

# ***ELECTROMAGNETIC RADII OF THE PROTON FROM ATOMIC SPECTROSCOPY***

**Savely Karshenboim**

**Max-Planck-Institut für Quantenoptik (Garching)**

**&**

**Pulkovo Observatory (ГАО РАН) (St. Petersburg)**

MAX-PLANCK-INSTITUTE  
OF QUANTUM OPTICS  
GARCHING



MPQ



# OUTLINE

- Different determinations of the electric and magnetic radii
- Proton-finite-size contributions to the energy level
  - *Leading and next-to-leading contributions*
  - *Spin-independent and spin-dependent terms*
  - *Former evaluations*
  - *Consistency problem*
  - *Fits and fits*
- Strategy of the self-consistent consideration
  - *Low momentum area*
  - *High momentum area*
- The proton charge radius
  - *Results*
  - *Tests*
- Hyperfine interval in H and  $\mu\text{H}$  and proton magnetic radius

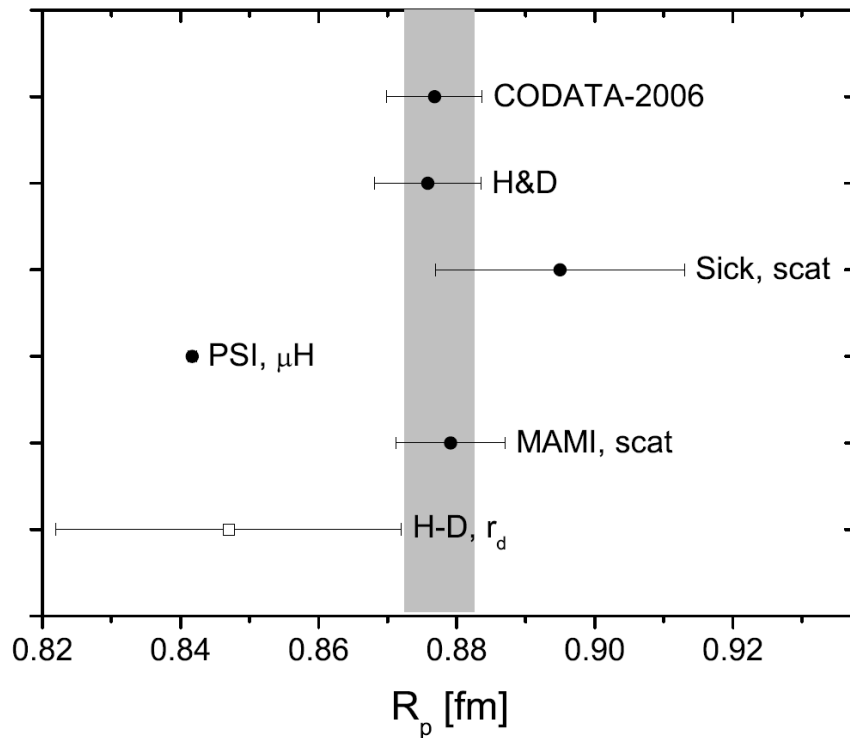


# DIFFERENT METHODS TO DETERMINE THE PROTON CHARGE RADIUS

- *Spectroscopy of hydrogen (and deuterium)*
- *Electron-proton scattering*
- *The Lamb shift in muonic hydrogen*



# DIFFERENT METHODS TO DETERMINE THE PROTON CHARGE RADIUS



○ *Electron-proton scattering*




# DIFFERENT METHODS TO DETERMINE THE PROTON CHARGE RADIUS

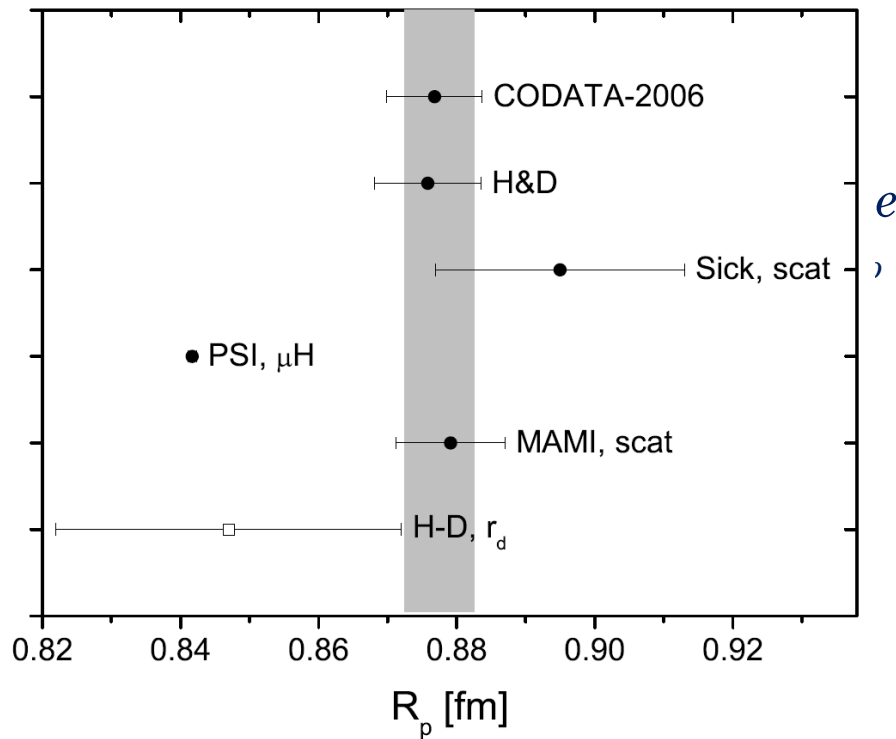
- *Spectroscopy of hydrogen (and deuterium)*
  - *spectroscopic data are fitted with  $R_\infty$  and  $R_p$  (H) or  $R_\infty$  and  $R_d$  (D)*
- *The Lamb shift in muonic hydrogen*
  - *higher-order finite-proton-size corrections are involved*
- *Electron-proton scattering*
  - *QED corrections*
  - *two-photon exchange (polarizability)*
  - *limited accuracy of data points*
  - *extrapolation to  $q^2=0$  and differentiation*
- *overall model dependence*



# DIFFERENT METHODS TO DETERMINE THE PROTON CHARGE RADIUS

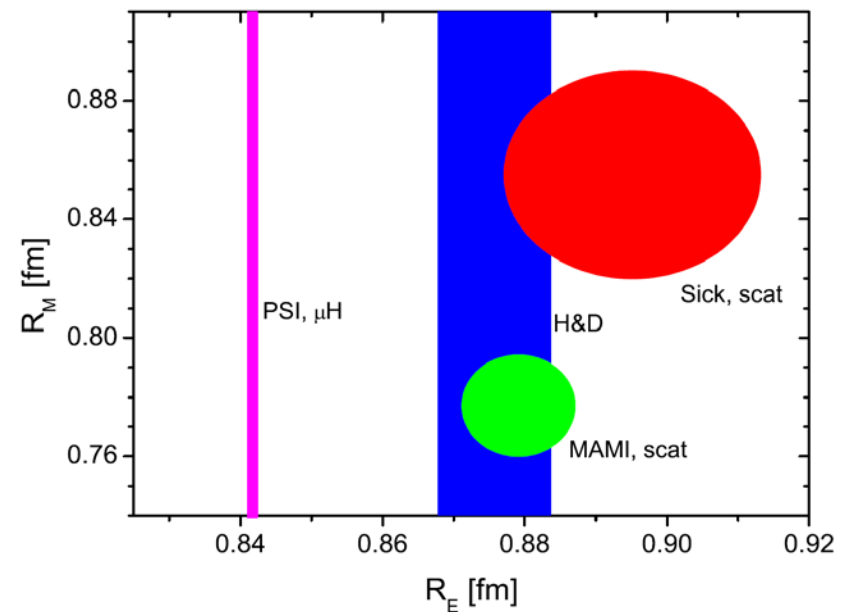
- *Spectroscopy of hydrogen (and deuterium)*
    - *spectroscopic data are fitted with  $R_\infty$  and  $R_p$  (H) or  $R_\infty$  and  $R_d$  (D)*
  - *The Lamb shift in muonic hydrogen*
    - *higher-order finite-proton-size corrections are involved*
  - *No results on magnetic radius*
  - *Electron-proton scattering*
    - *QED corrections*
    - *two-photon exchange (polarizability)*
    - *limited accuracy of data points*
    - *extrapolation to  $q^2=0$  and differentiation*
  - *overall model dependence*
  - *Results on the magnetic radius are controversial*
- 

# DIFFERENT METHODS TO DETERMINE THE PROTON CHARGE RADIUS



○ *No results on magnetic radius*

- *Electron-proton scattering*
  - *QED corrections*
  - *two-photon exchange (polarizability)*



# CONTRADICTION IN THE DETERMINATION: TWO QUESTIONS

- How to resolve it?
- I partly address this question producing an independent value of the magnetic radius.
- How to live with it?
- The contradiction means that the overall picture is not self-consistent and certain calculations may involve inconsistencies.





# HIGHER-ORDER PROTON-FINITE-SIZE CONTRIBUTIONS: NEW APPROACH

- The Lamb in muonic hydrogen
  - consistency (between form factors from scattering and extracted radius)
  - a model-independent self-consistent treatment
- HFS in ordinary and muonic hydrogen
  - sensitive to the distribution of the magnetic moment
  - no model independent result up to date
  - a self-consistent treatment and a model-independent constraint for the first time



# PROTON FINITE-SIZE CONTRIBUTIONS

- Leading term:

$$\Delta E_{\text{pfs}}^{\text{lead}}(ns) = \frac{2}{3} \frac{(Z\alpha)^4}{n^3} m_r^3 R_E^2$$

- Next-to-leading (spin-independent): **Friar term**

$$I_3^{\text{E}} \equiv \int_0^\infty \frac{dq}{q^4} \left[ (G_E(q^2))^2 - 1 - 2G'_E(0) q^2 \right]$$

- Next-to-leading (spin-dependent): **Zemach term**

$$I_1^{\text{EM}} \equiv \int_0^\infty \frac{dq}{q^2} \left[ \frac{G_E(q^2)G_M(q^2)}{\mu_p} - 1 \right]$$

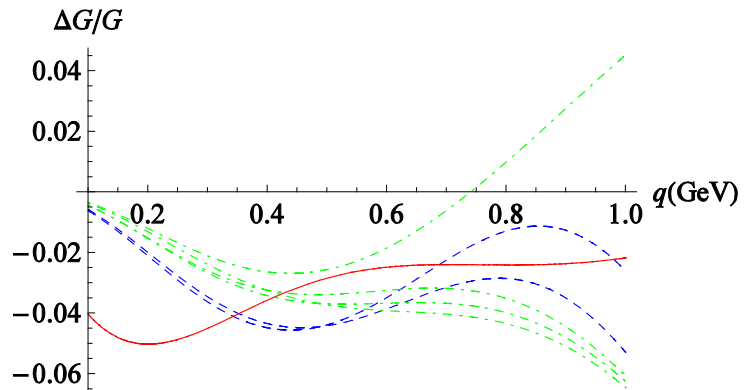
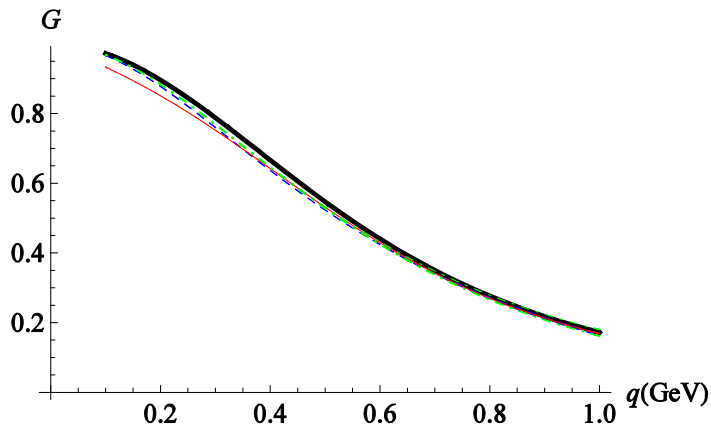


# STANDARD DIPOLE APPROXIMATION AND EMPIRIC FITS

$$G_{\text{dip}}(q^2) = \left( \frac{\Lambda^2}{q^2 + \Lambda^2} \right)^2$$

$$\Lambda^2 = 0.71 \text{ GeV}^2$$

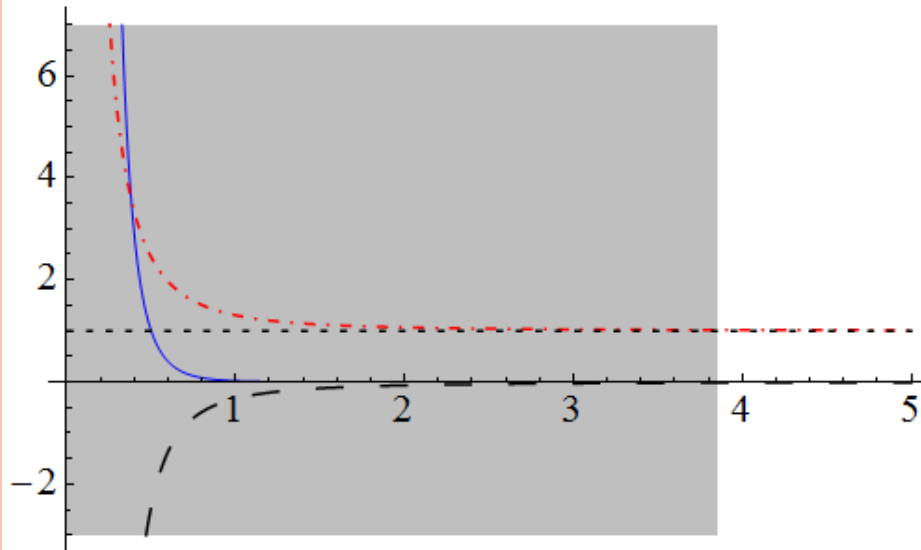
$$R_p = 0.81 \text{ fm}$$



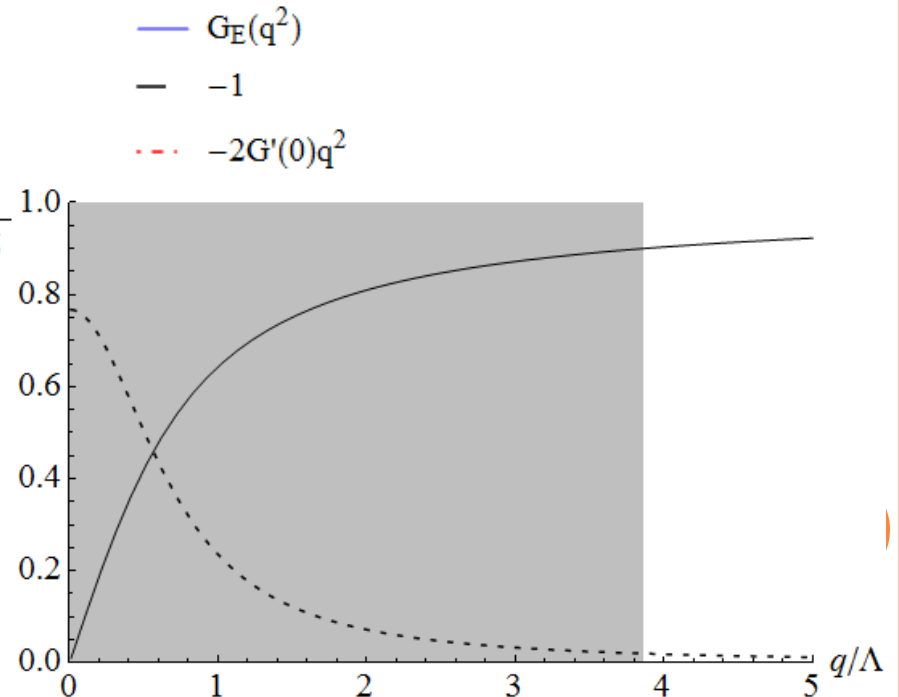
# THE LAMB SHIFT IN MUONIC HYDROGEN: CONSISTENCY PROBLEM !!!

- The integrand includes  
Three terms:

$$I_3^E \equiv \int_0^\infty \frac{dq}{q^4} \left[ (G_E(q^2))^2 - 1 - 2G'_E(0)q^2 \right]$$



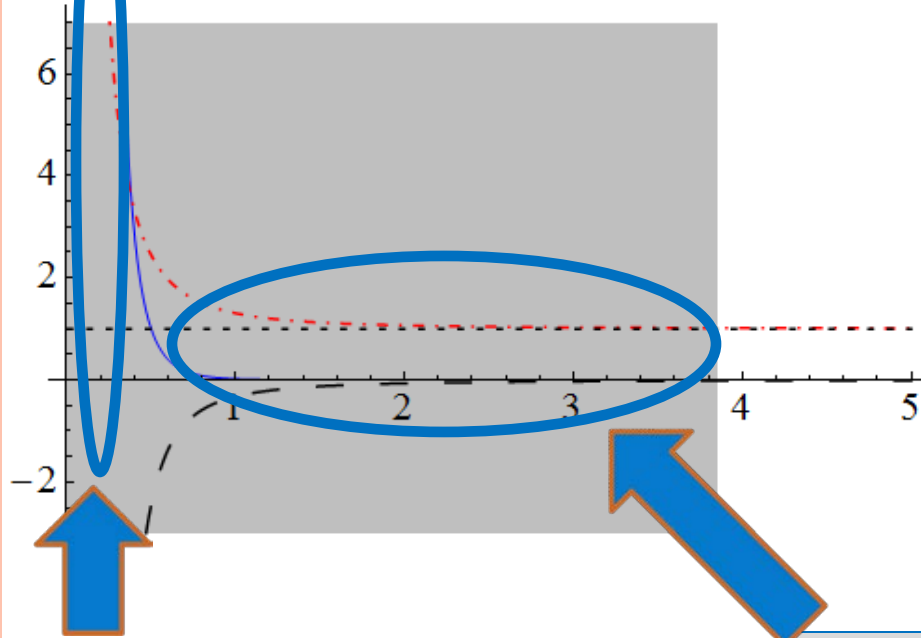
90% of the integral



# THE LAMB SHIFT IN MUONIC HYDROGEN: CONSISTENCY PROBLEM

- The integrand includes  
Three terms:

$$I_3^E \equiv \int_0^\infty \frac{dq}{q^4} \left[ (G_E(q^2))^2 - 1 - 2G'_E(0)q^2 \right]$$

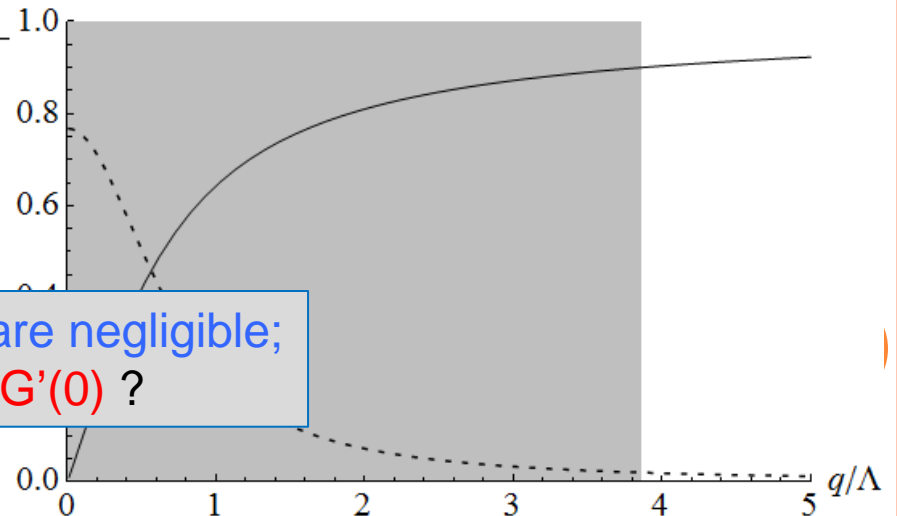


data are inaccurate;  
fit for  $G$  ?

90% of the integral

—  $G_E(q^2)$   
- - -1  
- · -  $-2G'(0)q^2$

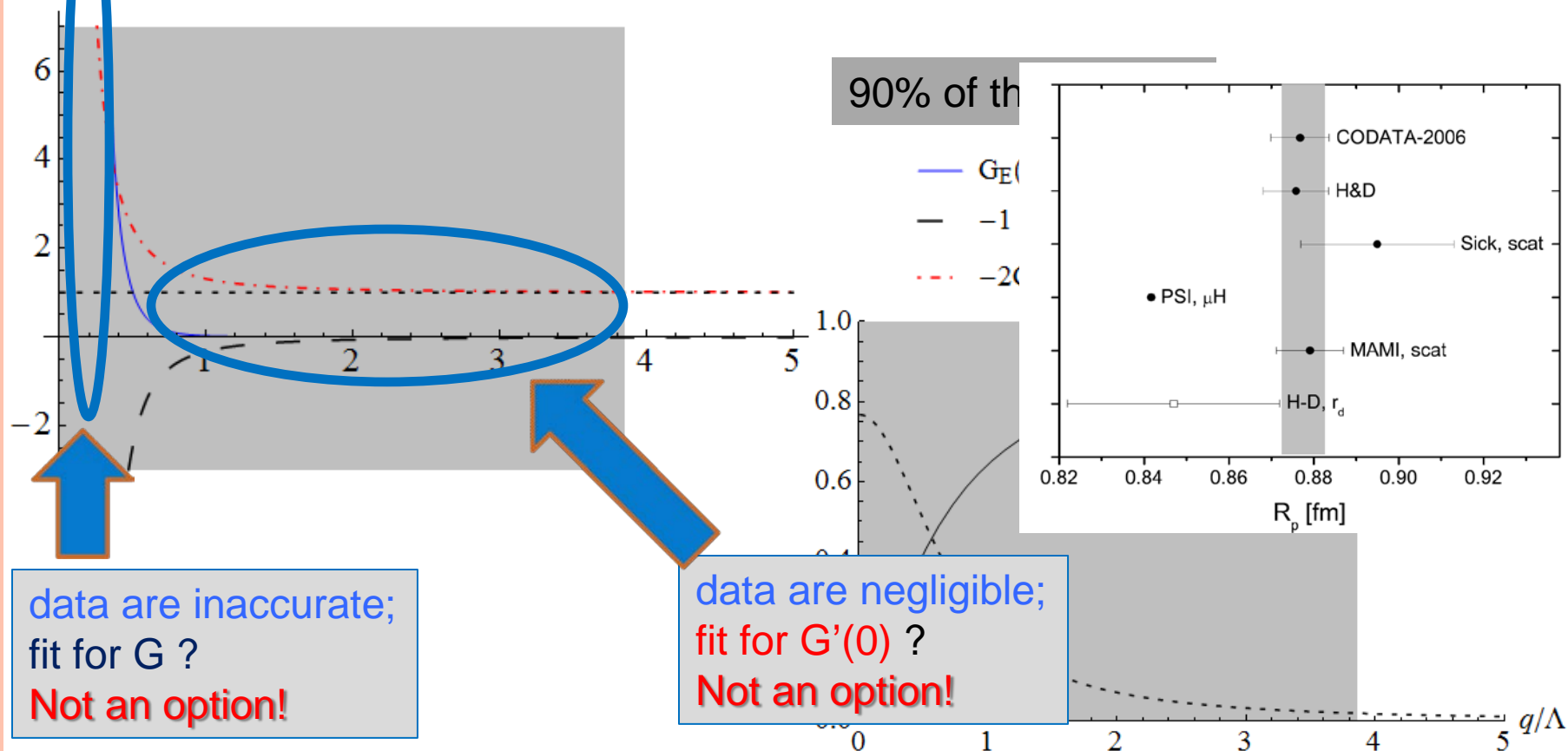
data are negligible;  
fit for  $G'(0)$  ?



# THE LAMB SHIFT IN MUONIC HYDROGEN: CONSISTENCY PROBLEM

- The integrand includes  
Three terms:

$$I_3^E \equiv \int_0^\infty \frac{dq}{q^4} \left[ (G_E(q^2))^2 - 1 - 2G'_E(0) q^2 \right]$$



data are inaccurate;  
fit for  $G$  ?  
**Not an option!**

data are negligible;  
fit for  $G'(0)$  ?  
**Not an option!**

# THE FITS & THE FITS

- The fits theoretically motivated
  - the shape is determined independently of the fitting
  - fitting serves to find the related parameters
  - suitable for any operations on the fit
- There is no a priori model for the proton form factors
- The fits produced empirically
  - the shape is arbitrary chosen
  - literally it has no sense
  - just a good smooth function
  - suitable for rough application
- Suitable with reservations for extrapolations, differentiations, large subtractions



# THE FITS & THE FITS

- The fits theoretically motivated

- the shape is determined independently of fitting
- fitting serves to related parameters
- suitable for any operations on the

- There is no a priori model for the proton form factors

- The fits produced empirically

- the shape is arbitrary

## Empiric fits of the proton form factors

- Literally incorrect:

- wrong analytic behavior
- questionable asymptotic behavior

- Based on certain rough theoretical constraints

reservations for extrapolations, differentiations, large subtractions





# EVALUATIONS OF $I_3^{EM}$ WITHIN THE FORMER EXTRACTIONS

## ○ *Natural* extraction

- following Borie, Eides et al, and others
- dipole shape with an adjustable parameter

## ○ A posteriori: the parameter follows the radius from muonic hydrogen

- does not well agree with the expt data!

## ○ *Scientific* extraction

- Crema cited Birse and McGovern
- B&M cited Carlson and Vanderhaeghen
- C&V calculated TPE

## ○ TPE includes recoil, but the Friar term dominates

- empiric fits (with a bad radius!)

### The size of the proton

Randolf Pohl<sup>1</sup>, Aldo Antognini<sup>1,2\*</sup>, François Nez<sup>2</sup>, Fernando D. Amaro<sup>3</sup>, François Biraben<sup>2</sup>, João M. R. Cardoso<sup>3</sup>, Daniel S. Covita<sup>3,4</sup>, Andreas Dax<sup>5</sup>, Satish Dhawan<sup>6</sup>, Luis M. P. Fernandes<sup>3</sup>, Adolf Giesen<sup>6\*</sup>, Thomas Graf<sup>6</sup>, Theodor W. Hänsch<sup>1</sup>, Paul Indelicato<sup>2</sup>, Lucile Julien<sup>3</sup>, Cheng-Yang Kao<sup>2</sup>, Paul Knowles<sup>8</sup>, Eric-Olivier Le Bigot<sup>2</sup>, Yi-Wei Liu<sup>7</sup>, José A. M. Lopes<sup>3</sup>, Livia Ludhova<sup>9</sup>, Cristina M. B. Monteiro<sup>3</sup>, Françoise Mulhauser<sup>2\*</sup>, Tobias Nebel<sup>1</sup>, Paul Rabinowitz<sup>2</sup>, Joaquim M. F. dos Santos<sup>3</sup>, Lukas A. Schaller<sup>3</sup>, Karsten Schuhmann<sup>10</sup>, Catherine Schwob<sup>1</sup>, David Taqqu<sup>11</sup>, João F. C. A. Veloso<sup>4</sup> & Franz Kottmann<sup>12</sup>

### Proton Structure from the Measurement of 2S-2P Transition Frequencies of Muonic Hydrogen

Aldo Antognini<sup>1,2\*</sup>, François Nez<sup>2</sup>, Karsten Schuhmann<sup>2,4</sup>, Fernando D. Amaro<sup>5</sup>, François Biraben<sup>2</sup>, João M. R. Cardoso<sup>3</sup>, Daniel S. Covita<sup>3,6</sup>, Andreas Dax<sup>7</sup>, Satish Dhawan<sup>7</sup>, Marc Diepold<sup>1</sup>, Luis M. P. Fernandes<sup>3</sup>, Adolf Giesen<sup>4,8</sup>, Andrea L. Gouvea<sup>3</sup>, Thomas Graf<sup>8</sup>, Theodor W. Hänsch<sup>1,9</sup>, Paul Indelicato<sup>2</sup>, Lucile Julien<sup>3</sup>, Cheng-Yang Kao<sup>2</sup>, Paul Knowles<sup>11</sup>, Franz Kottmann<sup>2</sup>, Eric-Olivier Le Bigot<sup>2</sup>, Yi-Wei Liu<sup>10</sup>, José A. M. Lopes<sup>3</sup>, Livia Ludhova<sup>11</sup>, Cristina M. B. Monteiro<sup>3</sup>, Françoise Mulhauser<sup>11</sup>, Tobias Nebel<sup>1</sup>, Paul Rabinowitz<sup>12</sup>, Joaquim M. F. dos Santos<sup>3</sup>, Lukas A. Schaller<sup>11</sup>, Catherine Schwob<sup>3</sup>, David Taqqu<sup>13</sup>, João F. C. A. Veloso<sup>4</sup>, Jan Vogelsang<sup>1</sup>, Randolf Pohl<sup>1</sup>

# STRATEGY OF THE EVALUATION

- Split the integral

$$I = \int_0^{\infty} dq \dots \equiv I_{<} + I_{>} \equiv \int_0^{q_0} dq \dots + \int_{q_0}^{\infty} dq \dots$$

- Low momentum

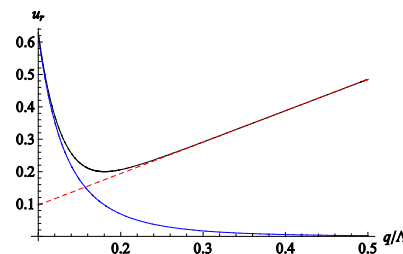
$$\left(G_E(q^2)\right)^2 \simeq 1 - \frac{R_E^2}{3} q^2 + C^{\text{dip}}(1 \pm 1) q^4$$

- High momentum

$$I_{3>}^E = \int_{q_0}^{\infty} \frac{dq}{q^4} \left(G_E(q^2)\right)^2 - \frac{1}{3q_0^3} + \frac{1}{3} \frac{R_E^2}{q_0}$$

$$\delta I_{3>}^E = \frac{1}{3q_0^3} \frac{2\delta G_E(q_0^2)}{G_E(q_0^2)} \left(G_{\text{dip}}(q_0^2)\right)^2$$

- Minimization of the uncertainty



## LOW MOMENTUM CONTRIBUTION

- The integrand involves a substantial cancellation

$$I_3^E \equiv \int_0^\infty \frac{dq}{q^4} \left[ (G_E(q^2))^2 - 1 - 2G'_E(0) q^2 \right]$$

- The low-momentum approximation

$$(G_E(q^2))^2 \simeq 1 - \frac{R_E^2}{3} q^2 + C^{\text{dip}} (1 \pm 1) q^4$$

- The result:

$$I_{3<}^E = 10 (1 \pm 1) \frac{q_0}{\Lambda^4}$$



# LOW MOMENTUM CONTRIBUTION

- The integrand involves a substantial cancellation

$$I_3^E \equiv \int_0^\infty \frac{dq}{q^4} \left[ (G_E(q^2))^2 - 1 - 2G'_E(0) q^2 \right]$$

- The low-momentum approximation

$$(G_E(q^2))^2 \simeq 1 - \frac{R_E^2}{3} q^2 + C^{\text{dip}} (1 \pm 1) q^4$$

- The result:

$$I_{3<}^E = 10 (1 \pm 1) \frac{q_0}{\Lambda^4}$$



# HIGH MOMENTUM CONTRIBUTION

- The integral

$$I_{3>}^E = \int_{q_0}^{\infty} \frac{dq}{q^4} \left( G_E(q^2) \right)^2 - \frac{1}{3q_0^3} + \frac{1}{3} \frac{R_E^2}{q_0}$$

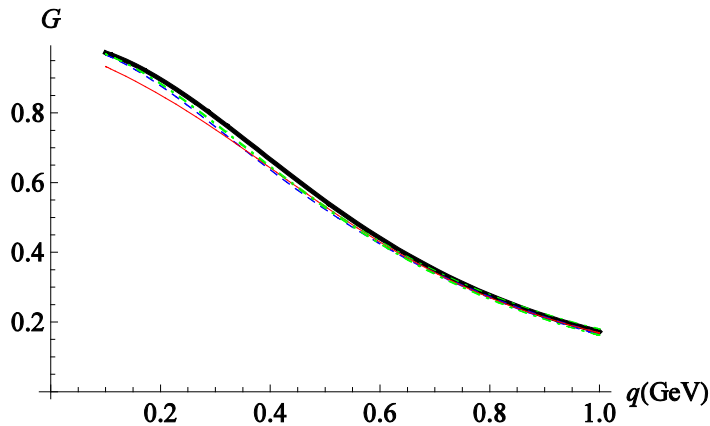
- The central value is the median of a few empiric fits
- The uncertainty

$$\delta I_{3>}^E = \frac{1}{3q_0^3} \frac{2\delta G_E(q_0^2)}{G_E(q_0^2)} \left( G_{\text{dip}}(q_0^2) \right)^2$$

$$\delta G_E(q_0^2) / G_E(q_0^2) \simeq 1\%$$

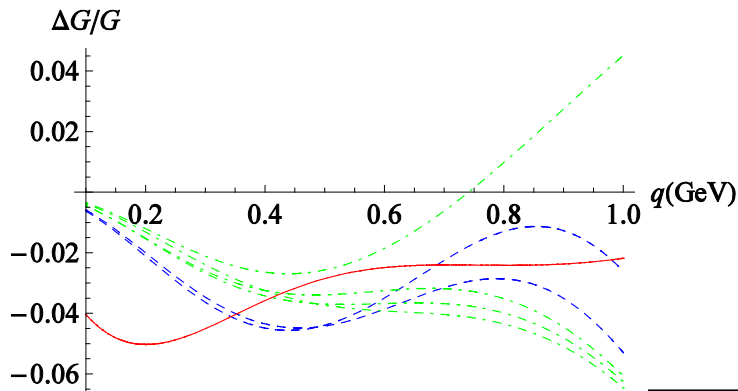


# THE EMPIRIC FITS: SHAPE



## Chain fraction

$$G_E(q^2) = \frac{1}{1 + \frac{3.44Q^2}{1 - \frac{0.178Q^2}{1 - \frac{1.212Q^2}{1 + \frac{1.176Q^2}{1 - 0.284Q^2}}}}}$$



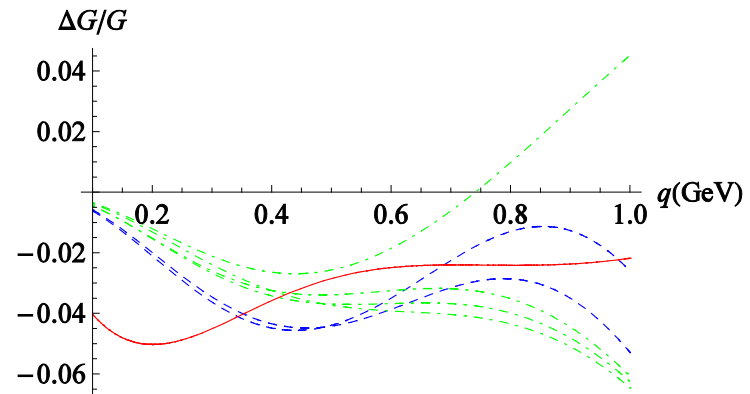
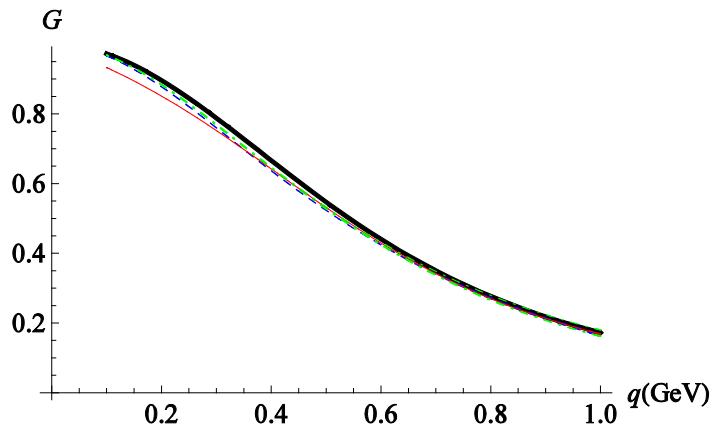
## Padé approximation

$$G_E = \frac{1 - 0.24\tau}{1 + 10.98\tau + 12.82\tau^2 + 0.863\tau^3}$$

$$\tau = q^2 / 4m_p^2$$

- [9] J.J. Kelly, Phys. Rev. C **70**, 068202 (2004).
- [10] J. Arrington and I. Sick, Phys. Rev. C **76**, 035201 (2007).
- [11] J. Arrington, W. Melnitchouk, and J.A. Tjon, Phys. Rev. C **76**, 035205 (2007).
- [12] W.M. Alberico, S.M. Bilenyk, C. Guinti, and K.M. Graczyk, Phys. Rev. C **79**, 065204 (2009).
- [13] S. Venkat, J. Arrington, G.A. Miller and X. Zhan, Phys. Rev. C **83**, 015203 (2011).

# THE EMPIRIC FITS: PARAMETERS



fit	ref.	type	$R_E$ [fm]	$C$ [ $\text{GeV}^{-4}$ ]
(A1)	[10]	chain fraction	0.90	34.3
(A2)	[10]	chain fraction	0.90	35.3
(A3)	[9]	Padé approximation ( $q^2$ )	0.86	28.0
(A4)	[11]	Padé approximation ( $q^2$ )	0.88	31.1
(A5)	[12]	Padé approximation ( $q^2$ )	0.87	28.2
(A6)	[13]	Padé approximation ( $q^2$ )	0.88	31.3

$$C = 19.8 \text{ GeV}^{-4}$$



# SCATTER, UNCERTAINTY AND THE BOSTED'S FIT (1995)

$q_0/\Lambda$	$q_0$	$\delta I_{3<}^E / I_3^{\text{dip}}$	$\delta(I_{3>}^E - I_3^R) / I_3^{\text{dip}}$	total	<i>scatter</i>
0.10	0.084 GeV	9.7%	62%	63%	11%
0.15	0.126 GeV	14.6%	17.5%	22.3%	5.5%
0.20	0.169 GeV	19.4%	6.9%	20.6%	3.0%
0.25	0.211 GeV	24.2%	3.2%	24.4%	1.7%
0.30	0.253 GeV	29.1%	1.7%	29.2%	0.9%
0.40	0.337 GeV	38.8%	0.6%	38.8%	0.3%





# SCATTER, UNCERTAINTY AND THE BOSTED'S FIT (1995)

$q_0/\Lambda$	$I_3^E - I_3^R$	scatter of $I_{3>}^E$	scatter* of $I_{3>}^E$
0.10	-57(11) GeV <sup>-3</sup>	2.0 GeV <sup>-3</sup>	18 GeV <sup>-3</sup>
0.15	-30.8(3.9) GeV <sup>-3</sup>	1.0 GeV <sup>-3</sup>	5.0 GeV <sup>-3</sup>
0.20	-18.0(3.5) GeV <sup>-3</sup>	0.5 GeV <sup>-3</sup>	1.7 GeV <sup>-3</sup>
0.25	-10.4(4.2) GeV <sup>-3</sup>	0.3 GeV <sup>-3</sup>	0.6 GeV <sup>-3</sup>
0.30	-5.4(5.0) GeV <sup>-3</sup>	0.2 GeV <sup>-3</sup>	0.2 GeV <sup>-3</sup>
0.40	+0.9(6.7) GeV <sup>-3</sup>	0.06 GeV <sup>-3</sup>	0.06 GeV <sup>-3</sup>

$$G_E(q^2) = \frac{1}{1 + 0.62Q + 0.68Q^2 + 2.8Q^3 + 0.83Q^4}$$

$G'(0) = \infty$  (the fit was designed only for medium  $q$ )

# STRATEGY: THE CENTRAL VALUE AND THE UNCERTAINTY

## ○ The central value

- Low-momentum part
  - Dipole value for  $G''(0)$
  - $G'(0)$  is adjustable
- High-momentum part
  - empiric fits with somewhat different  $R_E$  and  $G''(0)$
  - the median

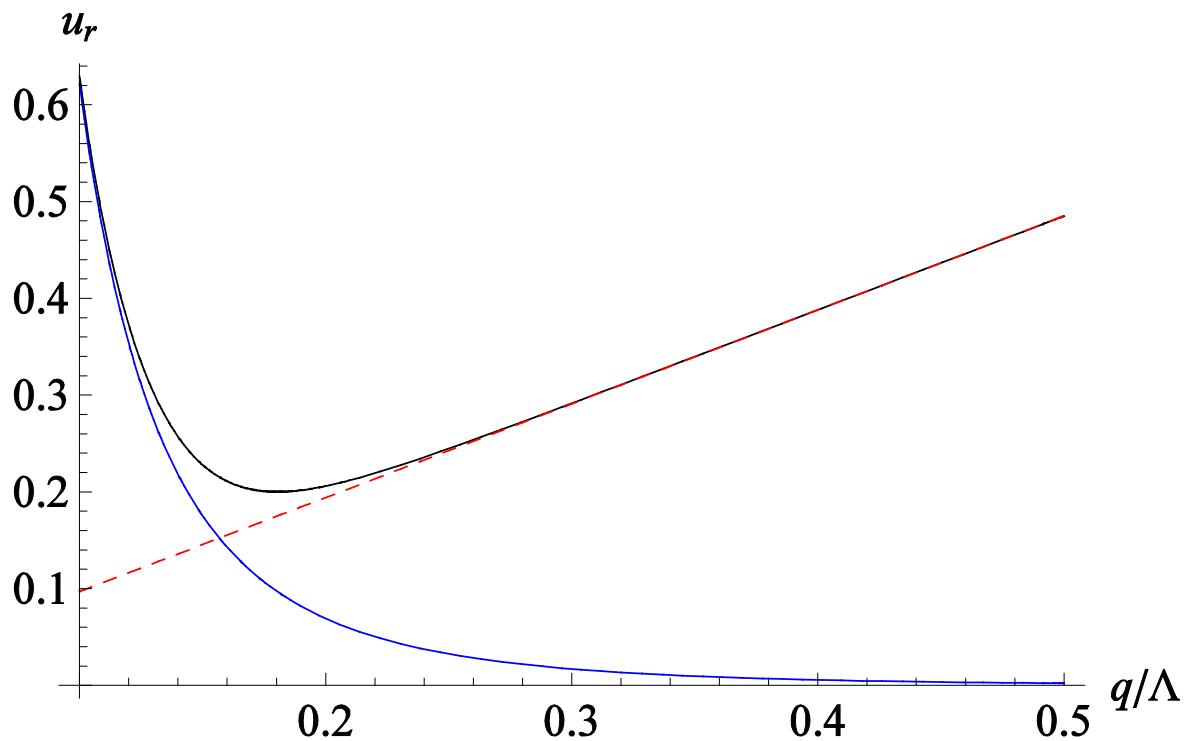
## ○ The uncertainty

- Low-momentum part
  - 100% uncertainty
- High-momentum part
  - 1% uncertainty due to integration around  $q_0$
  - that is larger than the scatter



# MINIMIZATION OF THE UNCERTAINTY

- The uncertainty is modeled with a smooth simple function and we find its minimum



# DETERMINATION OF THE CHARGE RADIUS

## ○ Extraction equation

$$(R_E)^2 - (R_E^0)^2 = \frac{1}{\left[1 - \frac{8(Z\alpha) m_r}{\pi q_0}\right]} \left[ \frac{8(Z\alpha) m_r}{\pi q_0} (R_E^0)^2 + \frac{24(Z\alpha) m_r}{\pi} (I_3^{\text{E-R}} - I_3^0) \right]$$

$$I_3^{\text{E-R}} = \int_0^{q_0} \frac{dq}{q^4} \left[ (G_E(q^2))^2 - 1 - 2G'_E(0) q^2 \right] + \int_{q_0}^{\infty} \frac{dq}{q^4} \left[ (G_E(q^2))^2 - 1 \right]$$

## ○ Results

Ref.	$R_E^0$ [fm]	$I_3^0$ [GeV <sup>-3</sup> ]	$R_E - R_E^0$ [fm]	$R_E$ [fm]
[1]	0.841 84(67)	19.25	-0.000 19(43)	0.841 65(79)
[2]	0.840 87(39)	22.9(1.2)	-0.000 65(43)(15)	0.840 22(56)



# DETERMINATION OF THE CHARGE RADIUS

## ○ Extraction equation

$$(R_E)^2 - (R_E^0)^2 = \frac{1}{\left[1 - \frac{8(Z\alpha) m_r}{\pi q_0}\right]} \left[ \frac{8(Z\alpha) m_r}{\pi q_0} (R_E^0)^2 + \frac{24(Z\alpha) m_r}{\pi} (I_3^{E-R} - I_3^0) \right]$$

$$I_3^{E-R} = \int_0^{q_0} \frac{dq}{q^4} \left[ (G_E(q^2))^2 - 1 - 2G'_E(0) q^2 \right] + \int_{q_0}^{\infty} \frac{dq}{q^4} \left[ (G_E(q^2))^2 - 1 \right]$$

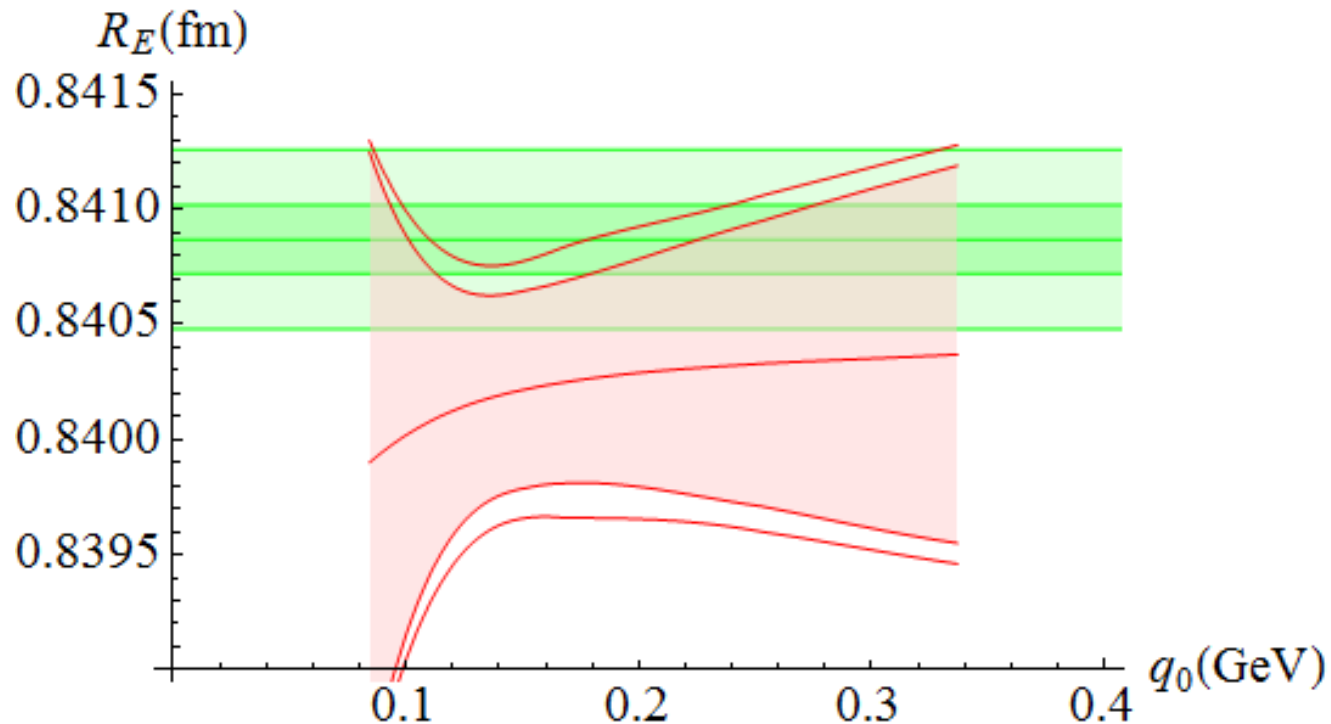
## ○ Results

Ref.	$R_E^0$ [fm]	$I_3^0$ [GeV <sup>-3</sup> ]	$R_E - R_E^0$ [fm]	$R_E$ [fm]
[1]	0.841 84(67)	19.25	-0.000 19(43)	0.841 65(70)
[2]	0.840 87(39)	22.9(1.2)	-0.000 65(43)(15)	0.840 22(56)



# DEPENDENCE ON $Q_0$

- Plot for scientific extraction

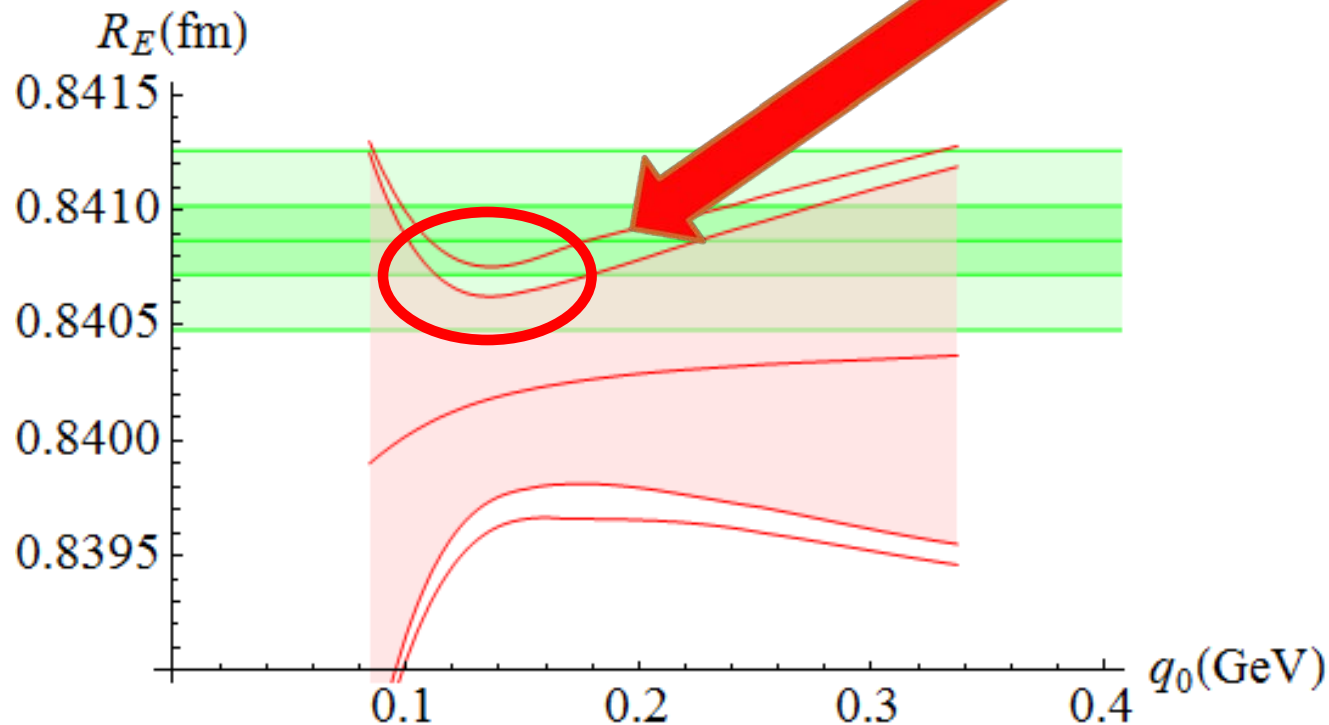


$$R_E = 0.840\,22(56)\text{ fm}$$



# DEPENDENCE ON $Q_0$

- Plot for scientific extraction



$$R_E = 0.840\,22(56)\text{ fm}$$

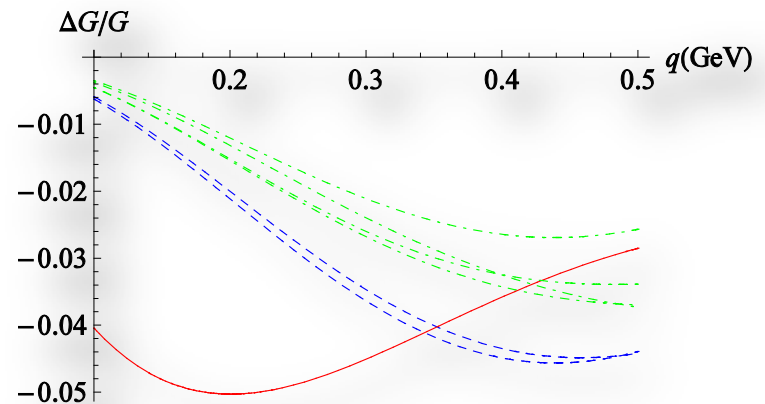


# CONTINUITY OF THE FORM FACTOR:

- Low momentum ( $R_E$  is extracted from  $\mu\text{H}$ )

$$G_E^2(q^2) = 1 - \frac{R_E^2 q^2}{3} + C q^4$$

- High momentum (the median)





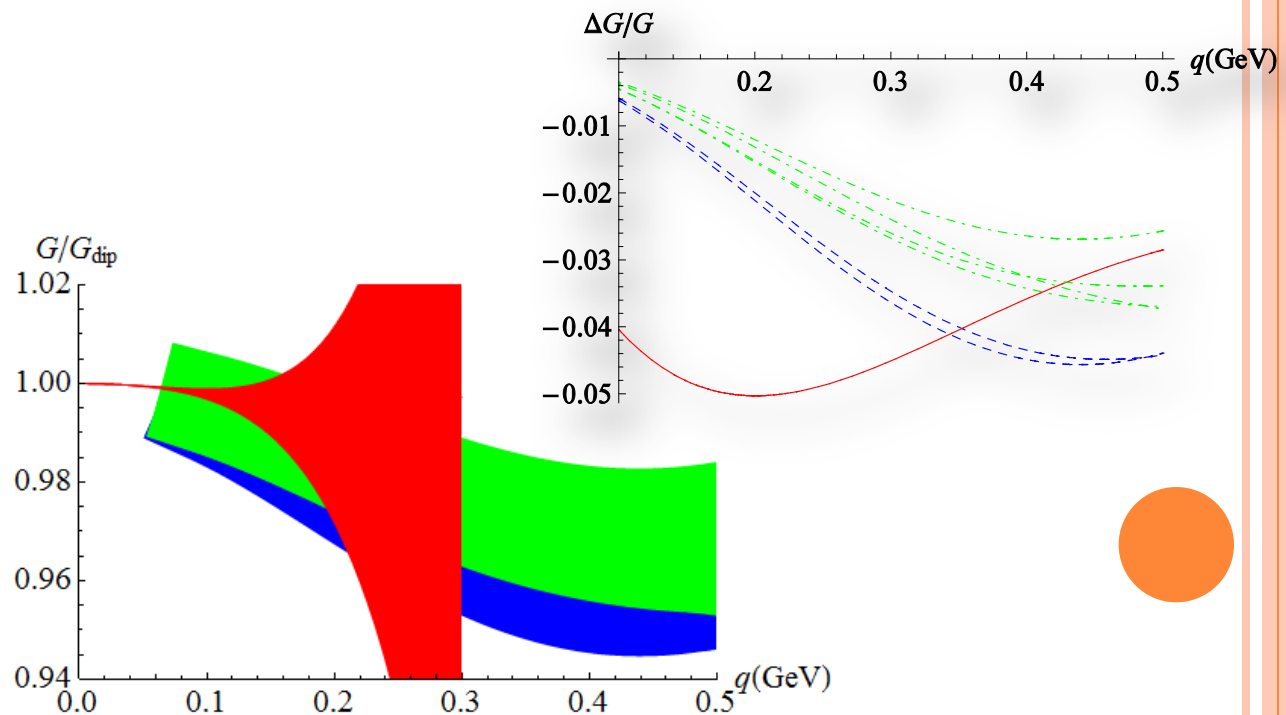
# CONTINUITY OF THE FORM FACTOR: *A POSTERIORI*

- Low momentum ( $R_E$  is extracted from  $\mu\text{H}$ )

$$G_E^2(q^2) = 1 - \frac{R_E^2 q^2}{3} + C q^4$$

- High momentum (the median)

- The overall fit



# MAGNETIC RADIUS OF THE PROTON: THE STRATEGY

- Zemach correction

$$I_1^{\text{EM}} \equiv \int_0^\infty \frac{dq}{q^2} \left[ \frac{G_E(q^2)G_M(q^2)}{\mu_p} - 1 \right]$$

- Low-momentum part

$$\frac{G_E(q^2)G_M(q^2)}{\mu_p} \simeq 1 - \frac{R_E^2 + R_M^2}{6} q^2 + C^{\text{dip}}(1 \pm 1) q^4$$

$$I_{1<} = -\frac{R_E^2 + R_M^2}{6} q_0 + \frac{10}{3}(1 \pm 1) \frac{q_0^3}{\Lambda^4}$$

- High-momentum part

$$I_{1>} = \int_{q_0}^\infty \frac{dq}{q^2} \frac{G_E(q^2)G_M(q^2)}{\mu_p} - \frac{1}{q_0}$$

$$\delta I_{1>}^{\text{EM}} = \left[ \frac{\delta G_E(q_0^2)}{G_E(q_0^2)} + \frac{\delta G_M(q_0^2)}{G_M(q_0^2)} \right] \frac{(G_{\text{dip}}(q_0^2))^2}{q_0}$$



# MAGNETIC RADIUS OF THE PROTON: THE CONSTRAINTS

## ○ Extraction equation

$$R_E^2 + R_M^2 = -\frac{6}{q_0} \left( I_1^{\text{exp}} - I_1^{\text{EM-R}} \right)$$

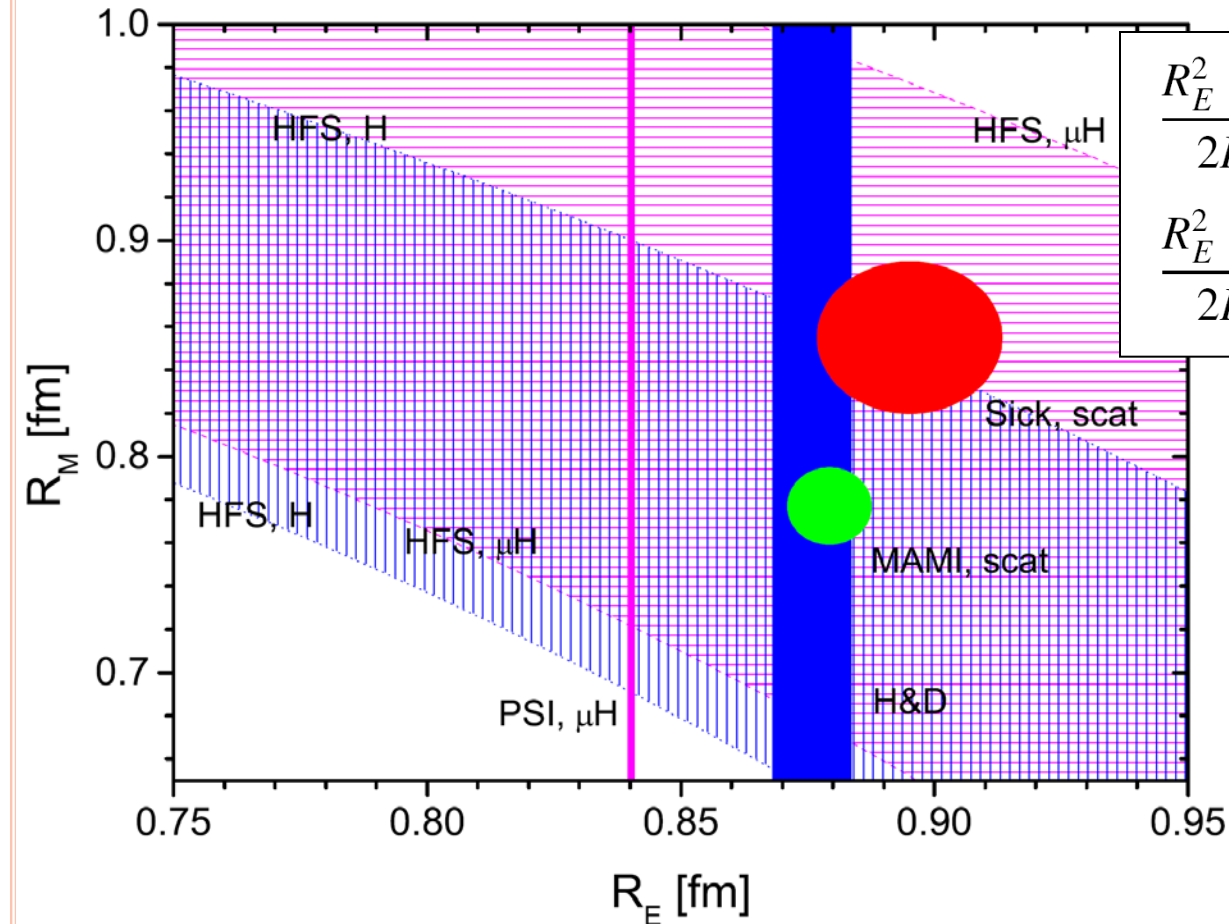
$$I_1^{\text{EM-R}} = \int_0^{q_0} \frac{dq}{q^2} \left[ \frac{G_E(q^2)G_M(q^2)}{\mu_p} - 1 - \left( G'_E(0) + \frac{G'_M(0)}{\mu_p} \right) q^2 \right] + \int_{q_0}^{\infty} \frac{dq}{q^2} \left[ \frac{G_E(q^2)G_M(q^2)}{\mu_p} - 1 \right]$$

## ○ Data

Atom	State	$I_1^{\text{exp}}$	$\delta I_1 / I_1$
H	1s	$-4.17(6) \text{ GeV}^{-1}$	1.5%
$\mu\text{H}$	2s	$-4.31(15) \text{ GeV}^{-1}$	3.4%



# MAGNETIC RADIUS OF THE PROTON: THE RESULTS



$$\frac{R_E^2 + R_M^2}{2R_{\text{dip}}^2} = 1.025(94), \quad \text{from H,}$$

$$\frac{R_E^2 + R_M^2}{2R_{\text{dip}}^2} = 1.13(13), \quad \text{from } \mu\text{H}$$

$$R_{\text{dip}}^2 = 0.658 \text{ fm}^2$$

