

# *Massless Scalar & Scalar Condensate from the Quantum Conformal Anomaly*

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**Review:** [Acta Phys. Pol. B](#) 41: 2031 (2010)

# Outline

## Effective Theory of Low Energy Gravity: Role of the Trace Anomaly

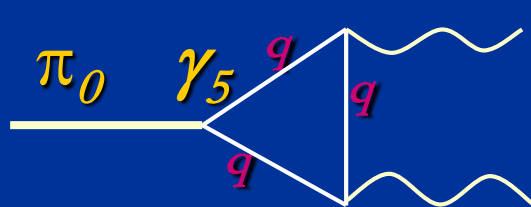
- Massless Scalar Poles in Flat Space Amplitudes
- General Form of Effective Action of the Anomaly
- Effective Massless Scalar Degree of Freedom in  
Low Energy Macroscopic Gravity
- Couplings to Photons, Gluons
- Scalar Condensate
- Scalar 'Particle' w. Effects Similar to Axions

# Effective Field Theory & Quantum Anomalies

- Expansion of Effective Action in **Local** Invariants assumes **Decoupling** of **UV** from Long Distance Modes
- But **Massless** Modes do not decouple
- Chiral, Conformal Symmetries are **Anomalous**
- Special Non-local Additions to Local EFT
- **IR** Sensitivity to **UV** degrees of freedom
- Conformal Symmetry & its Breaking controlled by the  
Conformal Trace Anomaly
- **Macroscopic** Effects in Black Hole Physics, Cosmology

# Chiral Anomaly in QCD

- QCD with  $N_f$  massless quarks has an apparent  $U(N_f) \otimes U_{ch}(N_f)$  Symmetry
- But  $U_{ch}(1)$  Symmetry is *Anomalous*
- Effective Lagrangian in Chiral Limit has  $N_f^2 - 1$  (*not*  $N_f^2$ ) massless pions at low energies
- Low Energy  $\pi_0 \rightarrow 2\gamma$  dominated by the anomaly



$$\partial_\mu j^{\mu 5} = e^2 N_c F_{\mu\nu} \tilde{F}^{\mu\nu} / 16\pi^2$$

- **No Local** Action in chiral limit in terms of  $F_{\mu\nu}$  but **Non-local IR Relevant Operator** that violates naïve decoupling of UV
- Measured decay rate verifies  $N_c = 3$  in QCD  
Anomaly Matching of **IR**  $\leftrightarrow$  UV
- Coupling to gluons as well (related to  $\theta$  term, CP violation, axions)

## 2D Gravity

$$S_{cl}[g] = \int d^2x \sqrt{g} (\gamma R - 2\lambda)$$

has **no local degrees of freedom** in 2D, since

$$g_{ab} = \exp(2\sigma) \bar{g}_{ab} \rightarrow \exp(2\sigma) \eta_{ab}$$

(all metrics conformally flat) and

$$\sqrt{g} R = \sqrt{\bar{g}} \bar{R} - 2\sqrt{\bar{g}} \square \sigma$$

gives a total derivative in  $S_{cl}$

### Quantum Trace or Conformal Anomaly

$$\langle T^a_a \rangle = -\frac{c_m}{24\pi} R$$

$c_m = N_S + N_F$  for **massless** scalars or fermions

Linearity in  $\sigma$  in the variational eq.

$$\frac{\delta \Gamma_{WZ}}{\delta \sigma} = \sqrt{g} \langle T^a_a \rangle$$

determines the **Wess-Zumino Action** by  
*inspection*

# 2D Anomaly Action

- Integrating the anomaly linear in  $\sigma$  gives

$$\Gamma_{WZ}[\bar{g}, \sigma] = \frac{c_m}{24\pi} \int d^2x \sqrt{\bar{g}} (-\sigma \square \sigma + \bar{R} \sigma)$$

- This is local but **non-covariant**. Note **kinetic** term for  $\sigma$
- By solving for  $\sigma$  the WZ action can be also written

$$\Gamma_{WZ}[\bar{g}, \sigma] = S_{anom}[g = e^{2\sigma} \bar{g}] - S_{anom}[\bar{g}]$$

- Polyakov form of the action is covariant but **non-local**

$$S_{anom}[g] = -\frac{c}{96\pi} \int d^2x \sqrt{g} \int d^2x' \sqrt{g'} R_x (\square^{-1})_{x,x'} R_{x'}$$

- A covariant local form implies a **dynamical scalar** field

$$S_{anom}[g; \varphi] = \frac{c}{96\pi} \int d^2x \sqrt{g} [g^{ab} (\nabla_a \varphi) (\nabla_b \varphi) + 2R\varphi]$$

$$-\square \varphi = R$$

$$\varphi \leftrightarrow 2\sigma$$

# Ward Identity and Massless Poles

Effects of Anomaly may be seen in flat space amplitudes

$$\Pi_{abcd}(x, x') = \langle T_{ab}(x) T_{cd}(x') \rangle$$



Conservation of  $T_{ab}$  Ward Identity in 2D

$$\Pi_{abcd}(k) \stackrel{\text{implies}}{=} (\eta_{ab}k^2 - k_a k_b)(\eta_{cd}k^2 - k_c k_d) \Pi(k^2)$$

Anomalous Trace Ward Identity in 2D implies

$$k^2 \Pi(k^2) \neq 0 \quad \text{at } k^2 = 0 \quad \text{massless pole}$$



# Quantum Effects of 2D Anomaly Action

- **Modification** of Classical Theory required by Quantum Fluctuations & Covariant Conservation of  $\langle T^a_b \rangle$
- Metric **conformal factor**  $e^{2\sigma}$  (was constrained) becomes **dynamical** & itself fluctuates freely
- Gravitational ‘Dressing’ of critical exponents: long distance/IR macroscopic physics
- Additional **non-local Infrared Relevant Operator** in  $S_{\text{EFT}}$

New **Massless Scalar** Degree of Freedom at low energy



# Quantum Trace Anomaly in 4D Flat Space

## Massless QED in an External E&M Field

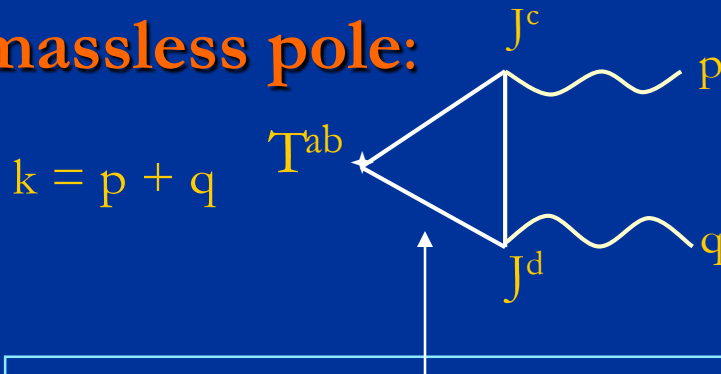
$$\langle T_a^a \rangle = e^2 F_{\mu\nu} F^{\mu\nu} / 24\pi^2$$

Triangle Amplitude as in Chiral Case

$$\Gamma^{abcd}(p, q) = (k^2 g^{ab} - k^a k^b) (g^{cd} p \cdot q - q^c p^d) F_1(k^2) + \dots$$

In the limit of massless fermions,  $F_1(k^2)$  must have a

massless pole:



$$F_1 = \frac{e^2}{18\pi^2 k^2}$$

$$\rho(s) = \frac{e^2}{18\pi^2} \delta(s)$$

M. Giannotti &  
E. M. (2009)

Corresponding Imag. Part Spectral Fn. has a  $\delta$  fn  
This is a new massless scalar degree of freedom in  
the two-particle correlated spin-0 state

# <TJJ> Triangle Amplitude in QED

## Spectral Representation and Finite Sum Rule

$$F_1(k^2; p^2, q^2) = \frac{1}{3k^2} \int_0^\infty \frac{ds}{k^2 + s - i\epsilon} [(k^2 + s)\rho_T - m^2 \rho_m]$$

Numerator & Denominator cancel here

Im  $F_1(k^2 = -s)$ : Non-anomalous, vanishes when  $m=0$

$$\rho_T(s; p^2, q^2) = \frac{e^2}{2\pi^2} \int_0^1 dx \int_0^{1-x} dy (1 - 4xy) \delta\left(s - \frac{(p^2 x + q^2 y)(1 - x - y) + m^2}{xy}\right)$$

$$\int_0^\infty ds \rho_T(s; p^2, q^2) = \frac{e^2}{6\pi^2}$$

obeys a finite sum rule independent of  $p^2, q^2, m^2$

and as  $p^2, q^2, m^2 \rightarrow 0^+$

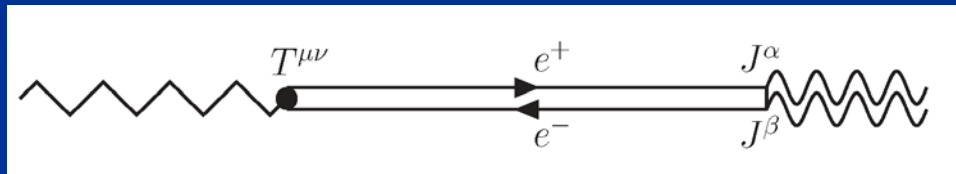
$$\rho_T(s) \rightarrow \frac{e^2}{6\pi^2} \delta(s)$$

$$F_1(k^2) \rightarrow \frac{e^2}{18\pi^2 k^2}$$

Massless scalar intermediate two-particle state  
analogous to chiral limit of QCD

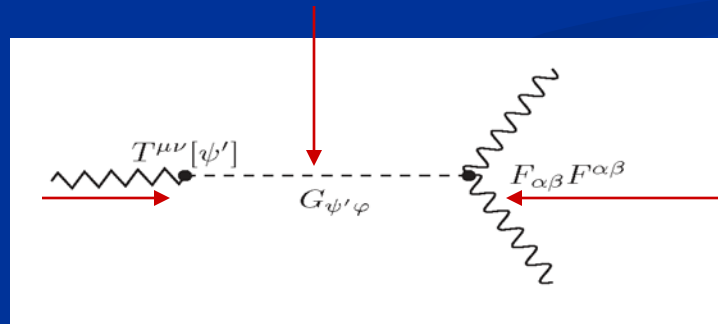
# Massless Anomaly Pole

For  $p^2 = q^2 = 0$  (both photons on shell) and  $m_e = 0$  the pole at  $k^2 = 0$  describes a *massless*  $e^+ e^-$  pair moving at  $v=c$  colinearly, with opposite helicities in a total spin-0 state



a massless scalar  $0^+$  state ( '**Cooper pair**' ) which couples to gravity

$$h_{\mu\nu} (\partial^\mu \partial^\nu - \eta^{\mu\nu} \square) \square \varphi$$



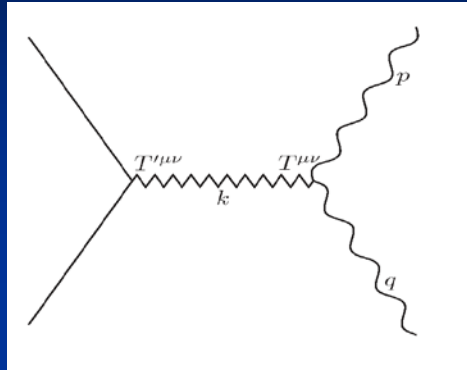
Effective vertex

$$\varphi F^{\mu\nu} F_{\mu\nu}$$

Effective Action special case of general form

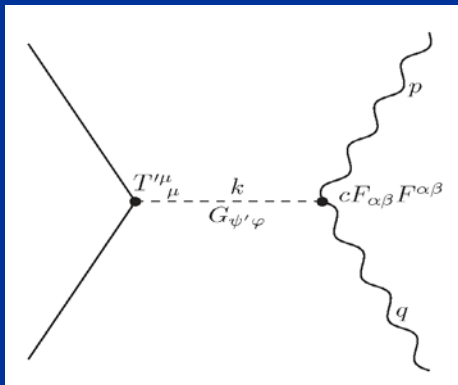
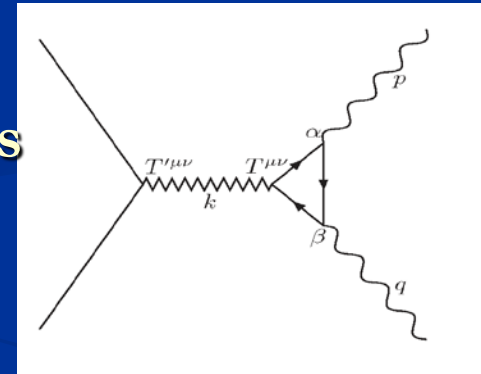
$$\sim \int d^4x \sqrt{g} \left[ -\varphi \square^2 \varphi - \frac{2}{3} \varphi \square R - \frac{e^2}{48\pi^2} \varphi F^{\mu\nu} F_{\mu\nu} \right]$$

# Scalar Pole in Gravitational Scattering



- In Einstein's Theory only transverse, tracefree polarized waves (spin-2) are emitted/absorbed and propagate between sources  $T^{\mu\nu}$  and  $T^{\mu\nu}$
- The scalar parts give only **non-propagating** constrained interaction (like Coulomb field in E&M)

- But for  $m_e = 0$  there is a scalar pole in the  $\langle TJJ \rangle$  triangle amplitude coupling to photons
- This scalar wave propagates in gravitational scattering between sources  $T^{\mu\nu}$  and  $T^{\mu\nu}$



- Couples to trace  $T^{\mu}_{\mu}$
- $\langle TTT \rangle$  triangle of massless photons has pole
- At least one new **scalar** degree of freedom in EFT

# Trace Anomaly in Curved Space

$$\langle T_a^a \rangle = b C^2 + b' \left( E - \frac{2}{3} \square R \right) + b'' \square R + c F^2$$

(for  $m_e = 0$ )

$\langle T_{ab} \rangle$  is the Stress Tensor of Conformal Matter

- $\langle T_a^a \rangle$  is expressed in terms of **Geometric Invariants**  $E, C^2$
- One-loop amplitudes similar to previous examples
- State-independent, independent of  $G_N$
- No local effective action in terms of curvature tensor

But there exists a **non-local** effective action

which can be rendered local in terms of  
a **new massless scalar degree of freedom**

Macroscopic Quantum Modification of Classical Gravity

## 4D Anomalous Effective Action

### Conformal Parametrization

$$\rightarrow g_{ab} = \exp(2\sigma) \bar{g}_{ab}$$

$$\text{Since } \sqrt{g} F = \sqrt{\bar{g}} \bar{F}$$

is **independent** of  $\sigma$ , and

$$\sqrt{g} \left( E - \frac{2}{3} \square R \right) = \sqrt{\bar{g}} \left( \bar{E} - \frac{2}{3} \square \bar{R} \right) + 4\sqrt{\bar{g}} \bar{\Delta}_4 \sigma$$

is **linear** in  $\sigma$ , the variational eq.,

$$\frac{\delta \Gamma_{WZ}}{\delta \sigma} = \sqrt{g} \langle T_a^a \rangle = b \sqrt{g} F + b' \sqrt{g} \left( E - \frac{2}{3} \square R \right)$$

determines the **Wess-Zumino Action** by inspection:

$$\Gamma_{WZ} = 2b' \int d^4x \sqrt{\bar{g}} \sigma \bar{\Delta}_4 \sigma + \int d^4x \sqrt{\bar{g}} \left[ b \bar{F} + b' \left( \bar{E} - \frac{2}{3} \square \bar{R} \right) \right] \sigma ,$$

$$\Delta_4 \equiv \square^2 + 2R^{ab} \nabla_a \nabla_b - \frac{2}{3} R \square + \frac{1}{3} (\nabla^a R) \nabla_a$$

$$\mathbf{F} = \mathbf{C}_{abcd} \mathbf{C}^{abcd}$$

$$\mathbf{E} = \mathbf{R}_{abcd} \mathbf{R}^{abcd} - 4 \mathbf{R}_{ab} \mathbf{R}^{ab} + \mathbf{R}^2$$



# Effective Action for the Trace Anomaly

- **Non-Local Covariant Form**

$$S_{anom}[g] = \frac{1}{2} \int_x \int_{x'} \left( \frac{E}{2} - \frac{\square R}{3} \right)_x (\Delta_4^{-1})_{x,x'} \left[ b C^2 + b' \left( \frac{E}{2} - \frac{\square R}{3} \right) \right]_{x'} + c F^2 + c' G^2$$

- **Local Covariant Form**

$$S_{anom}[g; \varphi] = \frac{b'}{2} \int d^4x \sqrt{g} \left[ -\varphi \Delta_4 \varphi + \varphi \left( E - \frac{2}{3} \square R + \frac{b}{b'} C^2 + c F^2 + c' G^2 \right) \right]$$
$$\Delta_4 \varphi = \frac{1}{2} \left( E - \frac{2}{3} \square R + \frac{b}{b'} C^2 + c F^2 + c' G^2 \right)$$

- **Dynamical Scalar in Conformal Sector**

$$\Delta_4 = \square^2 + 2R^{ab} \nabla_a \nabla_b - \frac{2}{3} R \square + \frac{1}{3} (\nabla^a R) \nabla_a$$

- **Expectation Value/Classical Field is Scalar Condensate**

- **Condensate Affects Effective QED, QCD Couplings**



## IR Relevant Term in the Action

The effective action for the trace anomaly scales logarithmically with distance and therefore should be included in the low energy macroscopic EFT description of gravity—

Not given purely in terms of Local Curvature

$$S_{EFT}[g, \varphi] = S_{cl}[g] + S_{anom}[g, \varphi]$$

*This is a non-trivial modification of classical General Relativity from quantum effects*

Additional Conformal Scalar Degree of Freedom

# Stress Tensor of the Anomaly

Variation of the Effective Action with respect to the metric gives stress-energy tensor

$$T_{\mu\nu}^{anom} [g, \varphi] = - \frac{2}{\sqrt{-g}} \frac{\delta S_{anom}}{\delta g^{\mu\nu}}$$

- Quantum Vacuum Polarization in Terms of (Semi-) Classical Scalar ‘Potential’ Condensate
- $\varphi$  is a scalar degree of freedom in low energy gravity which depends upon the global topology of spacetimes and its boundaries, horizons

# Anomaly Scalar in Schwarzschild Space

- General solution of  $\varphi$  equation as function of  $r$  are easily found in Schwarzschild case (Mass  $M$ )

$$\left. \frac{d\varphi}{dr} \right|_s = -\frac{1}{3M} - \frac{1}{r} + \frac{2Mc_H}{r(r-2M)} + \frac{c_\infty}{2M} \left( \frac{r}{2M} + 1 + \frac{2M}{r} \right) + \frac{q-2}{6M} \left( \frac{r}{2M} + 1 + \frac{2M}{r} \right) \ln \left( 1 - \frac{2M}{r} \right) - \frac{q}{6r} \left[ \frac{4M}{r-2M} \ln \left( \frac{r}{2M} \right) + \frac{r}{2M} + 3 \right]$$

- $q, c_H, c_\infty$  are integration constants,
- Only way to have vanishing  $\varphi$  as  $r \rightarrow \infty$  is  $c_\infty = q = 0$
- But only way to have finiteness on the horizon is  $c_H = 0, q = 2$
- Topological obstruction to finiteness vs. falloff of stress tensor
- Relevant to Black Hole horizons
- Also gives long range Scalar Condensate potential from any source
- Radial  $r$  Dependent Variation of QED, QCD Couplings

# Conclusions

- Conformal Anomaly Predicts New Massless Scalar
- Classical **Condensate** Potential from Massive Sources
- Gravitational Coupling relevant to **BH's, Dark Energy**
- **Scalar** (Breather Mode) **Gravitational Waves**
- Couples also to Two-Photons  $F^2$ , Two-Gluons  $G^2$
- Linear Dependence off  $\alpha$  ,  $\alpha_s$
- **Axion-Like Scalar**: HE Scattering off EBL, CMB
- Light through the Wall? Other Terrestrial Tests?
- Dark Matter-like Effects? Time Dependent Condensates?

**Ultra-Light Frontier should include Scalars**



# Exact Effective Action & Wilson Effective Action

- Integrating out Matter + ... Fields in Fixed Gravitational Background gives the **Exact** Quantum Effective Action
- The possible terms in  $S_{\text{exact}}[g]$  can be classified according to their response to local Weyl rescalings  $g \rightarrow e^{2\sigma} g$

$$S_{\text{exact}}[g] = S_{\text{local}}[g] + S_{\text{anom}}[g] + S_{\text{Weyl}}[g]$$

- $S_{\text{local}}[g] = (1/16\pi G) \int d^4x \sqrt{g} (R - 2\Lambda) + \sum_{n \geq 4} M_{\text{Pl}}^{4-n} S_{\text{local}}^{(n)}[g]$   
Ascending series of higher derivative local terms,  $n > 4$  irrelevant

- Non-local but Weyl-invariant (neutral under rescalings)

$$S_{\text{Weyl}}[g] = S_{\text{Weyl}}[e^{2\sigma} g]$$

- $S_{\text{anom}}[g]$  special non-local terms that scale linearly with  $\sigma$ , logarithmically with distance, representatives of non-trivial cohomology under Weyl group
- Wilson effective action captures all **IR** physics

$$S_{\text{eff}}[g] = S_{\text{HE}}[g] + S_{\text{anom}}[g]$$



# Casimir Effect from the Anomaly

In ordinary flat space the relevant tensor is

$$E_{ab} \Big|_{flat} = -2 (\nabla_{(a} \varphi) (\nabla_{b)} \square \varphi) + 2 (\square \varphi) (\nabla_a \nabla_b \varphi) \\ + \frac{2}{3} (\nabla_c \varphi) (\nabla^c \nabla_a \nabla_b \varphi) - \frac{4}{3} (\nabla_a \nabla_c \varphi) (\nabla_b \nabla^c \varphi) \\ + \frac{1}{6} g_{ab} \left\{ -3 (\square \varphi)^2 + \square (\nabla_c \varphi \nabla^c \varphi) \right\} - \frac{2}{3} \nabla_a \nabla_b \square \varphi,$$

Particular Solution:  $\varphi = c_1 \frac{z^2}{a^2}$

Casimir Stress tensor between parallel plates:

$$T_{ab}^{(anom)} = \frac{C}{a^4} \text{diag}(-1, 1, 1, -3)$$

Other examples (Rindler wedge, de Sitter, Schwarzschild)



# Relevance of the Trace Anomaly

- Expansion of Effective Action in *Local* Invariants assumes *Decoupling* of Short Distance from Long Distance Modes
- But Relativistic Particle Creation is **Non-Local**
- *Massless* Modes do **not** decouple
- Special Non-local Additions to Local EFT
- *IR* Sensitivity to *UV* degrees of freedom
- **QFT** Conformal Behavior, Breaking & Bulk Viscosity (analog of conductivity) determined by Anomaly
- **Blueshift** on Horizons  $\rightarrow$  behavior conformal there
- Additional Scalar Degree(s) of Freedom in EFT of Gravity allow & predict Dynamics of  $\Lambda$