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Introduction. We learned in the lectures that the KLT-form of the double copy is

$$M_n^{L\otimes R} = \sum_{\alpha, \beta} A_n^L[\alpha] S_n[\alpha|\beta] A_n^R[\beta], \quad (1)$$

where α and β each index a set of R_n color-orderings and $S_n[\alpha|\beta]$ is the *double-copy kernel*. We also learned that the field theory kernel S_n is the inverse of a rank $R_n = (n - 3)!$ submatrix of bi-adjoint scalar (BAS) tree amplitudes m_n which are calculated from the Lagrangian (The Lagrangian is not needed for the problem, so don't worry about it if you are not familiar with it)

$$\mathcal{L}_{\text{BAS}} = -\frac{1}{2} \left(\partial_\mu \phi^{aa'} \right)^2 - \frac{g}{6} f^{abc} \tilde{f}^{a'b'c'} \phi^{aa'} \phi^{bb'} \phi^{cc'}. \quad (2)$$

In this problem, we explore a generalization of the double-copy kernel that arises from adding terms of the schematic form ϕ^4 to \mathcal{L}_{BAS} . The purpose of the problem is to allow you to get some hands-on experience with the double-copy in the KLT-form and it will prepare you to do your own future explorations of the double-copy. Note: Mathematica (or similar) may be helpful.

Background

We start with the usual field theory KLT double-copy as a warm-up. The tree amplitudes of a bi-adjoint scalar can be doubly color-decomposed to give partial color-ordered amplitudes $m_n[\beta|\alpha]$ where β and α run over $(n - 1)!$ independent color-orderings. We focus on 4-point so we have 6 color-orderings:

$$1234, 1243, 1324, 1342, 1423, 1432. \quad (3)$$

To simplify our analysis, we use trace-reversal symmetry (the three Kleiss-Kuijf (KK) relations discussed in Lecture 4) together with cyclic symmetry to reduce the number of independent color-orderings to 3. For example, $1432 = 4321 = 1234$. We let the 3 orderings label the rows and columns of the the 3×3 matrix \mathbf{m}_4 of tree amplitudes $m_n[\beta|\alpha]$:

$$\mathbf{m}_4 = \begin{pmatrix} m_4[1234|1234] & m_4[1234|1243] & m_4[1234|1324] \\ m_4[1243|1234] & m_4[1243|1243] & m_4[1243|1324] \\ m_4[1324|1234] & m_4[1324|1243] & m_4[1324|1324] \end{pmatrix}. \quad (4)$$

These 9 matrix elements are not independent: they are related by cyclicity and momentum relations and there are only 2 independent functions, namely

$$\begin{aligned} m_4[1234|1234] &= f_1(s, t) & \text{with } f_1(s, t) &= f_1(u, t) \text{ by cyclicity,} \\ m_4[1234|1243] &= f_2(s, t), \\ m_4[1234|1324] &= f_2(u, t). \end{aligned} \quad (5)$$

where $s = s_{12}$, $t = s_{13}$, and $u = u_{14}$ satisfy $s + t + u = 0$. The last line follows from

$$m_4[1234|1324] = m_4[4123|4132] = m_4[1234|1243] \Big|_{1 \rightarrow 4 \rightarrow 3 \rightarrow 2 \rightarrow 1} = f_2(u, t). \quad (6)$$

The rest of the elements in the matrix (4) can likewise be expressed in terms of f_1 and f_2 , for example

$$m_4[1243|1234] = m_4[1234|1243] \Big|_{3 \leftrightarrow 4} = f_2(s, u). \quad (7)$$

Now it is time for you to calculate!

Problem 0: Express the 3×3 matrix (4) in terms of f_1 and f_2 .

You'll be using this result in Problems 1 and 2 below. In Problem 1, we consider the usual double-copy with a kernel based on the the BAS model, as described in the lecture. In Problem 2, you'll explore a new form of the double-copy based on a modification of the BAS model.

Problem 1: warm-up

(a) For the BAS model (2), we have

$$f_1(s, t) = \frac{g^2}{s} + \frac{g^2}{u} \quad \text{and} \quad f_2(s, t) = -\frac{g^2}{s}. \quad (8)$$

Show that with these functions, the matrix \mathbf{m}_4 in (4) has rank 1.

(b) When a 3×3 matrix has rank 1, it must have two null vectors. Show that

$$\mathbf{n}_1 = (1, 1, 1) \quad \text{and} \quad \mathbf{n}_2 = (1, -\frac{t}{u}, 0) \quad (9)$$

are null vectors of \mathbf{m}_4 .

(c) Consider now the color-ordered 4-point amplitudes A_4 in a single-color theory and construct a 3-vector

$$\mathbf{A}_4 = (A_4[1234], A_4[1243], A_4[1324]). \quad (10)$$

One can show in general (but you are not expected to do so) that the null vectors can be used to encode the KK + BCJ relations. In particular, as you can quickly see yourself,

$$\mathbf{n}_1 \cdot \mathbf{A}_4 = 0 \quad \text{and} \quad \mathbf{n}_2 \cdot \mathbf{A}_4 = 0 \quad (11)$$

are precisely the $U(1)$ decoupling identity and the BCJ relation described in Lecture 4. As an example, consider χ PT (chiral perturbation theory) which has

$$A_4[1234] = c_0 t. \quad (12)$$

(Often the coupling is written in terms of the pion decay constant as $c_0 = 1/f_\pi^2$.) Show that χ PT satisfies the 4-point KK + BCJ relations (11).

(d) Double-copy χ PT with itself and show that the result is the 4-point amplitude of the special Galileon. (Bonus for showing that your result is basis-independent.)

- (e) Let us now consider higher-derivative corrections to χ PT. As we learned in Lecture 1, it is a lot easier to enumerate local higher-derivative operators in terms of their matrix elements and in this case we can write

$$A_4[1234] = c_0 t + c_1 t^2 + c_2 s u + b_1 t^3 + b_2 s t u + \dots \quad (13)$$

Note that we are including only terms compatible with cyclic symmetry and trace-reversal. Subject this ansatz to the KKBCJ conditions to find out which constant Wilson coefficients c_1, c_2, b_1, b_2 are allowed as input for the double-copy. Then double-copy the answer and identify the local operator (in the schematic form $\partial^{2k}\phi^4$, don't worry about the color-contractions) that each term corresponds to.

The purpose of this exercise was to get you familiar with the KLT formulation of the double-copy and produce a result that you can compare your new answers to in the next problem with. Now the real work begins!

Problem 2: modifying the double-copy Consider the following modification of the BAS model with ϕ^4 operators:

$$f_1(s, t) = \frac{g^2}{s} + \frac{g^2}{u} + a_1 \quad \text{and} \quad f_2(s, t) = -\frac{g^2}{s} + a_2. \quad (14)$$

That there are two constants signify that there are two independent contractions of the bi-adjoint indices in ϕ^4 . For generic values of a_1 and a_2 , the 3×3 matrix \mathbf{m}_3 has full rank. But there are two choices that give rank 2. One of those choices is

$$a_1 = a_2. \quad (15)$$

This is the solution we'll study first. When \mathbf{m}_3 has rank 2, the KLT algebra dictates that the 4-point KLT sum must be taken over 2 color-orderings since S_4 is now the inverse of a 2×2 submatrix of \mathbf{m}_3 . The generalized KKBCJ relations (that follow from the null vector of \mathbf{m}_3) will ensure basis independence of which 2×2 submatrix is chosen for the kernel.

There is however an apparent problem with this new 2×2 double-copy kernel. Compute the determinant of some of the 2×2 submatrices. You'll see that they have zeroes that, when the submatrix is inverted, correspond to unphysical poles! It seems very dangerous to have unphysical poles in the double-copy kernel because of the risk that the result of the double copy, $M_n^{\text{L} \otimes \text{R}}$, on the RHS of (1), would inherit these spurious poles and therefore not correspond to a tree amplitude of a local field theory $\text{L} \otimes \text{R}$. Yet, there is a chance that spurious poles might cancel in the double-copy sum and that is exactly what you are now going to explore.

- (a) For the choice (15), go through the analysis parallel to what you did in Problem 1. Show that χ PT (12) can be double-copied with this rank 2 kernel: is the result local? Does it make sense?? What is it???

Compute the leading non-vanishing higher-derivative correction to χ PT which can be double-copied with the rank 2 kernel. Double-copy it. Compare your answer to the result for the rank 1 double-copy in Problem 1(e). Comment on the result.

- (b) Find the other choice of a_1 and a_2 that gives rank 2. Analyze it the same way as in part (a) above. What do you find??? Can you make $M_n^{L\otimes R}$ local? Tell me more!
- (c) Say something qualitatively about what kind of group-theory structures enter into the two ϕ^4 -operators the two types of rank 2 deformations correspond to.

If you are energetic, try to

- (d) do the analysis of the two rank 2 double-copies for YM theory: do both double-copies work? What about YM + higher derivatives?

There is no guarantee that the modified double-copy kernels we have studied here is going to be sensible for higher point amplitudes (i.e. avoid spurious poles). To examine this at 5- and 6-point is basically a research-project. Will some of you take it on? If so, keep me posted on your findings!!

Epilogue. I hope this problem raises some curiosity — and hopefully also questions. There are a few things I'd like to comment on here:

The addition of ϕ^4 moves the true vacuum away from the origin; however, we continue here to do perturbation theory around the origin for the purpose of computing the tree amplitudes. In a sense this is not worse than doing perturbation theory around $\phi = 0$ in a ϕ^3 theory, and by doing this we avoid issues of giving a vev to a bi-adjoint field. We are in no way saying that this model is a sensible *quantum* field theory; it is not, but neither is BAS. Rather, it is a tool for exploring the double-copy in a systematic manner.

Perhaps you wonder why even try to modify the double-copy? After all, the standard double-copy based on the BAS amplitudes works just fine, right? Or the strings KLT double-copy kernel I mentioned in the lectures, that one is great too... except that its α' -dependence is delicately tuned to the α' -dependence of the open string amplitudes. It is not entirely obvious that one should use the field theory kernel or the α' -expanded string kernel to double-copy an effective field theory, such as YM + higher-derivative operators, with general higher-derivative corrections. Hence, it is of interest to explore what higher-derivative corrections are generically allowed in the double-copy kernel and how that affects which EFTs can be double-copied. And what EFTs can arise as the double-copy of others.

Moreover, exploring the inner workings of the double-copy is a way to examine the intricate nature of the map and learn more about how it works. Modifying it is kind of like bombarding gold-foil with alpha-particles just to see what happens. We poke at the double-copy and try to break it to figure out what the rules are and learn more about what models can be double-copied and which ones cannot. In the case here, the modification to BAS appears to immediately break it by causing the double-copy kernel to have spurious poles. Yet, can it prevail? How and why and when? Does it continue to hold at higher-point or must one always work with kernels of “minimal” rank $(n - 3)$!? Is there some BCJ or CHY formulation of other double-copies? In the future, you guys may tell me the answers to these questions.