

SCET beyond LP: Basics, Renormalization, Resummation

M. Beneke (TU München)

MITP Workshop “Power Expansions on the Light-Cone: from Theory to Phenomenology
19 - 30 September 2022

Outline

- Introduction
- Elements of SCET beyond leading power
- Renormalization
- Resummation
- Soft fermion processes

Recent work (2017 –) with: Bobeth, Broggio, Garny, Hager, Jaskiewicz,
Strohm, Szafron, Vernazza, J. Wang

Power expansion, NLP

Double expansion in α_s and powers.

Two kinds of problems

$$F(Q, \Lambda; \alpha_s) = f_0(\alpha_s) + \frac{\Lambda}{Q} f_1(\alpha_s) + \dots$$

$$Q \gg \Lambda, \text{ “higher-twist”}, \lambda = \sqrt{\Lambda/Q}$$

$$F(Q, E_s, \Lambda; \alpha_s) = \left[f_0\left(\alpha_s, \ln \frac{E_s}{Q}\right) + \frac{E_s}{Q} f_1\left(\alpha_s, \ln \frac{E_s}{Q}\right) + \dots \right] + \mathcal{O}\left(\frac{\Lambda}{Q}\right)$$

$$Q \gg E_s \gg \Lambda, \text{ “perturbative resummation problem”}, \lambda = \sqrt{E_s/Q}$$

Power expansion, NLP

Double expansion in α_s and powers.

Two kinds of problems

$$F(Q, \Lambda; \alpha_s) = f_0(\alpha_s) + \frac{\Lambda}{Q} f_1(\alpha_s) + \dots$$

$$Q \gg \Lambda, \text{ “higher-twist”}, \lambda = \sqrt{\Lambda/Q}$$

$$F(Q, E_s, \Lambda; \alpha_s) = \left[f_0\left(\alpha_s, \ln \frac{E_s}{Q}\right) + \frac{E_s}{Q} f_1\left(\alpha_s, \ln \frac{E_s}{Q}\right) + \dots \right] + \mathcal{O}\left(\frac{\Lambda}{Q}\right)$$

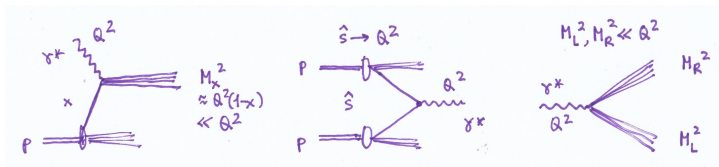
$$Q \gg E_s \gg \Lambda, \text{ “perturbative resummation problem”}, \lambda = \sqrt{E_s/Q}$$

SCET is the natural framework to address the expansion in powers of scale ratios beyond LP.

- Built-in power counting and gauge invariance
- Rules don't change beyond LP

Examples

- Kinematic thresholds of $2 \rightarrow 1 / 1 \rightarrow 2$ processes
SCET_I processes, no LP rapidity divergences



DIS

DY

e^+e^- event shapes

- ▶ Leading power IR logs $\alpha_s^n \left[\frac{\ln^m \tau}{\tau} \right]_+$, $m \leq 2m - 1$ by now standard: LL, NLL, NNLL, N3LL, [Moch, Vermaseren, Vogt; 2005; Becher, Neubert, Xu 2006; Becher, Schwartz, 2008], EEC at N4LL [Duhr, Mistlberger, Vita, 2022]
- ▶ Where there are logs, there are powers, and powers times logs \rightarrow next-to-leading power (NLP) resummation
- ▶ Structure of NLP Logs $[\alpha_s^n \ln^m \tau]$, $m \leq 2n - 1$ not well known
- Many other situations at amplitude or cross section level

All-order NLP-LL series [gg \rightarrow H] [MB, Garny, Jaskiewicz, Szafron, Vernazza, Wang, 1910.12685]

$$\Delta_{\text{NLP}}^{\text{LL}}(z, \mu) = \left[\frac{\beta(\alpha_s(\mu))}{\alpha_s^2(\mu)} \frac{\alpha_s^2(\mu_t)}{\beta(\alpha_s(\mu_t))} \right]^2 C_t^2(m_t, \mu_t) \\ \times \exp [4S^{\text{LL}}(\mu_h, \mu) - 4S^{\text{LL}}(\mu_s, \mu)] \frac{-8C_A}{\beta_0} \ln \frac{\alpha_s(\mu)}{\alpha_s(\mu_s)} \theta(1 - z)$$

$$\mu_t, \mu_h \sim m_H, \mu_s \sim m_H(1 - z)$$

All-order NLP-LL series [gg \rightarrow H] [MB, Garmy, Jaskiewicz, Szafron, Vernazza, Wang, 1910.12685]

$$\Delta_{\text{NLP}}^{\text{LL}}(z, \mu) = \left[\frac{\beta(\alpha_s(\mu))}{\alpha_s^2(\mu)} \frac{\alpha_s^2(\mu_t)}{\beta(\alpha_s(\mu_t))} \right]^2 C_t^2(m_t, \mu_t) \\ \times \exp [4S^{\text{LL}}(\mu_h, \mu) - 4S^{\text{LL}}(\mu_s, \mu)] \frac{-8C_A}{\beta_0} \ln \frac{\alpha_s(\mu)}{\alpha_s(\mu_s)} \theta(1-z)$$

$$\mu_t, \mu_h \sim m_H, \mu_s \sim m_H(1-z)$$

$$\Delta_{\text{NLP}}^{\text{LL}}(z, \mu) = -\theta(1-z) \left\{ 4C_A \frac{\alpha_s}{\pi} [\ln(1-z) - L_\mu] \right. \\ + 8C_A^2 \left(\frac{\alpha_s}{\pi} \right)^2 [\ln^3(1-z) - 3L_\mu \ln^2(1-z) + 2L_\mu^2 \ln(1-z)] \\ + 8C_A^3 \left(\frac{\alpha_s}{\pi} \right)^3 [\ln^5(1-z) - 5L_\mu \ln^4(1-z) + 8L_\mu^2 \ln^3(1-z) - 4L_\mu^3 \ln^2(1-z)] \\ + \frac{16}{3} C_A^4 \left(\frac{\alpha_s}{\pi} \right)^4 [\ln^7(1-z) - 7L_\mu \ln^6(1-z) + 18L_\mu^2 \ln^5(1-z) - 20L_\mu^3 \ln^4(1-z) \\ \left. + 8L_\mu^4 \ln^3(1-z) \right] \\ + \frac{8}{3} C_A^5 \left(\frac{\alpha_s}{\pi} \right)^5 [\ln^9(1-z) - 9L_\mu \ln^8(1-z) + 32L_\mu^2 \ln^7(1-z) - 56L_\mu^3 \ln^6(1-z) \\ \left. + 48L_\mu^4 \ln^5(1-z) - 16L_\mu^5 \ln^4(1-z) \right] \left. \right\} + \mathcal{O}(\alpha_s^6 \times (\log)^{11}) \\ (L_\mu = \ln \frac{\mu}{m_H})$$

$\mathcal{O}(\alpha_s^3)$ agrees with expansion of N3LO FO calculation, $\mathcal{O}(\alpha_s^4)$ with [de Florian et al., 2014] based on “physical kernel conjecture” of Vogt.

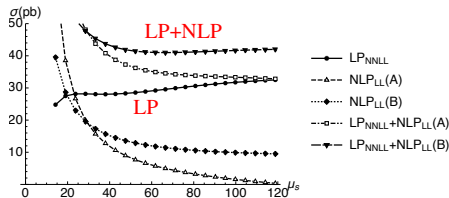
SCET result confirmed by diagrammatic method [Bahjat-Abbas et al., 1905.13710]

NLP numerics for Higgs production

Fixed-order vs threshold resummation at LP-NNLL + NLP-LL

$$\mu_s^{\text{dyn}} = \frac{Q}{\bar{s}_1(\tau)} = 38 \text{ GeV}, \quad \bar{s}_1(\tau) \equiv -e^{\gamma_E} \frac{d \ln \mathcal{L}(y, \mu)}{d \ln y} \Big|_{y=\tau}$$

[Sterman, Zeng, 2013]



- Threshold approximation gives a reasonable approximation – once NLP terms are included.
- Still don't know how to do NLP NLL

σ (pb)	$\mu_s = \mu_s^{\text{dyn}}$	
	$\mu_h^2 = m_H^2$	$\mu_h^2 = -m_H^2$
$\sigma_{\text{LP}}^{\text{NNLL}}$	24.12	28.04
$\sigma_{\text{LP}}^{\text{NNLO}}$	28.93	
$\sigma_{\text{LP}}^{\text{N}^3\text{LO}}$	29.24	
$\sigma_{\text{NLP}}^{\text{LL}} \text{ (A)}$	7.18	12.76
$\sigma_{\text{NLP}}^{\text{LL}} \text{ (B)}$	8.82	15.68
$\sigma_{\text{non LP}}^{\text{NNLO}}$	11.90	
$\sigma_{\text{non LP}}^{\text{N}^3\text{LO}}$	16.27	
$\sigma_{\text{LP}}^{\text{NNLL}} + \sigma_{\text{NLP}}^{\text{LL}} \text{ (A)}$	31.30	40.80
$\sigma_{\text{LP}}^{\text{NNLL}} + \sigma_{\text{NLP}}^{\text{LL}} \text{ (B)}$	32.94	43.72
σ^{NNLO}	40.82	
$\sigma^{\text{N}^3\text{LO}}$	45.52	

Basics of SCET beyond LP

[Simplest case only: SCET_I]

Power counting, modes and fields – SCET_I

Mathematically, the EFT constructs the expansion of an observable in powers and logarithms of the ratio of the IR over the UV scales, e.g.

$$\lambda = \sqrt{\Lambda_{\text{QCD}}/Q} \quad \text{or} \quad \frac{M}{Q}$$

Every object (fields, derivatives, momenta, ...) in the EFT should have a unique scaling with λ .

collinear mode momentum

$$p_c \sim Q(1, \lambda, \lambda^2), p_c^2 \sim Q^2 \lambda^2$$

soft mode momentum

$$p_s \sim Q(\lambda^2, \lambda^2, \lambda^2), p_s^2 \sim Q^2 \lambda^4$$

Light-like reference vectors $n_{\pm}, n_{\pm}^2 = 0,$
 $n_+ \cdot n_- = 2$

$$\begin{aligned} p^\mu &= (n_+ p) \frac{n_-^\mu}{2} + p_\perp^\mu + (n_- p) \frac{n_+^\mu}{2} \\ &= (n_+ p, p_\perp, n_- p) \end{aligned}$$

Need separate fields for collinear and soft modes

$$\text{QCD}[A, \psi] \quad \longrightarrow \quad \text{SCET}[A_c, A_s, \xi_c, q_s]$$

Several collinear directions $n_{i\pm} \rightarrow$ several copies of collinear fields.

SCET Lagrangian at leading power

[Bauer, Fleming, Pirjol, Stewart (2000); in the given “position-space” form MB, Chapovsky, Diehl, Feldmann (2002)]

$$\mathcal{L}_{\text{SCET}}^{(0)} = \sum_{i=1}^N \mathcal{L}_{c_i}^{(0)} + \mathcal{L}_{\text{soft}}$$

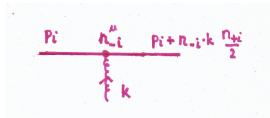
$$\mathcal{L}_c^{(0)}(x) = \bar{\xi} \left(i n_- D_c + g_s n_- A_s(x_-) + i \not{D}_{\perp c} \frac{1}{i n_+ D_c} i \not{D}_{\perp c} \right) \frac{\not{n}_+}{2} \xi + \mathcal{L}_{c, \text{YM}}^{(0)}$$

$$i D_c = i \partial + g_s A_c, \quad x_-^\mu = \frac{1}{2} n_+ \cdot x n_-^\mu$$

- **Non-local**, expected since $n_+ p_c \sim Q$.
- **Only $n_- A_s$ appears**, with eikonal vertex $i g_s n_-^\mu$.
- In soft-collinear interactions, soft fields must be **multipole-expanded** around the classical trajectory $x_-^m u$ to achieve homogeneous λ -scaling [BCDF, 2002]

$$\phi_c^2(x) \phi_s(x) = \phi_c^2(x) \left[\phi_s(x_-) + [x_\perp \partial \phi_{\text{us}}](x_-) + \frac{n_- x}{2} [n_+ \partial \phi_{\text{us}}](x_-) + \frac{1}{2} [x_{\mu\perp} x_{\nu\perp} \partial^\mu \partial^\nu \phi_{\text{us}}](x_-) + \dots \right]$$

Drops small momentum components at vertex and leads to eikonal propagator $1/(p_i + k)^2 \rightarrow 1/(p_i^2 + n_+ p_i n_- k)$



Soft background field and gauge invariance

- Split field $A^\mu = A_c^\mu + A_s^\mu$ and treat soft field as a background field. Given standard background field gauge invariance of collinear fields.

collinear:	$A_c \rightarrow U_c A_c U_c^\dagger + \frac{i}{g} U_c [D_{\text{us}}, U_c^\dagger],$	$\xi \rightarrow U_c \xi,$
	$A_{\text{us}} \rightarrow A_{\text{us}},$	$q \rightarrow q,$
ultrasoft:	$A_c \rightarrow U_{\text{us}} A_c U_{\text{us}}^\dagger,$	$\xi \rightarrow U_{\text{us}} \xi,$
	$A_{\text{us}} \rightarrow U_{\text{us}} A_{\text{us}} U_{\text{us}}^\dagger + \frac{i}{g} U_{\text{us}} [\partial, U_{\text{us}}^\dagger],$	$q \rightarrow U_{\text{us}} q.$

- Not homogeneous in λ . Solution [MB, Feldmann, 2002]: pull back soft gauge trafo to the light-cone x_-^μ with a redefinition of collinear fields:

$$\xi = R \hat{\xi} \quad A_c^\mu = R^\dagger \hat{A}_c R \quad R(x) = \text{P exp} \left(ig \int_{x_-}^x dy_\mu A_s^\mu(y) \right)$$

Soft background field and gauge invariance

- The new fields transform under

collinear:

$$n_+ \hat{A}_c \rightarrow U_c n_+ \hat{A}_c U_c^\dagger + \frac{i}{g} U_c [n_+ \partial, U_c^\dagger], \quad \hat{\xi} \rightarrow U_c \hat{\xi},$$

$$\hat{A}_{\perp c} \rightarrow U_c \hat{A}_{\perp c} U_c^\dagger + \frac{i}{g} U_c [\partial_{\perp}, U_c^\dagger],$$

$$n_- \hat{A}_c \rightarrow U_c n_- \hat{A}_c U_c^\dagger + \frac{i}{g} U_c [n_- D_{\text{us}}(x_-), U_c^\dagger],$$

$$A_{\text{us}} \rightarrow A_{\text{us}}, \quad q \rightarrow q,$$

ultrasoft:

$$\hat{A}_c \rightarrow U_{\text{us}}(x_-) \hat{A}_c U_{\text{us}}^\dagger(x_-), \quad \hat{\xi} \rightarrow U_{\text{us}}(x_-) \hat{\xi},$$

$$A_{\text{us}} \rightarrow U_{\text{us}} A_{\text{us}} U_{\text{us}}^\dagger + \frac{i}{g} U_{\text{us}} [\partial, U_{\text{us}}^\dagger], \quad q \rightarrow U_{\text{us}} q.$$

- Homogeneous in λ .

Collinear fields “see” only the background field $n_- A_s(x_-)$.

Emergent soft gauge symmetry of collinear modes on their classical trajectory. [Key concept for gravity – see Patrick Hager’s talk.]

- Gives exact Lagrangian to all orders in λ .

Wilson lines, gauge invariance and soft decoupling

$$W_c(x) = P \exp \left(ig \int_{-\infty}^0 ds n_+ A_c(x + sn_+) \right) \quad Y(x) = P \exp \left(ig \int_{-\infty}^0 ds n_- A_s(x + sn_-) \right)$$
$$W_c^\dagger in_+ D_c W_c = in_+ \partial \quad Y^\dagger in_- D_s Y = in_- \partial$$

- Introduce collinear-gauge invariant (background field gauge with $n_- A_s(x_-)$ background field) collinear fields

$$\chi \equiv W_c^\dagger \xi, \quad \mathcal{A}_{c\perp}^\mu \equiv W_c^\dagger [iD_{c\perp}^\mu W_c], \quad n_- \mathcal{A}_c \equiv W_c^\dagger [in_- D_{c+s} W_c] - g_s n_- A_s$$

- Soft-decoupling transformation [Bauer, Pirjol, Stewart, 2001]

$$\xi(x) \rightarrow Y(x_-) \xi(x) \quad A_c^\mu(x) \rightarrow Y(x_-) A_c^\mu(x) Y^\dagger(x_-)$$

Wilson lines, gauge invariance and soft decoupling

$$W_c(x) = P \exp \left(ig \int_{-\infty}^0 ds n_+ A_c(x + sn_+) \right) \quad Y(x) = P \exp \left(ig \int_{-\infty}^0 ds n_- A_s(x + sn_-) \right)$$

$$W_c^\dagger in_+ D_c W_c = in_+ \partial \quad Y^\dagger in_- D_s Y = in_- \partial$$

- Introduce collinear-gauge invariant (background field gauge with $n_- A_s(x_-)$ background field) collinear fields

$$\chi \equiv W_c^\dagger \xi, \quad \mathcal{A}_{c\perp}^\mu \equiv W_c^\dagger [iD_{c\perp}^\mu W_c], \quad n_- \mathcal{A}_c \equiv W_c^\dagger [in_- D_{c+s} W_c] - g_s n_- A_s$$

- Soft-decoupling transformation [Bauer, Pirjol, Stewart, 2001]

$$\xi(x) \rightarrow Y(x_-) \xi(x) \quad A_c^\mu(x) \rightarrow Y(x_-) A_c^\mu(x) Y^\dagger(x_-)$$

$$\mathcal{L}_c^{(0)}(x) = \bar{\chi} \left(in_- \partial + n_- \mathcal{A}_c + (i\cancel{\partial}_\perp + \mathcal{A}_{c\perp}) \frac{1}{in_+ \partial} (i\cancel{\partial}_\perp + \mathcal{A}_{c\perp}) \right) \frac{\cancel{\not{1}}_+}{2} \chi + \mathcal{L}_{c, \text{YM}}^{(0)}$$

Collinear and soft interactions decoupled at leading power.

SCET Lagrangian, sub-leading power [MB, Feldmann, 2002]

$$\mathcal{L}_c = \mathcal{L}_c^{(0)} + \mathcal{L}_c^{(1)} + \mathcal{L}_c^{(2)} + \dots$$

$$\mathcal{L}_\xi^{(1)} = \bar{\xi} \left(x_\perp^\mu n_-^\nu W_c g F_{\mu\nu}^s(x_-) W_c^\dagger \right) \frac{\not{n}_+}{2} \xi$$

$$\mathcal{L}_{\xi q}^{(1)} = \bar{q}_s(x_-) W_c^\dagger i \not{D}_{\perp c} \xi - \bar{\xi} i \overleftarrow{\not{D}}_{\perp c} W_c q_s(x_-)$$

$$\begin{aligned} \mathcal{L}_\xi^{(2)} = & \frac{1}{2} \bar{\xi} \left((n-x) n_+^\mu n_-^\nu W_c g F_{\mu\nu}^s W_c^\dagger + x_\perp^\mu x_{\perp\rho} n_-^\nu W_c [D_{us}^\rho, g F_{\mu\nu}^{us}] W_c^\dagger \right) \frac{\not{n}_+}{2} \xi \\ & + \frac{1}{2} \bar{\xi} \left(i \not{D}_{\perp c} \frac{1}{in_+ D_c} x_\perp^\mu \gamma_\perp^\nu W_c g F_{\mu\nu}^{us} W_c^\dagger + x_\perp^\mu \gamma_\perp^\nu W_c g F_{\mu\nu}^{us} W_c^\dagger \frac{1}{in_+ D_c} i \not{D}_{\perp c} \right) \frac{\not{n}_+}{2} \xi \end{aligned}$$

- Soft gauge field appears only in manifestly covariant field strength.
- No purely collinear subleading interactions. At least one soft field in every vertex.
- Can express in terms of gauge-invariant collinear fields χ_c , $\mathcal{A}_{c\perp}$ and gauge-invariant soft fields after the decoupling transformation, $q = Y^\dagger q_s$, $\mathcal{B}^\mu = Y^\dagger [iD_s^\mu Y]$
- NLP needs $\mathcal{O}(\lambda^2)$, i.e. $\mathcal{L}_\xi^{(1)}$ and $\mathcal{L}_\xi^{(2)}$ but only $\mathcal{L}_{\xi q}^{(1)}$.
- Compendium of Feynman rules in [MB, Garry, Szafron, Wang, 1808.04742]

(No-) Renormalization of the SCET Lagrangian [BCDF, 2002]

Integrating out hard modes (“matching”) usually renormalizes the interactions of the effective Lagrangian:

$$\begin{aligned}\mathcal{L}_c &= \mathcal{L}_c^{(0)} + \mathcal{L}_c^{(1)} + \mathcal{L}_c^{(2)} + \dots \\ &= \mathcal{L}_{\text{tree}} \equiv \sum_i \mathcal{O}_i \xrightarrow{\text{matching}} \mathcal{L} = \sum_{i'} C_{i'} \mathcal{O}_{i'}\end{aligned}$$

(No-) Renormalization of the SCET Lagrangian [BCDF, 2002]

Integrating out hard modes (“matching”) usually renormalizes the interactions of the effective Lagrangian:

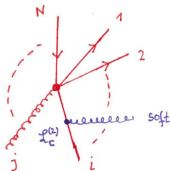
$$\begin{aligned}\mathcal{L}_c &= \mathcal{L}_c^{(0)} + \mathcal{L}_c^{(1)} + \mathcal{L}_c^{(2)} + \dots \\ &= \mathcal{L}_{\text{tree}} \equiv \sum_i \mathcal{O}_i \xrightarrow{\text{matching}} \mathcal{L} = \sum_{i'} C_{i'} \mathcal{O}_{i'}\end{aligned}$$

Hard loops

$$\mu^{2\epsilon} \int d^d \ell I(\ell; p_{ci}, k_{sj}) = \left(\frac{\text{HI}}{\mu^2} \right)^{-\epsilon} \times J(\epsilon) + \dots \stackrel{\text{scaleless}}{=} 0$$

since $\text{HI} = (n_{+p_i}) \frac{n_{-}^{\mu}}{2} \cdot (n_{+p_{i'}}) \frac{n_{-}^{\mu}}{2} = 0$ so the integrals are scaleless.

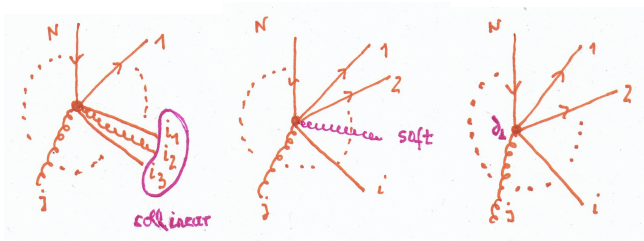
→ **No renormalization, tree Lagrangian is exact**
[background field + field redefs]



not renormalized

Hard sources

Hard sub-processes are represented by N -jet light-ray operators of gauge-invariant quark and gluon “jet” fields, which scale $\mathcal{O}(\lambda)$.



- Soft covariant derivatives on collinear fields can be eliminated, e.g. $[in_- D_s, \mathcal{A}_\perp^\mu]$
No soft fields in NLP operators.
- Collinear building blocks for J_i :

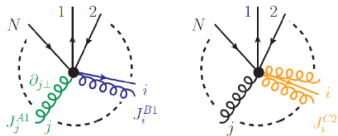
$$\chi_i(t_i n_{i+}) \equiv W_i^\dagger \xi_i, \quad \mathcal{A}_{\perp i}^\mu(t_i n_{i+}) \equiv W_i^\dagger [iD_{\perp i}^\mu W_i]$$

- Operate with $i\partial_{\perp i}^\mu$ on collinear building block or take products of several collinear building blocks in the same collinear sector

General form of the operator:

$$\mathcal{O}(0) = \int \prod_{i=1}^N \prod_{k_i=1}^{n_i} dt_{ik_i} C(\{t_{ik_i}\})$$

$$\times J_s(0) \times \prod_{i=1}^N J_i(t_{i1}, t_{i2}, \dots, t_{in_i})$$

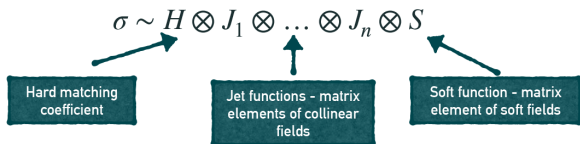


- ▶ Every element collinear gauge *invariant* and soft gauge *covariant*.
- ▶ Notation: $J^{An}, J^{Bn}, J^{Cn}, \dots$
 - A, B, C, \dots refers to 1,2,3, ... fields in a given collinear direction
 - n means $\mathcal{O}(\lambda^n)$ in a given collinear sector relative to A_0
- ▶ At $\mathcal{O}(\lambda^2)$ up to two ∂_{\perp} or up to three fields in one sector. Examples:

$$i\partial_{\perp i} i\partial_{\perp i} \chi_i \text{ (A2)}, \quad \chi(t_{i1}) \partial_{\perp i} \mathcal{A}_{\perp i} i(t_{i2}) \text{ (B2)}, \quad \chi(t_{i1}) \chi(t_{i2}) \chi(t_{i3}) \text{ (C2)}$$

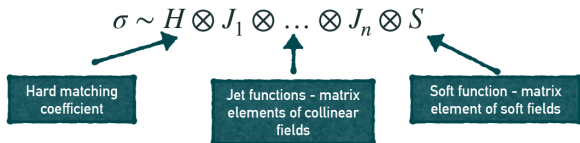
- ▶ $\mathcal{O}(\lambda^2)$ 3-jet operators could then be $J^{(A0)} J^{(A0)} J^{(B2)}, J^{(B1)} J^{(A0)} J^{(A1)}, \dots$

Automatic (naive) all-order factorization



- Expand process in hard sources + time-ordered products with Lagrangian interactions.
- Strict expansion in $\lambda \sim p_\perp/n+p \equiv \sqrt{1-x}, \sqrt{1-z}, \sqrt{\tau}$. NLP is $\mathcal{O}(\lambda^2)$
- Factorize into **single scale** (“homogeneous”) objects, which have **gauge-invariant operator definitions: hard, jet/collinear and soft functions**
- IR logs in QCD are UV logs in SCET. **Resummation is an operator renormalization / mixing + renormalization group problem**

Automatic (naive) all-order factorization



- Expand process in hard sources + time-ordered products with Lagrangian interactions.
- Strict expansion in $\lambda \sim p_\perp/n+p \equiv \sqrt{1-x}, \sqrt{1-z}, \sqrt{\tau}$. NLP is $\mathcal{O}(\lambda^2)$
- Factorize into **single scale** (“homogeneous”) objects, which have **gauge-invariant operator definitions: hard, jet/collinear and soft functions**
- IR logs in QCD are UV logs in SCET. **Resummation is an operator renormalization / mixing + renormalization group problem**

Too naive – rapidity divergences at leading power, virtuality endpoint divergences at sub-leading power, KSZ theorem violation in renormalization, Glauber modes, ... ???

Renormalization of SCET_I operators

[MB, Garry, Szafron, Wang, 1712.04416, 1808.04742, 1907.05463]

Multi-light-cone operators

$$\mathcal{O}(x) = \prod_{i=1}^N \left[\prod_{k=1}^{n_i} \int dt_{i_k} \psi_i(x + t_{i_k} n_{+i}) \right]$$



N non-collinear directions defined by momenta
Overall colour-singlet, but not the separate collinear sectors.

Log structure determined by the UV divergences of collinear and soft loops in SCET [Becher, Neubert, 2009]

$$Z_{\mathcal{O}} \prod_{i=1}^N \sqrt{Z_i} \langle 0 | \mathcal{O}(0) | \mathcal{M}(\{p_i\}) \rangle |_{\mathcal{L}_{\text{SCET}}} \stackrel{!}{=} \text{finite}$$

SCET₁ anomalous dimension determined by UV divergences of collinear *and* soft loops.
Use small off-shellness to regulate IR divs.

General structure of the NLP ADM (at one-loop)

$$\Gamma_{PQ}(x, y) = \delta_{PQ} \delta(x - y) \left[-\gamma_{\text{cusp}}(\alpha_s) \sum_{i < j} \sum_{l, k} \mathbf{T}_{i_k} \cdot \mathbf{T}_{j_l} \ln \left(\frac{-s_{ij} x_{i_k} x_{j_l}}{\mu^2} \right) + \sum_i \sum_k \gamma_{i_k}(\alpha_s) \right] \\ + 2 \sum_i \delta^{[i]}(x - y) \gamma_{PQ}^i(x, y) + 2 \sum_{i < j} \delta(x - y) \gamma_{PQ}^{ij}$$

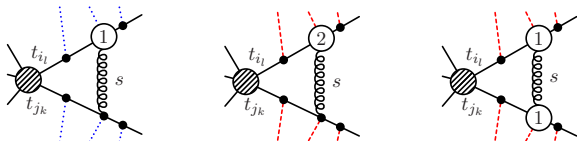
► Operators [$\mathcal{O}(\lambda^2)$]

$$P = J^{(A0, A2)}, J^{(A1, A1)}, J^{(A1, B1)}, J^{(A0, B2)}, J^{(A0, C2)}, J^{(B1, B1)}, \\ T(J^{(A0, A0)}, \mathcal{L}^{(1)}, \mathcal{L}^{(1)}), T(J^{(A0, A0)}, \mathcal{L}^{(2)}), T(J^{(A0, A1)}, \mathcal{L}^{(1)}), T(J^{(A0, B1)}, \mathcal{L}^{(1)})$$

- Collinear contribution depends only on single sectors, but within each sector on x_{i_k} . complicated expressions hidden in collinear term $\gamma_{PQ}^i(x, y)$.
- Soft contributions connect two sectors i, j and have dipole form. Loops with LP soft interaction contribute (only) to the first line. The NLP soft contribution γ_{PQ}^{ij} arises only from mixing of time-ordered products into currents.

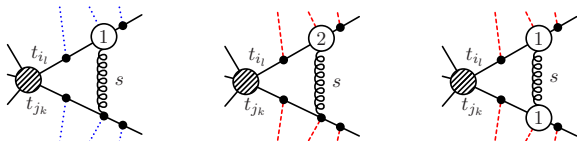
$$\gamma^i = \begin{pmatrix} \gamma_{PQ}^i & 0 \\ 0 & \gamma_{P'Q'}^i \end{pmatrix}, \quad \gamma^{ij} = \begin{pmatrix} 0 & 0 \\ \gamma_{T(P')Q}^{ij} & 0 \end{pmatrix}.$$

Soft time-ordered product mixing



- ▶ Single insertions of $\mathcal{L}^{(1)}$ and $\mathcal{L}^{(2)}$ vanish, in particular **no $\mathcal{O}(\lambda)$ mixing**. Double $\mathcal{L}^{(1)}$ insertion is non-zero.

Soft time-ordered product mixing



- ▶ Single insertions of $\mathcal{L}^{(1)}$ and $\mathcal{L}^{(2)}$ vanish, in particular **no $\mathcal{O}(\lambda)$ mixing**. Double $\mathcal{L}^{(1)}$ insertion is non-zero.
- ▶ Inconsistent with Lorentz-invariance.

Take i, j directions **non-back-to-back** ($p_{\perp i} \neq 0$ but $p_{\perp j} = 0$), QCD cusp logs must be expanded as $s_{ij} = s_{ij}^{(0)} + n_{j+} \cdot p_j n_{j-} \cdot p_{\perp i} + \mathcal{O}(\lambda^2)$

$$\Gamma = -\gamma_{\text{cusp}}(\alpha_s) \sum_{i < j} \mathbf{T}_i \cdot \mathbf{T}_j \left(\ln \frac{s_{ij}^{(0)}}{\mu^2} + \frac{n_{j+} \cdot p_j n_{j-} \cdot p_{\perp i}}{s_{ij}^{(0)}} + \dots \right) \Rightarrow \gamma_{PQ}^{ij} = -\frac{\alpha_s}{\pi} \mathbf{T}_i \cdot \mathbf{T}_j \frac{n_{j-}^{\mu}}{(n_{i-} n_{j-}) P_i}$$

There must be $\mathcal{O}(\lambda)$ mixing into (A1,A0).

- ▶ The counterterm related to this AD is required to reproduce the IR poles of the on-shell QCD amplitude.

Soft time-ordered product mixing at $\mathcal{O}(\lambda)$ revisited

- ▶ Only possibility is the soft loop with one insertion of $\mathcal{L}^{(1)}$.

$$\mathcal{L}_\xi^{(1)} = \bar{\xi} (x_\perp^\mu n^\nu g_s F_{\mu\nu}^s) \frac{\not{n}_+}{2} \xi$$

$$\tilde{\mathcal{L}}_\xi^{(1)} = \bar{\xi} \left(i\not{D}_{\perp c} \frac{1}{in_+ D_c} g A_{\perp us} + g A_{\perp ls} \frac{1}{in_+ D_c} i\not{D}_{\perp c} + [(x_\perp \partial) (gn_- A_s)] \right) \frac{\not{n}_+}{2} \xi$$

The 2nd Lagrangian arises in the direct expansion of the quark Lagrangian. The 1st form is obtained from the 2nd by the field redefinition

$$\xi' = (1 + g_s x_\perp \cdot A_s) \xi$$

- ▶ Alternatively,

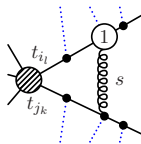
$$\tilde{\mathcal{L}}_\xi^{(1)} = \mathcal{L}_\xi^{(1)} + \Delta\mathcal{L}_{\text{eom}}^{(1)}$$

$$\Delta\mathcal{L}_{\text{eom}}^{(1)} = \bar{\xi} \left[i g_s x_\perp A_s, in_- D + i\not{D}_{\perp c} \frac{1}{in_+ D_c} i\not{D}_{\perp c} \right] \frac{\not{n}_+}{2} \xi.$$

[To avoid dealing with the YM part of the Lagrangian, we assume abelian gauge fields for simplicity.]

Soft time-ordered product mixing at $\mathcal{O}(\lambda)$ revisited

- ▶ Calculate the soft mixing graph with $\tilde{\mathcal{L}}_\xi^{(1)}$
- ▶ Relevant integral is (off-shell IR regularization)



$$\begin{aligned}
 & -i\tilde{\mu}^{2\epsilon} \int \frac{d^d l}{(2\pi)^d} \frac{n_j + p_j}{l^2 (p_i^2 - n_{i+p_i} n_{i-l})^2 (p_j^2 - n_{j+p_j} n_{j-l})} \\
 & \quad \times \left(\underbrace{-[n_{j-p_{\perp i}} n_{i+p_i} n_{-i-l} - n_{i+p_i} n_{i-n_j-p_{\perp i}l}]}_{\text{from } \mathcal{L}_\xi^{(1)} \text{ [gives 0]}} + \underbrace{n_{j-p_{\perp i}} p_i^2}_{\text{from } \Delta \mathcal{L}_{\text{eom}}^{(1)}} \right) \\
 & = \frac{1}{4\pi^2} \frac{n_{j-p_{\perp i}}}{n_{i-n_j-p_{\perp i}} n_{i+p_i}} \left(\frac{\mu^2 s_{ij}^{(0)}}{p_i^2 p_j^2} \right)^\epsilon \frac{1}{\epsilon} \neq 0
 \end{aligned}$$

- ▶ Off-shell term contributes due to p_i^2/p_j^2 .
Introduce a counterterm for mixing of eom operator into a “physical” transverse derivative operator

$$T(J^{(A0)}, \Delta \mathcal{L}_{\text{eom}}^{(1)}) \rightarrow J^{(A1)}$$

Does off-shell Lagrangian mixing into currents make sense?

- (1) Does $\Delta\mathcal{L}_{\text{com}}^{(1)}$ contribute to on-shell amplitudes? – No.

Does off-shell Lagrangian mixing into currents make sense?

- (1) Does $\Delta\mathcal{L}_{\text{com}}^{(1)}$ contribute to on-shell amplitudes? – No.

On-shell soft integrals are scaleless and vanish.

Using LSZ:

$$\lim_{p^2 \rightarrow 0} (p^2)^{-\epsilon} \times \frac{i}{p^2} \times p^2 = 0$$

Must take $p^2 \rightarrow 0$ before $\epsilon \rightarrow 0$.

Does off-shell Lagrangian mixing into currents make sense?

- (1) Does $\Delta\mathcal{L}_{\text{eom}}^{(1)}$ contribute to on-shell amplitudes? – No.

On-shell soft integrals are scaleless and vanish.

Using LSZ:

$$\lim_{p^2 \rightarrow 0} (p^2)^{-\epsilon} \times \frac{i}{p^2} \times p^2 = 0$$

Must take $p^2 \rightarrow 0$ before $\epsilon \rightarrow 0$.

- (2) Violates the **KSZ theorem** [Kluberg-Stern, Zuber, 1975] that eom operators do not mix into “physical operators” (i.e. that don’t vanish by eom), i.e. the block-triangular structure of ADM matrix.

Does off-shell Lagrangian mixing into currents make sense?

- (1) Does $\Delta\mathcal{L}_{\text{eom}}^{(1)}$ contribute to on-shell amplitudes? – No.

On-shell soft integrals are scaleless and vanish.

Using LSZ:

$$\lim_{p^2 \rightarrow 0} (p^2)^{-\epsilon} \times \frac{i}{p^2} \times p^2 = 0$$

Must take $p^2 \rightarrow 0$ before $\epsilon \rightarrow 0$.

- (2) Violates the **KSZ theorem** [Kluberg-Stern, Zuber, 1975] that eom operators do not mix into “physical operators” (i.e. that don’t vanish by eom), i.e. the block-triangular structure of ADM matrix.

True, but the assumptions of the theorem do not hold, because the field redef involves x^μ , not only ∂^μ .

Does off-shell Lagrangian mixing into currents make sense?

- (1) Does $\Delta\mathcal{L}_{\text{eom}}^{(1)}$ contribute to on-shell amplitudes? – No.

On-shell soft integrals are scaleless and vanish.

Using LSZ:

$$\lim_{p^2 \rightarrow 0} (p^2)^{-\epsilon} \times \frac{i}{p^2} \times p^2 = 0$$

Must take $p^2 \rightarrow 0$ before $\epsilon \rightarrow 0$.

- (2) Violates the **KSZ theorem** [Kluberg-Stern, Zuber, 1975] that eom operators do not mix into “physical operators” (i.e. that don’t vanish by eom), i.e. the block-triangular structure of ADM matrix.

True, but the assumptions of the theorem do not hold, because the field redef involves x^μ , not only ∂^μ .

- (3) Uniqueness. We could use $\mathcal{L}_\xi^{(1)} + \text{const.} \times \Delta\mathcal{L}_{\text{eom}}^{(1)}$ and get an arbitrary coefficient for the mixing counterterm

Does off-shell Lagrangian mixing into currents make sense?

- (1) Does $\Delta\mathcal{L}_{\text{eom}}^{(1)}$ contribute to on-shell amplitudes? – No.

On-shell soft integrals are scaleless and vanish.

Using LSZ:

$$\lim_{p^2 \rightarrow 0} (p^2)^{-\epsilon} \times \frac{i}{p^2} \times p^2 = 0$$

Must take $p^2 \rightarrow 0$ before $\epsilon \rightarrow 0$.

- (2) Violates the **KSZ theorem** [Kluberg-Stern, Zuber, 1975] that eom operators do not mix into “physical operators” (i.e. that don’t vanish by eom), i.e. the block-triangular structure of ADM matrix.

True, but the assumptions of the theorem do not hold, because the field redef involves x^μ , not only ∂^μ .

- (3) Uniqueness. We could use $\mathcal{L}_\xi^{(1)} + \text{const.} \times \Delta\mathcal{L}_{\text{eom}}^{(1)}$ and get an arbitrary coefficient for the mixing counterterm

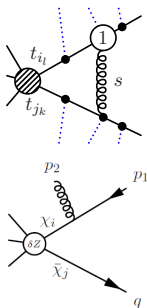
The SCET Lagrangian is not renormalized (Lorentz invariance). Coefficient is uniquely fixed by matching to QCD off-shell.

There is a preferred set of fields for the calculation of the anomalous dimension.

Extra collinear emission and endpoint divergence

► Case-I on-shell amplitude

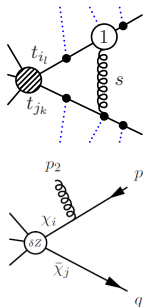
- $\Delta\mathcal{L}_{\text{eom}}^{(1)}$ does not contribute
- The counterterm from $T(J^{(A0)}, \Delta\mathcal{L}_{\text{eom}}^{(1)}) \rightarrow J^{(A1)}$ mixing is needed to renormalize the amplitude.
- IR divergences of the QCD amplitude are correctly reproduced and include a purely collinear contribution from the matrix element of a B1 operator. **This includes the pole part of a divergent convolution.**



Extra collinear emission and endpoint divergence

► Case-I on-shell amplitude

- $\Delta\mathcal{L}_{\text{eom}}^{(1)}$ does not contribute
- The counterterm from $T(J^{(A0)}, \Delta\mathcal{L}_{\text{eom}}^{(1)}) \rightarrow J^{(A1)}$ mixing is needed to renormalize the amplitude.
- IR divergences of the QCD amplitude are correctly reproduced and include a purely collinear contribution from the matrix element of a B1 operator. This includes the pole part of a divergent convolution.



► Case-II off-shell Green function

- In the sum of all soft contributions a non-local pole term $\frac{1}{\epsilon} \times \frac{1}{(p_1 + p_2)^2}$ is left over.
- This cancels with the **endpoint-divergent** collinear contribution from B1 operators.
- Similar cancellations in the presence of an extra collinear emission already occur at leading power, but the dependence on p^2 is logarithmic

Divergent convolutions

- The collinear contribution to the amplitude includes the term

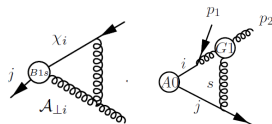
$$\int_0^1 dx C^{(A0, B1)}(x)|_{\text{tree}} \langle \bar{q}(q) q(p_1) g(p_2) | [\bar{\chi}_j \mathcal{A}_{\perp i} \chi_i](x) | 0 \rangle |_{1\text{-loop}}$$

$$\supset \frac{1}{\epsilon} \int_0^1 dx \frac{c}{x} \gamma_{B1}^i(x), J_{B1}^\nu(y) = \text{ill-defined}$$

- If the convolution of coefficient function and bare matrix element is done in d dimensions obtain the **non-local pole**

$$\frac{1}{\epsilon} \frac{1}{(p_1 + p_2)^2},$$

which cancels with the non-local pole in the soft mixing.



- Divergence arises when collinear gluon becomes soft (momentum fraction $x \rightarrow 0$).
- Violation of soft-collinear factorization **already in renormalization**
- Cannot consistently define an anomalous dimension matrix for $J^{A1\mu}$, $J^{B1\mu}$ and J^{T1}

Renormalizing divergent convolutions

$$J^{A1\mu}, J^{B1\mu}, J^{T1} \rightarrow J^{A1\mu}, J_{\text{reg}}^{B1\mu}, \check{J} \equiv J^{T1} + \frac{2n_{j-}^{\mu}}{n_{j-}n_{i-}} J_{\mu}^{B1s}$$

- ▶ Singular operator includes the $1/x$ factor in the **operator** definition, its renormalization implies the convolution in d dimensions.

$$J^{B1s\mu}(t_i, t_j) = \bar{\chi}_j(t_j n_{j+}) \Gamma \left[\frac{1}{in_{i+} \partial} \mathcal{A}_{\perp i}^{\mu}(t_i n_{i+}) \right] \chi_i(t_i n_{i+}).$$

Related by reparameterization invariance to J^{T1} and J^{A0} .

- ▶ Regular operator defined by $+$ -type prescription, which removes the $1/x$ part of the coefficient function in convolutions, making them well-defined.

$$J_{\text{reg}}^{B1\mu}(x) = \lim_{\eta \rightarrow 0^+} \left[\theta(x - \eta) J^{B1\mu}(x) - \eta \delta(x - \eta) \int_{\eta}^1 dz \frac{J^{B1\mu}(z)}{z} \right].$$

- ▶ Consistency requires

$$C^{(A0, B1)}(x) = \frac{c(\alpha_s)}{x} + \text{less singular terms}$$

Checked. ADM can be reconstructed from RPI

[J. Strohm, Master thesis (2020)]

NLP factorization and resummation – DY threshold

[MB, Broggio, Garny, Jaskiewicz, Szafron, Vernazza, Wang, 2018/19]

Soft gluons

Thrust distribution [Moult, Stewart, Vita, Zhu, 2018]

Back-to-back EEC in $N = 4$ SYM

Moult, Vita, Yan, 2019

Drell-Yan threshold [MB, Broggio, Garny, Jaskiewicz, Szafron, Vernazza, Wang, 2018; Bahjat-Abbas et al., 2019]

All leading log, often only double log

All log order claim for diagonal $q\bar{q}$ channel in large- x DIS and DY [Ajjath, Mukherjee, Ravindran, 2020; Ajjath et al. 2021]

Soft gluons

Thrust distribution [Moult, Stewart, Vita, Zhu, 2018]

Back-to-back EEC in $N = 4$ SYM

Moult, Vita, Yan, 2019

Drell-Yan threshold [MB, Broggio, Garny, Jaskiewicz, Szafron, Vernazza, Wang, 2018; Bahjat-Abbas et al., 2019]

All leading log, often only double log

All log order claim for diagonal $q\bar{q}$ channel in large- x DIS and DY [Ajjath, Mukherjee, Ravindran, 2020; Ajjath et al. 2021]

Soft fermions

Off-diagonal qg channel in large- x DIS and for DY threshold [MB, Garny, Jaskiewicz, Szafron, Vernazza, Wang, 2020; van Beekveld, Vernazza, White, 2021]

"Gluon" thrust [Moult et al., 2019; MB, Garny, Jaskiewicz, Szafron, Strohm, Vernazza, Wang, 2022]

Amplitudes:

$H \rightarrow \gamma\gamma$ through light quark loops [Liu, Penin, 2017/18; Liu, Neubert, 2019; Wang, 2019; and NLL Liu, Mecaj, Neubert, Wang, 2020; Anastasiou, Penin, 2020]

μe backscattering [Bell, Böer, Feldmann, 2022]

- Note: soft gluon/quark refers to subleading power interactions. What is resummed to all orders is always gluons.

Drell-Yan production near threshold ($\hat{s} \rightarrow Q^2$)

LP resummation [Sterman, 1987; Catani, Trentadue 1989; Korchemsky, Marchesini, 1993; SCET: Idilbi et al. (2005/06); Becher, Neubert, Xi, 2007]

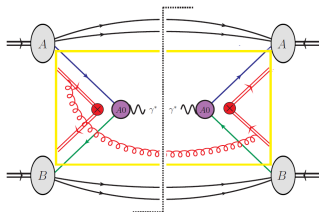
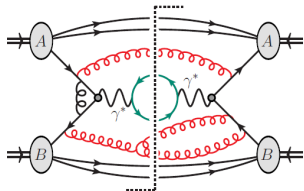
$$\frac{d\sigma_{\text{DY}}}{dQ^2} = \frac{4\pi\alpha_{\text{em}}^2}{3N_c Q^4} \sum_{a,b} \int_0^1 dx_a dx_b f_{a/A}(x_a) f_{b/B}(x_b) z \frac{\hat{\sigma}_{ab}(z)}{z}$$

$$\hat{\sigma}(z) = H(Q^2) Q S_{\text{DY}}(Q(1-z))$$

$$S_{\text{DY}}(\Omega) = \int \frac{dx^0}{4\pi} e^{i\Omega x^0/2} \tilde{S}_0(x^0)$$

$$\tilde{S}_0(x) = \frac{1}{N_c} \text{Tr} \langle 0 | \bar{\mathbf{T}} [Y_+^\dagger(x) Y_-(x)] \mathbf{T} [Y_-^\dagger(0) Y_+(0)] | 0 \rangle$$

- Hard and soft only. No hard-collinear functions.
 - Soft function consists only of Wilson lines – general feature of LP factorization.
- Its RGE sums the large logs of $1-z = Q^2/\hat{s}$.



Drell-Yan production near threshold – NLP

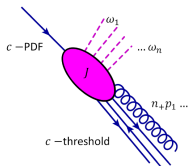
NLP LL resummation [1809.10631] and NLP factorization [1912.01585]

- No subleading power $A1, B1, \dots$ SCET currents. Same hard function as at LP.

Because no energetic radiation into final state.

- **New feature: Hard-collinear functions at amplitude level.**

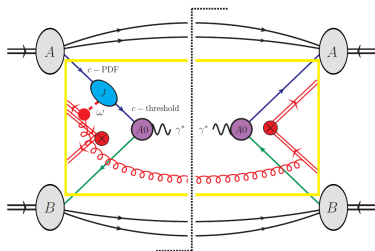
Soft gluons in sub-leading Lagrangian do not decouple. hard-collinear loops no longer scale less, but depend on $n_+ p_n - k$



- **Matching equation:**

$$i \int d^4 z \mathbf{T} \left[\chi_{c,\gamma f}(tn_+) \mathcal{L}^{(2)}(z) \right] = 2\pi \sum_i \int \frac{d\omega}{2\pi} \int \frac{dn_+p}{2\pi} e^{-i(n_+p)t} \int \frac{dn_+p_a}{2\pi} \\ \times J_{i;\gamma\beta,\mu,fbd}(n_+p, n_+p_a; \omega) \hat{\chi}_{c,\beta b}^{\text{PDF}}(n_+p_a) \int dz_- e^{-i\omega z_-} \mathfrak{S}_{i;\mu,d}(z_-),$$

- Generalized soft functions with soft field strength insertion [MB, Campanario, Mannel, Pecjak, 2004]
- Convolutions $J(\omega) \otimes S(\omega)$



$$\begin{aligned}
 \Delta_{\text{NLP}}^{\text{dyn}}(z) &= -\frac{2}{(1-\epsilon)} Q \left[\left(\frac{\not{p}_-}{4} \right) \gamma_{\perp\rho} \left(\frac{\not{p}_+}{4} \right) \gamma_{\perp}^{\rho} \right]_{\beta\gamma} \\
 &\times \int d(n_+p) C^{A0,A0}(n_+p, x_b n_- p_B) C^{*A0,A0}(x_a n_+ p_A, x_b n_- p_B) \\
 &\times \sum_{i=1}^5 \int \{d\omega_j\} J_{i,\gamma\beta}(n_+p, x_a n_+ p_A; \{\omega_j\}) S_i(\Omega; \{\omega_j\}) + \text{h.c.},
 \end{aligned}$$

Validation: One-loop collinear fns [1912.01585] and two-loop soft [Broggio, Jaskiewicz, Vernazza, 2021] reproduce diagrammatic NNLO results and beyond [Bonocore et al., 2016]

Generalized soft fn RGE

NLP factorization formula (leading-log accurate)

$$\hat{\sigma}_{q\bar{q}}^{\text{NLP}}(z) = H^{\text{LP}}(Q^2) QJ(\omega) \otimes_{\omega} S_{2\xi}(Q(1-z); \omega) + \text{h.c.}$$

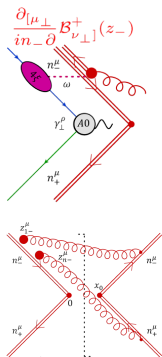
Generalized soft function

$$\begin{aligned} S_{2\xi}(\Omega, \omega) &= \mathbf{FT}_{\{x^0, z_-\}} \frac{1}{N_c} \text{Tr} \langle 0 | \bar{\mathbf{T}} \left[Y_+^\dagger(x^0) Y_-(x) \right] \mathbf{T} \left[Y_-^\dagger(0) Y_+(0) \frac{i\partial_\perp^\nu}{in - \partial} \mathcal{B}_{\nu\perp}^+(z_-) \right] | 0 \rangle \\ &= \frac{\alpha_s C_F}{2\pi} \left\{ \theta(\Omega) \delta(\omega) \left(-\frac{1}{\epsilon} + \ln \frac{\Omega^2}{\mu^2} \right) + \left[\frac{1}{\omega} \right]_+ \theta(\omega) \theta(\Omega - \omega) \right\} \end{aligned}$$

Renormalization group equation involves mixing with

$$\begin{aligned} S_{x_0}(\Omega) &= \int \frac{dx^0}{4\pi} e^{ix^0\Omega/2} \frac{-2i}{x^0 - i\epsilon} \frac{1}{N_c} \text{Tr} \langle 0 | \bar{\mathbf{T}} \left[Y_+^\dagger(x^0) Y_-(x^0) \right] \mathbf{T} \left[Y_-^\dagger(0) Y_+(0) \right] | 0 \rangle \\ \frac{d}{d \ln \mu} \begin{pmatrix} S_{2\xi}(\Omega, \omega) \\ S_{x_0}(\Omega) \end{pmatrix} &= \frac{\alpha_s}{\pi} \begin{pmatrix} 4C_F \ln \frac{\mu}{\mu_s} & -C_F \delta(\omega) \\ 0 & 4C_F \ln \frac{\mu}{\mu_s} \end{pmatrix} \begin{pmatrix} S_{2\xi}(\Omega, \omega) \\ S_{x_0}(\Omega) \end{pmatrix} \end{aligned}$$

↪ leading log resummation



Beyond NLP-LL: divergent convolutions

- ▶ LL at NLP in diagonal channel seem to be simple: no double log in collinear function / no colour charge change of collinear particle

No LL in diagonal DGLAP kernels for $x \rightarrow 1$

Recall: no endpoint divergence in renormalization at for back-to-back particles at $\mathcal{O}(\lambda)$.

Beyond NLP-LL: divergent convolutions

- ▶ LL at NLP in diagonal channel seem to be simple: no double log in collinear function / no colour charge change of collinear particle

No LL in diagonal DGLAP kernels for $x \rightarrow 1$

Recall: no endpoint divergence in renormalization at for back-to-back particles at $\mathcal{O}(\lambda)$.

- ▶ At NLL a convolution in $J \otimes S$ appears, that exists in d dimensions, but does not for $\epsilon \rightarrow 0$.

$$\int_0^\Omega d\omega \underbrace{(n_+ p \omega)^{-\epsilon}}_{\text{collinear piece}} \underbrace{\frac{1}{\omega^{1+\epsilon}} \frac{1}{(\Omega - \omega)^\epsilon}}_{\text{soft piece}}$$

- ▶ Do not have a renormalized factorization theorem for the partonic cross section. Have to refactorize the parton distributions for $x \rightarrow 1$ as well from NLP.

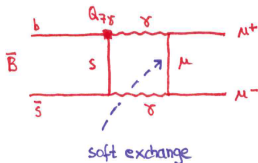
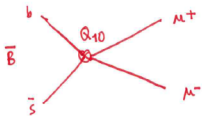
For the off-diagonal parton channels [\rightarrow soft fermions] the problem appears already at NLP LL, while LP is zero \rightarrow study soft fermion emission

Next-to-leading power – soft fermions

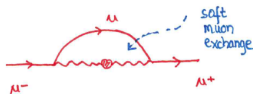
[MB, Garny, Jaskiewicz, Szafron, Vernazza, Wang, 2020;
MB, Garny, Jaskiewicz, Strohm, Szafron, Vernazza, Wang, 2022]

Soft fermion exchange - a curious flavour physics example

$B_s \rightarrow \mu^+ \mu^-$ [MB, Bobeth, Szafron, 1708.09152]



$$C_{10} \quad \text{vs.} \quad C_7^{\text{eff}} \frac{\alpha_{\text{em}}}{\pi} \frac{m_B}{\Lambda_{\text{QCD}}} \ln^2 \frac{m_B \Lambda_{\text{QCD}}}{m_\mu^2}$$



→ soft fermion exchange dominates in a hypothetical world with larger α_{em} and m_B .

Soft fermion exchange leads to a transfer of charge (lepton flavour, electric, colour, ...) and particle identity in the collinear directions.

Causes a new type of Sudakov double logs proportional to the transfer of charge.

“Off-diagonal” channels and soft quarks

- Soft fermion coupling to collinear modes is power-suppressed. Leading interaction

$$\mathcal{L}_{\xi q}^{(1)} = \bar{q}_s W_c^\dagger i \not{D}_{\perp c} \xi_c - \bar{\xi}_c i \overleftarrow{\not{D}}_{\perp c} W_c q_s + \mathcal{O}(\lambda^2)$$

- $1 \rightarrow 2 / 2 \rightarrow 1$ off-diagonal high-energy scattering (threshold)



- Two intriguing observations:

- ▶ Off-diagonal parton splitting kernel [Vogt et al., 2010] is a two-scale object

$$P_{gq}^{LL}(N) = \frac{1}{N} \frac{\alpha_s C_F}{\pi} \mathcal{B}_0(a), \quad a = \frac{\alpha_s}{\pi} (C_F - C_A) \ln^2 N,$$

- ▶ Quark Sudakov exponentiation conjecture for the $\gamma^* \rightarrow g + (q\bar{q})$ amplitude for $s_{q\bar{q}} \ll Q^2$ [Moult et al. 2019] contains

$$\frac{\alpha_s}{4\pi} \ln N \exp\left(-\alpha_s C_F / \pi \ln^2 N\right) \times \frac{e^{-a} - 1}{a}$$

$$q + \phi^* \rightarrow X \text{ DIS for } M_X^2 \ll Q^2$$

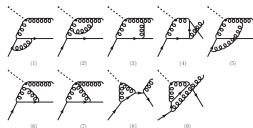
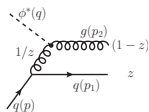
Virtual correction to the structure function

$$W_{\phi,q}|_{q\phi^* \rightarrow qq} = \int_0^1 dz \left(\frac{\mu^2}{s_{qg} z \bar{z}} \right)^\epsilon \mathcal{P}_{qg}(s_{qg}, z) \Big|_{s_{qg}=Q^2 \frac{1-z}{z}}$$

$$\mathcal{P}_{qg}(s_{qg}, z) \Big|_{\text{tree}} = \frac{\alpha_s C_F}{2\pi} \frac{\bar{z}^2}{z} + \mathcal{O}(\epsilon, \lambda^2)$$

Endpoint divergent, gives NLP LL at $\mathcal{O}(\alpha_s)$.

One-loop correction, double pole part (\leftrightarrow NLP LL)



$$\mathcal{P}_{qg}(z)|_{1\text{-loop}} = \mathcal{P}_{qg}(z)|_{\text{tree}} \frac{\alpha_s}{\pi} \frac{1}{\epsilon^2} \left\{ T_1 \cdot T_0 \left(\frac{\mu^2}{zQ^2} \right)^\epsilon + T_2 \cdot T_0 \left(\frac{\mu^2}{zQ^2} \right)^\epsilon + T_1 \cdot T_2 \left[\left(\frac{\mu^2}{Q^2} \right)^\epsilon - \left(\frac{\mu^2}{zQ^2} \right)^\epsilon \right] \right\}$$

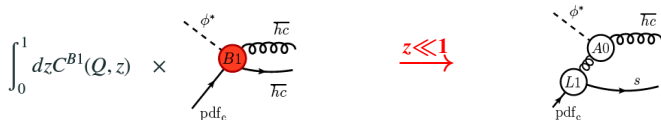
Formally single pole

Promoted to leading pole after integration

$$\frac{1}{\epsilon^2} \int_0^1 dz \frac{1}{z^{1+\epsilon}} (1-z^{-\epsilon}) = -\frac{1}{2\epsilon^3}$$

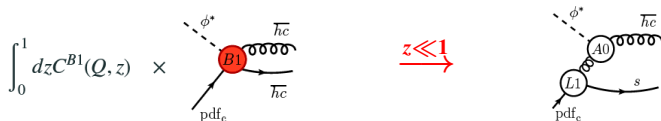
- Expansion in ϵ before integration gives wrong $-1/\epsilon^3$. No leading pole for soft gluon emission.
- Resummation of terms singular as $z \rightarrow 0$ is required to all orders, z^ϵ counts as $\mathcal{O}(1)$
- zQ^2 as an emergent new intermediate scale

z -SCET interpretation and refactorization



For $z \ll 1$ the C^{B1} matching coefficient is a two-scale object and must be resummed. Construct an “auxiliary” z -SCET containing z -soft and z -anti-softcollinear modes.

z -SCET interpretation and refactorization



For $z \ll 1$ the C^{B1} matching coefficient is a two-scale object and must be resummed. Construct an “auxiliary” z -SCET containing z -soft and z -anti-softcollinear modes.

Multi-scale object

$$C^{B1}(Q, z) J^{B1}(z) \xrightarrow{z \rightarrow 0} C^{A0}(Q^2) \int d^4x T \left\{ J^{A0}, \mathcal{L}_{\xi q_z - \bar{\pi}}^{(1)}(x) \right\} = C^{A0}(Q^2) D^{B1}(zQ^2, \mu^2) J_{z-\bar{\pi}}^{B1}$$

Single-scale objects

- D^{B1} appears as a universal coefficient that renormalizes soft quark emission. Its double logarithms are proportional to the change of colour charge of the collinear particles.
- The same coefficient appears in the endpoint factorization theorem for $H \rightarrow gg$ through light-quark loops [Liu, Neubert, Schnubel, Wang, 2022]

Renormalization of the soft-quark emission coefficient

$$\llbracket C_1^{\text{B1}}(Q^2, r) \rrbracket = C^{\text{A0}}(Q^2) \times \frac{D^{\text{B1}}(rQ^2)}{r}$$

The soft-quark limit can be obtained from the limit $r \rightarrow 0$ of the full NLP B1 operator and its RGE:

$$D^{\text{B1}}(p^2) = 1 + \frac{\alpha_s}{4\pi} (C_F - C_A) \left(\frac{2}{\epsilon^2} - 1 - \frac{\pi^2}{6} \right) \left(\frac{\mu^2}{-p^2 - i\epsilon} \right)^\epsilon + \mathcal{O}(\alpha_s^2).$$

$$\frac{d}{d \ln \mu} D^{\text{B1}}(p^2) = \int_0^\infty d\hat{p}^2 \gamma_D(\hat{p}^2, p^2) D^{\text{B1}}(\hat{p}^2),$$

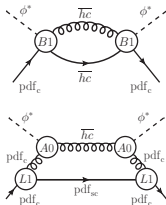
with the asymptotic anomalous dimension

$$\gamma_D(\hat{p}^2, p^2) = \frac{\alpha_s (C_F - C_A)}{\pi} \delta(\hat{p}^2 - p^2) \ln \left(\frac{\mu^2}{-p^2 - i\epsilon} \right)$$

$$+ \underbrace{\frac{\alpha_s}{\pi} \left(\frac{C_A}{2} - C_F \right) p^2 \left[\frac{\theta(\hat{p}^2 - p^2)}{\hat{p}^2(\hat{p}^2 - p^2)} + \frac{\theta(p^2 - \hat{p}^2)}{p^2(p^2 - \hat{p}^2)} \right]}_{\text{relevant to NLL only}}_+$$

Off-diagonal DIS for $x \rightarrow 1$

- | | |
|-------------|---|
| T
H | • Hard, $p^2 = Q^2$ |
| | • Anti-hardcollinear, $p^2 = Q^2 \lambda^2 = Q^2/N$ |
| P
D
F | • Collinear, $p^2 = \Lambda^2$ |
| | • Soft-Collinear, $p^2 = \Lambda^2 \lambda^2 = \Lambda^2/N$ |



Consistency relations: Each region contribution different dependence on N, Q and ϵ . Impose pole cancellation on the general Ansatz.

$$\begin{aligned}
 W_{\phi,q}^{NLP} U_{\phi,q}^{LP} + W_{\phi,g}^{LP} U_{gq}^{NLP} &= W_{\phi,q}^{NLP} \exp \left[-\frac{\alpha_s C_F}{\pi \epsilon^2} \left(\frac{\mu^2}{\Lambda^2} \right)^\epsilon (N^\epsilon - 1) \right] + \exp \left[\frac{\alpha_s C_A}{\pi \epsilon^2} \left(\frac{\mu^2}{Q^2} \right)^\epsilon (N^\epsilon - 1) \right] U_{gq}^{NLP} \\
 &\stackrel{!}{=} \frac{1}{N} \sum_{n=1} \left(\frac{\alpha_s}{4\pi} \right)^n \frac{1}{\epsilon^{2n-1}} \sum_{k=0}^n \sum_{j=0}^n c_{kj}^{(n)} \left(\frac{\mu^{2n} N^j}{Q^{2k} \Lambda^{2(n-k)}} \right)^\epsilon \\
 c_{n1}^{(n)} &= \frac{1}{2} (-4)^n \frac{C_F}{C_F - C_A} \frac{C_F^n - C_A^n}{n!} = \frac{(-4)^n}{2n!} C_F (C_F^{n-1} + C_F^{n-2} C_A + \dots + C_A^{n-1}) .
 \end{aligned}$$

All $c_{kj}^{(n)}$ can be obtained from the all-hard loop region, $c_{n1}^{(n)}$, or the $D^{B1}(p^2)$ coefficient from consistency. Bootstrap the full solution algebraically from the soft quark Sudakov factor.

Off-diagonal DIS – LL DGLAP kernel and coefficient function

$$Z_{gq}^{NLP,LL} = \frac{1}{2N \ln N} \frac{C_F}{C_F - C_A} \exp \left[\frac{\alpha_s C_F}{\pi} \frac{\ln N}{\epsilon} \right]$$

$$F_{\text{pole}}(w, a) \gamma_{gq}^{NLP,LL}(N) = \frac{1}{N} \frac{\alpha_s C_F}{\pi} \left[F_{\text{pole}}(w, a) - w \frac{d}{da} F_{\text{pole}}(w, a) \right] = -\frac{1}{N} \frac{\alpha_s C_F}{\pi} \mathcal{B}_0(a)$$

$$F_{\text{pole}}(w, a) = \sum_{k \geq 1} \frac{1}{w^k} \sum_{n \geq 0} \frac{B_n}{n!(n+k)!} a^{n+k}, \quad \mathcal{B}_0(x) = \sum_{n=0}^{\infty} \frac{B_n}{(n!)^2} x^n \quad \text{and} \quad a = \frac{\alpha_s}{\pi} (C_F - C_A)$$

$$\begin{aligned} \tilde{C}_{\phi,q}^{NLP,LL} \Big|_{\epsilon \rightarrow 0} &= \frac{1}{2N \ln N} \frac{C_F}{C_F - C_A} \left(\mathcal{B}_0(a) \exp \left[C_A \frac{\alpha_s}{\pi} \left(\frac{1}{2} \ln^2 N + \ln N \ln \frac{\mu^2}{Q^2} \right) \right] \right. \\ &\quad \left. - \exp \left[\frac{\alpha_s C_F}{\pi} \left(\frac{1}{2} \ln^2 N + \ln N \ln \frac{\mu^2}{Q^2} \right) \right] \right) \end{aligned}$$

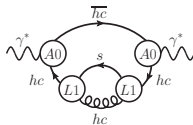
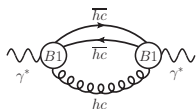
The Bernoulli function arises as a consequence of $\overline{\text{MS}}$ factorization. Proves Vogt's conjecture for the all-order series.

Extensions and remarks

- Used factorization in d dimensions. To apply standard 4D RGE techniques, must perform subtractions and rearrangements between the B1 and $T(A0, \mathcal{L}^{(1)})$ term.

→ J. Strohm's talk on "gluon thrust"

- Same framework applies to thrust in the 2-jet region.
No Bernoulli numbers, since no PDF factorization but final state jets.



- By crossing and reinterpretation of modes, one obtains a result for the NLP LLs in the off-diagonal DY cross section near threshold. Here the soft-quark Sudakov factor applies to the collinear function in the refactorized convolution $J \otimes S$ of the collinear and soft function.
- Provides insight into why for the off-diagonal channel even the splitting function contains double logs. Soft gluon emission does not cause this effect, because the collinear particle before and after emission has the same colour charge.