#### PhD Dissertation

#### Phenomenology of the Sterile Neutrinos

Zahra Khajeh Tabrizi School of particles and accelerators IPM 16 July 2015

#### Secret Interactions of Sterile Neutrinos and MeV-scale gauge boson

O. Peres and Z. Tabrizi arXiv:1507.06486

## Motivation

- $\checkmark$  Neutrino oscillation experiments show evidence for light sterile neutrinos with mass  $\sim$  1 eV.
- ✓ These sterile neutrinos are disfavored by cosmology due to the Big Bang Nucleosynthesis (BBN):

$$\Sigma m_v = 0.23 \text{ eV}, 68\% \text{ C.L.}$$
 Planck, 2015  
 $\Delta N_{eff} = 0.45, 68\% \text{ C.L.}$ 

## Motivation

✓ One solution is assuming the sterile neutrinos are strongly interacting with a new light gauge boson with mass  $\sim$  MeV.

Dasgupta and Kopp, 2014 Hannestad, Hansen and Tram, 2014

$$G_X \equiv \frac{g_X^2}{M_X^2}$$

✓ This gives a significant matter potential to the sterile neutrinos; and therefore, suppresses the active-sterile mixing in the early universe.

$$\sin^2 2\theta_m = \frac{\sin^2 2\theta_0}{(\cos 2\theta_0 + \frac{2E}{\Delta m^2} V_{\text{eff}})^2 + \sin^2 2\theta_0} \qquad \& \qquad |V_{\text{eff}}| \gg |\frac{\Delta m^2}{2E}|_{eff}$$

"Secret Interaction" of sterile neutrinos The sterile neutrinos can have Neutral Current matter potential:



To solve the tension with cosmology,  $\alpha$  must be between  $10^3\text{-}10^4]!!$ 

To find the evolution of neutrinos in the SI model, we need to solve the following equation:

$$\begin{split} i\frac{d}{dr} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} &= \begin{bmatrix} \frac{1}{2E_\nu} U^{(4)} M^2 {U^{(4)}}^\dagger + V^{\mathrm{SI}}(r) \end{bmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} \\ M^2 &= \mathrm{diag} \Big( 0, \Delta \mathrm{m}_{21}^2, \Delta \mathrm{m}_{31}^2, \Delta \mathrm{m}_{41}^2 \Big) \quad V^{\mathrm{SI}}(r) = \sqrt{2}G_F N_e(r) \mathrm{diag} \Big( 1, 0, 0, \frac{1-\alpha}{2} \Big) \end{split}$$

The same evolution equation applies to anti-neutrinos with the replacement  $V^{SI}(r) \rightarrow -V^{SI}(r)$ .

## The probability in the SI model



- The 3-neutrino parameters are fixed to their best fit values.
- ✓ The usual (3+1) model only adds a very fast oscillation on the top of the oscillation induced by ∆m<sup>2</sup><sub>31</sub>.
- ✓ The α≠0 case changes the oscillation probability drastically.
- The resonance induced by the SI model appears in the v<sub>µ</sub> survival probability, due to the negative sign of α in the potential.

## The analysis

We analyze the collected muon and anti-muon neutrino beam data in the MINOS experiment.

The expected number of events in each energy bin:  $N_i^{\text{osc}}(\theta_{23}, \theta_{24}, \Delta m_{31}^2, \Delta m_{41}^2; \alpha)$   $= N_i^{\text{no-osc}} \times \left\langle P_{\text{sur}}(\theta_{23}, \theta_{24}, \Delta m_{31}^2, \Delta m_{41}^2; \alpha) \right\rangle_i$ 

The free parameters are  $\alpha$ ,  $\Delta m_{31}^2$ ,  $\Delta m_{41}^2$ ,  $\theta_{23}$  and  $\theta_{24}$ .

$$\chi^{2}(a, b, \theta_{23}, \theta_{24}, \Delta m_{31}^{2}, \Delta m_{41}^{2}; \alpha) = \sum_{i} \frac{\left[ (1+a)N_{i}^{\text{osc}} + (1+b)N_{i}^{b} - N_{i}^{\text{obs}} \right]^{2}}{(\sigma_{i}^{\text{obs}})^{2}} + \frac{a^{2}}{\sigma_{a}^{2}} + \frac{b^{2}}{\sigma_{b}^{2}} \frac{\alpha \sim 0.1000}{\Delta m^{2}_{31} \sim (10^{-3} - 10^{-1})} e^{V^{2}} \Delta m^{2}_{31} \sim (10^{-3} - 10^{-1}) e^{V^{2}}$$

## Results

We find that values above  $\alpha$ =92.4 are excluded at 2 $\sigma$  C.L., which means it is "unlikely" the sterile neutrinos can have very huge field strength with the new gauge boson.

$$\begin{split} \alpha &= 19.95 \\ \sin^2\theta_{23} &= 0.67 \\ \Delta m_{31}^2 &= 2.43 \times 10^{-3} \ {\rm eV}^2 \\ \sin^2\theta_{24} &= 0.029 \\ \Delta m_{41}^2 &= 4.35 \ {\rm eV}^2 \end{split}$$

## Results



✓ For  $4 \times 10^{-4} \leq g_X \leq 8 \times 10^{-4}$ , which is allowed by  $(g - 2)_{\mu}$  constraint, MINOS excludes  $M_X \leq 10-24$  MeV with 2 $\sigma$ C.L..

✓ There is only a very tiny region in the (M<sub>X</sub>-g<sub>X</sub>)
 plane which is allowed
 by all the experimental
 bounds and cosmology.<sup>10</sup>

We studied the secret interaction of the sterile neutrinos using the beam data of the MINOS experiment.

> We showed that values above  $\alpha$ =92.4 are excluded using the data of the MINOS experiment, where a is the ratio of the neutral current matter potential of the sterile state and the active neutrinos.

onclusions We used the MINOS neutrino experiment to constrain the mass of the light gauge boson through the SI model. We found that for  $4 \times 10^{-4} \leq g_{\chi} \leq 8 \times 10^{-4}$ , which is allowed by  $(g - 2)_{\mu}$ constraint, MINOS excludes  $M_x \approx 10-24$  MeV with  $2\sigma C.L.$ 

We showed that there is only a tiny region in the  $M_x-g_x$  region which is allowed with all experiments and cosmology, that favors the "MeV" gauge bosons.



## Thanks for your attention

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Back up slides

## Neutrinos are everywhere!



 $(330 \nu / \text{cm}^3)$ 

Crust/Mantle

## The oscillation is described by the "PMNS" matrix $\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} \,\overline{\ell_L^i} \gamma^\mu \, \boldsymbol{U_{PMNS}} \, \nu_j W^+_\mu + h.c.$

If neutrinos have mass, and leptons mix, we can have neutrino oscillation:



Neutrino experiments performed in the last 2 decades have proved that such flavor changes actually occur! 16

## PMNS VS CKM

	0.974 0.225	0.0035		0.779	0.510	0.122
$U_{CKM} =$	0.225  0.973	0.041	$U_{PMNS} =$	0.183	0.385	0.613
	0.0086 0.040	0.999		0.200	0.408	0.589



#### Neutrino Oscillation in Vacuum

Survival  

$$probabilitY$$

$$P_{\nu_{\alpha} \to \nu_{\alpha}}(L) = 1 - 4 \sum_{i>j} |U_{\alpha i}|^2 |U_{\alpha j}|^2 \sin^2\left(\frac{\Delta m_{ij}^2 L}{4E}\right)$$

$$\frac{\text{oscillation}}{\text{phase}} \frac{\Delta m_{ij}^2 L}{4E} = 1.27 \left(\frac{\Delta m_{ij}^2}{\text{eV}^2}\right) \left(\frac{L}{\text{km}}\right) \left(\frac{\text{GeV}}{E}\right)$$

#### No information on the mass scale

#### The case of 2 neutrinos

1 mixing angle No CP phase

$$U = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix}$$

1 Mass squared  $\Delta m_{12}^2 \equiv \Delta m^2$ . difference

Transition Probability

survival Probability

$$P_{\nu_{\alpha} \to \nu_{\beta}} \Big|_{\alpha \neq \beta} = \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 L}{4E}\right)$$

$$P_{\nu_{\alpha} \to \nu_{\alpha}} = 1 - P_{\nu_{\alpha} \to \nu_{\beta}} \Big|_{\alpha \neq \beta}$$



## Neutrino oscillation in matter

Charged Current and Neutral Current Potential

$$V_{CC}(r) = \sqrt{2}G_f N_e(r),$$
  
 $V_{NC}(r) = -\frac{\sqrt{2}}{2}G_f N_n(r),$ 

Potential matrix

$$V(r) = egin{pmatrix} V_{CC}(r) + V_{NC}(r) & 0 & 0 \ 0 & V_{NC}(r) & 0 \ 0 & 0 & V_{NC}(r) \end{pmatrix}$$

## MSW effect

$$\Delta m_M^2 = \sqrt{\left(\Delta m^2 \cos 2 heta - A_{
m CC}
ight)^2 + \left(\Delta m^2 \sin 2 heta
ight)^2}$$

#### $A_{\rm CC} = 2E_{\nu}V_{\rm CC}$

Mikhaev, Smirnov and Wolfenstein, 1985

**Resonance** condition

$$A_{\rm CC}^R = \Delta m^2 \cos 2\theta$$

#### Baseline: L<100 m

#### The survival probability:

$$P_{\nu_e(\bar{\nu}_e) \to \nu_e(\bar{\nu}_e)}^{3+1} = 1 - 4|U_{e4}^{(4)}|^2 \left(1 - |U_{e4}^{(4)}|^2\right) \sin^2\left(\frac{\Delta m_{41}^2 L}{4E}\right)$$
$$= 1 - \sin^2 2\theta_{14} \sin^2\left(\frac{\Delta m_{41}^2 L}{4E}\right),$$

$$v_{\mu} (v_{\mu})$$
 disappearance experiments

Baseline: L $\sim$ a few hundred-a few thousand km

#### The survival probability:

$$P^{3+1}_{\nu_{\mu}(\bar{\nu}_{\mu})\to\nu_{\mu}(\bar{\nu}_{\mu})} = 1 - 4|U^{(4)}_{\mu4}|^2 \left(1 - |U^{(4)}_{\mu4}|^2\right)\sin^2\left(\frac{\Delta m^2_{41}L}{4E}\right)$$

 $v_{\mu} \rightarrow v_{e} (v_{\mu}^{-} \rightarrow v_{e}^{-})$  appearance experiments

#### The transition probability:

$$P^{3+1}_{\nu_{\mu}(\bar{\nu}_{\mu})\to\nu_{e}(\bar{\nu}_{e})} = 4|U^{(4)}_{\mu4}|^{2}|U^{(4)}_{e4}|^{2}\sin^{2}\left(\frac{\Delta m^{2}_{41}L}{4E}\right)$$

## Global analysis on sterile neutinos

Kopp, Machado, Maltoni and Schwetz, 2013

 $\begin{array}{rclcrcl} \Delta m_{41}^2 &=& 0.93 \ {\rm eV}^2, & \qquad & \sin^2 \theta_{14} &=& 0.022, \\ |U_{e4}^{(4)}| &=& 0.15, & \qquad & \sin^2 \theta_{24} &\simeq& 0.029, \\ |U_{\mu 4}^{(4)}| &=& 0.17, & \qquad & \sin^2 \theta_{34} &\leq& 0.19. \\ |U_{\tau 4}^{(4)}|^2 &<& 0.2; & \qquad & \end{array}$ 

Probing light sterile neutrinos in medium baseline reactor experiments

## Survival probability in (3+1)<sub>light</sub> model



## Probing Large Extra Dimensions With IceCube



✓ At least 2 extra dimensions are needed:

The mass terms:



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## Smallness of neutrino masse

- $\mathbb{N}_{ij}$ : Yukawa couplings  $V_D$ : The volume of the LED
- v: vacuum expectation value
- M<sub>F</sub>: Fundamental Planck constant
- M<sub>Pl</sub>: observed Planck constant

$$egin{aligned} m_{ij}^D &= rac{\lambda_{ij} v}{\sqrt{V_D}} = h_{ij} rac{M_F}{M_{ ext{Pl}}} v \ && [\lambda_{ij}]_M = -rac{D}{2} \ && h_{ij} = \lambda_{ij} M_F^{D/2} \ && h_{ij} \sim \mathcal{O}(1). \ && rac{M_F}{M_{ ext{pl}}} \simeq 10^{-16} rac{M_F}{1 ext{ TeV}} \end{aligned}$$



## Effective Mixing Angles

In the two-flavor system of "  $\square$   $\square_{\alpha}$  –  $\square$   $\square_{s}^{(p)"}$ 



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## The effective area

The neutrino effective area  $A_{eff}^{v}$  is defined as the equivalent area of the detector for which the probability of the neutrino detection would be 100%. In principle, the concept of the neutrino effective area is used to describe the response of the detector to the flavor, energy and zenith angle distribution of neutrinos.

## "MeV" gauge boson and Secret Interaction of Sterile Neutrinos

## Results



✓ For M ≤ 25, the sensitivity of MINOS to the SI model is almost independent of the value of M.

✓ For  $\square \square ≥ 25$ , we have:  $\square \square ≈ sin^2 \Theta_{24}^{-0.85}$ . This means that the MINOS experiment is sensitive to the larger values of  $\square \square$  for the smaller values of sin<sup>2</sup>  $\Theta_{24}$ .

# On the Viability of Minimal Neutrinophilic 2HD Models

We determine the mass eigenstates defining the following rotations:

$$egin{pmatrix} \phi_1^{-}\ \phi_2^{-} \end{pmatrix} &= - egin{pmatrix} c_eta & -s_eta\ s_eta & c_eta \end{pmatrix} egin{pmatrix} G^{-}\ H^{-} \end{pmatrix} \ egin{pmatrix} \left(\eta_1\ \eta_2 
ight) &= - egin{pmatrix} c_eta & -s_eta\ s_eta & c_eta \end{pmatrix} egin{pmatrix} G^{0}\ A \end{pmatrix} \ egin{pmatrix} \left(\eta_1\ \eta_2 \end{pmatrix} &= - egin{pmatrix} c_eta & -s_eta\ s_eta & c_eta \end{pmatrix} egin{pmatrix} G^{0}\ A \end{pmatrix} \ egin{pmatrix} \left(\rho_1\ \rho_2 \end{pmatrix} &= - egin{pmatrix} c_lpha & -s_lpha\ s_lpha & c_lpha \end{pmatrix} egin{pmatrix} H^{-}\ H^{-} \end{pmatrix}, \end{cases}$$

Where

$$c_{\alpha(\beta)} \equiv \cos \alpha(\beta) \& s_{\alpha(\beta)} \equiv \sin \alpha(\beta)$$

The physical masses in terms of the couplings are:

$$\begin{split} m_{H}^{2} &= M^{2} \sin^{2}(\alpha - \beta) \\ &+ \left(\lambda_{1} \cos^{2} \alpha \cos^{2} \beta + \lambda_{2} \sin^{2} \alpha \sin^{2} \beta + \frac{\lambda_{345}}{2} \sin 2\alpha \sin 2\beta\right) v^{2}, \\ m_{h}^{2} &= M^{2} \cos^{2}(\alpha - \beta) \\ &+ \left(\lambda_{1} \sin^{2} \alpha \cos^{2} \beta + \lambda_{2} \cos^{2} \alpha \sin^{2} \beta - \frac{\lambda_{345}}{2} \sin 2\alpha \sin 2\beta\right) v^{2}, \\ m_{A}^{2} &= M^{2} - \lambda_{5} v^{2}, \\ m_{H^{\pm}}^{2} &= M^{2} - \frac{\lambda_{45}}{2} v^{2}. \end{split}$$

where

$$M^2 \equiv \frac{m_{12}^2}{\sin\beta\cos\beta}$$

The couplings in terms of the physical masses:

$$egin{aligned} \lambda_1 &= rac{1}{v^2} \left( - an^2 eta M^2 + rac{\sin^2 lpha}{\cos^2 eta} m_h^2 + rac{\cos^2 lpha}{\cos^2 eta} m_H^2 
ight), \ \lambda_2 &= rac{1}{v^2} \left( - \cot^2 eta M^2 + rac{\cos^2 lpha}{\sin^2 eta} m_h^2 + rac{\sin^2 lpha}{\sin^2 eta} m_H^2 
ight), \ \lambda_3 &= rac{1}{v^2} \left( -M^2 + 2m_{H^\pm}^2 + rac{\sin(2lpha)}{\sin(2eta)} (m_H^2 - m_h^2) 
ight), \ \lambda_4 &= rac{1}{v^2} \left( M^2 + m_A^2 - 2m_{H^\pm}^2 
ight) \ \lambda_5 &= rac{1}{v^2} \left( M^2 - m_A^2 
ight), \end{aligned}$$

where

$$M^2 \equiv \frac{m_{12}^2}{\sin\beta\cos\beta}$$

### **Theoretical constraints** $\checkmark$ Stability at tree level: $\lambda_{1,2} > 0, \quad \lambda_3 > -(\lambda_1 \lambda_2)^{1/2}$ and $\lambda_3 + \lambda_4 - |\lambda_5| > -(\lambda_1 \lambda_2)^{1/2}$ .

Stationary difference
$$\frac{\lambda_1}{2}v_1^3 + \frac{\lambda_{345}}{2}v_1v_2^2 + m_{11}^2v_1 - m_{12}^2v_2 = 0,$$

$$\frac{\lambda_2}{2}v_2^3 + \frac{\lambda_{345}}{2}v_2v_1^2 + m_{22}^2v_2 - m_{12}^2v_1 = 0,$$
At  $\lambda_{345} \equiv \lambda_3 + \lambda_4 + \lambda_5$ 

✓ To have tree  $|a_{\pm}|, |b_{\pm}|, |c_{\pm}|, |f_{\pm}|, |e_{1,2}|, |f_1|, |p_1| < 8\pi^{\dagger} Y$ :

$$\sqrt{\begin{array}{c} a_{\pm} = \frac{3}{2}(\lambda_{1} + \lambda_{2}) \pm \sqrt{\frac{9}{4}(\lambda_{1} - \lambda_{2})^{2} + (2\lambda_{3} + \lambda_{4})^{2}}, & f_{-} = \lambda_{3} + \lambda_{5}, \\ b_{\pm} = \frac{1}{2}(\lambda_{1} + \lambda_{2}) \pm \frac{1}{2}\sqrt{(\lambda_{1} - \lambda_{2})^{2} + 4\lambda_{4}^{2}}, & e_{1} = \lambda_{3} + 2\lambda_{4} - 3\lambda_{5}, \\ c_{\pm} = \frac{1}{2}(\lambda_{1} + \lambda_{2}) \pm \frac{1}{2}\sqrt{(\lambda_{1} - \lambda_{2})^{2} + 4\lambda_{5}^{2}}, & f_{1} = \lambda_{3} + \lambda_{4}, \\ c_{\pm} = \lambda_{3} + 2\lambda_{4} + 3\lambda_{5}, & f_{1} = \lambda_{3} - \lambda_{4}. \end{array}$$

## SUMMARY

The main goal of this thesis was studying the phenomenology of the sterile neutrinos.

We first studied the light sterile neutrinos with  $\Delta m_{41}^2 \sim (10^{-3} - 10^{-1}) \text{ eV}^2$ , using the data of the medium baseline reactor experiments.

We reproduced the results of these experiments in the 3v framework. Then we performed the 3+1 analysis, first for the Double Chooz experiment and then combining Double Chooz, RENO and Daya Bay. We found that for the case of the Double Chooz experiment, the best-fit value of  $\theta_{13}$  angle is significantly different than the reported value in the experiment, and  $\theta_{13}$ =0 is allowed in less than 10 C.L.. We also showed that the  $(3+1)_{light}$  model isfavored at 2.20 C.L..

After combining the results of Double Chooz with the rate information of RENO and Daya Bay, we found that the  $(3+1)_{light}$  model was favored at 1.2 $\sigma$  C.L.. The value of  $\theta$ 13 angle also became close to the reported value in the 3v framework and so the robustness of the determination of  $\theta$ 13 was claimed.

In the second work we studied models with Large Extra Dimensions (LED). We studied the phenomenological consequences of this picture for the high energy atmospheric neutrinos.

We calculated the probabilities in the LED model in the presence of the matter effects of the earth.

We also found an equivalence between the LED model and a (3 + n) scenario consisting of 3 active and n extra sterile neutrino states.

We used the high energy atmospheric data of the IceCube experiment to perform an analysis on the LED model. We obtained the limits on the LED parameters.

In the third work we studied the secret interaction (SI) of the sterile neutrinos which is proposed to solve the tension between the sterile hypothesis and cosmology.

We studied the probability in the SI model and showed that this model model can change the oscillation probability of neutrinos drastically.

Using the data of the MINOS experiment, we showed that values above Solution = 92.4 are excluded at 20 C.L., which means it is unlikely the sterile neutrinos can have very huge field strength with the new gauge boson.

We also constrained the mass of the light gauge boson using the MINOS neutrino experiments. We showed that for

 $4\times10^{-4} \lesssim g_{\rm X} \lesssim 8\times10^{-4},$ 

 $M_X \approx 10 - 24$  MeV is excluded with  $2\sigma$  C.L..

In addition to the phenomenology of the sterile neutrinos, in this thesis we studied the smallness of neutrino masses using neutrinophilic 2HDMs, in which the vev of the first Higgs doublet is responsible for the masses of the particles in the SM, while the second Higgs doublet is the sole responsible for the masses of neutrinos through its small vev.

We introduced 2 specific symmetries to prevent the neutrinos to couple to the first Higgs Doublet: the model with  $Z_2$  symmetry and the model with a softly broken U(1) symmetry. We found that if there is no additional particle content, the model with  $Z_2$  symmetry will be in severe tension with the electroweak precision tests due to a very light h scalar. Therefore, the neutrinophilic 2HDM with a spontaneously broken  $Z_2$  symmetry is strongly disfavored by data.

The analysis of the model with global U(1) symmetry reveals a region of the parameter space which is allowed by all bounds considered, however this parameter space is considerably constrained by current data. Particularly, the mass of the new charged scalar has to be similar to the mass of the new neutral scalars.