

# Theoretical status of the proton radius

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**Institute for Nuclear Physics  
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Vaguely based on recent review papers

REVIEWS OF MODERN PHYSICS, VOLUME 94, JANUARY–MARCH 2022

## The proton charge radius

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M. Vanderhaeghen<sup>✉</sup>

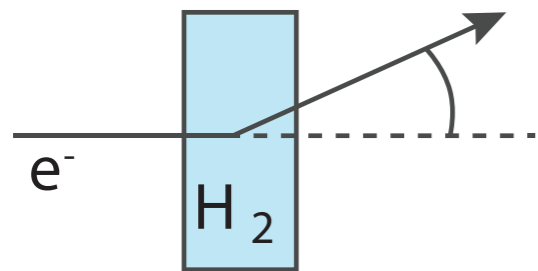
*Institut für Kernphysik and PRISMA<sup>+</sup> Cluster of Excellence, Johannes Gutenberg Universität,  
D-55099 Mainz, Germany*

Annu. Rev. Nucl. Part. Sci. 2022. 72:1–31

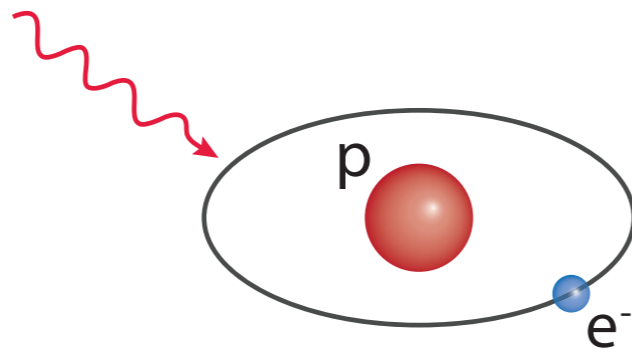
## The Proton Structure in and out of Muonic Hydrogen

Aldo Antognini,<sup>1,2</sup> Franziska Hagelstein,<sup>1,3</sup> and  
Vladimir Pascalutsa<sup>3</sup>

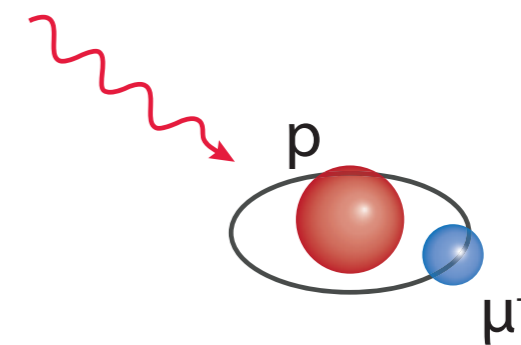
**@ PREN2022  
Workshop,  
Paris  
June 20-23, 2022**



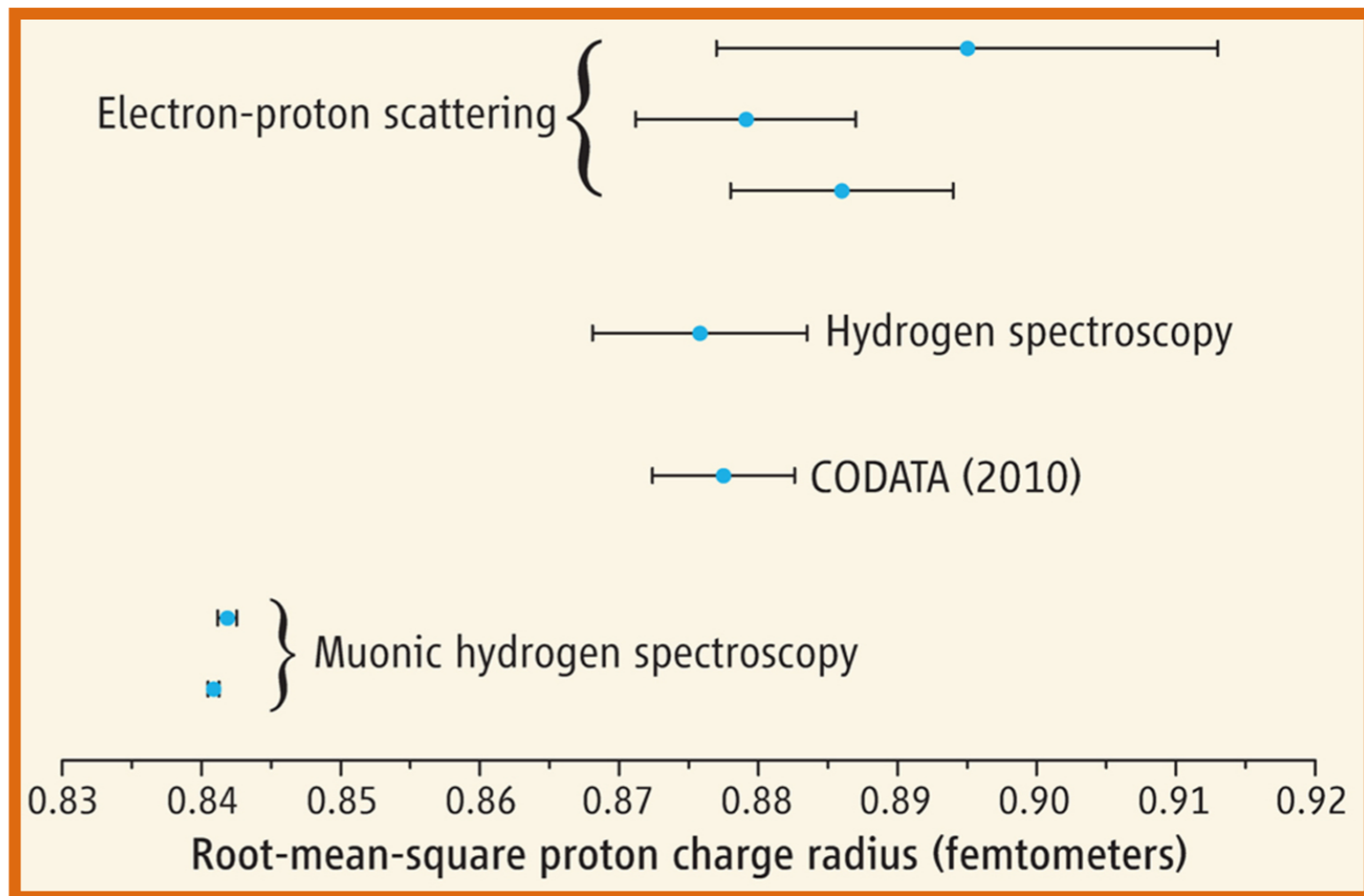
$e^-$ -p scattering



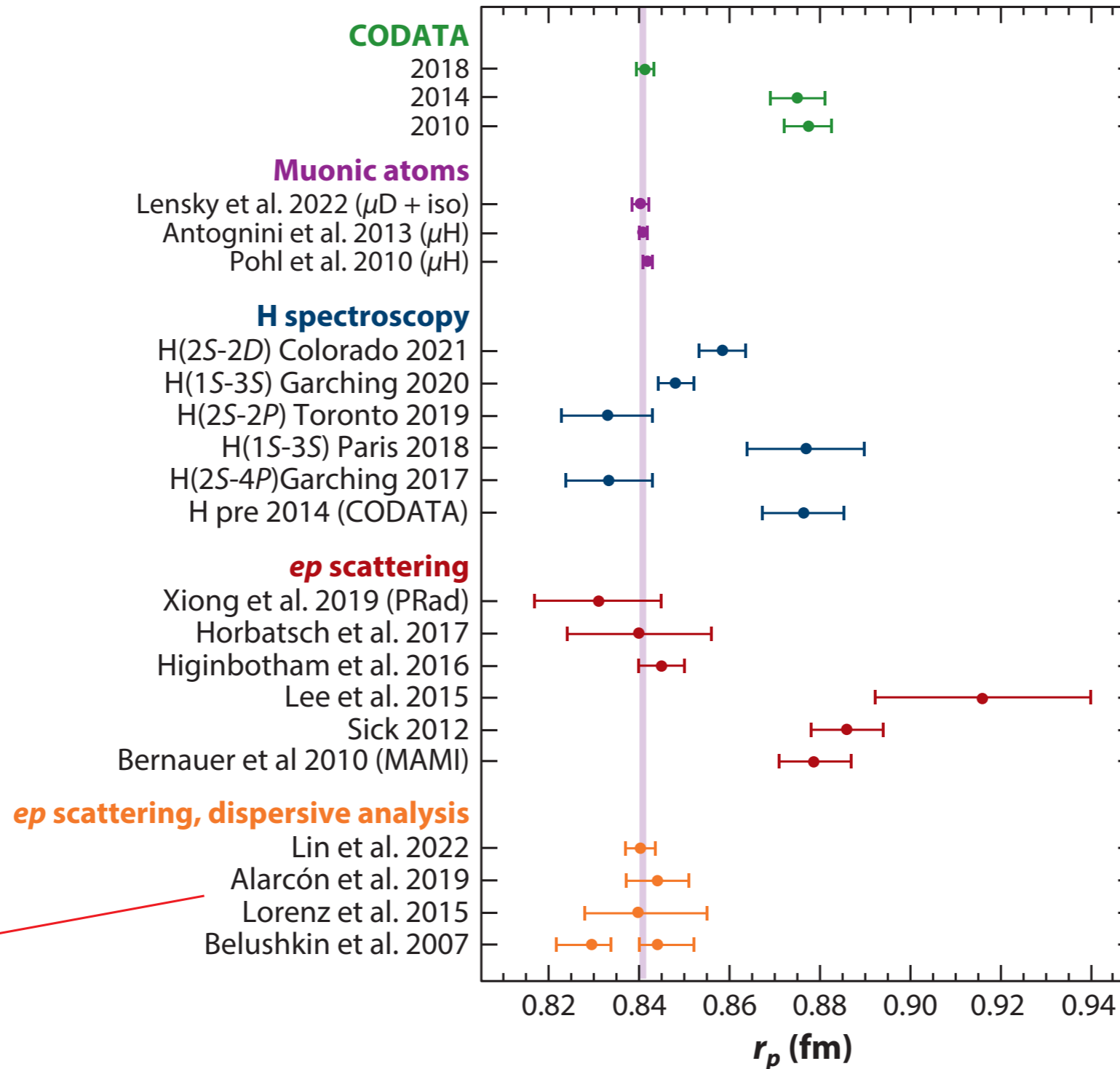
H spectroscopy



$\mu p$  spectroscopy

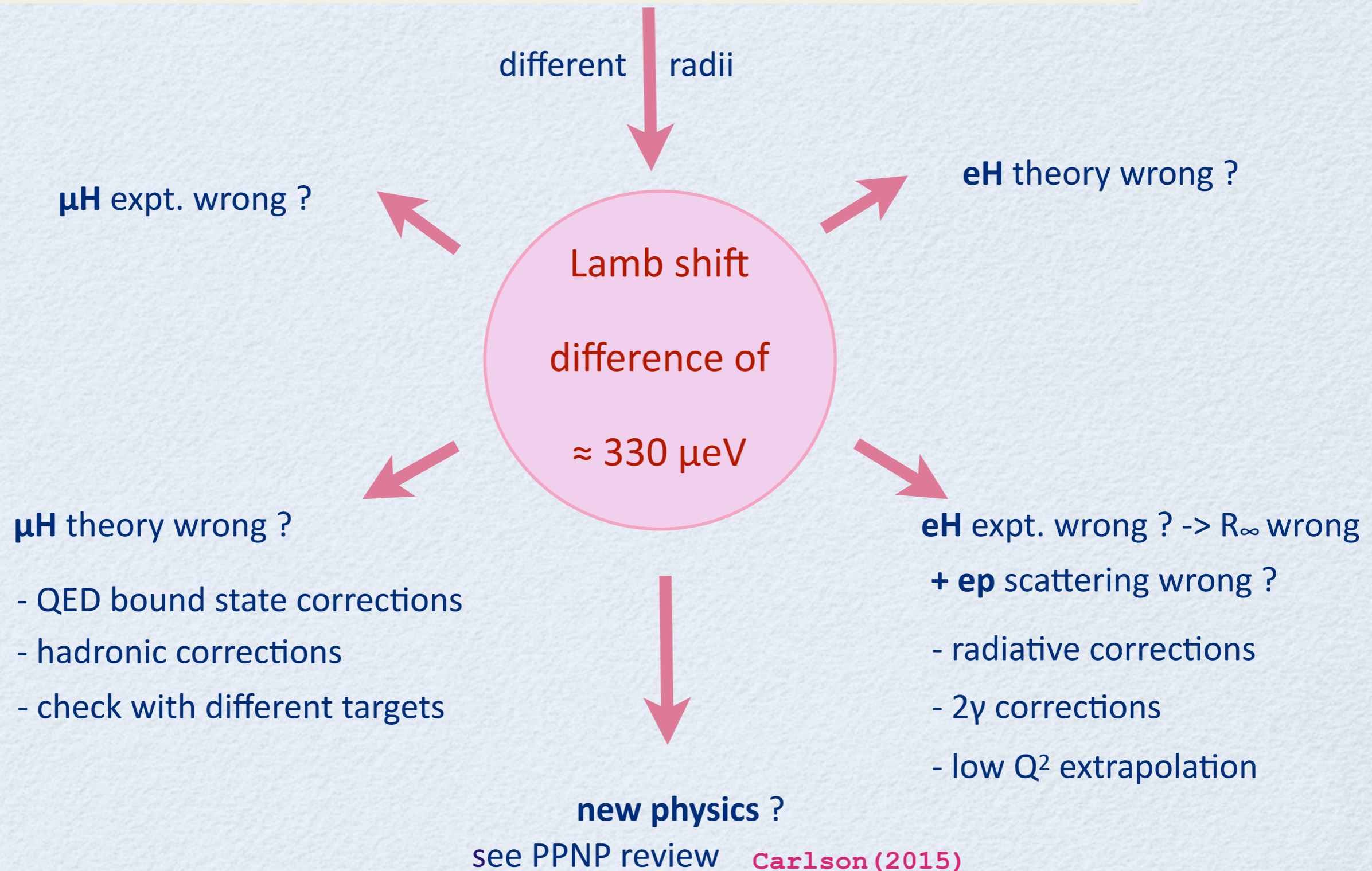


# Present status of the proton charge radius

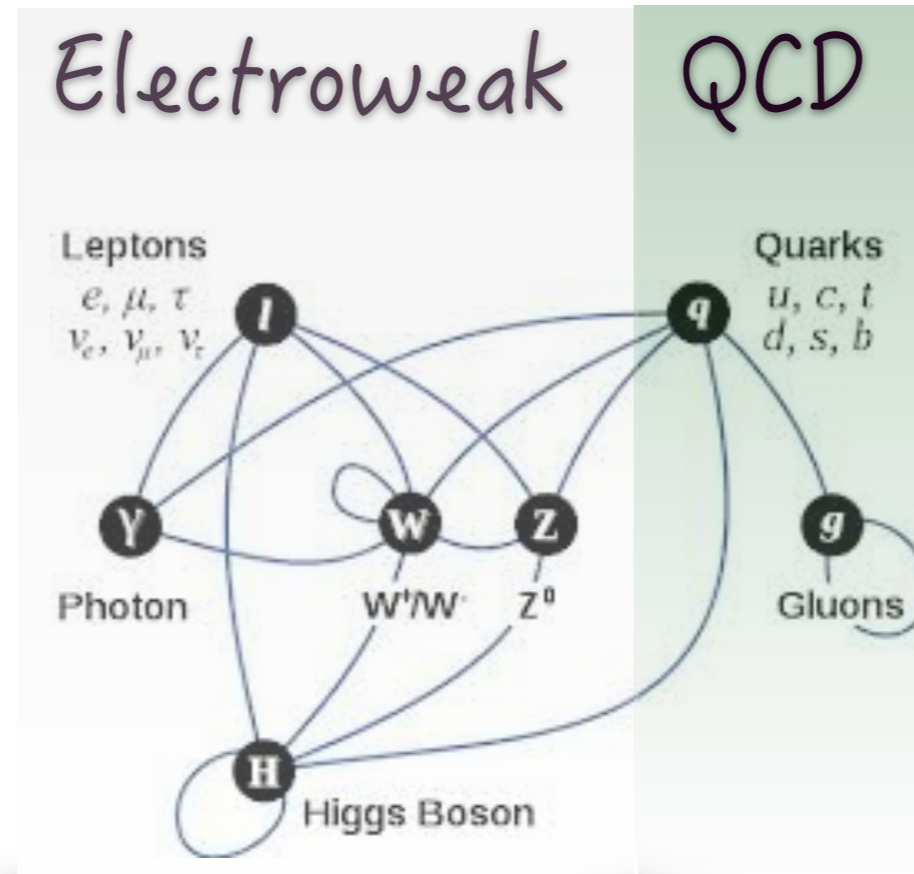


# Proton radius puzzle: what could it mean ?

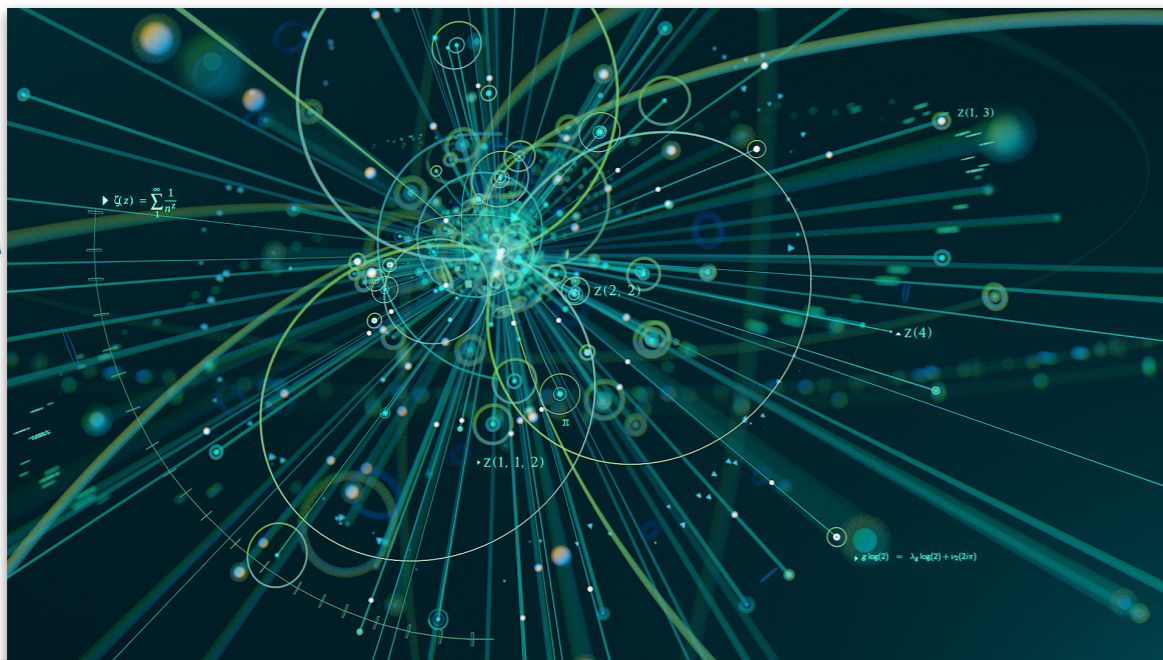
$$\Delta E_{LS} = 206.0336 (15) - 5.2275 (10) R_E^2 + \Delta E_{TPE} \quad \text{meV}$$



# Standard Model



is presently the best theory of (nearly) everything



# Approaches to low-energy QCD

**EFTs**

ChPT

SCET

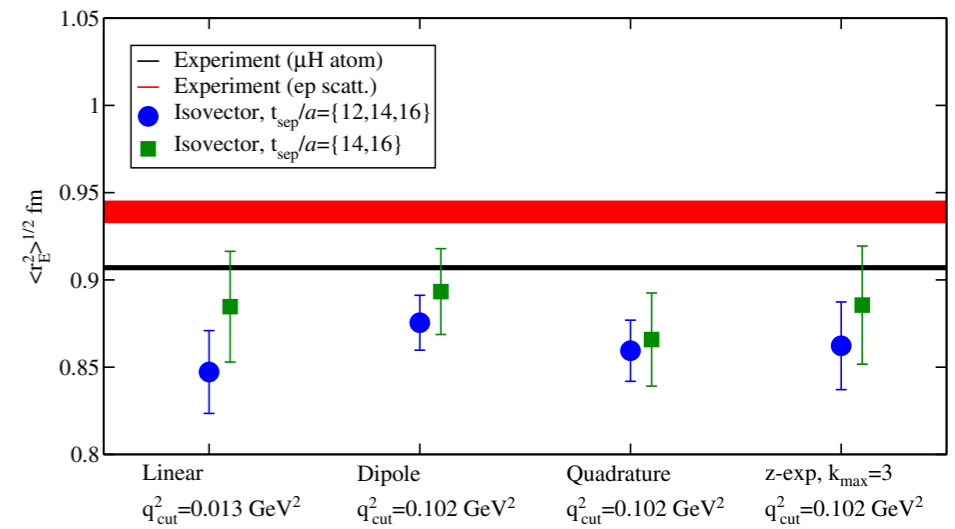
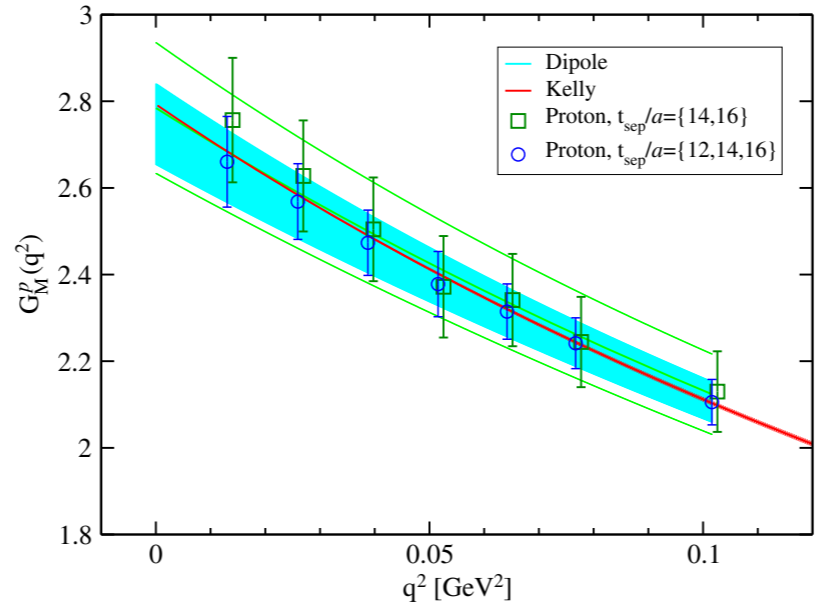
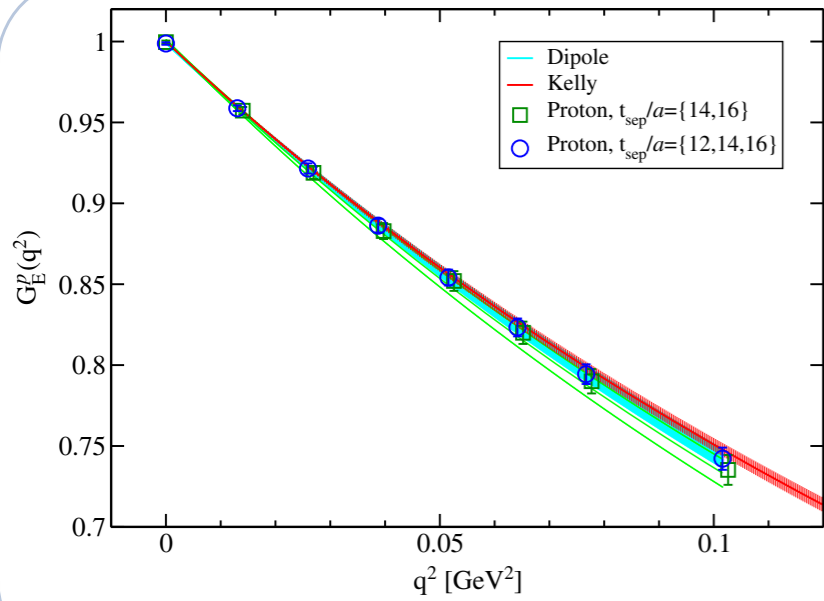
...

**Lattice  
QCD**

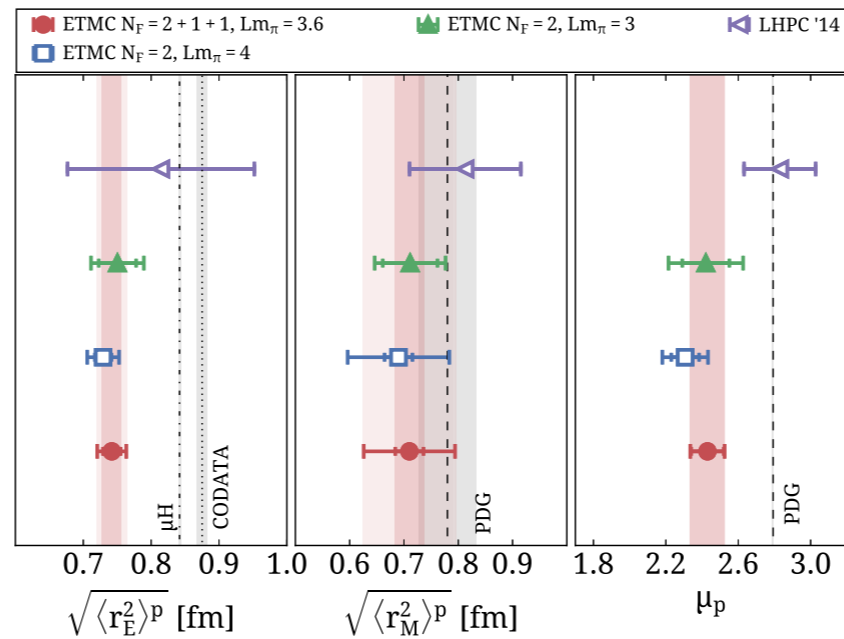
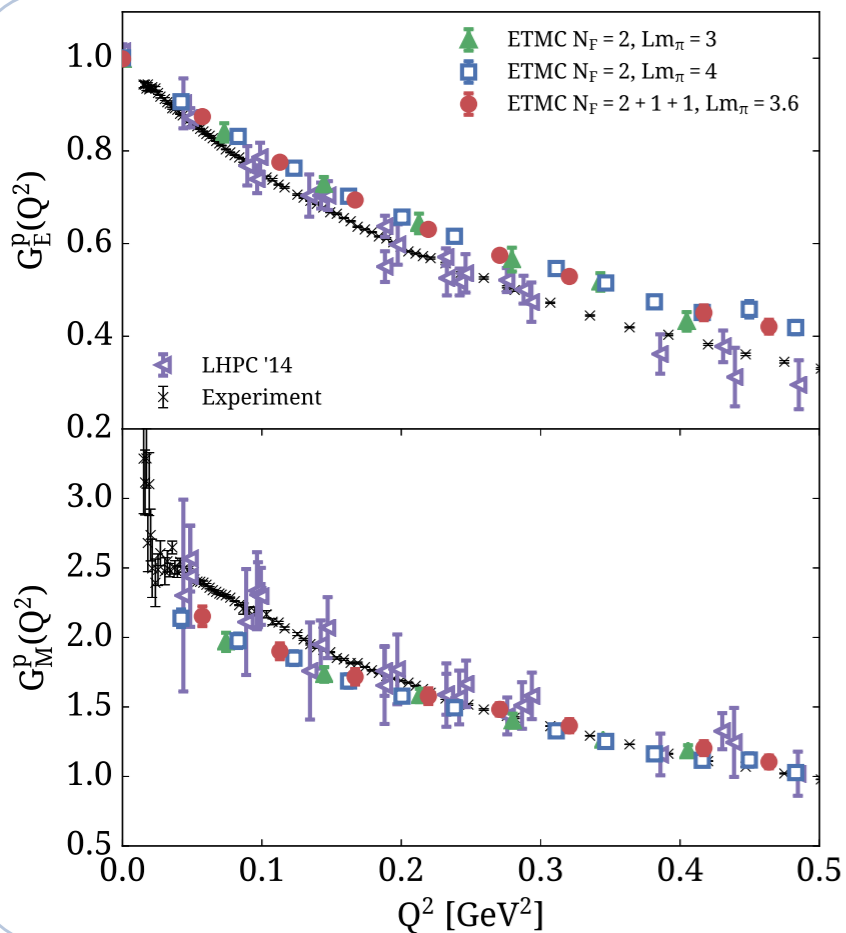
**BERMUDA TRIANGLE**  
ADVENTURE

**Dispersive  
data-driven**

# LATTICE QCD



PACS Coll., Phys. Rev. D **99**, 014510 (2019)

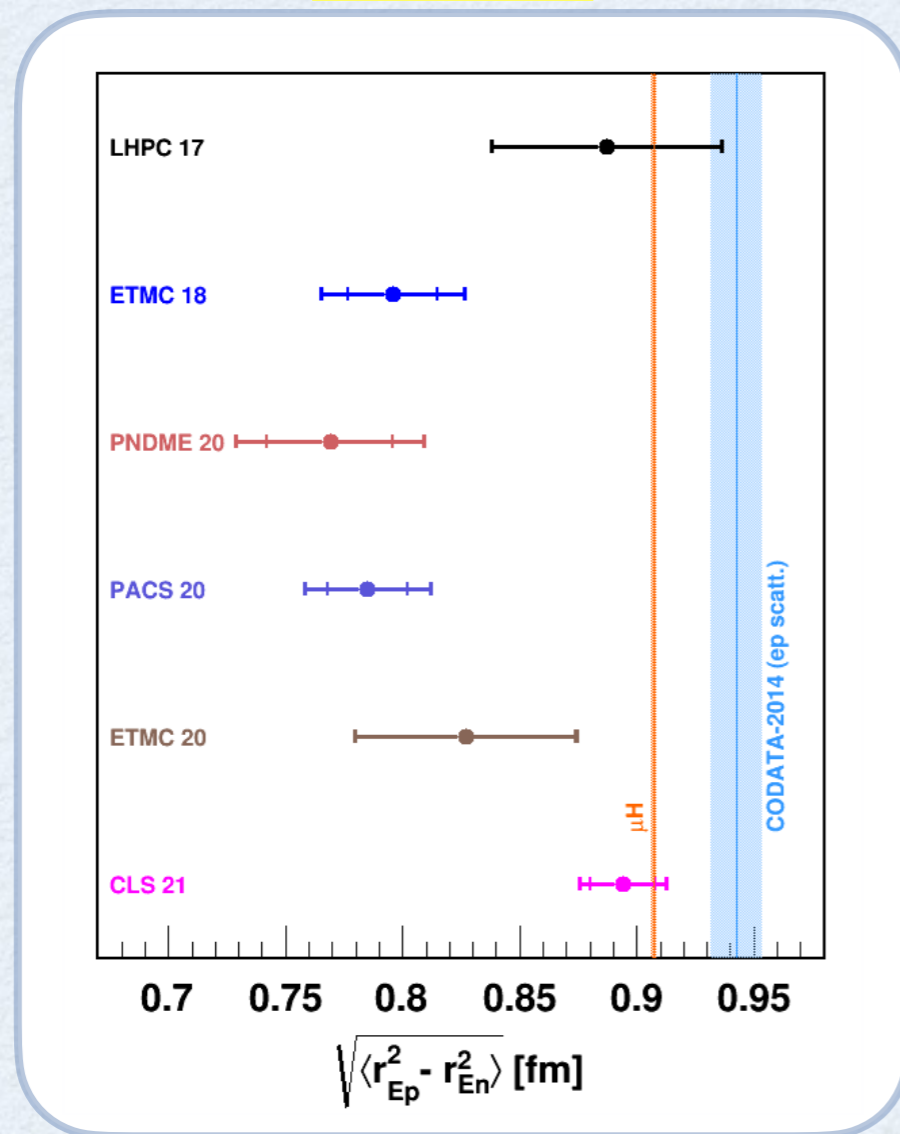


C. Alexandrou *et al.*, Phys. Rev. D **100**, 014509 (2019)

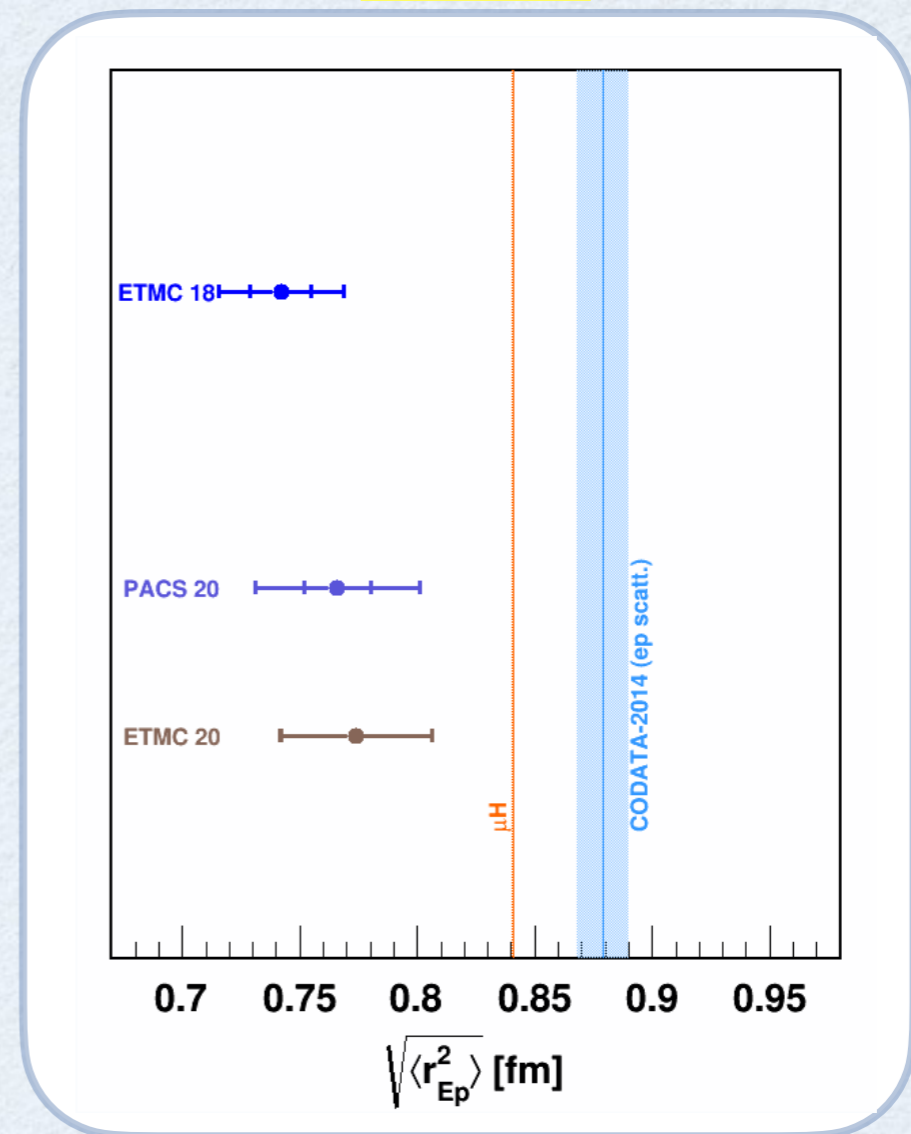
# Proton charge radius from lattice QCD

- Lattice QCD results at (or near) physical pion mass
- Control of excited state contaminations
- Proton electromagnetic FFs require disconnected contributions (found to be ~1%)
- Low  $Q^2 \rightarrow$  very large lattice volumes, radius extraction requires extrapolation using FF fit

isovector



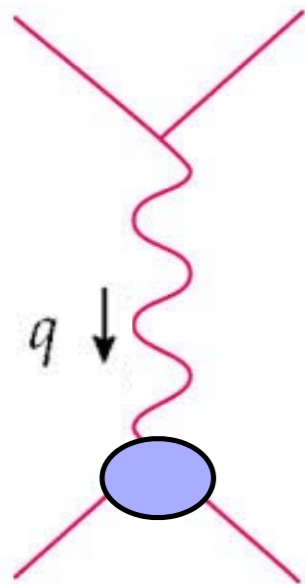
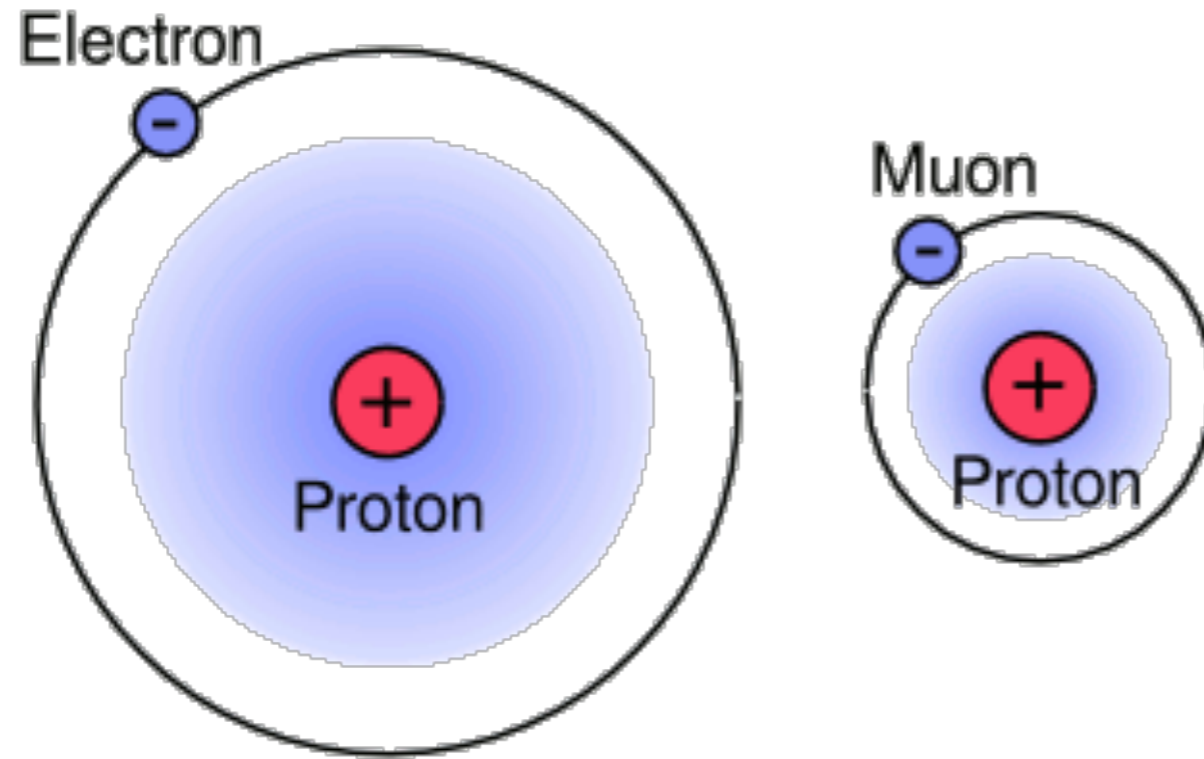
proton





# Proton charge radius in hydrogens

# Hydrogens sensitive to proton structure



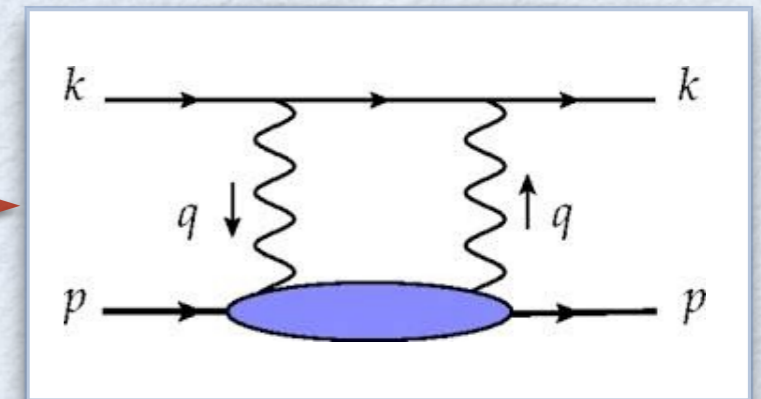
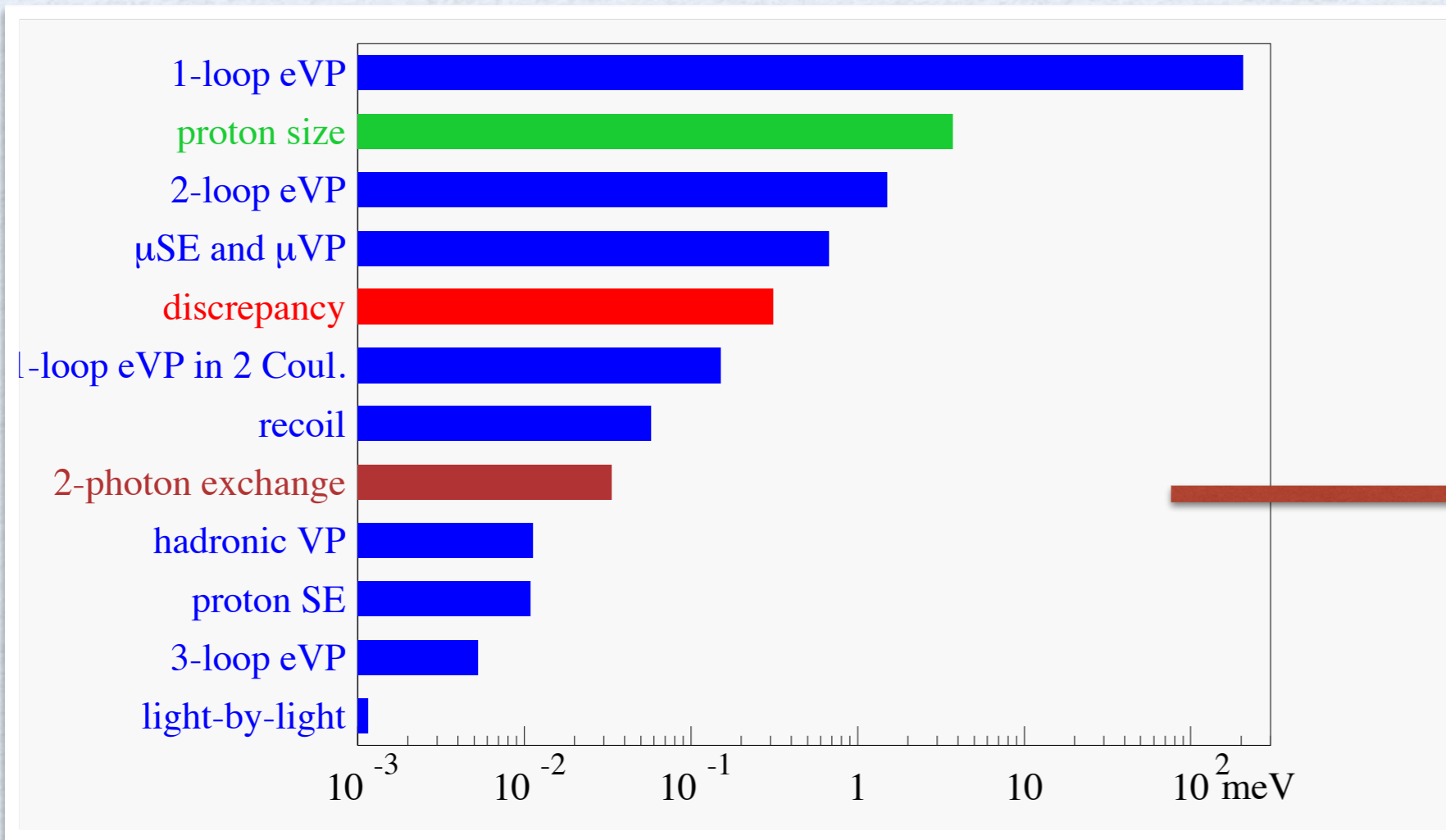
$$\delta V^{(1\gamma)} = -\frac{4\pi\alpha}{\vec{q}^2} [G_E(-\vec{q}^2) - 1] = \frac{2}{3}\pi\alpha r_E^2 + O(\vec{q}^2)$$

$$\Delta E_{nl}^{(\text{FS})} = \langle nlm | \delta V^{(1\gamma)} | nlm \rangle = \delta_{l0} \frac{2}{3}\pi\alpha r_E^2 \frac{\alpha^3 m_r^3}{\pi n^3} + O(\alpha^5)$$

wave function  
at origin

# Subleading proton effects in the Lamb shift

## $\mu\text{H}$ Lamb shift: summary of corrections



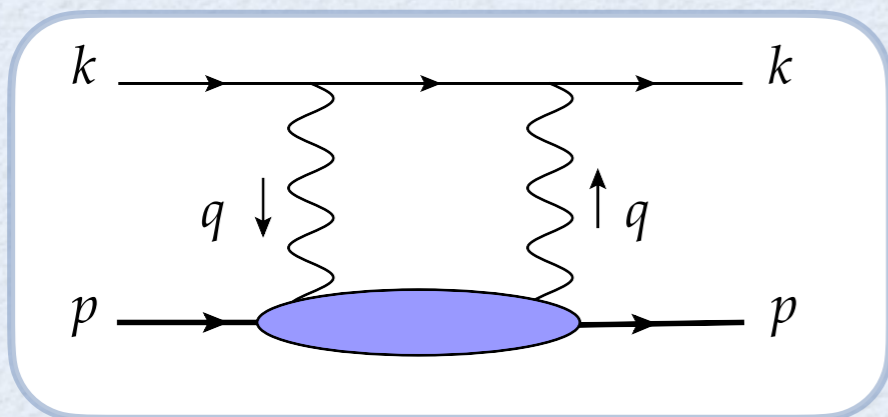
largest theoretical uncertainty

➔ elastic contribution on 2S level:  $\Delta E_{2S} = -23 \mu\text{eV}$

➔ inelastic contribution: Carlson & Vanderhaeghen (2011)  
Birse & McGovern (2012)

$$\Delta E_{\text{TPE}}(2P-2S) = (33 \pm 2) \mu\text{eV}$$

# Two-photon exchange: hadronic corrections



$$T^{\mu\nu}(p, q) = \left( -g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) T_1(\nu, Q^2) + \frac{1}{M^2} \left( p^\mu - \frac{p \cdot q}{q^2} q^\mu \right) \left( p^\nu - \frac{p \cdot q}{q^2} q^\nu \right) T_2(\nu, Q^2)$$

- **Two-photon exchange (TPE)**: lower blob contains both elastic (nucleon) and inelastic states
- **Lamb shift**: described by unpolarized amplitudes  $T_1$ ,  $T_2$ : functions of energy  $\nu$  and  $Q^2$
- **Hyperfine splitting**: described by polarized amplitudes  $S_1$ ,  $S_2$
- **Imaginary parts**: directly proportional to nucleon structure functions  $F_1$ ,  $F_2$  resp.  $g_1$ ,  $g_2$
- **Real parts**: obtained as dispersion integral over the imaginary parts modulo a subtraction function in case of  $T_1$

$$\Delta E = \Delta E^{el} + \Delta E^{subtr} + \Delta E^{inel}$$

Elastic: involves **nucleon form factors**  
 Subtraction: involves **nucleon polarizabilities**  
 Inelastic state: involves **nucleon structure functions**

**Data-driven evaluation possible, except for the subtraction function (in the Lamb shift)**

# Two-Photon Exchange (TPE) in Lamb shift

wave function at the origin

$$\Delta E(nS) = 8\pi\alpha m \phi_n^2 \frac{1}{i} \int_{-\infty}^{\infty} \frac{d\nu}{2\pi} \int \frac{d\mathbf{q}}{(2\pi)^3} \frac{(Q^2 - 2\nu^2) T_1(\nu, Q^2) - (Q^2 + \nu^2) T_2(\nu, Q^2)}{Q^4(Q^4 - 4m^2\nu^2)}$$

dispersion relation  
& optical theorem

$$T_1(\nu, Q^2) = T_1(0, Q^2) + \frac{32\pi Z^2 \alpha M \nu^2}{Q^4} \int_0^1 dx \frac{x F_1(x, Q^2)}{1 - x^2(\nu/\nu_{el})^2 - i0^+}$$

$$T_2(\nu, Q^2) = \frac{16\pi Z^2 \alpha M}{Q^2} \int_0^1 dx \frac{F_2(x, Q^2)}{1 - x^2(\nu/\nu_{el})^2 - i0^+}$$

*data-driven* dispersive calculations:

low-energy expansion:

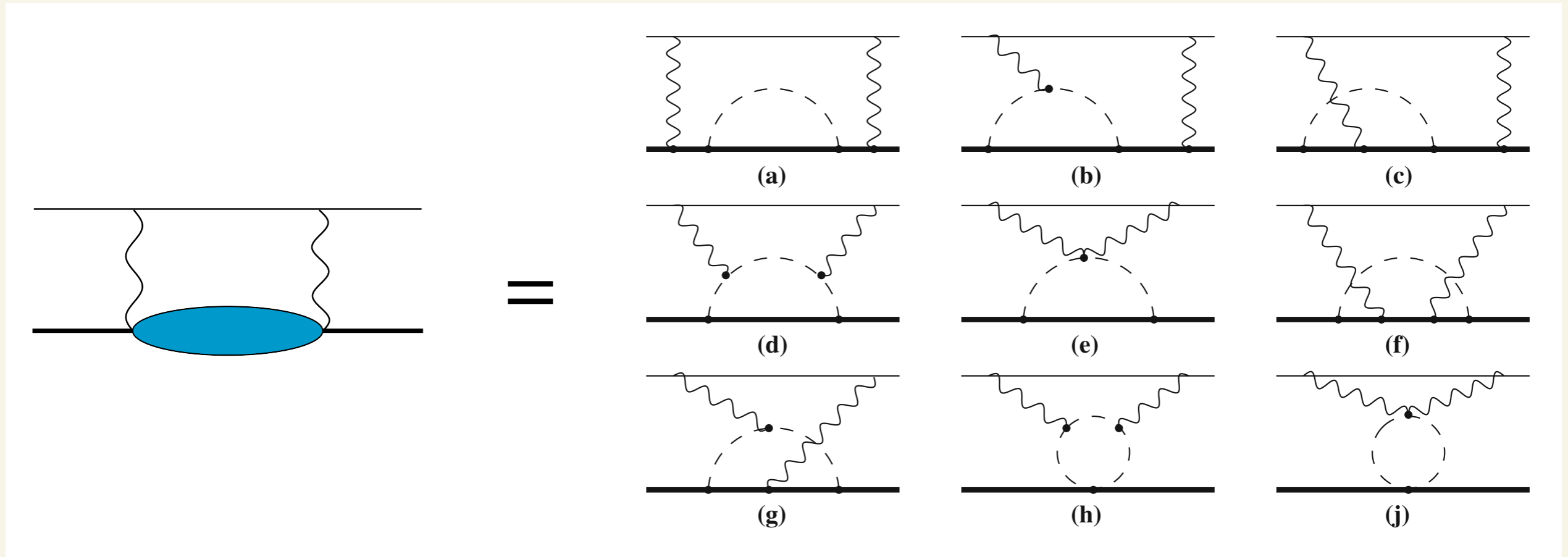
$$\lim_{Q^2 \rightarrow 0} \bar{T}_1(0, Q^2)/Q^2 = 4\pi\beta_{M1}$$

the subtraction function  
can be calculated in ChPT

e.g., Pachucki modeled  $Q^2$  behavior as:

$$\bar{T}_1(0, Q^2) = 4\pi\beta_{M1} Q^2 / (1 + Q^2/\Lambda^2)^4$$

# forward TPE in muonic hydrogen



Lamb shift

**LO:** J. M. Alarcon, V. Lensky & V.P., Eur. Phys. J. C **74** (2014) 2852

**NLO:** F. Hagelstein, V. Lensky & V.P., in prep.

HFS

**LO:** F. Hagelstein & V.P., PoS (2015)

**NLO:** F. Hagelstein, V. Lensky & V.P., in prep.

# Lamb shift: subtraction function

low-energy expansion of forward, doubly virtual Compton scattering contains a subtraction term  $T_1(0, Q^2)$

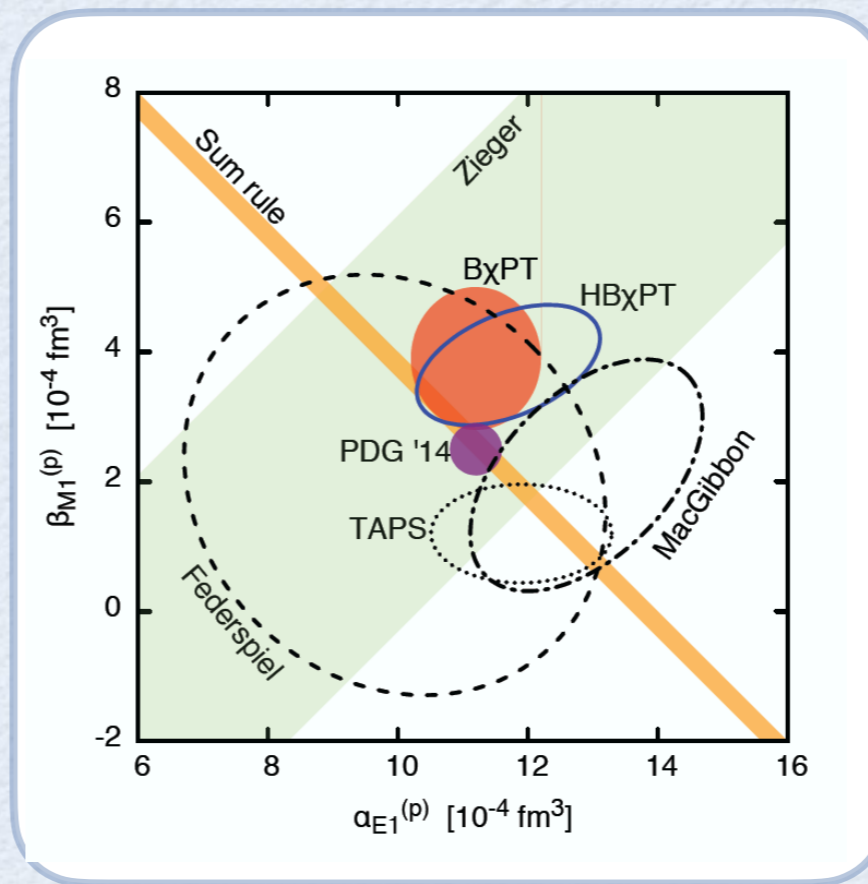
effective Hamiltonian:

$$\mathcal{H} = -\frac{1}{2}4\pi\alpha_E\vec{E}^2 - \frac{1}{2}4\pi\beta_M\vec{B}^2$$

electric

magnetic

polarizabilities



Theory analyses:

**BChPT**

Lensky, Pascalutsa (2010)

**HBChPT**

Griesshammer, McGovern, Phillips (2013)

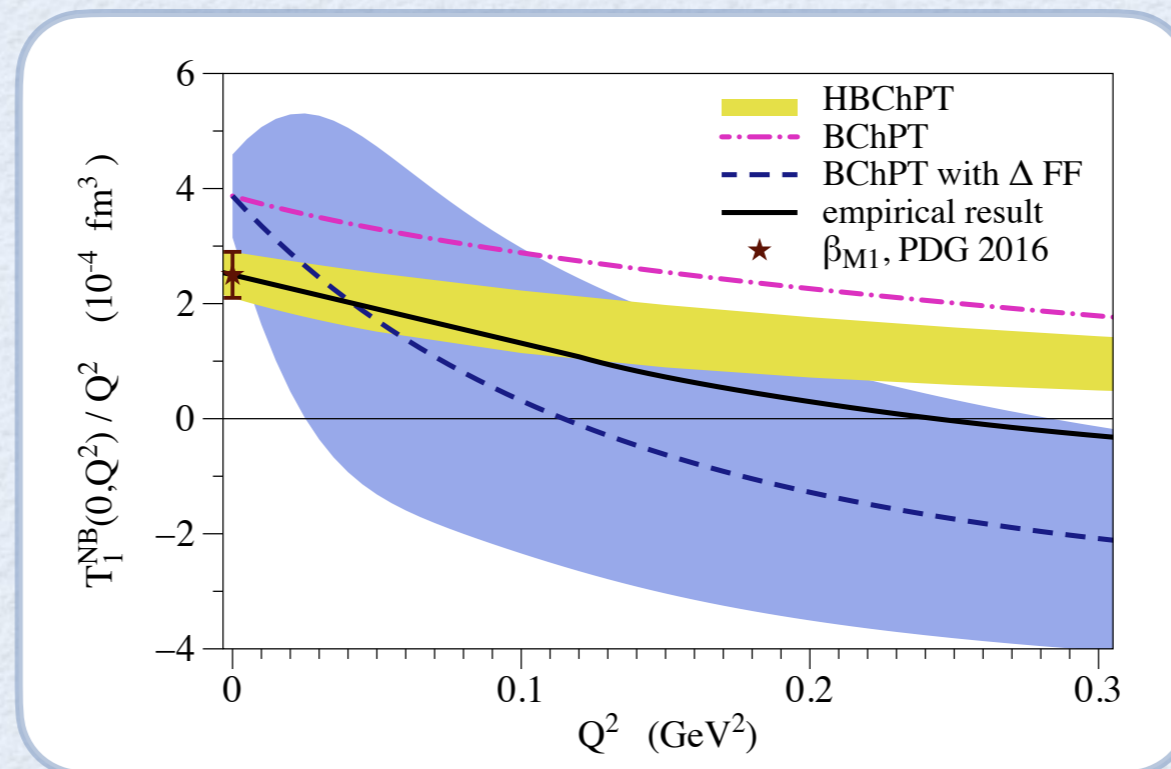
PDG '14 values:

$$\alpha_E = (11.2 \pm 0.2) \times 10^{-4} \text{ fm}^3$$

$$\beta_M = (2.5 \pm 0.4) \times 10^{-4} \text{ fm}^3$$

subtraction term

$$T_1^{\text{non-Born}}(0, Q^2) = Q^2 \beta_M + \mathcal{O}(Q^4)$$



**HBChPT**

Birse, McGovern (2012)

**BChPT**

Lensky, Hagelstein, Pascalutsa, Vdh (2018)

**Empirical result**

(based on HERA data)

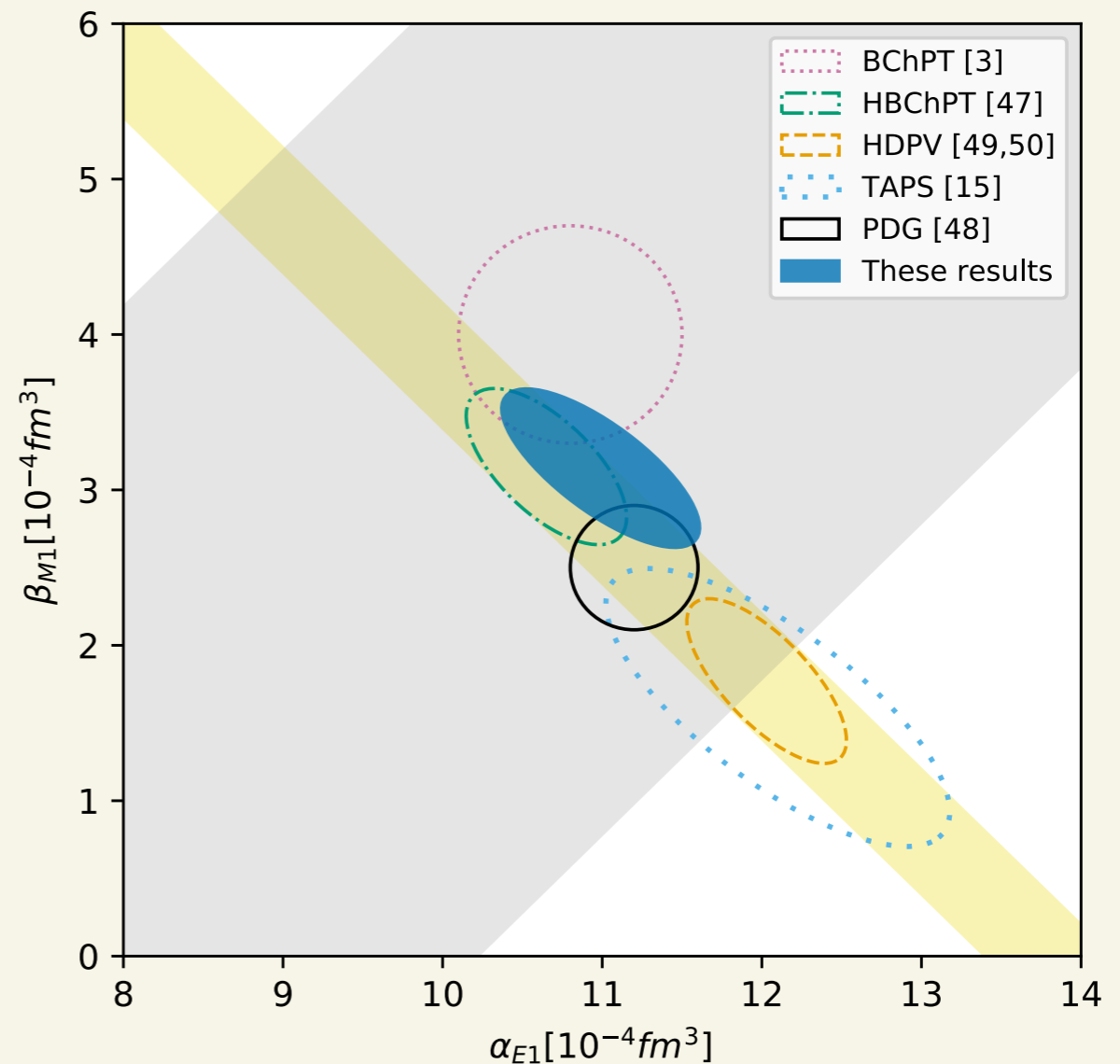
Tomalak, Vdh (2016)

# New measurement of proton polarizabilities

Measurement of Compton scattering at MAMI for the extraction of the electric and magnetic polarizabilities of the proton

E. Mornacchi,<sup>1</sup> P.P. Martel,<sup>1,2</sup> S. Abt,<sup>3</sup> P. Achenbach,<sup>1</sup> P. Adlarson,<sup>1</sup> F. Afzal,<sup>4</sup> Z. Ahmed,<sup>5</sup> J.R.M. Annand,<sup>6</sup> H.J. Arends,<sup>1</sup> M. Bashkanov,<sup>7</sup> R. Beck,<sup>4</sup> M. Biroth,<sup>1</sup> N. Borisov,<sup>8</sup> A. Braghieri,<sup>9</sup> W.J. Briscoe,<sup>10</sup> F. Cividini,<sup>1</sup> C. Collicott,<sup>1</sup> S. Costanza,<sup>9</sup> A. Denig,<sup>1</sup> A.S. Dolzhikov,<sup>8</sup> E.J. Downie,<sup>10</sup> P. Drexler,<sup>1</sup> S. Fegan,<sup>7</sup> S. Gardner,<sup>6</sup> D. Ghosal,<sup>3</sup> D.I. Glazier,<sup>6</sup> I. Gorodnov,<sup>8</sup> W. Gradl,<sup>1</sup> M. Günther,<sup>3</sup> D. Gurevich,<sup>11</sup> L. Heijkenkjöld,<sup>1</sup> D. Hornidge,<sup>2</sup> G.M. Huber,<sup>5</sup> A. Käser,<sup>3</sup> V.L. Kashevarov,<sup>1,8</sup> S.J.D. Kay,<sup>5</sup> M. Korolija,<sup>12</sup> B. Krusche,<sup>3</sup> A. Lazarev,<sup>8</sup> K. Livingston,<sup>6</sup> S. Lutterer,<sup>3</sup> I.J.D. MacGregor,<sup>6</sup> D.M. Manley,<sup>13</sup> R. Miskimen,<sup>14</sup> M. Mocanu,<sup>7</sup> C. Mullen,<sup>6</sup> A. Neganov,<sup>8</sup> A. Neiser,<sup>1</sup> M. Ostrick,<sup>1</sup> D. Paudyal,<sup>5</sup> P. Pedroni,<sup>9</sup> A. Powell,<sup>6</sup> T. Rostomyan,<sup>3</sup> V. Sokhoyan,<sup>1</sup> K. Spieker,<sup>4</sup> O. Steffen,<sup>1</sup> I. Strakovsky,<sup>10</sup> T. Strub,<sup>3</sup> M. Thiel,<sup>1</sup> A. Thomas,<sup>1</sup> Yu.A. Usov,<sup>8</sup> S. Wagner,<sup>1</sup> D.P. Watts,<sup>7</sup> D. Werthmüller,<sup>7,15</sup> J. Wetteg,<sup>1</sup> M. Wolfes,<sup>1</sup> and N. Zachariou<sup>7</sup>  
(A2 Collaboration at MAMI)

*Phys.Rev.Lett.* 128 (2022) 13, 132503  
arXiv: [2110.15691](https://arxiv.org/abs/2110.15691) [nucl-ex]



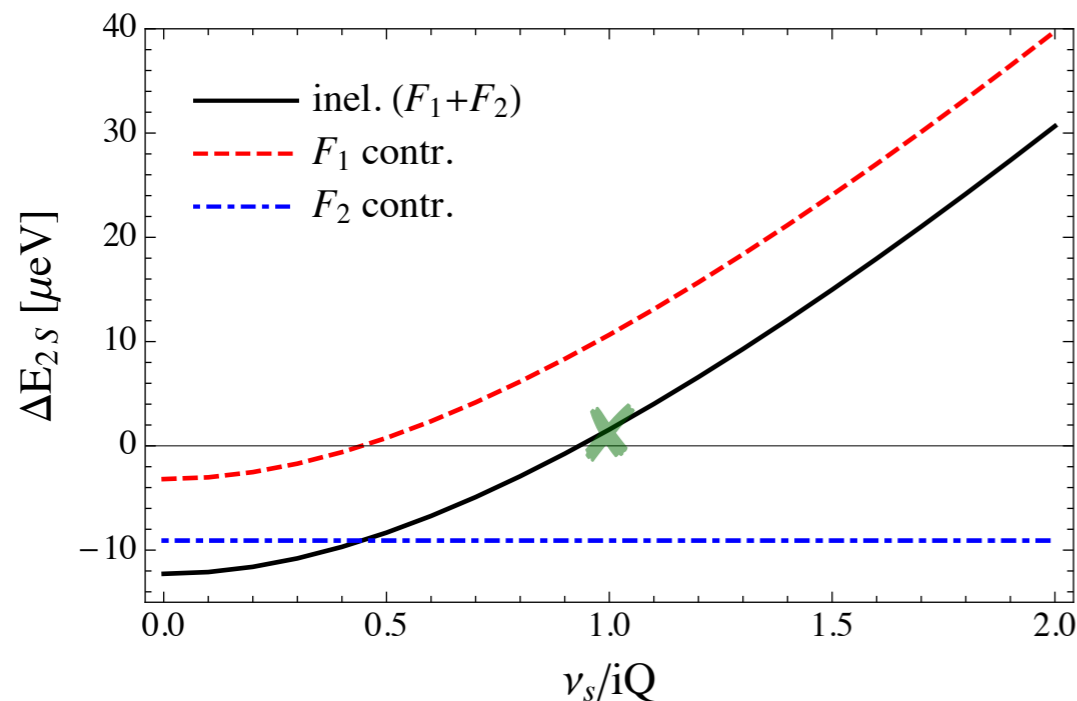


# EUCLIDEAN SUBTRACTION FUNCTION

- Once-subtracted dispersion relation for  $\bar{T}_1(\nu, Q^2)$  with subtraction at  $\nu_s = iQ$
- Dominant part of polarizability contribution:

$$\Delta E'_{nS}(\text{subt}) = \frac{2\alpha m}{\pi} \phi_n^2 \int_0^\infty \frac{dQ}{Q^3} \frac{2 + \nu_l}{(1 + \nu_l)^2} \bar{T}_1(iQ, Q^2) \text{ with } \nu_l = \sqrt{1 + 4m^2/Q^2}$$

- Inelastic contribution for  $\nu_s = iQ$  is order of magnitude smaller than for  $\nu_s = 0$
- Prospects for future lattice QCD and EFT calculations



based on Bosted-Christy parametrization:

$$\Delta E_{2S}^{(\text{inel})}(\nu_s = 0) \simeq -12.3 \mu\text{eV}$$

$$\Delta E'_{2S}^{(\text{inel})}(\nu_s = iQ) \simeq 1.6 \mu\text{eV}$$

Hagelstein & VP, Nucl. Phys. A **1016** (2021) 122323

# HYPERFINE SPLITTING IN $\mu\text{H}$

$$\Delta E_{\text{HFS}}(nS) = [1 + \Delta_{\text{QED}} + \Delta_{\text{weak}} + \Delta_{\text{structure}}] E_F(nS)$$

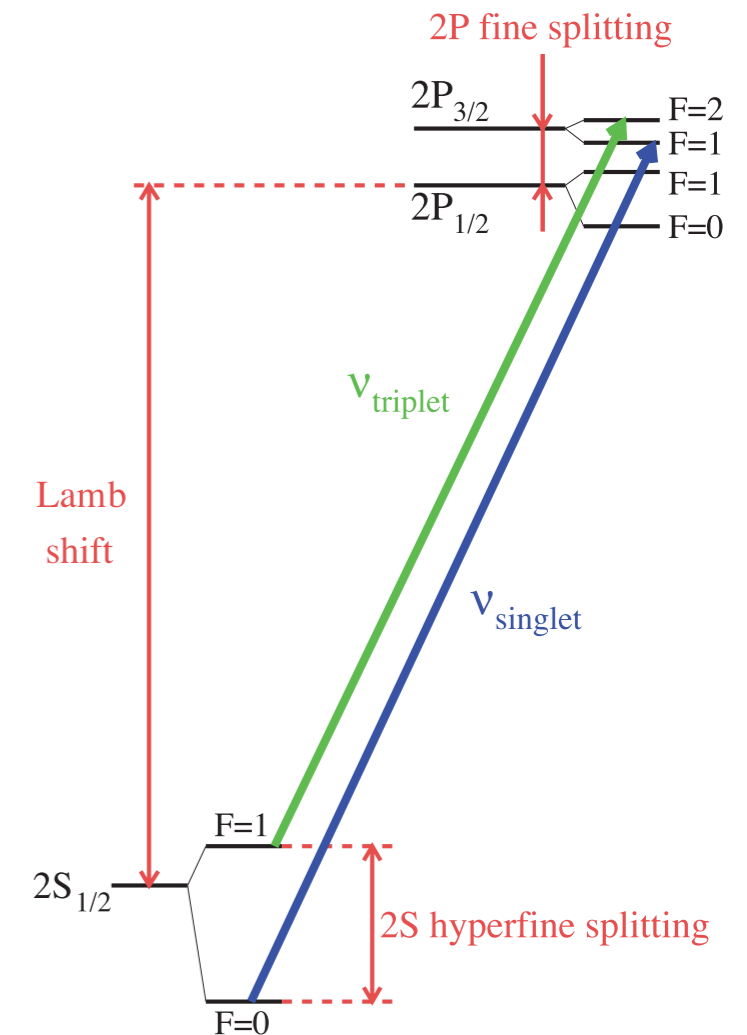
with  $\Delta_{\text{structure}} = \Delta_Z + \Delta_{\text{recoil}} + \Delta_{\text{pol}}$

Zemach radius:

$$\Delta_Z = \frac{8Z\alpha m_r}{\pi} \int_0^\infty \frac{dQ}{Q^2} \left[ \frac{G_E(Q^2)G_M(Q^2)}{1 + \kappa} - 1 \right] \equiv -2Z\alpha m_r R_Z$$

experimental value:  $R_Z = 1.082(37)$  fm

A. Antognini, et al., Science **339** (2013) 417–420



Measurements of the  $\mu\text{H}$  ground-state HFS planned by the CREMA, FAMU and J-PARC / Riken-RAL collaborations

- Very precise input for the  $2\gamma$  polarizability effect needed to find the  $\mu\text{H}$  ground-state HFS transition in experiment
- Zemach radius involves magnetic properties of the proton

# Proton Zemach radius from hyperfine splittings

# THEORY OF HYPERFINE SPLITTING

Antognini, Hagelstein & VP, Ann. Rev. Nucl. Part. **72** (2022)

## The hyperfine splitting of $\mu\text{H}$ (theory update):

$$E_{1S\text{-hfs}} = \left[ \underbrace{182.443}_{E_F} \underbrace{+1.350(7)}_{\text{QED+weak}} \underbrace{+0.004}_{\text{hVP}} \underbrace{-1.30653(17)}_{2\gamma \text{ incl. radiative corr.}} \left( \frac{r_{Zp}}{\text{fm}} \right) + E_F \left( 1.01656(4) \Delta_{\text{recoil}} + 1.00402 \Delta_{\text{pol}} \right) \right] \text{meV}$$

## The hyperfine splitting of H (theory update):

$$E_{1S\text{-hfs}}(\text{H}) = \left[ \underbrace{1\,418\,840.082(9)}_{E_F} \underbrace{+1\,612.673(3)}_{\text{QED+weak}} \underbrace{+0.274}_{\mu\text{VP}} \underbrace{+0.077}_{\text{hVP}} \right. \\ \left. -54.430(7) \left( \frac{r_{Zp}}{\text{fm}} \right) + E_F \left( 0.99807(13) \Delta_{\text{recoil}} + 1.00002 \Delta_{\text{pol}} \right) \right] \text{kHz}$$

$2\gamma$  incl. radiative corr.

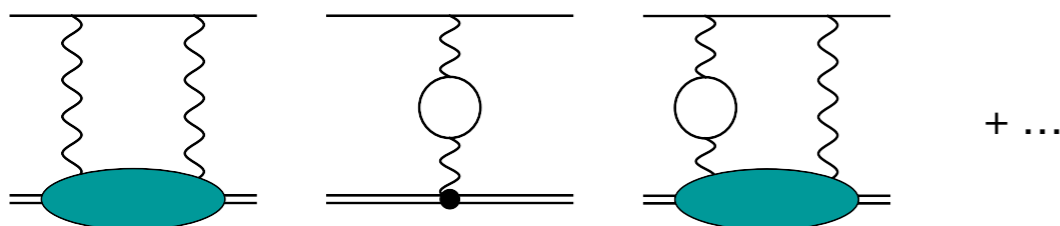
High-precision measurement of the “21 cm line” in H:

$$\delta \left( E_{1S\text{-hfs}}^{\text{exp.}}(\text{H}) \right) = 10 \times 10^{-13}$$

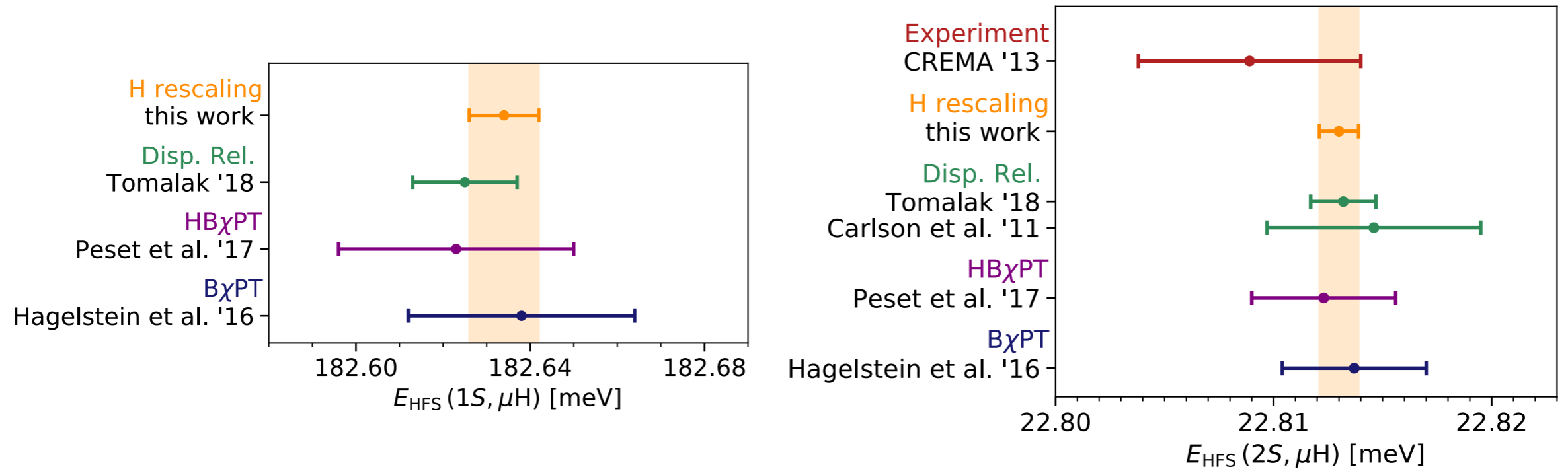
Hellwig et al., 1970

$$E_{1S\text{-hfs}}^{\text{hadr}}(\text{H}) = E_F(\text{H}) \left[ b_{1S}(\text{H}) \Delta_Z(\text{H}) + c_{1S}(\text{H}) \Delta_{\text{pol}}(\text{H}) + \Delta_{\text{hVP}}(\text{H}) \right] = -54.823(71) \text{kHz}$$

- $2\gamma$  + radiative corrections  $\Rightarrow$  differ for H vs.  $\mu\text{H}$  and 1S vs. 2S



# 2 $\gamma$ EFFECT IN THE HFS



## ■ Leverage radiative corrections:

### 1. Prediction for $\mu\text{H}$ HFS from empirical IS HFS in H

$$E_{nS\text{-hfs}}^{\text{hadr}}(\mu\text{H}) = \frac{E_{\text{F}}(\mu\text{H}) m_r(\mu\text{H}) b_{nS}(\mu\text{H})}{n^3 E_{\text{F}}(\text{H}) m_r(\text{H}) b_{1S}(\text{H})} E_{1S\text{-hfs}}^{\text{hadr}}(\text{H}) - \frac{E_{\text{F}}(\mu\text{H})}{n^3} \Delta_{\text{pol}}(\mu\text{H}) \left[ c_{1S}(\text{H}) \frac{b_{nS}(\mu\text{H})}{b_{1S}(\text{H})} - c_{nS}(\mu\text{H}) \right]$$

$= -6 \times 10^{-5} \quad n=1$   
 $= -5 \times 10^{-5} \quad n=2$

### 2. Disentangle Zemach radius and polarizability contribution

# POLARIZABILITY EFFECT IN THE HFS

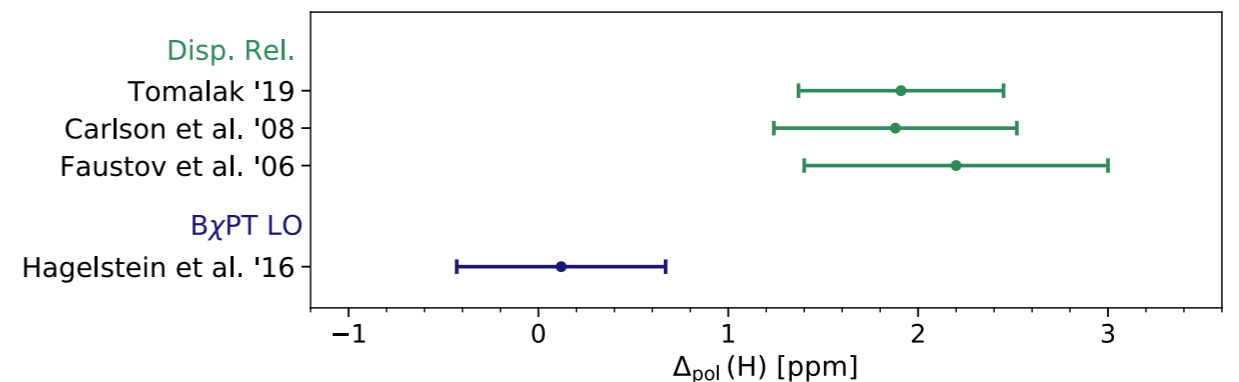
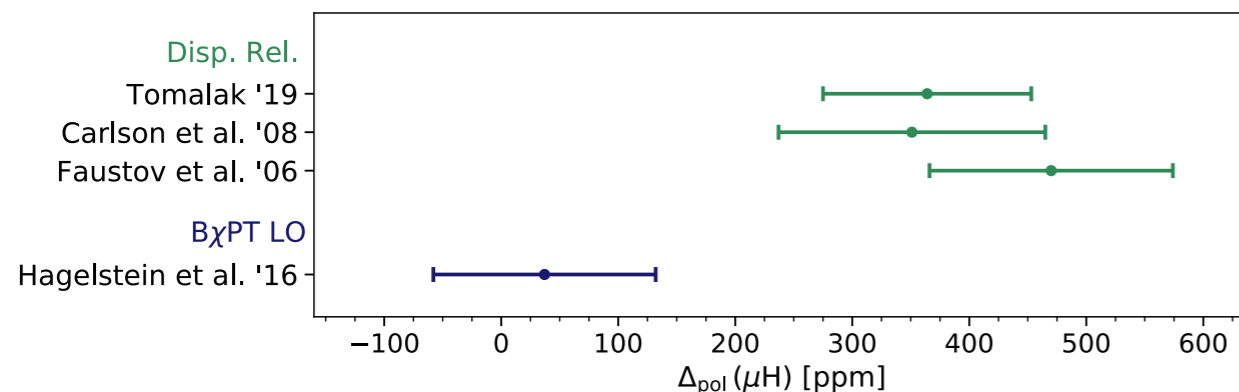
$$\Delta_{\text{pol}} = \frac{\alpha m}{2\pi(1 + \kappa)M} [\Delta_1 + \Delta_2]$$

$$\Delta_1 = 2 \int_0^\infty \frac{dQ}{Q} \left( \frac{5 + 4v_l}{(v_l + 1)^2} [4I_1(Q^2) + F_2^2(Q^2)] - \frac{32M^4}{Q^4} \int_0^{x_0} dx x^2 g_1(x, Q^2) \right) \times \left\{ \frac{1}{(v_l + \sqrt{1 + x^2\tau^{-1}})(1 + \sqrt{1 + x^2\tau^{-1}})(1 + v_l)} \left[ 4 + \frac{1}{1 + \sqrt{1 + x^2\tau^{-1}}} + \frac{1}{v_l + 1} \right] \right\}$$

$$\Delta_2 = 96M^2 \int_0^\infty \frac{dQ}{Q^3} \int_0^{x_0} dx g_2(x, Q^2) \left\{ \frac{1}{v_l + \sqrt{1 + x^2\tau^{-1}}} - \frac{1}{v_l + 1} \right\}$$

- Polarizability effect on the HFS is completely **constrained by empirical information**
- ChPT calculation puts the reliability of dispersive calculations (and ChPT) to the test ?!

**Tension between the BChPT prediction and data-driven dispersive results:**

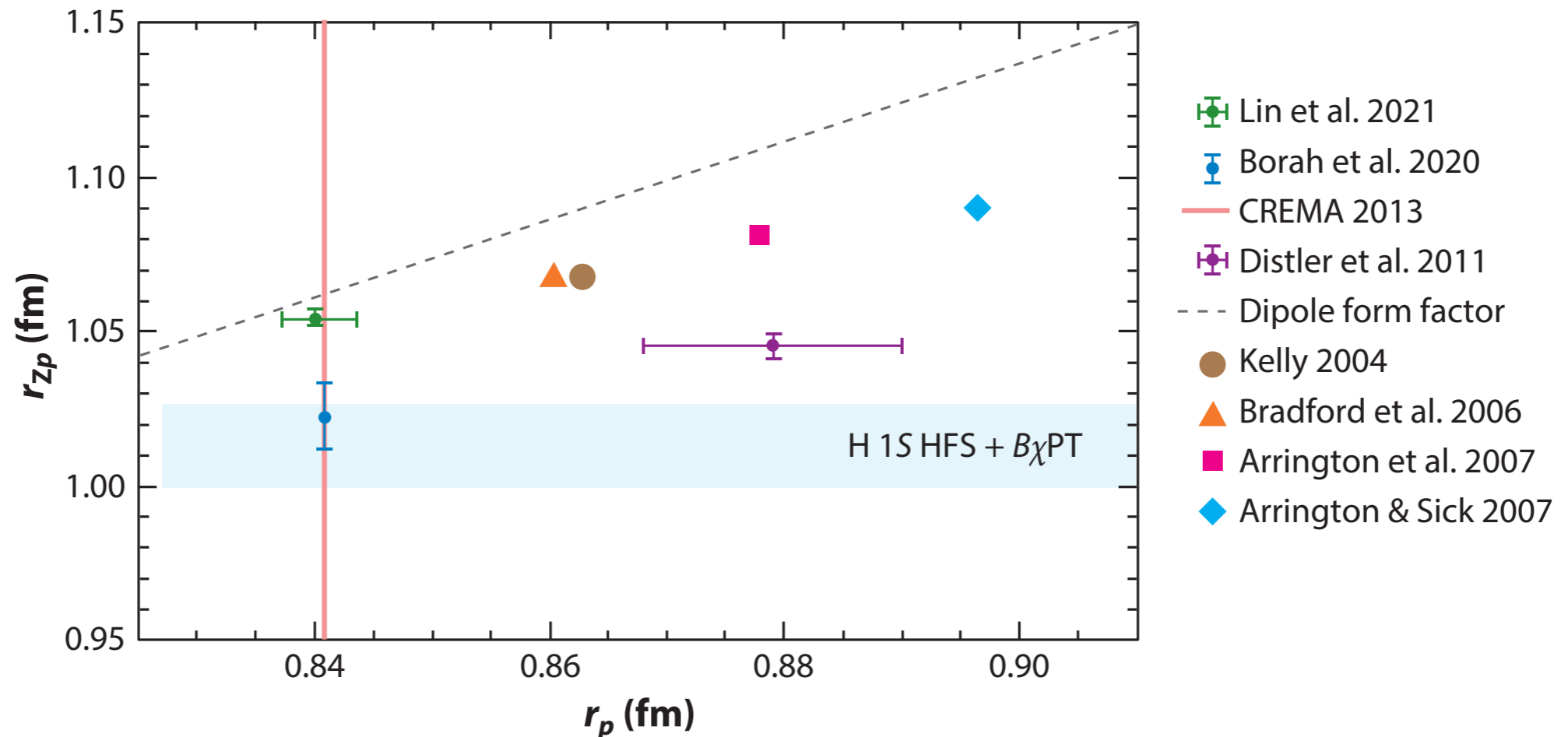


# PROTON ZEMACH RADIUS

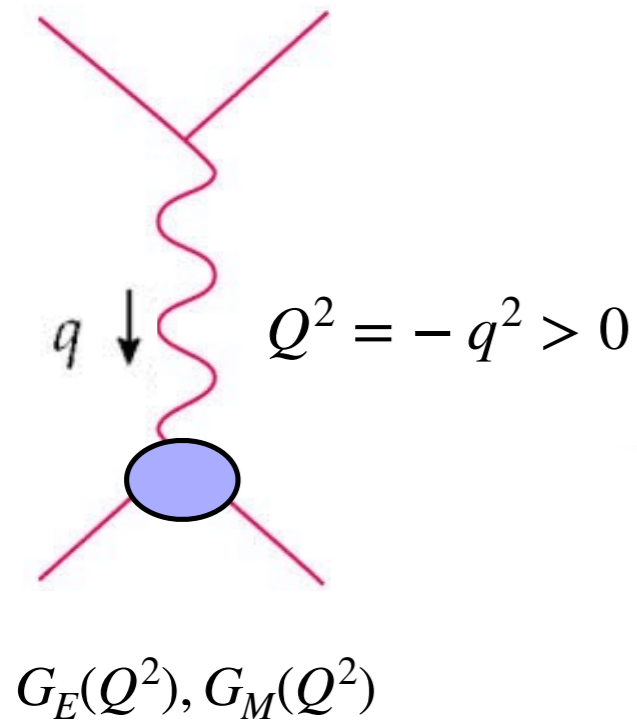
- Changes **Zemach radius** (smaller, just like  $r_p$ )

**Table 2** Determinations of the proton Zemach radius  $r_{Zp}$ , in units of fm.

ep scattering		$\mu\text{H } 2S$ hfs		H $1S$ hfs	
Lin <i>et al.</i> (26)	Borah <i>et al.</i> (91)	Antognini <i>et al.</i> (2)	$B\chi\text{PT}$ (62)	Volotka <i>et al.</i> (92)	$B\chi\text{PT}$ (62)
$1.054^{+0.003}_{-0.002}$	1.0227(107)	1.082(37)	1.041(31)	1.045(16)	1.012(14)

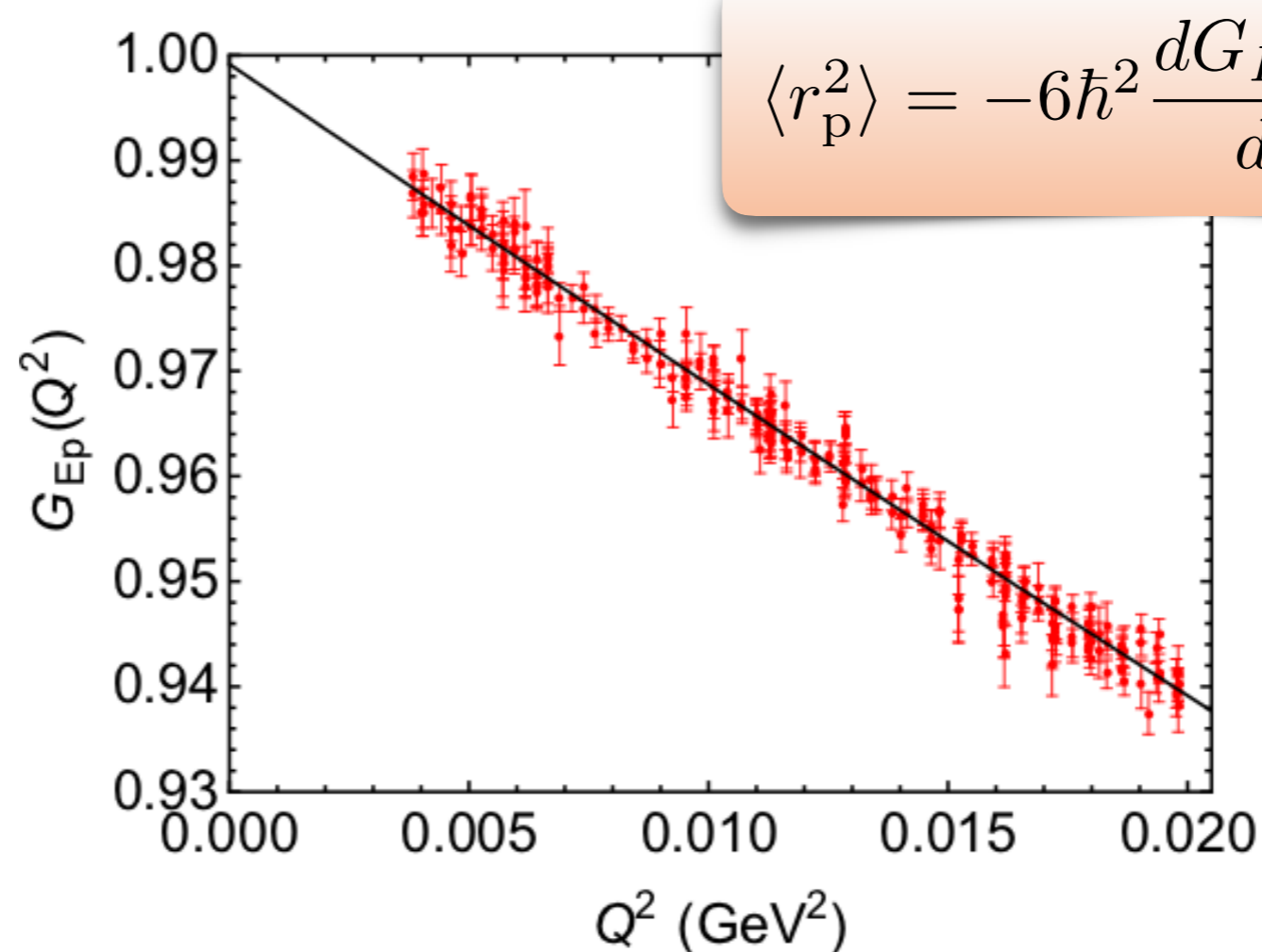


# Radius from elastic e-p scattering



$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{Ros.}} = \left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} \frac{1}{(1 + \tau)} \left( \varepsilon G_E^2(Q^2) + \tau G_M^2(Q^2) \right)$$

with  $\tau = Q^2/4M_p^2$ ,  $\varepsilon \lesssim 1$



**Caveat:**  
Radius extraction  
involves  
extrapolation to 0

data points: J. C. Bernauer *et al.*, Phys. Rev. C **90**,015206 (2014).



## Ongoing and planned scattering experiments

Experiment	Beam	Laboratory	$Q^2$ [(GeV/c) <sup>2</sup> ]	$\delta r_p$ (fm)	Status
MUSE	$e^\pm, \mu^\pm$	PSI	0.0015–0.08	0.01	Ongoing
AMBER	$\mu^\pm$	CERN	0.001–0.04	0.01	Future
PRad-II	$e^-$	Jefferson Lab	$4 \times 10^{-5}$ – $6 \times 10^{-2}$	0.0036	Future
PRES	$e^-$	Mainz	0.001–0.04	0.6% (relative)	Future
A1@MAMI (jet target)	$e^-$	Mainz	0.004–0.085		Ongoing
MAGIX@MESA	$e^-$	Mainz	$\geq 10^{-4}$ – 0.085		Future
ULQ <sup>2</sup>	$e^-$	Tohoku University	$3 \times 10^{-4}$ – $8 \times 10^{-3}$	~1% (relative)	Future

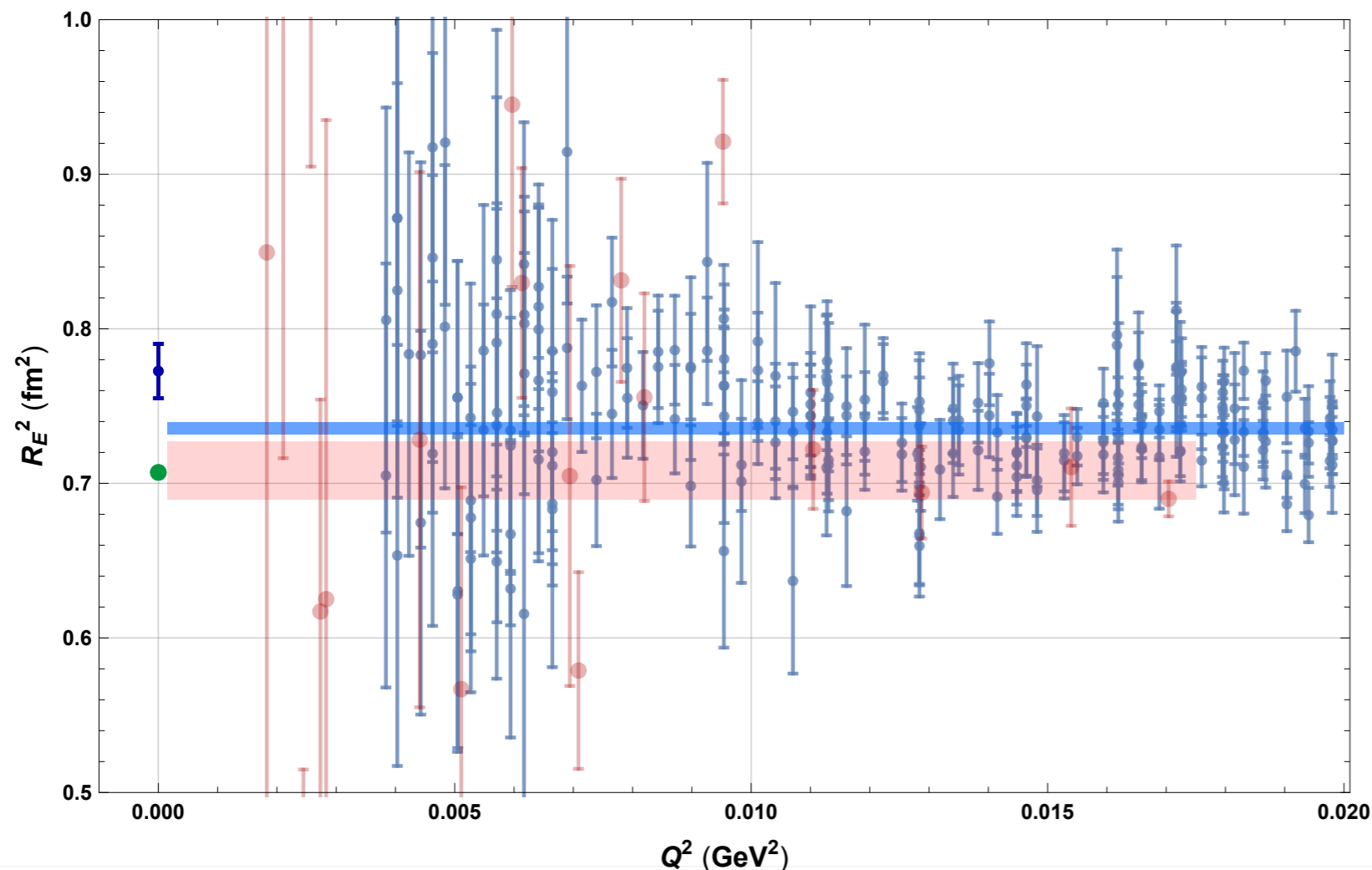
## Lower bound directly from e-p data

$$R_E^2(Q^2) = -\frac{6}{Q^2} \log G_E(Q^2) \quad Q^2 \rightarrow 0 \quad R_E^2$$

*This function sets a lower bound:*

$$R_E^2(Q^2) \leq R_E^2, \quad \text{for } Q^2 \geq 0$$

Hagelstein & VP,  
Phys. Lett. B (2019).



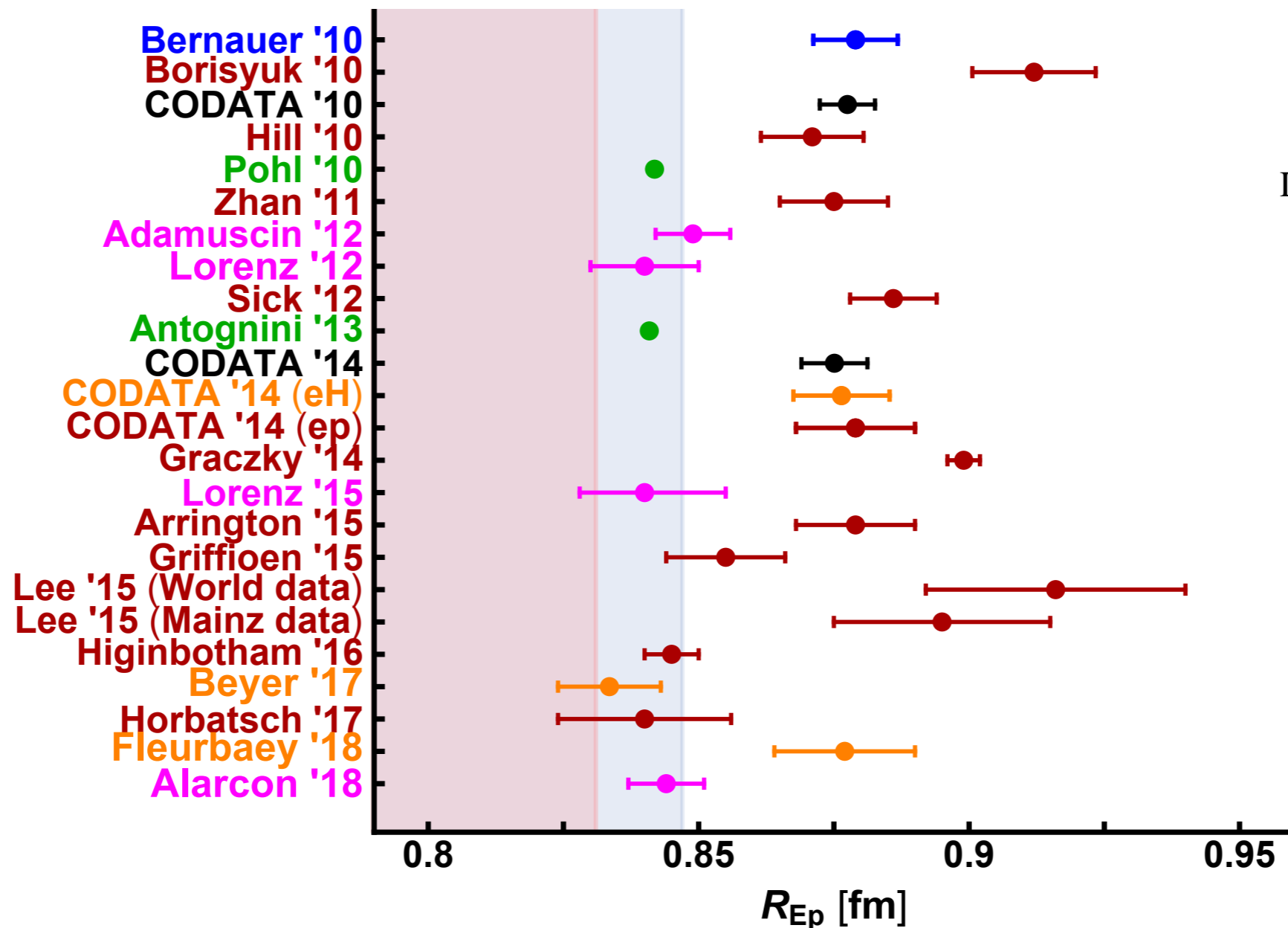
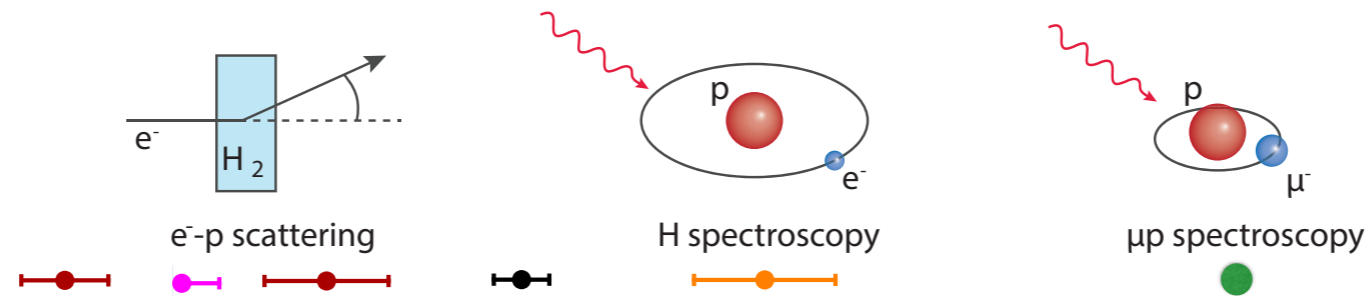
Data points from A1 Coll.:

Bernauer et al (2010)

Mihovilovic et al (2017)

*No extrapolation  
required*

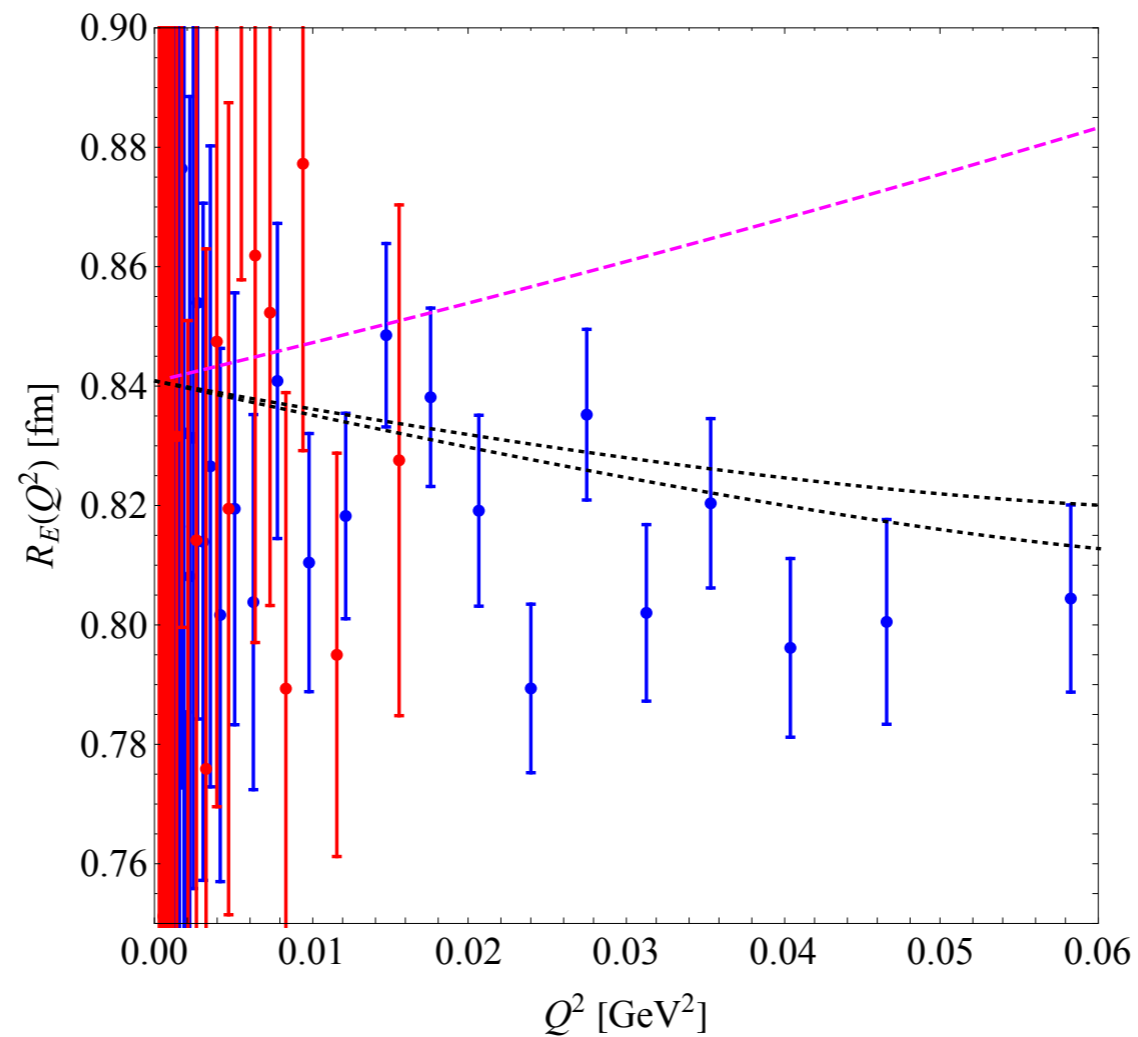
# Various extractions



Lower bounds based on:  
 Bernauer et al (2010)  
 Mihovilovic et al (2017)

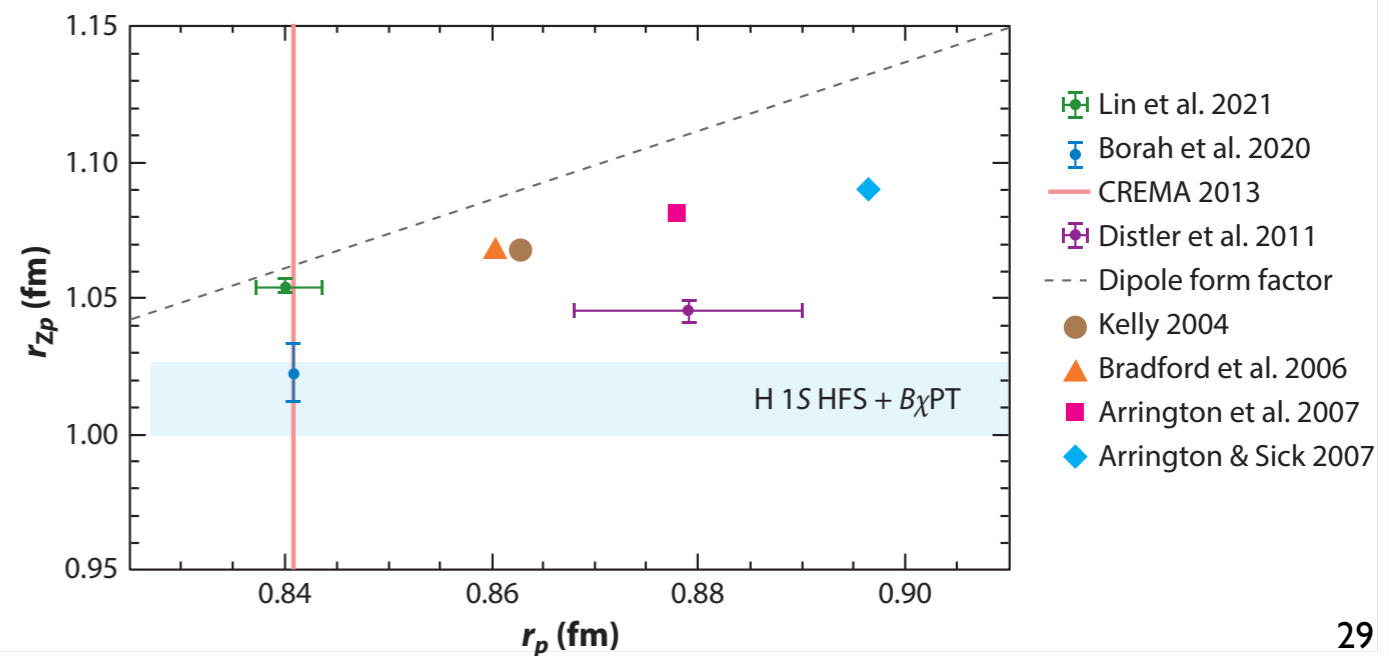
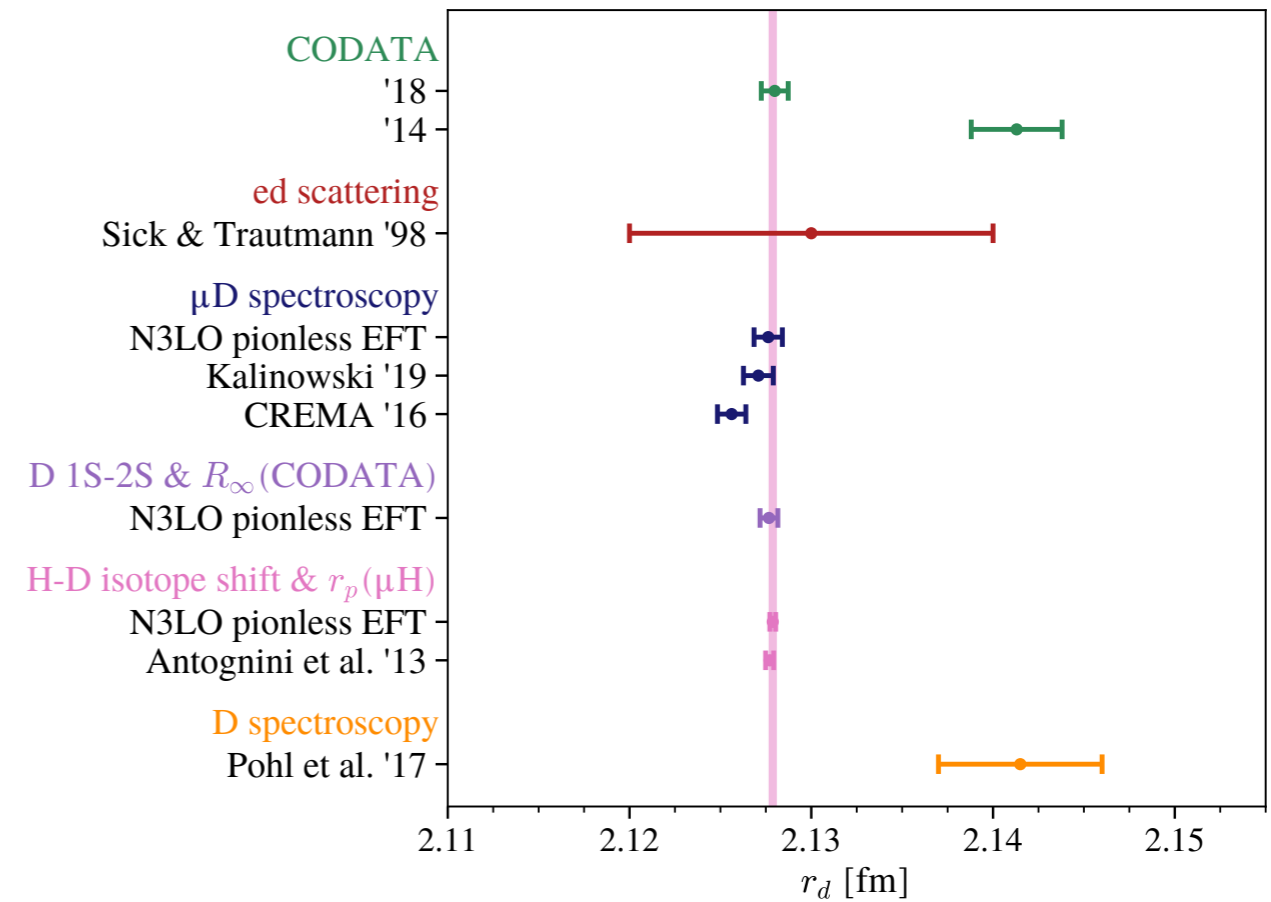
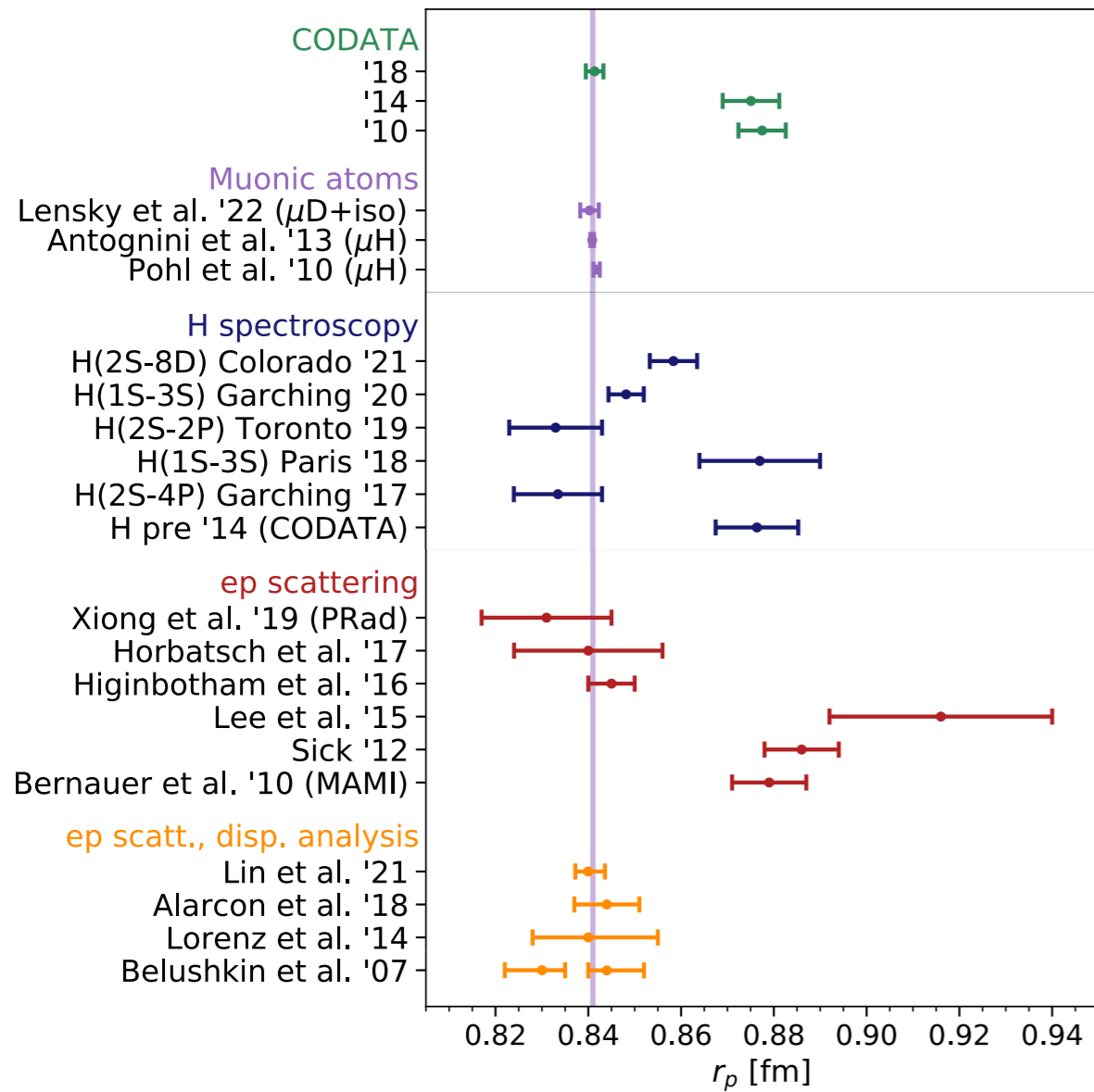
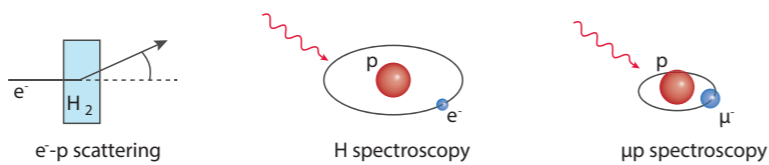
# Lower bound from PRad data – uncertain?

PRad data: 1.1 GeV and 2.2 GeV



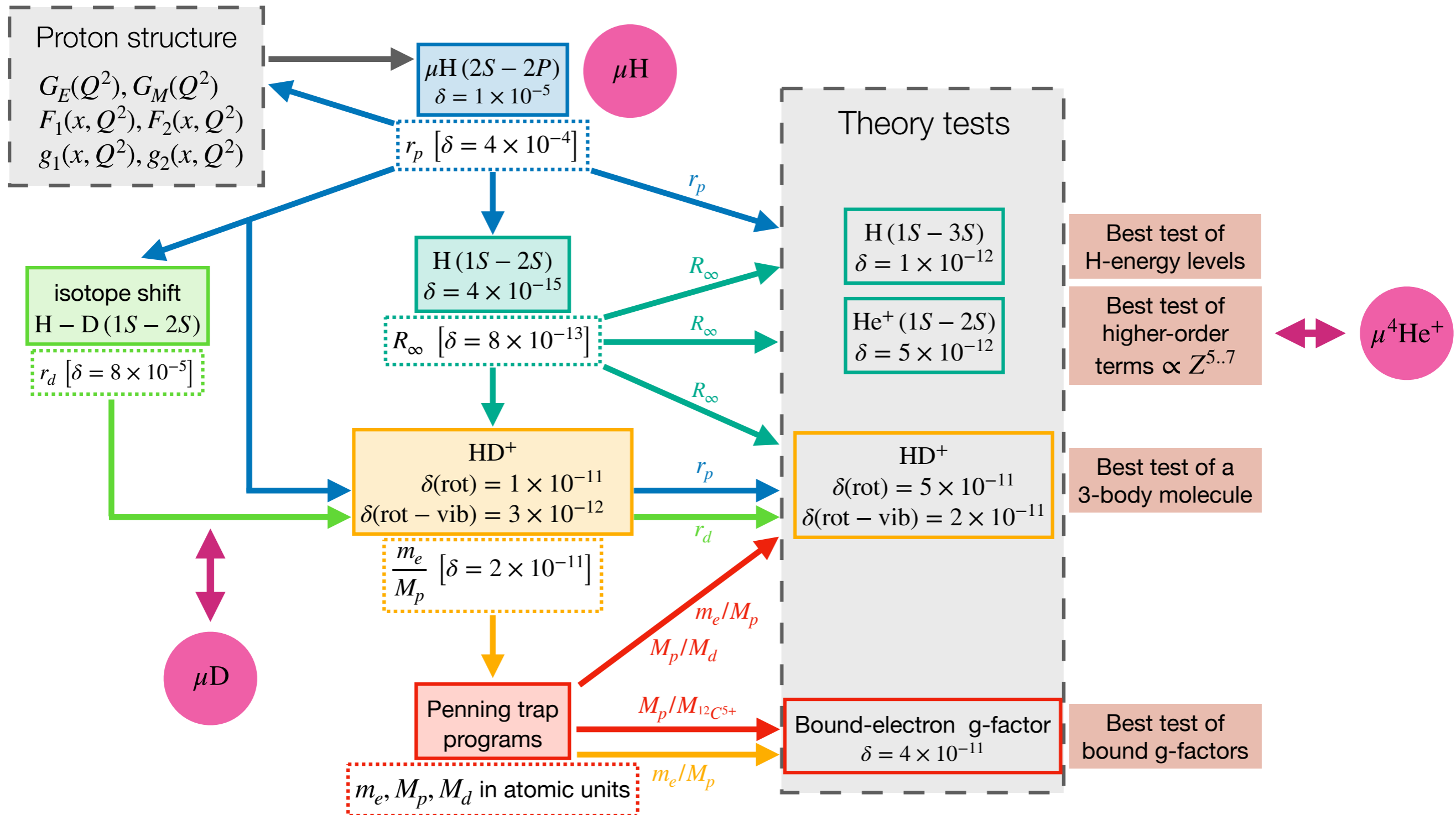
M. Horbatsch, Phys. Lett. B **804** (2020) 135373

# Summary and conclusion



# IMPACT MUONIC ATOMS

Antognini, Hagelstein & VP, Ann. Rev. Nucl. Part. **72** (2022) [arXiv:2205.10076]



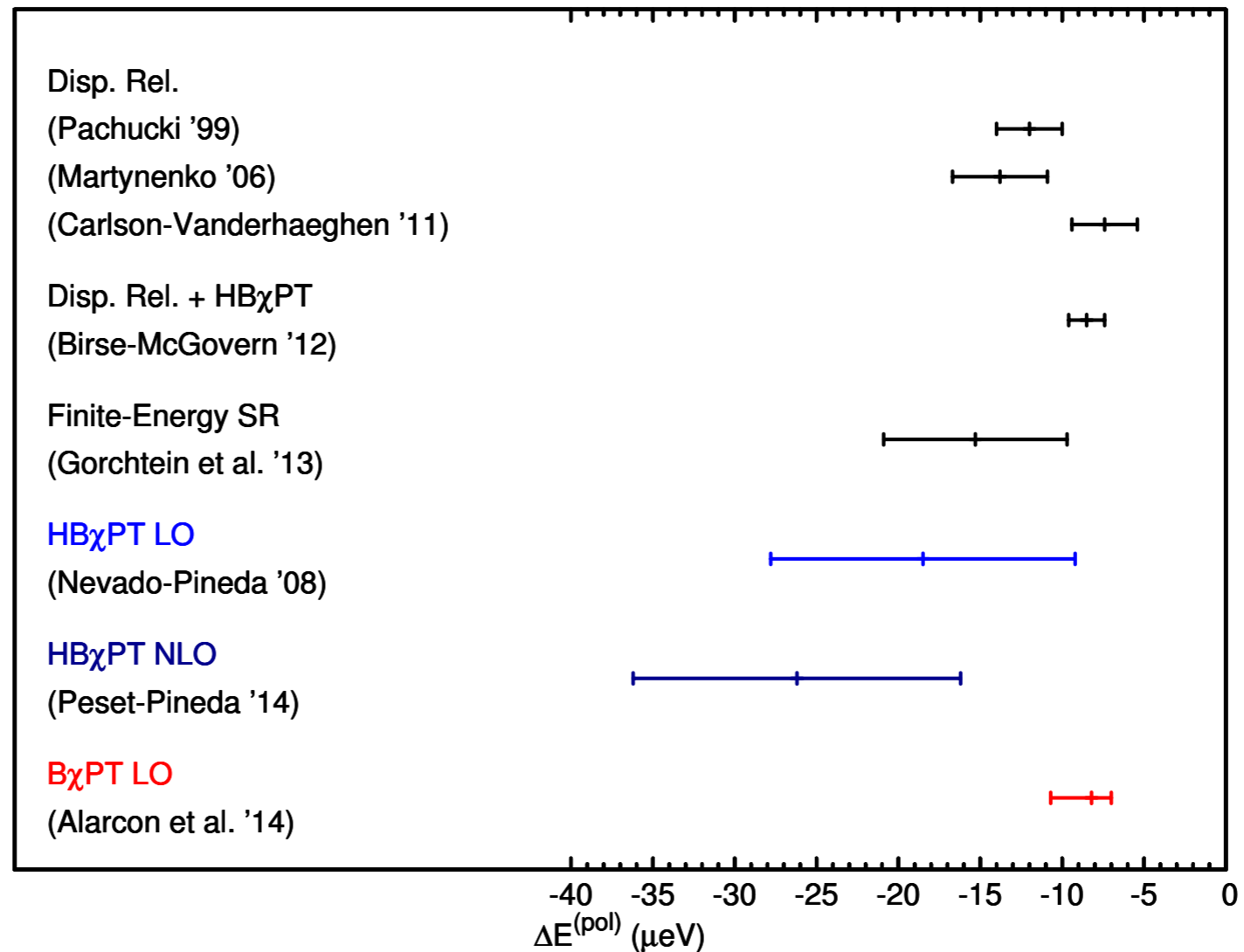
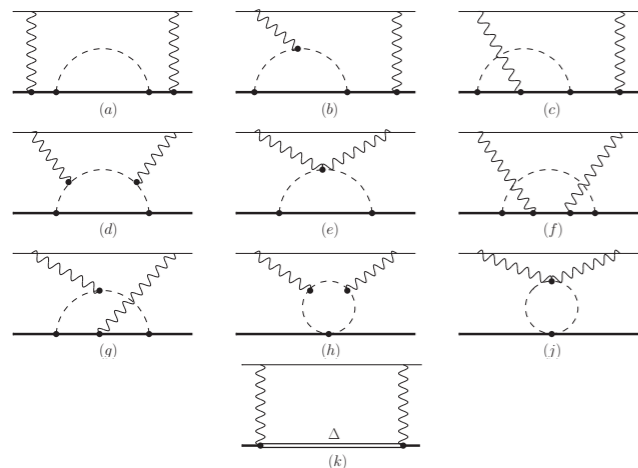
# Backup

# Proton polarizability in muonic-H Lamb shift

Can be computed with  
dispersion th. + data

But subtraction term is needed — model dependent

vs.  
*Chiral perturbation theory  
predictive at LO*

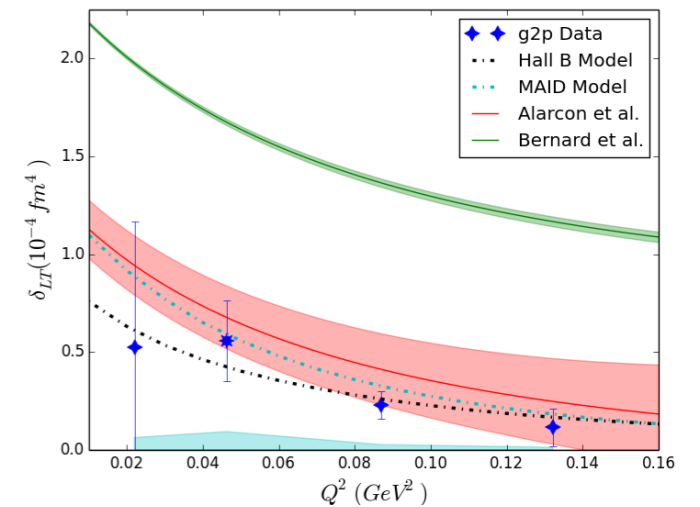
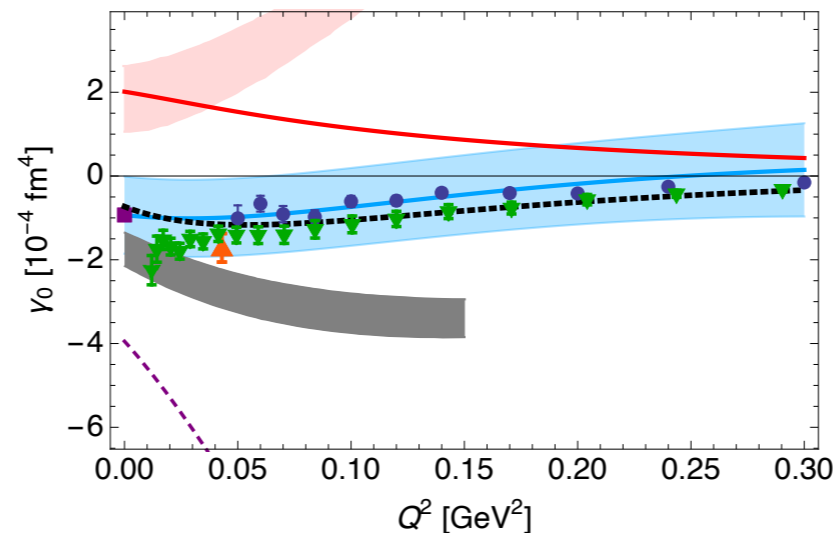
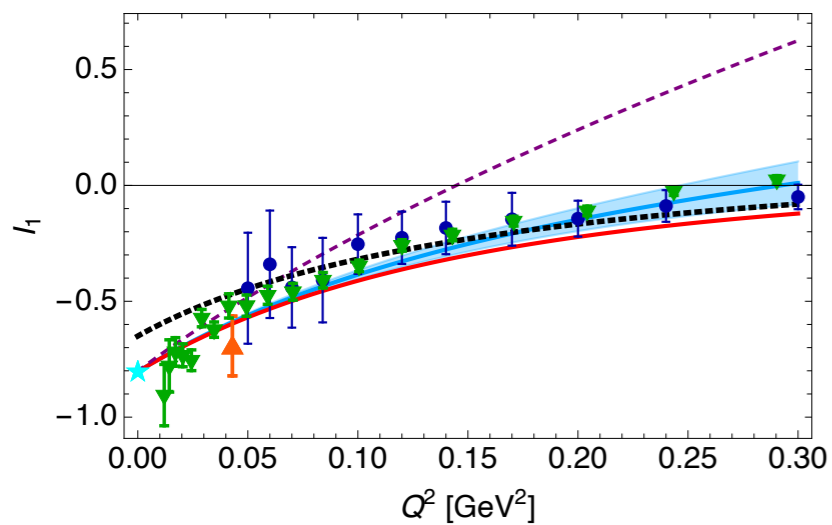


Compiled by: Hagelstein, Miskimen & VP,  
*Prog. Part. Nucl. Phys.* (2016)



# DISCREPANCY IN THE HFS

- Empirical information on spin structure functions is limited
- Low- $Q$  region is very important (cancelation between  $I_1(Q^2)$  and  $F_2(Q^2)$ )



New data JLab Spin Physics Programme, e.g., g2p 2204.10224.