

Acknowledgements: the EXO-200 and nEXO collaborations,  
& V. Cirigliano, J. Engel, C. Hall, J. Wilkerson

# **The Search for Neutrinoless Double Beta Decay**

## **The EXO-200 and nEXO Experiments**

Krishna Kumar  
University of Massachusetts, Amherst

Physics Theory Seminar  
UNAM, Mexico City

# Outline

- Discovery of neutrino mass reinvigorates an old question
- Double Beta Decay and Lepton Number Violation
- Theoretical Underpinnings
- The Experiments and their Challenges
- EXO-200: the Immediate Past
- nEXO: the Near Future
- Outlook and Summary

***Disclaimer:**  
**Theory is at student level!***

# **Massive Neutrinos**

# A Model of Leptons: $SU(2)_L \times U(1)_Y$

$$e^-, \mu^-, \tau^- \Rightarrow Q = -e;$$

$$Q = T_3 + Y/2$$

$$\nu_e, \nu_\mu, \nu_\tau \Rightarrow Q = 0$$

$$Y_{\nu_L} = -1 \quad Y_{\nu_R} = 0$$

$$\begin{pmatrix} \nu \\ l^- \end{pmatrix}_L \quad l_R^- \quad \nu_R \quad \Rightarrow T_3$$

$\pm \frac{1}{2} \quad 0 \quad 0$

**Right-handed neutrino has no gauge interactions**

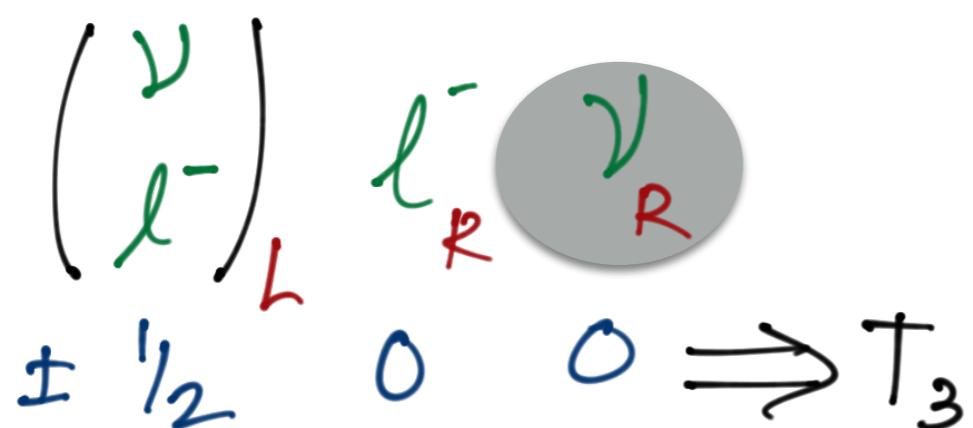
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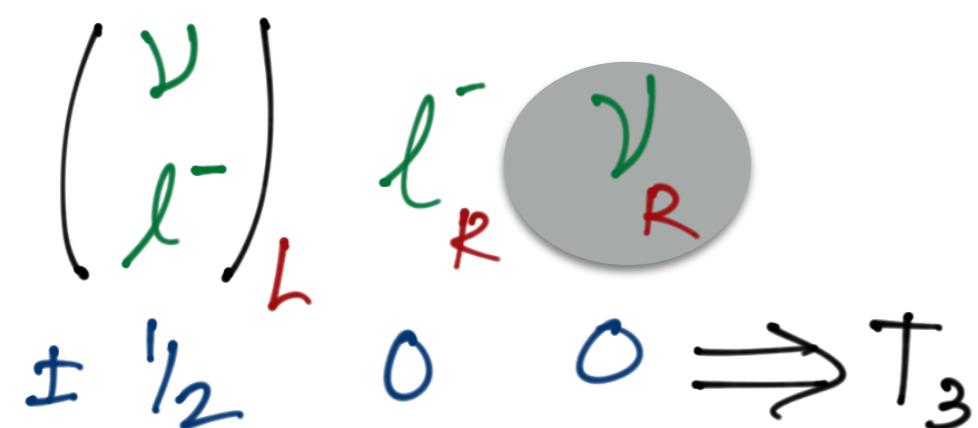
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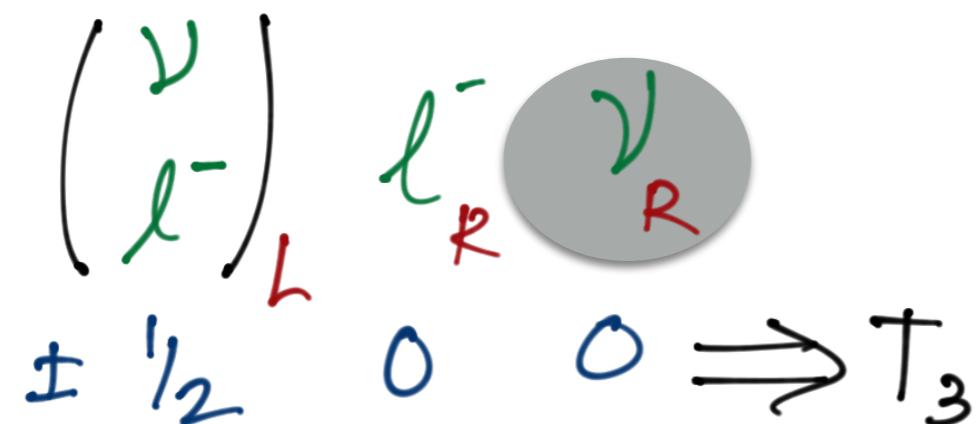
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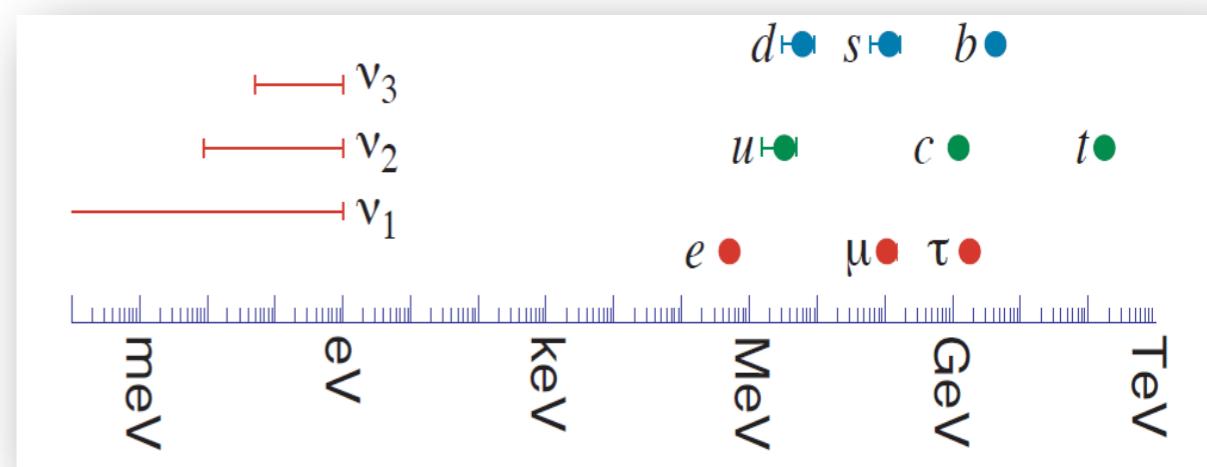
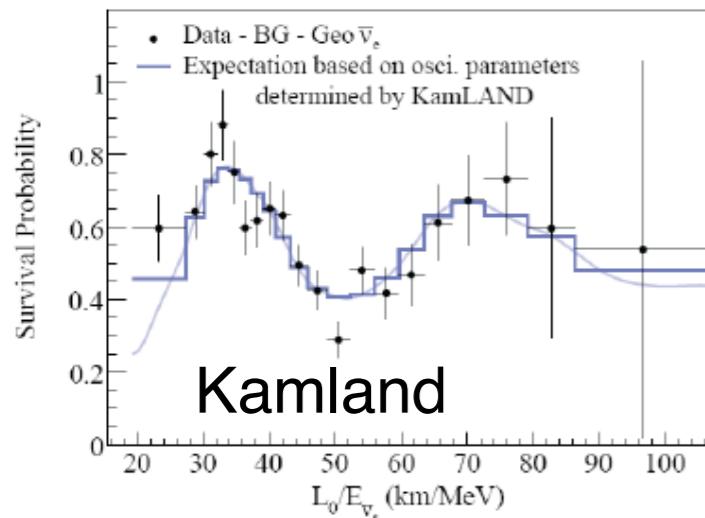


**helicity = chirality**

**Original formulation of the Standard Model:**  
 $\nu$  massless and no right-handed state

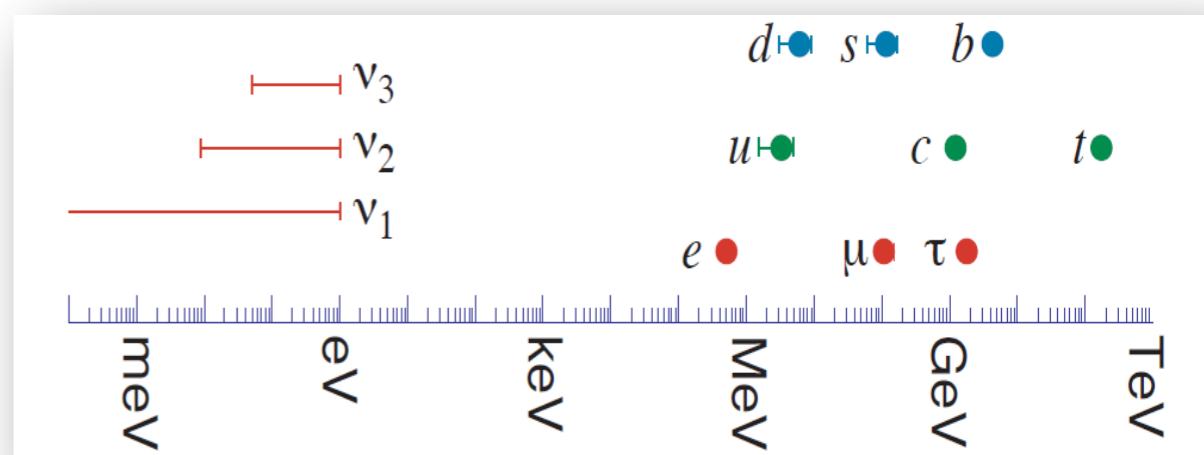
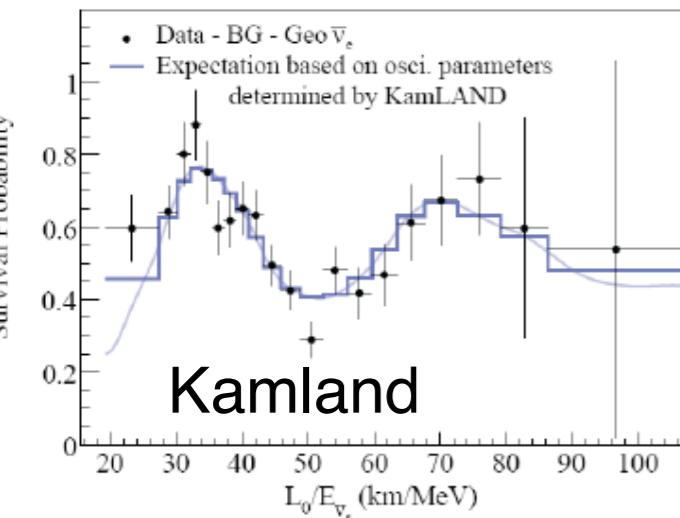
# Postulate the Massive Right-Handed Neutrino

- Why is neutrino mass so small?
- How small is it?
- What is the mass generating mechanism?
- And...



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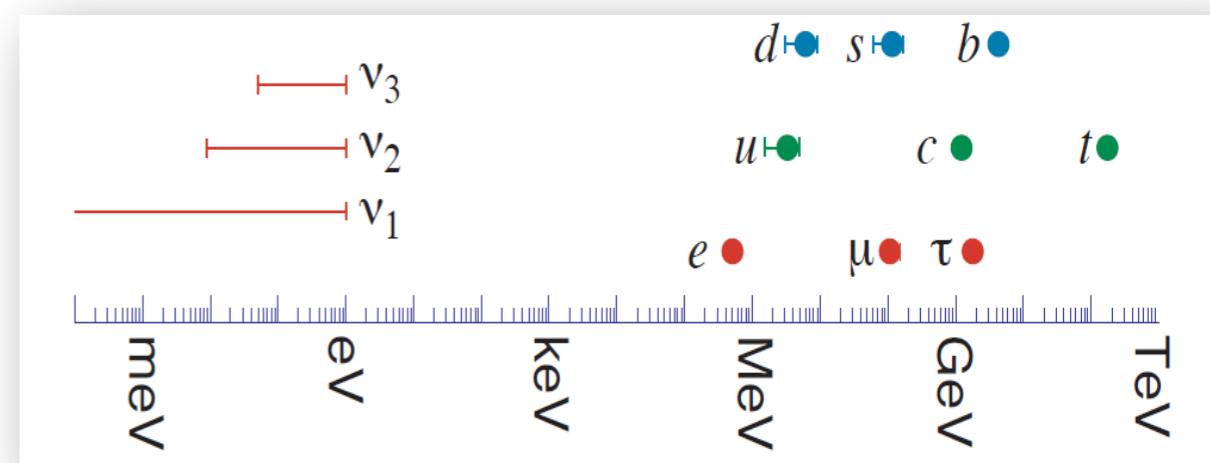
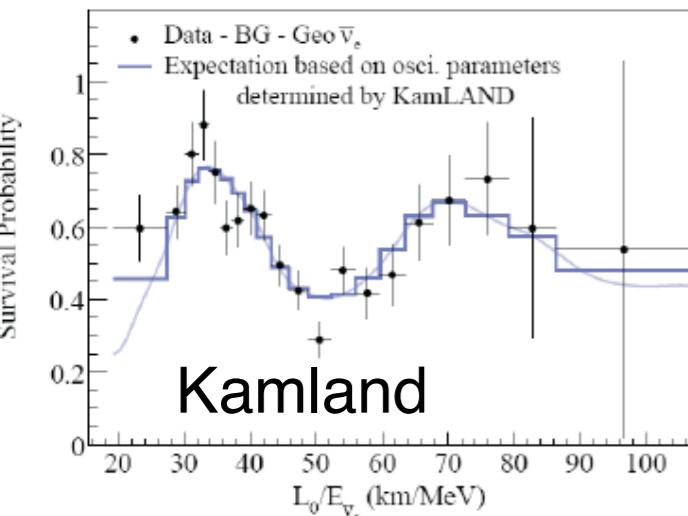
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CPT transformation: *left-handed* particle to *right-handed anti-particle*

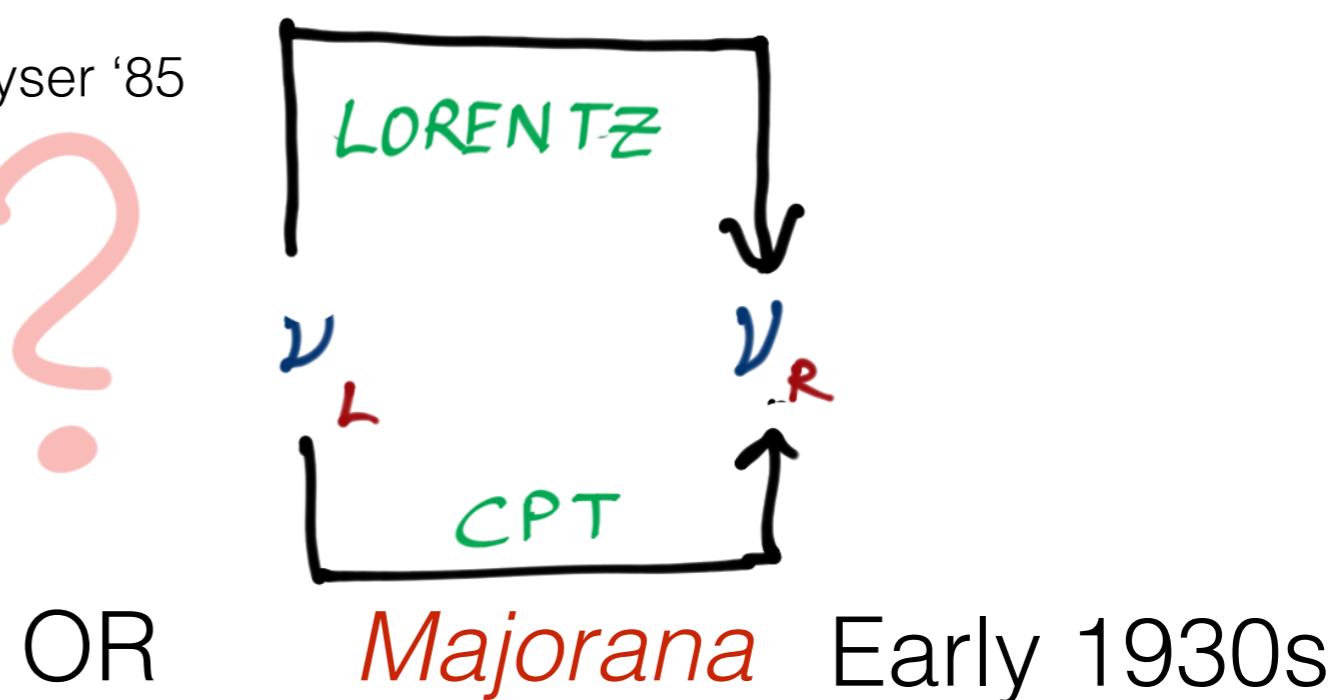
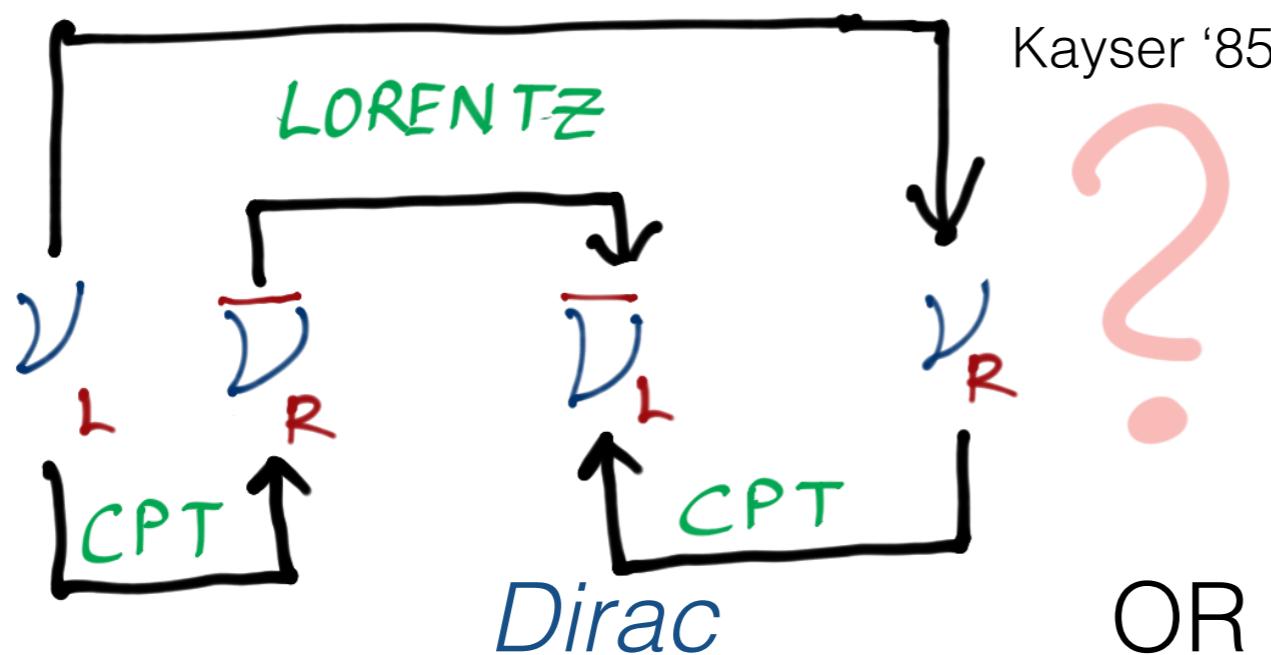
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**CPT** transformation: *left-handed* particle to *right-handed anti-particle*

**A profound question:**



# Majorana Particles

In 1928, Dirac discovered the framework to describe relativistic spin-1/2 particles

Dirac 4-spinors are complex fields and naturally explain the existence of anti-particles with opposite quantum numbers

In 1937, Majorana discovered that a simple modification to Dirac's equation leads to the possibility to describe **electrically neutral**, massive spin-1/2 fermions with real fields!

**A neutrino can therefore be its own anti-particle**

# What is the Discovery Experiment?

Neutral Current interactions have subtle differences

Kayser '82

**But**

**Dirac-Majorana Confusion Theorem: the difference between  $\nu_D$  and  $\nu_M$  interactions vanishes in the ultra-relativistic limit**

Exotic possibilities beyond Standard Model V-A

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Practically: discover **Neutrinoless Double-Beta Decay ( $0\nu\beta\beta$ )**

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**What is this and why do we care so much?**

# **Double Beta Decay and Lepton Number**

# Baryon and Lepton Number

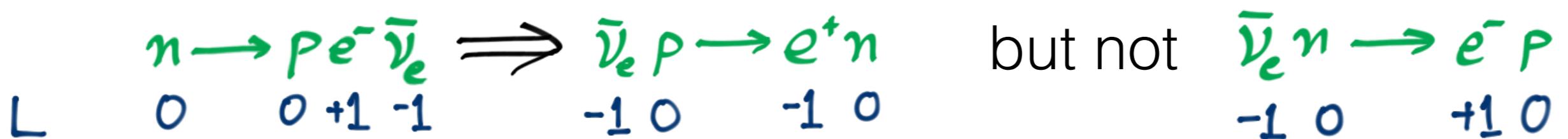


$B$	$+1$	$0 \quad 0$	Forbidden if B is conserved
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L       $n \rightarrow P e^- \bar{\nu}_e \Rightarrow \bar{\nu}_e P \rightarrow e^+ n$       but not       $\bar{\nu}_e n \rightarrow e^- P$   
           0    0 +1 -1            -1 0        -1 0            -1 0        +1 0

L       $\pi^+ \rightarrow \mu^+ \nu_\mu \Rightarrow \nu_\mu N \rightarrow \mu^- X$       but not       $\mu^+ X$   
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0	0	+1	-1	-1	0	-1	0
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0	-1	+1	+1	+1	0	+1	0
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Introduce Lepton Number:

$$L_{e^-} = L_{\bar{\nu}_e} = -L_{e^+} = -L_{\nu_e} = +1$$

This is encoded into the Standard Model Feynman Rules

# Conservation Laws consistent with Standard Model

- Only  $B-L$  strictly conserved in the Standard Model
- $B+L$  is violated due to anomalies
- No fundamental reason to expect  $B$  and  $L$  to be conserved (assuming only 4 forces in Nature)

**What if CHIRALITY is the key rather Lepton Number?**

Neutrinos only interact via the weak interaction, which is parity-violating



**Lepton Number Conservation not required**

# Dirac and Majorana Masses

If

$$L \quad \begin{matrix} \nu_R \\ +1 \end{matrix} \quad \iff \quad \begin{matrix} \bar{\nu}_R \\ -1 \end{matrix} \quad \text{Majorana Neutrino}$$

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After spontaneous symmetry breaking:

$$m_D \equiv g_\nu \frac{v}{\sqrt{2}}$$

$$\mathcal{L}_{D+M} = -\frac{1}{2} (\bar{\nu}_L \bar{\nu}_R^c) \begin{pmatrix} 0 & m_D \\ m_D & m_M \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R^c \end{pmatrix} + h.c.$$

# Lepton Number Conservation or Not?

If  $\nu \rightarrow e^{i\phi_L} \nu$   $m_M$  term in Lagrangian not invariant

**Dirac neutrino: equivalent to demanding L conservation**

→  $m_M = 0$ , one limit of general neutrino mass terms

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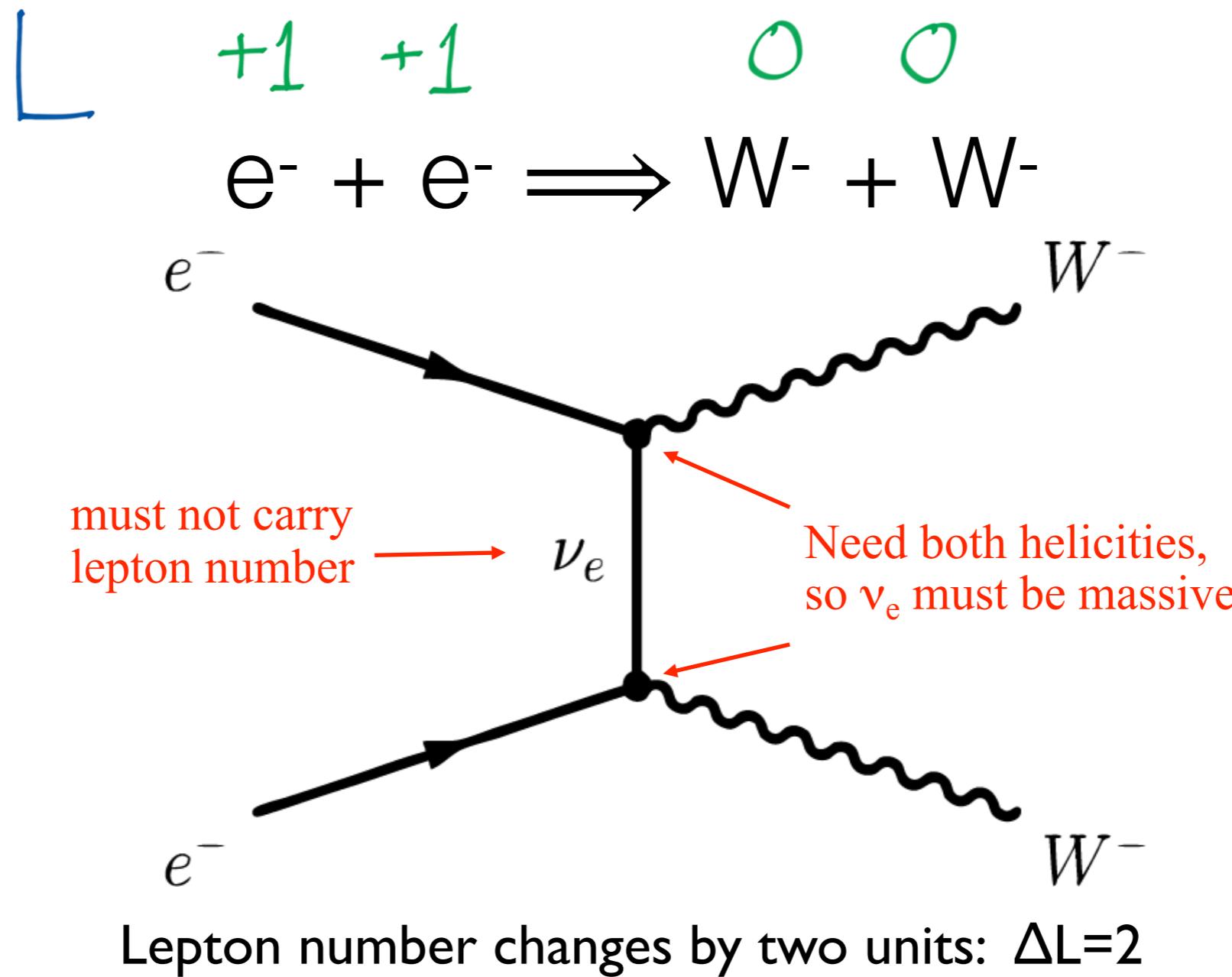
No SM symmetry precludes  $m_M$  from being arbitrarily large

2 self-conjugate states, each with left- and right-handed components

Natural explanation for light neutrino masses

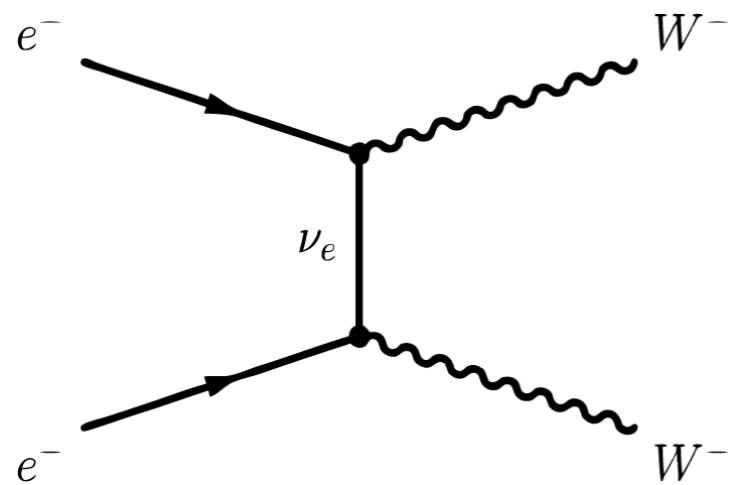
**A new heavy scale for physics beyond the SM**

# A Gedanken Experiment



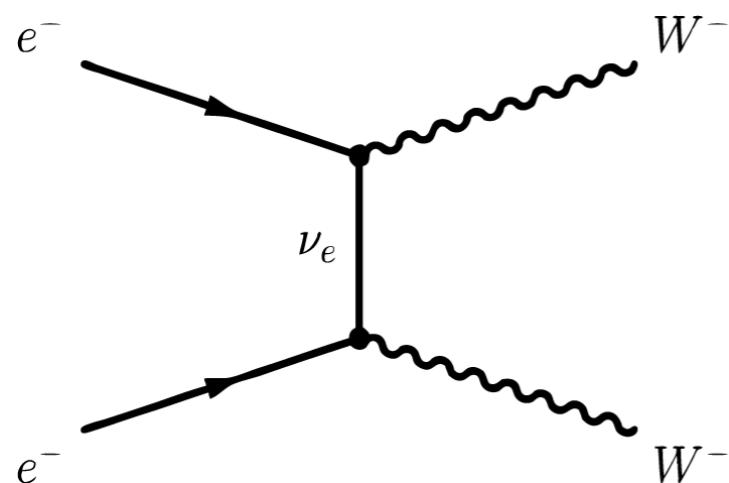
*For light neutrinos, this cross-section is unobservably small*

# Virtual W's Instead



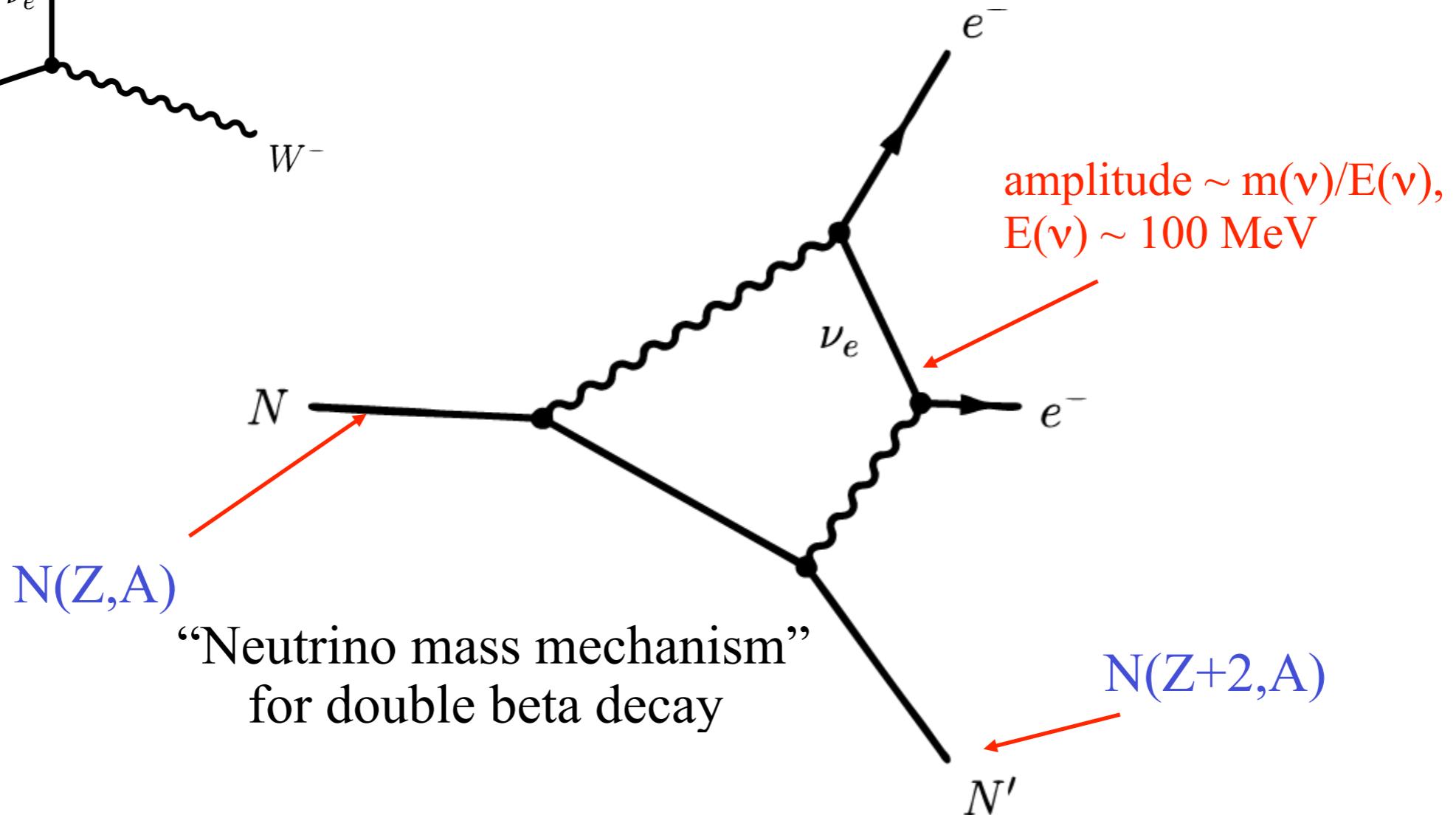
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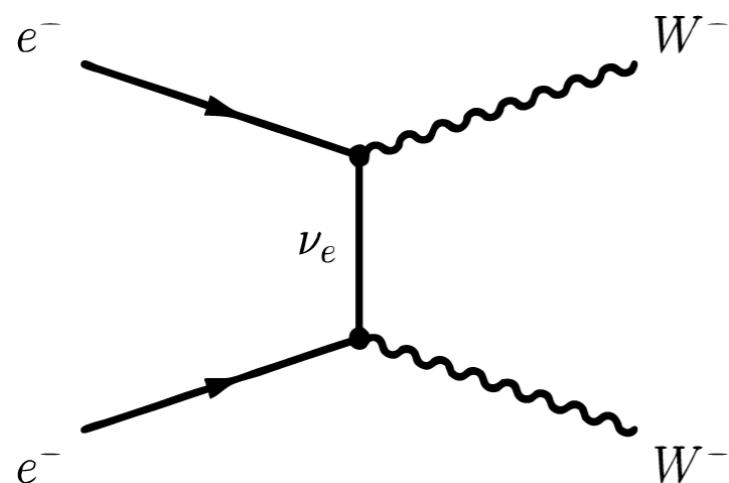


Lepton number changes by two units:  $\Delta L=2$

$$(N, Z) \rightarrow (N - 2, Z + 2) + e^- + e^-$$

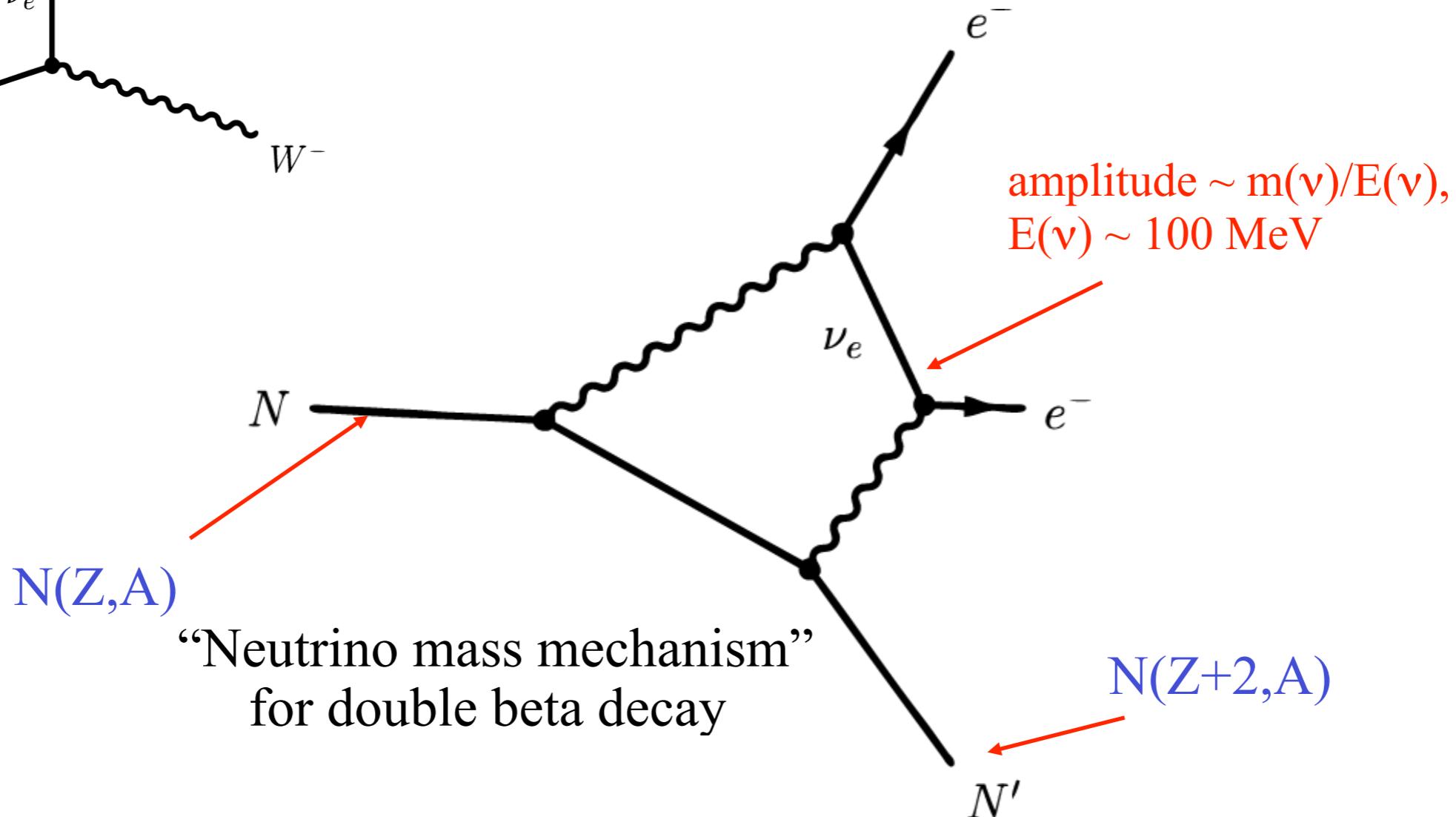


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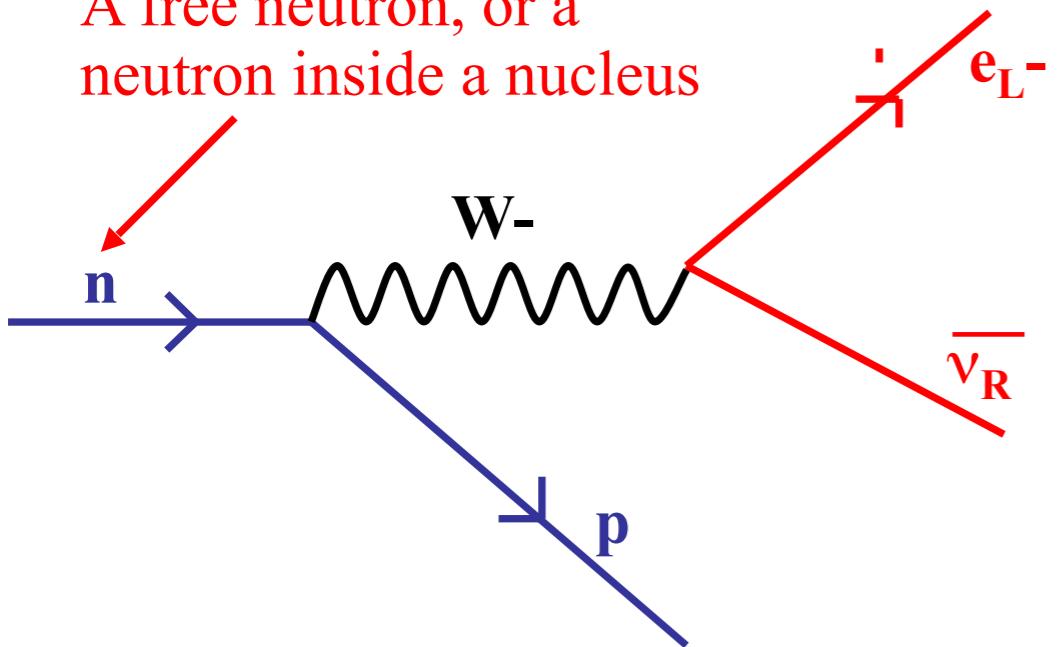


Racah and Furry suggested this was possible for Majorana particles in 1937 soon after Majorana published his theory!

Lepton Number Conserving Standard Model Process

# $2\nu$ Double Beta Decay

A free neutron, or a  
neutron inside a nucleus

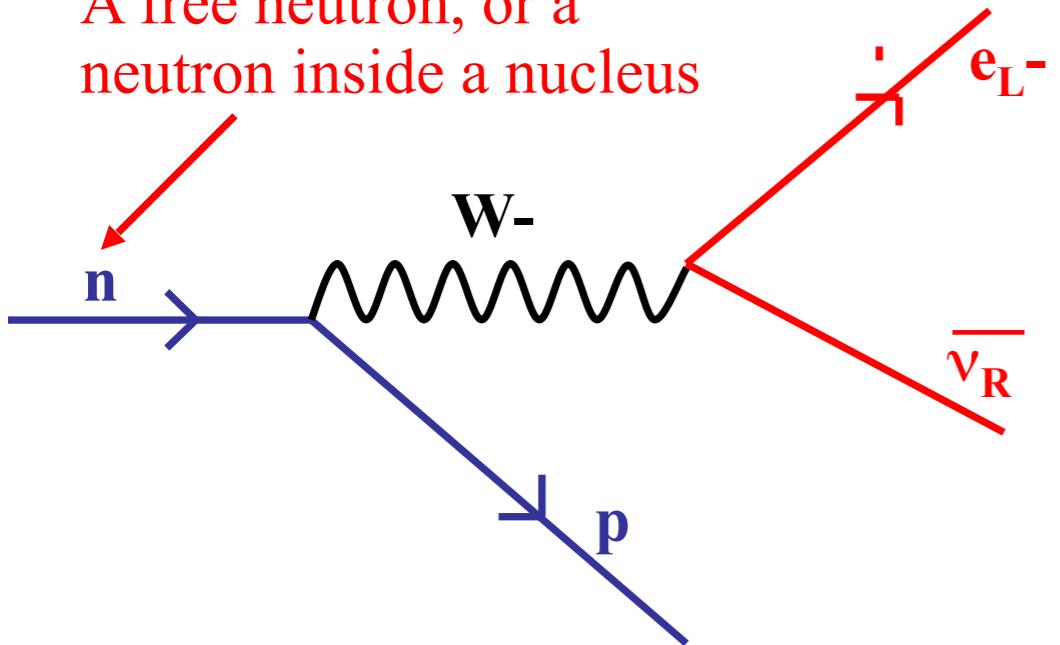


Nuclear Beta Decay

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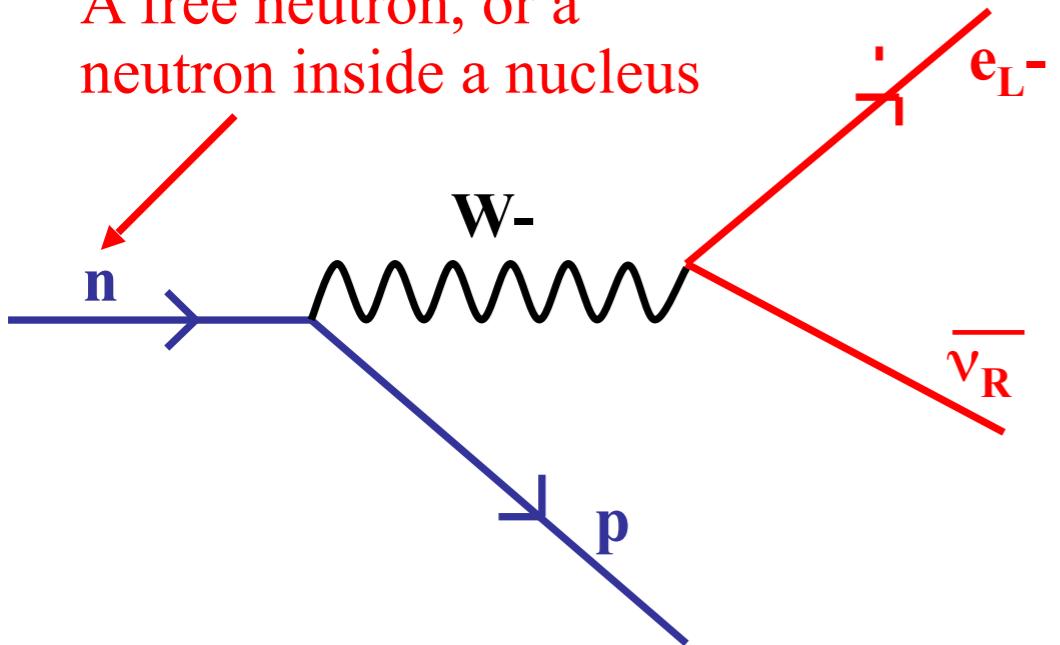
Nuclear Beta Decay

Nuclear Double-Beta  
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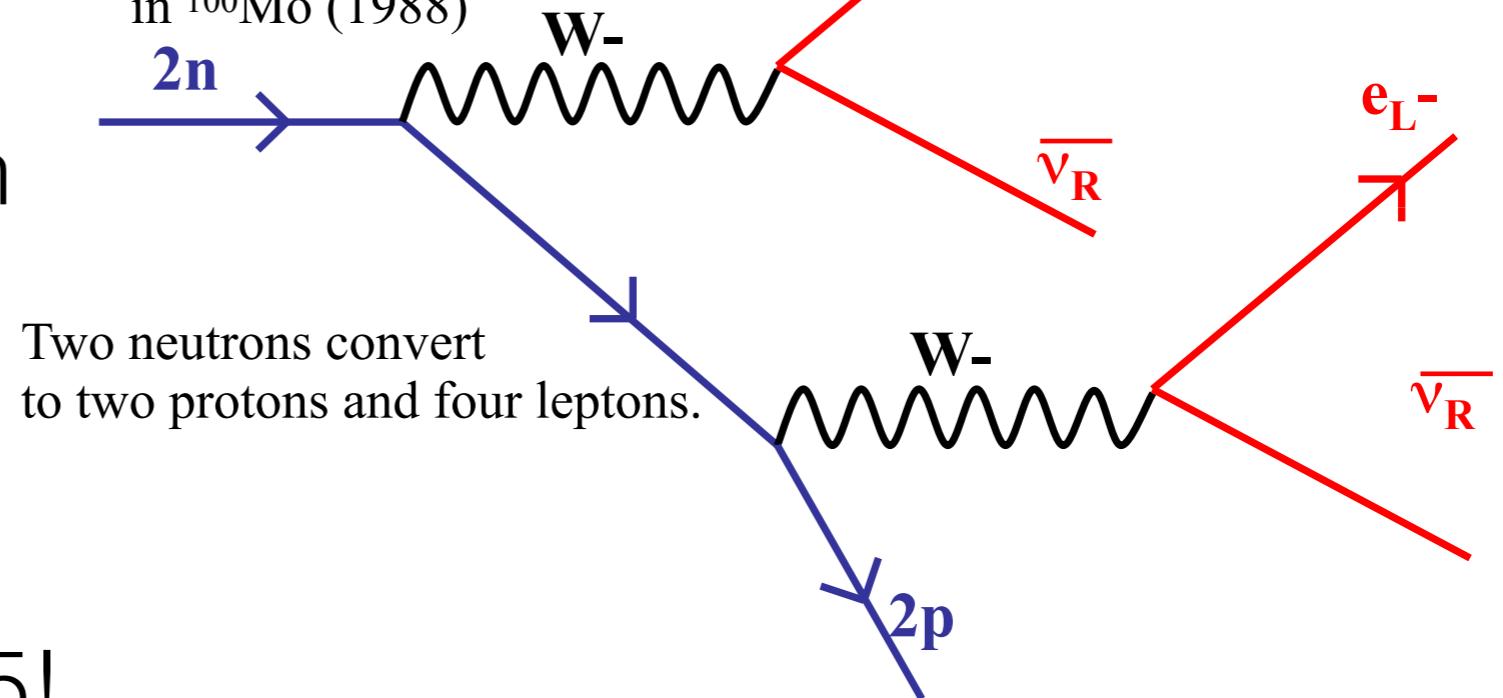


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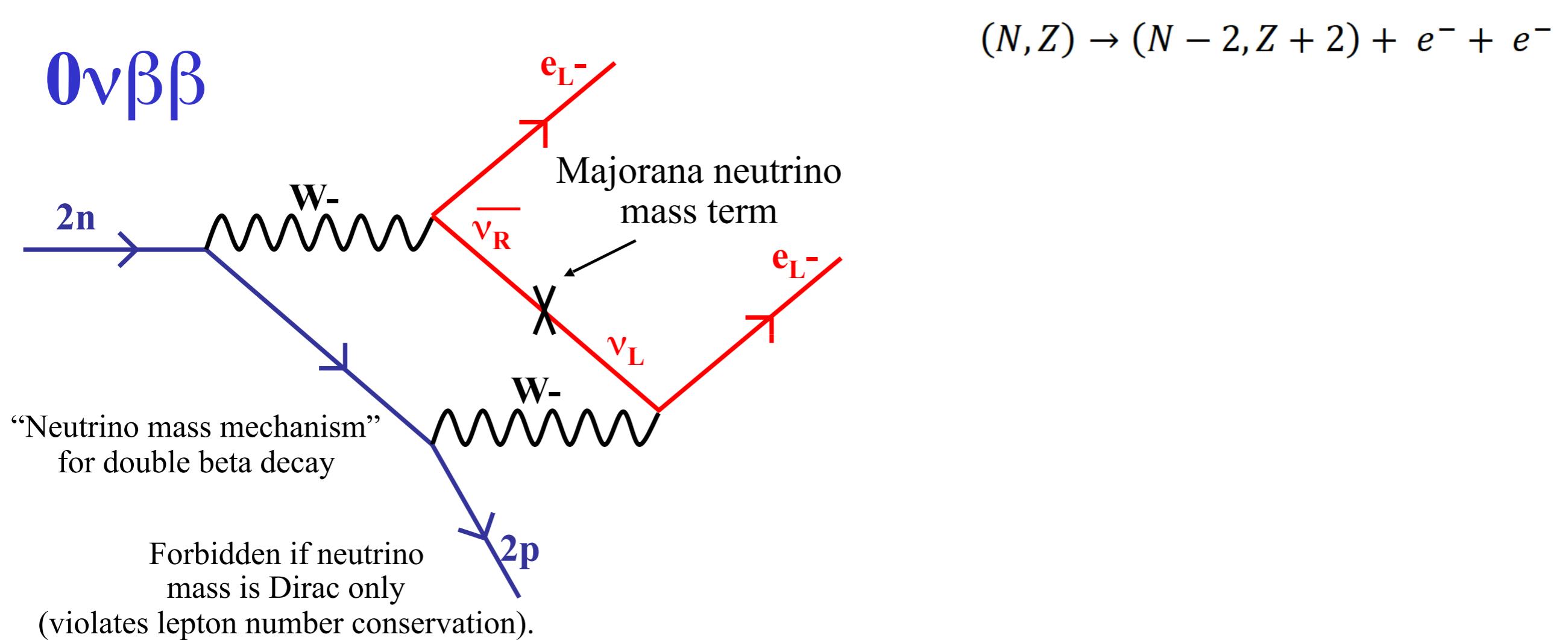
Nuclear Double-Beta Decay with the emission of two neutrinos

Suggested by Maria Goeppert-Mayer in 1935!

First direct observation by  
Moe, Elliott, and Hahn  
in  $^{100}\text{Mo}$  (1988)

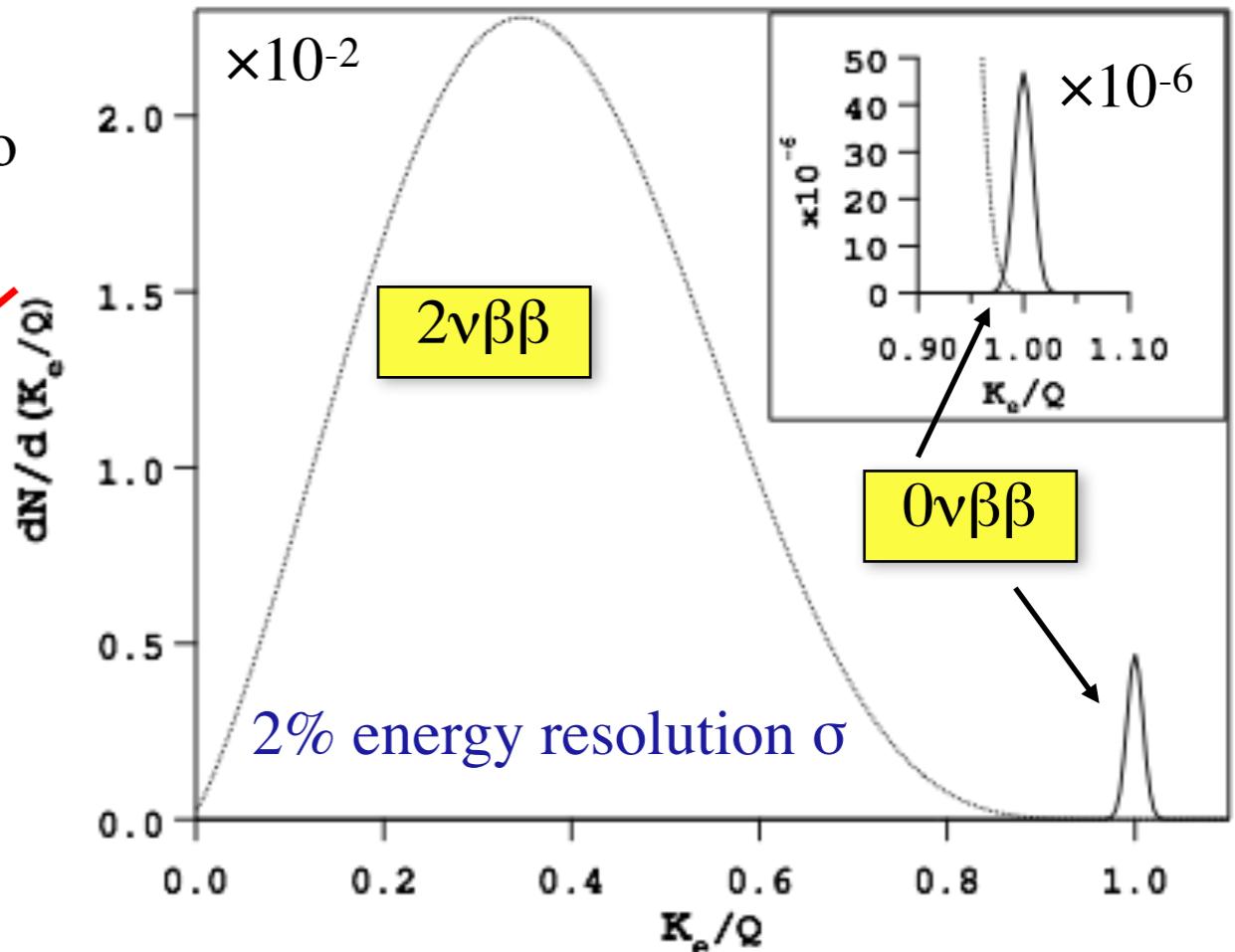
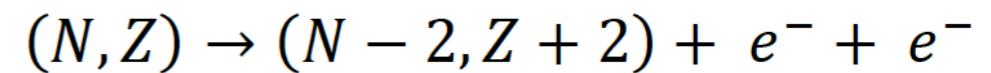
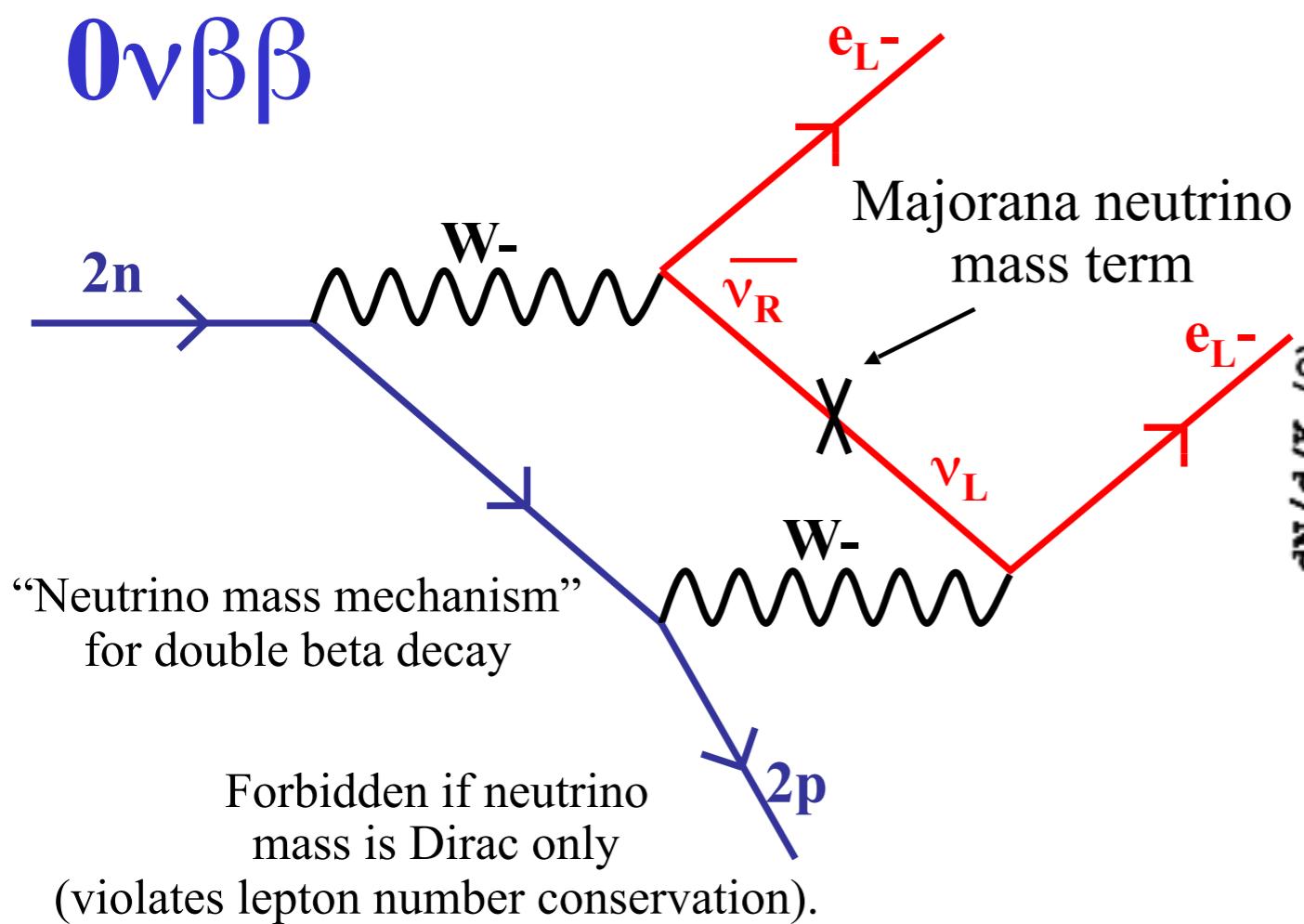


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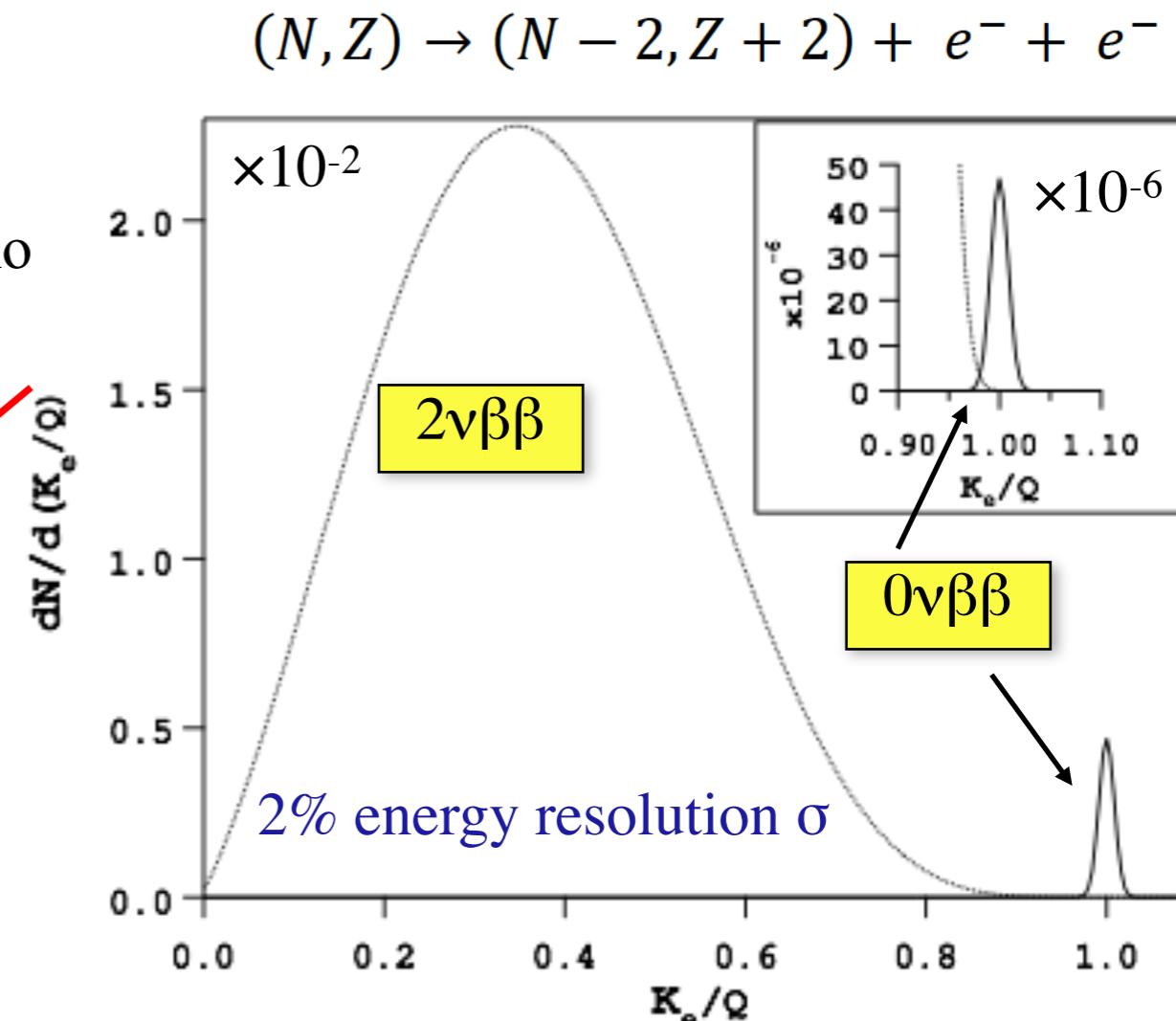
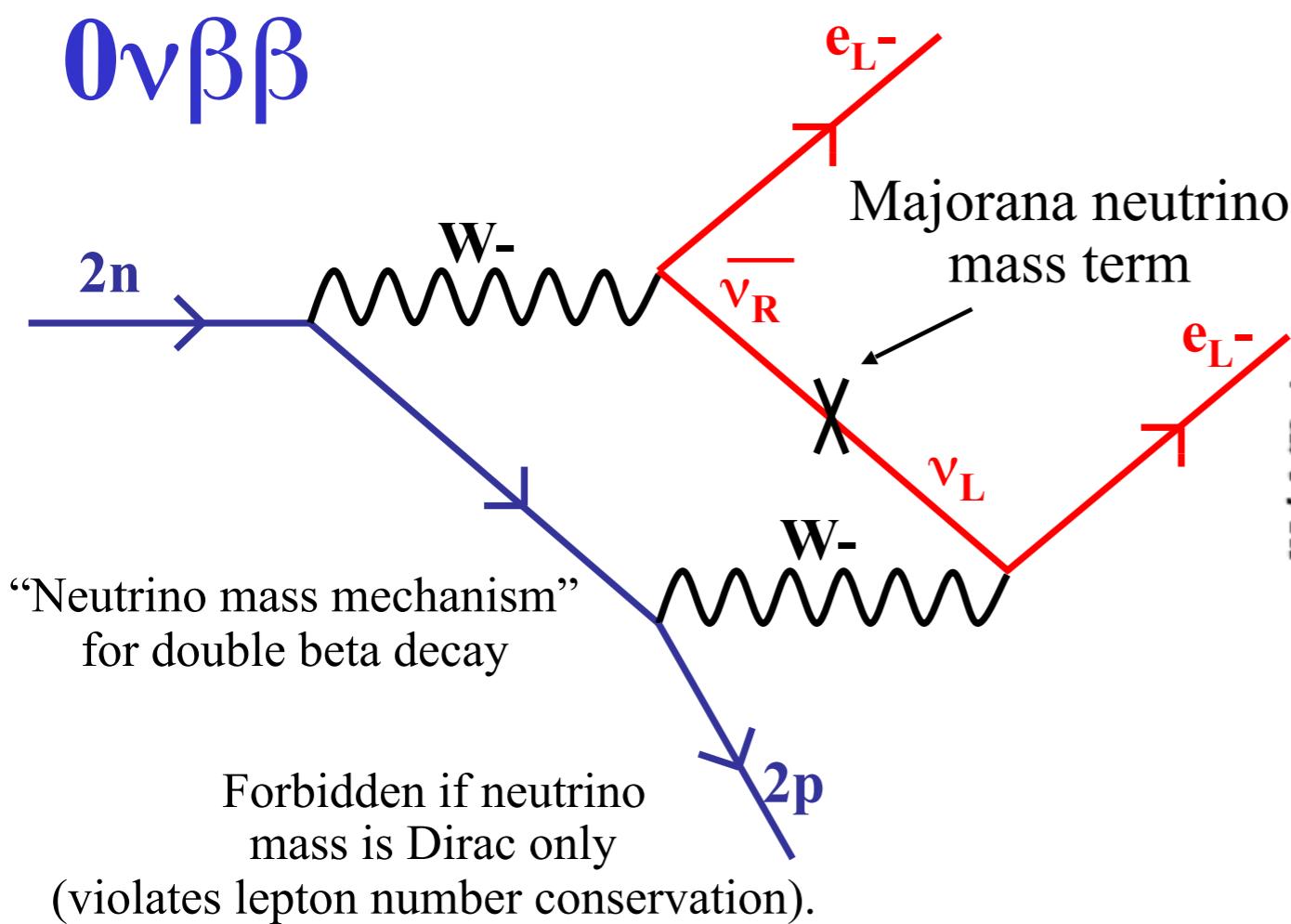
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## Experimental Signature



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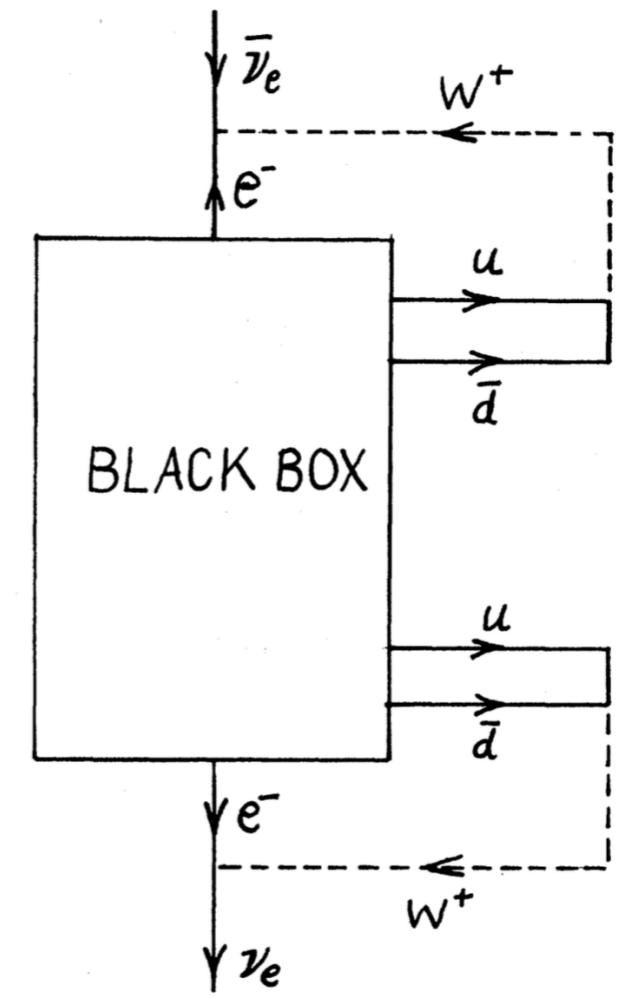
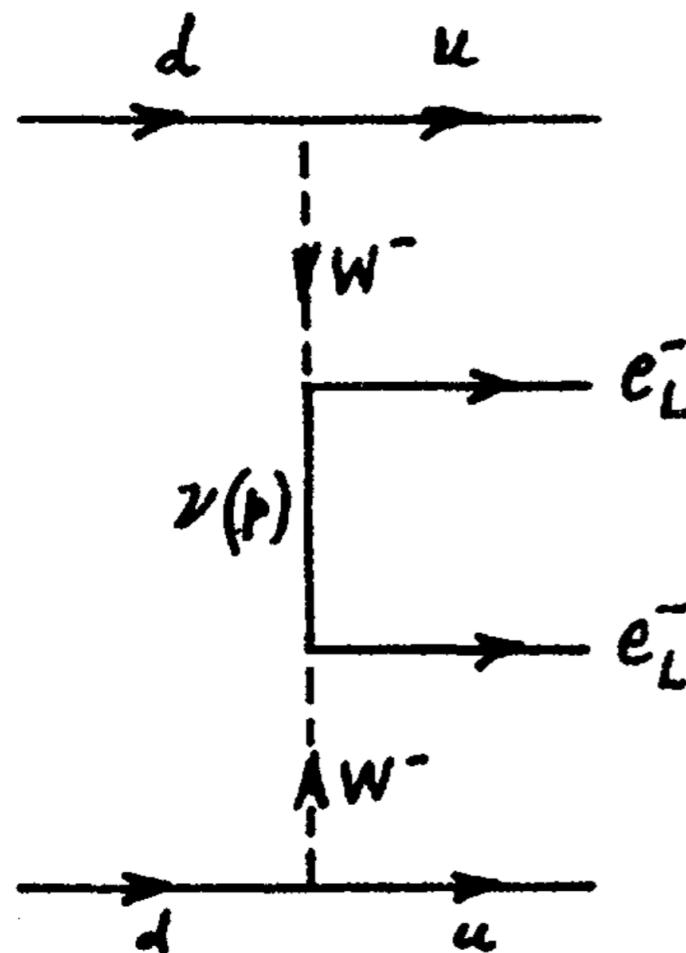
## Experimental Signature



**If observed, it would unambiguously signal that Lepton Number is NOT a conserved quantity, and that neutrinos are Majorana particles i.e. their own anti-particles**

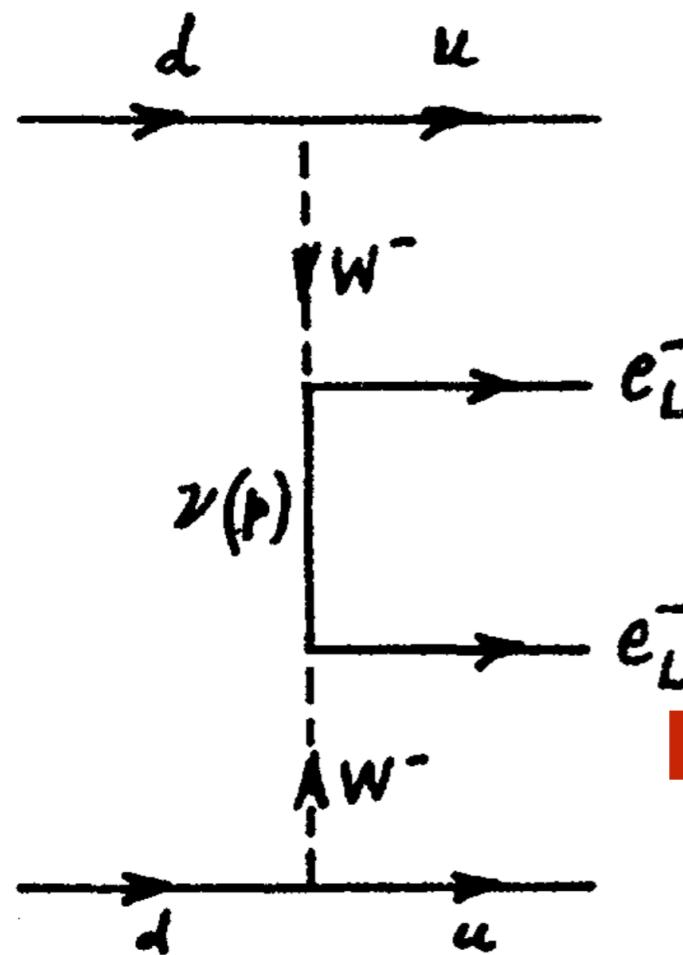
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*If neutrinoless double-beta decay occurs, there exists a way to convert an anti-neutrino to a neutrino, a **Majorana mass** amplitude*



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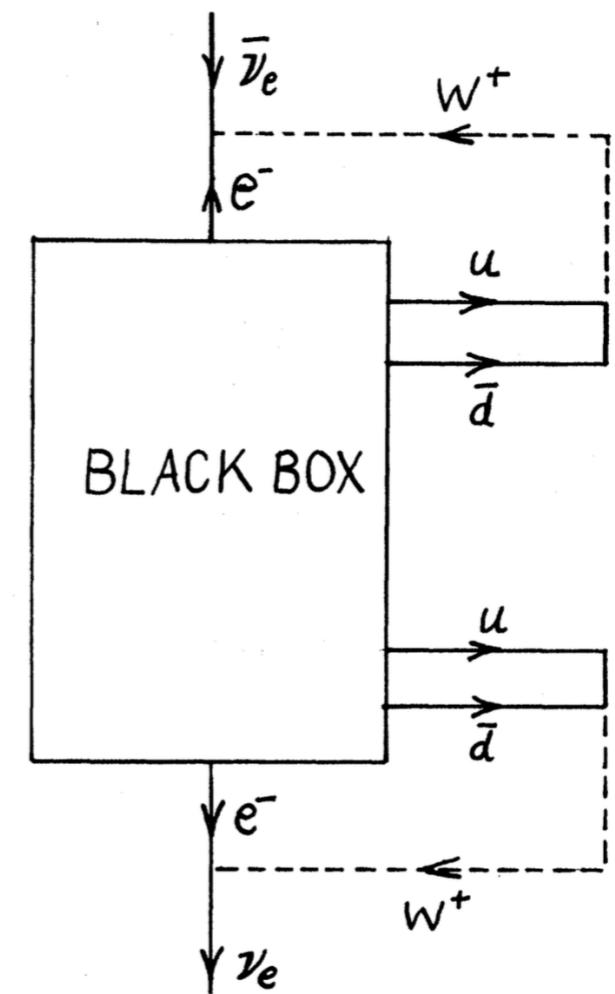


No caveats:

$0\nu\beta\beta$

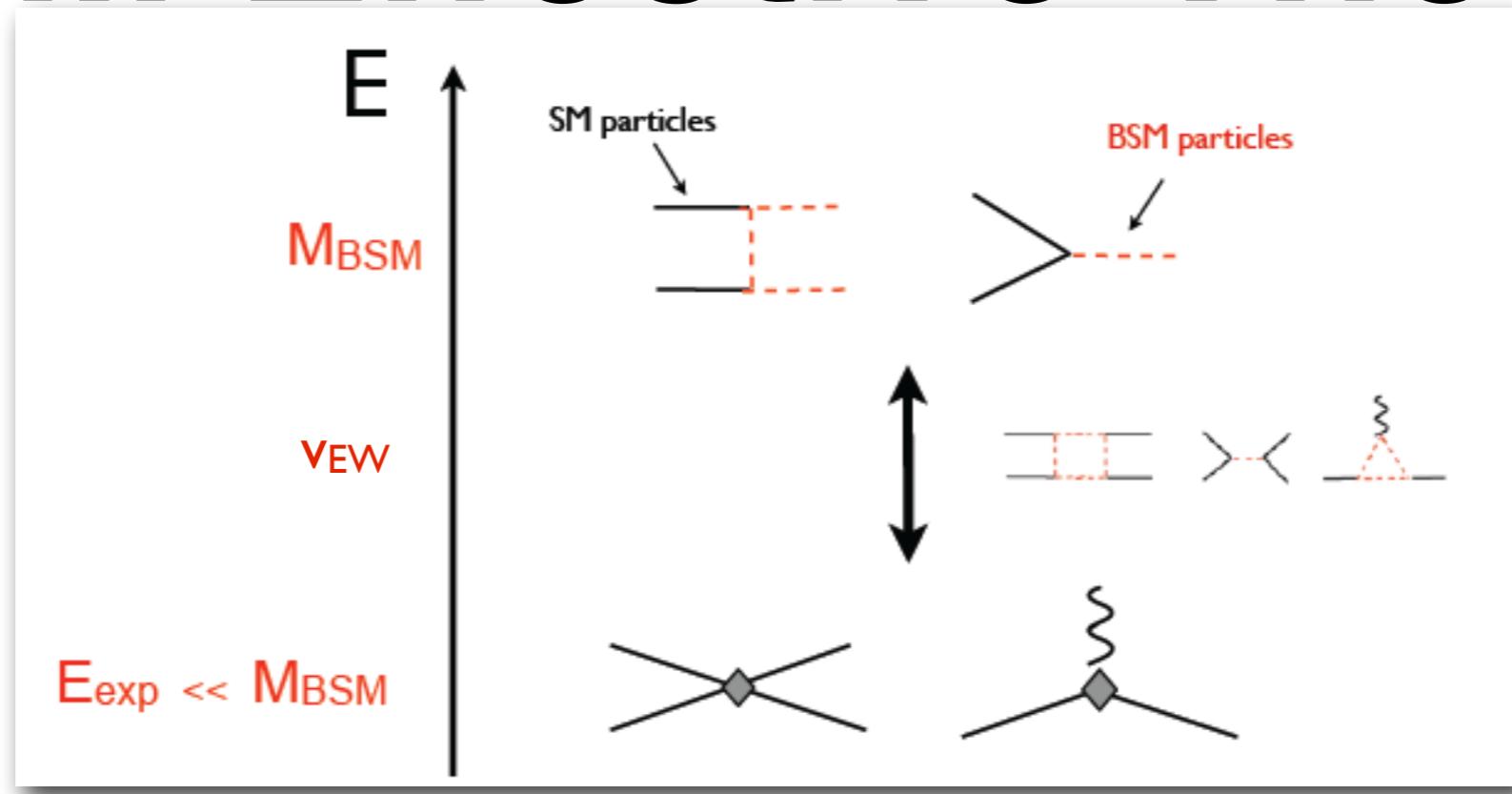


Lepton Number Violation  
and  
Majorana Neutrinos



# **Theoretical Underpinnings**

# BSM Effective Theory



- EFT expansion in  $E/M_{\text{BSM}}, M_W/M_{\text{BSM}}$

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \frac{C^{(5)}}{\Lambda} O^{(5)} + \sum_i \frac{C_i^{(6)}}{\Lambda^2} O_i^{(6)} + \dots$$

$\Lambda \leftrightarrow M_{\text{BSM}}$   
 $C_i [g_{\text{BSM}}, M_a/M_b]$

- Each model generates its own pattern of operators: experiments at  $E \ll M_{\text{BSM}}$  can *discover and tell apart* new physics scenarios

# Dimension-5 Operator

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{SM} + \frac{C^{(5)}}{\Lambda} O^{(5)} + \sum_i \frac{C_i^{(6)}}{\Lambda^2} O_i^{(6)} + \dots$$

Weinberg 1979

- Dim 5: only one operator

$$\hat{O}_{\text{dim}=5} = \ell^T C \epsilon \varphi \varphi^T \epsilon \ell$$

$$C = i\gamma_2\gamma_0$$

- Violates total lepton number ( $| \rightarrow e^{i\alpha} |$ ,  $e \rightarrow e^{i\alpha} e$ )
- Generates Majorana mass for L-handed neutrinos (after EWSB)

$$\frac{1}{\Lambda} \hat{O}_{\text{dim}=5} \xrightarrow{\langle \varphi \rangle = \begin{pmatrix} 0 \\ v \end{pmatrix}} \frac{v^2}{\Lambda} \nu_L^T C \nu_L$$

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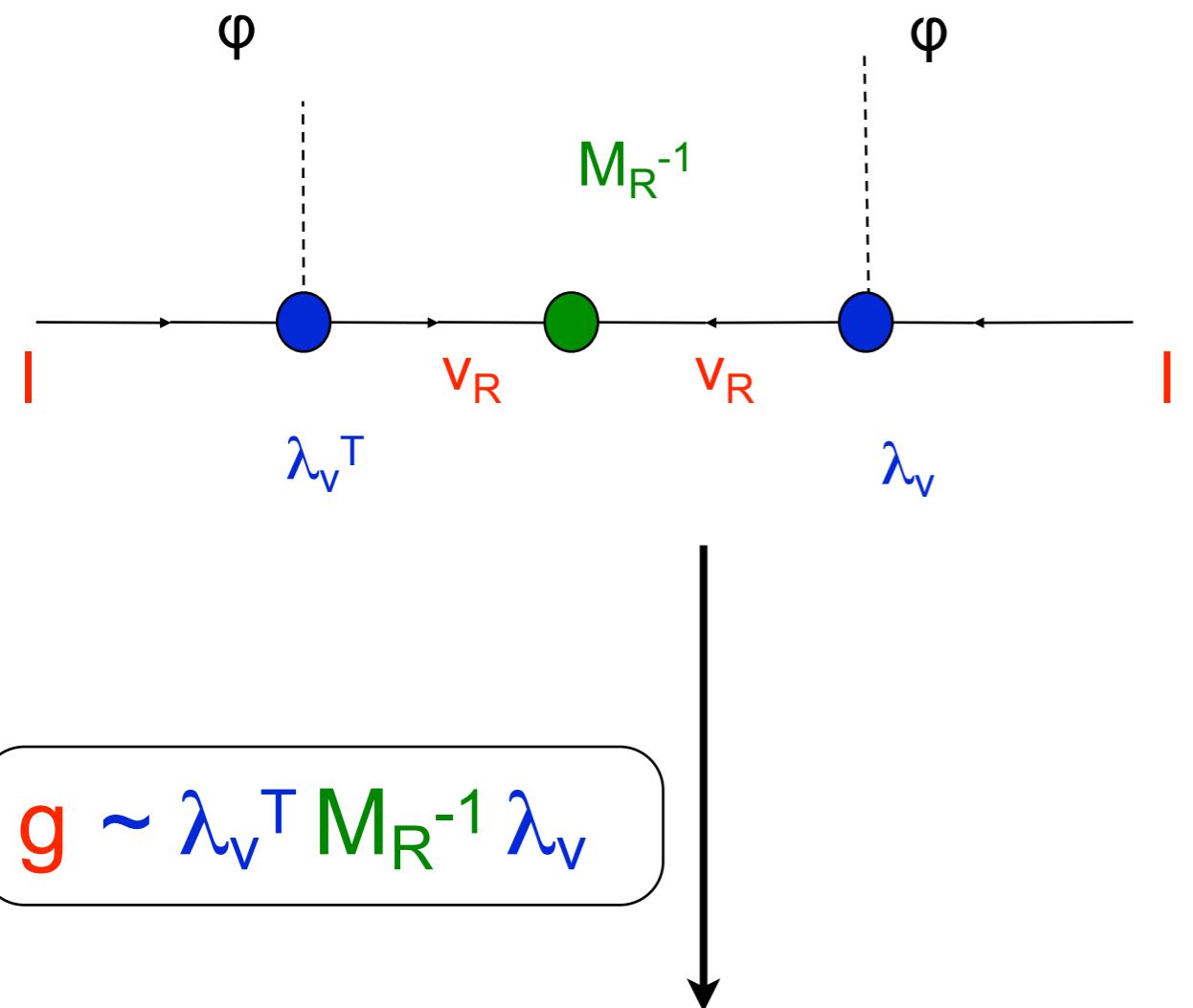
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- “See-saw”:  $m_\nu \sim 1 \text{ eV} \rightarrow \Lambda \sim 10^{13} \text{ GeV}$

# Explicit Realizations

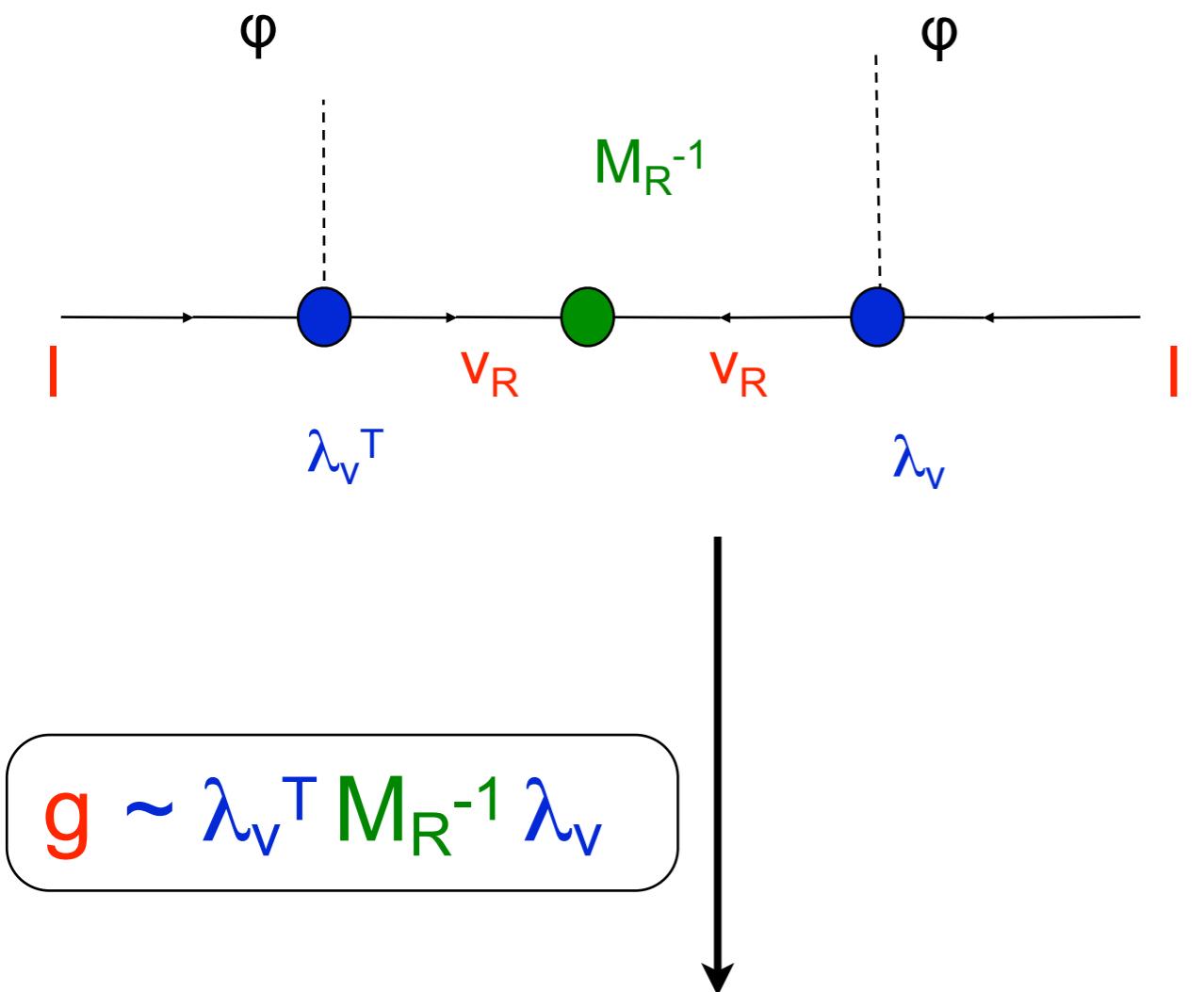
- Models with heavy R-handed Majorana neutrinos



$$\mathcal{L}_5 = g_{\alpha\beta} \ell_\alpha^T C \epsilon \varphi \varphi^T \epsilon \ell_\beta$$

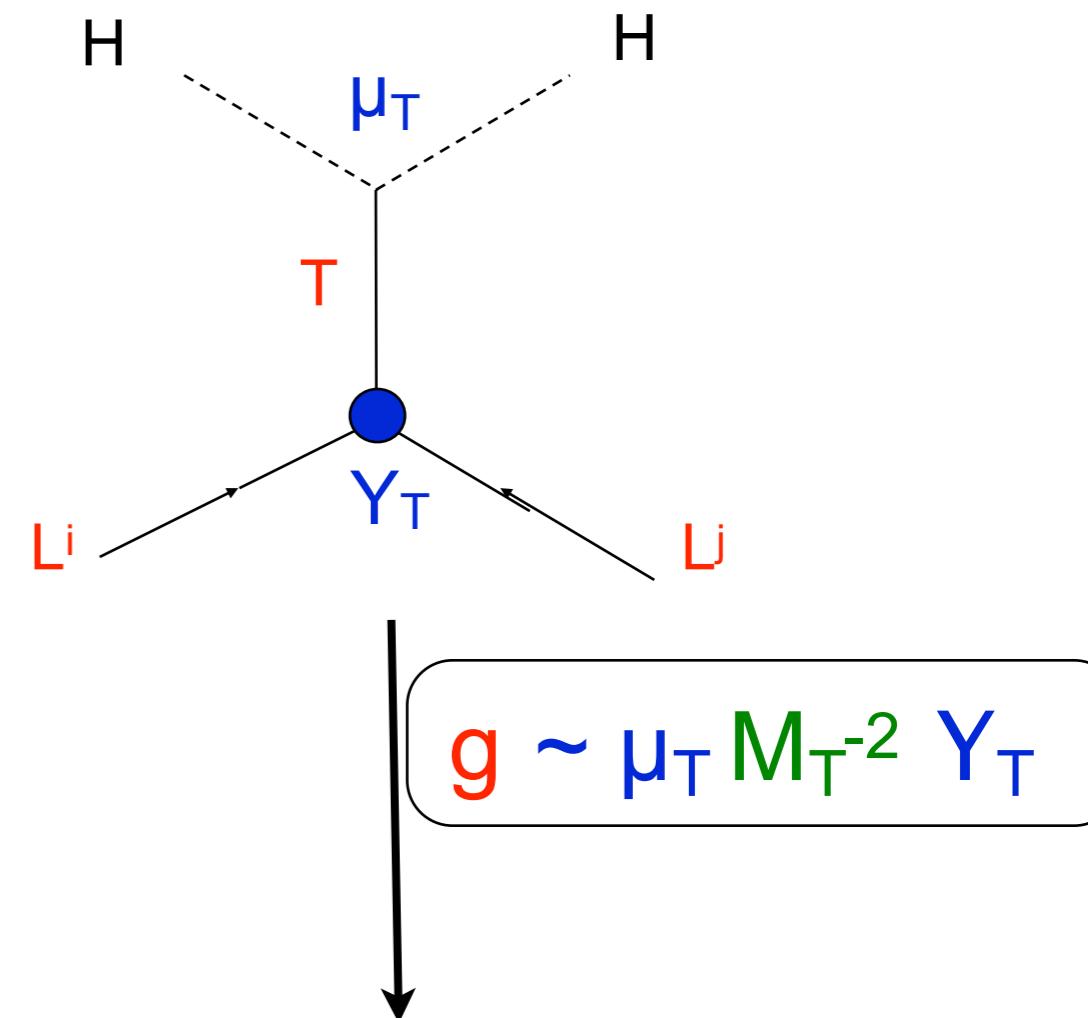
# Explicit Realizations

- Models with heavy R-handed Majorana neutrinos
- Or with triplet Higgs field: no heavy neutrinos!



$$g \sim \lambda_v^T M_R^{-1} \lambda_v$$

$$\mathcal{L}_5 = g_{\alpha\beta} \ell_\alpha^T C \epsilon \varphi \varphi^T \epsilon \ell_\beta$$



$$g \sim \mu_T M_T^{-2} Y_T$$

$$\mathcal{L}_5 = g_{\alpha\beta} \ell_\alpha^T C \epsilon \varphi \varphi^T \epsilon \ell_\beta$$

# What is in the Black Box?

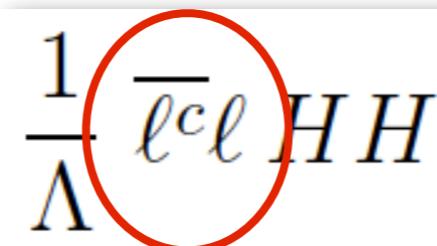
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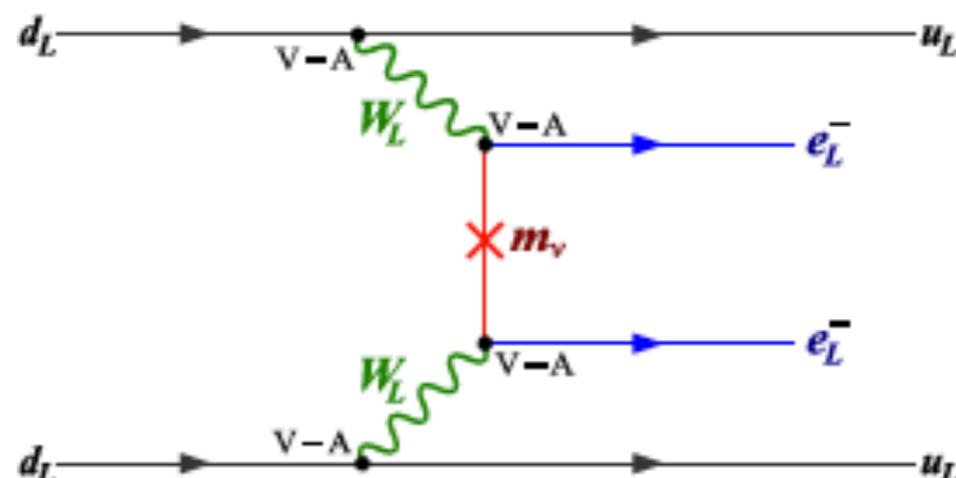
(Classifying sources of LNV: organize discussion by scales)

- LNV dynamics at very high scale ( $\Lambda \gg \text{TeV}$ )

Low energy footprints encoded in the leading dim-5 operator

$$\frac{1}{\Lambda} \overline{\ell^c \ell} H H$$


**This is a Majorana mass term for  $\nu$ 's:  
neutrinoless double-beta decay mediated by light  $\nu$  exchange**



# Other Possibilities for the Black Box

V. Cirigliano

(Classifying sources of LNV: organize discussion by scales)

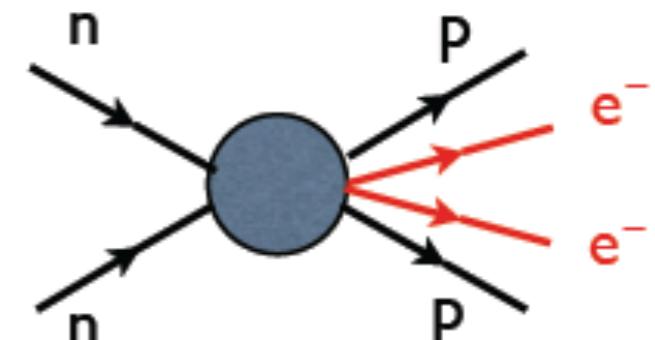
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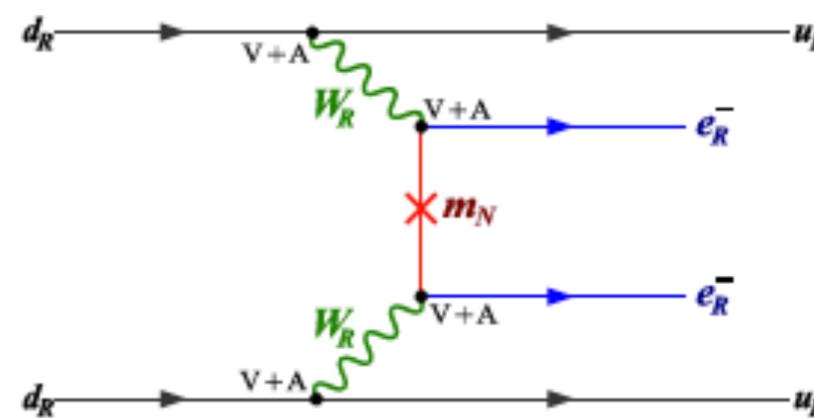
- LNV dynamics at lower scale ( $\Lambda \sim \text{TeV}$ )

Higher dimensional operators  
become relevant

$$\frac{1}{\Lambda^5} \bar{q} q \bar{q} q \bar{e}^c e$$



Arise in well-motivated models:  
Left-Right Symmetric Model,  
RPV-SUSY, ...



# Other Possibilities for the Black Box

V. Cirigliano

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- LNV dynamics at very high scale ( $\Lambda \gg \text{TeV}$ )

$$\frac{1}{\Lambda} \bar{\ell}^c \ell H H$$

- LNV dynamics at lower scale ( $\Lambda \sim \text{TeV}$ )

$$\frac{1}{\Lambda^5} \bar{q} q \bar{q} q \bar{e}^c e$$

- LNV dynamics at very low energy (e.g. low-scale seesaw)

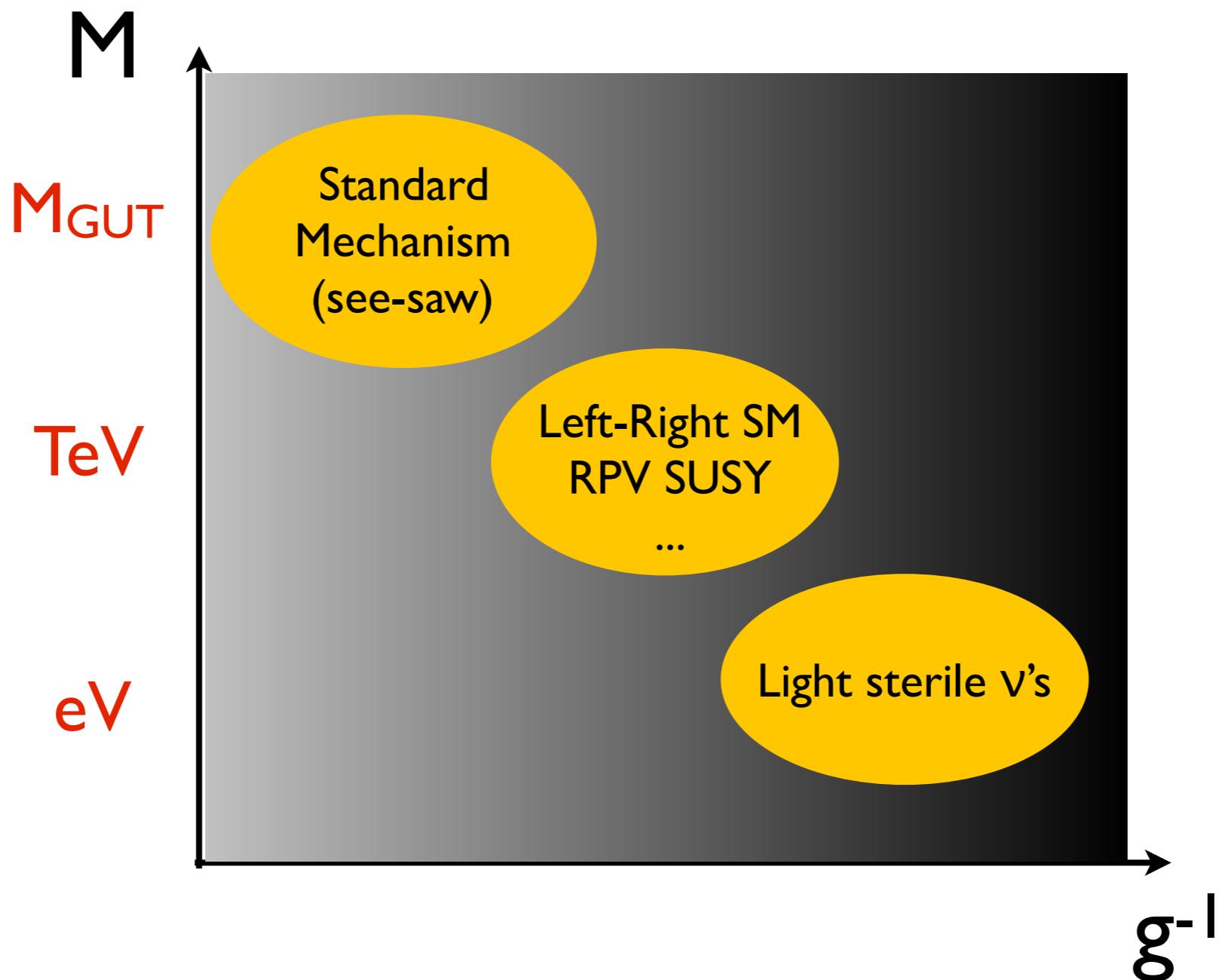
$$-\frac{1}{2} M_R \bar{\nu}_R^c \nu_R + Y_\nu \bar{\ell} \nu_R H$$

Affects NLDBD in significant ways, depending on mass scale  $M_R$ : eV  $\rightarrow$  100 GeV

# Various Possibilities for the Black Box

V. Cirigliano

- **In summary:** ton-scale  $0\nu\beta\beta$  probes LNV from variety mechanisms, involving different scales ( $M$ ) and coupling strengths ( $g$ )



# Choosing a Nuclide

**Typical  $2\nu\beta\beta$  half-life is very long:  
second-order weak process**

$$\frac{1}{T_{\frac{1}{2}}} = G^{2\nu}(Q, Z) |M^{2\nu}|^2$$

$$\frac{1}{G^{2\nu}} \approx 10^{20} \text{ years}$$

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*Atomic mass affected by nuclear pairing term:  
even A nuclei occupy 2 parabolas,  
even-even below odd-odd*

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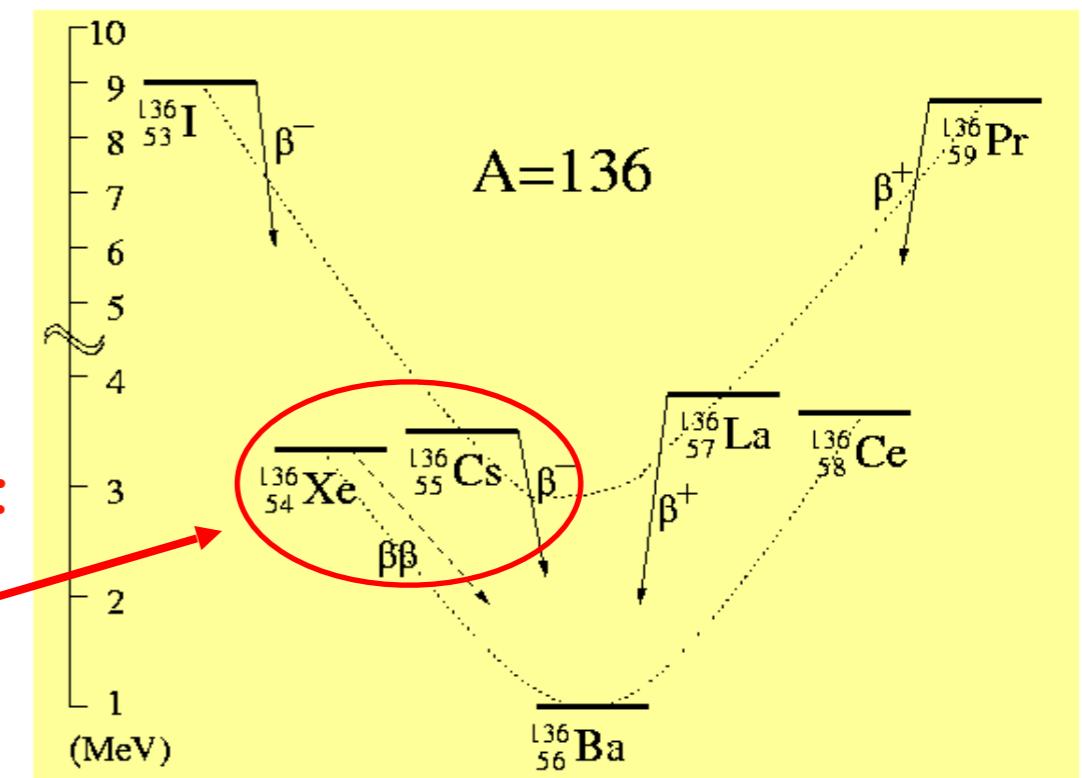
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a second-order process  
only detectable if first  
order beta decay is  
energetically forbidden



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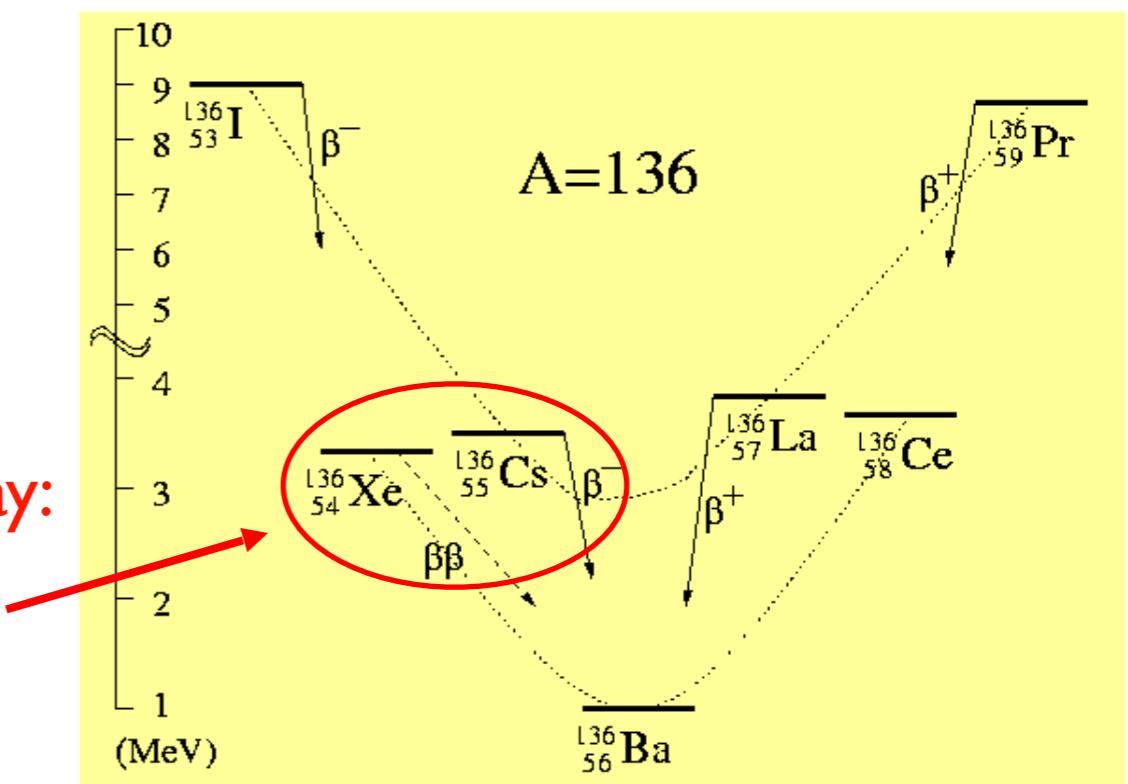
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Choose nuclei where single beta decay forbidden

but double-beta  
decay is possible

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even A nuclei occupy 2 parabolas,  
even-even below odd-odd*

$$\frac{1}{G^{2\nu}} \approx 10^{20} \text{ years}$$

Candidate	Q (MeV)	Abund. (%)
-----------	------------	---------------

$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	4.271	0.187
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	2.040	7.8
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	2.995	9.2
$^{96}\text{Zr} \rightarrow ^{96}\text{Mo}$	3.350	2.8
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	3.034	9.6
$^{110}\text{Pd} \rightarrow ^{110}\text{Cd}$	2.013	11.8
$^{116}\text{Cd} \rightarrow ^{116}\text{Sn}$	2.802	7.5
$^{124}\text{Sn} \rightarrow ^{124}\text{Te}$	2.228	5.64
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	2.533	34.5
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	2.479	8.9
$^{150}\text{Nd} \rightarrow ^{150}\text{Sm}$	3.367	5.6

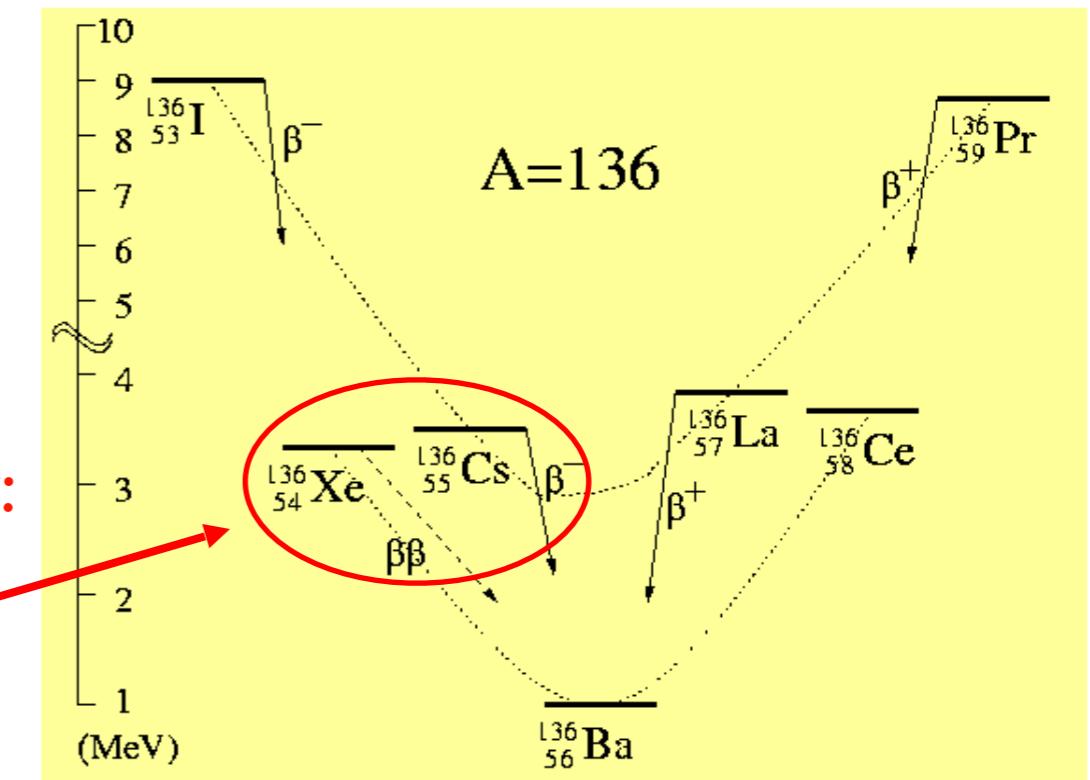
Choose nuclei where single beta decay forbidden

**but double-beta decay is possible**

**Candidate nuclei with  $Q > 2$  MeV**

**Double-beta decay:**

*a second-order process  
only detectable if first  
order beta decay is  
energetically forbidden*



# Decay Rate for $0\nu\beta\beta$

$$\Gamma^{0\nu} = G(Q, Z) |M(A, Z)\eta|^2$$

Transition Probability	$\alpha \frac{m}{Q^2}$ ( $Q \sim m_e$ )	Phase Space Factor	$G \sim G_F^4 g_A^4 m_e^5$
$M(A, Z)$		Nuclear Matrix Element	
$\eta$		Particle Physics of the Black Box	

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***For light neutrino exchange***

All 3 neutrinos will contribute:  $\eta \sim m \rightarrow \langle m_{\beta\beta} \rangle = \sum_i U_{ie}^2 m_i$

PMNS Matrix

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PMNS Matrix

$$m_{\beta\beta} \sim 1 \text{ eV} \implies T_{1/2} \sim 10^{24} \text{ years}$$

$$m_{\beta\beta} \sim 0.1 \text{ eV} \implies T_{1/2} \sim 10^{26} \text{ years}$$

$$m_{\beta\beta} \sim 0.01 \text{ eV} \implies T_{1/2} \sim 10^{28} \text{ years}$$

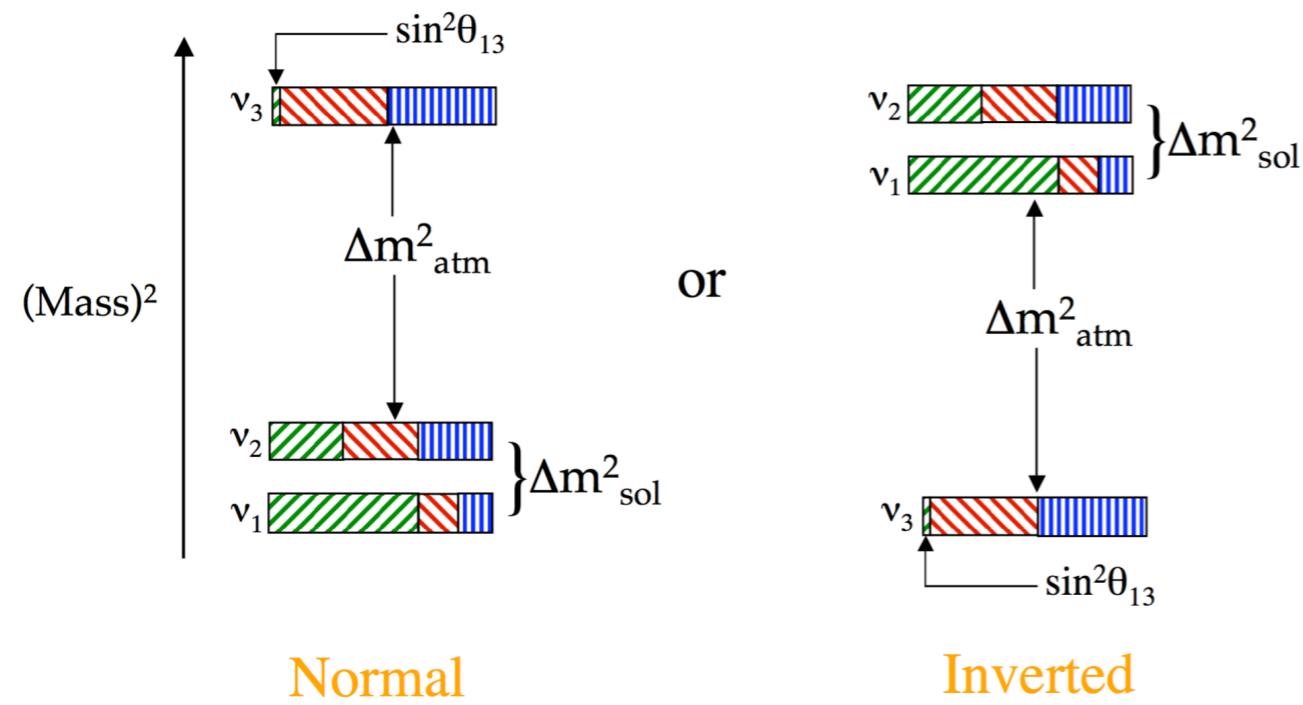
# The PMNS Matrix

The neutrinos  $\nu_{e,\mu,\tau}$  of definite flavor  
 $(W \rightarrow e\nu_e \text{ or } \mu\nu_\mu \text{ or } \tau\nu_\tau)$

are **superpositions** of the mass eigenstates:

$$|\nu_\alpha\rangle = \sum_i U^*_{\alpha i} |\nu_i\rangle.$$

↑ Neutrino of flavor  
 $\alpha = e, \mu, \text{ or } \tau$ 
 ↑ Neutrino of definite mass  $m_i$   
 Unitary Leptonic Mixing Matrix



$\nu_e [ |U_{ei}|^2 ]$

$\nu_\mu [ |U_{μi}|^2 ]$

$\nu_\tau [ |U_{τi}|^2 ]$

$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \times \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \times \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

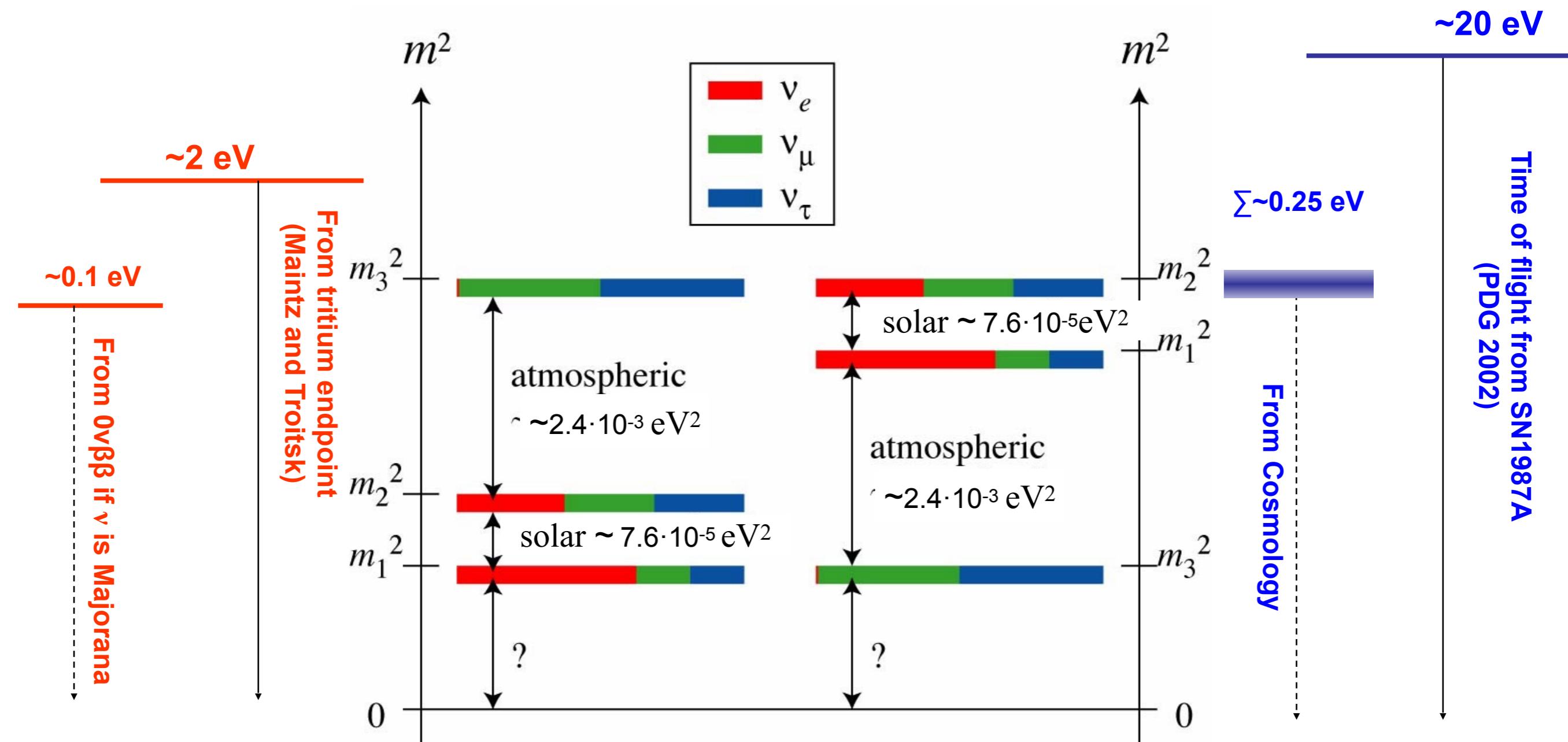
Atmospheric      Cross-Mixing      Solar  
 $c_{ij} \equiv \cos \theta_{ij}$   
 $s_{ij} \equiv \sin \theta_{ij}$   
 $e^{i\alpha_1/2} \quad 0 \quad 0$   
 $0 \quad e^{i\alpha_2/2} \quad 0$   
 $0 \quad 0 \quad 1$   
 Majorana CP phases

$$\theta_{12} \approx \theta_{\text{sol}} \approx 34^\circ, \theta_{23} \approx \theta_{\text{atm}} \approx 37\text{-}53^\circ, \theta_{13} \lesssim 10^\circ$$

For double-beta decay,  
 the electron flavor content  
 of all 3 mass eigenstates  
 is what is relevant

$$U_{ie}$$

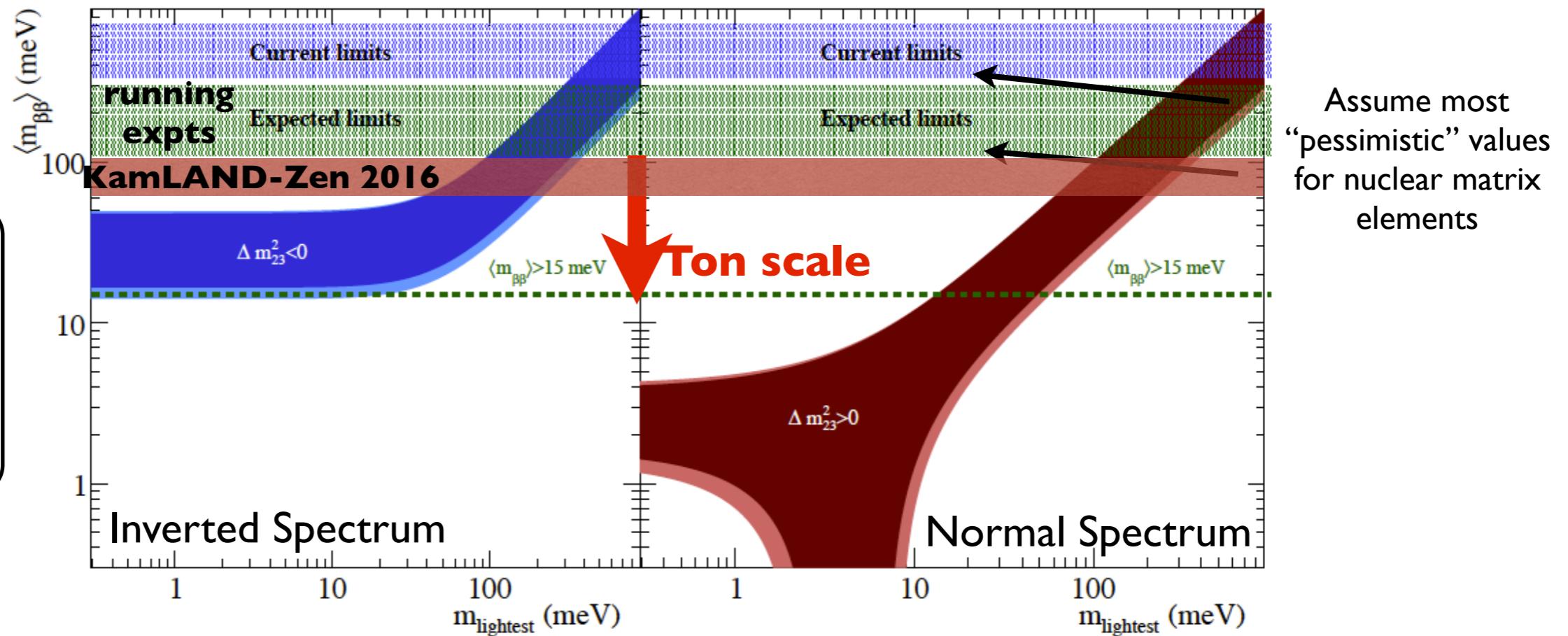
# Absolute Neutrino Mass Scale



# **The Experiments and their Challenges**

# Discovery Reach

$$\langle m_{\beta\beta} \rangle^2 = \left| \sum_e U_{ei}^2 m_{\nu i} \right|^2$$



- Discovery possible for **inverted spectrum** OR  $m_{\text{lightest}} > 50$  meV

# Signal and Background

An experimental challenge of rare events

Most measured half-lives of  $2\nu\beta\beta$  are  $O(10^{21})$  years

- Compare to lifetime of Universe:  $10^{10}$  years
- Compare to Avogadro's number  $6 \times 10^{23}$
- Mole of isotope will produce  $\sim 1$  decay/day

If it exists, half-lives of  $0\nu\beta\beta$  would be longer  
( $^{136}\text{Xe}$  limits is  $> 10^{25}$  years)

Half life (years)	Signal (cts/tonne-year)
$10^{25}$	500
$5 \times 10^{26}$	10
$5 \times 10^{27}$	1
$5 \times 10^{28}$	0.1

**Natural radioactivity: a nanogram produces more than 1 decay/day!**

**Cosmogenically induced radioactivity exacerbates technical challenge**

$$\left[ T_{1/2}^{0\nu} \right] \propto \varepsilon_{ff} \cdot I_{abundance} \cdot Source\ Mass \cdot Time$$

background free

$$\left[ T_{1/2}^{0\nu} \right] \propto \varepsilon_{ff} \cdot I_{abundance} \cdot \sqrt{\frac{Source\ Mass \cdot Time}{Bkg \cdot \Delta E}}$$

background limited

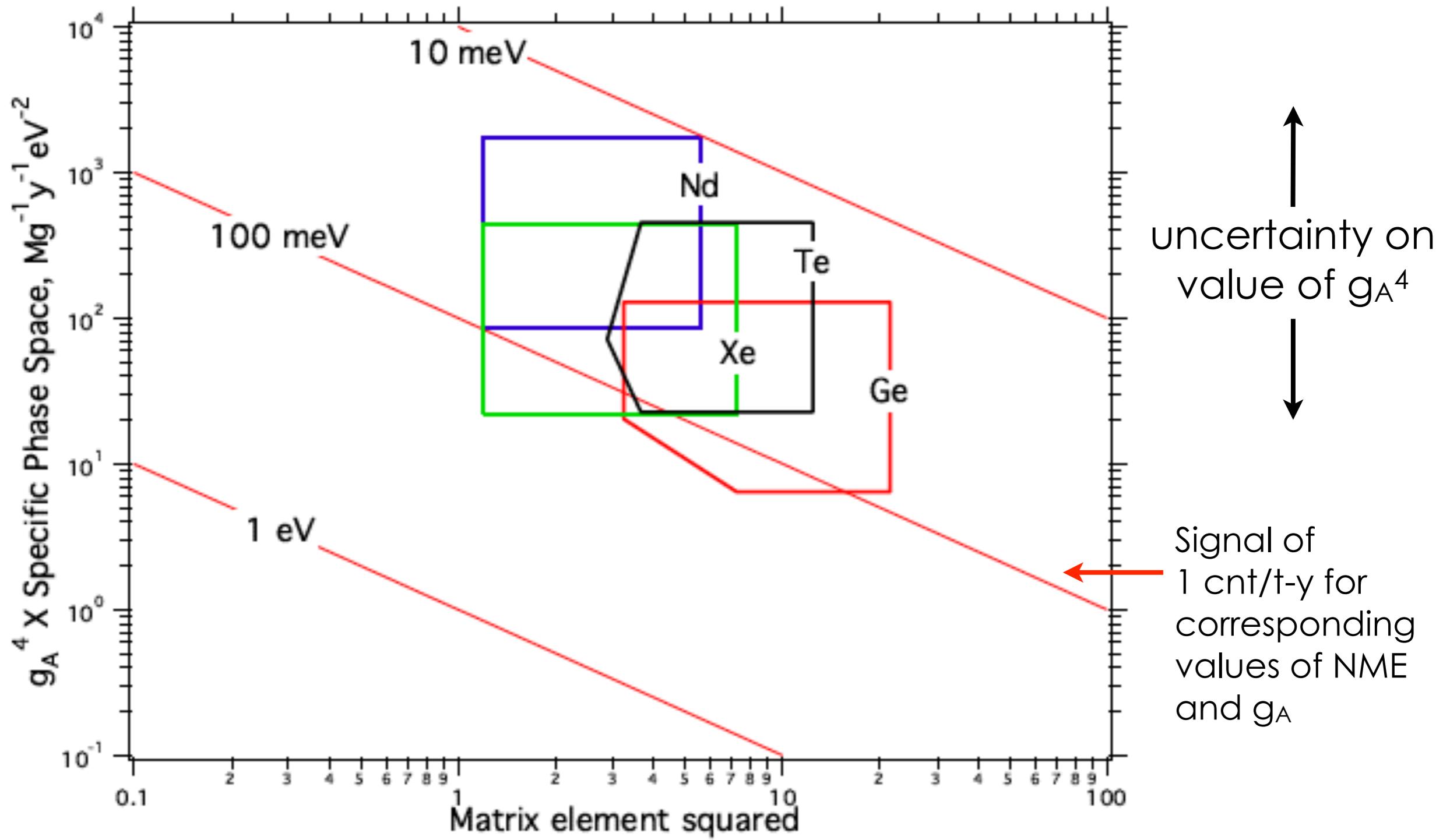
backgrounds do not always scale with detector mass

# Favorite Isotope?

For Ge, Te, Xe, Nd

← uncertainty  
on NME<sup>2</sup> →

R.G.H. Robertson, MPLA  
28 (2013) 1350021  
(arXiv 1301.1323)

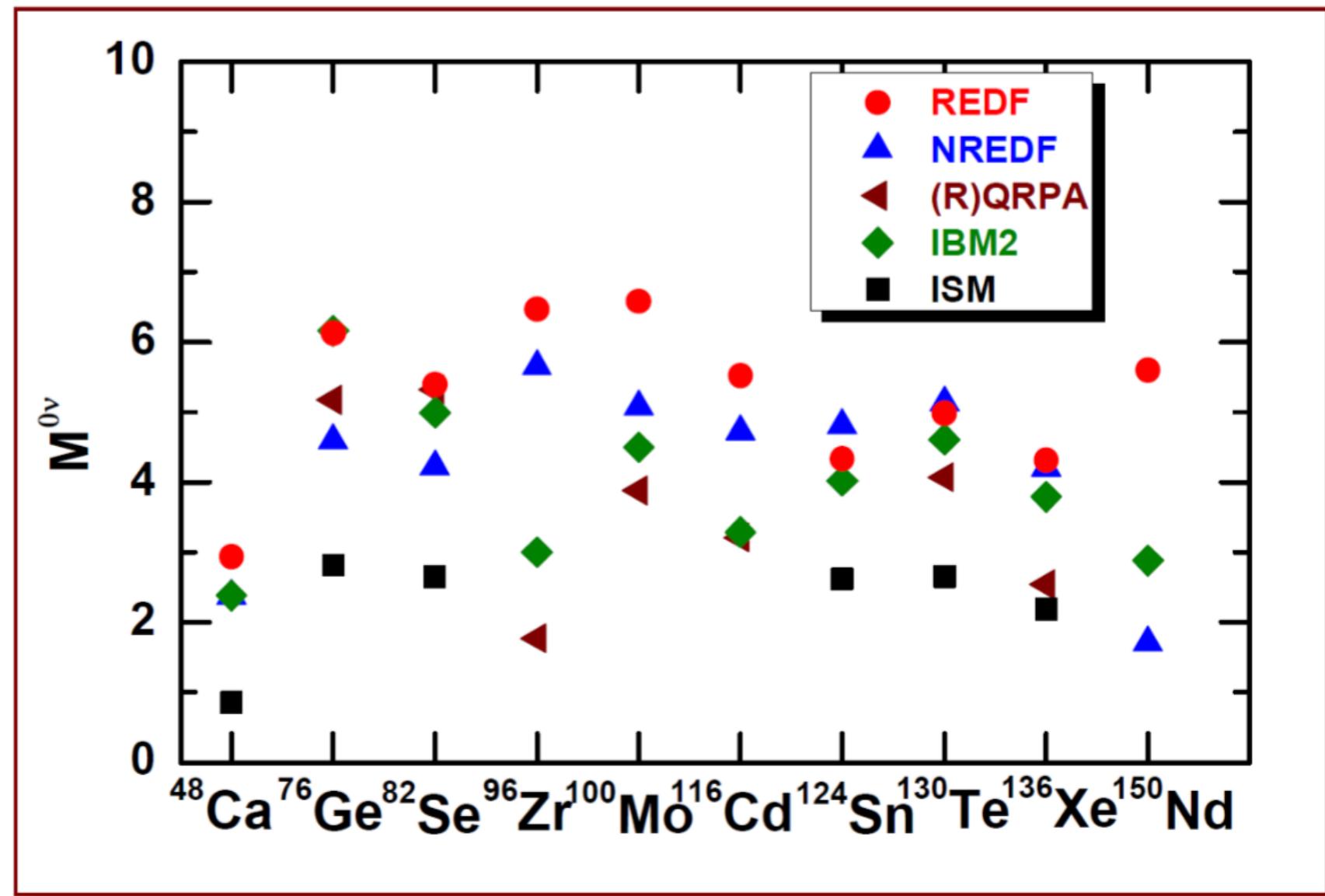


# NME Current Status

For light neutrino exchange

Significant spread.  
And all the models  
could be missing  
important physics.

Uncertainty hard  
to quantify.



One must do different calculations  
if other mechanisms are in play

# The Experimental Challenge

$0\nu\beta\beta$  source with  
**high isotopic abundance**

$$T_{1/2}^{0\nu} \text{ sensitivity} \propto a \cdot \epsilon \sqrt{\frac{M \cdot t}{b \cdot \delta E}}$$

Detector with  
**high detection efficiency**  
**good energy resolution**  
**low-background**

$a$  = source isotopic abundance

$\epsilon$  = detection efficiency

$M$  = total mass

$t$  = exposure time

$b$  = background rate at  $0\nu\beta\beta$  energy

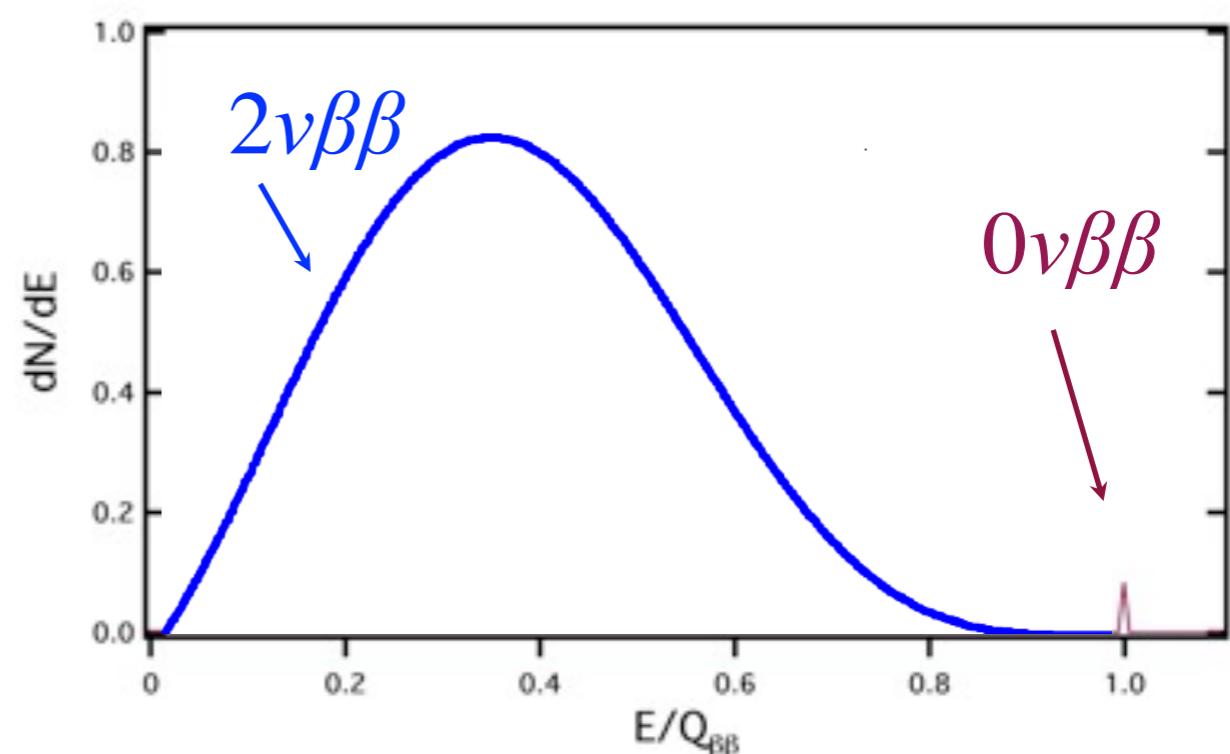
$\delta E$  = energy resolution

Experiment  
**long exposure time**  
**large total mass of isotope**

*To reach IH region requires  
sensitivities of*

$0\nu\beta\beta T_{1/2} \sim 10^{27} - 10^{28}$  years

( $2\nu\beta\beta T_{1/2} \sim 10^{19} - 10^{21}$  years)



# Background Strategies

## Potential Backgrounds

- Primordial, **natural radioactivity** in detector components: U, Th, K
- Backgrounds from **cosmogenic activation** while material is above ground  
( $\beta\beta$ -isotope or shield specific,  $^{60}\text{Co}$ ,  $^3\text{H}$ ... )
- Backgrounds from the **surrounding environment**:  
external  $\gamma$ , (a,n), (n,a), Rn plate-out, etc.
- **$\mu$ -induced backgrounds** generated at depth:  
 $\text{Cu}, \text{Pb}(n, n' \gamma)$ ,  $\beta\beta$ -decay specific(n,n),(n, $\gamma$ ), direct  $\mu$
- **2 neutrino double beta decay** (irreducible, E resolution dependent)
- neutrino backgrounds (negligible)

## Reduce Backgrounds

- ultra-pure materials
- shielding
- deep underground
- ...

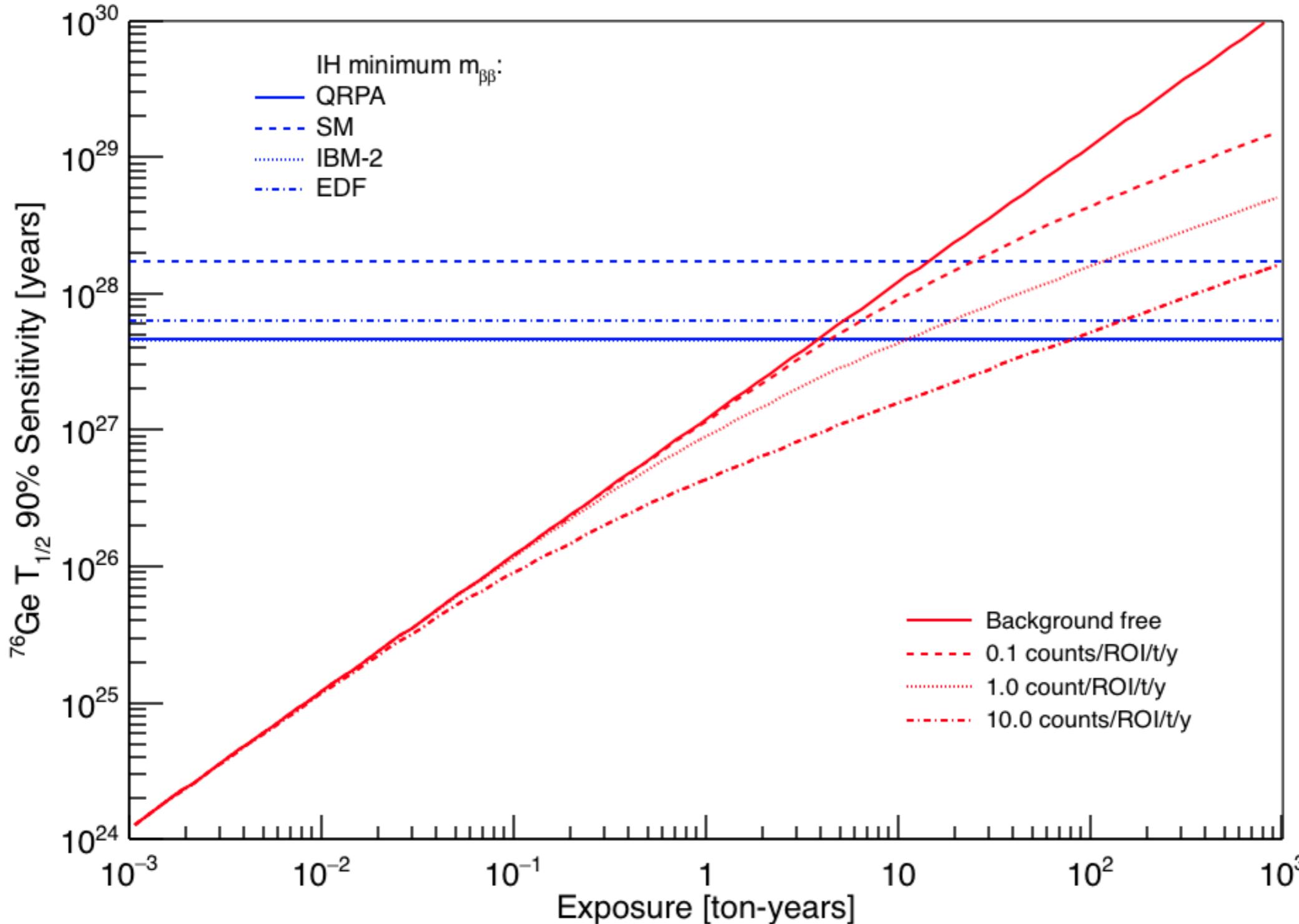
## Discriminate Backgrounds

- energy resolution
- tracking (even topology)
- fiducial fits
- pulse shape discrimination (PSD)
- particle ID
- ...

# Sensitivity vs Exposure

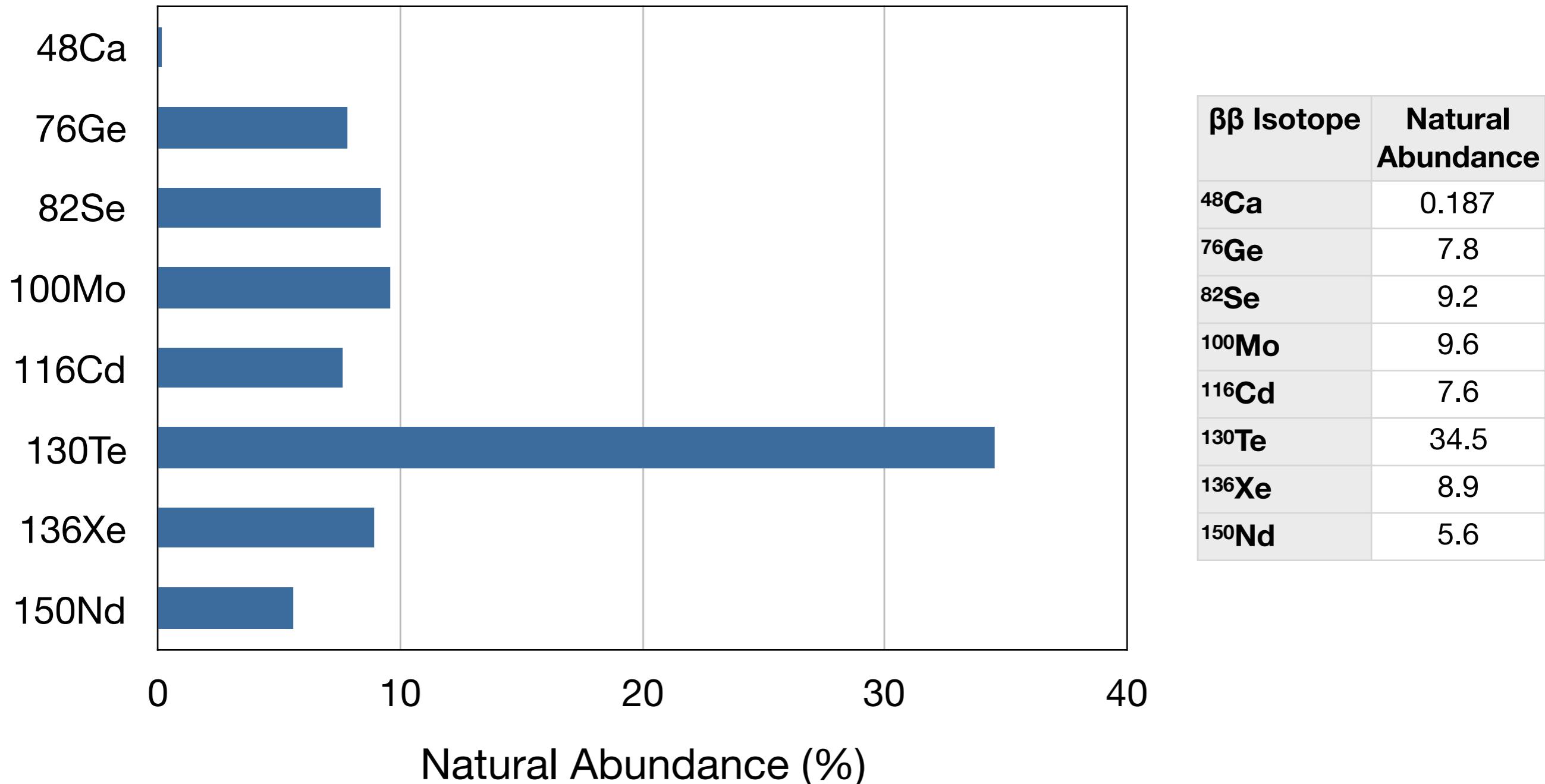
$$T_{1/2}^{0\nu}(\text{background free}) \propto MT$$

$$T_{1/2}^{0\nu}(\text{backgrounds}) \propto \sqrt{\frac{MT}{b\Delta E}}$$



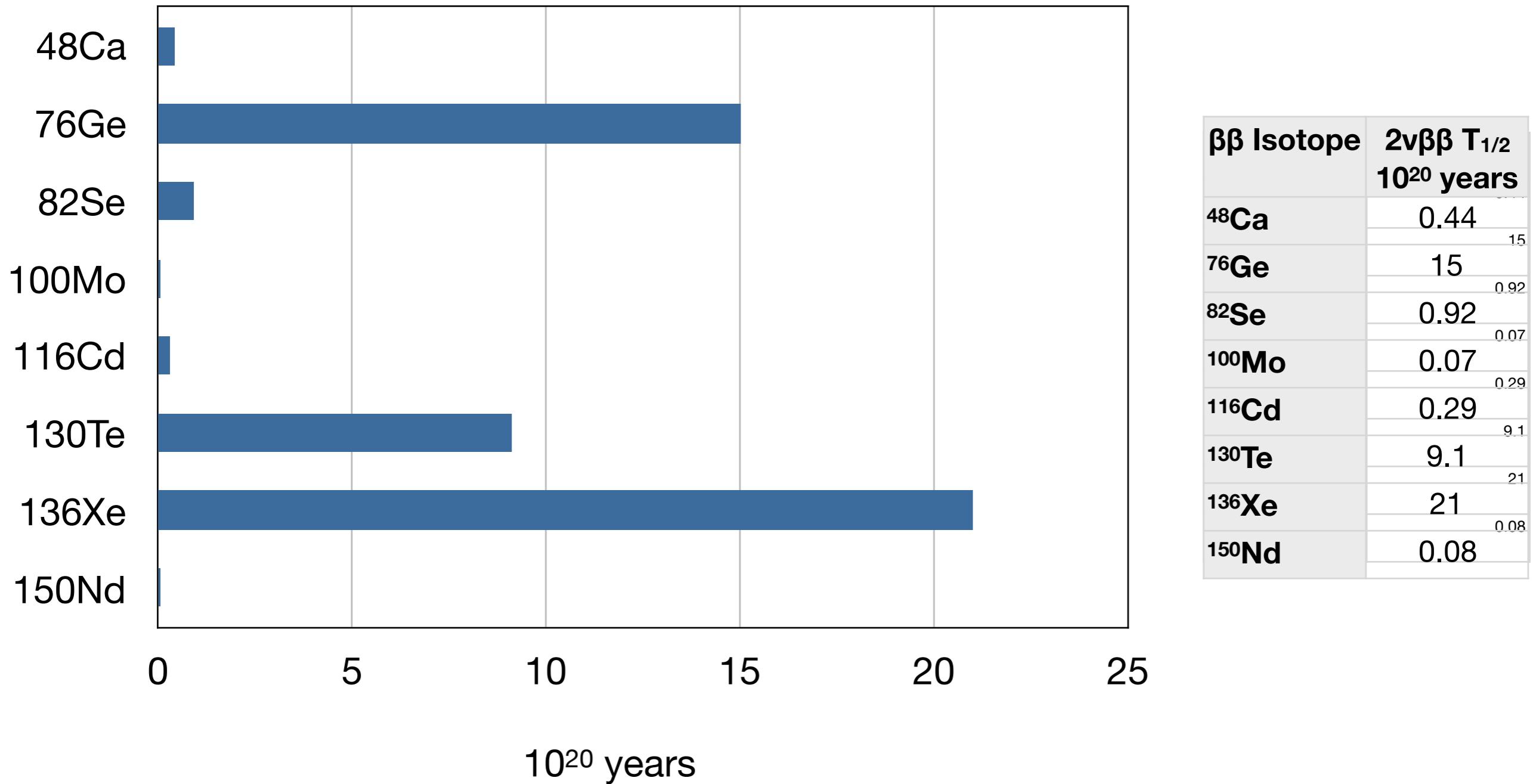
J. Detwiler

# Natural Abundances



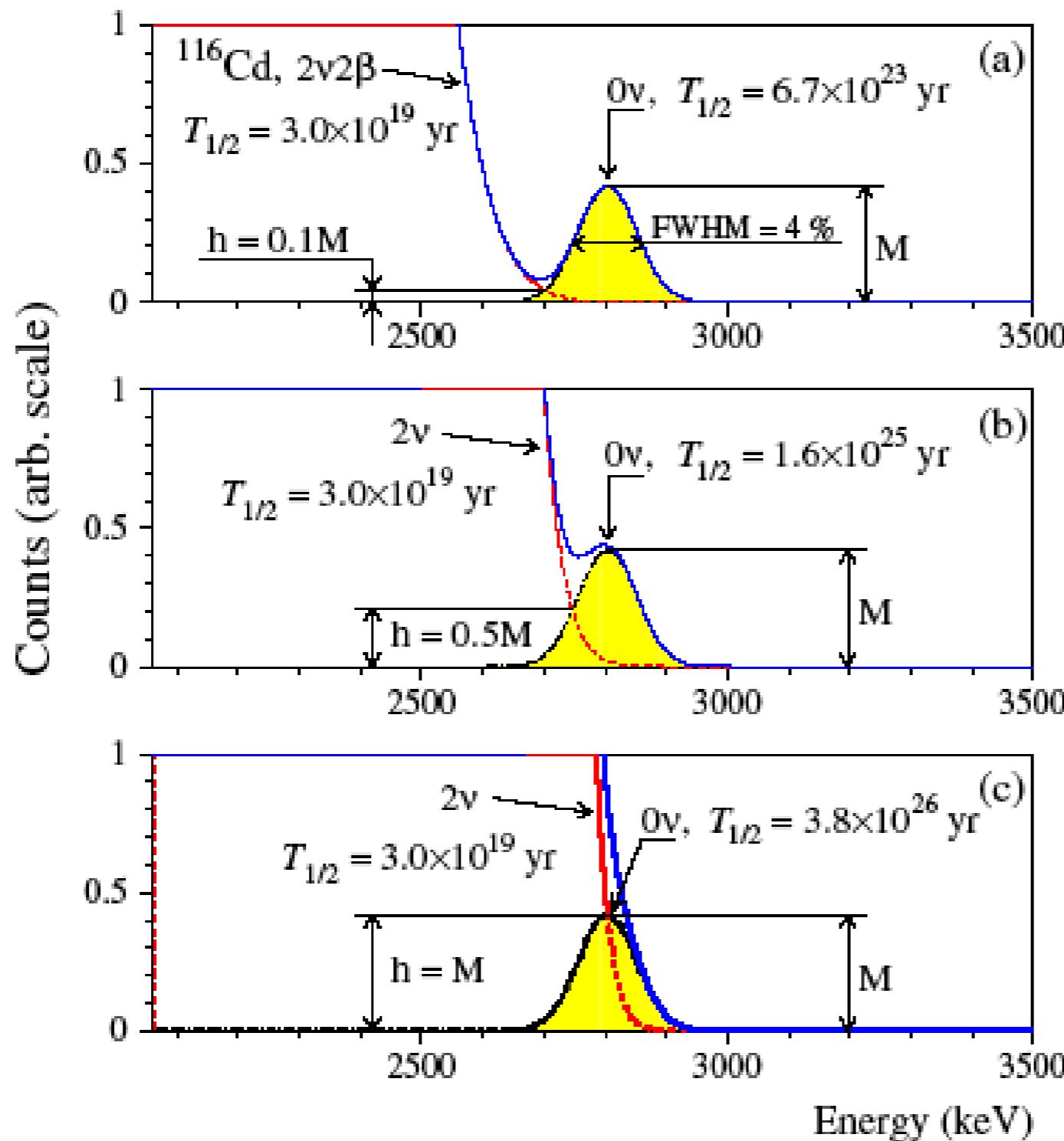
Clearly  $^{130}\text{Te}$  has an advantage.  
For the others, Isotopic enrichment (\$s) is needed

# 2nu Half-Life



Longer  $2\nu\beta\beta T_{1/2}$  (better)  $\Rightarrow$  lower background rate  
Irreducible background  $\Rightarrow$  minimize with good resolution

# Effect of Resolution

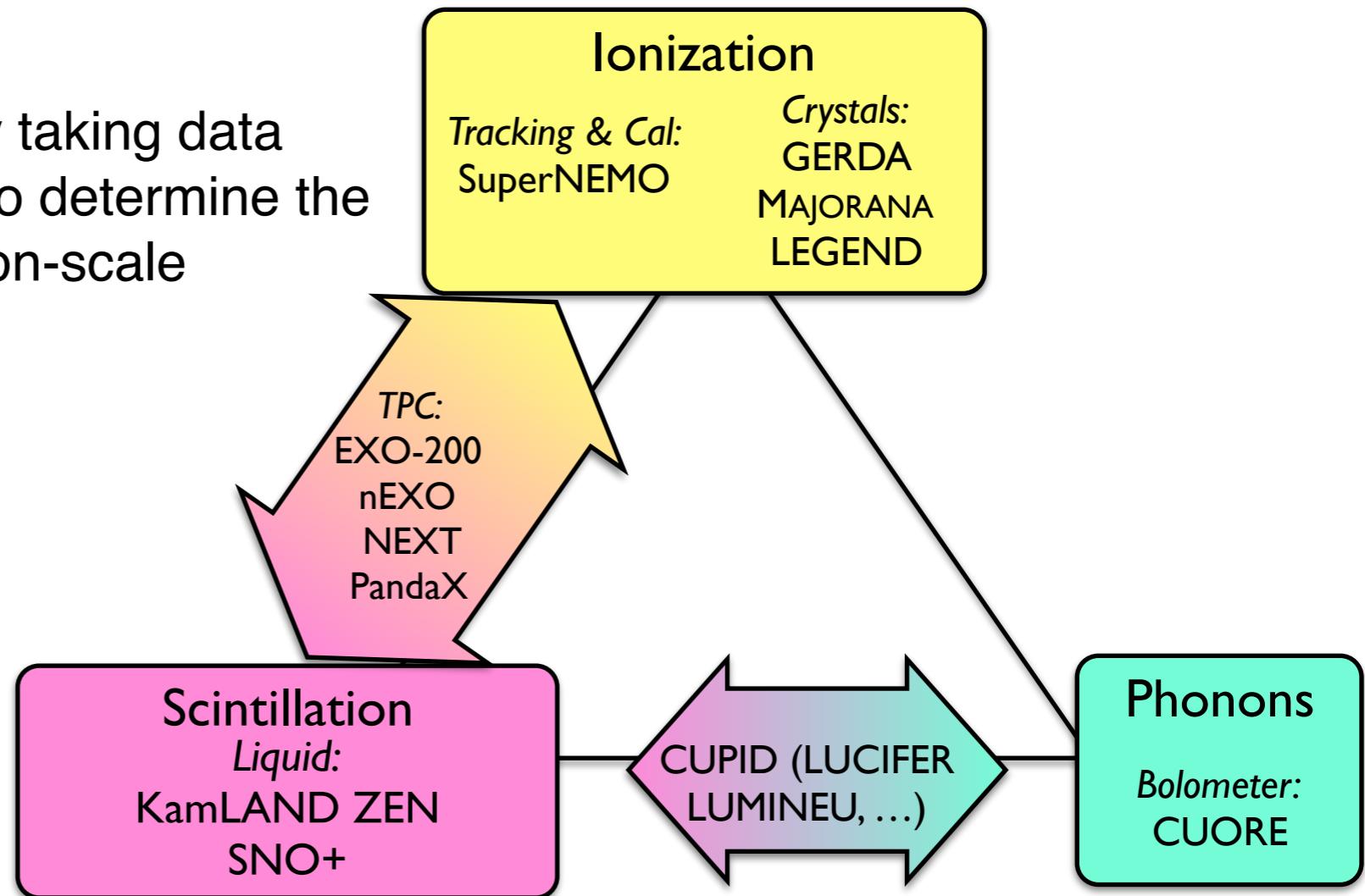


From Zdesenko, Danevich, Tretyak, J.  
Phys. G 30 (2004) 971

# Multi-Prong Detection Strategy

- 100 kg class experiments currently taking data
- In parallel, major R&D under way to determine the optimum path to discovery at the ton-scale

**Best:  
source = detector!**  
 **$^{76}\text{Ge}$ ,  $^{130}\text{Te}$ ,  $^{136}\text{Xe}$**



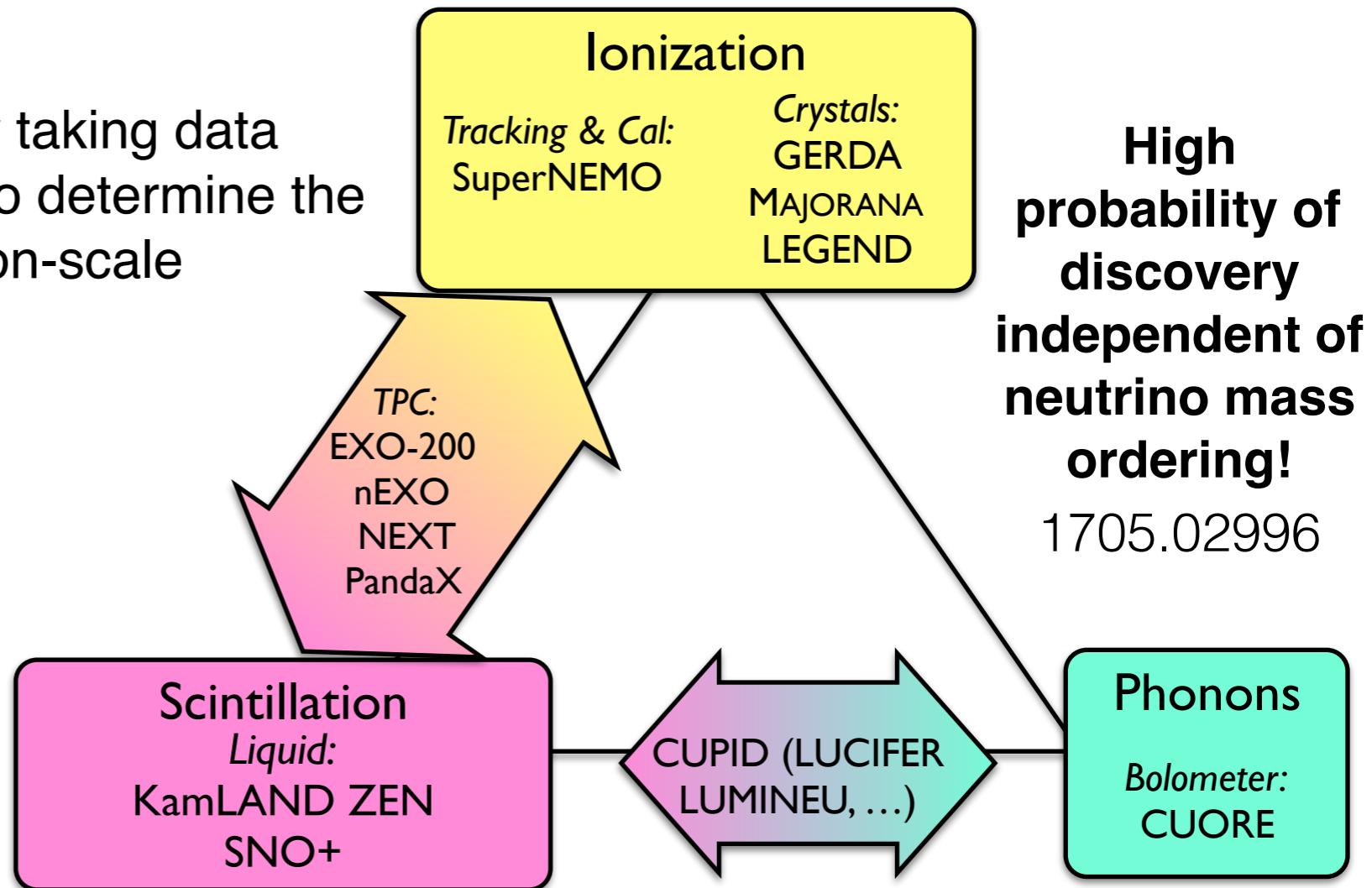
- Ton-scale  $0\nu\beta\beta$  searches ( $T_{1/2} > 10^{27-28}$  yr) probe at unprecedented levels LNV from a variety of mechanisms
- If light Majorana neutrinos are responsible for  $0\nu\beta\beta$ , then absolute neutrino mass scale determination within reach of ton-scale experiments

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# International Program

Previous Expts.

$T_{1/2} \sim 10^{24}$  y

(~ 1 eV)

~kg scale

Quasi-degenerate  
 $T_{1/2} \sim 10^{25}-10^{26}$  y  
(~100 meV)  
30 - 200 kg  
~8 expts

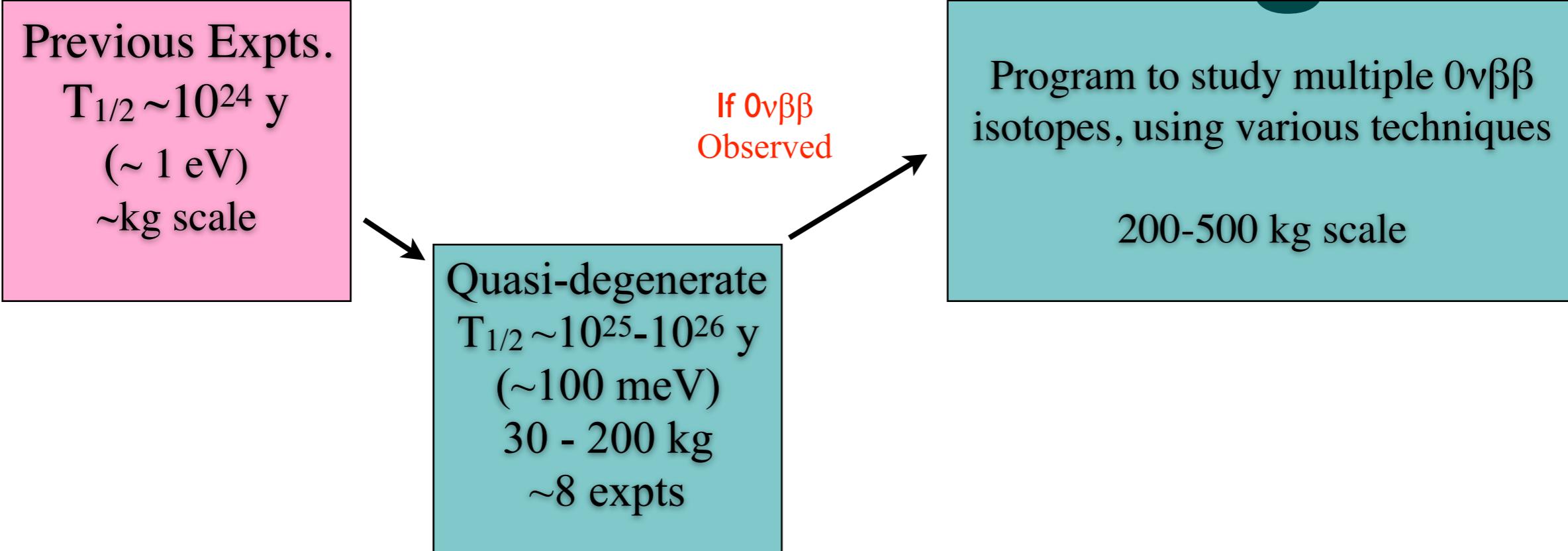


1980 - 2007

2007 - 2018

2016 - 2025

# International Program

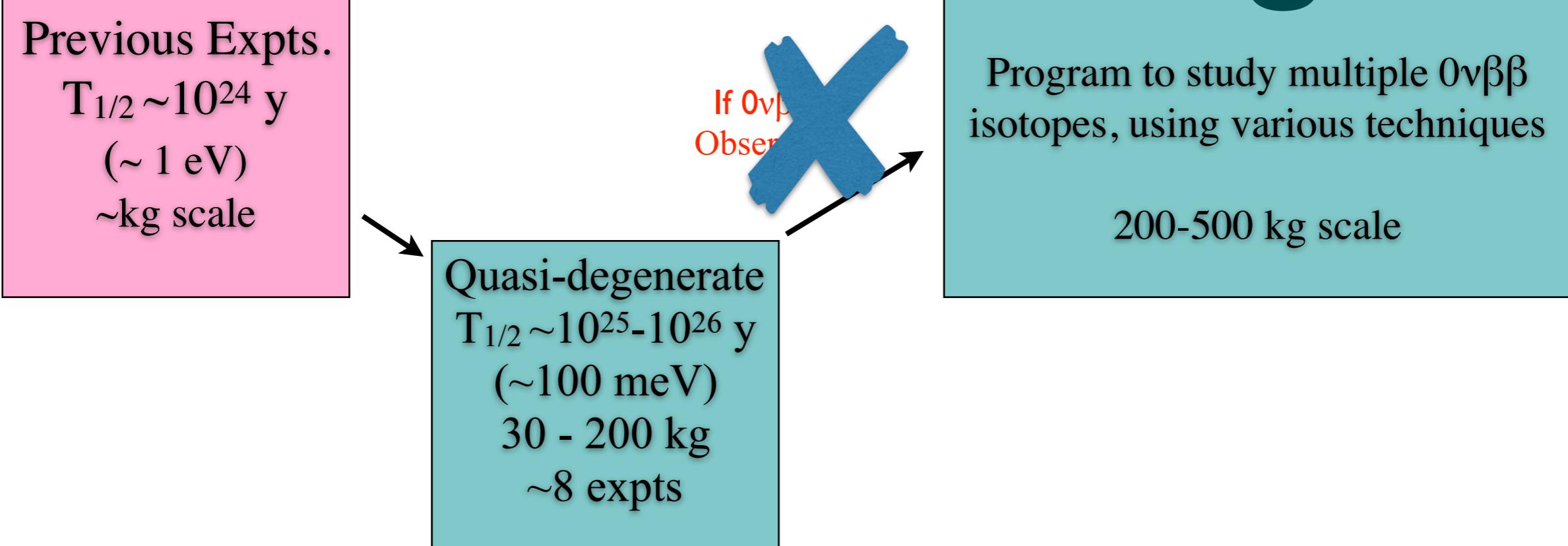


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~8 expts

Inverted hierarchy  
 $T_{1/2} \sim 10^{27}-10^{28}$  y  
(~15 meV)  
tonne (phased)  
~3 experiments  
All international in scope  
U.S. involvement in ~2



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30 - 200 kg  
~8 expts

Program to study multiple  $0\nu\beta\beta$  isotopes, using various techniques

~ tonne scale

If  $0\nu\beta\beta$   
Observed

Inverted hierarchy  
 $T_{1/2} \sim 10^{27}-10^{28}$  y  
(~15 meV)  
tonne (phased)  
~3 experiments  
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 $T_{1/2} \sim 10^{25}-10^{26}$  y  
(~100 meV)  
30 - 200 kg  
~8 expts

Inverted hierarchy  
 $T_{1/2} \sim 10^{27}-10^{28}$  y  
(~15 meV)  
tonne (phased)  
~3 experiments  
All international in scope  
U.S. involvement in ~2

Normal hierarchy  
~5 meV  
≥10's ton scale

1980 - 2007

2007 - 2018

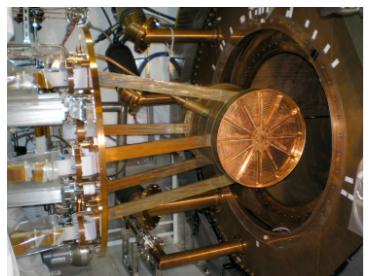
2016 - 2025

# World Program

CUORE



EXO200



KamLAND Zen



GERDA



MAJORANA



SNO+



Collaboration	Isotope	Technique	mass ( $0\nu\beta\beta$ isotope)	Status
CANDLES	Ca-48	305 kg CaF <sub>2</sub> crystals - liq. scint	0.3 kg	Construction
CARVEL	Ca-48	<sup>48</sup> CaWO <sub>4</sub> crystal scint.	~ ton	R&D
GERDA I	Ge-76	Ge diodes in LAr	15 kg	Complete
GERDA II	Ge-76	Point contact Ge in LAr	31	Operating
MAJORANA DEMONSTRATOR	Ge-76	Point contact Ge	25 kg	Operating
LEGEND	Ge-76	Point contact	~ ton	R&D
NEMO3	Mo-100 Se-82	Foils with tracking	6.9 kg 0.9 kg	Complete
SuperNEMO Demonstrator	Se-82	Foils with tracking	7 kg	Construction
SuperNEMO	Se-82	Foils with tracking	100 kg	R&D
LUCIFER (CUPID)	Se-82	ZnSe scint. bolometer	18 kg	R&D
AMoRE	Mo-100	CaMoO <sub>4</sub> scint. bolometer	1.5 - 200 kg	R&D
LUMINEU (CUPID)	Mo-100	ZnMoO <sub>4</sub> / Li <sub>2</sub> MoO <sub>4</sub> scint. bolometer	1.5 - 5 kg	R&D
COBRA	Cd-114,116	CdZnTe detectors	10 kg	R&D
CUORICINO, CUORE-0	Te-130	TeO <sub>2</sub> Bolometer	10 kg, 11 kg	Complete
CUORE	Te-130	TeO <sub>2</sub> Bolometer	<b>206 kg</b>	Operating
CUPID	Te-130	TeO <sub>2</sub> Bolometer & scint.	~ ton	R&D
SNO+	Te-130	0.3% natTe suspended in Scint	<b>160 kg</b>	Construction
EXO200	Xe-136	Xe liquid TPC	79 kg	Operating
nEXO	Xe-136	Xe liquid TPC	~ ton	R&D
KamLAND-Zen (I, II)	Xe-136	2.7% in liquid scint.	<b>380 kg</b>	Complete
KamLAND2-Zen	Xe-136	2.7% in liquid scint.	<b>750 kg</b>	Upgrade
NEXT-NEW	Xe-136	High pressure Xe TPC	5 kg	Operating
NEXT	Xe-136	High pressure Xe TPC	100 kg - <b>ton</b>	R&D
PandaX - 1k	Xe-136	High pressure Xe TPC	~ ton	R&D
DCBA	Nd-150	Nd foils & tracking chambers	20 kg	R&D

# Ton Scale Experiments

- Active international collaborations building on current efforts.
  - $^{76}\text{Ge}$  : LEGEND, HPGE crystals, ~ton (builds on GERDA & MAJORANA)
  - $^{82}\text{Se}$  : SuperNEMO : Se foils, tracking and calorimeter, 100 kg scale
  - $^{100}\text{Mo}$  : AMoRE : CaMoO<sub>4</sub> scint. bolometer, 200 kg scale
  - $^{136}\text{Xe}$  : **nEXO** — Liquid TPC, 5 tons
    - NEXT — High pressure gas TPC, ton scale
    - PandaX - III — High pressure gas TPC, ton scale
    - KamLAND-Zen —  $^{136}\text{Xe}$  in scintillator, 800 kg scale
    - LZ —  $^{\text{nat}}\text{Xe}$  liquid TPC, 7 tons, operating 2019
  - $^{130}\text{Te}$  : CUPID (CUORE with Particle ID) — Bolometer - Scintillation
    - SNO+ Phase I & II —  $^{130}\text{Te}$  in scintillator
- Experiments can be done in a staged (phased) approach. Most are considering stepwise increments.
- Isotope enrichment ( $^{76}\text{Ge}$ ,  $^{82}\text{Se}$ ,  $^{136}\text{Xe}$ ) requires time and \$s.
- Potential underground lab sites
  - SNOLAB, JingPing, Gran Sasso, SURF, CanFranc, Frejus, Kamioka, ANDES, Y2L

**EXO-200**

# Advantages of Xenon

*Isotopic enrichment easier & known: Xe is a gas and  $^{136}\text{Xe}$  is the heaviest isotope.*

*Xenon is “reusable”: can be re-purified (noble gas: relatively easy) during measurement and easily recycled into a different detector (no crystal growth)*

*.... replace  $^{136}\text{Xe}$  with  $^{\text{nat'l}}\text{Xe}$  if signal observed*

*Monolithic detector: LXe is self shielding, surface contamination minimized.*

*Minimal cosmogenic activation: no long lived radioactive isotopes of Xe.*

*Energy resolution in LXe improved: scintillation light + ionization anti-correlation.*

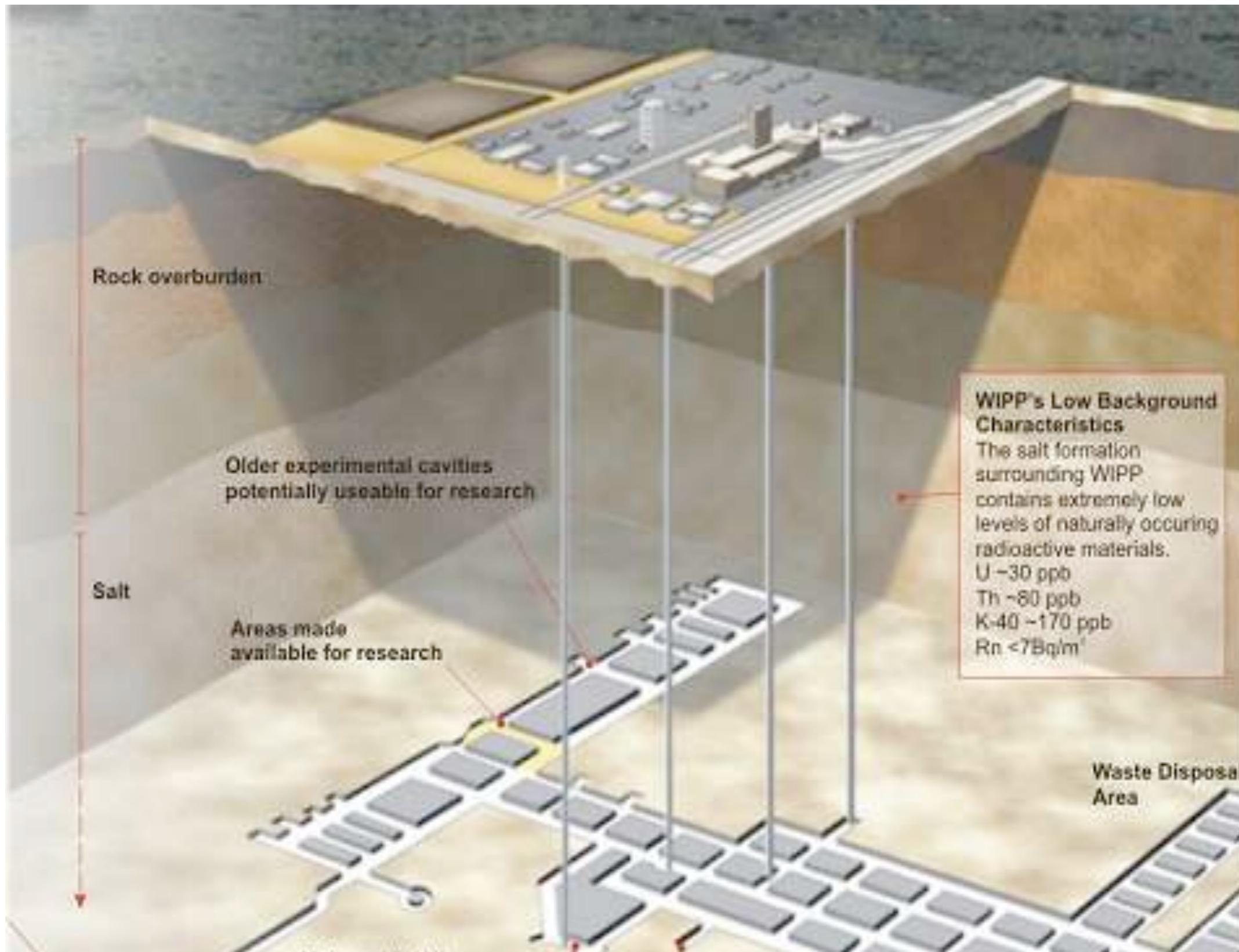
*Standard  $2\nu\beta\beta$  is slow! (see later): get away with modest energy resolution*

*... admits a novel coincidence technique: background reduction by Ba tagging*

*.... potentially access normal hierarchy*

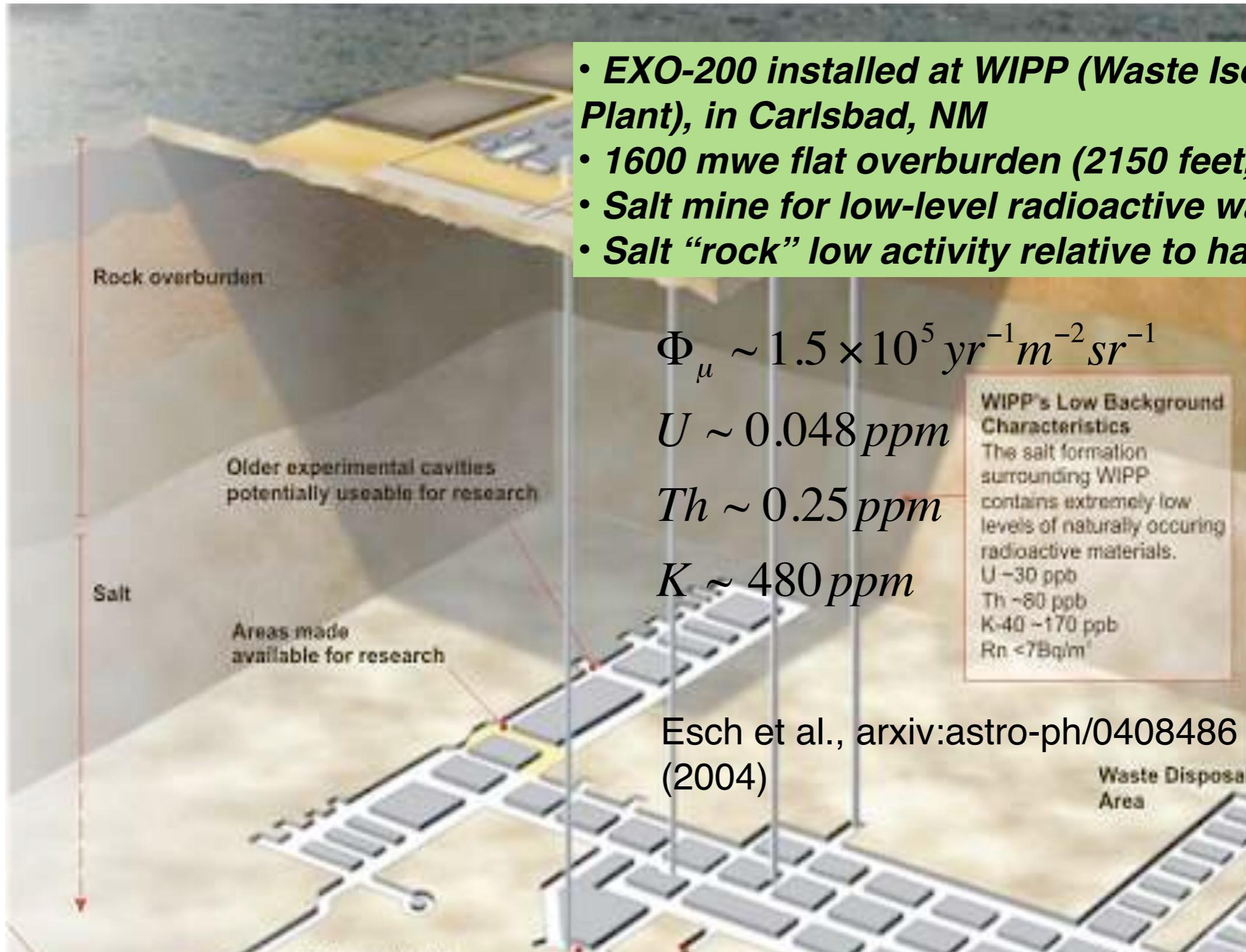
# Waste Isolation Pilot Plant, Carlsbad, NM

# EXO-200 at WIPP



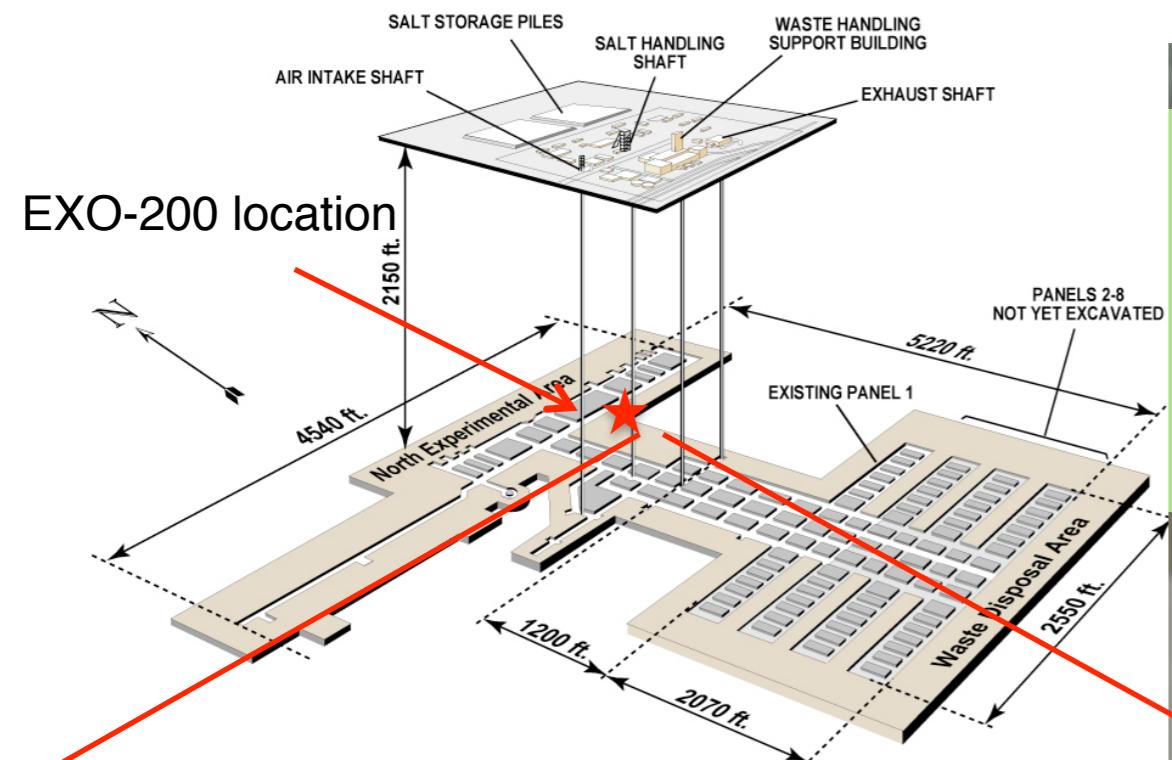
# Waste Isolation Pilot Plant, Carlsbad, NM

# EXO-200 at WIPP



# Waste Isolation Pilot Plant, Carlsbad, NM

# EXO-200 at WIPP



- EXO-200 installed at WIPP (Waste Isolation Pilot Plant), in Carlsbad, NM
- 1600 mwe flat overburden (2150 feet, 650 m)
- Salt mine for low-level radioactive waste storage
- Salt “rock” low activity relative to hard-rock mine

$$\Phi_{\mu} \sim 1.5 \times 10^5 \text{ yr}^{-1} \text{ m}^{-2} \text{ sr}^{-1}$$

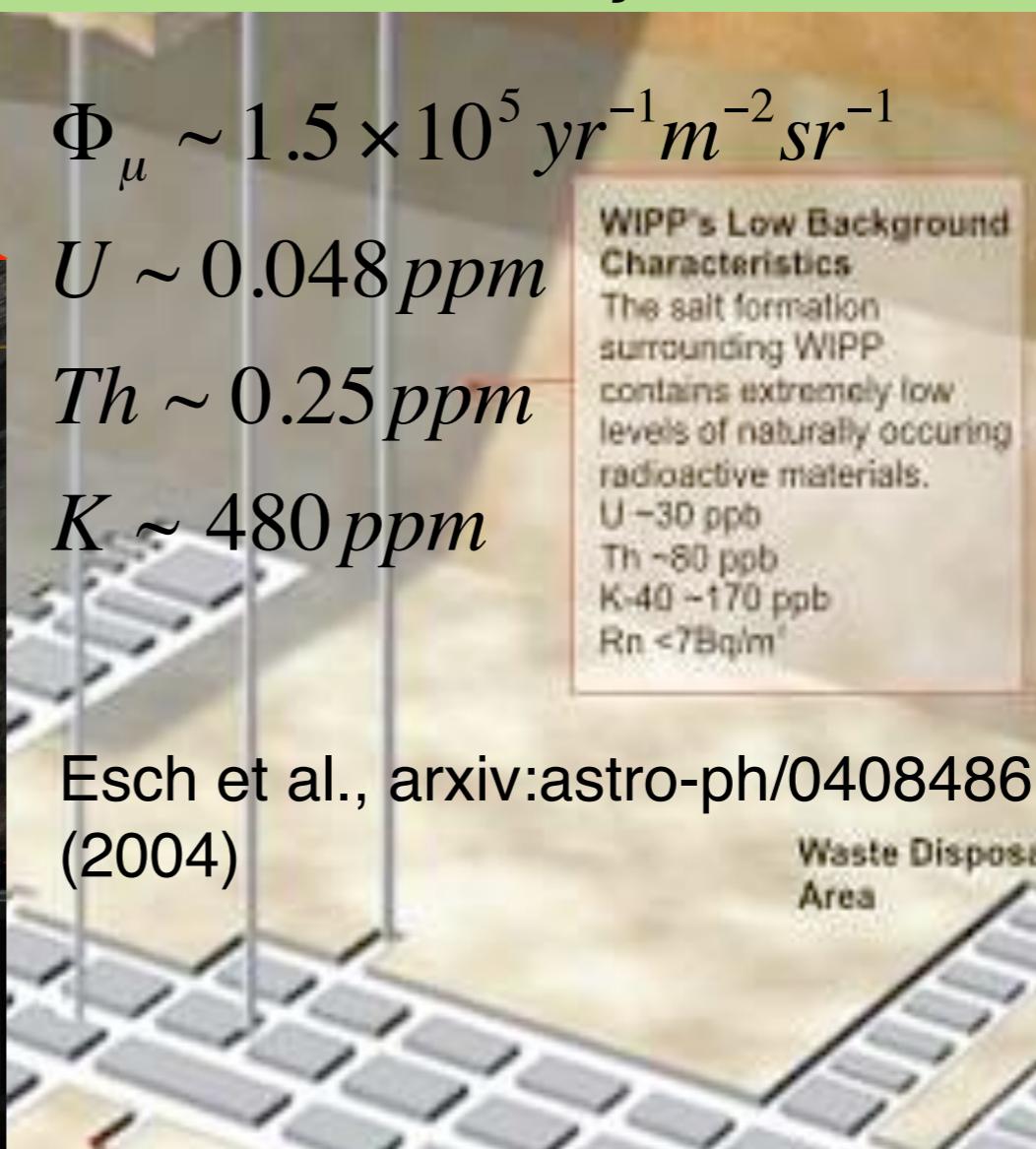
$$U \sim 0.048 \text{ ppm}$$

$$Th \sim 0.25 \text{ ppm}$$

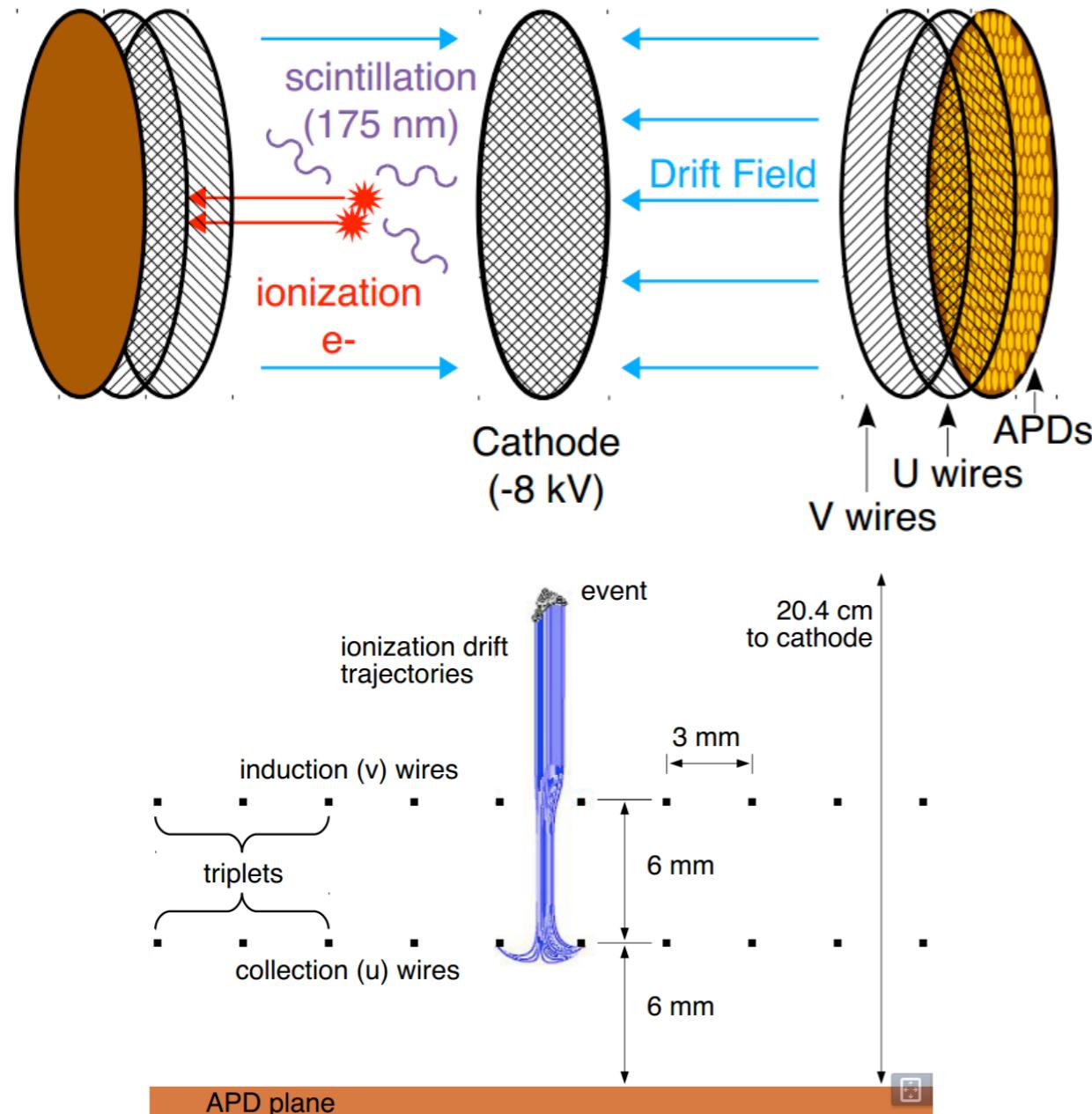
$$K \sim 480 \text{ ppm}$$

WIPP's Low Background Characteristics  
The salt formation surrounding WIPP contains extremely low levels of naturally occurring radioactive materials.  
U - 30 ppb  
Th - 80 ppb  
K-40 - 170 ppb  
Rn < 7 Bq/m<sup>3</sup>

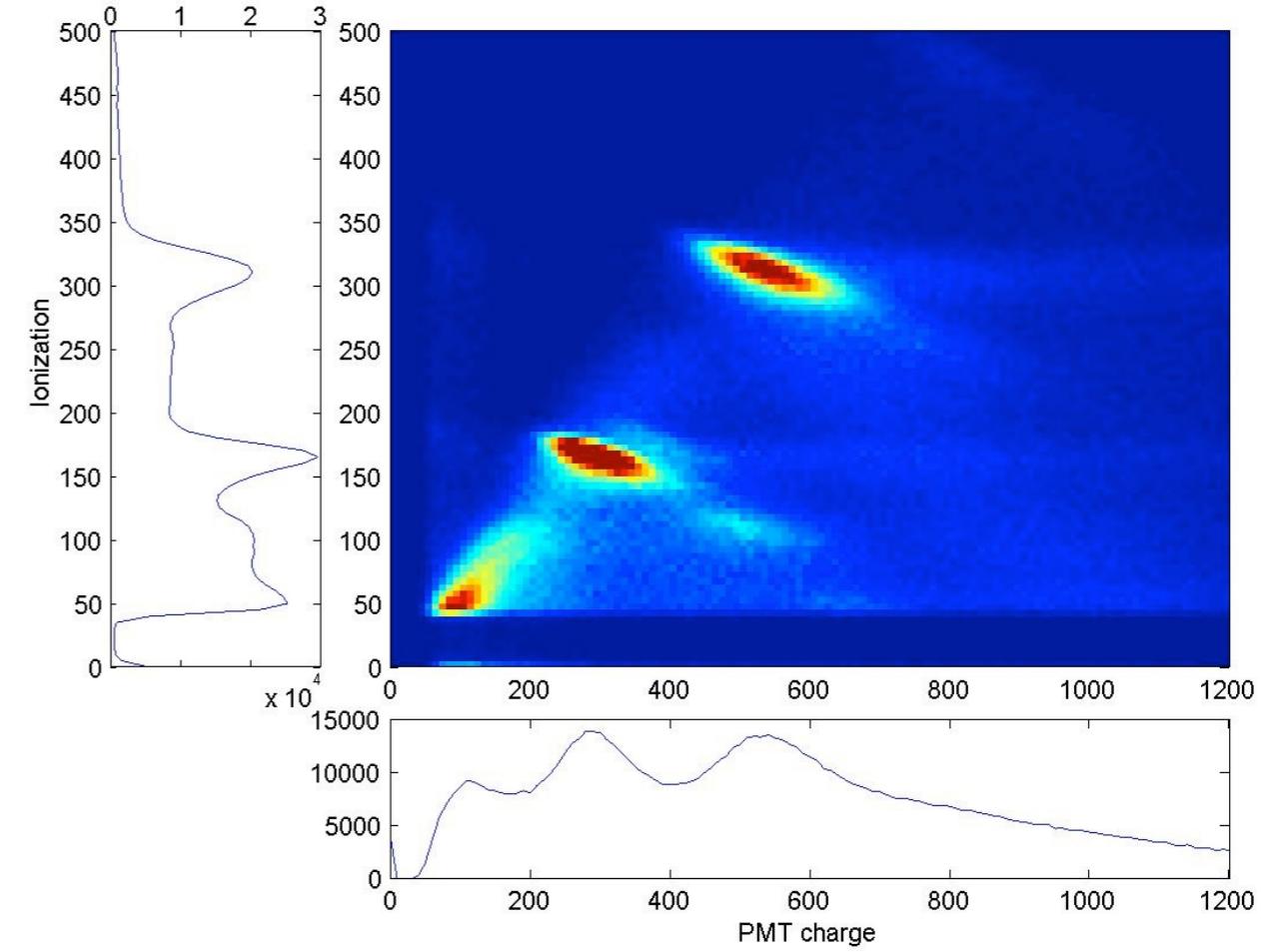
Esch et al., arxiv:astro-ph/0408486  
(2004)



# EXO-200 Concept

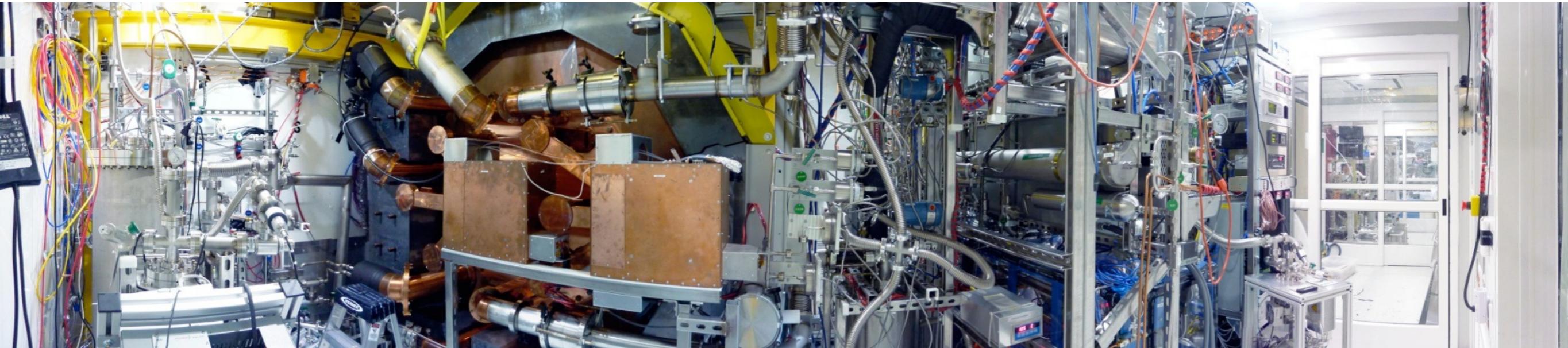


**EXO RED showed the way to improved energy resolution in LXe:  
Use (anti)correlations between ionization and scintillation signals**

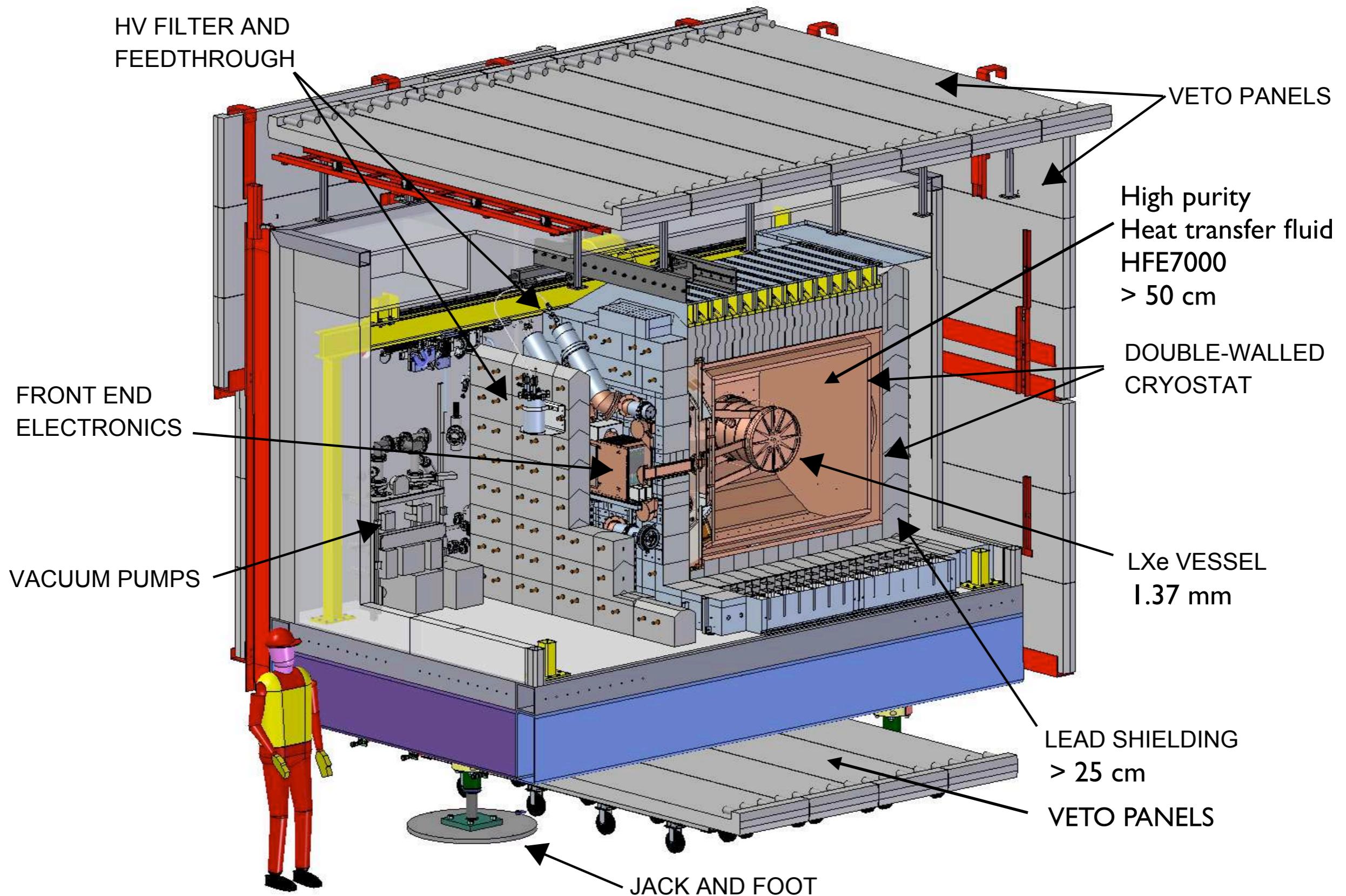


- Two TPCs with common cathode in middle
- APD planes observe prompt scintillation for drift time measurement.
- V-position given by induction signal on shielding grid.
- U-position and energy given by charge collection grid.

# EXO-200 at WIPP

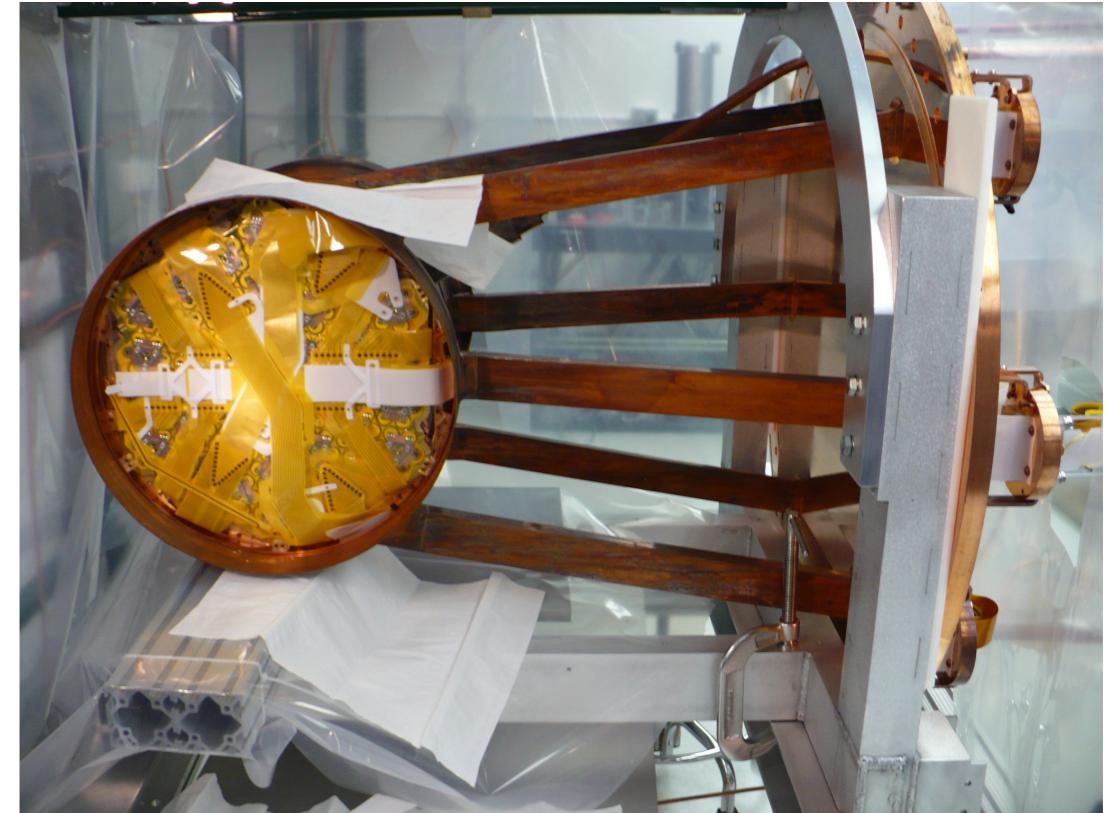


# Module 1



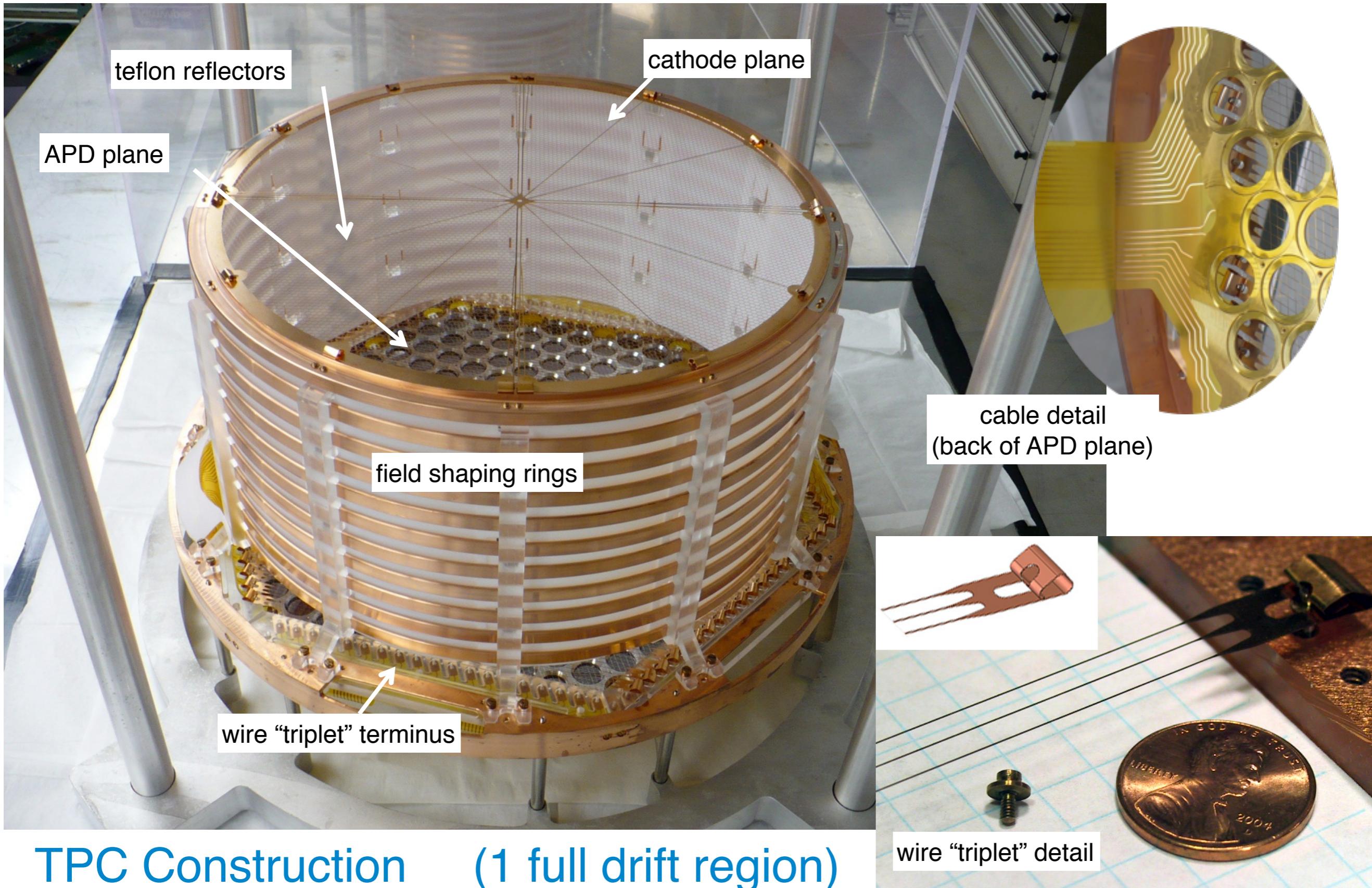
# Low Activity Copper

- Very light (~1.5mm thin, ~15kg) to minimize materials



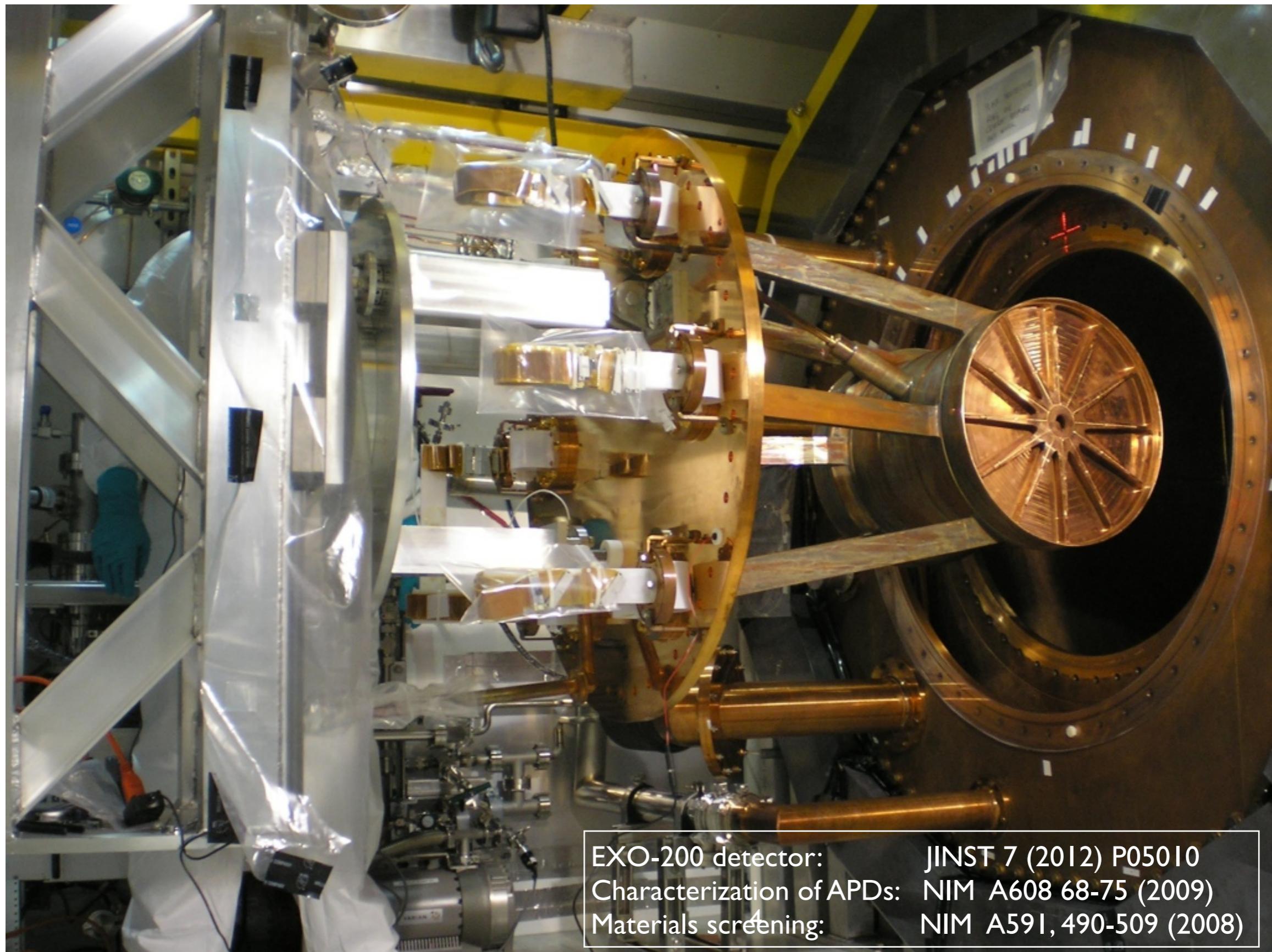
- Different parts e-beam welded together
- Field TIG weld(s) to seal the vessel after assembly (TIG technology tested for radioactivity)
- All machining done in cosmic-ray shielded building)

# TPC: The Innards



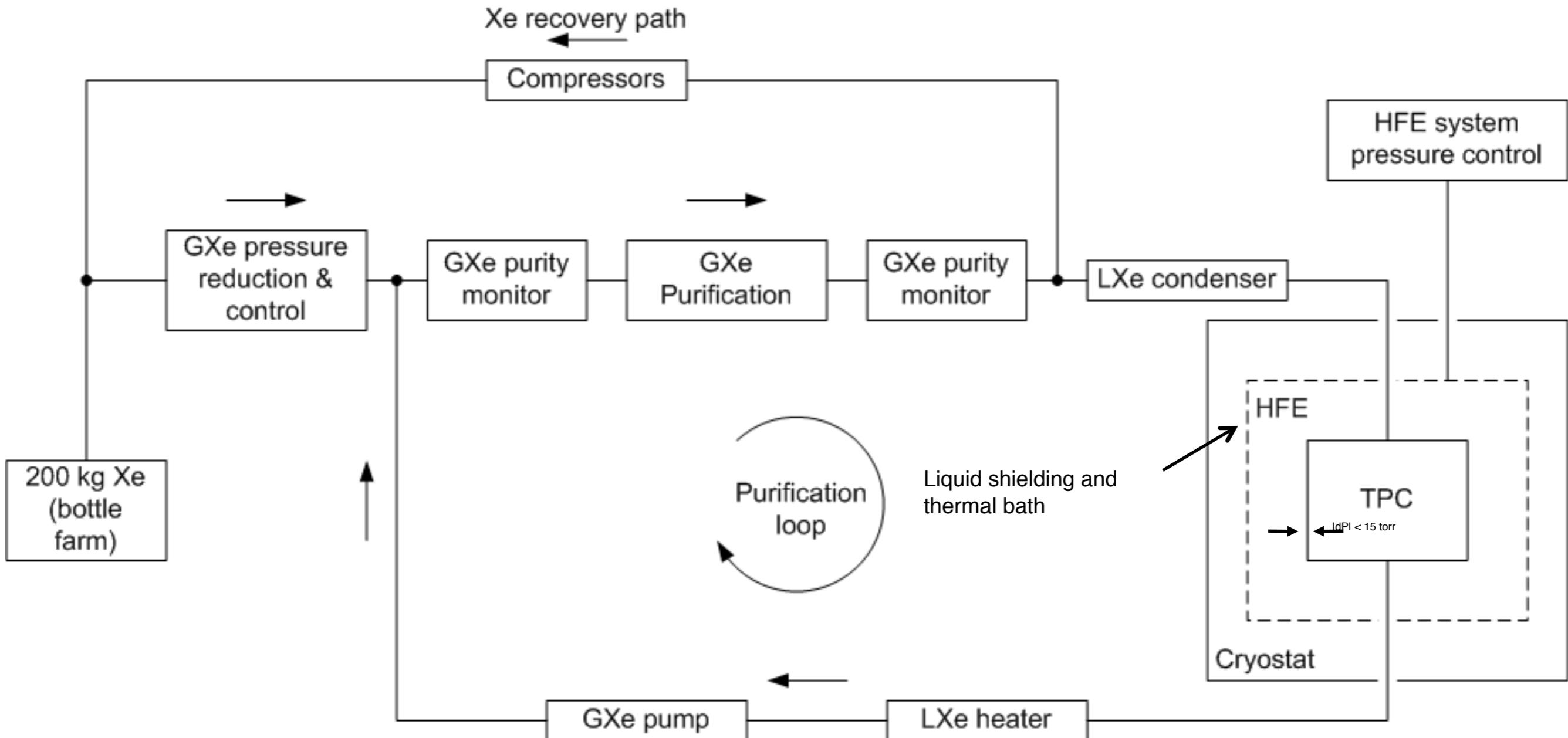
# TPC Entering the Cryostat

# TPC Entering the Cryostat

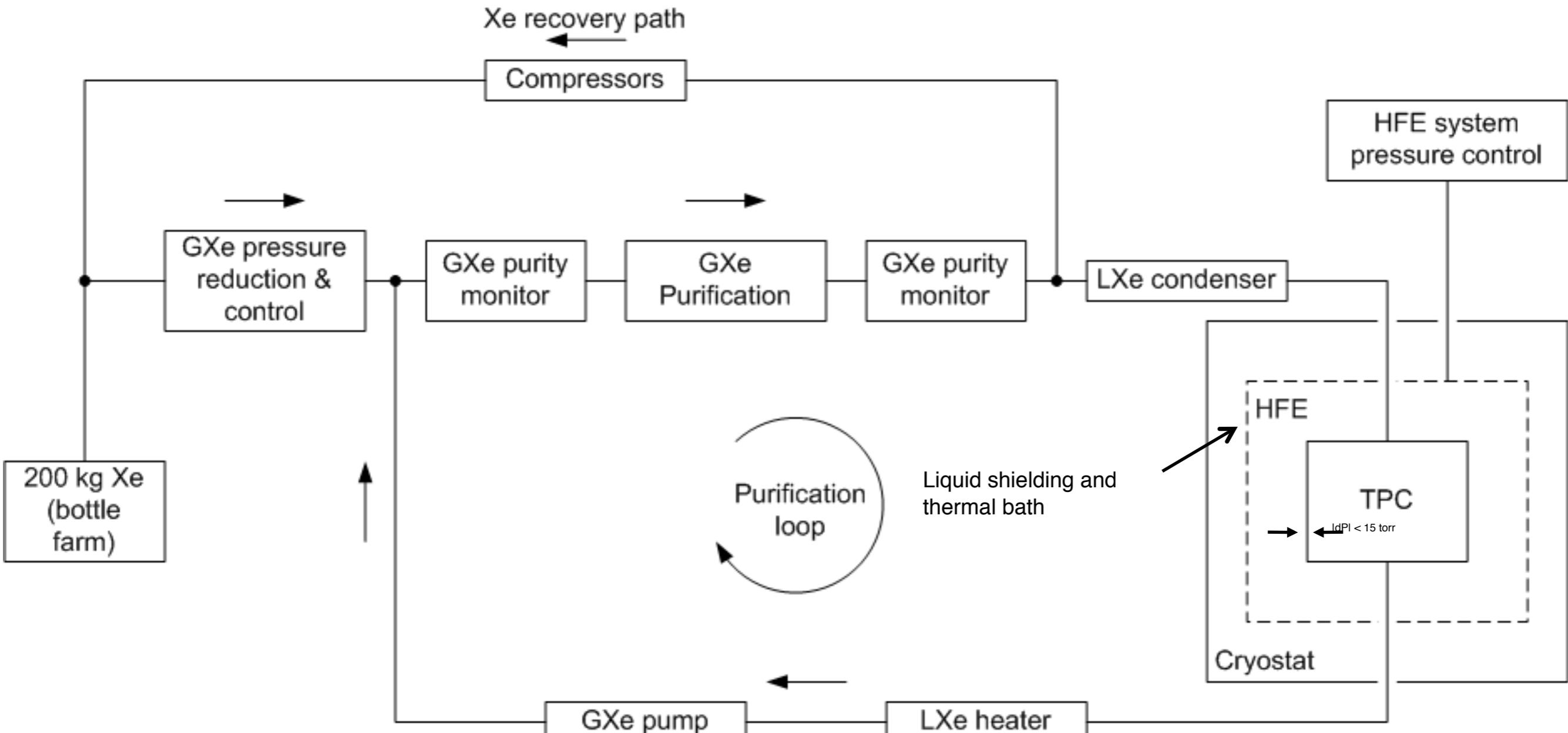


EXO-200 detector: JINST 7 (2012) P05010  
Characterization of APDs: NIM A608 68-75 (2009)  
Materials screening: NIM A591, 490-509 (2008)

# Xenon Recirculation



# Xenon Recirculation



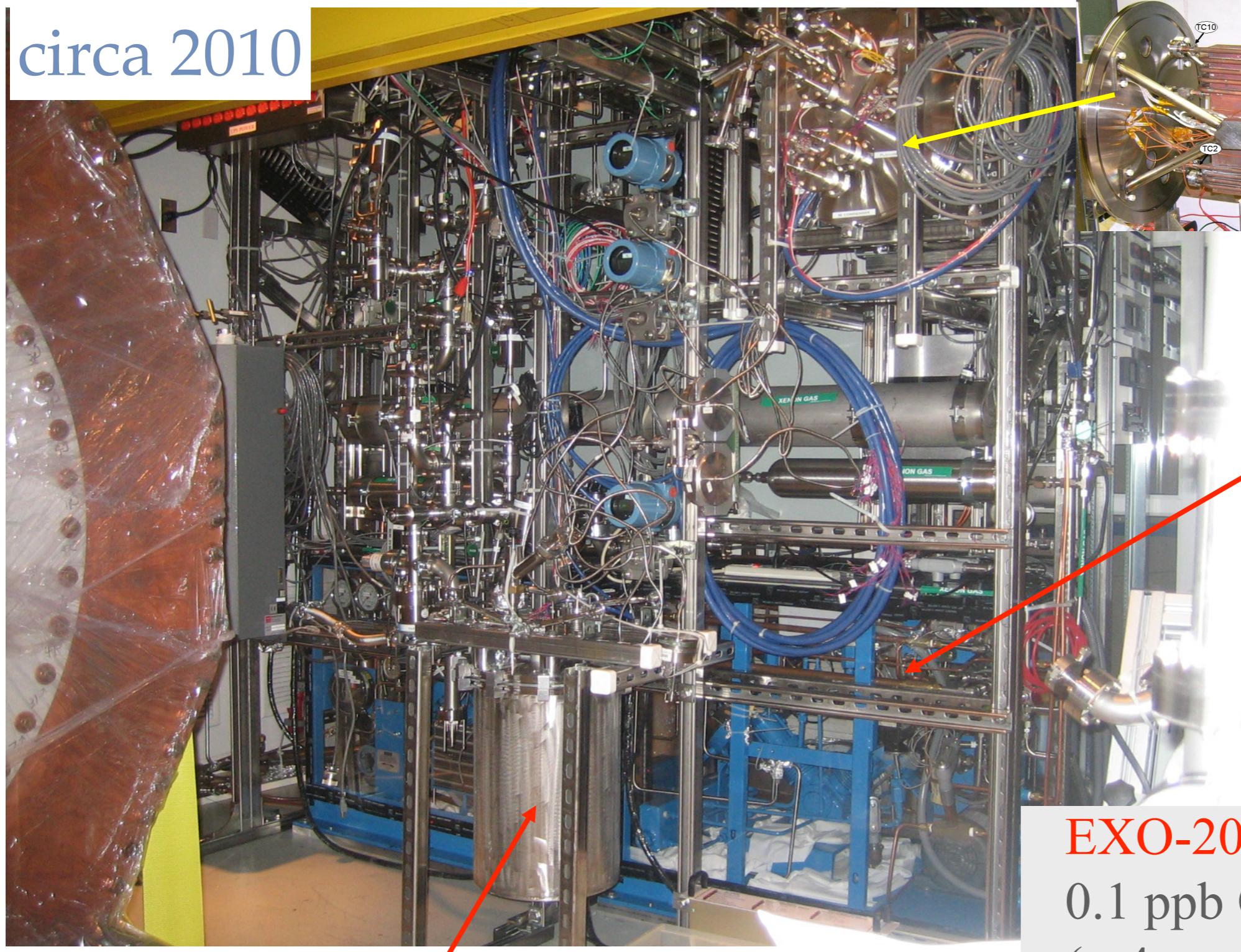
*complicating factors:*

*ultra-radiopurity, emergency recovery, electronic noise  
environment, thermal stability*

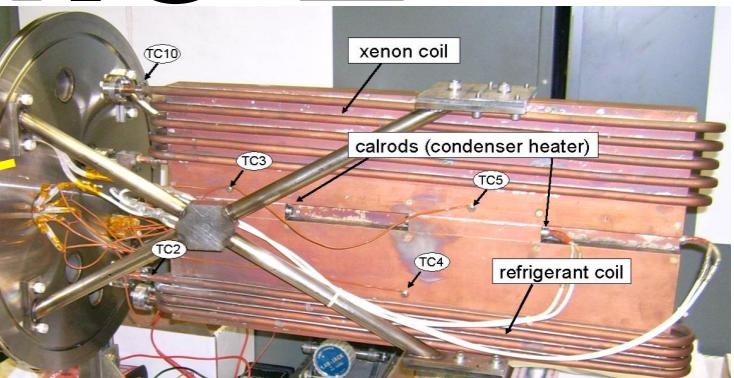


# EXO-200 Module 2

circa 2010



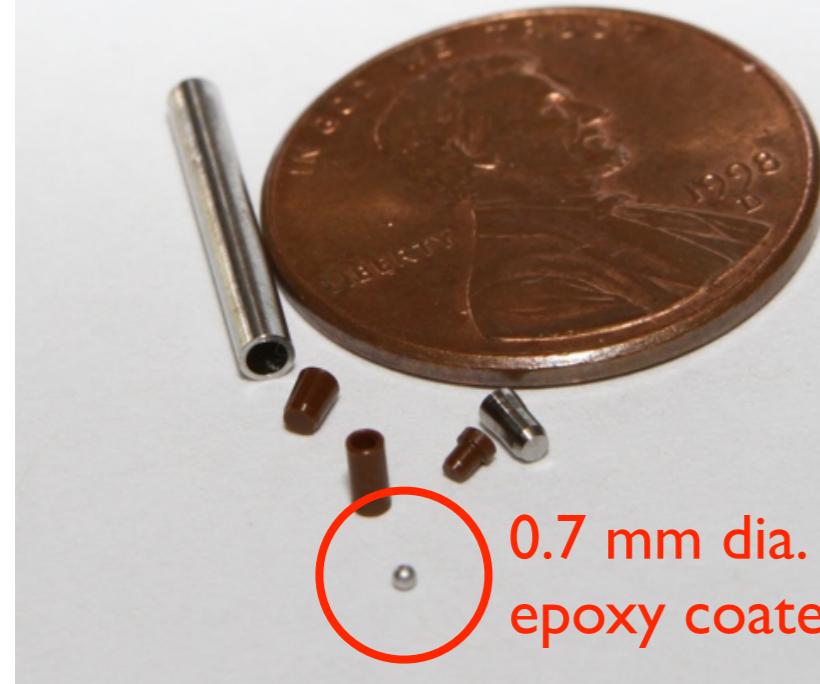
LXe boil-off heater



**EXO-200 goal:**  
0.1 ppb O<sub>2</sub> equivalent  
(~ 4 ms electron lifetime)

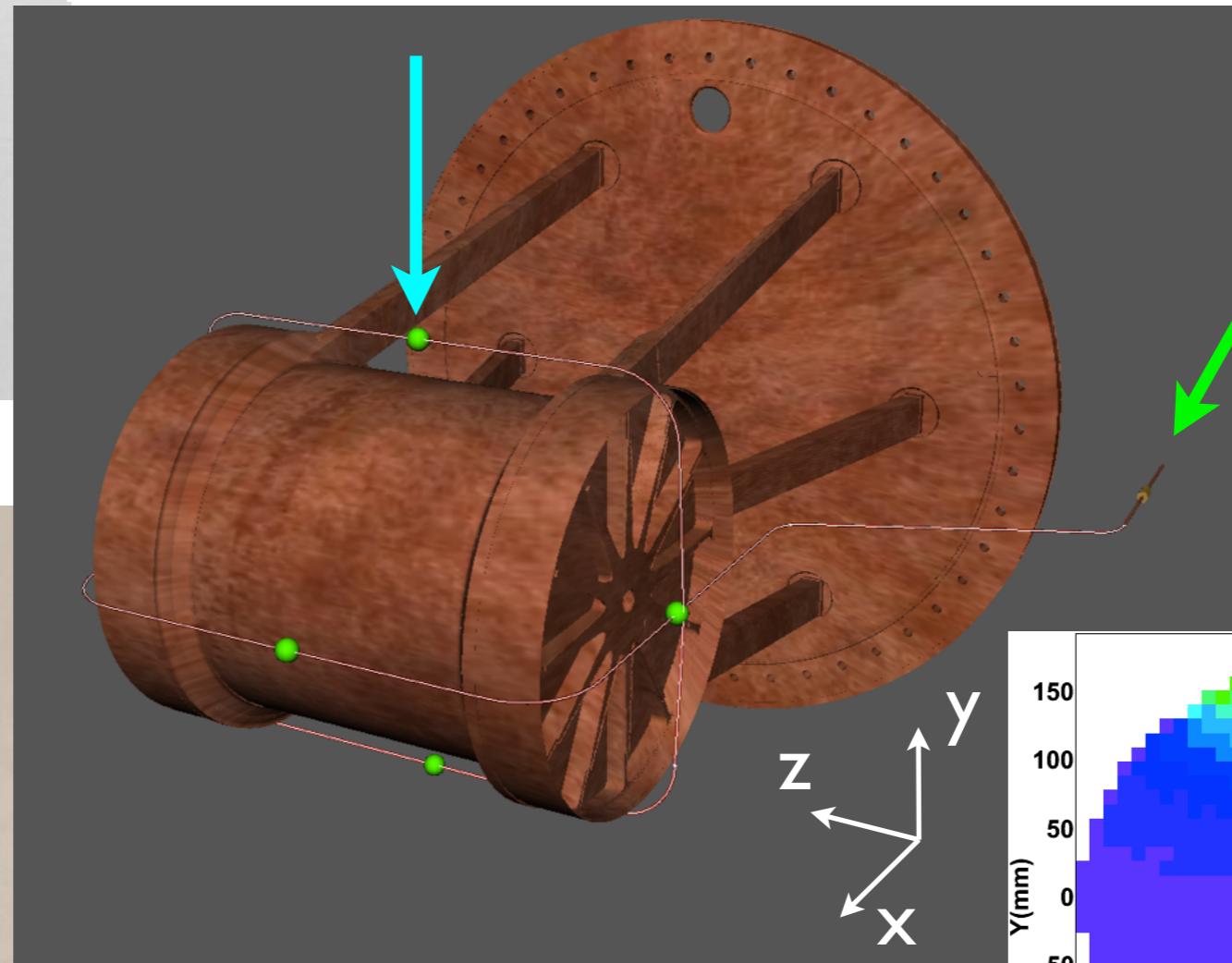
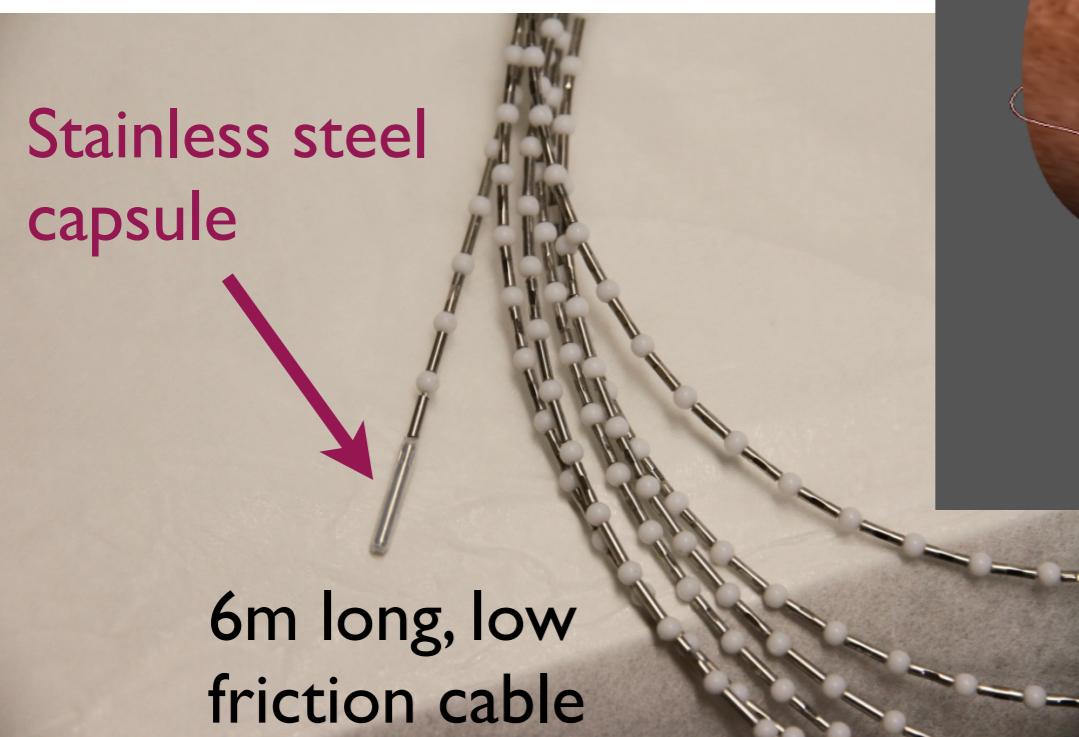
# Calibration System

Miniaturized sources

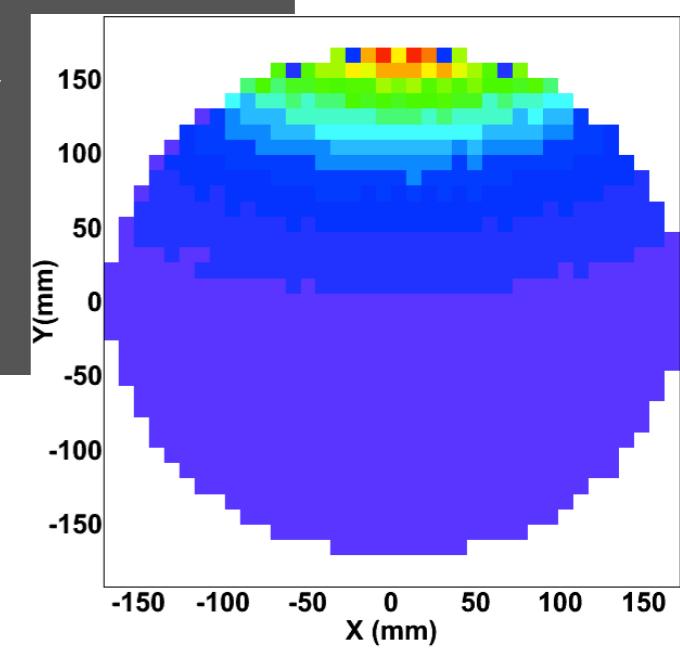


Source	Weak (kBq)	Strong (kBq)
60-Co	3.0	15.0
137-Cs	0.5	7.2
228-Th	1.5	38.0

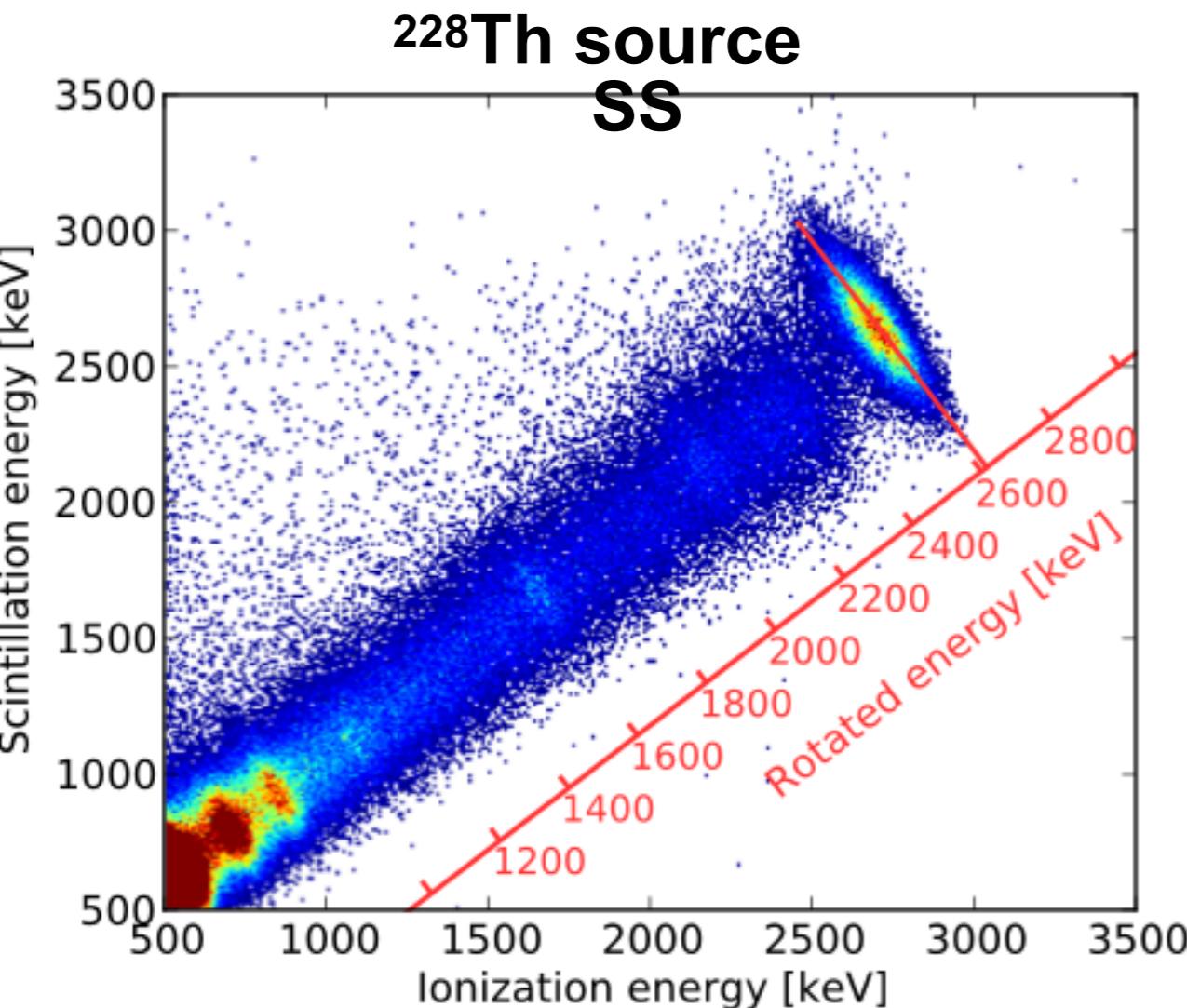
new  $^{226}\text{Ra}$   
source also  
added



Provide 4 full energy  
deposition peaks in the  
energy range  
 $662 \text{ keV} - 2615 \text{ keV}$



# Energy Resolution



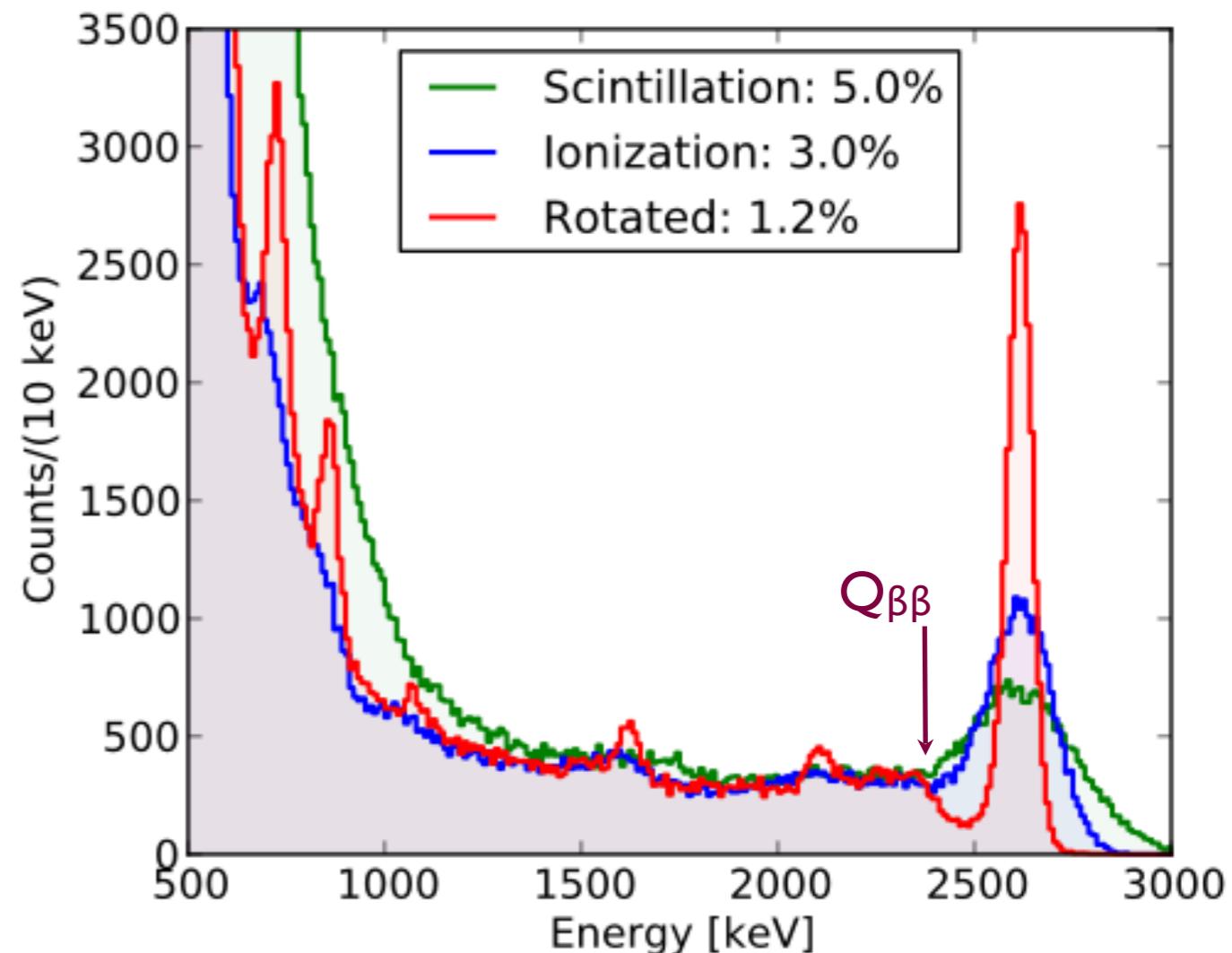
**EXO-200 has achieved  $\sim 1.25\%$  energy resolution at the Q value.**

**nEXO will reach resolution  $< 1\%$ , sufficient to suppress background from  $2\nu\beta\beta$ .**

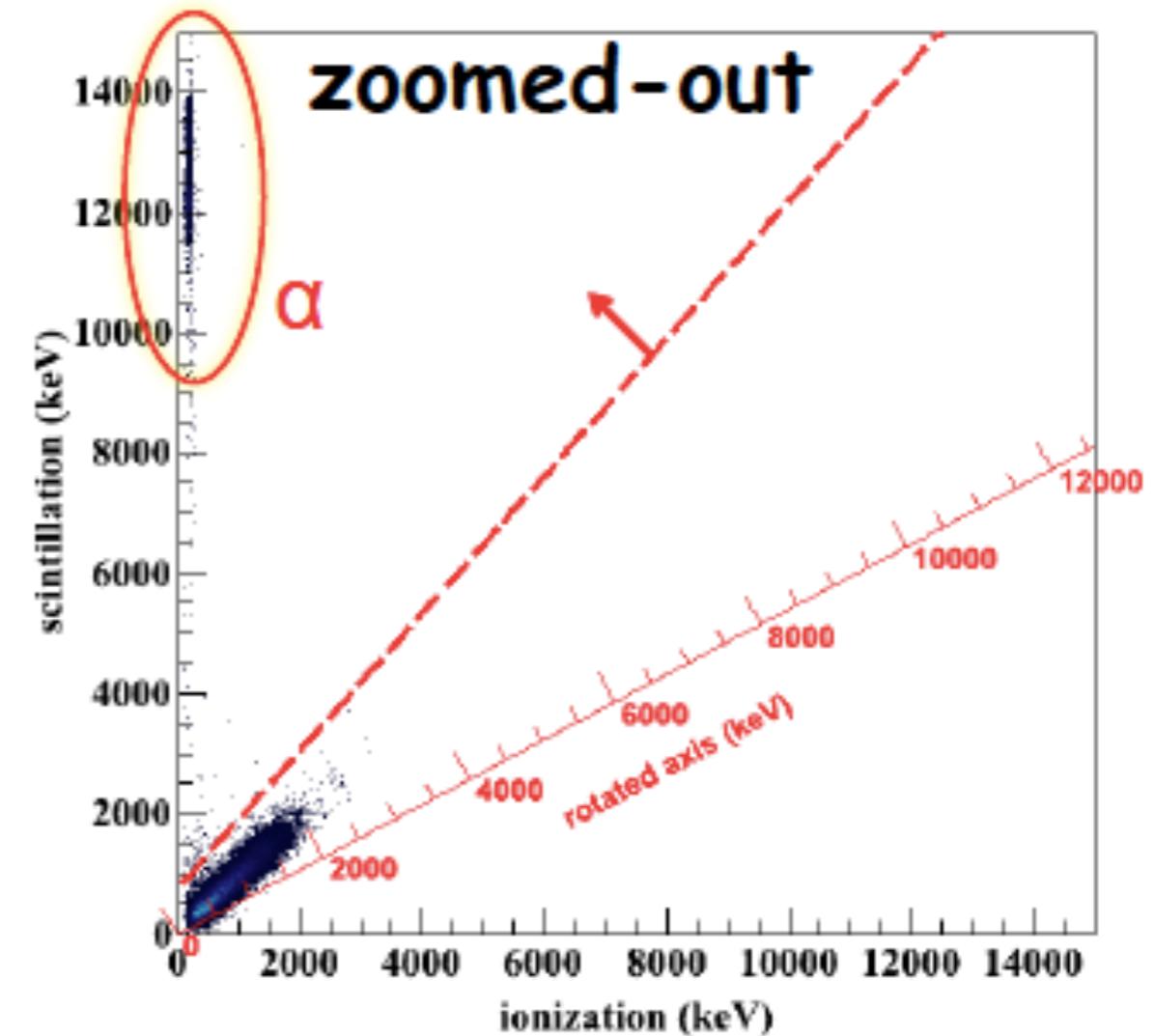
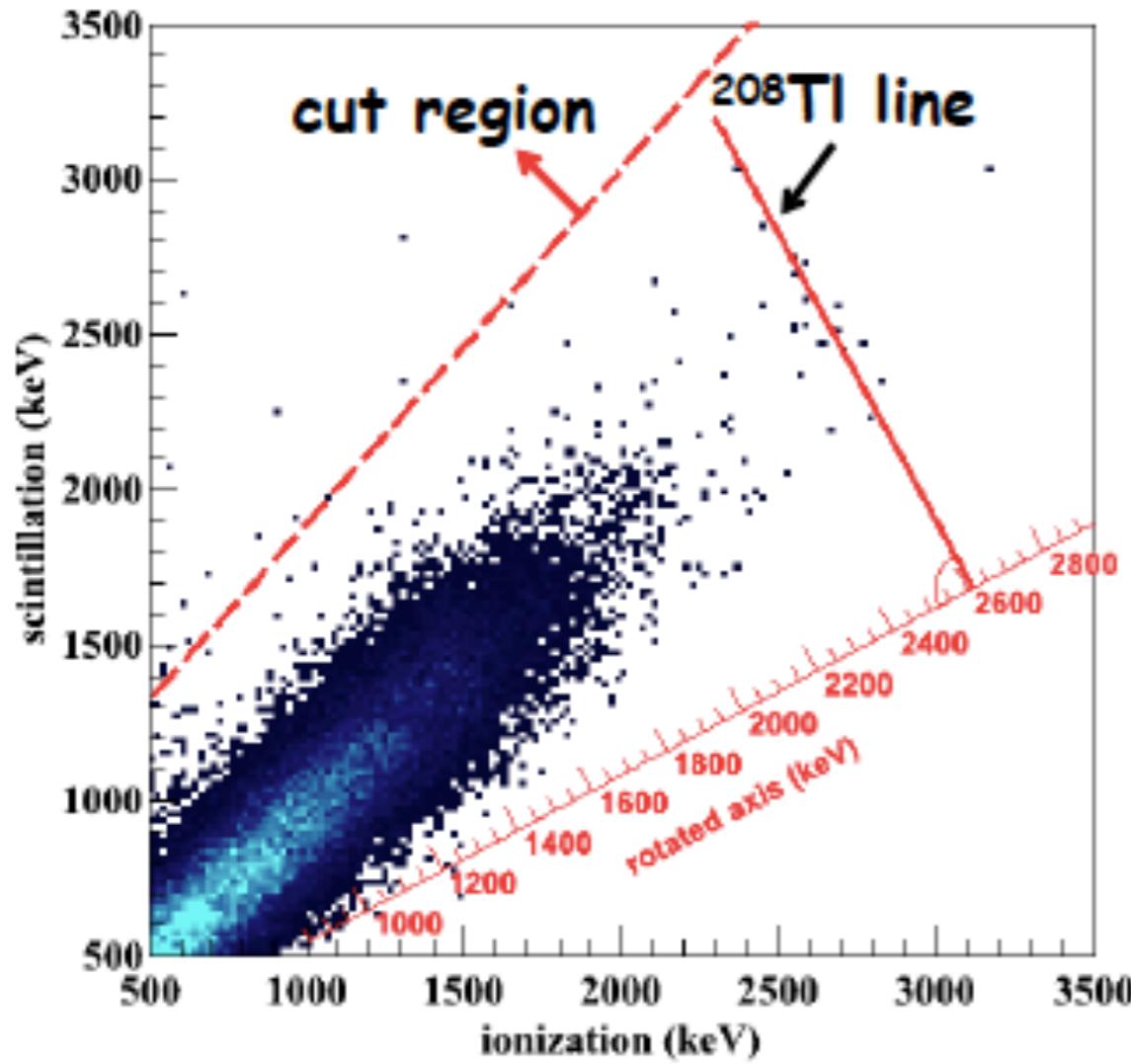
**Combining Ionization and Scintillation energy to enhance energy resolution**

**Anti-correlation between scintillation and ionization in LXe known since early EXO R&D**

(E. Conti et al. Phys Rev B 68 (2003) 054201)



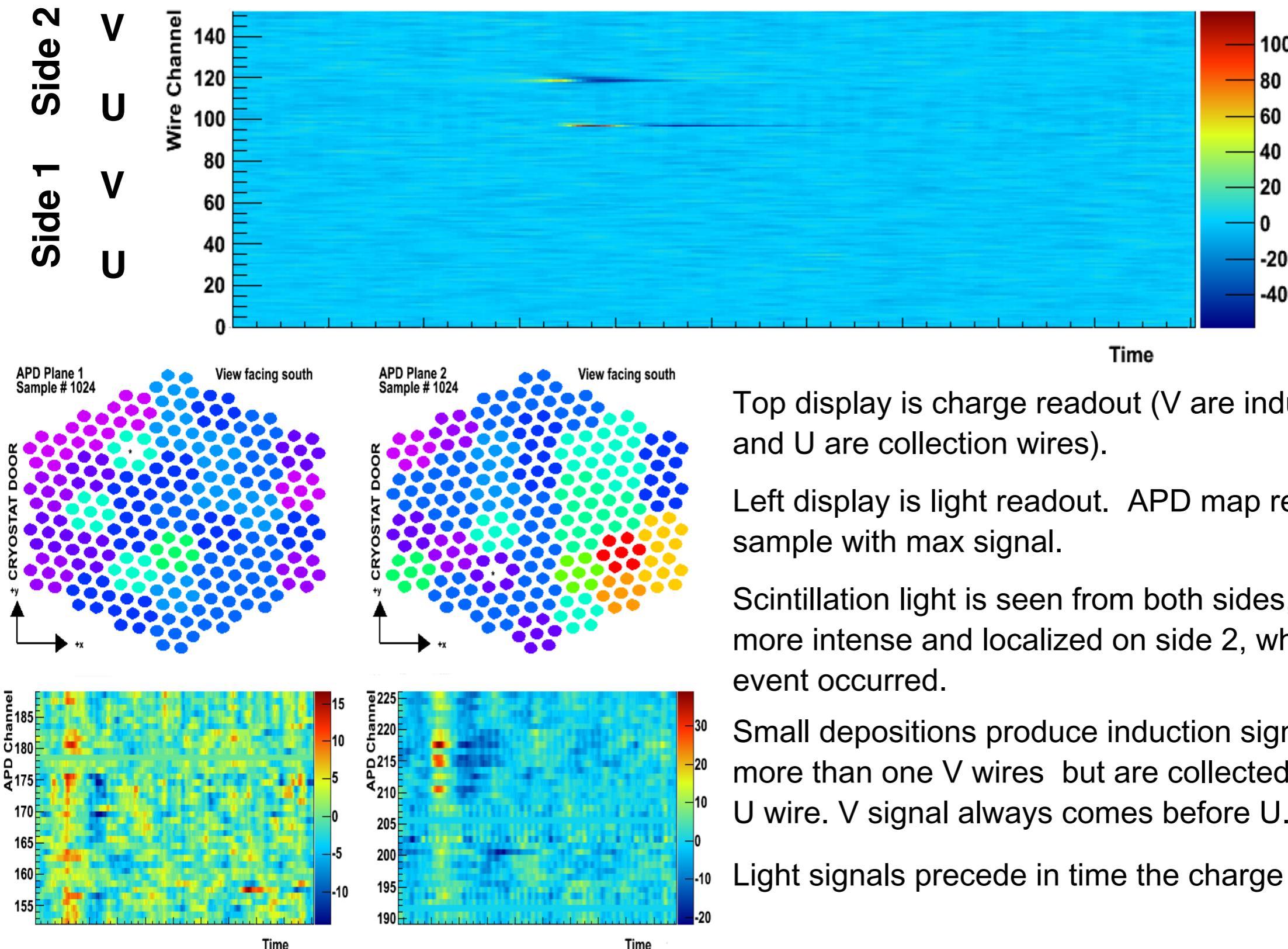
# Alpha Identification



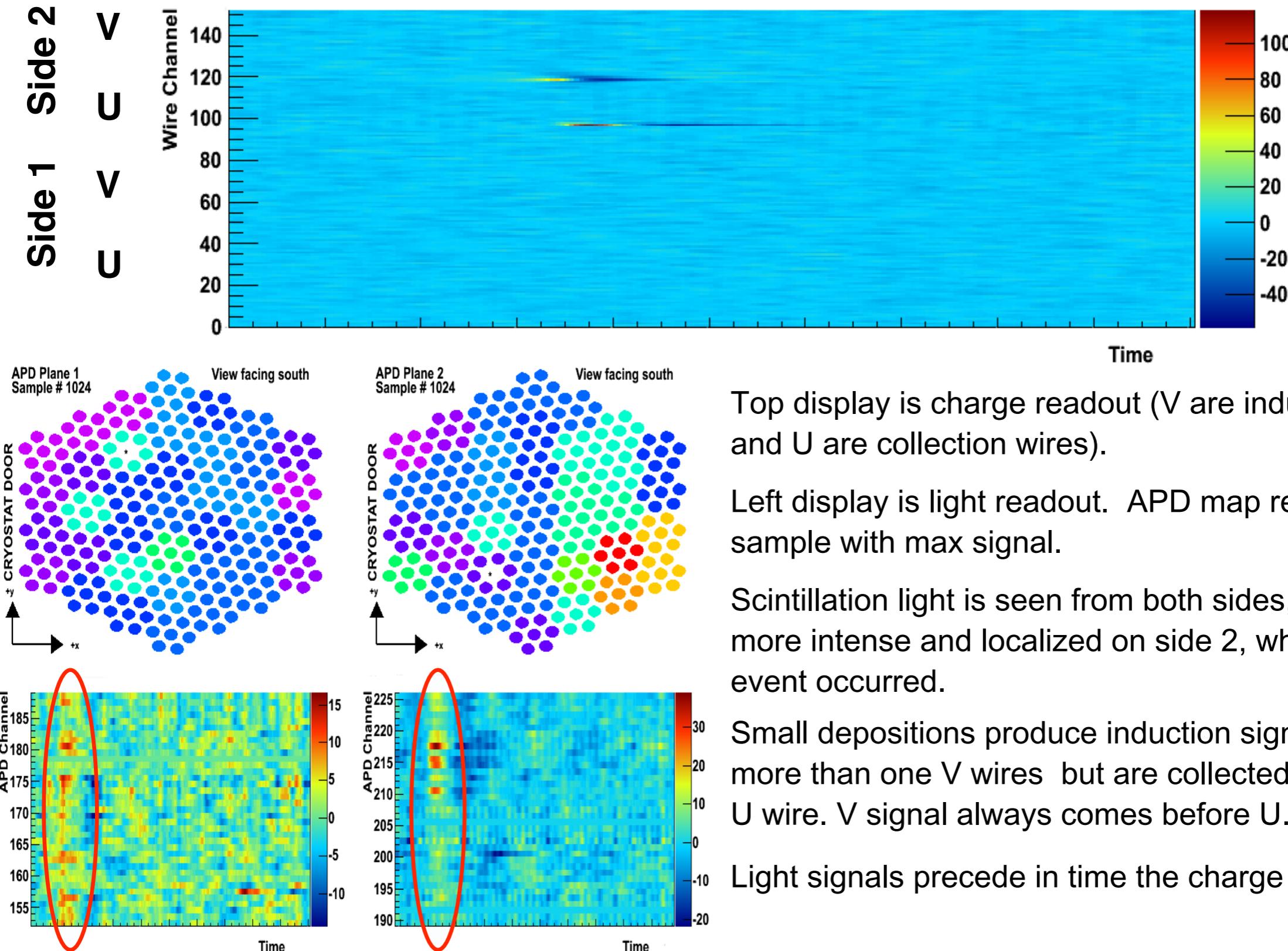
a diagonal cut (large scintillation, low charge) eliminates:

- 1) alphas
- 2) edge events (partial charge collection)

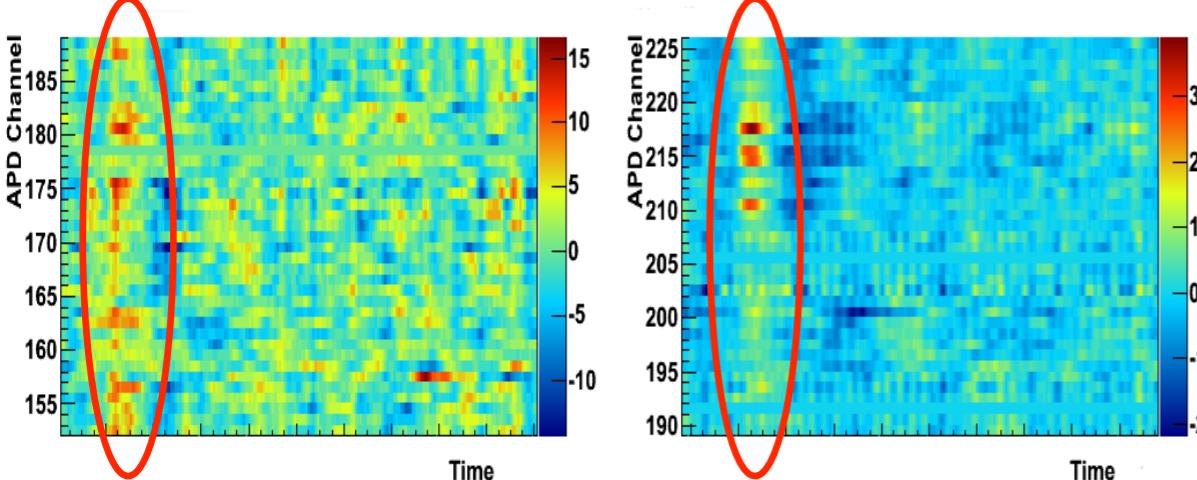
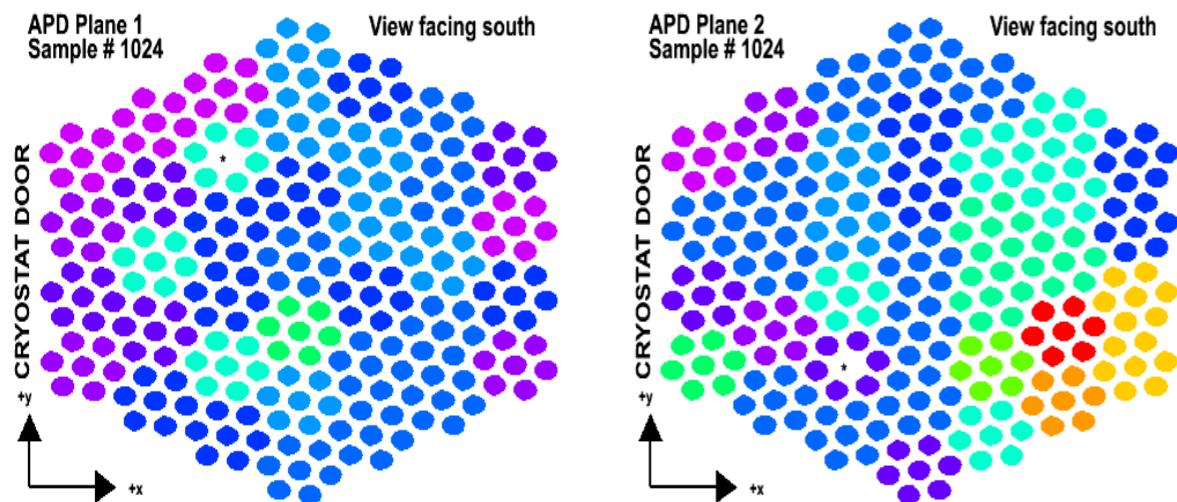
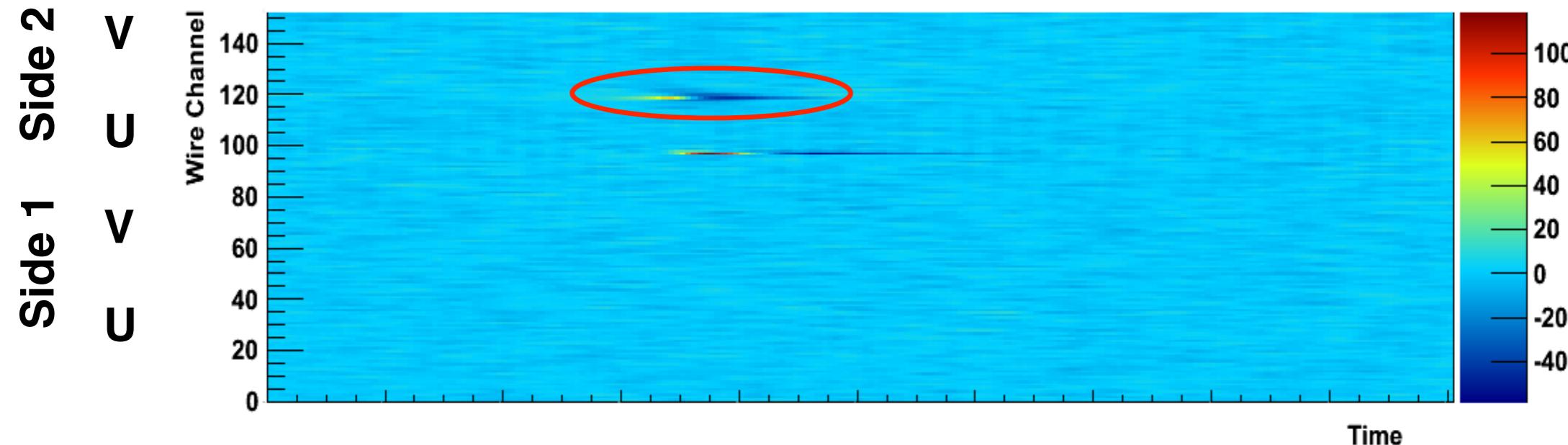
# Single Site Event



# Single Site Event

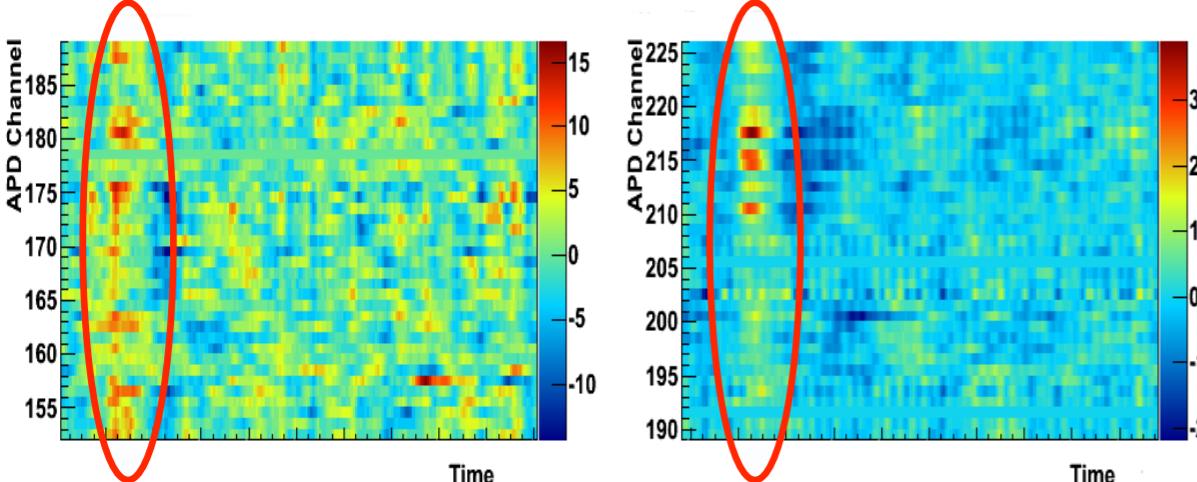
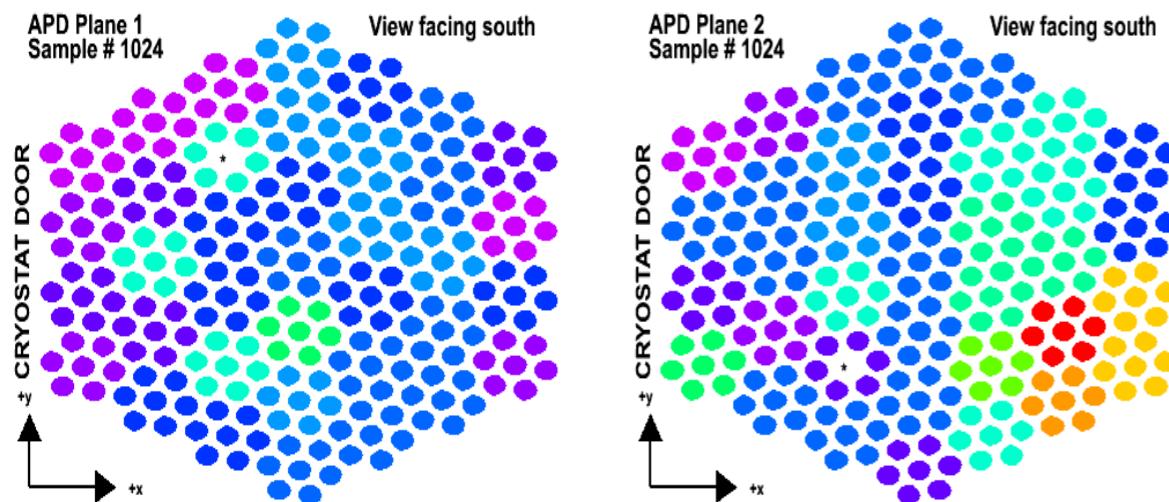
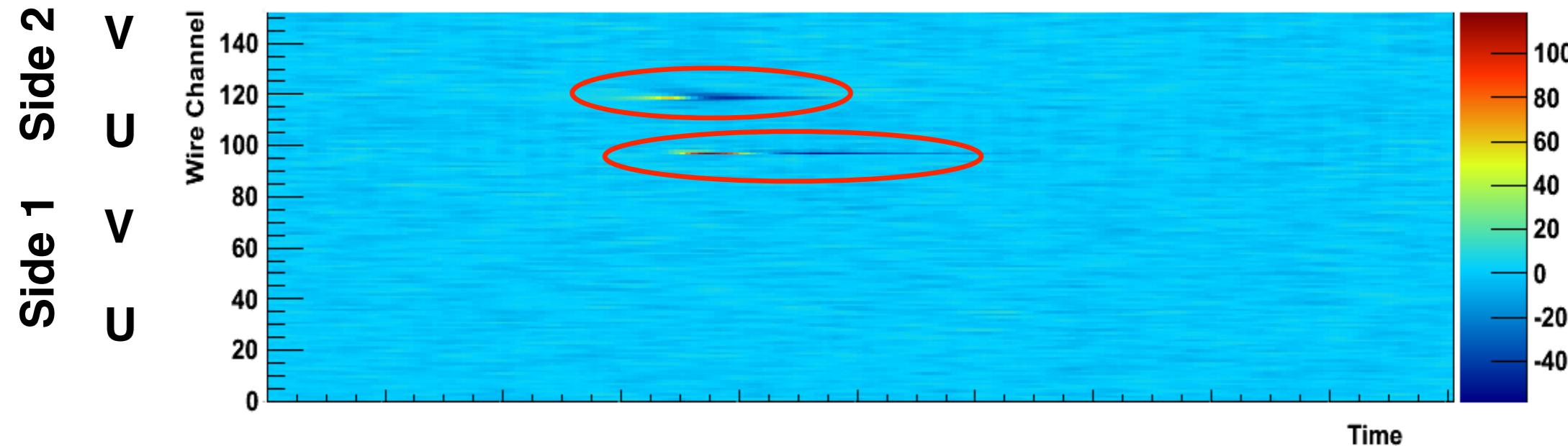


# Single Site Event



Light signals precede in time the charge ones

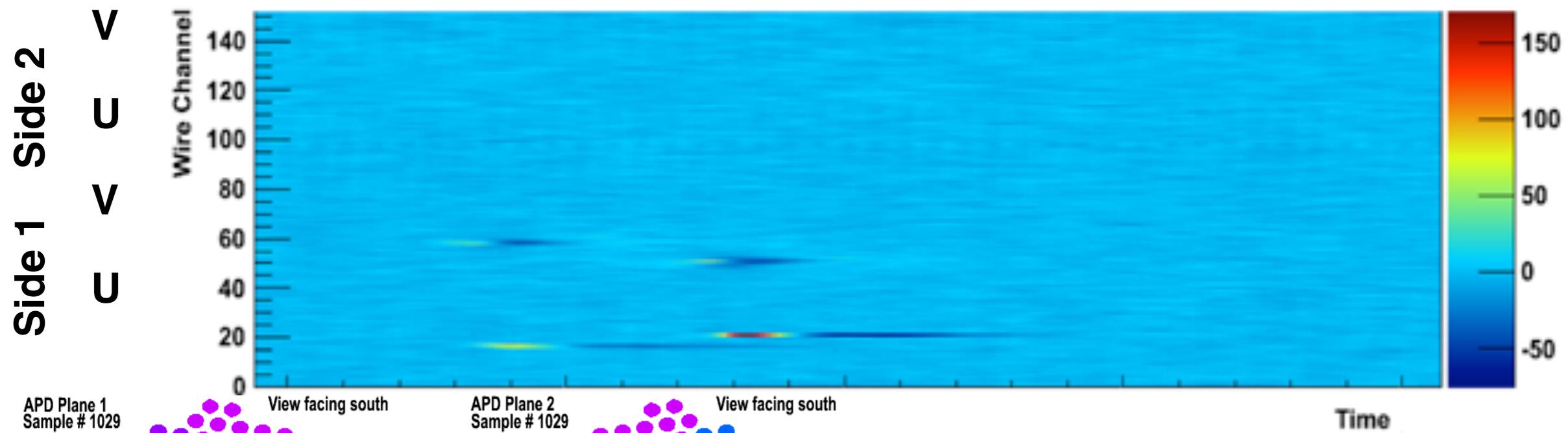
# Single Site Event



Small depositions produce induction signals on more than one V wires but are collected by a single U wire. V signal always comes before U.

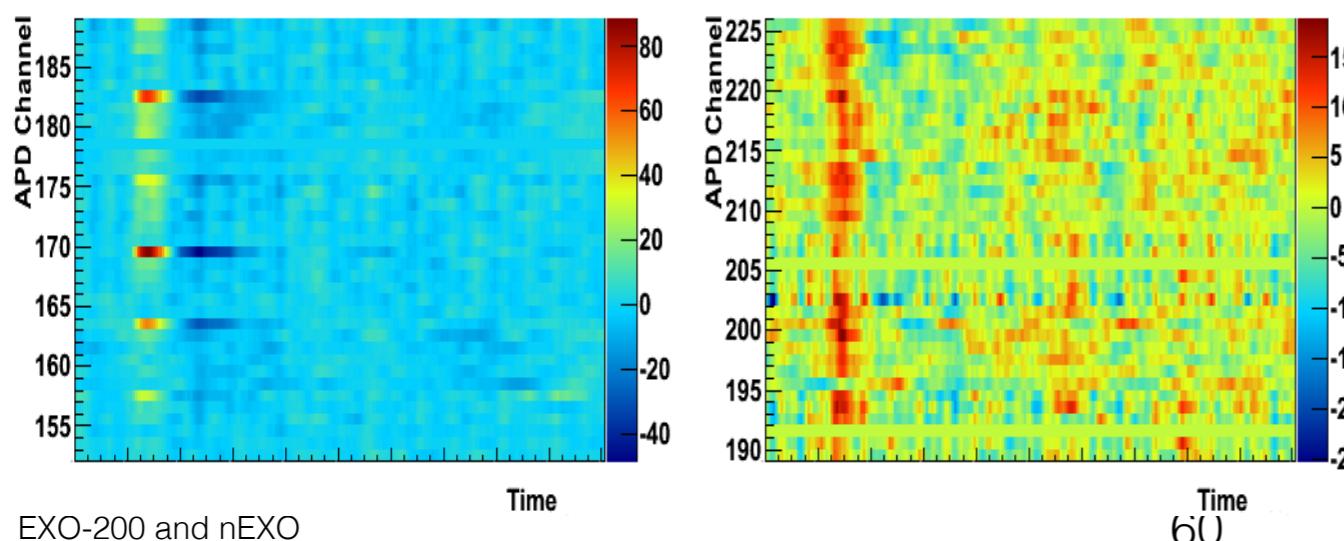
Light signals precede in time the charge ones

# Two-Site Compton Event

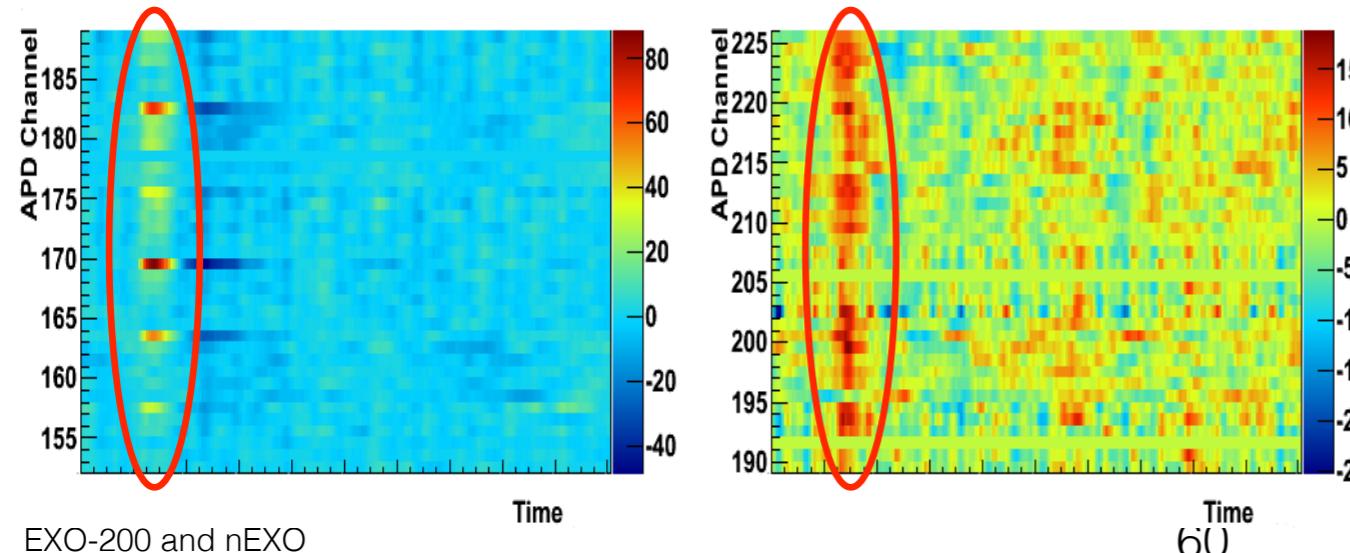
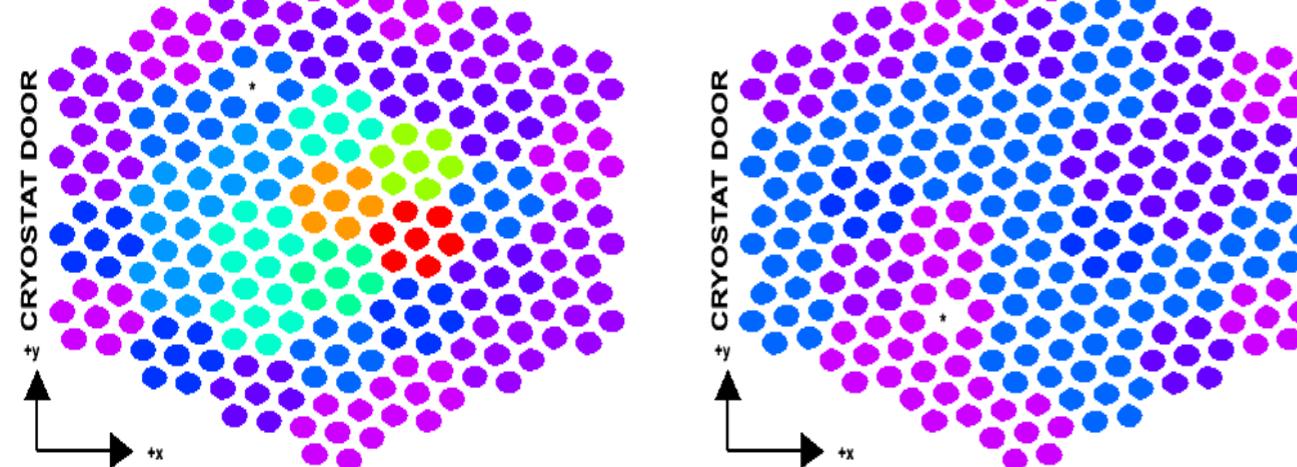
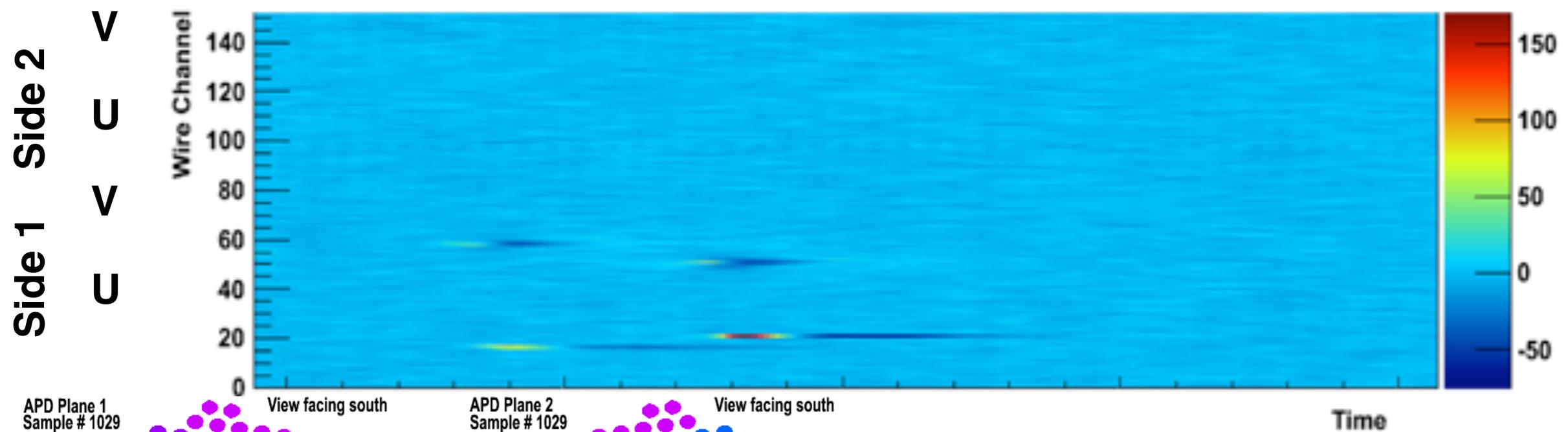


All scintillation light arrives at the same time, indicating that the two energy depositions are simultaneous.

The scintillation light is brighter and more localized on Side 1 where the scattering occurs



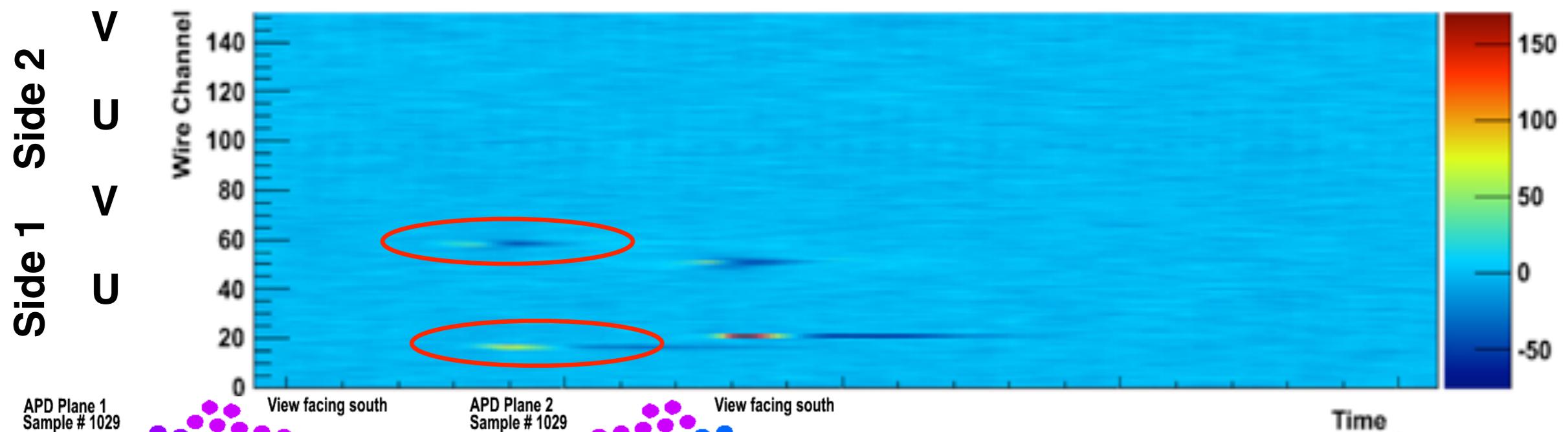
# Two-Site Compton Event



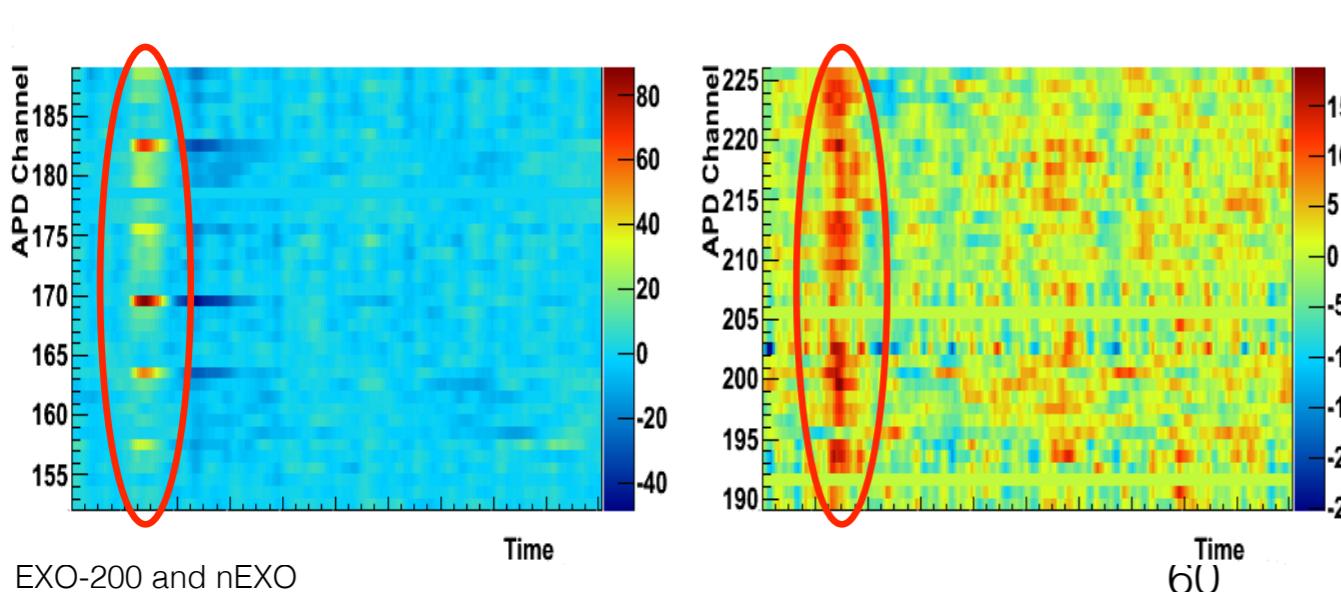
All scintillation light arrives at the same time, indicating that the two energy depositions are simultaneous.

The scintillation light is brighter and more localized on Side 1 where the scattering occurs

# Two-Site Compton Event

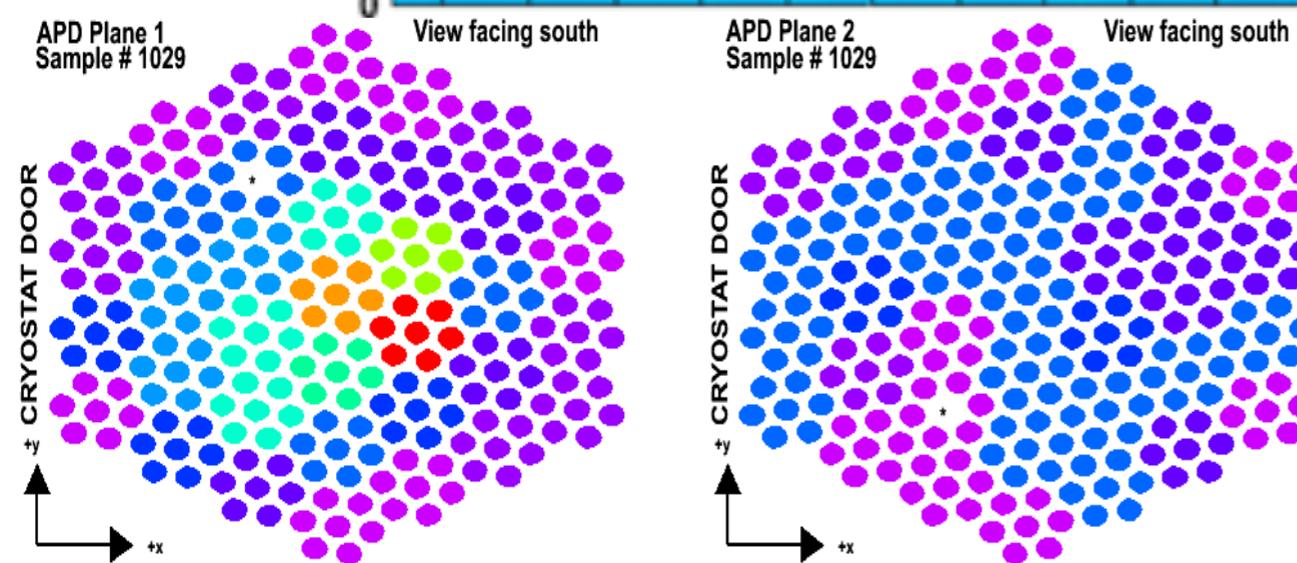
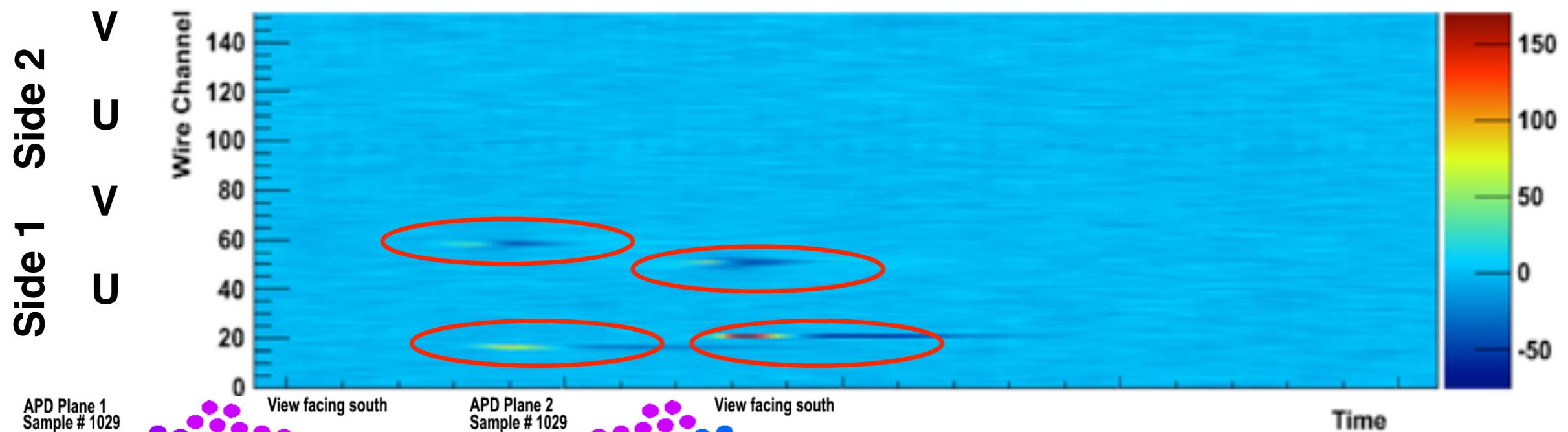


All scintillation light arrives at the same time, indicating that the two energy depositions are simultaneous.

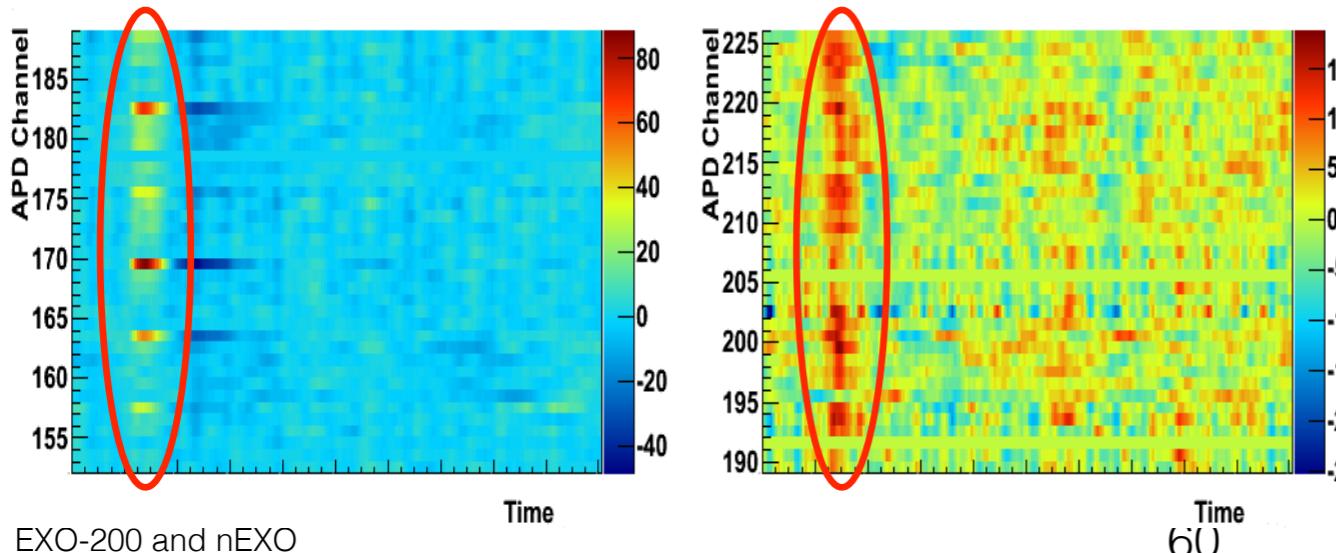


The scintillation light is brighter and more localized on Side 1 where the scattering occurs

# Two-Site Compton Event



All scintillation light arrives at the same time, indicating that the two energy depositions are simultaneous.



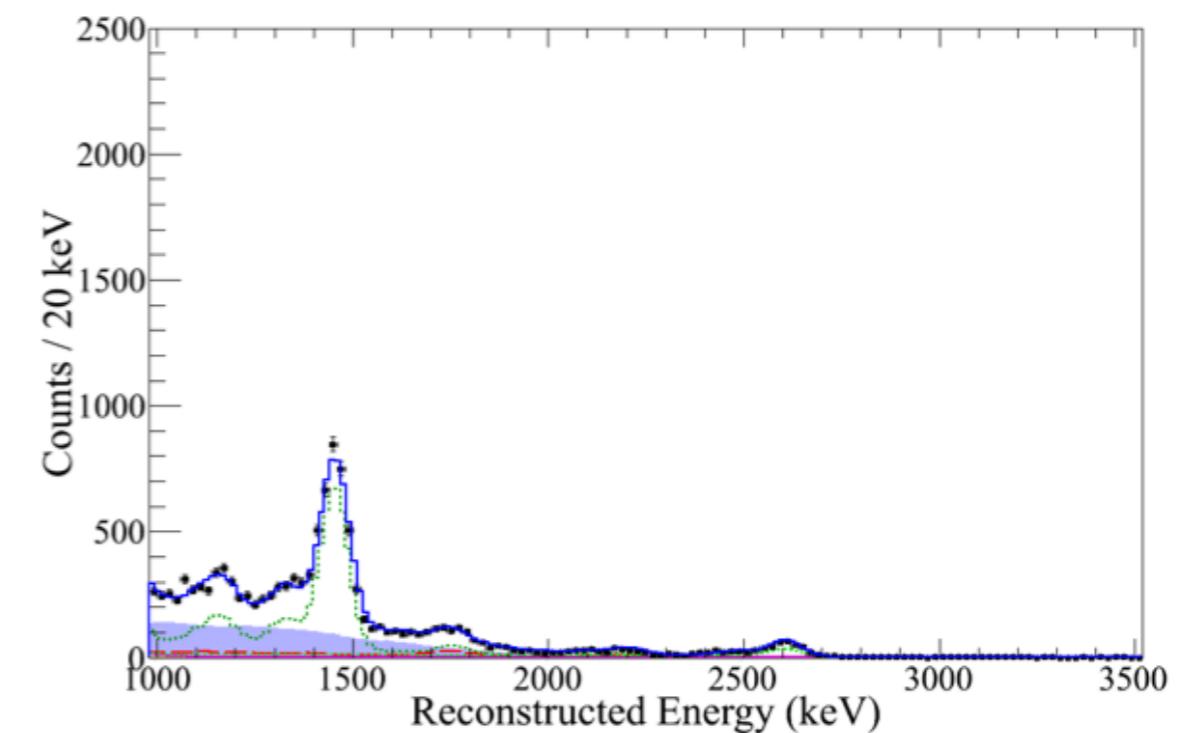
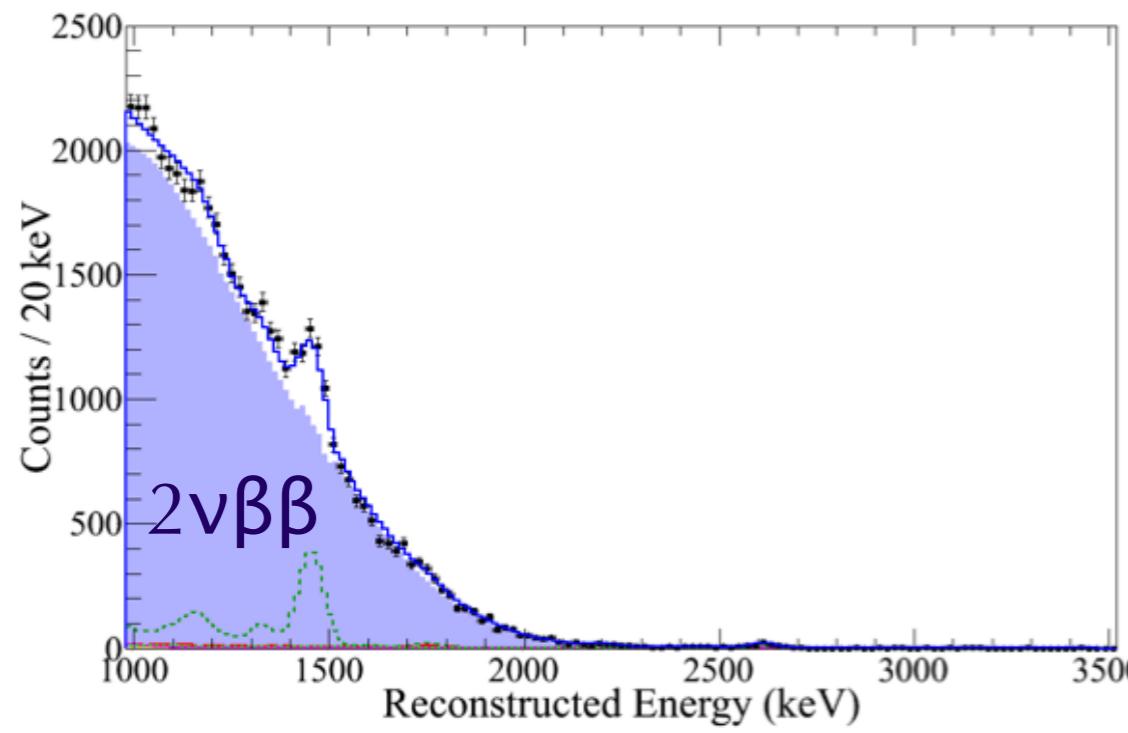
The scintillation light is brighter and more localized on Side 1 where the scattering occurs

# Event Multiplicity

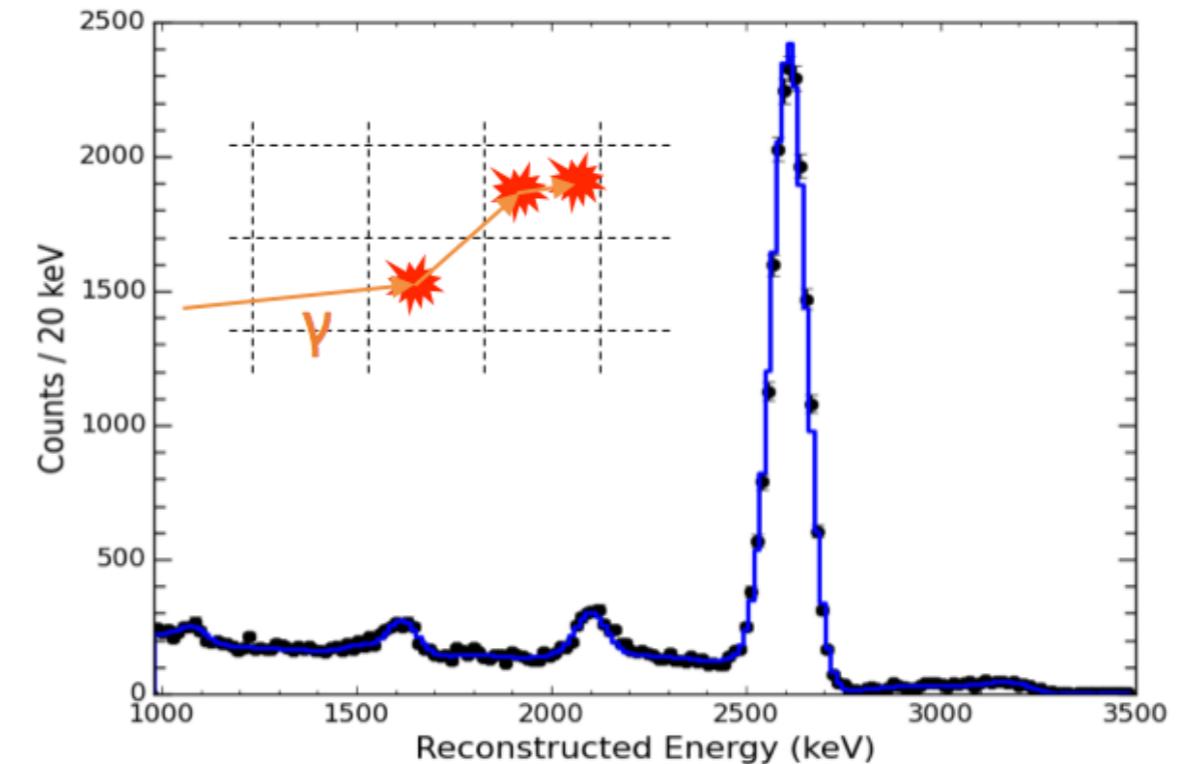
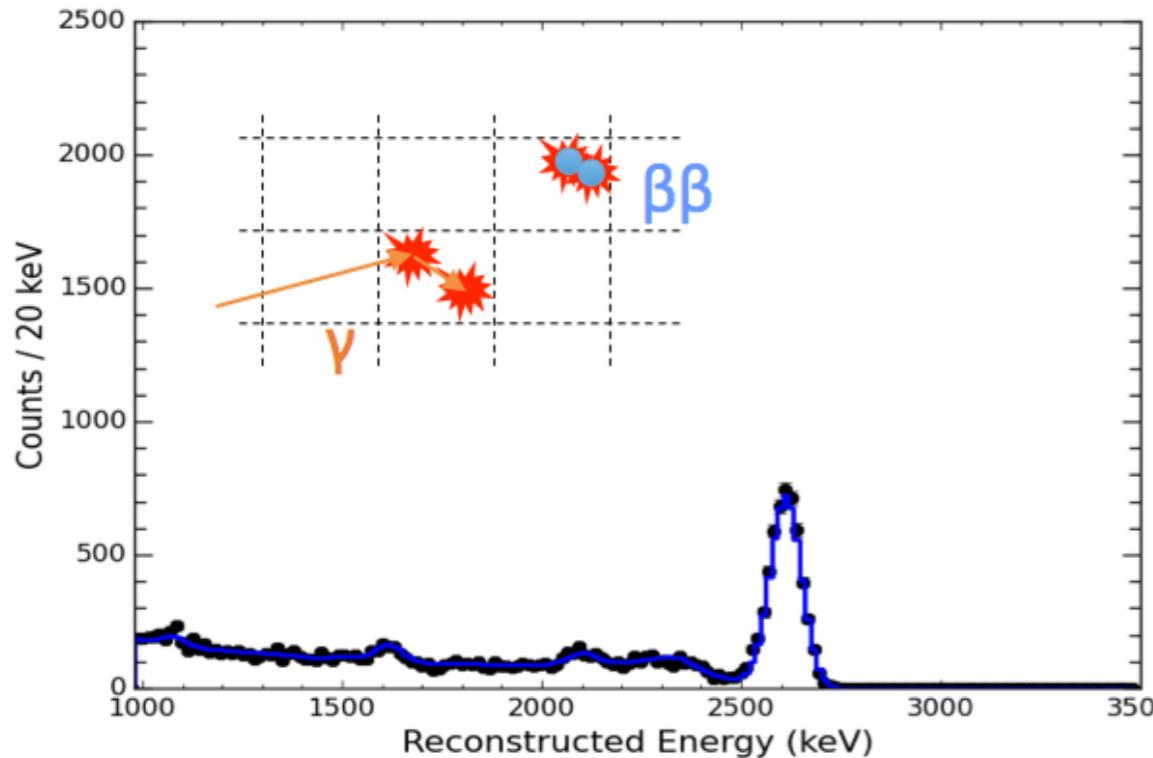
Single Site (SS)

Multiple Site (MS)

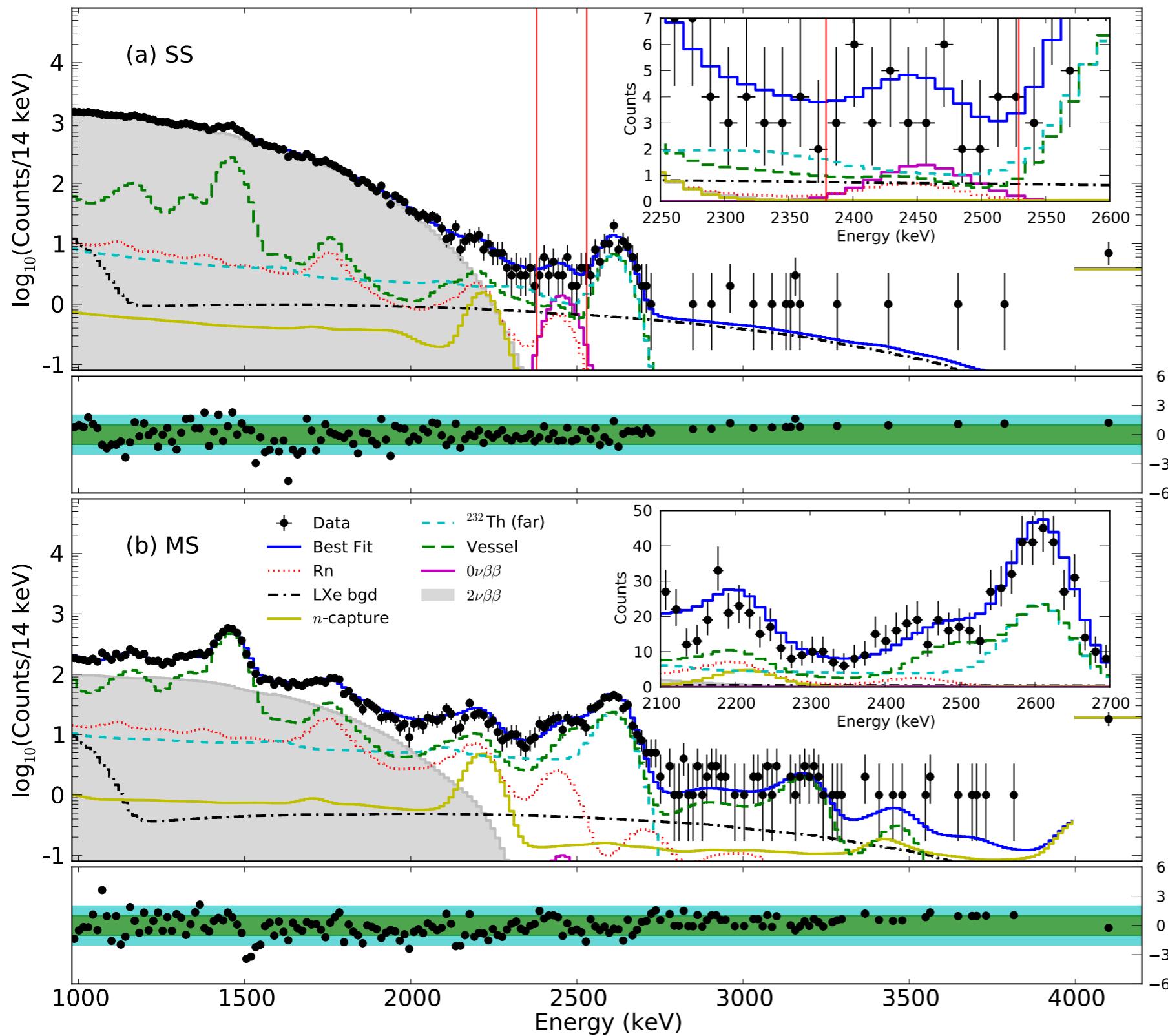
Low Background Data



$^{228}\text{Th}$  Calibration Source



# EXO-200 2014 Result



$T_{1/2}^{0\nu\beta\beta} > 1.1 \cdot 10^{25} \text{ yr}$   
(90% CL)

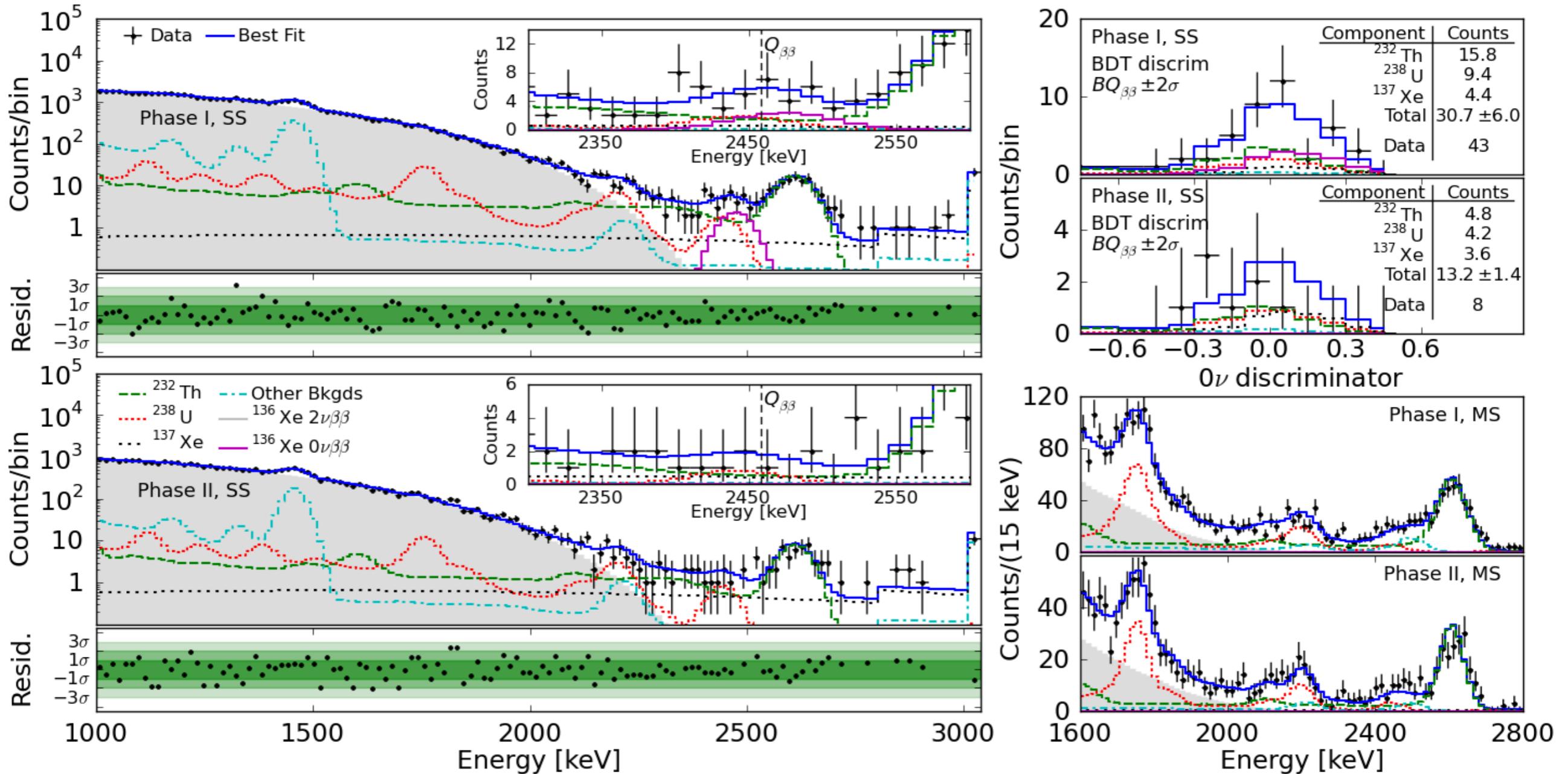
$\langle m_\nu \rangle < 190 - 450 \text{ meV}$

$T_{1/2}^{0\nu\beta\beta}$  sensitivity:

$1.9 \cdot 10^{25} \text{ yr}$

J.B.Albert et al.  
(EXO-200)  
Nature 510 (2014) 229

# Phase-II 2018 Result



- background model + data → maximum likelihood fit
- combine Phase-I and Phase-II profiles
- no statistically significant effect (combined p-value  $\sim 1.5\sigma$ )

# Main Result Summary

median sensitivity (90% C.L.)  
 $3.7 \times 10^{25}$  yr  
 (Phase 1 & 2 combined)

90% C.L. limits

$T^{0\nu\beta\beta}_{1/2} > 1.8 \times 10^{25}$  yr

$m_{\beta\beta} < 147\text{-}398$  meV

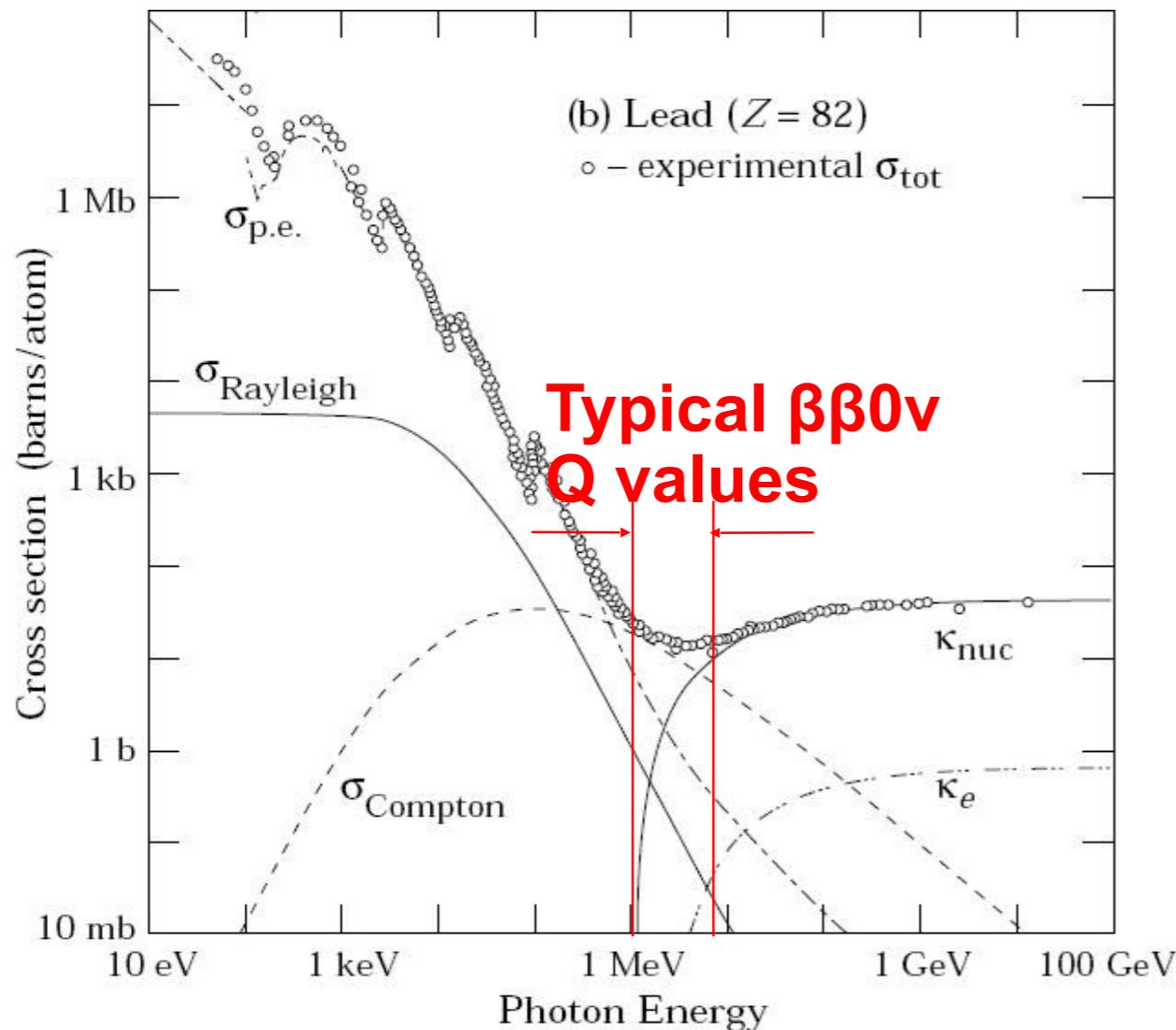
	<i>Phase-I</i>	<i>Phase-II</i>
exposure	122 kg-yr 898 mol-yr 596.7 d	55.6 kg-yr 409 mol-yr 271.8 d
$BQ \pm 2\sigma$	<i>cts</i>	<i>cts</i>
$^{232}\text{Th}$	15.8	4.8
$^{238}\text{U}$	9.4	4.2
$^{137}\text{Xe}$	4.4	3.6
Total	$30.7 \pm 6.0$	$13.2 \pm 1.4$
Data	43	8
sensitivity	$2.9 \times 10^{25}$ yr	$1.7 \times 10^{25}$ yr
$0\nu\beta\beta$ lifetime limit	$1.0 \times 10^{25}$ yr	$4.4 \times 10^{25}$ yr
1D bg index	1.5 /tonne/yr/keV	1.6 /tonne/yr/keV

# **The Future: nEXO**

Shielding a detector from gammas is difficult!

# Gamma Shielding

Gamma interaction cross section

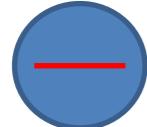


Example:  
 $\gamma$  interaction length  
in Ge is 4.6 cm,  
comparable to the size  
of a germanium detector.

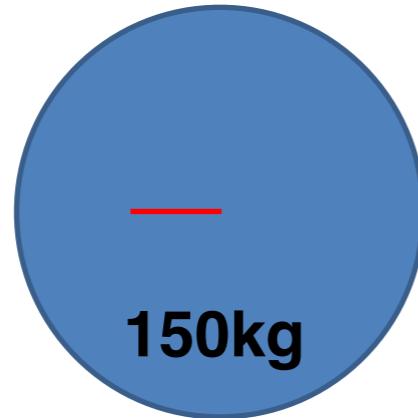
*Shielding  $\beta\beta$  decay detectors is much harder  
than shielding Dark Matter ones*

*We are entering the “golden era” of  $\beta\beta$  decay  
experiments as detector sizes exceed int lengths*

# Towards the Ton Scale



5kg



150kg

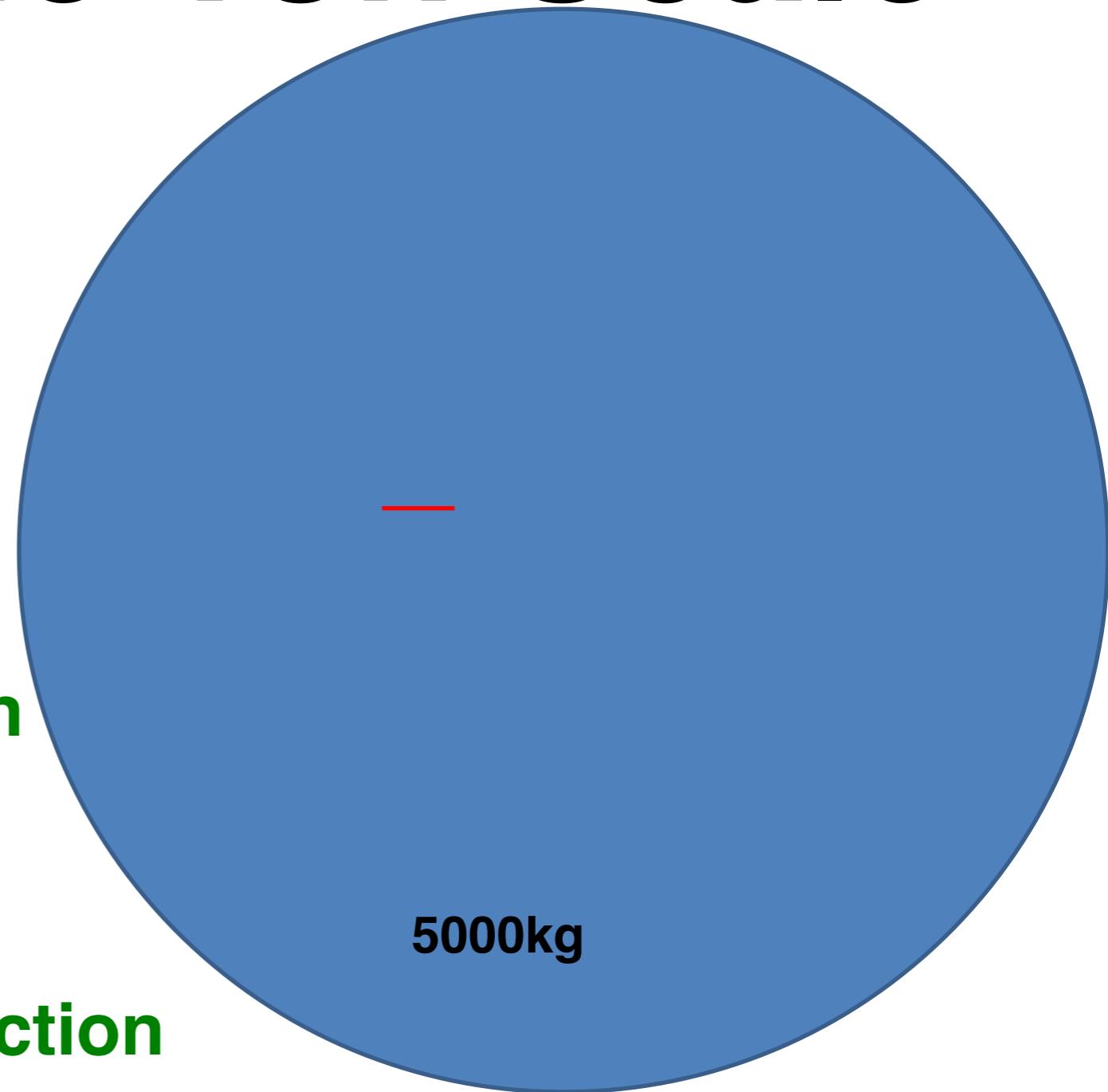
— Att. Length of 2.4MeV  $\gamma$

**Because one can take  
full advantage of:**

**1) Compton tag and rejection**

(if detector has double-hit  
recognition ability)

**2) External background  
identification and rejection**



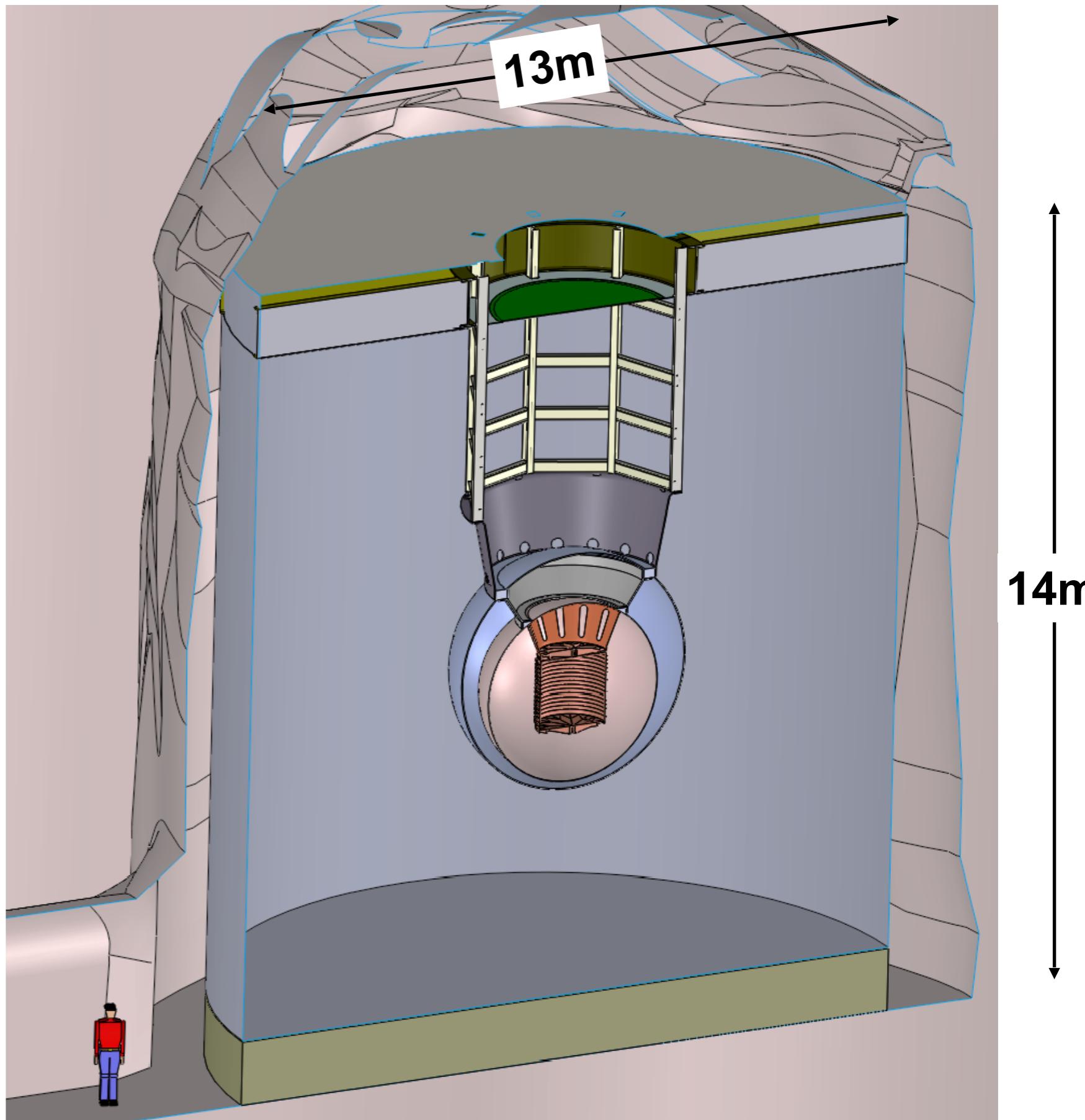
5000kg

The larger the detector the more useful this is.

→ **Ton scale is where these features become dominant.**

# nEXO Concept

Preliminary artist  
view of nEXO in  
the SNOlab Cryopit



# nEXO Collaboration

University of Alabama, Tuscaloosa AL, USA

M Hughes, O Nusair, I Ostrovskiy, A Piepke, AK Soma, V Veeraraghavan

University of Bern, Switzerland — J-L Vuilleumier

Brookhaven National Laboratory, Upton NY, USA

M Chiu, G Giacomini, V Radeka, E Raguzin, S Rescia, T Tsang

University of California, Irvine, Irvine CA, USA — M Moe

California Institute of Technology, Pasadena CA, USA — P Vogel



Carleton University, Ottawa ON, Canada

I Badhrees, R Gomea, C Jessiman, T Koffas, D Sinclair, B Veenstra, J Watkins

Colorado State University, Fort Collins CO, USA

C Chambers, A Craycraft, D Fairbank, W Fairbank Jr, A Iverson, J Todd

Drexel University, Philadelphia PA, USA

MJ Dolinski, P Gautam, E Hansen, YH Lin, E Smith, Y-R Yen

Duke University, Durham NC, USA — PS Barbeau

Friedrich-Alexander-University Erlangen, Nuremberg, Germany

G Anton, J Hoessl, T Michel, M Wagenpfeil, T Ziegler

IBS Center for Underground Physics, Daejeon, South Korea — DS Leonard

IHEP Beijing, People's Republic of China

G Cao, W Cen, Y Ding, X Jiang, Z Ning, X Sun, T Tolba, W Wei, L Wen, W Wu, X Zhang, J Zhao

IME Beijing, People's Republic of China — L Cao, X Jing, Q Wang

ITEP Moscow, Russia

V Belov, A Burenkov, A Karelkin, A Kobyakin, A Kuchenkov, V Stekhanov, O Zeldovich

University of Illinois, Urbana-Champaign IL, USA — D Beck, M Coon, J Echevers, S Li, L Yang

Indiana University, Bloomington IN, USA — JB Albert, SJ Daugherty, G Visser

Lawrence Livermore National Laboratory, Livermore CA, USA

J Brodsky, M Heffner, A House, S Sangiorgio, T Stiegler

University of Massachusetts, Amherst MA, USA — S Feyzbakhsh, D Kodroff, A Pocar, M Tarka

McGill University, Montreal QC, Canada

T Brunner, K Murray, T Totlev, L Darroch, S Al Kharusi, T McElroy

University of North Carolina, Wilmington, USA — T Daniels



Laurentian University, Sudbury ON, Canada

B Cleveland, A Der Mesrobian-Kabakian, J Farine, C Licciardi, A Robinson, U Wichoski

Oak Ridge National Laboratory, Oak Ridge TN, USA — L Fabris, RJ Newby

Pacific Northwest National Laboratory, Richland, WA, USA

I Arnquist, EW Hoppe, JL Orrell, G Ortega, C Overman, R Saldanha, R Tsang

Rensselaer Polytechnic Institute, Troy NY, USA — E Brown, K Odgers

Université de Sherbrooke — F Bourque, S Charlebois, M Côté, D Danovitch, H Dautet,

R Fontaine, F Nolet, S Parent, JF Pratte, T Rossignol, J Sylvestre, F Vachon

SLAC National Accelerator Laboratory, Menlo Park CA, USA

S Delaquis, A Dragone, G Haller, LJ Kaufman, B Mong, A Odian, M Oriunno, PC Rowson, K Skarpas

University of South Dakota, Vermillion SD, USA — T Bhatta, A Larson, R MacLellan

Stanford University, Stanford CA, USA — J Dalmasson, R DeVoe, D Fudenberg,

G Gratta, M Jewell, S Kravitz, G Li, M Patel, A Schubert, M Weber, S Wu

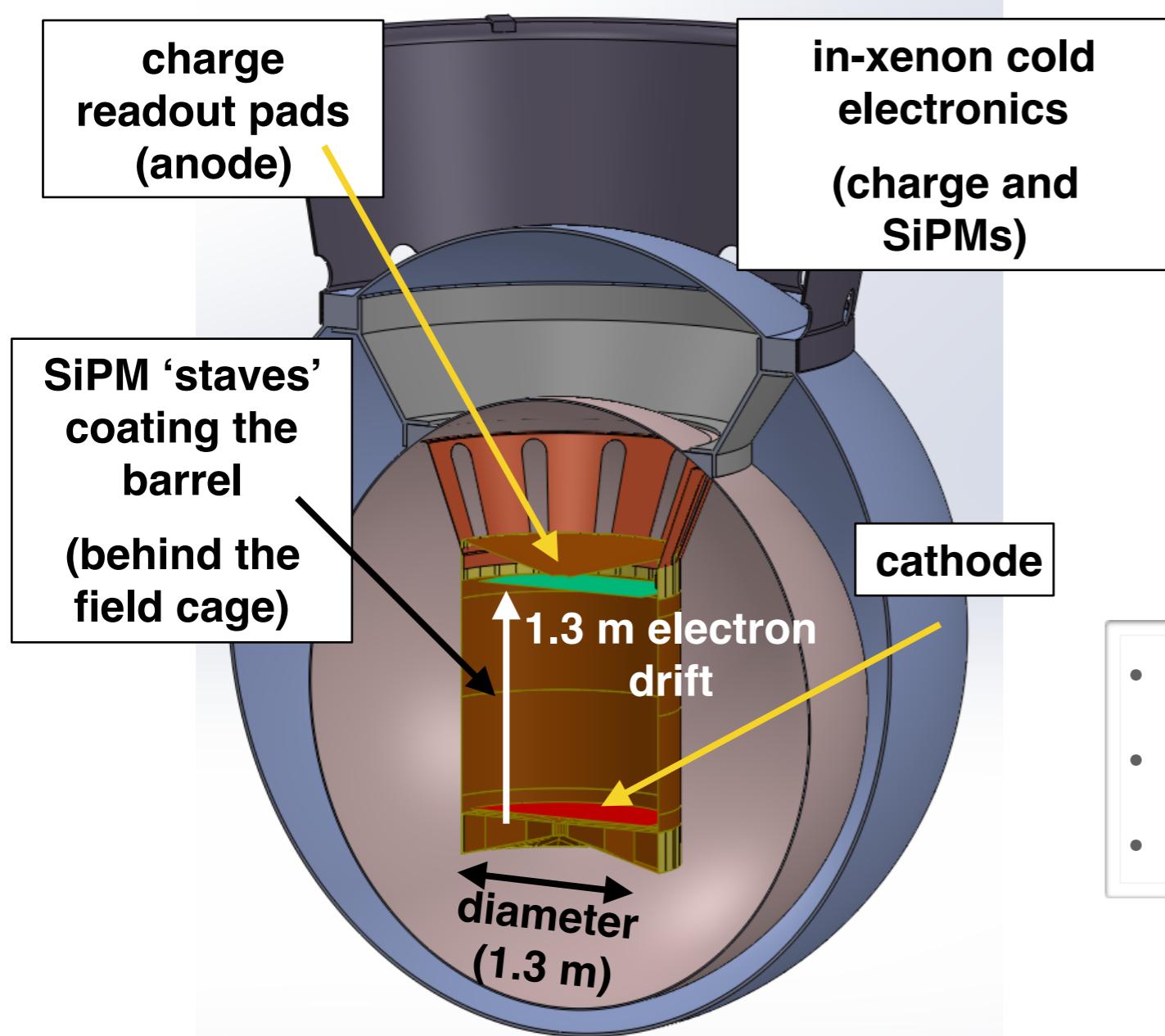
Stony Brook University, SUNY, Stony Brook NY, USA — K Kumar, O Njoya

Technical University of Munich, Garching, Germany — P Fierlinger, M Marino

TRIUMF, Vancouver BC, Canada — J Dilling, P Gumplinger, R Krücken, Y Lan, F Retière, V Strickland

Yale University, New Haven CT, USA — A Jamil, Z Li, D Moore, Q Xia

# The nEXO TPC



- 25x EXO-200
- enhanced self-shielding
- x100 better  $T_{1/2}$  sensitivity

- **sensitivity (10 years):  $9 \times 10^{27}$  yr**
- **energy, topology, standoff & particle ID**

- < 1% energy resolution
- no central cathode
- $\geq 10$  ms electron lifetime
- $\sim 500$  Rn atoms

*One essential point:*

**nEXO IS NOT A PURE CALORIMETER**

To think about nEXO exclusively in terms of  
energy resolution is misleading

**nEXO uses optimally *more than just the energy measurement.***

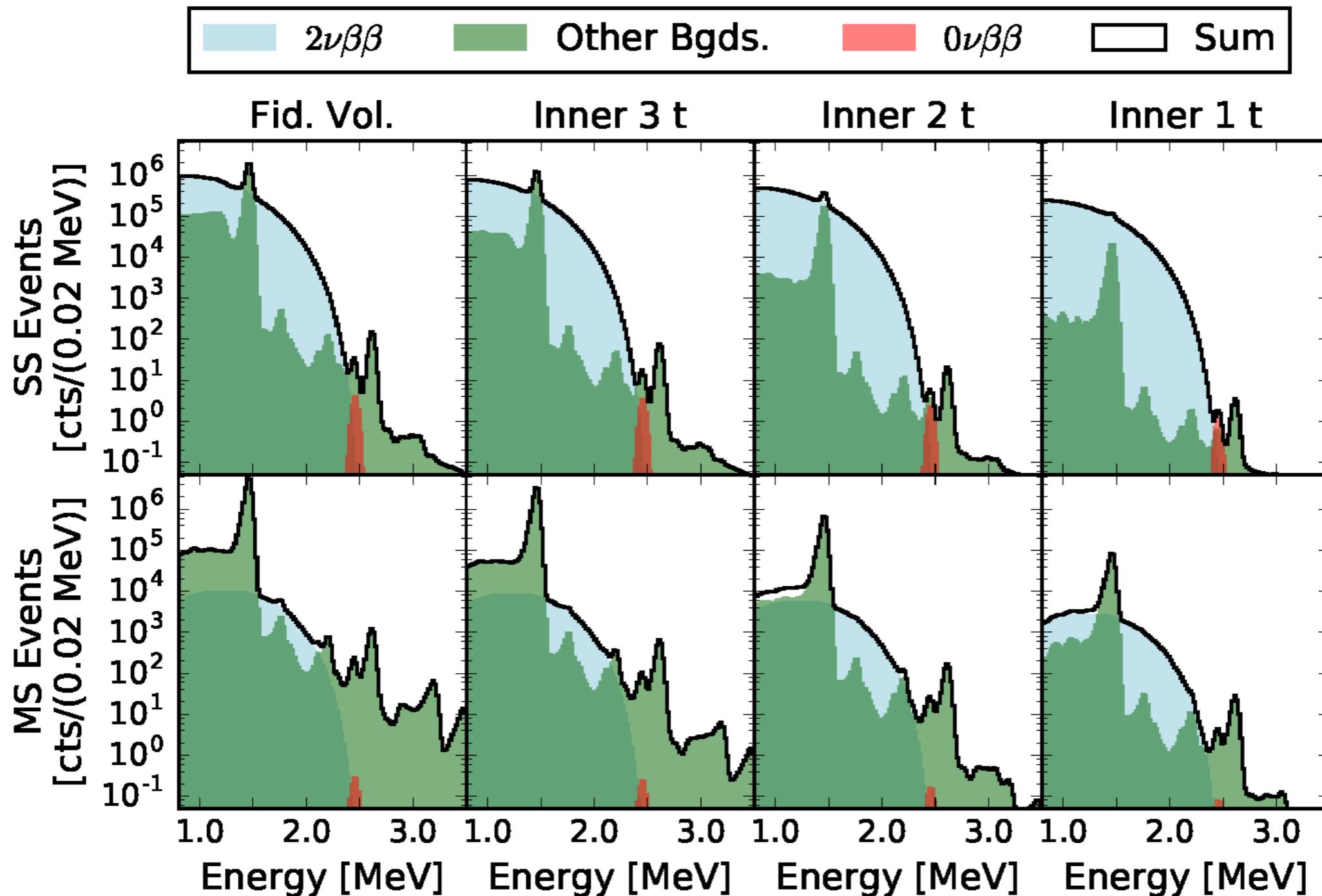
The signal/background discrimination is based on four parameters:

1. Energy measurement
2. Event multiplicity (SS/MS in EXO-200)
3. Distance from the TPC surface
4. Particle ID ( $\alpha$ -electron)

**There is no rational reason to prefer the use of an “Energy ROI” over a “topology ROI” or a “topology  $\otimes$  energy ROI”. In fact, more independent axes provide a more powerful constraint on the signal.**

# nEXO Sensitivity

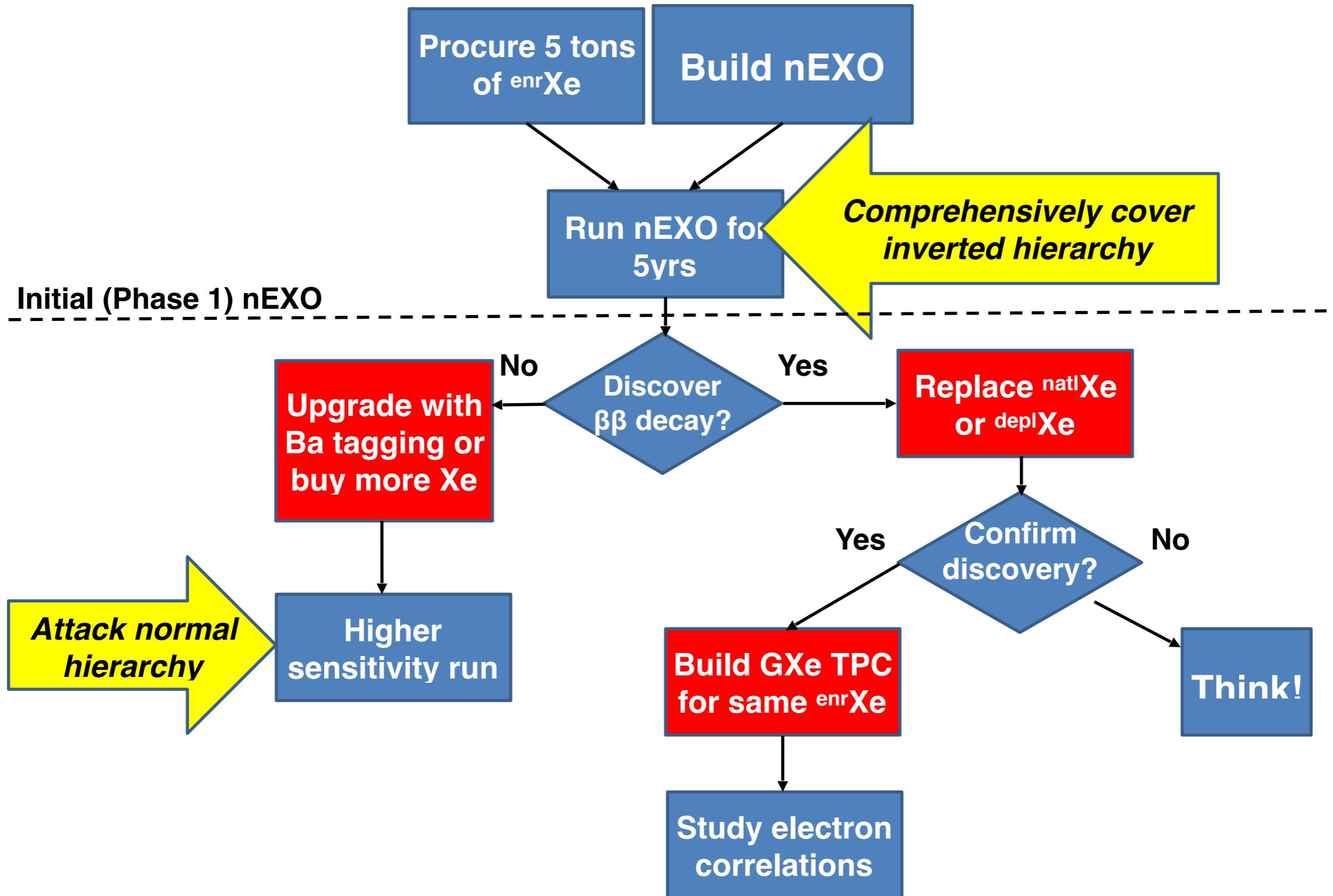
Fit using event energy, multiplicity, and position



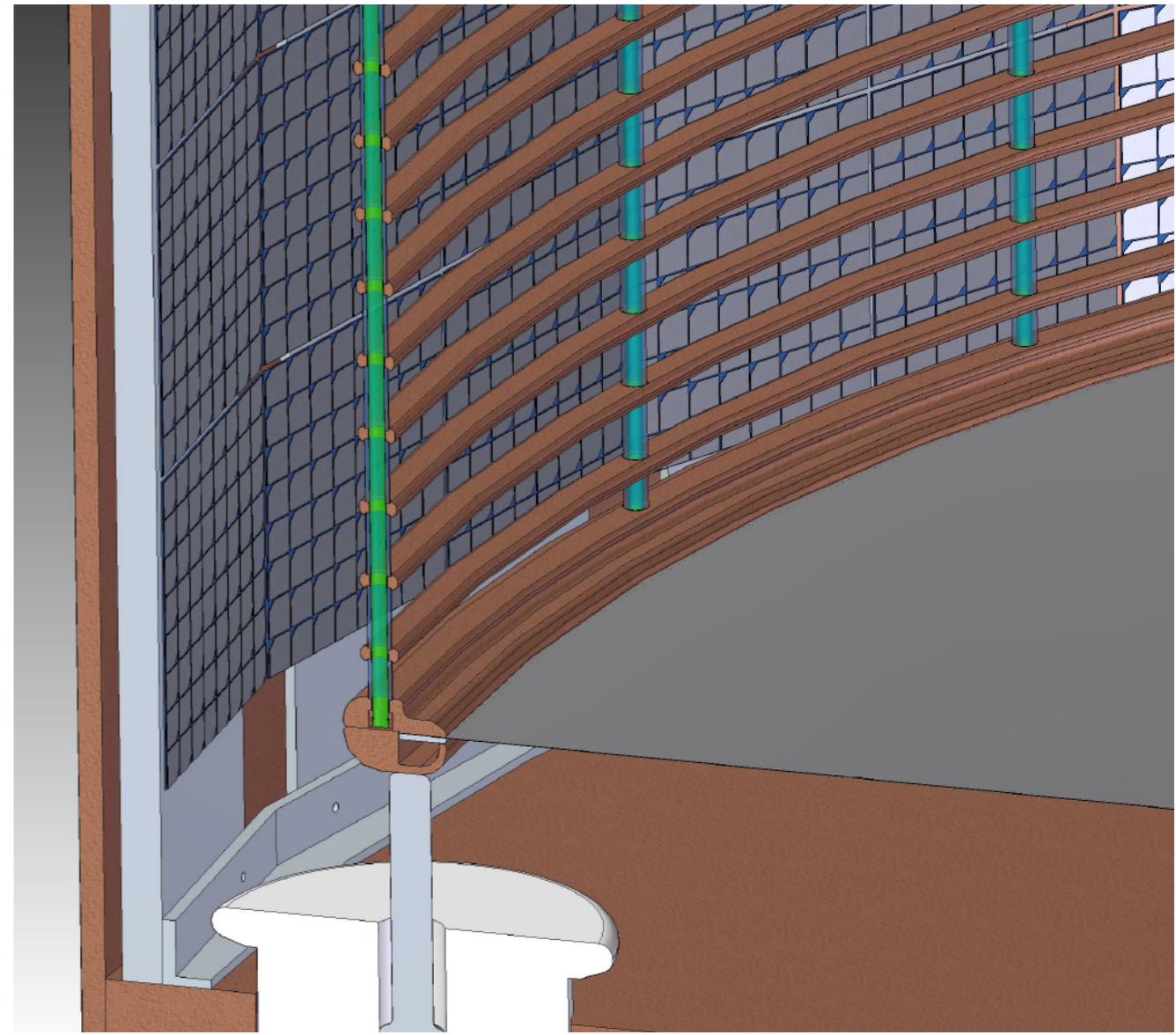
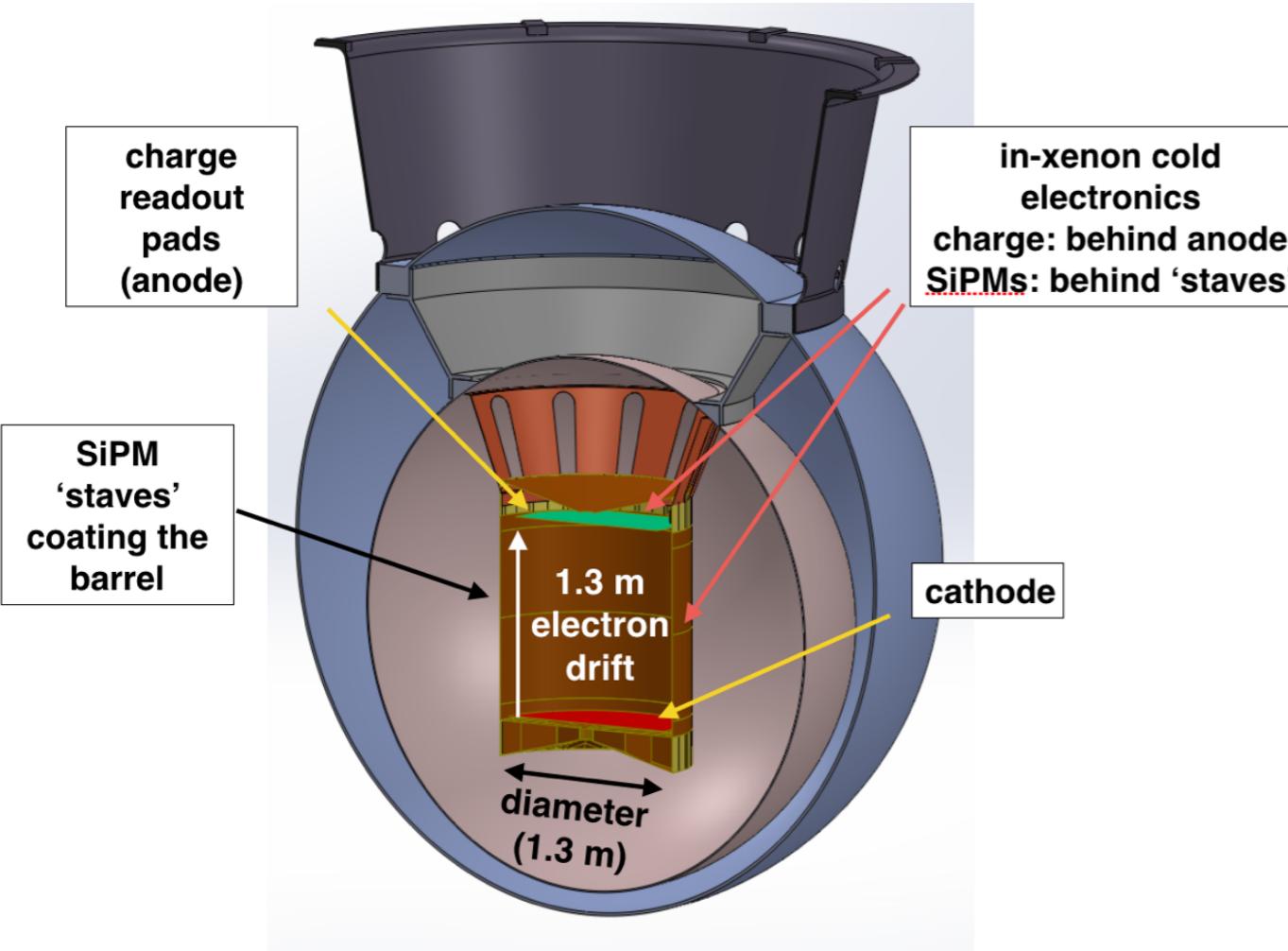
for:  $T_{1/2} = 5.7 \times 10^{27}$  yr

# nEXO Strategy

Flexible program based on the initial nEXO investment



# nEXO R&D

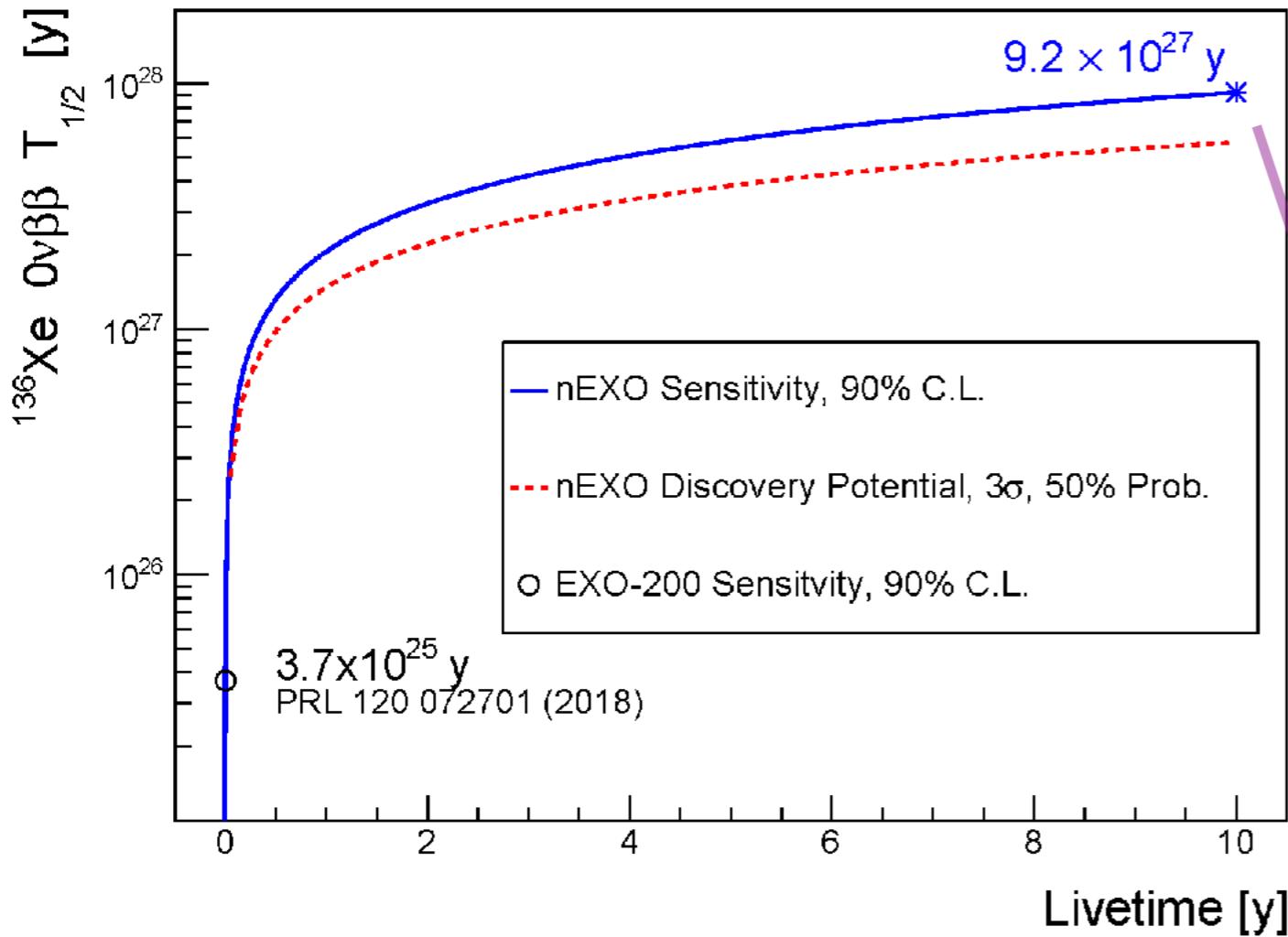


- High Voltage
- SiPMs: QE, radiopurity...
- Internal Electronics
- TPC Internals
- Calibration Concepts

My R&D (BNL/SBU, UMass)

- Coordination of all nEXO R&D
- laser-driven in-situ electron lifetime monitoring using a gold photocathode

# nEXO Sensitivity Timeline



-gA = gAfree = -1.2723

-Band is the envelope of NME:

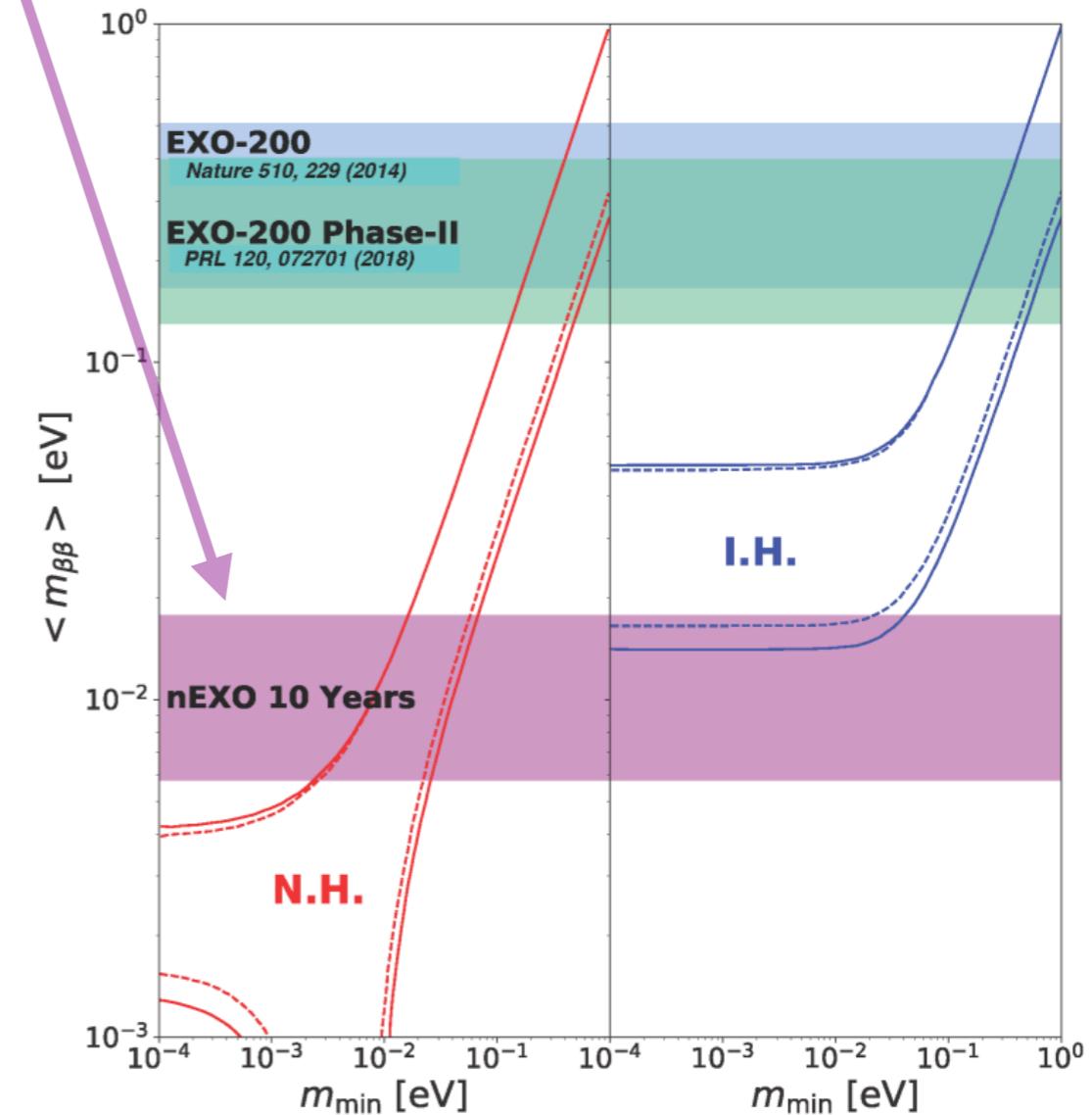
EDF: T.R. Rodríguez and G. Martínez-Pinedo, PRL 105, 252503 (2010)

ISM: J. Menendez et al., NuclPhysA 818, 139 (2009)

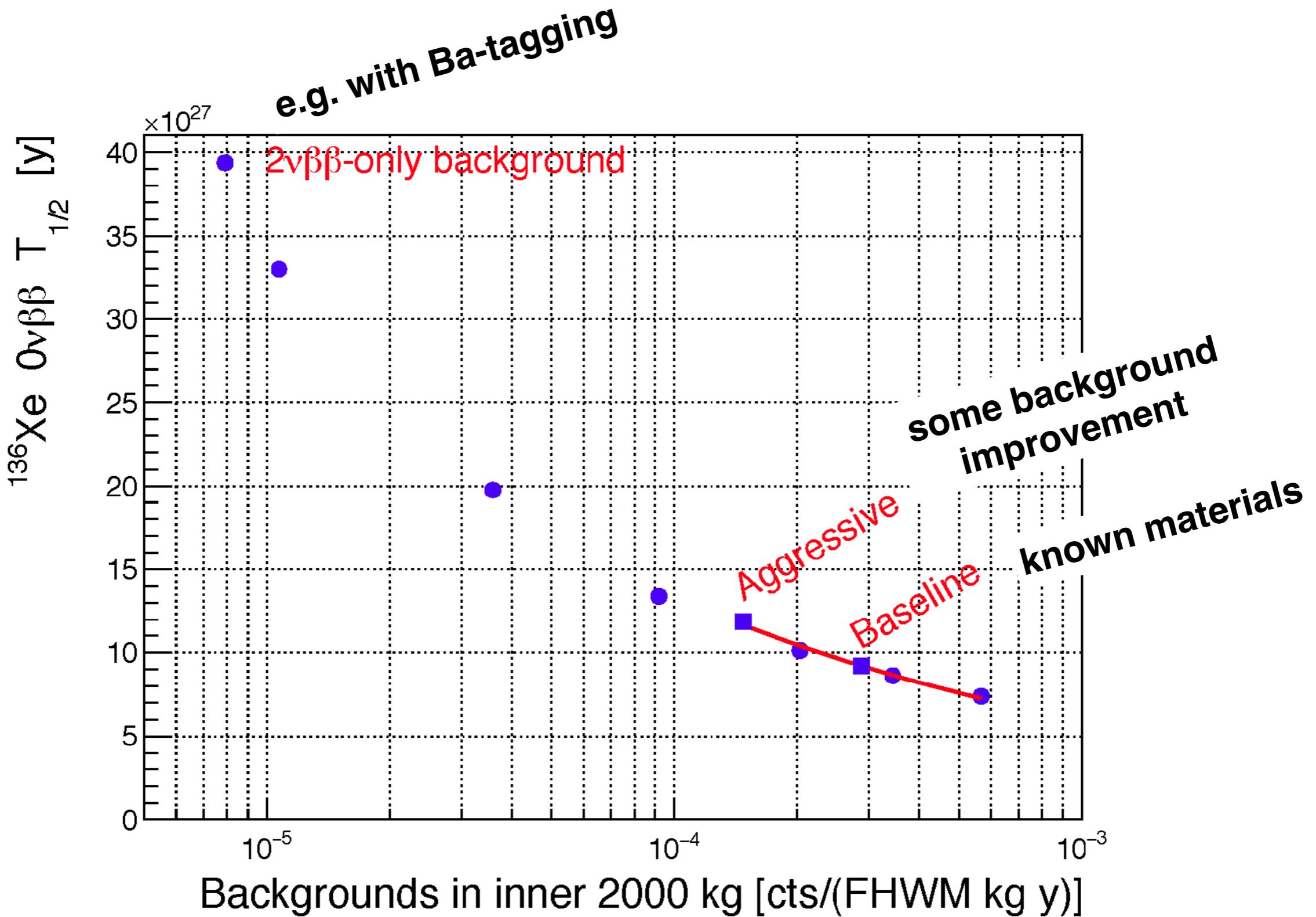
IBM-2: J. Barea, J. Kotila, and F. Iachello, PRC 91, 034304 (2015)

QRPA: F. Šimkovic et al., PRC 87 045501 (2013)

SkyrmeQRPA: M.T. Mustonen and J. Engel PRC 87 064302 (2013)



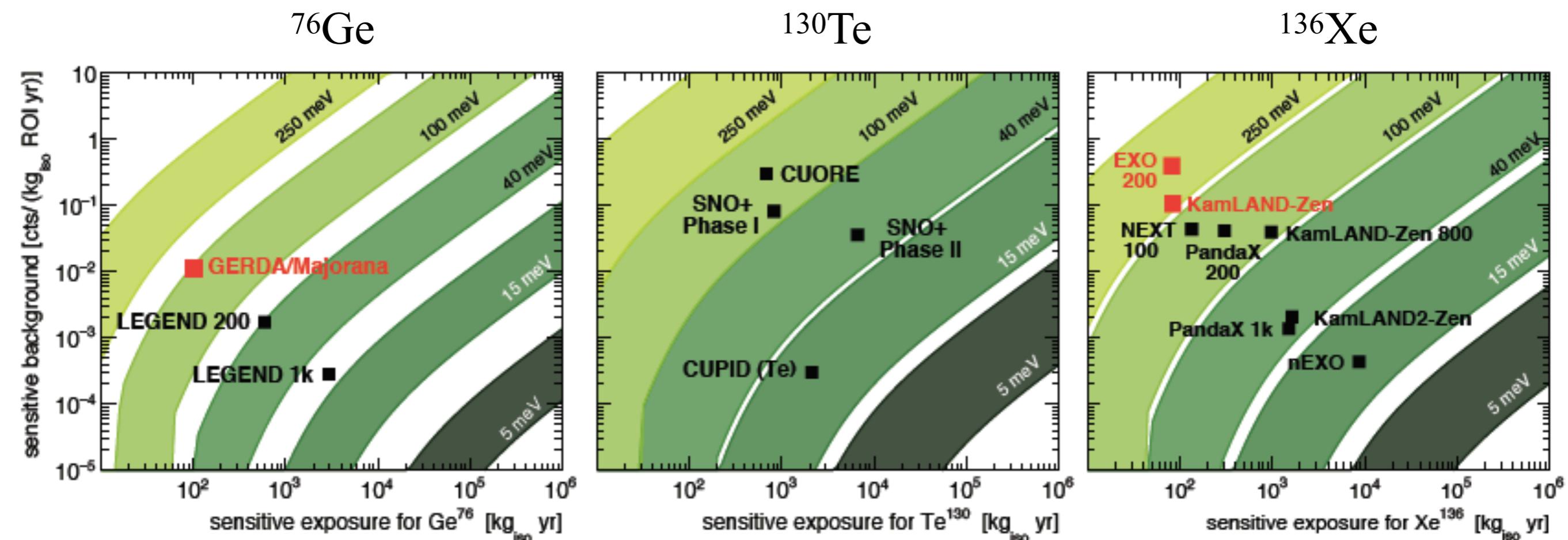
# Sensitivity vs Background



# **Outlook for the Field**

# Discovery Sensitivity Comparison

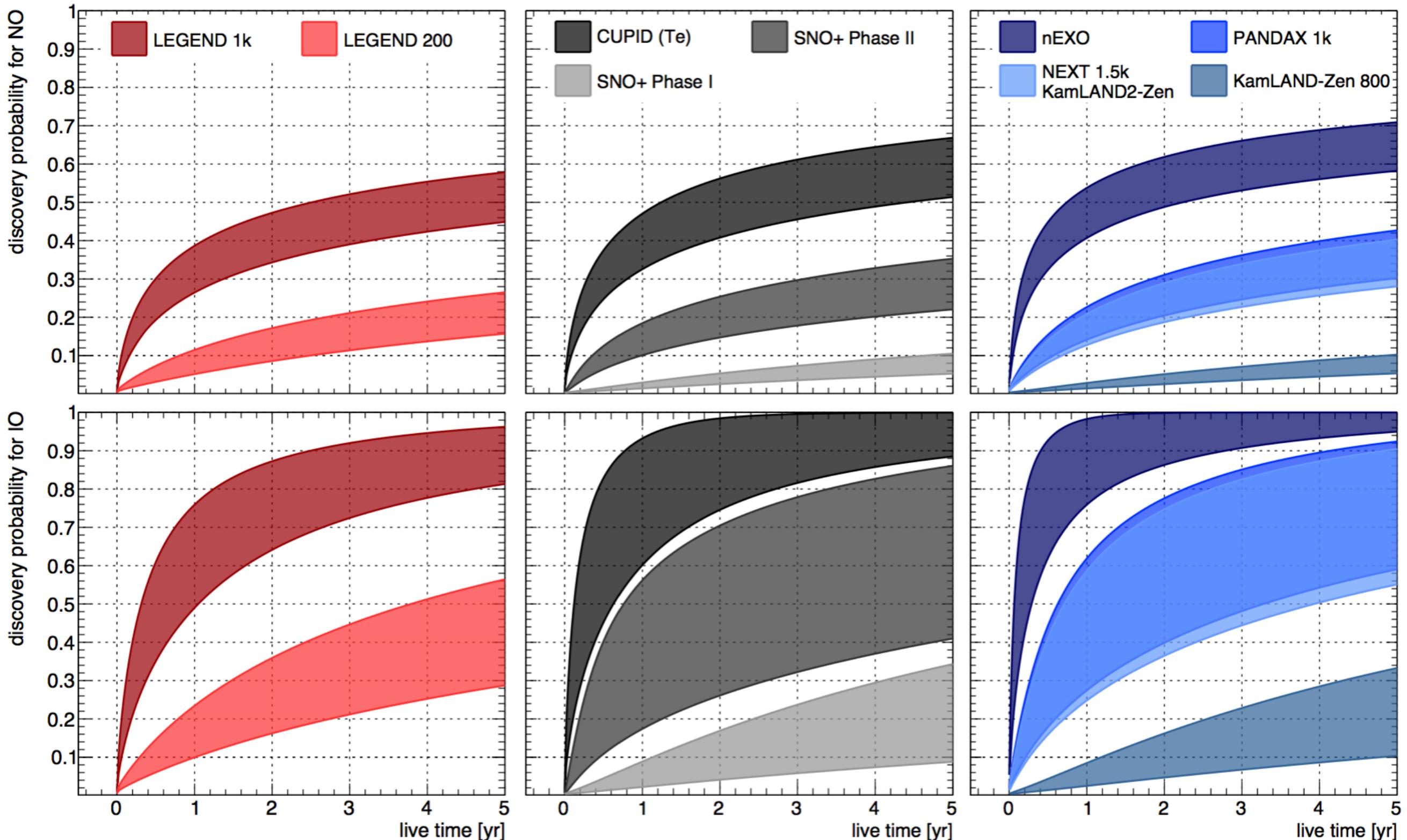
*Discovery probability of next-generation neutrinoless double-beta decay experiments*  
Matteo Agostini, Giovanni Benato, and Jason Detwiler arXiv:1705.02996v1



Red : Achieved Backgrounds; Black : Projected Backgrounds

Width of bands based on range of NME values

# Discovery Probability



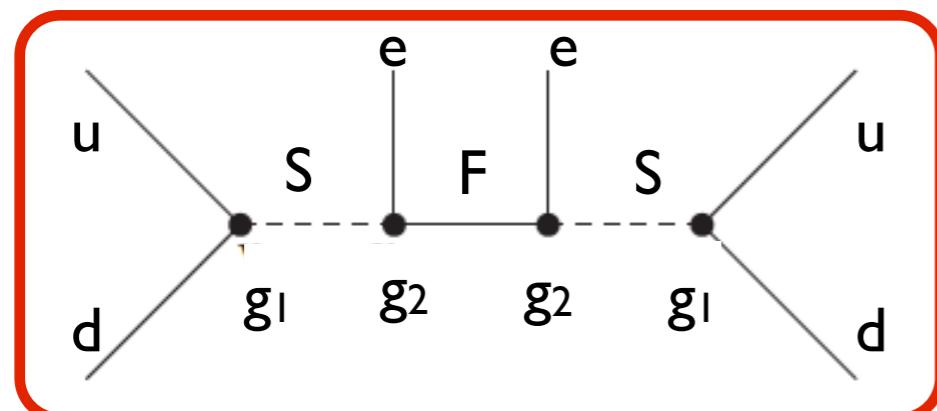
# Summary

- There is a worldwide effort to search for neutrinoless double-beta decay in a variety of nuclei
  - Ongoing experiments are working with ~10s to ~100s of kg of isotope
  - Half-life sensitivities are of the order of  $10^{26}$  years
  - Mass sensitivities are beginning to approach the inverted hierarchy
  - EXO-200 terminated in December 2018: sensitivity ~100 meV
- US Nuclear Physics has designated a ton-scale next generation experiment as the highest priority
  - half-life sensitivities approaching  $10^{28}$  years
  - CD-0 was announced for “ton-scale double beta decay”!
  - A “down-select” process will be completed by 2020
  - Liquid Xenon TPC (nEXO) is a leading contender
- The next decade will be exciting
  - Cover the inverted hierarchy (if  $0\nu\beta\beta$  dominated by light neutrino exchange)
  - Cross-check a discovery in at least two isotopes
  - Identify the technology to approach the normal hierarchy

# TeV-Scale Complementarity

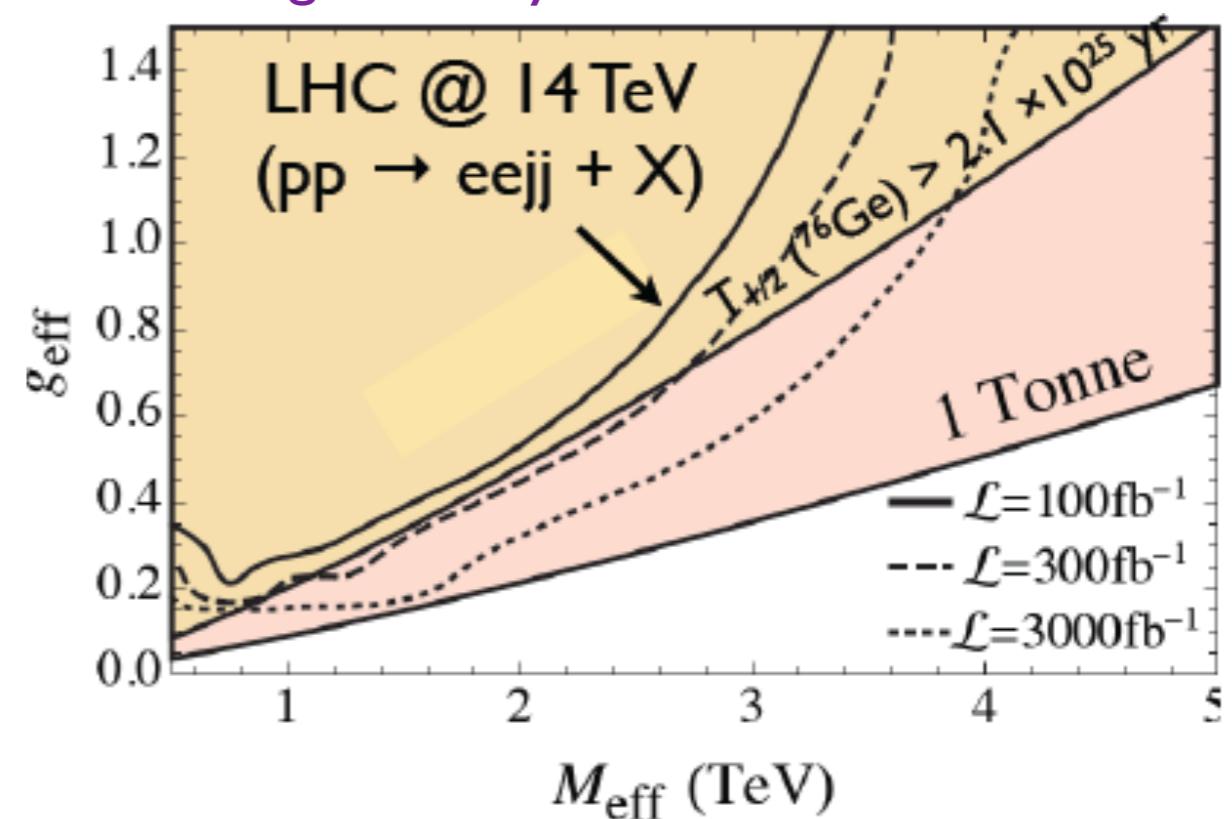
Simplified model ~ RPV-SUSY

$$M_S = M_F = M_{\text{eff}} \quad (g_{\text{eff}})^4 = g_1^2 g_2^2$$



$$A_{0\nu\beta\beta} \sim (g_{\text{eff}})^4 / (M_{\text{eff}})^5$$

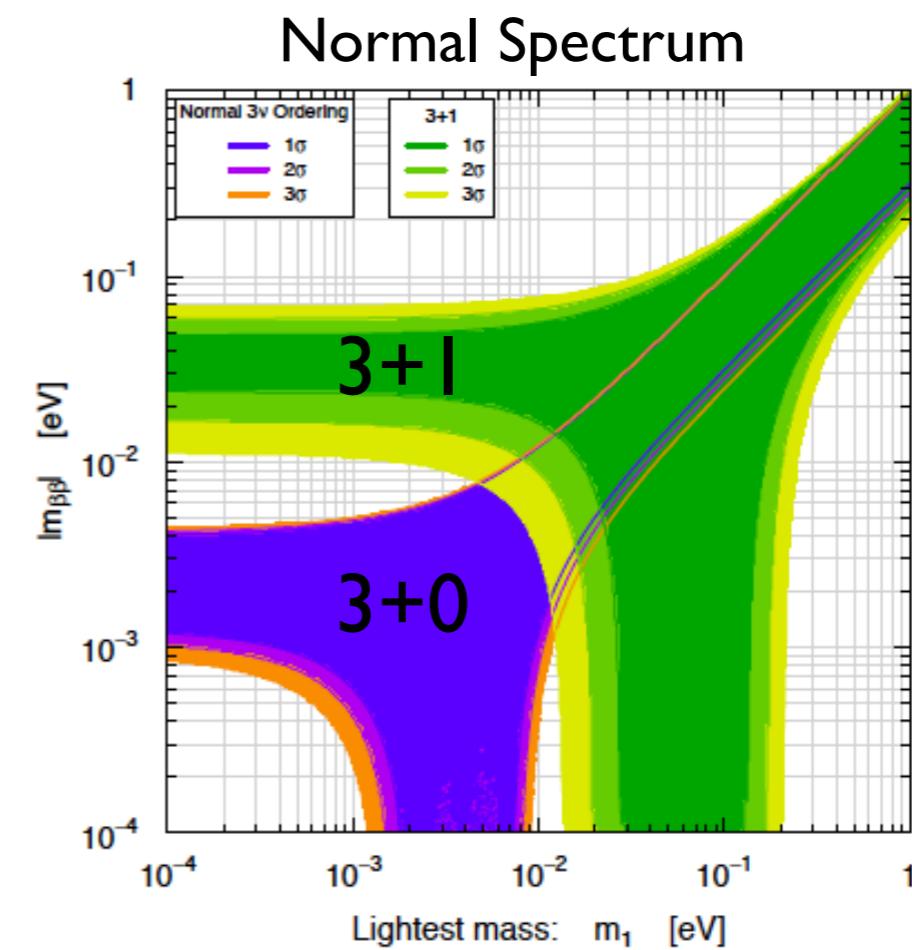
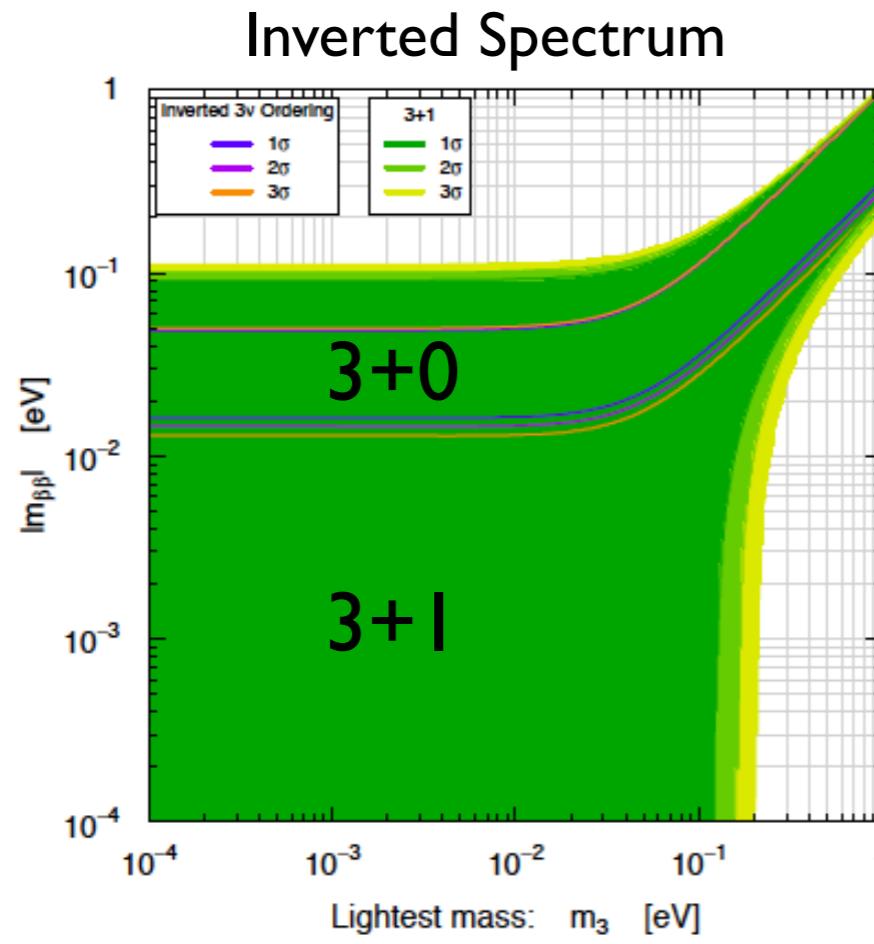
Peng, Ramsey-Musolf, Winslow, 2015



Ton-scale NLDBD significantly extends mass reach (multi TeV) and covers LHC-inaccessible regions

# Light Scale BSM

$$m_{\beta\beta} = m_{\beta\beta}|_{\text{active}} + |U_{e4}|^2 e^{2i\Phi} m_4$$



Giunti-  
Zavanin  
2015

Usual phenomenology turned around!!

# Theory Motivation Summary

- The discovery of neutrino oscillations has made the issue of the existence of Majorana neutrinos particularly pressing
- This is intimately connected to the issue of whether Lepton Number is a conserved quantity in Standard Model processes
- Neutrinoless Double-Beta Decay is the only plausible terrestrial experiment that can shed light on the aforementioned critical questions
- The discovery of this process and its subsequent study could shed light on some of the most profound questions in nuclear physics, particle physics, astrophysics and cosmology

# Backgrounds

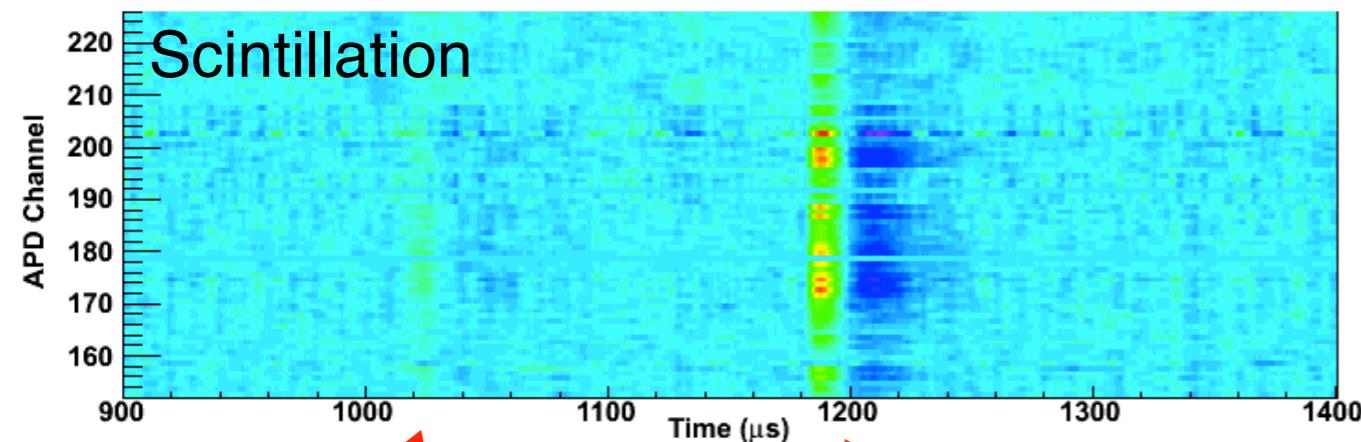
- Primordial, natural radioactivity in the detector and array components: U, Th, K
- Backgrounds from cosmogenic activation while material is above ground ( $\beta\beta$ -isotope or shield specific,  $^{60}\text{Co}$ ,  $^3\text{H}$ ,  $^{39}\text{Ar}$ ,  $^{42}\text{Ar}$ , ... )
- Backgrounds from the surrounding environment:  
external  $\gamma$ ,  $(\alpha, n)$ ,  $(n, \alpha)$ , Rn plate-out, etc.
- $\mu$ -induced backgrounds generated at depth:  
 $\text{Cu}$ ,  $\text{Pb}(n, n' \gamma)$ ,  $\beta\beta$ -decay specific( $n, n$ ), ( $n, \gamma$ ), direct  $\mu$
- 2 neutrino double beta decay (for ton-scale, impact depends on resolution)
- neutrino backgrounds (for ton-scale, can be a contribution)

# Discovery Strategy

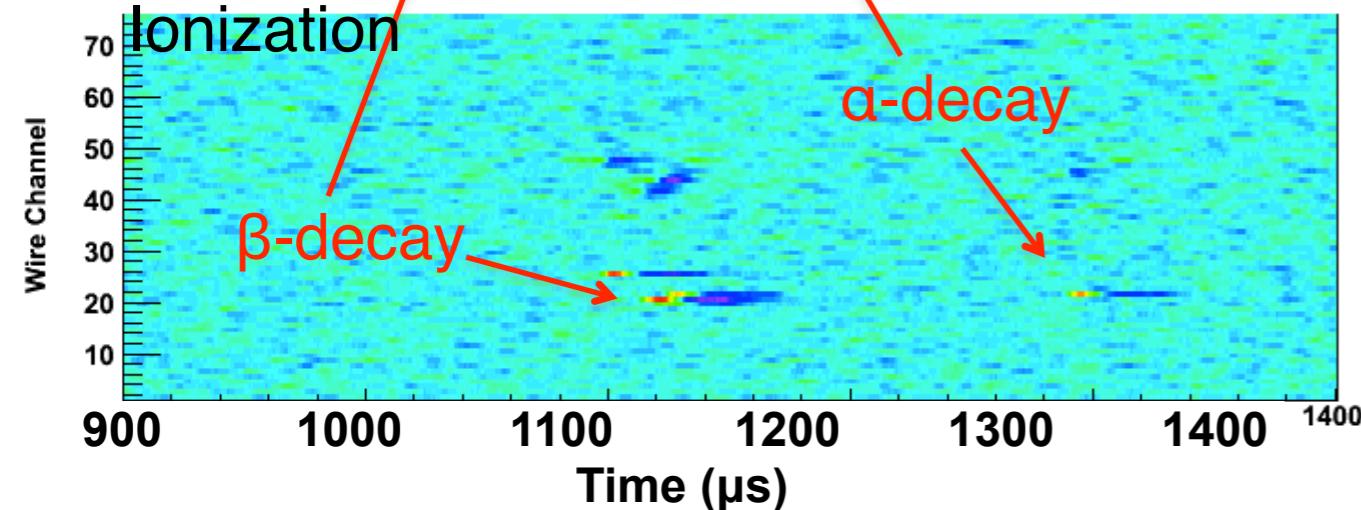
- **Evidence** : a combination of
  - Correct peak energy
  - Single-site or localized energy deposit
  - Proper detector distributions (spatial, temporal)
  - Rate scales with isotope fraction
  - Good signal to background ( $3\sigma$  discovery)
  - Full energy spectrum (backgrounds) understood.
- **More direct confirmation** : very difficult
  - Observe the two-electron nature of the event
  - Measure kinematic dist. (energy sharing, opening angle)
  - Observe the daughter
  - Observe the excited state decay(s)
- **Convincing**
  - Observe  $0\nu\beta\beta$  in several different isotopes, using a variety of experimental techniques that meet the above definition of evidence

# Rn Content in Liquid Xenon

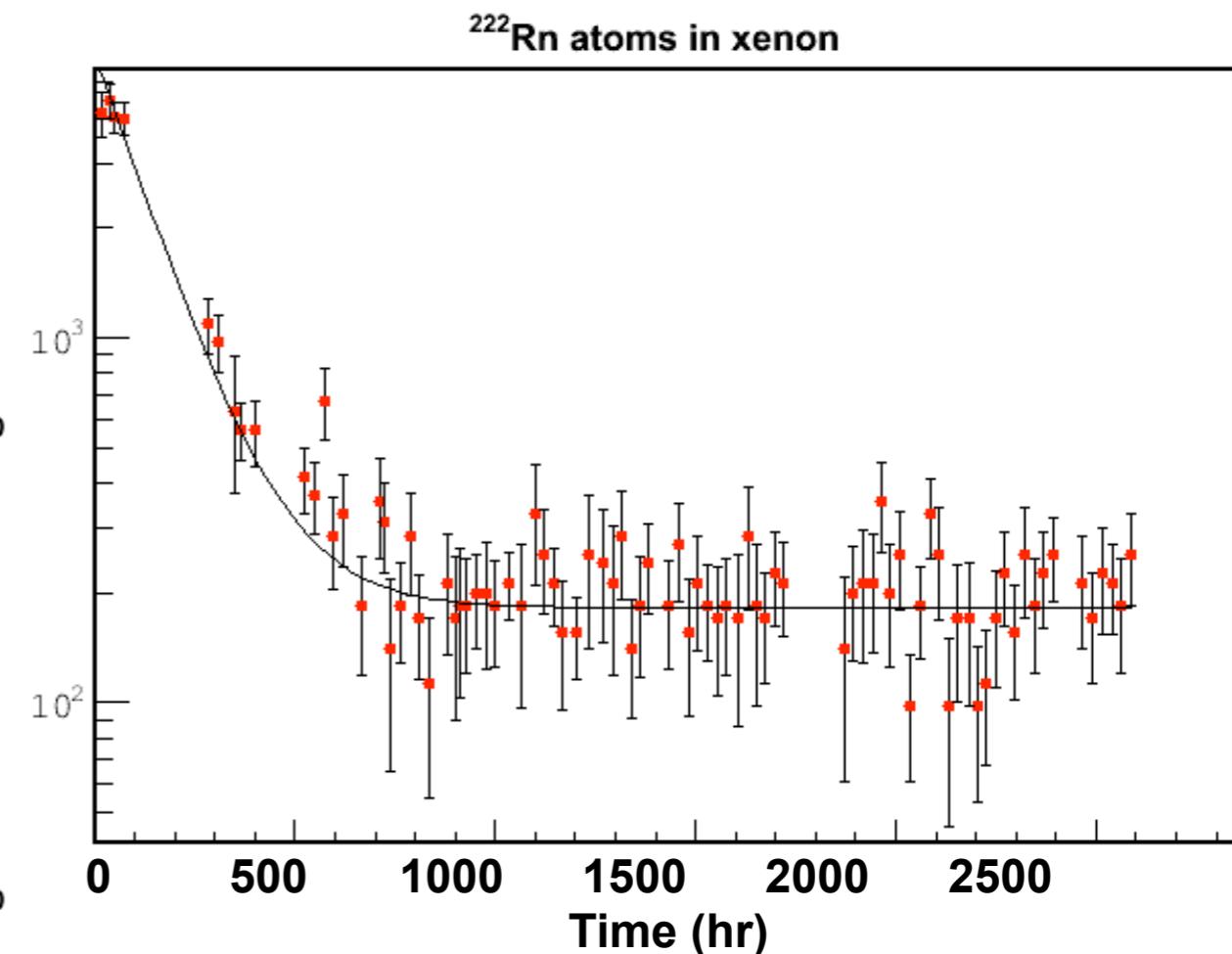
APD signals vs time



Wire signals vs time



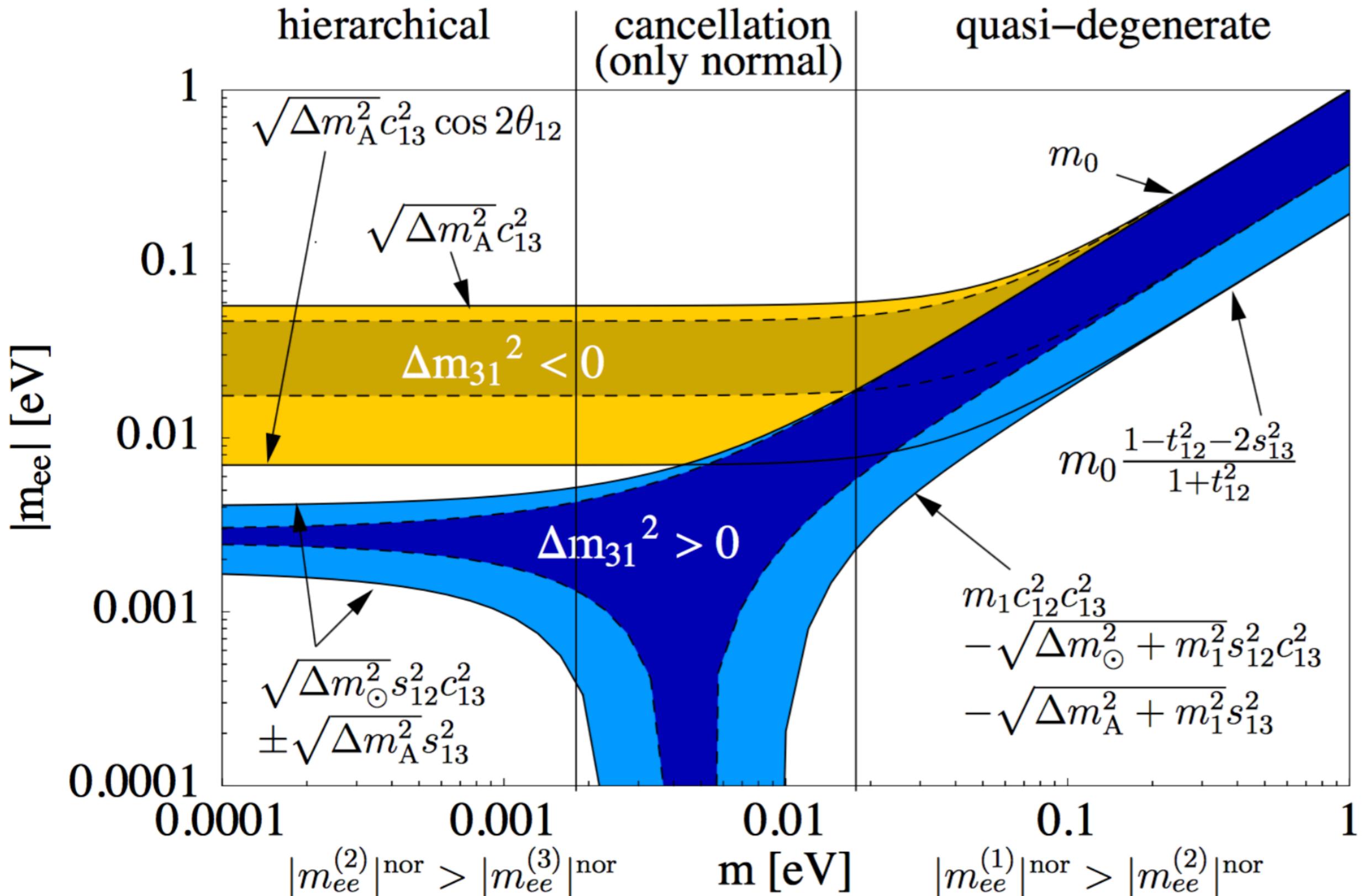
$^{214}\text{Bi} - ^{214}\text{Po}$  correlation  
in the EXO-200 detector



Total  $^{222}\text{Rn}$  in LXe after initial fill

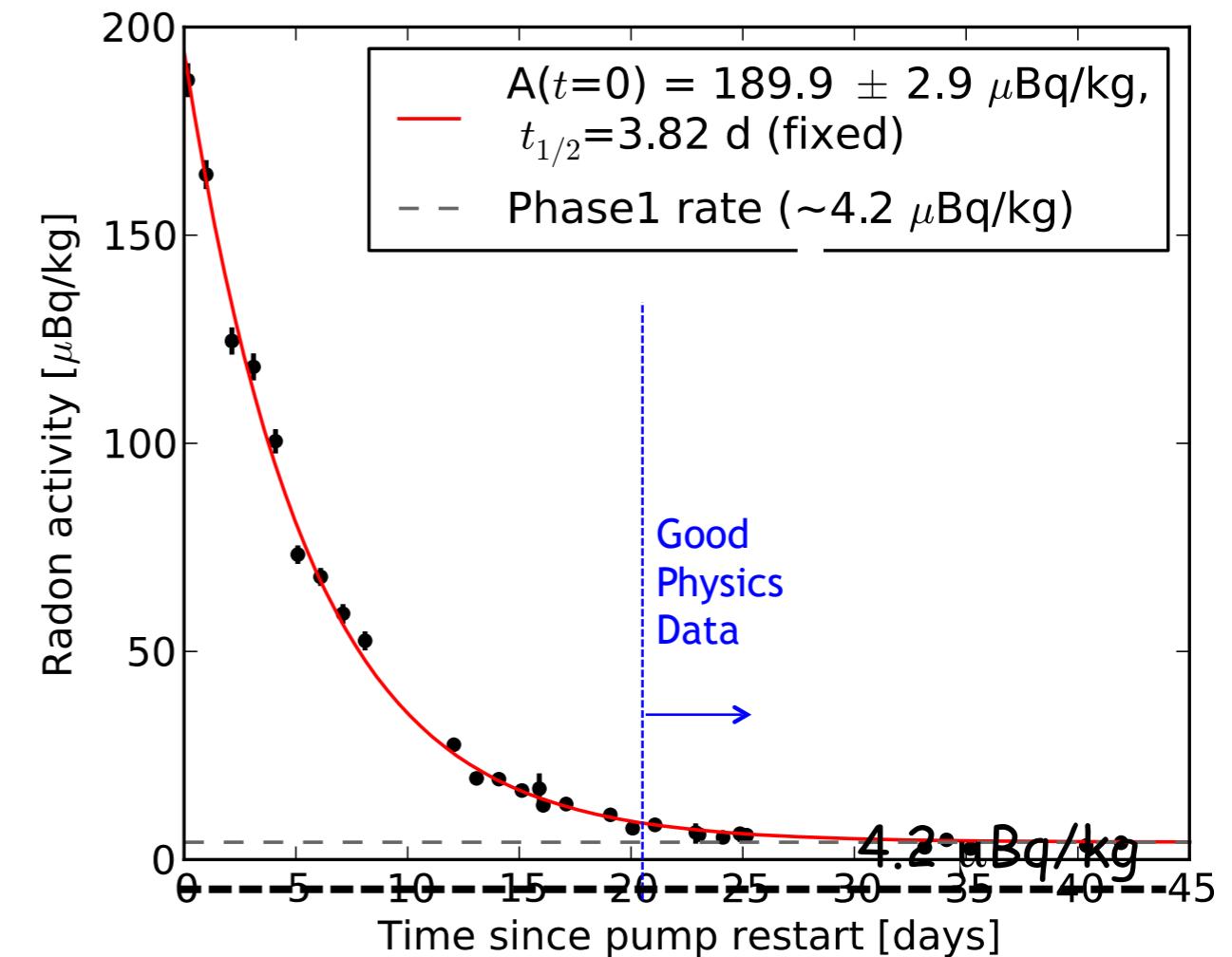
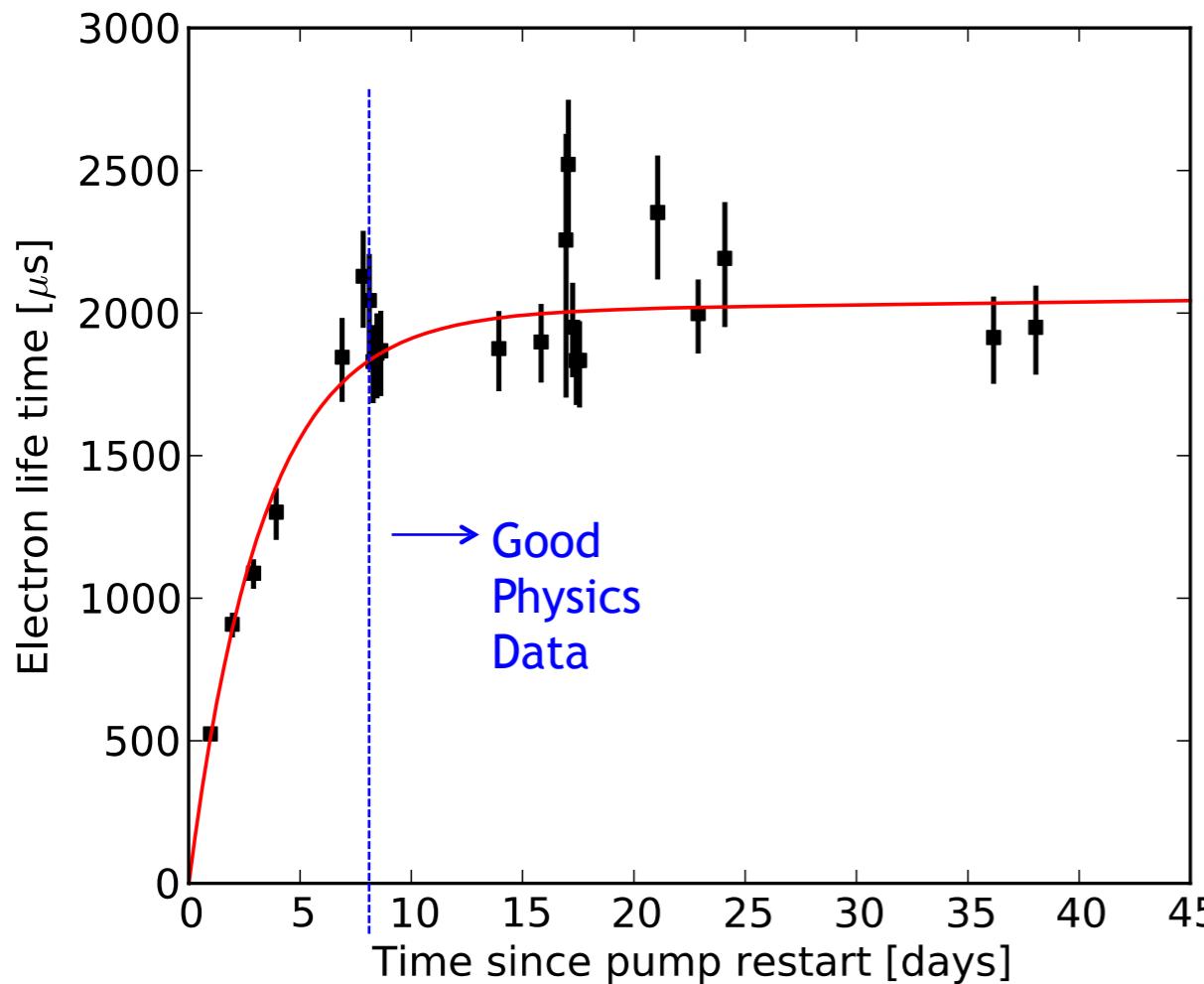
Long-term study shows a constant source of  
 $^{222}\text{Rn}$  dissolving in  $\text{enrLXe}$ :  $360 \pm 65 \mu\text{Bq}$  (Fid. vol.)

# Mass Reach Plot



# Phase-II Running

- EXO-200 Phase-II operation begins on 31 Jan 2016, after enriched liquid xenon fill.
- Data shows that the detector reached excellent xenon purity and ultra-low internal Rn level shortly after restart.



**New results to be released next week!**

# Nuclear Matrix Elements

$$M_{0\nu} = M_{0\nu}^{GT} - \frac{g_V^2}{g_A^2} M_{0\nu}^F + \dots$$

with

$$M_{0\nu}^{GT} = \langle F | \sum_{i,j} H(r_{ij}) \sigma_i \cdot \sigma_j \tau_i^+ \tau_j^+ | I \rangle + \dots$$

$$M_{0\nu}^F = \langle F | \sum_{i,j} H(r_{ij}) \tau_i^+ \tau_j^+ | I \rangle + \dots$$

$$H(r) \approx \frac{2R}{\pi r} \int_0^\infty dq \frac{\sin qr}{q + \bar{E} - (E_i + E_f)/2} \quad \text{roughly } \propto 1/r$$

Contribution to integral peaks at  $q \approx 100 \text{ MeV}$  inside nucleus.

Corrections are from “forbidden” terms, weak nucleon form factors, many-body currents ...

# Barium Tagging in Solid Xenon

