





 $\begin{array}{c} \textbf{B} \xrightarrow{} \textbf{X}_{u} \textbf{I} \textbf{v} \\ Prospects for Inclusive \\ |V_{ub}| at Belle II \end{array}$

Challenges in Semileptonic B decays MITP, Mainz, April 2015

Phillip Urquijo, Alexander Ermakov The University of Melbourne

Introduction

Studies must focus on understanding biases and the current error evaluation rather than their reduction.

...Particularly in light of its tension with exclusive methods AND CKM UT fit results.

The good news is that many improvements can be made to the experimental approach.





Summary of CKM

	Belle	BaBar	Global Fit (CKMfitter	LHCb Run-2	Belle II 50 ab ⁻¹	LHCb Upgrade 50 fb ⁻¹	Theory
<i>φ</i> 1: ccs	1.4º		1.50	1.60	0.4 ⁰	<i>0.6</i> °	negl.
φ2: uud	4º (WA)		2.10		10		~1-2°
<i>φ</i> 3: DK	<i>14</i> °		3.80	<i>4</i> ⁰	1.50	1 °	
$ V_{cb} $ inclusive	1.7%		2.4%		1.2% ?		
$ V_{cb} $ exclusive	2.2%				1.4%		
Vub inclusive	7%		4.5%		3.0%		
Vub exclusive	8%				2.4%		
Vub leptonic	14%				3.0%		
Experiment	n	7	Theory	<i>Moderate prec</i> <i>Clean / LQCD</i>	<mark>ision</mark>		
	Precise Von Pr					Clean	





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Methods

Lepton endpoint

- P(lepton)
- S_h^{max}(q²)

Eff: 15% in lepton endpoint E_{lep} (1.9-2.6 GeV) Purity: 10% Candidates/ab⁻¹: 200k

Inclusive hadron reconstruction

- M_X
- q²
- $P^+ = E_{X^-} |P_X|$ ($P_X = p_{beam} p_{Btag} p_I p_v$)
- BDT & Simulated Annealing

```
Eff: 0.02% over full phase space

E<sub>lep</sub>(1.0– GeV) w/ various signal regions

Purity: 10%

Candidates/ab<sup>-1</sup>: 2.0k
```

Lowest lepton energy threshold to reduce theory error, need

- knowledge of charm semileptonic.
- Lots of off-resonance

- Data has become quite sensitive to the underlying hybrid model MC.
- Spectra measurements in the future are key to testing models.
- More stats mean looser criteria, more true inclusivity.



References

	On	Off	Approach		Comments
CLEO	9.1 fb ⁻¹	4.4 fb ⁻¹	E _e	Phys.Rev.Lett.88:231803,2002 [arXiv:hep-ex/0202019v1]	2.1 < Ee < 2.6
BELLE	27 fb ⁻¹	8.8 fb ⁻¹	E _e	Phys.Lett.B621:28-40,2005 [arXiv:hep-ex/0504046v2]	1.9 < Ee < 2.6
BABAR	80 fb ⁻¹	9.5 fb ⁻¹	E _e	Phys.Rev.D73:012006,2006 [arXiv:hep-ex/0509040v2]	2.0 < Ee < 2.6
BABAR	81.4 fb ⁻¹	9.6 fb ⁻¹	E _e , S _h ^{max}	Phys.Rev.Lett.95:111801,2005 [arXiv:hep-ex/0506036]	
BELLE	87 fb ⁻¹		sim. ann. (m _x , q ²)	Phys.Rev.Lett.92:101801,2004 [arXiv:hep-ex/0311048v2]	Cut on m _x <1.7 GeV, q ² >8GeV ² tag with annealing method
BELLE	253 fb ⁻¹		M _x , q ² ,P ⁺	Phys.Rev.Lett.95:241801,2005 [arXiv:0907.0379v1 [hep-ex]]	BRECO tag
BELLE	657·10 ⁶		multivariate (p*)	Phys.Rev.Lett 104:021801,2010 [arXiv:0907.0379v1 [hep-ex]]	BRECO tag; multivariate analysis. Cut on lepton energy at 1 GeV
BABAR	467·10 ⁶		M _x , q ² ,P ⁺ ,p*	Phys.Rev.D.86:032004, 2012 [arXiv:1112.0702v1]	BRECO tag (Highest efficiency tag)

The Belle analyses use the previous tag algorithm ~ 2.5x lower efficiency than new.



Endpoint & Kinematics



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Analysis Method Overview (Hadron reconstruction)

B reconstruction

Signal

Event

Combinatorial background

Semileptonic and other B background

Branching fraction

Full hadronic B tagging

High momentum lepton, inclusive sum of hadron products

 $\Sigma Q=0$, $Q(B_{reco}^+) \times Q(lep) = -1$, no Kaons, D* rejected via slow pions, m^2_{miss} small

Fit M_{bc} in bins of fit observable. or Combinatorial estimated from MC, normalised from sideband region.

Fit to P₊, M_X, q² with various background and signal floated to determine background yield. or 2D fit e.g. M_X , q²

 $R(B \rightarrow X_u | v / B \rightarrow X_c | v)$ or Absolute



Inclusive Hadron Reconstruction



BABAR PRD.86:032004, 2012

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Babar



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Belle

Explored balance between experimental reach and theoretical model uncertainties. Many variables included. (Old B-tagging in Belle). Less model dependent approaches will be explored in the future.



to be superseded soon (Improved NB B-tagging Eff. ~2.5x, cut based)



P _{lepton} >1 GeV	∆BR/BR
BR(D ^(*) Ι ν)	1.2
FF(D ^(*) v)	1.2
BR & FF (D ^(**) l v)	0.2
SF (X _u I v)	3.6
Xu (g→ss)	1.5
BR(π/ρ/ω Ι ν)	2.3
BR(η/η' l ν)	3.2
BR(X _{unmeasured} I v)	2.9
Continuum/Combinatorial	1.8
Secondaries/Fakes/Fit	1.0
Particle ID/Reconstruction	4.4
Systematics Total	8.1
Statistics	8.8

BR & FF (D^(*) | v)

- Parameterisations of F.F. based on HQET2. BR from PDG.
- D l v: slope parameters, ρ_D^2 .
- D* I v: decay parameters, ρ^2 , R₁ and R₂.

BR & FF (D^(**) l v)

- LLSW model for resonant
- Goity&Roberts for non-resonant.
- Normalisation of narrow resonant $D^{(**)}$ and non-resonant $D^{(*)} \pi$ components are based on WA.
- The remaining unmeasured contribution is matched to the full inclusive rate.



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Signal MC hybrid mix of exclusive with inclusive contributions mopping up. Exclusive X_u BR error from HFAG. Unmeasured resonant: ISGW2, BR limits from full inclusive BR.

Inclusive X_u

- Inclusive part uses De Fazio-Neubert SF parameterisation .
- Full hybrid MC matched to moments of the q^2 and M_X of GGOU model by varying input parameters of inclusive part.
- K production via gluon splitting: vary contribution ±25%. **No published searches** for these modes!



Modeling: EvtGen

Snapshot of the default Belle 2010 configuration (similar picture for Belle II)

Decay B-ulnu

0.000073	pi0	e-	anti-nu_e	PHOTOS	SLPOLE 0.261 -2.03 1.293 1 0.261 -0.27 -0.752 1;
0.000149	rho0	e-	anti-nu_e	PHOTOS	SLPOLE 0.261 -0.29 -0.415 1 0.223 -0.93 -0.092 1 0.338 -1.37 0.315 1 0.372 -1.40 0.437 1;
0.000084	eta	e-	anti-nu_e	PHOTOS	ISGW2;
0.000033	eta'	e-	anti-nu_e	PHOTOS	ISGW2;
0.000115	omega	e-	anti-nu_e	PHOTOS	SLPOLE 0.261 -0.29 -0.415 1 0.223 -0.93 -0.092 1 0.338 -1.37 0.315 1 0.372 -1.40 0.437 1;
0.000024	a_20	e-	anti-nu_e	PHOTOS	ISGW2;
0.000027	f_2	e-	anti-nu_e	PHOTOS	ISGW2;
0.000082	b_10	e-	anti-nu_e	PHOTOS	ISGW2;
0.000087	h_1	e-	anti-nu_e	PHOTOS	ISGW2;
0.000065	a_10	e-	anti-nu_e	PHOTOS	ISGW2;
0.000062	f_1	e-	anti-nu_e	PHOTOS	ISGW2;
0.000003	a_00	e-	anti-nu_e	PHOTOS	ISGW2;
0.000005	f_0	e-	anti-nu_e	PHOTOS	ISGW2;
0.001026	Xu0	e-	anti-nu_e	PHOTOS	VUB 4.54 7.5 0.22 1 0.28 1; ****

- Note that we vary this model input and regenerate the MC according to a modelling recipe.
- Higher resonances taken from ISGW2 model [PRD 52, 2783 (1995)]
- Only blue items have direct experimental constraint: ~25% of the total.
- Most of the exclusive modes use ISGW2.

Available Generators in EvtGen 2015

Exclusive Modes

- SLPOLE : Light Cone Sum Rules
- ISGW2 : ISGW
- BtoPlnuBK : BK (Becirevic-Kaidalov) parameterisation for pseudoscalar modes (π , η , η ')
- BToVInuBall : Ball/Zwicky decay model for vector modes (p , ω)

Inclusive Modes (most come from Babar)

- Vub : "DeFazio-Neubert" based approach (takes $m_b,\,\mu_{\pi}{}^2)$
- VubHybrid : As above, uses "Hybrid" weighting for the excl.+incl. cocktail
- VubBLNP : Based on BLNP4, hep-ph/0504071
- VubBLNPHybrid : As above, uses "Hybrid" weighting for the excl.+incl. cocktail
- VubNLO : Based on BLNP hep-ph/0402094

- VubAC : Analytic Coupling Model (based on hep-ph/0608047 by Aglietti, Ferrera and Ricciardi)

- These are not state of the art (but the cocktail approach reduces the overall precision anyway)
- We should still consider updates for Belle II modelling.
- How should we model "new-physics" in inclusive?



Modeling Recipes

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- \cdot Generate inclusive decays
- Reweighing in 3D to match to more recent 2loop calc. (bins of M_X , q^2 , E_{lep})
- · Generate resonances (default decay-files)
- Below threshold value scale down nonresonant contribution and conserve total BR



Belle

- Generate inclusive MC (default Evtgen)
- Add on top resonances in higher mass regions (default ISGW2)
- Scale down inclusive component
- Tune inclusive component's input parameters until entire spectrum's kinematic distributions' moments agree to those in more recent two-loop calculation
- Error from SF parameters, GGOU model theory, intrinsic uncertainty in DFN model.
 - Future measurements need to self-calibrate this procedure.
 - See $B \rightarrow Xs \gamma$ Sum of exclusives.



X_u⁰ & X_u⁺ hadronisation (JETSET/Pythia)

Parton (from hard process)Parton shower (Pythia, Herwig)Gluon emission: $q \rightarrow qg$,
Gluon splitting: $g \rightarrow q\bar{q}, gg$
Good constraints from Z decaysHadronization (Pythia, Herwig)Non-perturbative formation of hadrons
along colour stringsSteered by fragmentation functions
and flavour parametersHadron decays (Pythia, Herwig, EvtGen)
Steered by decay tables

Clean Z \rightarrow hadrons events from LEP used for tuning model parameters

Efficiency varies with M_x, and with final state multiplicity.

If you get the multiplicity wrong, you could get the overall efficiency correction wrong too (if integrating over multiplicity).

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Simplistic breakdown: M_X in $n(\pi^{\pm}) + n(\pi^0)$ multiplicity (photons included in M_X)



BF Uncertainties & possible biases

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Mis-tagging (combinatorial) error: modify the signal region to shift the ratio of good tags to incorrect tags.

- Continuum: 1% error on its yield.
- Secondary, cascade decays: vary the branching fractions of semileptonic D decays, and $B \rightarrow D$ anything by $\pm 1\sigma$.
- Hadron fake contribution.
- **QED corrections** incurs negligible sys. error.
- and Normalisation errors for B counting.



|V_{ub}| Uncertainties (in %)

	Babar 20	12	Belle 2005		
	P _{lepton} >1 Ge	V	P _{lepton} > 2 G	eV	
P _{lepton} >1 GeV	BLNP	GGOU	BLNP	GGOU	
V _{ub} x 10 ⁻³	4.32	4.27	4.93	4.6	
Statistical	3.2	3.2	2.2	2.2	
Experimental Systematics	2.1	2.1	2.7	2.7	
b→c l v model	1.4	1.4	8.6	8.6	
b→u l v model (1)	3.3	3.3	0.8	0.8	
HQ parameters/non. pert (2)	2.1	1.2	3.6	2.3	
SF + Sub. SF (3)	0.4	1.4	1.1	3.3	
matching	3.8		3.8		
Weak Annihilation	0	+0.0 -2.0	0	+0.0 -6.2	
q ² tail model		1.4		2.0	

(1) is correlated to (2) and (3) ... to be conservative we might want to add linearly.

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	Babar 20	12	Belle	2005	
	P _{lepton} >1 Ge	V	P _{lepton} > 2 GeV		
P _{lepton} >1 GeV	BLNP	GGOU	BLNP	GGOU	
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The future



Towards Belle II

Full reconstruction of B

- modes w/ multiple v's
- Improved low p_T tracking more slow π in tag side D* candidates

Hermeticity

inclusive measurements

Neutral particles

 π^0 , K_s^0 , K_L^0 and η , η' , ρ^+ , etc.

other notable features
good PID for both μ[±] and e[±]
much better K/π separation

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Belle II covering \geq 90% of 4 π , and $\langle N(track) \rangle \sim$ 10 per event



|V_{ub}| Simplistic Extrapolation (detector improvements ignored)



Integrated Luminosity [ab⁻¹]

Many experimental improvements expected, including better tag algorithms (old Belle tag used above)

 Measure decay differentials, and increase inclusivity to test decay models, hadronisation, weak annihilation, and KK production in inclusive.



PID and Tracking

K[±], K_S multiplicity (K_L not often used)

- 1. K $\rightarrow \pi$ fake rate reduced by > 2x
- 2. K_s acceptance increased by 30%

D^{*} partial reconstruction Charge conservation





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K_L Detection / KLM Detector

K_L suppression can improve background suppression by ~50% or more (after all other criteria).

New Belle II system:

> End-cap upgrade: RPC → scintillatorbased KLM

> Barrel KLM: some RPC layers may be replaced as background increases with luminosity

Readout upgraded with high speed **timing** info. ,provides precise* K_L momenta. (*unavailable before).

Still outstanding: Accurate simulation of K_L hadronic interactions with matter GEANT4. (c.f. GEANT 3 in Belle)

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Fragmentation & m_{Xu} > 1 GeV modes Belle PRD 91, 052004 (2015)

Recent lessons from $B \rightarrow Xs \gamma$ sum of exclusives

Relative abundance of final states is vastly different between Data & MC.

Due to Pythia spin fraction parameter (probability of forming a spin 1 meson).

	Relative a	bundanc			
$B \rightarrow Xs \gamma mode$	Data	Error	MC	Ratio	Diff. (σ)
Kπ without $π^0$	4.2	0.4	10.3	0.41	+17
Kπ with $π^0$	2.1	0.2	5.4	0.39	+19
K2 π without π^0	14.5	0.5	12.9	1.12	-3.1
K2π with $π^0$	24	0.7	15.2	1.58	-12
K3 π without π^0	8.3	0.8	5.9	1.41	-3.3
K3π with $π^0$	16.1	1.8	15.7	1.03	-0.2
Κ4π	11.1	2.8	12.3	0.90	+0.4
K2π ⁰	14.4	3.5	14.4	1.00	-0.0
Кη	3.2	0.8	4.9	0.65	+2.3
ЗК	2.0	0.3	3.0	0.67	+3.3

Belle II: Analogous studies, as $B \rightarrow I \vee \pi\pi$, 3π , 4π . ($B \rightarrow I \vee 2\pi$ shown in Belle 2013)



Weak Annihilation

1. CLEO examined q² spectra to place a 7.4% limit with 15.5 fb^{-1.} Systematics limited.

FIG. 2: Fractional size of the WA component for the full phase space (bottom) and restricted to $p_{\ell} > 2.2 \text{GeV}/c$ endpoint region (top). The statistical (total) uncertainties are represented by the inner (full) error bar.

2. Babar & Belle examined BF $B^+ \rightarrow X \mid v / B^0 \rightarrow X \mid v$ v ratios in subregions, with a 20% limit.

Source	$\Delta R_{ m tot}$	$\Delta R_{\rm tot}/R_{\rm tot}(\%)$
γ efficiency	0.00177	10.2
tracking efficiency	0.00247	14.3
E_{γ} resolution	0.00095	5.5
$p_{\rm trk}$ resolution	0.00134	7.7
K_L multiplicity	0.00013	0.8
hadronic shower modeling	0.00118	6.8
hadronic shower veto	0.00065	3.8
particle identification	0.00078	4.5
$b \to c \to s \ell \nu$	0.00020	1.1
$b \to c \ell \nu \operatorname{modeling}$	0.00349	20.1
$b \to u \ell \nu \operatorname{modeling}$	0.00309	17.9
Total	0.00601	34.7

TABLE II: Systematic uncertainties (WA model of Fig. 1).

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CLEO PRL 96:121801,2006

Hadron Tagged Endpoint for WA?

A rough look suggests Belle II would do well. Split B⁺&B⁰, measure q² with high resolution. (dedicated studies yet to be done).

p_{lep}>2.2 GeV, q²>0 GeV²/c²

Belle untagged endpoint 27 fb⁻¹ Δ 2.2% statistical (q² not analysed)

Belle II tagged endpoint ∆ << 1% statistical (estimate)



 $p_{lep}>2.2 \text{ GeV}, q^2>20 \text{ GeV}^2/c^2$

Belle II tagged ∆ < 1% statistical O(25-50k) b→u O(1-10k) b→c



g→ss

$B {\rightarrow} \, I \, \nu \; KK$

K veto to suppress $B \rightarrow D \rightarrow K$ may introduce bias on signal efficiency.

We **know** there is some: e.g. $f_2(1270) \rightarrow KK$, + many other ways, but no direct tests yet (hence difficult to provide projections).





Ongoing work for Belle II "Full reconstruction"



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Naive Guesstimate Extrapolation in Bins

Belle II Tag efficiency improves by a factor of 4 (over 2010 "old" Belle tagging) → 330x statistical power improvement: ~18 times smaller stat errors!

		Belle (a	pproxir	nate) 0.6	5 ab ⁻¹			Belle II - efficiency x 4, 50 ab ⁻¹					
q² [GeV]	M _X [GeV]	Signal	Bkg	∆ Stat	∆ Sys Sig.	∆ Sys Bkg	Total	Signal	Bkg	∆ Stat	∆ Sys Sig.	∆ Sys Bkg	Total
{0, 8}	{0.0, 1.0}			Lca	an sh	are t	he (hidder) estin	nates	nriv	ately	for
	{1.0, 1.2}		theory/model testing purposes.										
	{1.2, 1.4}												
	{1.4, 1.6}		20	To	be st	tudie	d th	orougł	nly wit	h Bell	le 1 c	lata.	
	{1.6, 4.0}			TIE	/			-	,				
{8, 12}	{0.0, 1.0}	1.4		-							-		
	{1.0, 1.2}			S						(B)	53		
	{1.2, 1.4}		- 64							E	3		
	{1.4, 1.6}				1		6	4					
	{1.6, 4.0}										NO.	H	
{12, 16}	{0.0, 1.0}										-	1	
	{1.0, 1.2}	1.1	-	1.15	1.00			- /			1-1		
	{1.2, 1.4}		-	Sector Sector		100	5		-			and a	6.
	{1.4, 1.6}	1.00						1 1	-	1	de		(a)
	{1.6, 4.0}							-	-	-	1	N.	
{16, 30}	{0.0, 1.0}				Manager -	-		-		-	7		
	{1.0, 1.2}					100						11	
	{1.2, 1.4}											11	
	{1.4, 1.6}										-	1	
	{1.6, 4.0}												

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Summary of work ahead for Belle II

Entries/0.31 GeV

- 1. Supporting measurements
 - Weak annihilation / precise tagged endpoint measurements
 - ss popping
 - High mass exclusive modes
- 2. Extensions to the current analyses
 - Differentials (&moments) in tagged approach.
 - for *testing* models
 - Multiplicity binning
 - for *testing* fragmentation

Finally. We're not yet finished in Belle I. Updated tagging techniques yet to be exploited.



