

Quasi-Elastic mechanism within Valencia model

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European Commission



O. Benhar@NuFacT11: [arXiv : 1110.1835] measured electron-carbon scattering cross sections for a fixed outgoing electron angle $\theta = 37^{\circ}$ and different beam energies $\in [730, 1501]$ GeV, plotted as a function of E_e ,



The energy bin corresponding to the top of the QE peak at $E_e = 730$ MeV receives significant contributions from cross sections corresponding to different beam energies and different mechanisms!



QE nuclear corrections

• Spectral Functions: dressing the nucleon lines in the medium



Beyond the Hartree-Fock approximation







Spectral Function (SRC) do not populate the <u>dip region</u>

• Spectral Function (SF) + Final State Interaction (FSI): dressing up the nucleon propagator of the hole (SF) and particle (FSI) states in the *ph* excitation



- Change of nucleon dispersion relation:
 - * hole \Rightarrow Interacting Fermi sea (SF)
 - * particle \Rightarrow Interaction of the ejected nucleon with the final nuclear state (FSI)

$$G(p) \to \int_{-\infty}^{\mu} d\omega \frac{S_h(\omega, \vec{p}\,)}{p^0 - \omega - i\epsilon} + \int_{\mu}^{+\infty} d\omega \frac{S_p(\omega, \vec{p}\,)}{p^0 - \omega + i\epsilon}$$

The hole and particle spectral functions are related to nucleon self-energy Σ in the medium,

$$(p) = \frac{n(\vec{p}\,)}{p^0 - \varepsilon(\vec{p}) - i\epsilon} + \frac{1 - n(\vec{p}\,)}{p^0 - \varepsilon(\vec{p}) + i\epsilon}$$



Spectral Functions: dressing the nucleon lines in the medium

Basic object: nucleon selfenergy in the medium: Σ (from realistic *NN* interactions in the medium).

Spectral Functions: modification of the dispersion relation of the nucleons inside of the nuclear medium

This nuclear effect is additional to those due to RPA (long range) correlations !! The simplest description \Rightarrow relativistic Fermi Gas with non interacting fermions $\Sigma = 0$,

$$S_{p}(\omega, \vec{p}) = \frac{\theta(|\vec{p}| - k_{F})}{2E(\vec{p})}\delta(\omega - E(\vec{p}))$$
$$S_{h}(\omega, \vec{p}) = \frac{\theta(k_{F} - |\vec{p}|)}{2E(\vec{p})}\delta(\omega - E(\vec{p}))$$

and only Pauli blocking is incorporated!!

$$k_F^{p,n}(r) = [3\pi^2 \rho^{p,n}(r)]^{1/3}$$
 vs $k_F^{p,n}$ = cte ?



Local vs Global Fermi Gas ? $k_F(r) = \left[3\pi^2 \rho(r)/2 \right]^{1/3}$ vs k_F = cte ? $S_h(\omega, \vec{p}) = \delta(\omega - E(\vec{p}))\theta(k_F - |\vec{p}|)/2\omega$ $n^{\text{RgFG}}(|\vec{p}|) = \frac{4V}{(2\pi)^3} \int d\omega 2\omega S_h(\omega, \vec{p})$ $= \frac{3A}{4\pi k_F^3} \theta(k_F - |\vec{p}|)$ $n^{\text{LDA}}(|\vec{p}|) = 4 \int \frac{d^3r}{(2\pi)^3} \int d\omega 2\omega S_h(\omega, \vec{p})$ $= 4 \int \frac{d^3r}{(2\pi)^3} \theta(\mathbf{k_F(r)} - |\vec{p}|)$

$$(\int d^3p \, n(|\vec{p}\,|) = A)$$

Convolution approach: C. Ciofi degli Atti, S. Liuti, and S. Simula, PRC 53, 1689 (1996), provide realistic distribution due to short-range correlations !



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reasonable agreement !



Technical parenthesis



$$\widehat{V}_{\pi}(\mathbf{q}) = \sigma_1^i \sigma_2^j \, \vec{\tau}_1 \vec{\tau}_2 V_{ij}^{\pi}(\mathbf{q}), \qquad V_{ij}^{\pi}(\mathbf{q}) = \left(\frac{f}{m_{\pi}}\right)^2 \left(F_{\pi}^2(\mathbf{q})\right) \vec{q}^2 \, D_{\pi}(\mathbf{q}) \, \widehat{q}_i \, \widehat{q}_j$$

longitudinal !!

$$\widehat{V}_{\rho}(\mathbf{q})\mathbf{q} = \sigma_1^i \sigma_2^j \, \vec{\tau}_1 \vec{\tau}_2 V_{ij}^{\rho}(\mathbf{q}), \ V_{ij}^{\rho}(\mathbf{q}) = \left(\frac{f}{m_{\pi}}\right) \left(F_{\rho}^2(\mathbf{q}) \, \vec{q}^2 \, D_{\rho}(\mathbf{q}) C_{\rho}\left(\delta_{ij} - \hat{q}_i \, \hat{q}_j\right)\right)$$

transversal !!

 $F_{\pi,\rho}(q) = \frac{\Lambda_{\pi,\rho}^2 - m_{\pi,\rho}^2}{\Lambda_{\pi,\rho}^2 - q^2}, \qquad q^2 = q^{0^2} - \vec{q}^2, \quad \Lambda_{\pi} = 1250 \text{ MeV} = \Lambda_{\pi}^*, \quad \Lambda_{\rho} = 2500 \text{ MeV} = \Lambda_{\rho}^*$

(off-shell behavior) !!

Because $C_{\rho} = C_{\rho}^*$, $\Lambda_{\pi} = \Lambda_{\pi}^*$ and $\Lambda_{\rho} = \Lambda_{\rho}^*$, the former potentials also describe the $\Delta N \to NN$, $NN \to \Delta N$ and $\Delta \Delta \to NN$

interactions with the following replacements

$$\frac{f}{d_{\pi}} \sigma \tau \rightarrow \frac{f}{m_{\pi}} S T \text{ or } \frac{f}{m_{\pi}} S^{\dagger} T$$

Note $V_{ij}^{\pi}(q) \perp V_{ij}^{\rho}(q)$

Short range correlations: Attributed to the exchange of the ω meson $\frac{1}{m_{\omega}}$ defines the range of the correlations

Correlated potential in coordinate space: $\widetilde{V(r)} = V(r)g(r)$; $g(r) = 1 - j_0(q_c r), q_c \sim m_\omega \sim 783$ MeV

Correlated potential in momentum space: $\widetilde{V(q)} = \int \frac{d^3k}{(2\pi)^3} g\left(\vec{k} - \vec{q}\right) V(\vec{k})$

m

$$g\left(\vec{k}\right) = (2\pi)^3 \,\delta^3\left(\vec{k}\right) - 2\pi^2 \frac{\delta\left(\left|\vec{k}\right| - q_c\right)}{q_c^2}$$

NN potential V(q) =
$$c_0[f_0(\rho) + f'_0(\rho)\vec{\tau}_1\vec{\tau}_2 + g_0(\rho)\vec{\sigma}_1\vec{\sigma}_2] + \vec{\tau}_1\vec{\tau}_2\sum_{i,j=1}^3 \sigma_1^i \sigma_2^j V_{ij}^{\sigma\tau}$$

 $V_{ij}^{\sigma\tau} = (\hat{q}_i\hat{q}_j V_l(q) + (\delta_{ij} - \hat{q}_i\hat{q}_j)V_l(q))$
with $\hat{q}_i = {}^{q_i}/_{|\vec{q}|}$
 $V_l(q^0, \vec{q}) = \frac{f^2}{m_{\pi}^2} \left\{ \left(\frac{\Lambda_{\pi}^2 - m_{\pi}^2}{\Lambda_{\pi}^2 - q^2} \right)^2 \frac{\vec{q}^2}{q^2 - m_{\pi}^2} + g'_l(q) \right\}$
 V_ρ
 $\frac{f^2}{4\pi} = 0.08, \Lambda_{\pi} = 1200 \text{ MeV},$
 $V_l(q^0, \vec{q}) = \frac{f^2}{m_{\pi}^2} \left\{ C_\rho \left(\frac{\Lambda_{\rho}^2 - m_{\rho}^2}{\Lambda_{\rho}^2 - q^2} \right)^2 \frac{\vec{q}^2}{q^2 - m_{\rho}^2} g'_l(q) \right\},$
 $C_\rho = 2, \Lambda_\rho = 2500 \text{ MeV}, m_\rho = 770 \text{ MeV}.$
 $f \Rightarrow f^*$
SRC



The spin-isospin part of the interaction, taking into account the propagation of the mesons through the medium

$$\begin{split} W_{\sigma\tau}(q) &= \sigma_1^i \sigma_2^j \vec{\tau}_1 \vec{\tau}_2 V_{ij}^{\sigma\tau}(q) + \\ \sigma_1^i \sigma_2^j \vec{\tau}_1 \vec{\tau}_2 \left\{ V_{ik}^{\sigma\tau}(q) \, U(q) V_{kj}^{\sigma\tau}(q) \right\} + \\ \sigma_1^i \sigma_2^j \vec{\tau}_1 \vec{\tau}_2 \left\{ V_{ik}^{\sigma\tau}(q) \, U(q) V_{km}^{\sigma\tau}(q) U(q) V_{mj}^{\sigma\tau}(q) \right\} + \\ \dots &= \sigma_1^i \sigma_2^j \vec{\tau}_1 \vec{\tau}_2 W_{ij}^{\sigma\tau}(q) \\ U(q) &= U_N(q) + U_\Delta(q) \\ (direct + crossed terms) \end{split}$$

$$W_{ij}^{\sigma\tau}(q) = \frac{V_l(q)}{1 - U(q)V_l(q)} \ \widehat{q}_i \widehat{q}_j + \frac{V_t(q)}{1 - U(q)V_t(q)} \left(\delta_{ij} - \widehat{q}_i \widehat{q}_j \right)$$

Induced spin-isospin NN interaction in a nuclear medium

Diagrammatically,

 $W_{ij}^{\sigma\tau}(q) = \frac{V_l(q)}{1 - U(q)V_l(q)} \ \widehat{q}_i \widehat{q}_j + \frac{V_t(q)}{1 - U(q)V_t(q)} \left(\delta_{ij} - \widehat{q}_i \widehat{q}_j \right)$

From the spin-isospin interaction, we construct the induced interaction by exciting ph and Δ h components in a RPA sense



<u>QE nuclear corrections</u>: RPA: long range correlations

• Polarization (RPA) effects. Substitute the ph excitation by an RPA response: series of ph and Δh excitations.



1. Effective Landau-Migdal interaction (SRC)

$$V(\vec{r}_{1}, \vec{r}_{2}) = c_{0}\delta(\vec{r}_{1} - \vec{r}_{2}) \left\{ f_{0}(\rho) + f_{0}'(\rho)\vec{\tau}_{1}\vec{\tau}_{2} + g_{0}(\rho)\vec{\sigma}_{1}\vec{\sigma}_{2}\vec{\tau}_{1}\vec{\tau}_{2} \right\}$$

$$Isoscalar terms do not contribute to CC$$
2. $S = T = 1$ channel of the ph - ph interaction \rightarrow s longitudinal (π) and transverse (ρ) + SRC
$$g_{0}'\vec{\sigma}_{1}\vec{\sigma}_{2}\vec{\tau}_{1}\vec{\tau}_{2} \rightarrow [V_{l}(q)\hat{q}_{i}\hat{q}_{j} + V_{t}(q)(\delta_{ij} - \hat{q}_{i}\hat{q}_{j})]\sigma_{1}^{i}\sigma_{2}^{j}\vec{\tau}_{1}\vec{\tau}_{2}$$

$$V_{l,t}(q) = \frac{f_{\pi NN,\rho NN}}{m_{\pi,\rho}^{2}} \left(F_{\pi,\rho}(q^{2})\frac{\vec{q}^{2}}{q^{2} - m_{\pi,\rho}^{2}} + g_{l,t}'(q)\right)$$

3. Contribution of Δh excitations important







RPA effects in integrated decay rates or cross sections become significantly smaller when SF corrections are also considered





JN and J.E. Sobzcyk Annals Phys. 383 (2017) 455

Inclusive Muon Capture: $\Gamma\left[(A_Z - \mu^-)^{1s}_{\text{bound}}\right]$

Nucleus Pa	auli (10^4 s)	$^{-1}$) RPA (10 ⁴ s ⁻¹) S	$3F (10^4 s^{-1})$) SF+RPA (10^4 s^{-1})	Exp. (10^4 s^{-1})
$^{12}\mathrm{C}$	5.76	3.37 ± 0.16	3.22	3.19 ± 0.06	3.79 ± 0.03
¹⁶ O	18.7	10.9 ± 0.4	10.6	10.3 ± 0.2	10.24 ± 0.06
¹⁸ O	13.8	8.2 ± 0.4	7.0	8.7 ± 0.1	8.80 ± 0.15
²³ Na	64.5	37.0 ± 1.5	30.9	34.3 ± 0.4	37.73 ± 0.14
40 Ca	498	272 ± 11	242	242 ± 6	252.5 ± 0.6

The inclusive ¹²C(ν_{μ}, μ^{-})X and ¹²C(ν_{e}, e^{-})X reactions near threshold

40-40 2		Pauli	RPA	SF	SF+RPA	SM	SM	CRPA	Experiment		
Flux-						[125]	[44]	[45]	LSND [115]	LSND [116]	LSND [117]
averaged	$\left[ar{\sigma}(u_{\mu},\mu^{-}) ight]$	23.1	13.2 ± 0.7	12.2	9.7 ± 0.3	3.2	15.2	19.2	$8.3\pm0.7\pm1.6$	$11.2\pm0.3\pm1.8$	$10.6 \pm 0.3 \pm 1.8$
cross									KARMEN [120]	LSND [118]	LAMPF [119]
sections	$\bar{\sigma}(\nu_e, e^-)$	0.200	0.143 ± 0.006	0.086	0.138 ± 0.004	0.12	0.16	0.15	$0.15 \pm 0.01 \pm 0.01$	0.15 ± 0.01	0.141 ± 0.023

[125]: Hayes & Towner, PRC61, 044603;

[44]: Volpe et al., PRC62, 015501; [45]: Kolbe et al., J. Phys. G29, 2569



Spectral Functions (SRC) populate neither the **<u>dip</u>** nor the Δ regions

- Spectral Function (SF) + Final State Interaction (FSI): dressing up the nucleon propagator of the hole (SF) and particle (FSI) states in the ph excitation
 - Change of nucleon dispersion relation: * hole \Rightarrow Interacting Fermi sea (SF) * particle \Rightarrow Interaction of the ejected nucleon with the final nuclear state (FSI) $G(p) \to \int_{-\infty}^{\mu} d\omega \frac{S_h(\omega, \vec{p}\,)}{p^0 - \omega - i\epsilon} + \int_{-\infty}^{+\infty} d\omega \frac{S_p(\omega, \vec{p}\,)}{p^0 - \omega + i\epsilon}$ The hole and particle spectral functions are related to nucleon self-energy Σ in the

р

p+q

- $\frac{n(\vec{p}\,)}{n^0 \varepsilon(\vec{p}) i\epsilon} + \frac{1 n(\vec{p}\,)}{n^0 \varepsilon(\vec{p}) + i\epsilon}$ G(p) =

medium,



Excitation of $\Delta(1232)$ degrees of freedom, T = 3/2 and $J^P = 3/2^+$

- energy transfer should be sufficiently large...
 - because of the large $\pi N\Delta$ coupling, the properties of pion and Δ inside of a nuclear medium become important



first ingredient $W^{\pm}N \rightarrow N'\pi$ (or $Z^0N \rightarrow N'\pi$ or $\gamma N \rightarrow N'\pi$) in <u>vacuum</u>, after nuclear corrections should be included.....









N



N'

EFT involving pions and nucleons which implements:

- non-resonant background determined by chiral symmetry and its pattern of spontaneous breaking
- <u>unitarity</u> in the dominant multipoles
- + crossing symmetry+ N(1520)
 + phenomenological q² form-factors

Hernández+ JN+Valverde PRD76 (2007) 033005 PRD81 (2010) 085046 (deuteron effects in data) PRD93 (2016) 014016 (Watson's theorem) PRD95 (2017) 053007 (local terms and the $n\pi^+$ channel) PRD98 (2018) 073001 (comparison DCC model, T. Sato et al)





off nucleons





ь

 E_{γ} [MeV]











nuclear effect: populates the dip region and not dominated by the $\Delta(1232)$ driven mechanisms



Two cuts: $\gamma^* NN \rightarrow NN$ $\gamma^* N \rightarrow N\pi$ (dressed)

> Gil+Nieves+Oset., NPA 627 (1997) 543 (extension of Carrasco+Oset NPA 536 (1992) 445 for real photons)



work (1985-1993) in pion physics



 $2\omega V_1^{(s)}(\mathbf{r}) = -4\pi [(1+\varepsilon)(b_0 + \Delta b_0(\mathbf{r}))f(T)\rho + (1+\varepsilon)b_1(\rho_n - \rho_p)$ $+ i(\operatorname{Im} B_0(1 + \frac{1}{2}\varepsilon)2(\rho_p^2 + \rho_p\rho_n) + \operatorname{Im} B_0^Q(T)(1 + \frac{1}{2}\varepsilon)\rho^2)]$

$$2\omega V_{\text{opt}}^{(\text{p})}(\boldsymbol{r}) = 4\pi \frac{M_{\text{N}}}{s} \left[\nabla \frac{P(\boldsymbol{r})}{1 + 4\pi g' P(\boldsymbol{r})} \nabla - \frac{1}{2} \varepsilon \Delta \left(\frac{P(\boldsymbol{r})}{1 + 4\pi g' P(\boldsymbol{r})} \right) \right]$$



Pionic

 π

(ε,Γ)

N

atoms

Precise experimental measurements : shifts $\varepsilon = B_{exp} - B_{em}$ and widths Γ . Information on the pion-nucleus interaction [Nieves+Oset+ García-Recio, NPA 554 (1993) 509]



π^{\pm} – nucleus reactions at low energies



pions at these energies are non-resonant [kinetic energies well below production of $\Delta(1232)$]
Absorption + Quasielastic= Reaction cross section





and by means of a Monte Carlo simulation we obtain cross sections for the processes (e, e'N), (e, e'NN), $(e, e'\pi)$, ...





 $\gamma + {}^{208}\text{Pb} \to X + \pi^{\pm}$



 $\gamma + A \to X$



PRC 83 (2011) 045501 $[M_A = 1.049 \text{ GeV}]$

MICROSCOPIC MODEL: PREDICTIONS (NO FITTED PARAMETERS) FROM THE QE to the Δ PEAKS, INCLUDING THE DIP REGION





MiniBooNE <u>CCQE-like</u> double differential cross section $\frac{d^2\sigma}{dT_{\mu}d\cos\theta_{\mu}}$

We define a **merit function** and consider our QE+2p2h results

$$\chi^{2} = \sum_{i=1}^{137} \left[\frac{\lambda \left(\frac{d^{2} \sigma^{exp}}{dT_{\mu} d \cos \theta} \right)_{i} - \left(\frac{d^{2} \sigma^{th}}{dT_{\mu} d \cos \theta} \right)_{i}}{\lambda \Delta \left(\frac{d^{2} \sigma}{dT_{\mu} d \cos \theta} \right)_{i}} \right]^{2} + \left(\frac{\lambda - 1}{\Delta \lambda} \right)^{2},$$

that takes into account the global normalization uncertainty ($\Delta \lambda = 0.107$) claimed by the MiniBooNE collaboration.

We fit λ to data with a <u>fixed value</u> of M_A (=1.049 GeV). We obtain $\chi^2/\#$ bins =52/137 with $\lambda = 0.89 \pm 0.01$.

The microscopical model, with no free parameters, agrees remarkably well with data! The shape is very good and χ^2 strongly depends on λ , which is strongly correlated with M_A .





combination of both nuclear mechanisms!





Inclusive

some discrepancies between QE+2p2h and MiniBooNE flux-unfolded cross section the caused by neutrino energy reconstruction procedure used to pass from flux-folded to flux-unfolded data

MB neutrino and antineutrino 2D dataset is, however, reasonably described by the combination of both nuclear mechanisms

Neutrino Energy Reconstruction:

QE: $v_{\mu} + n \rightarrow p \mu^{-}$ (bound in the nucleus) $E_{rec} = \frac{ME_{\mu} - m_{\mu}^{2}/2}{M - E_{\mu} + |\vec{p}_{\mu}| \cos \theta_{\mu}}$ Exp QE-like problem \Rightarrow absorbed or not detected real pions and... exp: only 1 μ (from the lepton vertex). But,

for instance if pions are produced:

- pion decays and the extra muon is detected (2 muons in the final state)
- pion is absorbed or not detected
 (MC corrected if the pion production cross section is well known...)



Neutrino Energy Reconstruction:



Neutrino energy reconstruction

Neutrino beams ARE NOT monochromatic. For QE-like events, only the charged lepton is observed and the only measurable quantities are then its direction (scattering angle θ_{μ} with respect to the neutrino beam direction) and its energy E_{μ} . The energy of the neutrino that has originated the event is unknown. Assuming QE dynamics is defined a "reconstructed" energy

 $E_{\rm rec} = \frac{ME_{\mu} - m_{\mu}^2/2}{M - E_{\mu} + |\vec{p}_{\mu}|\cos\theta_{\mu}}$

(genuine quasielastic event on a nucleon at rest, ie. $E_{\rm rec}$ is determined by the QE-peak condition $q^0 = -q^2/2M$). Note that each event contributing to the flux averaged double differential cross section $d\sigma/dE_{\mu}d\cos\theta_{\mu}$ defines <u>unambiguously</u> a value of $E_{\rm rec}$. The actual ("true") energy, E, of the neutrino that has produced the event will not be exactly $E_{\rm rec}$.

Flux-folded $d\sigma/dT_{\mu}d\cos\theta_{\mu} \stackrel{!}{\hookrightarrow}$ CCQE-like unfolded $\sigma(E)$

Unfolding procedure needs theoretical input!

$$P_{\text{true}}(E) = \int dE_{\text{rec}} \underbrace{P_{\text{rec}}(E_{\text{rec}})}_{\text{EXP}} \underbrace{P(E|E_{\text{rec}})}_{theory!}$$

 $P_{\rm rec}(E_{\rm rec})$ is the *pd* of measuring an event with reconstructed energy $E_{\rm rec}$. $P(E|E_{\rm rec})$ is, given an event of reconstructed energy $E_{\rm rec}$, the conditional *pd* of being produced by a neutrino of energy *E*. ...using Bayes's theorem $P(E|E_{\rm rec})$ could be related to





given a true neutrino energy E, there is a distribution of reconstructed energies E_{rec}





wrongly unfolded cross section

the algorithm used to reconstruct the neutrino energy is not adequate when dealing with quasielastic-like events, and a distortion of the total flux-unfolded cross-section shape is produced. This amounts to a redistribution of strength from high to low energies, which gives rise to a sizable excess (deficit) of low (high) energy neutrinos. This distortion of the shape leads to a good description of the unfolded charged MiniBooNE current quasielastic-like cross sections published by the MiniBooNE Collaboration

wrongly unfolded 2p2h cross section

JN, F. Sánchez, I Ruiz-Simo, M.J. Vicente-Vacas PD85 (2012) 113008



NEUT 5.4.0 LFG_N+RPA w/ 2p2h_N, χ²=371.3

Measurement of the cross section as a function of the muon kinematics when there are no protons (with momenta above 500 MeV).

good agreement with T2K data!

T2K: PRD 98 032003 (2018)



The data make clear two distinct multinucleon effects that are essential for complete modeling of neutrino interactions at low momentum transfer. The 2p2h model tested in this analysis improves the description of the event rate in the region between QE and Δ peaks, and the rate for multiproton events, but does not go far enough to fully describe the data. Oscillation experiments sensitive to energy reconstruction effects from these events must account for this event rate. The cross section presented here will lead to models with significantly improved accuracy.

MINERvA: CCQE-like (hadron calorimetry)

PRL 116, 071802 (2016)

Hadronic energy spectrum: The IFIC Valencia 2p2h model increases the predicted event rates, but not enough. This process is increased further with an empirical enhancement based on MINERvA inclusive neutrino data. The additional events are from weighting up the generated 2p2h events according to a two-dimensional Gaussian in true q0, q3, whose six parameters are fit to the neutrino data version of these distributions. This enhancement adds 50% to the predicted 2p2h strength, but it targets the event rate in the kinematic region between the CCQE and Δ peaks where the rate doubles.

MINERvA (Antineutrino Charged-Current Reactions on Hydrocarbon with Low Momentum Transfer): PRL (2018) 221805

We therefore enhance the 2p2h prediction from the Nieves model in a specific region. Integrated overall phase space the rate of 2p2h is increased by 53%.

MINERvA (Measurement of Quasielastic-Like Neutrino Scattering at $\langle E_{\nu} \rangle \sim 3.5$ GeV on a Hydrocarbon Target) <u>Phys.Rev.D 99 (2019) 1, 012004</u>







Τ2Κ CC0*π*

MINERvA CC 0π













PHYSICAL REVIEW C 102, 024601 (2020)

previous results are confirmed & distributions of momenta of the outgoing nucleons in the first step

Exclusive-final-state hadron observables from neutrino-nucleus multinucleon knockout

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We present results of an updated calculation of the two particle two hole (2p2h) contribution to the neutrinoinduced charge-current cross section. We provide also some exclusive observables, interesting from the point of view of experimental studies, e.g. distributions of momenta of the outgoing nucleons and of available energy, which we compare with the results obtained within the NEUT generator. We also compute, and separate from the total, the contributions of 3p3h mechanisms. Finally, we discuss the differences between the present results and previous implementations of the model in MC event generators, done at the level of inclusive cross sections, which might significantly influence the experimental analyses, particularly in the cases where the hadronic observables are considered.

Conclusions

- CONSISTENT MICROSCOPIC DESCRIPTION of the QE, DIP AND Δ , REGIONS BECOMES FUNDAMENTAL BECAUSE NEUTRINO BEAMS ARE NOT MONOCHROMATIC
 - ✓ SFs are responsible for the quenching of the QE peak, produce a spreading of the strength of the response functions to higher energy transfers and shift the peak position in the same direction. The overall result is a decrease of the integrated cross sections and a considerable change of the differential shapes.
 - ✓ RPA effects in integrated decay rates or cross sections become significantly smaller when SF corrections are also taken into account, in sharp contrast to the case of a free LFG where they lead to large reductions, even of around 40%.
 - ✓ 2p2h: necessary ingredients i) $W^{\pm}N \rightarrow N'\pi$ (or $Z^0N \rightarrow N'\pi$ or $\gamma N \rightarrow N'\pi$) in <u>vacuum</u> and ii) effective NN interaction in the <u>medium</u>: π + ρ +SRC+RPA+...

 \checkmark properties of pions and Δ inside of a nuclear medium become essential to describe the resonance region

 We have analyzed the MiniBooNE CCQE 2D cross section data using a theoretical model that has proved to be quite successful in the analysis of nuclear reactions with electron, photon and pion probes and contains no additional free parameters.

✓ RPA and multinucleon knockout have been found to be essential for the description of the data.

- ✓ MiniBooNE ν and $\bar{\nu}$ CCQE-like data are fully compatible with former determinations of M_A in contrast with several previous analyses. We find, $M_A = 1.08 \pm 0.03$ GeV.
- ✓ The MiniBooNE ν_{μ} flux could have been underestimated (~ 10%).
- Because of the multinucleon mechanism effects, the algorithm used to reconstruct the neutrino energy is not adequate when dealing with QE-like events.
- ✓ nucleon-nucleon correlation effects in the RPA series yields a much larger shape distortion toward relatively more high- q^2 interactions, with the 2p2h component filling in the suppression at very low q^2

<u>2018-2021</u>: 2p2h+RPA nuclear model describes fairly well MINERVA-T2K inclusive CCO π data. Problems with MINERvA persist in available hadron energy distributions (2p2h contributions need to be substantially enhanced!), perhaps related with pion production data...

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π^{\pm} – nucleus reactions at low energies

- π^{\pm} nucleus reactions [Nieves+Oset+García-Recio NPA 554 (1993) 554] $\checkmark \pi^{\pm} A_Z \rightarrow \pi^{\pm} A_Z$ [elastic] $\checkmark \pi^{\pm} A_Z \rightarrow \pi' X$ [quasielastic] $\checkmark \pi^{\pm} A_Z \rightarrow X$ (no pions) [absorption]
- Determination of neutron distributions from pionic atom data [García-Recio+Nieves+Oset NPA 547 (1992) 473]
- •
- Radiative pion capture [Chiang +Oset+Carrasco+Nieves+Navarro, NPA 510 (1990) 573] $(\pi^{-}A_{Z})_{bound} \rightarrow \gamma X$
- Chiral symmetry restoration [García-Recio+Nieves+Oset PLB 541 (2002) 64]

 $f_{\pi}(\rho)/f_{\pi} \to 0, \rho >> 0$



NEUT 5.4.0 LFG_N+RPA w/ 2p2h_N, χ²=371.3

Measurement of the cross section as a function of the proton multiplicity (top left) and as a function of proton and muon kinematics where there is exactly one proton (with momentum above 500 MeV).

good agreement with T2K data!

T2K: PRD 98 032003 (2018)





MINERvA: $\bar{\nu}$ -CCQE-like

...addition of RPA and 2p2h effects to the simulation substantially improves agreement with the MINERvA QE-like data over default GENIE. Addition of either RPA or 2p2h alone is not sufficient. However, substantial discrepancies between the improved model and data remain, indicating that more model development is needed.

C.E. Patrick et al., PRD97 (2018) 052002





Comparison of σ_{μ}/σ_{e} in ⁴⁰Ar

Final remark:

• Important deviations from 1 (150 $MeV < E_{\nu} < 400 MeV$)

• SFs & RPA effects strongly affect the ratio and become essential to perform a correct analysis of appearance neutrino oscillation events in LBE.

Nuclear effects lead to sizable uncertainties on the neutrino nucleus cross sections at low $-q^2 = Q^2 < 1 \text{ GeV}^2$

It is important to incorporate these effects in event generators (GENIE, etc..)



Motivation: <u>Neutrino oscillations, neutrino detectors</u> and nuclear cross sections

Details on the axial structure of hadrons in the free space and inside of nuclei



Theoretical knowledge of QE and 1π cross sections is important to carry out a precise neutrino oscillation data analysis... $^{12}C \rightarrow Liquid$ scintillators $^{16}O \rightarrow Cerenkov,$ nuclear

emulsion detectors ${}^{40}A \rightarrow TPC$'s (time projection chambers)



Z





e SuperKamiokande





 $\leftarrow \pi^0 \quad \beta > \frac{1}{n} \rightarrow E_{\pi,\mu} > 200 - 300 \text{ MeV}$

<u>Pion production</u> \rightarrow misidentification of 1 Cherenkov ring events that are assumed to be produced by Charged Current (CC) QE reactions $\nu_{\alpha} A \rightarrow l^{\alpha} A'$

Even distinguishing between μ - and e-like rings

- Appearance Probability $P(\nu_{\mu} \rightarrow \nu_{e})$: The CC QE signature $\nu_{e}A \rightarrow e A'$ used to identify ν_{e} can be confused with the NC 1π production $\nu_{\mu}A \rightarrow \nu_{\mu}A'\pi^{0}$
- Survival Probability $P(\nu_{\mu} \rightarrow \nu_{\mu})$: The CC QE signature $\nu_{\mu}A \rightarrow \mu A'$ used to identify ν_{μ} can be confused with the CC or NC $\nu_{\mu,\tau}A \rightarrow (\nu_{\mu,\tau} \text{ or } \mu, \tau)A'\pi$ when only <u>one</u> of the particles emits Cherenkov light. For instance, processes (ν_{μ} , μ, π) might produce an <u>incorrect reconstruction of the neutrino energy</u> $E \rightarrow$ L/E analysis ?

Nuclear cross sections are crucial to reduce the systematic errors of oscillation analysis !

Dedicated experiments as MINER_VA (FermiLab), which seeks to measure low energy neutrino interactions both in support of neutrino oscillation experiments and also to study the strong dynamics of the nucleon and nucleus that affect these interactions


Quantitative impact in the determination of the oscillation parameters

Effects of a simple model for QE-like events

$$N_i^{\text{test}}(\alpha) = \alpha \times N_i^{\text{QE}} + (1 - \alpha) \times N_i^{\text{QE-like}}$$

 α parametrizes the fraction of twonucleon absorption that is neglected in the fit

P. Coloma, P. Huber, PRL 111 (2013)



Reconstructed from naive QE dynamics



Systematic uncertainties in longbaseline neutrino-oscillation experiments, Artur M Ankowski and Camillo Mariani, J.Phys.G 44 (2017) 054001

MiniBooNE CCQE (PRD 81, 092005)



Target





ChPT O(p^3) + single pion electroproduction data: M_A = 1.014 \pm 0.016 GeV (V. Bernard, N. Kaiser, and U. G.Meissner, PRL69, 1877 (1992))

• CCQE measurements on deuterium and, to lesser extent, hydrogen targets is $M_A = 1.016 \pm 0.026$ GeV (A. Bodek, S. Avvakumov, R. Bradford, and H. S. Budd, EPJC 53, 349 (2008))



...but key observation (Martini et al., PRC 81, 045502): in most theoretical works QE is used for processes where the gauge boson W^{\pm} or Z^{0} is absorbed by just one nucleon, which together with a lepton is emitted.

However in the recent MiniBooNE measurements, QE is related to processes in which only a muon is detected (ejected nucleons are not detected !) \equiv CCQE-like It discards pions coming off the nucleus, since they will give rise to additional leptons after their decay.

It includes multinucleon processes and others like π production followed by absorption (MBooNE analysis Monte Carlo corrects for these latter events).

CCQE on 12 C



O. Benhar@NuFacT11: [arXiv : 1110.1835] measured electron-carbon scattering cross sections for a fixed outgoing electron angle $\theta = 37^{\circ}$ and different beam energies \in [730, 1501] GeV, plotted as a function of E_e ,



The energy bin corresponding to the top of the QE peak at $E_e = 730$ MeV receives significant contributions from cross sections corresponding to different beam energies and different mechanisms!

problem: incoming neutrino beam is not monochromatic



$$\begin{bmatrix} \langle \sigma \rangle P_{\rm rec}(E_{\rm rec}) \end{bmatrix}_{\rm Exp} \sim \\ \int \left(\frac{d\sigma}{dE_{\rm rec}}(E'; E_{\rm rec}) \right|_{\rm QE+RPA,}^{M_A=1.049 \text{ GeV}} \\ + \frac{d\sigma^{2\rm p2h}}{dE_{\rm rec}}(E'; E_{\rm rec}) \right) \Phi(E') dE' \end{cases}$$

... and

$$\underbrace{\left[\frac{d\sigma/dE_{\rm rec}(E;E_{\rm rec})}{\int dE''\Phi(E'')d\sigma/dE_{\rm rec}(E'';E_{\rm rec})}\right]}$$

ONLY QE $, \mathbf{M_A}{=}1.32~\mathrm{GeV}$ and noRPA



Martini, Ericson, Chanfray [Phys.Rev. D87 (2013), 013009]

Juan Nieves, IFIC (CSIC & UV)

The simplest description \Rightarrow relativistic Fermi Gas with non interacting fermions $\Sigma = 0$,

$$S_{p}(\omega, \vec{p}) = \frac{\theta(|\vec{p}| - k_{F})}{2E(\vec{p})}\delta(\omega - E(\vec{p}))$$
$$S_{h}(\omega, \vec{p}) = \frac{\theta(k_{F} - |\vec{p}|)}{2E(\vec{p})}\delta(\omega - E(\vec{p}))$$

and only Pauli blocking is incorporated!!

$$k_F^{p,n}(r) = [3\pi^2 \rho^{p,n}(r)]^{1/3}$$
 vs $k_F^{p,n}$ = cte ?



Local vs Global Fermi Gas ? $k_F(r) = \left[3\pi^2 \rho(r)/2\right]^{1/3}$ vs k_F = cte ? $S_h(\omega, \vec{p}) = \delta(\omega - E(\vec{p}))\theta(k_F - |\vec{p}|)/2\omega$ $n^{\text{RgFG}}(|\vec{p}|) = \frac{4V}{(2\pi)^3} \int d\omega 2\omega S_h(\omega, \vec{p})$ $= \frac{3A}{4\pi k_F^3} \theta(k_F - |\vec{p}|)$ $n^{\text{LDA}}(|\vec{p}|) = 4 \int \frac{d^3r}{(2\pi)^3} \int d\omega 2\omega S_h(\omega, \vec{p})$ $= 4 \int \frac{d^3r}{(2\pi)^3} \theta(\mathbf{k_F}(\mathbf{r}) - |\vec{p}|)$

$$(\int d^3p \, n(|\vec{p}\,|) = A)$$

Convolution approach: C. Ciofi degli Atti, S. Liuti, and S. Simula, PRC 53, 1689 (1996), provide realistic distribution due to short-range correlations !



Figure 3. Left: number of events as function of the E_m^{IA} energy for neutrino scattering off ¹²C within the LFG model. Both the 1p1h (eq. (2.8)) and 2p2h (eq. (3.3)) E_m^{IA} distributions are shown by the blue-solid and red-dashed lines, respectively. The gap between the two distributions is caused by the excitation energy of the two holes in the final state. As in figure 1, results have been folded with the T2K neutrino energy flux. Center: probability to find a neutron in carbon with a momentum (p_n) for a given reaction missing energy (E_m^{IA}) (see eq. (2.8)) as predicted by the SF model [9–11] (contour plot) and for this implementation of the LFG (box plot). Right: LFG predictions corresponding to the box plot displayed in the middle panel. In all cases, the T2K flux [3] is used.

probability to find a neutron in carbon with a momentum (p_n) for a given reaction missing energy E_m^{IA} (T2K flux)

le panel. In all cases, the T2K $E_m^{IA} = E_{\nu} - E_{\mu} - T_{N'}^{\infty}$ $E_m^{IA}\Big|_{2p2h} = E_{\nu} - E_{\mu} - T_{N'_1}^{\infty} - T_{N'_2}^{\infty}$



Superscaling function does not take into account <u>dip region</u> events

Superscaling approach: Inclusive electron scattering data exhibit interesting systematics that can be used to predict (anti)neutrino-nucleus cross sections (T. Donnelly and I. Sick, PRL 82, 3212 (1999)),

$$f = k_F \frac{\frac{d\sigma}{d\Omega' dE'}}{Z\sigma_{ep} + N\sigma_{en}}$$

•
$$f = f(\psi')$$
, with $\psi' = \psi'(q^0, |\vec{q}|)$

• *f* is largely independent of the specific nucleus

Scaling violations reside mainly in R_T : excitation of resonances, meson production, 2p2h mechanisms and even the tail of DIS. An experimental scaling function $f(\psi')$ could be reliably extracted by fitting the data for R_L .

 ν QE cross sections can be calculated with the simple RgFG model followed by the replacement $f_{RgFG} \rightarrow f_{exp}$.



Figure 18. Left: CC inclusive MINERvA $[|\vec{q}| = |\vec{p}_{\nu} - \vec{p}_{\mu}|, q^0 = (E_{\nu} - E_{\mu})]$ 2D distribution predicted by the NEUT CC inclusive event generator. The black solid lines mark fix ψ' values across the $(q^0, |\vec{q}|)$ -plane. Right: ψ' distribution of events obtained from the 2D one shown in the left-panel, and separated by the primary neutrino-nucleon interaction modes.

Dependence of the 2p2h contribution on $\cos \theta_{\mu}$





Differences with the work of Martini et al. (PRC80,065501)

1. Similar for the 2p2h contributions driven by Δh excitation (both groups use the same model for the Δ -selfenergy in the medium).

(1)

(4)

(2)

(3)

Martini et al. model does not

interference contributions !

account for all <u>axial</u> and <u>axial-vector</u>

(6)

(3')

- 2. Martini et al. do not consider 2p2h contributions driven by contact, pion pole and pion in flight terms.
- 3. Martini et al. give approximate estimates (no microscopical calculation) for the rest of 2p2h contributions [relate them to the absorptive part of the *p*-wave pion-nucleus optical potential at threshold or to a microscopic calculation by Alberico et al. (Annals Phys. 154, 356) specifically aimed at the evaluation of the 2p-2h contribution to the isospin spin-transverse response, measured in inclusive (*e*, *e'*) scattering].

This 2p2h parametrization includes MEC effects driven by the vector current !



Martini et al., predictions look consistent with MiniBooNE data ..., but their estimate rely on some computation of the 2p2h mechanisms for (e, e')(Alberico et al.,) \Rightarrow no info on axial part of the interaction!



...however our predictions for the 2p2h contribution would favor a global normalization scale of about 0.9. This would be consistent with the Mini-BooNE estimate of a total normalization error of 10.7%.





2

 $\gamma^*N \to \pi N$

Meson Exchange Contribution







MEC/ISC term



MEC-ISC interference term

number of hole lines (density) = 3

 π





Important ? Benhar, Lovato, Rocco [PRC 92 (2015) 024602]

- IFIC 2p2h calculation does not incorporate these terms.
- Martini et al.
 predictions are based
 on a 2p2h calculation
 for (e, e'X) [Alberico
 et al.,] that accounts
 for such contributions
 (only vector current)

$\mathbf{MINER}\nu\mathbf{A}$



PHYSICAL REVIEW D 88, 113007 (2013)



There exist some discrepancies between theoretical predictions and data!

Figure 15. MiniBooNE flux-folded differential $d\sigma/dp_{\pi}$ cross section for CC1 π^0 production by ν_{μ} in mineral oil. Data are from [27]. Left: predictions from the cascade approach of [184]. The solid curve corresponds to the full model and the dashed one stands for the results obtained neglecting FSI effects. Right: predictions from the GiBUU transport model of [207]. The dashed curves give the results before FSI, the solid curves those with all FSI effects included. Two different form factors $C_5^A(q^2)$, tuned to the ANL and BNL data-sets have been employed and give rise to the systematic uncertainty bands displayed in the figure.

New J.Phys. 16 (2014) 075015



O. Lalakulich, U. Mosel, PRC 87 (2013)

E. Hernandez, J. Nieves M.J. Vicente-Vacas, PRD 87 (2013)

Problems to describe pion production in nuclei (FSI, coherent production ...) MINERvA and T2K will shed light



U. Mosel and K. Gallmeister (GiBUU), 1702.04932: Comparison to MINERvA data with $W_{\pi N} < 1.4$ GeV



MINERvA and MiniBooNE data compatible?



Pion selfenergy: first approximation πNN vertex

Chiral symmetry

S-wave (Weinberg-Tomozawa) 📩 P-wave

$$\psi(x): SU(2) = bi-Spinor: \begin{bmatrix} \psi_p(x) \\ \psi_n(x) \end{bmatrix}$$

 $\psi_p(x): \phi_p(x) = bi-Spinor: \begin{bmatrix} \psi_p(x) \\ \psi_n(x) \end{bmatrix}$
 $\psi_p(x): \phi_p(x) = \frac{1}{\sqrt{2}} (\phi_p(x) - i \phi_2(x)) ; \phi_p(x) = \phi_q(x)$

de (N) CREATES A TO ANNIHULATES A TO MESON. [J.D. Bjorken and S.D. Drell, Relativistic Quantum Fields, McGraw-Hill, New York, 1965]



S-wave selfenergy: small for isoscalar nuclei !

The pion cannot only excite nucleons above the Fermi sea, but it can also excite the internal degrees of freedom of the nucleon since it is a composite particle made out of quarks. Hence a nucleon can be converted into a Δ , N^* , Δ^* , etc..

▲(1232) [spin 3/2 and isospin 3/2] plays an important role at intermediate energies because of its lower mass and strong coupling → contribution to I?

$$\mathcal{L}_{\pi N\Delta} = \frac{f^*}{m_{\pi}} \bar{\Psi}_{\mu} \vec{T}^{\dagger} (\partial^{\mu} \vec{\phi}) \Psi + \text{h.c.}$$

where Ψ_{μ} is a <u>Rarita-Schwinger</u> $J^{\pi} = 3/2^+$ field, \vec{T}^{\dagger} is the isospin transition operator from isospin 1/2 to 3/2, and $f^* = 2.13 \times f = 2.14$.



Some technical aspects about the Δh excitation

•
$$\left\langle \frac{3}{2} M_T \left| T_{\nu}^{\dagger} \right| \frac{1}{2} m_T \right\rangle = \left(\frac{1}{2}, 1, \frac{3}{2} \left| m_T, \nu, M_T \right\rangle \left\langle \frac{3}{2} \left| \left| T_{\nu}^{\dagger} \right| \right| \frac{1}{2} \right\rangle$$
 (Wigner-Eckart)

•
$$\Delta$$
 propagator (unstable particle)

$$G^{\mu\nu}(p_{\Delta}) = \frac{P^{\mu\nu}(p_{\Delta})}{p_{\Delta}^{2} - M_{\Delta}^{2} + iM_{\Delta}\Gamma_{\Delta}}, \quad P^{\mu\nu}(p_{\Delta}) = -(\not p_{\Delta} + M_{\Delta}) \left[g^{\mu\nu} - \frac{1}{3} \gamma^{\mu} \gamma^{\nu} - \frac{2}{3} \frac{p_{\Delta}^{\mu} p_{\Delta}^{\nu}}{M_{\Delta}^{2}} + \frac{1}{3} \frac{p_{\Delta}^{\mu} \gamma^{\nu} - p_{\Delta}^{\nu} \gamma^{\mu}}{M_{\Delta}} \right],$$

$$\Gamma_{\Delta}(s) = \frac{1}{6\pi} \left(\frac{f^{*}}{m_{\pi}} \right)^{2} \frac{M}{\sqrt{s}} \left[\frac{\lambda^{1/2}(s, m_{\pi}^{2}, M^{2})}{2\sqrt{s}} \right]^{3} + \frac{1}{3} \frac{p_{\Delta}^{\mu} \gamma^{\nu} - p_{\Delta}^{\nu} \gamma^{\mu}}{M_{\Delta}} \right],$$

$$\times \Theta(\sqrt{s} - M - m_{\pi}), \quad s = p_{\Delta}^{2},$$

$$(\pi N \ CM \ momentum)^{3}$$

 $\lambda(x, y, z) = x^2 + y^2 + z^2 - 2xy - 2xz - 2yz$

Some technical aspects about the Δh excitation: non-relativistic expressions

- $\pi N\Delta$ transition: $H_{\pi N\Delta} = \frac{f^*}{\mu} \Psi_N^{\dagger}(x) S_i \partial_i \phi^{\lambda}(x) T^{\lambda} \Psi_{\Delta}(x) + h.c.,$
- Δ propagator

spin transition operator.

 $G_{\Delta}(k) = \frac{1}{k^0 - w_R - T_{\Delta} + \frac{1}{2}i\Gamma_{\Delta}}$ with $w_R = M_{\Delta} - M_N$, T_{Δ} the Δ kinetic energy :

The pion selfenergy reads: $\Pi(q) = \frac{f^2}{m_{\pi}^2} \vec{q}^2 U(q)$ with $U(q) = U_N(q) + U_{\Delta}(q)$

$$U_{\Delta}(q) = -i\left(\frac{4}{3}\right)^{2} \left(\frac{f^{*}}{f}\right)^{2} \int \frac{d^{4}k}{(2\pi)^{4}} \left[G^{0}(k)G_{\Delta}(k+q) + G^{0}(k)G_{\Delta}(k-q)\right]$$



Remarks:

• External γ can also excite Δ h components







Remarks:

- V is an effective ph-ph, ph-∆h and ∆h-∆h interaction in the nuclear medium
- $V=\pi + \rho + other mesons$ (Short Range Correlations). Starting point $\pi N \rightarrow \pi N$ in free space (πNN and $\pi N\Delta$ couplings) [Ericson+Weise, Pions in nuclei] and NN \rightarrow NN Bonn potential



<u>NN Interaction</u>: π + ρ exchange + short range correlations (Oset+Weise 1979)

$$H_{\rho NN}(q) = i \frac{f_{\rho}}{m_{\rho}} (\vec{\sigma} \times \vec{q}) \vec{\epsilon} \tau^{\lambda} \qquad \left[L_{\rho NN}(x) = -g_{\rho NN} \bar{\psi} \left(\gamma^{\mu} - \frac{k}{2M} \sigma^{\mu\nu} \partial_{\nu} \right) \vec{\tau} \vec{\rho}_{\mu} \psi \right]$$

$$H_{\rho N\Delta}(q) = i \frac{f_{\rho}^{*}}{m_{\rho}} (\vec{S}^{\dagger} \times \vec{q}) \vec{\epsilon} T^{\dagger \lambda} \qquad \rho_{\Lambda} \Delta (1232)$$

$$C_{\rho} = \frac{f_{\rho}^{2}/m_{\pi}^{2}}{f^{2}/m_{\pi}^{2}} \sim 2, \qquad f_{\rho}^{*}/f_{\rho} \sim f^{*}/f \qquad \rho_{\Lambda} \lambda \qquad N$$
Quark model



