

# (Selected) Introduction to Flavor Physics

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# What is flavor?

The standard model distinguishes particles by their interactions with  
the **strong force** (QCD)  
the **weak force**  
the **electro-magnetic force**

These are described by the gauge groups

$$SU(3)_C \times SU(2)_L \times U(1)_Y$$

$SU(3)_C$ : color; particles are members of  
**triplets**, which are charged  
or singlets, which are neutral.

$SU(2)_L$ : weak isospin; particles are members of  
**doublets**, which are charged,  $T_3 = \pm \frac{1}{2}$  (left-handed, "L")  
or singlets, which are neutral (right-handed, "R").

$U(1)_Y$ : weak hypercharge  
**not** electric charge:  $Q = T_3 + Y$

# What is flavor?

| rep.                         | $SU(2)_L$    | $SU(3)_C$ |  |  |
|------------------------------|--------------|-----------|--|--|
| $(\mathbf{3}, \mathbf{2})_Y$ | left-handed  | quarks    | $Y = +1/3$                                     | $Q = \begin{matrix} +2/3 \\ -1/3 \end{matrix}$ |
| $(\mathbf{3}, \mathbf{1})_Y$ | right-handed | quarks    | $Y = \begin{matrix} +4/3 \\ -2/3 \end{matrix}$ | $Q = \begin{matrix} +2/3 \\ -1/3 \end{matrix}$ |
| $(\mathbf{1}, \mathbf{2})_Y$ | left-handed  | leptons   | $Y = -1$                                       | $Q = \begin{matrix} 0 \\ -1 \end{matrix}$      |
| $(\mathbf{1}, \mathbf{1})_Y$ | right-handed | leptons   | $Y = \begin{matrix} 0 \\ -2 \end{matrix}$      | $Q = \begin{matrix} 0 \\ -1 \end{matrix}$      |

- left- and right-handed **up quarks** ( $Q = +2/3$ ) in **three colors**
- left- and right-handed **down quarks** ( $Q = -1/3$ ) in **three colors**
- left- and right-handed **electrons** ( $Q = -1$ )
- left-handed **electron neutrinos** ( $Q = 0$ )

# What is flavor?

So we have

|   |   |       |         |
|---|---|-------|---------|
| u | d | $e^-$ | $\nu_e$ |
|---|---|-------|---------|

But we also have

|   |   |         |           |
|---|---|---------|-----------|
| c | s | $\mu^-$ | $\nu_\mu$ |
|---|---|---------|-----------|

and

|   |   |          |            |
|---|---|----------|------------|
| t | b | $\tau^-$ | $\nu_\tau$ |
|---|---|----------|------------|

which are indistinguishable from the first **generation**  
by their  $SU(3)_C \times SU(2)_L \times U(1)_Y$  quantum numbers

We distinguish them by **flavor**:

up-type quarks: up, charm, top

down-type quarks: down, strange, bottom

charged-leptons: electron, muon, tau

neutrinos: electron neutrino, muon neutrino, tau neutrino

# What is flavor physics?

study of differences and dynamics between the flavors

## Why?

### Grand scheme:

- understand flavor structure (hierarchy)
- explain the matter dominance of the universe  
(CP violation)

### Nearer goals:

- measure parameters of the standard model flavor sector
- search for physics beyond the standard model
  - new sources of CP violation
  - differences between flavors

not part of  $SU(3)_C \times SU(2)_L \times U(1)_Y$  and  
not owing to mass differences

## From the foundation up ...

We have our **fermions**

$$f = \left( \begin{array}{c} u_L^i \\ d_L^i \end{array} \right), u_R^i, d_R^i, \left( \begin{array}{c} \nu_L^i \\ e_L^i \end{array} \right), e_R^i, \nu_R^i$$

and a Lagrangian

$$\mathcal{L} = \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{Yukawa}} + \mathcal{L}_{\text{kin/int}}.$$

We'll focus on the **Yukawa term**,  
which couples fermions to the (scalar) Higgs field

$$\phi \equiv (\mathbf{1}, \mathbf{2})_1 = \begin{pmatrix} 0 \\ v \end{pmatrix}$$

(that is, takes on a vacuum expectation value)

## From the foundation up ...

The only terms allowed by (intrinsic) angular momentum conservation are

$$\mathcal{L}_{\text{Yukawa}} \supset \bar{f}f'\phi.$$

Let's look at the chiral structure (using projection operators  $P_R$  and  $P_L$ )

$$\begin{aligned}\bar{f}f'\phi &= \bar{f} \underbrace{(P_R + P_L)}_{\mathbb{1}} \underbrace{(P_R + P_L)}_{\mathbb{1}} f'\phi \\ &= \bar{f}(P_R P_R + \underbrace{P_L P_R}_{=0} + \underbrace{P_R P_L}_{=0} + P_L P_L) f'\phi \\ &= \overline{P_L f} P_R f'\phi + \overline{P_R f} P_L f'\phi \\ &= \overline{f_L} f'_R \phi + \overline{f_R} f'_L \phi\end{aligned}$$

Our terms must also be neutral by QCD  
so we may only couple

quarks with quarks    and    leptons with leptons

# From the foundation up ...

Let's apply **hypercharge conservation**:

$$\mathcal{L}_{\text{Yukawa}} \supset \overline{f_L} f'_R \phi \quad \text{requiring} \quad Y(\overline{f_L} f'_R \phi) = 0$$

Recall

$$\phi = \begin{pmatrix} 0 \\ v \end{pmatrix} \equiv (\mathbf{1}, \mathbf{2})_1$$

$$\begin{pmatrix} \nu_L^i \\ e_L^i \end{pmatrix} \equiv (\mathbf{1}, \mathbf{2})_{-1}$$

$$e_R^i \equiv (\mathbf{1}, \mathbf{1})_{-2}$$

$$\begin{pmatrix} u_L^i \\ d_L^i \end{pmatrix} \equiv (\mathbf{3}, \mathbf{2})_{+\frac{1}{3}}$$

$$u_R^i \equiv (\mathbf{3}, \mathbf{1})_{+\frac{4}{3}}$$

$$d_R^i \equiv (\mathbf{3}, \mathbf{1})_{-\frac{2}{3}}$$

So  $\mathcal{L}_{\text{Yukawa}}$  contains

$$y_e^{ij} \underbrace{(\overline{\nu}_L^i, \overline{e}_L^i)}_{+1} \underbrace{e_R^j}_{-2} \underbrace{\begin{pmatrix} 0 \\ v \end{pmatrix}}_{+1} = \underbrace{y_e^{ij} v}_{m_e^{ij}} \overline{e}_L^i e_R^j$$

$$y_d^{ij} \underbrace{(\overline{u}_L^i, \overline{d}_L^i)}_{-1/3} \underbrace{d_R^j}_{-2/3} \underbrace{\begin{pmatrix} 0 \\ v \end{pmatrix}}_{+1} = m_d^{ij} \overline{d}_L^i d_R^j$$

$$y_u^{ij} \underbrace{(\overline{u}_L^i, \overline{d}_L^i)}_{-1/3} \underbrace{u_R^j}_{+4/3} \underbrace{\begin{pmatrix} v \\ 0 \end{pmatrix}}_{-1} = m_u^{ij} \overline{u}_L^i u_R^j$$



## From the foundation up ...

So ... (pew)

$$\mathcal{L}_{\text{Yukawa}} = \sum_{ij} m_e^{ij} \bar{e}_L^i e_R^j + \sum_{ij} m_d^{ij} \bar{d}_L^i d_R^j + \sum_{ij} m_u^{ij} \bar{u}_L^i u_R^j + \text{h.c.}$$

Let's focus on the quarks: we have two **generic** hermitian  $3 \times 3$  matrices

$$[M_d]_{ij} \equiv m_d^{ij} \quad \text{and} \quad [M_u]_{ij} \equiv m_u^{ij}$$

which describe the Yukawa interactions of our **gauge interaction eigenstates**

$$\mathcal{L}_{\text{Yukawa}} \supset \bar{D}_L^I M_d D_R^I + \bar{U}_L^I M_u U_R^I + \text{h.c.}$$

with

$$U_\chi^I \equiv \{u_\chi^1, u_\chi^2, u_\chi^3\} \quad \text{and} \quad D_\chi^I \equiv \{d_\chi^1, d_\chi^2, d_\chi^3\} \quad \text{with} \quad \chi = L, R$$

sometimes written

$$U_\chi^I \equiv \{u'_\chi, c'_\chi, t'_\chi\} \quad \text{and} \quad D_\chi^I \equiv \{d'_\chi, s'_\chi, b'_\chi\}$$

## From the foundation up ...

$$\mathcal{L}_{\text{Yukawa}} \supset \overline{D}_L^I M_d D_R^I + \overline{U}_L^I M_u U_R^I + \text{h.c.}$$

We diagonalize the generic mass matrices

$$L_u M_u R_u^\dagger = \text{diag}(m_u, m_c, m_t) \quad \text{and} \quad L_d M_d R_d^\dagger = \text{diag}(m_d, m_s, m_b)$$

with unitary rotation matrices

$$L_u^\dagger L_u = \mathbb{1} \quad R_u^\dagger R_u = \mathbb{1} \quad L_d^\dagger L_d = \mathbb{1} \quad R_d^\dagger R_d = \mathbb{1}$$

(with suggestive variable naming ...)

$$\begin{aligned} \mathcal{L}_{\text{Yukawa}} &\supset \overline{D}_L^I M_d D_R^I + \overline{U}_L^I M_u U_R^I = \overline{D}_L^I \mathbb{1} M_d \mathbb{1} D_R^I + \overline{U}_L^I \mathbb{1} M_u \mathbb{1} U_R^I \\ &= \overline{D}_L^I L_d^\dagger L_d M_d R_d^\dagger R_d D_R^I + \overline{U}_L^I L_u^\dagger L_u M_u R_u^\dagger R_u U_R^I \\ &= \overline{L}_d \overline{D}_L^I M_u^{\text{diag}} R_d D_R^I + \overline{L}_u \overline{U}_L^I M_d^{\text{diag}} R_u U_R^I \\ &\equiv \overline{D}_L M_u^{\text{diag}} D_R + \overline{U}_L M_d^{\text{diag}} U_R \end{aligned}$$

defining the **mass eigenstates**:

$$\begin{aligned} U_L &\equiv L_u U_L^I & U_R &\equiv R_u U_R^I & D_L &\equiv L_d D_L^I & D_R &\equiv R_d D_R^I \\ &= \{u_L, c_L, t_L\} & &= \{u_R, c_R, t_R\} & &= \{d_L, s_L, b_L\} & &= \{d_R, s_R, b_R\} \end{aligned}$$

## From the foundation up ...

Let's now look at the interactions in  $\mathcal{L}_{\text{int}}$  (ignoring coupling constants)

We have **neutral-current interactions**:

$$\begin{aligned}\overline{D_L^I} \gamma^\mu D_L^I X_\mu + \overline{U_L^I} \gamma^\mu U_L^I X_\mu &= \overline{D_L} L_d \gamma^\mu L_d^\dagger D_L X_\mu + \overline{U_L} L_u \gamma^\mu L_u^\dagger U_L X_\mu \\ &= \overline{D_L} \gamma^\mu D_L X_\mu + \overline{U_L} \gamma^\mu U_L X_\mu\end{aligned}$$

which are unaffected (same holds for right-handed fields).

$X_\mu$ : QCD, QED, weak neutral current ( $Z^0$ )

And we have the weak **charged-current interaction**:

$$\begin{aligned}\overline{U_L^I} \gamma^\mu D_L^I W_\mu^+ + \overline{D_L^I} \gamma^\mu U_L^I W_\mu^- &= \overline{U_L} L_u \gamma^\mu L_d^\dagger D_L W_\mu^+ + \overline{D_L} L_d^\dagger \gamma^\mu L_u U_L W_\mu^- \\ &\equiv \overline{U_L} \gamma^\mu V_{\text{CKM}} D_L W_\mu^+ + \overline{D_L} \gamma^\mu V_{\text{CKM}}^\dagger U_L W_\mu^-\end{aligned}$$

which **is** affected.

$$V_{\text{CKM}} \equiv L_u L_d^\dagger$$

is the **Cabibbo-Kobayashi-Maskawa matrix**.

## From the foundation up ...

So we have for the weak charged-current interaction

$$\mathcal{L}_{CC} \propto (\mathbf{u}_L, \mathbf{c}_L, \mathbf{t}_L) \gamma^\mu V_{CKM} \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix} W_\mu^+ + \text{h.c.}$$

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$V_{CKM}$  is a  $3 \times 3$  **complex unitary** matrix

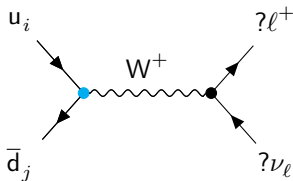
This is the Kobayashi-Maskawa theory.

# CKM: Magnitudes

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

Let's look at how to measure  $|V_{ij}|$

$V_{ij}$  parametrizes a flavor-changing weak interaction:



Leptonic decay of pseudoscalar  $P_{ij}^+ = u_i \bar{d}_j$

$$\Gamma(P_{ij}^+ \rightarrow \ell^+ \nu_\ell) = \frac{G_F^2}{8\pi} m_P m_\ell^2 \left(1 - \frac{m_\ell^2}{m_P^2}\right)^2 f_P^2 |V_{ij}|^2$$

requires knowledge of **meson decay constant**

$$\begin{array}{l} |V_{ud}| : \pi^+ \\ |V_{cd}| : D^+ \end{array}$$

$$\begin{array}{l} |V_{us}| : K^+ \\ |V_{cs}| : D_s^+ \end{array}$$

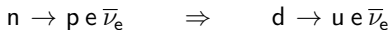
$$\begin{array}{l} |V_{ub}| : B^+ \\ |V_{cb}| : B_c^+ \end{array}$$

✗ top does not hadronize!

$f_P$  not usually precise enough to be competitive.

# CKM: $|V_{ud}|$

neutron  $\beta$  decay gives most precise  $|V_{ud}|$  measurement



in (superallowed) nuclear transitions:  $0^+ \rightarrow 0^+$

$$|V_{ud}| = 0.97420 \pm 0.00021$$

(arXiv:1807.01146)

that's 0.02% precision!

in free neutron decay:

$$\Gamma_n = \tau_n^{-1} = \underbrace{\frac{G_F^2}{2\pi^3} m_e^5 g_V^2}_{\text{axial/vector couplings}} \left(1 + 3 \frac{g_A^2}{g_V^2}\right) \underbrace{(1 + \Delta_R) f_R}_{\text{rad. corr.}} |V_{ud}|^2$$

$$|V_{ud}| = 0.9763 \pm 0.0016$$

(PDG2019)

## CKM: $|V_{ud}|$

pion “beta” decay is also not much worse:

$$\Gamma(\pi^+ \rightarrow \pi^0 e^+ \nu_e) \propto G_F^2 \times (\text{phase-space factors}) \times (\text{rad. corr.}) \times |V_{ud}|^2$$

$$|V_{ud}| = 0.9748 \pm 0.0025$$

(arXiv:hep-ex/0312030,PDG2019)

## CKM: $|V_{us}|$

Recall unitarity:

$$V_{\text{CKM}}^\dagger V_{\text{CKM}} = \mathbb{1} \quad \rightarrow \quad |V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$

Since  $|V_{ub}| = \mathcal{O}(10^{-3})$

$$|V_{us}| = \sqrt{1 - |V_{ud}|^2} \quad (\text{unitarity})$$

We can also measure it from kaon decays

$$\Gamma(\text{K} \rightarrow \pi \ell \bar{\nu}_\ell) \propto G_{\text{F}}^2 \times (\text{form factor}) \times |V_{us}|^2 \quad (\text{K}_{\ell 3})$$

$$\frac{\Gamma(\text{K} \rightarrow \ell \bar{\nu}_\ell)}{\Gamma(\pi \rightarrow \ell \bar{\nu}_\ell)} \propto \frac{f_{\text{K}}}{f_{\pi}} \frac{|V_{us}|^2}{|V_{ud}|^2} \quad (\text{K}_{\ell 2})$$

or in hadronic tau decays

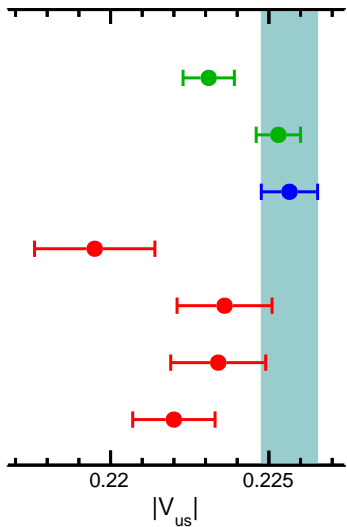
$$\frac{\Gamma(\tau \rightarrow \nu_\tau \text{K})}{\Gamma(\tau \rightarrow \nu_\tau \pi)} \propto \frac{f_{\text{K}}}{f_{\pi}} \frac{|V_{us}|^2}{|V_{ud}|^2}$$

(using  $f_{\text{K}}/f_{\pi}$  from Lattice QCD)

or by comparing  $\Gamma(\tau \rightarrow \nu_\tau X_s)$  to  $\Gamma(\tau \rightarrow \nu_\tau X_d)$



CKM:  $|V_{us}|$



$K_{l3}$ ,  $N_f = 2+1+1$ , PDG 2018

$0.2231 \pm 0.0008$

$K_{l2}$ ,  $N_f = 2+1+1$ , PDG 2018

$0.2253 \pm 0.0007$

CKM unitarity, PDG 2018

$0.2256 \pm 0.0009$

$\tau \rightarrow X_s \nu$

$0.2195 \pm 0.0019$

$\tau \rightarrow K \nu / \tau \rightarrow \pi \nu$

$0.2236 \pm 0.0015$

$\tau \rightarrow K \nu$

$0.2234 \pm 0.0015$

$\tau$  average

$0.2220 \pm 0.0013$

**HFLAV**  
**2018**

Tension in the measurements  $\implies$  are  $\tau \rightarrow \nu X_s$  and  $\tau \rightarrow \nu X_d$  correct?

# CKM: $|V_{cd}|$ and $|V_{cs}|$

The best determinations of  $|V_{cd}|$  and  $|V_{cs}|$  are from

## Semileptonic D decay

$$\Gamma(D \rightarrow P_q \ell \bar{\nu}_\ell) \propto \frac{G_F^2}{24\pi^3} |f_+^{\text{DP}_q}(0)|^2 |V_{cq}|^2$$

for  $\ell = e, \mu$  and  $q = d, s \rightarrow P_d \equiv \pi, P_s = K$

Using Lattice QCD inputs:  $f_+^{\text{DP}_q} \equiv \langle P_q | \bar{q} \gamma^\mu c | D \rangle$

$$f_+^{\text{D}\pi}(0) = 0.666 \pm 0.029 \quad \text{and} \quad f_+^{\text{D}K}(0) = 0.747 \pm 0.019 \quad (\text{FLAG2016})$$

$$|V_{cd}| = 0.2140 \pm 0.0029_{\text{exp}} \pm 0.0093_{\text{th}} \quad \text{and} \quad |V_{cs}| = 0.967 \pm 0.005_{\text{exp}} \pm 0.025_{\text{th}}$$

## Leptonic D and D<sub>s</sub> decay

$$\mathcal{B}(D_q \rightarrow \ell \bar{\nu}_\ell) = \frac{G_F^2}{8\pi} m_{D_q} m_\ell^2 \left(1 - \frac{m_\ell^2}{m_{D_q}^2}\right)^2 \tau_{D_q} f_{D_q}^2 |V_{cq}|^2$$

$$f_D = (212.15 \pm 1.45) \text{ MeV} \quad \text{and} \quad f_{D_s} = (248.83 \pm 1.27) \text{ MeV} \quad (\text{FLAG2016})$$

$$|V_{cd}| = 0.2164 \pm 0.0050_{\text{exp}} \pm 0.0014_{\text{th}} \quad \text{and} \quad |V_{cs}| = 1.006 \pm 0.018_{\text{exp}} \pm 0.005_{\text{th}}$$

CKM:  $|V_{cd}|$  and  $|V_{cs}|$

Averaging the above results with some other inputs (for  $|V_{cd}|$ )

$$|V_{cd}| = 0.218 \pm 0.004$$

(PDG2019)

and

$$|V_{cs}| = 0.997 \pm 0.017$$

(PDG2019)

and so far, the two-gen quark-mixing matrix is

$$|V_{\text{Cabibbo}}| = \begin{pmatrix} 0.98 & 0.23 \\ 0.22 & 1.00 \end{pmatrix}$$

## CKM: $|V_{ub}|$ and $|V_{cb}|$

$|V_{ub}|$  and  $|V_{cb}|$  are determined from the semileptonic decays

$$b \rightarrow u l \bar{\nu}_\ell \quad \text{and} \quad b \rightarrow c l \bar{\nu}_\ell$$

but of course we don't detect free quarks  $\rightarrow$  two routes:

Inclusive: from  $B \rightarrow X_u l \bar{\nu}_\ell$  and  $B \rightarrow X_c l \bar{\nu}_\ell$

$$|V_{ub}| = (4.25 \pm 0.30) \times 10^{-3} \quad \text{and} \quad |V_{cb}| = (42.2 \pm 0.8) \times 10^{-3}$$

Exclusive: where we limit  $X_u$  and  $X_c$  to specific individual states

$$|V_{ub}| = (3.70 \pm 0.16) \times 10^{-3} \quad \text{and} \quad |V_{cb}| = (39.5 \pm 0.9) \times 10^{-3}$$

Leptonic decays,  $B_{(c)} \rightarrow l \bar{\nu}_\ell$ , are the cleanest theoretically  
but the experimental inputs are not yet competitive.

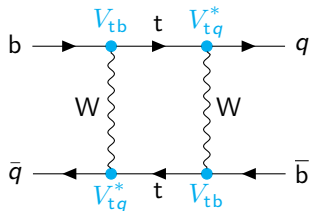
Averages:

$$|V_{ub}| = (3.82 \pm 0.24) \times 10^{-3} \quad \text{and} \quad |V_{cb}| = (41.0 \pm 1.4) \times 10^{-3}$$

# CKM: $|V_{td}|$ and $|V_{ts}|$

top does not hadronize ... so we can't analyze  $T \rightarrow X_q \ell \bar{\nu}_\ell$

but top quarks dominate in the loops that create  $B_{(s)}$  meson mixing



From measurements of  $B_{(s)}$  mixing:

$$|V_{td}| = (8.1 \pm 0.5) \times 10^{-3} \quad \text{and} \quad |V_{ts}| = (39.4 \pm 2.3) \times 10^{-3}$$

(PDG2019)

and

$$\left| \frac{V_{td}}{V_{ts}} \right| = 0.210 \pm 0.008$$

(PDG2019)

## CKM: $|V_{tb}|$

Finally... (pew)

Here we're purely in the realm of top physics

We can look at the decay of the top quark:

$$\frac{\mathcal{B}(t \rightarrow W^+ b)}{\sum_q \mathcal{B}(t \rightarrow W^+ q)} = \frac{|V_{tb}|^2}{\underbrace{\sum_q |V_{tq}|^2}_{=1 \text{ (unitarity)}}} = |V_{tb}|^2 = \frac{\mathcal{B}(t \rightarrow \ell^+ \nu_\ell + b \text{ jet})}{\mathcal{B}(t \rightarrow \ell^+ \bar{\nu}_\ell + \text{jet})}$$

$$|V_{tb}| > 0.975 \text{ at } 95\% \text{ CL}$$

(CMS, PLB736 33 (2014))

One can also measure it in “single top production” at hadron colliders

$$|V_{tb}| = 1.019 \pm 0.025$$

(PDG2019)

## CKM: Magnitudes

We can further constrain the CKM matrix (assuming unitarity)

$$|V_{\text{CKM}}| = \begin{pmatrix} 0.974 & 0.225 & 0.004 \\ 0.224 & 0.974 & 0.042 \\ 0.009 & 0.041 & 0.999 \end{pmatrix}$$

(PDG2019/CKMfitter/UTfit)

What does this mean?

Jarlskog defined the angle between two matrices as

$$\cos \Theta_{AB} \equiv \frac{\text{Tr}(ABAB)}{\text{Tr}(AABB)}$$

(analogous to between two vectors)

Using our Yukawa-coupling mass matrices  $M_u$  and  $M_d$  we get

$$\Theta \approx \sqrt{|V_{cb}|^2 + |V_{ts}|^2} \approx 3^\circ$$

The misalignment of the quark sectors is not that large!

## Unitarity Triangles

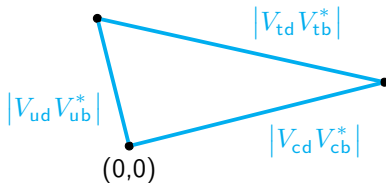
Let's look again at the unitarity of the CKM matrix:

$$V_{\text{CKM}}^\dagger V_{\text{CKM}} = \mathbb{1} \quad \rightarrow \quad \sum_k V_{ik}^\dagger V_{kj} = \sum_k V_{ki}^* V_{kj} = \delta_{ij}$$

and focus on the off-diagonal constraints, for example

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

we can visualize this as a triangle in the complex plane





## Unitarity Triangles

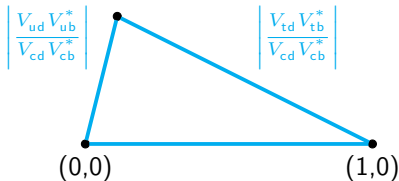
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and focus on the off-diagonal constraints, for example

$$\frac{V_{ud} V_{ub}^*}{V_{cd} V_{cb}^*} + \frac{V_{td} V_{tb}^*}{V_{cd} V_{cb}^*} + 1 = 0$$

we can visualize this as a triangle in the complex plane



for better visualization, we divide out the most precisely known leg

this is a **unitarity triangle**

## Unitarity Triangles

Of course, these triangles are only triangles  
if the CKM matrix elements have relative complexity;

otherwise they collapse down into lines. Unitarity lines.

Let's look at why they are indeed triangles

by proving the CKM matrix is inherently complex

# CKM Parameter Counting

An  $N \times N$  complex matrix has  $2N^2$  parameters:

$N^2$  magnitudes and  $N^2$  phases

Unitarity:  $V^\dagger V = \mathbb{1} \implies N^2$  constraints — removes  $N^2$  parameters

$N^2$  parameters

Unitarity  $\supset$  orthogonality  $\rightarrow \frac{1}{2}N(N-1)$  of the parameters are magnitudes

$\frac{1}{2}N(N-1)$  magnitudes and  $\frac{1}{2}N(N+1)$  phases

we can remove one phase for each  $q_L$  and  $q_R \rightarrow 2N$  re-phrasings

but one overall phase can't be wiped out this way  $\rightarrow$  remove only  $(2N-1)$ :

$\frac{1}{2}N(N-1)$  magnitudes and  $\frac{1}{2}(N-2)(N-1)$  phases

the “magnitudes” are products of sines and cosines of

**quark mixing angles**

# CKM Parameter Counting

So an  $N$ -generation CKM matrix has

|                |                             | $N = 1$ | $N = 2$ | $N = 3$ |
|----------------|-----------------------------|---------|---------|---------|
| mixing angles  | $\frac{1}{2}N(N - 1)$       | 0       | 1       | 3       |
| complex phases | $\frac{1}{2}(N - 2)(N - 1)$ | 0       | 0       | 1       |

CP Violation  $\implies$  complex couplings  $\iff N \geq 3$

Our 3-generation CKM matrix is often parametrized as

$$V_{\text{CKM}} = R(\theta_{23}) \times \text{diag}(e^{-i\delta_{\text{CP}}}, 1, 1) \times R(\theta_{13}) \times \text{diag}(e^{i\delta_{\text{CP}}}, 1, 1) \times R(\theta_{12})$$

explicitly labeling the complex phase as the CP phase.

# The Wolfenstein Parametrization

To show how the unitarity triangles illustrate existence of a complex phase, let's reparametrize the CKM matrix

$$V_{\text{CKM}} = R(\theta_{23}) \times \text{diag}(e^{-i\delta_{\text{CP}}}, 1, 1) \times R(\theta_{13}) \times \text{diag}(e^{i\delta_{\text{CP}}}, 1, 1) \times R(\theta_{12})$$

using four different parameters

$$\sin \theta_{12} \equiv \lambda$$

$$\sin \theta_{13} \equiv A\lambda^2$$

$$\sin \theta_{13} e^{i\delta_{\text{CP}}} \equiv A\lambda^3(\rho + i\eta)$$

$$\rightarrow \delta_{\text{CP}} = \arg(\rho + i\eta)$$

plug it in ...

$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

## CKM Phase in the Unitarity Triangle

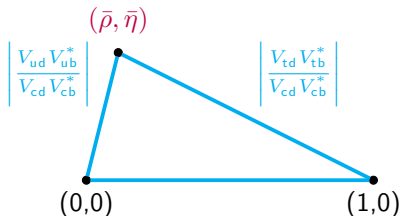
$$V_{\text{CKM}} \approx \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

let's plug this into our unitarity triangle

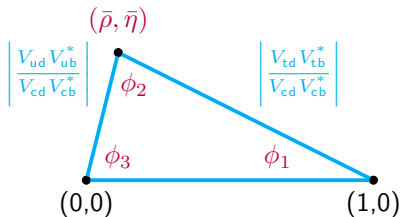
$$\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*} \approx \frac{[1 - \frac{1}{2}\lambda^2][A\lambda^3(\rho + i\eta)]}{[-\lambda][A\lambda^2]} = -(1 - \frac{1}{2}\lambda^2)(\rho + i\eta)$$

$$\equiv -(\bar{\rho} + i\bar{\eta})$$

So we have



# CKM Phase in the Unitarity Triangle



We measure the angles ...

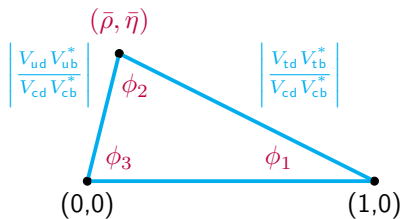
... and of course anything we measure, we name.

unfortunately two sets of names are used

$$\alpha = \phi_2 \equiv \arg \left( -\frac{V_{cd} V_{cb}^*}{V_{td} V_{tb}^*} \right) \quad \beta = \phi_1 \equiv \arg \left( -\frac{V_{td} V_{tb}^*}{V_{ud} V_{ub}^*} \right)$$

$$\gamma = \phi_3 \equiv \arg \left( -\frac{V_{ud} V_{ub}^*}{V_{cd} V_{cb}^*} \right)$$

# CKM: Phase



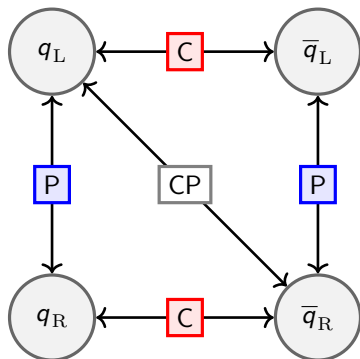
But to explain how we measure the angles

let's first look at the concept of

CP violation



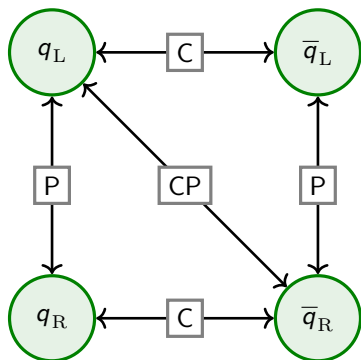
# What is CP?



**Charge conjugation** mirrors charges:  
it exchanges particle and antiparticle.

**Parity inversion** mirrors spacial coordinates:  
it exchanges left and right handedness.

## What is CP conservation?

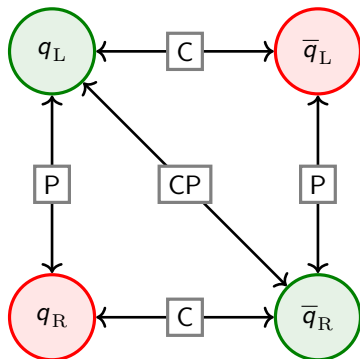


The electromagnetic & strong forces conserve C, P, and CP:

$$\Gamma_L = \Gamma_R = \bar{\Gamma}_L = \bar{\Gamma}_R$$

$$\underbrace{\frac{\Gamma_L - \Gamma_R}{\Gamma_L + \Gamma_R}}_{\mathcal{A}^P} = \underbrace{\frac{\Gamma_L - \bar{\Gamma}_L}{\Gamma_L + \bar{\Gamma}_L}}_{\mathcal{A}^C} = \underbrace{\frac{\Gamma_L - \bar{\Gamma}_R}{\Gamma_L + \bar{\Gamma}_R}}_{\mathcal{A}^{CP}} = 0$$

## What is CP violation?



The weak force **maximally** violates C and P and **nearly** conserves CP:

$$\Gamma_R = \bar{\Gamma}_L = 0$$

$$\Gamma_L \neq \bar{\Gamma}_R$$

$$\underbrace{\frac{\Gamma_L - \cancel{\Gamma_R}}{\Gamma_L + \cancel{\Gamma_R}}}_{\mathcal{A}^P} = \underbrace{\frac{\Gamma_L - \cancel{\bar{\Gamma}_L}}{\Gamma_L + \cancel{\bar{\Gamma}_L}}}_{\mathcal{A}^C} = 1$$

$$\left| \underbrace{\frac{\Gamma_L - \bar{\Gamma}_R}{\Gamma_L + \bar{\Gamma}_R}}_{\mathcal{A}^{CP}} \right| = 10^{-3} \text{ to } 10^{-1}$$

## What causes direct CP asymmetry?

A process' rate is proportional to the square of its amplitude:

$$\Gamma \propto |A|^2, \quad \text{and} \quad \bar{\Gamma} \propto |\bar{A}|^2 \quad \text{so} \quad \boxed{\mathcal{A}^{\text{CP}} = \frac{|A|^2 - |\bar{A}|^2}{|A|^2 + |\bar{A}|^2}}$$

Let us suppose there are several contributing subprocesses:  $|A_1| > |A_2| > \dots$

$$A = |A_1|e^{i \arg A_1} + |A_2|e^{i \arg A_2} + \dots$$

$$\bar{A} = |\bar{A}_1|e^{i \arg \bar{A}_1} + |\bar{A}_2|e^{i \arg \bar{A}_2} + \dots$$

And that

$$|A_i| = |\bar{A}_i|$$

$$\arg A_i \equiv \delta_i + \phi_i$$

$$\arg \bar{A}_i \equiv \delta_i - \phi_i$$

$\delta_i \equiv$  CP-even phase (“strong phase”)

$\phi_i \equiv$  CP-odd phase (“weak phase”)

## What causes direct CP asymmetry?

For clarity, let's limit to two amplitudes contributing to, e.g., a decay:

$$A = |A_1|e^{i\delta_1}e^{i\phi_1} + |A_2|e^{i\delta_2}e^{i\phi_2}$$
$$\bar{A} = |A_1|e^{i\delta_1}e^{-i\phi_1} + |A_2|e^{i\delta_2}e^{-i\phi_2}$$

$$|A|^2 = |A_1|^2 + |A_2|^2 + |A_1||A_2|\left(e^{i\Delta\delta}e^{-i\Delta\phi} + e^{-i\Delta\delta}e^{i\Delta\phi}\right)$$

$$|\bar{A}|^2 = |A_1|^2 + |A_2|^2 + |A_1||A_2|\left(e^{i\Delta\delta}e^{i\Delta\phi} + e^{-i\Delta\delta}e^{-i\Delta\phi}\right)$$

with

$$\Delta\delta \equiv \delta_2 - \delta_1 \quad \Delta\phi \equiv \phi_2 - \phi_1$$

and

$$\mathcal{A}^{\text{CP}} = \frac{|A|^2 - |\bar{A}|^2}{|A|^2 + |\bar{A}|^2} = \frac{-2|A_1||A_2|\sin\Delta\delta\sin\Delta\phi}{|A_1|^2 + |A_2|^2 + 2|A_1||A_2|\cos\Delta\delta\cos\Delta\phi}$$
$$= -2\frac{|A_2|}{|A_1|}\sin\Delta\delta\sin\Delta\phi + \mathcal{O}\left(\frac{|A_2|^2}{|A_1|^2}\right) \quad \text{for } |A_2| \ll |A_1|$$

## What causes direct CP asymmetry?

$$\mathcal{A}^{\text{CP}} \approx -2 \frac{|A_2|}{|A_1|} \sin \Delta\delta \sin \Delta\phi$$

there is CP violation **if and only if**

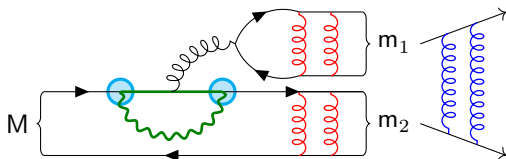
$\frac{|A_2|}{|A_1|} \neq 0 \rightarrow$  more than one process contributes

$\sin \Delta\delta \neq 0 \rightarrow$  nonzero CP-even phase difference between them.

$\sin \Delta\phi \neq 0 \rightarrow$  nonzero CP-odd phase difference between them.

# Where do CP-even and CP-odd phases come from?

Let's focus on mesons decaying into other mesons:  $M \rightarrow m_1 m_2 \dots$



**CP-even phases** can arise from

hadronization:  $(q\bar{q}) \rightarrow m$

final-state interactions

loops

These phases are the same for a process and its CP conjugate

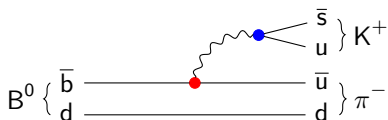
**CP-odd** phases come from

complex couplings  $\implies$  CKM Matrix Elements  $V_{ij}$

which are *complex-conjugated* by CP conjugation:

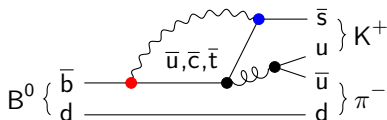
$$x = |x|e^{+i\phi_x} \rightarrow |x|e^{-i\phi_x} = \bar{x}$$

An example:  $B^0 \rightarrow K^+ \pi^-$



$$\equiv T \times V_{ub}^* V_{us}$$

$$\equiv |T| |V_{ub}^* V_{us}| \underbrace{e^{i\delta_T}}_{\text{CP even}} e^{i \arg(V_{ub}^* V_{us})}$$



$$\equiv P \times V_{tb}^* V_{ts}$$

$$\equiv |P| |V_{tb}^* V_{ts}| \underbrace{e^{i\delta_P}}_{\text{CP even}} e^{i \arg(V_{tb}^* V_{ts})}$$

$$A = |T| |V_{ub}^* V_{us}| e^{i\delta_T} e^{+i \arg(V_{ub}^* V_{us})} + |P| |V_{tb}^* V_{ts}| e^{i\delta_P} e^{+i \arg(V_{tb}^* V_{ts})}$$

$$\bar{A} = |T| |V_{ub}^* V_{us}| e^{i\delta_T} \underbrace{e^{-i \arg(V_{ub}^* V_{us})}}_{\text{CP odd}} + |P| |V_{tb}^* V_{ts}| e^{i\delta_P} \underbrace{e^{-i \arg(V_{tb}^* V_{ts})}}_{\text{CP odd}}$$

$$\mathcal{A}^{\text{CP}}(B^0 \rightarrow K^+ \pi^-) = -2 \frac{|P| |V_{tb}^* V_{ts}|}{|T| |V_{ub}^* V_{us}|} \sin \Delta\delta \sin \arg(V_{ub} V_{us}^* V_{tb}^* V_{ts})$$

$$\sin \arg(V_{ub} V_{us}^* V_{tb}^* V_{ts}) = \frac{\text{imag}(V_{ub} V_{us}^* V_{tb}^* V_{ts})}{|V_{ub} V_{us}^* V_{tb}^* V_{ts}|} = \sin \delta_{\text{CP}}$$



## CPV Measurement Examples

This asymmetry has been measured very clearly

$$A^{\text{CP}}(B^0 \rightarrow K^+K^-) = (-8.3 \pm 0.4) \% \quad (\text{PDG2019})$$

But it wasn't the first measurement of CP violation

(Indirect) CPV was first seen in  $K_L^0 \rightarrow \pi\pi$  in 1964.

Direct CPV was first seen in  $K_L^0 \rightarrow \pi\ell\bar{\nu}_\ell$  in the 1990s

$$A_L \equiv \frac{\Gamma(K_L^0 \rightarrow \pi^+\ell^-\bar{\nu}_\ell) - \Gamma(K_L^0 \rightarrow \pi^-\ell^+\nu_\ell)}{\Gamma(K_L^0 \rightarrow \pi^+\ell^-\bar{\nu}_\ell) + \Gamma(K_L^0 \rightarrow \pi^-\ell^+\nu_\ell)} = (3.32 \pm 0.06) \times 10^{-3} \quad (\text{PDG2019})$$

(Direct) CP violation was first observed in  $B_s^0$  mesons via

$$A^{\text{CP}}(B_s^0 \rightarrow K^-\pi^+) = (22.1 \pm 1.5) \% \quad (\text{PDG2019})$$

(Direct) CP violation was first observed in the charm quark sector via

$$\Delta A^{\text{CP}} \equiv A^{\text{CP}}(D^0 \rightarrow K^+K^-) - A^{\text{CP}}(D^0 \rightarrow \pi^+\pi^-) = (-0.161 \pm 0.029) \% \quad (\text{PDG2019})$$

## Measuring $\phi_1$ ( $\beta$ ) and $\phi_2$ ( $\alpha$ )

Let's return to the CKM matrix

We measure  $\phi_1$  in  $b \rightarrow c\bar{c}s$  decays  
by looking at the **time-dependent CP asymmetry** of

$$A^{\text{CP}}(B^0 \rightarrow f; t) = S_f \sin(\Delta mt) - C_f \cos(\Delta mt)$$

with

$$S_f \equiv \frac{2 \text{Im}(\lambda_f)}{1 + |\lambda_f|^2}, \quad C_f \equiv \frac{1 - |\lambda_f|^2}{1 + |\lambda_f|^2}, \quad \lambda_f \propto e^{-2\phi_1}$$

averaging measurements with different final states,  $f$ :

$$\sin 2\phi_1 = 0.691 \pm 0.017$$

(HFLAV)

We measure  $\phi_2$  in  $b \rightarrow u\bar{u}d$  decays ... similar to  $\phi_1$ , but a bit more complicated  
from analysis of B decays to  $\pi\pi$ ,  $\rho\pi$ ,  $\rho\rho$

$$\alpha = (84.5^{+5.9}_{-5.2})^\circ$$

(HFLAV)

## Measuring $\phi_3$ ( $\gamma$ )

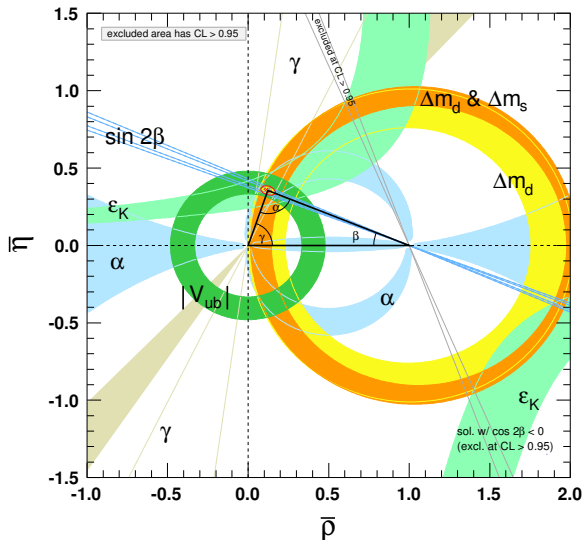
We measure  $\phi_3$  via  $B^\pm \rightarrow DK^\pm$  decays:

$$\gamma = (73.5^{+4.2}_{-5.1})^\circ$$

(PDG2019)

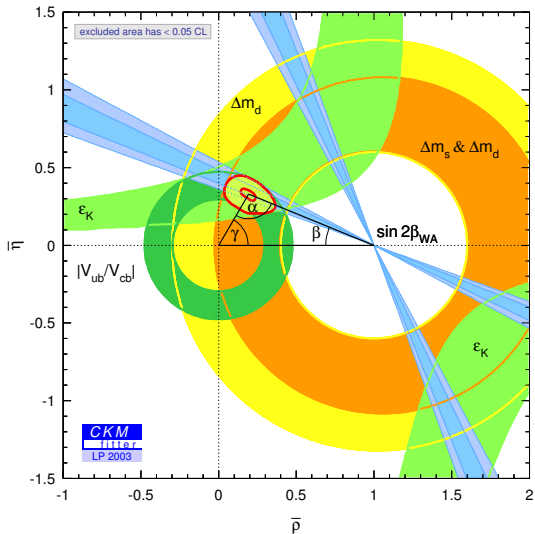
## Putting it all together

We can combine all the information on the angles (and related measurements)



# Putting it all together

We can combine all the information on the angles (and related measurements)



CKMfitter 2003

## So... CP violation... so what?

We've observed CP violation in s (K), c (D), and b (B) decays

Is it just a curiosity to measure?

No: we need CP violation in the world.

We observe that the universe is CP **asymmetric**:

$$\mathcal{A}_{\text{univ}}^{\text{CP}} \equiv \frac{\overbrace{\text{original matter} - \text{original antimatter}}^{\text{observable matter (baryons)}}}{\underbrace{\text{original matter} + \text{original antimatter}}_{\text{observable radiation (photons)}}} \geq \mathcal{O}(10^{-10})$$

known from measurement of the visible baryon density  
and the cosmic-microwave-background radiation.

The existence of our matter-dominated universe violates CP!

## Does the standard model explain this asymmetry?

SM CP violation comes from  $V_{\text{CKM}}$ —from a mismatch between  $M_u$  and  $M_d$

Jarlskog showed that this mismatch can be quantified; and

$$\mathcal{A}_{\text{univ}}^{\text{CP}} \approx 2 m_t^4 m_b^4 m_c^2 m_s^2 |J|/\Lambda^{12} \approx \left(\frac{7 \text{ GeV}}{\Lambda}\right)^{12} |J|$$

Jarlskog's  $|J|$  separates out the  $V_{\text{CKM}}$ -dependent part

$$\begin{aligned} |J| &= \text{the area of any unitarity triangle (with side normalization)} \\ &= |\text{imag}(V_{ij} V_{il}^* V_{kj}^* V_{kl})| \approx \sin \theta_{12} \sin \theta_{23} \sin \theta_{13} \sin \delta_{\text{CP}} \\ &= (3.2 \pm 0.1) \times 10^{-5} \quad \text{[CKMfitter 2018]} \end{aligned}$$

Remaning ingredient:  $\Lambda \equiv$  temperature at which asymmetry is created

$$\Lambda \gtrsim 100 \text{ GeV}$$

So

$$\left(\mathcal{A}_{\text{univ}}^{\text{CP}}\right)_{\text{SM}} \lesssim \mathcal{O}(10^{-19}) \ll \mathcal{O}(10^{-10}) \lesssim \left(\mathcal{A}_{\text{univ}}^{\text{CP}}\right)_{\text{obs}}$$

## New sources of CP violation

$$\left(\mathcal{A}_{\text{univ}}^{\text{CP}}\right)_{\text{SM}} \ll \left(\mathcal{A}_{\text{univ}}^{\text{CP}}\right)_{\text{obs}}$$

so look for sources of CP violation beyond the standard model

look where the standard model expects CP conservation

We can do this, for example, in

charm meson decays , and

$\tau$  lepton decays

(Examples chosen with bias from my own work.)

Of course:

new sources of CP violation are

signs of physics beyond the standard model!



## CP violation in $D \rightarrow \pi\pi$ decays

Initial states:

$$D^+ = c\bar{d}, \quad D^0 = c\bar{u}, \quad \bar{D}^0 = \bar{c}u, \quad D^- = \bar{c}d$$

all spinless; charmed; with isospin =  $\frac{1}{2}$  (carried by the light quark)

Final states:

$$\pi^+\pi^-, \quad \pi^0\pi^0, \quad \pi^+\pi^0$$

all spinless; charmless; superpositions of total isospin = 0, 2

So the decays involve

$$|\Delta C| = 1 \quad \text{and} \quad |\Delta I| = \frac{1}{2} \text{ or } \frac{3}{2}$$

We can decompose the decay amplitudes by isospin transition:

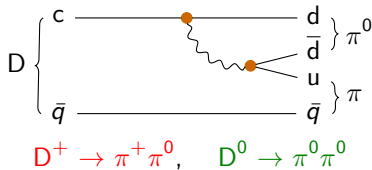
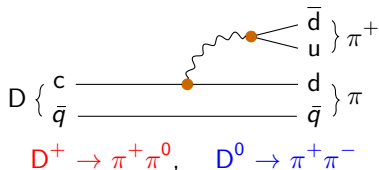
$$A(D^0 \rightarrow \pi^+\pi^-) = \sqrt{2}A_{\frac{3}{2}} + \sqrt{2}A_{\frac{1}{2}}$$

$$A(D^0 \rightarrow \pi^0\pi^0) = 2A_{\frac{3}{2}} - A_{\frac{1}{2}}$$

$$A(D^+ \rightarrow \pi^+\pi^0) = 3A_{\frac{3}{2}}$$

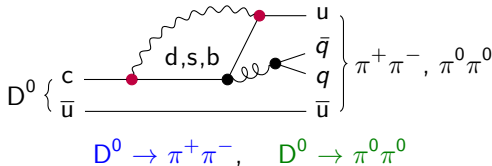
# CP violation in $D \rightarrow \pi\pi$ decays

There are tree-level amplitudes:



$$\propto V_{cd}^* V_{ud} \quad (\bar{d}c)(\bar{u}d) \rightarrow |\Delta\mathbf{I}| = \frac{1}{2}, \frac{3}{2}$$

And loop-level amplitudes:



$$\propto V_{cs}^* V_{us} \quad \sum_q (\bar{u}c)(\bar{q}q) \rightarrow |\Delta\mathbf{I}| = \frac{1}{2}, \frac{3}{2}$$

## CP violation in $D \rightarrow \pi\pi$ decays

$$A_{\frac{1}{2}} = T_{\frac{1}{2}} \times V_{cd}^* V_{ud} + P_{\frac{1}{2}} \times V_{cs}^* V_{us}$$

$$A_{\frac{3}{2}} = T_{\frac{3}{2}} \times V_{cd}^* V_{ud}$$

$$A(D^0 \rightarrow \pi^+ \pi^-) \equiv A_{+-} = \sqrt{2} A_{\frac{3}{2}} + \sqrt{2} A_{\frac{1}{2}}$$

$$A(D^0 \rightarrow \pi^0 \pi^0) \equiv A_{00} = 2 A_{\frac{3}{2}} - A_{\frac{1}{2}}$$

$$A(D^+ \rightarrow \pi^+ \pi^0) \equiv A_{+0} = 3 A_{\frac{3}{2}}$$

$$\mathcal{A}_{+0}^{\text{CP}} = \mathcal{A}_{\frac{3}{2}}^{\text{CP}} = 0$$

$\mathcal{A}_{\frac{1}{2}}^{\text{CP}}$  can be nonzero

$$\mathcal{A}_{\frac{1}{2}}^{\text{CP}} \neq 0 \leftrightarrow \mathcal{A}_{+-}^{\text{CP}} \neq 0, \mathcal{A}_{00}^{\text{CP}} \neq 0$$

## CP violation in $D \rightarrow \pi\pi$ decays

$$\mathcal{A}_{\frac{3}{2}}^{\text{CP}} = \mathcal{A}^{\text{CP}}(D^+ \rightarrow \pi^+\pi^0) \neq 0 \longrightarrow \text{physics beyond the standard model}$$

also, if  $\mathcal{A}^{\text{CP}}(D^0 \rightarrow \pi^+\pi^-) \neq 0$  or  $\mathcal{A}^{\text{CP}}(D^0 \rightarrow \pi^0\pi^0) \neq 0$

but  $\mathcal{A}_{\frac{1}{2}}^{\text{CP}} = 0$

$\longrightarrow$  physics beyond the standard model (also in  $|\Delta I| = \frac{3}{2}$ )

$$\mathcal{A}_{\frac{1}{2}}^{\text{CP}} = \frac{\mathcal{A}_{+-}^{\text{CP}} \mathcal{B}_{+-} + \mathcal{A}_{00}^{\text{CP}} \mathcal{B}_{00} \frac{p_{+-}}{p_{00}} - \frac{2}{3} \mathcal{A}_{+0}^{\text{CP}} \mathcal{B}_{+0} \frac{\tau_0}{\tau_+} \frac{p_{+-}}{p_{+0}}}{\mathcal{B}_{+-} + \mathcal{B}_{00} \frac{p_{+-}}{p_{00}} - \frac{2}{3} \mathcal{B}_{+0} \frac{\tau_0}{\tau_+} \frac{p_{+-}}{p_{+0}}}$$

D lifetime ratio:  $\frac{\tau_0}{\tau_+} = (39.4 \pm 0.3) \%$  [PDG'18]

Breakup momentum ratio:  $\frac{p_{+-}}{p_{00}} = 1 - 0.1 \%$  [PDG'18]

Breakup momentum ratio:  $\frac{p_{+-}}{p_{+0}} = 1 - 0.3 \%$  [PDG'18]

## CP violation in $D \rightarrow \pi\pi$ decays

$$\mathcal{A}_{\frac{3}{2}}^{\text{CP}} = \mathcal{A}^{\text{CP}}(D^+ \rightarrow \pi^+\pi^0) \neq 0 \longrightarrow \text{physics beyond the standard model}$$

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$$\mathcal{A}_{\frac{1}{2}}^{\text{CP}} = \frac{\mathcal{A}_{+-}^{\text{CP}} \mathcal{B}_{+-} + \mathcal{A}_{00}^{\text{CP}} \mathcal{B}_{00} \frac{p_{+-}}{p_{00}} - \frac{2}{3} \mathcal{A}_{+0}^{\text{CP}} \mathcal{B}_{+0} \frac{\tau_0}{\tau_+} \frac{p_{+-}}{p_{+0}}}{\mathcal{B}_{+-} + \mathcal{B}_{00} \frac{p_{+-}}{p_{00}} - \frac{2}{3} \mathcal{B}_{+0} \frac{\tau_0}{\tau_+} \frac{p_{+-}}{p_{+0}}}$$

Branching fractions:

$$\mathcal{B}_{+-} = (1.407 \pm 0.025) \times 10^{-3} \quad [\text{PDG}'18]$$

$$\mathcal{B}_{00} = (8.22 \pm 0.25) \times 10^{-4} \quad [\text{PDG}'18]$$

$$\mathcal{B}_{+0} = (1.17 \pm 0.06) \times 10^{-3} \quad [\text{PDG}'18]$$

## CP violation in $D \rightarrow \pi\pi$ decays

$$\mathcal{A}_{\frac{3}{2}}^{\text{CP}} = \mathcal{A}^{\text{CP}}(D^+ \rightarrow \pi^+\pi^0) \neq 0 \longrightarrow \text{physics beyond the standard model}$$

also, if  $\mathcal{A}^{\text{CP}}(D^0 \rightarrow \pi^+\pi^-) \neq 0$  or  $\mathcal{A}^{\text{CP}}(D^0 \rightarrow \pi^0\pi^0) \neq 0$

but  $\mathcal{A}_{\frac{1}{2}}^{\text{CP}} = 0$

$\longrightarrow$  physics beyond the standard model (also in  $|\Delta I| = \frac{3}{2}$ )

$$\mathcal{A}_{\frac{1}{2}}^{\text{CP}} = \frac{\mathcal{A}_{+-}^{\text{CP}} \mathcal{B}_{+-} + \mathcal{A}_{00}^{\text{CP}} \mathcal{B}_{00} \frac{p_{+-}}{p_{00}} - \frac{2}{3} \mathcal{A}_{+0}^{\text{CP}} \mathcal{B}_{+0} \frac{\tau_0}{\tau_+} \frac{p_{+-}}{p_{+0}}}{\mathcal{B}_{+-} + \mathcal{B}_{00} \frac{p_{+-}}{p_{00}} - \frac{2}{3} \mathcal{B}_{+0} \frac{\tau_0}{\tau_+} \frac{p_{+-}}{p_{+0}}}$$

CP asymmetries:

$$\mathcal{A}^{\text{CP}}(D^0 \rightarrow \pi^+\pi^-) = (0.13 \pm 0.14) \% \quad [\text{PDG}'18]$$

$$\mathcal{A}^{\text{CP}}(D^0 \rightarrow \pi^0\pi^0) = (0.0 \pm 0.6) \% \quad [\text{PDG}'18]$$

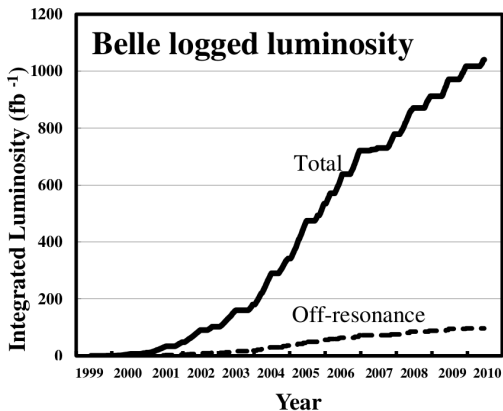
$$\mathcal{A}^{\text{CP}}(D^+ \rightarrow \pi^+\pi^0) = (2.9 \pm 2.9) \% \quad [\text{CLEO, PRD81,052013; 2010}]$$

# $A^{\text{CP}}(D^+ \rightarrow \pi^+ \pi^0)$ at Belle

Belle was an experiment at the KEKB asymmetric  $e^+e^-$  collider in Japan.

$$e^+ (3.5 \text{ GeV}) \longrightarrow \sqrt{s} \approx \text{mass of } \Upsilon(4S) \longleftarrow (8.0 \text{ GeV}) e^-$$

Over a decade, Belle collected approx.  $1 \text{ ab}^{-1}$  of integrated luminosity.

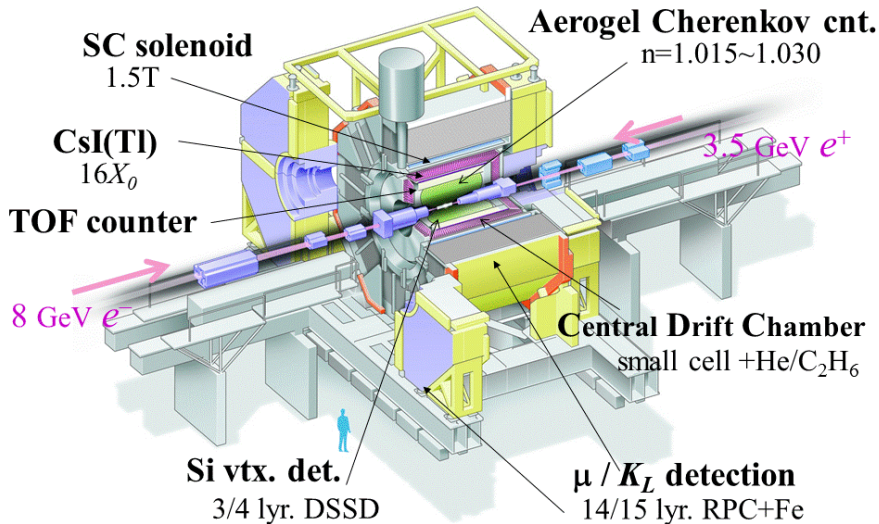


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|                |                         |
|----------------|-------------------------|
| $\Upsilon(4S)$ | $711.0 \text{ fb}^{-1}$ |
| Off resonance  | $122.4 \text{ fb}^{-1}$ |
| $\Upsilon(5S)$ | $121.4 \text{ fb}^{-1}$ |
| $\Upsilon(2S)$ | $24.9 \text{ fb}^{-1}$  |
| $\Upsilon(1S)$ | $5.7 \text{ fb}^{-1}$   |
| $\Upsilon(3S)$ | $2.9 \text{ fb}^{-1}$   |

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# $\mathcal{A}^{\text{CP}}(D^+ \rightarrow \pi^+\pi^0)$ at Belle





## $A^{\text{CP}}(D^+ \rightarrow \pi^+\pi^0)$ at Belle: measurement principle

- Out of the Belle data, we select for events with  $D^\pm \rightarrow \pi^\pm\pi^0$
- We fit to the  $D^\pm$  mass distributions to get the numbers of signal events
- We calculate the asymmetry.

But

$$\frac{N(D^+ \rightarrow \pi^+\pi^0) - N(D^- \rightarrow \pi^-\pi^0)}{N(D^+ \rightarrow \pi^+\pi^0) + N(D^- \rightarrow \pi^-\pi^0)} \equiv A_{\pi\pi}^{\text{raw}} \approx A_{+0}^{\text{CP}} + A_D^{\text{FB}} + A_\pi^{\text{det}}$$

$$\frac{N(D^+ \rightarrow \pi^+K_S^0) - N(D^- \rightarrow \pi^-K_S^0)}{N(D^+ \rightarrow \pi^+K_S^0) + N(D^- \rightarrow \pi^-K_S^0)} \equiv A_{\pi K}^{\text{raw}} \approx A_{\pi K}^{\text{CP}} + A_D^{\text{FB}} + A_\pi^{\text{det}}$$

So

$$A^{\text{CP}}(D^+ \rightarrow \pi^+\pi^0) = A_{\pi\pi}^{\text{raw}} - A_{\pi K}^{\text{raw}} + \underbrace{A^{\text{CP}}(D^+ \rightarrow \pi^+K_S^0)}_{(0.363 \pm 0.115) \% \text{ [Belle]}}$$

## $A^{\text{CP}}(D^+ \rightarrow \pi^+ \pi^0)$ at Belle: event selection

To reconstruct  $D^\pm \rightarrow \pi^\pm \pi^0$ , we require

- a good charged track, identifiable as a pion
- two good photons consistent with coming from a neutral pion
- $m(\pi^\pm \pi^0)$  within 200 MeV of the nominal  $D^\pm$  mass (1870 MeV)

To reconstruct  $D^\pm \rightarrow \pi^\pm K_S^0$ , we require

- a good charged track, identifiable as a pion
- two further good charged pions, consistent with coming from a  $K_S^0$
- $m(\pi^\pm K_S^0)$  within 80 MeV of the nominal  $D^\pm$  mass

We divide events into two groups:

- “Tagged” events:  $D^* \rightarrow \pi^0 D^+$ ,  
with  $|m(\pi^0 D^+) - m(D^+)|$  consistent with nominal mass difference.
- “Untagged” events: events not classifiable as “Tagged”

# $A^{\text{CP}}(D^+ \rightarrow \pi^+\pi^0)$ at Belle: the fit

Both tagged and untagged data contain

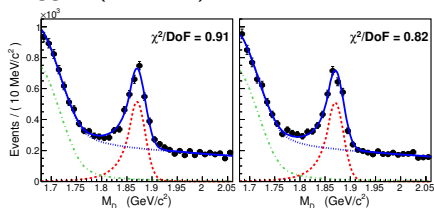
Signal

Combinatoric Background

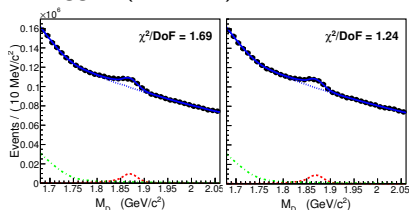
Misreconstructed D and  $D_s$  Background

We fit  $D^+$  and  $D^-$  mass distributions in tagged and untagged data separately, with  $A_{\pi\pi}^{\text{raw}}$  a free parameter in the fits

Tagged ( $D^+$ ,  $D^-$ )



Untagged ( $D^+$ ,  $D^-$ )



Signal yields:  $6632 \pm 256$  tagged events;  $100\,934 \pm 1952$  untagged events.

$$A_{\pi\pi}^{\text{raw}} - A_{\pi K}^{\text{raw}} = (+2.67 \pm 1.24 \pm 0.20) \%$$

# $A^{\text{CP}}(D^+ \rightarrow \pi^+ \pi^0)$ at Belle: results

The CP asymmetry is

$$A^{\text{CP}}(D^+ \rightarrow \pi^+ \pi^0) = (+2.31 \pm 1.24 \pm 0.23) \%$$

including 0.12% uncertainty from  $A^{\text{CP}}(D^+ \rightarrow \pi^+ K_S^0)$ .

And we update

$$\mathcal{A}_{\frac{1}{2}}^{\text{CP}} = \frac{\mathcal{A}_{+-}^{\text{CP}} \mathcal{B}_{+-} + \mathcal{A}_{00}^{\text{CP}} \mathcal{B}_{00} \frac{p_{+-}}{p_{00}} - \frac{2}{3} \mathcal{A}_{+0}^{\text{CP}} \mathcal{B}_{+0} \frac{\tau_0}{\tau_+} \frac{p_{+-}}{p_{+0}}}{\mathcal{B}_{+-} + \mathcal{B}_{00} \frac{p_{+-}}{p_{00}} - \frac{2}{3} \mathcal{B}_{+0} \frac{\tau_0}{\tau_+} \frac{p_{+-}}{p_{+0}}}$$

Using the CLEO measurement of  $\mathcal{A}_{+0}^{\text{CP}} = (2.9 \pm 2.9) \%$

$$\mathcal{A}_{\frac{1}{2}}^{\text{CP}} = (-3.5 \pm 5.4) \times 10^{-3}$$

And with the updated  $\mathcal{A}_{+0}^{\text{CP}}$  measurement:

$$\mathcal{A}_{\frac{1}{2}}^{\text{CP}} = (-2.6 \pm 3.4) \times 10^{-3}$$

(PRD97, 011101(R), 2018)

## Could there be new physics in CPV in $\tau$ decay?

Let's leave the quark sector now:

In the standard model, we expect no direct CP violation in  $\tau$  decay

But, we do expect CP violation in K decay.

$$A^{\text{CP}}(\tau^+ \rightarrow \bar{\nu}_\tau K^0 \pi^+) = \frac{\int \eta(t) \left[ \Gamma_{K \rightarrow \pi\pi}(t) - \Gamma_{\bar{K} \rightarrow \pi\pi}(t) \right] dt}{\int \eta(t) \left[ \Gamma_{K \rightarrow \pi\pi}(t) + \Gamma_{\bar{K} \rightarrow \pi\pi}(t) \right] dt}$$

taking into account the time-dependent  $[\pi\pi]_K$  detection efficiency,  $\eta(t)$ .

[JHEP.04.2012.002, 2012]

The BaBar experiment measured this asymmetry:

with their  $\eta(t)$ , they expected

$$A_{\text{SM}}^{\text{CP}}(\tau^+ \rightarrow \bar{\nu}_\tau K^0 \pi^+) = (0.36 \pm 0.01) \%$$

but measured

[Phys. Rev. D 85, 031102(R), 2012]

$$A^{\text{CP}}(\tau^+ \rightarrow \bar{\nu}_\tau K^0 \pi^+) = (-0.36 \pm 0.23 \pm 0.11) \%$$

**At odds with the standard model at 2.8 standard deviations!**

## $\tau$ : Lepton Flavor Violation

Another test for physics beyond the standard model:

look for violation of lepton flavor number

A normal decay:  $\tau^- \rightarrow \nu_\tau \mu^- \bar{\nu}_\mu$

$$\underbrace{L_\tau(\tau^-)}_1 = \underbrace{L_\tau(\nu_\tau)}_1 + \underbrace{L_\tau(\mu^-)}_0 + \underbrace{L_\tau(\bar{\nu}_\mu)}_0$$

$$\underbrace{L_\mu(\tau^-)}_0 = \underbrace{L_\mu(\nu_\tau)}_0 + \underbrace{L_\mu(\mu^-)}_1 + \underbrace{L_\mu(\bar{\nu}_\mu)}_{-1}$$

A LFV'ing decay:  $\tau^- \rightarrow \mu^- \gamma$

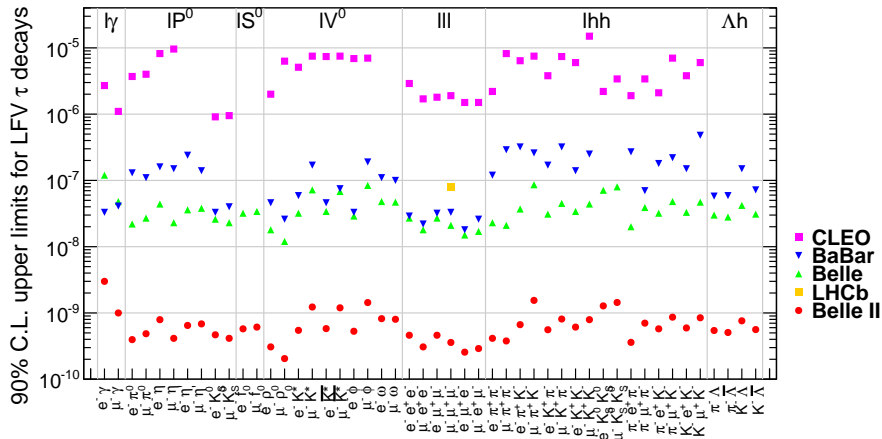
$$\underbrace{L_\tau(\tau^-)}_1 \neq \underbrace{L_\tau(\mu^-)}_0 + \underbrace{L_\tau(\gamma)}_0$$

$$\underbrace{L_\mu(\tau^-)}_0 \neq \underbrace{L_\mu(\mu^-)}_1 + \underbrace{L_\mu(\gamma)}_0$$

# $\tau$ : Lepton Flavor Violation

Another test for physics beyond the standard model:

look for violation of lepton flavor number



## Lepton flavor universality violation

A similar search for physics beyond the standard model:

look for differences between the lepton generations (in decays)

for example:

$$\frac{\Gamma(\text{B} \rightarrow \text{K} \mu^+ \mu^-)}{\Gamma(\text{B} \rightarrow \text{K} e^+ e^-)} \equiv \mathcal{R}_K^{\mu e}$$

this is predicted and measured in different ranges of  $q^2 \equiv m_{\ell\ell}^2$

In the low- $q^2$  range  $[1, 6] \text{ GeV}^2$ , LHCb measured

$$\mathcal{R}_K^{\mu e} = 0.745_{-0.074}^{+0.090} \pm 0.036 \quad (\text{PRL 113, 151601})$$

at tension with the SM prediction at  $2.6\sigma$ :

$$\mathcal{R}_K^{\mu e} = 1 + (3_{-0.7}^{+1.0}) \times 10^{-4} \quad (\text{JHEP12(2007)040})$$

(BaBar and Belle have measured values consistent with the SM within  $1\sigma$ )



# Summary

Flavor physics is a rich field, with many activities aiming at

- precisely measuring the (flavor) parameters of the standard model, e.g.

- $|V_{ij}|$
- unitarity triangle angles

by measuring the properties of particles and their decays, e.g.

- branching fractions
- CP asymmetries
- searching for signs of new physics
  - observing SM-forbidden CP asymmetries
  - observing SM-forbidden decays
  - over-constraining the SM parameter space

Some topics I did not cover, that I recommend looking up on your own time:

- the kaon sector ( $\epsilon$  and  $\epsilon'$ )
- neutral meson mixing ( $K^0$ ,  $D^0$ ,  $B^0$ ,  $B_s$ )
- rare decay searches
- the lepton flavor sector (neutrinos and the PMNS matrix)