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## String Vacua : Implications to Cosmology/Astrophysics

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arXiv: 1803.08941 ; 1809.05060 ; 1901.05075 ; 1909.10525

*S.F. King (Southampton) G.G. Ross (Oxford), Q. Shafi (Delaware),*

arXiv: 1005.1025 ; 1009.6000 ; 1706.08372 ; 1608.04746

## Outline of the Talk

- ▲ **Introductory** remarks
- ▲ **de Sitter vacua** in String Theory?
- ▲ **Inflation**
- ▲  **$\mathcal{F}$ -Theory GUTs** and low energy Phenomenology
- ▲ **Concluding Remarks**

## A few facts about Cosmology and de Sitter Vacua

▲ *Major Observational Discovery*  $\sim$  20 years ago:

∃ ongoing **Accelerating Expansion of the Universe**

▲ *Standard Interpretation:*

Universe dominated by **Dark Energy** permeating all of space

▲ *in G.R. framework:*

... expressed in Einstein's eqs with a positive cosmological constant (*see review by Peebles, Ratra: astro-ph/0207347*):

$$\Lambda \approx 10^{-120} \text{ (in 4-d Planck units)}$$

or equivalently,

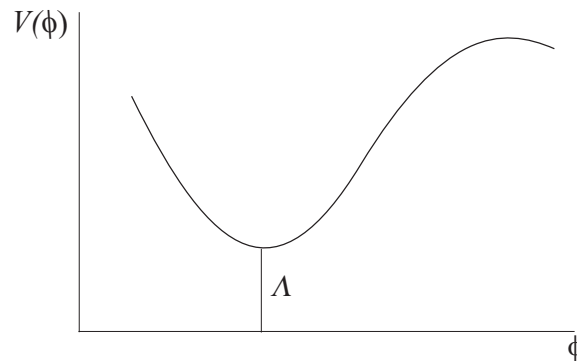
**positive vacuum energy**

▲ *Simple Effective Field Theory description:*

with a scalar field,  $\phi$  acquiring

Potential Energy  $V(\phi)$

with **positive** vacuum energy:



▲ **de Sitter vacua** ▲

*...with some additional requirements:  $\phi \rightarrow$  inflaton*

suitable for **slow roll inflation**

*(see works of Linde, Albrecht, Steinhardt, Shafi, Lazarides...)*

## However!

▲ Finding de Sitter vacua in String Theory is a real challenge ▲

▲ In *String Theory* one starts with 10-d spacetime

$$R^{(3,1)} \times \mathcal{M}^{(6)}$$

▲  $\mathcal{M}^{(6)} \rightarrow$  (compact) Calabi-Yau manifold of radius  $r_c$ .

▲ Classical SUGRA Eqs invariant under *rescalings* of  $r_c \rightarrow$ .

$\Rightarrow \forall$  *solution* determining low energy Effective Theory (e.g. SM)

...changing  $r_c$ ,  $\exists$  family of solutions

▲ In 4-dimensions  $r_c$  corresponds to a massless scalar field ▲

A more general phenomenon:

▲ **Deformations** of compactification correspond to  
⇒ **massless scalars** ( **moduli** ) in **4-dimensions**

▲ *Some common classes of moduli*

1. ▲ **Dilaton**  $e^\phi = \frac{1}{g_s}$ , ( $g_s$  : *string coupling*)

Controls the worldsheet perturbative expansion of the theory

2. ▲ **Axions**

Harmonic forms -associated with  $p$ -form potentials ( $C_p$ )- which do not affect the field strengths:

$$F_{p+1} = dC_p$$

▲ Scalars  $C_0, \phi$  → combined to **axion-dilaton modulus**:

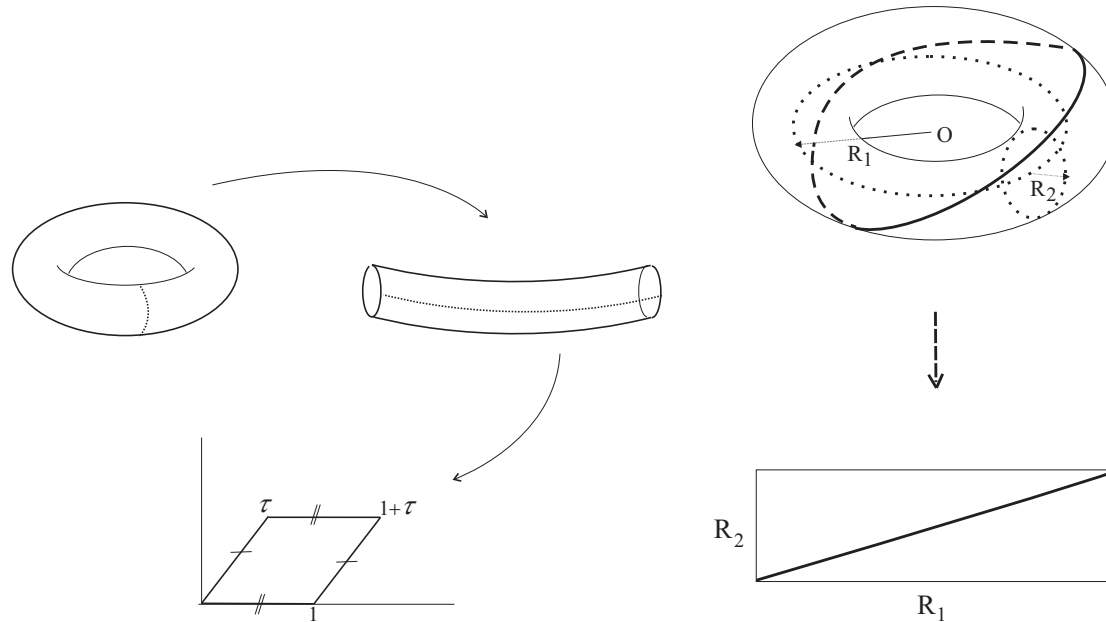
$$S = C_0 + i e^\phi \rightarrow C_0 + i g_s^{-1}$$

3.  $z_a$  : **Complex Structure (CS) moduli** ... related to shape →

... analogous to the complex structure  $\tau$  of the 2-torus  $\mathcal{T}^2$

4.  $T_i$  : **Kähler** (*size*) moduli analogous to the overall size of  $\mathcal{T}^2$ .

5. **Brane** deformations (non-perturbative objects)



**Left:** A 2-torus described by **CS** structure modulus  $\tau$ .

**Right:** A non-perturbative “object” called **D-brane** is wrapped around a 2-torus (  $\mathcal{M}^{(6)}$  usually taken  $\mathcal{T}^2 \times \mathcal{T}^2 \times \mathcal{T}^2$  )

⇒ *Moduli fields ubiquitous* in *CY* compactifications

They are characterised by vanishing classical potential  $V_{cl.} \equiv 0$

This raises several cosmo/astro **issues**, associated with:

- Implications on **cosmological evolutions**
- *Problems in Big-Bang nucleosynthesis*
- If coupled gravitationally to matter ⇒ *long range* forces  
... and a few interesting features:
  - ▲ Possible **dark matter** component
  - ▲ **Inflaton** candidates

▲ **Arduous**, however **Important Task**: ▲

to generate a potential (*including quantum corrections*) and assure **positive mass-squared** for all moduli fields. This is called:

⇒ *Moduli Stabilisation* ⇐



## Zeitgeist

- ▲  $\exists$  enormous number of String Vacua forming the so called:  
String Landscape ( $\mathcal{L}$ )

Ongoing debate on the existence of dS vacua  $\in \mathcal{L}$

Reason: *Some discouraging no-go theorems and conjectures*

- ▲ *Maldacena-Nunez no-go theorem (hep-th/0007018):*

No stable dS compactifications of 10-d SUGRA

- ▲ *Vafa et al conjecture (hep-th/1806.08362):*

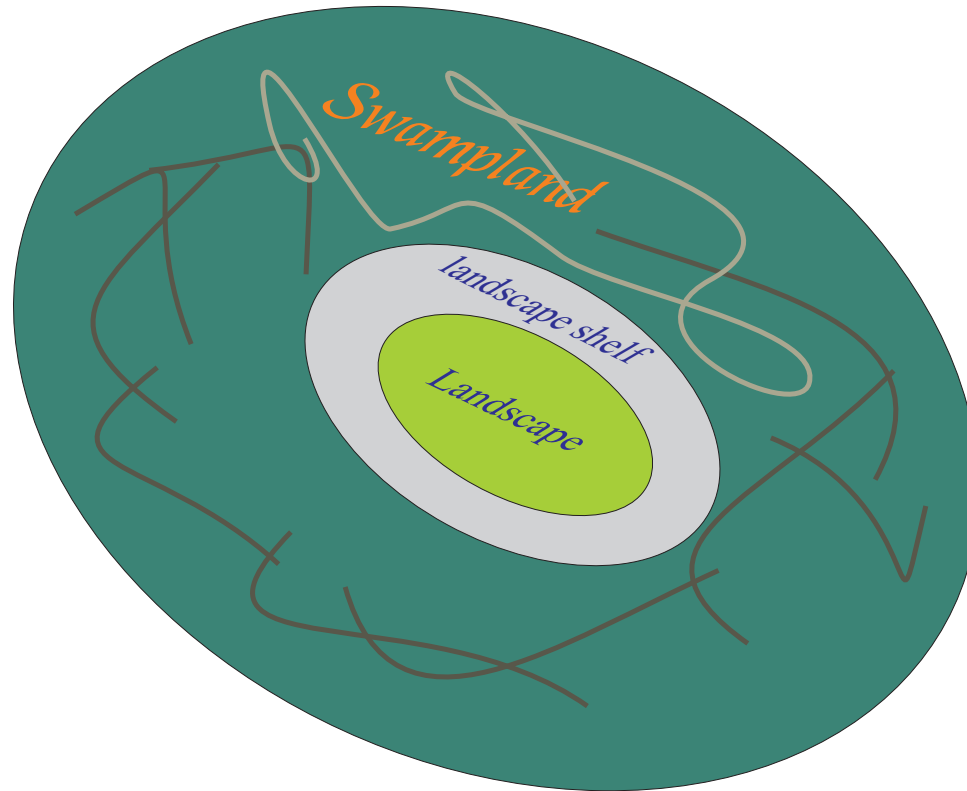
*The scalar field potential  $V(\phi)$  of a consistent field theory ( in the sense that  $\exists$  UV completion) satisfies constraints such as:*

$$\frac{|\nabla V|}{V} \geq \frac{c}{M_{Pl.}} \quad ; \quad \frac{\min(\nabla_i V \nabla_j V)}{V} \leq -\frac{c'}{M_{Pl.}^2}, \quad c, c' > 0$$

i.e., according to the *spirit of time* ... all dS vacua fall into the:

## SWAMPLAND!

*a*



*String landscape is surrounded by a vast **swampland** of inconsistent field theories of  $dS$  vacua... (according to recent conjectures...)*

**Grayzone** populated by 'stringy'  $dS$  vacua **not** unanimously adopted

*A*

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*Fist part of this Talk*

- ▲ Propose a solution to the **Moduli** Stabilisation problem
- ▲ Examine whether a *dS* vacuum exists in String Theory  
(... based on **perturbative** quantum corrections **only!**)
- ▲ If **yes**,  
examine if **slow roll inflation** can be accommodated.

(Working in the context of Type II-B effective Supergravity)

### Basic ‘ingredients’:

- Superpotential  $\mathcal{W}$  and,
- Kähler potential  $\mathcal{K}$  used to compute the scalar potential of the effective field theory
- ▲ Supersymmetric conditions ( $\mathcal{W}_0 = f(z_a, S)$  but not of  $T_i$ )

$$D_{z_a} \mathcal{W}_0 = 0$$

fix the values of the CS moduli  $z_a$ .

- ▲ Kähler moduli  $\notin \mathcal{W}_0 \Rightarrow$  remain unfixed! ▲

▲ The **Kähler potential** depends on all types of **Moduli**:

$$\mathcal{K}_0 = - \sum_{i=1}^3 \ln(-i(T_i - \bar{T}_i)) - \ln(-i(S - \bar{S})) - \ln(i \int \Omega \wedge \bar{\Omega}) .$$

▲ However, at the **classical** level the **scalar potential** is **identically zero**

$$V = e^{\mathcal{K}} \left( \sum_{I,J} \mathcal{D}_I \mathcal{W}_0 \mathcal{K}_0^{I\bar{J}} \mathcal{D}_{\bar{J}} \mathcal{W}_0 - 3|\mathcal{W}_0|^2 \right) \equiv 0$$

⇒ ...due to **no scale** structure of the Kähler potential, the **Kähler moduli** remain completely **undetermined**!

⇒ ...need to include **Quantum corrections** ...

“breaking” **no-scale structure**:

**two types:**

1.  **$\alpha'$ -corrections** (*Beckers, Haack, Louis, hep-th:0204254*)

$$\xi = -\frac{\zeta(3)}{4(2\pi)^3} \chi$$

2. **Perturbative string loop-corrections**

*important in the presence of **D-branes***

(*Antoniadis, Chen, G.K.L.: hep-th/1803.08941, 1909.10525*)

$$\delta = \sum_{i=1}^3 \gamma_i \log(\tau_i)$$

▲▲ Then, Kähler potential takes the form:

$$\mathcal{K} = -\ln[-i(S - \bar{S})] - 2 \ln(\hat{\mathcal{V}} + \hat{\xi} + \hat{\delta})$$

where the compactification 6-d volume  $\mathcal{V}$  is a function of the **Kähler** moduli, taken here to be

$$\mathcal{V}(\tau_i) = \sqrt{\tau_1 \tau_2 \tau_3}$$

▲▼  $\xi$  and  $\delta$  break **no-scale** structure of **Kähler potential**.

Minimisation of  $V_{\text{eff}}$  implies a positive minimum

$$V_{\text{eff}} \propto \gamma \frac{\ln \mathcal{V} - 4}{\mathcal{V}^3} + \frac{d}{\mathcal{V}^2} > 0$$

for suitable parameter space  $\gamma, d$

*INFLATION*

*I. Antoniadis, Y. Chen, GKL*

*(hep-th/1809.05060)*



Although a  $dS$  minimum exists, allowed region too restrictive.  
Additional requirements for *slow-roll* inflation hard to be met.

way out of this impasse ?

introducing a nilpotent field  $X$ ,  $X^2 = 0$ , it uplifts the scalar potential

$$V_{up} = \frac{f^2}{\mathcal{V}^{2-2n}}$$

▲ Total scalar potential

$$V_{total} = V + V_{up}$$

**Slow-roll inflation** occurs when a scalar field  $\phi$  starting from top of the potential  $V(\phi)$ , rolls down slowly compared to Universe's expansion  $H = \frac{\dot{a}}{a}$ .

Then, equation of motion can be approximated:

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) \approx 3H\dot{\phi} + V'(\phi) = 0$$

- Then, **slow-roll** conditions follow and are satisfied in this model.

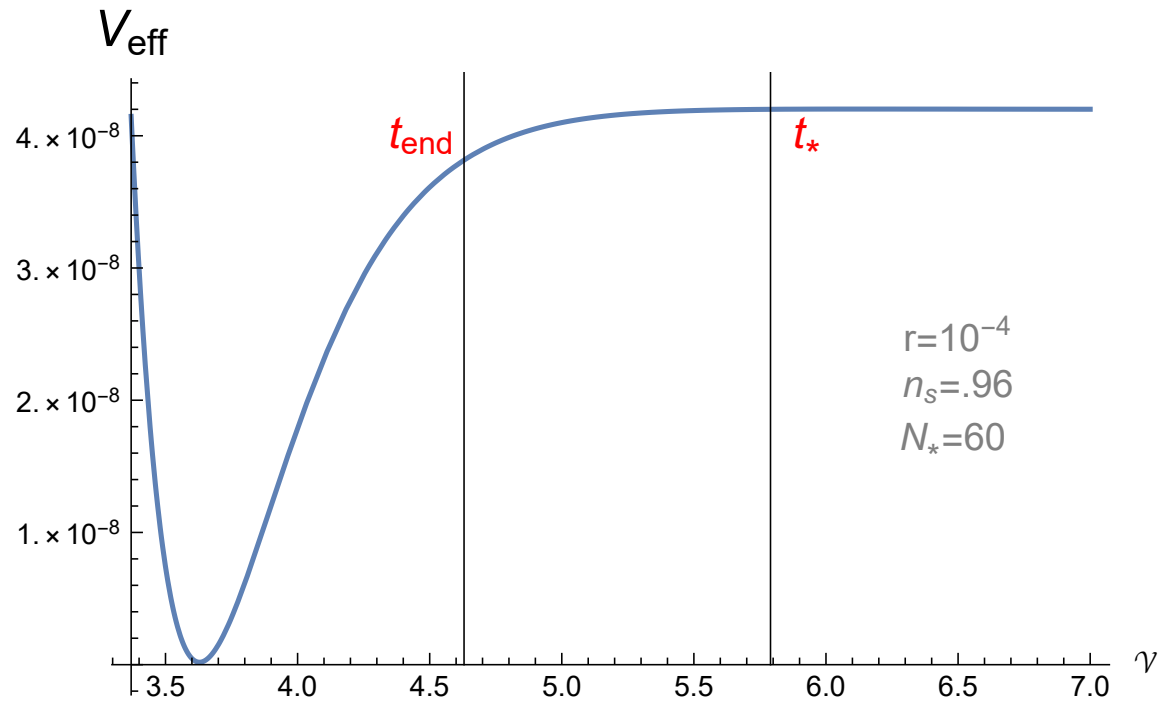
In particular:

Number of **e-folds**:

$$N_* = \int_{t_{end}}^{t_*} \frac{V}{V_t} dt \sim 50 - 60$$

Spectral index (*variation of density perturbations w.r.t. scale*):

$$n_s = 1 - 3 \left( \frac{V_t}{V} \right)^2 + 2 \frac{V_{tt}}{V} \Big|_{t_*} \approx 0.96$$



*Plot of  $V_{\text{eff}}$  vs  $\nu$ . Inflation occurs between  $t_*$  and  $t_{\text{end}}$*

Part  $\mathcal{B}$

GUTs from a *Geometric* perspective of *String Theory*

*a.k.a.*

*F-theory*

(*C. Vafa 1996*)

*Ordinary* GUTs vs F-GUTs

★ interesting features

- ▲ Gauge coupling unification
- ▲ Assembling of SM fermions in a few irreps. ( $\in \bar{5}, 10$ .)
- ▲ Charge Quantisation

★ deficiencies

- ▲ fermion mass hierarchy and mixing not predicted
- ▲ Yukawa Lagrangian poorly constrained
- ▲ Baryon number non-conservation

... Solution requires new insights ... such as:

Discrete and  $U(1)$  symmetry extensions

- ▲ These appear naturally in F-Theory constructions ▲

## New Ingredients from F-theory

★ **Discrete** and  $U(1)$  symmetries:

- necessary tools to suppress or eliminate undesired superpotential terms

★ **Fluxes** :

- ... truncate GUT irreps, eliminate **coloured Higgs** triplets, induce chirality...

★ “Internal” **Geometry** :

- ... determines SM arbitrary parameters from a handful of **topological properties**

*F-theory and Elliptic Fibration*  
(*explaining with a few pictures ...*)

...let's first recall some mathematical facts...

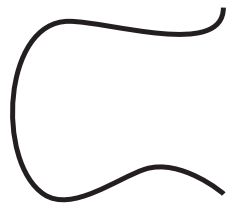


★ Weierstrass equation (complex coefficients  $\rightarrow$  torus)

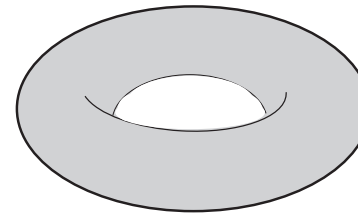
$$y^2 = x^3 + fx + g$$

*Real*

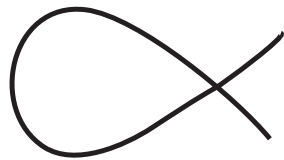
*Complex*



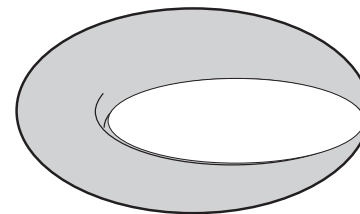
$$\Delta \neq 0$$



*non-singular elliptic curve*



$$\Delta = 0$$



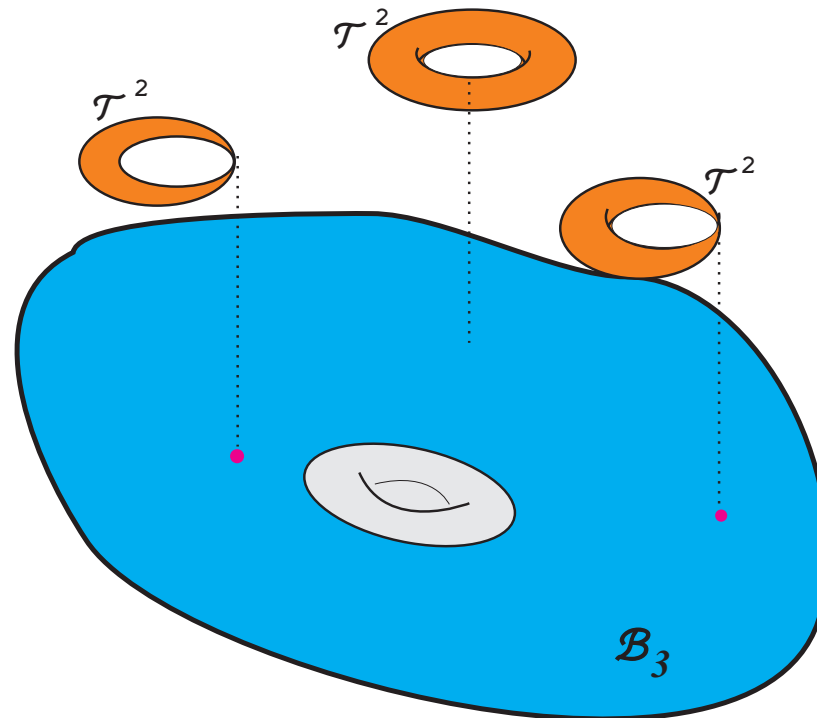
*singular elliptic curve*

*Non-singular curve “upgrades” to normal torus*

*Singular curve corresponds to torus with a pinched radius.*

In F-theory the internal space is “*enhanced*” by two extra “*dimensions*” of a torus  $S = C_0 + ie^{-\phi}$  (recall  $C_0, e^{\phi} \rightarrow$  **moduli**) and it is described by the *Weierstraß Equation*

This is called  $\rightarrow$  *elliptic fibration*



$\exists$  singularities of fibration (**red points**: pinched torus)

Interpretation:  $\exists$  non-perturbative ‘objects’, a.k.a. **7-branes**  $\perp B_3$

These **geometric singularities** have been classified by mathematicians (Kodaira '60s) and it was found that they belong to one of the Lie group structures  $\mathcal{A}, \mathcal{D}, \mathcal{E}$ .

### Interpretation of geometric singularities



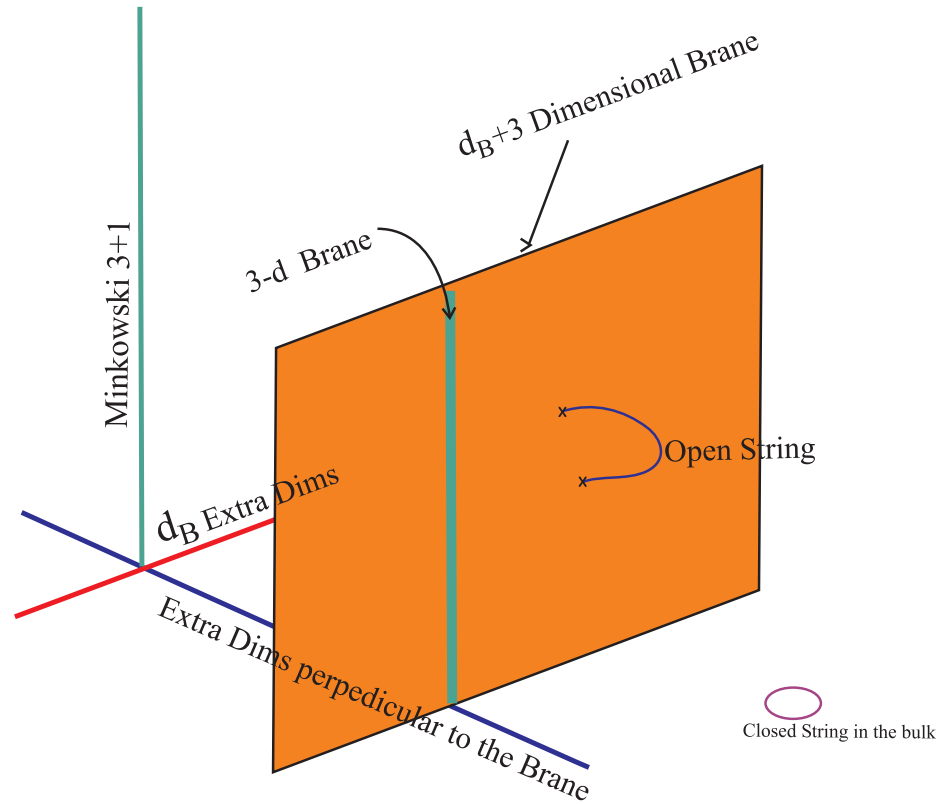
$CY_4$ -**Singularities**  $\Leftrightarrow$  gauge symmetries

$$\text{Groups} \rightarrow \begin{cases} \mathcal{A} \rightarrow SU(n) \\ \mathcal{D} \rightarrow SO(m) \\ \mathcal{E}_n \text{ (exceptional } \mathcal{E}_{8,7,6,\dots}) \end{cases}$$

The **Kodaira** classification: w.r.t. vanishing order of the polynomials  $f, g$  and the discriminant  $\Delta = 4f^3 + 27g^2$ .  
 (see Morrison, Vafa hep-th/9603161)

ord( $f$ )	ord( $g$ )	ord( $\Delta$ )	fiber type	Singularity
0	0	$n$	$I_n$	$A_{n-1}$
$\geq 1$	1	2	$II$	none
1	$\geq 2$	3	$III$	$A_1$
$\geq 2$	2	4	$IV$	$A_2$
2	$\geq 3$	$n + 6$	$I_n^*$	$D_{n+4}$
$\geq 2$	3	$n + 6$	$I_n^*$	$D_{n+4}$
$\geq 3$	4	8	$IV^*$	$\mathcal{E}_6$
3	$\geq 5$	9	$III^*$	$\mathcal{E}_7$
$\geq 4$	5	10	$II^*$	$\mathcal{E}_8$

## Basic ingredient in F-theory: 7 - brane



*Example of a brane immersed in the higher dimensional space.*

▲ GUTs are associated with 7-branes wrapping certain classes of ‘*internal*’ 2-complex dim. surface:  $\mathbf{S} \subset B_3$ .

▲ Other 7-branes in transverse dimensions, intersect  $\mathbf{S}$ .

▲ MSSM particles reside on these Riemann Surfaces.

▲  $\lambda_{t,b}$ -Yukawas localised at triple intersections

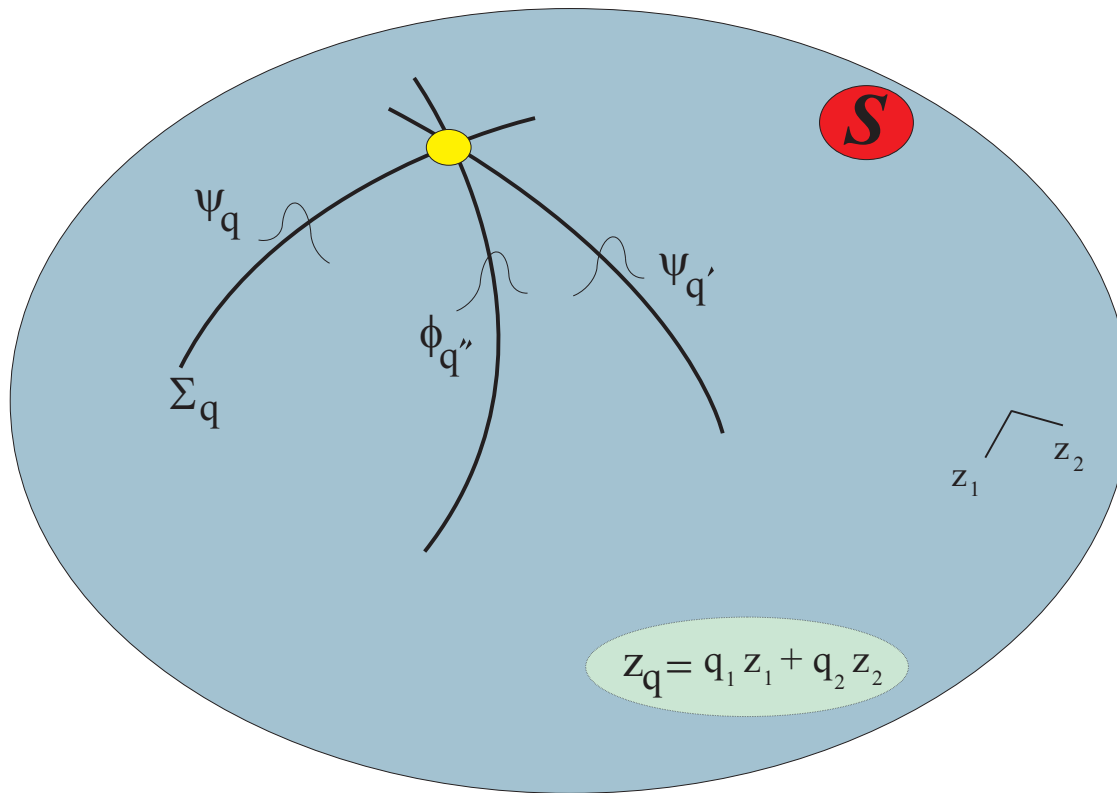
▲ Solving a system of EoM one finds that:

▲ Matter and Higgs Particles characterised by Wavefunctions with Gaussian profile:

$$\psi \sim f(z_i) e^{-M|z_i|^2}$$

Strength of Yukawa coupling  $\propto$  integral of overlapping  $\psi$ 's

$$\lambda_{ij} \propto \int \psi_i(z_1, z_2) \psi_j(z_1, z_2) \psi_H(z_1, z_2) dz_1 \wedge dz_2$$



### *Phenomenology*

Using stringy mechanisms (e.g. fluxes, Wilson lines...), original  
Exceptional group  $\mathcal{E}_8$  breaks down to

$$\mathcal{E}_8 \supset SU(5) \times SU(5)_\perp \supset SU(5) \times U(1)^n, \quad 1 \leq n < 4$$

▲  $U(1)$ 's act as family symmetries

▲ Note that  $SU(5)_\perp \rightarrow U(1)^4$

but... **monodromies** ( $=Z_k$ ), identify some of the  $U(1)$ 's

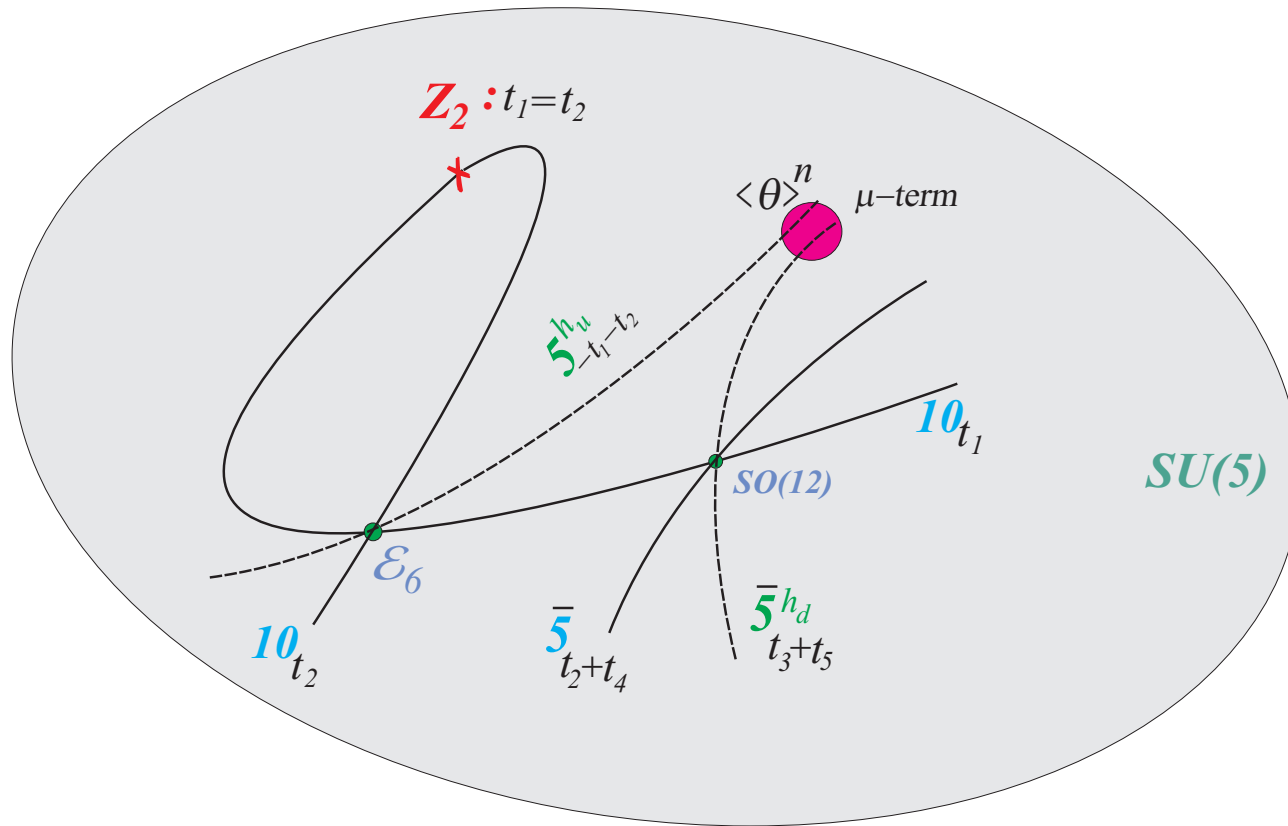


*Example of a  $Z_2$ -monodromy model (GKL & GG Ross 1009.6000)*

$SU(5), U(1)_i$	SM spectrum	Exotics	$R$ -parity
$10_i, t_i$	$Q_i, u_i^c, e_i^c$	–	–
$\bar{5}_1, t_3 + t_4$	$d_1^c, \ell_1$	–	–
$\bar{5}_2, t_1 + t_3$	$d_2^c, \ell_2$	–	–
$\bar{5}_3, t_1 + t_4$	$d_3^c, \ell_3$	–	–
$5_{H_u}, -2t_1$	$H_u$	$D$	+
$\bar{5}_{H_d}, t_3 + t_5$	$H_d$	–	+
$5_x, -(t_1 + t_5)$	–	$(H_{u_i}, D_i)_{i=1, \dots, n}$	+
$\bar{5}_{\bar{x}}, t_4 + t_5$	–	$D^c + (H_{d_i}, D_i^c)_{i=1, \dots, n}$	+
$\theta_{12,21}, \theta_0$		$S$ (singlets)	–

*(for phenomenological aspects see GKL and Q. Shafi: 1706.08372)*

Geometric picture of a generic  $SU(5)$  model with  $Z_2$  monodromy



Resulting quark mass matrices of **Froggatt-Nielsen** type,  
for example:

$$M_u = \begin{pmatrix} 0.09 \theta_{14}^2 \theta_{43}^2 & 0.22 \theta_{14}^2 \theta_{43} & 0.16 \theta_{14} \theta_{43} \\ 0.22 \theta_{14}^2 \theta_{43} & 0.18 \theta_{14}^2 & 0.22 \theta_{14} \\ 0.16 \theta_{14} \theta_{43} & 0.22 \theta_{14} & 0.35 \end{pmatrix}$$

where  $\theta_{ij}$  singlets with VEVs  $\sim 10^{-1}$ .

*In general, F-models predict*

i) **Heavy Quark/LeptoQuark** states in vector-like pairs

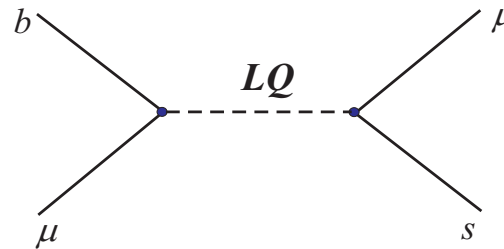
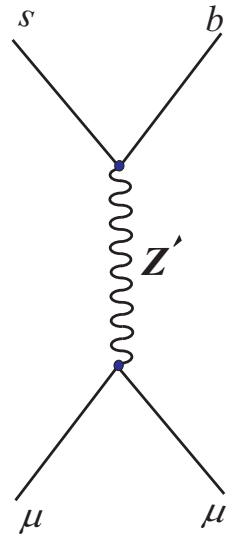
$$Q + \bar{Q}, D + \bar{D}, \dots$$

*(In some cases their masses can be in the few TeV range.)*

ii) neutral gauge bosons  $Z'$ , which couple differently to families,  
**violating:**

**Lepton Flavour Universality**

Graphs:  $Z'$  boson and Leptoquark contributions to  $b \rightarrow s\mu^+\mu^-$



*focusing* on  $B$ -meson anomalies...

$Z'$  contribution to  $B^+ \rightarrow K^+\mu^+\mu^-$  (see 1710.02349)

## ★ Conclusions ★

### *Interesting Predictions of Effective String Theory Models*

Plenty of scalars (**moduli**) with implications:

- Cosmological Evolution, Inflation
- Localised, long-lived, non-linear excitations (Astrophysics)

### BSM Physics:

- Heavy Quark states (usually vector-like  $Q + \bar{Q}, D + \bar{D}$ )
- Novel neutrino states (“sterile?”) and,  
KK-excitations → heavy  $N^c$ 's.

$Z'$  bosons non-universally coupled to families, possibly related to  
( $B$ -meson anomalies, cosmic rays...)

*THANK YOU*