

# BMS and AdS

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# Introduction

- This talk will be about analysing the **asymptotics** of both AdS and Ricci flat spacetimes.
- For AdS, the asymptotics play a crucial role in the **holographic** duality.
- For asymptotically flat spacetimes, the asymptotics are relevant to **soft scattering** theorems and **gravitational memory** effects.

- For asymptotically flat spacetimes, much of the analysis is tied specifically to **four dimensions** e.g. use of two dimensional celestial sphere.
- Long standing question: **soft scattering** theorems exist in all dimensions, but they do not seem to be related to asymptotic symmetries except in  $d = 4$ .
- What is the **asymptotic symmetry** structure in  $d > 4$ ?

- The asymptotic analysis for AdS and flat spacetimes seems very different:
  - Timelike versus null conformal boundaries;
  - Fefferman-Graham versus Bondi-Sachs parameterization;
  - Sources/expectation values of operators versus Bondi mass, news etc.
- How are these analyses related?

- 1 Federico Capone and Marika Taylor  
“*Symmetries of asymptotically flat spacetimes*”, 1804.xxxxx
- 2 Aaron Poole, Kostas Skenderis and Marika Taylor  
“*A BMS approach to AdS<sub>4</sub>*”, 1804.xxxxx

1712.01204 by Pate, Raclariu and Strominger is complementary to 1.

- **Bondi-Sachs analysis for asymptotically flat 4d spacetimes**
- Asymptotic analysis for  $d > 4$
- Analysis of asymptotically AdS spacetimes

# Bondi gauge for asymptotically flat 4d spacetimes

- The 4d Minkowski metric can be written as

$$ds_M^2 = -du^2 - 2dudr + 2r^2\gamma_{z\bar{z}}dzd\bar{z}$$

where  $u = (t - r)$  is the **retarded time** and  $\gamma_{z\bar{z}}$  is the round metric on  $S^2$ .

- An asymptotically (locally) flat metric can be expressed in Bondi gauge as

$$ds^2 = -Xdu^2 - 2e^{2\beta}dudr + h_{AB}\left(d\theta^A + U^A du\right)\left(d\theta^B + U^B du\right)$$

where  $ds^2 \rightarrow ds_M^2$  (locally) as  $r \rightarrow \infty$ .

# Bondi gauge for asymptotically flat 4d spacetimes

- Imposing one **gauge condition** on  $h_{AB}$ ,

$$\det \left( \frac{h_{AB}}{r^2} \right) = 1$$

there are six unknown functions in  $(X, \beta, h_{AB}, U^A)$ .

- The Einstein equations split into **“main”** equations and **“supplementary”** equations.
- If the latter are satisfied on a constant  $u$  hypersurface, they are automatically satisfied everywhere.



# Axial symmetry

- To explain the integration scheme, for computational simplicity we impose **axial symmetry** and **reflection symmetry** under  $\phi \rightarrow -\phi$ .
- We can then rewrite the Bondi gauge metric as

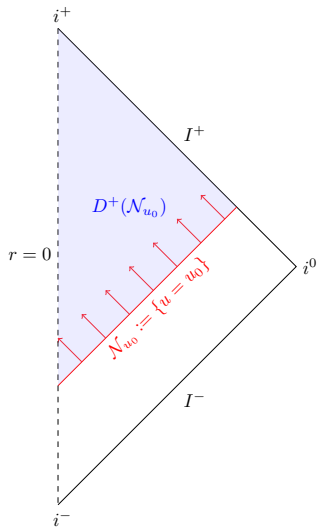
$$ds^2 = \left( -\frac{V}{r} e^{2\beta} - U^2 r^2 e^{2\gamma} \right) du^2 - 2e^{2\beta} dudr \\ - 2Ur^2 e^{2\gamma} dud\theta + r^2 \left( e^{2\gamma} d\theta^2 + e^{-2\gamma} \sin^2 \theta d\phi^2 \right)$$

i.e. using  $(V(u, r, \theta), U(u, r, \theta), \beta(u, r, \theta), \gamma(u, r, \theta))$ .

# Nested Form for main equations

- The four main equations take a **nested** form:
  - Given  $\gamma$  at constant  $u = u_0$ , we first determine  $\beta$ .
  - Next we determine  $U$  from  $(\gamma, \beta)$  and then  $V$ .
  - Finally, we determine the  $u$  evolution of  $\gamma$ .
- Iterating the process allows us to determine all metric functions in the future domain of dependence of the  $u_0$  hypersurface.

# Bondi gauge for asymptotically flat spacetimes



# Asymptotic analysis

- To explore **asymptotic structure**, we need to analyse Einstein equations perturbatively as  $r \rightarrow \infty$ .
- For example, the first **main** equation is

$$\beta_r = \frac{1}{2} r \gamma_r^2$$

which needs to be solved with appropriate asymptotically (locally) flat boundary conditions.

- Subtle question: what are appropriate **boundary conditions**?

# Asymptotically flat 4d metrics

- General form of asymptotic expansion is

$$X = 1 - \frac{2m_B}{r} + \dots$$

$$h_{AB} = r^2 \gamma_{AB} + r C_{AB} + \dots$$

$$h_{AB} U^B = D^B C_{AB} + \frac{1}{r} \left( \frac{4}{3} (N_A + u \partial_u m_B) \right)$$

$$- \frac{1}{4r} \partial_A (C_{BC} C^{BC}) + \dots$$

- Here the highlighted quantities indicate **integration functions** in the asymptotic expansion.

# Asymptotically flat 4d metrics

- The asymptotic expansion of the metric is thus

$$\begin{aligned} ds^2 = & ds_M^2 + \frac{2m_B}{r} du^2 + r C_{zz} dz^2 + r C_{\bar{z}\bar{z}} d\bar{z}^2 \\ & + D^z C_{zz} dudz + D^{\bar{z}} C_{\bar{z}\bar{z}} dud\bar{z} \\ & + \frac{1}{r} \left( \frac{4}{3} (N_z + u \partial_z m_B) - \frac{1}{4} \partial_z (C_{zz} C^{\bar{z}\bar{z}}) \right) dudz + \dots \end{aligned}$$

where we use complex coordinates on the  $S^2$ .

# Asymptotic coefficients

- **Bondi mass** aspect  $m_B(u, z, \bar{z})$ ; integrate over  $S^2$  to get total Bondi mass  $M_B$  at time  $u$ .
- **Traceless tensor**  $C_{AB}(u, z, \bar{z})$ : captures gravitational memory effects, soft scattering theorems and gravitational waves.
- **Angular momentum** aspect  $N^A(u, z, \bar{z})$ ; integrate over  $S^2$  to get total angular momentum.

- The Einstein equations give the **evolution** of the Bondi mass aspect

$$\partial_u m_B = \frac{1}{4} \left( D_A D_B (\partial_u C^{AB}) - \partial_u C^{AB} \partial_u C_{AB} \right)$$

with a similar equation for the angular momentum aspect.

- Hence a non-zero **news**  $N_{AB} = \partial_u C_{AB}$  leads to mass non-conservation (**gravitational waves**).



- **Superrotations** act as meromorphic transformations on the  $S^2$  coordinates i.e.

$$z \rightarrow \mathcal{Y}(z) \quad \bar{z} \rightarrow \bar{\mathcal{Y}}(\bar{z})$$

- Such transformations change  $C_{AB}$ :  $\Delta C_{zz}$  is expressed in terms of the Schwarzian derivative of  $\mathcal{Y}$ .
- Associated with the superrotations are (finite) superrotation charges. (**Barnich and Troessart**)

# Soft scattering theorems

- Consider an  $n$ -point scattering amplitude  $M_n$ , in the limit in which one leg becomes **soft**, i.e. very low energy.
- Then **Weinberg (1965)** realised that there is a kinematic relationship between  $M_n$  and  $M_{n-1}$ :

$$M_n = S_n \left( \frac{1}{t} \right) M_{n-1} + \mathcal{O}(t^0)$$

Here  $S_n$  is a principle part of a Laurent series (finite number of negative powers of  $t$ ) and  $t$  is the momentum transfer.

# Superrotations and soft scattering

- Conservation of superrotation charges is associated with such **soft scattering** theorems for scattering amplitudes. (**Strominger et al**)
- Note that analytic transformations on the  $S^2$  (**supertranslations**) do not give rise to non-trivial soft scattering theorems.

Analysis specific to 4d (2d celestial sphere plays essential role) but soft scattering theorems exist in all dimensions?

- Bondi-Sachs analysis for asymptotically flat 4d spacetimes
- **Asymptotic analysis for  $d > 4$**
- Analysis of asymptotically AdS spacetimes

# Asymptotic symmetries in $d > 4$

- In  $d=4$ , integration functions were associated with **asymptotic symmetries**, and hence **conservation laws**.
- In  $d>4$ , the longstanding puzzle is that there do not seem to be analogues of such integration functions.
- However, people has mostly been looking for analogues of **supertranslations** (BMS) rather than **superrotations**.

# Bondi gauge analysis in higher dimensions

- We can again parameterise the metric as

$$ds^2 = -Xdu^2 - 2e^{2\beta}dudr + h_{AB} \left( d\theta^A + U^A du \right) \left( d\theta^B + U^B du \right)$$

in terms of metric functions  $(X, \beta, h_{AB}, U^A)$ .

- The **nested** structure of the Einstein equations persists.
- The key question is again the **boundary conditions** for  $r \rightarrow \infty$  for  $d > 4$ .

- Bondi-Sachs analysis of asymptotically flat spacetimes
- Analysis for  $d > 4$
- **Analysis of asymptotically Anti-de Sitter spacetimes**

- We now consider **asymptotically AdS** spacetimes.
- Key features:
  - 1 **Timelike** conformal boundary
  - 2 Relation to **CFT** in one less dimension
- CFT data allows the spacetime to be reconstructed asymptotically.



- A convenient parameterisation is **Fefferman-Graham** coordinates

$$ds^2 = \frac{d\rho^2}{\rho^2} + \frac{1}{\rho^2} g_{ij}(x, \rho) dx^i dx^j$$

in the neighbourhood of the conformal boundary  $\rho \rightarrow 0$ .

- Einstein equations expressed in terms of derivatives of  $g$

$$g^{ij} \partial_\rho^2 g_{ij} = 0$$

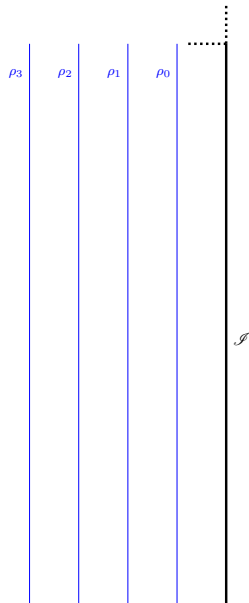
and so on.

- In Fefferman-Graham coordinates:

$$ds^2 = \frac{d\rho^2}{\rho^2} + \frac{1}{\rho^2} \left( g_{(0)ij} + g_{(2)ij}\rho^2 + g_{(3)ij}\rho^3 + \dots \right) dx^i dx^j$$

- Near boundary expansion reconstructed from  $g_{(0)ij}$  (**background metric** for dual theory) and  $g_{(3)ij}$  (**stress energy tensor** for dual theory).
- All other terms ( $g_{(2)}$  etc) are expressed in terms of curvatures of this data (**de Haro, Solodukhin, Skenderis, 2000**).

# Fefferman-Graham reconstruction



- In the Fefferman-Graham coordinate system, the neighbourhood of the conformal boundary is foliated by constant  $\rho$  hypersurfaces.
- The defining boundary data  $(g_{(0)}, g_{(3)})$  allow the metric to be reconstructed.

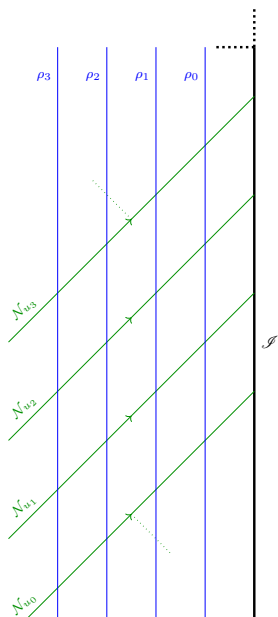
- We can also parameterise an asymptotically locally  $\text{AdS}_4$  spacetime in **Bondi gauge** as

$$ds^2 = -Xdu^2 - 2e^{2\beta} dudr + h_{AB} \left( d\theta^A + U^A du \right) \left( d\theta^B + U^B du \right)$$

as  $r \rightarrow \infty$ .

- Now the spacetime is foliated by hypersurfaces of **constant  $u$**  in the vicinity of the conformal boundary.

# Bondi gauge



# Asymptotic analysis in Bondi gauge

- For simplicity let us impose **axi-symmetry** and **reflection symmetry** in  $\phi$  i.e.

$$ds^2 = -Wr^2 e^{2\beta} du^2 - 2e^{2\beta} dudr + r^2 e^{2\gamma} (d\theta - Udu)^2 \\ + r^2 e^{-2\gamma} \sin^2 \theta d\phi^2$$

- The metric is thus defined by four functions:  
( $W(u, r, \theta), \gamma(u, r, \theta), \beta(u, r, \theta), U(u, r, \theta)$ ).
- Note that the **determinant** of the  $S^2$  is gauge fixed, as is usual in Bondi gauge.

# Why use Bondi gauge?

- The **nested** structure of the Einstein equations persists for  $\Lambda \neq 0$  (although is typically broken by non-zero matter).
- Bondi gauge is natural choice for numerical AdS **simulations** involving horizons. (See e.g. **Chesler and Yaffe**)
- Relativists work with characteristic null initial problems, Bondi mass aspect etc.

BUT: the relation of asymptotic data in Bondi gauge to the dual QFT is unclear.

- Analytic structure is manifest expressing  $z = 1/r$ :

$$\beta_{,z} + \frac{1}{2}z\gamma_{,z}^2 = 0.$$

$$0 = 2z\beta_{,z\theta} - 2z\gamma_{,z\theta} + zU_{,zz}e^{2(\gamma-\beta)} - 2U_{,z}e^{2(\gamma-\beta)} \\ + 2zU_{,z}e^{2(\gamma-\beta)}(\gamma_{,z} - \beta_{,z}) + 4\beta_{,\theta} + 4z\gamma_{,z}\gamma_{,\theta} - 4z \cot \theta \gamma_{,z}$$

plus two more main equations.

- Key point: lack of **covariance** obscures asymptotic structure.



# Results of asymptotic analysis

- The metric functions admit **analytic** expansions in  $z = 1/r$

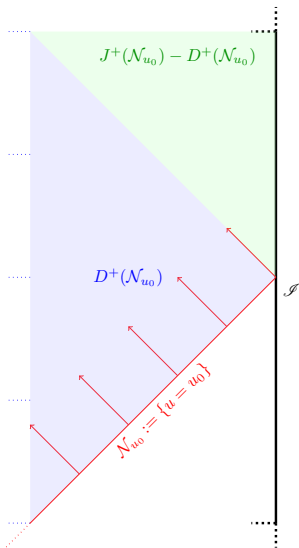
$$\gamma = \sum_{n=0}^{\infty} \frac{\gamma_n(u, \theta)}{r^n} \quad \beta = \sum_{n=0}^{\infty} \frac{\beta_n(u, \theta)}{r^n}$$

$$W = \sum_{n=0}^{\infty} \frac{W_n(u, \theta)}{r^n} \quad U = \sum_{n=0}^{\infty} \frac{U_n(u, \theta)}{r^n}$$

- The entire expansion can be determined **algebraically** from knowledge of  $(\gamma_0, \beta_0, U_0)$  and  $(\gamma_3, U_3, W_3)$ .

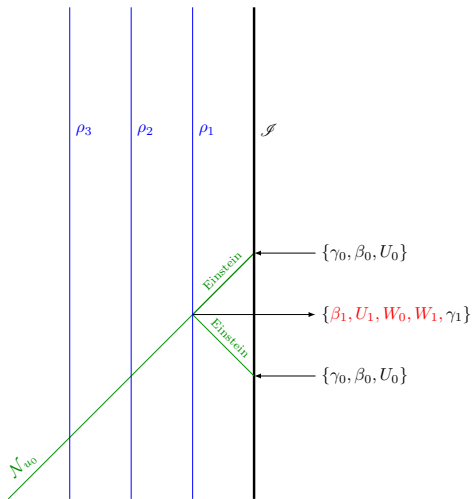
- 1 The **cosmological constant** (as expected) changes the structure of the asymptotic expansions.
- 2 The integration functions  $(\gamma_0, \beta_0, U_0)$  correspond to the (constrained) **background metric** for the 3d QFT.
- 3 The other integration functions  $(\gamma_3, U_3, W_3)$  would be termed "**Bondi mass aspect**" and "**Bondi angular momentum aspect**" by relativists but are related algebraically to the dual QFT stress tensor.

# 1. Integration scheme



- Naively, one would think that in the Bondi gauge data from constant  $u$  hypersurfaces is propagated forwards in time.
- Such propagation could only include the future domain of dependence of the  $u$  hypersurface.

# Integration scheme



- In practice, the integration scheme takes **boundary data**  $(\gamma_0, \beta_0, U_0)$  at different values of time and generates the subleading terms  $(\beta_n, U_n, W_n, \gamma_n)$ .
- Thus, in the Bondi gauge for AdS, the evolution is effectively **radially inwards**.

## 2. Boundary metric

- We can write the **boundary metric**  $g_{(0)}$  in terms of the Bondi data:

$$ds^2 = (e^{2\gamma_0} U_0^2 - e^{4\beta_0}) dt^2 - 2e^{2\gamma_0} U_0 dt d\theta + e^{2\gamma_0} d\theta^2 + e^{-2\gamma_0} \sin^2 \theta d\phi^2$$

where  $t$  is the time.

- **Asymptotically AdS** (boundary metric  $R_t \times S^2$ ) corresponds to  $\beta_0 = \gamma_0 = U_0 = 0$ .

## 2. Boundary metric

- Non-trivial  $(\gamma_0(t, \theta), \beta_0(t, \theta), U_0(t, \theta))$  corresponds to a **curved, time dependent** background metric for the CFT.
- From an AdS/CFT perspective, the **determinant** restriction on the  $S^2$  is very unnatural: excludes “**breathing**” modes for the sphere, that are e.g. relevant for quark gluon plasma simulations.

### 3. Bondi aspects v QFT data

- Relativists define a **Bondi mass aspect**  $m_B(u, z, \bar{z}) \sim W_3(u, z, \bar{z})$ , in analogy to asymptotically flat spacetimes.
- From an AdS/CFT perspective, the **dual stress energy tensor** of the CFT

$$T_{ij} = g_{(3)ij}$$

(with  $g_{(3)ij}$  the Fefferman-Graham coefficient) is the natural observable.

- $T_{ij}$  is relevant to positivity theorems, entanglement entropy, entropy bounds etc.

### 3. Bondi aspects v QFT data

- For asymptotically  $AdS_4$

$$T_{tt} \sim m_B$$

i.e. the Bondi mass aspect is simply related to the CFT stress energy tensor.



### 3. Bondi aspects v QFT data

- For **asymptotically locally  $AdS_4$**  the relation between  $m_B$  and the **dual stress energy tensor** is in general (very) complicated:

$$T_{tt} \sim m_B + \mathcal{T}(\gamma_0, \beta_0, U_0)$$

- Lesson: Bondi mass aspect (as defined in the literature) is not natural from holographic perspective!

$$\begin{aligned}
\mathcal{T} = & -e^{-2(\beta_0+\gamma_0)}(2\gamma_{0,u} - U_0(\cot(\theta) - 2\gamma_{0,\theta}) + U_{0,\theta})(4e^{4\beta_0}(\beta_{0,\theta})^2 \\
& - e^{2\gamma_0}U_0(-2\gamma_{0,u\theta} + 4\gamma_{0,u}(\gamma_{0,\theta} - \cot(\theta)) + U_0(4(\gamma_{0,\theta})^2 \\
& - 2\gamma_{0,\theta\theta} - 6\cot(\theta)\gamma_{0,\theta} + \cot^2(\theta) - 1) - U_{0,\theta\theta} - \cot(\theta)U_{0,\theta})) \\
& + e^{-2\gamma_0}(2e^{4\gamma_0}U_0U_3 - 2e^{2\beta_0}\beta_{0,\theta}(-2\gamma_{0,u\theta} + 4\gamma_{0,u}(\gamma_{0,\theta} - \cot(\theta))) \\
& + U_0(4(\gamma_{0,\theta})^2 - 2\gamma_{0,\theta\theta} - 6\cot(\theta)\gamma_{0,\theta} + \cot^2(\theta) - 1) - U_{0,\theta\theta} \\
& + \frac{1}{3}e^{2\gamma_0}U_0^2 \left[ 6\gamma_3 + \frac{1}{2}e^{-6\beta_0}(-2\gamma_{0,u} + U_0(\cot(\theta) - 2\gamma_{0,\theta}) - U_{0,\theta})^3 \right] \\
& + 2e^{-2\beta_0}\beta_{0,\theta}U_0(2\gamma_{0,u} - U_0(\cot(\theta) - 2\gamma_{0,\theta}) + U_{0,\theta})^2 \\
& + \frac{1}{8}e^{-2\beta_0}(U_{0,\theta} + \cot(\theta)U_0)(2\gamma_{0,u} - U_0(\cot(\theta) - 2\gamma_{0,\theta}) + U_{0,\theta})^2
\end{aligned}
\tag{1}$$

- For **simulations** carried out in Bondi gauge, one can now read off directly the QFT data.
- Our analysis is relevant for AdS **Robinson-Trautmann** metrics

$$ds^2 = F(r, u, z, \bar{z}) du^2 - 2dudr + 2r^2 e^{\phi(u, z, \bar{z})} dzd\bar{z}$$

(Admit geodesic congruence with zero shear, twist and non-vanishing divergence.)

# Applications of Bondi analysis

- Preliminary holographic analysis and discussion of Bondi mass in [Bakas, Skenderis and Withers](#)<sup>1</sup>.
- System relaxes to black hole at late times; describes QFT [thermalization](#) process analytically.
- [Outgoing modes](#) pass through the conformal boundary.

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<sup>1</sup>See also [de Freitas and Reall](#); [Ciambelli, Marteau, Petkou, Petropoulos, Siampos](#) for discussions of AdS-Robinson-Trautman.

# Summary and conclusions

- Asymptotic analysis for asymptotically flat spacetimes: integration functions associated with analogues of **superrotations** exist in all dimensions.
- These occur at a **different order** in the asymptotic expansion to gravitational waves.
- Do associated **symmetry charges** lead to **soft scattering** theorems?

# Summary and conclusions

- For asymptotically AdS, naively Bondi gauge analysis propagates data via **null hypersurfaces**.
- Integration scheme in practice propagates data via **constant radius** hypersurfaces.
- **Bondi mass aspect** as defined previously rather unnatural from QFT perspective.