Breakup-Reaction Theory Part II: Breakup Methods

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2 Time-dependent approach

- Semiclassical Approximation
- First-Order of Perturbation Theory

3 Eikonal approximation

- DEA and E-CDCC
- Usual Eikonal
- CCE
- S-DEA

Three-body Scattering Problem

Within this framework breakup reduces to three-body problem

$$\left[T_{R}+H_{0}+V_{cT}+V_{fT}\right]\Psi(\boldsymbol{r},\boldsymbol{R})=E_{T}\Psi(\boldsymbol{r},\boldsymbol{R})$$

with the initial condition

$$\Psi(\boldsymbol{r},\boldsymbol{R}) \underset{Z \to -\infty}{\longrightarrow} e^{iKZ + \cdots} \phi_{n_0 l_0 m_0}(\boldsymbol{r})$$

 \Leftrightarrow *P* in its ground state $\phi_{n_0 l_0 m_0}$ impinging on *T*



Various methods developed to solve that equation [Review : Baye, P.C., Lecture Notes in Physics 848, 121 (2012); on Indico]

- Coupled-channel method with discretised continuum (CDCC)
- Time-dependent approach (TD) (semiclassical)
- Eikonal approximation

(for simplicity spin will be neglected)

Coupled-Channel Method

The eigenstates of $H_0 \{ |\phi_i \rangle \}$ are a basis in \mathbf{r} : $H_0 |\phi_i \rangle = E_i |\phi_i \rangle$ ldea : expand Ψ on that basis : $\Psi(\mathbf{r}, \mathbf{R}) = \sum_i \chi_i(\mathbf{R}) \langle \mathbf{r} | \phi_i \rangle$

CDCC

$$\left[T_R + H_0 + V_{cT} + V_{fT}\right]\Psi(\boldsymbol{r},\boldsymbol{R}) = E_T\Psi(\boldsymbol{r},\boldsymbol{R})$$



Coupled Equation

This leads to a set of coupled equations in $\chi_i(\mathbf{R})$

$$T_R \chi_j(\boldsymbol{R}) + \sum_i \langle \phi_j | V_{cT} + V_{fT} | \phi_i \rangle \chi_i(\boldsymbol{R}) = \left(E_T - E_j \right) \chi_j(\boldsymbol{R})$$

where the coupling terms are $\langle \phi_j | V_{cT} + V_{fT} | \phi_i \rangle$

i.e. connect the various projectile states through the P-T interaction



Model of breakup requires description of continuum tractable in computations, i.e. discrete

 ϕ_{klm} with $k \in \mathbb{R}^+ \to \phi_{ilm}$ with $i \in \mathbb{N}$

[Rawitscher, PRC 9, 2210 (1974)]

[Tostevin et al. PRC 66, 024607 (2002)]

Discretising the Continuum

Various methods exist :

- mid-point : divide continuum in bins $[E_i \Delta E_i/2, E_i + \Delta E_i/2]$ and choose $\phi_{ilm}(\mathbf{r}) = \phi_{k,lm}(\mathbf{r})$ to describe bin *i*
- average the wave function over the bin

$$\phi_{ilm}(\mathbf{r}) = \frac{1}{\sqrt{W_i}} \int_{E_i - \frac{\Delta E_i}{2}}^{E_i + \frac{\Delta E_i}{2}} f_i(E) \,\phi_{klm}(\mathbf{r}) \,dE \quad \text{with } W_i = \int_{E_i - \frac{\Delta E_i}{2}}^{E_i + \frac{\Delta E_i}{2}} |f_i(E)|^2 \,dE$$

⇒ square-integrable wave functions ϕ_{ilm} : binning technique [Austern *et al.*, Phys. Rep. 154, 125 (1987)]

- pseudo-states : solve $H_0 \phi_{ilm} = E \phi_{ilm}$ on finite basis or in a box \Rightarrow square-integrable wave functions ϕ_{ilm} but E_i not chosen [Druet *et al.* NPA 845 88 (2010)]
- THO : Transformed Harmonic Oscillator Map the (discrete) states of HO onto the continuum [Pérez-Bernal *et al.* PRA 63, 052111 (2001)]

Solving the Coupled Equations

Expanding χ into spherical harmonics

$$\chi_j(\boldsymbol{R}) = \frac{1}{R} \sum_L i^L u_{jL}(R) Y_L^0(\Omega)$$

 \dots and coupling l and L into J, the coupled equations read

$$-\frac{\hbar^2}{2\mu_{PT}} \left(\frac{d^2}{dR^2} - \frac{L(L+1)}{R^2}\right) u_c^J(R) + \sum_{c'} V_{cc'}^J(R) u_{c'}^J(R) = (E_T - E_j) u_c^J(R)$$

with $c \equiv \{j, L\}$ and $J_T = L + l$

These equations are solved assuming the asymptotic behaviour

$$u_c^J(R) \underset{R \to \infty}{\longrightarrow} \left[\delta_{c0} \ I_L(\eta, KR) - S_{c0}^J \ O_L(\eta, KR) \right]$$

where $I_L = G_L - iF_L$ incoming Coulomb function = O_L^* outgoing Coulomb function

The *S* matrix is used to compute the breakup cross sections

CDCC breakup cross sections

Starting from ground state $0l_0m_0$ impinging on target with $\mathbf{K} = K\hat{Z}$, the scattering amplitudes into state $c \equiv jlm$

scattered with momentum K'

$$\mathcal{F}_{m\,m_0}(\mathbf{K}') = \frac{2\pi}{iK} \sqrt{\frac{K'}{K}} \sum_{L_0\,LJ} (L_0 l_0 0 m_0 | Jm_0) (Ll(m_0 - m)m | Jm_0) \\ \times e^{i(\sigma_{L_0} + \sigma_L)} S^J_{c0} Y^0_{L_0}(\hat{K}) Y^{m_0 - m}_L(\hat{K}')$$

with $E_T = \hbar^2 K^2 / 2\mu + E_0 = \hbar^2 K'^2 / 2mu + E_j$

Breakup cross section to bin energy E_j in direction $\Omega \equiv \hat{K}'$

$$\frac{d\sigma_{\mathsf{bu}}(E_j)}{dEd\Omega} = \frac{1}{2l_0+1} \sum_{m_0} \sum_{l,m} \left| \mathcal{F}_{m\,m_0}(\mathbf{K}') \right|^2$$

Continuum Discretised Coupled-Channel : CDCC [Austern *et al.*, Phys. Rep. 154, 125 (1987)] [Tostevin, Nunes, Thompson, PRC 63, 024617 (2001)] Recent review : [Yahiro *et al.*, PTEP 2012 01A206 (2012)]

Fully quantal approximation No approximation on P-T motion, nor restriction on energy But expensive computationally (at high energies)

Various codes have been written to solve these coupled equations FRESCO written by Ian Thompson is free on www.fresco.org.uk

Example : ⁸B breakup



Th. :[Tostevin et al. PRC 63, 024617 (2001)]

Influence of breakup on the elastic channel

^{9,10,11}Be + Zn @ 24.5MeV



Exp. : [A. Di Pietro et al. PRL 105, 022701 (2010)]

^{9,10}Be elastic scattering reproduced with usual optical potentials

¹¹Be elastic scattering strongly affected by breakup channel

Influence of breakup on the elastic channel



[A. Di Pietro et al. PRC 85, 054607 (2012)]

 ^{9,10}Be elastic scattering reproduced with usual optical potentials
 ¹¹Be elastic scattering strongly affected by breakup channel Confirmed by CDCC calculations

Time-dependent model

P-T motion described by classical trajectory $\boldsymbol{R}(t)$ defined by $V_{\text{traj}}(\boldsymbol{R})$



P structure described quantum-mechanically by H_0 Time-dependent potentials simulate *P*-*T* interaction

 $\Rightarrow \text{time-dependent (TD) Schrödinger equation} \\ i\hbar \frac{\partial}{\partial t} \Psi(\boldsymbol{r}, \boldsymbol{b}, t) = [H_0 + V_{cT}(t) + V_{fT}(t) - V_{\text{traj}}(t)] \Psi(\boldsymbol{r}, \boldsymbol{b}, t)$

Solved for each *b* with initial condition $\Psi^{(m_0)} \xrightarrow[t \to -\infty]{} \phi_{n_0 l_0 m_0}$

Numerical resolution of the TD Schrödinger equation Time-step evolution approximating the evolution operator

$$\begin{split} \Psi^{(m_0)}(\boldsymbol{r}, b, t + \Delta t) &= U(t + \Delta t, t) \Psi^{(m_0)}(\boldsymbol{r}, b, t) \\ \text{with } U(t', t) &= \exp[\frac{i}{\hbar} \int_t^{t'} H(\tau) d\tau] \text{ and } \Psi^{(m_0)}(\boldsymbol{r}, b, t \to -\infty) = \phi_{n_0 l_0 m_0}(\boldsymbol{r}) \end{split}$$



Numerical resolution of the TD Schrödinger equation

- Faster computation compared to CDCC because each trajectory treated separately
- Lacks quantum interferences between trajectories

Many codes developed to solve TD

- Partial-wave expansion of Ψ: [Kido, Yabana, and Suzuki, PRC 50, R1276 (1994)]
 [Esbensen, Bertsch and Bertulani, NPA 581, 107 (1995)]
 [Typel and Wolter, Z. Naturforsch.A 54, 63 (1999)]
- Expansion on a 3D spherical mesh : [P. C., Melezhik and Baye, PRC 68, 014612 (2003)]
- Expansion on 3D cubic lattice : [Fallot et al. NPA700, 70 (2002)]

Semicassical breakup cross sections

For each trajectory (b) a breakup probability can be computed

$$\frac{dP_{\text{bu}}(b)}{dE} = \frac{\mu_{cf}}{\hbar^2 k} \frac{1}{2l_0 + 1} \sum_{m_0} \sum_{l,m} |\langle \phi_{klm} | \Psi^{(m_0)}(b, t \to \infty) \rangle|^2$$

We can build an angular distribution from $b \leftrightarrow \theta$

$$\frac{d\sigma_{\rm bu}}{dEd\Omega} = \frac{d\sigma_{\rm el}}{d\Omega} \frac{dP_{\rm bu}[b(\theta)]}{dE},$$

where $d\sigma_{\rm el}/d\Omega$ is obtained from $V_{\rm traj}$ And an energy distribution

$$\frac{d\sigma_{\rm bu}}{dE} = 2\pi \int_0^\infty \frac{dP_{\rm bu}(b)}{dE} \ b \ db$$

Initially TD equation solved perturbatively At the first-order [Alder and Winther *Electromagnetic Excitation* (1975)]





First-Order Perturbation Theory

At the first-order of the perturbation theory

[Alder and Winther Electromagnetic Excitation (1975)]

$$\langle \phi_{klm} | \Psi^{(m_0)}(t \to \infty) \rangle = \int_{-\infty}^{\infty} e^{i\omega t} \left\langle \phi_{klm} \left| V_{cT}(t) + V_{fT}(t) - V_{\text{traj}}(t) \right| \phi_{n_0 l_0 m_0} \right\rangle dt$$

with $\omega = (E - E_0)/\hbar$

For purely Coulomb *P*-*T* interaction the E1 contribution to breakup

$$\frac{dB(E1)}{dE} = \frac{\mu_{cf}}{\hbar^2 k} \frac{\left(\frac{m_f}{m_P} Z_c e\right)^2}{4\pi\epsilon_0} \frac{1}{2l_0 + 1} \sum_{m_0} \sum_{l,m} \left| \left\langle \phi_{klm} \left| r Y_1^{m-m_0} \right| \phi_{n_0 l_0 m_0} \right\rangle \right|^2}{\frac{d\sigma_{\text{bu}}^{(1)}(E1)}{dE}} = \frac{32\pi^2}{9} \frac{1}{4\pi\epsilon_0} \left(\frac{Z_T e}{\hbar \nu}\right)^2 x_{\min} K_0(x_{\min}) K_1(x_{\min}) \frac{dB(E1)}{dE}$$

with $x_{\min} = \omega b_{\min} / v$ and $v = \hbar K / \mu_{PT}$ the *P*-*T* relative velocity K_0 and K_1 are modified Bessel functions

Example : ${}^{15}C$ Coulomb breakup ${}^{15}C \equiv {}^{14}C(0^+) + n$

 ${}^{15}C + {}^{208}Pb \rightarrow {}^{14}C + n + {}^{208}Pb$ @68AMeV



Th. :[Esbensen, PRC 80, 024608 (2009)]

Eikonal approximation

Three-body scattering problem :



Dynamical Eikonal Approximation (DEA)

$$i\hbar v \frac{\partial}{\partial Z} \widehat{\Psi}(\boldsymbol{r}, \boldsymbol{b}, Z) = [H_0 - E_{n_0 l_0} + V_{cT} + V_{fT}] \widehat{\Psi}(\boldsymbol{r}, \boldsymbol{b}, Z)$$

solved for each *b* with condition $\widehat{\Psi}^{(m_0)} \xrightarrow[Z \to -\infty]{} \phi_{n_0 l_0 m_0}(\mathbf{r})$ This is the dynamical eikonal approximation (DEA) [Baye, P. C., Goldstein, PRL 95, 082502 (2005)]

Equation is mathematically equivalent to TD $(\mathcal{Z} = \mathcal{N} \mathcal{L})$ with straight line trajectories \Rightarrow we know how to solve it

A similar development can be done within CDCC Leading to the Eikonal-CDCC (E-CDCC)

[Ogata et al. PRC 68, 064609 (2003) & PRC 73, 024605 (2006)]

Eikonal cross section

After some mathematical developments...

[Goldstein, Baye, P.C. PRC 73, 024602 (2006)]

$$\frac{d\sigma_{\rm bu}}{dEd\Omega} \propto \frac{1}{2l_0+1} \sum_{m_0} \sum_{lm} \left| \int_0^\infty J_{|m_0-m|}(qb) S_{klm}^{(m_0)}(b) \ bdb \right|^2,$$

 $S_{klm}^{(m_0)}(b) = \langle \phi_{klm} | \widehat{\Psi}^{(m_0)}(Z \to \infty) \rangle$ are breakup amplitudes

$$\frac{d\sigma_{\rm bu}}{dEd\Omega} \xrightarrow{\int d\Omega} \frac{d\sigma_{\rm bu}}{dE}$$

 \Rightarrow Dynamical eikonal extends TD takes into account interferences between *trajectories* (sum of breakup amplitudes)

Usual Eikonal

$$i\hbar v \frac{\partial}{\partial Z} \widehat{\Psi}(\boldsymbol{r}, \boldsymbol{b}, Z) = [H_0 - E_{n_0 l_0} + V_{cT} + V_{fT}] \widehat{\Psi}(\boldsymbol{r}, \boldsymbol{b}, Z)$$

The usual eikonal uses adiabatic approx. $H_0 - E_{n_0 l_0} \sim 0$

$$\widehat{\Psi}_{\text{eik}}^{(m_0)}(\boldsymbol{r}, \boldsymbol{b}, Z) = \exp\left\{-\frac{i}{\hbar v} \int_{-\infty}^{Z} dZ' \left[V_{cT}(\boldsymbol{r}, \boldsymbol{b}, Z') + V_{fT}(\boldsymbol{r}, \boldsymbol{b}, Z')\right]\right\} \phi_{n_0 l_0 m_0}(\boldsymbol{r})$$

- Easy to interpret and implement
- Neglects internal dynamics of projectile
- \Rightarrow dynamical eikonal generalises eikonal

Example : ¹¹Be Coulomb breakup



Th. :[Goldstein, Baye, P.C. PRC 73, 024602 (2006)]

- DEA exhibits interferences (oscillations)
- Usual eikonal diverges at forward angles Why?

Coulomb Correction to the Eikonal approximation (CCE) For a one-neutron halo nucleus, the eikonal Coulomb phase reads

$$\chi_C(\mathbf{r}, b) = -\eta \int_{-\infty}^{\infty} \frac{1}{R_{cT}} dZ \propto \frac{1}{b}$$

 $\Rightarrow e^{i\chi_C} = 1 + i\chi_C - \frac{1}{2}\chi_C^2 + \dots$ diverges when $\int db$ to compute σ_{bu} However, only because of $i\chi_C$ term : higher-order terms converge

Idea : replace $i\chi_C$ by $i\chi_{FO}$ from perturbation theory [Margueron, Bonaccorso, and Brink, NPA 720, 337 (2003)]

$$\chi_{\rm FO}(\boldsymbol{r},b) = -\eta \int_{-\infty}^{\infty} e^{i\omega Z} \frac{1}{R_{cT}} dZ \propto \frac{e^{-\omega b}}{b},$$

which has the correct asymptotics That Coulomb Correction to the Eikonal approximation (CCE) reads

$$e^{i\chi} = e^{i\chi_N} \left(e^{i\chi_C} - i\chi_C + i\chi_{\rm FO} \right)$$

¹¹Be Breakup on Pb @ 69A MeV



- Good agreement between CCE and DEA at all bs
- Eikonal ok at small b (nuclear) but $\propto 1/b$ at large b
- FO good asymptotic (Coulomb), but no nuclear
- ⇒ CCE combines advantages of eikonal and FO [P. C., Baye and Suzuki, PRC 78, 054602 (2008)]

Energy Distribution



- Excellent agreement between CCE and DEA
- Eikonal needs cutoff at large b; wrong shape
- FO needs cutoff at small b; lacks nuclear
- \Rightarrow Confirms the validity of the Coulomb correction

CCE

Parallel-Momentum Distribution



- Excellent agreement between CCE and DEA In particular the asymmetry in the distribution
- Eikonal too high and symmetric
- FO too low and symmetric
- \Rightarrow Coulomb correction restore dynamical effects

¹¹Be Breakup on C @ 67A MeV



Very good agreement between all eikonal approximations

(DEA, CCE, Eik.)

- Usual eikonal well suited for light targets
- Coulomb correction is minor in nuclear-dominated reactions

[P. C., Baye and Suzuki, PRC 78, 054602 (2008)]

CCE

Parallel-Momentum Distribution



- CCE and Eikonal are both symmetric
- They miss the asymmetry of DEA distribution
- Confirms the minor effect of Coulomb correction

Parallel-Momentum Distribution



[Aumann et al. PRL 85, 35 (2000)]

- CCE and Eikonal are both symmetric
- They miss the asymmetry of DEA distribution
- Confirms the minor effect of Coulomb correction
- Seen in analysis of KO data
- \Rightarrow missing dynamical effects on light targets

Simplified Dynamical Eikonal Approximation (S-DEA)

Idea : use χ_{FO} approximation instead of χ and do that for both Coulomb and nuclear interactions [Hebborn & Baye PRC 101, 054609 (2020)]

 $e^{i\chi^{C}}e^{i\chi^{N}}\rightarrow e^{i\chi^{C}_{\rm FO}}e^{i\chi^{N}_{\rm FO}}$

with

$$\chi_{\rm FO}^N = -\frac{1}{\hbar\nu} \int_{-\infty}^{\infty} e^{i\frac{\omega Z}{\nu}} \left\{ e^{-i\frac{\omega m_c}{\nu m_P} z} V_{fT}^N(R_{fT}) + e^{i\frac{\omega m_f}{\nu m_P} z} V_{cT}^N(R_{cT}) \right\} dZ$$



[Hebborn & Baye PRC 101, 054609 (2020)]

- All corrections reproduce DEA fairly well
- Problem at large energy with full optical potential [Eq. (12)]
- The S-DEA solves that issue using instead [Eq. (16)]

$$\chi_{\text{S-DEA}}^{N} = \Im\left\{\chi^{N}\right\} - \frac{1}{\hbar v} \int_{-\infty}^{\infty} e^{i\frac{\omega Z}{v}} \left\{e^{-i\frac{\omega m_{c}}{vm_{p}}z} \Re\left\{V_{fT}^{N}(R_{fT})\right\} + e^{i\frac{\omega m_{f}}{vm_{p}}z} \Re\left\{V_{cT}^{N}(R_{cT})\right\}\right\} dZ$$



[Hebborn & Baye PRC 101, 054609 (2020)]

- On C, S-DEA reproduces DEA
- Not on Pb, but problem is less dire
- ⇒ S-DEA could be a good approximation to study
 KO
 - more complex nuclear structure (3-b projectiles)

Summary

- Breakup can be included assuming cluster structure of P
- Two-body structure leads to a three-body scattering probem
- CDCC : Ψ expanded over H_0 eigenstates
 - fully quantal model \Rightarrow valid at all energies
 - requires continuum discretisation
 - heavy computationally
- Time-dependent : collision simulated by trajectory
 - semiclassical approximation \Rightarrow no quantal interferences
 - simple interpretation and light numerically
- Eikonal : high-energy approximation
 - DEA : includes interferences and dynamic only valid at high energy
 - ► Usual eikonal : add adiabatic approximation
 ⇒ not valid for Coulomb breakup
 - CCE and S-DEA offer efficient corrections for Coulomb treatment and dynamical effects
- \Rightarrow important to know the range of validity of the models you use