

Eigenvalue asymptotics for operators associated to mirror curves

based on joint works with A. Laptev and L. A. Takhtajan:

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Spectral Theory, Algebraic Geometry, and Strings
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Overview

- A functional difference operator associated to \mathbb{F}_0
- Eigenvalue asymptotics of this operator
- Proof method
- Which other operators can this proof be applied to?

A conjecture to construct a quantum operator for toric del Pezzo Calabi–Yau

Construction:

Associated to X is a unique curve

$$W_X(e^x, e^p) = 0.$$

The equation be quantised

$$x \rightarrow Q, p \rightarrow P = i \frac{d}{dx}$$

which promotes it to a functional difference operator H .

Example: Hirzebruch surface \mathbb{F}_0

Associated to \mathbb{F}_0 is

$$W_{\mathbb{F}_0}(e^x, e^p) = e^x + e^{-x} + e^p + e^{-p}.$$

Quantisation leads to the operator

$$H = e^Q + e^{-Q} + e^P + e^{-P}$$

which is a functional difference operator.

Conjecture^[1]

The operator $G = H^{-1}$ is trace-class and its spectrum relates to the enumerative geometry of X .

^[1]A. Grassi, Y. Hatsuda and M. Mariño (2014)

The Weyl operators

Let P and Q be quantum-mechanical momentum and position operators on $L^2(\mathbb{R})$

$$(P\psi)(x) = i\psi'(x), \quad (Q\psi)(x) = x\psi(x), \quad [P, Q] = i.$$

The corresponding **Weyl operators** ($b > 0$) are defined as

$$U = e^{-bP}, \quad V = e^{2\pi bQ}, \quad UV = q^2 VU, \quad q = e^{i\pi b^2}$$

with domains

$$\text{dom}(U) = \left\{ \psi \in L^2(\mathbb{R}) : e^{-2\pi bk} \hat{\psi}(k) \in L^2(\mathbb{R}) \right\},$$

$$\text{dom}(V) = \left\{ \psi \in L^2(\mathbb{R}) : e^{2\pi bx} \psi(x) \in L^2(\mathbb{R}) \right\},$$

with the Fourier transform

$$\hat{\psi}(k) = (\mathcal{F}\psi)(k) = \int_{\mathbb{R}} e^{-2\pi i kx} \psi(x) dx.$$

It holds that $\mathcal{F}U\mathcal{F}^{-1} = V^{-1}$ and in coordinate representation

$$(U\psi)(x) = \psi(x + ib), \quad (V\psi)(x) = e^{2\pi bx} \psi(x).$$

The operator H_0

The symmetric operator

$$H_0 = U + U^{-1} = 2 \cosh(bP),$$

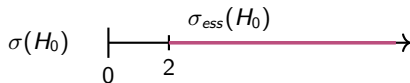
acts formally as

$$(H_0\psi)(x) = \psi(x + ib) + \psi(x - ib)$$

on $L^2(\mathbb{R})$. We can also interpret H_0 as a differential operator of infinite order

$$(H_0\psi)(x) = 2 \sum_{n=0}^{\infty} \frac{b^{2n}}{(2n)!} P^{2n} \psi(x) = -2 \sum_{n=0}^{\infty} \frac{b^{2n}}{(2n)!} \frac{d^{2n}}{dx^{2n}} \psi(x).$$

Since $H \geq 2$ it admits a self-adjoint Friedrichs extension.



The operator H

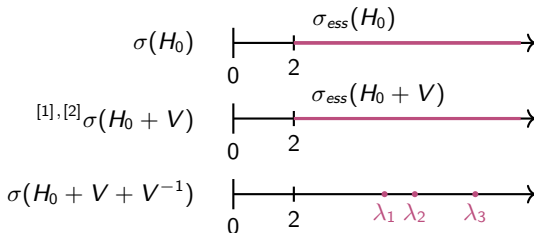
The symmetric operator

$$H = U + U^{-1} + V + V^{-1} = 2 \cosh(bP) + 2 \cosh(2\pi bQ),$$

acts formally as

$$(H\psi)(x) = \psi(x + ib) + \psi(x - ib) + 2 \cosh(2\pi bx)\psi(x),$$

on $L^2(\mathbb{R})$. Since $H \geq 2$ it admits a self-adjoint Friedrichs extension.



We write $H = H_0 + W$ with the potential $W = 2 \cosh(2\pi bx)$.

^[1]R. Kashaev (2001)

^[2]L. A. Takhtajan and L. D. Faddeev (2015)

Main results

Theorem (A. Laptev, LS and L. A. Takhtajan (2016))

The eigenvalues λ_j of the operator H have the asymptotic behaviour

$$\mathrm{Tr}(\lambda - H)_+ = \sum_{j \geq 1} (\lambda - \lambda_j)_+ = \frac{\lambda \log^2 \lambda}{(\pi b)^2} + O(\lambda \log \lambda) \quad \text{as } \lambda \rightarrow \infty.$$

These are **Weyl-type** results that link the asymptotical behaviour of quantum mechanical expressions to classical phase space integrals. Let

$$\sigma(k, x) = 2 \cosh(2\pi b k) + 2 \cosh(2\pi b x)$$

be the **total symbol** of H . The term $\lambda \log^2 \lambda / (\pi b)^2$ is precisely the leading term in

$$\iint_{\mathbb{R}^2} (\lambda - \sigma(k, x))_+ dk dx \quad \text{as } \lambda \rightarrow \infty.$$

Weyl-type asymptotics

$$\lim_{\lambda \rightarrow \infty} \frac{1}{\lambda \log^2 \lambda} \sum_{j \geq 1} (\lambda - \lambda_j)_+ = \lim_{\lambda \rightarrow \infty} \frac{1}{\lambda \log^2 \lambda} \iint_{\mathbb{R}^2} (\lambda - \sigma(x, k))_+ dk dx.$$

Main results

Corollary (A. Laptev, LS and L. A. Takhtajan (2016))

The number $N(\lambda) = \#\{j \in \mathbb{N} : \lambda_j < \lambda\}$ of eigenvalues of H less than λ satisfies

$$\lim_{\lambda \rightarrow \infty} \frac{N(\lambda)}{\log^2 \lambda} = \frac{1}{(\pi b)^2}.$$

The term $\log^2 \lambda / (\pi b)^2$ is precisely the leading term of the phase volume of

$$\{(k, x) \in \mathbb{R}^2 : \sigma(k, x) \leq \lambda\} \quad \text{as } \lambda \rightarrow \infty.$$

Weyl-type asymptotics

$$\lim_{\lambda \rightarrow \infty} \frac{N(\lambda)}{\log^2 \lambda} = \lim_{\lambda \rightarrow \infty} \frac{1}{\log^2 \lambda} \iint_{\sigma(k, x) \leq \lambda} 1 \, dk \, dx.$$

Main results

Corollary (A. Laptev, LS and L. A. Takhtajan (2016))

The number $N(\lambda) = \#\{j \in \mathbb{N} : \lambda_j < \lambda\}$ of eigenvalues of H less than λ satisfies

$$\lim_{\lambda \rightarrow \infty} \frac{N(\lambda)}{\log^2 \lambda} = \frac{1}{(\pi b)^2}.$$

This follows by 'differentiating' the Riesz mean. To argue rigorously note that for $h > 0$

$$N(\lambda) \leq \frac{1}{h} \left(\sum_{j \geq 1} (\lambda + h - \lambda_j)_+ - \sum_{j \geq 1} (\lambda - \lambda_j)_+ \right)$$

Using the results of the theorem and the **convexity** of $\lambda \mapsto \lambda^2 \log \lambda$ one can show that

$$\limsup_{\lambda \rightarrow \infty} \frac{N(\lambda)}{\log^2 \lambda} \leq \frac{1}{(\pi b)^2}$$

by choosing h suitably depending on λ . A corresponding lower bound follows from

$$N(\lambda) \geq \frac{1}{h} \left(\sum_{j \geq 1} (\lambda - \lambda_j)_+ - \sum_{j \geq 1} (\lambda - h - \lambda_j)_+ \right)$$

Main results

Corollary (A. Laptev, LS and L. A. Takhtajan (2016))

H^{-1} is trace-class.

This follows immediately from the asymptotics of $N(\lambda)$

$$\sum_{j \geq 1} \frac{1}{\lambda_j} = \int_2^\infty \frac{1}{\lambda} dN(\lambda) = \left. \frac{N(\lambda)}{\lambda} \right|_2^\infty + \int_2^\infty \frac{N(\lambda)}{\lambda^2} d\lambda < \infty.$$

This provides a simple proof of the trace-class property without explicit computation of the integral kernel of H^{-1} , as done previously^[1].

^[1]R. Kashaev and M. Mariño (2015)

Proof of the Theorem

Coherent state transform

Consider the normalised Gaussian function $g(x) = (a/\pi)^{1/4} e^{-\frac{a}{2}x^2}$ with some $a > 0$. For $\psi \in L^2(\mathbb{R})$ the classical **coherent state transform** is given by

Coherent state transform

$$\tilde{\psi}(k, y) = \int_{\mathbb{R}} e^{-2\pi i k x} g(x - y) \psi(x) dx.$$

Plancherel's theorem shows that

$$\begin{aligned} \int_{\mathbb{R}} |\tilde{\psi}(k, y)|^2 dk &= (|\psi|^2 * |g|^2)(y), \\ \int_{\mathbb{R}} |\tilde{\psi}(k, y)|^2 dy &= (|\hat{\psi}|^2 * |\hat{g}|^2)(k). \end{aligned}$$

Note that $\tilde{\psi} \in L^2(\mathbb{R}^2)$ with $\iint_{\mathbb{R}^2} |\tilde{\psi}(k, y)|^2 dk dy = \|\psi\|^2$. We can also write

$$\tilde{\psi}(k, y) = \langle e_{k,y}, \psi \rangle \quad \text{with} \quad e_{k,y}(x) = e^{2\pi i k x} g(x - y).$$

Coherent state representation: H_0

We are trying to express $\langle \psi, H_0 \psi \rangle$ in terms of $\tilde{\psi}$, ideally as

$$\langle \psi, H_0 \psi \rangle = \int_{\mathbb{R}} 2 \cosh(2\pi b k) |\widehat{\psi}(k)|^2 dk = \iint_{\mathbb{R}^2} h(k) |\tilde{\psi}(k, y)|^2 dk dy.$$

Since

$$\int_{\mathbb{R}} |\tilde{\psi}(k, y)|^2 dy = (|\widehat{\psi}|^2 * |\widehat{g}|^2)(k)$$

we have that

$$\iint_{\mathbb{R}^2} h(k) |\tilde{\psi}(k, y)|^2 dk dy = \int_{\mathbb{R}} (h * |\widehat{g}|^2)(k) |\widehat{\psi}(k)|^2 dk.$$

So we need to find h such that

$$(h * |\widehat{g}|^2)(k) = 2 \cosh(2\pi b k).$$

This can be solved explicitly, in fact

$$(2d_1 \cosh(2\pi b \cdot) * |\widehat{g}|^2)(k) = 2 \cosh(2\pi b k)$$

with $d_1 = e^{-(\pi b^2)/a}$. As a consequence

$$\langle \psi, H_0 \psi \rangle = \iint_{\mathbb{R}^2} 2d_1 \cosh(2\pi b k) |\tilde{\psi}(k, y)|^2 dk dy.$$

Coherent state representation: W

We are trying to express $\langle \psi, W\psi \rangle$ in terms of $\tilde{\psi}$, ideally as

$$\langle \psi, W\psi \rangle = \int_{\mathbb{R}} 2 \cosh(2\pi by) |\psi(y)|^2 dy = \iint_{\mathbb{R}^2} w(y) |\tilde{\psi}(k, y)|^2 dk dy$$

Since

$$\int_{\mathbb{R}} |\tilde{\psi}(k, y)|^2 dk = (|\psi|^2 * |g|^2)(y)$$

we have that

$$\iint_{\mathbb{R}^2} w(y) |\tilde{\psi}(k, y)|^2 dk dy = \int_{\mathbb{R}} (w * |g|^2)(y) |\psi(y)|^2 dy.$$

So we need to find w such that

$$(w * |g|^2)(y) = 2 \cosh(2\pi by).$$

This can be solved explicitly, in fact

$$(2d_2 \cosh(2\pi b \cdot) * |g|^2)(y) = 2 \cosh(2\pi by)$$

with $d_2 = e^{-ab^2/4}$. As a consequence

$$\langle \psi, W\psi \rangle = \iint_{\mathbb{R}^2} 2d_2 \cosh(2\pi by) |\tilde{\psi}(k, y)|^2 dk dy.$$

Coherent state representation: $H = H_0 + W$

Using the two identities

$$(2d_1 \cosh(2\pi b \cdot) * |\widehat{g}|^2)(k) = 2 \cosh(2\pi bk), \quad (2d_2 \cosh(2\pi b \cdot) * |g|^2)(y) = 2 \cosh(2\pi by)$$

we have thus established the following.

Coherent state representation of H

$$\langle \psi, H\psi \rangle = \iint_{\mathbb{R}^2} (2d_1 \cosh(2\pi bk) + 2d_2 \cosh(2\pi by)) |\widetilde{\psi}(k, y)|^2 dk dy.$$

This allows to write the operator H in terms of its full symbol $\sigma(k, y)$ up to the two constants $d_1, d_2 < 1$.

Upper bound on the Riesz mean

From $\lambda_j = \langle \psi_j, H\psi_j \rangle$ and the coherent state representation we obtain

$$\sum_{j \geq 1} (\lambda - \lambda_j)_+ = \sum_{j \geq 1} \left(\lambda - \iint_{\mathbb{R}^2} (2d_1 \cosh(2\pi bk) + 2d_2 \cosh(2\pi by)) |\tilde{\psi}_j(k, y)|^2 dk dy \right)_+.$$

Since $\iint_{\mathbb{R}^2} |\tilde{\psi}_j(k, y)|^2 dk dy = \|\psi_j\|^2 = 1$ Jensen's inequality yields

$$\sum_{j \geq 1} (\lambda - \lambda_j)_+ \leq \iint_{\mathbb{R}^2} (\lambda - 2d_1 \cosh(2\pi bk) - 2d_2 \cosh(2\pi by))_+ \sum_{j \geq 1} |\tilde{\psi}_j(k, y)|^2 dk dy.$$

Using $e_{k,y}(x) = e^{2\pi i k x} g(x - y)$ and the fact that ψ_j form an orthonormal basis in $L^2(\mathbb{R})$,

$$\sum_{j \geq 1} |\tilde{\psi}_j(k, y)|^2 = \sum_{j \geq 1} |\langle e_{k,y}, \psi_j \rangle|^2 = \|e_{k,y}\|^2 = 1 \quad \text{for all } k, y \in \mathbb{R},$$

and we arrive at the upper bound

$$\begin{aligned} \sum_{j \geq 1} (\lambda - \lambda_j)_+ &\leq \iint_{\mathbb{R}^2} (\lambda - 2d_1 \cosh(2\pi bk) - 2d_2 \cosh(2\pi by))_+ dk dy \\ &= \frac{\lambda \log^2 \lambda}{(\pi b)^2} + O(\lambda \log \lambda). \end{aligned}$$

Lower bound on the Riesz mean

From $\iint_{\mathbb{R}^2} |\tilde{\psi}_j(k, y)|^2 dk dy = 1$ we obtain

$$\sum_{j \geq 1} (\lambda - \lambda_j)_+ = \sum_{j \geq 1} (\lambda - \lambda_j)_+ \iint_{\mathbb{R}^2} |\tilde{\psi}_j(k, y)|^2 dk dy.$$

Using $\tilde{\psi}_j(k, y) = \langle e_{k,y}, \psi_j \rangle$ we can write

$$\sum_{j \geq 1} (\lambda - \lambda_j)_+ = \iint_{\mathbb{R}^2} \sum_{j \geq 1} (\lambda - \lambda_j)_+ \langle e_{k,y} | \psi_j \rangle \langle \psi_j | e_{k,y} \rangle dk dy.$$

Since $\sum_{j \geq 1} \langle e_{k,y} | \psi_j \rangle \langle \psi_j | e_{k,y} \rangle = \langle e_{k,y}, e_{k,y} \rangle = \|g\|^2 = 1$ Jensen's inequality yields

$$\sum_{j \geq 1} (\lambda - \lambda_j)_+ \geq \iint_{\mathbb{R}^2} \left(\lambda - \sum_{j \geq 1} \lambda_j \langle e_{k,y} | \psi_j \rangle \langle \psi_j | e_{k,y} \rangle \right)_+ dk dy = \iint_{\mathbb{R}^2} (\lambda - \langle e_{k,y}, H e_{k,y} \rangle)_+ dk dy.$$

Using again Plancherel's theorem we note that

$$\langle e_{k,y}, H_0 e_{k,y} \rangle = (2 \cosh(2\pi b \cdot) * |\hat{g}|^2)(k) = \frac{1}{d_1} 2 \cosh 2\pi b k$$

$$\langle e_{k,y}, W e_{k,y} \rangle = (W * |g|^2)(y) = \frac{1}{d_2} 2 \cosh 2\pi b y.$$

Lower bound on the Riesz mean

From $\iint_{\mathbb{R}^2} |\tilde{\psi}_j(k, y)|^2 dk dy = 1$ we obtain

$$\sum_{j \geq 1} (\lambda - \lambda_j)_+ = \sum_{j \geq 1} (\lambda - \lambda_j)_+ \iint_{\mathbb{R}^2} |\tilde{\psi}_j(k, y)|^2 dk dy.$$

Using $\tilde{\psi}_j(k, y) = \langle e_{k,y}, \psi_j \rangle$ we can write

$$\sum_{j \geq 1} (\lambda - \lambda_j)_+ = \iint_{\mathbb{R}^2} \sum_{j \geq 1} (\lambda - \lambda_j)_+ \langle e_{k,y} | \psi_j \rangle \langle \psi_j | e_{k,y} \rangle dk dy.$$

Since $\sum_{j \geq 1} \langle e_{k,y} | \psi_j \rangle \langle \psi_j | e_{k,y} \rangle = \langle e_{k,y}, e_{k,y} \rangle = \|g\|^2 = 1$ Jensen's inequality yields

$$\sum_{j \geq 1} (\lambda - \lambda_j)_+ \geq \iint_{\mathbb{R}^2} \left(\lambda - \sum_{j \geq 1} \lambda_j \langle e_{k,y} | \psi_j \rangle \langle \psi_j | e_{k,y} \rangle \right)_+ dk dy = \iint_{\mathbb{R}^2} (\lambda - \langle e_{k,y}, H e_{k,y} \rangle)_+ dk dy.$$

We arrive at the lower bound

$$\begin{aligned} \sum_{j \geq 1} (\lambda - \lambda_j)_+ &\geq \iint_{\mathbb{R}^2} \left(\lambda - \frac{2}{d_1} \cosh(2\pi b k) - \frac{2}{d_2} \cosh(2\pi b y) \right)_+ dk dy \\ &= \frac{\lambda \log^2 \lambda}{(\pi b)^2} + O(\lambda \log \lambda). \end{aligned}$$

Generalisation to $X = \mathbb{F}_0$

The proof generalises to

$$H(\zeta) = U + U^{-1} + V + \zeta V^{-1}$$

with a parameter $\zeta > 0$.

Theorem (A. Laptev, LS and L. A. Takhtajan (2016))

The eigenvalues λ_j of the operator $H(\zeta)$ have the asymptotic behaviour

$$\mathrm{Tr}(\lambda - H)_+ = \sum_{j \geq 1} (\lambda - \lambda_j)_+ = \frac{\lambda \log^2 \lambda}{(\pi b)^2} + O(\lambda \log \lambda) \quad \text{as } \lambda \rightarrow \infty.$$

Corollary (A. Laptev, LS and L. A. Takhtajan (2016))

The number $N(\lambda) = \#\{j \in \mathbb{N} : \lambda_j < \lambda\}$ of eigenvalues of $H(\zeta)$ less than λ satisfies

$$\lim_{\lambda \rightarrow \infty} \frac{N(\lambda)}{\log^2 \lambda} = \frac{1}{(\pi b)^2}.$$

In particular $H(\zeta)^{-1}$ is trace-class.

Where can this proof be applied?

Recap of the proof idea

For the **upper bound** we derived a coherent state representation. This required to solve

$$(h * |\widehat{g}|^2)(k) = 2 \cosh(2\pi b k), \quad (w * |g|^2)(y) = 2 \cosh(2\pi b y)$$

which allowed us to obtain the upper bound

$$\sum_{j \geq 1} (\lambda - \lambda_j)_+ \leq \iint_{\mathbb{R}^2} (\lambda - h(k) - w(y))_+ \, dk \, dy.$$

For the **lower bound**, we computed

$$\langle e_{k,y}, H_0 e_{k,y} \rangle = (2 \cosh(2\pi b \cdot) * |\widehat{g}|^2)(k), \quad \langle e_{k,y}, W e_{k,y} \rangle = (W * |g|^2)(y)$$

which allowed us to obtain the lower bound

$$\sum_{j \geq 1} (\lambda - \lambda_j)_+ \geq \iint_{\mathbb{R}^2} \left(\lambda - (2 \cosh(2\pi b \cdot) * |\widehat{g}|^2)(k) - (W * |g|^2)(y) \right)_+ \, dk \, dy.$$

Generalisation to Seiberg–Witten curve of $\mathcal{N} = 2$ Yang–Mills theory

The operator^[1]

$$H = U + U^{-1} + x^{2N}$$

is given by the following formal functional-difference expression

$$(H\psi)(x) = \psi(x + ib) + \psi(x - ib) + x^{2N}\psi(x).$$

and its spectrum can be proven to be discrete.

For an **upper bound** we note that a solution to

$$(w * |g|^2)(y) = y^{2N}$$

is given by

$$w(y) = y^{2N} + q(y)$$

with a polynomial q of order $2N - 2$. We thus obtain the

$$\begin{aligned} \sum_{j \geq 1} (\lambda - \lambda_j)_+ &\leq \iint_{\mathbb{R}^2} (\lambda - 2d_1 \cosh(2\pi bk) - y^{2N} - q(y))_+ dk dy \\ &= \frac{2}{\pi b} \frac{2N}{2N+1} \lambda^{\frac{2N+1}{2N}} \log \lambda + O(\lambda^{\frac{2N+1}{2N}}). \end{aligned}$$

^[1]A. Grassi and M. Mariño (2019)

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and its spectrum can be proven to be discrete.

For a **lower bound** we can compute that

$$\langle e_{k,y}, |\cdot|^{2N} e_{k,y} \rangle = (|\cdot|^{2N} * |g|^2)(y) = y^{2N} + p(y)$$

with a polynomial p of order $2N - 2$. We thus obtain

$$\begin{aligned} \sum_{j \geq 1} (\lambda - \lambda_j)_+ &\geq \iint_{\mathbb{R}^2} \left(\lambda - \frac{2}{d_1} \cosh(2\pi bk) - y^{2N} - p(y) \right)_+ dk dy \\ &= \frac{2}{\pi b} \frac{2N}{2N+1} \lambda^{\frac{2N+1}{2N}} \log \lambda + O(\lambda^{\frac{2N+1}{2N}}). \end{aligned}$$

^[1]A. Grassi and M. Mariño (2019)

Generalisation to Seiberg–Witten curve of $\mathcal{N} = 2$ Yang–Mills theory

The operator

$$H = U + U^{-1} + x^{2N} + r(x)$$

with $r(x) = O(x^{2N-\varepsilon})$ is given by the following formal functional-difference expression

$$(H\psi)(x) = \psi(x + ib) + \psi(x - ib) + x^{2N}\psi(x) + r(x)\psi(x).$$

and its spectrum can be proven to be discrete.

Theorem (A. Laptev, LS and L. A. Takhtajan (2019))

The eigenvalues λ_j of the operator H satisfy

$$\lim_{\lambda \rightarrow \infty} \frac{1}{\lambda^{\frac{2N+1}{2N}} \log \lambda} \sum_{j \geq 1} (\lambda - \lambda_j)_+ = \frac{2}{\pi b} \frac{2N}{2N+1},$$
$$\lim_{\lambda \rightarrow \infty} \frac{N(\lambda)}{\lambda^{\frac{1}{2N}} \log \lambda} = \frac{2}{\pi b}.$$

Again, these results can be identified as Weyl-type asymptotics and H^{-1} is trace-class. Recently^[2] the results were extended to potentials $W(x) = |x|^p e^{|x|^\beta}$.

^[1]Y. W. Qiu (2023)

Generalisation to $X = \mathbb{P}_2$

The operator^[1]

$$H = U + V + q^{-mn} U^{-m} V^{-n}$$

is given by the following formal functional-difference expression

$$(H\psi)(x) = \psi(x + ib) + e^{2\pi bx} \psi(x) + q^{mn} e^{-2\pi nbx} \psi(x - mib)$$

and its spectrum can be proven to be discrete.

For an **upper bound** a direct computation yields the coherent state representation

$$\begin{aligned} \langle \psi, q^{-mn} U^{-m} V^{-n} \psi \rangle &= \langle V^{-n/2} \psi, U^{-m} V^{-n/2} \psi \rangle \\ &= d_1^{m^2} d_2^{n^2} \iint_{\mathbb{R}^2} e^{2\pi b(mk - ny)} |\tilde{\psi}(k, y)|^2 dk dy \end{aligned}$$

which allows us to obtain the upper bound

$$\begin{aligned} \sum_{j \geq 1} (\lambda - \lambda_j)_+ &\leq \iint_{\mathbb{R}^2} (\lambda - d_1 e^{-2\pi bk} - d_2 e^{2\pi by} - d_1^{m^2} d_2^{n^2} e^{2\pi b(mk - ny)})_+ dk dy \\ &= \frac{(m + n + 1)^2}{2mn} \lambda \log^2 \lambda + O(\lambda \log \lambda). \end{aligned}$$

^[1]A. Grassi, Y. Hatsuda and M. Mariño (2014)

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is given by the following formal functional-difference expression

$$(H\psi)(x) = \psi(x + ib) + e^{2\pi bx} \psi(x) + q^{mn} e^{-2\pi nbx} \psi(x - mib)$$

and its spectrum can be proven to be discrete.

For a **lower bound** a direct computation yields

$$\begin{aligned} \langle e_{k,y}, q^{-mn} U^{-m} V^{-n} e_{k,y} \rangle &= \langle V^{-n/2} e_{k,y}, U^{-m} V^{-n/2} e_{k,y} \rangle \\ &= \frac{1}{d_1^m d_2^n} e^{2\pi b(mk - ny)} \end{aligned}$$

which allows us to obtain the lower bound

$$\begin{aligned} \sum_{j \geq 1} (\lambda - \lambda_j)_+ &\geq \iint_{\mathbb{R}^2} \left(\lambda - \frac{1}{d_1} e^{-2\pi bk} - \frac{1}{d_2} e^{2\pi by} - \frac{1}{d_1^m d_2^n} e^{2\pi b(mk - ny)} \right)_+ dk dy \\ &= \frac{(m + n + 1)^2}{2mn} \lambda \log^2 \lambda + O(\lambda \log \lambda). \end{aligned}$$

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Generalisation to $X = \mathbb{P}_2$

The operator

$$H = U + V + q^{-mn} U^{-m} V^{-n}$$

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$$(H\psi)(x) = \psi(x + ib) + e^{2\pi bx} \psi(x) + q^{mn} e^{-2\pi nbx} \psi(x - mib)$$

and its spectrum can be proven to be discrete.

Theorem (A. Laptev, LS and L. A. Takhtajan (2016))

The eigenvalues λ_j of the operator H satisfy

$$\lim_{\lambda \rightarrow \infty} \frac{1}{\lambda \log^2 \lambda} \sum_{j \geq 1} (\lambda - \lambda_j)_+ = \frac{c_{m,n}}{(\pi b)^2},$$

$$\lim_{\lambda \rightarrow \infty} \frac{N(\lambda)}{\log^2 \lambda} = \frac{c_{m,n}}{(\pi b)^2},$$

$$\text{with } c_{m,n} = \frac{(m+n+1)^2}{2mn}.$$

Again, these results can be identified as Weyl-type asymptotics and H^{-1} is trace-class.

Further generalisation

Consider the general operator

$$H = \sum_{m,n \in \mathbb{Z}} a_{m,n} q^{-mn} U^{-m} V^{-n}$$

with $a_{m,n} \geq 0$ and $a_{m,n} = 0$ for all but finitely many $m, n \in \mathbb{Z}$. If H contains at least one negative and one positive power of both U and V , it can be proven to have discrete spectrum. Furthermore its inverse H^{-1} is trace-class.

Theorem

Under the above assumptions H has discrete spectrum and its Riesz mean and eigenvalue counting function satisfy Weyl-type asymptotics. In particular H^{-1} is trace-class.

Thank you for your attention!