

M theory black holes and 3d gauge theories

Alberto Zaffaroni

Università di Milano-Bicocca

StringGeo, Mainz, September 2015

[work in collaboration with F. Benini, K. Hristov]

F. Benini-AZ; arXiv 1504.03698

F. Benini-K.Hristov-AZ; arXiv 1510.xxxxx

[Thanks to A. Tomasiello for many related discussions]

Introduction

In this talk I consider BPS black holes in AdS_4 .

- ▶ One of the success of string theory is the microscopic counting of asymptotically flat black holes made with D-branes [Vafa-Strominger'96]
- ▶ No similar result for AdS black holes

But AdS should be simpler and related to holography: counting of states in the dual CFT. People failed for AdS_5 black holes (states in $\text{N}=4$ SYM).

Introduction

There are many $1/4$ BPS asymptotically AdS_4 static black holes

- ▶ solutions asymptotic to *magnetic AdS_4* and with horizon $\text{AdS}_2 \times S^2$
- ▶ Characterized by a collection of magnetic charges $\int_{S^2} F$
- ▶ preserving supersymmetry via a twist

$$(\nabla_\mu - iA_\mu)\epsilon = \partial_\mu \epsilon \quad \implies \quad \epsilon = \text{const}$$

Various solutions with regular horizons, some embeddable in $\text{AdS}_4 \times S^7$.

[Cacciatori, Klemm; Gnechchi, Dall'agata; Hristov, Vandoren];

Introduction

Examples in a $N = 2$ gauged supergravity with 3 vector multiplets the STU model.

$$ds^2 = e^{\mathcal{K}(X)} \left(gr + \frac{c}{2gr} \right)^2 dt^2 - \frac{e^{-\mathcal{K}(X)} dr^2}{\left(gr + \frac{c}{2gr} \right)^2} - e^{-\mathcal{K}(X)} r^2 ds_{S^2}^2$$

Truncation of M theory on $AdS_4 \times S^7$

- ▶ four abelian vectors $U(1)^4 \subset SO(8)$ that come from the reduction on S^7 .
- ▶ One is the graviphoton, three enter in vector multiplets.

Introduction

Examples in a $N = 2$ gauged supergravity with 3 vector multiplets the STU model.

$$ds^2 = e^{\mathcal{K}(X)} \left(gr + \frac{c}{2gr} \right)^2 dt^2 - \frac{e^{-\mathcal{K}(X)} dr^2}{\left(gr + \frac{c}{2gr} \right)^2} - e^{-\mathcal{K}(X)} r^2 ds_{S^2}^2$$

$$F = -2i\sqrt{X^0 X^1 X^2 X^3}$$

$$e^{-\mathcal{K}(X)} = i(\bar{X}^\Lambda F_\Lambda - X^\Lambda \bar{F}_\Lambda) = \sqrt{16X^0 X^1 X^2 X^3}$$

$$X^i = \frac{1}{4} - \frac{\beta_i}{r}, \quad X^0 = \frac{1}{4} + \frac{\beta_1 + \beta_2 + \beta_3}{r}$$

with arbitrary parameters $\beta_1, \beta_2, \beta_3$.

Introduction

Examples in a $N = 2$ gauged supergravity with 3 vector multiplets the STU model.

$$ds^2 = e^{\mathcal{K}(X)} \left(gr + \frac{c}{2gr} \right)^2 dt^2 - \frac{e^{-\mathcal{K}(X)} dr^2}{\left(gr + \frac{c}{2gr} \right)^2} - e^{-\mathcal{K}(X)} r^2 ds_{S^2}^2$$

The parameters are related to the magnetic charges supporting the black hole

$$\mathbf{n}_1, \mathbf{n}_2, \mathbf{n}_3, \mathbf{n}_4, \quad \mathbf{n}_i = \frac{1}{2\pi} \int_{S^2} F^{(i)}, \quad \sum \mathbf{n}_i = 2$$

by

$$\mathbf{n}_1 = 8(-\beta_1^2 + \beta_2^2 + \beta_3^2 + \beta_2\beta_3),$$

$$\mathbf{n}_2 = 8(-\beta_2^2 + \beta_1^2 + \beta_3^2 + \beta_1\beta_3),$$

$$\mathbf{n}_3 = 8(-\beta_3^2 + \beta_1^2 + \beta_2^2 + \beta_1\beta_2).$$

Introduction

Examples in a $N = 2$ gauged supergravity with 3 vector multiplets the STU model.

$$ds^2 = e^{\mathcal{K}(X)} \left(gr + \frac{c}{2gr} \right)^2 dt^2 - \frac{e^{-\mathcal{K}(X)} dr^2}{\left(gr + \frac{c}{2gr} \right)^2} - e^{-\mathcal{K}(X)} r^2 ds_{S^2}^2$$

The horizon is $AdS_2 \times S^2$ and the entropy is

$$S = 8r_h^2 \sqrt{X^0(r_h)X^1(r_h)X^2(r_h)X^3(r_h)}$$

for example, for $n_1 = n_2 = n_3$

$$\sqrt{-1 + 6n_1 - 6n_1^2 + (-1 + 2n_1)^{3/2} \sqrt{-1 + 6n_1}}$$

Introduction

General vacua of a bulk effective action

$$\mathcal{L} = -\frac{1}{2}\mathcal{R} + F_{\mu\nu}F^{\mu\nu} + V\dots$$

with a metric

$$ds_{d+1}^2 = \frac{dr^2}{r^2} + (r^2 ds_{M_d}^2 + O(r)) \quad A = A_{M_d} + O(1/r)$$

and a gauge fields profile, correspond to CFTs on a d-manifold M_d and a non trivial background field for the symmetry

$$L_{CFT} + J^\mu A_\mu$$

Introduction

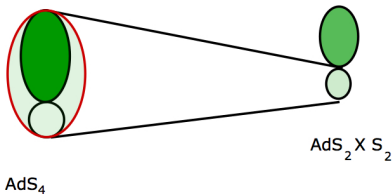
In the case of the AdS_4 black holes

- ▶ the boundary is $S^2 \times R$ (or $S^2 \times S^1$ after Wick rotation)
- ▶ bulk gauge fields induce **magnetic backgrounds** for R and global symmetries in the CFT
- ▶ bulk supersymmetry induce boundary susy (twist)

$$(\nabla_\mu - iA_\mu)\epsilon = \partial_\mu\epsilon = 0$$

Introduction

AdS black holes are dual to a topologically twisted CFT on $S^2 \times S^1$ with background magnetic fluxes for the global symmetries



Entropy of black holes
Counting of microstates

Partition function of twisted
3d CFT on $S_2 \times S_1$

QM fixed point

The background

Consider an $\mathcal{N} = 2$ gauge theory on $S^2 \times S^1$

$$ds^2 = R^2(d\theta^2 + \sin^2 \theta d\varphi^2) + \beta^2 dt^2$$

with a background for the R-symmetry proportional to the spin connection:

$$A^R = -\frac{1}{2} \cos \theta d\varphi = -\frac{1}{2} \omega^{12}$$

so that the Killing spinor equation

$$D_\mu \epsilon = \partial_\mu \epsilon + \frac{1}{4} \omega_\mu^{ab} \gamma_{ab} \epsilon - i A_\mu^R \epsilon = 0 \quad \implies \quad \epsilon = \text{const}$$

The background

This is just a topological twist. [Witten '88]

The result becomes interesting when supersymmetric backgrounds for the flavor symmetry multiplets (A_μ^F, σ^F, D^F) are turned on:

$$u^F = A_t^F + i\sigma^F, \quad q^F = \int_{S^2} F^F = iD^F$$

and the path integral, which can be exactly computed by localization, becomes a function of a set of magnetic charges q^F and chemical potentials u^F .

[Benini-AZ; arXiv 1504.03698]

A topologically twisted index

The path integral can be re-interpreted as a **twisted index**: a trace over the Hilbert space \mathcal{H} of states on a sphere in the presence of a magnetic background for the R and the global symmetries,

$$\mathrm{Tr}_{\mathcal{H}} \left((-1)^F e^{iJ_F A^F} e^{-\beta H} \right)$$

$$Q^2 = H - \sigma^F J_F$$

holomorphic in u^F

where J_F is the generator of the global symmetry.

Localization

Exact quantities in supersymmetric theories with a charge $Q^2 = 0$ can be obtained by a saddle point approximation

$$Z = \int e^{-S} = \int e^{-S+t\{Q,V\}} \underset{t \gg 1}{=} e^{-\bar{S}|_{class}} \times \frac{\det_{fermions}}{\det_{bosons}}$$

Very old idea that has become very concrete recently, with the computation of partition functions on spheres and other manifolds supporting supersymmetry.

The partition function

The path integral for an $\mathcal{N} = 2$ gauge theory on $S^2 \times S^1$ with gauge group G localizes on a set of BPS configurations specified by data in the vector multiplets

$$V = (A_\mu, \sigma, \lambda, \lambda^\dagger, D)$$

- ▶ A magnetic flux on S^2 , $\mathfrak{m} = \frac{1}{2\pi} \int_{S^2} F$ in the co-root lattice
- ▶ A Wilson line A_t along S^1
- ▶ The vacuum expectation value σ of the real scalar

Up to gauge transformations, the BPS manifold is

$$(u = A_t + i\sigma, \mathfrak{m}) \in \mathcal{M}_{\text{BPS}} = (H \times \mathfrak{h} \times \Gamma_{\mathfrak{h}}) / W$$

The partition function

The path integral reduces to a the saddle point around the BPS configurations

$$\sum_{\mathfrak{m} \in \Gamma_{\mathfrak{h}}} \int dud\bar{u} \mathcal{Z}^{\text{cl} + 1\text{-loop}}(u, \bar{u}, \mathfrak{m})$$

- ▶ The integrand has various singularities where chiral fields become massless
- ▶ There are fermionic zero modes

The two things nicely combine and the path integral reduces to an r -dimensional contour integral of a meromorphic form

$$\frac{1}{|W|} \sum_{\mathfrak{m} \in \Gamma_{\mathfrak{h}}} \oint_{\mathcal{C}} Z_{\text{int}}(u, \mathfrak{m})$$

The partition function

- ▶ In each sector with gauge flux m we have a meromorphic form

$$Z_{\text{int}}(u, m) = Z_{\text{class}} Z_{1\text{-loop}}$$

$$Z_{\text{class}}^{\text{CS}} = x^{km}$$

$$x = e^{iu}$$

$$Z_{1\text{-loop}}^{\text{chiral}} = \prod_{\rho \in \mathfrak{R}} \left[\frac{x^{\rho/2}}{1 - x^{\rho}} \right]^{\rho(m) - q + 1}$$

$q = R$ charge

$$Z_{1\text{-loop}}^{\text{gauge}} = \prod_{\alpha \in G} (1 - x^{\alpha}) (i du)^r$$

- ▶ Supersymmetric localization selects a particular contour of integration C and picks some of the residues of the form $Z_{\text{int}}(u, m)$.

[Jeffrey-Kirwan residue - similar to Benini, Eager, Hori, Tachikawa '13; Hori, Kim, Yi '14]

A Simple Example: SQED

The theory has gauge group $U(1)$ and two chiral Q and \tilde{Q}

$$Z = \sum_{m \in \mathbb{Z}} \int \frac{dx}{2\pi i x} \left(\frac{x^{\frac{1}{2}} y^{\frac{1}{2}}}{1 - xy} \right)^{m+n} \left(\frac{x^{-\frac{1}{2}} y^{\frac{1}{2}}}{1 - x^{-1}y} \right)^{-m+n}$$

	$U(1)_E$	$U(1)_A$	$U(1)_R$
Q	1	1	1
\tilde{Q}	-1	1	1

Consistent with duality with three chirals with superpotential XYZ

$$Z = \left(\frac{y}{1 - y^2} \right)^{2n-1} \left(\frac{y^{-\frac{1}{2}}}{1 - y^{-1}} \right)^{-n+1} \left(\frac{y^{-\frac{1}{2}}}{1 - y^{-1}} \right)^{-n+1}$$

Aharony and Giveon-Kutasov dualities

The twisted index can be used to check dualities: for example, $U(N_c)$ with $N_f = N_c$ flavors is dual to a theory of chiral fields M_{ab} , T and \tilde{T} , coupled through the superpotential $W = T\tilde{T} \det M$

$$Z_{N_f=N_c} = \left(\frac{y}{1-y^2} \right)^{(2n-1)N_c^2} \left(\frac{\xi^{\frac{1}{2}} y^{-\frac{N_c}{2}}}{1-\xi y^{-N_c}} \right)^{N_c(1-n)+t} \left(\frac{\xi^{-\frac{1}{2}} y^{-\frac{N_c}{2}}}{1-\xi^{-1} y^{-N_c}} \right)^{N_c(1-n)-t}$$

Aharony and Giveon-Kutasov dual pairs for generic (N_c, N_f) have the same partition function.

Refinement and other dimensions

We can add refinement for angular momentum on S^2 .

Refinement and other dimensions

We can add refinement for angular momentum on S^2 .

We can go up and down in dimension

- ▶ In a $(2, 2)$ theory in 2d on S^2 we are computing amplitudes in gauged linear sigma models [also Cremonesi-Closset-Park '15]
- ▶ In a $\mathcal{N} = 1$ theory on $S^2 \times T^2$ we are computing an elliptically generalized twisted index

[also Closset-Shamir '13; Nishioka-Yaakov '14; Yoshida-Honda '15]

Refinement and other dimensions

We can add refinement for angular momentum on S^2 .

We can go up and down in dimension

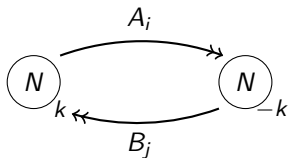
- ▶ In a $(2, 2)$ theory in 2d on S^2 we are computing amplitudes in gauged linear sigma models [also Cremonesi-Closset-Park '15]
- ▶ In a $\mathcal{N} = 1$ theory on $S^2 \times T^2$ we are computing an elliptically generalized twisted index

[also Closset-Shamir '13; Nishioka-Yaakov '14; Yoshida-Honda '15]

The index adds to and complete the list of existing tools (superconformal indices, sphere partition functions) for testing dualities.

Going back to the black hole

The dual field theory to $AdS_4 \times S^7$ is known: is the ABJM theory with gauge group $U(N) \times U(N)$



with quartic superpotential

$$W = A_1 B_1 A_2 B_2 - A_1 B_2 A_2 B_1$$

defined on twisted $S^2 \times \mathbb{R}$ with magnetic fluxes n_i for the R/global symmetries

$$SU(2)_A \times SU(2)_B \times U(1)_B \times U(1)_R \subset SO(8)$$

The dual field theory

The ABJM twisted index is

$$\begin{aligned}
 Z = & \frac{1}{(N!)^2} \sum_{\mathbf{m}, \tilde{\mathbf{m}} \in \mathbb{Z}^N} \int \prod_{i=1}^N \frac{dx_i}{2\pi i x_i} \frac{d\tilde{x}_i}{2\pi i \tilde{x}_i} x_i^{k m_i} \tilde{x}_i^{-k \tilde{m}_i} \times \prod_{i \neq j}^N \left(1 - \frac{x_i}{x_j}\right) \left(1 - \frac{\tilde{x}_i}{\tilde{x}_j}\right) \times \\
 & \times \prod_{i,j=1}^N \left(\frac{\sqrt{\frac{x_i}{\tilde{x}_j}} y_1}{1 - \frac{x_i}{\tilde{x}_j} y_1} \right)^{m_i - \tilde{m}_j - n_1 + 1} \left(\frac{\sqrt{\frac{x_i}{\tilde{x}_j}} y_2}{1 - \frac{x_i}{\tilde{x}_j} y_2} \right)^{m_i - \tilde{m}_j - n_2 + 1} \\
 & \left(\frac{\sqrt{\frac{\tilde{x}_j}{x_i}} y_3}{1 - \frac{\tilde{x}_j}{x_i} y_3} \right)^{\tilde{m}_j - m_i - n_3 + 1} \left(\frac{\sqrt{\frac{\tilde{x}_j}{x_i}} y_4}{1 - \frac{\tilde{x}_j}{x_i} y_4} \right)^{\tilde{m}_j - m_i - n_4 + 1}
 \end{aligned}$$

where $\mathbf{m}, \tilde{\mathbf{m}}$ are the gauge magnetic fluxes and y_i are fugacities for the three independent $U(1)$ global symmetries ($\prod_i y_i = 1$)

The dual field theory

Strategy:

- ▶ Re-sum geometric series in $\mathfrak{m}, \tilde{\mathfrak{m}}$.

$$Z = \int \frac{dx_i}{2\pi i x_i} \frac{d\tilde{x}_i}{2\pi i \tilde{x}_i} \frac{f(x_i, \tilde{x}_i)}{\prod_{j=1}^N (e^{iB_j} - 1) \prod_{j=1}^N (e^{i\tilde{B}_j} - 1)}$$

- ▶ Find the zeros of denominator $e^{iB_j} = e^{i\tilde{B}_j} = 1$ at large N
- ▶ Evaluate the residues at large N

$$Z \sim \sum_I \frac{f(x_i^{(0)}, \tilde{x}_i^{(0)})}{\det \mathbb{B}}$$

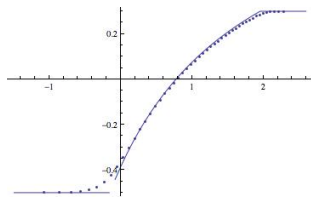
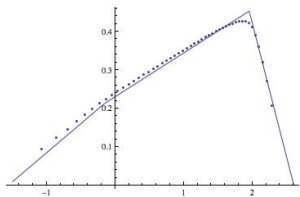
The large N limit

Step 2: solve the large N Limit of algebraic equations giving the positions of poles

$$1 = x_i^k \prod_{j=1}^N \frac{(1 - y_3 \frac{\tilde{x}_j}{x_i})(1 - y_4 \frac{\tilde{x}_j}{x_i})}{(1 - y_1^{-1} \frac{\tilde{x}_j}{x_i})(1 - y_2^{-1} \frac{\tilde{x}_j}{x_i})} = \tilde{x}_j^k \prod_{i=1}^N \frac{(1 - y_3 \frac{\tilde{x}_j}{x_i})(1 - y_4 \frac{\tilde{x}_j}{x_i})}{(1 - y_1^{-1} \frac{\tilde{x}_j}{x_i})(1 - y_2^{-1} \frac{\tilde{x}_j}{x_i})}$$

with an ansatz

$$\log x_i = i\sqrt{N}t_i + v_i, \quad \log \tilde{x}_j = i\sqrt{N}t_j + \tilde{v}_j$$



The large N limit

Step 3: plug into the partition function. The final result is surprisingly simple

$$\mathbb{R}e \log Z = -\frac{1}{3} N^{3/2} \sqrt{2k\Delta_1\Delta_2\Delta_3\Delta_4} \sum_a \frac{n_a}{\Delta_a} \quad y_i = e^{i\Delta_i}$$

This function can be extremized with respect to the Δ_j and

$$\mathbb{R}e \log Z|_{crit}(\mathbf{n}_i) = \text{BH Entropy}(\mathbf{n}_i)$$

The large N limit

The twisted index depends on Δ_i because we are computing the trace

$$\mathrm{Tr}_{\mathcal{H}}(-1)^F e^{i\Delta_i J_i} \equiv \mathrm{Tr}_{\mathcal{H}}(-1)^R$$

where $R = F + \Delta_i J_i$ is a possible R-symmetry of the system.

Here an extremization is at work: symmetry enhancement at the horizon AdS_2

$$\mathrm{QM}_1 \rightarrow \mathrm{CFT}_1$$

- ▶ R is the exact R-symmetry at the superconformal point
- ▶ Natural thing to extremize: in even dimensions central charges are extremized, in odd partition functions...

The large N limit

The extremization reflects exactly what's going on in the bulk. The graviphoton field strength depends on r

$$T_{\mu\nu} = e^{K/2} X^\Lambda F_{\Lambda, \mu\nu}$$

suggesting that the R-symmetry is different in the IR and indeed

$$\Delta_i|_{crit} \sim X^i(r_h)$$

Conclusions

The main message of this talk is that you can related the entropy of a class of AdS_4 black holes to a microscopic counting of states.

- ▶ first time for AdS black holes

Conclusions

The main message of this talk is that you can related the entropy of a class of AdS_4 black holes to a microscopic counting of states.

- ▶ first time for AdS black holes

But don't forget that we also gave a general formula for the topologically twisted path integral of 2d $(2,2)$, 3d $\mathcal{N} = 2$ and 4d $\mathcal{N} = 1$ theories.

- ▶ Efficient quantum field theory tools for testing dualities.

Conclusions

With many field theory questions/generalizations

- ▶ Higher genus $S^2 \rightarrow \Sigma$? Include Witten index
- ▶ 2d theories, learn about Calabi-Yaus's and sigma-models?
- ▶ Extremization of the index is a general principle?