Recent results and prospects of rare kaon decay measurements at LHCb

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Outline



• Introduction.

- Motivation
- LHCb detector for strange decays.
- LHCb trigger for strange decays.
- Published results: $K_s \rightarrow \mu \mu$.
- Prospects:
 - $K_s \rightarrow \mu \mu$
 - $K_s \rightarrow \pi^0 \mu \mu$
 - $K_s \rightarrow 4\ell$
 - ► K⁺ mass
 - $\blacktriangleright \ \Sigma^+ \to \rho \mu \mu$

Not covered in this talk:

• $K_S \rightarrow \pi \pi \mu \mu$

► K_L





- Strange mesons have played a major role in the history of particle physics.
 - K^0 decays motivated the GIM mechanism and prediction of *c* quark.
 - Charge-parity violation (CPV) first observed in a strange decay.
- They can still teach us many things:
 - Precision measurements of CP violation.
 - Search for new physics (NP) in rare strange decays: lepton-flavour violation (LFV) searches.
- Why strange?
 - Theoretically clean as few final states are allowed.
 - Copious production at LHC.
 - Large CKM suppression ($V_{ts}V_{td} \sim 10^{-4}$) \Rightarrow large sensitivity to NP.

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LHCb detector



Luminosity:

- μ is kept low to ease secondary vertex reconstruction.
- Current data:
 - ▶ 2011: 1 fb⁻¹ data.
 - ▶ 2012: 2 fb⁻¹ data.



Detector shape:

b quarks are produced very boosted.

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Single arm forward spectrometer.



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3 / 21

LHCb detector





- Excellent μ identification: μ ID \sim 97% for $1 3\% \pi \rightarrow \mu$ mis-ID.
- Good momentum resolution: $\Delta p/p \sim 0.4\%$ at 5 GeV/c to 0.6% at 100 GeV/c.

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LHCb detector for strange decays



LHCb is a kaon factory: $\sim 10^{13}~{\rm K_s/fb^{-1}}$ decay in LHCb acceptance. But, it is not optimized for the study of these decays: lower m, larger $\tau.$



Long tracks: Vertex Locator (VELO) + TT + T. Few of the K_S decays.

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LHCb detector for strange decays



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	m (MeV)	au (10 ⁻¹² s)
B _d	5300	1.5
Ks	500	90
KL	500	50000
K^{\pm}	490	10000
Σ^{\pm}	1190	80

Long tracks: Vertex Locator (VELO) + TT + T. Few of the K_S decays. **Downstream**: TT + T only. Sensitivity to larger flight distances but worse p resolution. Charged mothers (K^{\pm} , Σ^{\pm}) hits in the VELO can be matched to these tracks.

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LHCb trigger for strange decays





- L0: calorimeters and muon chambers.
- HLT1: adds tracking and vertexing.
- HLT2: performs full event reconstruction.

LHCb trigger is not designed to select strange decays (larger τ , lower p_T) \Rightarrow they are selected as background in the underlying event!

- In 2011, 1/3 events contain a reconstructible $K_S \rightarrow \pi \pi$.
- In 2012, $m_{\mu\mu}$ range at HLT1 was extended to include m_{K_S} \Rightarrow x3 total efficiency.
- For Run2: studying improvements for $K_S \rightarrow \mu\mu$ reconstruction in the trigger.

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$K_s \rightarrow \mu \mu$

$K_s ightarrow \mu \mu$ motivation



- No tree-level contribution in SM. FCNC sensitive to NP.
- 2 contributions to the amplitude: [Isidori and Unterdorfer, JHEP 01 (2004) 009] Long-distance (LD) Short-distance (SD)



- $K_S \rightarrow \mu\mu$ allows to access easily the SD component (unlike K_L), which is related to the CPV part of $s \rightarrow d\ell\ell$.
 - Very sensitive to new physics.
 - Poorly constrained so far.
- → In SM: BR($K_S \rightarrow \mu\mu$) = (5.1 ± 0.2) · 10⁻¹² [Ecker and Pich, Nucl. Phys. B366 (1991) 189].
- → Previous best measurement: BR($K_S \rightarrow \mu\mu$) < 3.1 · 10⁻⁷ in 1973!! [CERN PS, Phys.Lett. B 44 (1973) 217–220]

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${\it K_s} ightarrow \mu \mu$ analysis strategy [JHEP 01 (2013) 090]



- Use 1 fb⁻¹ data at 7 TeV.
- Select muon pairs from the same vertex using LHCb excellent μ identification and vertex and momentum resolution.
- Control channel $K_S \rightarrow \pi\pi$ could be a dangerous bkg. Exploit the $\sigma_m \sim 4 \text{ MeV}$ to separate it from the signal.



$\mathcal{K}_s ightarrow \mu \mu$ analysis strategy [JHEP 01 (2013) 090]



- Boosted Decision Tree to reject combinatorial bkg.
 - Decay vertex position to reject material interaction bkg.
 - Train on data: side-bands for bkg, $K_S \rightarrow \pi\pi$ data for signal.
 - Samples are split in two: train on one, apply to the other.
 - Search is performed in 10 BDT bins.



The structure in the plot corresponds to the material of the VELO

${\cal K}_s ightarrow \mu \mu$ analysis strategy [JHEP 01 (2013) 090]



- Background is interpolated to the signal region from the side-bands.
 - Exponential component for combinatorial.
 - Empirical function (checked with MC) for the $K_S \rightarrow \pi\pi$ tails.
 - Other peaking bkg found to be negligible.



Observed yield compatible with background expectation.

Rare kaon decays at LHCb

$K_{s} ightarrow \mu \mu$ results [JHEP 01 (2013) 090]



• CLs method used to set an upper limit on the BR.



BR $(K_s
ightarrow \mu \mu) < 9(11) \cdot 10^{-9}$ at 90(95)% CL

30 times better than previous best!!

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$\textit{K}_{\textit{s}} ightarrow \mu \mu$ prospects

- Most interesting region is below 10^{-10} .
- Only 1/3 of the available data (1 fb⁻¹) has been analyzed so far!

Expected sensitivity: the range takes into account the background

Rare kaon decays at LHCb

Direct extrapolation from last analysis Assuming 3 times trigger improvement

- Could reach the 10⁻¹⁰ level with the LHCb upgrade.
- Could have an extra gain using downstream tracks.

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13 / 21

estimation uncertainty.

LHCb Preliminary







LHCb prospects for other rare strange decays

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$K_S ightarrow \pi^0 \mu \mu$ prospects



- Motivation
 - $K_S \rightarrow \pi^0 \mu \mu$ measures the indirect CPV contribution of $K_L \rightarrow \pi^0 \mu \mu \Rightarrow$ extract the direct CPV component which is sensitive to CKM.
 - Study structure of $K \to \pi \gamma^*$ form factor.
- Previous measurement from NA48 [Phys. Lett. B 599: 197-211, 2004]: BR($K_S \rightarrow \pi^0 \mu \mu$) = $(2.9^{+1.5}_{-1.2} \pm 0.2) \cdot 10^{-9} \sim 50\%$ uncertainty!

$K_S ightarrow \pi^0 \mu \mu$ prospects



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- $\pi^{\rm 0}$ reconstruction is challenging. Different options studied with MC:
 - $\pi^0 \rightarrow \gamma \gamma \rightarrow \text{Most feasible.}$
 - $\pi^0 \rightarrow ee\gamma$
 - ► No π⁰
- Ongoing sensitivity studies:
 - few events expected in 3 fb^{-1} .
 - could make a measurement in the upgrade (huge production of K_S).

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$K_S \rightarrow 4\ell$ prospects



- Recent publication of SM and NP contributions to $K_{L,S} \rightarrow 4\ell$. [D'Ambrosio, Greynat and Vulvert, arXiv:1309.5736v3]
 - ► BRs in SM are up to: $K_s \rightarrow eeee \sim 10^{-10}$ $K_s \rightarrow ee\mu\mu \sim 10^{-11}$ $K_s \rightarrow \mu\mu\mu\mu \sim 10^{-14}$
- No experimental results so far \Rightarrow worth looking at it!

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- No experimental results so far \Rightarrow worth looking at it!
- LHCb prospects for $K_S \rightarrow 4\ell$ with electrons:
 - *e* reconstruction is also challenging. From MC studies:

	Mass resolution	Single event sensitivity $(3fb^{-1})$
$K_s ightarrow eeee$	\sim 20 MeV	$\sim 10^{-6}$
${\it K_s} ightarrow {\it ee} \mu \mu$	$\sim 10{ m MeV}$	$\sim 10^{-7}$

- Mass peak displacement due to e energy loss.
- Both safe from main background: $K_S \rightarrow \pi \pi ee$.
- Ongoing work also with $K_S \rightarrow \mu \mu \mu \mu$.

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K^+ mass prospects



Disagreement between most precise K^+ mass measurements:

• $K^+ \to \pi \pi \pi$ could give a competitive result.

LHCb approach:





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Use long and downstream tracks.

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K^+ mass prospects: VELO track matching





Without VELO track matching



With VELO track matching



 Matching the downstream tracks to K⁺ hits in the VELO cleans a lot of background with high signal efficiency.
 [A. Contu, CERN-LHCb-PUB-2014-032]

$\Sigma^+ ightarrow ho\mu\mu$ prospects



HyperCP (Tevatron) results [PRL 94 021801]:

- ▶ 3 signal events observed with 0 background.
- $\mathsf{BR}(\Sigma^+ \to p\mu\mu) = (8.6^{+6.6}_{-5.4} \pm 5.5) \cdot 10^{-8}$
- ► All 3 events have $m_{\mu\mu} \sim 214 \text{ MeV} \Rightarrow$ $\Sigma^+ \rightarrow pX^0(\rightarrow \mu\mu)$ with new X^0 state??



[Hyper CP results]



LHCb approach:

- Find evidence of the decay and study $m_{\mu\mu}$.
- Use long and downstream tracks.
- ► From MC studies:
 - \blacktriangleright very good mass resolution: ~ 2 MeV.
 - Single event sensitivity (3 fb⁻¹): O(10⁻⁹ − 10⁻⁸)

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Rare kaon decays at LHCb





- LHCb is not designed for strange physics but can contribute a lot in this field.
 - Copious production of strange hadrons at the LHC.
 - Exploit the possibility of analysing data that was triggered as background.
- Published result: BR($K_S \rightarrow \mu \mu$) < 9.0 \cdot 10⁻⁹, 30 times better than previous world best!
- Strange physics is a new area of interest for LHCb.
 - ▶ No other experiment will be looking at K⁰ decays in the near future!

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Stay tuned!!

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THANK YOU!

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BACK-UP

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\mathcal{K}^0 motivation for GIM mechanism and c quark







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Different trigger categories:

- TOS (Trigger On Signal): the event is selected because the signal triggers it.
- TIS (Trigger Independent of Signal): the event is selected because some other particles in the event (not the signal ones) triggered it → the signal is selected as background in this case. Signal and normalization channel have same efficiency.



Two amplitude components:

- s-wave: CPC for K_L , CPV for K_S . Both LD and SD contribute.
- p-wave: CPV for K_L , CPC for K_S . Only LD contributes in SM.

Consequently:

- K_L : p-wave is CPV \rightarrow negligible.
- K_S : p-wave is CPC \rightarrow relevant. s-wave is CPV but has contribution from SD.

Moreover:

- LD contribution to K_S can be determined from chiral expansion $\sim 5 \cdot 10^{-12}$.
- Bounds of 10^{-11} on B($K_S \rightarrow \mu \mu$) \rightarrow bounds on CPV phase of $s \rightarrow d\ell \ell$

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$K_S \rightarrow \mu \mu$ expected events



sample	bin	base model
А	bin 1	$2.05^{+1.31}_{-0.91}$
А	bin 2	$0.86\substack{+0.73\\-0.39}$
A	bin 3	$0.23\substack{+0.4\\-0.23}$
А	bin 4	$0.23_{-0.23}^{+0.5}$
А	bin 5	$0.35\substack{+0.53\\-0.35}$
А	bin 6	$0.28^{+0.45}_{-0.28}$
А	bin 7	$0.21\substack{+0.36\\-0.14}$
А	bin 8	$0.59_{-0.59}^{+0.8}$
А	bin 9	$0.00268\substack{+0.00045\\-0.00198}$
А	bin 10	$0.68^{+0.69}_{-0.43}$
В	bin 1	$1.66^{+1.1}_{-0.78}$
В	bin 2	$1.51^{+1.14}_{-0.75}$
В	bin 3	$0.39_{-0.39}^{+0.8}$
В	bin 4	$0.46^{+0.55}_{-0.21}$
В	bin 5	$0.3^{+0.45}_{-0.2}$
В	bin 6	$0.018^{+0.029}_{-0.012}$
В	bin 7	$0.027^{+0.264}_{-0.018}$
В	bin 8	$1.36_{-0.7}^{+0.88}$
В	bin 9	$0.0133^{+0.0034}_{-0.009}$
В	bin 10	$0.14_{-0.14}^{+0.37}$

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$K_S \rightarrow \mu \mu$ systematics

- Bkg expectation: different fit models and different ranges. Different for each bin.
- Ratio of reconstruction, selection and μ -ID: different MC reweighting techniques and comparing to MC. \sim 20% for the ratios and \sim 5% for the μ -ID.
- $B(K_S \to \pi^+\pi^-) = (69.20 \pm 0.05)\%$.
- \bullet Absolute TOS efficiency: comparison to MC. $\sim 15\%$ depending on the bin.
- Prescale factor of the MB sample: difference between the factor in the trigger system and the one measured in data. $s^{MB} = (2.70 \pm 0.76) \times 10^{-6}$.

Leading ones: TOS efficiency and s^{MB} for TOS and ratio of reconstruction and selection for TIS.

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$K_S ightarrow \pi^0 \mu \mu$ backgrounds



- Combinatorial similar to $K_S \rightarrow \mu \mu \Rightarrow$ reasonably low.
 - Requiring 2 very detached muons, cleans a lot!
- K_S → ππ with π → μ misidentification + π⁰ from underlying event.
 π → μ moves the peak to the left.
 Adding π⁰ could move it back to the right!
 BR(K_S → ππ) × ε(π → μ)² ~ 0.69 × 0.01² ~ 7 · 10⁻⁴
- Similar for $K_S \rightarrow \pi \mu \nu$. $BR(K_S \rightarrow \pi \mu \nu_{\mu}) \times \epsilon(\pi \rightarrow \mu) \sim 4.7 \cdot 10^{-4} \times 0.01 \sim 5 \cdot 10^{-6}$
- Selection should be tightened to fight them.
- This could diminish the signal efficiency.



	$K_S ightarrow \pi \pi e e$ separation
$K_s ightarrow eeee$	\sim 300 MeV
${\it K_s} ightarrow {\it ee} \mu \mu$	\sim 70 MeV

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$K_S \rightarrow 4\ell$: expected sensitivity



Normalization channel: $K_s
ightarrow e^+ e^- \pi^+ \pi^-$

Definition of single event sensitivity:

 $\alpha = \frac{\epsilon_{\text{norm}}^{\textit{accep}}}{\epsilon_{\text{phys}}^{\textit{accep}}} \cdot \frac{\epsilon_{\text{norm}}^{\textit{reco}|\textit{accep}}}{\epsilon_{\text{phys}}^{\textit{reco}|\textit{accep}}} \cdot \frac{\epsilon_{\text{norm}}^{\textit{sel/reco}}}{\epsilon_{\text{phys}}^{\textit{sel/reco}}} \cdot \frac{1}{(\epsilon^{\textit{PID}})^2} \cdot \frac{\epsilon_{\text{norm}}^{\textit{trig|sel}}}{\epsilon_{\text{phys}}^{\textit{trig|sel}}} \cdot \frac{\mathsf{BR}_{\text{norm}}}{N_{\text{norm}}}$

• e^{accep} very similar for both channels.

• Assume $\epsilon^{\textit{sel}|\textit{reco}}$ and $\epsilon^{\textit{trig}|\textit{sel}}$ are the same.

• $\epsilon_e^{reco|accep} \approx 9\%$, $\epsilon_{\mu}^{reco|accep} \approx 20\%$ and $\epsilon_{\pi}^{reco|accep} \approx 6 - 9\%$.

• $\epsilon_e^{PID} \approx 50\%$ and $\epsilon_\mu^{PID} \approx 90\%$ (from $B \to e\mu$ and $K_s \to \mu^+\mu^-$ analysis).

• BR($K_s \to e^+ e^- \pi^+ \pi^-$) = 4.79 · 10⁻⁵ from PDG.

Assuming $N_{K_s \rightarrow e^+ e^- \pi^+ \pi^-} \sim 50$ (very conservative!)

$$\begin{split} \mathcal{K}_s &\to e^+e^-e^+e^-: \ \alpha \sim 10^{-6} \\ \mathcal{K}_s &\to e^+e^-\mu^+\mu^-: \ \alpha \sim 10^{-7} \end{split}$$

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$K_S ightarrow 4\ell$: expected $N_{K_s ightarrow e^+e^-\pi^+\pi^-}$



$$N_{K_{s} \rightarrow e^{+}e^{-}\pi^{+}\pi^{-}}^{\mathsf{TIS}} = N_{K_{s} \rightarrow \pi^{+}\pi^{-}, 1\mathrm{fb}^{-1}}^{\mathsf{TIS}} \cdot N_{\mathrm{fb}^{-1}} \cdot \frac{\mathsf{BR}(K_{s} \rightarrow e^{+}e^{-}\pi^{+}\pi^{-})}{\mathsf{BR}(K_{s} \rightarrow \pi^{+}\pi^{-})} \cdot \frac{\epsilon_{K_{s} \rightarrow e^{+}e^{-}\pi^{+}\pi^{-}}}{\epsilon_{K_{s} \rightarrow \pi^{+}\pi^{-}}}$$

where:

•
$$N_{K_s o \pi^+ \pi^-}^{\text{TIS}} \sim 10^8$$
 from $K_s o \mu \mu$ analysis.

• We have in tape
$$N_{\text{fb}^{-1}} = 3$$
.

• BR(
$$K_s \rightarrow e^+e^-\pi^+\pi^-$$
) = 4.79 · 10⁻⁵ and
BR($K_s \rightarrow \pi^+\pi^-$) = 6.9 · 10⁻¹, from PDG.

•
$$\frac{\epsilon_{K_s \to e^+e^-\pi^+\pi^-}}{\epsilon_{K_s \to \pi^+\pi^-}} \sim \frac{\epsilon_{PIDe}^{2} \cdot \epsilon_{reco \pi}^{2} \cdot \epsilon_{reco e}^{2}}{\epsilon_{reco \pi}^{2}}$$
 is the ratio of efficiencies, computed with the values given in previous slide.



- $\bullet\,$ Very rough estimate for systematic uncertainty: $\sim 0.02~MeV/c^2.$
 - Could be improved with some effort.
- $\bullet\,$ To have a similar statistical error \sim 200K events are needed.
 - In 1 fb⁻¹ we observe \sim 2K events.
 - \blacktriangleright Dedicated selection $\sim \times 10$ statistics.
 - Dedicated trigger line could have a similar result, but only available from Run2.

$\Sigma^+ ightarrow ho\mu\mu$: expected sensitivity



Normalization channel: $\Sigma^+ \rightarrow p \pi^0 (\rightarrow e^+ e^- \gamma)$

Definition of single event sensitivity:

$$\alpha = \frac{\epsilon_{\mathsf{norm}}}{\epsilon_{\mathsf{phys}}} \cdot \frac{\mathsf{BR}_{\mathsf{norm}}}{N_{\mathsf{norm}}}$$

- Assuming same trigger effciency.
- The ratio of $\epsilon_{reco,selec}$ is \sim 0.04 due to the diffcult reconstruction of very soft electrons.
- BR $(\Sigma^+ \to p \pi^0 (\to e^+ e^- \gamma)) = 51.57\% \times 1.174\% \sim 6 \cdot 10^{-3}$ from PDG.
- Without optimisation of final selection.

With $N_{\Sigma^+ \to p\pi^0(\to e^+e^-\gamma)} = 45$ K observed in 3 fb⁻¹:

$$\alpha_{\Sigma^+ o p \pi^0 (o e^+ e^- \gamma)}$$
: $\sim 5 \cdot 10^{-9}$

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- Could allow precise measurement of K^0 mass.
 - Low Q: $m_{K_S} (2 \cdot m_{\pi} + 2 \cdot m_{\mu}) \sim 10 \text{ MeV}/c^2$.
 - Minimize systematics due to momentum scale uncertainty.
- SM prediction:
 - BR($K_S \to \pi \pi \mu \mu$) = 4 · 10⁻¹⁴.
 - Good probe for NP.
- Starting preliminary studies at LHCb.

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• K_L and K_S distinguishable by the decay time. But in LHCb acceptance:

The decay distributions will look like:

$$\begin{aligned} \epsilon(t) \sim e^{-\beta t} & \text{KS} \quad \mathbf{p}(t) \sim e^{-(\beta + \Gamma_S)t} = e^{-\Gamma_{S,eff}t} \\ \text{KL} \quad \mathbf{p}(t) \sim e^{-(\beta + \Gamma_L)t} = e^{-\Gamma_{L,eff}t} \end{aligned}$$

Using DD tracks, \sim 50% separation can be reached.

• The overall reconstruction efficiency is \sim 1000 times smaller than for the corresponding K_S decay.

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