



# *Atomic Physics Precision Experiments with Penning Traps*

- ❖ Basics of Penning-trap spectroscopy
- ❖ Nuclear masses and  $g$ -factors
- ❖ Atomic binding and excitation energies

Klaus Blaum

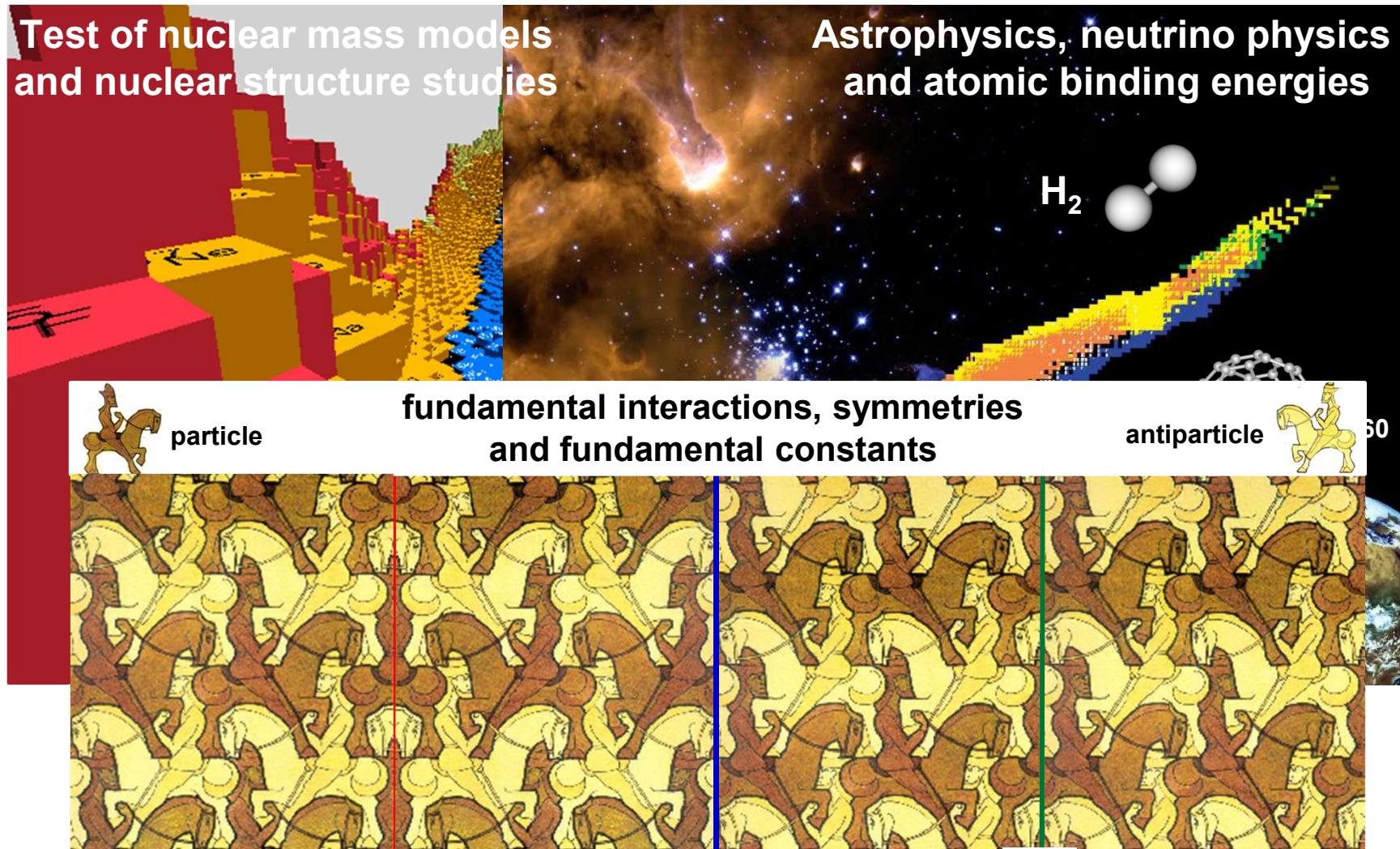
Max-Planck-Institute for Nuclear Physics, Heidelberg



Mainz, Sep 1<sup>st</sup>, 2021



# Motivation - Fields of applications



P

C

T

Adapted from H. Wilschut



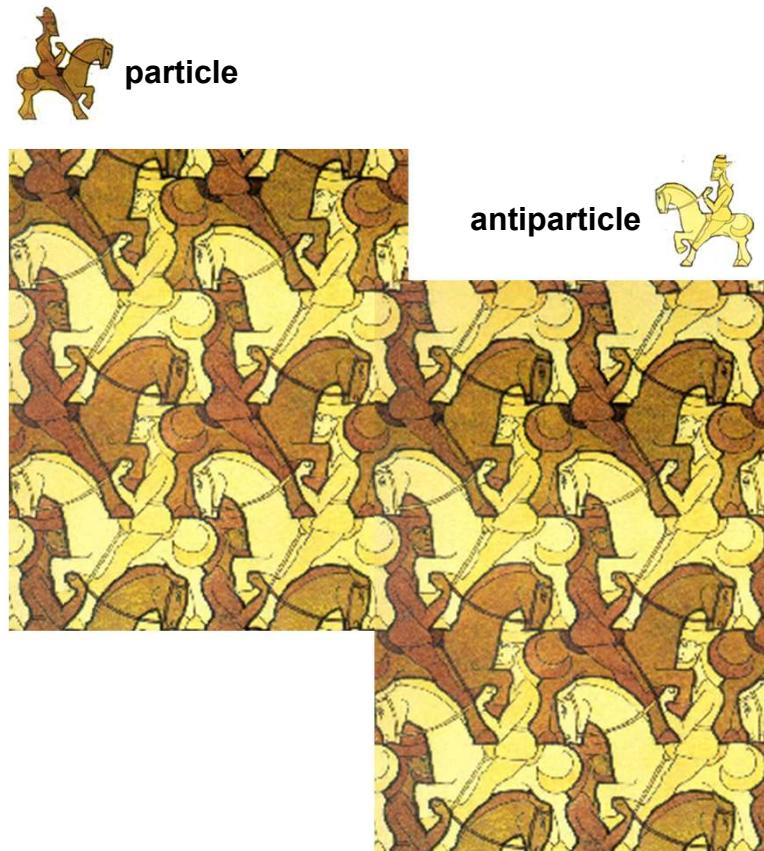
Sep 1<sup>st</sup>, 2021

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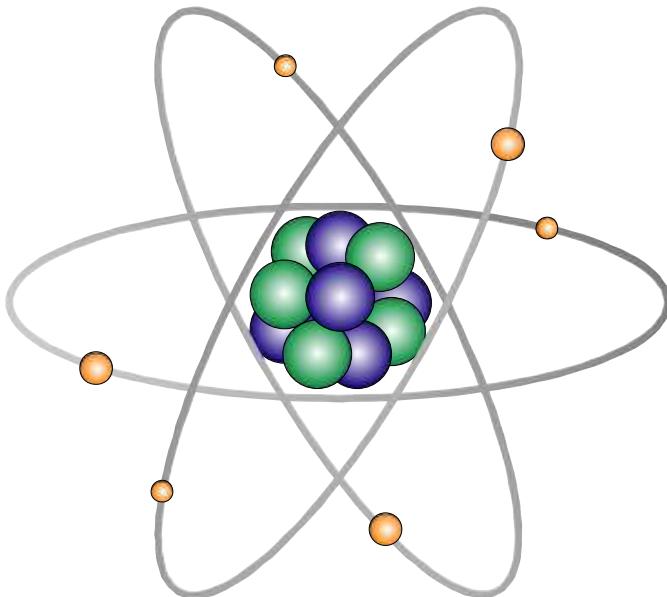


# Fundamental symmetries



CPT symmetry is recognized  
to be a fundamental property  
of physical laws.  
(1954 Gerhart Lüders,  
1955 Wolfgang Pauli)

# The mass of an atom



$$= N \cdot \text{green sphere} + Z \cdot \text{blue sphere} + Z \cdot \text{orange sphere}$$

– binding energy

Einstein  $E = mc^2$

$$m_{\text{Atom}} = N \cdot m_{\text{neutron}} + Z \cdot m_{\text{proton}} + Z \cdot m_{\text{electron}} - (B_{\text{atom}} + B_{\text{nucleus}})/c^2$$

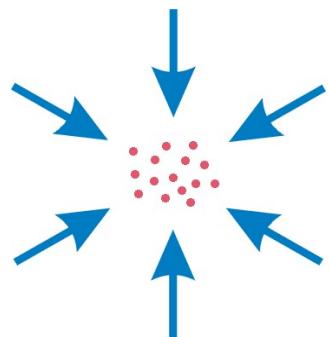
$$\delta m/m < 10^{-10}$$



$$\delta m/m = 10^{-6} - 10^{-8}$$

# Storage and cooling of ions

## Radial force

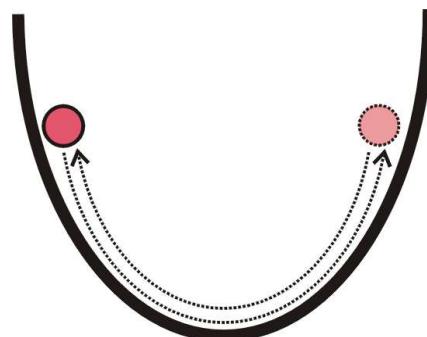


electric fields

magnetic fields

light fields

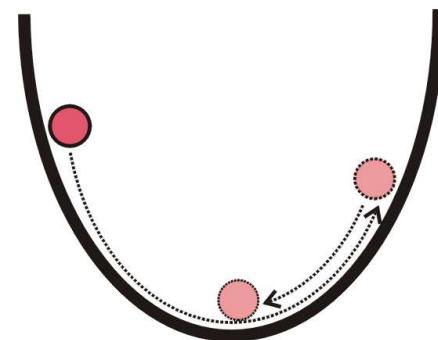
## Harmonic potential



characteristic  
oscillation  
frequency

pendulum clock

## Cooling



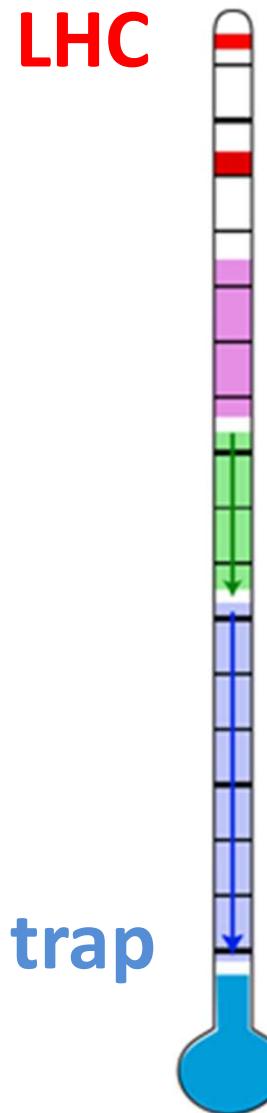
damping of  
oscillation  
amplitudes

minimization of  
imperfections

➤ single ion sensitivity      ➤ “infinite” storage time

➤ frequency measurements

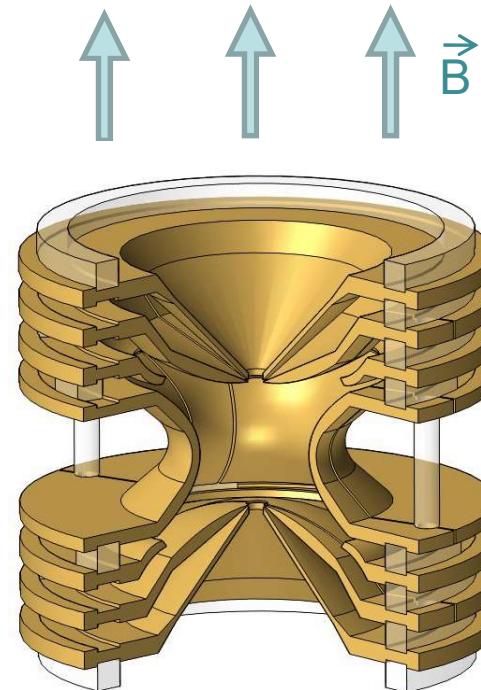
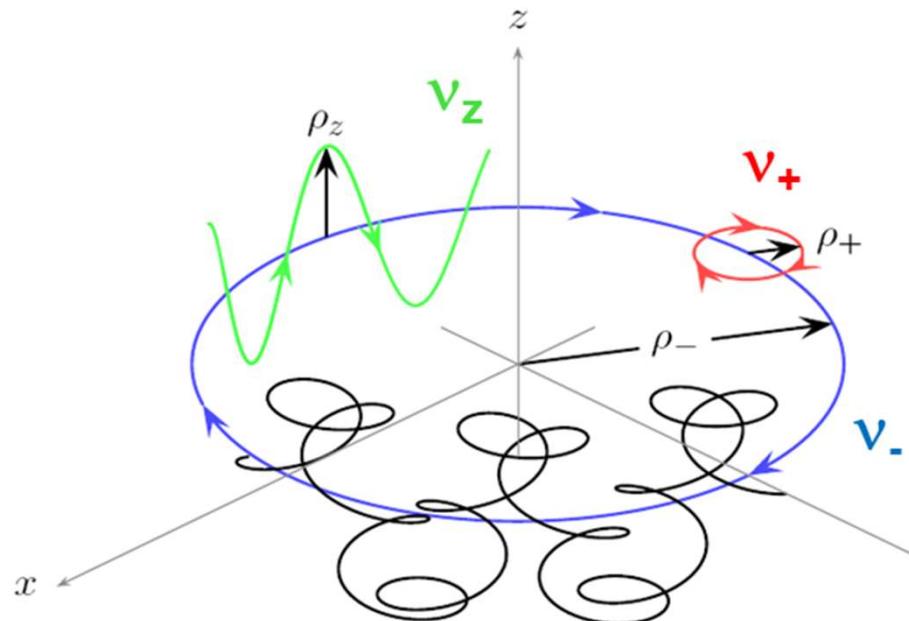
# Energy and precision regimes



energy	temperature	Energy frontier
1 TeV	$10^{16}$ K	
1 GeV	$10^{13}$ K	
1 MeV	$10^{10}$ K	
1 keV	$10^7$ K	
1 eV	$10^4$ K	
1 meV	10 K	
1 $\mu$ eV	0.01 K (ca. -273°C)	Precision frontier



# Storage of ions in a Penning trap



The free cyclotron frequency is inverse proportional to the mass of the ion!

Brown-Gabrielse invariance theorem

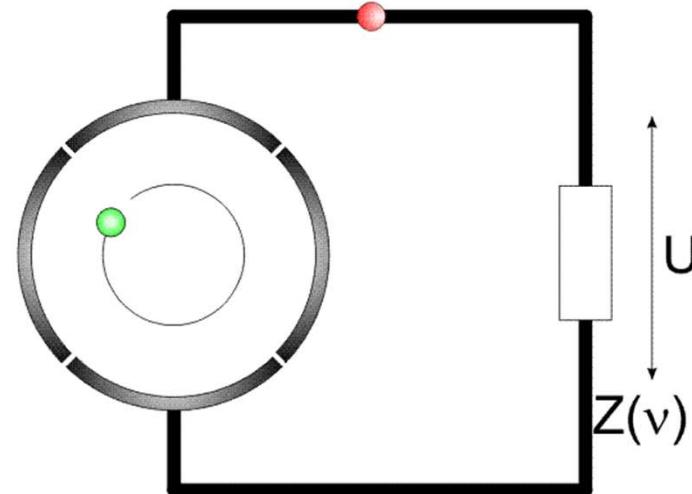
$$\nu_c = qB / (2\pi m_{ion})$$

$$\nu_c = \sqrt{\nu_+^2 + \nu_z^2 + \nu_-^2}$$

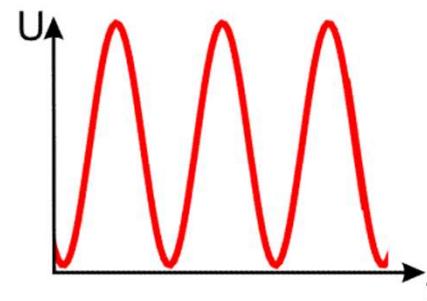
L.S. Brown, G. Gabrielse, Rev. Mod. Phys. **58**, 233 (1986).

# Non-destructive detection technique

$$\delta m/m \approx 10^{-11}$$

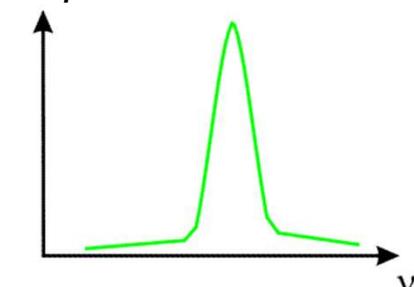


ion signal



mass/frequency spectrum

*Amplitude*



S. Sturm et al., Phys. Rev. Lett. 107, 143003 (2011)

*very small  
signal  $\sim fA$*

Fourier transformation



30 cm



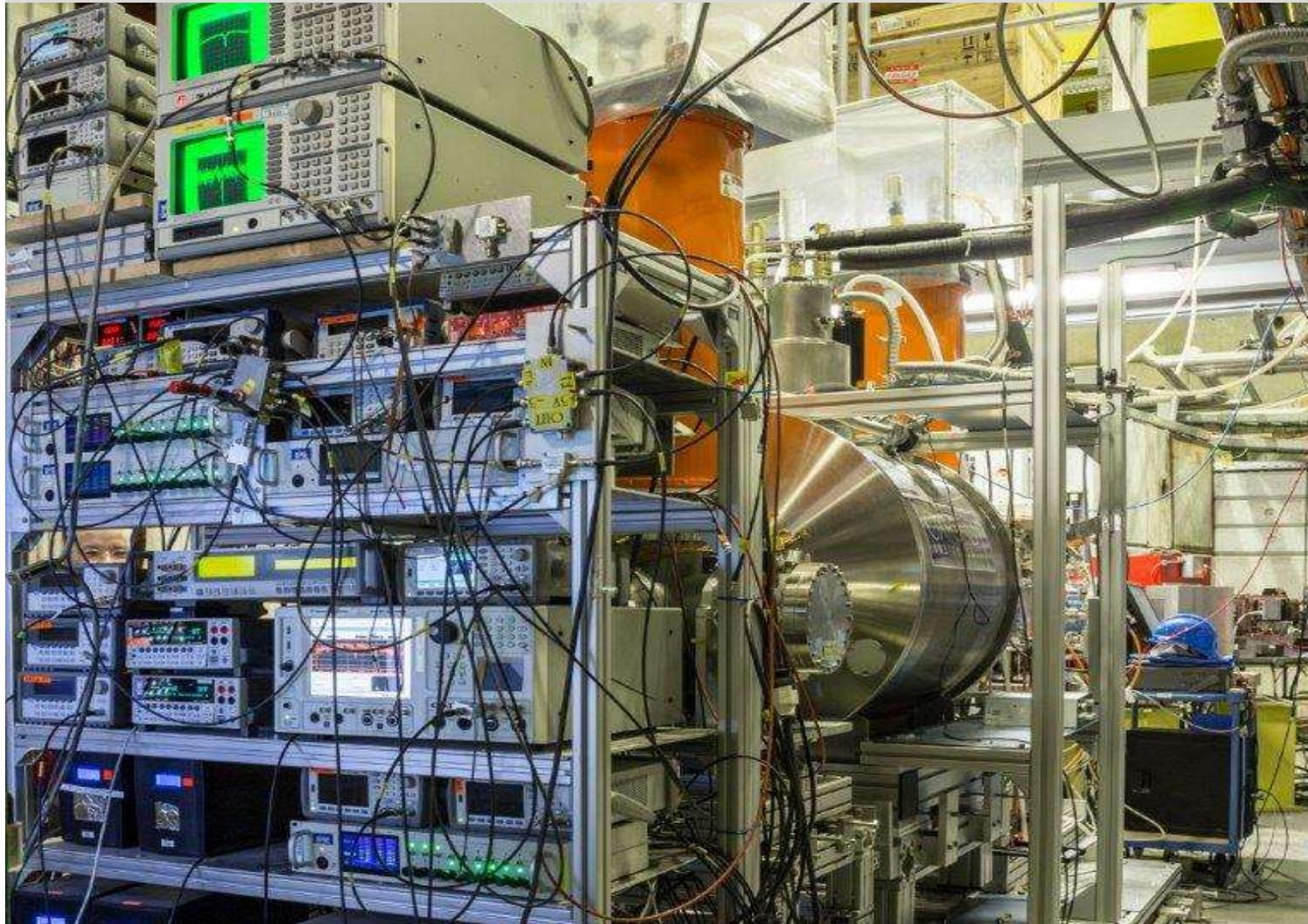
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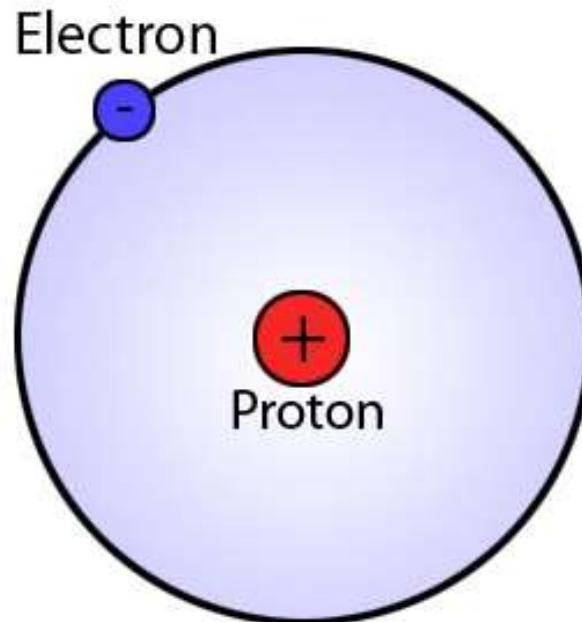
# BASE - A Penning-trap setup at CERN

A balance for protons and antiprotons.



# Atomic masses I

## The mass of the proton and electron



**A. Mooser, W. Quint, S. Sturm, S. Ulmer, G. Werth**

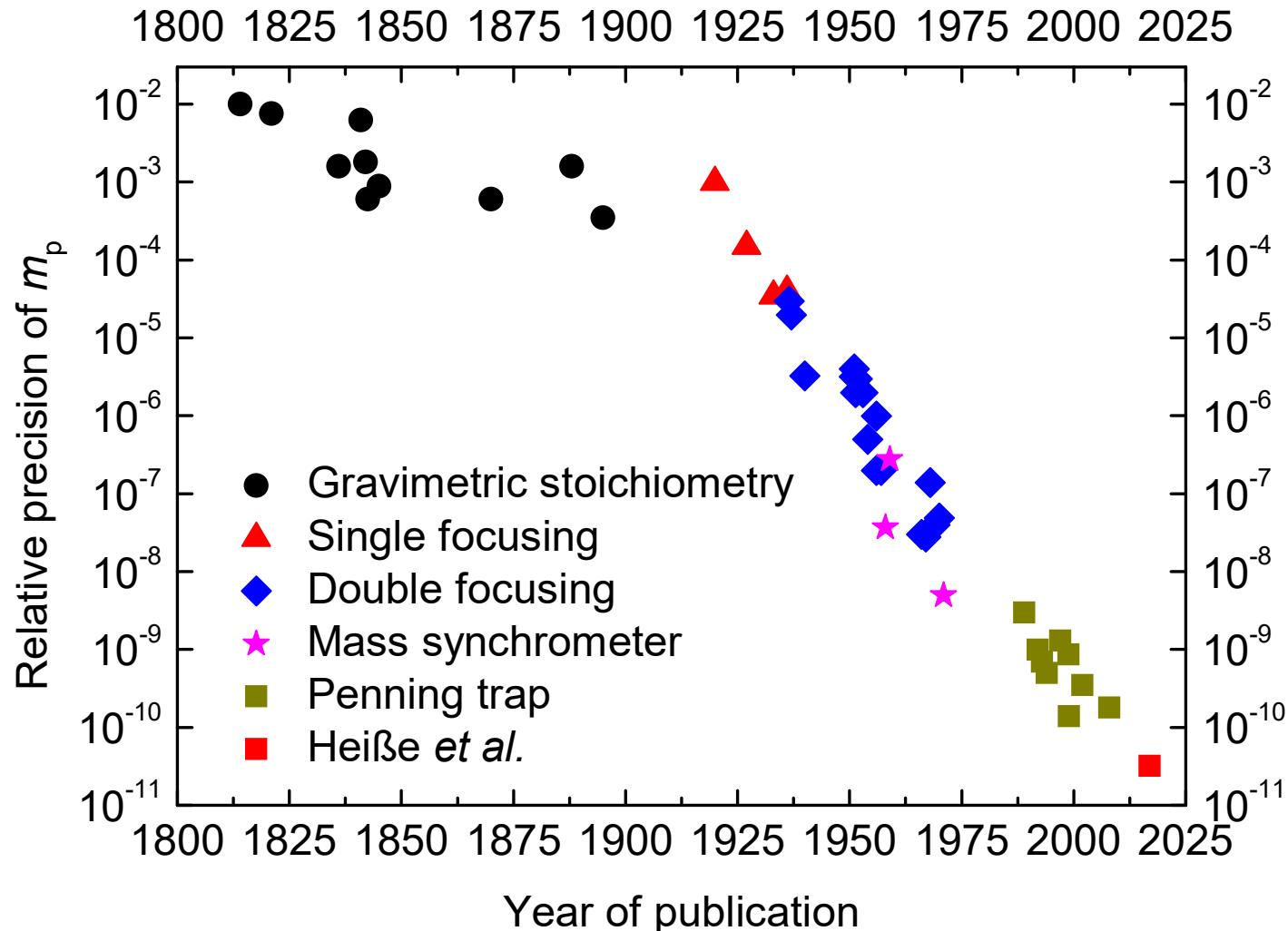
# The atomic mass of the proton



$$m_p = \frac{1}{6} \frac{\nu_c(^{12}\text{C}^{6+})}{\nu_c(p)} m(^{12}\text{C}^{6+})$$

F. Heiße *et al.*, Phys. Rev. Lett. 119, 033001 (2017)

# The atomic mass of the proton



Courtesy F. Heiße

F. Heiße et al., Phys. Rev. Lett. 119, 033001 (2017)



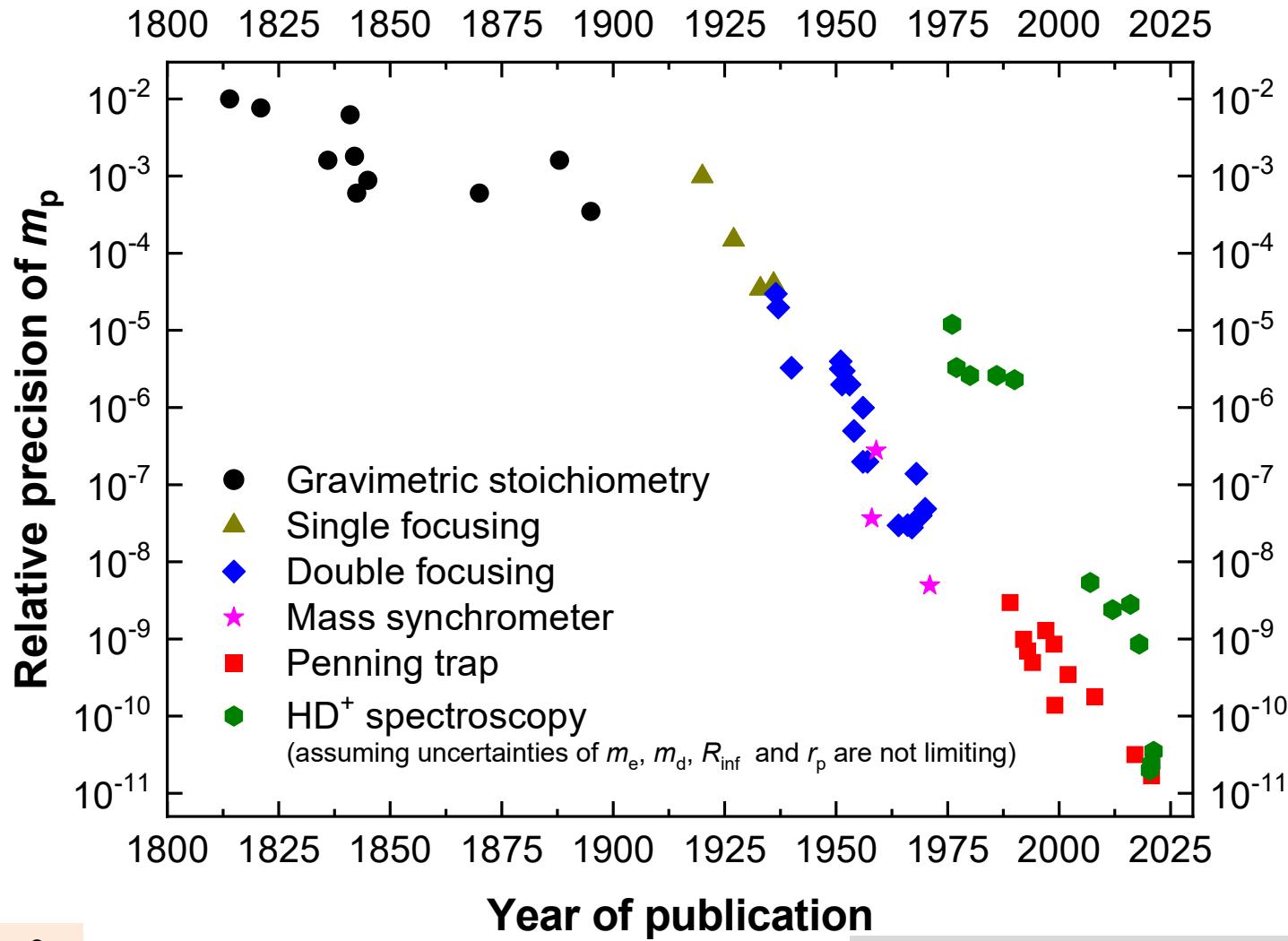
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# The atomic mass of the proton



Courtesy F. Heiße

F. Heiße et al., Phys. Rev. Lett. 119, 033001 (2017)



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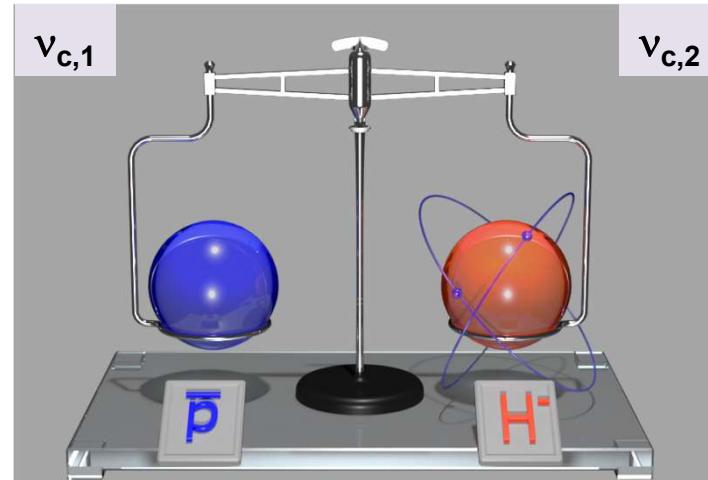


# Comparison of the proton and antiproton

Compare charge-to-mass ratios  $R$   
of  $p$  and  $\bar{p}$ :

$$(q/m)_{\bar{p}} / (q/m)_p = -1.000\ 000\ 000\ 001\ (69)$$

S. Ulmer *et al.*, Nature 524, 196 (2015)



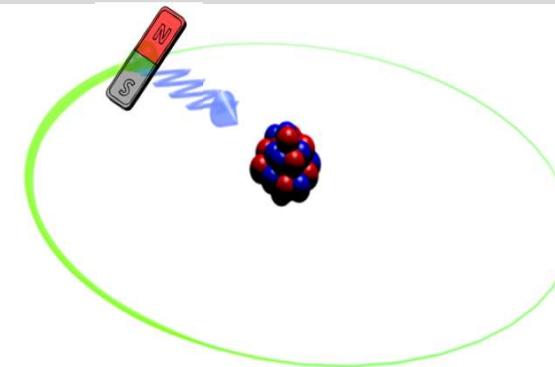
It is not that easy!

$$m_{H^-} = m_p \left( 1 + 2 \frac{m_e}{m_p} + \frac{\alpha_{\text{pol}, H^-} B_0^2}{m_p} - \frac{E_b}{m_p} - \frac{E_a}{m_p} \right)$$

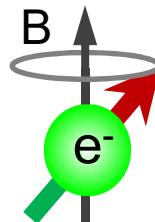


# The $g$ -factor of the bound electron

Study one electron bound to the nucleus, e.g.  $^{12}\text{C}^{5+}$  (highly charged ions)



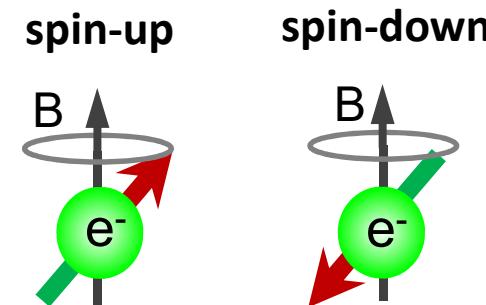
$g$ -factor: measure for the magnetic strength of the bound electron



Electron acts like a spinning top in the magnetic field with frequency  $\omega_L$

$$\omega_L = \frac{g}{2} \frac{e}{m_e} B$$

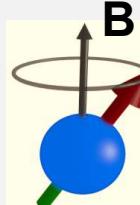
Electron can be in spin-up or spin-down state with transition frequency  $\omega_L$



# Measurement principle

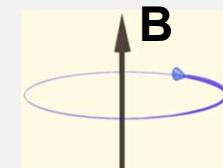
Measurement of the Larmor frequency  
in a well-known magnetic field:

$$\omega_L = \frac{g}{2} \frac{e}{m_e} B$$



Measurement of the free cyclotron  
frequency to determine the  
magnetic field:

$$\omega_c = \frac{q_{ion}}{m_{ion}} B$$

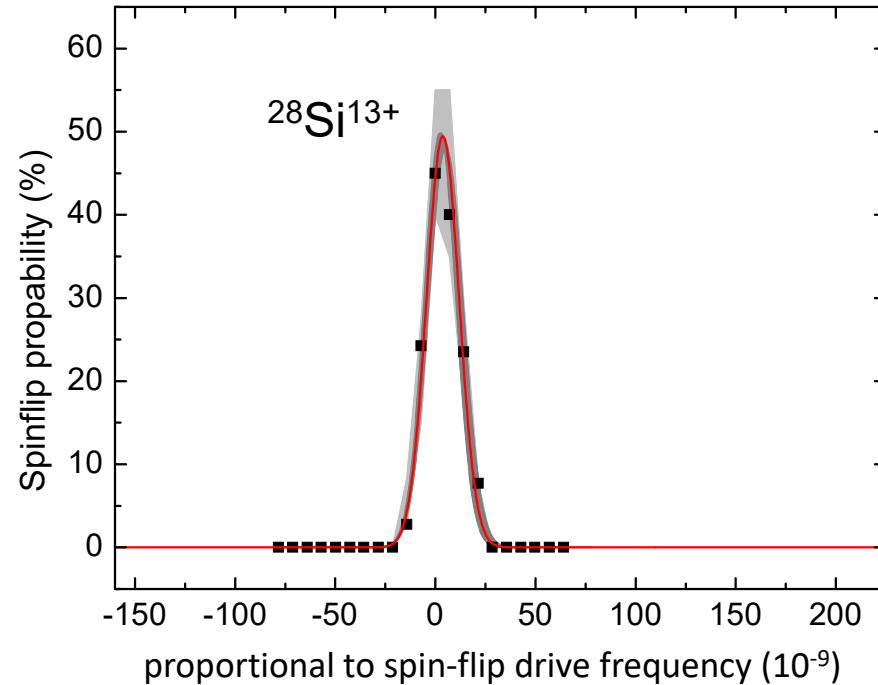
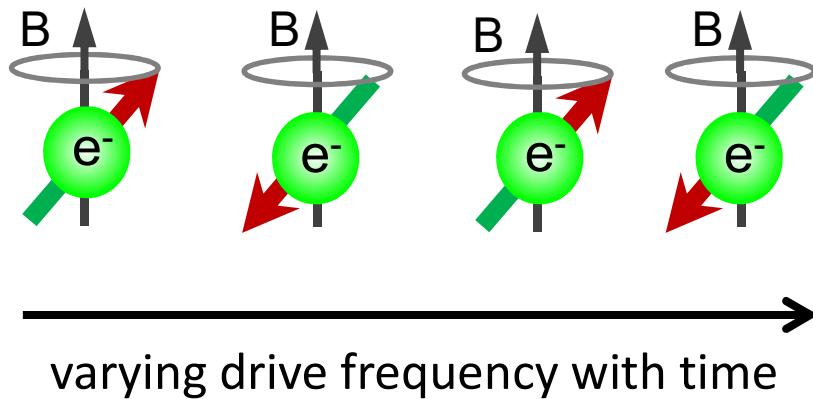


$$g = 2 \frac{\omega_L}{\omega_c} \frac{q_{ion}}{m_{ion}} \frac{m_e}{e} = 2 \Gamma \frac{q_{ion}}{m_{ion}} \frac{m_e}{e}$$

has to be  
determined

Measured by  
independent  
precision  
experiments

# Test of QED in strong fields



$$g_{\text{exp}} = 1.995 \ 348 \ 958 \ 7 \ (5)(3)(8)$$
$$g_{\text{theo}} = 1.995 \ 348 \ 958 \ 0 \ (17)$$

$m_e$  can be improved if  
repeated for  $^{12}\text{C}^{5+}$

most stringent test of bound-state QED in strong fields

Theory colleagues: Harman, Keitel, Zatorski

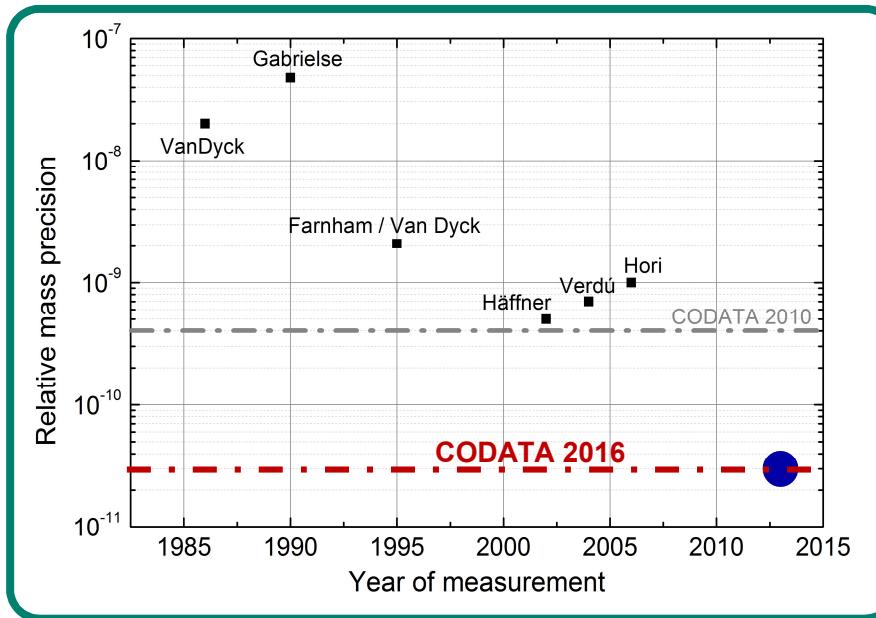
S. Sturm *et al.*, Phys. Rev. Lett. 107, 023002 (2011)  
A. Wagner *et al.*, Phys. Rev. Lett. 110, 133003 (2013)  
I. Arapoglou *et al.*, Phys. Rev. Lett., 122, 253001 (2019)



# A 13-fold improved mass of the electron

***g*-factor of hydrogenlike carbon**

$$m_e = \frac{g_{theo}}{2} \frac{\omega_c}{\omega_L} \frac{e}{q_{ion}} m_{ion}$$



$$m_e = 0.000548\,579\,909\,067(14)(9)(2)\text{u}$$

**A factor of 13  
improved value !**

S. Sturm *et al.*, Nature 506, 467 (2014)



Sep 1<sup>st</sup>, 2021

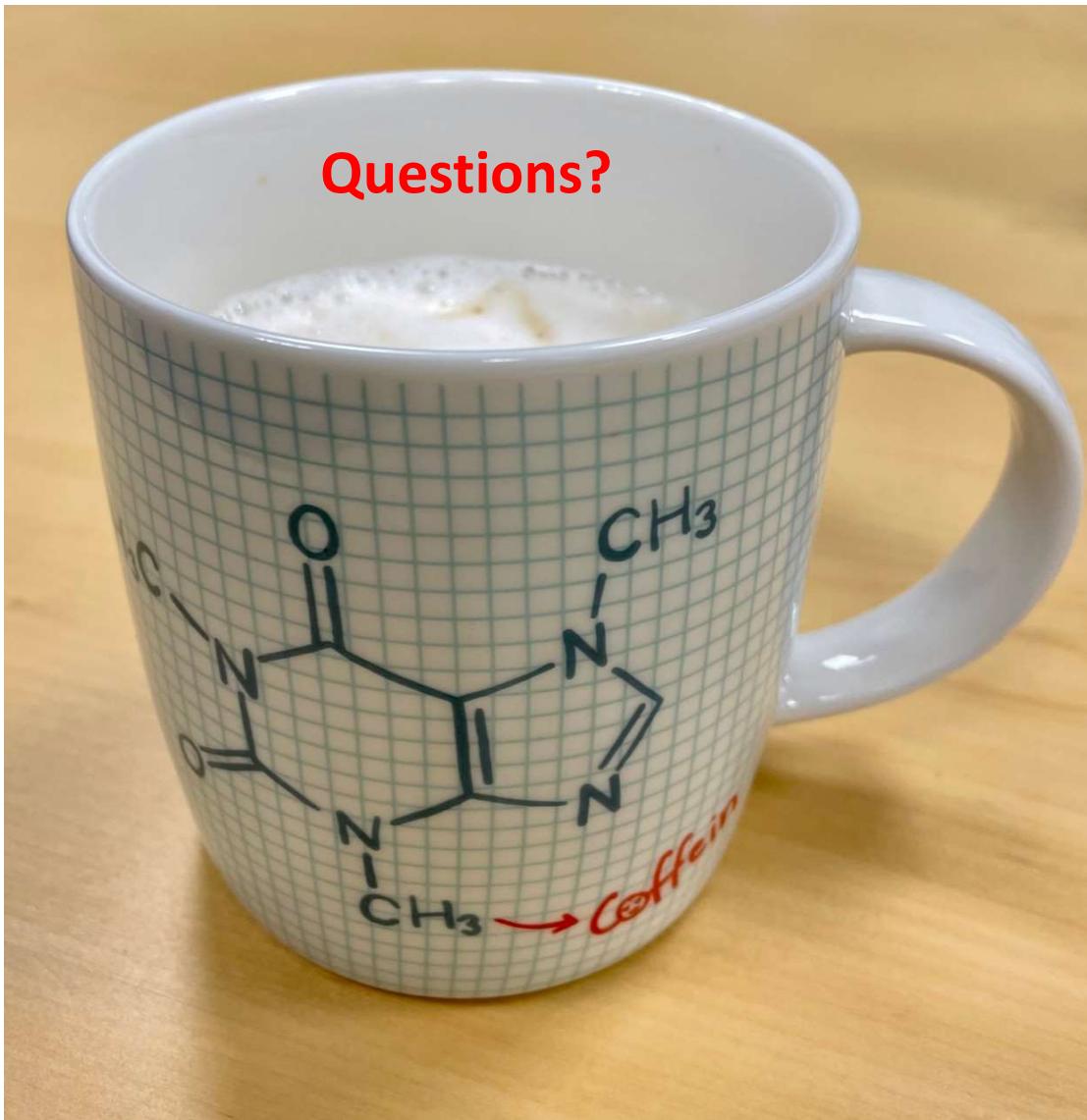
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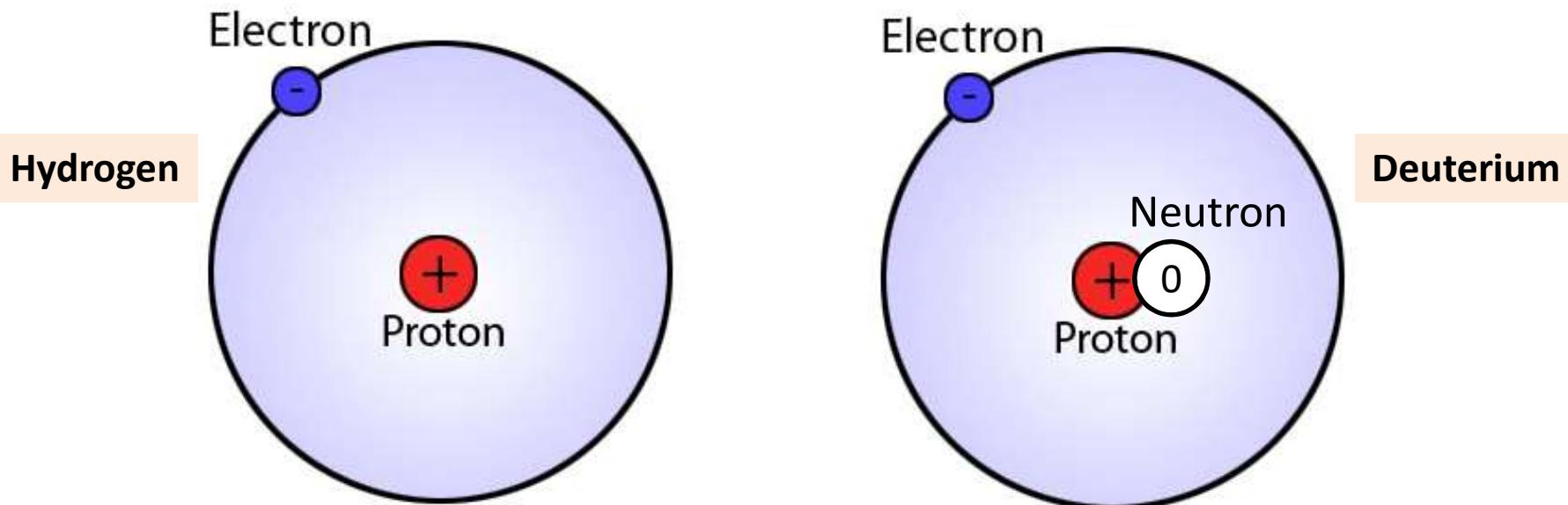
# Time for a short break

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# The building blocks of matter

The atomic mass of the proton and electron and neutron ☺



Electron: previous best value  
improved by a factor of 13

$$m_e = 0.000\,548\,579\,909\,067(17) \text{ u}$$

Proton: previous best value  
improved by a factor of 3

$$m_p = 1.007\,276\,466\,583(33) \text{ u}$$

deuteron: previous best value  
improved by a factor of ~3

$$m_d = 2.013\,553\,212\,535(17) \text{ u}$$

Nature **506** (2014) 467

Phys. Rev. Lett. **119** (2017) 033001

Nature **585** (2020) 43



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# An easy image of our precision regime

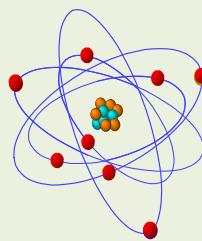


$$m_{\text{stamp}} \approx 4 \text{ mg}$$

$$\frac{m_{\text{stamp}}}{m_{\text{A380}}} \approx 8 \cdot 10^{-12}$$

$$m_{\text{A380}} = 500 \text{ T} = 500.000.000.000 \text{ mg} = 5 \cdot 10^{11} \text{ mg}$$

BUT: Precision  
achieved on the  
atomic scale!



in the near future  
 $1-3 \cdot 10^{-12}$



# Impact of precision fundamental masses

## 2018 CODATA Recommended Values of the Fundamental Constants of Physics and Chemistry

### 2018 CODATA RECOMMENDED VALUES OF THE FUNDAMENTAL CONSTANTS OF PHYSICS AND CHEMISTRY NIST SP 959 (June 2019)

An extensive constants list is available at [physics.nist.gov/constants](http://physics.nist.gov/constants).

Quantity	Symbol	Numerical value	Unit
* <sup>133</sup> Cs hyperfine transition frequency	$\Delta\nu_{\text{Cs}}$	9 192 631 770	Hz
*speed of light in vacuum	$c$	299 792 458	$\text{m s}^{-1}$
*Planck constant	$h$	$6.626\,070\,15 \times 10^{-34}$	$\text{J Hz}^{-1}$
	$\hbar$	$1.054\,571\,817 \dots \times 10^{-34}$	$\text{J s}$
*elementary charge	$e$	$1.602\,176\,634 \times 10^{-19}$	C
*Avogadro constant	$N_A$	$6.022\,140\,76 \times 10^{23}$	$\text{mol}^{-1}$
*Boltzmann constant	$k$	$1.380\,649 \times 10^{-23}$	$\text{J K}^{-1}$
*luminous efficacy	$K_{cd}$	683	$\text{lm W}^{-1}$
electron volt ( $e/\text{C}$ ) J	$eV$	$1.602\,176\,634 \times 10^{-19}$	J
Josephson constant $2e/h$	$K_J$	$483\,597\,848\,4 \dots \times 10^9$	$\text{Hz V}^{-1}$
von Klitzing constant $2\pi\hbar/e^2$	$R_K$	25 812.807 45...	$\Omega$
molar gas constant $N_A k$	$R$	$8.314\,462\,618 \dots$	$\text{J mol}^{-1} \text{K}^{-1}$
Stefan-Boltzmann const. $\pi^2 k^4/(60h^3 c^2)$	$\sigma$	$5.670\,374\,419 \dots \times 10^{-8}$	$\text{W m}^{-2} \text{K}^{-4}$

\*Defining constants of the International System of Units (SI).

Quantity	Symbol	Numerical value	Unit
(unified) atomic mass unit $\frac{1}{12}m(^{12}\text{C})$	$u$	$1.660\,539\,066\,60(50) \times 10^{-27}$	kg
Newtonian constant of gravitation	$G$	$6.674\,30(15) \times 10^{-11}$	$\text{m}^3 \text{kg}^{-1} \text{s}^{-2}$
fine-structure constant $e^2/(4\pi\epsilon_0\hbar c)$	$\alpha$	$7.297\,352\,5693(11) \times 10^{-3}$	
inverse fine-structure constant	$\alpha^{-1}$	137.035 999 084(21)	
Rydberg frequency $\alpha^2 m_e c^2/(2\hbar)$	$cR_\infty$	$3.289\,841\,960\,2508(64) \times 10^{15}$ Hz	
vac. magnetic permeability $4\pi\mu_0 h/(c^2 e)$	$\mu_0$	$1.256\,637\,062\,12(19) \times 10^{-6}$ N A <sup>-2</sup>	
vac. electric permittivity $1/(\mu_0 c^2)$	$\epsilon_0$	$8.854\,187\,8128(13) \times 10^{-12}$ F m <sup>-1</sup>	
electron mass	$m_e$	$9.109\,383\,7015(28) \times 10^{-31}$	kg
proton mass	$m_p$	$1.672\,621\,923\,69(51) \times 10^{-27}$	kg
proton-electron mass ratio	$m_p/m_e$	1836.152 673 43(11)	
reduced Compton wavelength $h/(m_e c)$	$\lambda_C$	$3.861\,592\,6796(12) \times 10^{-13}$	m
Bohr radius $\hbar/(e m_e c)$	$a_0$	$5.291\,779\,109\,03(80) \times 10^{-11}$	m
Bohr magneton $ch/(2m_e)$	$\mu_B$	$9.274\,010\,0783(28) \times 10^{-24}$ JT <sup>-1</sup>	

The number in parentheses is the one-sigma ( $1\sigma$ ) uncertainty in the last two digits of the given value.



**NIST**  
National Institute of  
Standards and Technology  
U.S. Department of Commerce

FRONT SIDE

BACK SIDE

5 out of 13 secondary fundamental constants  
are determined by our results!



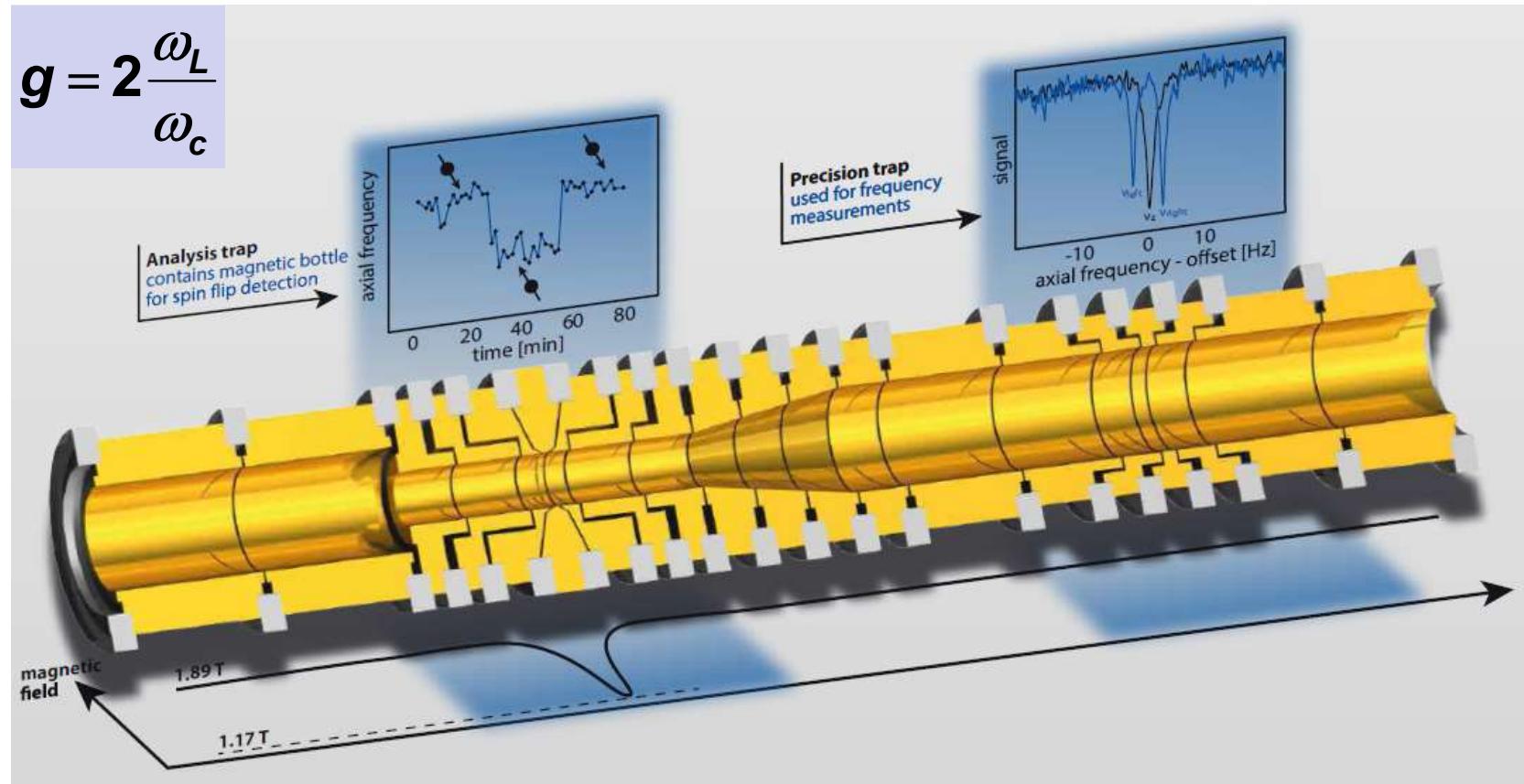
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# The (anti-)proton magnetic moment



$$\mu_p = 2.792\,847\,344\,62(82) \mu_N$$

(0.3 ppb)

G. Schneider *et al.*, Science 358, 1081 (2017)

$$\mu_{\bar{p}} = -2.792\,847\,344\,1(42) \mu_N$$

(1.5 ppb)

Ch. Smorra *et al.*, Nature 550, 371 (2017)



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# $g$ -factor ${}^3\text{He}$

## $g_I$ (nuclear $g$ -factor)

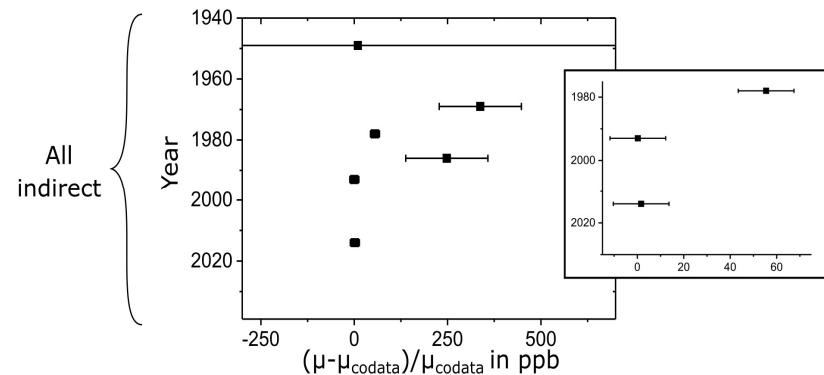
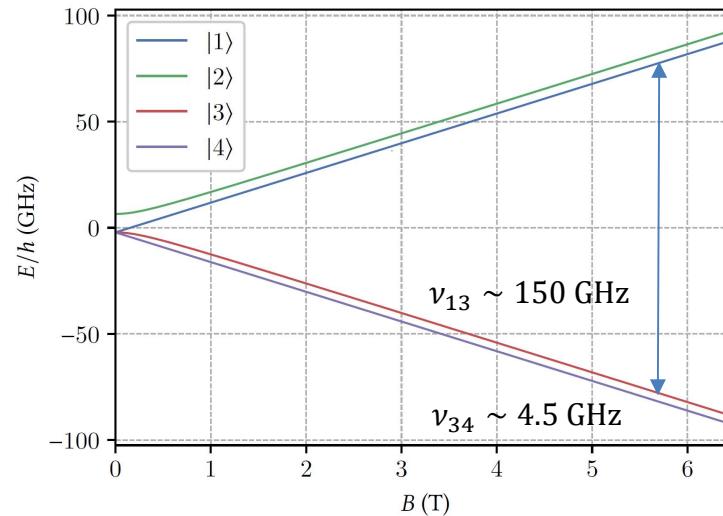
- Establish  ${}^3\text{He}$  NMR probes for accurate magnetometry

Dependence on temperature	1	1/100
Dependence on probe shape	1	1/1000
Diamagnetic shielding	1 measured	1/10 calculated

- Application: muon  $g-2$

## $\Delta E_{\text{HFS}}$ (zero-field hyperfine splitting)

- Extract nuclear structure, e.g. Zemach radius, with help by theory

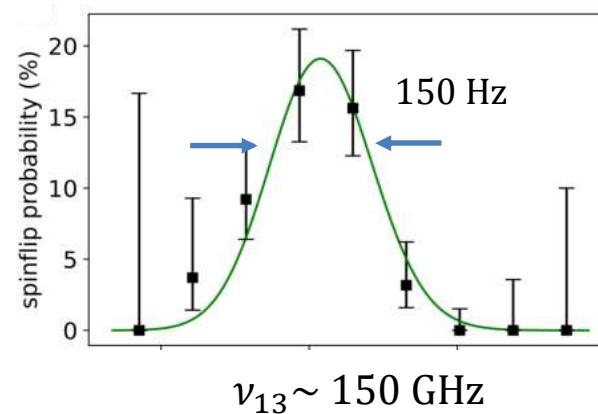
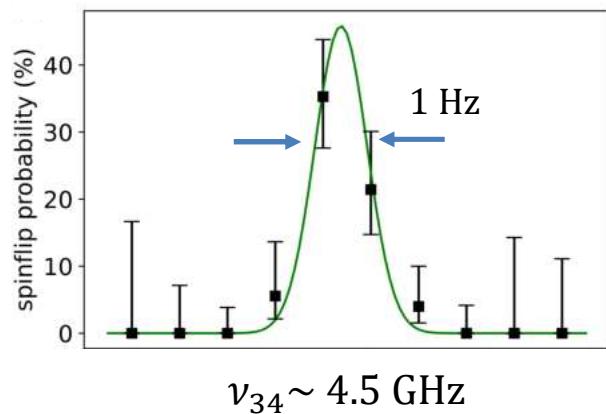


Past, indirect measurements of the nuclear magnetic moment

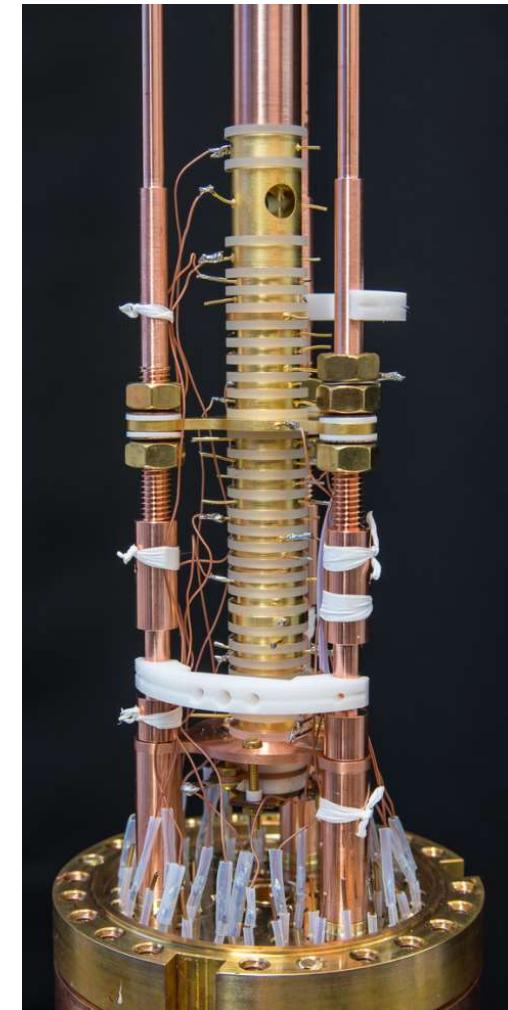


# $g$ -factor ${}^3\text{He}$

HFS measurement is completed – preliminary results

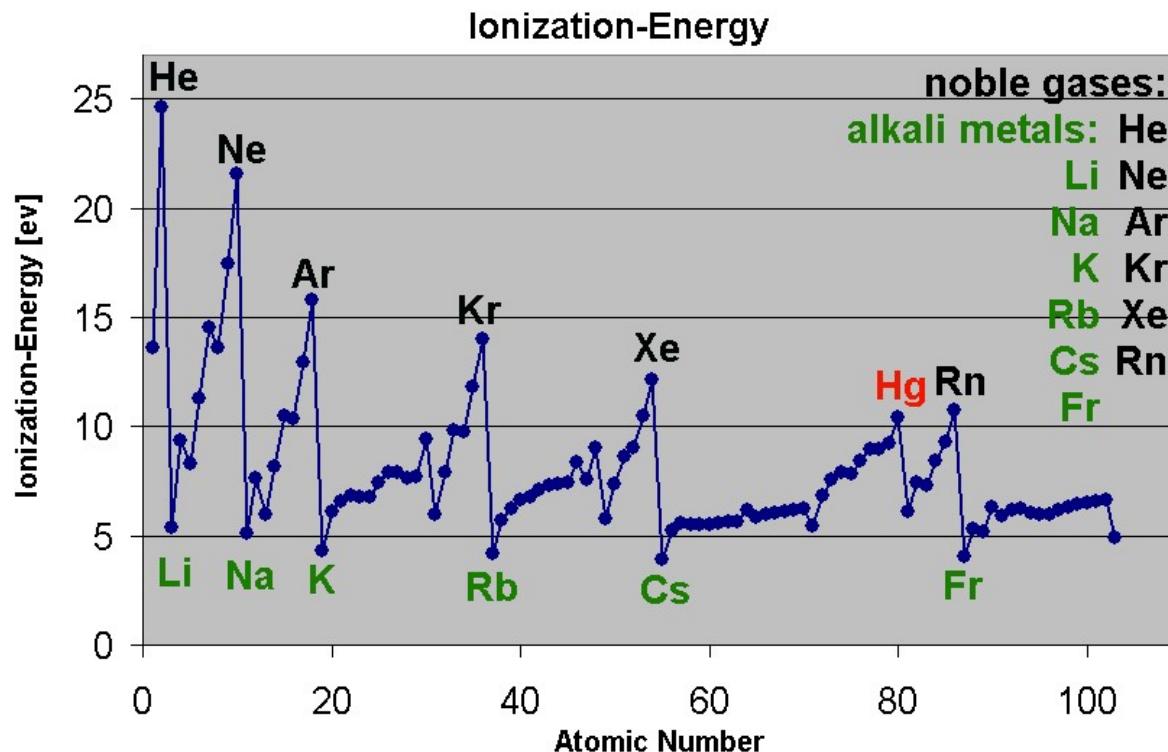


- $g_I$  improved by factor of 20 to precision of 1ppb
- Zemach radius determined
- $g_e$  tested against BS-QED on the  $10^{-10}$  level



# Atomic masses II

## Electron binding and excitation energies

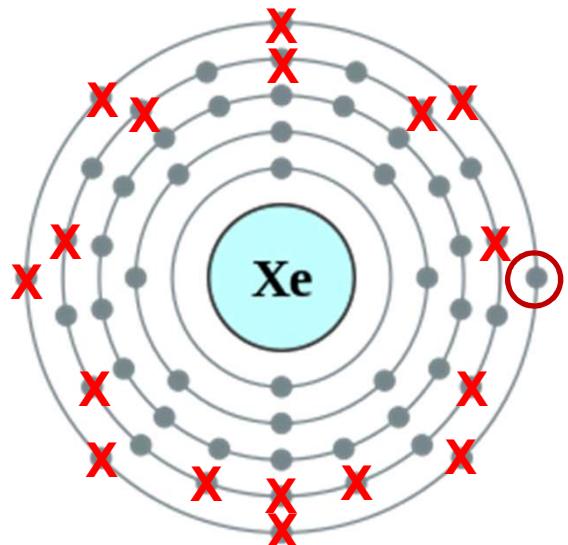


PENTATRAP: MPIK, Uni HD, St. Petersburg

J. Crespo, S. Eliseev, M. Haverkort, Y. Novikov, Z. Harman



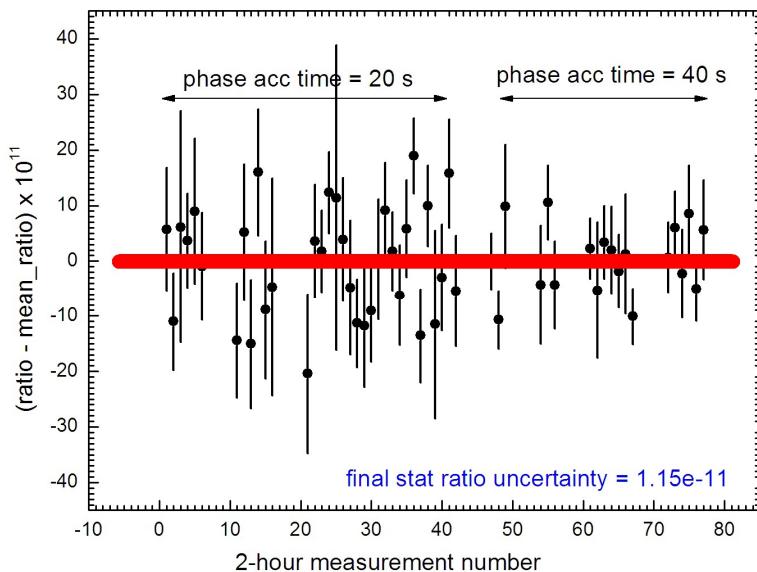
# Weighing electron binding energies



Electron configuration of xenon ( $Z = 54$ ):

$1s^2 2s^2 2p^6 3s^2 3p^6 4s^2 3d^{10} 4p^6 5s^2 4d^{10} 5p^6$   
[Kr]  $5s^2 4d^{10} 5p^6$

Measure the mass difference of  $Xe^{17+}$  and  $Xe^{18+}$   
yields the binding energy of the 18<sup>th</sup> electron.  
→ *Stringent tests of many electron calculations!*



PENTATRAP@MPIK:

$$\delta m/m_{\text{stat}} = 1.1 \cdot 10^{-11} \quad (\delta m \sim 1.4 \text{ eV})$$

<u>Exp.:</u>	$B(4d^1)_{Xe} = 432.4 \text{ (1.3)(3.4) eV}$
<u>Theorie :</u>	$B(4d^1)_{Xe} = 432.4 \text{ (3.0) eV (PI)}$
	$B(4d^1)_{Xe} = 435.1 \text{ (1.0) eV (ZH)}$



Test of many electron calculations

Rischka et al., PRL 124, 111301 (2020)



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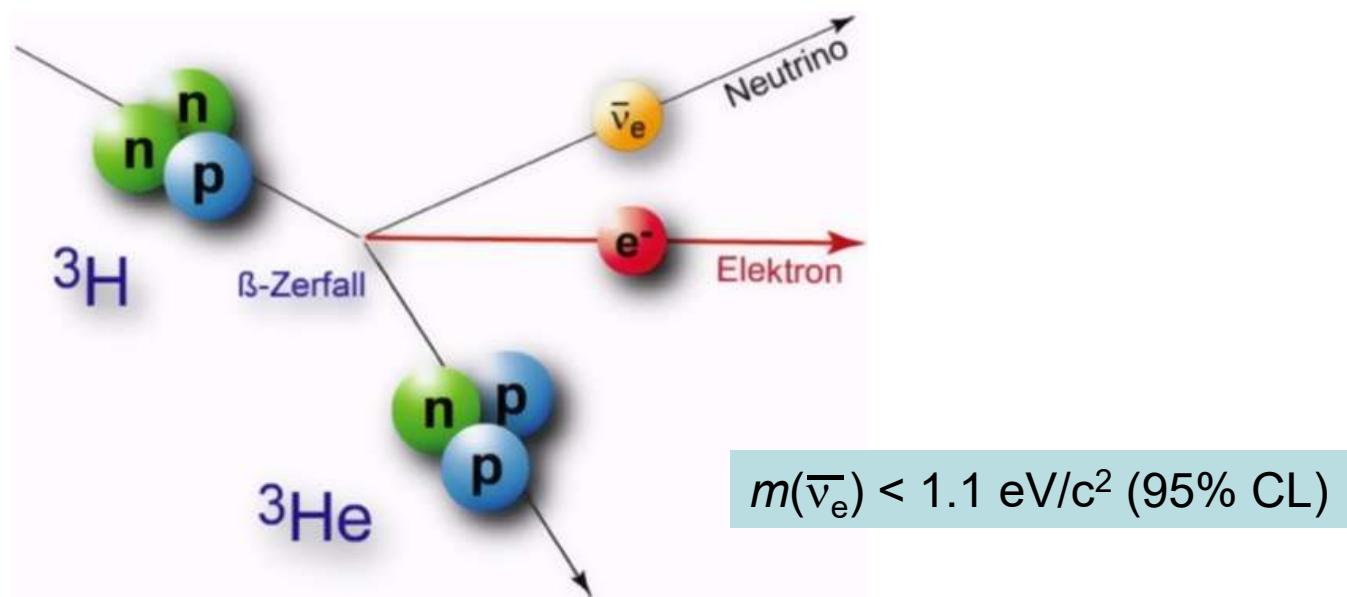
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# Atomic physics isn't that easy

## Atomic mass differences and ν-physics



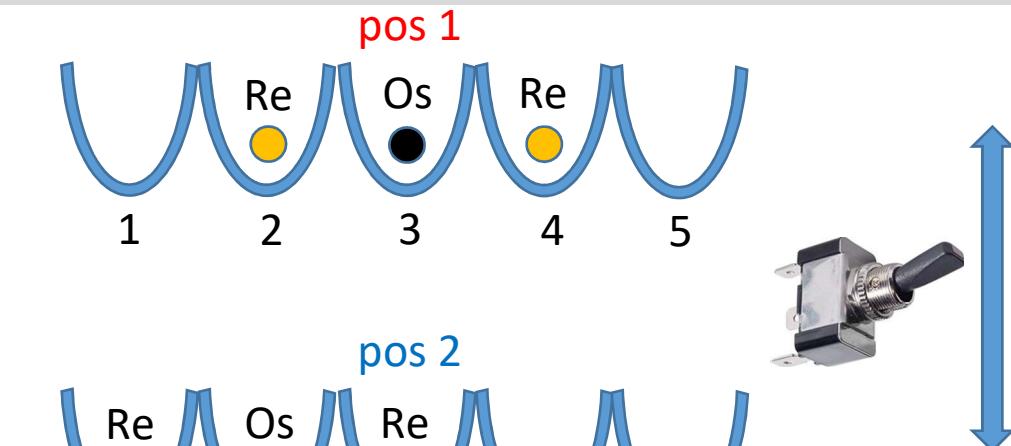
$\beta^-$  -decay of  ${}^{187}\text{Re}$

$$R = \frac{\nu_c({}^{187}\text{Os}^{29+})}{\nu_c({}^{187}\text{Re}^{29+})}$$

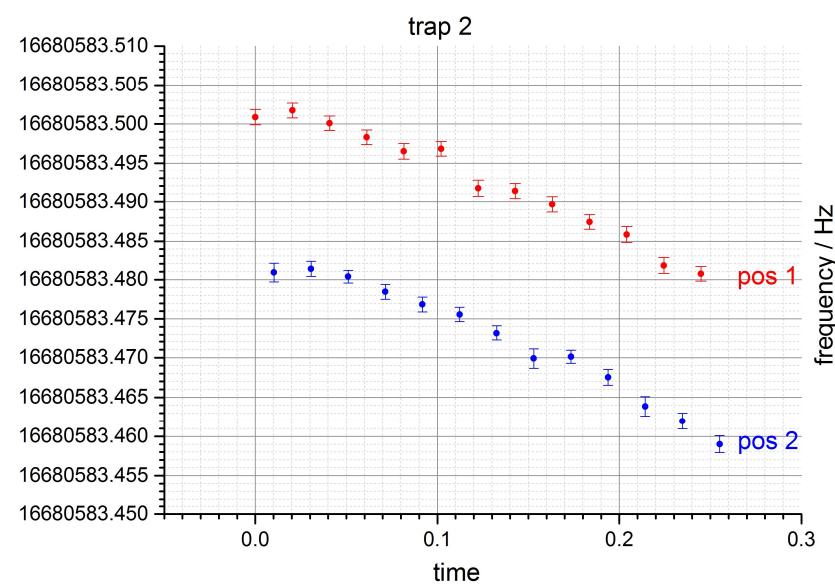
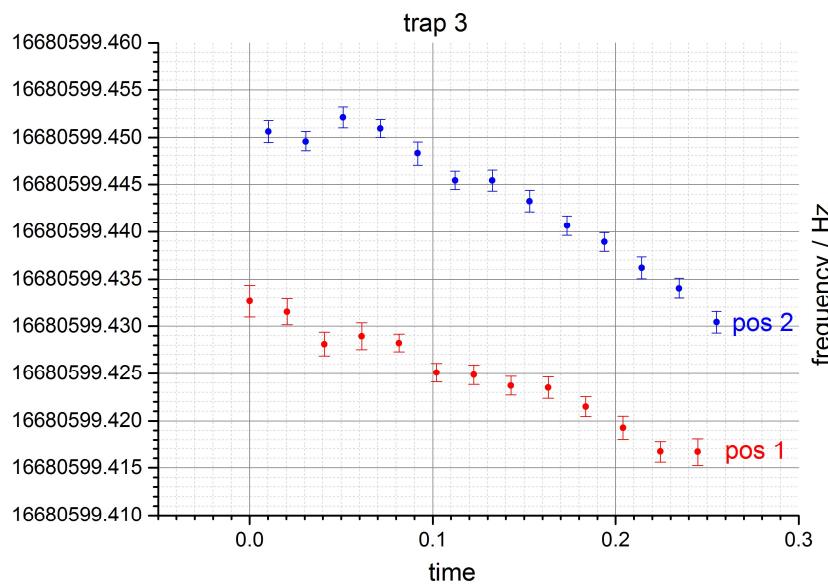
$$Q = M({}^{187}\text{Re}) - M({}^{187}\text{Os}) = M({}^{187}\text{Re}^{29+}) - M({}^{187}\text{Os}^{29+}) + \Delta B = M({}^{187}\text{Os}^{29+}) \cdot [R - 1] + \Delta B$$



# Highly charged Re and Os ions



- ❖ Change position every 30 min
- ❖ Measurement of  $v_+$ ,  $v_z$ ,  $v_-$
- ❖ Phase detection method
- ❖ Storage time of days



# Results

For  $\text{Re}^{29+}$  ( $Z = 75$ ) vs.  $\text{Os}^{29+}$  ( $Z = 76$ ) we measure two ratios with a 50/50 probability:

$$R_1 = 1.000000013886(15)$$

$$R_2 = 1.000000015024(12)$$

- $\text{Os}^{29+}$  vs.  $\text{Os}^{29+}$  measurements yield always unity.
- $\text{Re}^{29+}$  vs.  $\text{Re}^{29+}$  measurements yield either unity or  $1+1.14\cdot10^{-9}$ .

## Conclusions:

- (1) Ions in the EBIT can be produced in various stable electron configurations.
- (2) In  $\text{Re}^{29+}$  we observe two stable states. One with  $R_1$  is probably the ground state.

## Tasks for theoreticians:

- (1) Calculation of the total binding-energy difference for  $\text{Re}^{29+}/\text{Os}^{29+}$  in order to calculate the  $Q$ -value of the beta-decay of  $^{187}\text{Re}$ .

Filianin *et al.*, PRL 127, 072502 (2021)

$$\delta m/m = 5 \cdot 10^{-12}$$

- (2) Calculation of the energy of the metastable states.

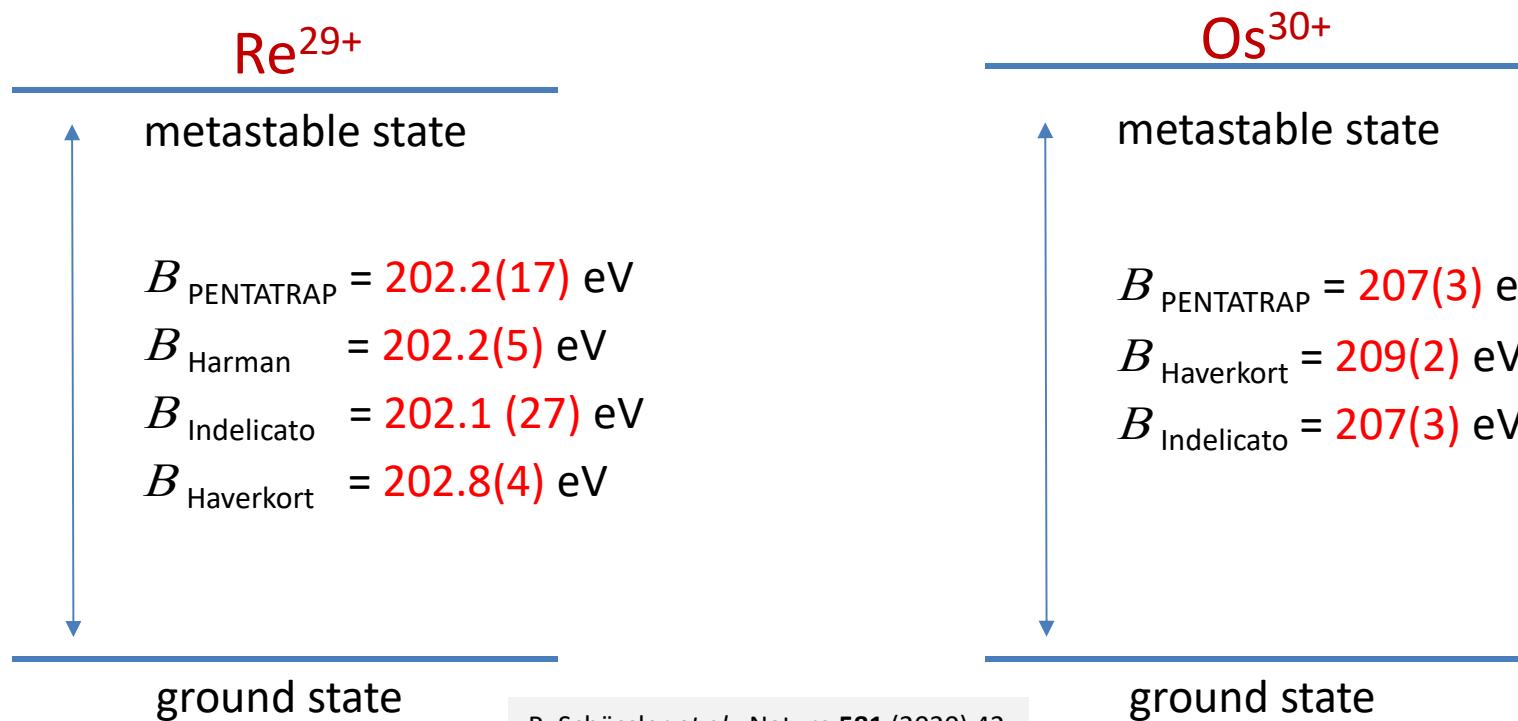


# Weighing of different electron config.

Ground-state configuration of  $\text{Re}^{29+}$  and  $\text{Os}^{30+}$ :  $[\text{Kr}] 4d^{10}$

→ Metastable state  $[\text{Kr}] 4d^9 4f^1$  with  $E_{\text{exc}} \approx 200 \text{ eV}$  in  $\text{Re}^{29+}$   
↳ Similar state in  $\text{Os}^{30+}$  expected!

In collaboration with  
Harman, Haverkort,  
Indelicato, Keitel



R. Schüssler *et al.*, Nature 581 (2020) 42

Possible application: search for suitable clock transitions



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# Probe for new force carriers

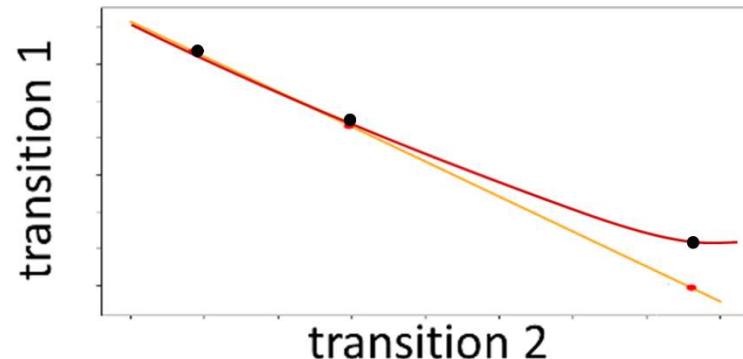
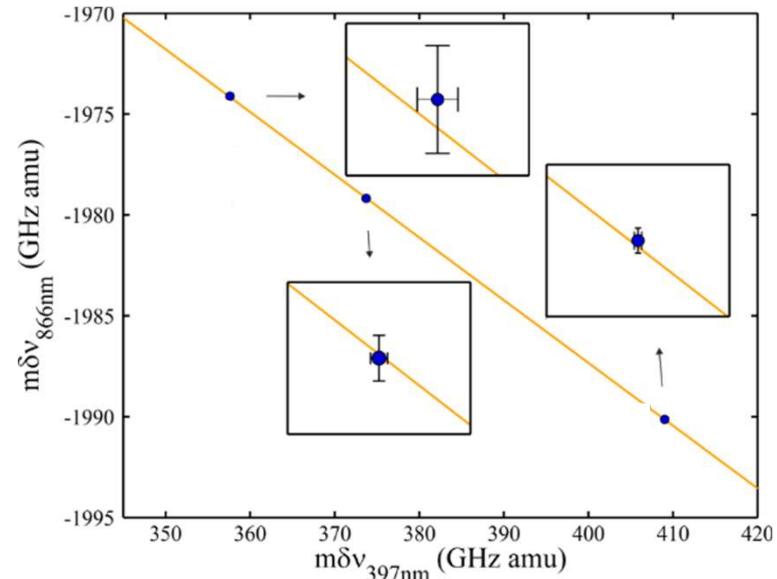
## Isotope shift spectroscopy: 5<sup>th</sup> force?

- $\delta\nu_i^{A,A'} = F_i \delta\langle r^2 \rangle_{A,A'} + k_i \frac{A-A'}{AA'}$
- use 2 transitions  $i, j$   
→ eliminate  $\delta\langle r^2 \rangle_{A,A'}$

- new force mediated through scalar field with mass  $m_\phi \rightarrow X_i$
- coupling to neutrons:  $y_n$
- coupling to electrons:  $y_e$
- nonlinearity in King's plot:

$$\delta\nu_i^{A,A'} = F_i \delta\langle r^2 \rangle_{A,A'} + k_i \frac{A-A'}{AA'} + \\ + \alpha_{NP} X_i (A - A')$$

Berengut *et al.*, PRL **120**, 091801 (2018); Ozeri *et al.* (2020)



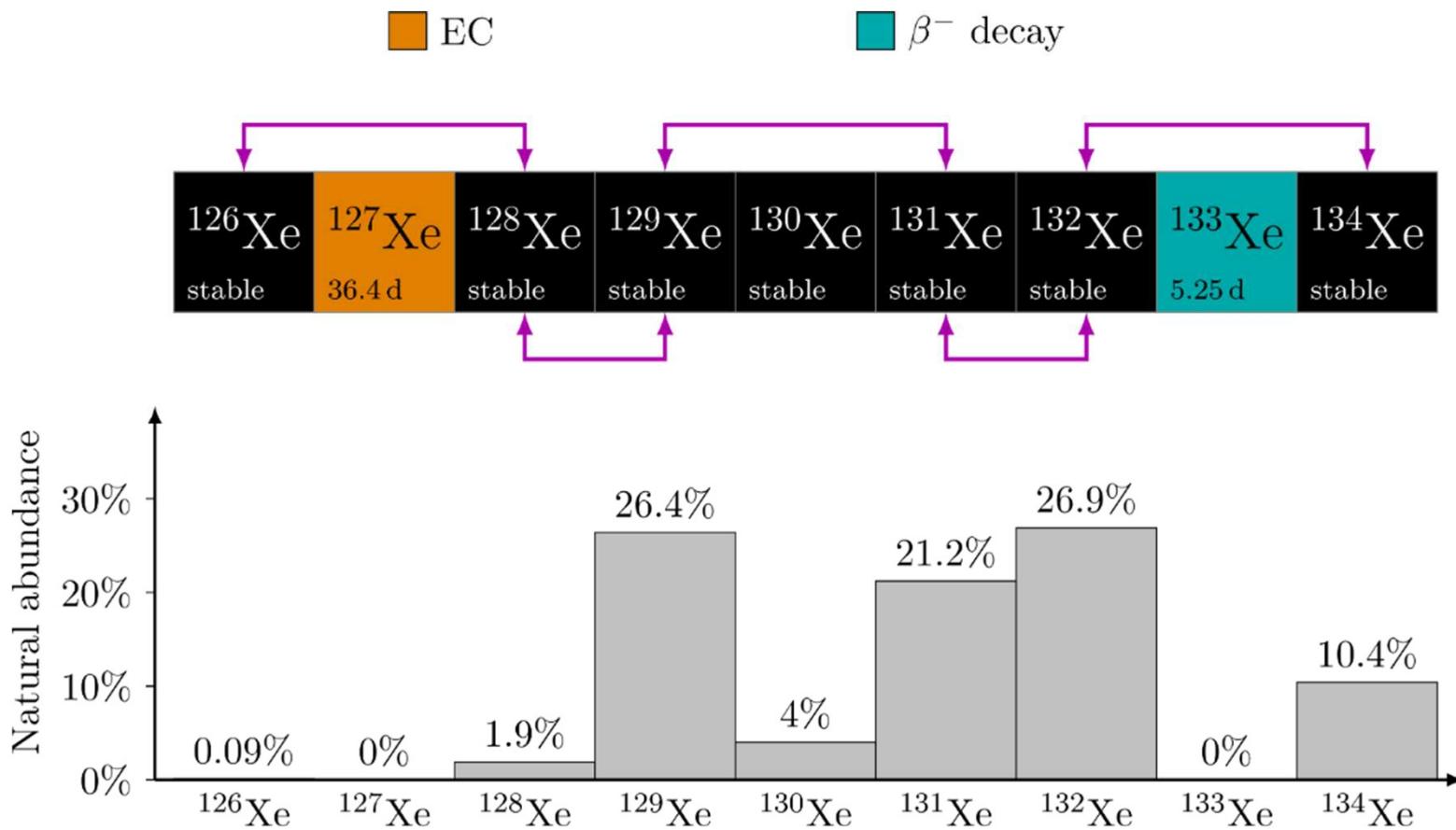
High-precision atomic and nuclear spectroscopy measurements needed!



# Xe mass-ratio measurements

Motivation: 5<sup>th</sup> force search using King-plot analysis in Ca, Sr, Yb

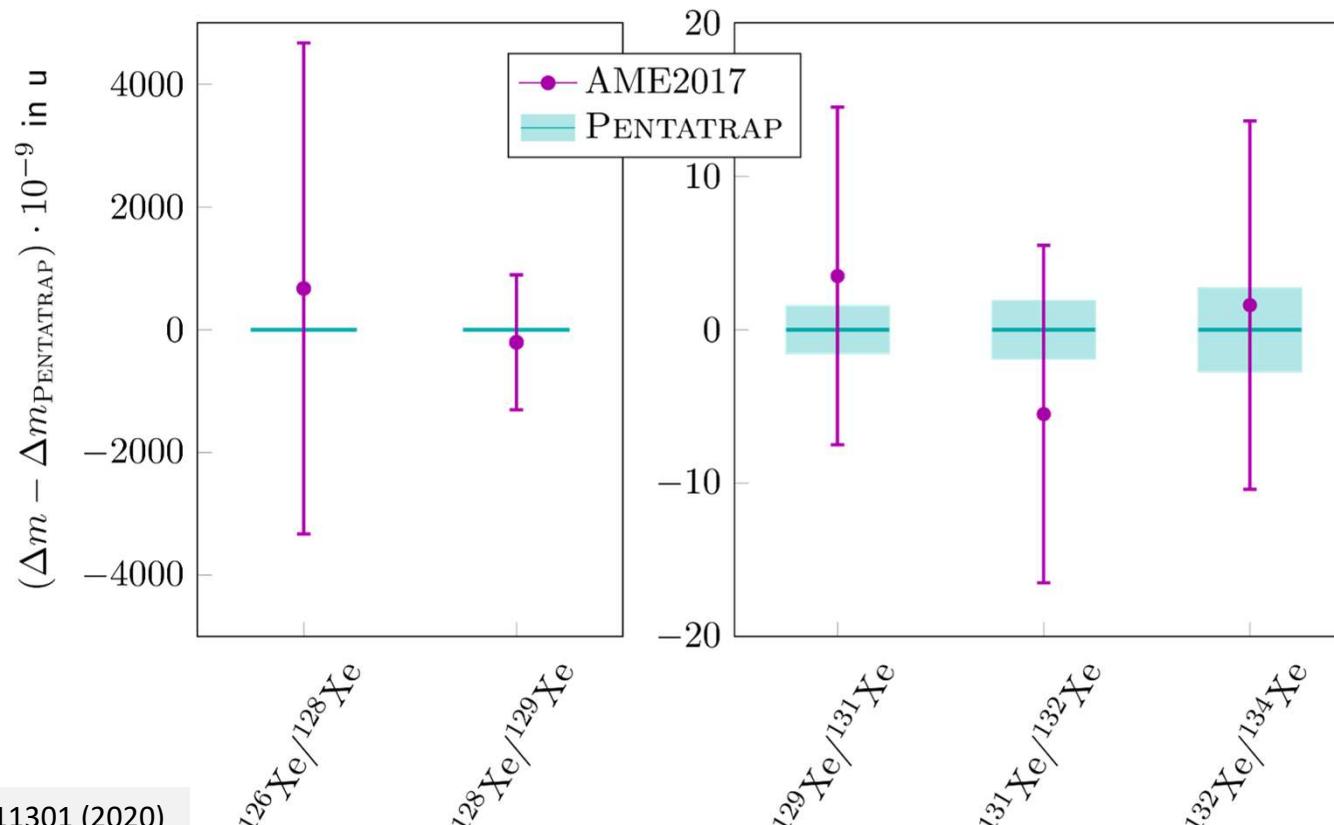
Mass-ratio uncertainties of  $10^{-11}$  and below required!



# Xe mass-ratio measurements

Motivation: 5<sup>th</sup> force search using King-plot analysis in Ca, Sr, Yb

Mass-ratio uncertainties of  $10^{-11}$  and below required!



Rischka *et al.*, PRL 124, 111301 (2020)

Improvement factor:    1700    740    7    6    4



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# Summary and Outlook

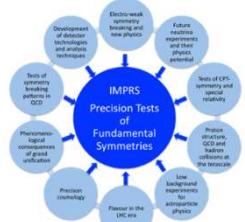
*Precision Penning-trap spectroscopy has reached an amazing precision even on exotic systems and has opened up many new fields of research!*

## What comes next:

- (1) Laser cooling of protons, antiprotons and HCl      Bohman *et al.*, Nature **596**, 514 (2021)
- (2) Reaching hydrogenlike Pb<sup>81+</sup>
- (3) Determination of finestructure constant  $\alpha$
- (4) Most stringent test of  $E = mc^2$



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