Semiclassical Einstein equations and their fluctuations in cosmological spacetimes

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- Quantum matter gravity interplay should be described within: quantum gravity.
- However at least in some regimes it should be possible to analyze it in the semiclassical approximation:

$$G_{ab} = \langle T_{ab}
angle_{\omega}$$

- It equates classical quantities with probabilistic ones.
- We could take into account fluctuations in the sense of probability theory.

Global existence of solutions of semiclassical gravity in cosmology.

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Metric fluctuations in Starobinski model of inflation.

This talk is based on

- NP, D. Siemssen, J. Math. Phys. 56 022303 (2015) .
- NP, D. Siemssen, Comm. Math. Phys. 334 171-191 (2015).
- NP, CMP 305 563-604 (2011).

Semiclassical Einstein equation in cosmology.

Cosmological spacetimes

$$(M,g), \qquad M=I\times\Sigma,$$

• For flat cosmological spacetime.

$$g = a(au)^2 \left[-d au \otimes d au + dx^i \otimes dx^i
ight] \; ,$$

a is the scale factor and τ conformal time.

Semiclassical Einstein equation

$$G_{ab} = \langle T_{ab}
angle_{\omega}$$

Simpler equation

$$-R = \langle T \rangle_{\omega} , \qquad
abla_a T^{ab} = 0 , \qquad
onumber
ho(0) = cH^2(0)$$

■ We look for existence and uniqueness of solutions of that system.

Considered matter

Massive scalar quantum field conformally coupled to gravity.

$$-\Box\varphi + \frac{1}{6}R\varphi + m^2\varphi = 0$$

The quantization on every FRW spacetime is very well under control.Assign to every spacetime

$$M\mapsto \mathcal{A}(M)$$

• The *-algebra generated by linear fields $\varphi(f)$, implementing:

$$arphi^*(f) = arphi(\overline{f}) \ , \qquad [arphi(f), arphi(h)] = i\Delta(f,h) \ , \qquad arphi(\mathsf{P}f) = 0 \ .$$

- We include in the algebra the Wick powers. (by deforming the product and then by extending it trivially) Details.
- It can be tested on Hadamard states.
- We need a rule to prescribe a state on every FRW spacetime and than use the semiclassical equation to select the correct one.

Where we need to put initial conditions

$$-R = \langle T \rangle_{\omega}$$

- It was solved employing very special initial conditions at the singularity (Null big bang scenario). [NP 2011].
- This was necessary to control the regularity of the state.

Impose initial conditions at finite time, say $\tau = \tau_0$ (where the spacetime is regular).

State

- At $\tau = \tau_0$ we fix the state to be as close as possible to the vacuum.
- The pure, homogeneous and isotropic Gaussian state

$$\omega_{2}(x,y) := \frac{1}{(2\pi)^{3}} \int_{\mathbb{R}^{3}} \frac{\overline{\chi_{k}}(x_{0})}{a(x_{0})} \frac{\chi_{k}(y_{0})}{a(y_{0})} e^{i\mathbf{k}\cdot(\mathbf{x}-\mathbf{y})} d\mathbf{k} ,$$
$$\chi_{k}''(\tau) + (m^{2}a(\tau)^{2} + k^{2})\chi_{k}(\tau) = 0,$$
$$\overline{\chi_{k}} \frac{d}{d\tau} \chi_{k} - \frac{d}{d\tau} \overline{\chi_{k}} \chi_{k} = i .$$

In order to be close to the vacuum at $au = au_0$ we fix

$$\chi_k(au_0) = rac{1}{\sqrt{2k_0}} \ , \qquad \chi_k'(au_0) = -i\sqrt{rac{k_0}{2}} \ , \qquad k_0 = \sqrt{k^2 + m^2 a_0^2}$$

It is an adiabatic state of order 0 at \(\tau = 0\). (Although it is not Hadamard it is sufficiently regular to construct \(T\)

Point splitting regularization

Hadamard two-point function:

$$\mathcal{H} = rac{U}{\sigma_{\epsilon}} + V \log\left(rac{\sigma_{\epsilon}}{\lambda^2}
ight) + W$$

Stress-Energy Tensor: [Moretti 2003]

$$T_{ab} := \partial_a \varphi \partial_b \varphi - \frac{1}{6} g_{ab} \left(\partial_c \varphi \partial^c \varphi + m^2 \varphi^2 \right) - \frac{1}{6} \nabla_{(a} \partial_{b)} \varphi^2 + \frac{1}{6} \left(R_{ab} - \frac{R}{6} g_{ab} \right) \varphi^2.$$

Expectation values:

$$\omega(T_{ab}) = \lim_{y \to x} D_{ab} \left[\omega_2(x, y) - \mathcal{H}(x, y) \right]$$

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Components of $\langle T \rangle$

■ In *T* there are three contributions, the state dependent part, the anomalous term and the renormalization freedom.

$$\langle T
angle_{\omega} = T_{anomaly} + lpha m^4 + eta m^2 R + \gamma \Box R + m^2 \langle \varphi^2
angle_{\omega}$$

$$T_{anomaly} = \frac{1}{360} \left(C_{ijkl} C^{ijkl} + R_{ij} R^{ij} - \frac{R^2}{3} + \Box R \right) + \frac{m^4}{4}$$

• α expresses a renorm. of the cosmological constant. $\Longrightarrow(\alpha m^4)$ • β expresses a renorm. of the Newton constant $\Longrightarrow(\beta m^2 R)$ • γ is a pure quantum freedom. $\Longrightarrow(\gamma R^2)$

- After fixing the renormalization freedom (α , β and $\gamma = -1/360$) we may rewrite the equation as a **Volterra-functional equation**.
- For simplicity, in this talk, we will assume that the anomalous part vanish and that $\Lambda = 0$.

$$a'(au)=a_0'+m^2\int_{ au_0}^ au\langle arphi^2
angle_\omega a^3\;d\eta$$

$$egin{aligned} &\langle arphi^2
angle_\omega = rac{1}{2\pi^2 a^2} \int_0^\infty iggl[\overline{\chi}_k \chi_k - rac{1}{\sqrt{k^2 + m^2 a^2}} iggr] k^2 dk \ &\chi_k''(au) + (m^2 a(au)^2 + k^2) \chi_k(au) = 0, \end{aligned}$$

- We fix the state so that it looks like the vacuum at $\tau = \tau_0$.
- We can control $\langle \varphi^2 \rangle_{\omega}$ w.r.to a' and its (first-)functional derivative on $C^0(\tau_0, \tau)$.

$$\|\langle arphi^2
angle_\omega\|_\infty \leq c(\|a'\|_\infty, au - au_0) \ , \quad \|D\langle arphi^2
angle_\omega\|_\infty \leq c(\|a'\|_\infty, au - au_0)\|\delta a'\|_\infty$$

• We get an estimate valid on every spacetime $(\forall a' \in C^0[\tau_0, \tau])$

Local existence

Proposition

Fix a_0 and the state at τ_0 . An unique solution a_I exists in $I = [\tau_0, \tau_1)$ for some $\tau_1 > \tau_0$.

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Proved applying the Banach fixed point theorem to the Volterra like equation. The estimates permits to construct a contraction map.

Global solution

Proposition

Let a_I in $I = [\tau_0, \tau_1)$ be a solution then, if $a'(\tau_1)$ do not diverge and $a(\tau_1) > 0$ the solution can be extended further in a **unique** way to a_J with $I \subset J$.

■ We can order all the solutions a_I. a_I ≤ a_J is I ⊂ J ⇒ a maximal solution exists

Proposition

The maximal solutions is unique because of the unique extension.

Summarizing: fixing the initial condition, either the solution exists till infinity or a singularity is encountered. $(a = 0, a' = \infty)$

Other initial values

• Changing the initial values a_0, a'_0 correspond to change the state.

$$\chi_{k,1} = A\chi_k + B\overline{\chi}_k$$

- If the state are sufficiently close to ω (*B* suff. reg.) we can still find solutions.
- The obtained solution is unfortunately only C^2 .
- The employed estimates for $\langle \varphi^2 \rangle_{\omega}$ do not permit to control the global behavior from the initial condition.

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Fluctuations

Semiclassical Einstein equations and stochastic gravity

Let's look again at

$$G_{ab} = \langle T_{ab}
angle_{\omega}$$

- The right hand side is a **probabilistic quantity**.
- It is an effective equation, valid when "fluctuations" are negligible.
- There are regimes where this should be correct.
- If the variance of $\langle T_{ab} \rangle_{\omega}$ is not negligible, like for the **Brownian** motion \implies the equation could make sense as a stochastic one. • Fluct
- The probabilistic distribution of $\langle \varphi^2 \rangle_\omega$ has been recently discussed by [Fewster Ford Roman]

Fluctuations

Stochastic approach

Einstein-Langevin equation [Verdaguer]

$$G_{ab}(x) = \langle T_{ab}(x)
angle_{\omega}$$

- We interpret it as a **stochastic equation**.
- Study the passive influence of matter fluctuations on curvature fluctuations.
- It is not easy to compute the **probability distribution** for $\langle T_{ab} \rangle_{\omega}$.

$$\delta G_{ab} = G_{ab} - \langle G_{ab} \rangle , \qquad \delta T_{ab} = T_{ab} - \langle T_{ab} \rangle_{\omega} \qquad \delta G_{ab} = \delta T_{ab}$$

- The correlations of T_{ab}(x) are more complicated than in Wiener processes or Brownian motions.
- We can equate their moments:

$$\langle G_{ab}(x) \rangle = \langle T_{ab}(x) \rangle_{\omega} \langle \delta G_{ab}(x_1) \delta G_{cd}(x_2) \rangle = \langle \delta T_{ab}(x_1) \delta T_{cd}(x_2) \rangle_{\omega}$$

$$\langle \delta G^n(x_1,\ldots,x_n) \rangle = \langle \delta T^n(x_1,\ldots,x_n) \rangle_{\omega_{\text{B}},\text{ for all } \infty} \geq \infty < 0$$

What is the impact of fluctuations?

- We shall analyze it in a simple case: Metric perturbations in the Starobinski model of inflation
- It is believed that quantum fluctuations seeds structure formation in the universe.
- [Verdaguer] obtains a scale free spectrum of the metric fluctuations (Bardeen potentials) considering a "linearized version" of ω_2^2 as a source.

Starobinski model of inflation

Inflation is usually induced by a **classical** scalar field in a potential.

$$\mathcal{L} = \partial \Phi \partial \Phi + V(\Phi)$$

- The origin of this potential is unclear.
- It plays a crucial role in the standard analysis of fluctuations on the system.
- There is another model of inflation, which is more in the spirit of QFT on curved spacetime.
- This is the Starobinski model where inflation is driven by R² term in the effective action.
- We would like to derive the metric fluctuation for this system as induced by matter one.

Metric perturbation and fluctuation

- Usually linearized gauge invariant perturbations of the inflaton-gravity system are quantized.
- We cannot use this approach in the Starobinski model: We have already quantized the system and we have put ourself in a regime were the quantum nature of gravity is not necessary.
- There is however a way out, namely fluctuations of matter are naturally present in the stress tensor.
- We could evaluate their influence on the right hand side $\langle G \rangle$.

Fluctuations

Graphical representation for $\delta \varphi^2$ in a Gaussian state



All these graphs are well defined distributions:

$$\omega_2^2(x,y)$$
 $\omega_2(x,y)\omega_2(y,z)$



 Semiclassical Einstein equations link quantum matter fluctuations with curvature fluctuations

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• δG is not Gaussian (3-point function do not vanish ...)

Fluctuations

Perturbations around an inflationary spacetime

We start with a de Sitter spacetime

$$\overline{g}=rac{1}{(H au)^2}\left(-d au^2+dec{x}^2
ight)$$

• Let's add Newtonian perturbations $\overline{g} \rightarrow g = \overline{g} + \epsilon \widetilde{g}$:

$$g = rac{1}{(H au)^2} \left(-(1+2\Psi)d au^2 + (1-2\Psi)dec x^2
ight)$$

Linear perturbation of scalar curvature

$$\delta G = \overline{g}^{ab} \left(G_{ab} - \langle G_{ab} \rangle \right) = 6 (H\tau)^4 \left(\frac{\partial^2}{\partial \tau^2} - \frac{1}{3} \vec{\nabla}^2 \right) \frac{\Psi}{(H\tau)^2}$$

Inverting (with retarded propagator) we get

Power spectrum of Ψ

Is obtained computing the spatial Fourier transform of $\langle \Psi(x_1)\Psi(x_2)
angle$

where the state is

 $\omega_2(x_1, x_2) = \frac{U}{\sigma_{\epsilon}} + \text{less singular term} = H^2 \tau_1 \tau_2 \ \omega_{\mathbb{M}}(x_1, x_2) + \text{less singular term}$

and the square of the two-point function

$$\widehat{\omega_{2}^{2}}(au_{1}, au_{2},ec{k})=rac{1}{16\pi^{2}}\int_{k}^{\infty}e^{-ip(au_{1}- au_{2})}dp$$

We can consider its contribution to the power spectrum

$$\langle \widehat{\Psi}(au,k) \widehat{\Psi}(au,k)
angle pprox rac{1}{k^3} \mathcal{P}_0(k au)$$

Non gaussianities arise naturally

$$\langle \widehat{\Psi}(\tau, k_1) \widehat{\Psi}(\tau, k_2) \widehat{\Psi}(\tau, k_3) \rangle \approx \frac{1}{k_1^2 k_2^2 k_3^2} \mathcal{B}_0(k_1 \tau, k_2 \tau, k_3 \tau)$$

Fluctuations

The rescaled power spectrum $\mathcal{P}_0(k\tau)$



Fluctuations

CMB Temperature fluctuations



- CMB anisotropies observed by the Planck space telescope.
- Produced at the time of matter/radiation decoupling.
- Usually explained by inflation.

CMB Temperature fluctuations

$$\Theta(\tau, \vec{x}, \vec{e}) = \frac{\delta T(\tau, \vec{x}, \vec{e})}{T(\tau)} = \sum_{\ell, m} \Theta_{\ell m}(\tau, \vec{x}) Y_{\ell m}(\vec{e})$$

 $\Theta_{\ell m}(\tau_0, \vec{x}_0)$ are statistically homogeneous random variables with correlations

$$\langle \Theta_{\ell m}(\tau_0, \vec{x_0}) \Theta_{\ell' m'}(\tau_0, \vec{x_0})^* \rangle = \delta_{\ell \ell'} \delta_{m m'} C_{\ell}$$

where, in terms of **Newtonian perturbations** Ψ ,

$$C_\ell = 4\pi \int_0^\infty T_\ell(k)^2 ig\langle \widehat{\Psi}(au_1,k) \widehat{\Psi}(au_1,k) ig
angle \ k^2 \ dk$$

In order to be coherent with observations, for small k it should be

$$\langle \widehat{\Psi}(au_1,k) \widehat{\Psi}(au_1,k)
angle pprox \mathcal{C}\left(rac{k_0}{k}
ight)^{3-\epsilon}$$

we shall compare this with results obtained in an inflationary model.

Summary

- Semiclassical Backreaction can be analyzed as a well posed problem in cosmology.
- Beyond mean field approximation it can be understood as a stochastic equation.

Thanks a lot for your attention!

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Deformation

- **Deformation:** if we use $-2i\mathcal{H}$ in the place of Δ to construct a star product $\star_{\mathcal{H}}$.
- It is realized by

$$\alpha_{\mathcal{H}}: (\mathcal{A}, \star) \to (\mathcal{A}, \star_{\mathcal{H}})$$

such that

$$F \star_{\mathcal{H}} G = \alpha_{\mathcal{H}}(\alpha_{\mathcal{H}}^{-1}(F) \star \alpha_{\mathcal{H}}^{-1}(G))$$

- $\alpha_{\mathcal{H}}$ is an isomorphism.
- We can push forward states on (\mathcal{A}, \star) to $(\mathcal{A}, \star_{\mathcal{H}})$. For ω_2

$$: \omega_2 : := \alpha_{\mathcal{H}}^{-1^*}(\omega_2) = (\omega_2 - \mathcal{H})_s$$

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The scheme incorporates the point splitting regularization

Extended algebra

We can now safely extend $(\mathcal{A}, \star_{\mathcal{H}})$ to local functionals.

A_e: a finite number of non vanishing func. der.
 F ∈ A_e . F⁽ⁿ⁾(0): compact supp., symmetric, WF(F⁽ⁿ⁾(0)) ∩ V₊ ∪ V₋ = Ø .

$$(F\star_{\mathcal{H}} G)(\varphi) := \sum_{n} \frac{1}{n!} \langle F^{(n)}(\varphi), \mathcal{H}^{\otimes n} G^{(n)}(\varphi) \rangle$$

Hörmander criterion for multiplication of distributions holds.

- (A_e, ★_H) is the extended *-algebra of fields.
 [Brunetti Dütsch Fredenhagen Hollands Wald]
- They satisfy the axiom of a Locally covariant theory

Regularization freedom and ambiguity

- The product in A_e is constructed out of H, there are **ambiguities** (renormalization freedom).
- The algebras for different $\mathcal H$ are isomorphic
- But the local fields are not invariant

$$\tilde{\varphi}^{k}(x) = \varphi^{k}(x) + \sum_{i=1}^{k-2} C_{i}(x)\varphi^{i}(x)$$

C_i(x): real polynomials of the metric. *[Hollands Wald]*With the correct scaling under rigid dilation

$$C_i \rightarrow \lambda^i C_i$$



Analysis of φ^2

How $\langle \varphi^2 \rangle_{\omega_{1,0}}$ depends on *H*?

$$\langle \varphi^2(x) \rangle_{\omega_{1,0}} = \lim_{y \to x} \left[\omega_{1,0}(x,y) - \mathcal{H}(x,y) \right] + \alpha R + \beta m^2$$

Remind: Prescription for fixing the renormalization freedom:

- Minkowksi spacetime on Minkowksi vacuum, fixes β .
- α changes the value of H_c or $X_c \Longrightarrow H_c$ is a ren. constant

We regularize on Minkowski spacetime the problem $-\Box_{\mathbb{M}} + (ma)^2$

$$\lim_{y\to x} \mathcal{H}(y,x) - \frac{1}{a(\tau_1)a(\tau_2)} \mathcal{H}_{\mathbb{M}}(y,x) = \frac{m^2}{8\pi^2} \log a + \alpha' R ,$$

Point splitting at fixed time, then it is enough to subtract

$$\mathcal{H}^{0}_{\mathbb{M}}(y,x) := \frac{1}{(4\pi)^{2}} \left(\frac{2}{\sigma_{\epsilon}} + m^{2} a(\tau_{x})^{2} \log\left(\frac{\sigma_{\epsilon}}{\lambda^{2}}\right) \right)$$

Other reg. scheme

Comparison with the first order adiabatic approximation

$$\mathcal{H}^{0}_{\mathbb{M}}(y,x) - rac{1}{(2\pi)^{3}}\int rac{e^{i\mathbf{k}(y-\mathbf{x})}}{2\sqrt{\mathbf{k}^{2}+m^{2}a(au)^{2}}} \;\; d^{3}\mathbf{k}$$

is a continuous function

$$\begin{split} \langle \varphi^2 \rangle_{\omega_{1,0}} &:= \\ \frac{1}{2\pi^2 a^2} \int_0^\infty k^2 dk \left[\overline{\chi}_k \chi_k - \Theta(k - ma) \left(\frac{1}{2k} - \frac{m^2 a^2}{4k^3} \right) \right] - \frac{m^2}{8\pi^2} + \alpha R, \end{split}$$

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Consider

$$\Phi(X) := 2\pi^2 a^2 \langle \varphi^2 \rangle_{\omega_{1,0}}$$

Proposition

$On B_c$

$$ert \Phi(X)(t) ert \le C_1(a_0, t_0, c)t \;,$$

 $ert D \Phi(X, \delta) ert \le C_2(a_0, t_0, c)t_0 \|\delta\| \;,$

where at fixed λ , $C_1(\lambda t_0, t_0, c)$ and $C_2(\lambda t_0, t_0, c)$ are bounded for $t_0 \rightarrow 0$.

Construction of the χ

$$\chi_k'' + (k^2 + m^2 a_0^2)\chi + m^2 (a^2 - a_0^2)\chi = 0$$

Perturbative const. over the massless solution $\chi_k^0(\tau) = \frac{e^{-ik_0(\tau-\tau_0)}}{\sqrt{2k_0}}$

$$\chi_k = \sum_{n=0} \chi_k^n$$
$$\chi_k^n(\tau) = -\int_{\tau_0}^{\tau} \frac{\sin(k_0(\tau - \tau'))}{k_0} \left(a(\tau')^2 - a_0^2 \right) m^2 \chi_k^{n-1}(\tau') \ d\tau' ,$$

 ∞

Proposition

The series converges absolutely on $[\tau_0, \tau]$, and

$$|\chi_k| \leq rac{1}{\sqrt{2k}} \exp\left(rac{m^2 a^2 (au - au_0)}{k_0}
ight), \qquad |\chi_k| \leq rac{1}{\sqrt{2k}} \exp\left(m^2 a^2 (au - au_0)^2
ight).$$

Every
$$\chi_k^n$$
 is $O(m^{2n})$ Back.

Proof

$$\int_0^\infty k^2 dk \left[\overline{\chi}_k \chi_k - \frac{1}{\sqrt{k^2 + m^2 a^2}} \right],$$

- Small k do not create problems
- Expand χ_k in powers of m^2
- The large powers decay in k sufficiently rapidly
- $O(m^0)$ vanishes
- $O(m^2)$ and $O(m^4)$ can be directly analyzed

▶ Back.

Proposition

If t_0 is sufficiently small, the map \mathcal{T} is a contraction in B_c .

Proof

$$\begin{split} \|\mathcal{T}(y) - \mathcal{T}(x)\| &\leq C \|y - x\| \qquad C < 1\\ x_{\lambda} &= x + \lambda \delta \text{ with } \delta = (y - x)\\ \frac{d\mathcal{T}}{dt}(y) - \frac{d\mathcal{T}}{dt}(x) \bigg| &\leq \left| \int_{0}^{1} \frac{d}{d\lambda} \frac{d\mathcal{T}}{dt}(x_{\lambda}) d\lambda \right| \leq \sup_{\lambda \in [0,1]} \left| D \frac{d\mathcal{T}}{dt}(x_{\lambda}, \delta) \right| \end{split}$$

Theorem

 $X_0 := H_0^{-1}$ the massless solution then the sequence

$$X_n := \mathcal{T}(X_{n-1})$$

converges in B_c to the solution $X = H^{-1}$ of the semiclasscal Einstein equation.

Some comments

- The found solution can be shown to be C^1 only
- But there is always a smooth spacetime as close as you want to the solution
- The existence does not depend on the state, in the sense that other $\omega_{A,B}$ with *B* rapidly decreasing produce a solution.
- All these solutions show a phase typical of power law inflation which is then state independent.

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- The found solution does not depend on *a*₀
- It can be be fixed a posteriori by $G_{00} = 8\pi \langle T_{00} \rangle$



Analysis of the fluctuations

The solution is meaningful provided the variance of $T_{\mu}{}^{\mu}$ is small

- The anomaly is a *C*-number
- \blacksquare The variance of $\langle \varphi^2 \rangle$

$$\Delta_{\omega}(\varphi^2) := \omega(\varphi^2 \star_H \varphi^2) - \omega(\varphi^2)\omega(\varphi^2)$$

diverges: it is proportional to $\omega_2 \cdot \omega_2(x,x)$

When smeared the situation is better, consider the family centered in $x_{ au}$

$$f_{n_1,n_2}(\tau',\mathbf{x}) = \frac{n_1}{n_2^3} f\left(n_1(\tau'-\tau)+\tau,\frac{\mathbf{x}}{n_2}\right)$$

where

$$f(x_{\tau}) = 1, \qquad \int_{M} f \, d\mu(g) = 1, \qquad f \ge 0$$

We study the limit

$$\lim_{n_1\to\infty}\lim_{n_2\to\infty}\left[R(f_{n_1,n_2})+8\pi\langle T\rangle_{\omega}(f_{n_1,n_2})\right]=R(x_{\tau})+8\pi\langle T\rangle_{\omega}(x_{\tau})$$

Theorem

We have

$$\lim_{n_2\to\infty}\Delta_{\omega_{1,0}}(\varphi^2(f_{n_1,n_2}))=0.$$

In a weaker sense, the solution we have found is meaningful also when H is very large.

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