







# **Neutrino Physics**

Lea Di Noto INFN and University of Genova Simone Marcocci Fermi National Accelerator Laboratory September 19th 2018 History of neutrinos
 The discovery of neutrino oscillations
 The current landscape of neutrino oscillation experiments
 Our knowledge of neutrino mixing
 Open questions in neutrino physics

I will be biased towards neutrino oscillation physics. But there is a lot more neutrino physics. We can discuss about it anytime! Neutrino "anomalies"
 The search for sterile neutrinos
 The Short Baseline Program at Fermilab
 CP violation in the neutrino sector
 Prospects for future long baseline searches

In this case, I will be biased towards the neutrino program at Fermilab. There is a lot going on and it's a very exciting time!

### Disclaimer

- There are a lot of very good neutrino physics theory, experiments, results which I won't describe/detail
- I am sorry if I won't describe your favorite neutrino experiment, but I needed to make choices!

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#### Beta decay before 1930



- "Beta rays" were observed as a consequence of radioactive decays
- Chadwick et al measured their spectrum and reported a continuous distribution instead of an expected monochromatic line
- Does this mean that energy conservation is violated in this process?

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# Pauli's desperate remedy to save energy conservation



Offener Brief an die Gruppe der Radioaktiven bei der Gauvereins-Tagung zu Tübingen.

#### Abschrift

Physikalisches Institut der Eidg. Technischen Hochschule Zürich

Zirich, 4. Des. 1930 Cloriastrasse

Liebe Radioaktive Damen und Herren,

Wie der Ueberbringer dieser Zeilen, den ich huldvollst ansuhören bitte, Ihnen des näheren auseinandersetzen wird, bin ich angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie des kontinuierlichen beta-Spektrums auf einen versweifelten Ausweg verfallen um den "Wechselgats" (1) der Statistik und den Energiesatz su retten. Nämlich die Möglichkeit, es könnten elektrisch neutrale Teilchen, die ich Neutronen nennen will, in den Kernen existieren, welche den Spin 1/2 haben und das Ausschliessungsprinsip befolgen und elekt von Lichtquanten ausserdem noch dadurch unterscheiden, dass sie steht mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen In 1930, Pauli hypothesizes that in the beta decay, a kind of a "neutron" is also emitted

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# Pauli's desperate remedy to save energy conservation



#### Dear Radioactive Ladies and Gentlemen,

as the bearer of these lines, to whom I graciously ask you to listen, will explain to you in more detail, how because of the "wrong" statistics of the N and Li<sup>6</sup> nuclei and the continuous beta spectrum, I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy. Namely, the possibility that there could exist in the nuclei electrically neutral particles, that I wish to call

neutrons, which have spin 1/2 and obey the exclusion principle and which further differ from light quanta in that they do not travel with the velocity of light. The mass of the neutrons should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton masses. The continuous beta spectrum would then become understandable by the assumption that in beta decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and the electron is constant...

I agree that my remedy could seem incredible because one should have seen those neutrons much earlier if they really exist. But only the one who dare can win and the difficult situation, due to the continuous structure of the beta spectrum, is lighted by a remark of my honoured predecessor, Mr Debye, who told me recently in Bruxelles: "Oh, It's well better not to think to this at all, like new taxes". From now on, every solution to the issue must be discussed. Thus, dear radioactive people, look and judge. Unfortunately, I cannot appear in Tubingen personally since I am indispensable here in Zurich because of a ball on the night of 6/7 December. With my best regards to you, and also to Mr Back.

Your humble servant . W. Pauli, December 1930

- December 1930, Pauli sends a letter to the physicists attending a conference in Tubingen
- Pauli is ashamed to introduce a particle "we will never be able to detect", to save energy conservation (!)

#### Attempts for neutrino discovery

 In 1951, Reines and Cowan built a prototype for antineutrino detection called "El Monstro"

#### GIANT LIQUID SCINTILLATION DETECTORS AND THEIR APPLICATIONS\*

FREDERICK REINES

Los Alamos Scientific Laboratory, Los Alamos, New Mexico

#### I. GENERAL CONSIDERATIONS LEADING TO THE DEVELOPMENT OF LARGE DETECTORS

WHEN Clyde Cowan and I started in 1951 to pursue the free neutrino,<sup>1</sup> we knew that an essential ingredient in any successful scheme would be a solid or liquid target consisting largely of protons and measuring approximately a cubic meter. Furthermore, the events which occurred in this target had to



 The idea was to look for Inverse Beta Decay in liquid scintillator:

$$\overline{\nu}_e + p \to n + e^+$$

 This process has a clear signature, given the time coincidence between the prompt (e<sup>+</sup>) and delayed (n capture) energy depositions

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# El Monstro approved at Los Alamos National Lab



- Neutrinos interact only weakly, so a powerful source is needed
- Why not a nuclear bomb? El Monstro would be left free falling during the explosion, and recovered some days later....
- This was approved by LANL's director...
  - ...but it never happened!

Los Alamos Science, Number 25 (1997)

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# The Savannah River Neutrino Experiment (1956)



$$n + {}^{113}_{48} Cd \rightarrow {}^{114}_{48} Cd + \gamma$$

- Placed close to the fission reactor of Savannah River in South Carolina (11m from the core)
- 12m concrete overburden from cosmic rays and a smart coincidence mechanism to suppress backgrounds
- A and B are water targets for the inverse beta decay doped with Cd
- The tanks I, II, and III contain the liquid scintillator

# The Savannah River Neutrino Experiment (1956)



$$n + {}^{113}_{48} Cd \rightarrow {}^{114}_{48} Cd + \gamma$$

The neutron signal is delayed by 3-10 µs



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### The electron antineutrino discovery (1956)







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#### Evidence for other types of neutrino?

- First accelerator based neutrino experiment!
- Alternating Gradient Synchrotron (AGS) @ Brookhaven
- $\rho = R(\mu \rightarrow e + \gamma)/R(\mu \rightarrow e + \nu + \bar{\nu}) \sim 10^{-4} \text{ (theo) vs } < 10^{-8} \text{ (exp)}$



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# The discovery of muon neutrino (1962)

#### **Electron showers**



#### Muon tracks









Melvin Schwartz standing next to spark chamber

 Data taken with pictures and analyzed by hand!

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# The discovery of muon neutrino (1962)

- Leon Lederman, Melvin Schwartz, and Jack Steinberger found 34 single muon tracks originating inside the detector and only 6 electron showers
- This proves that neutrinos from muon decay and beta decay are different.



# The DONUT experiment: discovery of $v_{\tau}$



- After the discovery of the  $\tau$  in the 70's, the hunt for  $v_{\tau}$  started!
- DONUT operated at Fermilab in 1997 using the Tevatron
- proton beam
- The t is heavy (~1.7 GeV) so its production requires the decay of charmed mesons
- In 2000, 4 candidate events identified with a 0.2 background expectation

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How many neutrinos?

Precision measurements of the Z width at LEP showed that there are **3 lepton families (and thus 3 weakly interacting neutrinos)** 



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# The Standard Model picture



#### **Standard Model of Elementary Particles**

- Dynamical definition of neutrinos:
  - v<sub>e</sub>'s are produced in weak interactions associated to electrons
  - v<sub>μ</sub>'s are produced in weak interactions associated to muons
  - v<sub>r</sub>'s are produced in weak interactions associated to taus
- Is that it?

# Solar neutrinos



CNO cycle (minor contribution)





The Sun is powered by nuclear fusion reactions. 4p become a  $\alpha$  nucleus releasing ~26MeV

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#### Solar neutrino spectrum



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#### The Homestake experiment



- At the end of the 60's, John Bahcall had a solid theory for describing the Sun, and Ray Davis decided to test it by measuring directly solar neutrinos
- 615 tons of C<sub>2</sub>Cl<sub>4</sub> (perchloroethylene)
- Expected ~8 captures per day in the whole volume (threshold 814keV)
- <sup>37</sup>Ar decays with  $T_{1/2}$  of 35 days

$$v_e + {}^{37}Cl \rightarrow e^- + {}^{37}Ar$$

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### The Homestake experiment



- The experiment was located 1480m underground in the Homestake Gold Mine at Lead, South Dakota
- There is a complex system to recover just a few atoms of <sup>37</sup>Ar in tons of C<sub>2</sub>Cl<sub>4</sub>
- <sup>37</sup>Ar decays by EC and emission of ~2.8keV Auger electrons from the K shell
- <sup>37</sup>Ar decays identified in miniature proportional counters filled with Ar and ~7% of CH<sub>4</sub>

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#### Results from the Chlorine experiment



- 1SNU =  $10^{-36}$ 
  - captures per second per <sup>37</sup>Cl atom
- Is John Bahcall wrong?
- Are Ray Davis and his experiment wrong?
- Why are we missing neutrinos?

### More data pointing to the "solar neutrino problem"



- More experiments observe the solar neutrino deficit at different neutrino energies:
  - Water Cerenkov detectors (e.g. SuperK)
  - Gallium experiments (GALLEX and SAGE)
- Gallium based experiments have a very low threshold (233keV) and are sensitive mostly to pp neutrinos (same concept as the Chlorine experiment, but Gallium based)

$$\nu_e + {}^{71}Ga \rightarrow {}^{71}Ge + e^{-1}$$

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### The Sudbury Neutrino Observatory







- SNO was built in the 90's, ~2km underground in Creighton mine, near Sudbury, Ontario, Canada
- It was filled with ~1000 tonnes of ultra pure heavy
   water (D<sub>2</sub>O) in the inner core. Standard water outside.
- Cerenkov radiation induced by electrons produced by solar neutrinos (with E>7MeV) was detected by 9600 PMTs

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### SNO's "secret" weapon

Being filled with heavy water, SNO can detect neutrinos through different reactions:

 $\nu_e + d \rightarrow p + p + e^{-}(CC)$ 

sensitive to v<sub>e</sub> only, protons are below Cerenkov threshold and not observed. Threshold ~1.4MeV.  $\nu_{\alpha} + d \rightarrow p + n + e^{-}(NC) \quad \alpha = e, \mu, \tau$ 

sensitive to all neutrinos. The neutrons is then captured on deuterium, releasing a ~6.3MeV γ. Threshold 2.2 MeV.

$$\nu_{\alpha} + e^- \rightarrow \nu_{\alpha} + e^-(ES) \quad \alpha = e, \mu, \tau$$

sensitive to all neutrinos, but most of the contribution comes from the CC channel for electron neutrino (6 times bigger than NC). Same rate in inner core filled with heavy water and outer core filled with regular

water. No threshold.

Later phases of the experiment used <sup>35</sup>Cl or <sup>3</sup>He in the inner core to enhance the neutron detection efficiency

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# SNO's results



The neutrinos are there! Davis and Bahcall were both right. "Simply", they are not all electron neutrinos

# Super Kamiokande



- In the 90's, a 50kton ultra pure water Cerenkov detector was built ~1km underground in Kamioka's mine
- Super-Kamiokande's (Super-K) main purpose was the search of proton decay
- 11000 20' PMTs look at Cerenkov rings from all directions in the water

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### Atmospheric neutrinos

- Super-K also reported evidence of the solar neutrino problem and measured most precisely the <sup>8</sup>B solar neutrino flux
- One of the backgrounds for the proton decay search was due to atmospheric neutrinos (E~GeV), so it was critical to assess it
- Neutrinos produced on the other side of the Earth travel more distance before hitting Super-K with respect to those produced just above Japan





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Top of the atmosphere

 $\pi$ 

### Super-K atmospheric results





SK-I+II+III+IV, 4581 Days

- From T. Kajita's presentation at the conference "Neutrino 1998"
- Up going muon neutrinos are suppressed (electron neutrinos are not) with respect to down going
- Together with the solution of the solar neutrino problem, this is evidence for neutrino oscillations!

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#### Another neutrino Nobel prize!

#### The Nobel Prize in Physics 2015



Mahmoud

Takaaki Kajita Prize share: 1/2

Mahmoud

Arthur B. McDonald Prize share: 1/2



The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald "for the discovery of neutrino oscillations, which shows that neutrinos have mass."

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- Are SNO and Super-K results (yet very important) enough to convince ourselves that neutrino oscillations are what is going on?
  - i.e. is it the same physics generating both phenomena?
- It could be some different physics beyond the SM...
- If neutrinos oscillate, one must observe both disappearance and appearance, in many different configurations
- I will try and explain why we need many neutrino oscillation experiments and why they are all different and important

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#### New physics!

- The picture of neutrino oscillations and mixing now looks fairly well established...
- Nonetheless, this is the only evidence (so far) of physics beyond the Standard Model!

Q: "What gives you hope that we could achieve anything like what you guys achieved in constructing the Standard Model today?"

> A. "The obvious answer is neutrino masses, which I think clearly take us beyond the standard model, and clearly represent something coming down from a very high energy scale."

Stephen Weinberg at the SLAC summer institute earlier this



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#### Leptonic mixing

Lepton flavors are superpositions of mass eigenstates

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix

Convenient parameterization:

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_1/2} & 0 \\ 0 & 0 & e^{i\alpha_2/2} \end{pmatrix}$$

#### "atmospheric"

#### "reactor"

"solar"

"Majorana"

U is unitary, and being 3x3 has, as free parameters:

- 3 angles + 1 CP violating phase if neutrinos are Dirac particles
- 3 angles + 1 CP violating phase + 2 Majorana phases if neutrinos are Majorana Oscillations are not sensitive to  $\alpha_1$  and  $\alpha_2$ !

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## More on the PMNS matrix

$$\left(\begin{array}{c}\nu_e\\\nu_\mu\\\nu_\tau\end{array}\right) = \left(\begin{array}{ccc}U_{e1} & U_{e2} & U_{e3}\\U_{\mu 1} & U_{\mu 2} & U_{\mu 3}\\U_{\tau 1} & U_{\tau 2} & U_{\tau 3}\end{array}\right) \left(\begin{array}{c}\nu_1\\\nu_2\\\nu_3\end{array}\right)$$

Mixing in the lepton sector is large!

Understanding the PMNS matrix:

- 1. The "e row" gives the linear combination of mass eigenstates that couple to  $v_{\text{e}}$
- The "1 column" shows the linear combination of charged lepton mass eigenstates that couple to v1



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**Quark Mixing Elements** 

**Lepton Mixing Elements** 

#### Oscillation probability

$$P_{\nu_{\alpha} \to \nu_{\beta}}(t) = |\langle \nu_{\beta} | \nu_{\alpha}(t) \rangle|^{2} \qquad \alpha \neq \beta \Rightarrow "appearance"$$

$$= \delta_{\alpha\beta} - 4 \sum_{i>j} \Re(U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}) \sin^{2}(\frac{\Delta m_{ij}^{2} L}{4E})$$

$$+ 2 \sum_{i>j} \Im(U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}) \sin(\frac{\Delta m_{ij}^{2} L}{4E})$$

$$\Delta m_{ij}^{2} = m_{i}^{2} - m_{j}^{2} \qquad \frac{\Delta m_{ij}^{2} L}{4E} \approx 1.267 \frac{\Delta m_{ij}^{2} [eV^{2}] \times L[km]}{E[GeV]}$$

#### Neutrino oscillations require neutrino masses\*

\*technically, NSI would also make the trick, but the huge phenomenology observed is difficult to explain with NSI.
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#### What do we know about neutrino masses?



- Two possibilities are open, depending on the sign of  $\Delta m_{23}^2$ :
- 1. Inverted Ordering (or Inverted Hierarchy, historically)
- 2. Normal Ordering (of Normal Hierarchy)

We know neutrino masses are tiny (the sum is less than ~0.2 eV) but we do not know which is the absolute mass scale

oscillations cannot probe it
 Why are neutrino masses so
 small? What mechanism generate
 them? A Yukawa coupling to the
 Higgs? Something else?

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#### A look at neutrino oscillations

- The critical parameter is L/E
- The mass splitting determines the frequency of oscillations in L/E
- The mixing angle determines the amplitude of the oscillation
  - 2 oscillation frequencies, one for each mass splitting: the "fast component" is due to  $\Delta m_{23}^2$ the "slow component" is due to  $\Delta m_{12}^2$

Since the 2 splittings are so different, one can conveniently use a **2 flavor oscillation approximation** in many practical cases, neglecting the effects induced by one of the splittings L. Di Noto, S. Marcocci Summer School



$$\frac{1.267\Delta m^2 (\text{eV}^2) L(\text{km})}{E(\text{GeV})} \approx \frac{\pi}{2} \Longrightarrow \frac{\Delta m^2 (\text{eV}^2) L(\text{km})}{E(\text{GeV})} \approx 1.$$

## Oscillations and coherence loss



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### Current knowledge on leptonic mixing



A slide from M. Tortola's talk at Neutrino 2018 in Heidelberg last June

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#### Are we done?

- ...how did we get that knowledge?
- First of all, both solar (SNO) and atmospheric neutrino oscillations (Super-K) had to be confirmed independently
- Many experiments with different L/E are needed to be sensitive to the various oscillation parameters and mass splittings
- What is left to be discovered and measured?

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## **Neutrino Cross Sections**



- Neutrino interactions can be complicated (we will touch this tomorrow)
- The only point I want  $\sigma \simeq \frac{2m_e G_F^2 E_\nu}{\pi} = \frac{G_F^2 s}{\pi}$  is proportional to  $\mathbf{E}_{\mathbf{v}}$

See Formaggio & Zeller, https://arxiv.org/pdf/1305.7513.pdf

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![](_page_44_Figure_1.jpeg)

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![](_page_45_Figure_1.jpeg)

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![](_page_46_Figure_1.jpeg)

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![](_page_47_Figure_1.jpeg)

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![](_page_48_Figure_1.jpeg)

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![](_page_49_Figure_1.jpeg)

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![](_page_50_Figure_1.jpeg)

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#### KamLAND: search for "solar" oscillations

![](_page_51_Figure_1.jpeg)

![](_page_51_Figure_2.jpeg)

- 1325, 17' PMTs, 554, 20' PMTs
- average  $\overline{\nu}_e$  energy is 4 MeV
- baseline L~100 km

$$\Delta m_{12}^2 (eV^2) \frac{L(km)}{E(GeV)} \sim 7 \cdot 10^{-5} \frac{100}{4 \cdot 10^{-3}} = 1.75$$

#### "1-2 (solar) oscillations" confirmed by KamLAND

![](_page_52_Figure_1.jpeg)

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### Adiabatic conversion in the Sun

- When propagating in matter, electron neutrinos get a phase for forward scattering with electrons. This affects oscillations, because matter is made of electrons, and not of muons or taus
- Effectively, the presence of the electrons changes the neutrino propagation eigenstates
- This means that in matter the mixing is different than in vacuum: the effective matter mixing angle depends only on the electron density!
- This is the Mikheyev-Smirnov-Wolfenstein (MSW) effect

$$H = \frac{G_F}{\sqrt{2}} \int d^3x \ \overline{\nu_e} \gamma^{\mu} (1 - \gamma_5) \nu_e \ \langle \bar{e} \gamma_{\mu} (1 - \gamma_5) e \rangle \qquad \qquad \nu_e = \cos \theta_{12}^m \ \nu_{1m} + \sin \theta_{12}^m \nu_{2m}, \\ \nu_a = -\sin \theta_{12}^m \nu_{1m} + \cos \theta_{12}^m \nu_{2m},$$

Phase given by the matter effect

$$\varepsilon \equiv \frac{\sqrt{2}G_F n_e}{\Delta m^2 / (2E_\nu)} \approx \begin{cases} \left(\frac{7.5 \times 10^{-5} \text{eV}^2}{\Delta m^2}\right) \left(\frac{E_\nu}{5 \text{ MeV}}\right) \left(\frac{\rho_e}{100 \text{ mol/cm}^3}\right) & \text{"solar" MSW effect} \\ \left(\frac{2.4 \times 10^{-3} \text{eV}^2}{\Delta m^2}\right) \left(\frac{E_\nu}{5 \text{ GeV}}\right) \left(\frac{\rho_e}{3 \text{ mol/cm}^3}\right) & \text{not yet observed} \\ \end{cases}$$
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#### Solar neutrino propagation

![](_page_54_Figure_1.jpeg)

## Borexino @ Laboratori Nazionali del Gran Sasso

![](_page_55_Figure_1.jpeg)

![](_page_55_Picture_2.jpeg)

- 3800 m.w.e shielding against cosmic rays at LNGS
- active volume ~300 ton of liquid scintillator
- ~900 ton of ultra-pure buffer liquid
- 2212 PMTs detecting the scintillation light
- water Cherenkov veto equipped with 208 PMTs

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# Borexino's strategy (PRD 89 112007 (2014))

![](_page_56_Figure_1.jpeg)

- Very low energy threshold (~100 keV) and good energy resolution ~ 5% @ 1MeV
- Pulse shape  $\alpha/\beta$ ,  $\beta+/\beta$  but no directionality
- Need of superb radio-purity against β/γ backgrounds
- Strategy: spectral fit of event energy spectrum
- <u>Requirement: accurate understanding of</u> <u>detector's response</u>

![](_page_56_Figure_7.jpeg)

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#### **Before Borexino**

![](_page_57_Figure_1.jpeg)

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#### Borexino results (arXiv:1707.09279)

![](_page_58_Figure_1.jpeg)

Super-K has also measured <sup>8</sup>B solar neutrinos with better precision

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## Summary on "solar" oscillations

#### "Disappearance"

- Borexino and Super-K confirm electron neutrino disappearance as predicted by the MSW effect
- This was earlier observed by Ray Davis and the Gallium experiments
- KamLAND has observed consistent electron antineutrino disappearance
- "Appearance"
  - SNO has observed that the total number of neutrinos from the Sun is fixed, and indirectly observed v<sub>μ</sub> and v<sub>τ</sub> appearance
  - Why not "direct" observation?

the picture looks consistent with neutrino mixing and oscillations!

#### KamLAND vs solar

![](_page_60_Figure_1.jpeg)

There is a ~2σ "tension" between KamLAND and solar experiments

Is this a statistical fluctuation? Is it a systematics effect?

Is there new physics (NSI) happening in the matter?

A few more words on this tomorrow!

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