

# F-theory at order $\alpha'^3$

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- 4D  $\mathcal{N} = 2$  Kähler potential (Type II theories on CY manifold  $X_3$ )

- ◇ 
$$K = -2 \log \left( \mathcal{V}_3 - \frac{\zeta(3)}{32\pi^3 \cdot g_s^{3/2}} \chi(X_3) \right)$$

- ▷  $\mathcal{V}_3$  - classical volume of  $X_3$

- ▷  $\chi(X_3)$  - Euler number

- ▷ origin - higher derivative ( $\sim \alpha'^3$ ) corrections in 10D

- ▷ This form of  $K$  survives orientifolding: open strings (O7,D7), breaking susy ...

- (Genuine)  $\mathcal{N} = 1$  effect (small string coupling):

- ◇ 
$$\chi(X_3) \longrightarrow \chi(X_3) + 2 \int_{X_3} D_{O7}^3$$

- ▷  $D_{O7}$  - class Poincaré dual to the divisor wrapped by the O7-plane in  $X_3$

- F-theory:

- ▷ Geometrisation of all  $g_s$  effects (only  $\alpha'$  corrections needed)

- ▷ Backreaction - all open string effects

## Plan:

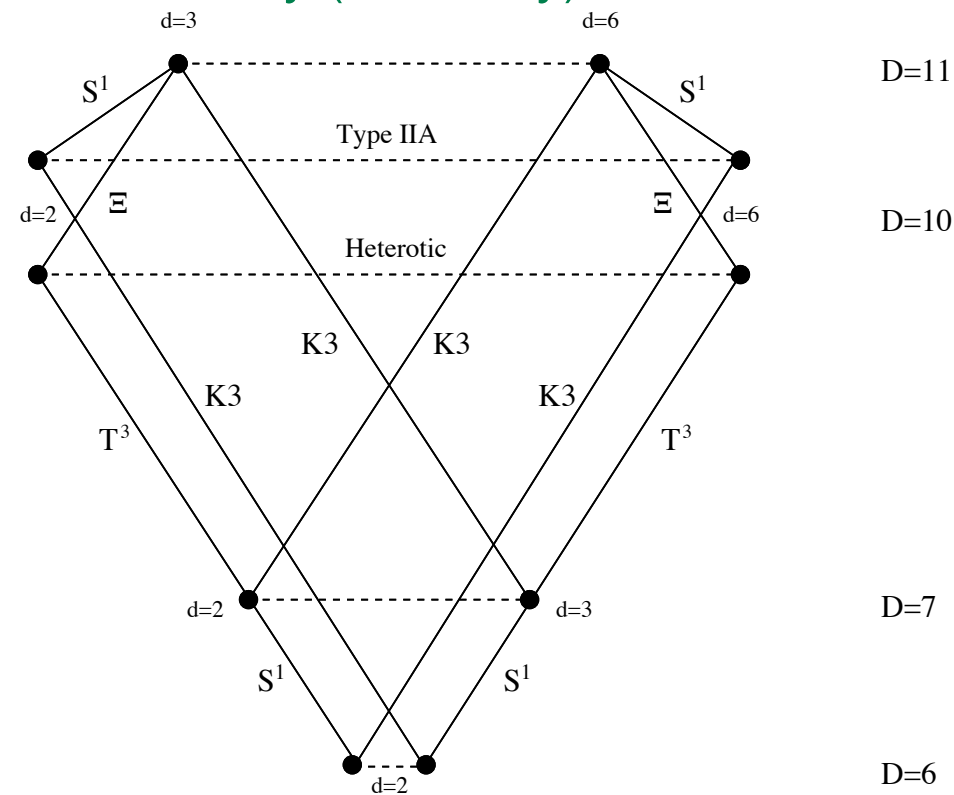
- Introduction
  - ▷ F-theory
    - ◇ dualities
  - ▷  $\alpha'$  corrections
    - ◇ type II theories
    - ◇ view from higher dimensions
- Higher-derivative couplings with varying dilaton-axion
- 4D  $\mathcal{N} = 1$
- Some open questions

## F-theory

- IIB strings with varying dialton-axion  $\tau = C_0 + ie^{-\phi}$ 
  - ◇  $S_{IIB} \sim \frac{1}{l_s^8} \int (R - P\bar{P}) *_{10} 1$
  - ▷  $P = \frac{i}{2\text{Im}\tau} \nabla\tau$  ( $U(1)_R$  covariant, charge 2)
  - ▷ *formally* obtained from  $R^{(12)}$  at fixed volume  $\nu$
  - ▷  $\mathbb{T}^2$  metric:  $\frac{\nu}{\text{Im}\tau} \begin{pmatrix} 1 & \text{Re}\tau \\ \text{Re}\tau & |\tau|^2 \end{pmatrix}$
  - ▷ F-theory space - *elliptically fibered CY* ◁
  - ▷ D7/O7 - codim 2 defects (with *deficit angle*) - degenerations of el. fiber
  - ▷ 10D slice integral:  $S_0^{12} \sim \frac{1}{l_s^8} \int R^{(12)} *_{10} 1$
  
- Decompactification limit of M-theory (on  $X_e$ )
  - ◇  $S^{11} \sim \frac{1}{l_M^9} \int R *_{11} 1 \Rightarrow S^9 \sim \frac{\nu}{l_M^7} \int (R - P\bar{P}) *_9 1 \Rightarrow S_{IIB}$
  - ▷ IIB limit  $\nu \rightarrow 0$  :
  
- $\sim \alpha'^3$  couplings:
  - ◇ Dualities (notably F-th/ $K3_e \cong \text{Het}/\mathbb{T}^2$ )
  - ◇ Necessity of four-form flux for  $CY_4$  (4d  $\mathcal{N} = 1$ )

# Dualities and higher derivative couplings

## Six-dimensional Heterotic/IIA duality (M-theory):



- $H^{\text{het}} = e^{2\phi} * H^{\text{IIA}}, \quad g_{\mu\nu}^{\text{het}} = e^{2\phi} g_{\mu\nu}^{\text{IIA}}, \quad \phi = -\varphi^{\text{IIA}}$
- Het. BI :  $dH_3 = \frac{\alpha'}{4} (\text{tr } R^2 - \text{tr } F^2) \Leftrightarrow$  Type II EOM ( $\Leftarrow B \wedge (F^I \wedge F^J d_{IJ} - \text{tr } R^2)$ )
  - ◇  $B \wedge F \wedge F$  descends from 11d  $C_3 \wedge G_4 \wedge G_4$  ( $d_{iJ} = \int_{K3} \omega_I \wedge \omega_J$ )
  - ◇  $B \wedge \text{tr } R^2$  descends from 11d  $C_3 \wedge X_8(\Omega^{\text{LC}})$

## Summary of type II $(\alpha')^3$ couplings (10D):

	No $B$	With $B$
<b>e-o</b>	$\frac{1}{8}(t_8\epsilon_{10} + \epsilon_{10}t_8)BR^4$	$\frac{1}{8}(t_8\epsilon_{10} + \epsilon_{10}t_8)BR^4(\Omega_+)$
<b>+</b>	$= B \wedge X_8(\Omega^{\text{LC}})$	$= \frac{1}{8}t_8\epsilon_{10}B(R^4(\Omega_+) + R^4(\Omega_-))$
<b>o-e</b>	$= \frac{1}{192(2\pi)^4}B \wedge (\text{tr } R^4 - \frac{1}{4}(\text{tr } R^2)^2)$	$= \frac{1}{2}B \wedge [X_8(\Omega_+) + X_8(\Omega_-)]$
<b>e-e</b>	$t_8t_8R^4$	$t_8t_8R^4(\Omega_+) = t_8t_8R^4(\Omega_-)$
<b>o-o</b>	$\frac{1}{8}\epsilon_{10}\epsilon_{10}R^4$	$\frac{1}{8}\epsilon_{10}\epsilon_{10} (R(\Omega_+)^4 + \frac{8}{3}H^2R(\Omega_+)^3 + \dots)$ $= \frac{1}{8}\epsilon_{10}\epsilon_{10} (R(\Omega_-)^4 + \frac{8}{3}H^2R(\Omega_-)^3 + \dots)$

◇  $\Omega^{\text{LC}} \longrightarrow \Omega_{\pm} = \Omega^{\text{LC}} \pm \frac{1}{2}\mathcal{H}$

◇ Curvature:  $R(\Omega_{\pm})_{\mu\nu}^{\alpha\beta} = R_{\mu\nu}^{\alpha\beta} \pm \nabla_{[\mu}H_{\nu]}^{\alpha\beta} + \frac{1}{2}H_{[\mu}^{\alpha\gamma}H_{\nu]\gamma}^{\beta}$

◇  $t_8M^4 = 24 (\text{tr } M^4 - \frac{1}{4}(\text{tr } M^2)^2)$

◇  $\epsilon_{10}\epsilon_{10}R^4 = \epsilon_{\alpha\beta\mu_1\dots\mu_8}\epsilon^{\alpha\beta\nu_1\dots\nu_8}R^{\mu_1\mu_2}_{\nu_1\nu_2}R^{\mu_3\mu_4}_{\nu_3\nu_4}R^{\mu_5\mu_6}_{\nu_5\nu_6}R^{\mu_7\mu_8}_{\nu_7\nu_8}$

◇ lifting to 11d:  $H \mapsto G_4$

## $\mathcal{N} = 2$ : M-theory/IIA on Calabi-Yau threefolds

- 4D quantum corrected effective action:

$$S = \frac{1}{2\kappa_4^2} \int d^4x \sqrt{g^\sigma} \left[ \left( \left(1 + \frac{\chi_T}{v_6}\right) e^{-2\phi_4} - \chi_1 \right) \mathcal{R}_{(4)} + \left( \left(1 - \frac{\chi_T}{v_6}\right) e^{-2\phi_4} - \chi_1 \right) G_{vv} (\partial v)^2 \right. \\ \left. + \left( \left(1 + \frac{\chi_T}{v_6}\right) e^{-2\phi_4} + \chi_1 \right) G_{hh} (\partial h)^2 \right]$$

▷  $v_6 = \mathcal{V}_3 (2\pi l_s)^{-6}$

▷  $G_{vv}$  - the metric of the  $h_{(1,1)} - 1$  vector-multiplets

▷  $G_{hh}$  - the metric of the  $h_{(1,2)}$  non-universal hypermultiplets

▷  $\chi_T = 2\zeta(3)\chi/(2\pi)^3$

▷  $\chi_1 = 4\zeta(2)\chi/(2\pi)^3$

- Weyl rescaling:

▷ Quantum corrections to vector and hyper moduli space metrics

▷ Corrections to the Kähler potential

## Lifting the duality to 8D: $F\text{-th}/K3_e \cong \text{Het}/\mathbb{T}^2$

- Effective theory 8D minimal sugra (16 supercharges) coupled to VM,  $\text{rk } G=18$

▷ 2-form field; 3-form flux with non-trivial BI

$$\text{Het}/\mathbb{T}^2 \Rightarrow \left\{ \begin{array}{l} \bullet \text{ Het. Bianchi identity} \\ \quad dH_3 = \frac{\alpha'}{4} (\text{tr } R^2 - \text{tr } F^2) \\ \bullet \text{ Green-Schwarz term } (SO(8)^4 \text{ points) with} \\ \quad \text{tr } R^4 + \frac{1}{4} (\text{tr } R^2)^2 - \text{tr } F^2 \text{tr } R^2 + 8 \text{tr } F^4 \end{array} \right.$$

▷ 4-form with non-trivial CS couplings (Sen limit: restricted c.s of  $K3$ , such that  $g_s \rightarrow 0$  is well-defined)

$$C \wedge \left[ \mu e^{-iF} \sqrt{A(\hat{R})} + \mu' \sqrt{L(R/4)} \right] \Rightarrow \left\{ \begin{array}{l} \sim C_4 \wedge \left( \frac{1}{4} \text{tr } R^2 - \text{tr } F_i^2 \right) \\ \sim C_0 \wedge \left( \frac{1}{4} \text{tr } R^4 + \frac{1}{16} (\text{tr } R^2)^2 - \text{tr } R^2 \text{tr } F_i^2 + 8 \text{tr } F_i^4 \right) \end{array} \right.$$

▷ Can recover CP-even part by susy

▷ Generic points of moduli space?!



$\sim \alpha'^3$  in IIB

- kinematics:

- ▷  $(t_8 t_8 + \frac{1}{8} \epsilon_{10} \epsilon_{10}) R^4$

- ▷ no CP-odd (GS-like) couplings

- ▷ tree-level, 1 loop and non-perturbative contributions

- M-theory (11D) perspective

- ▷ For M-th/ $\mathbb{T}^2$ ,  $\nu \rightarrow 0 \Rightarrow S_3^9 \rightarrow 0$

- ▷ need to account for KK modes on  $\mathbb{T}^2$

- For constant  $\tau$ :  $\Delta S^{11} \sim \frac{1}{l_M^3} \int t_8 t_8 R^4 *_{11} 1 \Rightarrow \Delta S_{IIB} \sim \frac{1}{l_s^2} \int f_0(\tau, \bar{\tau}) t_8 t_8 R^4 *_{10} 1$

- ▷  $f_0(\tau, \bar{\tau}) = \sum_{(m,n) \neq (0,0)} \frac{\tau_2^{3/2}}{|m+n\tau|^3} \rightarrow \frac{2\zeta(3)}{g_3^{3/2}} + \frac{2\pi}{3} g_s^{1/2} + \mathcal{O}(e^{-1/g_s})$

- Varying dilaton-axion:

- ▷  $\Delta S_{IIB}|_{4\text{-pt}} \sim \frac{1}{l_s^2} \int \left\{ t_8 t_8 [R^4 + 24R^2 |DP|^2] + \hat{\mathcal{O}}_1 [(|DP|^2)^2] \right\} *_{10} 1$

- ▷ Complete agreement with 0-mode reduction of

$$S_3^{12}(t_8) \sim \frac{1}{l_s^2} \int \hat{t}_8 \hat{t}_8 R^{12^4} *_{10} 1$$

$\sim \alpha'^3$  in F-theory...

- Odd-odd sector:

▷ 0-mode reduction of

$$S_3^{12}(\epsilon_8) \sim \frac{1}{l_s^2} \int \hat{\epsilon}_8 \hat{\epsilon}_8 R^{124} *_10 1$$

▷  $S_3^{12}(\epsilon_8)$  restricted to 4pt *vanishes*

- Missing  $\tau$  dynamics - all  $g_s$  corrections
- (Conjectured) complete coupling:

$$S_3^{12} = \frac{1}{(4\pi)^9 \cdot 3 \cdot l_s^4} \int f_0(\tau, \bar{\tau}) \left[ \hat{t}_8 \hat{t}_8 + \frac{1}{96} \hat{\epsilon}_{12} \hat{\epsilon}_{12} \right] (R^{(12)})^4 *_10 1$$

▷  $SL(2, \mathbb{Z})$  and SUSY compatibility

▷ perturbatively *tree* + 1-loop terms

▷ No “cusp forms” - non-perturbative part captured by  $f_0(\tau, \bar{\tau})$

\*  $g_s$ -exact  $\sim \mathcal{O}(\alpha'^3)$  Type IIB action without flux

\*  $R$  and  $\tau$  couplings *beyond* 4pt

## 4D $\mathcal{N} = 1$ compactifications of F-theory

- Smooth four-fold  $B_3 \rightarrow CY_4$ , fibered over  $B_3$  with zero-section
- 2 + 8 derivative reductions ( $+\mathcal{O}(\alpha'^4)$ ):

$$S_{0+3}^4 = \frac{1}{2\pi\alpha'} \int \left( \mathcal{V}_b - \frac{1}{64\pi^3} \int_{B_3} f_0(\tau, \bar{\tau}) c_3(X_4)|_{B_3} \right) R_{(4d)} *_{4} 1$$

◇ Correction

$$\sim \int R_{(4d)} *_{4} 1 \int_{B_3} f_0 *_{8} (J \wedge c_3(X_4)) *_{6} 1 + \dots,$$

◇ Use  $*_{8}(J \wedge *_{6}1) = 1 + \mathcal{O}(\alpha')$

- ▷ Verify that the correction is *finite*
- ▷ *constant*  $\tau$  ( $B_3 = CY_3$ ) known  $\mathcal{N} = 2$  results
- ▷  $\tau$  varies over  $B_3$  - the correction is *non-topological*
- ▷  $\Rightarrow$  Kähler potential via Weyl rescaling (plenty of ifs and buts!)

## Weak string coupling limit

Sen limit: a region of the complex structure moduli space of the CY fourfold  $X_4$ , where none of the monodromies acting on  $\tau$  involves the string coupling  $\tau_2^{-1}$ :

- ▷  $\tau_2^{-1}$  kept small in a globally well-defined way
- ▷ Type IIB on orientifolded CY threefold  $X_3$  - branched double cover of  $B_3$
- ▷ O7-plane - branching locus: in cohomology  $D_{O7} \equiv c_1(B_3)$
- \* Correction ( *topological* ) to the classical volume  $\mathcal{V}_3$  of the CY threefold:

$$\tilde{\mathcal{V}}_3 = \mathcal{V}_3 - \frac{\zeta(3)}{32\pi^3 g_s^{3/2}} \left( \chi(X_3) + 2 \int_{X_3} D_{O7}^3 \right) + \mathcal{O}(g_s^{-1/2})$$

- ◇ Note integration over  $X_3$  (not  $B_3$ )
- ◇ Only Weyl rescaling contribution to Kähler potential is computed here
- ▷ New terms from tree-level closed string scattering in this CY orientifold background
- ▷ *Absent* in toroidal models!

## Fluxes?

- IIB limit for fluxes:  $-\frac{1}{48 \cdot l_s^{10}} \int G_4 \cdot G_4 *_{11} 1 \Rightarrow -\frac{1}{12 \cdot l_s^8} \int G_3 \cdot \bar{G}_3 *_{10} 1$ 
  - ▷ only nonvanishing components  $H_{mnp} = G_{mnpA}$  and  $F_{mnp} = G_{mnpB}$
  - ▷  $G_3 = e^\phi (F_3 - \tau H_3)$
- Problems with mixed terms:  $\Delta^G S_{IIB}|_{4\text{-pt}} \sim$ 

$$\frac{1}{l_s^2} \int \left\{ t_8 t_8 [12 |DG|^2 (R^2 + 4 |DP|^2 + 2R(DP + \overline{DP}))] + \hat{O}_2 [(|DG|^2)^2] \right\} *_{10} 1$$
  - ▷ Invariant part:  $\Leftarrow \sim \frac{1}{l_s^4} \int [s_6 (\nabla G_4)^2 (R^{(12)})^2 + s_{20} (\nabla G_4)^4] *_{12} 1$ 
    - ▷ Match with 4-pt tree-level result - 26+1 free parameters
  - ▷ “Non-invariant” pieces  $\Leftarrow f_k(\tau, \bar{\tau}) S^{(2k)}$  with  $f_k$  of charge  $-2k$  ( $\bar{f}_k = f_{-k}$ )
  - ▷ Note (small  $\tau_2^{-1}$  expansion of  $f_k$ )

$$\left( k + 2i\tau_2 \frac{\partial}{\partial \tau} \right) f_k = \left( \frac{3}{2} + k \right) f_{k+1}$$

- ▷  $\frac{1}{l_s^2} \int t_8 t_8 \{ RDP DG (f_1 \overline{DG} + 2f_2 DG) + \beta (DP)^2 [f_1 (\overline{DG})^2 - f_3 (DG)^2] \} *_{10} 1$   
do not come from direct reduction

- The origin of “non-invariant” couplings?

## Some (more) open questions:

- F-theory at order  $\alpha'^3$ :
  - ▷  $F_5$  couplings?
  - ▷ Going beyond 4pt checks?
- $\mathcal{N} = 1$  compactifications of F-theory
  - ▷ Warping? Moduli kinetic terms? Other corrections to Kähler potential?
  - ▷ Moduli kinetic terms? Other corrections to Kähler potential?
- Gen. geometry and  $SL(2, \mathbb{Z})$  invariance ?
  - ▷ F-theory space ( $CY_e$  (with fluxes)) - a generalised tangent bundle? ◁
- Towards completions of higher-derivative couplings:
  - ▷ Susy completion of GS terms for heterotic strings
  - ▷ Susy completions of  $R^4$  couplings in type II theories and M-theory
  - ★ Can generalised geometry capture the systematics of the string (perturbation) theory?