

# Heavy Quark Masses from QCD Sum Rules (with calibrated uncertainty)

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Work ongoing in collaboration with  
Jens Erler and Hubert Spiesberger  
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The Physics Case of the  
Weak Charge of Carbon-12  
IF-UNAM  
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**UAB**  
Universitat Autònoma  
de Barcelona

# Outline

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- Motivation and Introduction
- Using Sum Rules to extract  $m_Q$ 
  - overview
  - our proposal
- Conclusions and outlook

# Motivation: why $m_Q$ ?

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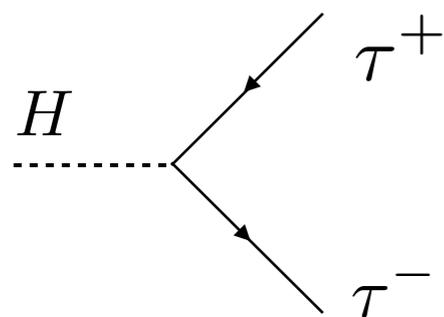
What is a quark mass?

From kinematics:

the position of the production threshold (applies for fundamental particles)

Pole Mass:  $M^2 = E^2 - p^2$

But particles are not really isolated



$$\Gamma(H \rightarrow \tau^+ \tau^-) \sim \frac{G_F M_\tau^2}{4\pi\sqrt{2}} M_H$$

What is  $M_\tau^2$  ?

QED correction  $\left(1 - \frac{\alpha}{\pi} \left(\frac{3}{2} \log \frac{M_H^2}{M_\tau^2} - \frac{9}{4}\right)\right)$

“running mass”

$$M_\tau(M_H) = M_\tau \left(1 - \frac{\alpha}{\pi} \left(\frac{3}{4} \log \frac{M_H^2}{M_\tau^2} + 1\right)\right)$$



depends on how to define the mass

# Motivation: why $m_Q$ ?

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Select the  $\overline{MS}$  scheme  $\longrightarrow m \rightarrow \overline{m}(\mu)$

$$\overline{m}_q(\mu) = M_q \left( 1 - \frac{\alpha}{\pi} \left( \frac{4}{3} + \log \frac{\mu^2}{M_q^2} \right) + \dots \right) \quad \text{known to } \alpha^4$$

$$M_t \sim 170\text{GeV} \longrightarrow \overline{m}_t(\overline{m}_t) \sim 160\text{GeV}$$

$$M_b \sim 4800\text{MeV} \longrightarrow \overline{m}_b(\overline{m}_b) \sim 4200\text{MeV}$$

large log's, resume them using renormalization group evolution

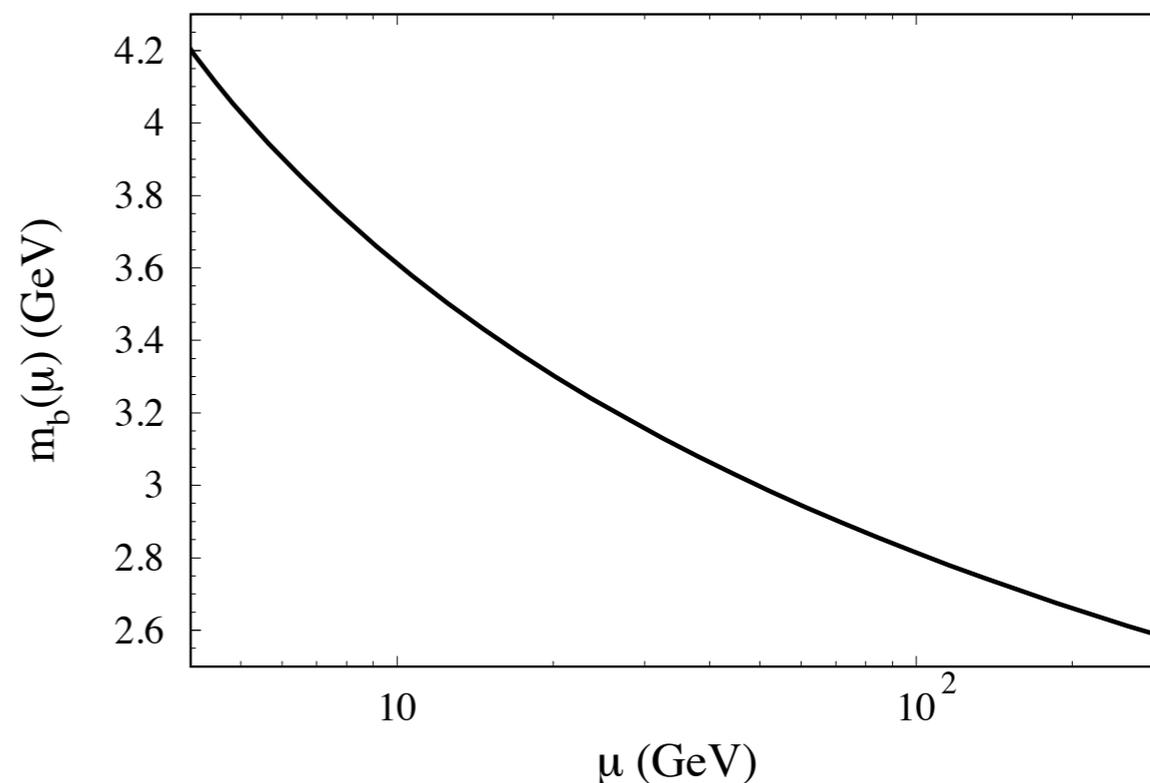
# Motivation: why $m_Q$ ?

Renormalization group evolution of quark mass:

$$\mu^2 \frac{d}{d\mu^2} m(\mu) = m(\mu) \gamma(\alpha)$$

$$\gamma(\alpha) = - \sum_{k \geq 0} \gamma_k \left( \frac{\alpha}{\pi} \right)^{k+1}$$

known up to  $\gamma_4$   
[Baikov et al '14]



$$\bar{m}(\mu) = \bar{m}(\mu_0) \left( \frac{\alpha(\mu)}{\alpha(\mu_0)} \right)^{\gamma_0/\beta_0} \left[ 1 + \left( \frac{\gamma_1}{\beta_0} - \frac{\beta_1 \gamma_0}{\beta_0^2} \right) \left( \frac{\alpha(\mu)}{\pi} - \frac{\alpha(\mu_0)}{\pi} \right) + \dots \right]$$

# Motivation: why $m_Q$ ?

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Example, Higgs decay

[Kuhn et al '05]

$$M_H = 126\text{GeV}$$

$$\Gamma(H \rightarrow bb) \sim 3 \frac{G_F M_H}{4\pi\sqrt{2}} \overline{m}_b(M_H)^2 \left( 1 + 5.67 \left(\frac{\alpha}{\pi}\right) + 29.1 \left(\frac{\alpha}{\pi}\right)^2 + 41.8 \left(\frac{\alpha}{\pi}\right)^3 - 825.7 \left(\frac{\alpha}{\pi}\right)^4 \right)$$

$$(1 + \dots) \sim 1.25$$

$$\overline{m}_b(M_H)^2 \sim 0.34 M_b^2$$

$$\alpha(M_H) = 0.115$$

larger correction from running of the quark mass

# Motivation: why precise $m_Q$ ?

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$$\text{Higgs decay} \sim \overline{m_b}(M_H)^2$$

$$\Gamma(B \rightarrow X_u l \nu) \sim G_F^2 m_b^5 |V_{ub}|^2$$

$$\Gamma(B \rightarrow X_c l \nu) \sim G_F^2 m_b^5 f(m_c^2/m_b^2) |V_{cb}|^2$$

Yukawa unification

[Baer et al '00]

$$\frac{\delta m_b}{m_b} \sim \frac{\delta m_t}{m_t} \quad \text{if } \delta m_t \sim 1\text{GeV} \Rightarrow \delta m_b \sim 25\text{MeV}$$

# Motivation: why precise $m_Q$ ?

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## $\Upsilon$ -spectroscopy

$$m(\Upsilon(1S)) = 2M_b - C\alpha^2 M_b + \dots$$

[Ayala et al '14]

**lattice: HPQCD '14**

$$\overline{m}_c(3\text{GeV}) = 986(6)\text{MeV}$$

$$\overline{m}_b(10\text{GeV}) = 3617(25)\text{MeV}$$

## QCD Sum Rules

$$\int \frac{ds}{s^{n+1}} R_q(s) \sim \left( \frac{1}{m_q} \right)^{2n}$$

# Motivation: why precise $m_Q$ ?

$\overline{m}_c(\overline{m}_c)\text{MeV}$	method	reference
$1223 \pm 33$	N <sup>3</sup> LO quarkonium	Peset et al, 1806.05197
$1273 \pm 10$	lattice ( $N_f = 4$ ) + HQET	Fermilab-MILC-TUMQCD 1802.04248
$1335 \pm 43^{+40}_{-11}$	HERA DIS	xFitter, 1605.01946
$1246 \pm 23$	quarkonium 1S	Kiyo et al, 1510.07072
$1288 \pm 20$	1st moment SR $J/\Psi, \Psi, R$	Dehnadi et al, 1504.07638
$1271.5 \pm 9.5$	lattice ( $N_f = 4$ ), PS current	HPQCD, 1408.4169
$1348 \pm 46$	lattice (2+1+1), $M_D$	ETM, 1403.4504
$1274 \pm 36$	lattice ( $N_f = 2$ ), $f_D$	ALPHA, 1312.7693
$1240 \pm 50$	$c\bar{c}$ X-section DIS	Alekhin et al, 1310.3059
$1260 \pm 65$	$c\bar{c}$ X-section NLO fit	HI and ZEUS, 1211.1182
$1262 \pm 17$	SR $J/\Psi, \Psi(2S - 6S)$	Narison, 1105.5070
$1260 \pm 36$	lattice (2+1), $f_D$	PACS-CS, 1104.4600
$1278 \pm 9$	SR $J/\Psi, \Psi, R$	Bodenstain et al, 1102.3835
$1282 \pm 24$	1st moment SR $J/\Psi, \Psi, R$	Dehnadi et al, 1102.2264
$1280 \pm 70$	lattice + pQCD in static potential	Laschka et al, 1102.0945
$1279 \pm 13$	1st moment SR $J/\Psi, \Psi, R$	Chetyrkin et al, 1010.6157
$1.275^{0.025}_{-0.035} \text{GeV}$	PDG average	PDG 2018

# Motivation: why precise $m_Q$ ?

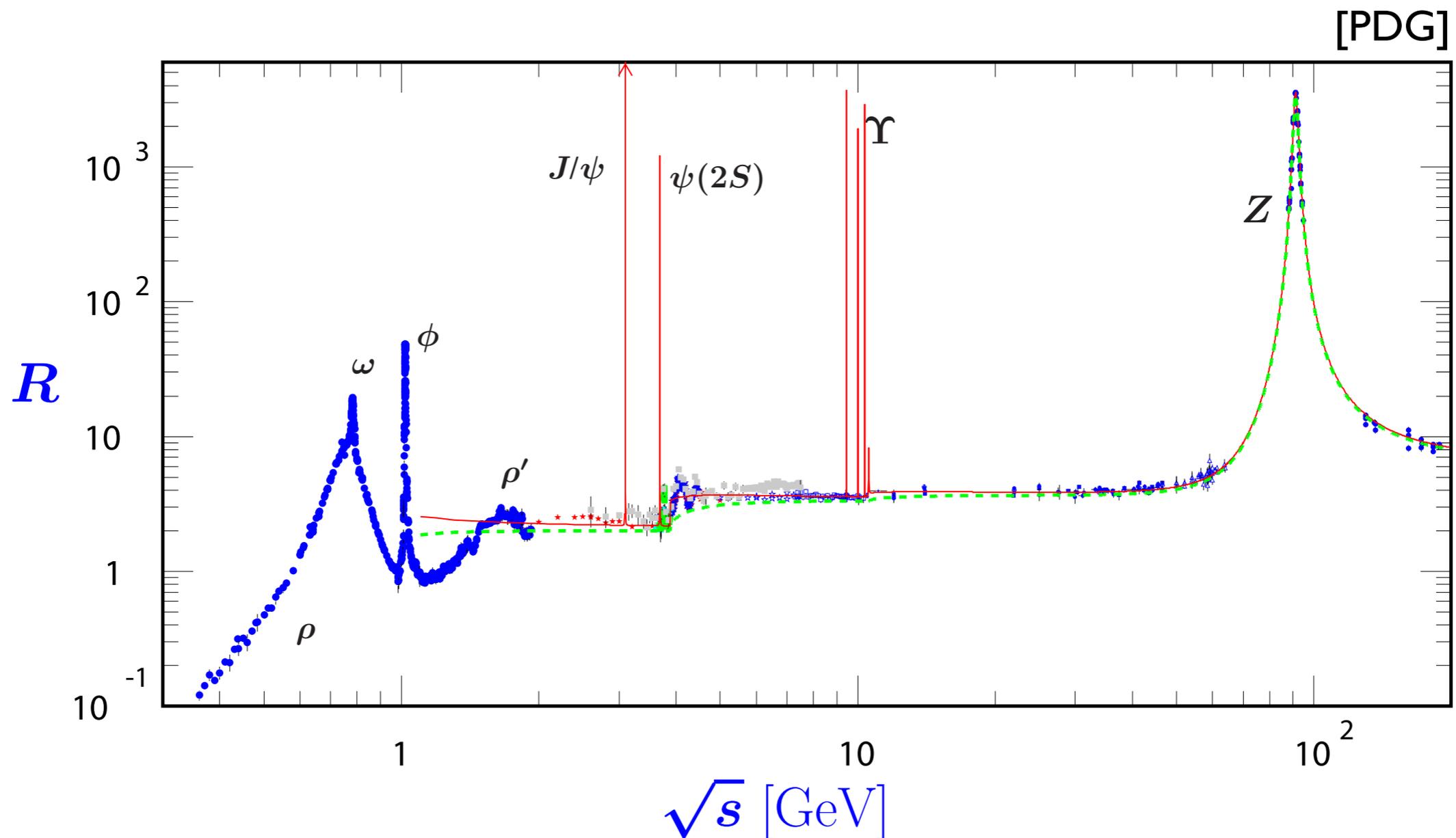
$\overline{m}_b(\overline{m}_b)$	method	reference
$4186 \pm 37$	$N^3$ LO quarkonium	Peset et al, 1806.05197
$4195 \pm 14$	lattice ( $N_f = 4$ ) + HQET	Fermilab-MILC-TUMQCD 1802.04248
$4197 \pm 22$	$N^2$ LO pQCD, $M_\Upsilon$	Kiyo et al, 1510.07072
$4176 \pm 23$	SR $\Upsilon(1S - 4S)$ , R	Dehnadi et al, 1504.07638
$4183 \pm 37$	B decays	Alberti et al, 1411.6560
$4203^{+16}_{-34}$	$N^3$ LO pQCD, $M_\Upsilon$	Beneke et al, 1411.3132
$4174 \pm 24$	lattice ( $N_f = 4$ ), PS current	HPQCD, 1408.4169
$4201 \pm 43$	$N^3$ LO pQCD, $M_\Upsilon$	Ayala et al, 1407.2128
$4070 \pm 170$	ZEUS Coll.	Abramowicz et al, 1405.6915
$4169 \pm 9$	SR $\Upsilon(1S - 6S)$	Penin, Zerf, 1401.7035
$4247 \pm 34$	SR, $f_B$	Lucha et al, 1305.7099
$4166 \pm 43$	lattice + pQCD, $M_\Upsilon$ , $M_{B_s}$	HPQCD, 1302.3739
$4235 \pm 55$	SR $\Upsilon(1S - 6S)$ , R	Hoang et al, 1209.0450
$4171 \pm 9$	SR $\Upsilon(1S - 6S)$ , R	Bodenstain et al, 1111.5742
$4177 \pm 11$	SR $\Upsilon(1S - 6S)$	Narison, 1105.5070
$4180 \pm 50$	lattice + pQCD in static potential	Laschka et al, 1102.0945
$4163 \pm 16$	2nd moment SR $\Upsilon(1S - 6S)$ , R	Chetyrkin et al, 1010.6157
$4.18^{+0.04}_{-0.03}$	PDG average	PDG 2018

# QCD Sum Rules

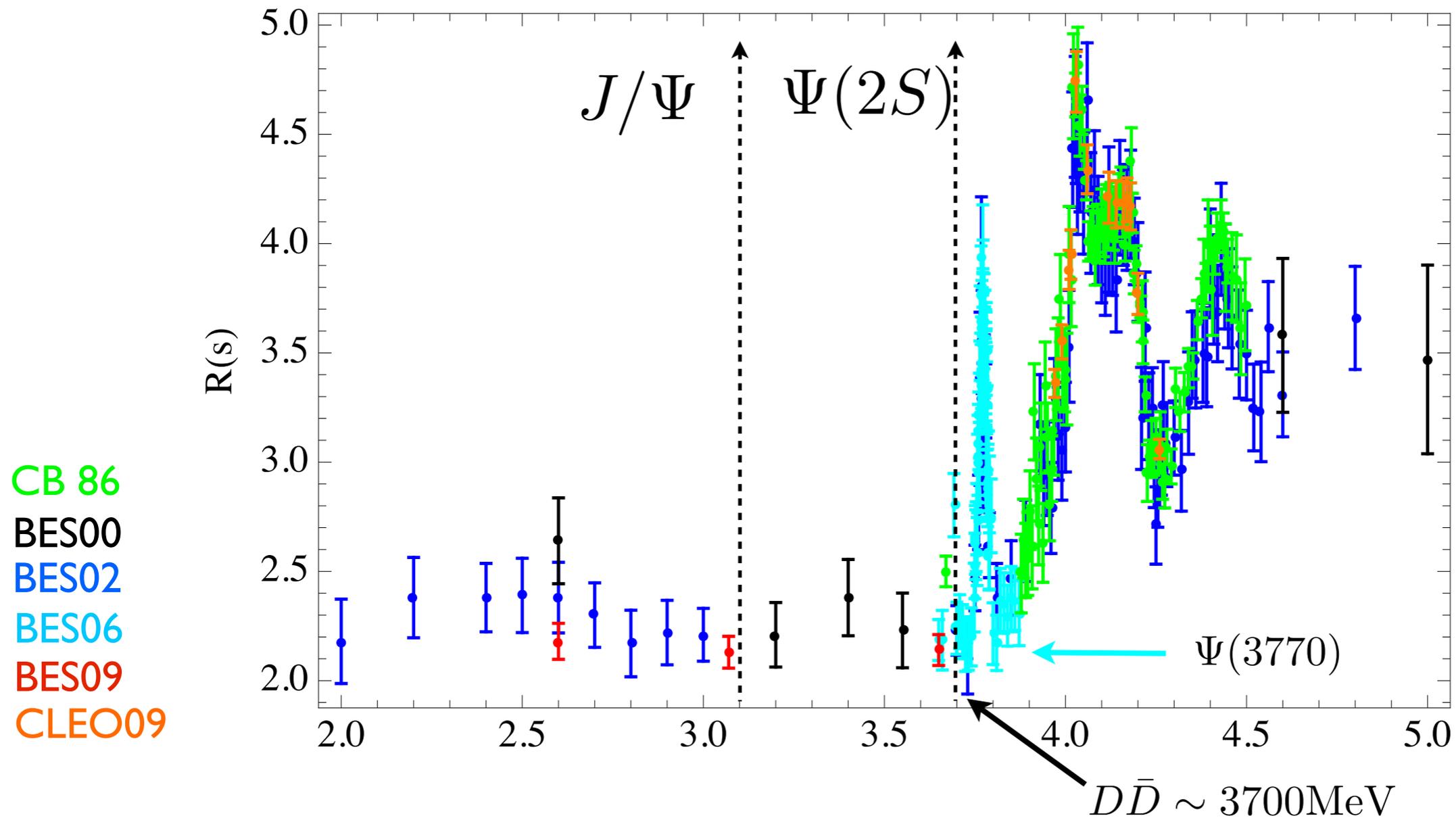
# QCD Sum Rules

$$R(s) = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

$$\sigma(e^+e^- \rightarrow \mu^+\mu^-) = 4\pi\alpha_{\text{em}}(s)^2/3s$$



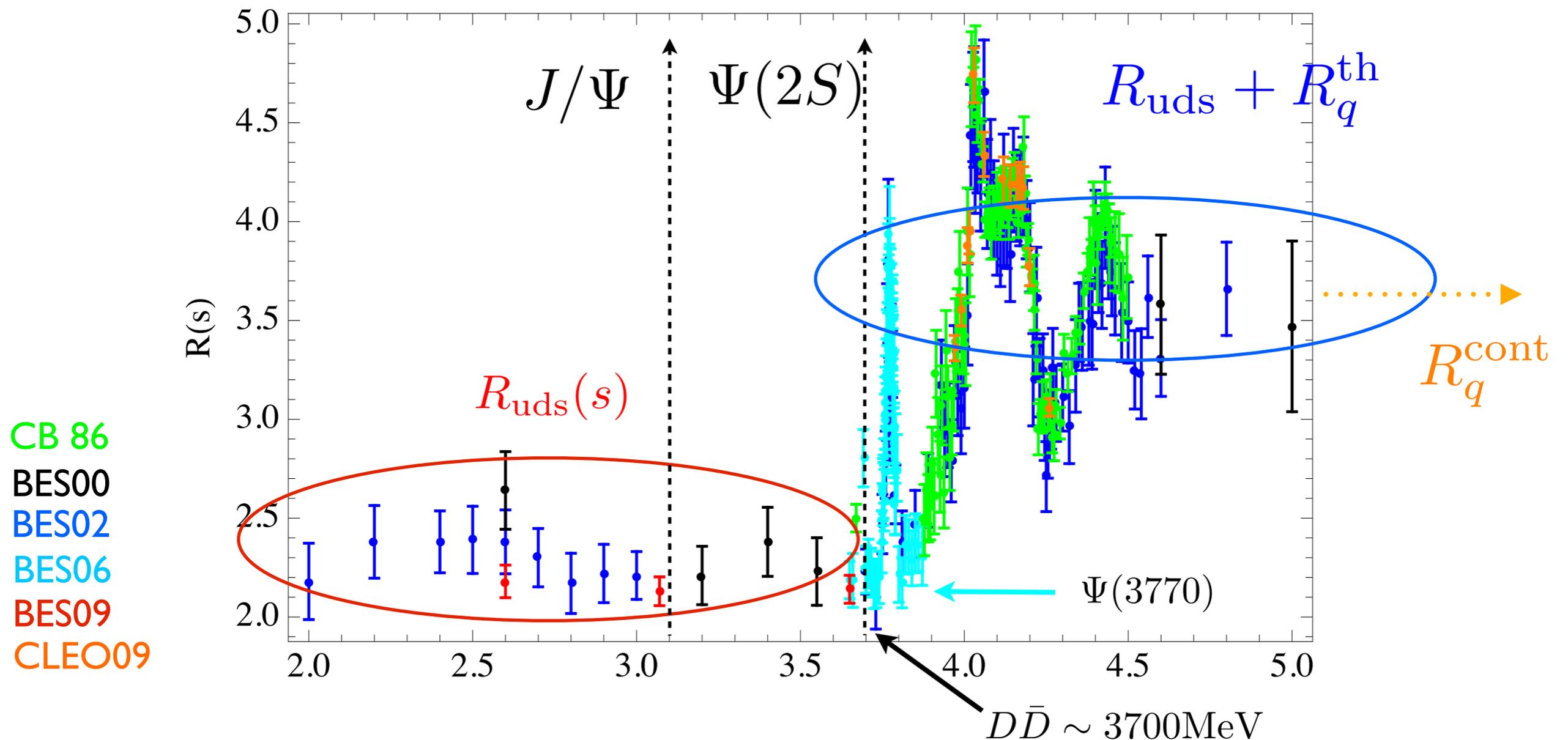
# QCD Sum Rules



# QCD Sum Rules

$$R(s) = R_{\text{uds}}(s) + R_q(s)$$

$$R_q(s) = R_q^{\text{Res}}(s) + R_q^{\text{th}}(s) + R_q^{\text{cont}}(s)$$



# QCD Sum Rules

Using the optical theorem:

[SVZ,'79]

$$R(s) = 12\pi \text{Im}[\Pi(s + i\epsilon)]$$

$\Pi_q(s)$  is the correlator of two heavy-quark vector currents which can be calculated in pQCD order by order and satisfies a Dispersion Relation:

$$12\pi^2 \frac{\hat{\Pi}_q(0) - \hat{\Pi}_q(-t)}{t} = \int_{4m_q^2}^{\infty} \frac{ds}{s} \frac{R_q(s)}{s+t} \quad \hat{\Pi}_q(s) \text{ in } \overline{MS}$$

For  $t \rightarrow 0$

$$\mathcal{M}_n := \frac{12\pi^2}{n!} \left. \frac{d^n}{dt^n} \hat{\Pi}_q(t) \right|_{t=0} = \int_{4m_q^2}^{\infty} \frac{ds}{s^{n+1}} R_q(s)$$

# QCD Sum Rules

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$\hat{\Pi}_q(s)$  can be Taylor expanded:

$$\Pi_q(t) = Q_q^2 \frac{3}{16\pi^2} \sum_{n \geq 0} \bar{C}_n \left( \frac{t}{4\hat{m}_q^2} \right)^n$$

# QCD Sum Rules

$\hat{\Pi}_q(s)$  can be Taylor expanded:

$$\Pi_q(t) = Q_q^2 \frac{3}{16\pi^2} \sum_{n \geq 0} \bar{C}_n \left( \frac{t}{4\hat{m}_q^2} \right)^n$$

$$\mathcal{M}_n^{\text{pQCD}} = \frac{9}{4} Q_q^2 \left( \frac{1}{2\hat{m}_q(\hat{m}_q)} \right)^{2n} \bar{C}_n$$

$$\bar{C}_n = \bar{C}_n^{(0)} + \left( \frac{\hat{\alpha}}{\pi} \right) \bar{C}_n^{(1)} + \left( \frac{\hat{\alpha}}{\pi} \right)^2 \bar{C}_n^{(2)} + \left( \frac{\hat{\alpha}}{\pi} \right)^3 \bar{C}_n^{(3)} + \mathcal{O} \left( \frac{\hat{\alpha}}{\pi} \right)^4$$

[Maier et al, '08]

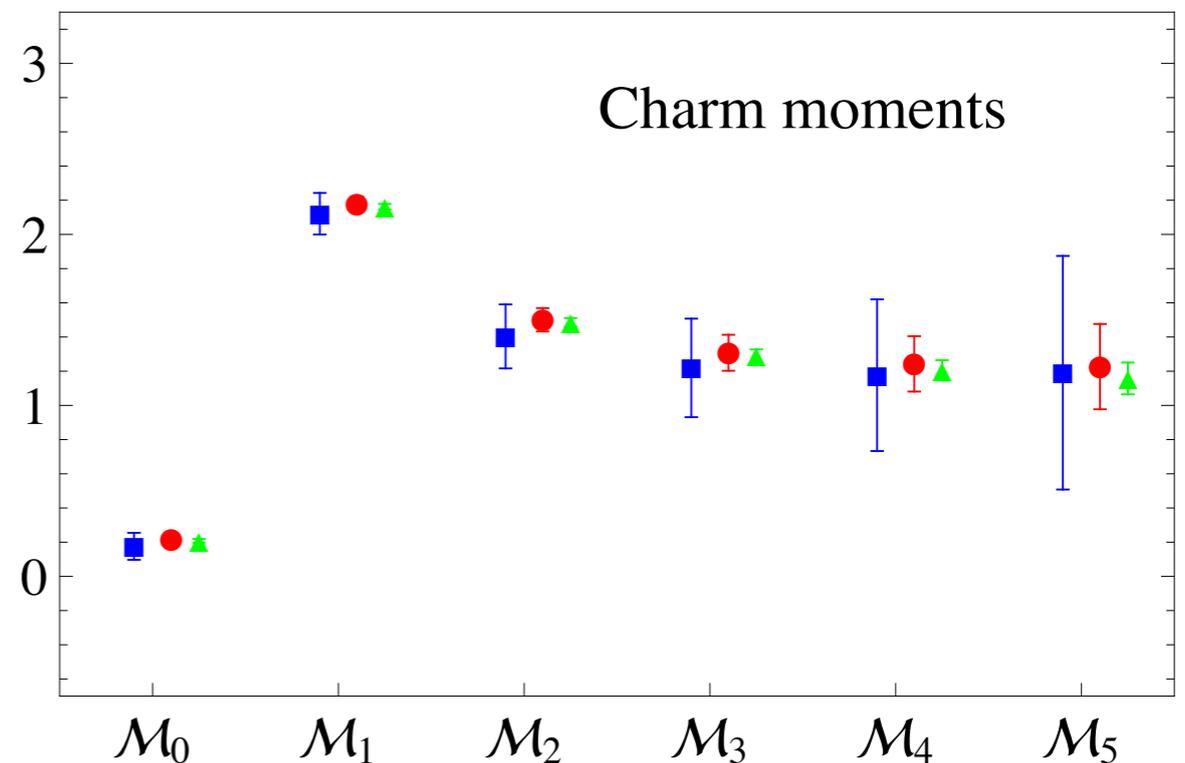
[Chetyrkin, Steinhauser'06]

[Melnikov, Ritberger'03]

[Kiyo et al '09]

[Hoang et al '09]

[Greynat et al '09]



$$\hat{\alpha} = \hat{\alpha}(\overline{m}_q)$$

# QCD Sum Rules

Sum Rules:

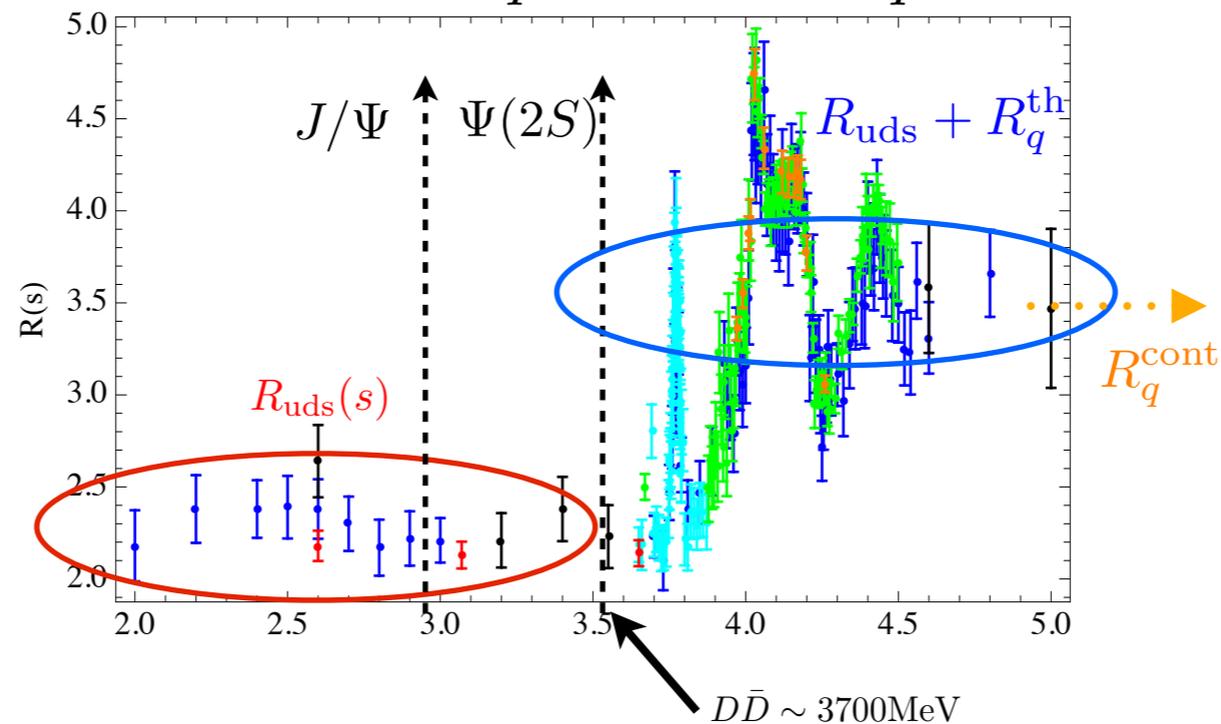
$$\mathcal{M}_n = \int_{4m_q^2}^{\infty} \frac{ds}{s^{n+1}} R_q(s)$$

L.h.s. from theory

$$\mathcal{M}_n^{\text{pQCD}} = \frac{9}{4} Q_q^2 \left( \frac{1}{2\hat{m}_q(\hat{m}_q)} \right)^{2n} \bar{C}_n$$

R.h.s. from experiment

$$R_q(s) = R_q^{\text{Res}}(s) + R_q^{\text{th}}(s) + R_q^{\text{cont}}(s)$$



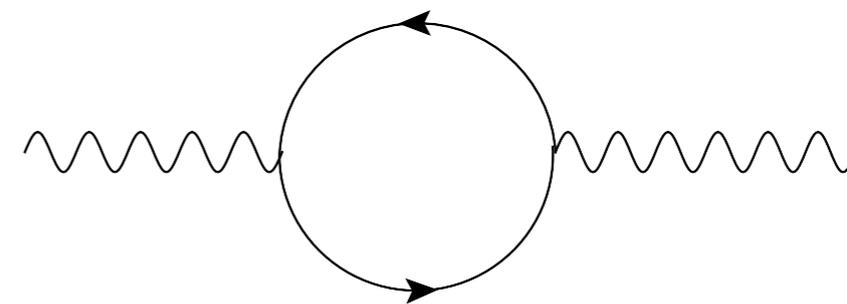
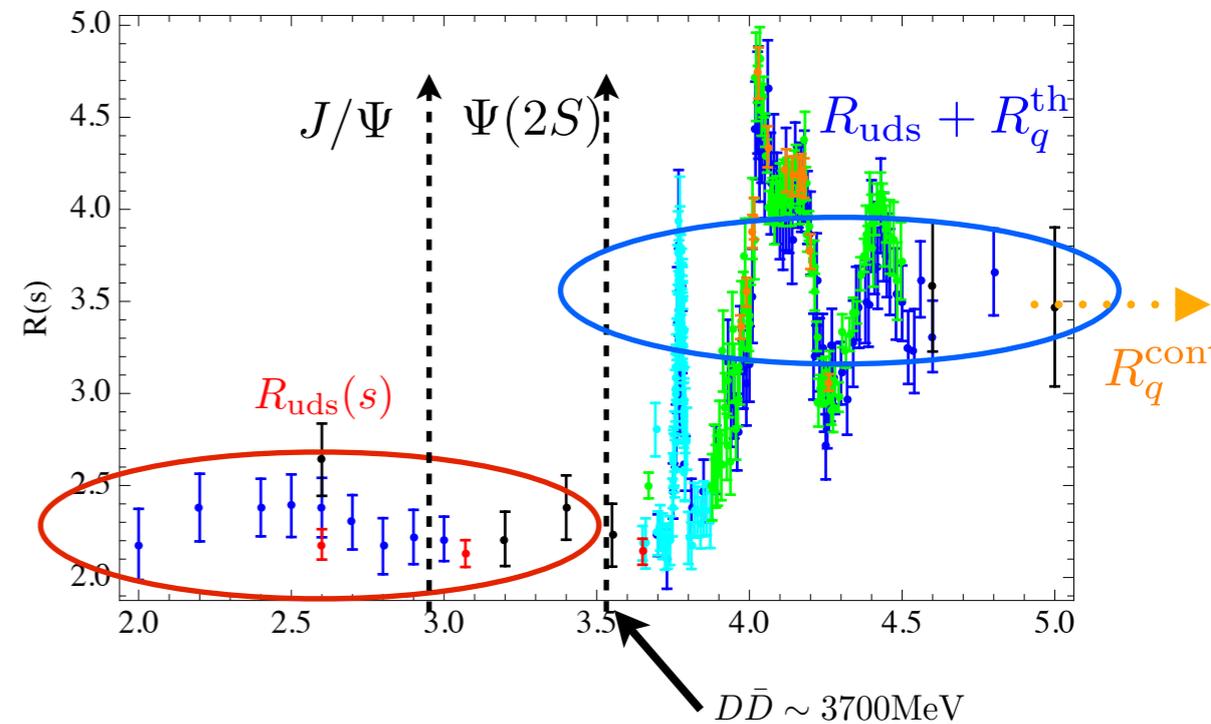
# QCD Sum Rules

$$R_q(s) = R_q^{\text{Res}}(s) + R_q^{\text{th}}(s) + R_q^{\text{cont}}(s)$$

$$R_q^{\text{Res}}(s) = \frac{9\pi M_R \Gamma_R^e}{\alpha_{\text{em}}^2(M_R)} \delta(s - M_R^2)$$

$$R_q^{\text{th}}(s) = R_q(s) - R_{\text{background}} \quad (2M_D \leq \sqrt{s} \leq 4.8\text{GeV})$$

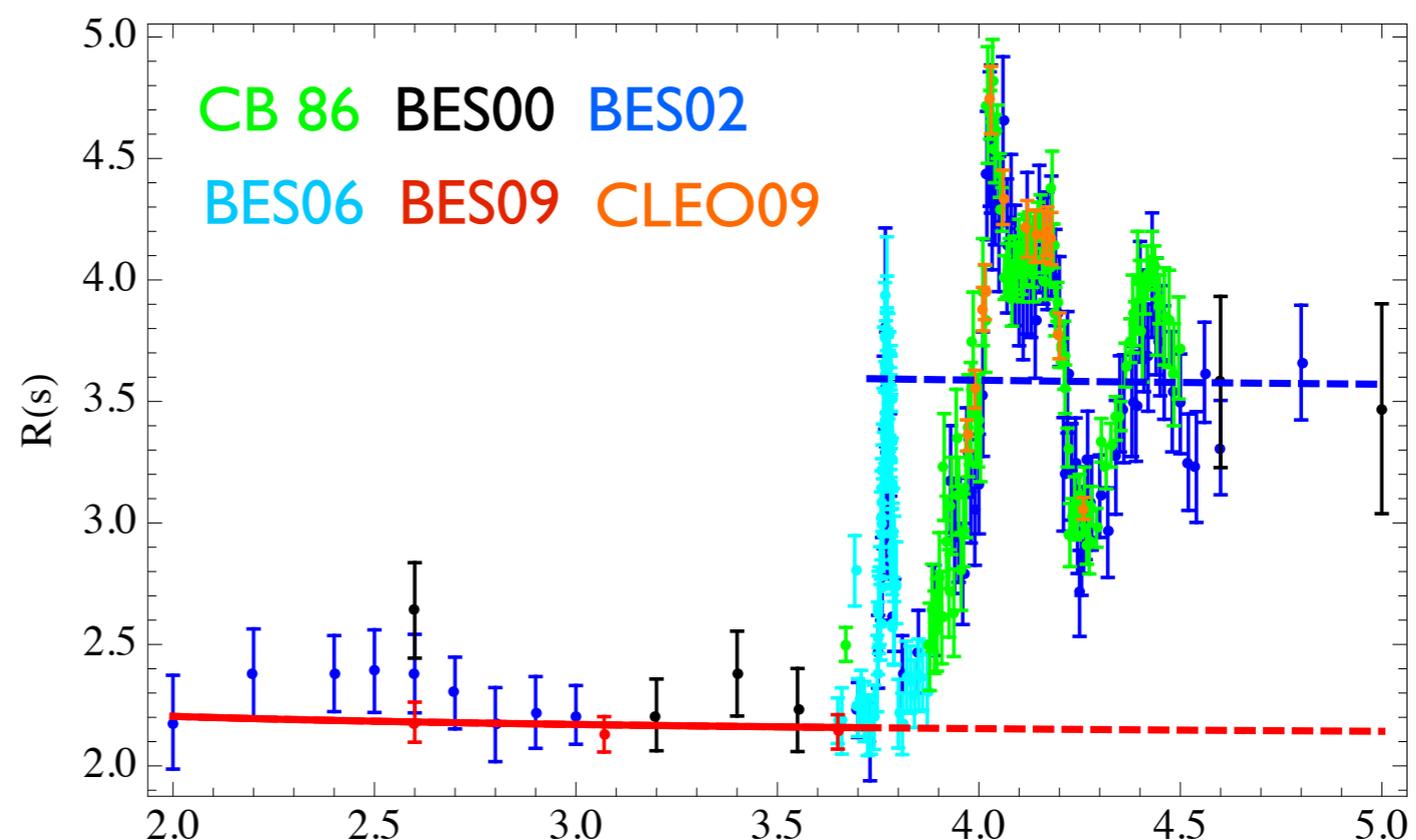
$$R_q^{\text{cont}}(s) \quad \text{calculated using pQCD} \quad (\sqrt{s} \geq 4.8\text{GeV})$$



# Background

$$R_{\text{background}} = R_{\text{uds}} + R_{\text{uds}(cb)} + R_{\text{sing}} + R_{\text{QED}}$$

Light flavor  
contribution in  
charm region

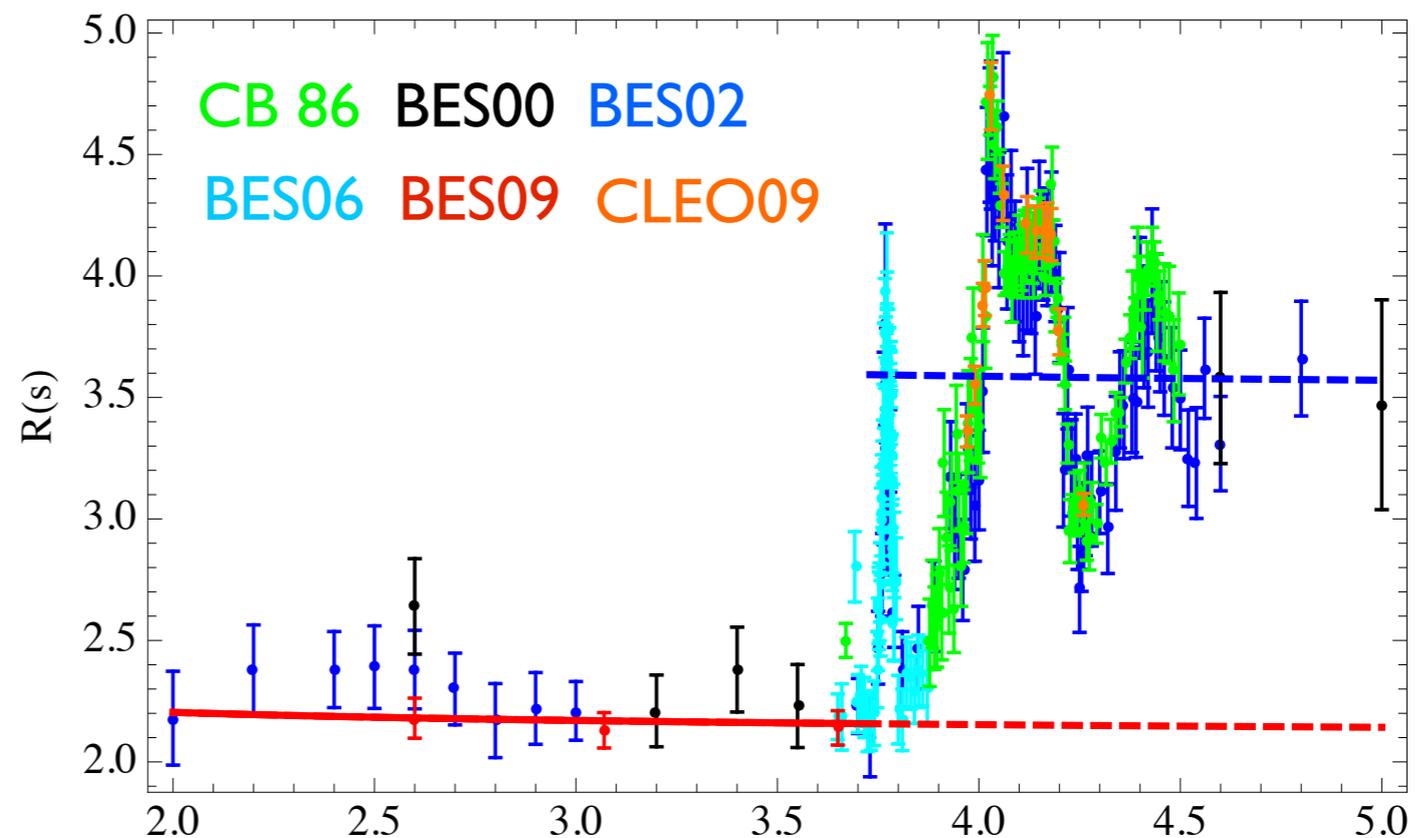
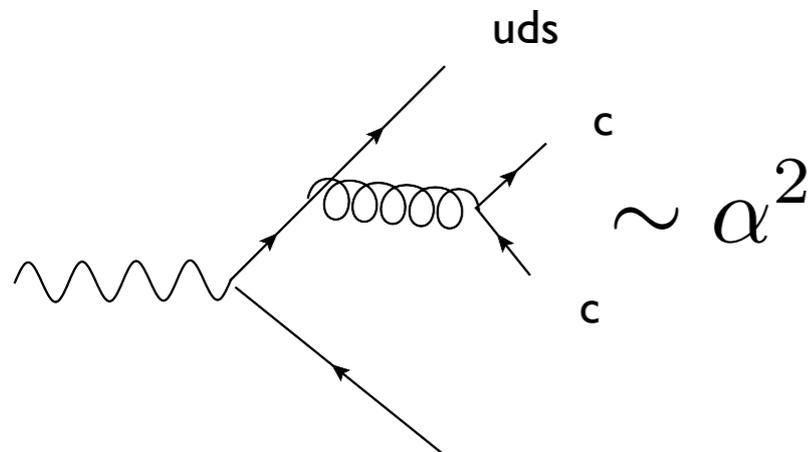


Using pQCD below threshold, calculate R, and extrapolate

# Background

$$R_{\text{background}} = R_{\text{uds}} + R_{\text{uds}(cb)} + R_{\text{sing}} + R_{\text{QED}}$$

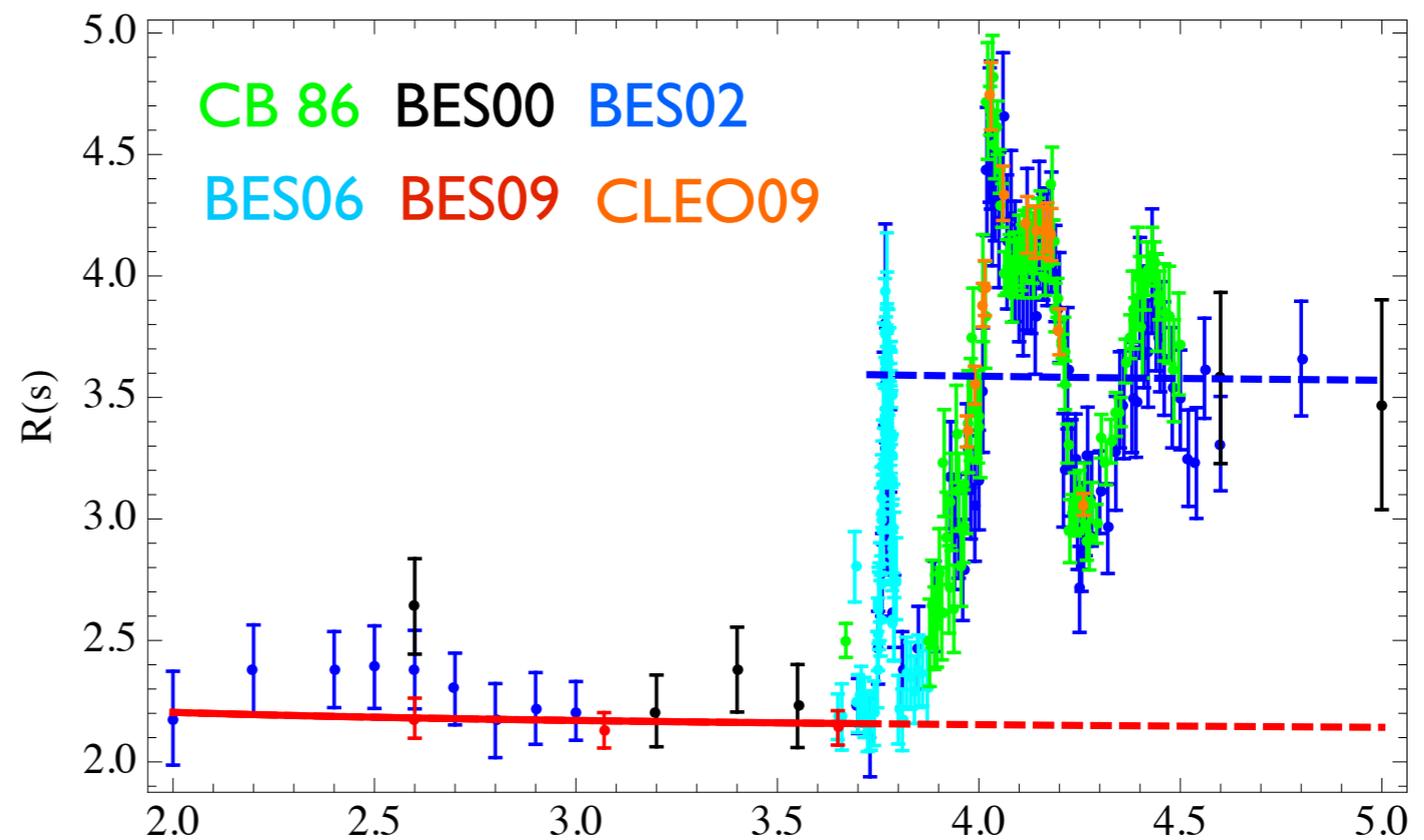
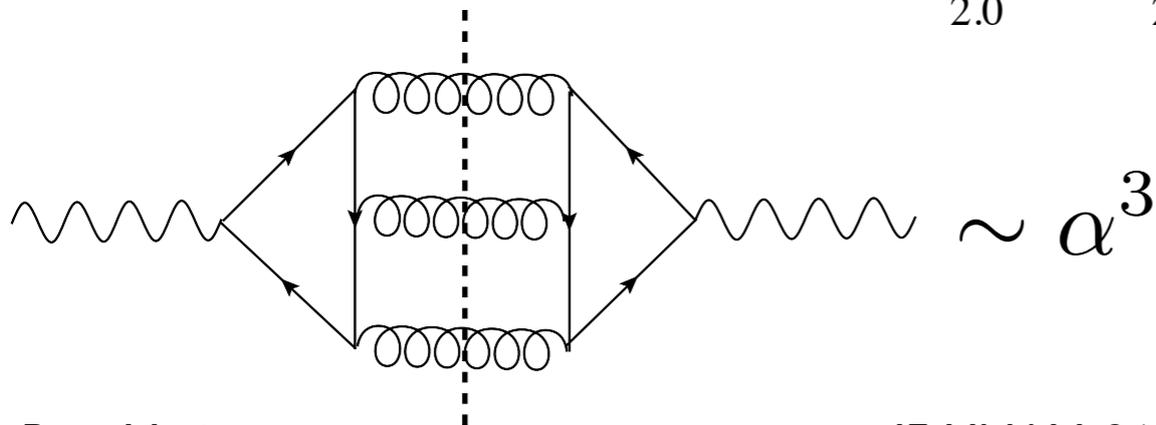
Light flavor  
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charm region  
+  
secondary  
production



# Background

$$R_{\text{background}} = R_{\text{uds}} + R_{\text{uds}(\text{cb})} + R_{\text{sing}} + R_{\text{QED}}$$

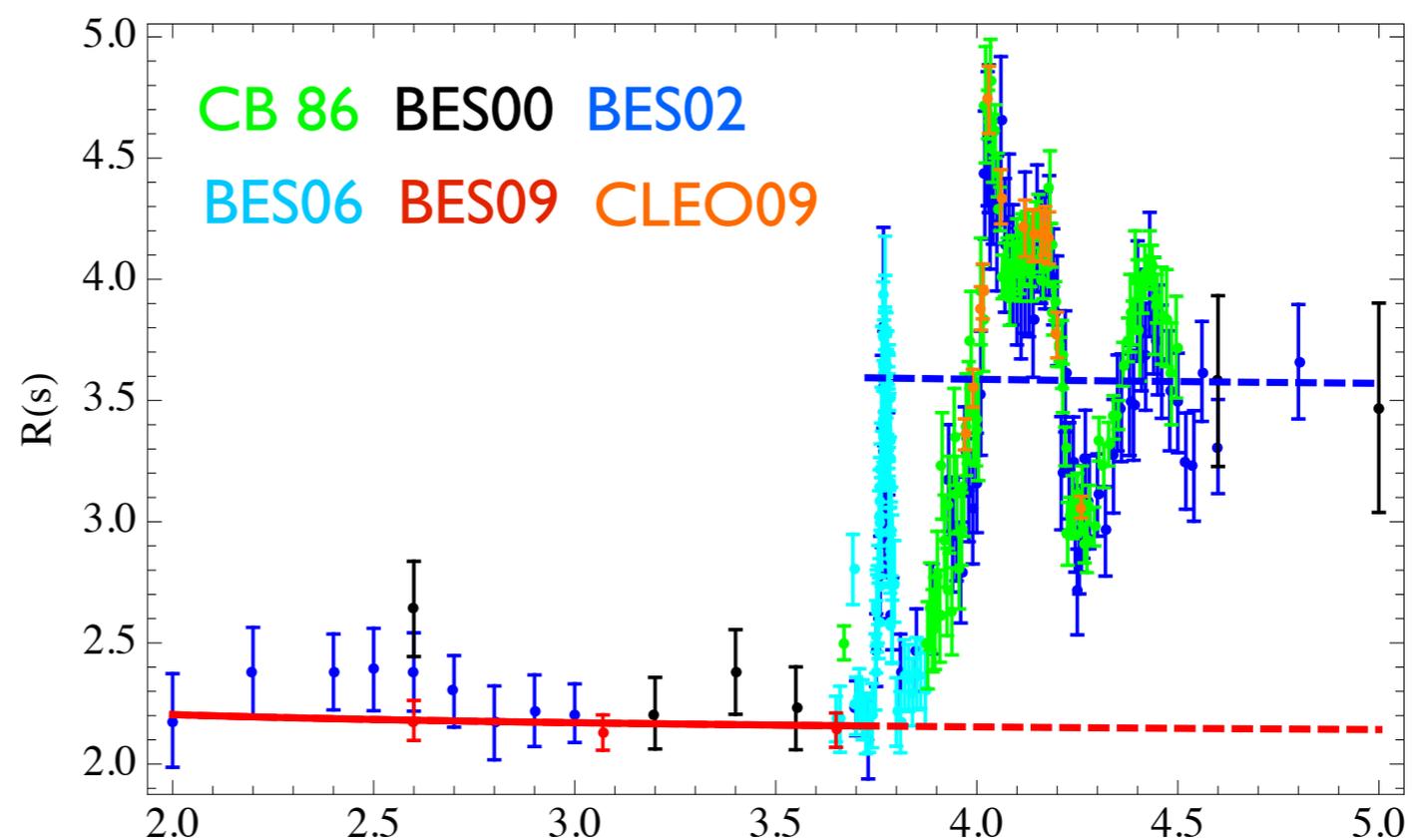
Light flavor  
contribution in  
charm region  
+  
secondary  
production  
+  
singlet contribution



# Background

$$R_{\text{background}} = R_{\text{uds}} + R_{\text{uds}(\text{cb})} + R_{\text{sing}} + R_{\text{QED}}$$

Light flavor  
contribution in  
charm region  
+  
secondary  
production  
+  
singlet contribution  
+  
2loop QED



# Non-perturbative effects

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Non-perturbative effects due to gluon condensates to the moments are:

[Chetyrkin et al '12]

$$\mathcal{M}_n^{\text{nonp}}(\mu^2) = \frac{12\pi^2 Q_q^2}{(4\hat{m}_q^2)^{n+2}} \text{Cond} a_n \left( 1 + \frac{\alpha_s(\hat{m}_q^2)}{\pi} b_n \right)$$

$a_n, b_n$  are numbers, and  $\text{Cond} = \langle \frac{\alpha_s}{\pi} G^2 \rangle = (5 \pm 5) \cdot 10^{-3} \text{GeV}^4$  [Dominguez et al '14]

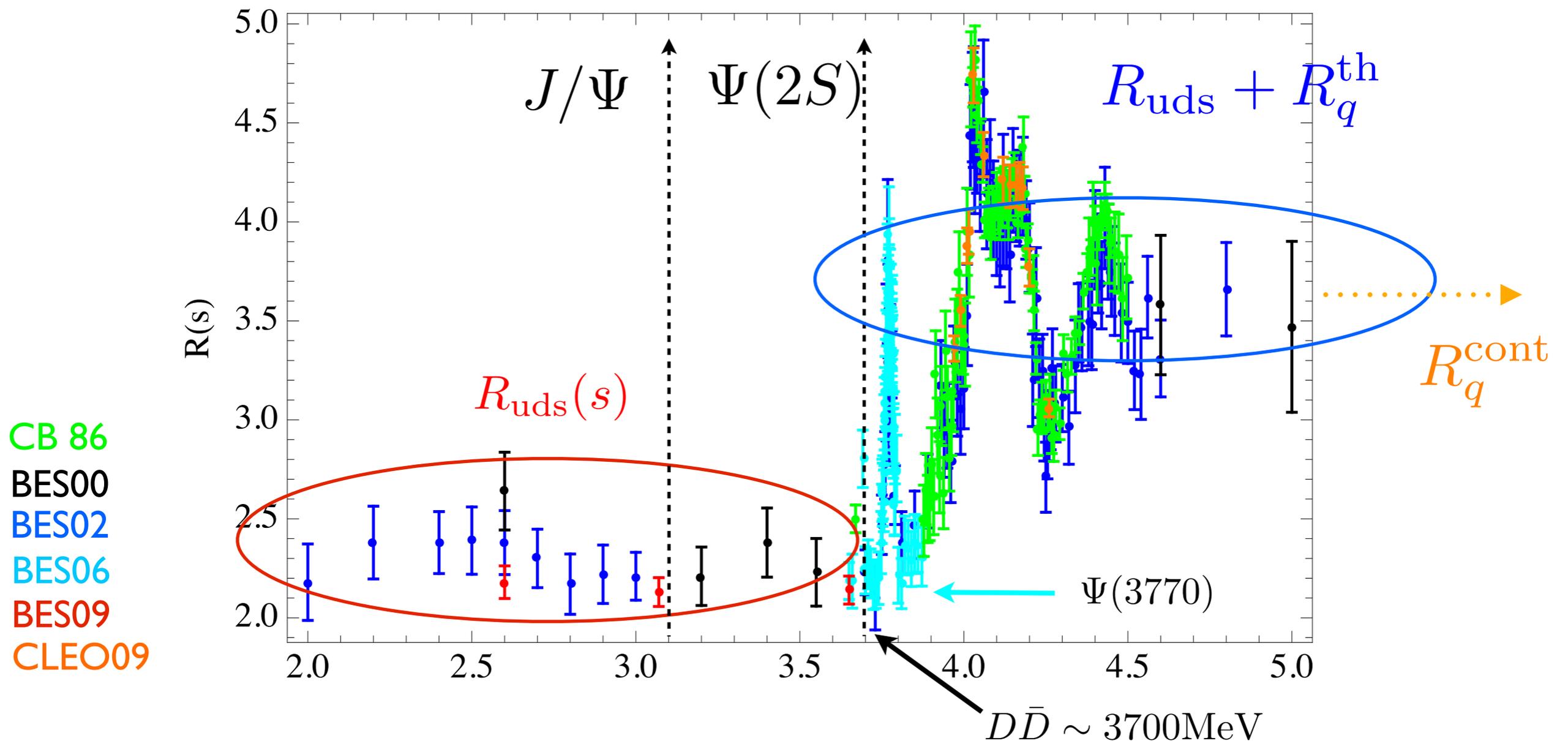
↙ from fits to tau data

$$\frac{\mathcal{M}_n^{\text{nonp}}(\hat{m}_c)}{\mathcal{M}_n^{\text{th}}} \sim 0.5\% - 2\% \longrightarrow \Delta\hat{m}_c(\hat{m}_c) \sim 2\text{MeV} - 8\text{MeV}$$

# QCD Sum Rules

$$R(s) = R_{\text{uds}}(s) + R_q(s)$$

$$R_q(s) = R_q^{\text{Res}}(s) + R_q^{\text{th}}(s) + R_q^{\text{cont}}(s)$$



CB 86  
 BES00  
 BES02  
 BES06  
 BES09  
 CLEO09

# QCD Sum Rules

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## Our approach

- We try to avoid *local* duality: consider *global* duality
- Then, we do not use experimental data on threshold region, only resonances below threshold
  - Exp data in threshold only for error estimation
- How you do it then? Use two different moment's equations to determine the continuum requiring self-consistency:
  - extract the quark mass

**Charm**

# QCD Sum Rules

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## Our approach

For a global duality:

$\hat{\Pi}_q(s)$  in  $\overline{MS}$

$$12\pi^2 \frac{\hat{\Pi}_q(0) - \hat{\Pi}_q(-t)}{t} = \int_{4m_q^2}^{\infty} \frac{ds}{s} \frac{R_q(s)}{s+t}$$

$t \rightarrow \infty$  define the  $\mathcal{M}_0$

[Erler, Luo '03]

# QCD Sum Rules

## Our approach

For a global duality:

$\hat{\Pi}_q(s)$  in  $\overline{MS}$

$$12\pi^2 \frac{\hat{\Pi}_q(0) - \hat{\Pi}_q(-t)}{t} = \int_{4m_q^2}^{\infty} \frac{ds}{s} \frac{R_q(s)}{s+t}$$

$t \rightarrow \infty$  define the  $\mathcal{M}_0$  (but has a divergent part)

[Erler, Luo '03]

$$\lim_{t \rightarrow \infty} \hat{\Pi}_q(-t) \sim \log(t) \longleftrightarrow \int_{4m_q^2}^{\infty} \frac{ds}{s} R_q(s) \sim \log(\infty)$$

Fortunately, divergence given by the zero-mass limit of  $R(s)$ , can be easily subtracted

[Chetyrkin, Harlander, Kühn, '00]

# QCD Sum Rules

zero-mass limit of R(s)

Our approach

$$\begin{aligned}
 \lambda_1^q(s) = & 1 + \frac{\alpha_s(s)}{\pi} && \text{[Chetyrkin, Harlander, Kühn, '00]} \\
 & + \left[ \frac{\alpha_s(s)}{\pi} \right]^2 \left[ \frac{365}{24} - 11\zeta(3) + n_q \left( \frac{2}{3}\zeta(3) - \frac{11}{12} \right) \right] \\
 & + \left[ \frac{\alpha_s(s)}{\pi} \right]^3 \left[ \frac{87029}{288} - \frac{121}{8}\zeta(2) - \frac{1103}{4}\zeta(3) + \frac{275}{6}\zeta(5) \right. \\
 & \quad \left. + n_q \left( -\frac{7847}{216} + \frac{11}{6}\zeta(2) + \frac{262}{9}\zeta(3) - \frac{25}{9}\zeta(5) \right) \right. \\
 & \quad \left. + n_q^2 \left( \frac{151}{162} - \frac{1}{18}\zeta(2) - \frac{19}{27}\zeta(3) \right) \right] \\
 & \qquad \qquad \qquad n_q \text{ active flavors}
 \end{aligned}$$

# QCD Sum Rules

## Our approach

Zeroth Sum Rule:

$$\begin{aligned}
 & \sum_{\text{resonances}} \frac{9\pi\Gamma_R^e}{3Q_q^2 M_R \hat{\alpha}_{em}^2(M_R)} + \int_{4M^2}^{\infty} \frac{ds}{s} \frac{R_q^{\text{cont}}}{3Q_q^2} - \int_{\hat{m}_q^2}^{\infty} \frac{ds}{s} \lambda_1^q(s) \\
 &= -\frac{5}{3} + \frac{\hat{\alpha}_s}{\pi} \left[ 4\zeta(3) - \frac{7}{2} \right] \quad \hat{\alpha}_s = \alpha_s(\hat{m}_q^2) \\
 & \quad + \left( \frac{\hat{\alpha}_s}{\pi} \right)^2 \left[ \frac{2429}{48} \zeta(3) - \frac{25}{3} \zeta(5) - \frac{2543}{48} + n_q \left( \frac{677}{216} - \frac{19}{9} \zeta(3) \right) \right] \\
 & \quad + \left( \frac{\hat{\alpha}_s}{\pi} \right)^3 \left[ -9.86 + 0.40 n_q - 0.01 n_q^2 \right] \\
 &= -1.667 + 1.308 \frac{\hat{\alpha}_s}{\pi} + 1.595 \left( \frac{\hat{\alpha}_s}{\pi} \right)^2 - 8.427 \left( \frac{\hat{\alpha}_s}{\pi} \right)^3 \quad \text{edge of convergence?}
 \end{aligned}$$

# QCD Sum Rules

## Our approach

Zeroth Sum Rule:

$$\sum_{\text{resonances}} \frac{9\pi\Gamma_R^e}{3Q_q^2 M_R \hat{\alpha}_{em}^2(M_R)} + \int_{4M^2}^{\infty} \frac{ds}{s} \frac{R_q^{\text{cont}}}{3Q_q^2} - \int_{\hat{m}_q^2}^{\infty} \frac{ds}{s} \lambda_1^q(s)$$

$\hat{\alpha}_s = \alpha_s(\hat{m}_q^2)$

[PDG]

$R$	$M_R$ [GeV]	$\Gamma_R^e$ [keV]
$J/\Psi$	3.096916	5.55(14)
$\Psi(2S)$	3.686109	2.36(4)

# QCD Sum Rules

## Our approach

Zeroth Sum Rule:

$$\sum_{\text{resonances}} \frac{9\pi\Gamma_R^e}{3Q_q^2 M_R \hat{\alpha}_{em}^2(M_R)} + \int_{4M^2}^{\infty} \frac{ds}{s} \frac{R_q^{\text{cont}}}{3Q_q^2} - \int_{\hat{m}_q^2}^{\infty} \frac{ds}{s} \lambda_1^q(s)$$

$$\hat{\alpha}_s = \alpha_s(\hat{m}_q^2)$$

$$\hat{\alpha}_{em}(0) \sim 0.98 \hat{\alpha}_{em}(M_{J/\Psi})$$

$$\Delta \hat{\alpha}_{em} \rightarrow \Delta m_c \sim 12 \text{MeV}$$

# QCD Sum Rules

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## Our approach: ansatz

Zeroth Sum Rule: invoke global quark-hadron duality

[Erler, Luo '03]

$$R_q^{\text{cont}}(s) = 3Q_q^2 \lambda_1^q(s) \sqrt{1 - \frac{4\hat{m}_q^2(2M)}{s'}} \left[ 1 + \lambda_3^q \frac{2\hat{m}_q^2(2M)}{s'} \right]$$

Simpler version of analytic reconstruction [Greynat, PM, Peris'12]

$$s' = s + 4(\hat{m}_q^2(2M) - M^2)$$

Two parameters to determine:  $m_q$ ,  $\lambda_3^q$

# QCD Sum Rules

## Our approach: ansatz

Zeroth Sum Rule: invoke global quark-hadron duality

[Erler, Luo '03]

$$R_q^{\text{cont}}(s) = 3Q_q^2 \lambda_1^q(s) \sqrt{1 - \frac{4\hat{m}_q^2(2M)}{s'}} \left[ 1 + \lambda_3^q \frac{2\hat{m}_q^2(2M)}{s'} \right]$$

Simpler version of analytic reconstruction [Greynat, PM, Peris'12]

$$s' = s + 4(\hat{m}_q^2(2M) - M^2)$$

Two parameters to determine:  $m_q$ ,  $\lambda_3^q$

We need two equations: **zeroth moment** + **nth moment**

$$\frac{9}{4} Q_q^2 \left( \frac{1}{2\hat{m}_q(\hat{m}_q)} \right)^{2n} \bar{C}_n = \sum_{\text{resonances}} \frac{9\pi\Gamma_R^e}{M_R^{2n+1} \hat{\alpha}_{em}^2(M_R)} + \int_{4M^2}^{\infty} \frac{ds}{s^{n+1}} R_q(s)$$

$n \geq 1$

# QCD Sum Rules

## Our approach: ansatz

Zeroth Sum Rule: invoke global quark-hadron duality

[Erler, Luo '03]

$$R_q^{\text{cont}}(s) = 3Q_q^2 \lambda_1^q(s) \sqrt{1 - \frac{4\hat{m}_q^2(2M)}{s'}} \left[ 1 + \lambda_3^q \frac{2\hat{m}_q^2(2M)}{s'} \right]$$

Simpler version of analytic reconstruction [Greynat, PM, Peris'12]

$$s' = s + 4(\hat{m}_q^2(2M) - M^2)$$

Two parameters to determine:  $m_q$ ,  $\lambda_3^q$

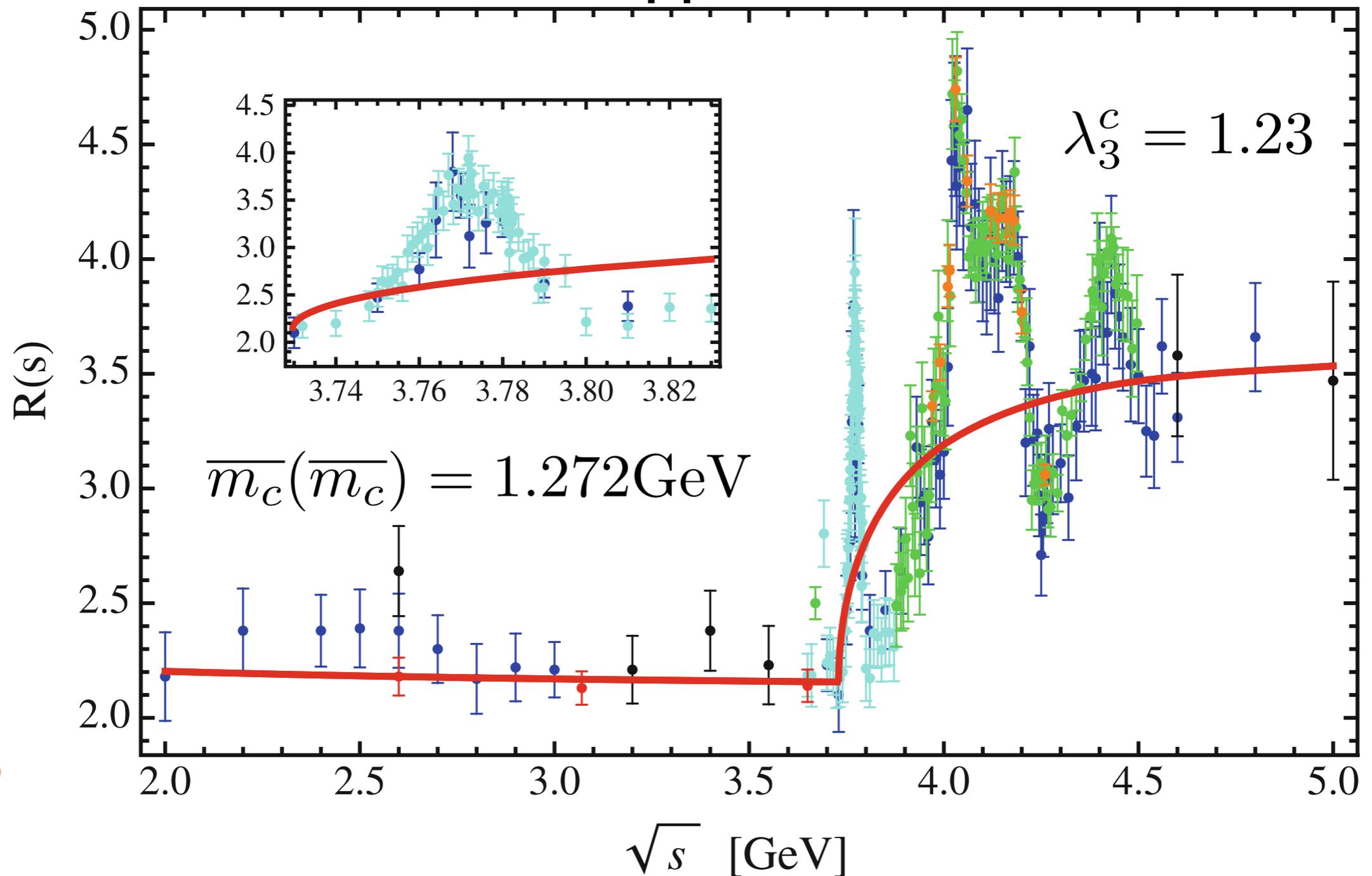
We use **Zeroth** + **2nd** moments  
(no experimental data on R(s) so far)

we require self-consistency among the 2 moments

$n$	Resonances	Continuum	Total	Theory
0	1.231 (24)	-3.229(+28)(43)(1)	-1.999(56)	<b>Input</b> (11)
1	1.184 (24)	0.966(+11)(17)(4)	2.150(33)	2.169(16)
2	1.161 (25)	0.336(+5)(8)(9)	1.497(28)	<b>Input</b> (25)
3	1.157 (26)	0.165(+3)(4)(16)	1.322(31)	1.301(39)
4	1.167 (27)	0.103(+2)(2)(26)	1.270(38)	1.220(60)
5	1.188 (28)	0.080(+1)(1)(38)	1.268(47)	1.175(95)

# QCD Sum Rules

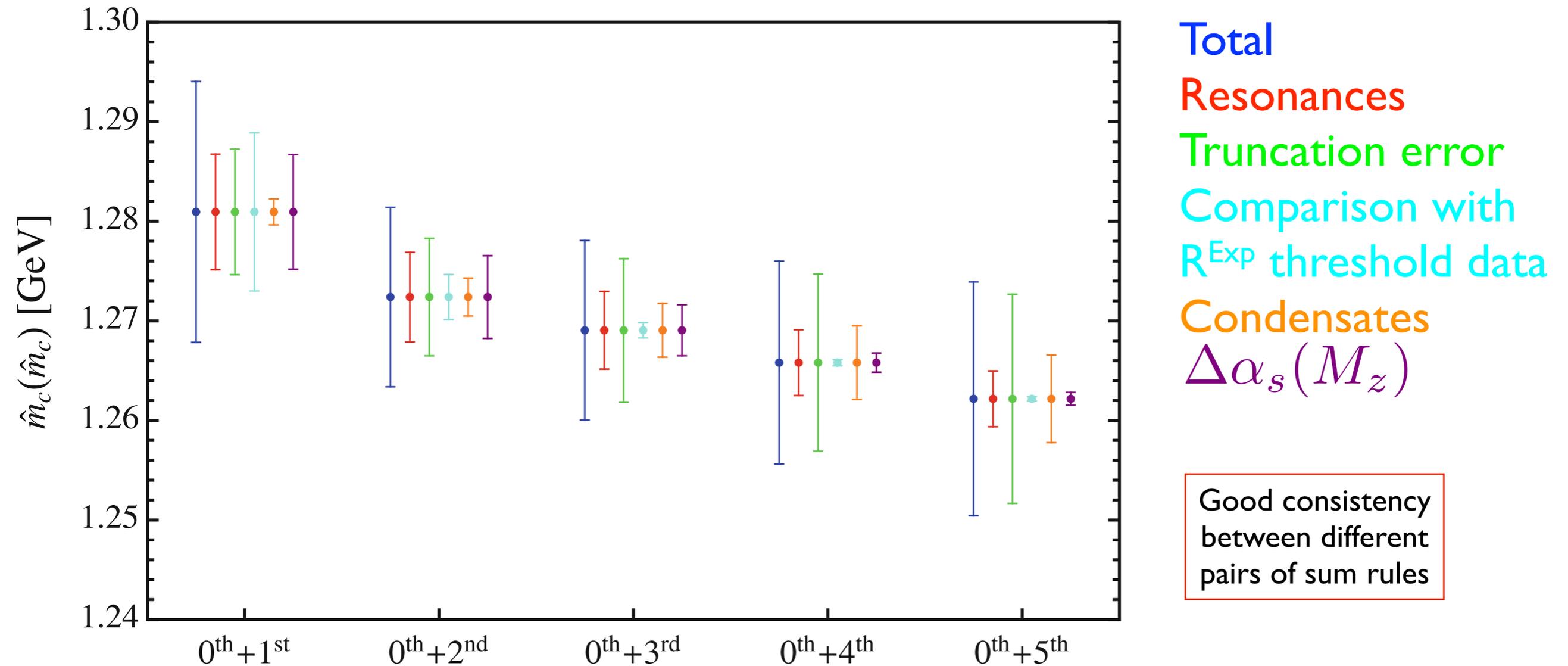
## Our approach



# QCD Sum Rules

## Our approach

Repeat for each pair Zeroth+nth moment



# QCD Sum Rules

Our approach: **error budget**

**Resonances:**

$$\frac{9}{4} Q_q^2 \left( \frac{1}{2\hat{m}_q(\hat{m}_q)} \right)^{2n} \bar{C}_n = \sum_{\text{resonances}} \frac{9\pi\Gamma_R^e}{M_R^{2n+1} \hat{\alpha}_{em}^2(M_R)} + \int_{4M^2}^{\infty} \frac{ds}{s^{n+1}} R_q(s)$$

from 6 MeV to 3 MeV  
(0th+1st)      (0th+5th)

(completely dominated by  $J/\Psi$ )



$R$	$M_R$ [GeV]	$\Gamma_R^e$ [keV]
$J/\Psi$	3.096916	5.55(14)
$\Psi(2S)$	3.686109	2.36(4)

# QCD Sum Rules

Our approach: **error budget**

**Truncation Error (theory error):**

$$\mathcal{M}_n^{\text{pQCD}} = \frac{9}{4} Q_q^2 \left( \frac{1}{2\hat{m}_q(\hat{m}_q)} \right)^{2n} \bar{C}_n$$

$$\bar{C}_n = \bar{C}_n^{(0)} + \left( \frac{\hat{\alpha}}{\pi} \right) \bar{C}_n^{(1)} + \left( \frac{\hat{\alpha}}{\pi} \right)^2 \bar{C}_n^{(2)} + \left( \frac{\hat{\alpha}}{\pi} \right)^3 \bar{C}_n^{(3)} + \mathcal{O} \left( \frac{\hat{\alpha}}{\pi} \right)^4$$

(use the largest group th. factor in the next uncalculated pert. order)

[Erler, Luo '03]

$$\Delta \mathcal{M}_n^{(4)} = \pm N_C C_F C_A^3 Q_q^2 \left[ \frac{\hat{\alpha}_s(\hat{m}_q)}{\pi} \right]^4 \left( \frac{1}{2\hat{m}_q(\hat{m}_q)} \right)^{2n}$$

Example known orders

$n$	$\frac{\Delta \mathcal{M}_n^{(2)}}{ \mathcal{M}_n^{(2)} }$	$\frac{\Delta \mathcal{M}_n^{(3)}}{ \mathcal{M}_n^{(3)} }$
0	1.88	3.03
1	2.14	2.84
2	1.92	4.58
3	3.25	5.63
4	6.70	4.30
5	19.18	3.62

from 5 MeV to 10 MeV  
(0th+1st)      (0th+5th)

More conservative than varying the renorm. scale within a factor of 4

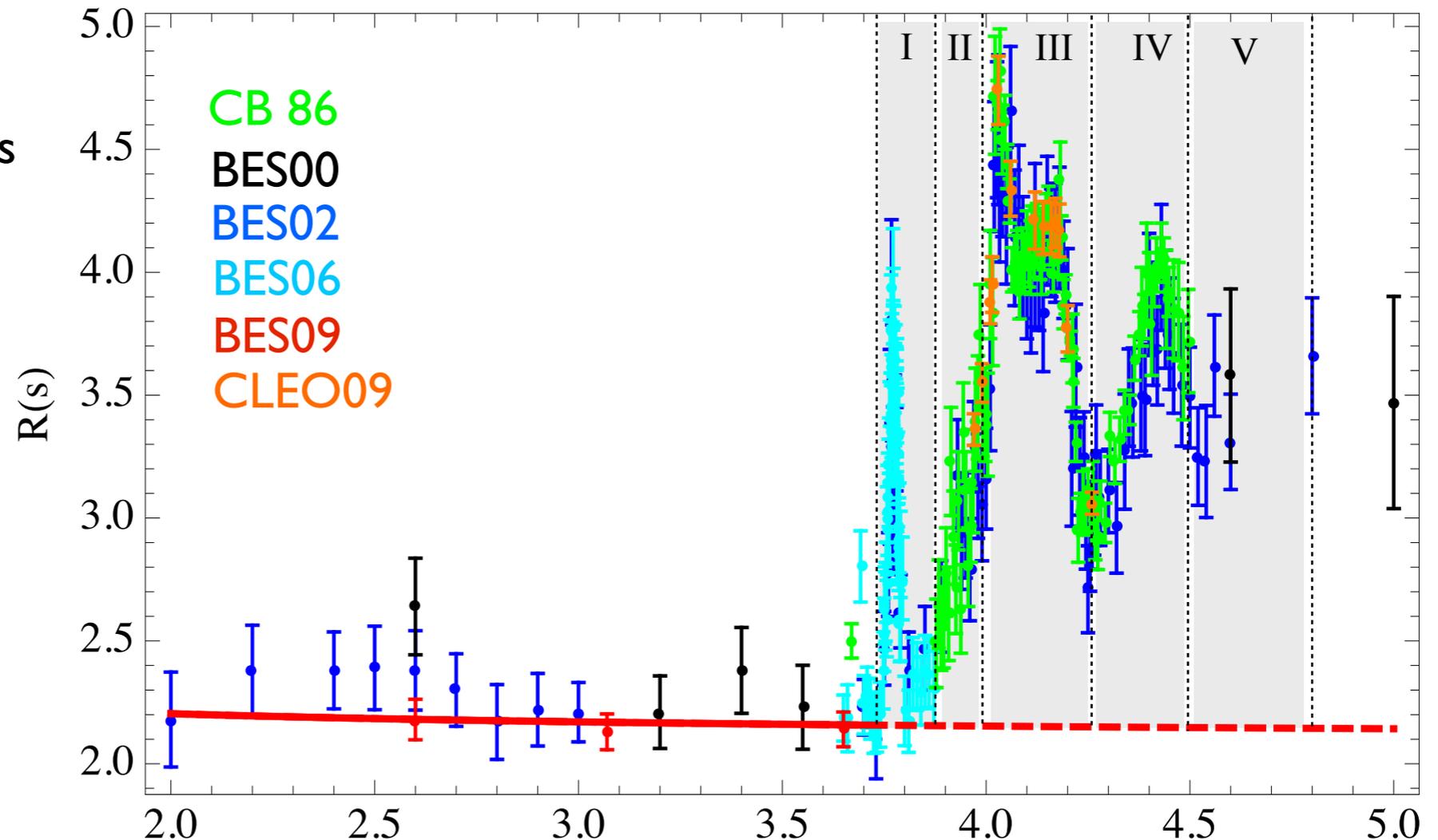
# QCD Sum Rules

Our approach: **error budget**

Comparison with  $R^{\text{Exp}}$  threshold data:

$$(2M_D \leq \sqrt{s} \leq 4.8\text{GeV})$$

Calculate Exp moments



# QCD Sum Rules

Our approach: **error budget**

Comparison with  $R^{\text{Exp}}$  threshold data:

Collab.	$n$	$[2M_{D^0}, 3.872]$	$[3.872, 3.97]$	$[3.97, 4.26]$	$[4.26, 4.496]$	$[4.496, 4.8]$
CB86	0	–	0.0339(22)(24)	0.2456(25)(172)	0.1543(27)(108)	–
	1	–	0.0220(14)(15)	0.1459(16)(102)	0.0801(14)(56)	–
	2	–	0.0143(9)(10)	0.0868 (9)(61)	0.0416(7)(29)	–
BES02	0	0.0334(24)(17)	0.0362(29)(18)	0.2362(41)(118)	0.1399(38)(70)	0.1705(63)(85)
	1	0.0232(17)(12)	0.0235(19)(12)	0.1401(24)(70)	0.0726(20)(36)	0.0788(30)(39)
	2	0.0161(12)(8)	0.0152(13)(8)	0.0832(15)(42)	0.0378(10)(19)	0.0365(14)(18)
BES06	0	0.0311(16)(15)	–	–	–	–
	1	0.0217(11)(11)	–	–	–	–
	2	0.0151(8)(7)	–	–	–	–
CLEO09	0	–	–	0.2591(22)(52)	–	–
	1	–	–	0.1539(13)(31)	–	–
	2	–	–	0.0915(8)(18)	–	–
Total	0	0.0319(14)(11)	0.0350(18)(15)	0.2545(18)(46)	0.1448(27)(59)	0.1705(63)(85)
	1	0.0222(9)(8)	0.0227(12)(10)	0.1511(11)(27)	0.0752(14)(31)	0.0788(30)(39)
	2	0.0155(6)(6)	0.0147(8)(6)	0.0899(6)(16)	0.0391(7)(16)	0.0365(14)(18)

# QCD Sum Rules

Our approach: **error budget**

Comparison with  $R^{\text{Exp}}$  threshold data:

$$\int_{(2M_{D^0})^2}^{(4.8 \text{ GeV})^2} \frac{ds}{s} R_c^{\text{cont}}(s) \Big|_{\hat{m}_c=1.272 \text{ GeV}} = \mathcal{M}_0^{\text{Data}} = 0.6367(195) \longrightarrow \lambda_3^{c,\text{exp}} = 1.34(17)$$

$(2M_D \leq \sqrt{s} \leq 4.8 \text{ GeV})$

Error induced to Quark mass:

I)  $\lambda_3^c = 1.23 \rightarrow \lambda_3^{c,\text{exp}} = 1.34$

from + 6.4 MeV to + 0.2 MeV

II)  $\Delta\lambda_3^{c,\text{exp}} = 0.17$

from 4.7 MeV to 0.1 MeV

$n$	Data	$\lambda_3^c = 1.34(17)$	$\lambda_3^c = 1.23$
0	0.6367(195)	0.6367(195)	0.6239
1	0.3500(102)	0.3509(111)	0.3436
2	0.1957(54)	0.1970(65)	0.1928
3	0.1111(29)	0.1127(38)	0.1102
4	0.0641(16)	0.0657(23)	0.0642
5	0.0375(9)	0.0389(14)	0.0380

# QCD Sum Rules

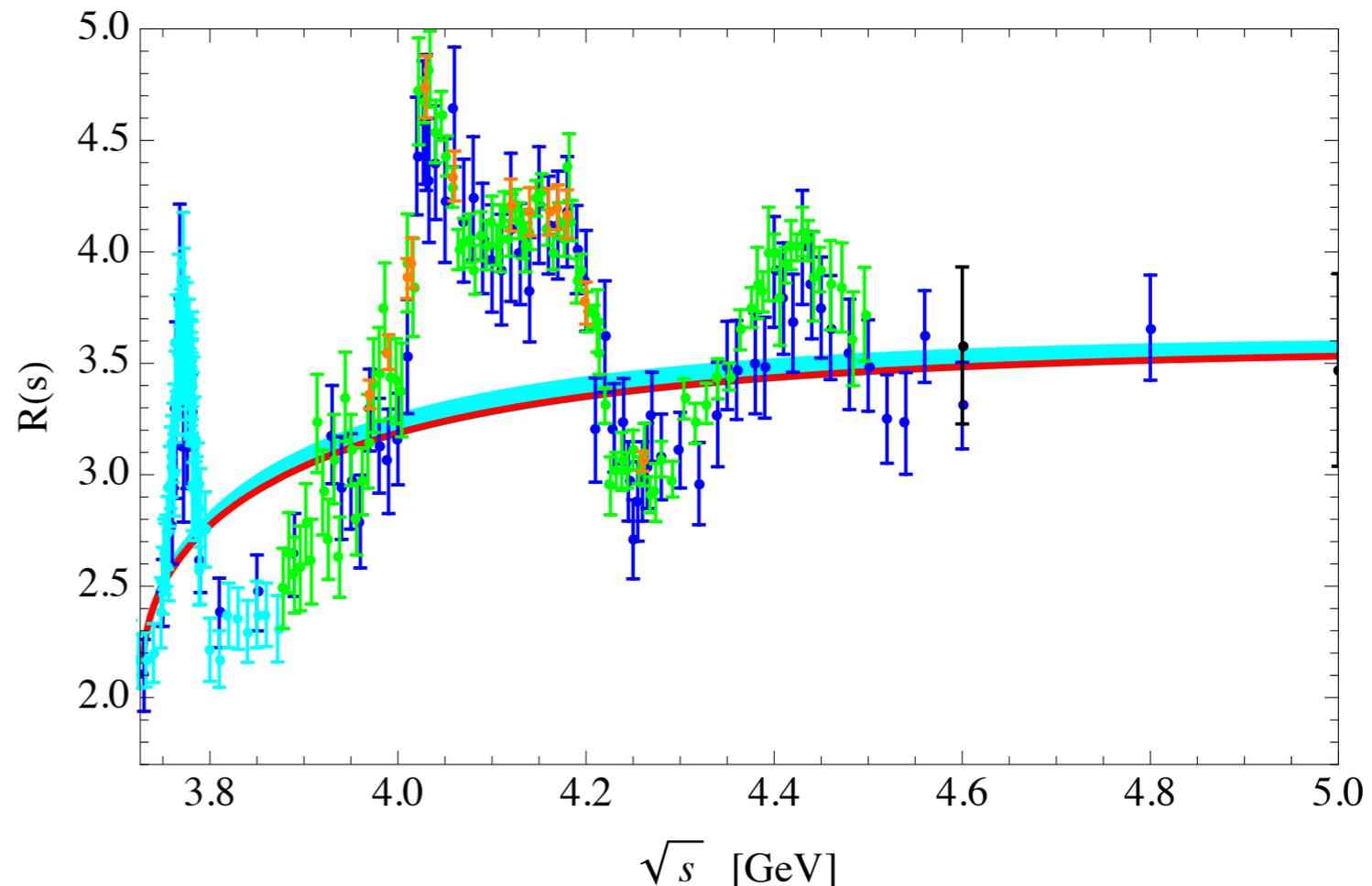
Our approach: **error budget**

Comparison with  $R^{\text{Exp}}$  threshold data:

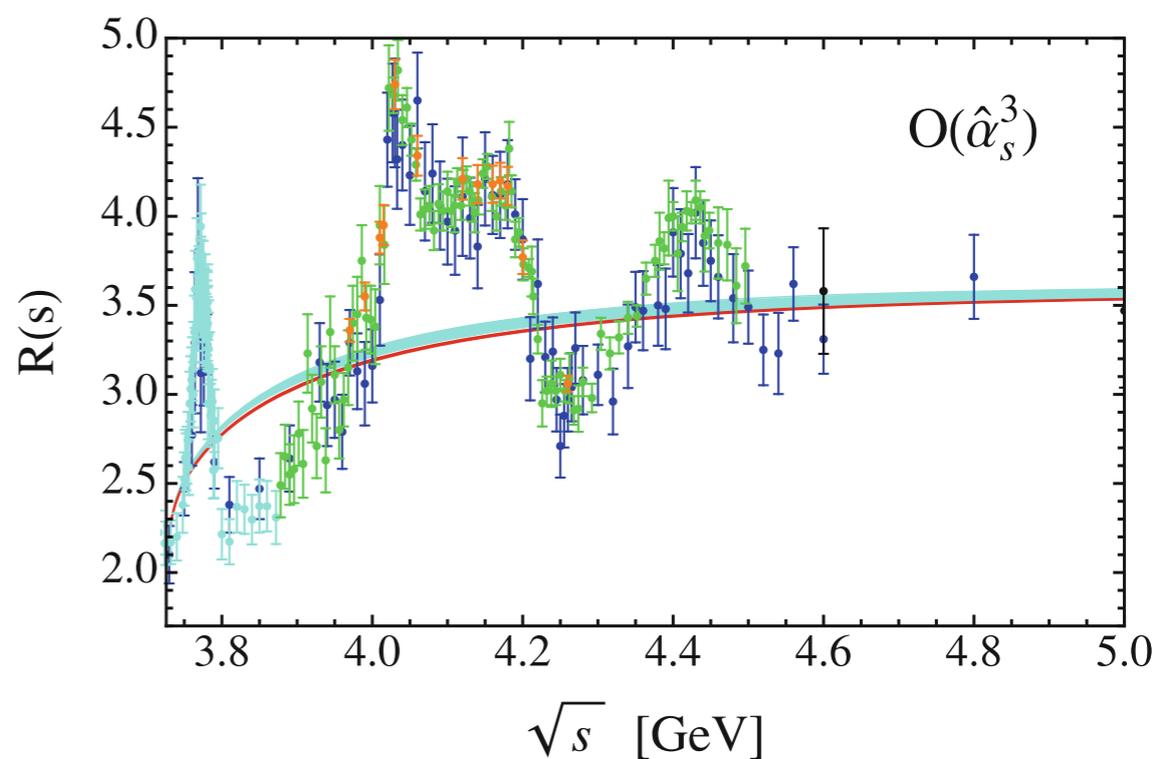
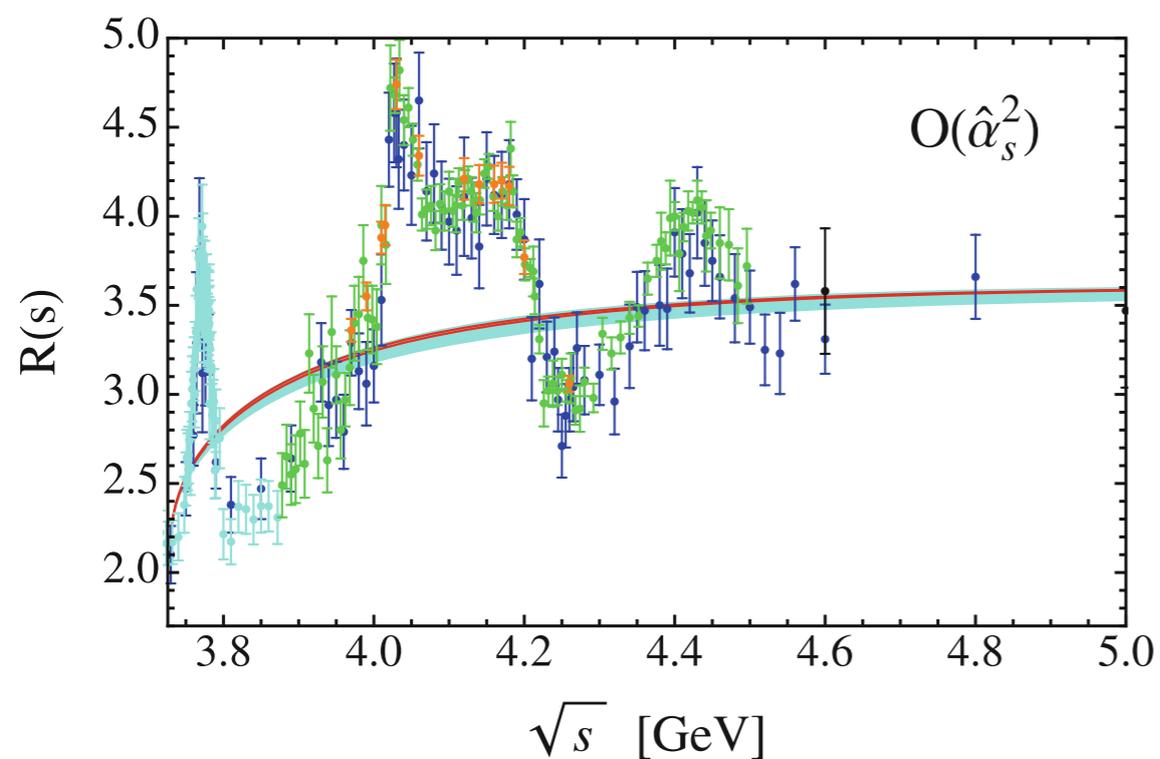
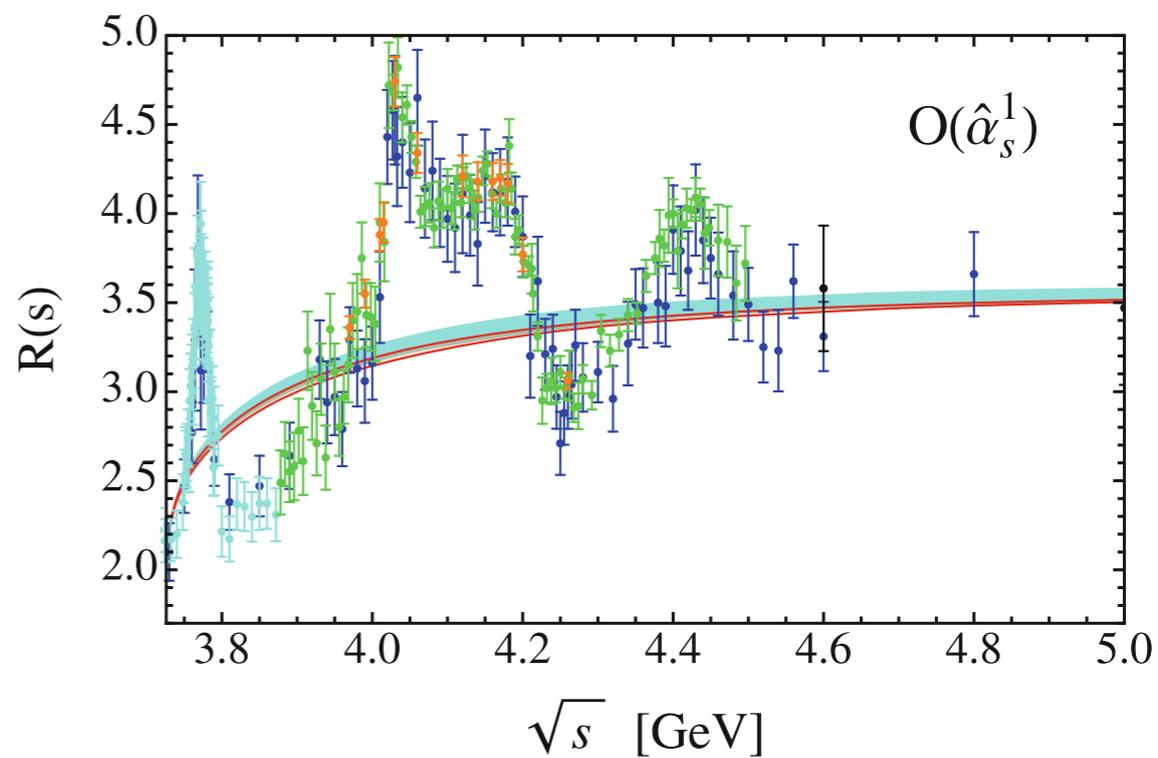
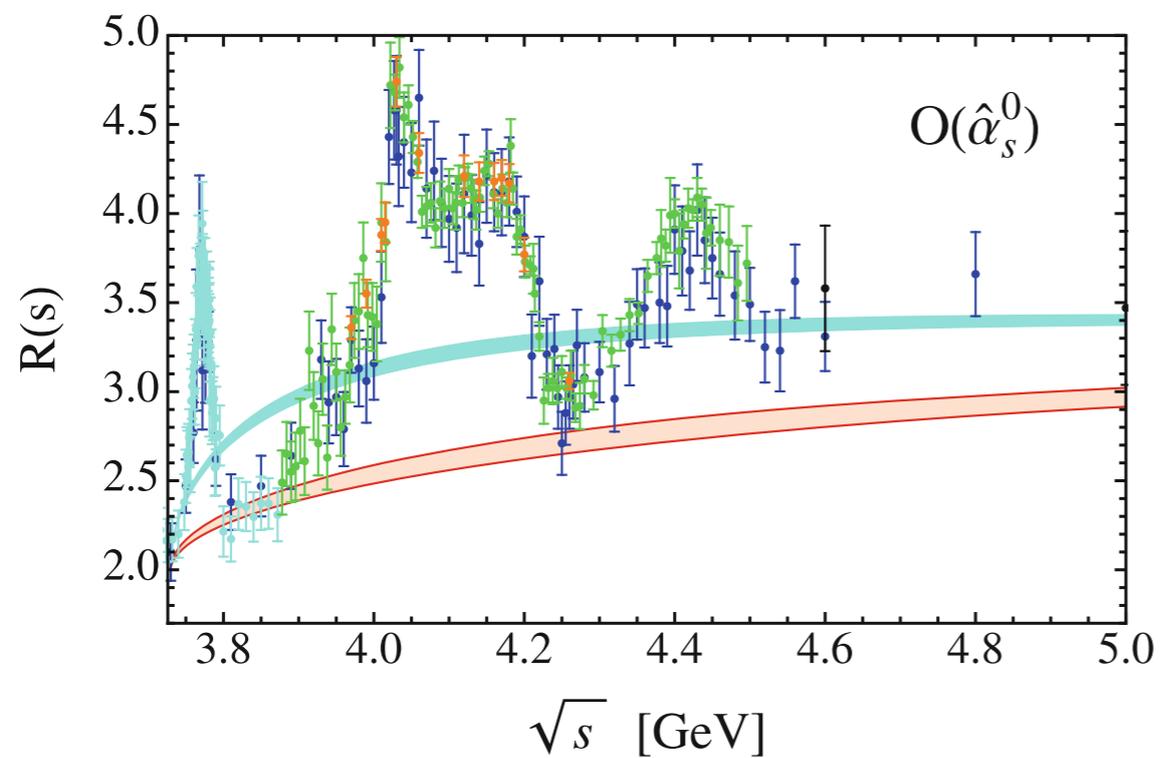
$$\int_{(2M_{D^0})^2}^{(4.8 \text{ GeV})^2} \frac{ds}{s} R_c^{\text{cont}}(s) \Big|_{\hat{m}_c=1.272 \text{ GeV}} = \mathcal{M}_0^{\text{Data}} = 0.6367(195) \longrightarrow \lambda_3^{c,\text{exp}} = 1.34(17)$$

Error induced to Quark mass:

- I)  $\lambda_3^c = 1.23 \rightarrow \lambda_3^{c,\text{exp}} = 1.34$   
from + 6.4 MeV to + 0.2 MeV
- II)  $\Delta\lambda_3^{c,\text{exp}} = 0.17$   
from 4.7 MeV to 0.1 MeV



# QCD Sum Rules



# QCD Sum Rules

Our approach: **error budget**

Condensates:

Non-perturbative effects due to gluon condensates to the moments are: [Chetyrkin et al '12]

$$\mathcal{M}_n^{\text{nonp}}(\mu^2) = \frac{12\pi^2 Q_q^2}{(4\hat{m}_q^2)^{n+2}} \text{Cond } a_n \left( 1 + \frac{\alpha_s(\hat{m}_q^2)}{\pi} b_n \right)$$

$a_n, b_n$  are numbers, and  $\text{Cond} = \langle \frac{\alpha_s}{\pi} G^2 \rangle = (5 \pm 5) \cdot 10^{-3} \text{GeV}^4$  [Dominguez et al '14]

$$\Delta \langle \frac{\alpha_s}{\pi} G^2 \rangle = 5 \cdot 10^{-3} \text{GeV}^4 \longrightarrow \begin{array}{cc} \text{from 1 MeV to 4 MeV} \\ \text{(0th+1st)} & \text{(0th+5th)} \end{array}$$

Parametric error:

$$\Delta \overline{m}_c(\overline{m}_c) [\text{MeV}] = -0.5 \cdot 10^3 \frac{\text{MeV}}{\text{GeV}^4} \Delta \langle \frac{\alpha_s}{\pi} G^2 \rangle$$

(but this is only the first condensate)

# QCD Sum Rules

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Our approach: **error budget**

$$\Delta\alpha_s(M_z) \quad \alpha_s(M_z) = 0.1182(16) \quad \text{from PDG16}$$

$$\Delta\alpha_s(M_z) = 0.0016 \quad \longrightarrow \quad \text{from 6 MeV to 1 MeV}$$

Parametric error:

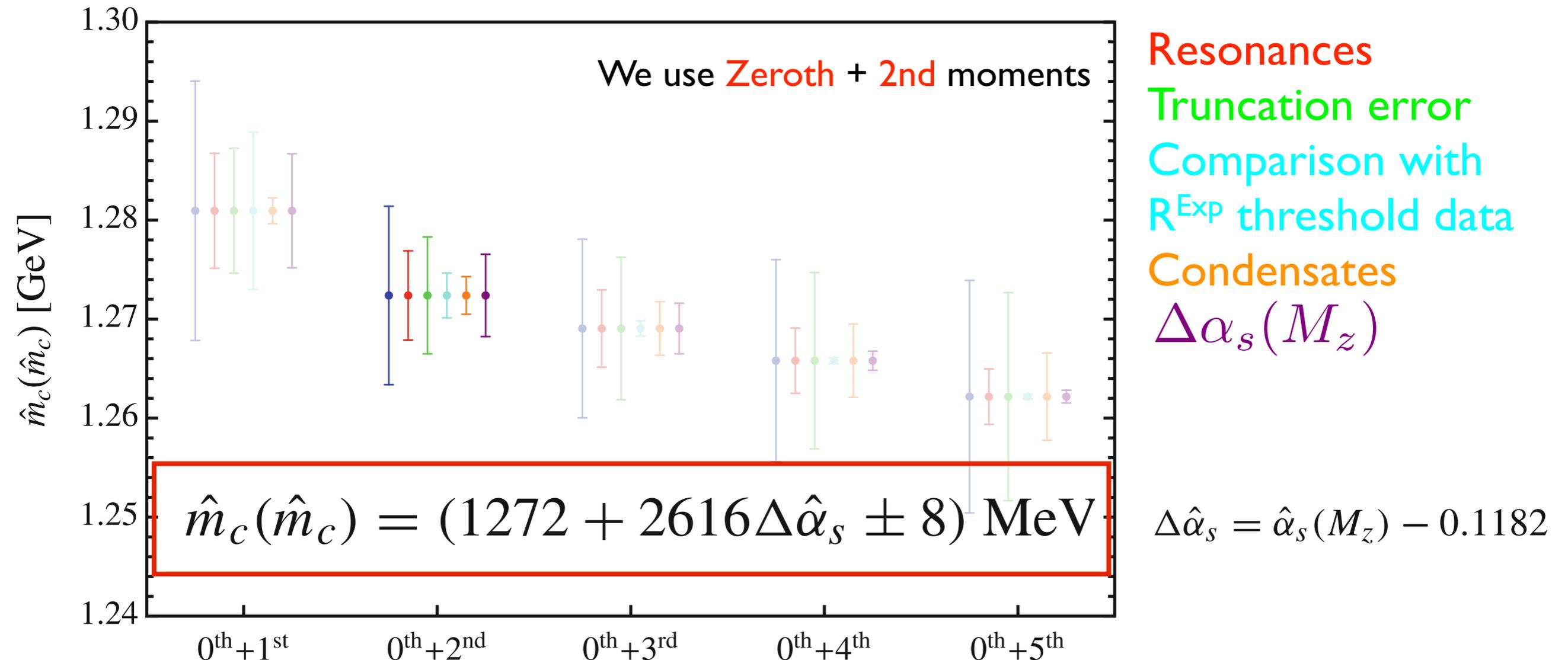
$$(0\text{th}+1\text{st}) \quad \Delta\overline{m}_c(\overline{m}_c) [\text{MeV}] = 3.6 \cdot 10^3 \Delta\alpha_s(M_z)$$

$$(0\text{th}+5\text{th}) \quad \Delta\overline{m}_c(\overline{m}_c) [\text{MeV}] = -0.4 \cdot 10^3 \Delta\alpha_s(M_z)$$

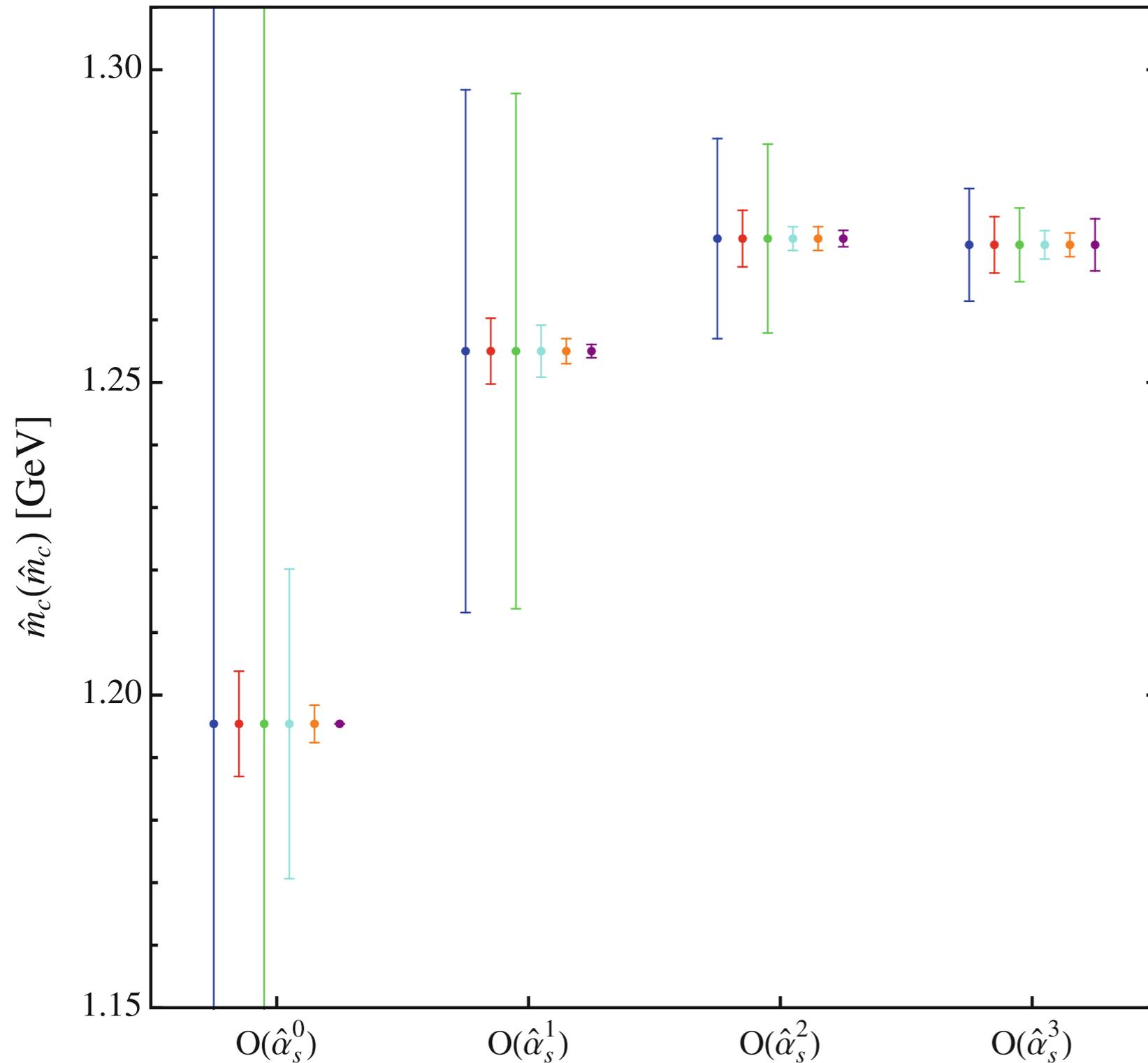
# QCD Sum Rules

Our approach: **final result**

[J.Erler, P.M., H. Spiesberger' 17]



# QCD Sum Rules



$\alpha_s$  expansion

for the **Zerth** + **2nd** moments

Total

Resonances

Truncation error

Comparison with  
 $R^{\text{Exp}}$  threshold data

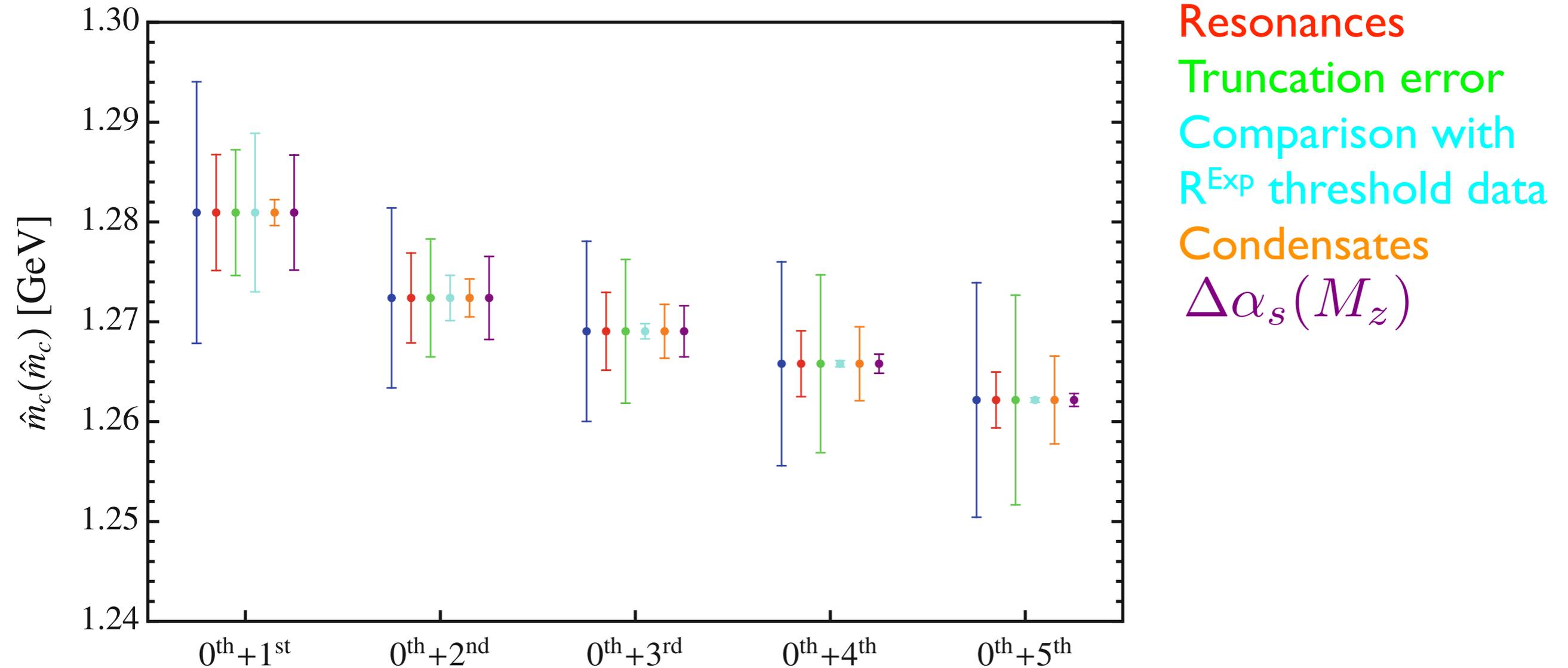
Condensates

$\Delta\alpha_s(M_z)$

# QCD Sum Rules

## Our approach

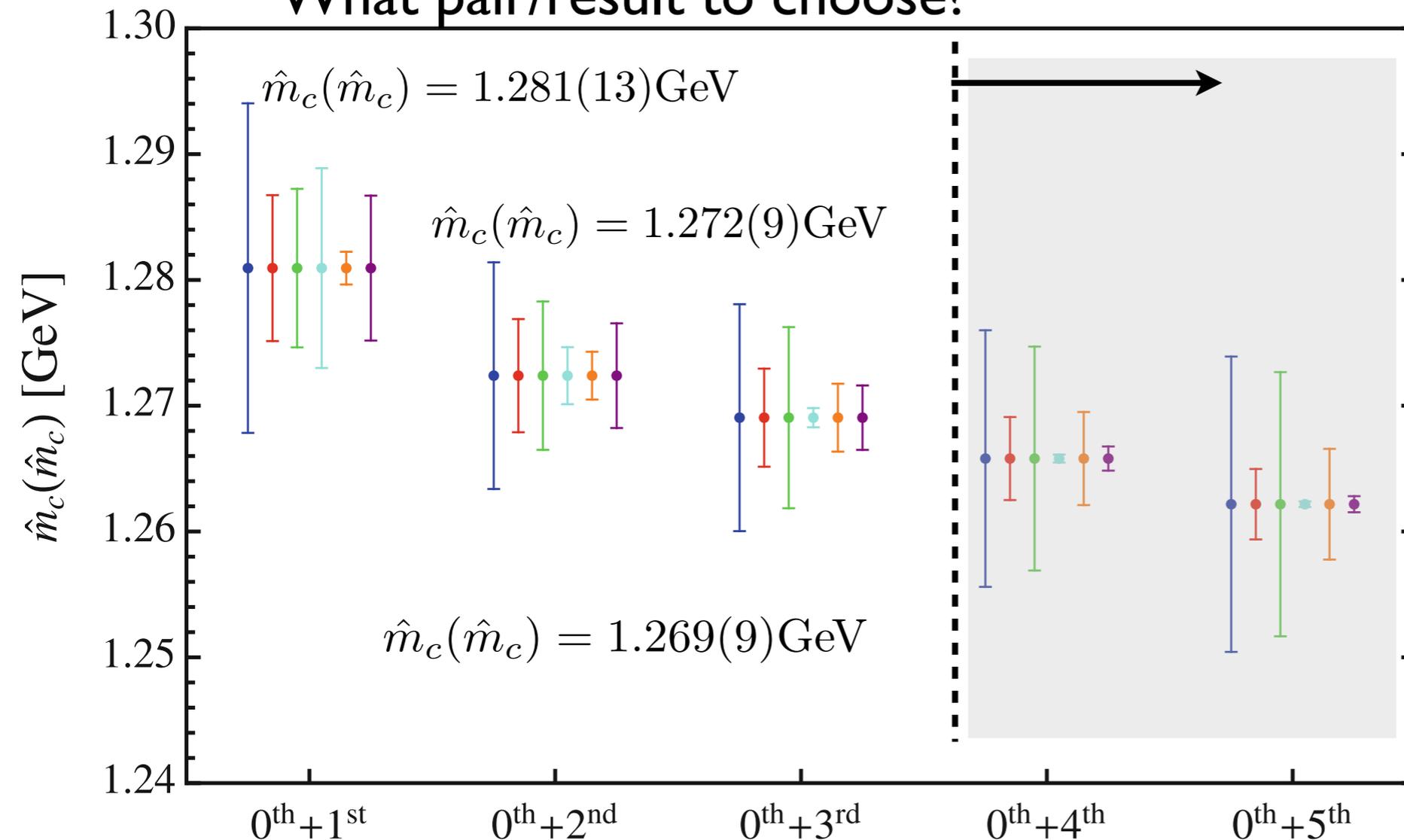
What pair/result to choose?



# QCD Sum Rules

## Our approach

What pair/result to choose?



Resonances

Truncation error

Comparison with  $R^{\text{Exp}}$  threshold data

Condensates

$\Delta\alpha_s(M_z)$

Large condensate effects  
+  
new condensates will matter

# QCD Sum Rules

Our approach: **more than two moments?**

Define a  $\chi^2$  function:

$$\chi^2 = \frac{1}{2} \sum_{n,m} (\mathcal{M}_n - \mathcal{M}_n^{\text{pQCD}}) (\mathcal{C}^{-1})^{nm} (\mathcal{M}_m - \mathcal{M}_m^{\text{pQCD}}) + \chi_c^2$$

$$\mathcal{C} = \frac{1}{2} \sum_{n,m} \rho^{\text{Abs}(n-m)} \Delta \mathcal{M}_n^{(4)} \Delta \mathcal{M}_m^{(4)} \quad \rho \text{ a correlation parameter}$$

$$\chi_c^2 = \left( \frac{\Gamma_{J/\Psi(1S)}^e - \Gamma_{J/\Psi(1S)}^{e,\text{exp}}}{\Delta \Gamma_{J/\Psi(1S)}^e} \right)^2 + \left( \frac{\Gamma_{\Psi(2S)}^e - \Gamma_{\Psi(2S)}^{e,\text{exp}}}{\Delta \Gamma_{\Psi(2S)}^e} \right)^2 +$$

$$\left( \frac{\hat{\alpha}_s(M_z) - \hat{\alpha}_s(M_z)^{\text{exp}}}{\Delta \hat{\alpha}_s(M_z)} \right)^2 + \left( \frac{\langle \frac{\alpha_s}{\pi} G^2 \rangle - \langle \frac{\alpha_s}{\pi} G^2 \rangle^{\text{exp}}}{\Delta \langle \frac{\alpha_s}{\pi} G^2 \rangle} \right)^2$$

# QCD Sum Rules

Our approach: **more than two moments?**

Define a  $\chi^2$  function:

	Constraints	$(\mathcal{M}_0, \mathcal{M}_1, \mathcal{M}_2)_\rho$	$\mathcal{M}_0, (\mathcal{M}_1, \mathcal{M}_2)_\rho$	$\mathcal{M}_0, (\mathcal{M}_1, \mathcal{M}_2, \mathcal{M}_3)_\rho$
$\rho$		-0.06	-0.05	0.32
$\hat{m}_c(\hat{m}_c)$ [GeV]		1.275(8)	1.275(8)	1.271(7)
$\lambda_3^c$		1.19(8)	1.19(8)	1.19(7)
$\Gamma_{J/\psi}^e$ [keV]	5.55(14)	5.57(14)	5.57(14)	5.59(14)
$\Gamma_{\psi(2S)}^e$ [keV]	2.36(4)	2.36(4)	2.36(4)	2.36(4)
$C_G$ [GeV <sup>4</sup> ]	0.005(5)	0.005(5)	0.005(5)	0.004(5)
$\hat{\alpha}_s(M_z)$	0.1182(16)	0.1178(15)	0.1178(15)	0.1173(15)

# QCD Sum Rules

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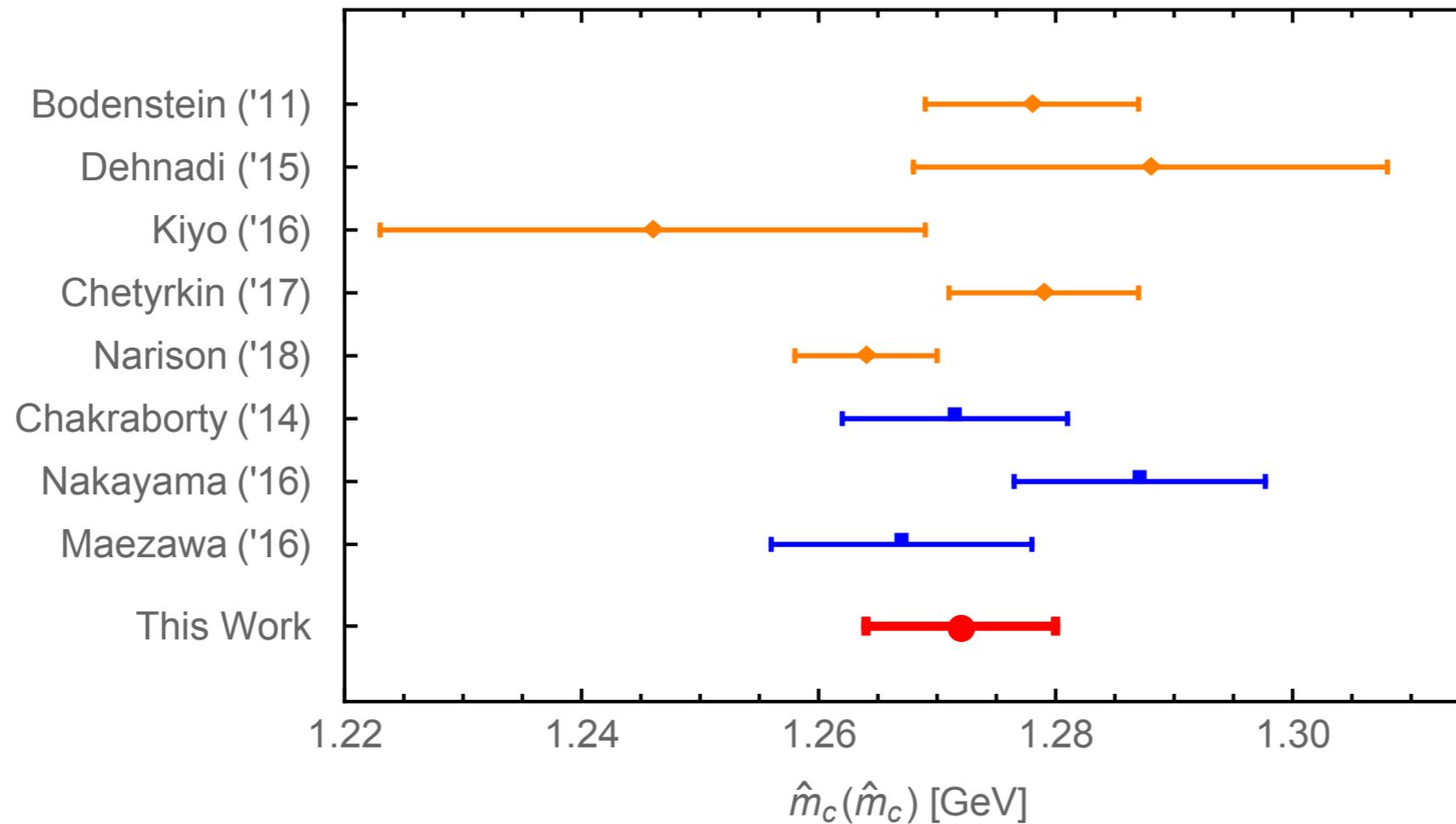
Our approach: **more than two moments?**

Preferred scenario:

	0th + (1st + 2nd) <sub><math>\rho</math></sub> $\Delta\hat{m}_c(\hat{m}_c)$ [MeV]	(0th + 2nd) $\Delta\hat{m}_c(\hat{m}_c)$ [MeV]
Central value	1274.5	1272.4
$\Delta\Gamma_{J/\psi}^e$	5.9	4.5
$\Delta\Gamma_{\Psi(2S)}^e$	1.4	0.4
Truncation	—	5.9
$\Delta\lambda_3^c$	3.0	2.3
Condensates	1.1	1.9
$\Delta\hat{\alpha}_s(M_Z)$	5.4	4.2
Total	8.7	9.0

# QCD Sum Rules

results for the charm quark mass



**Bottom**

# QCD Sum Rules

---

zero-mass limit of R(s)

(preliminary)

$$\begin{aligned}\lambda_1^q(s) = & 1 + \frac{\alpha_s(s)}{\pi} + \left[\frac{\alpha_s(s)}{\pi}\right]^2 (\dots) + \left[\frac{\alpha_s(s)}{\pi}\right]^3 (\dots) + \dots \\ & + \frac{m_q^2}{s} \left( 12 \left[\frac{\alpha_s(s)}{\pi}\right] + \left[\frac{\alpha_s(s)}{\pi}\right]^2 (\dots) + \left[\frac{\alpha_s(s)}{\pi}\right]^3 (\dots) \right) \\ & + \frac{m_q^4}{s^2} \left( -6 + \left[\frac{\alpha_s(s)}{\pi}\right] (\dots) + \left[\frac{\alpha_s(s)}{\pi}\right]^2 (\dots) + \left[\frac{\alpha_s(s)}{\pi}\right]^3 (\dots) \right)\end{aligned}$$

# QCD Sum Rules

zero-mass limit of  $R(s)$

(preliminary)

$$\begin{aligned}\lambda_1^q(s) = & 1 + \frac{\alpha_s(s)}{\pi} + \left[\frac{\alpha_s(s)}{\pi}\right]^2 (\dots) + \left[\frac{\alpha_s(s)}{\pi}\right]^3 (\dots) + \dots \\ & + \frac{m_q^2}{s} \left( 12 \left[\frac{\alpha_s(s)}{\pi}\right] + \left[\frac{\alpha_s(s)}{\pi}\right]^2 (\dots) + \left[\frac{\alpha_s(s)}{\pi}\right]^3 (\dots) \right) \\ & + \frac{m_q^4}{s^2} \left( -6 + \left[\frac{\alpha_s(s)}{\pi}\right] (\dots) + \left[\frac{\alpha_s(s)}{\pi}\right]^2 (\dots) + \left[\frac{\alpha_s(s)}{\pi}\right]^3 (\dots) \right)\end{aligned}$$

For charm:

For bottom:

$$12 \frac{m_c^2}{s} \left( \frac{\alpha_s(s)}{\pi} \right) - 6 \left( \frac{m_c^2}{s} \right)^2 \sim 0$$

$$12 \frac{m_b^2}{s} \left( \frac{\alpha_s(s)}{\pi} \right) < 6 \left( \frac{m_b^2}{s} \right)^2$$

# QCD Sum Rules

---

(preliminary)

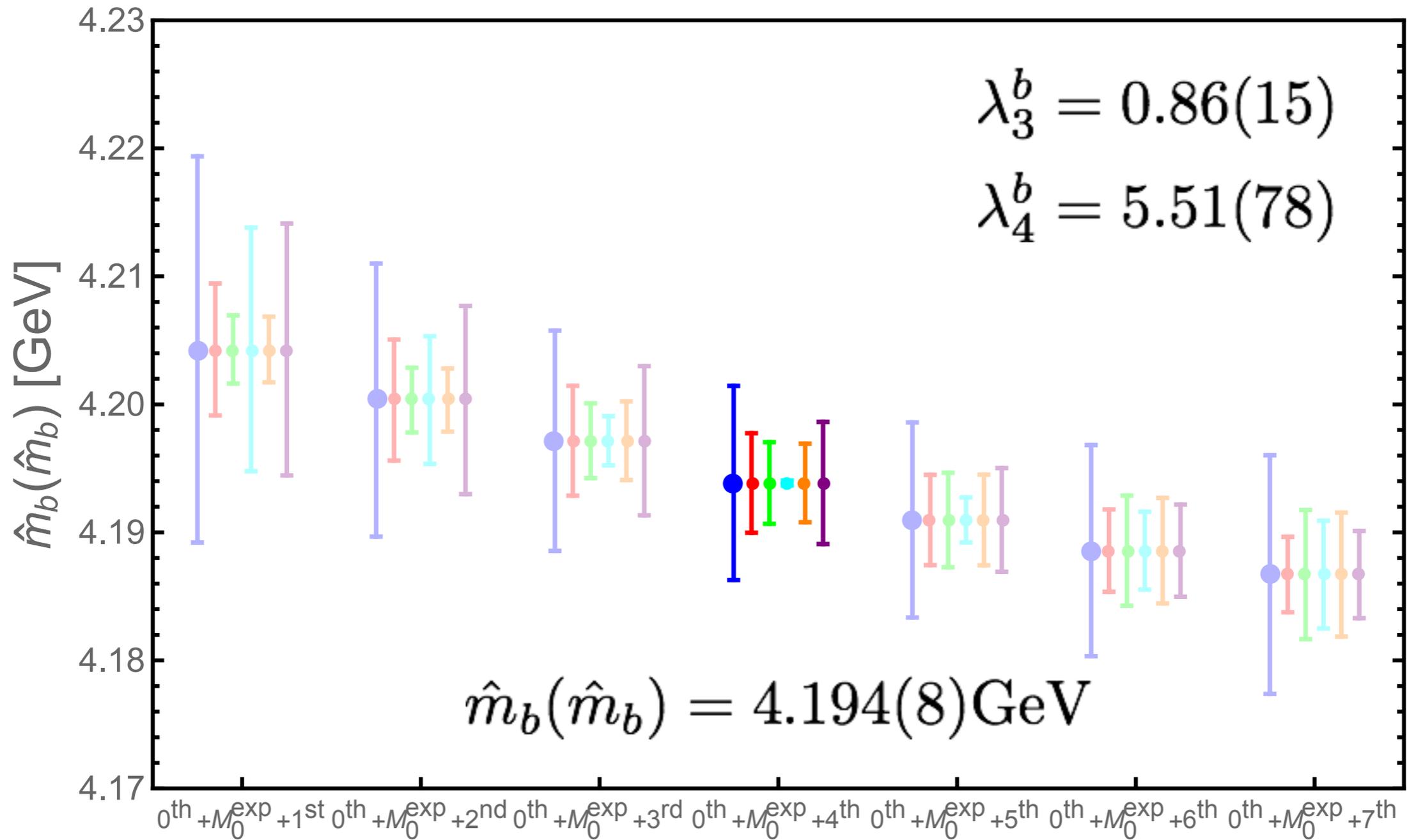
zero-mass limit of  $R(s)$



$$R_b^{\text{cont}}(s) = 3Q_b^2 \lambda_1^b(s) \sqrt{1 - \frac{4\hat{m}_b^2(2M)}{s'}} \left[ 1 + \lambda_3^b \frac{2\hat{m}_b^2(2M)}{s'} + \lambda_4^b \left( \frac{2\hat{m}_b^2(2M)}{s'} \right)^2 \right]$$

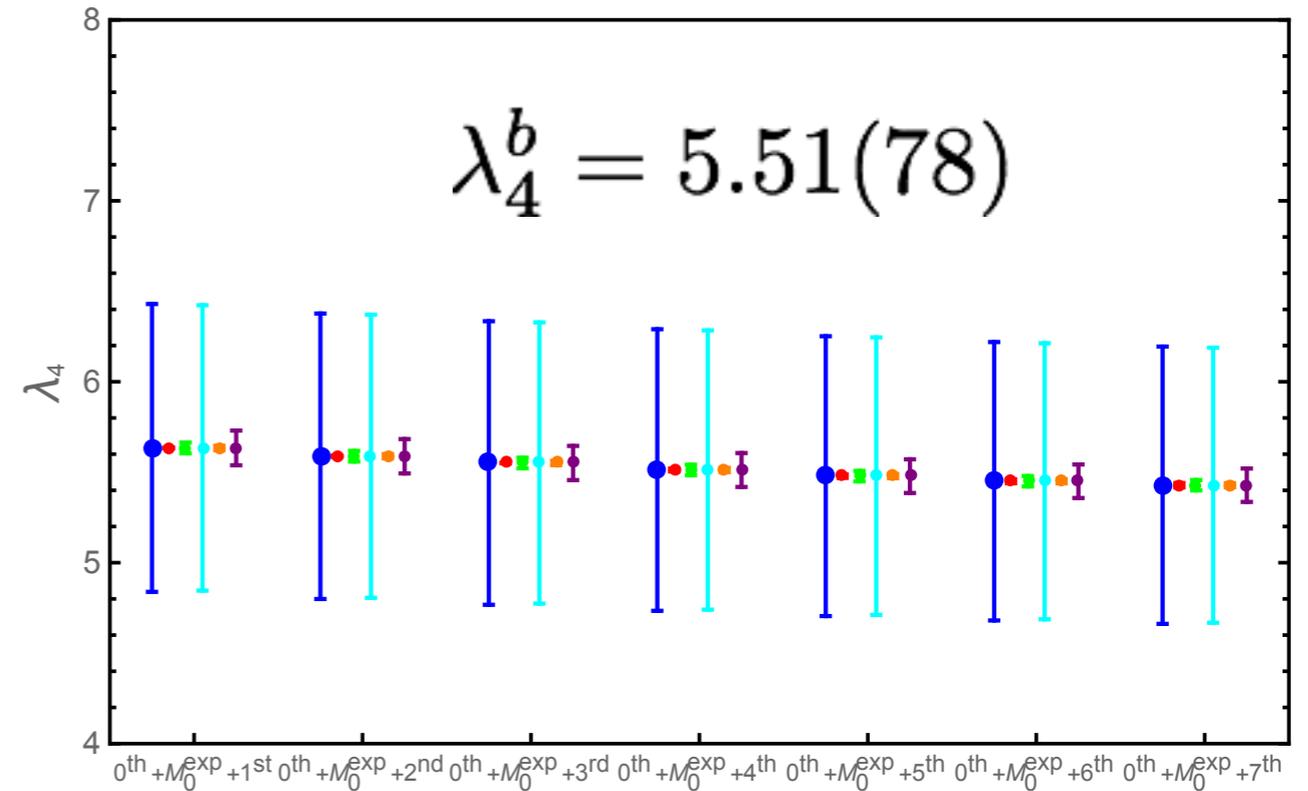
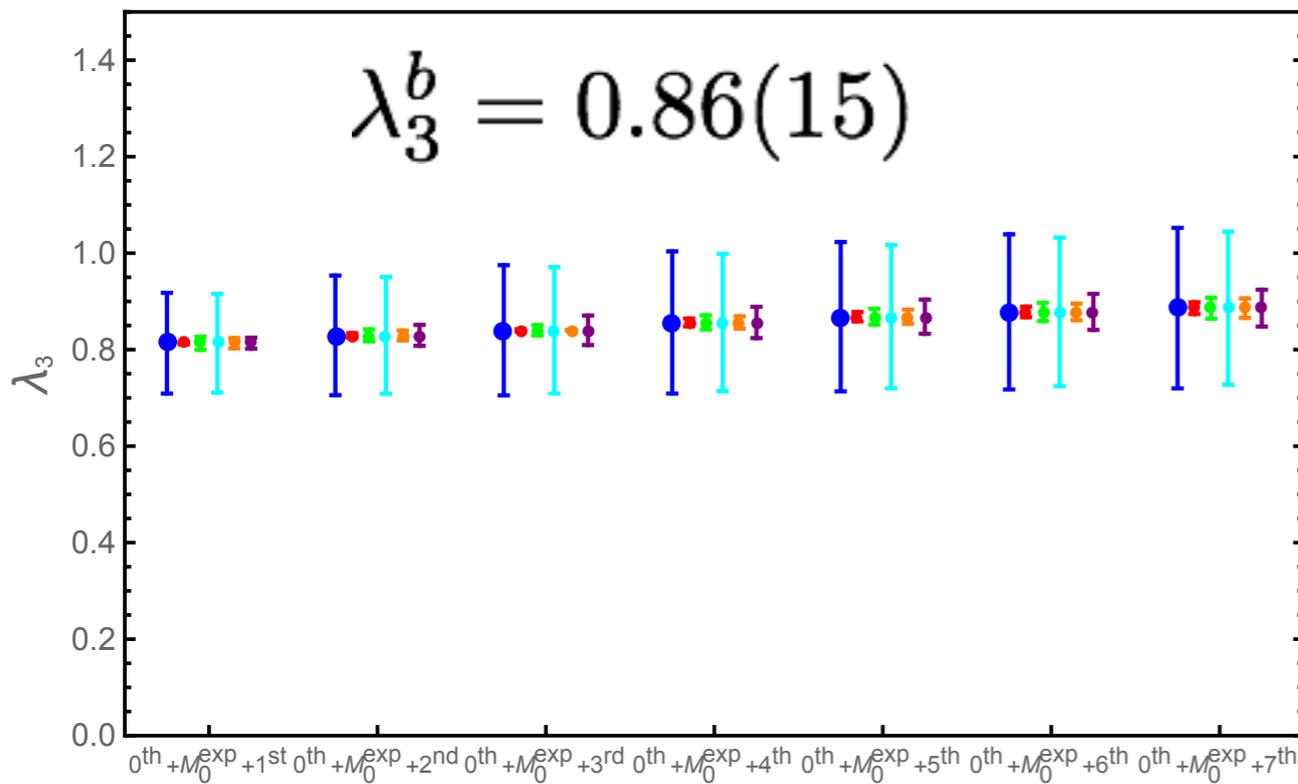
# QCD Sum Rules

(preliminary)



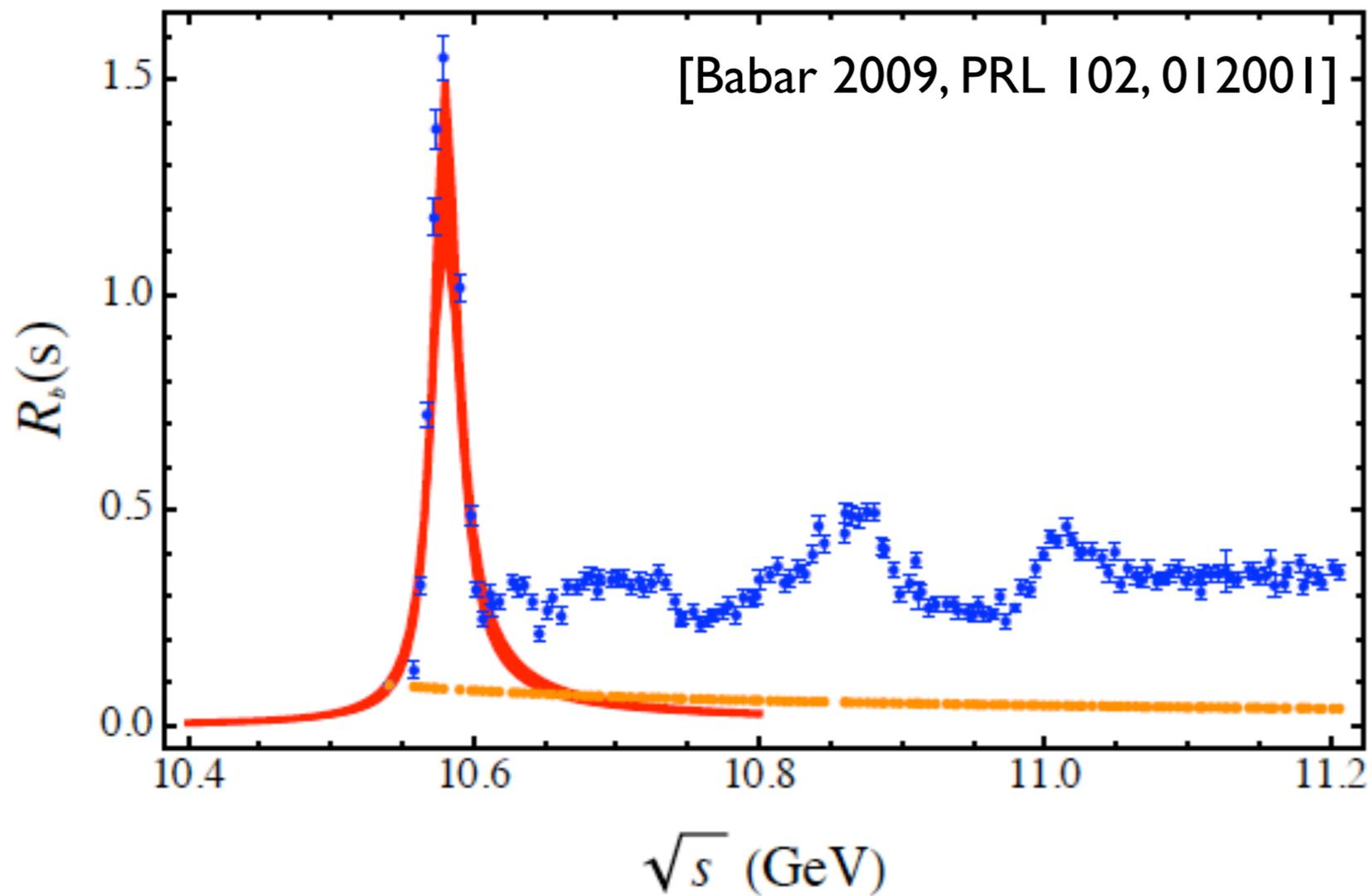
# QCD Sum Rules

(preliminary)



# QCD Sum Rules

(preliminary)



Vacuum polarization

$$(\alpha(0)/\alpha(M_R))^2 \equiv 0.93$$

Radiative tails

ISR corrections

$$\hat{R}(s) = \int_{z_0}^1 \frac{dz}{z} G(z, s) R(zs)$$

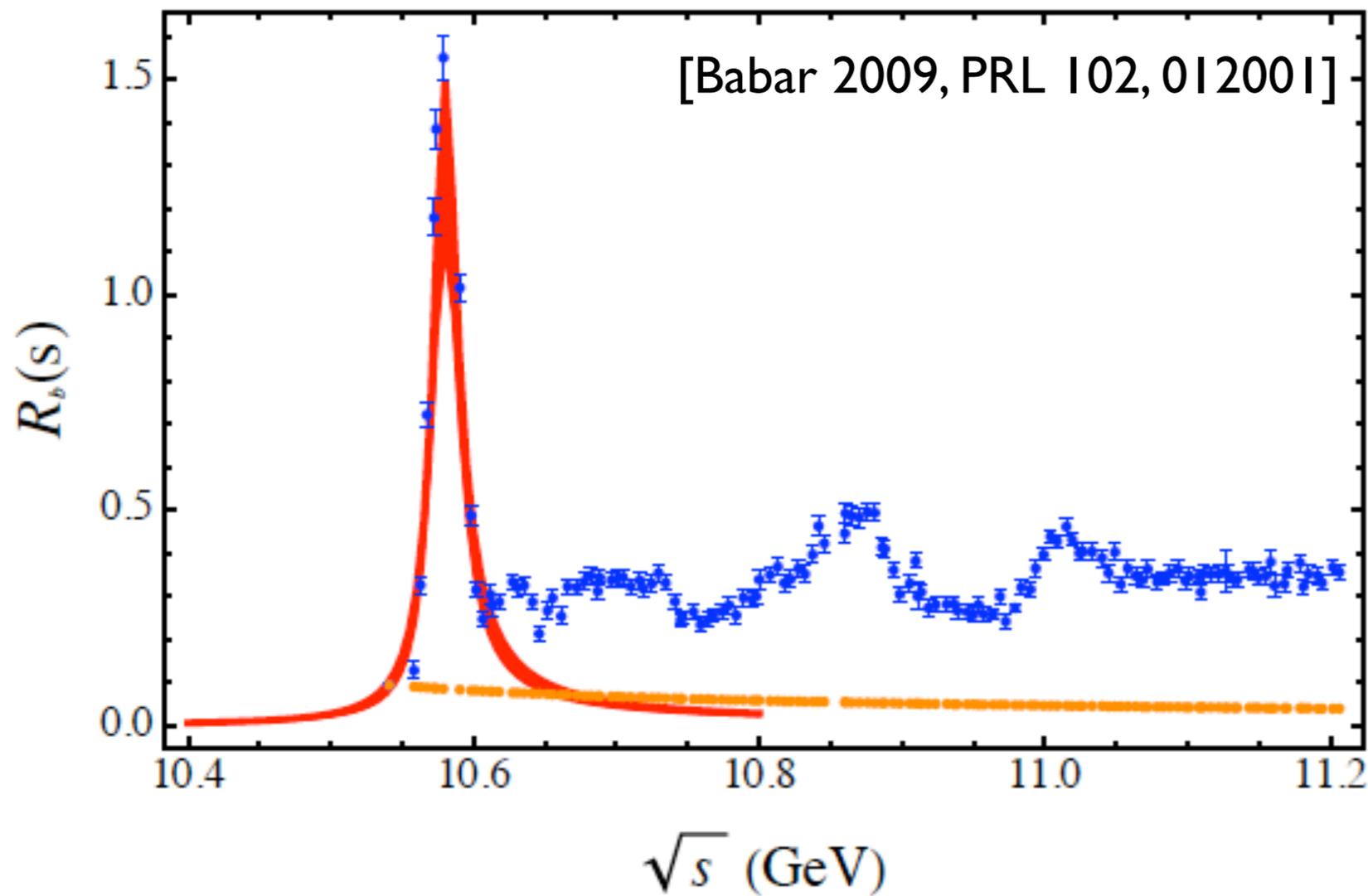
$$z_0 = 10.6^2/s$$

BW param for  $\Upsilon(4S)$

$$BW(s) = \frac{9}{\alpha(M_R^2)^2} \frac{M_R^2 \Gamma \Gamma_R^e}{(s - M_R^2)^2 + \Gamma^2 M_R^2}$$

# QCD Sum Rules

(preliminary)



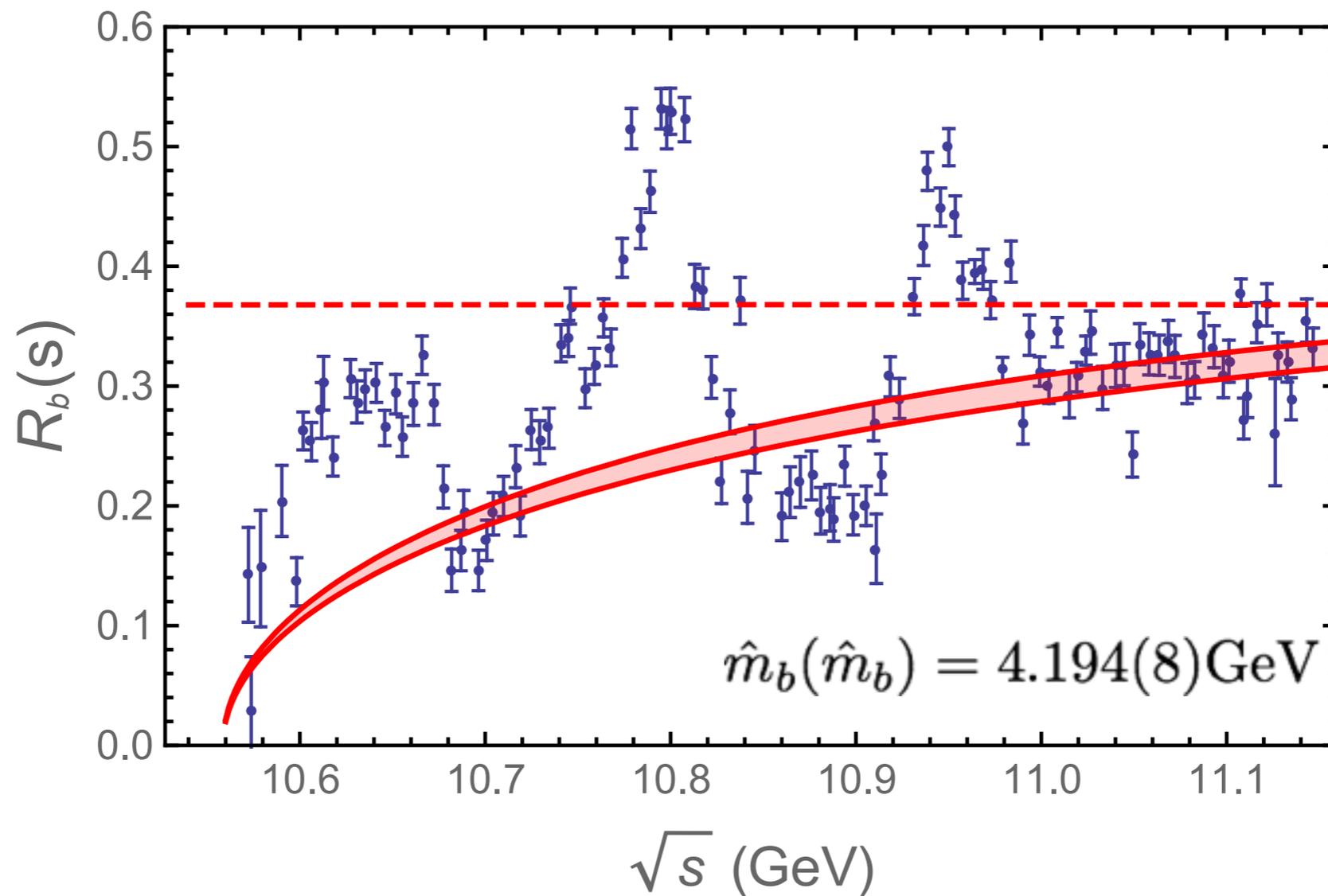
$n$	Hoang	US
0	-	0.321(2)(11)(5)
1	0.270(2)(9)	0.269(2)(9)(4)
2	0.226(1)(8)	0.226(1)(8)(3)
3	0.190(1)(7)	0.189(1)(7)(3)
4	0.159(1)(6)	0.159(1)(6)(3)

Errors:

- Stat. error
- Sys. error
- BW inputs

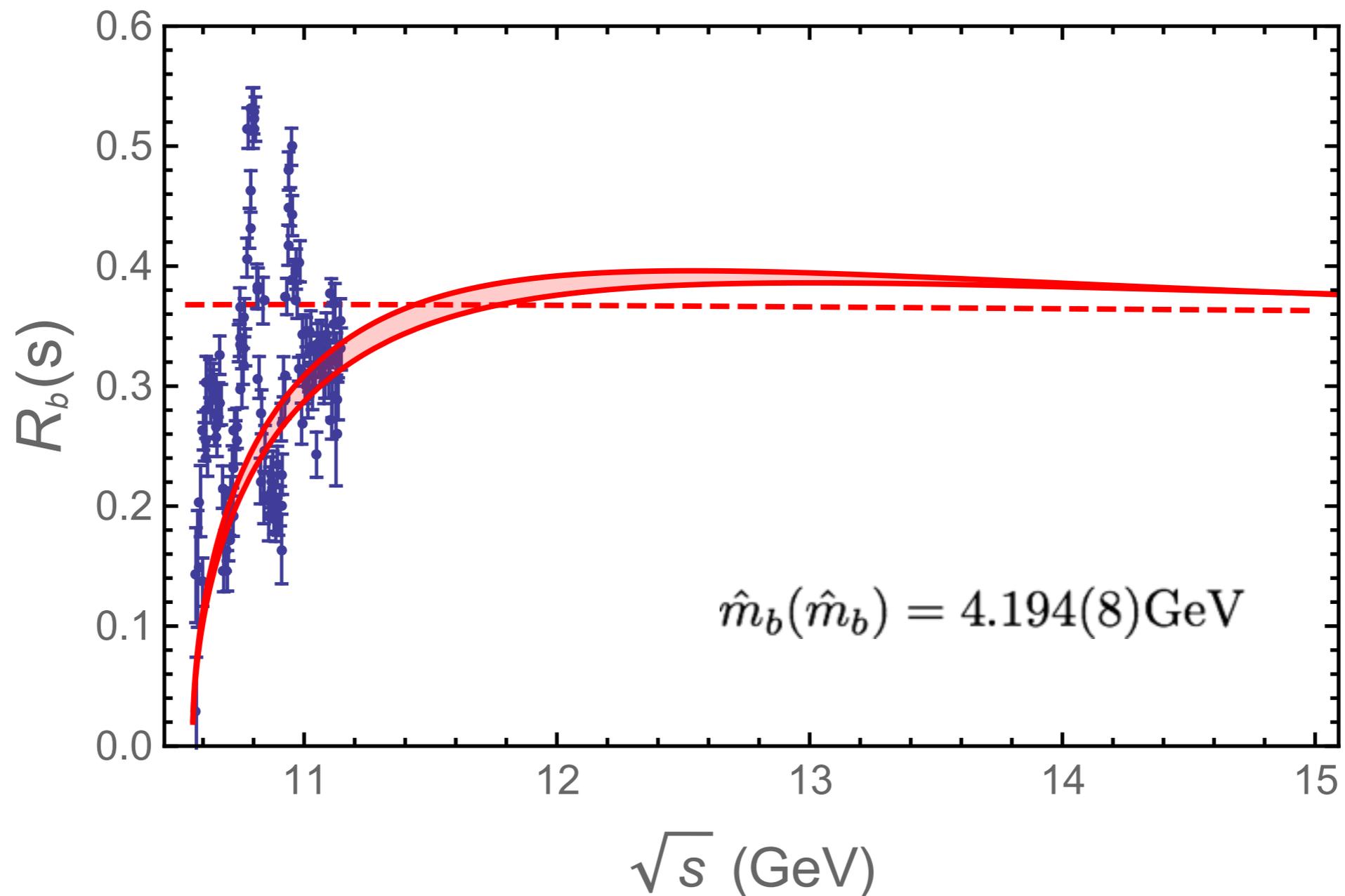
# QCD Sum Rules

(preliminary)



# QCD Sum Rules

(preliminary)



# Conclusions and Outlook

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- Using SR technique + zeroth moment (very sensitive to the continuum)  
+ data on charm resonances below threshold + continuum exploiting self-consistency among different moments:

$$\hat{m}_c(\hat{m}_c) = 1.272(9)\text{GeV}$$

$$\hat{m}_b(\hat{m}_b) = 4.194(8)\text{GeV}$$

- We confirm the result using SR + global fit using *different* moments ( $\chi^2$ )  
Good agreement with other determinations based on SRs and lattice!
- Error sources are understood: seems a clear roadmap for improvements
- Next step: improve the bottom case (more subtle than expected)

Thanks!