

# Few-nucleon radiative captures in $\chi$ EFT

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Uncertainties in Calculations of Nuclear  
Reactions of Astrophysical Interest

December 7 – 11, 2020

- Introduction
- State-of-the-art for theoretical studies: achievements and limits
- Why chiral effective field theory ( $\chi$ EFT)? Advantages and difficulties
- Chiral potentials and currents
- Preliminary results
- Outlook

# Introduction



- crucial reaction for BBN
- reaction of  $pp$ -chain
- reaction important for the evolution of protostars
- accurate experimental data in a wide energy-range  $\rightarrow$  stringent test
  - $E_{cm} = 2 \text{ MeV} \rightarrow \sigma(\theta), A_y, iT_{11}, T_{20}, T_{21}, T_{22}$   
(M.K. Smith and L.D. Knutson, Phys. Rev. Lett. **82**, 4591 (1999))
  - $E_{cm} = 32.4 \text{ keV} - 262.9 \text{ keV} \rightarrow S\text{-factor}$   
(LUNA data - V. Mossa *et al.*, Nature **587**, 220 (2020) )



- important for BBN
- experimental data for  $S$ -factor quite few and old



- important for BBN
- thermal energies  $\rightarrow$  total cross section

Both  $p + {}^3\text{H} \rightarrow {}^4\text{He} + \gamma$  and  $n + {}^3\text{He} \rightarrow {}^4\text{He} + \gamma \rightarrow$  search of the dark photon - X17

# *Ab-initio* studies of nuclear reactions

- 1 Nucleus = system of  $A$  nucleons interacting among themselves and with external electro-weak probes
- 2 Realistic description of **nuclear interactions** and **electro-weak currents**
- 3 Exact\* method to solve the quantum-mechanical problem (both bound and scattering states)
- 4 “True” predictions
- 5 Ideal case: estimate the theoretical error

\* Exact  $\equiv$  no uncontrolled approximations

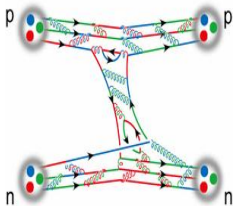
- controlled approximations are allowed (expansion on a certain basis)  $\rightarrow$  converged results = ***ab-initio* results**
- comparison of *ab-initio* results with data  $\rightarrow$  **test of input ingredients**

Method of choice: the **Hyperspherical Harmonics (HH) method**

- $A = 3, 4, 6$  bound states
- $A = 3, 4$  scattering states at low energies
- can be used with both local and non-local interactions
- no problem with Coulomb

# The nuclear Hamiltonian and currents

## The phenomenological approach



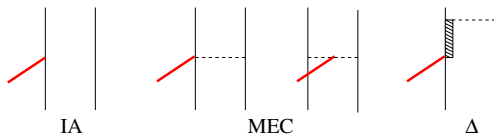
$$H = T + V$$

Nuclear interaction:  $V = V_{NN} + V_{NNN}$

Until  $\simeq 20\text{--}30$  years ago: **phenomenological potentials**

- $V_{NN}$  with  $\simeq 40$  parameters fitted to  $A = 2$  data  
 $\rightarrow \chi^2/\text{datum} \simeq 1$
- $V_{NNN}$  with 2-3 parameters fitted to  $B(A = 3, 4)$

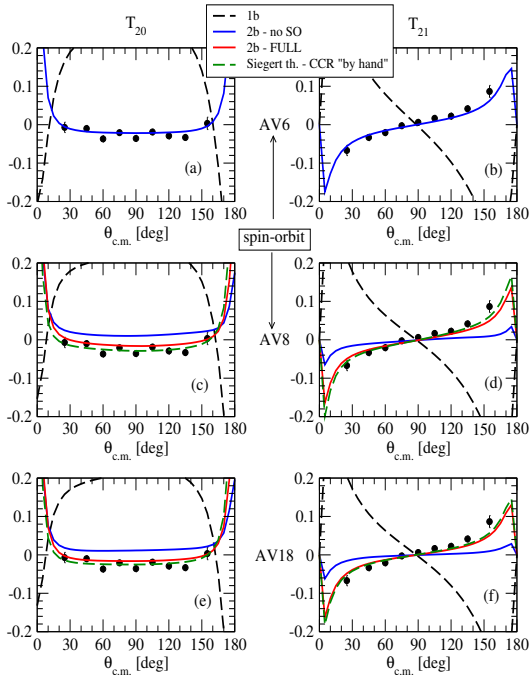
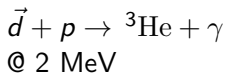
Very common models: **AV18+UIX**, **AV18+IL7**



Nuclear EM current:  $j^\gamma$

- Realistic model:  
 $\text{IA} + \text{MEC} + j(\Delta)$
- Current conservation relation (CCR)  $\rightarrow \mathbf{q} \cdot \mathbf{j}^\gamma \propto [\rho^\gamma, H]$

- Very successful approach
- CCR crucial

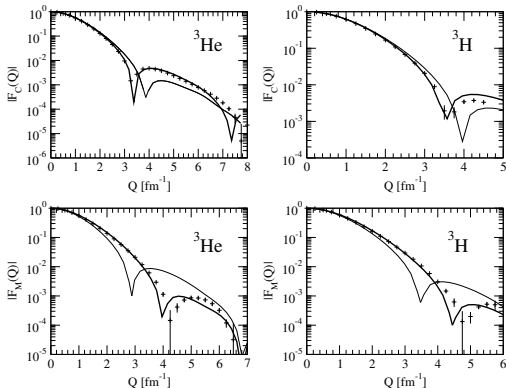


L.E. Marcucci *et al.* Phys. Rev. C **72**, 014001 (2005)

# Discrepancies and limits

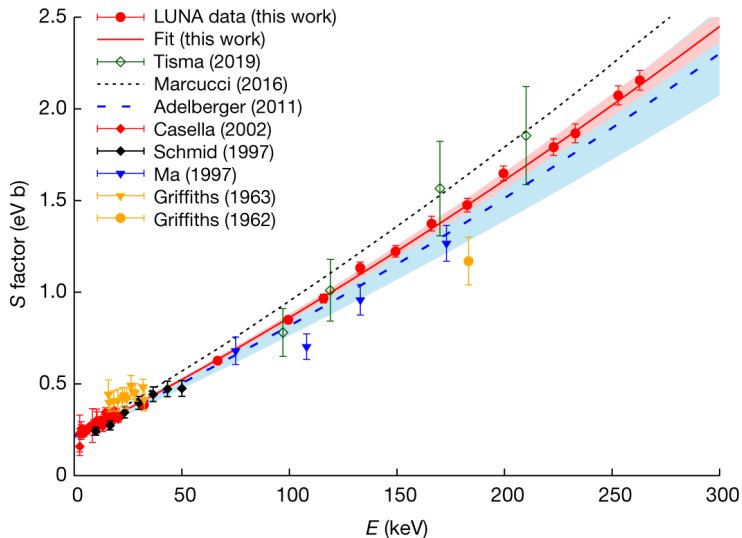
## Some discrepancies

- The  $A = 3$  magnetic form factors in the 1st diffraction region
- The  $pd$   $S$ -factor in the BBN energy range



L.E. Marcucci *et al.*, J. Phys. G **43**, 023002 (2016)

# The LUNA data for BBN



V. Mossa *et al.* (LUNA Collab.), *Nature* **587**, 210 (2020)



# But ...

## What is the theoretical accuracy?

- scattering w.fs. tested with  $\langle \Psi | H | \Psi \rangle_{\text{box}} = E_{\text{cm}} + E_d$
- ⇒ theoretical accuracy from the scattering wfs.  $\sim 1\%$
- Error associated with the nuclear interaction & currents?



## Furthermore

- many parameters
- no connection with QCD



⇒ **Chiral Effective Field Theory**

## Chiral Effective Field Theory ( $\chi$ EFT): a very short summary

- QCD  $\rightarrow$  quarks and gluons (“high-energy” d.o.f.)
- Nuclear physics  $\rightarrow$  nucleons and pions (“low-energy” d.o.f.)
- EFT  $\rightarrow$  processes with  $E \simeq p \simeq m_\pi \ll \Lambda_{\text{QCD}} \sim 1 \text{ GeV}$ 
  - ★ keep the “l-e” d.o.f.:  $\pi$  and  $N$
  - ★ Lagrangians describing the interactions of  $\pi$  and  $N$  are expanded in powers of  $O(p/\Lambda_{\text{QCD}})^\nu \rightarrow$  **perturbative theory**
  - ★ “h-e” d.o.f. integrated out  $\rightarrow$  contact interactions with “l-e” d.o.f. and **low-energy constants (LECs)** obtained from experiment
- $\chi$ EFT  $\rightarrow$  EFT with spontaneous breaking of QCD's  $\chi$ -symmetry
- **Regularization of short-range terms with cutoff function  $\rightarrow \Lambda \simeq 400 - 600 \text{ MeV}$**

Disadvantage: limited to processes with  $E \leq [2 \div 3] m_\pi$

### Advantages

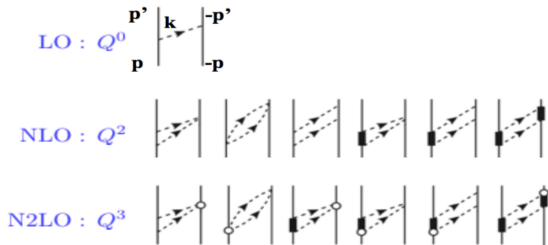
- nuclear force “hierarchy”  $\rightarrow$  **accurate  $V_{NN} + V_{NNN}$**
- consistent framework for **interactions + currents** (just add electro-weak field as d.o.f.)
- possibility to estimate the **theoretical uncertainty** (perturbative expansion +  $\Lambda$ -dependence)

**Until very recently, everything developed in momentum-space**  
 $\rightarrow$  **not user-friendly when charged particles**

# Local chiral $V_{NN}$ with $\Delta$ 's

M. Piarulli *et al.*, Phys. Rev. C **91**, 024003 (2015)

- $V_{NN} = v^{EM} + v^{LR} + v^{SR}$
- $v^{EM}$  = electro-magnetic component including corrections up to  $\alpha^2$
- Chiral  $1\pi$  and  $2\pi$  exchange in  $v^{LR}$  with  $\Delta$ 's up to  $Q^3$  (N2LO)



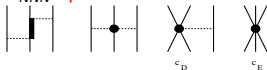
- $v^{SR}$  contact terms up to  $Q^4$  (N3LO) with 26 LECs
- $v^{SR}$  regularized with  $C_{R_S}(r) \propto e^{-(r/R_S)^2}$  with  $R_S = 0.8(0.7)$  fm [model a (b)]
- fit the 2013 Granada database
  - up to  $E_{lab} = 125$  MeV ( $\sim 2700$  data) with  $\chi^2/\text{datum} \leq 1.1$  (model I)
  - up to  $E_{lab} = 200$  MeV ( $\sim 3500$  data) with  $\chi^2/\text{datum} \leq 1.4$  (model II)

# Local chiral $V_{NNN}$ with $\Delta$ 's (NV2+3/Ia\* & NV2+3/Ib\*)

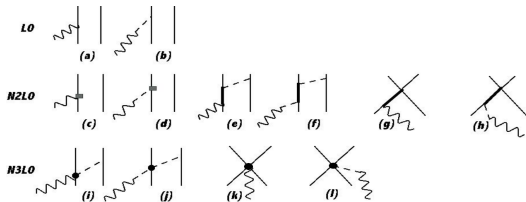
M. Piarulli *et al.*, Phys. Rev. Lett. **120**, 052503 (2018)

A. Baroni *et al.*, Phys. Rev. C **98**, 044003 (2018)

- Three-nucleon interaction  $V_{NNN}$  up to N2LO



- fit  $c_D$  &  $c_E \rightarrow B(^3\text{H})$  and  $GT^{Exp}$  in  $^3\text{H}$   $\beta$ -decay  $\Rightarrow j_A(q)$

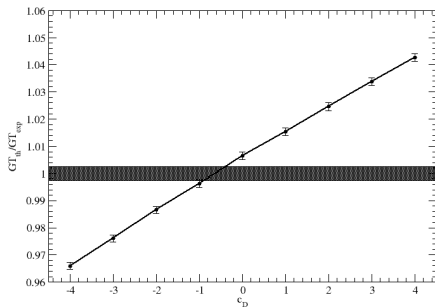
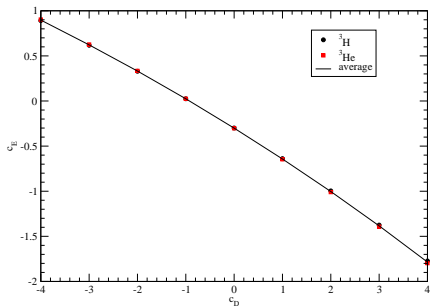


- CTs in (i) and (k)

$$j_{5,a}^{N3LO}(\mathbf{q}; CT) = z_0 e^{i\mathbf{q}\cdot\mathbf{R}_{ij}} \frac{e^{-(r_{ij}/R_S)^2}}{\pi^{3/2}} (\tau_i \times \tau_j)_a (\sigma_i \times \sigma_j)$$

$$z_0 = \frac{g_A}{2} \frac{m_\pi^2}{f_\pi^2} \frac{1}{(m_\pi R_S)^3} \left[ -\frac{m_\pi}{4g_A \Lambda_\chi} c_D + \frac{m_\pi}{3} (c_3 + 2c_4 + c_3^\Delta + 2c_4^\Delta) + \frac{m_\pi}{6m} \right]$$

$$\text{but } c_3^\Delta + 2c_4^\Delta = -\frac{h_A^2}{9m_{\Delta N}} + 2\frac{h_A^2}{18m_{\Delta N}} = 0 \quad \text{with } h_A \equiv g_A^*$$

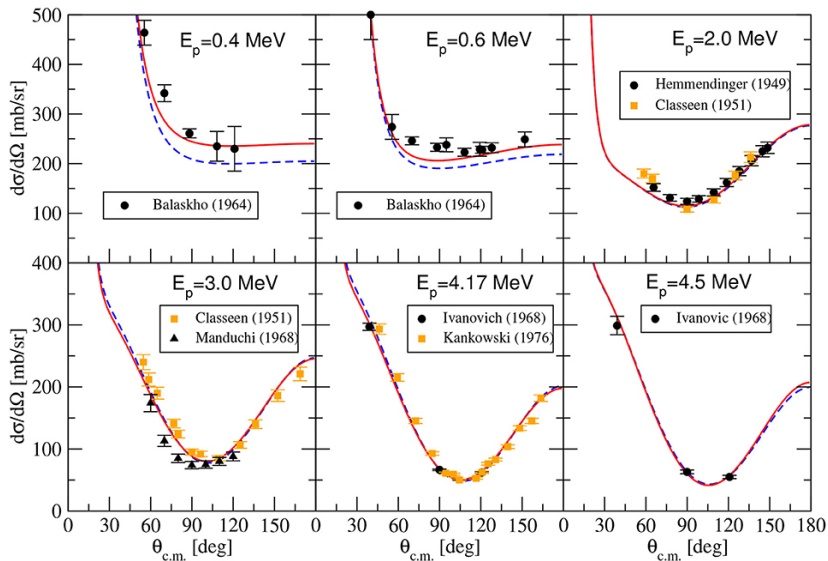


## $A = 3, 4$ binding energies and scattering lengths with NV potentials

Model	$B(^3\text{H})$	$B(^3\text{He})$	$B(^4\text{He})$	$a_{nd}^2$	$a_{nd}^4$	$a_{np}^2$	$a_{pd}^4$
NV1a	8.718	7.090	25.15	1.119	6.326	0.959	13.596
NV1b	7.599	6.885	23.96	1.307	6.327	1.294	13.597
NV2+3/1a*	<u>8.477</u>	<u>7.727</u>	28.30	0.638	6.326	0.070	13.596
NV2+3/1b*	<u>8.469</u>	<u>7.724</u>	28.21	0.650	6.327	0.070	13.597
Exp.	8.475	7.725	28.30	0.645(10)	6.35(2)	-0.13(4)	14.7(2.3)

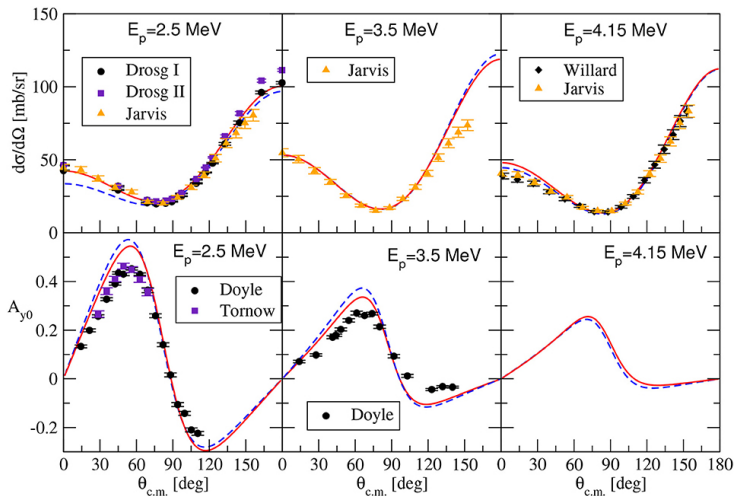
L.E. Marcucci *et al.*, *Front. Phys.* **8**, 69 (2020)

# $A = 4$ scattering observables: $d\sigma/d\Omega$ for $p + {}^3\text{H}$



L.E. Marcucci *et al.*, *Front. Phys.* **8**, 69 (2020)

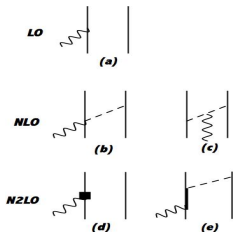
# $A = 4$ scattering observables for $p + {}^3\text{H} \rightarrow n + {}^3\text{He}$



L.E. Marcucci *et al.*, *Front. Phys.* **8**, 69 (2020)



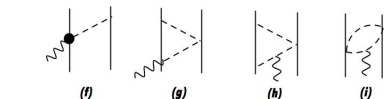
# Electromagnetic current in $\chi$ EFT



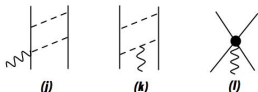
- $\mathbf{j}_{\Delta}^{\text{N2LO}}(\mathbf{q})$  in panel (e) absent in  $\Delta$ -EFT
- not included the  $\Delta$  intermediate states at N3LO
- $\mathbf{j}_{\text{OPE}}^{\text{N3LO}}(\mathbf{q}) \rightarrow d_2^S, d_2^V; d_3^V$
- $\mathbf{j}_{\text{MIN}}^{\text{N3LO}}(\mathbf{q}) \rightarrow$  from  $\pi N$  scattering
- $\mathbf{j}_{\text{NM}}^{\text{N3LO}}(\mathbf{q}) \rightarrow d_1^S; d_1^V$

To be noticed:

$$\mathbf{j}_{\text{OPE}}^{\text{N3LO}}(\mathbf{q}) \propto \frac{\sigma_j \cdot \mathbf{k}_j}{(m_\pi^2 + \mathbf{k}_j^2)} \mathbf{q} \times [(d_2^S \tau_i \cdot \tau_j + d_2^V \tau_j^z) \mathbf{k}_j + d_3^V (\tau_i \times \tau_j)^z \sigma_i \times \mathbf{k}_j]$$

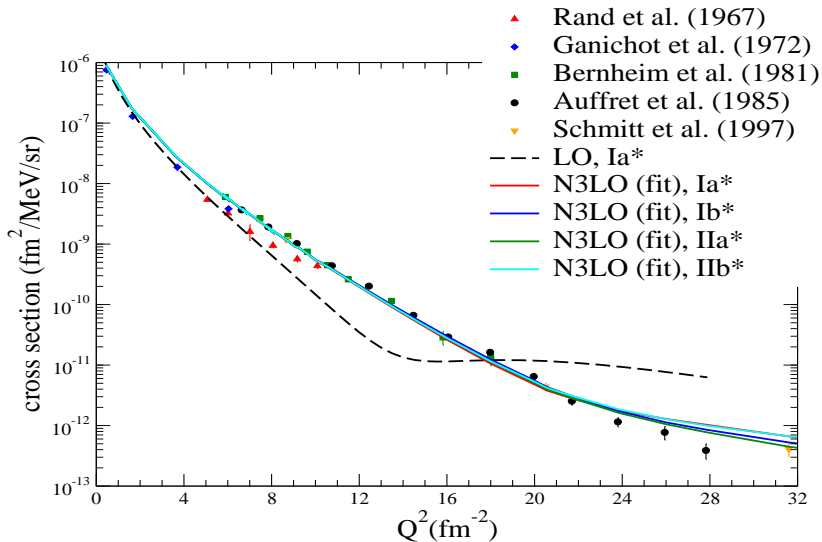


N3LO



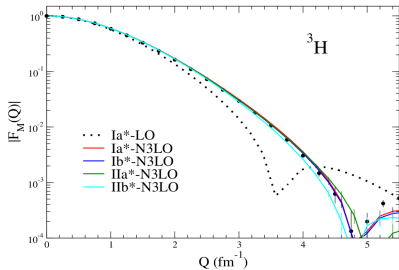
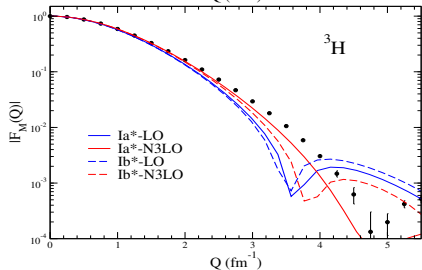
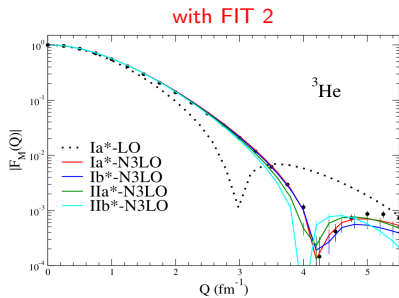
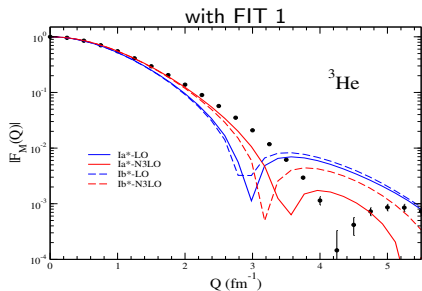
- FIT 1  $d_2^V; d_3^V \rightarrow$  saturated with  $\Delta$ -current of panel (e);  
 $d_1^S, d_2^S, d_1^V$  fitted to  $A = 2, 3$  magnetic moments
- FIT 2 all 5 LECs fitted to  $A = 2, 3$  magnetic moments  
 and  $d(e, e')pn$  at threshold

R. Schiavilla *et al.*, Phys. Rev. C **99**, 034005 (2019)



R. Schiavilla, private communication

# The $A = 3$ magnetic form factors



R. Schiavilla *et al.*, Phys. Rev. C **99**, 034005 (2019)

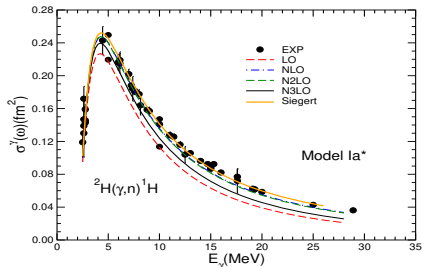
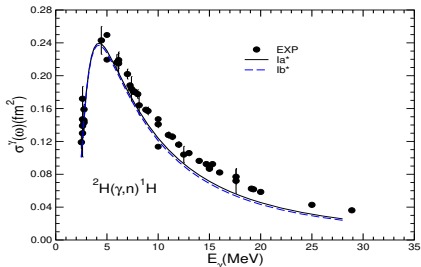
R. Schiavilla, private communication

# But CCR is not satisfied

CCR with  $v_{ij}^{N3LO} \rightarrow j^{N5LO}(\mathbf{q})$  !!

⇒ Observables sensitive to  $E_1$  are not reproduced

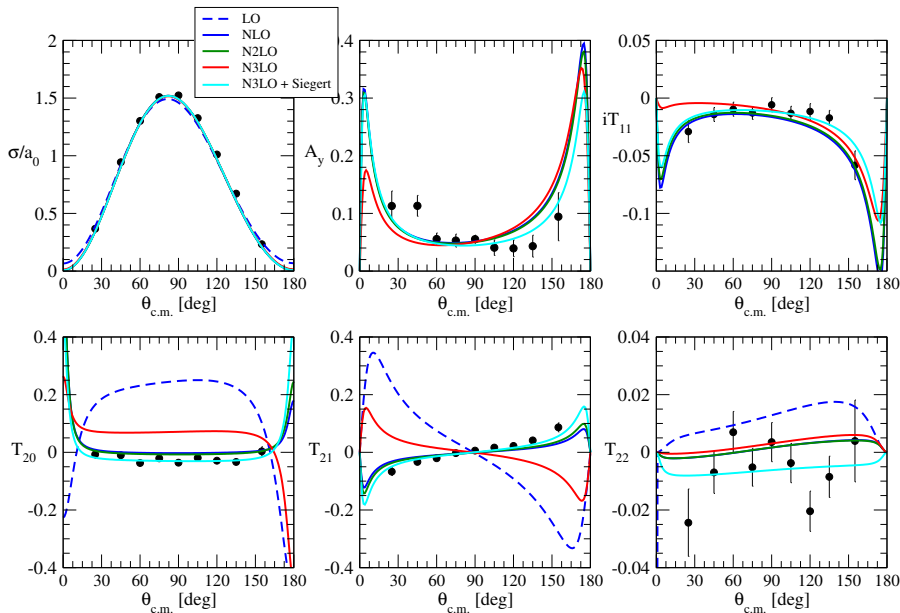
The deuteron photodisintegration



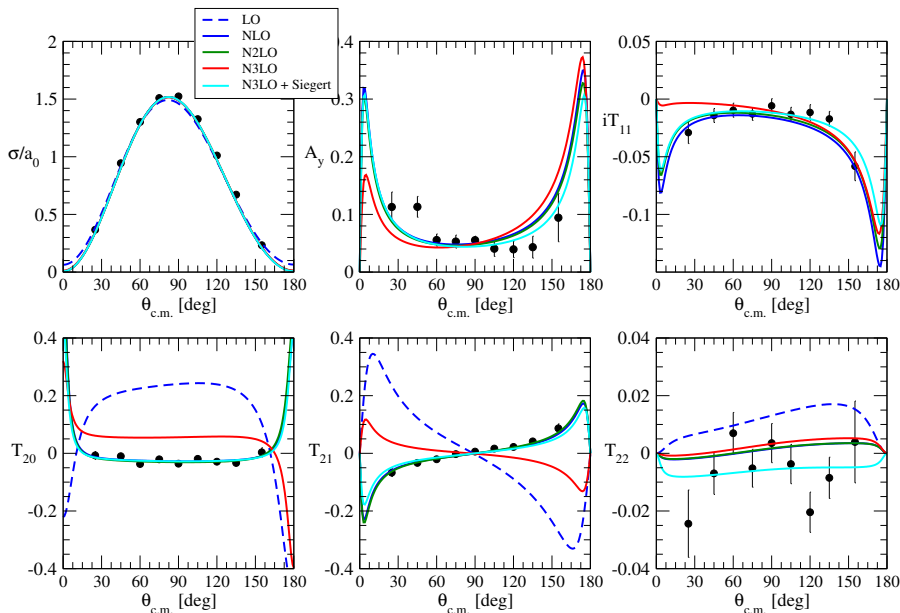
⇒ Use Siegert theorem (CCR imposed by hand)

R. Schiavilla *et al.*, Phys. Rev. C **99**, 034005 (2019)

# The $p + d \rightarrow {}^3\text{He} + \gamma$ reaction at $E_{cm} = 2$ MeV: PRELIMINARY (NV2+3/1a\*)



# The $p + d \rightarrow {}^3\text{He} + \gamma$ reaction at $E_{cm} = 2$ MeV: PRELIMINARY (NV2+3/Ib\*)



# The $pd$ astrophysical $S$ -factor [eV b]: PRELIMINARY

$E_{cm}$ [keV]	Exp. <sup>1</sup>	AV18/UIX <sup>2</sup>	NV2+3/1a*	NV2+3/1b*
2000	–	25.86	25.45	25.57
262.9	$2.156 \pm 0.020 \pm 0.054$	2.34	2.35	2.29
252.9	$2.073 \pm 0.012 \pm 0.052$	2.27	2.25	2.21
232.9	$1.866 \pm 0.012 \pm 0.051$	2.08	2.07	2.04
222.8	$1.791 \pm 0.006 \pm 0.045$	1.99	1.98	1.94

<sup>1</sup> V. Mossa, Nature **587**, 210 (2020)

<sup>2</sup> L.E. Marcucci *et al.*, Phys. Rev. Lett. **116**, 102501 (2016)

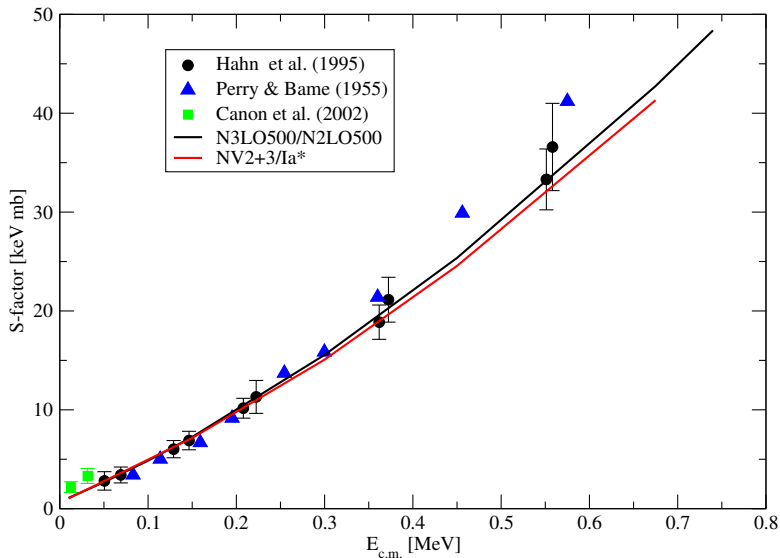
Current contrib. at  $E_{cm} = 262.9$  keV

Chiral order	NV2+3/1a*	NV2+3/1b*
LO	2.04	2.03
NLO	2.21	2.23
N2LO	2.27	2.30
N3LO	2.32	2.34
N3LO-Siegert	2.35	2.29

$J^\pi$  contrib. at  $E_{cm} = 262.9$  keV (NV2+3/1b\*)

$J^\pi$	N3LO	N3LO-Siegert
$1/2^+$	0.056	0.056
$3/2^+$	0.030	0.030
$5/2^+$	0.001	0.001
$1/2^-$	<b>0.753</b>	<b>0.731</b>
$3/2^-$	<b>1.500</b>	<b>1.467</b>
$5/2^-$	0.001	0.001

# The $p + {}^3\text{H} \rightarrow {}^4\text{He} + \gamma$ reaction: PRELIMINARY



Dominated by the  $E_1$  transition  $1^- \rightarrow 0^+ \Rightarrow$  Siegert theorem



# The $n + {}^3\text{He} \rightarrow {}^4\text{He} + \gamma$ reaction: PRELIMINARY

- $\sigma_{tot}[\mu\text{b}]$  at thermal energies
- Dominated by the  $M_1$  transition  $1^+ \rightarrow 0^+$

⇒ very sensitive to MEC

	AV18/UIX hybrid	N3LO/N2LO $\Lambda = 500$ MeV	N3LO/N2LO $\Lambda = 600$ MeV	NV2+3/Ia* [ $\Lambda = 500$ MeV]	NV2+3/Ib* [ $\Lambda = 570$ MeV]
LO	15.3	9.9	12.4	14.9	14.3
NLO	2.7	7.2	5.1	1.7	5.2
N2LO	0.7	2.8	1.7	5.9	19.0
N3LO	54.5	78.8	75.2	69.2	46.7
Exp.			$55 \pm 3$		

- N2LO contribution with N3LO/N2LO and AV18/UIX potentials → relativistic correction while N2LO contribution with NV2+3/I potentials →  $\Delta$ -current
- N3LO contribution large due to cancellations between LO and NLO
- order-by-order ... **non**-convergence
- AV18/UIX and NV2+3/I potentials → better agreement with experiment

# Summary and outlook

## Summary

- First studies in  $\chi$ EFT of  $A = 3, 4$  radiative captures
  - **PRELIMINARY** results
  - Still a long what-to-do list: for example for  $p + d$ 
    - Study of the convergence of the  $\Psi(p + d)$
    - Study of model-dependence
      - local chiral potentials: NV2+3/IIa\*, NV2+3/IIb\*
      - non-local chiral potentials: N3LO/N2LO 500/600
    - Study of order-by-order convergence consistently in the interaction+current
- ⇒ refit the LECs in  $j^\gamma$

## Outlook

- Study of other reactions
  - $n + d \rightarrow {}^3\text{H} + \gamma$  at thermal energies
  - $d + d$  ( $ddn, ddp, dd\gamma$ ) at BBN energies
  - $A > 4$  nuclei  $\rightarrow$  HH method for  $A = 6$   
(A. Gnech *et al.*, Phys. Rev. C **102**, 014001 (2020))

In collaboration with:

- A. Kievsky and M. Viviani (INFN Pisa - Italy)
- L. Girlanda (INFN Lecce and Univ. of Salento - Italy)
- A. Gnech (JLab - USA)
- M. Piarulli (WUSTL - USA)
- R. Schiavilla (ODU & JLab - USA)

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**THANK YOU!**