# Introduction to Scattering Theory III

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#### Properties of the phase shifts

- Relation between phase shift and potential
- Low-energy behaviour
- High-energy behaviour
- Levinson Theorem

### 2 Notion of Resonance

- Definition
- A pole in the S matrix

### Charged case

- Rutherford Scattering
- Coulomb + Nuclear Scattering

# Relation between phase shift and potential

We consider two scattering potentials V and  $\bar{V}$ 

$$\sin(\delta_l - \bar{\delta}_l) = -\frac{2\mu}{\hbar^2} k \int_0^\infty \bar{u}_{kl}(r) \left[ V(r) - \bar{V}(r) \right] u_{kl}(r) dr$$

This provides two pieces of information :

- Change in  $\delta_l$  goes opposite to the change in V:  $V(r) > \overline{V}(r) \ \forall r \Rightarrow \delta_l(k) < \overline{\delta}_l(k) \ \forall k$ 
  - ▶ repulsive potential  $(V(r) > 0 \forall r) \Rightarrow \delta_l(k) < 0 \forall k$
  - attractive potential  $(V(r) < 0 \forall r) \Rightarrow \delta_l(k) > 0 \forall k$

② Choosing  $\bar{V} = 0$ , we obtain an integral expression for  $\delta_l$ :

$$\sin \delta_l = -\frac{2\mu}{\hbar^2} k \int_0^\infty j_{kl}(kr) V(r) R_{kl}(r) r^2 dr$$
  
[with  $R_{kl}(r) = \frac{1}{r} u_{kl}(r)$ ]

# Phase shift at low-energy

$$\tan \delta_l \xrightarrow[k \to 0]{} \frac{(kr_0)^{2l+1}}{(2l+1)!!(2l-1)!!} \frac{l - r_0 \gamma_l}{(l+1) + r_0 \gamma_l}$$

(unless  $(l + 1) + r_0 \gamma_l = 0$ )

In the *s* wave, the scattering length

$$a = -\lim_{k \to 0} \frac{\tan \delta_0}{k}$$

At very low energy,

only the *s* wave contributes to the scattering cross section and  $\frac{d\sigma}{d\Omega} \xrightarrow[k \to 0]{} a^2$ : the differential cross section is isotropic



Low-energy behaviour





# Effective-range expansion

In partial wave *l*, the function  $k^{2l+1} \cot \delta_l$  is analytic in *E*, i.e. in  $k^2$ .

It can be expanded in powers of E (see Hans' 2nd lecture) In the *s* wave, the first coefficient is the scattering length *a* 

$$k \cot \delta_0 = -\frac{1}{a} + \frac{r_e}{2}k^2 + \frac{P}{4}k^4 + \dots$$

where

- $r_e$  is the effective range
- P is the shape parameter

•  $\dots$ 

For l > 0

$$k^{2l+1} \cot \delta_l = -\frac{1}{a_l} + \frac{r_l}{2}k^2 + \frac{P_l}{4}k^4 + \dots$$





Low-energy behaviour





# Relation with bound states

A similar development can be done using a bound state  $(u^2 \rightarrow u^{\kappa})$ So with the two solutions  $(v^2 \rightarrow v^{\kappa})$ 

$$\begin{bmatrix} \frac{d^2}{dr^2} - \kappa^2 - \frac{2\mu}{\hbar^2} V(r) \end{bmatrix} u^{\kappa}(r) = 0$$
$$\begin{bmatrix} \frac{d^2}{dr^2} - \kappa^2 \end{bmatrix} v^{\kappa}(r) = 0, \quad \text{where } -\frac{\hbar^2}{2\mu} \kappa^2 = E_0$$

Therefore

$$u^{\kappa}(0) = 0 \text{ and } u^{\kappa}(r) \xrightarrow{r \to \infty} e^{-\kappa r}$$
$$v^{\kappa}(r) = e^{-\kappa r} \left( \sqrt{r} \overset{\mathsf{K}}{(0)} = \left[ \right] \right)$$

For which we have (approximating  $u^{\kappa}$  and  $v^{\kappa}$  by  $u^{0}$  and  $v^{0}$ )

$$k \cot \delta_0(k) + \kappa = \frac{1}{2} r_e(k^2 + \kappa^2)$$
$$\xrightarrow{k \to 0} -\frac{1}{a} = -\kappa + \frac{1}{2} r_e \kappa^2$$

# Phase shift at high-energy

To study the phase shift at high energy, let us consider the integral expression of the phase shift

$$\sin \delta_l \approx -\frac{2\mu}{\hbar^2} k \int_0^\infty j_l^2(kr) V(r) r^2 dr$$

assuming 
$$R_{kl}(r) \approx j_l(kr)$$
 (first Born approximation)  
Since  $j_l(x) \xrightarrow[x \to \infty]{1} \sin(x - l\pi/2) \Rightarrow \int_0^{z} (\ln x) x \to \infty$   $\frac{1}{k^2 \pi^2} \sin^2(\ln x - \frac{l\pi}{2})$   
 $\sin \delta_l \approx -\frac{\mu}{\hbar^2} \frac{1}{k} \int_0^{\infty} V(r) dr \int_0^{\infty} \left[1 - \cos(2\ln x - \ln)\right]$   
 $\xrightarrow[x \to \infty]{0} 0$   
 $\Rightarrow \delta_l \xrightarrow[x \to \infty]{0} 0$  (+ $n\pi$ )

Imposing  $\delta_l \xrightarrow[k \to \infty]{} 0$  is similar to imposing  $\delta_l = 0$  when V = 0

# Levinson Theorem

That theorem relates the phase shift at E = 0 to that at  $E \rightarrow \infty$ . It states that

$$\delta_l(0) - \delta(\infty) = N_l \pi,$$

where  $N_l$  is the number of bound states in the partial wave lIt relates the properties of the solutions of the radial Schrödinger equation at positive and negative energies.



# Summary : Properties of phase shifts

## By convention

$$V(r) = 0 \ \forall r \quad \Rightarrow \quad \delta_l = 0 \ \forall E$$
$$\Leftrightarrow \delta_l \quad \xrightarrow{}_{k \to \infty} \quad 0$$

- δ<sub>l</sub> is a continuous function of energy moreover k<sup>2l+1</sup> cot δ<sub>l</sub> is analytical in E
- If  $V(r) > \overline{V}(r) \forall r$ , then  $\delta_l < \overline{\delta}_l \forall E$   $\Rightarrow$  if  $V(r) < 0 \forall r$  (attractive), then  $\delta_l > 0 \forall E$  $\Rightarrow$  if  $V(r) > 0 \forall r$  (repulsive), then  $\delta_l < 0 \forall E$
- At low energy

$$\delta_l(k) - \delta_l(0) \sim k^{2l+1}$$

Levinson Theorem :

$$\delta_l(0) - \delta(\infty) = N_l \pi,$$

where  $N_l$  is the number of bound states in the partial wave l



[Navarro Pérez, Amaro, Ruiz Arriola, PLB 724 138 (2013)] Data used to fit the various terms of  $V_{NN}$  (see lectures of Kai Hebeler)

# Realistic V<sub>NN</sub>



Strongly repulsive core  $\Rightarrow$  negative phaseshifts Short distaces  $\Leftrightarrow$  high energies

# $\begin{array}{c} 0.0\\-400-350-300-250-200-150-100-50\\\mu_{2}(5/2^{+})\,\Gamma[\mathrm{fm}^{3}]\end{array}$

### $a_{nn}$

 $a_{nn}$  is large and negative,

but discrepancy between experimental measurements

- $a_{nn} = -18.7(6)$  fm (TUNL)
- $a_{nn} = -16.2(3)$  fm (Bonn)
- $a_{\rm pp} = -17.3(4)$  fm

 $^{6}\text{He} + p \rightarrow \alpha + n + n + p$  will be measured at RIKEN (Japan)





(from Hans' group)

Energy spectrum will constrain  $a_{nn}$ 

# Notion of Resonance

#### Resonance $\equiv$

significant variation of a cross section on a short energy range



In elastic scattering, contribution of partial wave *l* 

 $\sigma_l = \frac{4\pi}{k^2} (2l+1) \sin^2 \delta_l \qquad \begin{array}{l} \text{small if } \delta_l \sim n\pi \ (n \in \mathbb{Z}) \\ \text{large if } \delta_l \sim \pi/2 \end{array}$ 

If  $\delta_l$  goes quickly from 0 to  $\pi \rightarrow$  rapid increase and decrease of  $\sigma_l$  i.e. resonance structure Definite  $l \Rightarrow$  quantum numbers and parity similar to bound state



Definition

Another example : nuclear breakup of <sup>11</sup>Be



[Fukuda et al. PRC 70, 054606 (2004)]

# Back to the *S* matrix

Let's rewrite the asymptotic expression

$$u_{kl}(r) \xrightarrow[r \to \infty]{} \frac{1}{2i} \left[ f_l(k) e^{-i(kr - l\pi/2)} - f_l^*(k) e^{i(kr - l\pi/2)} \right] \in \mathbb{R}$$

in that case

$$S_l(k) = e^{2i\delta_l} = \frac{f_l^*(k)}{f_l(k)}$$

So far we have considered  $k \in \mathbb{R}^+$  (since E > 0) Now let's extend this to the complex plane ( $f_l$  is continuous and analytic)

If  $k_0 = k_R + ik_I$  is a zero of  $f_l : f_l(k_0) = 0$ 

$$u_{k_0l}(r) \xrightarrow[r \to \infty]{} e^{ik_R r} e^{-k_I r}$$

• if  $k_R = 0$  and  $k_I > 0$ , it corresponds to a bound state

• if  $k_R > 0$  and  $k_I < 0$ , it corresponds to a resonance

#### A pole in the S matrix

# Poles of the *S* matrix Since $S_l = \frac{f_l^*}{f_l}$ , a zero of *f* corresponds to a pole of $S_l$ Close to that pole

$$S_{l}(k) = e^{2i\phi} \frac{k - k_{0}}{k - k_{0}}$$
  
or  $S_{l}(E) = e^{2i\phi} \frac{E - E_{0}^{*}}{E - E_{0}}$   
with  $E_{0} = \frac{\hbar^{2}}{2\mu}k_{0}^{2} = E_{r} - i\Gamma/2$   
Since  $S_{l} = e^{2i\delta_{l}}$ ,

$$\delta_l = -\phi + \arctan \frac{\Gamma/2}{E_r - E}$$

When  $\phi \ll 1$ ,  $\delta(E_r) = \pi/2$ ,  $\delta(E_r - \Gamma/2) = \pi/4$ ,  $\delta(E_r + \Gamma/2) = 3\pi/4$ We also have

$$\left.\frac{d\delta_l}{dE}\right|_{E_r} = \frac{2}{\Gamma}$$

# **Breit-Wigner formula**

The contribution of the *l* partial wave to the cross section

 $\sigma_l = \frac{4\pi}{k^2} (2l+1) \frac{\tan^2 \delta_l}{1 + \tan^2 \delta_l} \left( - \sin^2 \delta_l \right)$  $= \frac{4\pi}{k^2}(2l+1)\frac{\Gamma^2/4}{(E_x-E)^2+\Gamma^2/4}$ This is the Breit-Wigner formula (Lorentzian) Since  $\sigma_l(E_r - \Gamma/2) = \sigma_l(E_r + \Gamma/2) = \sigma_l(E_r)/2$  $\Gamma$  is the full width at half maximum (FWHM) FR Related to the lifetime of the resonance

$$\tau = \frac{\hbar}{\Gamma}$$

E

When the non-resonant phase  $\phi$  is not negligible the shape can differ significantly from a simple Lorentzian



Notion of Resonance

A pole in the S matrix



#### Rutherford Scattering

# Coulomb scattering

We assumed  $r^2 V(r) \xrightarrow[r \to \infty]{} 0$ , which excludes Coulomb  $V_C(r) = \frac{Z_a Z_b e^2}{4\pi\epsilon_0 r}$ Coulomb requires special treatment, but similar results are obtained Defining the Sommerfeld parameter  $\eta = \frac{Z_a Z_b e^2}{4\pi\epsilon_0 \hbar v}$ ,

Schrödinger equation for a and b scattered by Coulomb reads

$$\left(\Delta - \frac{2\eta k}{r} + k^2\right)\Psi_C(\mathbf{r}) = 0,$$

which can be solved exactly and

$$\Psi_{C}(\mathbf{r}) \xrightarrow[r \to \infty]{} (2\pi)^{-3/2} \left( e^{i \left[kz + \eta \ln k(r-z)\right]} + f_{C}(\theta) \frac{e^{i \left[kr - \eta \ln 2kr\right]}}{r} \right),$$
  
with  $f_{C}(\theta) = -\frac{\eta}{2k \sin^{2}(\theta/2)} e^{2i \left[\sigma_{0} - \eta \ln \sin(\theta/2)\right]} \left[\sigma_{0} = \arg \Gamma(1 + i\eta)\right]$ 

the Coulomb scattering amplitude

# Rutherford cross section

The same analysis can be done defining  $j_i$  and  $j_s$  to define the Coulomb elastic scattering cross section or Rutherford cross section :

$$\frac{d\sigma_R}{d\Omega} = |f_C(\theta)|^2$$
$$= \left(\frac{Z_a Z_b e^2}{4\pi\epsilon_0}\right)^2 \frac{1}{16E^2 \sin^4(\theta/2)}$$

Note that it diverges at  $\theta = 0$ 

# Partial-wave analysis

We can again separate the angular from the radial part solution of

$$\left(\frac{d^2}{dr^2} - \frac{l(l+1)}{r^2} - \frac{2\eta k}{r} - \frac{2\mu}{\hbar^2}V_N(r) + k^2\right)u_{kl}(r) = 0$$

If additional (nuclear) term  $r^2 V_N(r) \xrightarrow[r \to \infty]{} 0, \ u_{kl}(r) \xrightarrow[r \to \infty]{} u_{kl}^{as}(r)$ :

$$u_{kl}^{as}(r) = A F_l(\eta, kr) + B G_l(\eta, kr)$$
  
$$\xrightarrow[r \to \infty]{} A \sin(kr - l\pi/2 - \eta \ln kr + \sigma_l)$$
  
$$+ B \cos(kr - l\pi/2 - \eta \ln kr + \sigma_l)$$

where  $F_l$  and  $G_l$  are regular and irregular Coulomb functions and  $\sigma_l = \arg \Gamma(l + 1 + i\eta)$  is the Coulomb phaseshift

$$\Rightarrow u_{kl}^{\rm as}(r) \quad \underset{r \to \infty}{\longrightarrow} \quad C \sin(kr - l\pi/2 - \eta \ln kr + \sigma_l + \delta_l)$$

 $\delta_l$  is an additional phaseshift, which contains all information about the nuclear interaction  $V_N$ 

# (Additional) scattering amplitude

The stationary scattering states have now the asymptotic behaviour

$$\Psi(\mathbf{r}) \xrightarrow[r \to \infty]{} \Psi_C(\mathbf{r}) + (2\pi)^{-3/2} f_{add}(\theta) \frac{e^{i(kr - \eta \ln kr)}}{r}$$
  
with  $f_{add}(\theta) = \frac{1}{2ik} \sum_{l=0}^{\infty} (2l+1)e^{2i\sigma_l}(e^{2i\delta_l} - 1)P_l(\cos\theta)$ 

the additional scattering amplitude The total scattering amplitude  $f(\theta) = f_C(\theta) + f_{add}(\theta)$ gives the elastic-scattering cross section

$$\frac{d\sigma}{d\Omega} = \left| f_C(\theta) + f_{\text{add}}(\theta) \right|^2$$

At forward angles ( $\theta \ll 1$ ),  $f_C \gg f_{add}$ , and  $d\sigma/d\Omega \approx d\sigma_R/d\Omega$  $\Rightarrow$  usually  $(d\sigma/d\Omega)/(d\sigma_R/d\Omega)$  is plotted

# Example : ${}^{6}\text{He} + {}^{64}\text{Zn} @ 14\text{MeV}$



[Rodrìguez-Gallardo et al. PRC 77, 064609 (2008)]

# Bibliography

The following books are good references for more details on low-energy nuclear-reaction theory :

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- J. R. Taylor Scattering Theory : The Quantum Theory of Nonrelativistic Collisions (Dover, New York, 1972)