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Lepton-nucleus scattering within the quantum Monte Carlo based approaches

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Neutrino Scattering at Low and Intermediate Energies — MITP Jun 26–30, 2023

Neutrino-nucleus cross section systematics

Current oscillation experiments report **large systematic uncertainties** associated with neutrinonucleus interaction models.

	Vo	ν.	va/va	v-beam	reliminary
Error source	FHC	RHC	FHC/RHC		Se s
Flux and (ND unconstrained)	15.1	12.2	1.2	Neutron Uncertainty	
cross section (ND constrained)	3.2	3.1	2.7	Detector Response	
SK detector	2.8	3.8	1.5	T	¥
SK FSI + SI + PN	3.0	2.3	1.6	Beam Flux	
Nucleon removal energy	7.1	3.7	3.6	Detector Calibration	1
$\sigma(\nu_e)/\sigma(\bar{\nu}_e)$	2.6	1.5	3.0		
NC1γ	1.1	2.6	1.5	Neutrino Cross Sections	
NC other	0.2	0.3	0.2	Near-Far Uncor.	1
$\sin^2 \theta_{23}$ and Δm_{21}^2	0.5	0.3	2.0		
$\sin^2 \theta_{13}$ PDG2018	2.6	2.4	1.1		
All systematics	8.8	7.1	6.0	Total Prediction U	ncertainty (%)

T2K Collaboration, Phys. Rev. D 103, 112008 (2021)

T2K, Phys. Rev. D 103, 112008 (2021)



Hamiltonian and Currents

At low energy, the effective degrees of freedom are pions and nucleons:



The electromagnetic current is constrained by the Hamiltonian through the continuity equation

$$\boldsymbol{\nabla} \cdot \mathbf{J}_{\mathrm{EM}} + i[H, J_{\mathrm{EM}}^0] = 0 \qquad \qquad [v_{ij}, j_i^0] \neq 0$$

The above equation implies that the current operator includes one and two-body contributions

Variational Monte Carlo

In variational Monte Carlo, one assumes a suitable form for the trial wave function

 $|\Psi_T\rangle = \mathcal{F}|\Phi\rangle \begin{cases} \Phi : \text{Mean field component; slater determinant of single-particle orbitals} \\ \mathcal{F} : \text{correlations (2b & 3b) induced by } H \end{cases}$

The correlation operator reflects the spin-isospin dependence of the nuclear interaction

$$\mathcal{F} \equiv \left(\mathcal{S} \prod_{i < j} F_{ij} \right) \qquad \qquad F_{ij} \equiv \sum_{p} f_{ij}^{p} O_{ij}^{p}$$

The best parameters are found by **optimizing the variational energy**

$$\frac{\langle \Psi_T | H | \Psi_T \rangle}{\langle \Psi_T | \Psi_T \rangle} = E_T \ge E_0$$





Green's Function Monte Carlo

Any trial wave function can be expanded in the complete set of eigenstates of the the Hamiltonian according to

$$|\Psi_T\rangle = \sum_n c_n |\Psi_n\rangle \qquad \qquad H|\Psi_n\rangle = E_n |\Psi_n\rangle$$

GFMC overcomes the limitations of the variational wave-function by using an imaginary-time projection technique to **projects out the exact lowest-energy state**



Green's Function Monte Carlo

Nuclear response function involves evaluating a number of transition amplitudes. Valuable information can be obtained from the **integral transform of the response function**

$$E_{\alpha\beta}(\sigma,\mathbf{q}) = \int d\omega K(\sigma,\omega) R_{\alpha\beta}(\omega,\mathbf{q}) = \langle \psi_0 | J_{\alpha}^{\dagger}(\mathbf{q}) K(\sigma,H-E_0) J_{\beta}(\mathbf{q}) | \psi_0 \rangle$$

Inverting the integral transform is a complicated problem





Same problem applies to different realm physics for example lattice QCD





Why relativity is important

$$R_{\alpha\beta}(\omega,\mathbf{q}) = \sum_{f} \langle 0|J_{\alpha}^{\dagger}(\mathbf{q})|f\rangle \langle f|J_{\beta}(\mathbf{q})|0\rangle \delta(\omega - E_{f} + E_{0}) \longrightarrow \text{Kinematics}$$
Currents

Covariant expression of the e.m. current:

$$j_{\gamma,S}^{\mu} = \bar{u}(\mathbf{p}') \Big[\frac{G_E^S + \tau G_M^S}{2(1+\tau)} \gamma^{\mu} + i \frac{\sigma^{\mu\nu} q_{\nu}}{4m_N} \frac{G_M^S - G_E^S}{1+\tau} \Big] u(\mathbf{p})$$

Nonrelativistic expansion in powers of p/m_N

$$j^0_{\gamma,S} = \frac{G^S_E}{2\sqrt{1+Q^2/4m_N^2}} - i\frac{2G^S_M - G^S_E}{8m_N^2}\mathbf{q}\cdot(\pmb{\sigma}\times\mathbf{p})$$

Energy transfer at the quasi-elastic peak:

$$w_{QE} = \sqrt{\mathbf{q}^2 + m_N^2 - m_N}$$
 $w_{QE}^{nr} = \mathbf{q}^2/(2m_N)$



Frame dependence



The momentum and energy transfer in the different reference frames are connected:

$$\mathbf{q}^{fr} = \gamma(\mathbf{q} - \boldsymbol{\beta}\omega), \qquad \qquad \omega^{fr} = \gamma(\omega - \beta q),$$



Frame dependence



Two fragment model:

• The frame dependence can be drastically reduced if one assumes a **two-body breakup model** with **relativistic kinematics** to **determine the input to the non relativistic dynamics calculation**

$$p^{fr} = \mu \left(\frac{p_N^{fr}}{m_N} - \frac{p_X^{fr}}{M_X} \right)$$
$$P_f^{fr} = p_N^{fr} + p_X^{fr}$$

• The relative momentum is derived in a relativistic fashion

$$E_{f}^{fr} = \sqrt{m_{N}^{2} + [\mathbf{p}^{fr} + \mu/M_{X}\mathbf{P}_{f}^{fr}]^{2}} + \sqrt{M_{X}^{2} + [\mathbf{p}^{fr} - \mu/m_{N}\mathbf{P}_{f}^{fr}]^{2}}$$
$$\omega^{fr} = E_{f}^{fr} - E_{i}^{fr}$$

• And it is used as input in the non relativistic kinetic energy

$$e_f^{fr}=(p^{fr})^2/(2\mu)$$



Relativistic effects in a correlated system



A.Nikolakopoulos, A.Lovato, NR, arXiv:2304.11772

$\zeta=1/2$ Active nucleon Breit frame

Minimizes momentum of incoming and outgoing nucleons

 $\label{eq:pfr} p^{fr}_i \simeq -q^{fr}/2 \quad, \quad p^{fr}_f \simeq q^{fr}/2$

 $\omega_{QE} = \omega_{QE}^{nr} = 0$

Two-fragment model

Reduces the frame dependence ⇒includes relativistic corrections kinematics

Final results = ANB calculation





Cross sections: Green's Function Monte Carlo

T2K results including relativistic corrections

$0.60 < \cos \theta < 0.70$ $0.70 < \cos \theta < 0.80$ $0.80 < \cos\theta < 0.85$ $\mathrm{d}\sigma/\mathrm{d}p_{\mu}\mathrm{d}\cos\theta_{\mu}~(10^{-39}\mathrm{cm}^2/\mathrm{MeV}$ $\mathrm{d}\sigma/\mathrm{d}p_\mu\mathrm{d}\cos\theta_\mu~(10^{-39}\mathrm{cm}^2/\mathrm{MeV}$ $\mathrm{d}\sigma/\mathrm{d}p_{\mu}\mathrm{d}\cos\theta_{\mu}~(10^{-39}\,\mathrm{cm^{2}/MeV}$ 141212nr 1210 10 ANB 10 8 8 8 6 6 6 4 4 4 $\mathbf{2}$ 220 0 0.2 $0.4 \ 0.6 \ 0.8$ 1.2 $0.2 \ 0.4 \ 0.6 \ 0.8$ 1.2 $0.2 \ 0.4 \ 0.6 \ 0.8$ 1 1 0 0 0 p_{μ} (GeV) p_{μ} (GeV) p_{μ} (GeV) $0.85 < \cos\theta < 0.90$ $0.90 < \cos \theta < 0.94$

A.Nikolakopoulos, A.Lovato, NR, arXiv:2304.11772



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Cross sections: Green's Function Monte Carlo

MiniBooNE results including relativistic corrections

A.Nikolakopoulos, A.Lovato, NR, arXiv:2304.11772





Cross sections: Green's Function Monte Carlo

Electron scattering results including relativistic corrections for some kinematics covered by the calculated responses



A.Lovato, A.Nikolakopoulos, NR, N. Steinberg, submitted to Universe



Addressing Neutrino-Oscillation Physics

J.A. Formaggio and G.P. Zeller, Rev. Mod. Phys. 84 (2012)





Cross sections: Spectral function approach

For sufficiently large values of |q|, the **factorization scheme** can be applied under the assumptions



O. Benhar et al, Rev.Mod.Phys. 80 (2008)



QMC Spectral function of nuclei with A=3,4



QMC Spectral function of nuclei with A=3,4





R. Crespo, et al, Phys.Lett.B 803 (2020) 135355

- 100 0 0.00 0.01 0.02 0.03 0.04 0.05 0.06 *E*[GeV]
- The quenching of the spectroscopic factors automatically emerges from the VMC calculations

Computing the s-shell contribution is non trivial within VMC. We explored different alternatives:

- Quenched Harmonic Oscillator
- Quenched Wood Saxon
- VMC overlap associated for the ${}^{4}\text{He}(0^{+}) \rightarrow {}^{3}\text{H}(1/2^{+}) + p$ transition

Korover, et al, CLAS collaboration submitted (2021)



tot

p-wave

s-wave

0.07

Extended Factorization Scheme

$$|f\rangle \rightarrow |pp'\rangle_a \otimes |f_{A-2}\rangle \longrightarrow$$



The hadronic tensor for two-body current processes reads

$$W_{2b}^{\mu\nu}(\mathbf{q},\omega) \propto \int dE \frac{d^3k}{(2\pi)^3} \frac{d^3k'}{(2\pi)^3} \frac{d^3p}{(2\pi)^3} P_h(\mathbf{k},\mathbf{k}',E) 2\sum_{ij} \langle k\,k'|j_{ij}^{\mu\dagger}|p\,p'\rangle_a$$
$$\times \langle p\,p'|j_{ij}^{\nu}|k\,k'\rangle \delta(\omega-E+2m_N-e(\mathbf{p})-e(\mathbf{p}')) \,.$$



Diagrams including the Delta current depend on many parameters. Axial and vector ff: C₃V, C₅A

Delta decay width:

$$\Gamma(p_{\Delta}) = \frac{(4f_{\pi N\Delta})^2}{12\pi m_{\pi}^2} \frac{|\mathbf{d}|^3}{\sqrt{s}} (m_N + E_d) R(\mathbf{r}^2)$$

which contains

$$R(\mathbf{r}^2) = \left(\frac{\Lambda_R^2}{\Lambda_R^2 - \mathbf{r}^2}\right)$$



Extended Factorization Scheme



Pion production elementary amplitudes derived within the extremely sophisticated **Dynamic Couple Chanel approach**; includes meson baryon channel and nucleon resonances up to W=2 GeV

- The diagrams considered resonant and non resonant $\boldsymbol{\pi}$ production



Cross sections e-: Spectral function approach

Spectral function formalism: unified framework able to describe the different reaction mechanisms retaining an accurate treatment of nuclear dynamics

 Good agreement with electron scattering data when all reaction mechanisms are included

NR, Frontiers in Phys. 8 (2020) 116





Contributions missing: interference effects, 2π emission, DIS



• <u>e -³H:</u> inclusive cross section

L. Andreoli, NR, et al, PRC 105 (2022) 1, 014002

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- Comparisons among QMC, SF, and STA approaches: first step to precisely quantify the uncertainties inherent to the factorization of the final state.
- Gauge the role of relativistic effects in the energy region relevant for neutrino experiments.

MiniBooNE results; breakdown into one- and two-body contributions for the SF and GFMC





T2K results; breakdown into one- and two-body contributions for the SF and GFMC





-MINERvA M.E. Double Differential Cross Section in p_T , p_{\parallel} . CCQE-like data on CH



N. Steinberg, A. Nikolakopoulos, A. Lovato, NR, submitted to Universe



Input parameters and their precision

There is no EFT that coverages over all of DUNE kinematics

The first steps towards getting few-% cross-section uncertainties are understanding what input parameters we will need and what precision we will need them at.

Lattice QCD can provide inputs to be included in EFTs and nuclear many-body methods



Courtesy of M. Wagman



Elementary Input: Form Factors



Different parametrization of the axial form factor:

Dipole:

$$F_A(Q^2) = \frac{g_A}{(1+Q^2/M_A^2)^2},$$

Different determinations of nucleon axial form factor using the z-expansion

$$F_A(Q^2) = \sum_{k=0}^{\infty} a_k \, z(Q^2)^k \approx \sum_{k=0}^{k_{\max}} a_k \, z(Q^2)^k,$$

UQ independent on assumptions about the shape of the axial form factor.

D2 Meyer et al: fits to neutrino-deuteron scattering data

LQCD result: general agreement between the different calculations

LQCD results are 2-3 σ larger than D2 Meyer ones for Q² > 0.3 GeV²



Study of model dependence in neutrino predictions

MiniBooNE results; breakdown into one- and two-body contributions for the SF and GFMC





Study of model dependence in neutrino predictions

T2K results; breakdown into one- and two-body contributions for the SF and GFMC







Parametrization chosen for the axial ff: $C_5^A = \frac{1.2}{(1-q^2/M_{A\Delta})^2} \times \frac{1}{1-q^2/(3M_{A\Delta})^2)},$

Current extractions of C_{A^5} (0) rely on single pion production data from deuterium bubble chamber experiments; estimated uncertainty ~ 15 %

Delta decay width:
$$\Gamma(p_{\Delta}) = \frac{(4f_{\pi N\Delta})^2}{12\pi m_{\pi}^2} \frac{|\mathbf{d}|^3}{\sqrt{s}} (m_N + E_d) R(\mathbf{r}^2) \qquad R(\mathbf{r}^2) = \left(\frac{\Lambda_R^2}{\Lambda_R^2 - \mathbf{r}^2}\right)$$



Resonance Uncertainty needs

The largest contributions to two-body currents arise from resonant $N\to \Delta$ transitions yielding pion production





The normalization of the dominant $N \to \Delta$ transition form factor needs be known to 3% precision to achieve 1% cross-section precision for MiniBooNE kinematics

State-of-the-art determinations of this form factor from experimental data on pion electroproduction achieve 10-15% precision (under some assumptions)

Hernandez et al, PRD 81 (2010)

Further constraints on $N \to \Delta$ transition relevant for two-body currents and π production will be necessary to achieve few-percent cross-section precision

ACHILLES: A CHicago Land Lepton Event Simulator

The propagation of **nucleons** through the **nuclear medium** is crucial in the analysis of electron-nucleus scattering and neutrino oscillation experiments.

- Elastic scattering
- Charge exchange
- Pion Production
- Absorption

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- Develop a theory driven, modular event generator
- Provide automated BSM calculations for neutrino experiments
- Uses realistic QMC nuclear calculations as inputs

J.Isaacson, W Jay, A. Lovato, P Machado, NR:

- arXiv:2205.06378
- PRD 105 (2022) 9, 096006
- PRC 103 (2021) 1, 015502



Algorithm Overview

$$|\mathcal{M}(\{k\} \to \{p\})|^2 \simeq \sum_{p'} |\mathcal{V}(\{k\} \to \{p'\})|^2 \times |\mathcal{P}(\{p'\} \to \{p\})|^2$$

- Primary Interaction
- Evolution out of the nucleus (intra-nuclear) cascade

Approximate as incoherent product of primary interaction and cascade



Sampling nucleon configurations



The nucleons' positions utilized in the INC are sampled from **36000 GFMC configurations**. For benchmark purposes we also sampled **36000 mean-field (MF) configurations** from the single-proton distribution.

The differences between GFMC and MF configurations are apparent when comparing the **two-body density distributions**: repulsive nature of two-body interactions reduced the probability of finding two particles close to each other



 $\sqrt{\sigma/\pi}$

 $d\ell$

We use a **cylinder probability distribution**, this mimics a more classical billiard ball like system where each billiard ball has a radius

For benchmark purposes, we also implemented the **mean free path approach**, used in some event generators

$$P = \sigma \bar{\rho} d\ell \qquad \text{where a constant density is assumed} \qquad \rho(r_1) \sim \rho(r_1 + d\ell) \sim \bar{\rho}$$
we sample a number $0 \le x \le 1$

$$\begin{cases} x < P \qquad \checkmark \qquad \text{the interaction occurred, check Pauli blocking} \\ x > P \qquad \bigstar \qquad \text{the interaction DID NOT occur} \end{cases}$$

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Results: p-12C cross section- transparency

Reproducing proton-nucleus cross section measurements is an important test of the accuracy of the INC model.

• The Monte Carlo cross section is defined as:

 $\sigma_{\rm MC} = A \frac{N_{\rm scat}}{N_{\rm tot}}$





$$T_{\rm MC} = 1 - \frac{N_{\rm hits}}{N_{\rm tot}}$$

Inclusion of the Real Optical Potential

The presence of a **mean-field nuclear potential** may trap struck nucleons or deflect their trajectory, effectively changing the number, momentum and direction of outgoing particles.

We introduced two different background potentials, depending on *r* and *p*

- Wiringa, Fiks, Fabrocini, PRC 38, 1010 (1988): $U(p', r) = \alpha[\rho(r)] + \frac{\beta[\rho(r)]}{1 + (p'/\Lambda[\rho(r)])^2}$
- Effective Optical Potential (fitting done using Schroedinger equation) Cooper et al PRC 80, 034605 (2009)

The mean-field nuclear potential enters in two different places:

$$m^{*}(p',\rho) = p' \left(\frac{p'}{m} + \frac{dU(p',\rho)}{dp'}\right)^{-1}. \qquad \qquad \frac{d\sigma'}{d\Omega} = \frac{|\mathbf{p}_{1}' - \mathbf{p}_{2}'|}{m} \left|\frac{\mathbf{p}_{1}'}{m^{*}(p_{1}',\rho)} - \frac{\mathbf{p}_{2}'}{m^{*}(p_{2}',\rho)}\right|^{-1} \\ \times \frac{m^{*} \left(\sqrt{(p_{3}'^{2} + p_{4}'^{2})/2}, \rho\right)}{m} \frac{d\sigma}{d\Omega},$$

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• Symplectic integrator to solve the equation of motion of the particle, curved trajectories

CLAS/e4v collaboration



 Mimics energy reconstruction techniques used in Cherenkov detectors Mimics energy reconstruction techniques used in LArTPC detectors: ionization energy





Exclusive observables that are sensitive to final state interactions: proton multiplicity energy spectrum



- We consider the 2.257- GeV electron beam, for every event, we count the number of protons that pass experimental cuts
- We take all leading-energy protons in events with at least one proton and build their energy spectrum. Apply the same procedure for all second and third leading protons
- · This observable is sensitive to INC and also other reaction mechanisms

Conclusions

GFMC and QMC SF are microscopic approaches able to accurately describe neutrino cross sections

Different sources of uncertainties can be considered:

Nuclear Hamiltonians: different efforts in place to provide UQ in chiral EFT

Form factors: one- and two-body currents.

Error of factorizing the hard interaction vertex / using a non relativistic approach

LQCD will be crucial in providing inputs for form factors and π -production amplitudes

These errors need to be consistently propagated / combined through the intra-nuclear cascade

Achilles is a theory driven, modular event generator. Provides automated BSM calculations for neutrino experiments. Work is currently underway to incorporate pion degrees of freedom



Thank you for your attention!