

# **Chiral Effective Field Theory and Nuclear Forces: The SRG and applications in many-body calculations**

Kai Hebeler

Mainz, August 1, 2022

TALENT school @MITP:  
Effective field theories in light nuclei



## Basis function expansion methods

$$H |\psi_i\rangle = T + V |\psi_i\rangle = E_i |\psi_i\rangle$$

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most common choice: harmonic oscillator basis functions

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with  $\langle \mathbf{r}|nlm\rangle = R_{nl}(r)Y_{lm}(\hat{\mathbf{r}})$

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eigen energies  $E_i$  and eigenstates  $|\psi_i\rangle$  can then be determined by  
ordinary matrix diagonalization (no-core shell model)

$$\langle m | E_i | \psi_i \rangle = E_i c_i^m = \langle m | H | \psi_i \rangle = \sum_n \langle m | H | n \rangle c_i^n$$

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$|\psi_i\rangle$

$N_{\max}$

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## skipped details:

- transformation to single-particle coordinates
- isospin
- antisymmetrization
- decoupling of center-of-mass motion

most common basis

$|n\rangle$

$$\text{with } \langle \mathbf{r}|nlm\rangle = R_{nl}(r)Y_{lm}(\hat{\mathbf{r}})$$

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## Basis function expansion methods

transformation of NN interactions from momentum to HO basis:

$$\langle n'(L'S)J | V_{NN} | n(LS)J \rangle = \int dp p^2 \int dp' p'^2 R_{n'L'}(p', b) \langle p'(L'S)J | V_{NN} | p(LS)J \rangle R_{nL}(p, b)$$

with the radial wave functions of 3d harmonic oscillator

$$R_{nl}(p, b) = \sqrt{\frac{2n!b^3}{\Gamma(n + l + \frac{3}{2})}} (pb)^l e^{-p^2b^2/2} L_n^{l+\frac{1}{2}}(p^2b^2)$$

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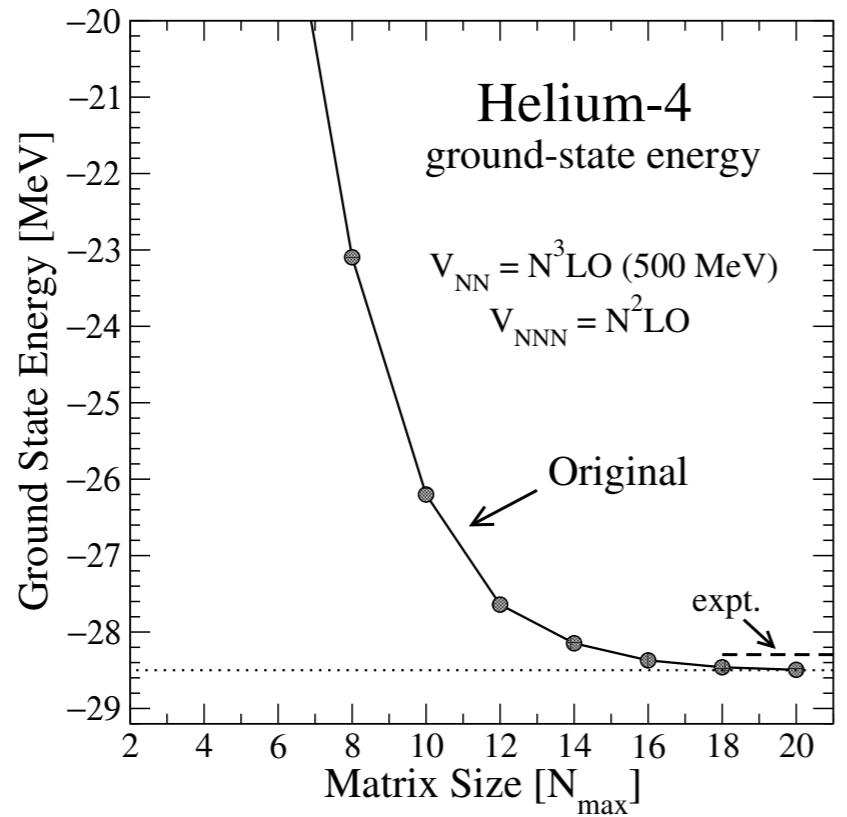
in practice many-body frameworks are typically formulated in a single-particle basis:

$$\langle n'_1(l'_1 1/2)j'_1, n'_2(l'_2 1/2)j'_2, \dots | H | n_1(l_1 1/2)j_1, n_2(l_2 1/2)j_2, \dots \rangle$$

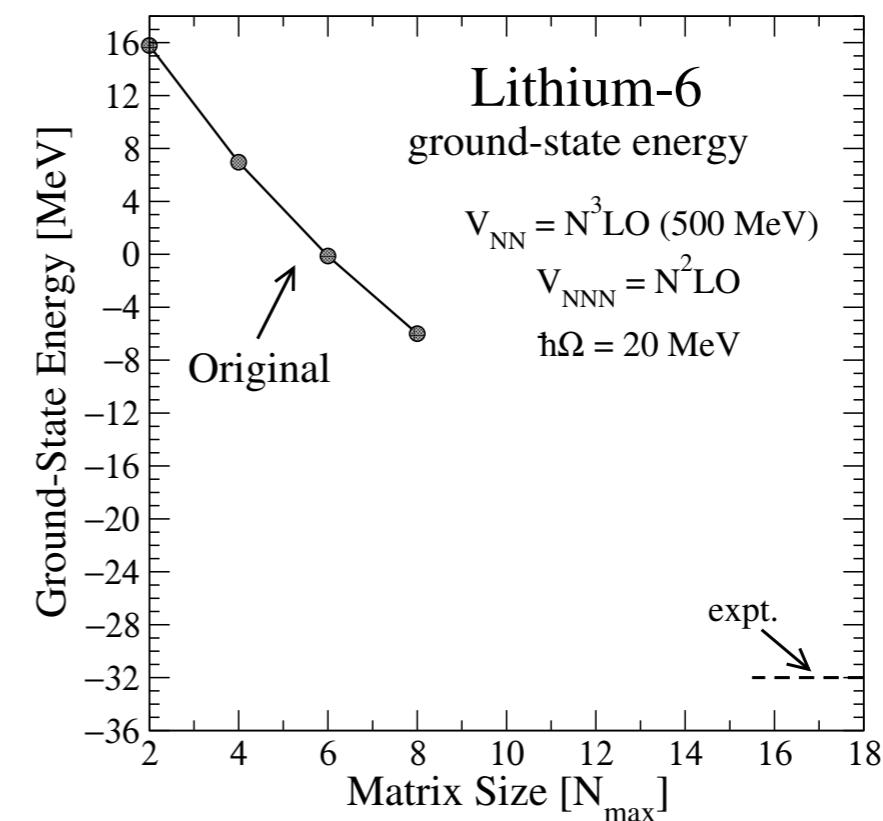
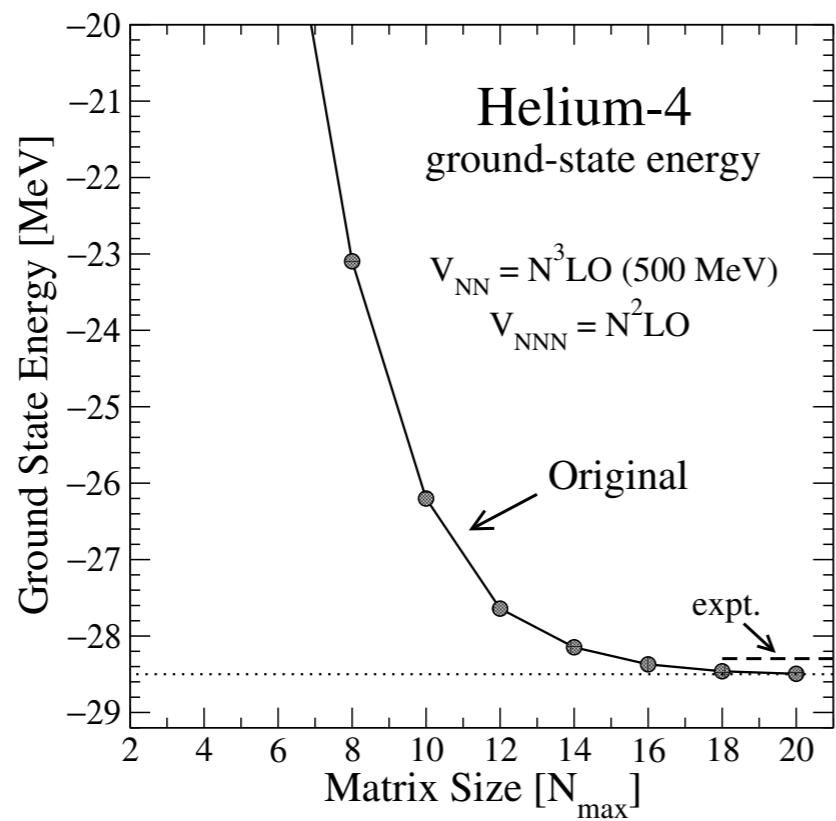
this transformation involves the Talmi-Moshinski overlap brackets

$$\langle n_1 l_1, n_2 l_2 | n_{\text{rel}} L_{\text{rel}}, N_{\text{cm}} L_{\text{cm}} \rangle$$

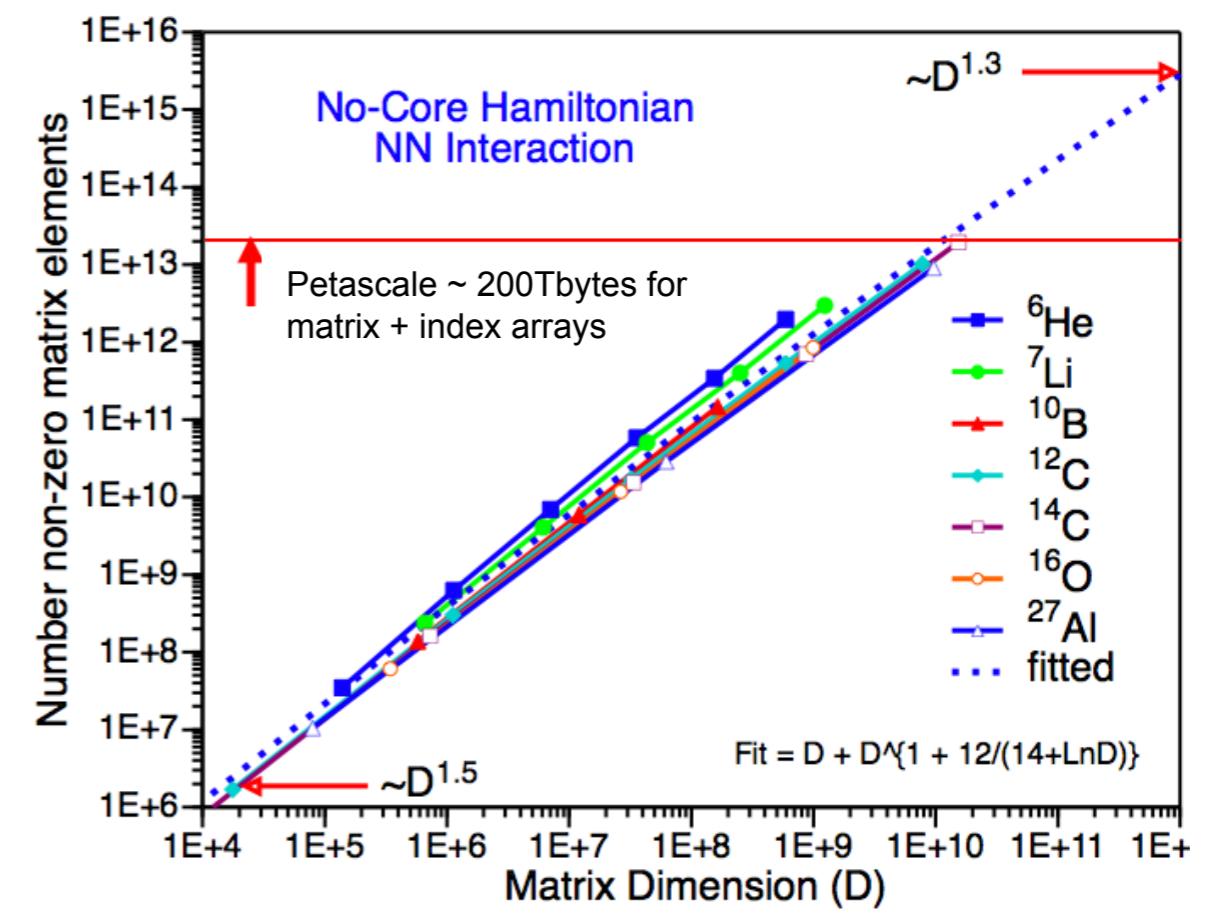
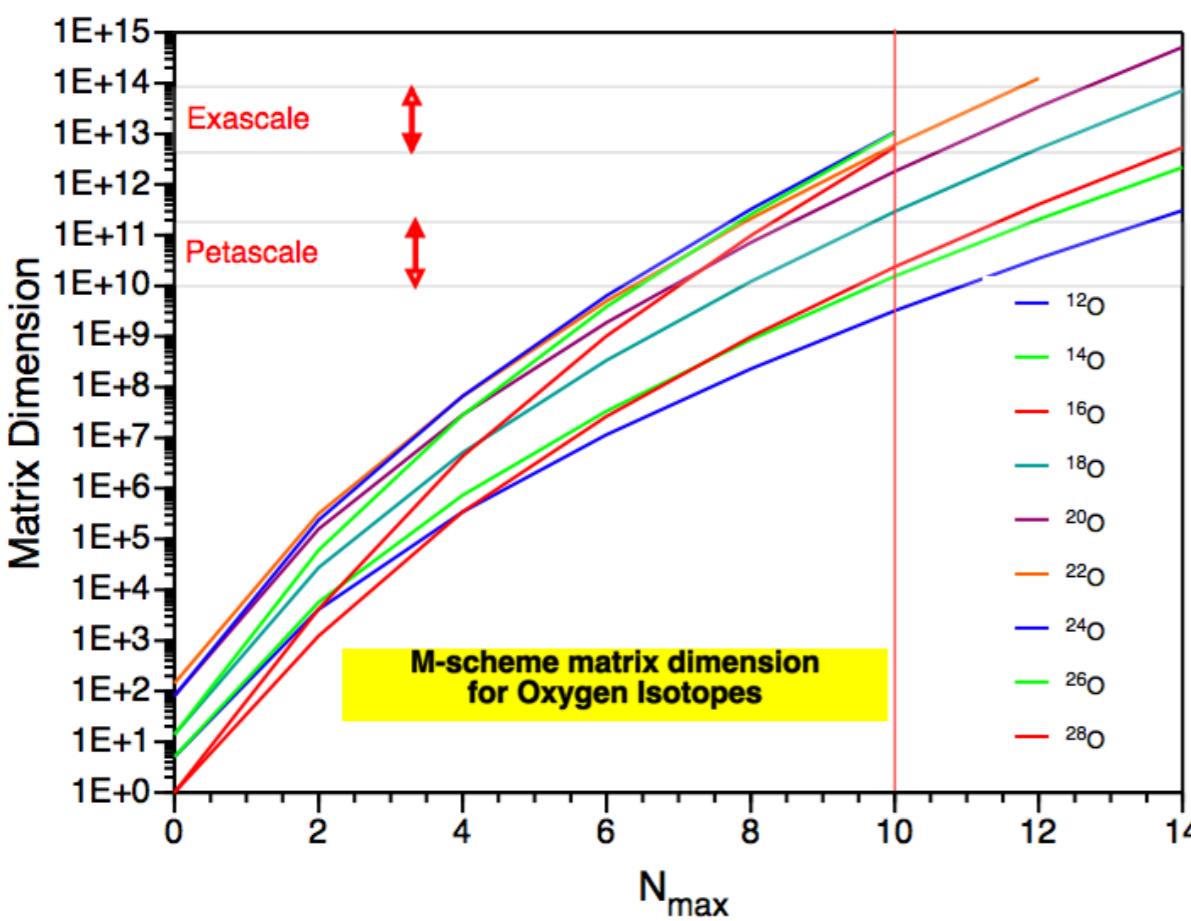
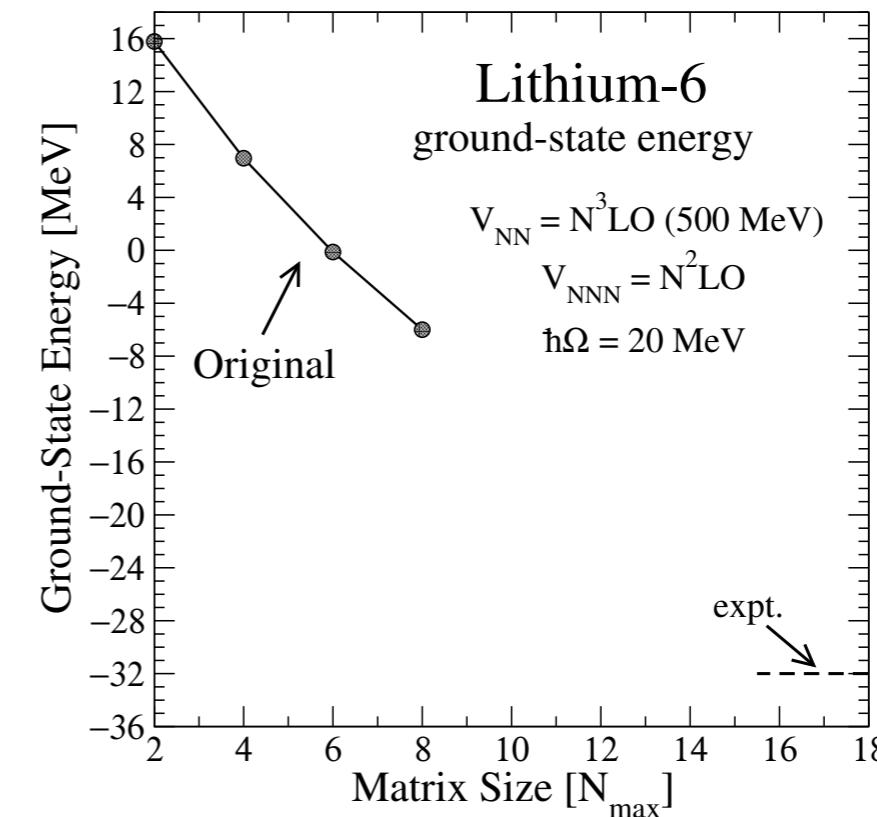
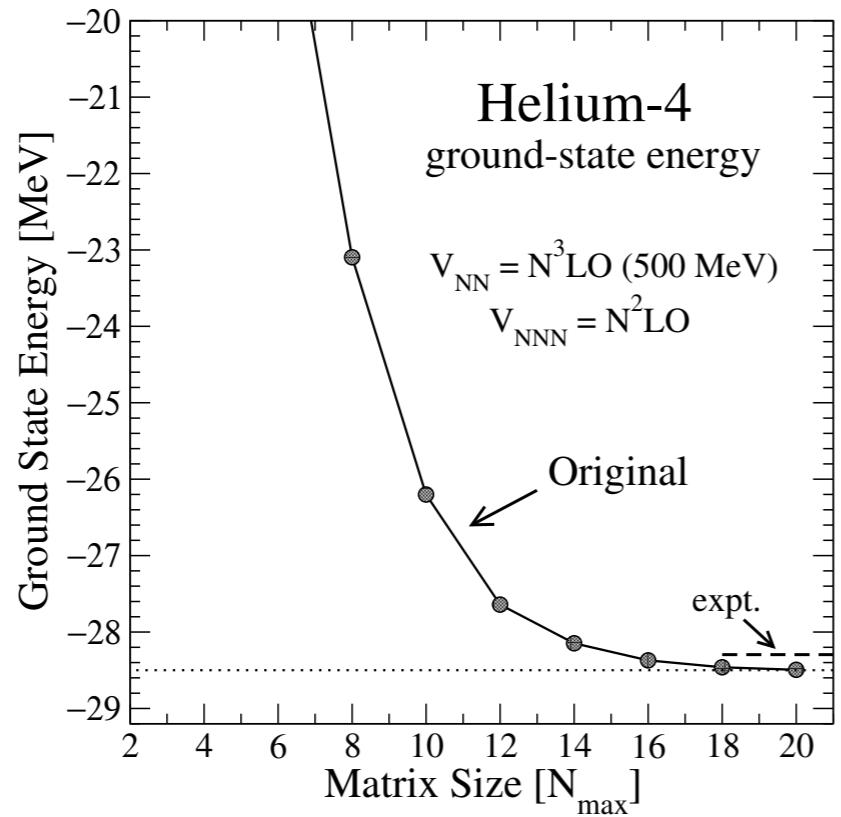
# Basis size and matrix dimensions



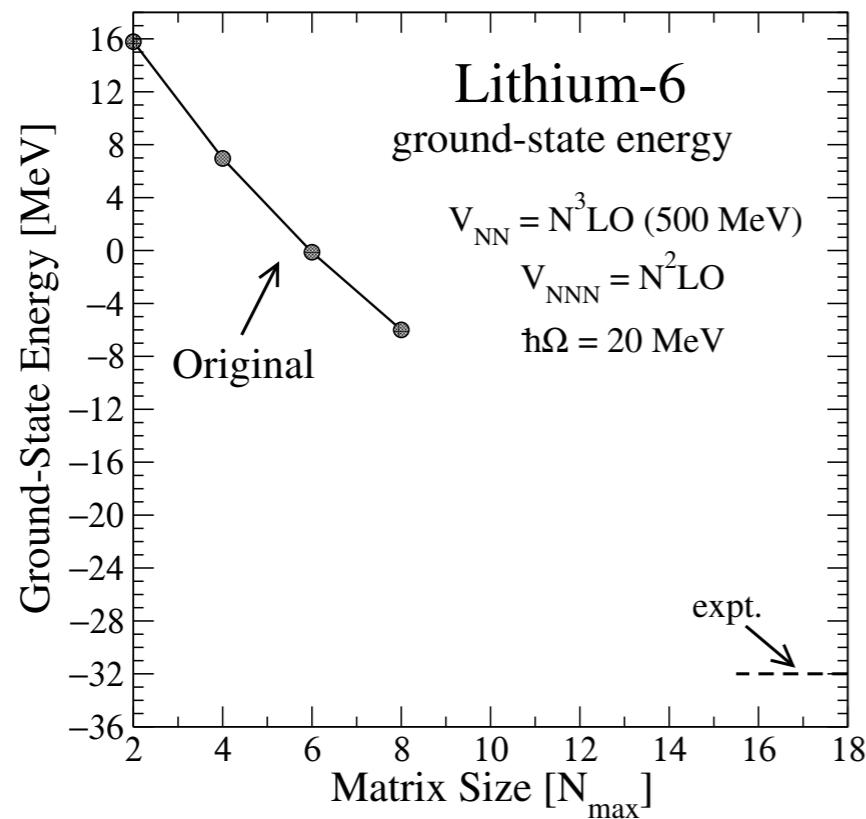
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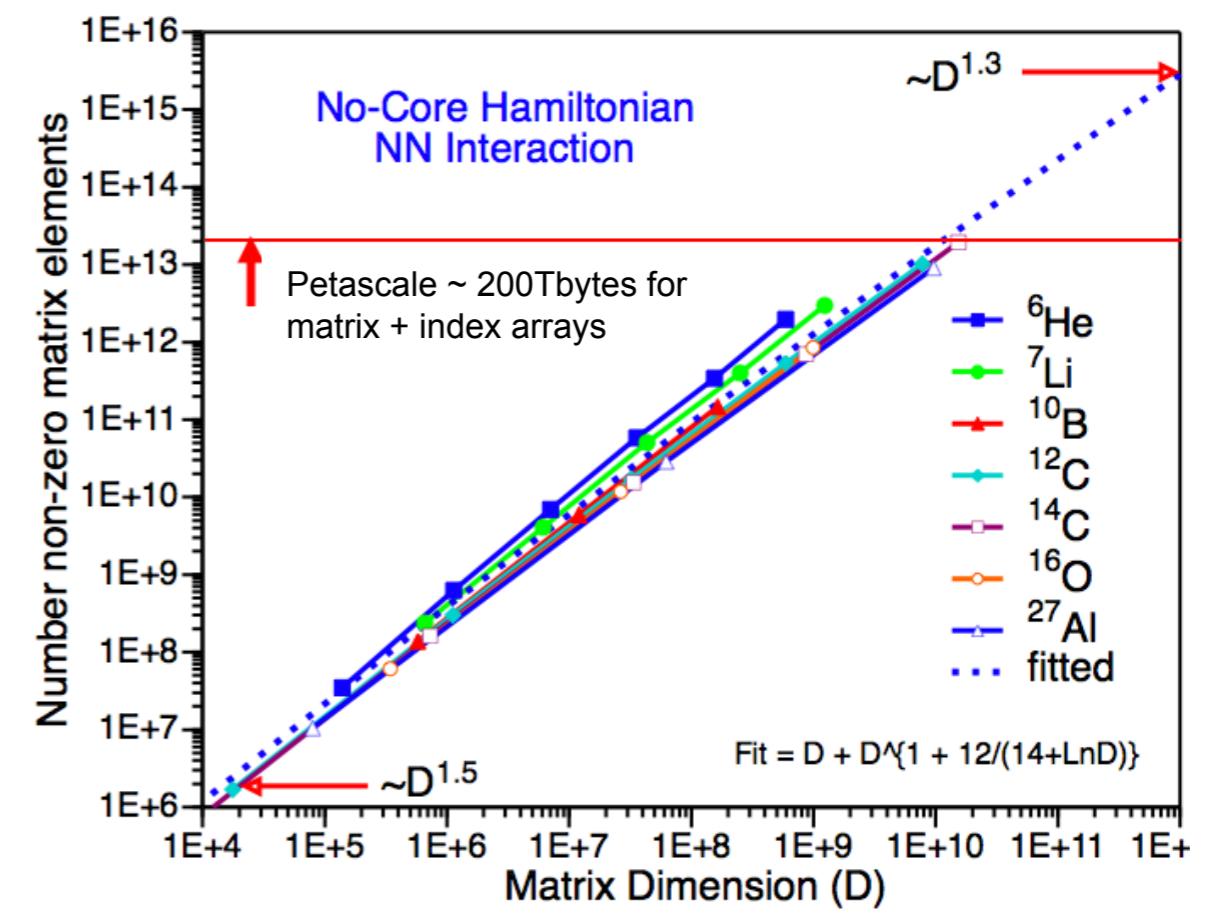
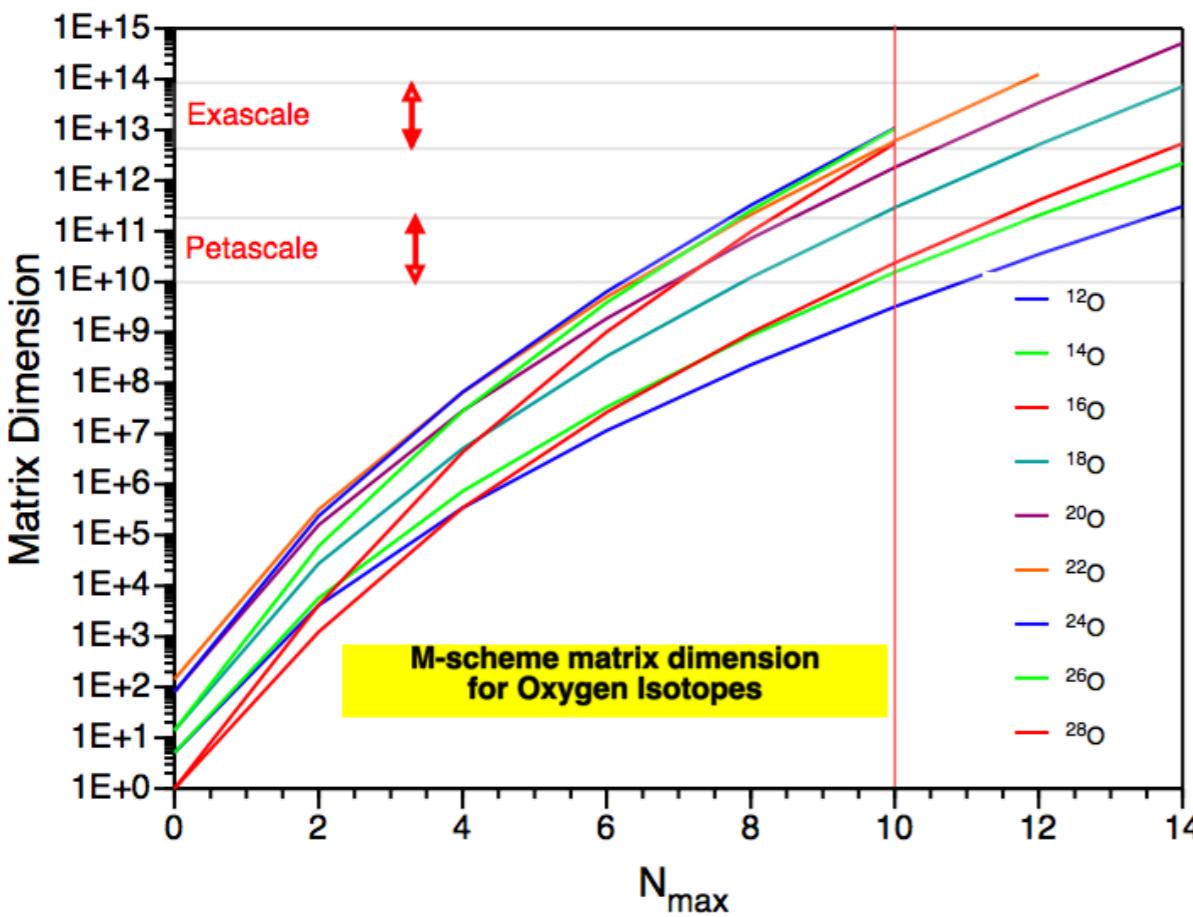
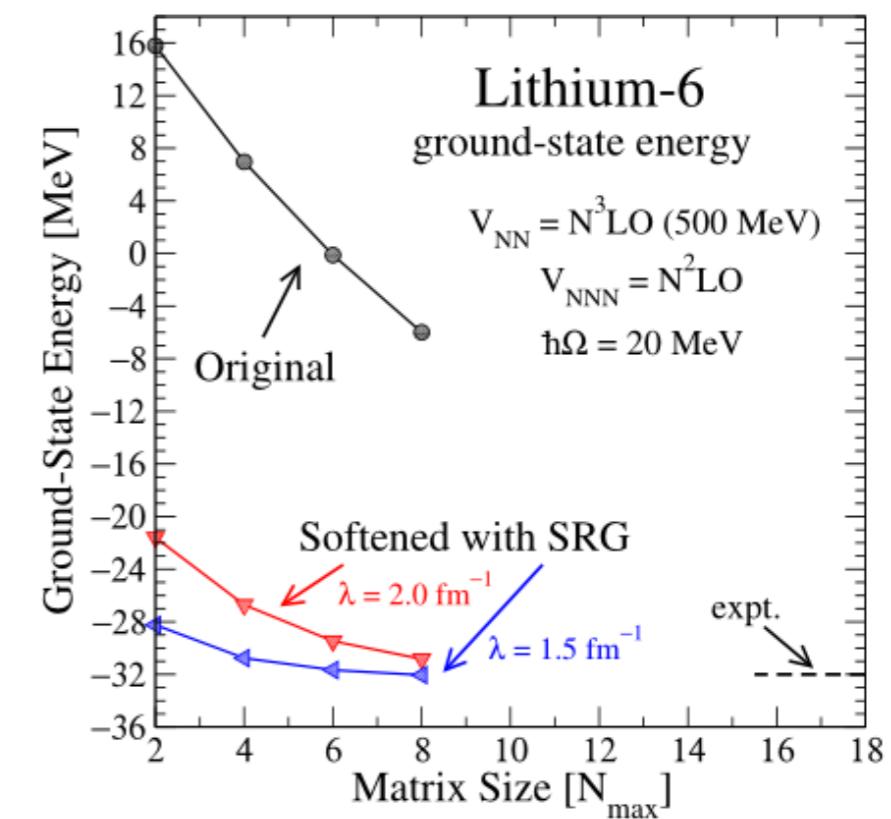
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SRG  
evolution



# Nuclear forces for different ab-initio many-body frameworks

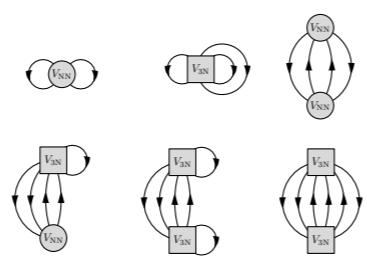
Hyperspherical harmonics



no-core shell model



Many-body  
perturbation theory



Faddeev,  
Faddeev-Yakubovski

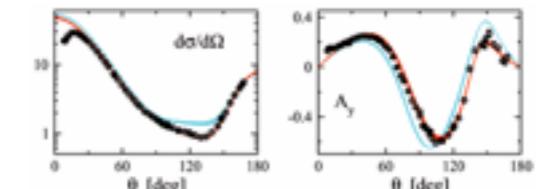
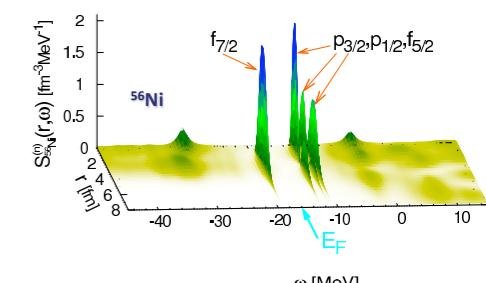


FIG. 4: Nd elastic observables at 65 MeV.

coupled cluster method

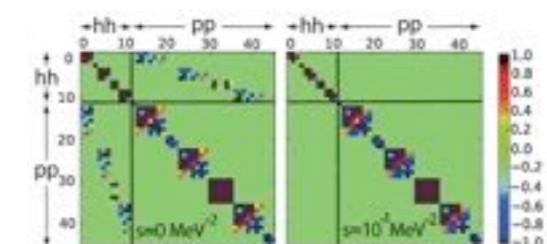
$$|\Psi\rangle = e^{\hat{T}} |\Phi_0\rangle = \left( 1 + \hat{T} + \frac{1}{2} \hat{T}^2 + \frac{1}{3!} \hat{T}^3 + \dots \right) |\Phi_0\rangle,$$

Self-consistent  
Greens function



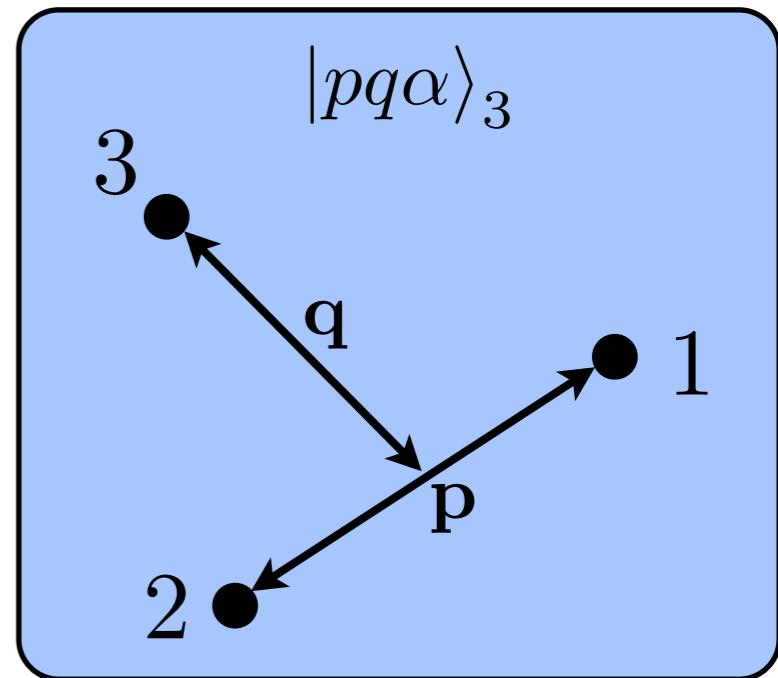
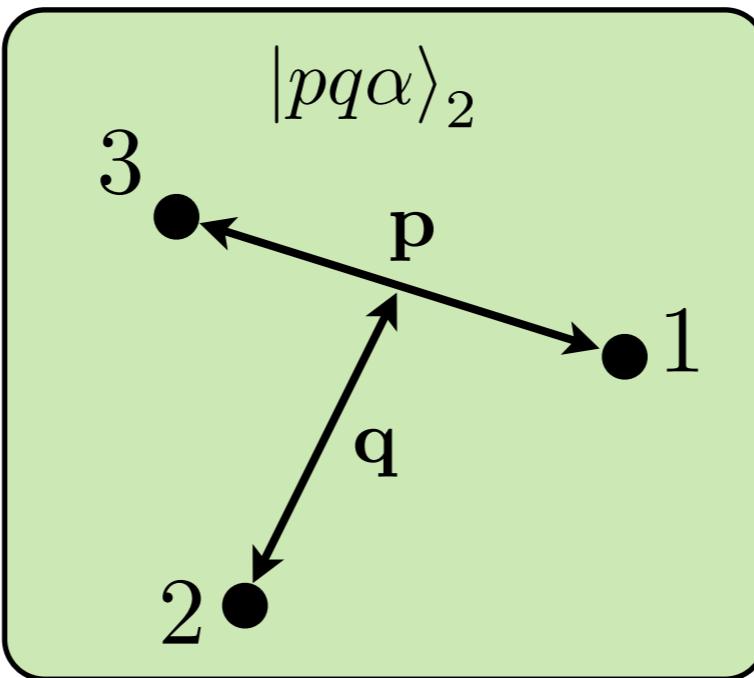
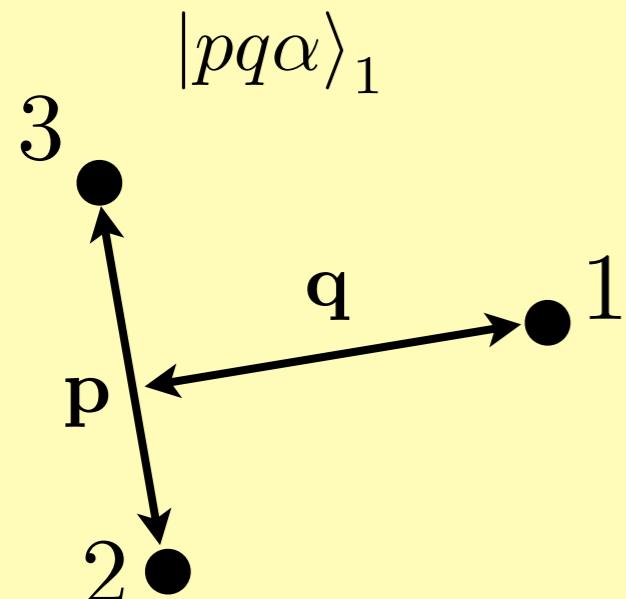
Required inputs:

1. **consistent** NN and 3N forces in partial-wave-decomposed form
2. **softened** forces for judging approximations and pushing to heavier nuclei



# Calculation of 3N interactions for many-body frameworks

$$|pq\alpha\rangle_i \equiv |p_i q_i; [(LS)J(ls_i)j] \mathcal{J} \mathcal{J}_z (Tt_i) \mathcal{T} \mathcal{T}_z\rangle$$



Due to the large number of matrix elements, the traditional way of computing matrix elements requires extreme amounts of computer resources.

$$N_p \simeq N_q \simeq 15$$

$$N_\alpha \simeq 30 - 180$$

$$\longrightarrow \dim[\langle pq\alpha | V_{123} | p'q'\alpha' \rangle] \simeq 10^7 - 10^{10}$$

Optimized algorithm allows an efficient calculation for large basis spaces

# Calculation of 3N forces in momentum partial-wave representation

$$\langle pq\alpha | V_{123} | p'q'\alpha' \rangle \sim \sum_{m_i} \int d\hat{\mathbf{p}} d\hat{\mathbf{q}} d\hat{\mathbf{p}}' d\hat{\mathbf{q}}' Y_l^m(\hat{\mathbf{p}}) Y_{l'}^{\bar{m}}(\hat{\mathbf{q}}) \langle \mathbf{pq}ST | V_{123} | \mathbf{p}'\mathbf{q}'S'T' \rangle Y_{l'}^{m'}(\hat{\mathbf{p}}') Y_{l'}^{\bar{m}'}(\hat{\mathbf{q}}')$$

## traditional method:

- reduce dimension of angular integrals from 8 to 5 by using symmetry
- discretize angular integrals and perform all sums numerically

## much more efficient method:

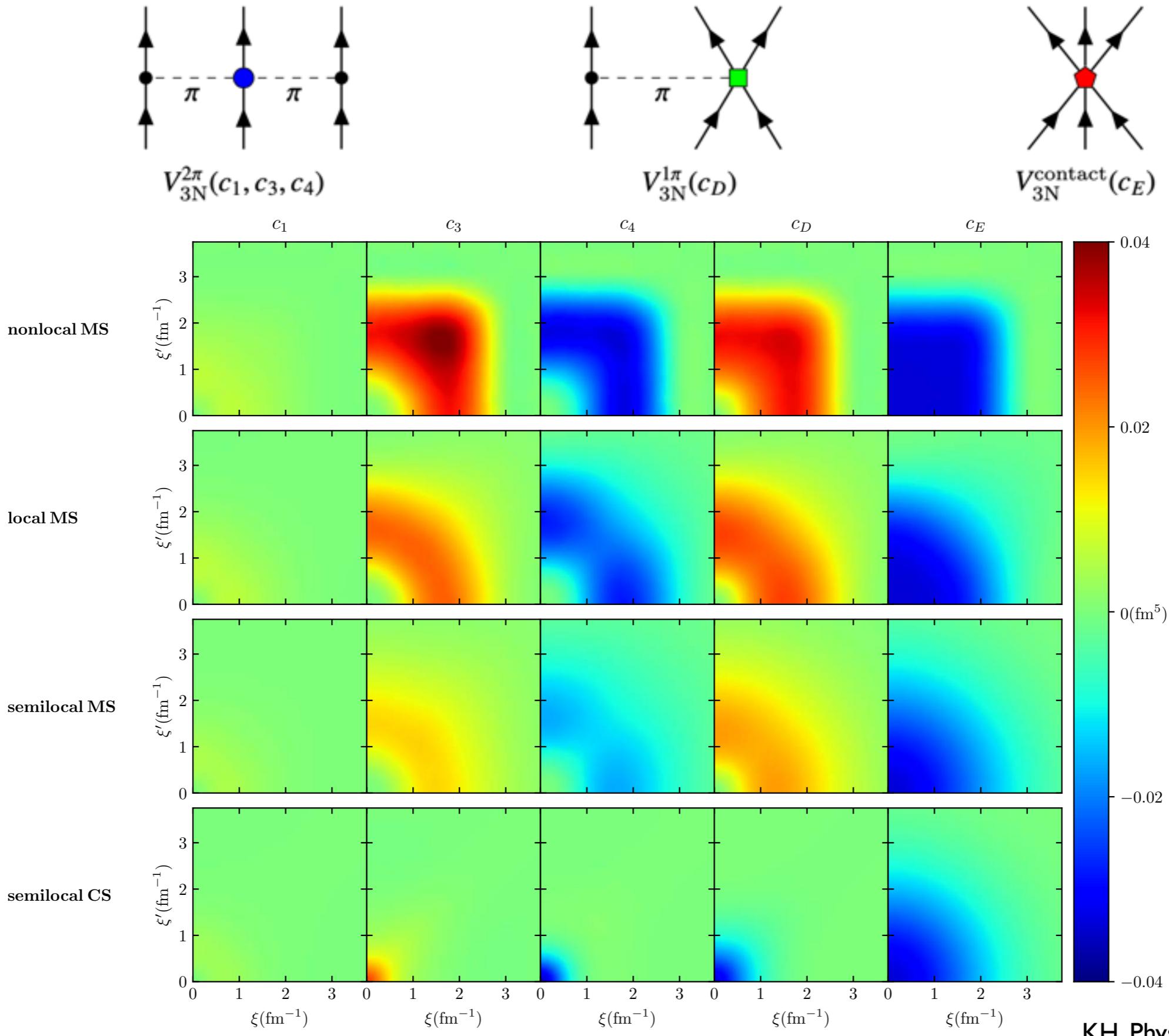
- use that all interaction contributions (except rel. corr.) are local:

$$\begin{aligned} \langle \mathbf{pq} | V_{123} | \mathbf{p}'\mathbf{q}' \rangle &= V_{123}(\mathbf{p} - \mathbf{p}', \mathbf{q} - \mathbf{q}') \\ &= V_{123}(p - p', q - q', \cos \theta) \end{aligned}$$

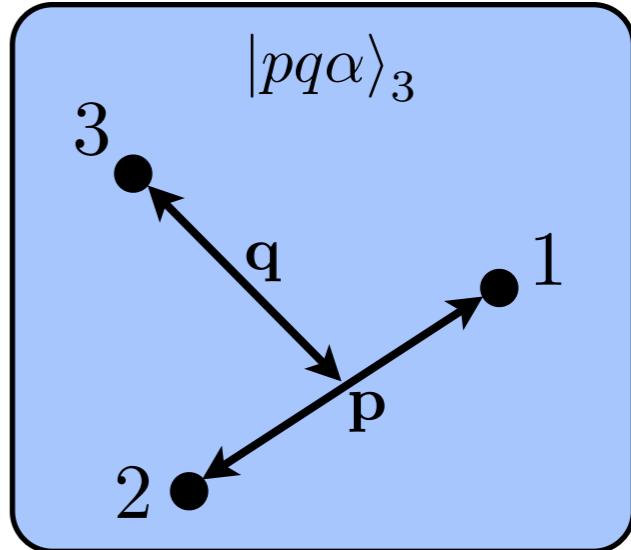
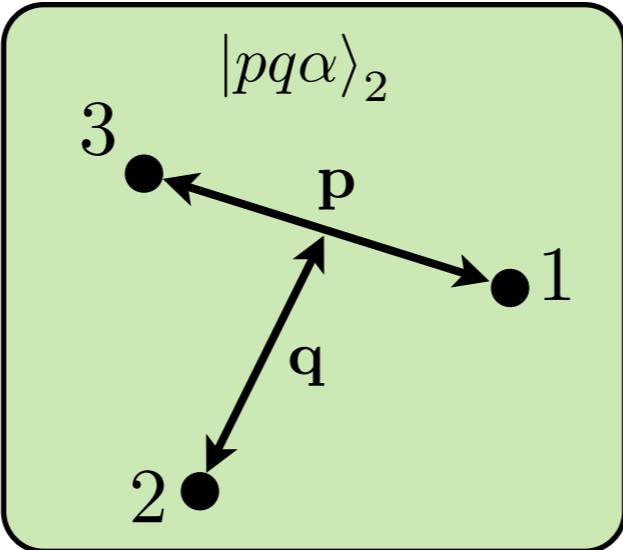
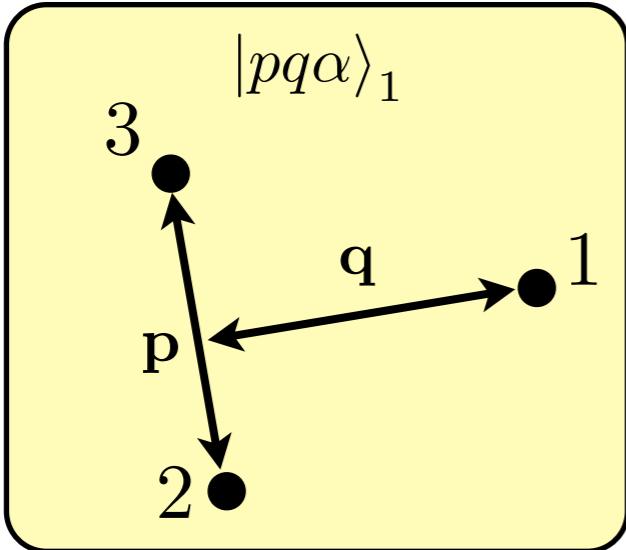
→ allows to perform all except for 3 integrals analytically

- only a few small discrete internal sums need to be performed for each external momentum and angular momentum

# Calculation of 3N interactions for many-body frameworks



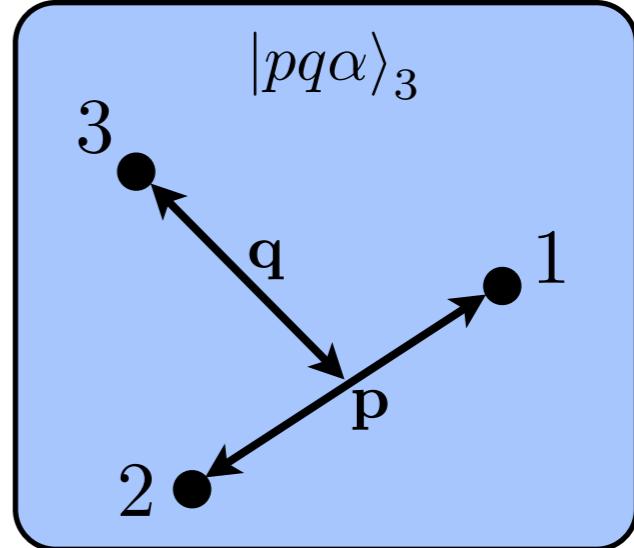
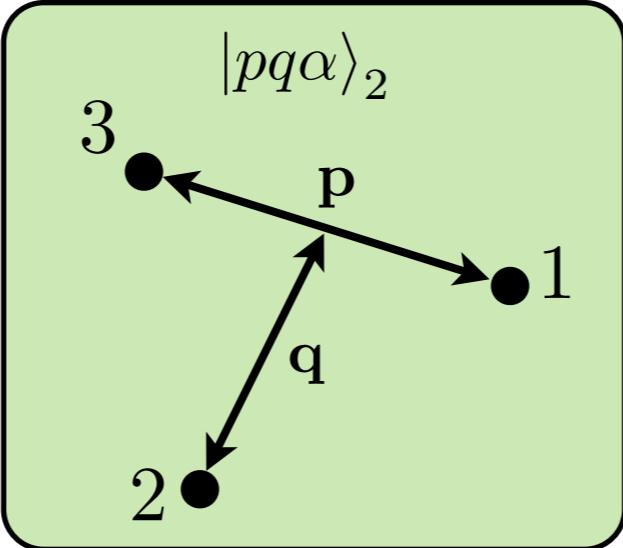
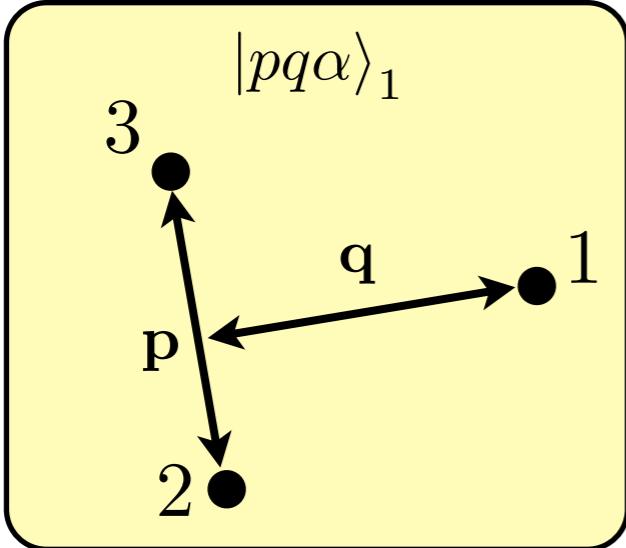
# RG evolution of 3N interactions in momentum space



- represent interaction in basis  $|pq\alpha\rangle_i \equiv |p_i q_i; [(LS)J(ls_i)j] \mathcal{J} \mathcal{J}_z (T t_i) T \mathcal{T}_z\rangle$
- explicit equations for NN and 3N flow equations

$$\begin{aligned}\frac{dV_{ij}}{ds} &= [[T_{ij}, V_{ij}], T_{ij} + V_{ij}], \\ \frac{dV_{123}}{ds} &= [[T_{12}, V_{12}], V_{13} + V_{23} + V_{123}] \\ &\quad + [[T_{13}, V_{13}], V_{12} + V_{23} + V_{123}] \\ &\quad + [[T_{23}, V_{23}], V_{12} + V_{13} + V_{123}] \\ &\quad + [[T_{\text{rel}}, V_{123}], H_s]\end{aligned}$$

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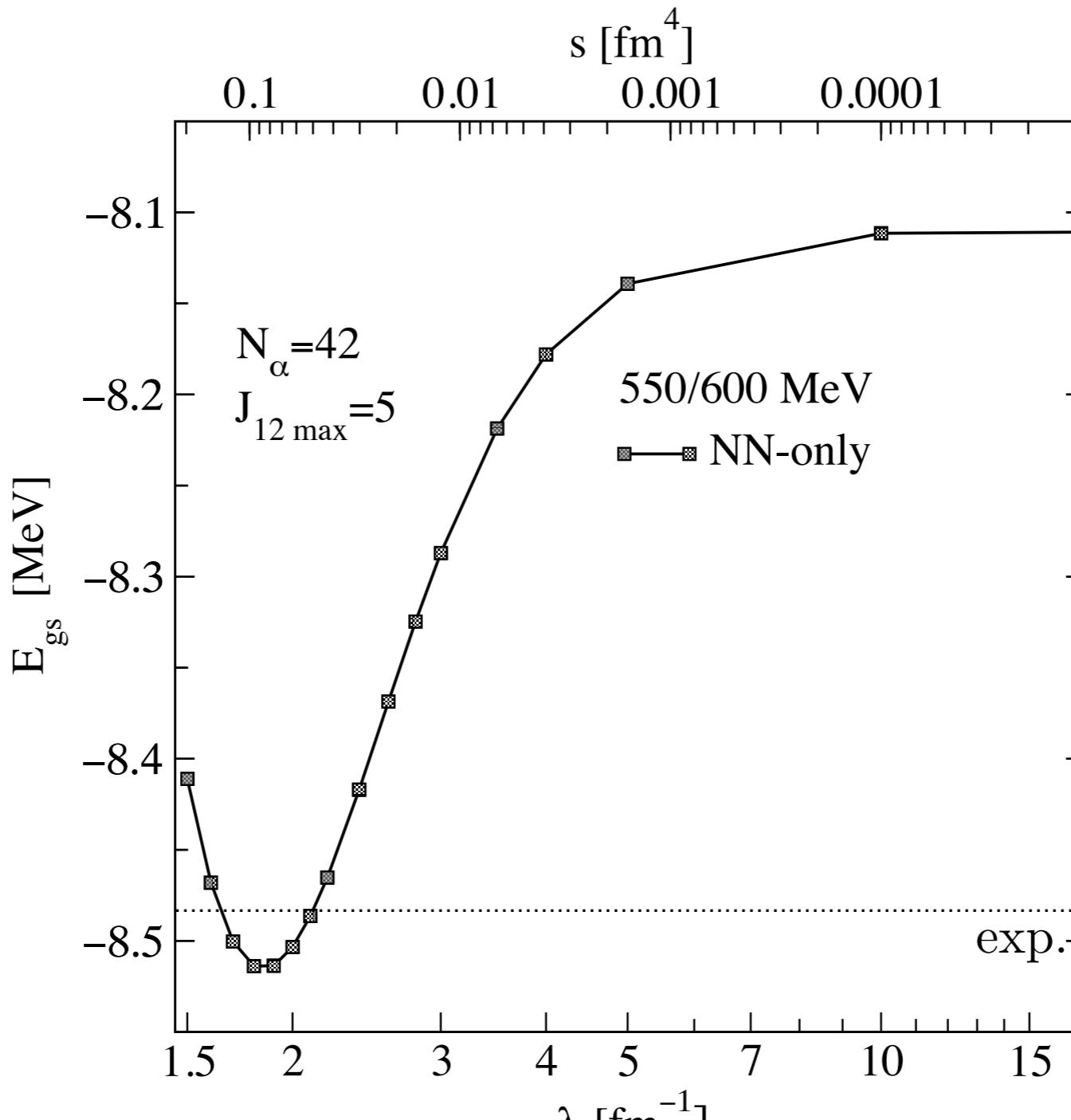


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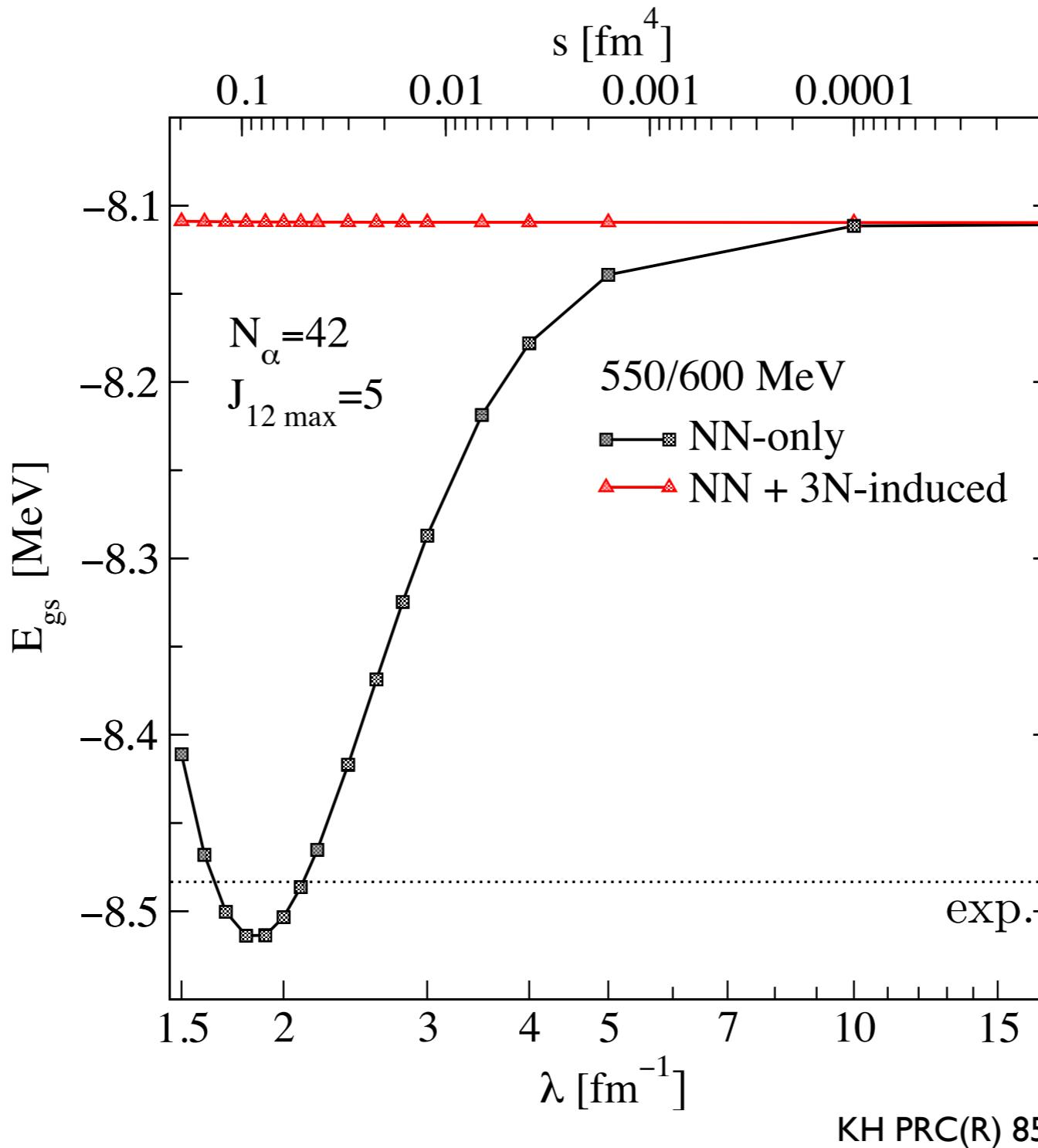
details in the SRG  
exercise session!

# SRG evolution of 3N interactions in momentum space: Results for the Triton



KH PRC(R) 85, 021002 (2012)

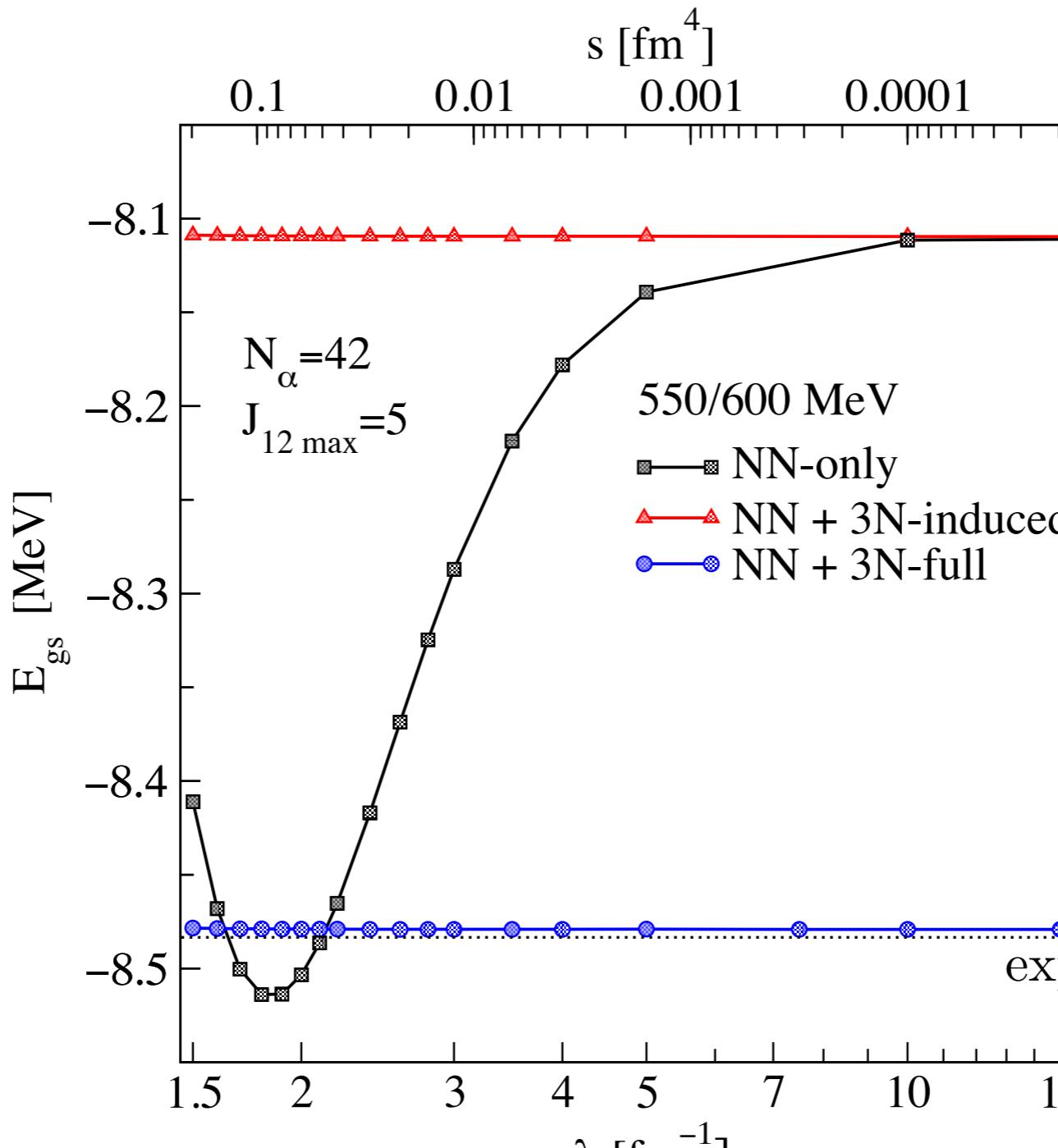
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It works:

Invariance of  $E_{\text{gs}}^{^3H}$  within  $\leq 1 \text{ eV}$  for consistent chiral interactions at  $\text{N}^2\text{LO}$

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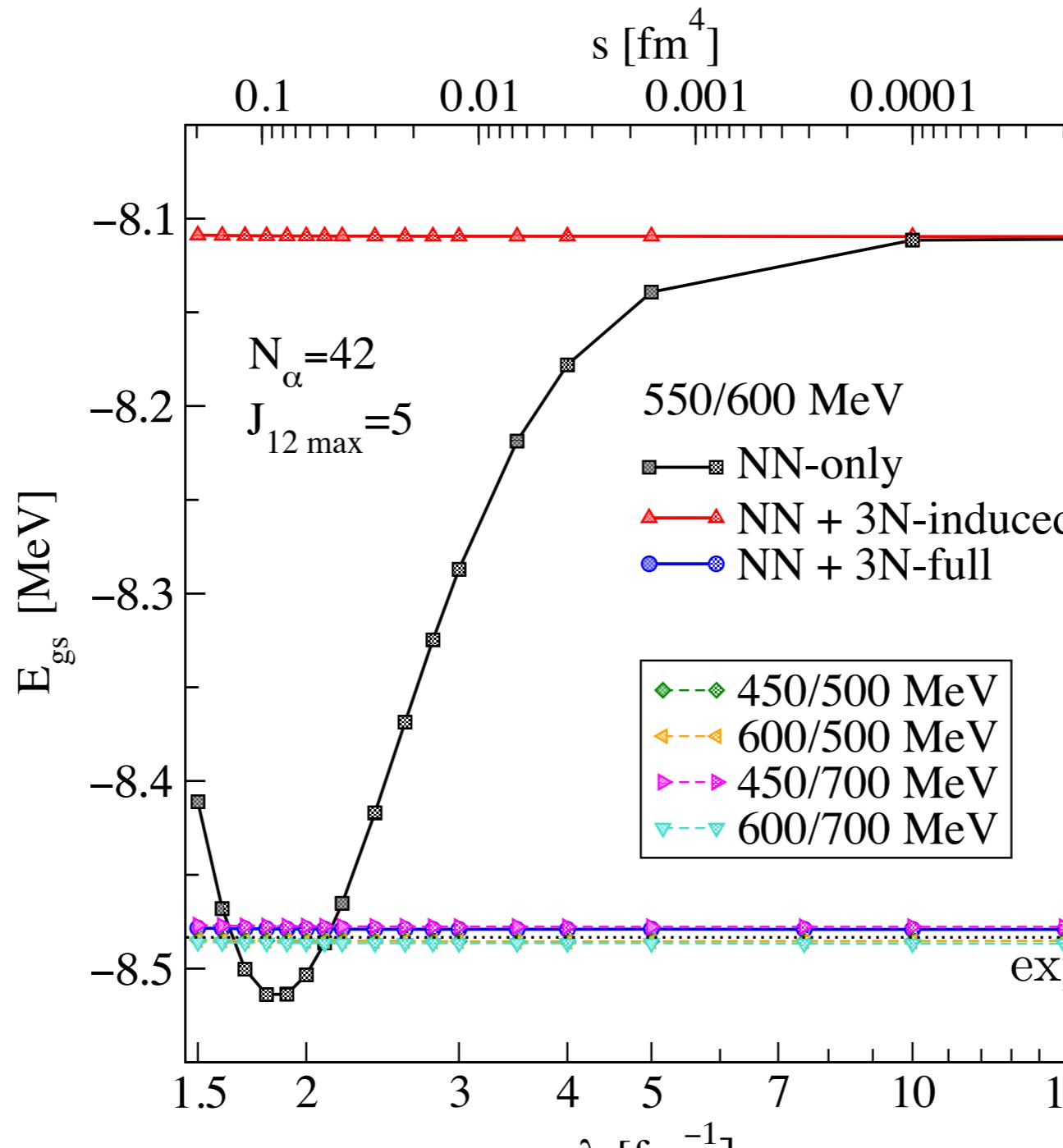


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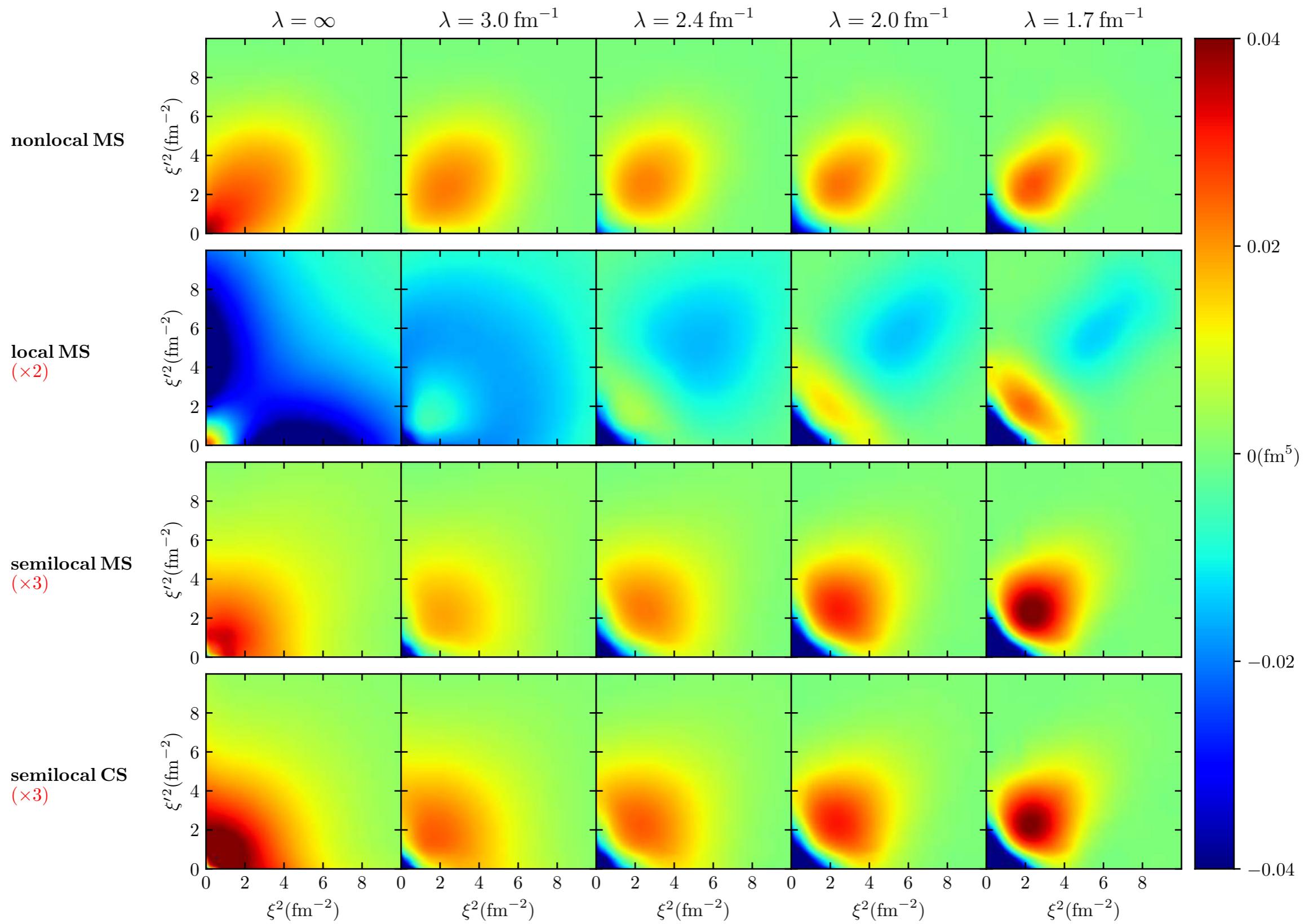


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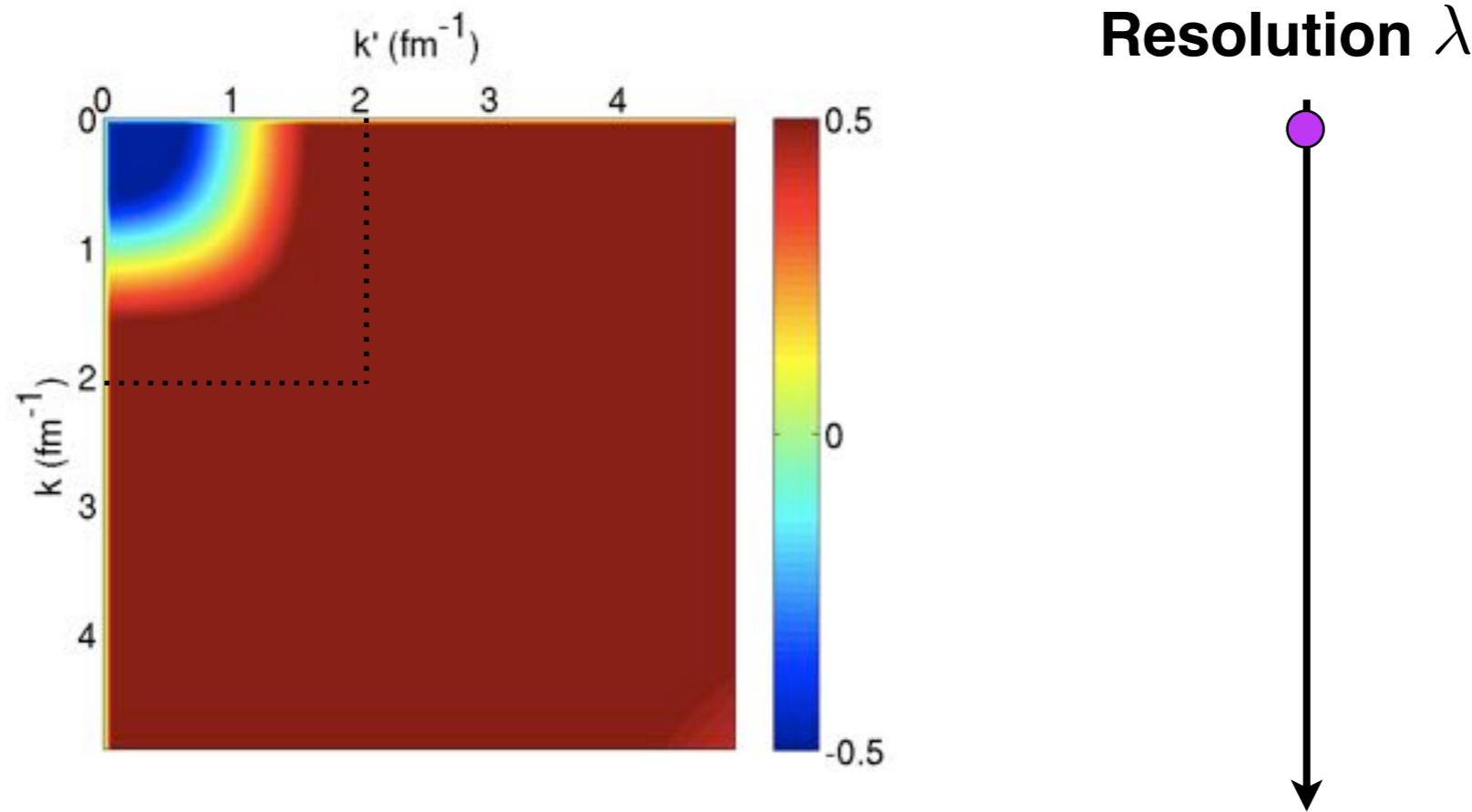
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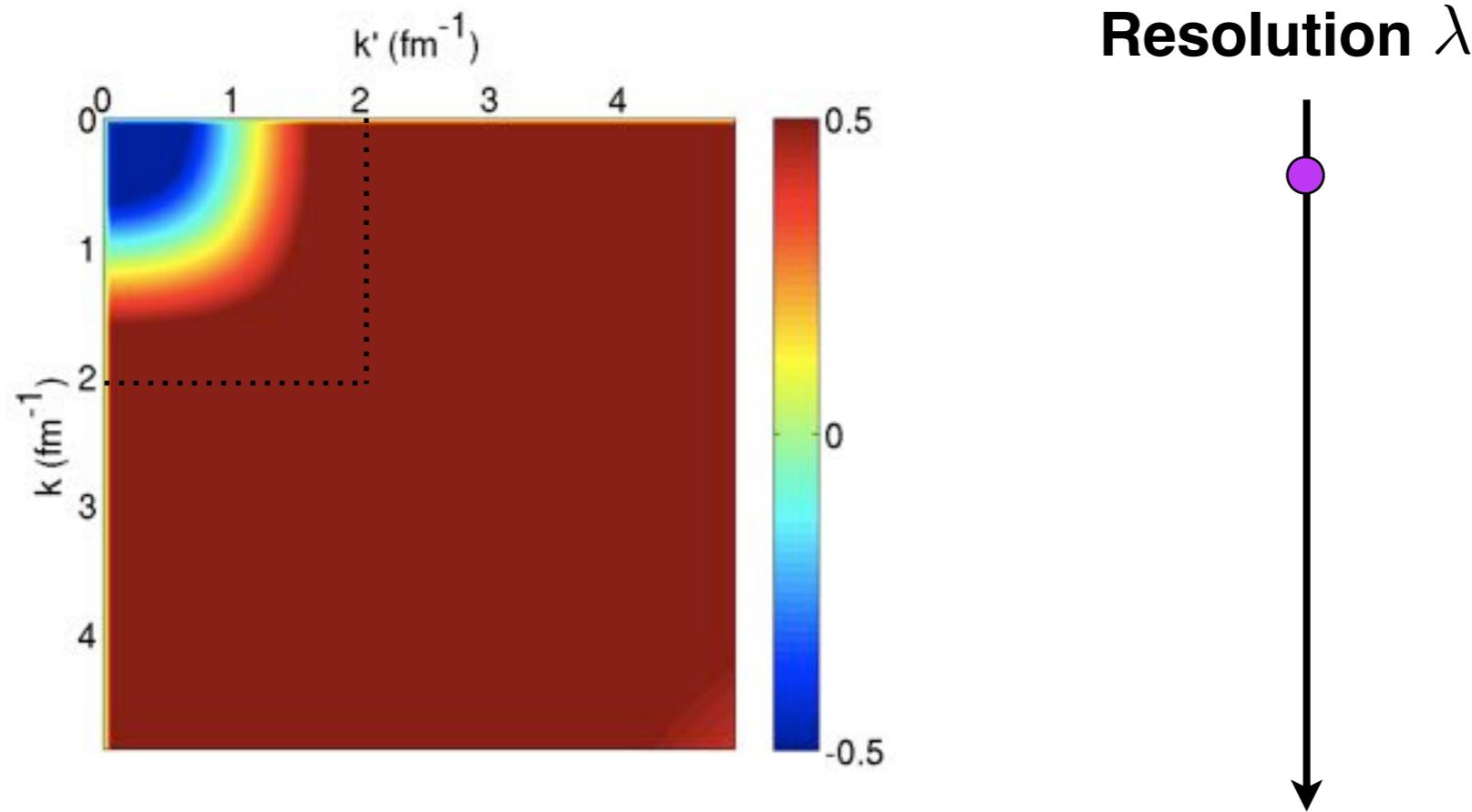
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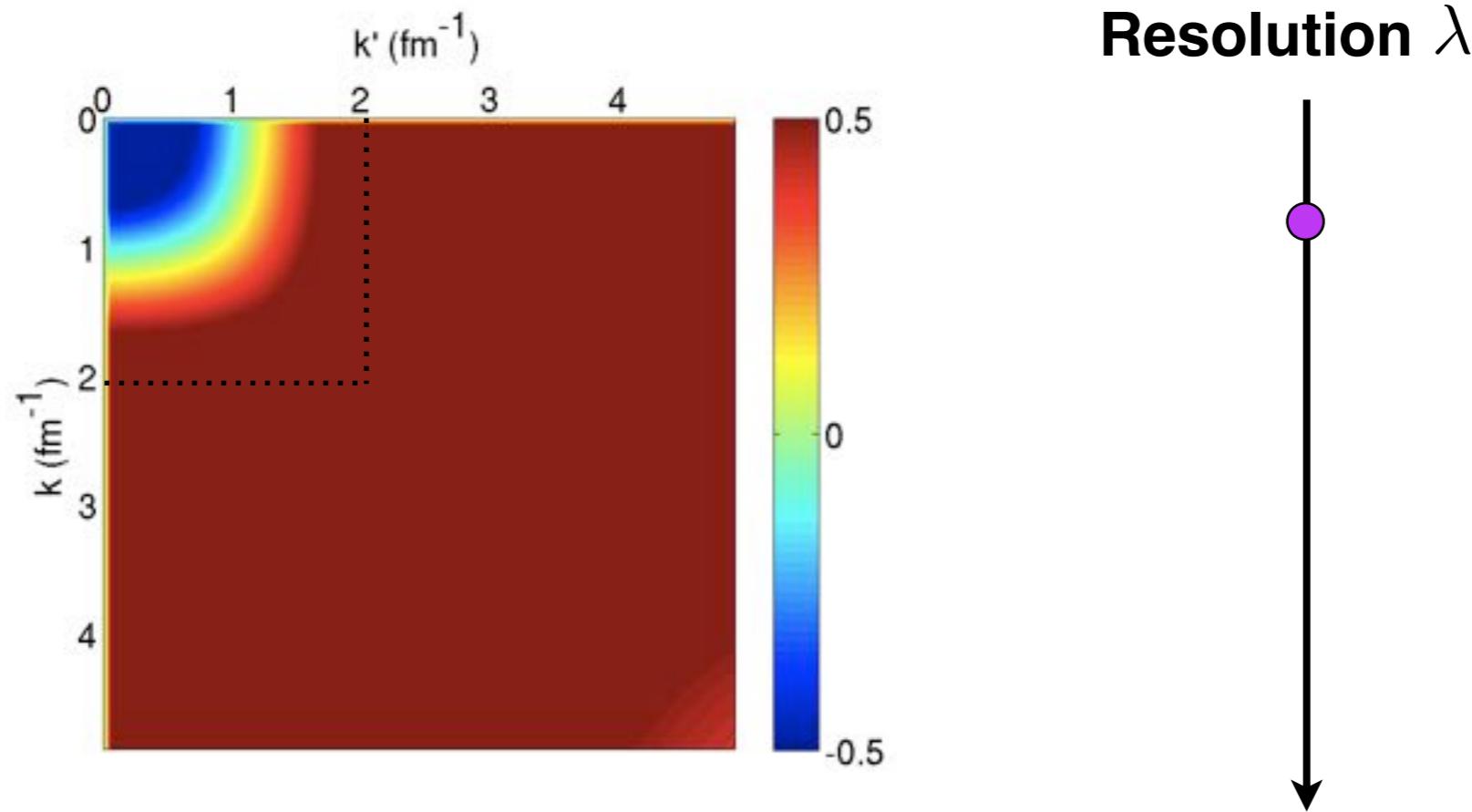
# Systematically changing the resolution: the Similarity Renormalization Group



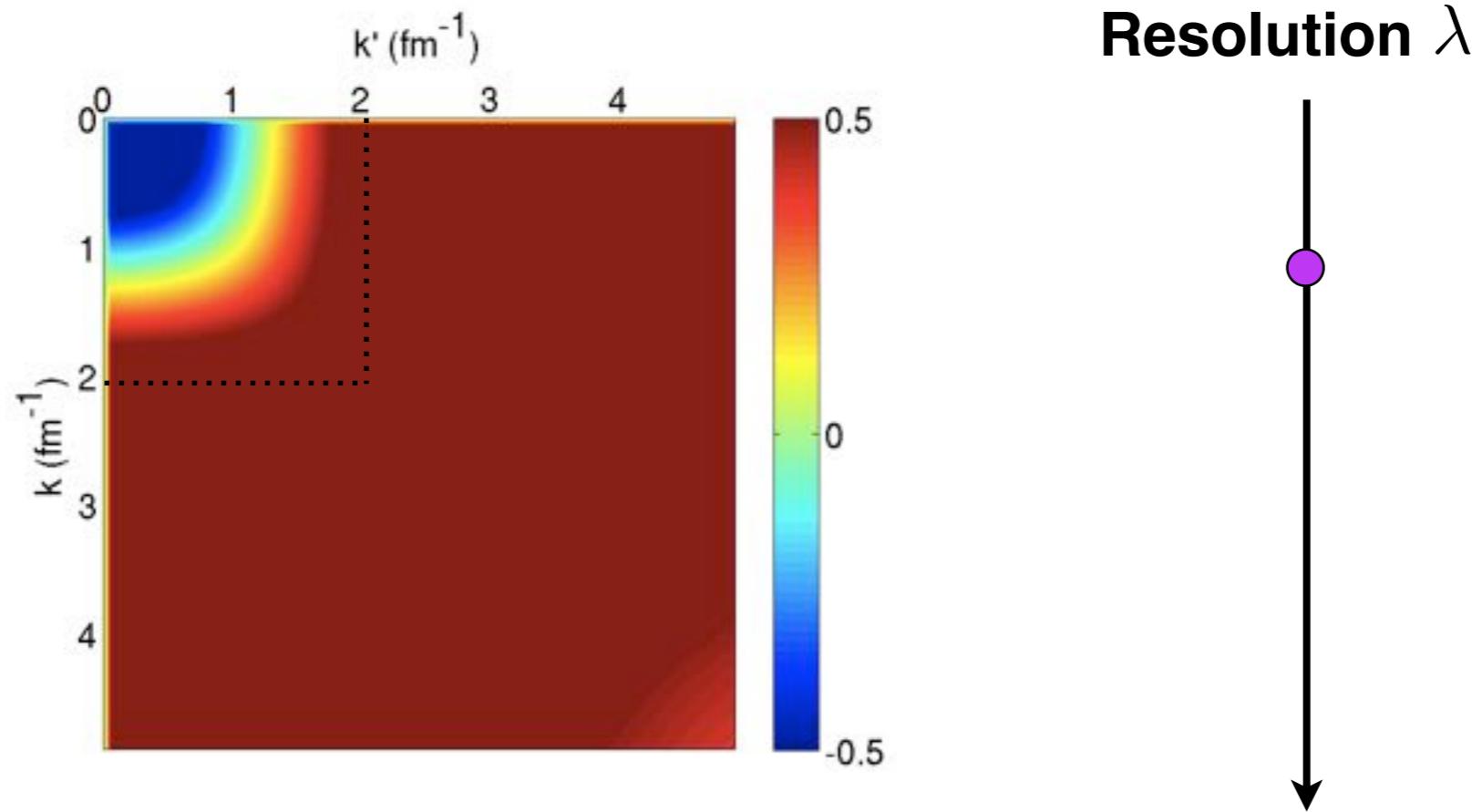
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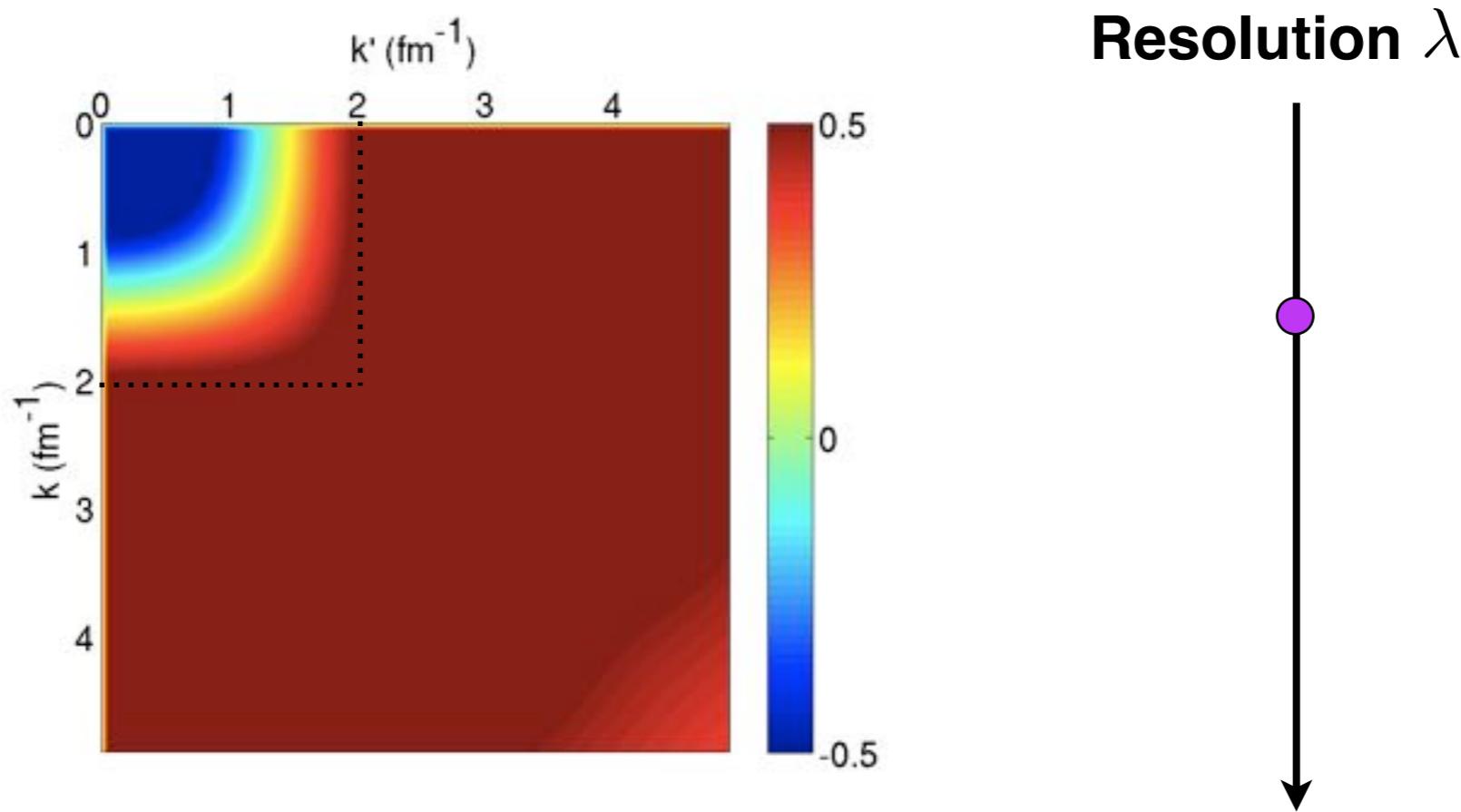
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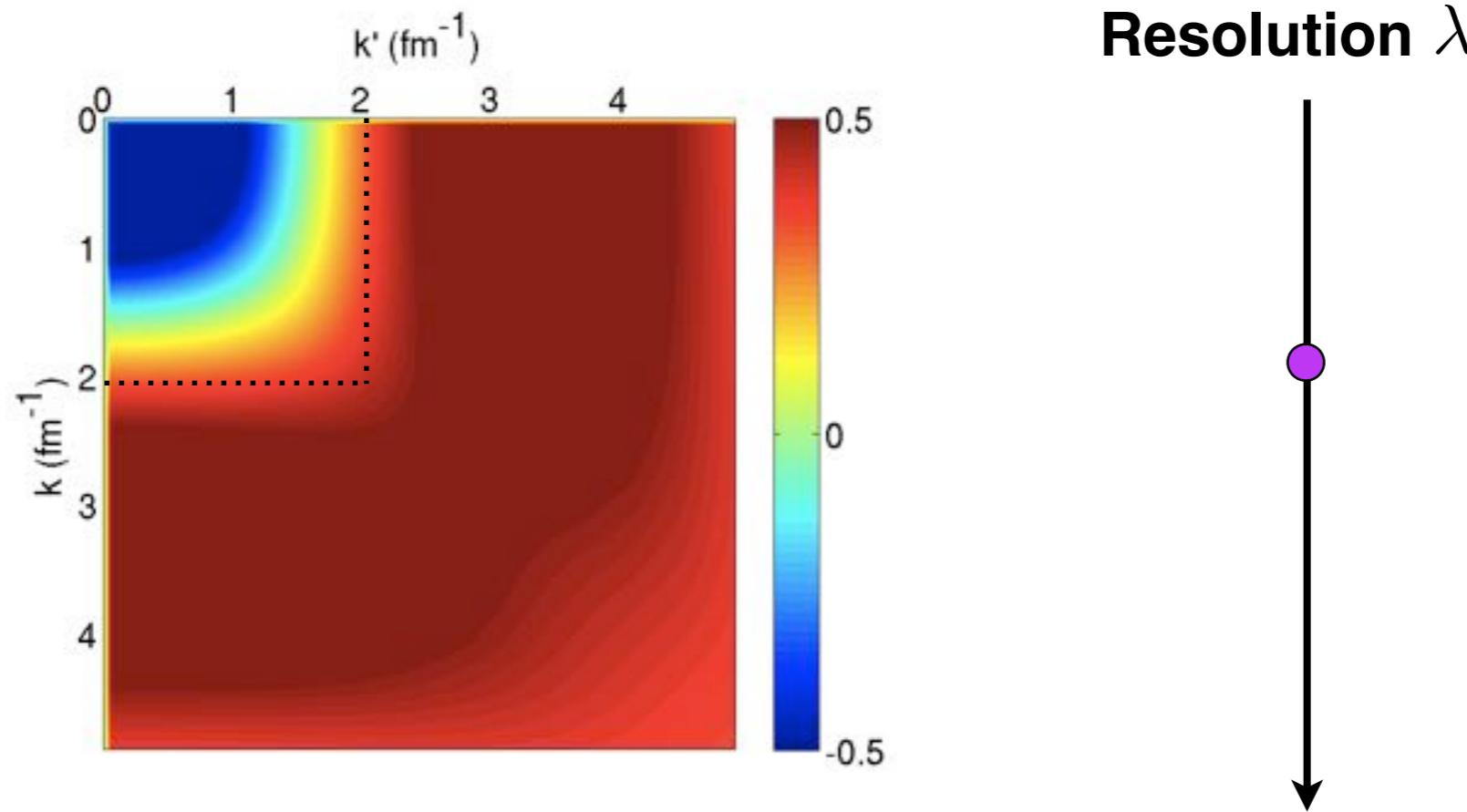
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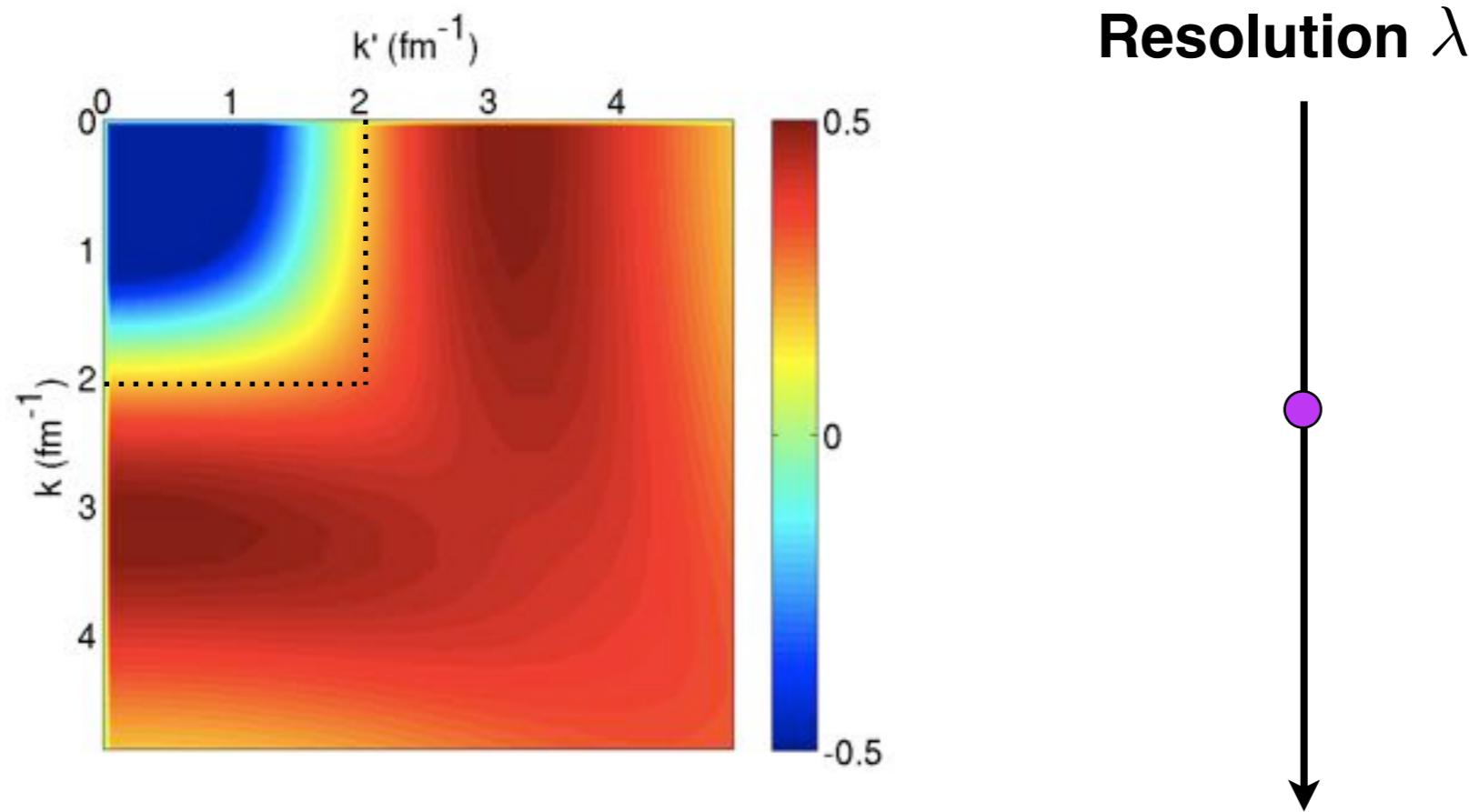
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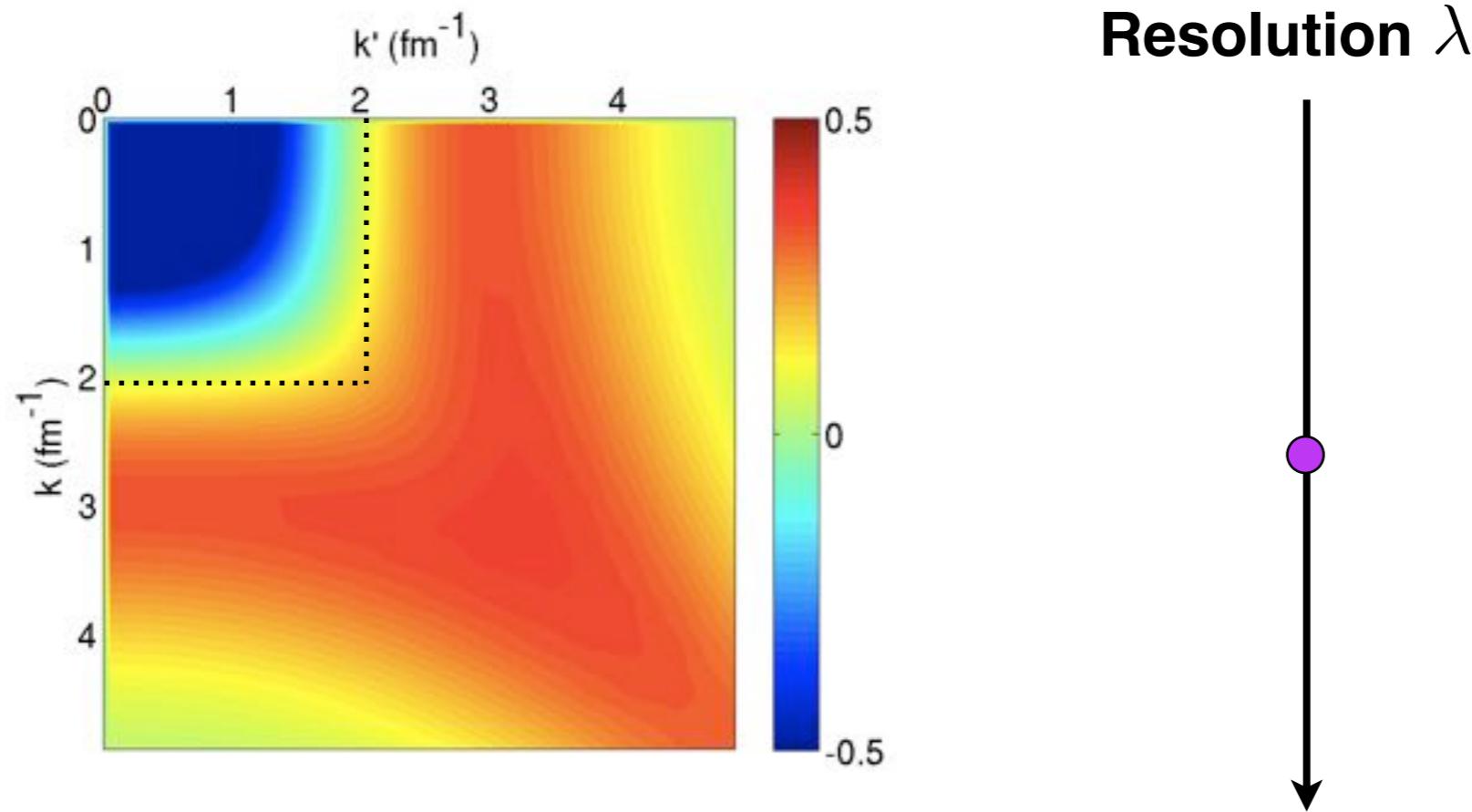
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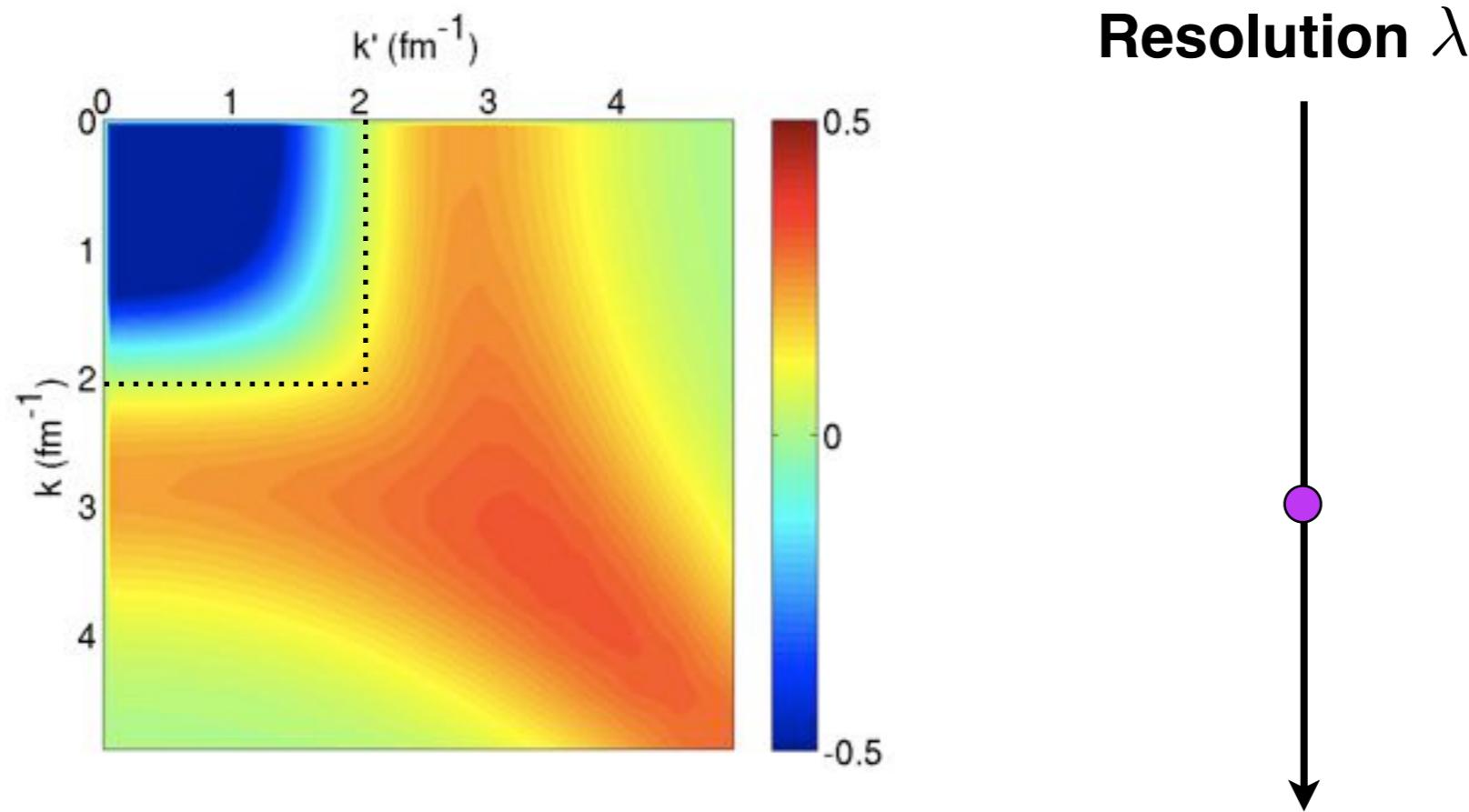
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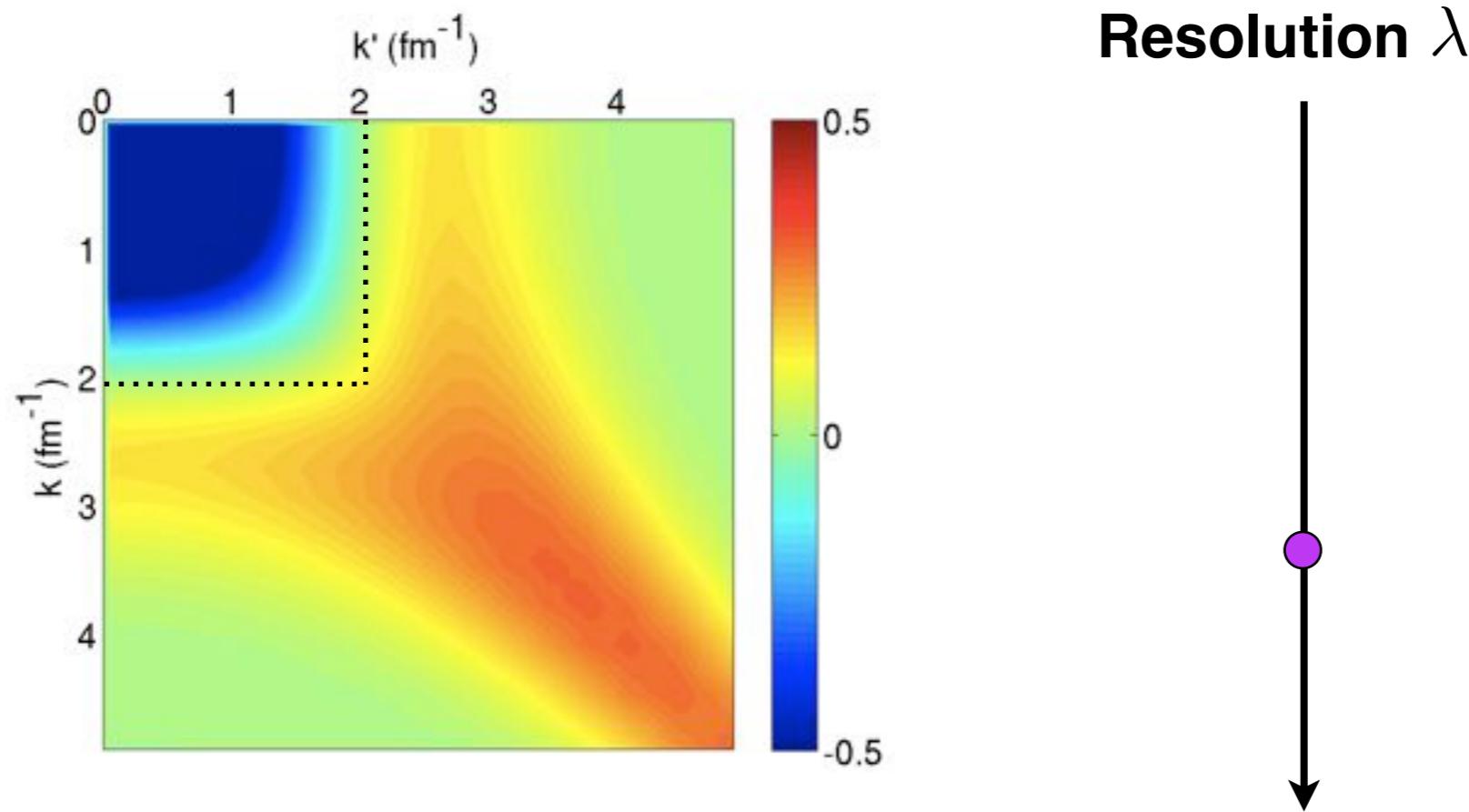
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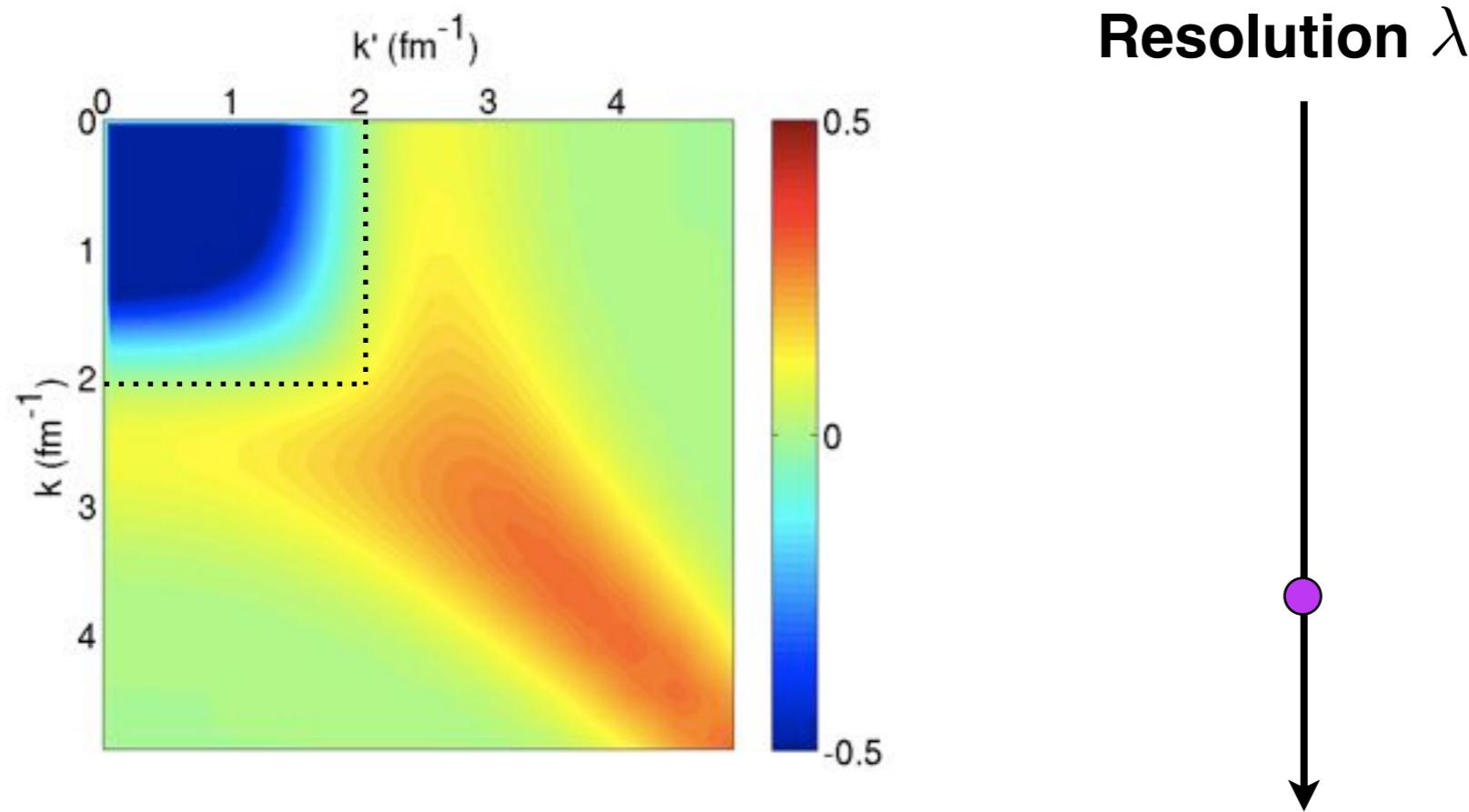
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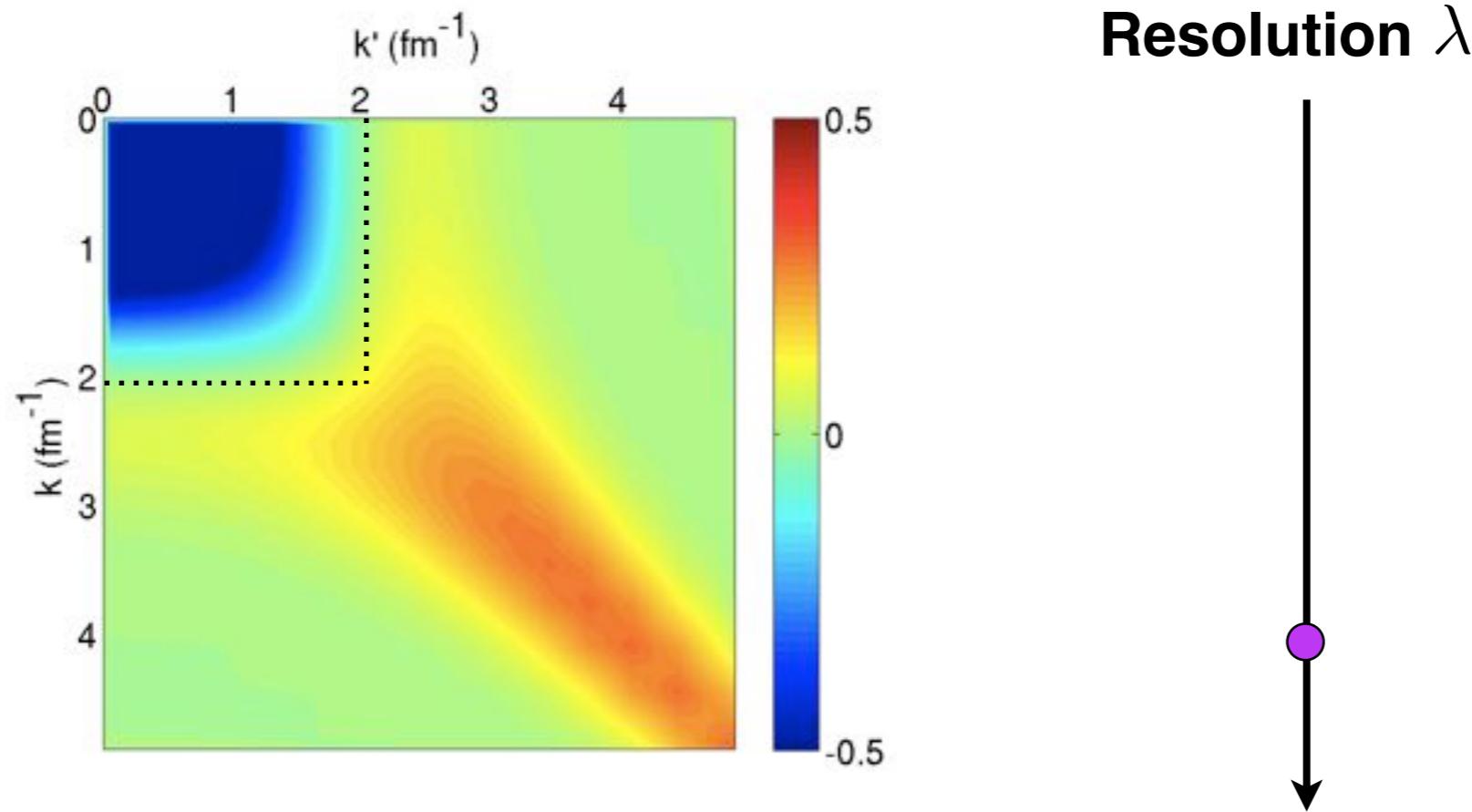
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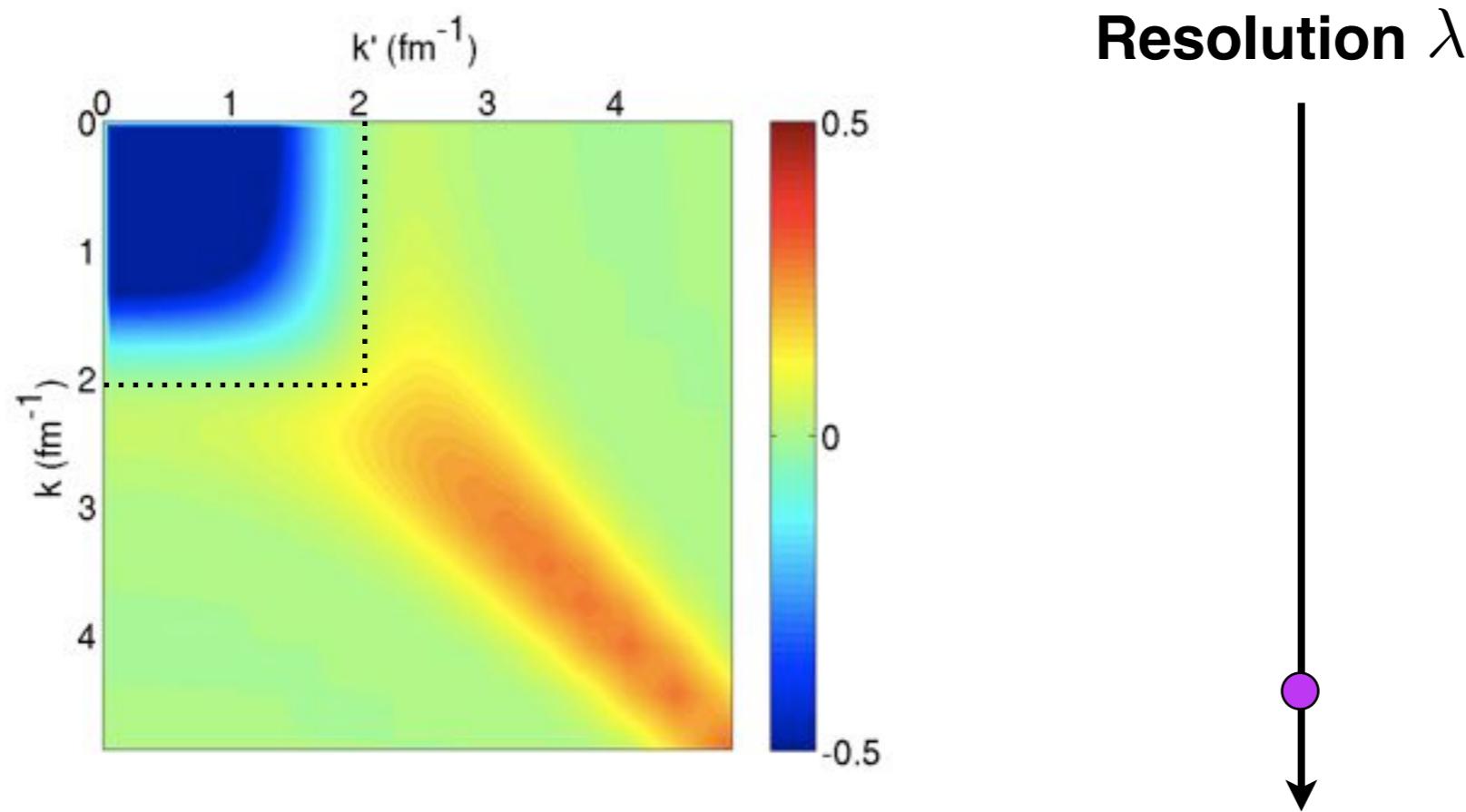
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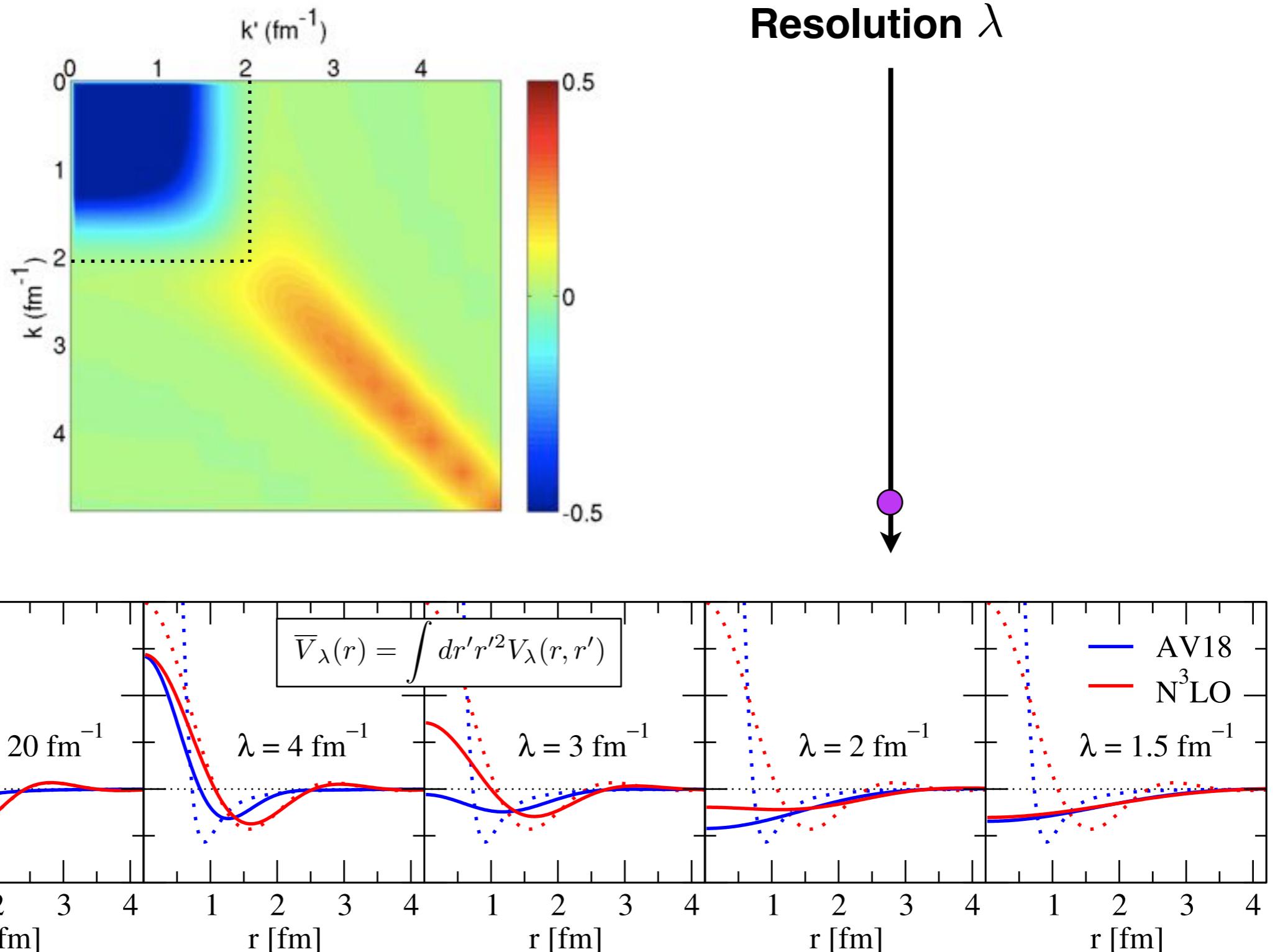
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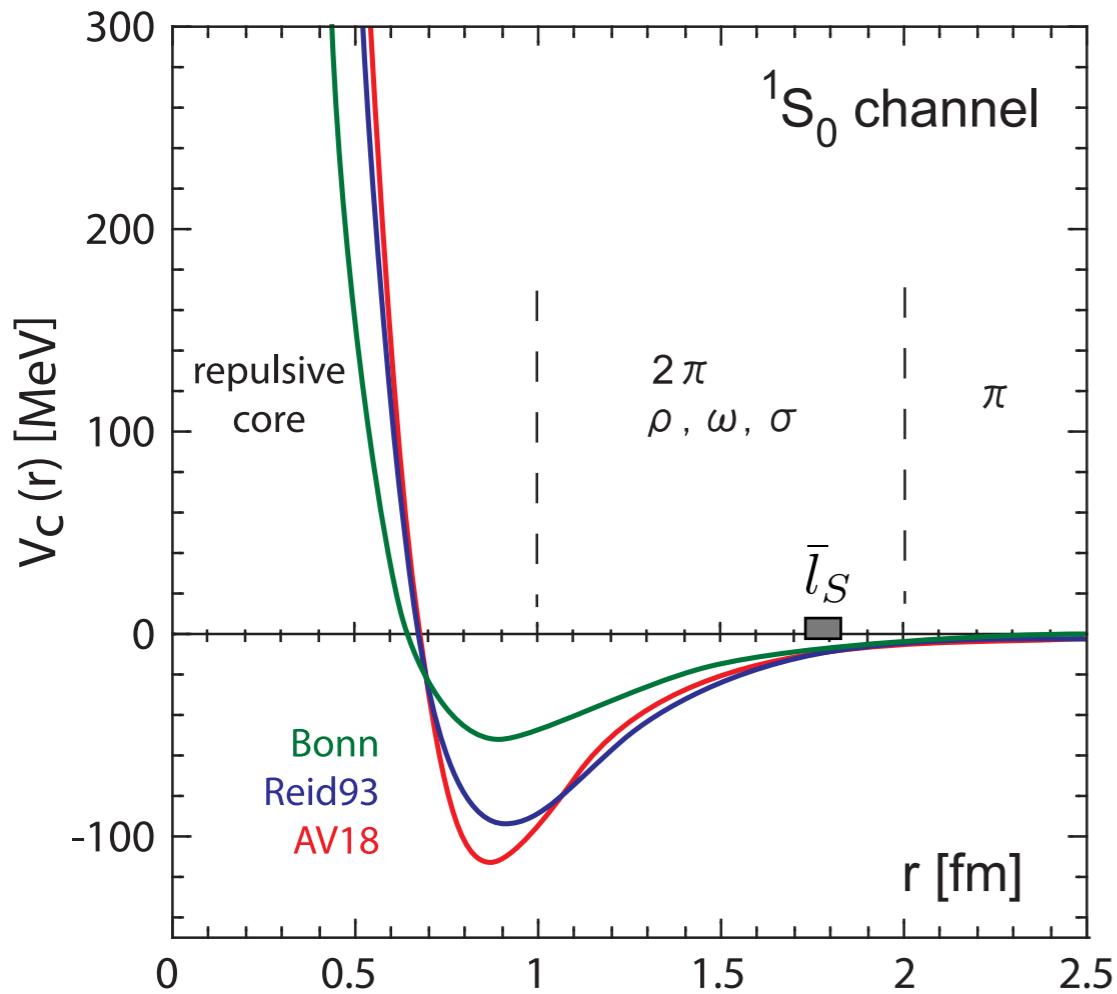
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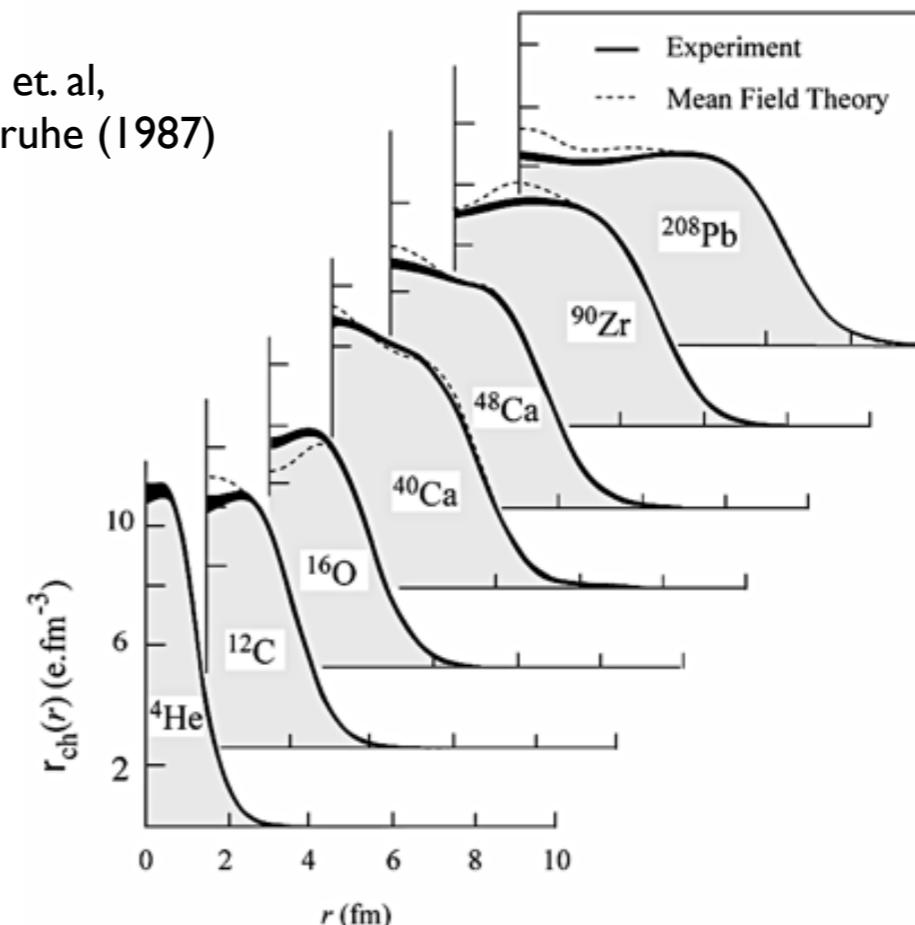
# Systematically changing the resolution: the Similarity Renormalization Group



# Equation of state of symmetric nuclear matter, nuclear saturation



Batty et. al,  
Karlsruhe (1987)



KH, Bogner, Furnstahl, Nogga, PRC(R) 83, 031301 (2011)

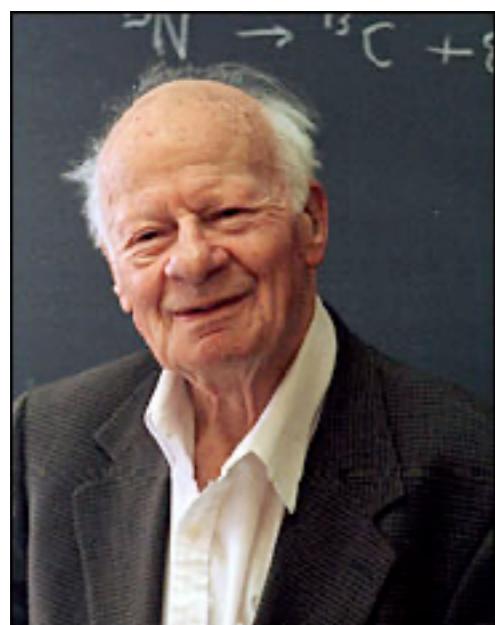
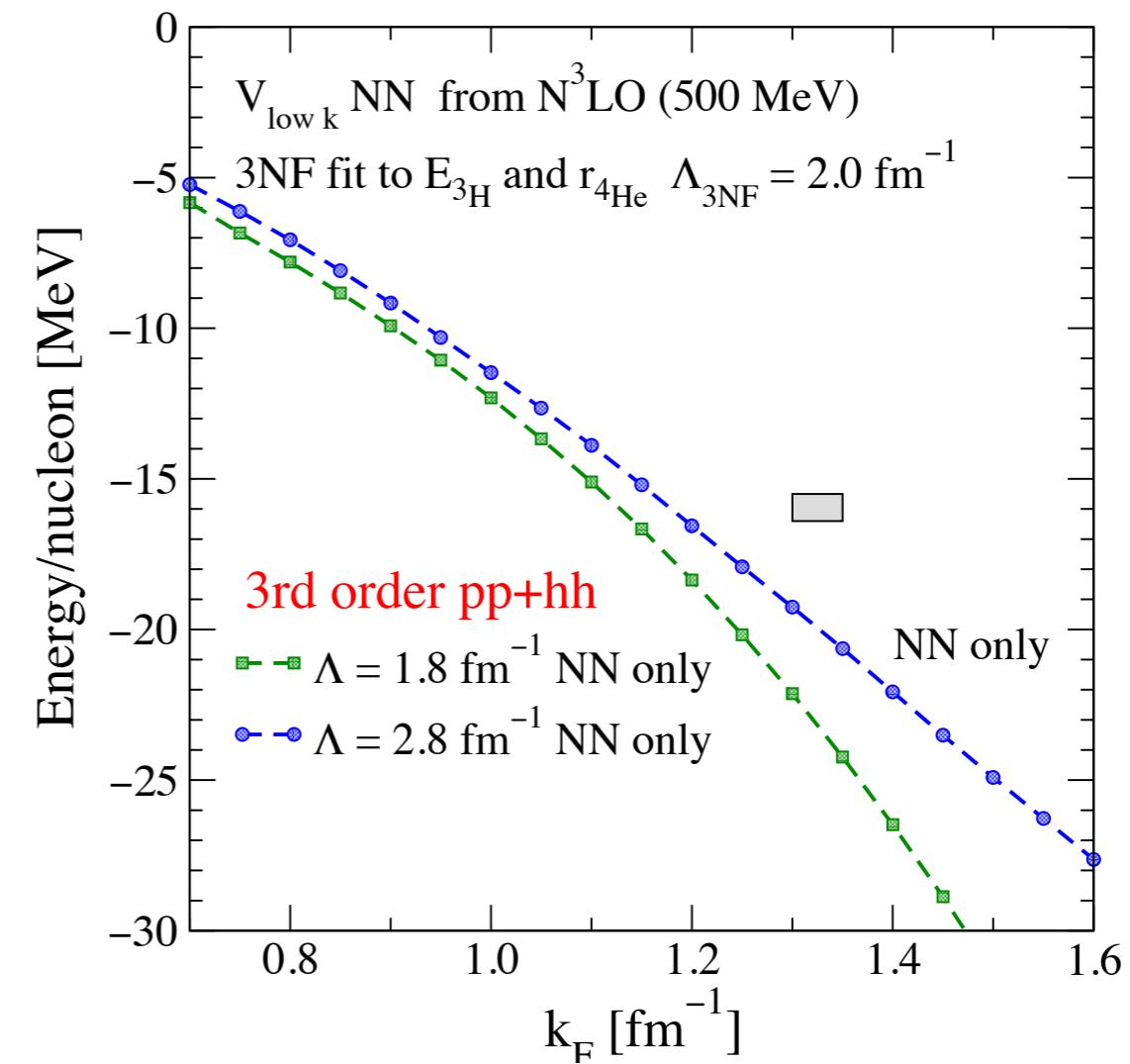
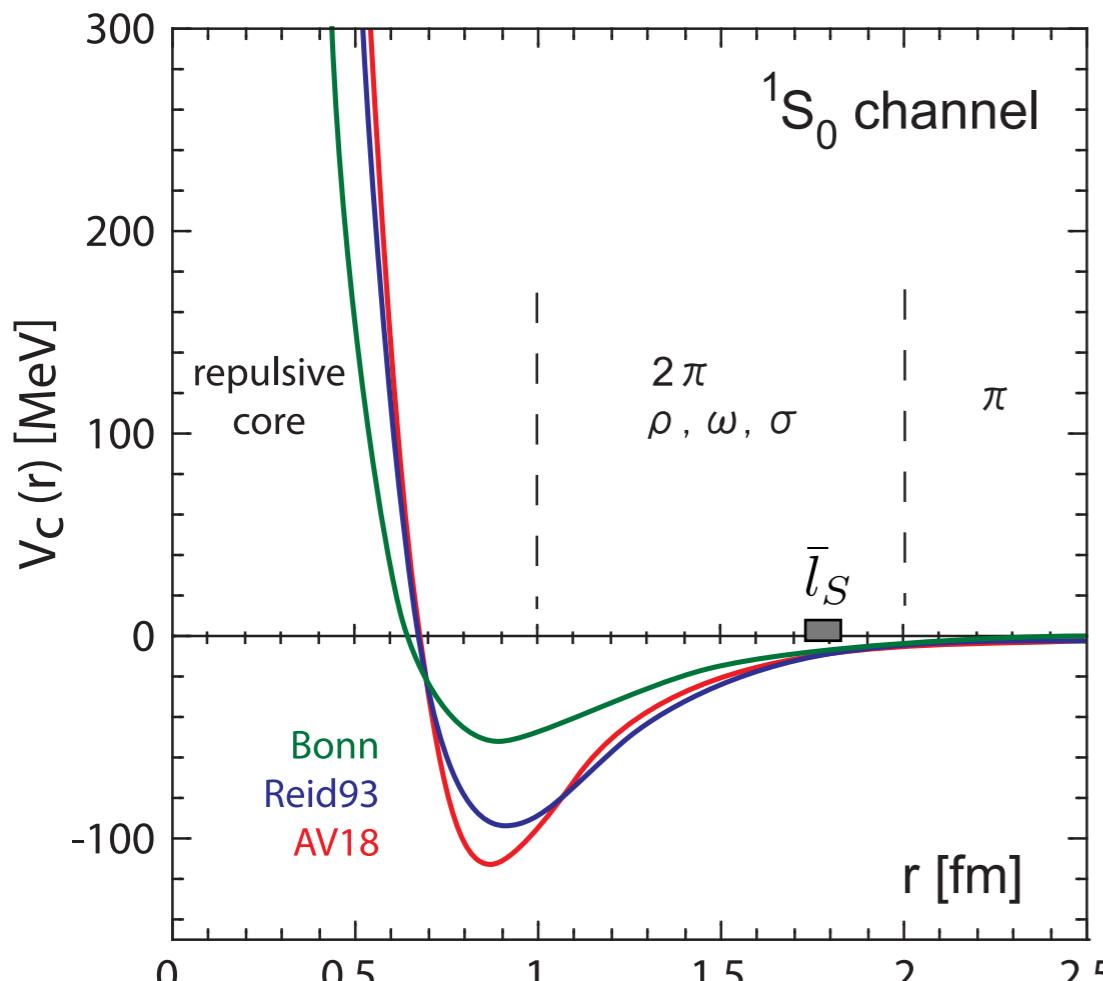
empirical nuclear  
saturation properties

$$n_S \sim 0.16 \text{ fm}^{-3}$$

$$E_{\text{binding}}/N \sim -16 \text{ MeV}$$

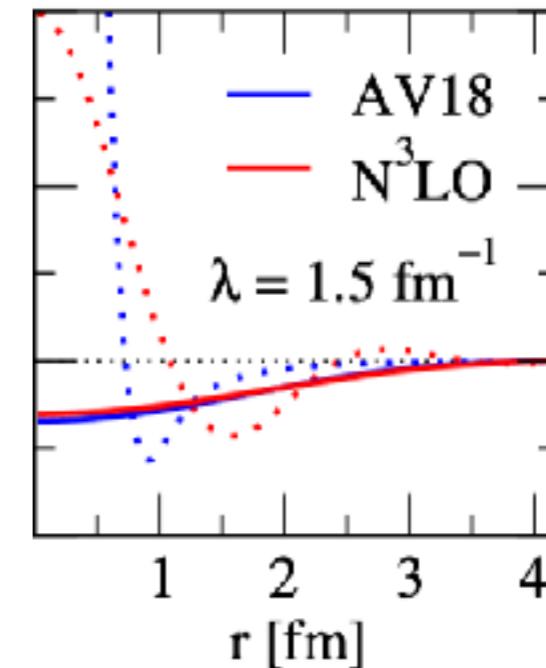
$$\bar{l}_S \sim 1.8 \text{ fm}$$

# Equation of state of symmetric nuclear matter, nuclear saturation

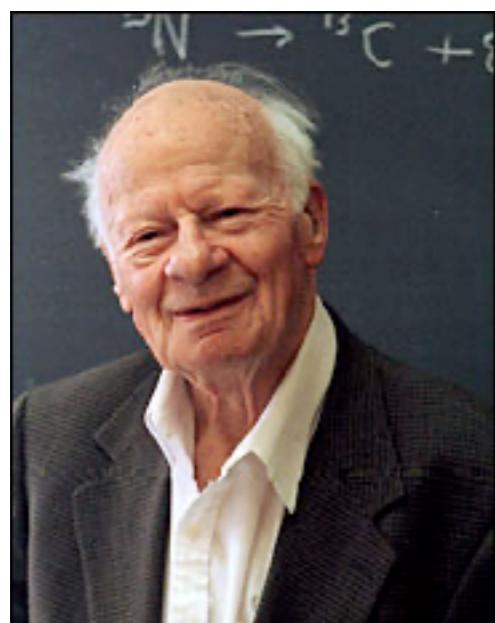
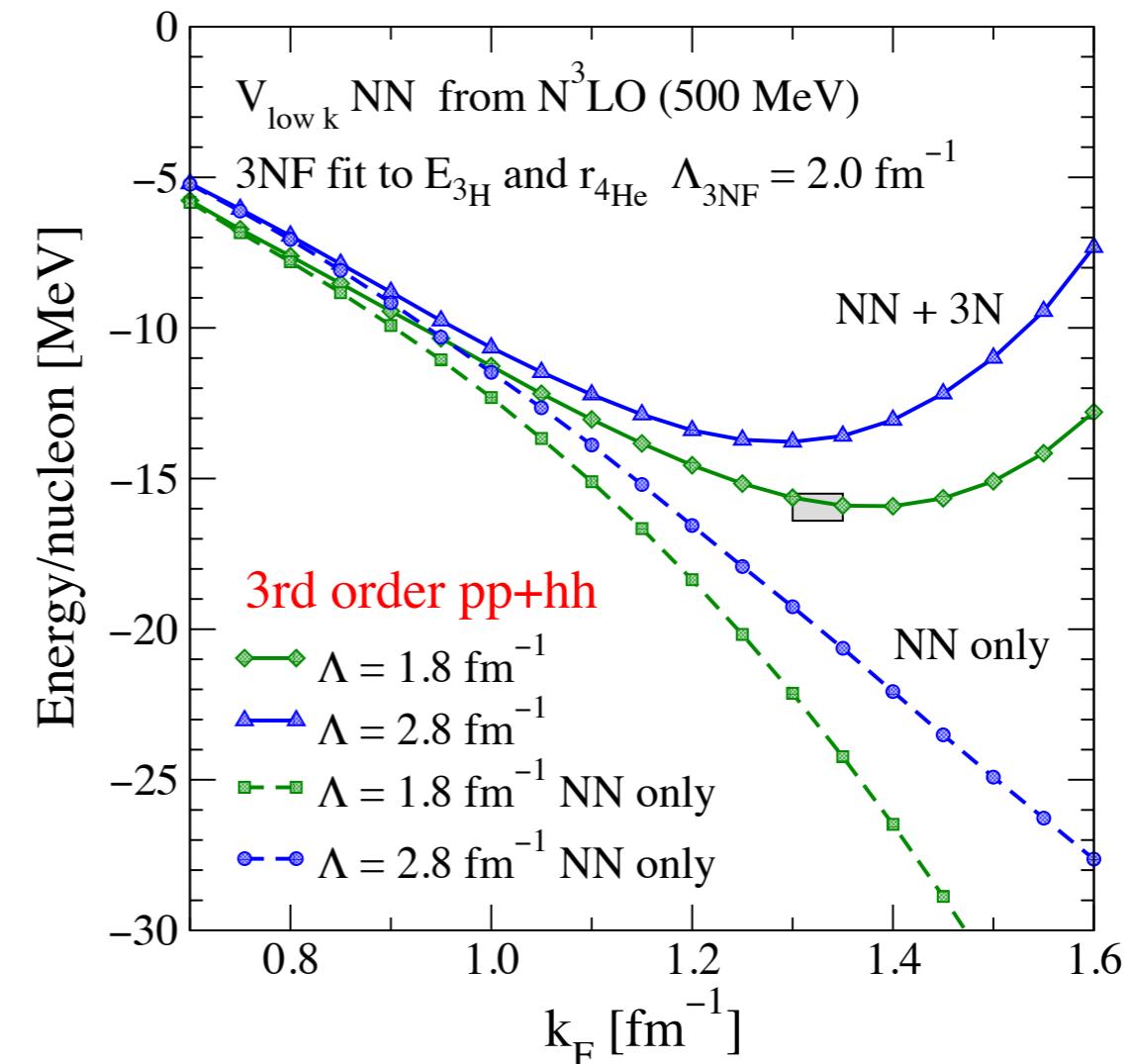
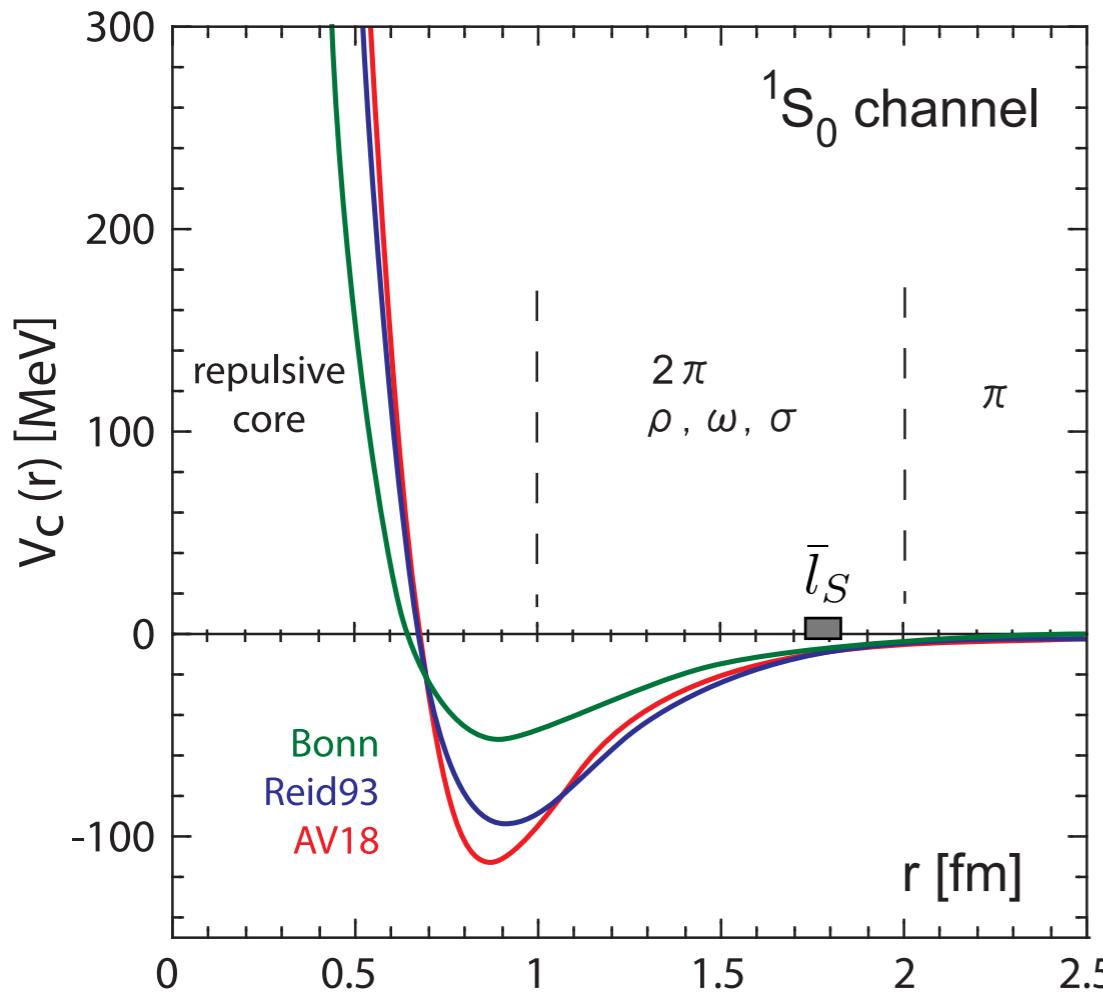


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**Hans Bethe (1971)**



# Equation of state of symmetric nuclear matter, nuclear saturation



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KH, Bogner, Furnstahl, Nogga, PRC(R) 83, 031301 (2011)

empirical nuclear  
saturation properties

$$n_S \sim 0.16 \text{ fm}^{-3}$$

$$E_{\text{binding}}/N \sim -16 \text{ MeV}$$

$$\bar{l}_S \sim 1.8 \text{ fm}$$

The SRG transformation of the Hamiltonian is defined by:

$$H(s) = U(s) H U^\dagger(s)$$

with the *unitary* operator  $U(s)$ , the Hamiltonian  $H(s) = T_{rel} + V_{NN}(s)$  and the resolution scale  $s$ .

Show that the flow equation is given by:

$$\frac{dH(s)}{ds} = [\eta(s), H(s)]$$

with the *anti-unitary generator*

$$\eta(s) = \frac{dU(s)}{ds} U^\dagger(s) = -\eta^\dagger(s)$$

The generator specifies the RG transformation and can be chosen suitably, such that the desired decoupling is achieved. The by far most common choice is:

$$\eta(s) = [T_{rel}, H(s)]$$

Where the kinetic energy operator is diagonal and hence hermitian. Show that the resulting generator is *anti-unitary*.

$$\frac{dH(s)}{ds} = [[T_{rel}, H(s)], H(s)]$$

Familiarize yourself with the first version of the code. This code allows you to read in matrix elements  $V_{NN}(s = 0)$  and visualise them. Take a look at different coupled and uncoupled channels. In addition, a routine for computing the deuteron binding energy and phase shifts is included. Reproduce the experimental observables using the provided interaction matrix elements.

**1** Implement a routine that allows to solve the SRG flow equations. For this you can use the NumPy routine **odeint**. You can use the upper limit  $s \sim 0.1 \text{ fm}^4$  as an upper limit for the resolution scale.

**3** Visualize the SRG-evolved interaction matrix elements using coloured contour plots and verify that the off-diagonal matrix elements get successively suppressed with increasing flow parameter. Verify that the deuteron binding energy remains invariant during the SRG evolution within numerical accuracy.

**4** Modify the routine for the deuteron binding energy such that you can control the momentum range of the matrices. Show that you can reduce the dimensionality of the eigenvalue problem by cutting away high-momentum modes, without changing the binding energy for sufficiently large flow parameter values  $s$ .

**5** Verify that also the phase shifts remain invariant under SRG transformations.

Compare the results and run times of the code for the two interactions AV18 und EM500.