

Energy level shifts in the three-particle system

Akaki Rusetsky, University of Bonn

In coll. with H.-W. Hammer, U.-G. Meißner, J.-Y. Pang, F. Romero-López, C. Urbach and J. Wu
arXiv:1806.02367 + some unpublished material

RESQCD 2018, Mainz, August 27-31, 2018



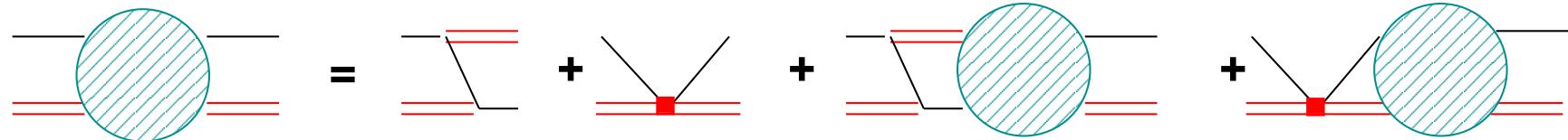
Plan

- Introduction + formalism
(very briefly, more in H.-W. Hammer's talk)
- Energy shift of the ground state
- Particle-dimer ground state
- Excited states
- Discussion of the results, comparison with other approaches
- Three-body force in the φ^4 theory
- Conclusions, outlook

Formalism: summary

H.-W. Hammer, J.-Y. Pang and AR, JHEP 1709 (2017) 109; JHEP 1710 (2017) 115

Particle-dimer scattering equation in a finite volume:



$$\mathcal{M}_L(\mathbf{p}, \mathbf{q}; E) = Z(\mathbf{p}, \mathbf{q}; E) + \frac{8\pi}{L^3} \sum_{\mathbf{k}} \tau_L(\mathbf{k}; E) \mathcal{M}_L(\mathbf{k}, \mathbf{q}; E)$$

$$Z(\mathbf{p}, \mathbf{q}; E) = \frac{1}{\mathbf{p}^2 + \mathbf{q}^2 + \mathbf{p}\mathbf{q} - mE} + H_0 + H_2(\mathbf{p}^2 + \mathbf{q}^2) + \dots$$

$$\tau_L^{-1}(\mathbf{k}; E) = k^* \cot \delta(k^*) - \frac{4\pi}{L^3} \sum_{\mathbf{l}} \frac{1}{\mathbf{k}^2 + \mathbf{l}^2 + \mathbf{k}\mathbf{l} - mE}$$

- Poles in the amplitude → finite-volume energy spectrum
- H_0, H_2, \dots should be fitted to the three-particle energies

Reduction of the quantization condition: summary

M. Döring, H.-W. Hammer, M. Mai, J.-Y. Pang, AR and J. Wu, PRD 97 (2018) 114508

- Symmetry in a finite volume: octahedral group O_h , including inversions (rest frame), little groups (moving frames); Γ denotes *irreps*, $T_{mn}^{(\Gamma)}(g)$ are pertinent matrices
- Analog for a sphere $|\mathbf{k}| = \text{const}$ for a cube: *shells*

$$s = \left\{ \mathbf{k} : \quad \mathbf{k} = g\mathbf{k}_0, \quad g \in O_h \right\}$$

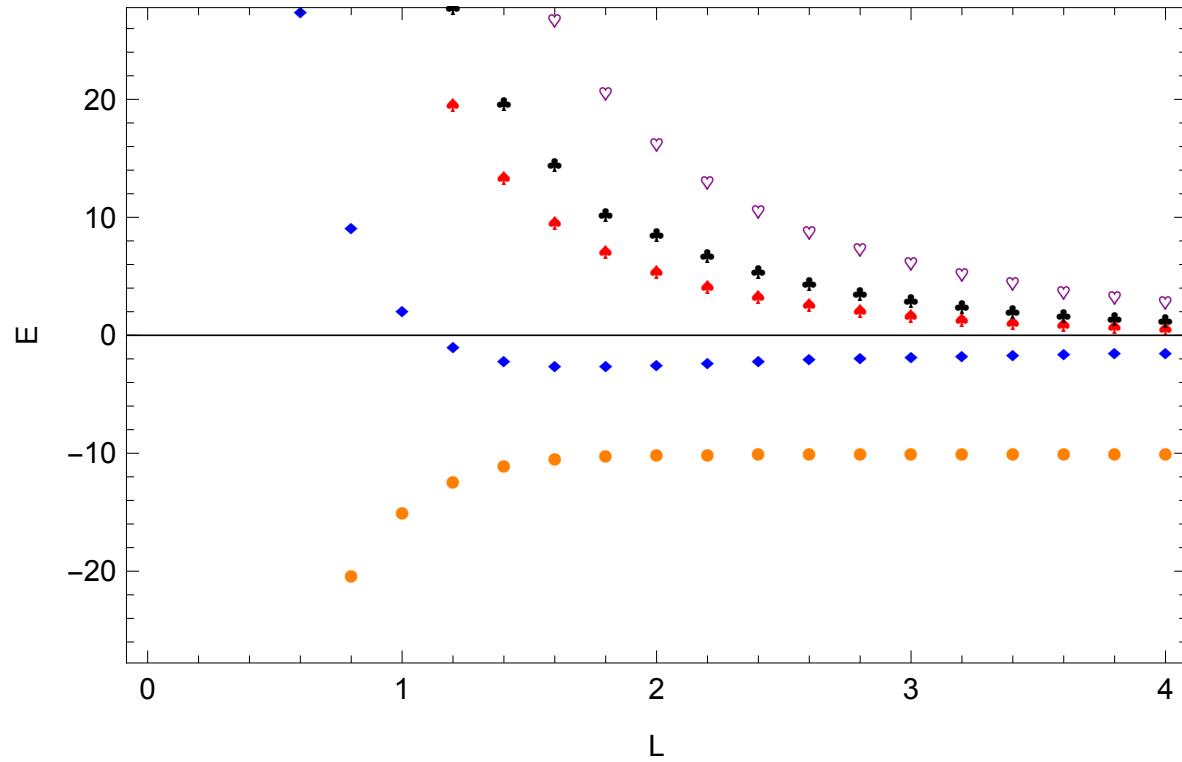
- Projection of a “potential” Z onto a given irrep:

$$Z_{nm}^{(\Gamma)}(r, s) = \sum_{g \in O_h} (T_{mn}^{(\Gamma)}(g))^* Z(g\mathbf{p}_0(r), \mathbf{k}_0(s))$$

- Quantization condition for a given irrep:

$$\det \left(\tau(s)^{-1} \vartheta(s)^{-1} \delta_{rs} \delta_{ij} - \frac{8\pi}{L^3} \frac{1}{G} Z_{ij}^{(\Gamma)}(r, s) \right) = 0.$$

The finite-volume spectrum



The spectrum both below and above the three-particle threshold

M. Döring, H.-W. Hammer, M. Mai, J.-Y. Pang, AR and J. Wu, PRD 97 (2018) 114508

Alternative approaches: Hansen & Sharpe, Briceño & Davoudi, Döring & Mai, ...

The goals

- How does one obtain the expansion of the energies of the scattering states in $1/L$?
- Is it possible to obtain the expansion of the energy of the excited states?
- In case of a stable dimer: the energy of particle-dimer states?
- Energy shift in different irreps, energy-level splitting, . . .
- Apply the result to analyze lattice data

Expansion in cofactors

$$\det \mathcal{A} = \mathcal{A}_{rr} \det \mathcal{A}_{/rr} - \sum_{k,l \neq r} (-1)^{k+l} \mathcal{A}_{rk} \mathcal{A}_{lr} \det \mathcal{A}_{/rk/lr} .$$

$$(\mathcal{A}_{/rr}^{-1})_{kl} = (-1)^{k+l} \det \mathcal{A}_{/rr/lk} (\det \mathcal{A}_{/rr})^{-1} ,$$

$$\hookrightarrow \det \mathcal{A} = \left(\mathcal{A}_{rr} - \sum_{k,l \neq r} \mathcal{A}_{rk} (\mathcal{A}_{/rr})_{kl}^{-1} \mathcal{A}_{lr} \right) \det \mathcal{A}_{/rr} .$$

Perturbative expansion of the quantization condition:

$$\begin{aligned} A_{rr} &= (\tau^{-1} - Z)_{rr} = \sum_{k,l \neq r} Z_{rk} (1 - \tau Z)_{kl}^{-1} \tau_l Z_{lr} \\ &= \sum_{k \neq r} Z_{rk} \tau_k Z_{kr} + \sum_{k,l \neq r} Z_{rk} \tau_k Z_{kl} \tau_l Z_{lr} + \dots \doteq \Delta \end{aligned}$$

The ground state: A_1^+

Counting: $q_0^2 = mE = O(L^{-3})$

$$8\pi\tau^{-1}(\mathbf{0}; E) = -\frac{1}{a} + \frac{1}{2}rq_0^2 + \frac{4\pi}{L^3}\frac{1}{q_0^2} - \frac{1}{\pi L}\mathcal{I} - \frac{L}{4\pi^3}q_0^2\mathcal{J} - \frac{L^3}{16\pi^5}q_0^4\mathcal{K} + \dots$$

$$8\pi\tau^{-1}(\mathbf{p}; E) = -\frac{1}{a} + \sqrt{\frac{3}{4}\mathbf{p}^2} - \frac{1}{\pi L}\tilde{\mathcal{I}}(\mathbf{n}) + \dots$$

$$\tilde{\mathcal{I}}(\mathbf{n}) = \lim_{N \rightarrow \infty} \left\{ \sum_{\mathbf{j}}^N \frac{1}{\mathbf{j}^2 + \mathbf{n}^2 + \mathbf{n}\mathbf{j}} - 4\pi N + 2\pi^2 \sqrt{\frac{3}{4}\mathbf{n}^2} \right\}.$$

$$\Delta = L\Delta_0 + \Delta_1 \ln \frac{mL}{2\pi} + \Delta_2 + q_0^2 L^3 \Delta_3 + O(L^{-1}).$$

Solving the quantization condition

$$L^3 \tau^{-1} \left(\mathbf{0}; -\frac{q_0^2}{m} \right) - Z \left(\mathbf{0}, \mathbf{0}; -\frac{q_0^2}{m} \right) - \Delta = 0$$

$$\begin{aligned} q_0^2 &= \frac{12\pi a}{L^3} \left\{ 1 - \left(\frac{a}{\pi L} \right) \mathcal{I} + \left(\frac{a}{\pi L} \right)^2 \left(\mathcal{I}^2 - 3\mathcal{J} - \frac{(2\pi)^3}{a} \Delta_0 \right) \right. \\ &\quad \left. - \frac{8\pi a}{L^3} \Delta_1 \ln \frac{mL}{2\pi} + \frac{6\pi a^2 r}{L^3} + \frac{\textcolor{red}{X}}{L^3} \right\} \end{aligned}$$

$$\begin{aligned} X &= \left(\frac{a}{\pi} \right)^3 \left(-\mathcal{I}^3 + 9\mathcal{I}\mathcal{J} - 9\mathcal{K} + \frac{(2\pi)^3}{a} 2\mathcal{I}\Delta_0 \right) \\ &\quad - 8\pi a \left(\frac{H_0(\Lambda)}{\Lambda^2} + \Delta_2 + 12\pi a \Delta_3 \right) \end{aligned}$$

Expansion of the Δ

$$\Delta = S_1 + S_2 + \dots$$

$$\begin{aligned} S_1 &= \frac{8\pi}{L^3} \sum_{\mathbf{k} \neq 0}^{\Lambda} \left(\frac{1}{\mathbf{k}^2 - q_0^2} + \frac{H_0(\Lambda)}{\Lambda^2} \right)^2 \frac{-a}{1 - a\sqrt{\frac{3}{4}\mathbf{k}^2} + \frac{a}{\pi L} \tilde{\mathcal{I}}(\mathbf{n})} \\ &= S_1^{(0)} + S_1^{(1)} q_0^2 + \dots \end{aligned}$$

Infinite-volume counterpart has an infrared singularity

→ threshold amplitude (singularities subtracted)

$$\int^{\Lambda} \frac{d^3\mathbf{k}}{(2\pi)^3} \frac{1}{\mathbf{k}^4} \rightarrow \lim_{\varepsilon \rightarrow 0} \left(\int^{\Lambda} \frac{d^3\mathbf{k}}{(2\pi)^3} \frac{1}{(\mathbf{k}^2 + \varepsilon^2)^2} - \frac{1}{8\pi\varepsilon} \right)$$

$$\hookrightarrow S_1 = \hat{S}_1 + aL + b \ln \frac{mL}{2\pi} + c + dq_0^2 + \dots$$

Higher orders

- Only S_1, S_2 should be considered explicitly at $O(L^{-6})$. In the remaining terms, the limit $L \rightarrow \infty$ can be directly performed

$$\begin{aligned} q_0^2 &= \frac{12\pi a}{L^3} \left\{ 1 - \left(\frac{a}{\pi L} \right) \mathcal{I} + \left(\frac{a}{\pi L} \right)^2 \left(\mathcal{I}^2 + \mathcal{J} \right) \right. \\ &\quad \left. + \left(\frac{a}{\pi L} \right)^3 16\pi^3 \left(\sqrt{3} - \frac{4\pi}{3} \right) \ln \frac{mL}{2\pi} + \frac{6\pi a^2 r}{L^3} + \frac{\textcolor{red}{X}}{L^3} \right\}, \end{aligned}$$

$$\textcolor{red}{X} = \left(\frac{a}{\pi} \right)^3 \left(-\mathcal{I}^3 + \mathcal{I}\mathcal{J} + 15\mathcal{K} - \pi B \right) - 8\pi a \hat{\mathcal{M}}.$$

The threshold amplitude:

$$\mathcal{M} \left(\mathbf{0}, \mathbf{0}; -\frac{\varepsilon^2}{m} \right) = \frac{\mathcal{M}_{-2}}{\varepsilon^2} + \frac{\mathcal{M}_{-1}}{\varepsilon} + \mathcal{M}_0 \ln \frac{\varepsilon}{m} + \hat{\mathcal{M}} + \dots,$$

Comparison with known results

- Agrees with Hansen & Sharpe (modulo relativistic corrections)

Example: H& S : $\mathcal{C}_4 = 4\sqrt{3}\pi^2 \sum_{\mathbf{n} \neq 0} \frac{H^3(p/m)}{|\mathbf{n}|^3} - 16\sqrt{3}\pi^3 \ln \frac{mL}{2\pi}$

our : $\mathcal{D}_4 = 4\sqrt{3}\pi^2 \left(\sum_{\mathbf{n} \neq 0} - \int_1^\infty \right) \frac{1}{|\mathbf{n}|^3}$

$$\mathcal{C}_4 = \mathcal{D}_4 + 32\sqrt{3}\pi^5 \lim_{\varepsilon \rightarrow 0} \left(\int_\varepsilon^\infty \frac{d^3\mathbf{p}}{(2\pi)^3} \frac{H^3(p/m)}{p^3} + \frac{\ln(\varepsilon/m)}{2\pi^2} \right) \rightarrow \mathcal{M}_{3,df}$$

- Generally agrees with Beane, Detmold and Savage at the first order in PT

$$\hat{\mathcal{M}} = \# \eta_3^r(\mu) + \dots$$

- Small differences need to be settled (in progress)

The shift of the lowest particle-dimer energy level

Assume stable dimer . . .

- The energy shift from the unperturbed value:

$$E = E_d + \Delta_d = -\frac{1}{ma^2} + \Delta_d$$

$$8\pi\tau^{-1}(E) = 8\pi K^{-1}(E) + \sqrt{-mE} + \dots = -\frac{1}{a} + \dots + \sqrt{-mE}$$

- The quantization condition:

$$8\pi K^{-1}(E_d + \Delta_d) + \sqrt{-m(E_d + \Delta_d)} = \frac{8\pi}{L^3} \underbrace{(Z + Z\tau Z + \dots)}_{=\mathcal{M}_d} \Big|_{E=E_d+\Delta_d}$$
$$\hookrightarrow \Delta_d = \frac{\mathcal{Z}}{L^3} \mathcal{M}(\mathbf{0}, \mathbf{0}; E_d) + O(L^{-4}), \quad \mathcal{Z} = \frac{-8\pi}{m/(2\sqrt{-mE_d}) - (8\pi K^{-1})'}$$

- Agrees with the Lüscher equation with a stable dimer!

The first excited state: irrep A_1^+

- Individual momenta: $\mathbf{p}_1 = (0, 0, 1)$, $\mathbf{p}_2 = (0, 0, -1)$, $\mathbf{p}_3 = (0, 0, 0)$
- Dimers with momenta $|\mathbf{p}| = 0, 1$ can be formed
 - ↪ Shells with $r = 1, 2$ contain singular denominators
 - Diagonalization

$$\begin{aligned} 8\pi\tau^{-1}(1) &= -\frac{1}{a} + \frac{1}{2} r q_0^2 - \frac{6}{1 - \kappa^2} - \frac{1}{\pi L} C_1(1) + \frac{1}{\pi L} C_2(1)(1 - \kappa^2) \\ &\quad - \frac{1}{\pi L} C_2(1)(1 - \kappa^2)^2 + \dots \end{aligned}$$

$$\begin{aligned} 8\pi\tau^{-1}(2) &= -\frac{1}{a} + \frac{1}{2} r \left(q_0^2 - \frac{3\pi^2}{L^2} \right) - \frac{2}{1 - \kappa^2} - \frac{1}{\pi L} C_1(2) + \frac{1}{\pi L} C_2(2)(1 - \kappa^2) \\ &\quad - \frac{1}{\pi L} C_2(2)(1 - \kappa^2)^2 + \dots \end{aligned}$$

$\kappa = \frac{q_0 L}{2\pi}$ and no singularities in the terms with $r > 2$!

The matrix \mathcal{A}

$$\mathcal{A} = \left(\begin{array}{cc|cc} \mathcal{A}_{11} & \mathcal{A}_{12} & \mathcal{A}_{13} & \cdots \\ \mathcal{A}_{21} & \mathcal{A}_{22} & \mathcal{A}_{23} & \cdots \\ \hline \mathcal{A}_{31} & \mathcal{A}_{32} & \mathcal{A}_{33} & \cdots \\ \cdots & \cdots & \cdots & \cdots \end{array} \right)$$

$$\begin{aligned} \mathcal{A}_{11} &= -\frac{6}{\pi L} \frac{1}{1-\kappa^2} - \frac{1}{a} + \bar{\mathcal{A}}_{11} \\ \mathcal{A}_{12} = \mathcal{A}_{21} &= -\frac{2\sqrt{6}}{\pi L} \frac{1}{1-\kappa^2} + \bar{\mathcal{A}}_{12} \\ \mathcal{A}_{22} &= -\frac{4}{\pi L} \frac{1}{1-\kappa^2} - \frac{1}{a} + \bar{\mathcal{A}}_{22} \end{aligned}$$

Diagonalization $\mathcal{A} \rightarrow O\mathcal{AO}^T$

$$O = \left(\begin{array}{cc|cc} c & s & 0 & \cdots \\ 0 & 1 & 0 & \cdots \\ \hline 0 & 0 & 1 & \cdots \\ \cdots & \cdots & \cdots & \cdots \end{array} \right), \quad c = \sqrt{\frac{3}{5}}, \quad s = \sqrt{\frac{2}{5}}$$

$$\mathcal{A} \rightarrow \left(\begin{array}{cc|cc} x + \mathcal{A}'_{11} & sx + \mathcal{A}'_{12} & c\mathcal{A}_{13} + s\mathcal{A}_{23} & \cdots \\ sx + \mathcal{A}'_{21} & s^2x - \frac{c^2}{a} + \mathcal{A}'_{22} & \mathcal{A}_{23} & \cdots \\ \hline c\mathcal{A}_{31} + s\mathcal{A}_{32} & \mathcal{A}_{32} & \mathcal{A}_{33} & \cdots \\ \cdots & \cdots & \cdots & \cdots \end{array} \right)$$

$$x = -\frac{10}{\pi L} \frac{1}{1 - \kappa^2} - \frac{1}{a}$$

Energy level splitting possible in higher states ...

The quantization condition for the first excited level

$$-\frac{10}{\pi L} \frac{1}{1 - \kappa^2} - \frac{1}{a} + \mathcal{A}'_{11} = \sum_{r \neq 1} \mathcal{A}'_{1r} \tau_r \mathcal{A}'_{r1} + \sum_{r,s \neq 1} \mathcal{A}'_{1r} \tau_r Z_{rs} \tau_s \mathcal{A}'_{s1} + \dots$$

Solving by iterations:

$$\begin{aligned} 1 - \kappa^2 &= -\frac{10a}{\pi L} \left\{ 1 + \frac{a}{\pi L} B_1 + \left(\frac{a}{\pi L} \right)^2 B_2 + \left(\frac{a}{\pi L} \right)^3 B_3 \ln \frac{mL}{2\pi} \right. \\ &\quad \left. + \left(\frac{a}{\pi L} \right)^3 B_4 \right\} \end{aligned}$$

- $B_4 = \# \hat{\mathcal{M}} + \text{const}$, with the same $\hat{\mathcal{M}}$ as in the ground state
- The effective range contribution is present already in B_2
- Possible contribution from the higher spin dimers at this level?
Investigation in progress ...

The irrep E^+

- The shell $r = 1$ drops completely out, no need for the diagonalization
- The energy shift:

$$1 - \kappa^2 = -\frac{4a}{\pi L} \left\{ 1 + \frac{a}{\pi L} D_1 + \left(\frac{a}{\pi L}\right)^2 D_2 + \left(\frac{a}{\pi L}\right)^3 D_3 \right\} + \dots$$

- No logarithm
- D_4 does not contain the amplitude $\hat{\mathcal{M}}$ (S-wave)
- Higher partial waves in particle-dimer scattering contribute to higher orders in $1/L$
- Irreps A_2 , T_1 , T_2 : no energy levels near $\kappa^2 = 1$

Energy shift in the φ^4 theory

F. Romero-López, A. Rusetsky and C. Urbach, arXiv:1806.02367

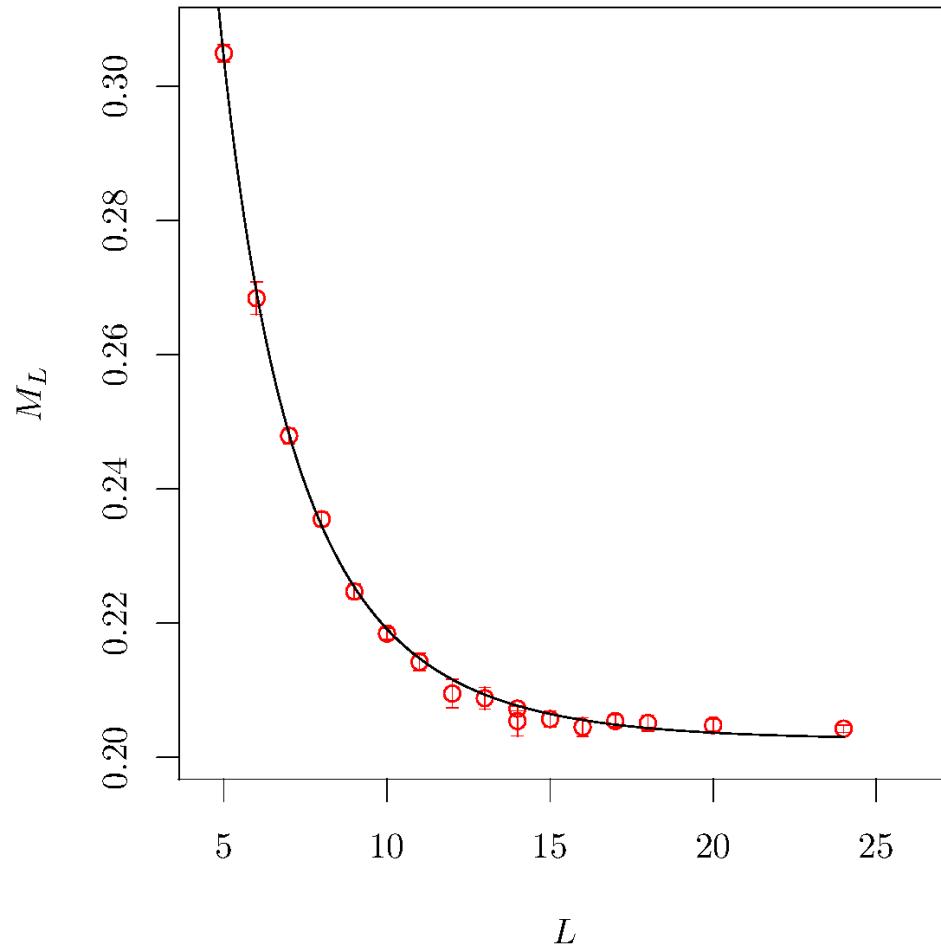
$$S = \sum_x \left(-\kappa \sum_\mu (\varphi_x^* \varphi_{x+\mu} + c.c.) - \lambda(|\varphi_x|^2 - 1)^2 + |\varphi_x|^2 \right)$$

- The calculations are performed for different values of L
- For our choice of parameters λ and κ : perturbative, the phase shift does not exceed few degrees
- Single particle mass: perfectly fits the one-loop expression:

$$M(L) - M = \text{const} \frac{K_1(ML)}{(ML)^{1/2}} \sim \text{const} \frac{\exp(-ML)}{(ML)^{3/2}}$$

- Extracting H_0 at small L : does one have control over exponentially suppressed contributions?

The volume-dependent one-particle mass



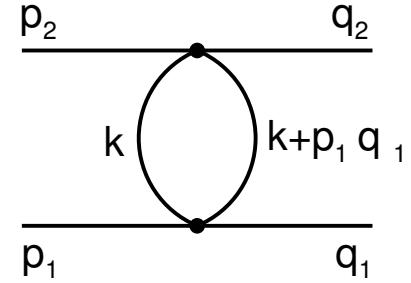
Exponentially suppressed contributions: 2-body levels

Using quasi-potential reduction of the Bethe-Salpeter equation...

$$E_2 - 2M(L) = \frac{1}{L^3} T_L(\mathbf{0}, \mathbf{0}, E_2)$$

$$T_L = \bar{T}_L + \bar{T}_L(g'_L - g_\infty)T_L, \quad \bar{T}_L = V_L + V_L g_\infty \bar{T}_L$$

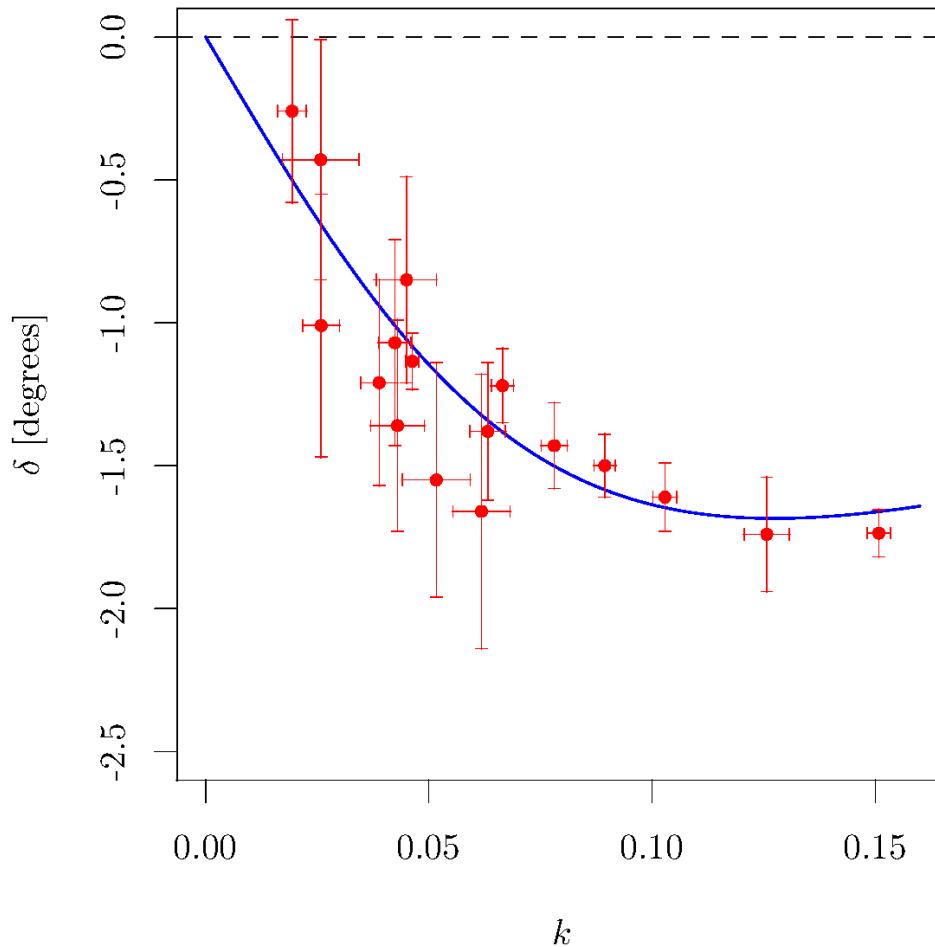
Leading exponentially suppressed term:



$$V_L - V_\infty \sim \frac{\exp(-ML)}{(ML)^{1/2}} \quad \hookrightarrow \quad E_2 - 2M(L) \Big|_{\text{exp}} \sim \frac{\exp(-ML)}{(ML)^{7/2}} + \dots$$

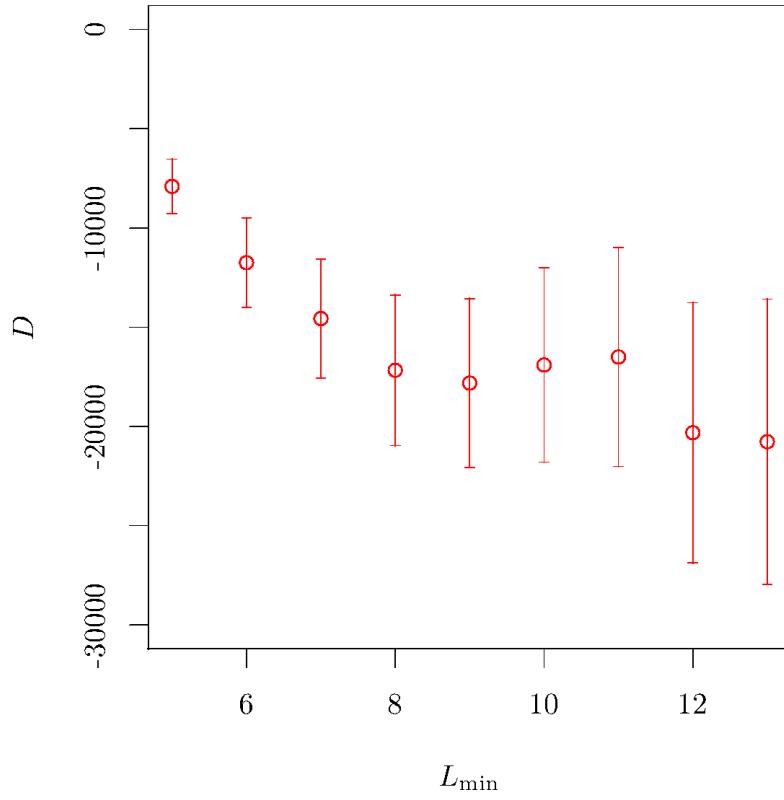
↪ The difference $E_2 - 2M(L)$ already captures the leading exponentially suppressed contribution. The correction coming from the potential is suppressed by an additional factor L^{-2}

The two-particle phase shift



solid line: ERE with best fit parameters for a and r

The three-body force D



$$\Delta E_3 = \frac{12\pi a}{mL^3} \left(1 + d_1 \left(\frac{a}{\pi L} \right) + d_2 \left(\frac{a}{\pi L} \right)^2 + \frac{6\pi r a^2}{L^3} + d_3 \left(\frac{a}{\pi L} \right)^3 \ln \frac{mL}{2\pi} \right) - \frac{D}{48m^3 L^6}$$

D is nonvanishing at 4σ for $L_{\min} = 9$

Conclusions/outlook

- From our quantization condition we have derived the perturbative shifts of the three-particle energy levels at $O(L^{-6})$ and for the particle-dimer ground level at $O(L^{-3})$
 - For the three-particle ground state, the result agrees with the known ones. The rest of results is new
 - A caveat: P-wave dimer might contribute to the excited energy at this order, need to be included (*in progress*)
 - Relativistic formulation should be worked out (*in progress*)
 - We used the framework in practice, extracting the three-body force in the φ^4 theory
- Particle-dimer energy level shift contains the three-body force at the leading order: $\pi\sigma, \pi\rho$ scattering at heavier quark masses?