

Revealing the flavor composition of astrophysical neutrinos: interplay of theory and experiment

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Crossroads of neutrino physics

MITP, August 04, 2015



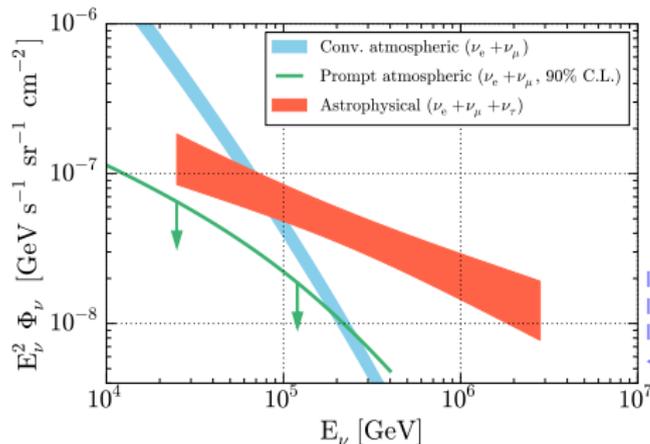
THE OHIO STATE UNIVERSITY



High-energy astrophysical neutrinos: they exist!

The era of neutrino astronomy has begun!

– IceCube has reported 54 events with 30 TeV – 2 PeV in 4 years



IceCube, *PRL* **111**, 021103 (2013)
IceCube, *Science* **342**, 1242856 (2013)
IceCube, *PRL* **113**, 101101 (2014)
◀ IceCube, 1507.03991

Diffuse per-flavor astrophysical flux [IceCube, 1507.03991]:

$$\Phi_\nu = \left(6.7^{+1.1}_{-1.2} \cdot 10^{-18} \right) \left(\frac{E}{100 \text{ TeV}} \right)^{-(2.5 \pm 0.09)} \text{ GeV}^{-1} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$$

What is the proportion of ν_e , ν_μ , ν_τ in the diffuse flux?

Knowing this can reveal two important pieces of information:

- ▶ the physical conditions at the neutrino sources; and
- ▶ whether there is new physics, and of what kind

So it will pay off to explore what to expect from theory

[BARENBOIM, QUIGG, *PRD* **67**, 073024 (2003)]

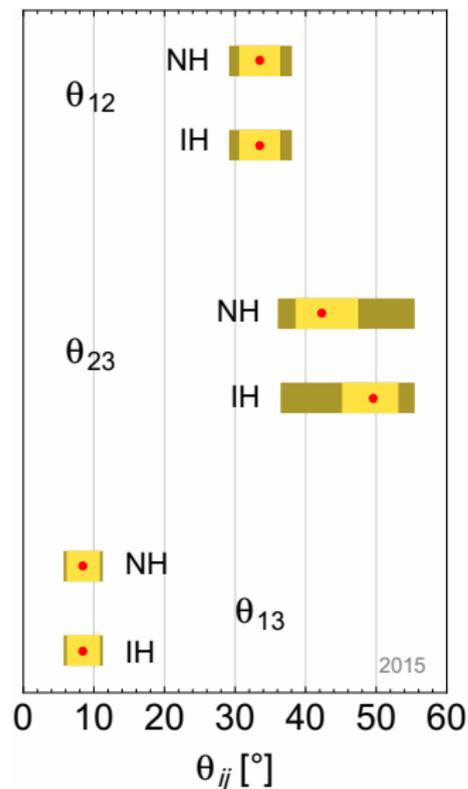
[WINTER, *PRD* **88**, 083007 (2013)]

[MENA, PALOMARES, VINCENT, *PRL* **113**, 091103 (2014)]

[PALOMARES, VINCENT, MENA, *PRD* **91**, 103008 (2015)]

[PALLADINO, PAGLIAROLI, VILLANTE, VISSANI, *PRL* **114**, 171101 (2015)]

Normal vs. inverted mass hierarchy



The neutrino mass hierarchy is unknown:

- ▶ Normal hierarchy (NH): ν_1 is lightest
- ▶ Inverted hierarchy (IH): ν_3 is lightest

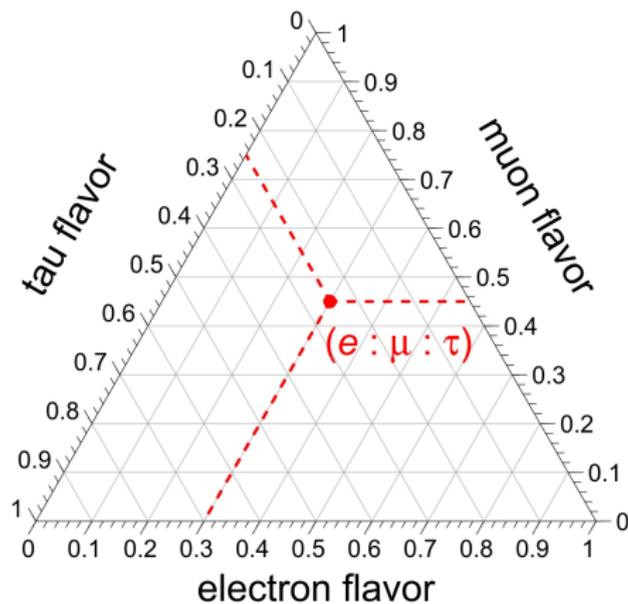
Using latest fits from [GONZÁLEZ-GARCÍA *et al.*, JHEP 1411, 052 \(2014\)](#):

- ▶ θ_{12} and θ_{13} are well-determined
- ▶ Little NH/IH difference for θ_{12} and θ_{13}
- ▶ Large error and NH/IH difference for θ_{23}
- ▶ At 3σ , NH and IH regions are equal

“Flavor triangle” or Dalitz/Mandelstam plot

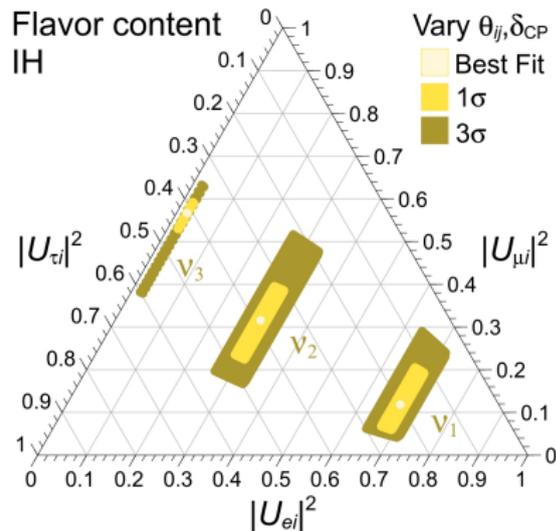
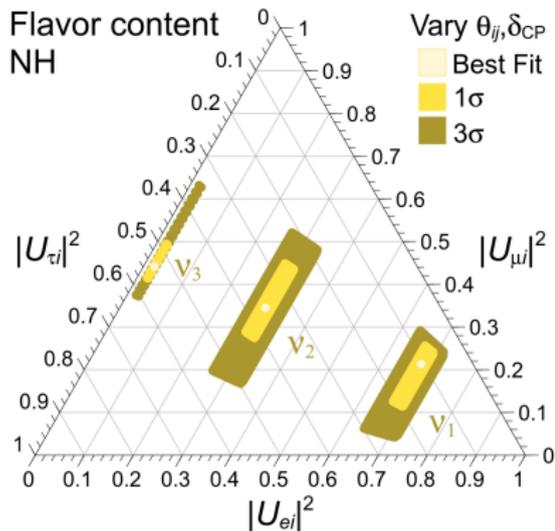
Assumes underlying unitarity: sum of projections on each axis is 1

How to read it: follow the tilt of the tick marks, e.g.,



Flavor content of the mass eigenstates ν_1, ν_2, ν_3

Show the $e, \mu,$ and τ content of the ν_i via ternary plots:



Flavor mixing in high-energy astrophysical neutrinos

Probability of $\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta$ transition:

$$P_{\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta} = \delta_{\alpha\beta} - 4 \sum_{k>j} \text{Re}(J_{\alpha\beta jk}) \sin^2 \left(\frac{\Delta m_{kj}^2 L}{4E} \right) \pm 2 \sum_{k>j} \text{Im}(J_{\alpha\beta jk}) \sin \left(\frac{\Delta m_{kj}^2 L}{2E} \right)$$

- ▶ For $E \sim 1$ PeV and $\Delta m_{kj}^2 \sim 10^{-4} - 10^{-3} \text{ eV}^2$, $L_{\text{osc}} \sim 10^{-10}$ Mpc
- ▶ Therefore, oscillations are very rapid
- ▶ They average out after only a few oscillations lengths:

$$\sin^2(\dots) \rightarrow 1/2, \quad \sin(\dots) \rightarrow 0$$

Hence, for astrophysical neutrinos:

$$P_{\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta} = \sum_{i=1}^3 |U_{\alpha i}|^2 |U_{\beta i}|^2 \quad \blacktriangleleft \text{ incoherent mixture of mass eigenstates}$$

Flavor ratios

- ▶ Neutrino production at the source via pion decay:



- ▶ Flavor ratios at the **source**: $(f_e : f_\mu : f_\tau)_S \approx (1/3 : 2/3 : 0)$
- ▶ At **Earth**, due to flavor mixing:

$$f_{\alpha,\oplus} = \sum_{\beta} P_{\beta\alpha} f_{\beta,S} = \sum_{\beta} \left(\sum_{i=1}^3 |U_{\alpha i}|^2 |U_{\beta i}|^2 \right) f_{\beta,S}$$

$$(1/3 : 2/3 : 0)_S \xrightarrow{\text{flavor mixing, NH, best-fit}} (0.36 : 0.32 : 0.32)_{\oplus}$$

- ▶ Other compositions at the source:

$$(0 : 1 : 0)_S \longrightarrow (0.26 : 0.36 : 0.38)_{\oplus} \text{ (“muon damped”)}$$

$$(1 : 0 : 0)_S \longrightarrow (0.55 : 0.26 : 0.19)_{\oplus} \text{ (“neutron decay”)}$$

$$(1/2 : 1/2 : 0)_S \longrightarrow (0.40 : 0.31 : 0.29)_{\oplus} \text{ (“charmed decays”)}$$

How can IceCube identify flavor?

Below $E_\nu \sim 5$ PeV, there are two event topologies:

- ▶ **Showers:** generated by CC ν_e or ν_τ ; or by NC ν_X
- ▶ **Muon tracks:** generated by CC ν_μ

(Some muon tracks can be mis-reconstructed as showers)

At $\gtrsim 5$ PeV (**no events so far**), all of the above, plus:

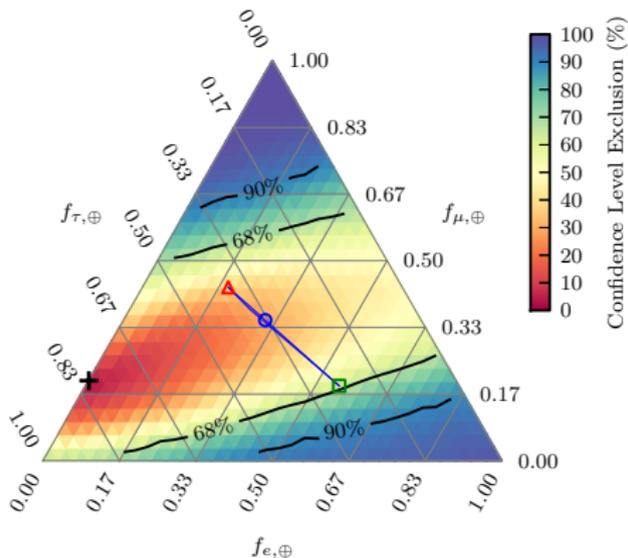
- ▶ **Glashow resonance:** CC $\bar{\nu}_e e$ interactions at 6.3 PeV
- ▶ **Double bangs:** CC $\nu_\tau \rightarrow \tau \rightarrow \nu_\tau$

Flavor ratios must be inferred from the number of showers and tracks

Two IceCube analyses of flavor composition

Using contained events only

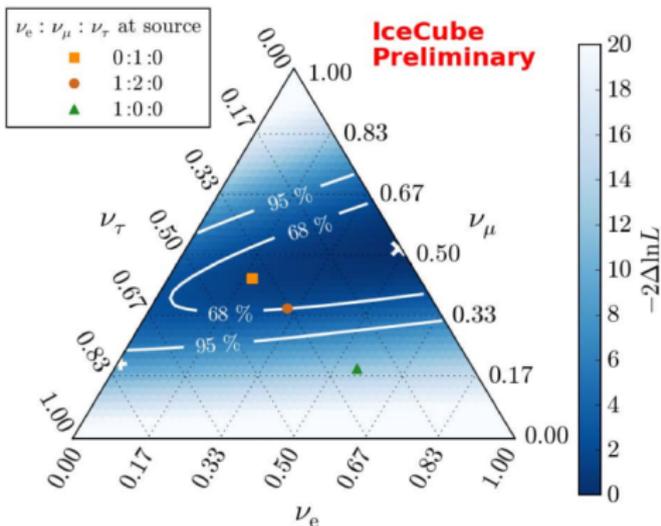
[ICECUBE COLL., PRL 114, 171102 (2015)]



Best fit: $(0 : 0.2 : 0.8)_{\oplus}$

Using contained events + throughgoing muons

[ICECUBE COLL., 1507.03991]

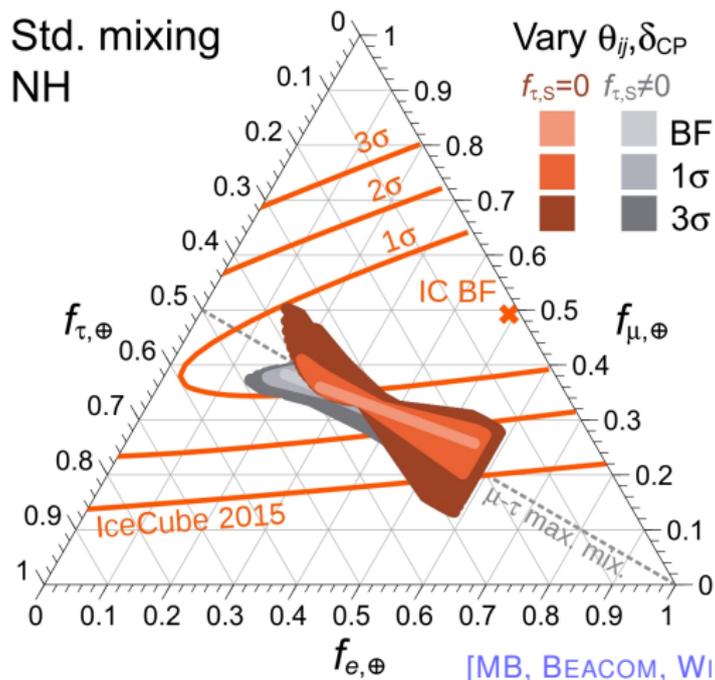


Best fit: $(0.49 : 0.51 : 0)_{\oplus}$

- ▶ Compatible with standard source compositions
- ▶ Bounds are weak – need more data and better flavor-tagging

Flavor combinations at Earth from std. mixing

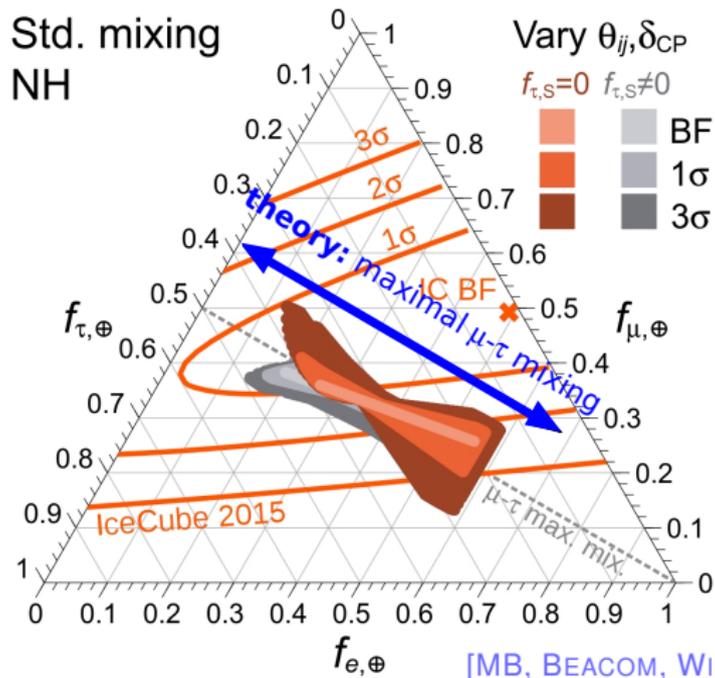
Assume unconstrained flavor composition at source (with and w/o ν_τ):



Std. mixing can access *only* $\sim 10\%$ of the possible combinations

Flavor combinations at Earth from std. mixing

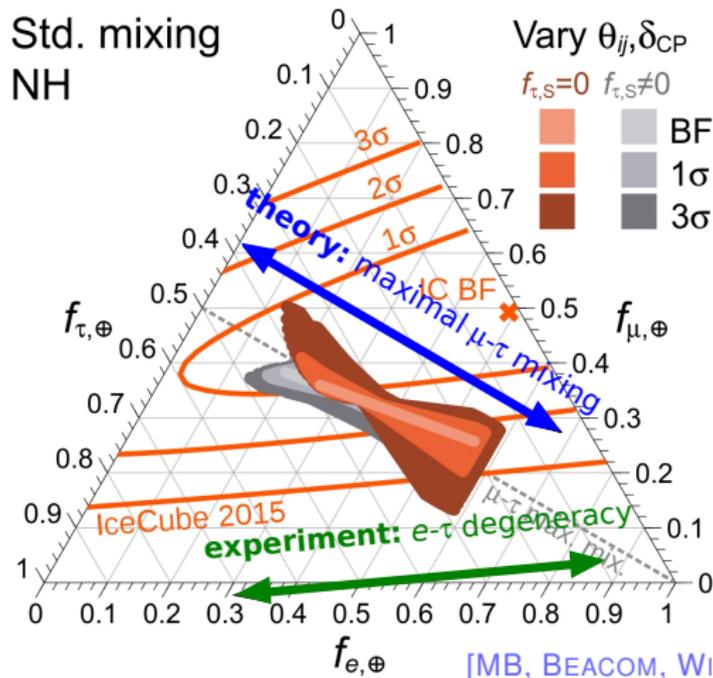
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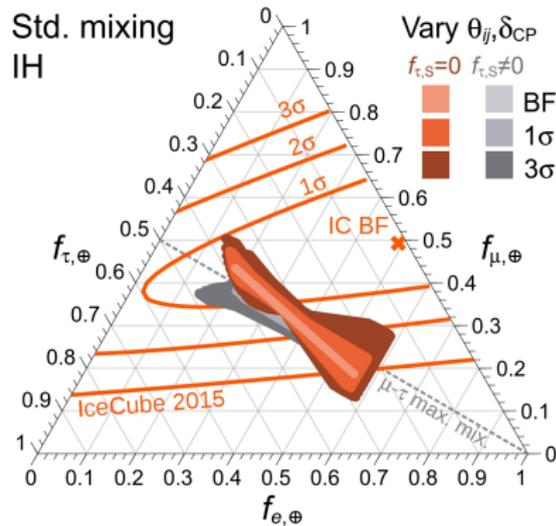
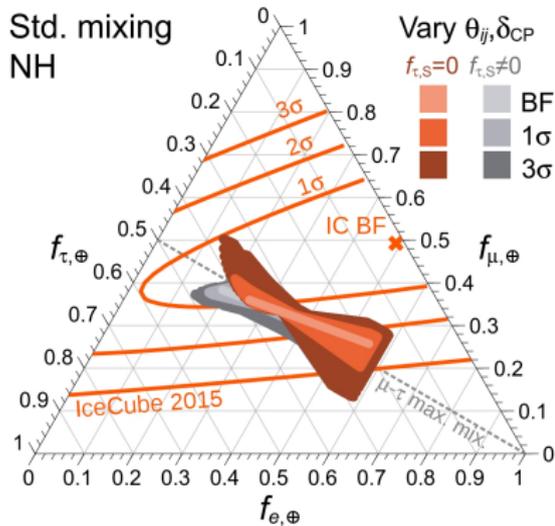
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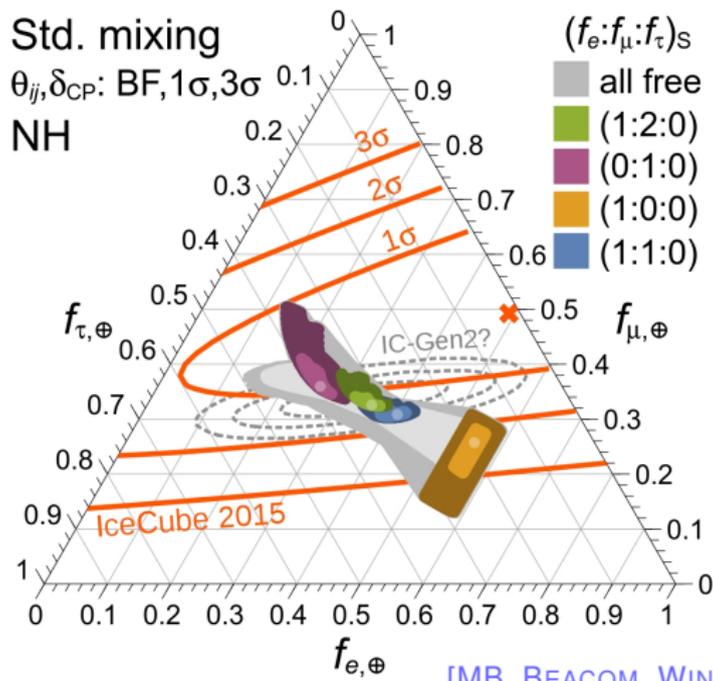
Flavor combinations from flavor mixing: NH vs. IH



[MB, BEACON, WINTER, 1506.02645]

Selected source compositions

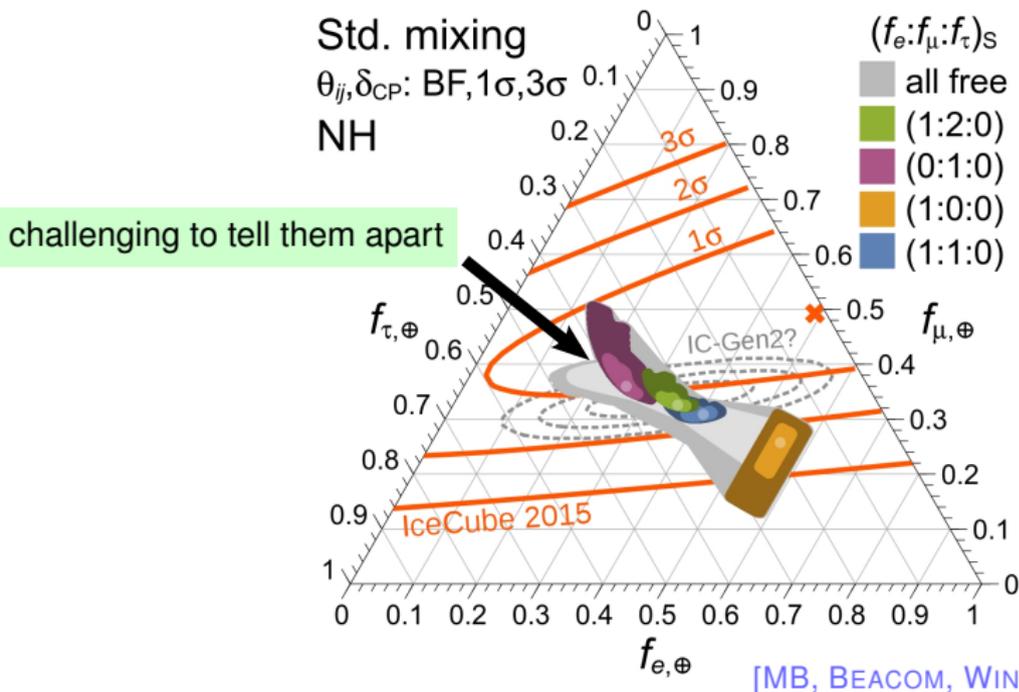
We can look at results for particular choices of ratios at the source:



[MB, BEACOM, WINTER, 1506.02645]

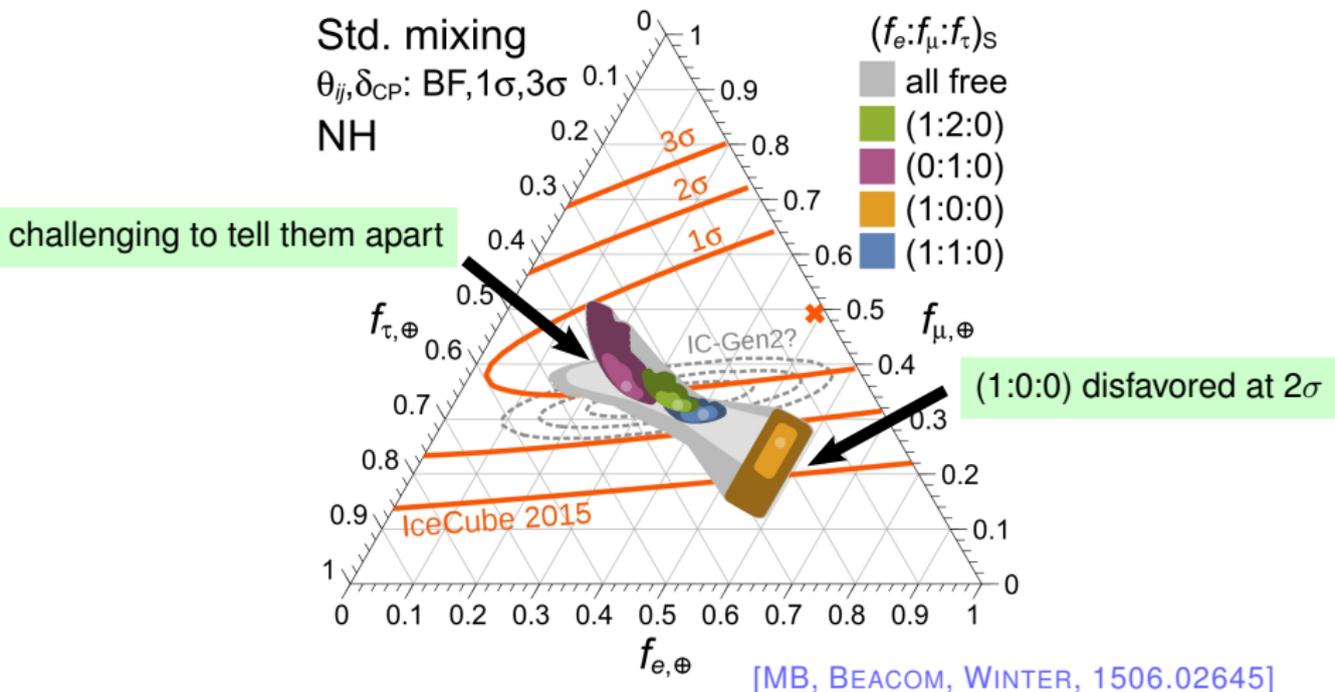
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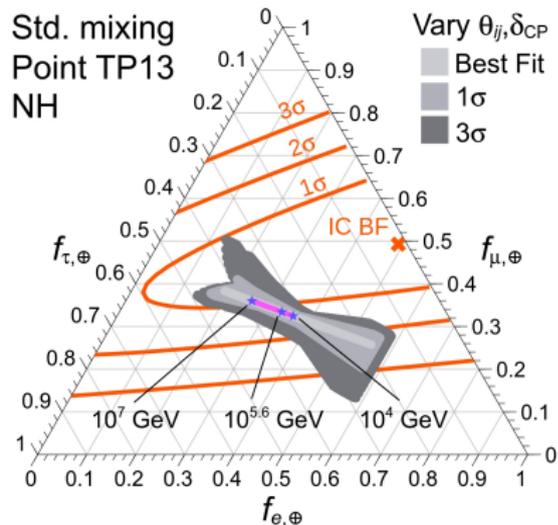
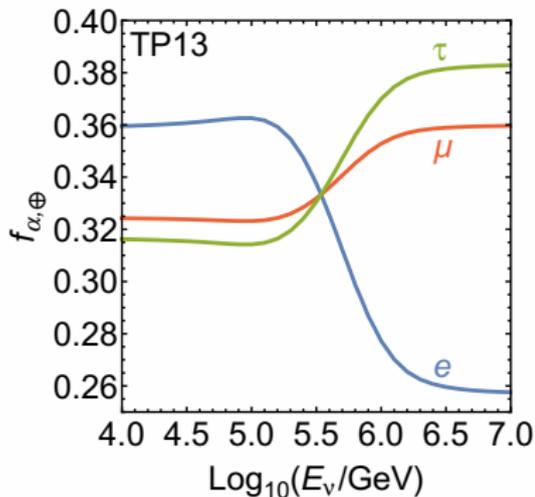
Selected source compositions

We can look at results for particular choices of ratios at the source:



Energy dependence of the composition at the source

Different ν production channels are accessible at different energies



[MB, BEACOM, WINTER, 1506.02645]

- ▶ TP13: $p\gamma$ model, target photons from co-accelerated electrons
[HÜMMER *et al.*, *Astropart. Phys.* **34**, 205 (2010)]
- ▶ Equivalent to different sources types contributing to the diffuse flux
- ▶ Will be difficult to resolve
[KASHTI, WAXMAN, *PRL* **95**, 181101 (2005)] [LIPARI, LUSIGNOLI, MELONI, *PRD* **75**, 123005 (2007)]

New physics: effect on the flavor composition

- ▶ New physics in the neutrino sector could affect the
 - ▶ production; and/or
 - ▶ propagation; and/or
 - ▶ detection
- ▶ **Detection**: probe NP in the ν interaction length via the angular dependence of the flux [MARFATIA, MCKAY, WEILER, 1502.06337]
- ▶ NP at **production** and **propagation** could modify the incoherent mixture of ν_1, ν_2, ν_3
- ▶ Example: neutrino decay ▶

[BARENBOIM, QUIGG, *PRD* **67**, 073024 (2003)]

[BEACOM, BELL, HOOPER, PAKVASA, WEILER, *PRL* **90**, 181301 (2003)]

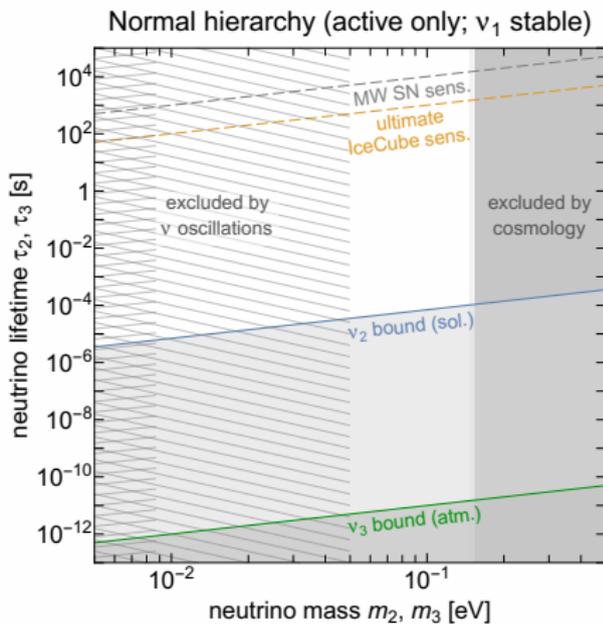
[MALTONI, WINTER, *JHEP* **07**, 064 (2008)]

[BAERWALD, MB, WINTER, *JCAP* **1210**, 020 (2012)]

[PAGLIAROLI, PALLADINO, VISSANI, VILLANTE 1506.02624]

Neutrino decay

- ▶ **SM:** ν lifetimes are $> 10^{36}$ yr
- ▶ Via new-physics decay modes, they could be shorter
- ▶ Consider two possibilities:
 - ▶ **NH:** $\nu_2, \nu_3 \rightarrow \nu_1$
 - ▶ **IH:** $\nu_1, \nu_2 \rightarrow \nu_3$
- ▶ There are experimental bounds on the lifetime τ_i/m_i



[MB, BEACOM, MURASE, IN PREP.]

Decay: effect on flavor ratios

$$f_{\alpha,\oplus} \left(E_0, z, \kappa_j^{-1} \right) = |U_{\alpha l}|^2 + \sum_{j \neq l} \left(|U_{\alpha j}|^2 - |U_{\alpha l}|^2 \right) f_{j,S} D \left(E_0, z, \kappa_j^{-1} \right)$$

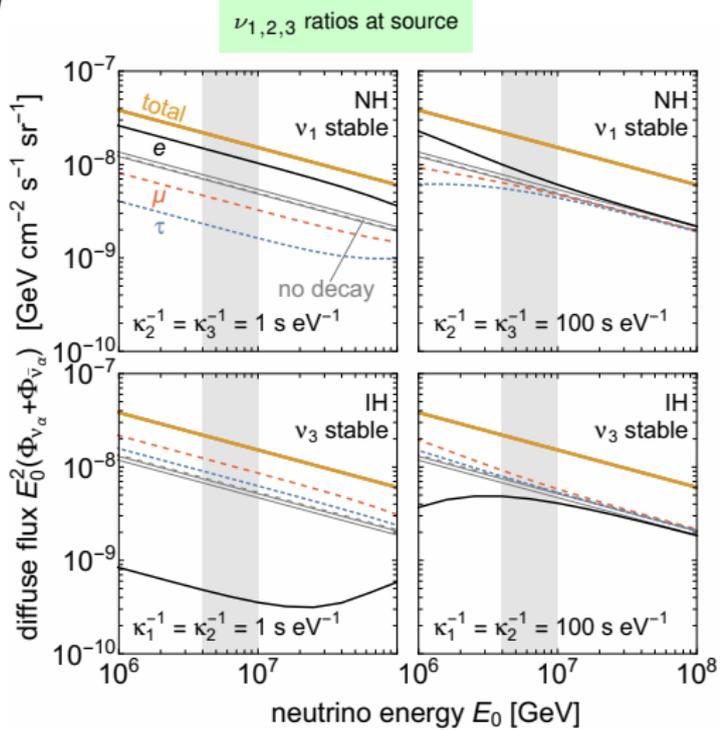
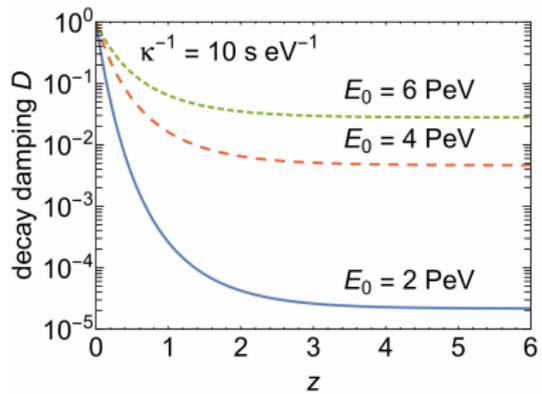
l = 1 (NH), 3 (IH)
ν_{1,2,3} ratios at source

- ▶ Damping due to decay:

$$0 < D < 1$$

- ▶ Complete decay:

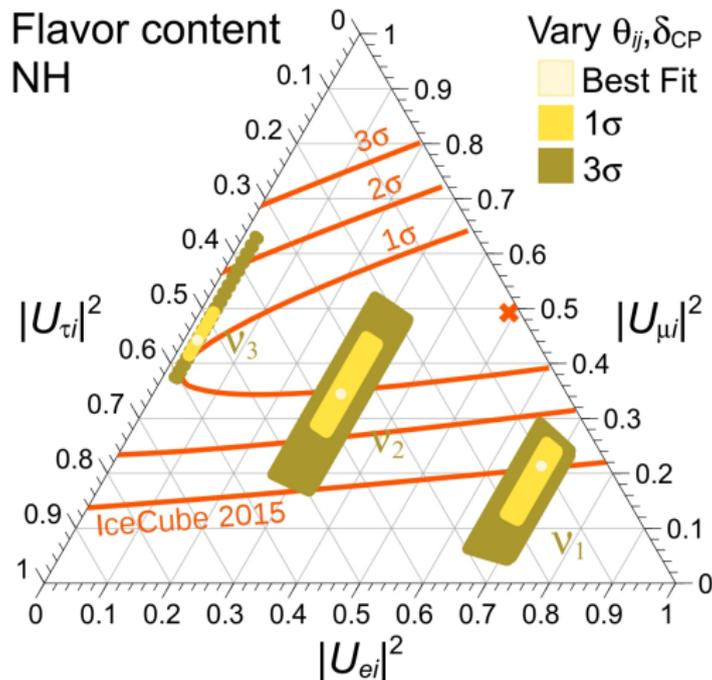
$$D \rightarrow 0 \Rightarrow f_{\alpha,\oplus} = |U_{\alpha l}|^2$$



[MB, BEACOM, MURASE, IN PREP.]

Decay: using the flavor ratios

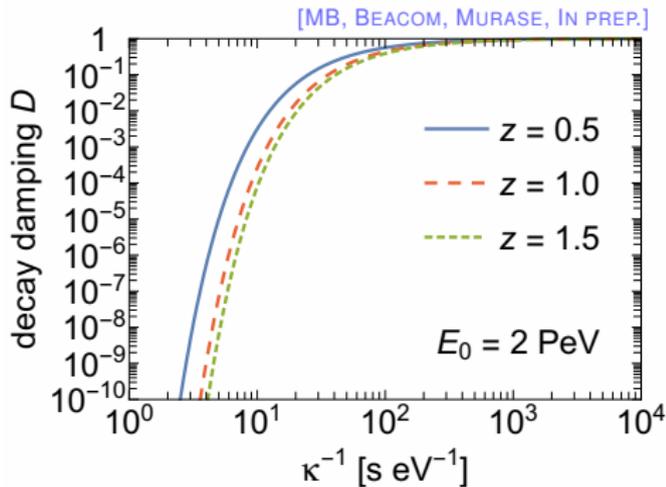
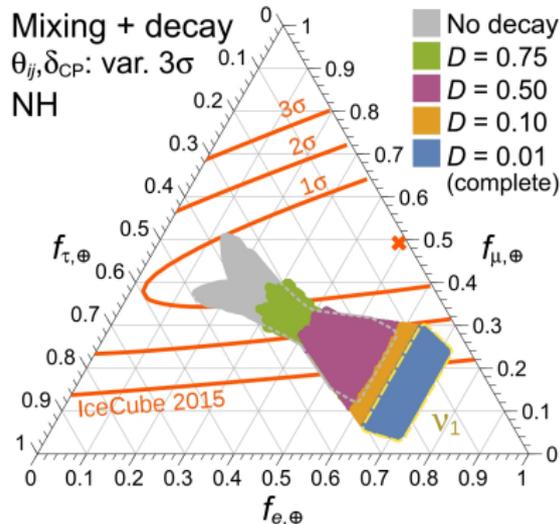
Flavor ratios are currently more sensitive to complete decay in the NH than in the IH:



Decay: lifetime bounds with **current** IceCube data

Flavor ratios with decay in the NH ($\nu_2, \nu_3 \rightarrow \nu_1$):

$$f_{\alpha,\oplus} \left(E_0, z, \kappa_j^{-1} \right) = |U_{\alpha 1}|^2 + \sum_{j=2,3} \left(|U_{\alpha j}|^2 - |U_{\alpha 1}|^2 \right) f_{j,S} D \left(E_0, z, \kappa_j^{-1} \right)$$



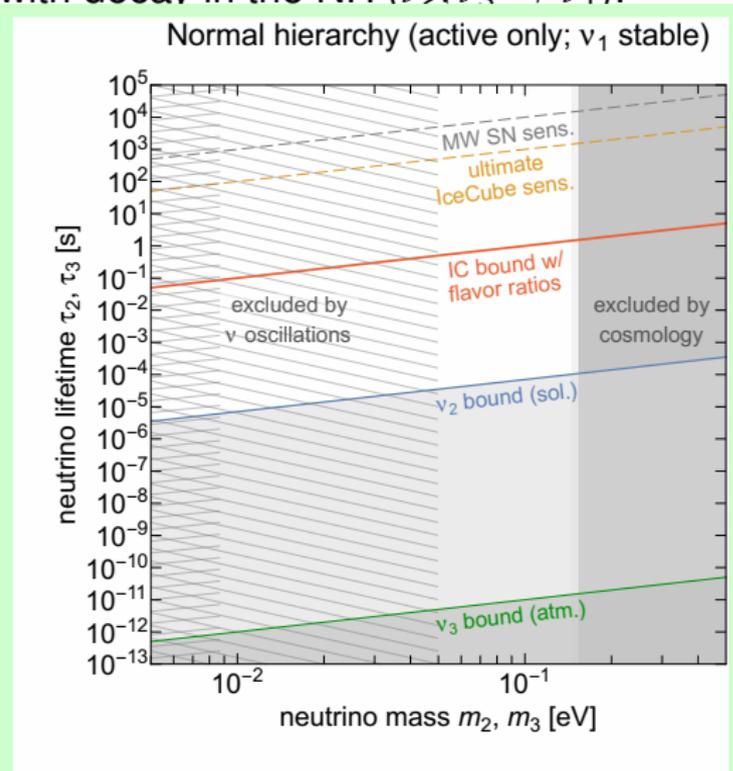
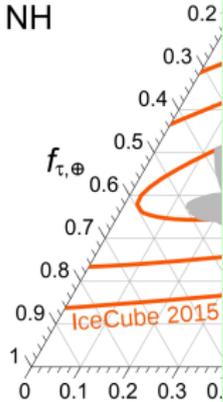
$D \lesssim 0.01$ implies a bound of $\kappa_{2,3}^{-1} \gtrsim 10 \text{ s eV}^{-1}$ at $\gtrsim 2\sigma$

Decay: lifetime bounds with **current** IceCube data

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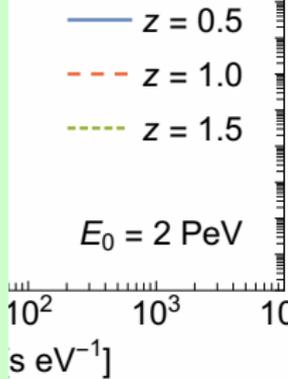
$$f_{\alpha, \oplus} (E_0, z, \tau_j)$$

Mixing + decay
 θ_{ij}, δ_{CP} : var. 3σ
 NH



$$D(E_0, z, \kappa_j^{-1})$$

ICECOM, MURASE, IN PREP.]



$D \lesssim 0.1$ implies a bound of $\tau_{2,3} \sim 10^3 \text{ s}$ at $\gtrsim 2\sigma$

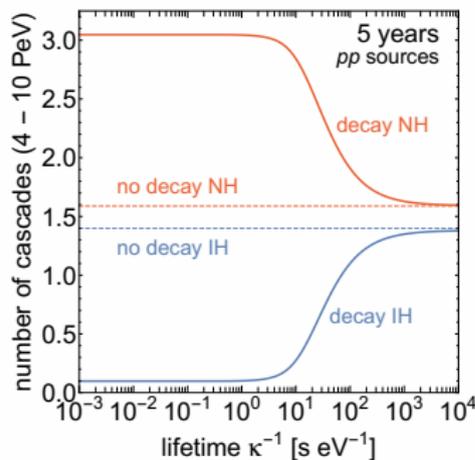
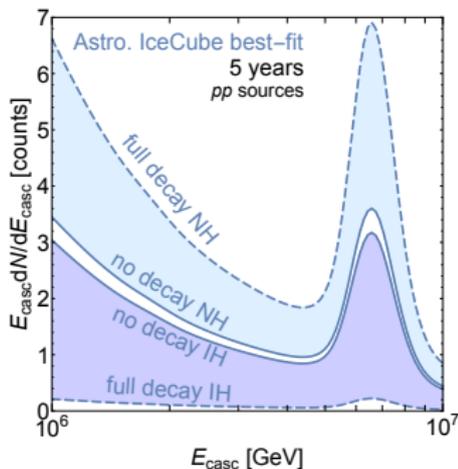
Decay: cascade rate probes the IH

- ▶ Around 6.3 PeV, the Glashow resonance is accessible:

$$\bar{\nu}_e + e \rightarrow W \rightarrow \text{hadronic shower (BR} = 67\%)$$

- ▶ Three scenarios:

- ▶ **Neutrinos are stable:** we see the GR as a bump in the cascade rate
- ▶ **Neutrinos decay in the NH:** the bump is larger ($|U_{e1}|^2$ is large)
- ▶ **Neutrinos decay in the IH:** no or almost no cascades ($|U_{e3}|^2$ is tiny)



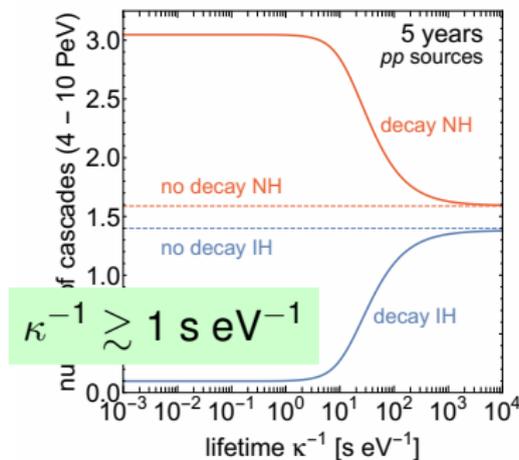
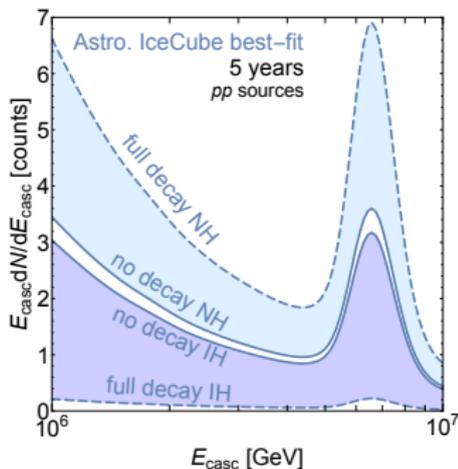
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[MB, BEACOM, MURASE, IN PREP.]

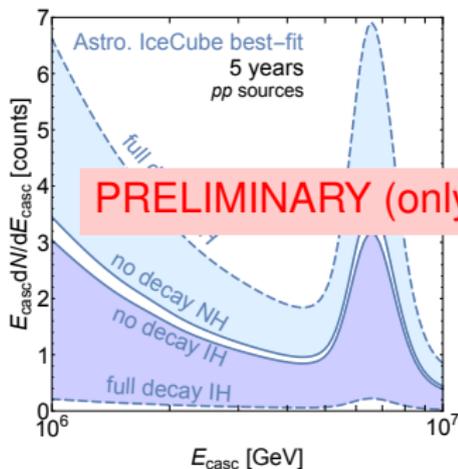
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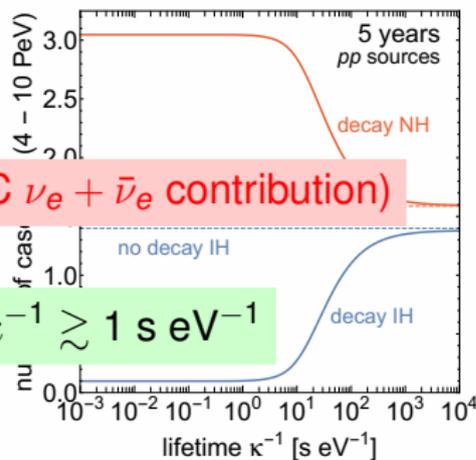


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PRELIMINARY (only CC $\nu_e + \bar{\nu}_e$ contribution)



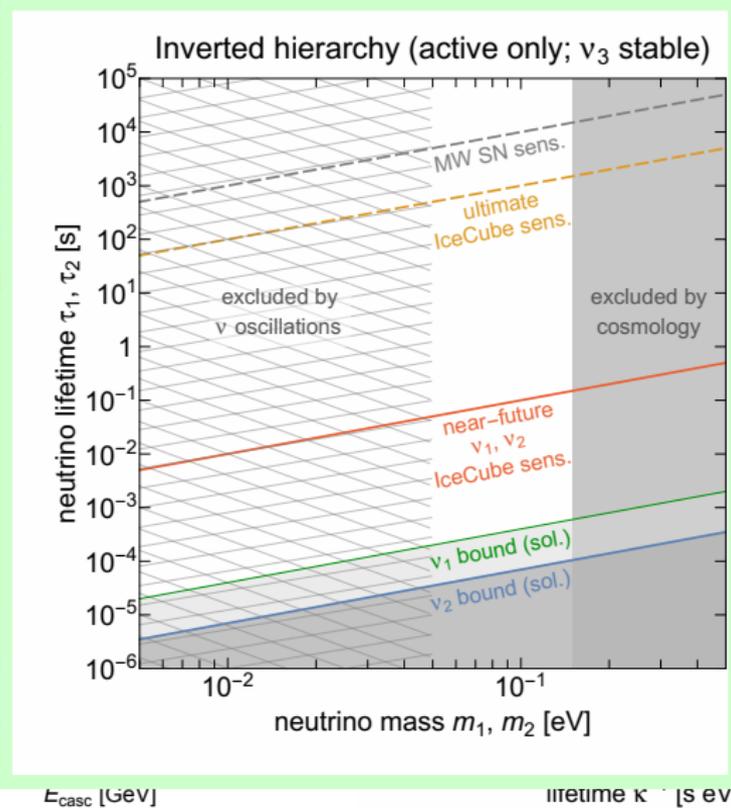
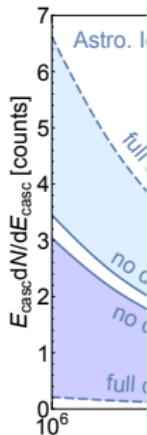
[MB, BEACOM, MURASE, IN PREP.]

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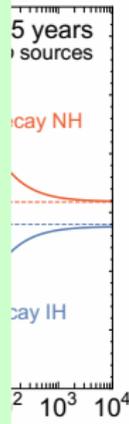
- ▶ Three scenarios

- ▶ Neutrino
- ▶ Neutrino
- ▶ Neutrino



7%)

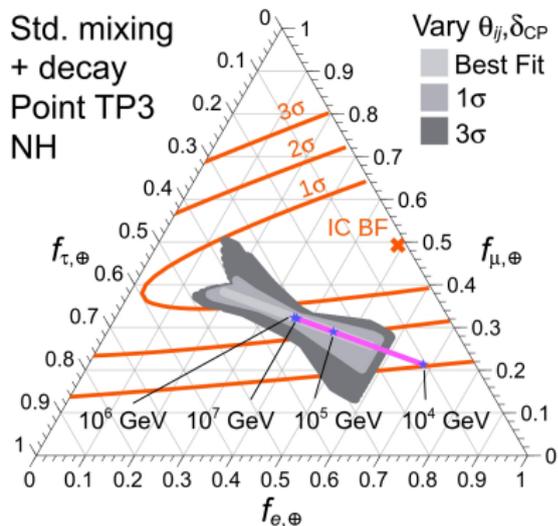
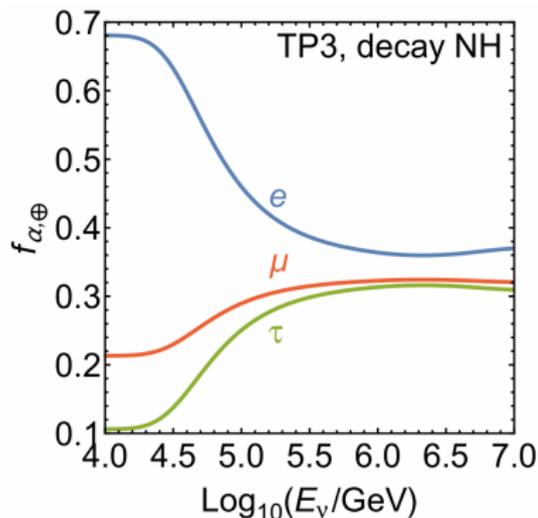
the cascade rate τ^2 is large) $(|U_{e3}|^2$ is tiny)



[MB, BEACOM, MURASE, IN PREP.]

Decay: seeing the energy dependence?

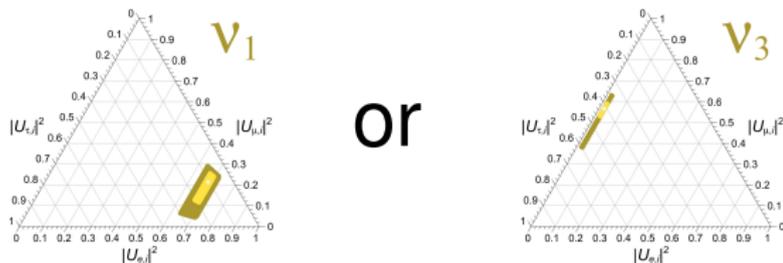
- ▶ The effect of decay shows up at low energies
- ▶ *e.g.*, for a model of AGN cores [HÜMMER *et al.*, *Astropart. Phys.* **34**, 205 (2010)],



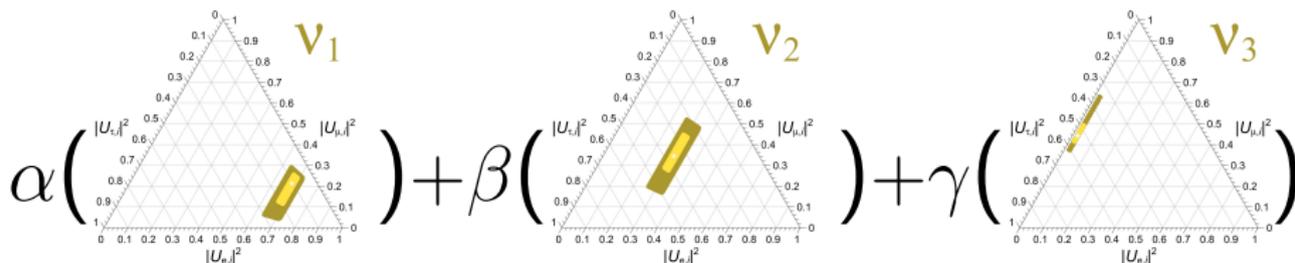
[MB, BEACOM, WINTER, 1506.02645]

Decay: complete vs. incomplete

- ▶ **Complete decay:** only ν_1 (ν_3) reach Earth assuming NH (IH)

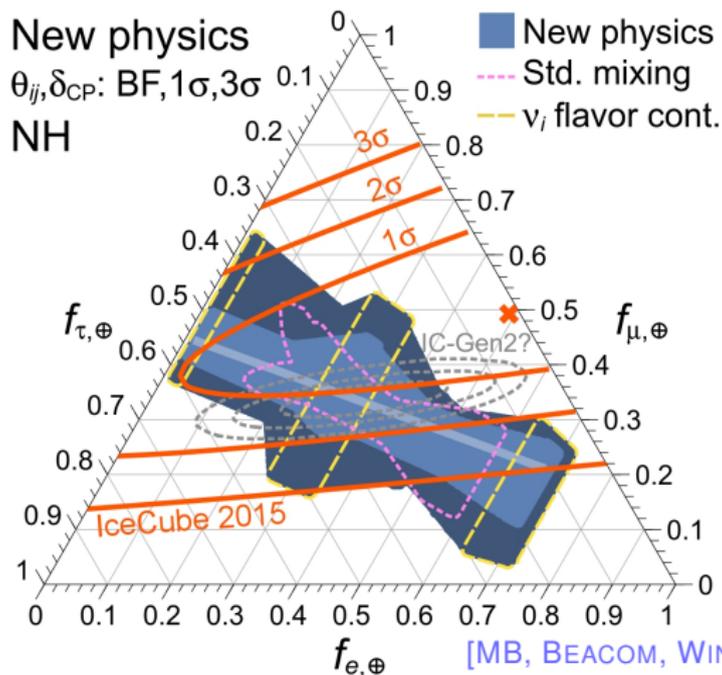


- ▶ **Incomplete decay:** incoherent mixture of ν_1 , ν_2 , ν_3 reaches Earth



New physics that changes the ν_i mixture

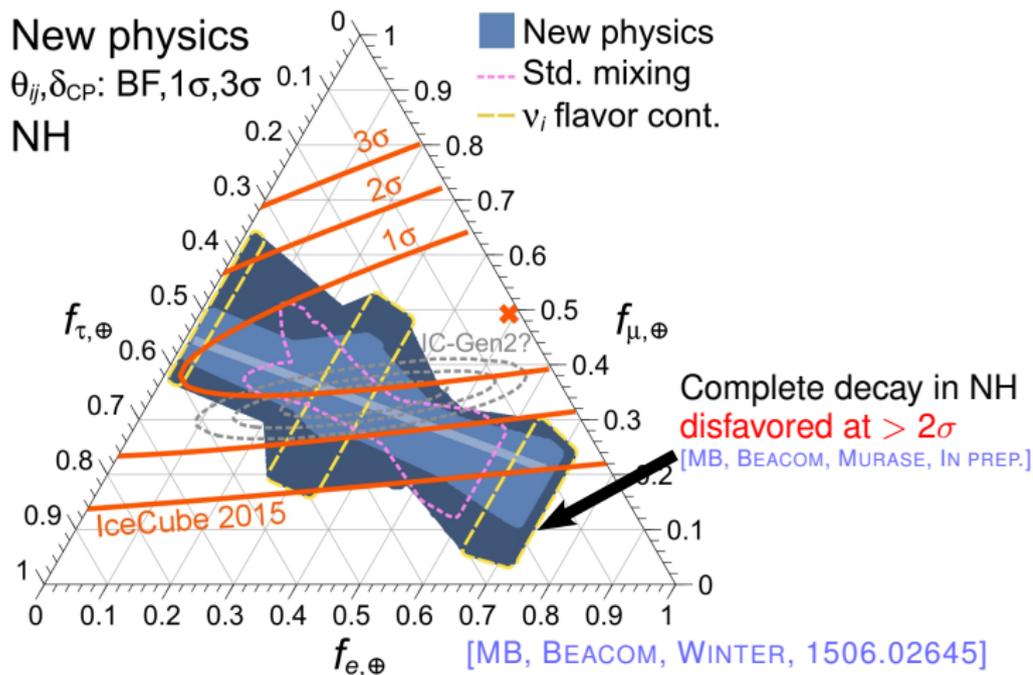
Region of all linear combinations of ν_1, ν_2, ν_3 :



This class of NP can access *only* $\sim 25\%$ of the possible combinations

New physics that changes the ν_i mixture

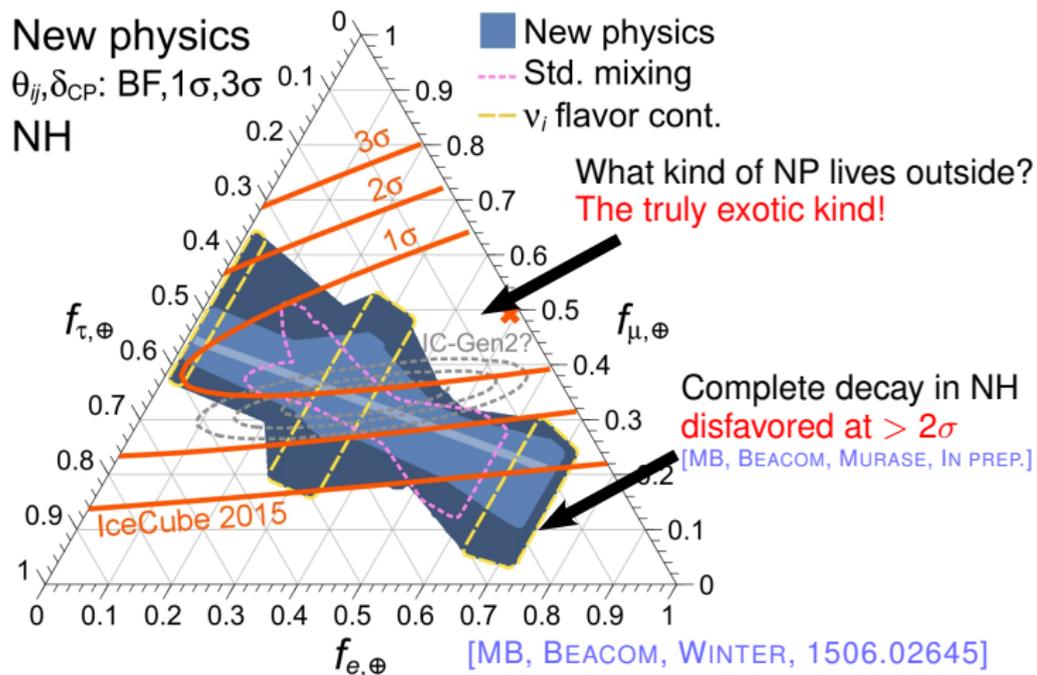
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What kind of NP lives outside the blue region?

- ▶ NP that changes the values of the mixing parameters, *e.g.*,
 - ▶ violation of Lorentz and CPT invariance
[BARENBOIM, QUIGG, *PRD* **67**, 073024 (2003)] [MB, GAGO, PEÑA-GARAY, *JHEP* **1004**, 005 (2010)]
 - ▶ violation of equivalence principle
[GASPERINI, *PRD* **39**, 3606 (1989)] [GLASHOW *et al.*, *PRD* **56**, 2433 (1997)]
 - ▶ coupling to a torsion field
[DE SABBATA, GASPERINI, *Nuovo. Cim.* **A65**, 479 (1981)]
 - ▶ renormalization-group running of mixing parameters
[MB, GAGO, JONES, *JHEP* **1105**, 133 (2011)]
- ▶ active-sterile mixing [AEIKENS *et al.*, 1410.0408]
- ▶ flavor-violating physics
- ▶ ν - $\bar{\nu}$ mixing (if ν , $\bar{\nu}$ flavor ratios are considered separately)

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New physics — of the *truly exotic* kind (II)

Add a new-physics term to the standard oscillation Hamiltonian:

$$H_{\text{tot}} = H_{\text{std}} + H_{\text{NP}}$$

$$H_{\text{std}} = \frac{1}{2E} U_{\text{PMNS}}^\dagger \text{diag} (0, \Delta m_{21}^2, \Delta m_{31}^2) U_{\text{PMNS}}$$

$$H_{\text{NP}} = \sum_n \left(\frac{E}{\Lambda_n} \right)^n U_n^\dagger \text{diag} (O_{n,1}, O_{n,2}, O_{n,3}) U_n$$

$n = 0$

- ▶ coupling to a torsion field
- ▶ CPT-odd Lorentz violation

$n = 1$

- ▶ equivalence principle violation
- ▶ CPT-even Lorentz violation

Experimental upper bounds from atmospheric ν 's:

$$O_0 \lesssim 10^{-23} \text{ GeV}$$

$$O_1/\Lambda_1 \lesssim 10^{-27} \text{ GeV}$$

[MB, GAGO, PEÑA-GARAY, *JHEP* **1004**, 005 (2010)]

[ARGÜELLES, KATORI, SALVADÓ, 1506.02043]

[ICECUBE COLL., *PRD* **82**, 112003 (2010)]

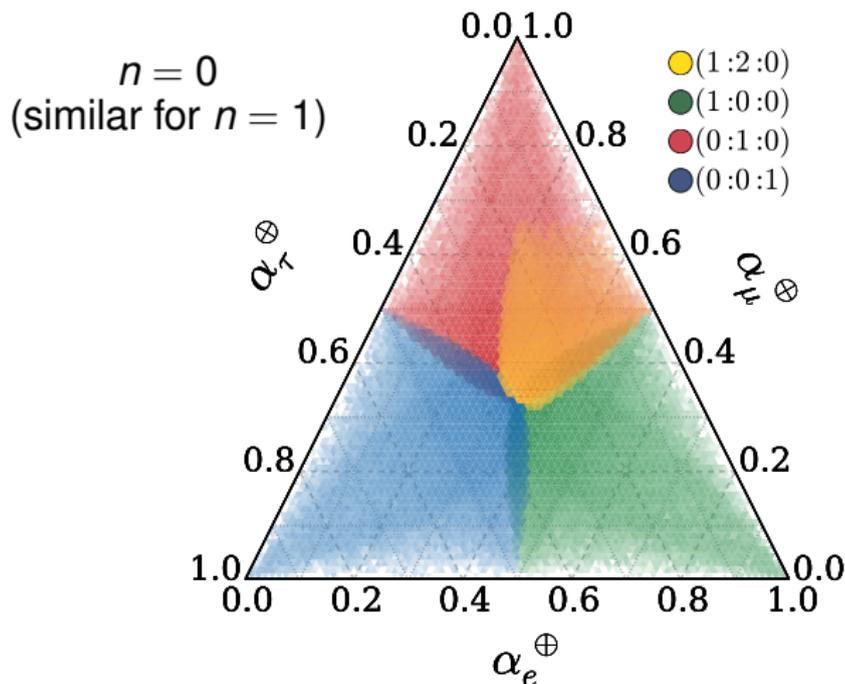
[SUPER-K COLL., *PRD* **91**, 052003 (2015)]

New physics — of the *truly exotic* kind (III)

Truly exotic new physics is indeed able to populate the white region:

- ▶ use current bounds on $O_{n,i}$
- ▶ sample the unknown NP mixing angles

[ARGÜELLES, KATORI, SALVADÓ
1506.02043]

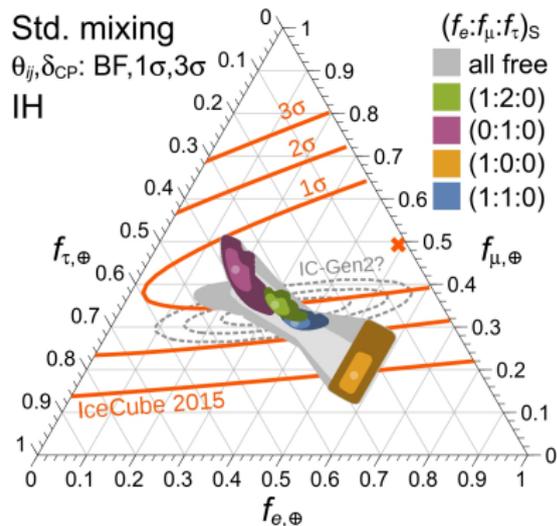
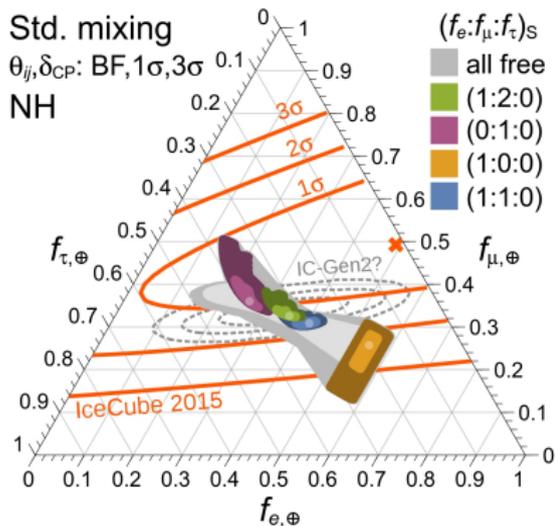


Conclusions . . . and the future

- ▶ The flavor composition is arguably the second-most interesting unknown after the identification of sources
- ▶ The space of allowed flavor compositions is **surprisingly small**:
 - ▶ **Standard mixing**: $\sim 10\%$ of all possibilities
 - ▶ **ν_i -mixing new physics**: $\sim 25\%$ (e.g., decay)
- ▶ Only a broader class of new physics (e.g., CPT violation) can access all compositions
- ▶ IceCube can improve the lifetime bounds in the NH (**now!**) and IH (**soon!**) by several orders of magnitude
- ▶ More, better data on the **particle-physics** and **astrophysics** fronts are needed (e.g., IceCube-Gen2, DUNE)

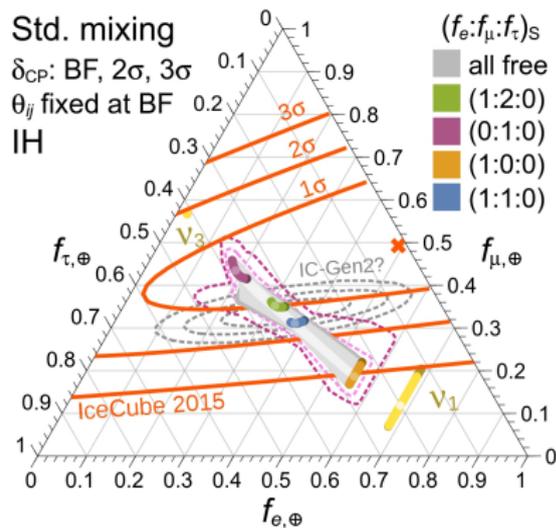
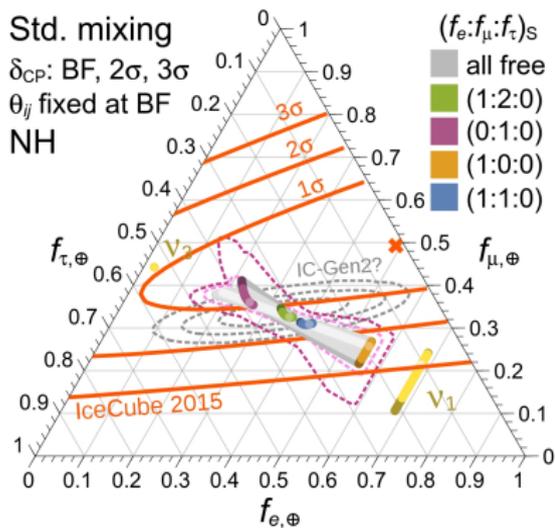
Backup slides

Selected source compositions: NH vs. IH



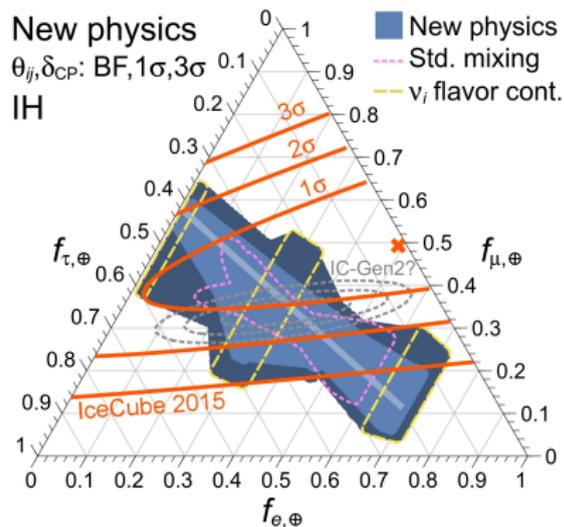
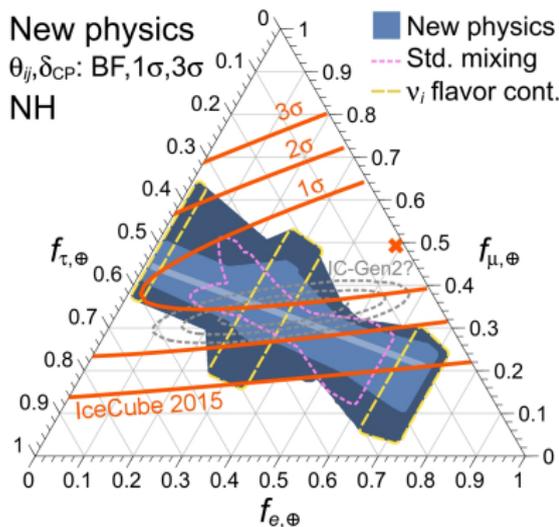
[MB, BEACOM, WINTER, 1506.02645]

Perfect knowledge of mixing angles: NH vs. IH



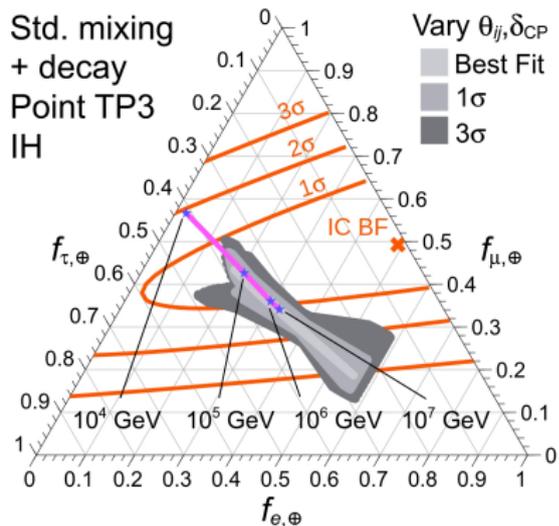
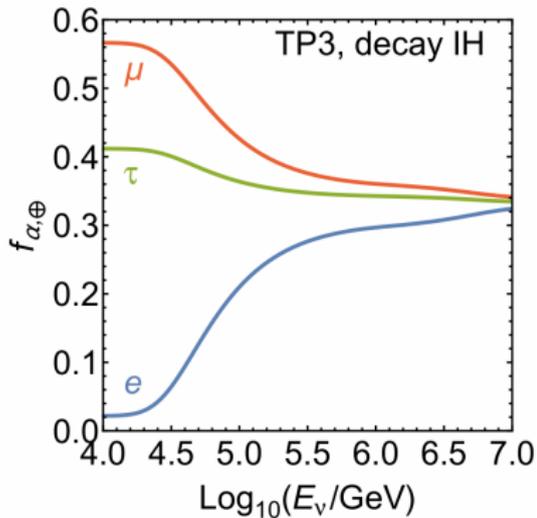
[MB, BEACOM, WINTER, 1506.02645]

New physics: NH vs. IH



[MB, BEACOM, WINTER, 1506.02645]

New physics: decay in the IH



[MB, BEACOM, WINTER, 1506.02645]