B, \end{aligned}$$

We assume the effective action in the form

$$\bar{\Gamma}_k(\Phi; F) = \hbar \Gamma_k^{(1)}(\Phi; F) + \Gamma_{k2}(\Phi; F).$$

Here $\Gamma_k^{(1)}(\Phi; F)$ is the one-loop effective action for the set of fields Φ^A taking into account composite fields F^i . The term $\Gamma_{k2}(\Phi; F)$ includes all the two-particle irreducible vacuum graphs in a theory with vertices determined by $S_{int}(\Phi, \varphi)$ and propagators set equal to F^i . Note that $\Gamma_{k2}(\Phi; F)$ by itself is of order \hbar^2 .

To calculate the one-loop contribution $\Gamma_k^{(1)}(\Phi, F)$ we have to omit in functional integral all terms of order more than φ^2 . Then we have

$$\begin{aligned} \exp \left\{ i\Gamma_k^{(1)}(\Phi; F) \right\} &= \exp \left\{ -\frac{i}{2} \Sigma_i F^i \right\} \times \\ &\times \int \mathcal{D}\varphi \exp \left\{ \frac{i}{2\hbar} \varphi^A \left((S''_{FP}(\Phi))_{AB} + \Sigma_i (L_k^{i''})_{AB} \right) \varphi^B \right\} \end{aligned}$$

$$\Gamma_k^{(1)}(\Phi; F) - \Gamma_{k,i}^{(1)} F^i = \frac{i}{2} \text{sTr} \ln \left((S''_{FP}(\Phi))_{AB} - 2\Gamma_{k,i}^{(1)} (L_k^{i''})_{AB} \right)$$

$$\left\{ \left((S''_{FP}(\Phi))_{AB} - 2\Gamma_{k,j}^{(1)} (L_k^{j''})_{AB} \right)^{-1} (L_k^{i''})_{BA} \right\} (-1)^{\varepsilon_A} = -iF^i,$$

$$\Gamma_{k,j}^{(1)}(L_k^{j''})_{AB} = -\frac{i}{2}n_j(F^j)^{-1}(L_k^{j''})_{AB} + \frac{1}{2}(S''_{FP}(\Phi))_{AB}$$

$$n_1 = \text{Tr} \delta_\nu^\mu \delta_a^b, \quad n_2 = -2 \text{Tr} \delta_a^b.$$

$$\Gamma_{k,j}^{(1)} = -\frac{i}{2}m_j(F^j)^{-1} + \frac{1}{2m_j} \text{sTr} (S''_{FP}(\Phi))_{AC} (L_k^{''-1})^{CB},$$

where in the first term there is no summation over index j and $n_1 = m_1, n_2 = -2m_2$.

$$\begin{aligned} \Gamma_k^{(1)}(\Phi; F) &= \frac{1}{2m_j} \text{sTr} (S''_{FP}(\Phi))_{AC} (L_k^{''-1})^{CB} F^j + \\ &+ \frac{i}{2} \text{sTr} \ln (in_j(F^j)^{-1}(L_k^{j''})_{AB}) \end{aligned}$$

A simple example

Now we illustrate the problem of gauge dependence using a simple example. To this end we consider the effective action $\bar{\Gamma}_k(\Phi; F)$ up to first order in \hbar ,

$$\bar{\Gamma}_k(\Phi; F) = \hbar \Gamma_k^{(1)}(\Phi; F)$$

Note that in consistent gauge theories the effective action does not depend on gauge on its extremals. First, we check the gauge dependence of effective action $\bar{\Gamma}_k(\Phi; F)$. Consider the quantum equations of motion $\Gamma_{k,j}^{(1)}(\Phi; F) = 0$

$$\begin{aligned} -\frac{i}{2} n_j (F^j)^{-1} (L_k^{j''})_{AB} + \frac{1}{2} (S''_{FP}(\Phi))_{AB} &= 0, \\ -\frac{i}{2} m_j (F^j)^{-1} + \frac{1}{2m_j} \text{sTr} (S''_{FP}(\Phi))_{AC} (L_k''^{-1})^{CB} &= 0 \end{aligned}$$

$$\Gamma_k^{(1)}(\Phi; F) = \frac{i}{2} \text{sTr} \ln S''_{FP}(\Phi).$$

In this approximation the average effective action coincides with the one-loop answer for effective action in a given Yang-Mills theory. It is well-known fact that it does not depend on the gauge when the fields Φ^A satisfy the quantum equations of motion.

The average effective action, $\Gamma_k^{(1)}(\Phi)$, in the standard FRG approach reads

$$\Gamma_k^{(1)}(\Phi) = \frac{i}{2} \text{sTr} \ln (S''_{FP}(\Phi) + S''_k(\Phi))$$

This action depends on gauge even on its extremals. To illustrate this feature explicitly, we restrict ourselves to the case of electromagnetic field in the flat space-time.

A simple example

The classical action of the model is

$$S_0(A) = -\frac{1}{4} \int d^4x F_{\mu\nu} F^{\mu\nu}, \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu.$$

We choose the gauge fixing function in the form

$$\chi(A, B) = \frac{1}{\sqrt{1+\lambda}} \partial^\alpha A_\alpha + B$$

Integrating over field B yields the gauge fixing action

$$S_{gf}(A) = -\frac{1}{2(1+\lambda)} \int d^4x (\partial^\alpha A_\alpha)^2.$$

The action for ghosts reads

$$S_{gh}(C) = \frac{1}{\sqrt{1+\lambda}} \int d^4x \bar{C} (\partial^\alpha \partial_\alpha) C.$$

The effective action of the model is

$$\Gamma(\Phi) = S(\Phi) + i\hbar\Gamma^{(1)}(\lambda), \quad S(\Phi) = S_0(A) + S_{gf}(A) + S_{gh}(C),$$
$$\Phi = (A_\mu, C, \bar{C}),$$

where

$$\Gamma^{(1)}(\lambda) = \frac{1}{2} \text{Tr} \ln \left(\square \delta_\beta^\alpha - \frac{\lambda}{1+\lambda} \partial^\alpha \partial_\beta \right) - \text{Tr} \ln \left(\frac{1}{\sqrt{1+\lambda}} \square \right).$$

Dependence of effective action $\Gamma(\Phi)$ on gauge parameter λ is described by the relation

$$\delta\Gamma(\Phi) = \frac{\delta\Gamma(\Phi)}{\delta\Phi} \delta\Phi + i\hbar \frac{\partial\Gamma^{(1)}(\lambda)}{\partial\lambda} \delta\lambda.$$

A simple example

Using the quantum equations of motion

$$\frac{\delta\Gamma(\Phi)}{\delta\Phi} = 0,$$

we see that all dependence on λ comes from $\Gamma^{(1)}(\lambda)$. In turn

$$\begin{aligned}\Gamma^{(1)}(\lambda) &= \Gamma^{(1)}(0) + \frac{1}{2} \text{Tr} \ln \left(\delta_{\beta}^{\alpha} - \frac{\lambda}{1+\lambda} \frac{\partial^{\alpha} \partial_{\beta}}{\square} \right) - \ln \frac{1}{\sqrt{1+\lambda}} \text{Tr} \mathbf{1} \\ &= \Gamma^{(1)}(0) + \frac{1}{2} \ln \frac{1}{1+\lambda} \text{Tr} \frac{\partial^{\alpha} \partial_{\beta}}{\square} - \ln \frac{1}{\sqrt{1+\lambda}} \text{Tr} \mathbf{1} = \Gamma^{(1)}(0)\end{aligned}$$

$$\delta\Gamma(\Phi) \Big|_{\frac{\delta\Gamma}{\delta\Phi}=0} = 0$$

The same result is valid for the average effective action in the new FRG approach.

A simple example

Calculation of the one-loop average effective action of the model within the standard FRG method gives

$$\Gamma_k(\Phi) = S(\Phi) + S_k(\Phi) + i\hbar \Gamma_k^{(1)}(\lambda),$$

where the regulator action, $S_k(\Phi)$, is

$$S_k(\Phi) = \frac{1}{2} \int d^4x A^\alpha (R_{k,A})_{\alpha\beta} A^\beta + \int d^4x \bar{C} R_{k,gh} C,$$

and the one-loop contribution, $\Gamma_k^{(1)}(\lambda)$, reads

$$\begin{aligned} \Gamma_k^{(1)}(\lambda) &= \frac{1}{2} \text{Tr} \ln \left(\square \delta_\beta^\alpha - \frac{\lambda}{1+\lambda} \partial^\alpha \partial_\beta + (R_{k,A})_\beta^\alpha \right) - \\ &\quad - \text{Tr} \ln \left(\frac{1}{\sqrt{1+\lambda}} \square + R_{k,gh} \right) \end{aligned}$$

A simple example

As in previous case using the quantum equations of motion we again find that the gauge dependence of average effective action $\Gamma_k(\Phi)$ on its extremals comes essentially from $\Gamma_k^{(1)}(\lambda)$ which can be presented in the form

$$\Gamma_k^{(1)}(\lambda) = \Gamma^{(1)}(\lambda) + \frac{1}{2} \text{Tr} \ln (1 - G_\gamma^\alpha(\lambda) (R_{k,A})_\beta^\gamma) - \\ - \text{Tr} \ln \left(1 + \sqrt{1 + \lambda} \frac{R_{k,gh}}{\square} \right).$$

$\Gamma^{(1)}(\lambda) = \Gamma^{(1)}(0)$ does not depend on λ , and $G_\gamma^\alpha(\lambda)$ is the Green's function

$$\left(\square \delta_\gamma^\alpha - \frac{\lambda}{1 + \lambda} \partial^\alpha \partial_\gamma \right) G_\beta^\gamma(\lambda) = -\delta_\beta^\alpha, \quad G_\beta^\gamma(\lambda) = -\frac{\delta_\beta^\gamma}{\square} + \frac{\lambda}{1 + 2\lambda} \frac{\partial^\gamma \partial_\beta}{\square^2}$$

A simple example

The last two terms explicitly depend on the gauge fixing parameter λ . Using the following property of cutoff functions $R_k(p) \rightarrow 0$ when $k \rightarrow 0$, we can approximate the trace of logarithm by linear term

$$\Gamma_k^{(1)}(\lambda) \approx \Gamma^{(1)}(0) + \frac{1}{2} \text{Tr} \left(\frac{(R_{k,A})^\alpha_\beta}{\square} - \frac{\lambda}{1+2\lambda} \frac{\partial^\alpha \partial_\gamma (R_{k,A})^\gamma_\beta}{\square^2} \right) - \sqrt{1+\lambda} \text{Tr} \left(\frac{R_{k,gh}}{\square} \right).$$

It is clear that

$$\frac{\partial \Gamma_k^{(1)}(\lambda)}{\partial \lambda} \neq 0,$$

and one meets the gauge dependence of average effective action within the standard FRG approach even on its extremals.

- The study of gauge dependence of Green's functions within the FRG approach was given.
- It was shown the gauge dependence of average effective action even on its extremals. In particular, vacuum expectation values of gauge invariant operators such as $F_{\mu\nu}^a F^{a\mu\nu}$ do depend on gauge.
- A new FRG approach being free of the gauge dependence problem has been proposed.
- Loop expansion of effective action with composite fields in new FRG approach has been formulated. Explicit form of effective action at the leading order in \hbar has been found.
- Gauge dependence of effective actions constructed within FP method, FRG and new FRG approaches have been illustrated using a simple example of Abelian vector field.

**Thank you
for attention!**