

# Challenges for Model Builders from semileptonic anomalies

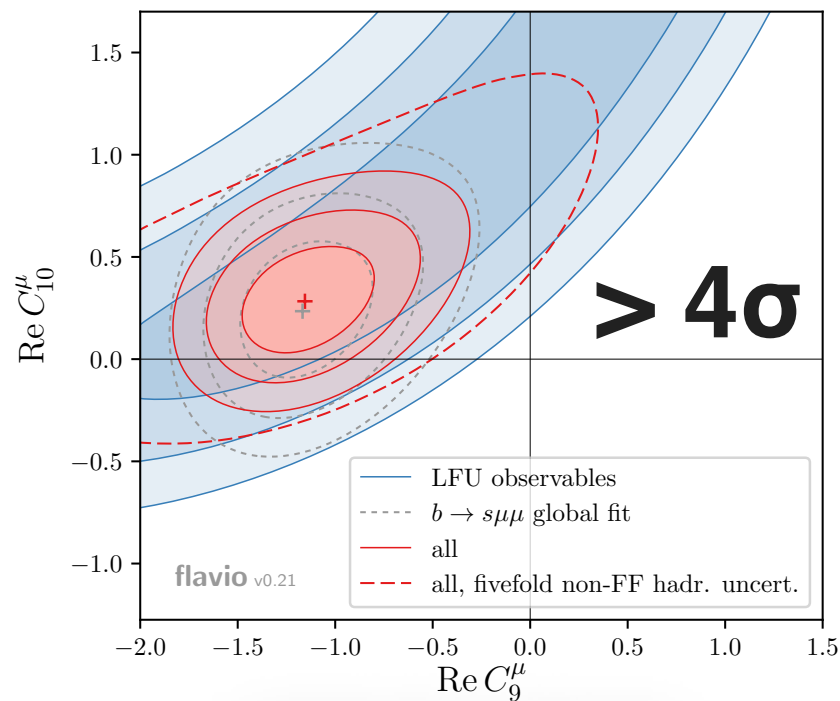
Admir Greljo

Based on

1804.XXXXX, 1802.04274, 1801.07641,  
1708.08450, 1706.07808, 1704.09015,  
1609.07138, 1603.04993,  
1506.01705

MITP workshop, 10 April 2018

$$b \rightarrow s \mu \bar{\mu}$$

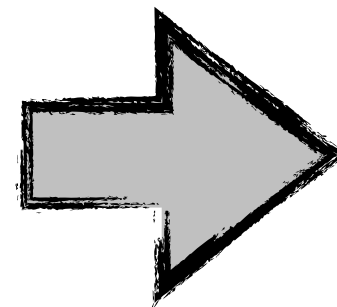
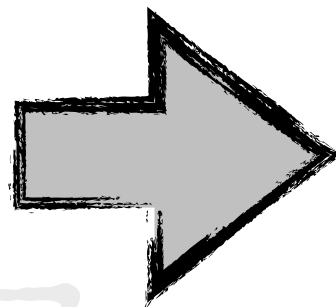
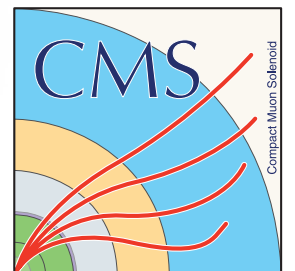


**Puzzles**

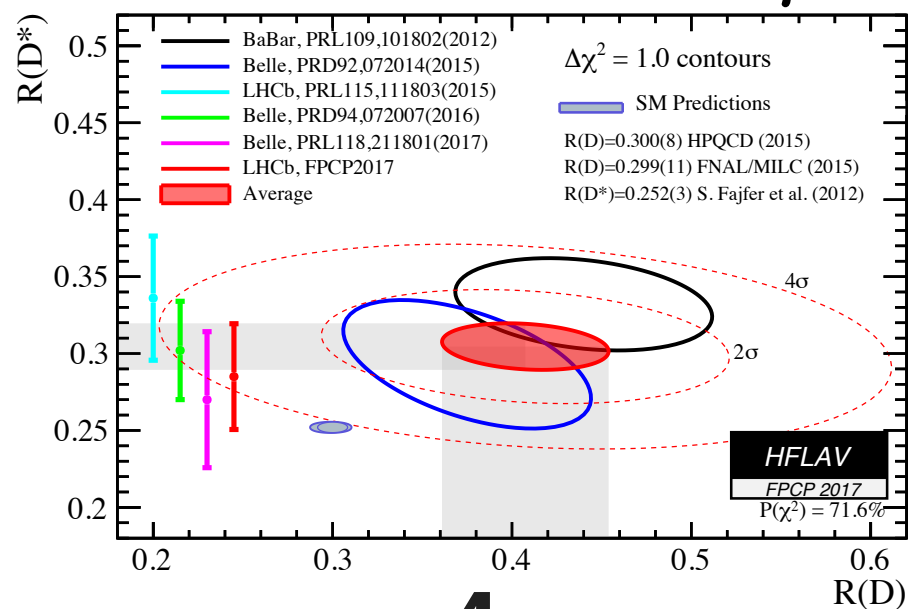
**NP option?**



**Predictions**



$$b \rightarrow c \tau \bar{\nu}_\tau$$



**~ 4σ**

**BSM theorist toolbox**

- SMEFT, Flavour symmetries
- Explicit models: Extended gauge sector, etc.



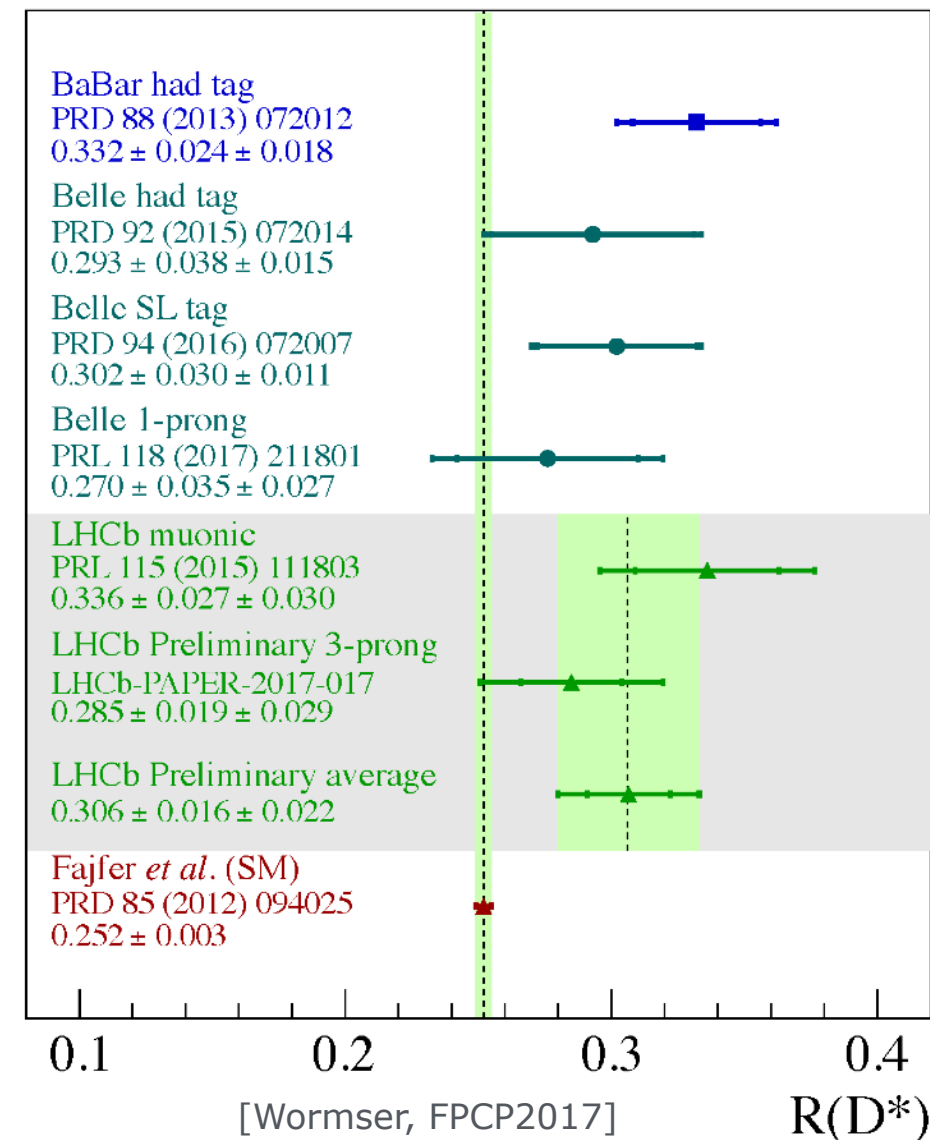
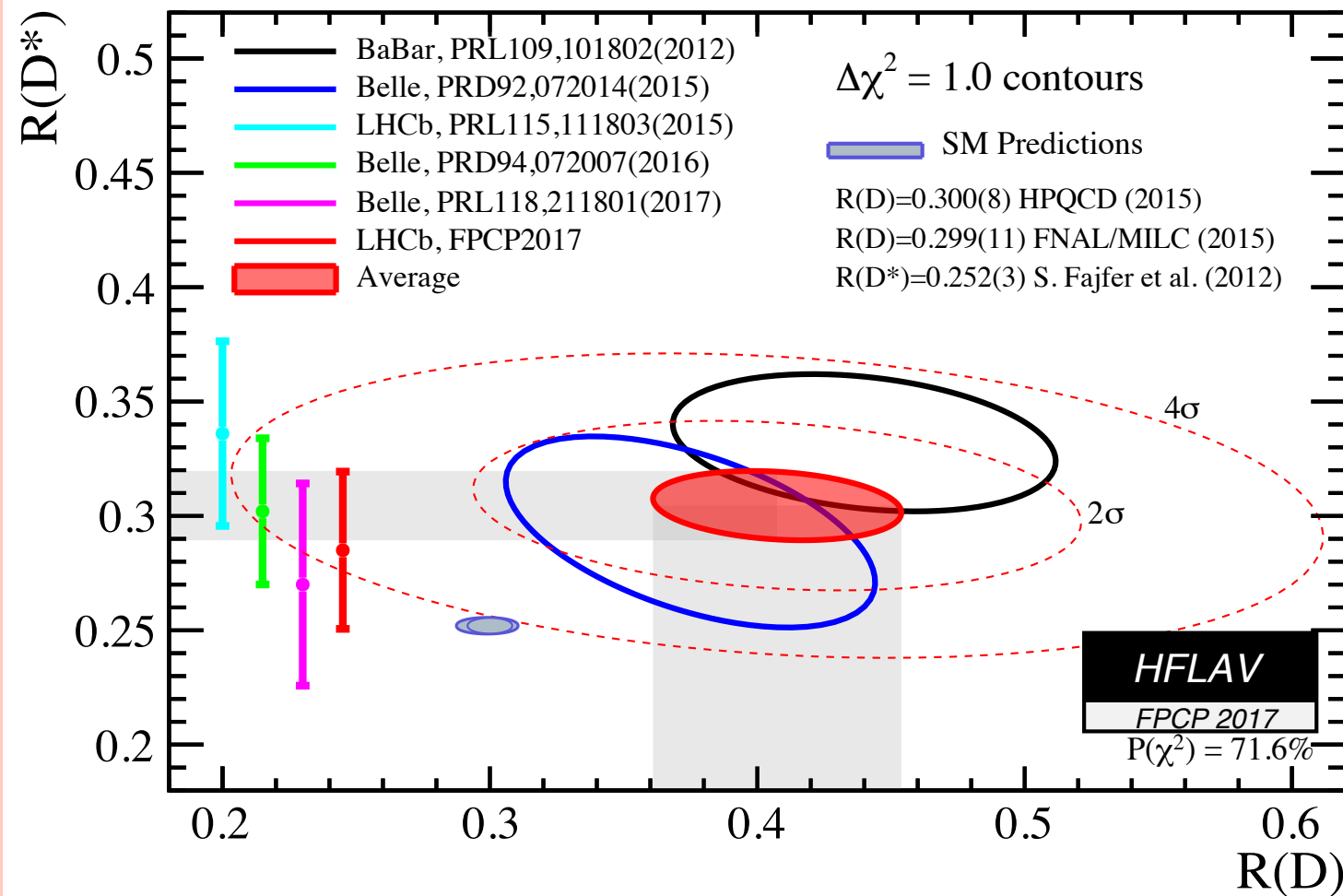
etc.

Today's focus

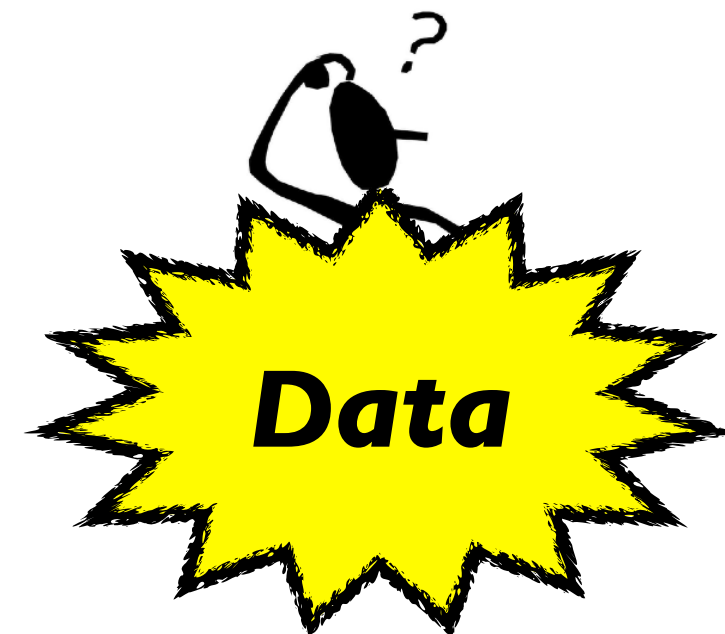


$b \rightarrow c \tau \bar{\nu}_\tau$

$$\mathcal{R}(D^{(*)}) = \frac{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \ell^- \bar{\nu}_\ell)}$$



- **4 $\sigma$  excess** over the SM prediction
- Good agreement by three (very) different experiments



# Outline

B-anomalies

**Data**



General  
discussion

**EFT,  
Mediators,  
...**

**An attempt**

[AG, Isidori, Marzocca]  
JHEP 1507 (2015) 142



**'3221'**

[AG, Robinson,  
Shakya, Zupan]  
1804.XXXXX

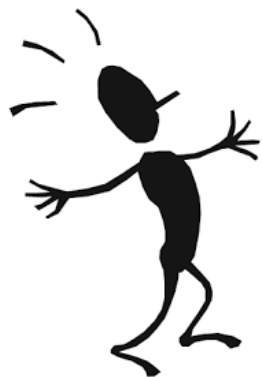
**Working  
examples**

**'4321'**

[Buttazzo, AG, Isidori, Marzocca]  
JHEP 1711 (2017) 044

[Di Luzio, AG, Nardecchia]  
Phys.Rev. D96 (2017) 115011

[AG, Ben Stefanek]  
1802.04274



# Low-energy fit

$$\Lambda > v$$

$$SU(3) \times SU(2)_L \times U(1)$$

Linear EWSB

Dim-6 operators

**SM EFT**

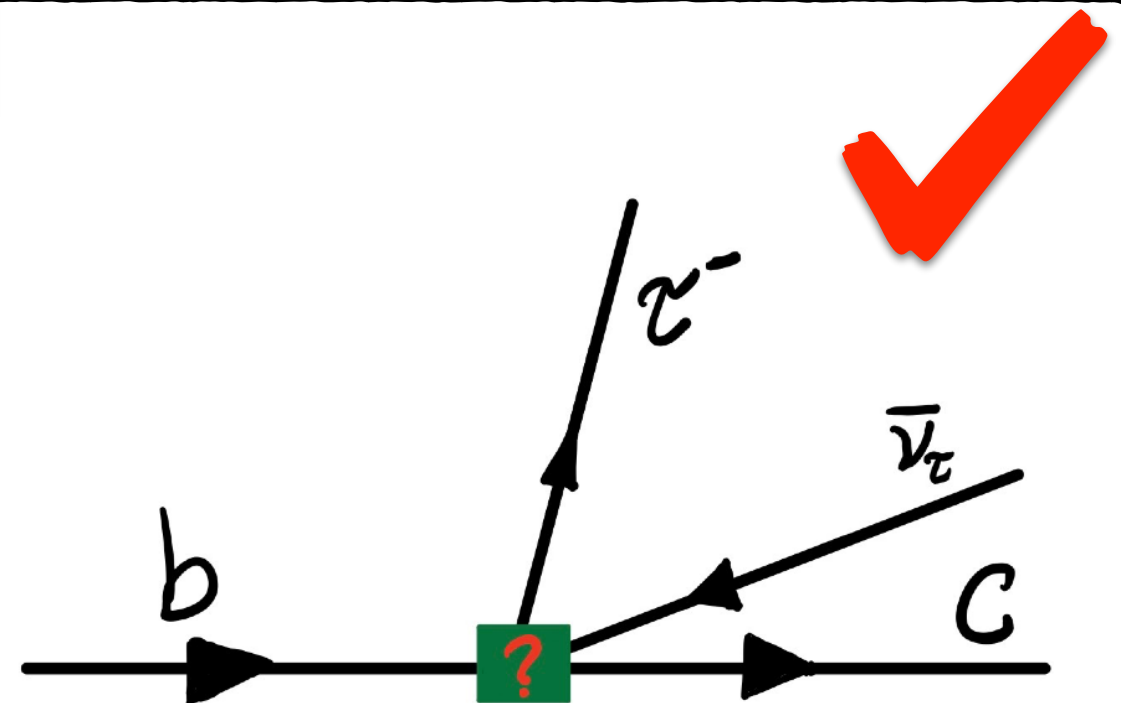
- List of all relevant operators:

$$\mathcal{O}_{VL} (\bar{Q}_i \gamma_\mu \sigma^a Q_j) (\bar{L}_k \gamma^\mu \sigma_a L_l)$$

$$\mathcal{O}_{SR} (\bar{d}_R^i Q_j) (\bar{L}_k \ell_R^l)$$

$$\mathcal{O}_{SL} (\bar{Q}_i u_R^j) i\sigma^2 (\bar{L}_k \ell_R^l)$$

$$\mathcal{O}_T (\bar{Q} \sigma_{\mu\nu} u_R^j) i\sigma^2 (\bar{L} \sigma^{\mu\nu} \ell_R^l)$$



Other tree-level contributions

**X**  $(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi) (\bar{l}_p \tau^I \gamma^\mu l_r) \longrightarrow$  Corrections to  $W$  decays

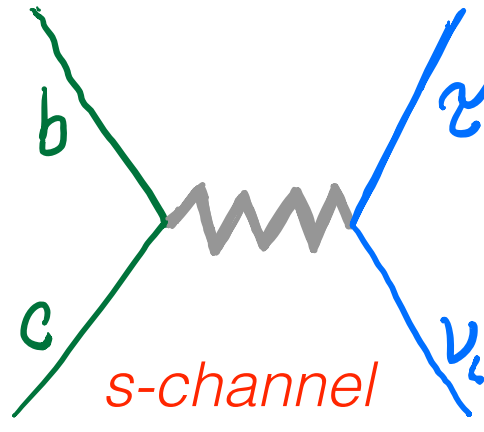
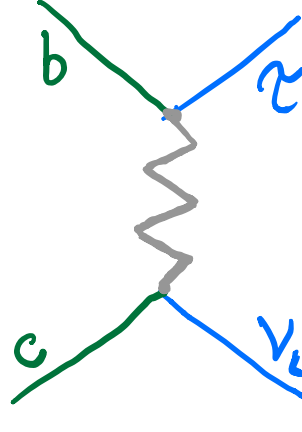
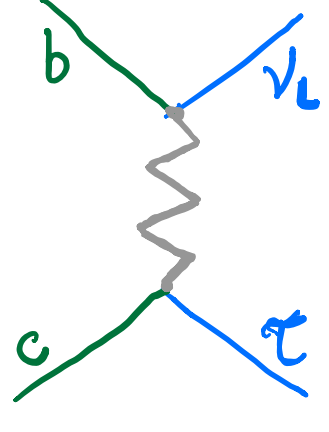
**X**  $(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi) (\bar{q}_p \tau^I \gamma^\mu q_r) \longrightarrow$  No LFU violation

$R(D^{(*)})$

# Mediators?

- Color: **1** or **3**

- Spin: **0**, **1**, ...

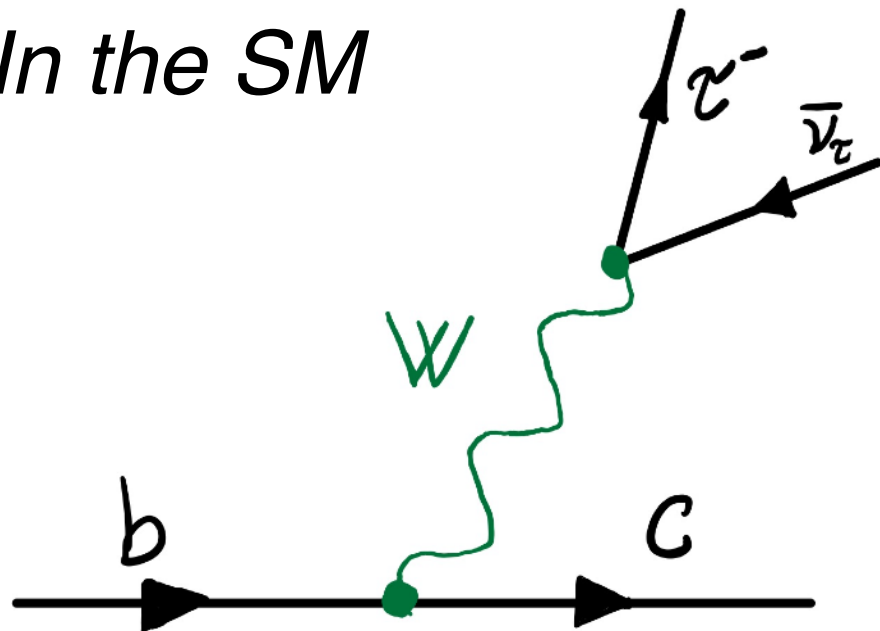
<div>Color Spin</div>	1	3	
0	$H' = (1, 2, 1/2)$	$R_2 = (3, 2, 7/6)$ $S_3 = (\bar{3}, 3, 1/3)$ $S_1 = (\bar{3}, 1, 1/3)$	
1	$W' = (1, 3, 0)$	$V_2 = (\bar{3}, 2, 5/6)$ $U_1 = (3, 1, 2/3)$ $U_3 = (3, 3, 2/3)$	
	 <i>s-channel</i>	 <i>t-channel</i>	 <i>u-channel</i>

- SU(2) weak: **1**, **2** or **3**

R(D<sup>(\*)</sup>)

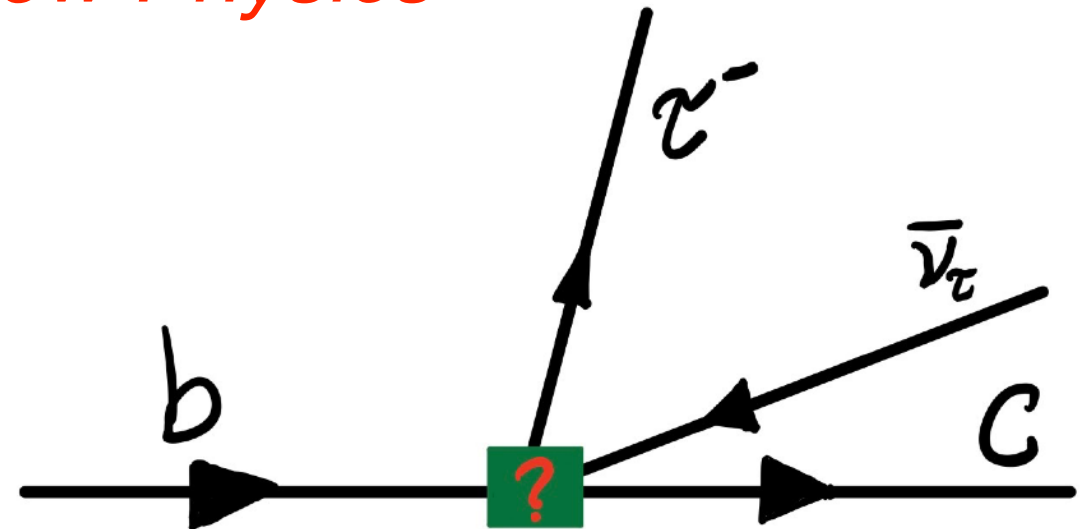
# New mass scale?

*In the SM*



- Tree-level process
- Mild CKM suppression

*New Physics*



- Large NP contribution required  
[Presumably tree-level generated]

Tree-level, unsuppressed ( $g_* \sim 1$ )

**$\sim 3.5 \text{ TeV}$**

Tree-level, MFV ( $g_*^2 = V_{cb}$ )

**$\sim 0.7 \text{ TeV}$**

$R(D^{(*)})$

Perturbative unitarity constraint: NP scale  $\lesssim 9 \text{ TeV}$

[Di Luzio and Nardecchia], 1706.01868

*An attempt*

[AG, Isidori, Marzocca]  
JHEP 1507 (2015) 142

$SU(3) \times SU(2)_L \times U(1)$

$$W' = (1, 3, 0)$$

# Vector triplet model

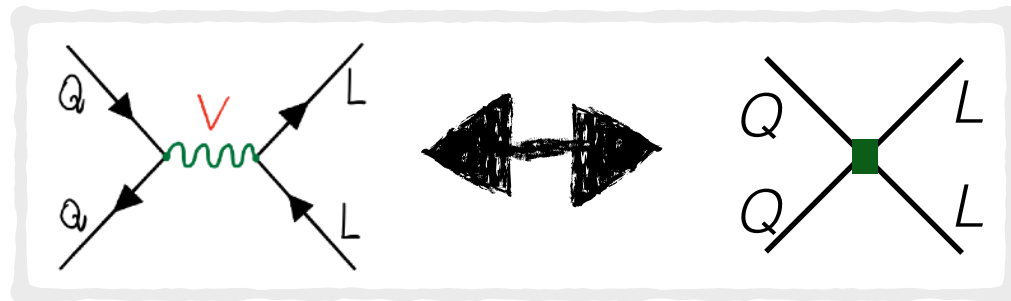
SU(3) × SU(2)<sub>L</sub> × U(1)

$$W' = (1, 3, 0)$$

$$\mathcal{L} \supset W'^{a\mu} J_\mu^a + \dots$$

$$T^a = \sigma^a / 2$$

$$J_\mu^a = g_q \lambda_{ij}^q \left( \bar{Q}_L^i \gamma_\mu T^a Q_L^j \right) + g_\ell \lambda_{ij}^\ell \left( \bar{L}_L^i \gamma_\mu T^a L_L^j \right)$$



$$Q_L^i = \begin{pmatrix} V_{ji}^* u_L^j \\ d_L^i \end{pmatrix} \quad L_L^\alpha = \begin{pmatrix} \nu_L^\alpha \\ \ell_L^\alpha \end{pmatrix}$$

$$\mathcal{L}_{\text{eff}}^{d=6} = -\frac{1}{2m_V^2} J_\mu^a J_\mu^a$$

**lepton x lepton**

**quark x lepton**

**quark x quark**

- Degenerate charged  $W'^{\pm}$  and neutral  $Z'$
- Quark FV controlled by a single matrix

# ***Fitting $R(D^{(*)})$***

$$\mathcal{L}_{\text{eff}} \supset -\frac{C_T}{v^2} \lambda_{ij}^q (\bar{Q}_i \gamma_\mu \sigma^a Q_j) (\bar{L}_3 \gamma^\mu \sigma^a L_3)$$

$$Q_L^i = \begin{pmatrix} V_{ji}^* u_L^j \\ d_L^i \end{pmatrix}$$

$$\lambda_{bb}^q = 1$$

$$V_{cb} \approx 0.04$$

***Controls FCNC in the  
down-quark sector***

***Aligned with the  
down-quark  
mass basis***



# Fitting $R(D^{(*)})$

$$\mathcal{L}_{\text{eff}} \supset -\frac{C_T}{v^2} \lambda_{ij}^q (\bar{Q}_i \gamma_\mu \sigma^a Q_j) (\bar{L}_3 \gamma^\mu \sigma^a L_3)$$

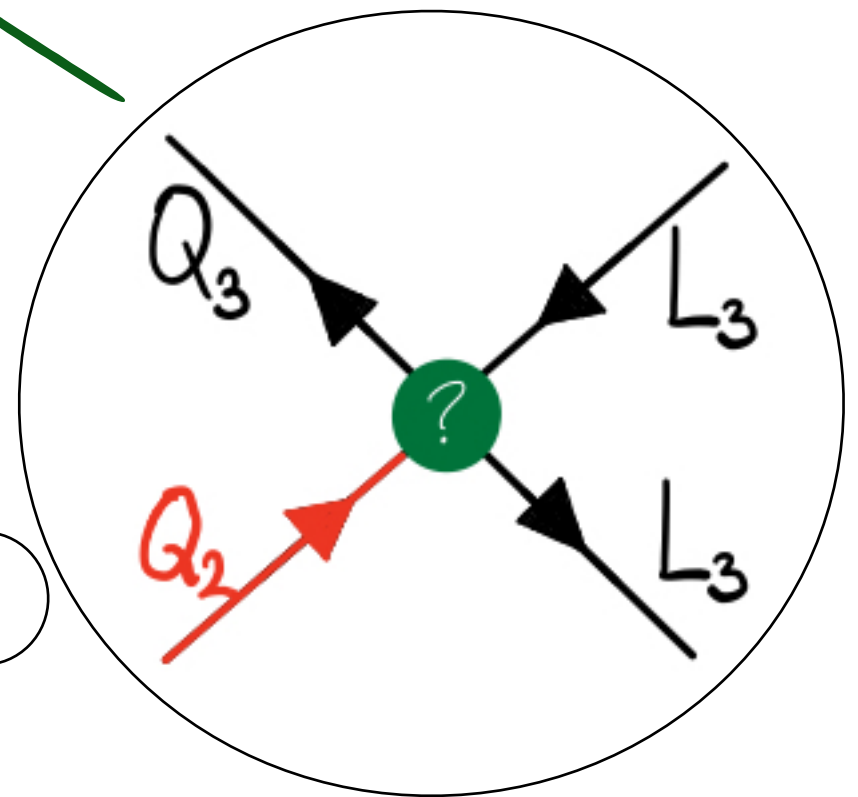
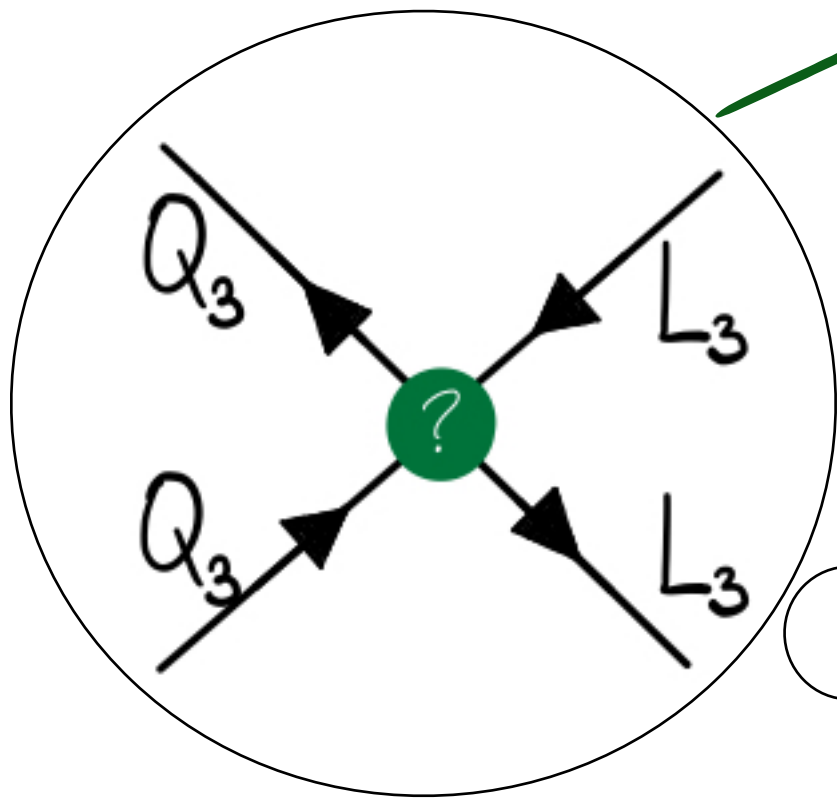
$$Q_L^i = \begin{pmatrix} V_{ji}^* u_L^j \\ d_L^i \end{pmatrix}$$

$$\lambda_{bb}^q = 1$$

$$V_{cb} \approx 0.04$$

$$b \rightarrow c \tau \nu_\tau$$

$$R_{D^{(*)}}^{\tau\ell} \approx 1 + 2C_T \left( 1 + \frac{\lambda_{sb}^q}{V_{cb}} \right) \approx 1.24 \pm 0.06$$



**Which one dominates?**



# Fitting $R(D^{(*)})$

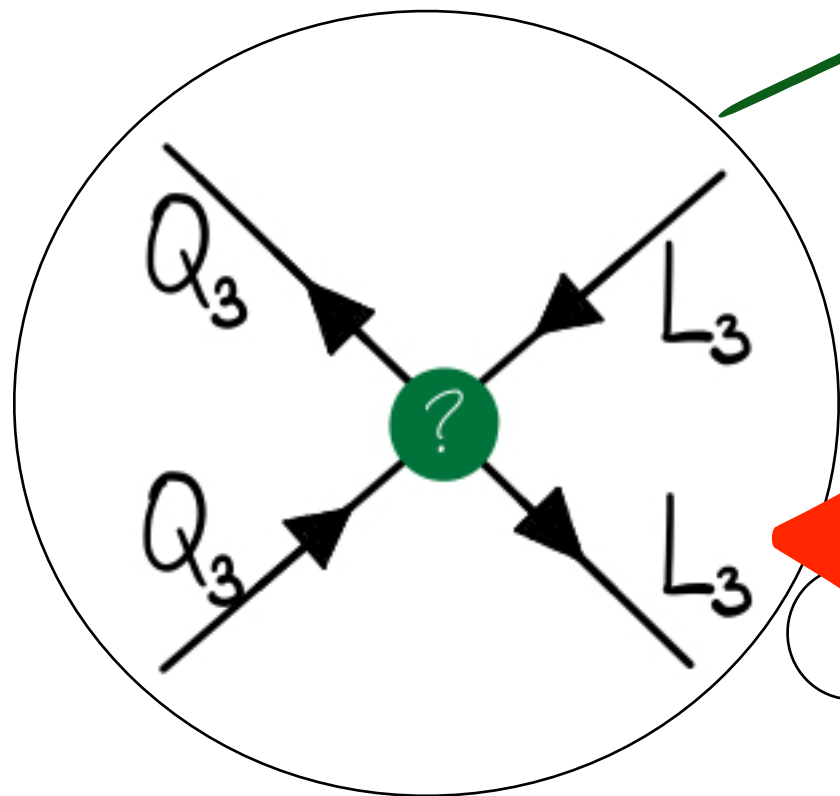
$$\mathcal{L}_{\text{eff}} \supset -\frac{C_T}{v^2} \lambda_{ij}^q (\bar{Q}_i \gamma_\mu \sigma^a Q_j)$$

$$Q_L^i = \begin{pmatrix} V_{ji}^* u_L^j \\ d_L^i \end{pmatrix}$$

$$\lambda_{bb}^q = 1$$

$$V_{cb} \approx 0.04$$

$$R_{D^{(*)}}^{\tau\ell} \approx 1 +$$



## FCNC limits

$$1) B_s \leftrightarrow \bar{B}_s$$

if tree-level

$$\text{e.g. } W' = (\mathbf{1}, \mathbf{3}, 0)$$

[AG, Isidori, Marzocca]  
JHEP 1507 (2015) 142

$$|\lambda_{sb}^q| \lesssim 0.1 V_{cb}$$

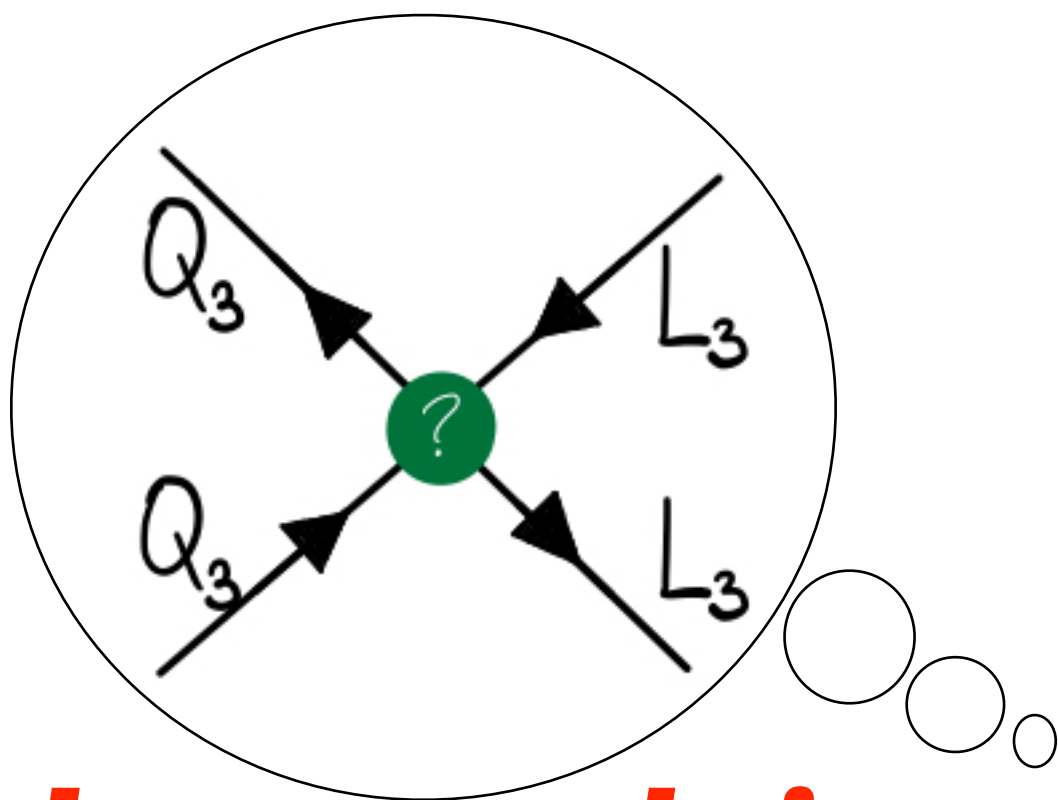
$$2) B \rightarrow K^{(*)} \nu_\tau \bar{\nu}_\tau$$

$$\text{For triplet operator } |\lambda_{sb}^q| \lesssim 0.5 V_{cb}$$

**Safe road?**



$$|\lambda_{sb}^q| \ll V_{cb} ,$$



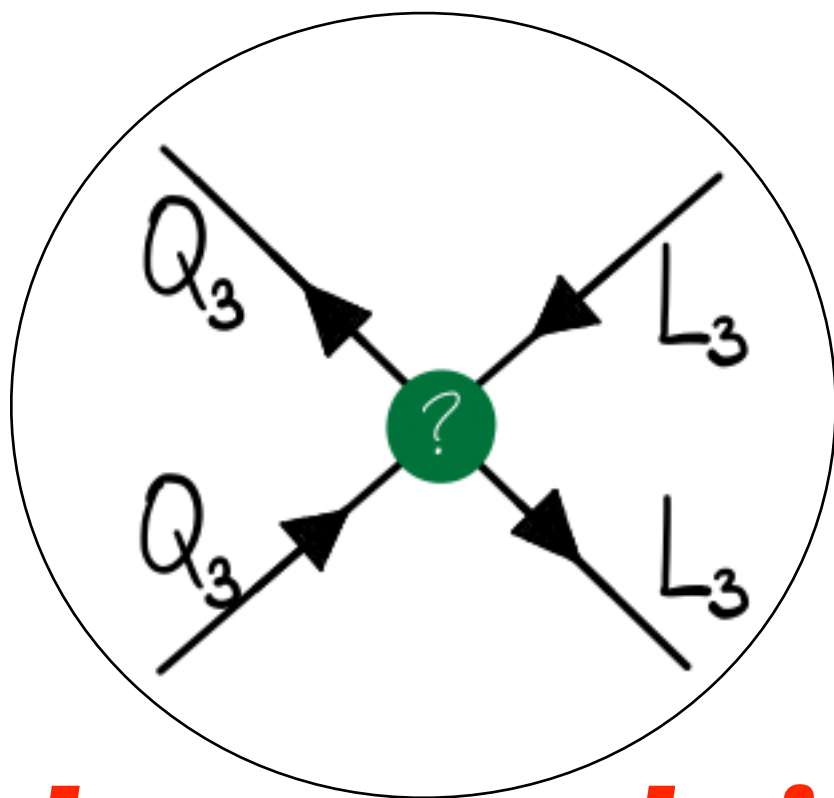
**Low scale!**

$$v/\sqrt{C_T} \approx 0.7 \text{ TeV}$$

\*to fit  $R(D^{(*)})$



$$|\lambda_{sb}^q| \ll V_{cb} ,$$



**Low scale!**

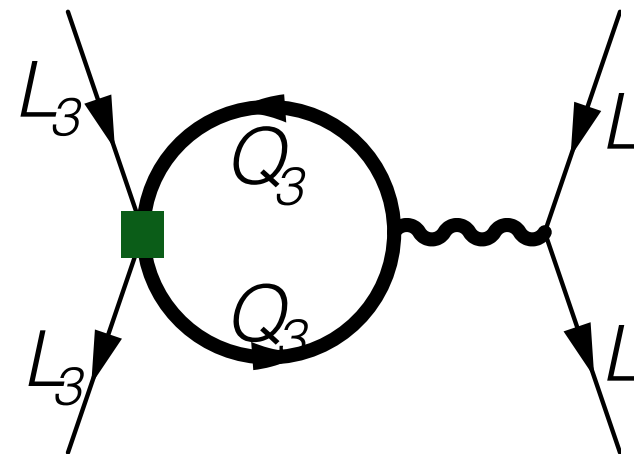
$$v/\sqrt{C_T} \approx 0.7 \text{ TeV}$$

\*to fit  $R(D^{(*)})$

## Radiative EW effects

Tension:

**Precise leptonic and EW observables**

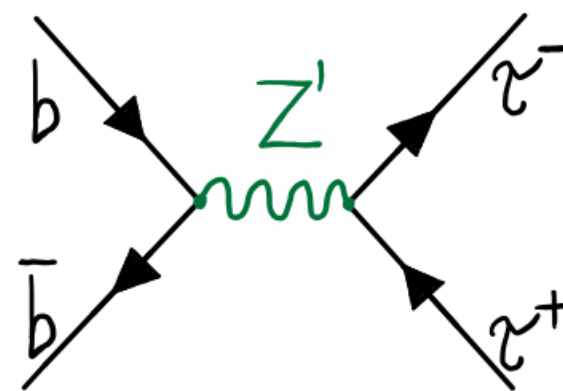


Possible fix:  
Tune with a  
direct tree-level  
matching  
contribution

[Feruglio, Paradisi, Pattori],  
Phys. Rev. Lett. 118 (2017), no. 1 011801

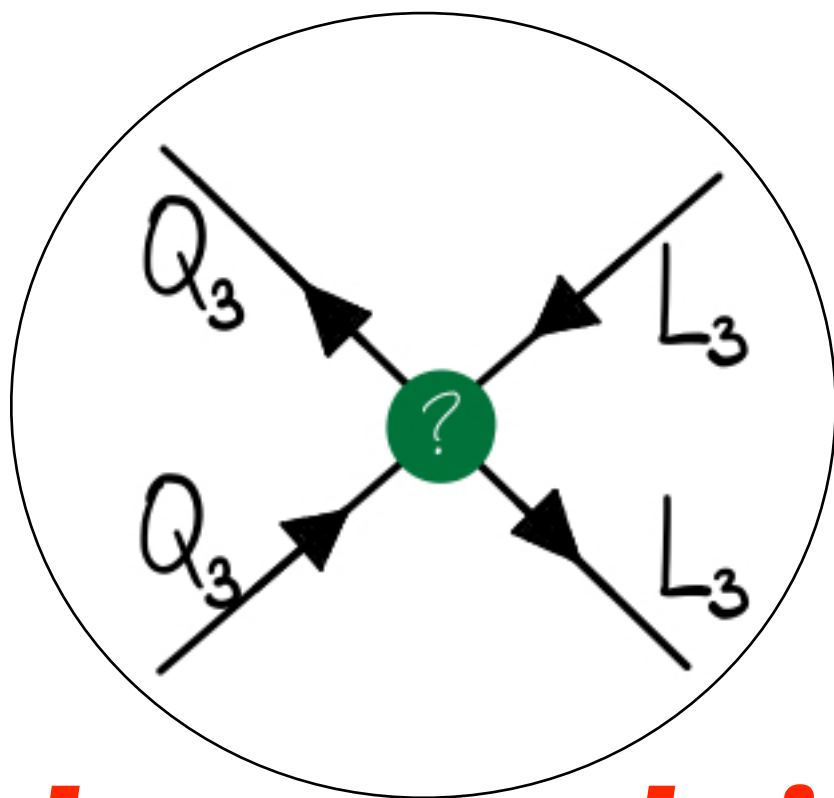
$$pp \rightarrow \tau^+ \tau^-$$

Tension: **High  $p_T$  ditau production**



[Faroughy, AG, F. Kamenik]  
Phys.Lett. B764 (2017) 126-134

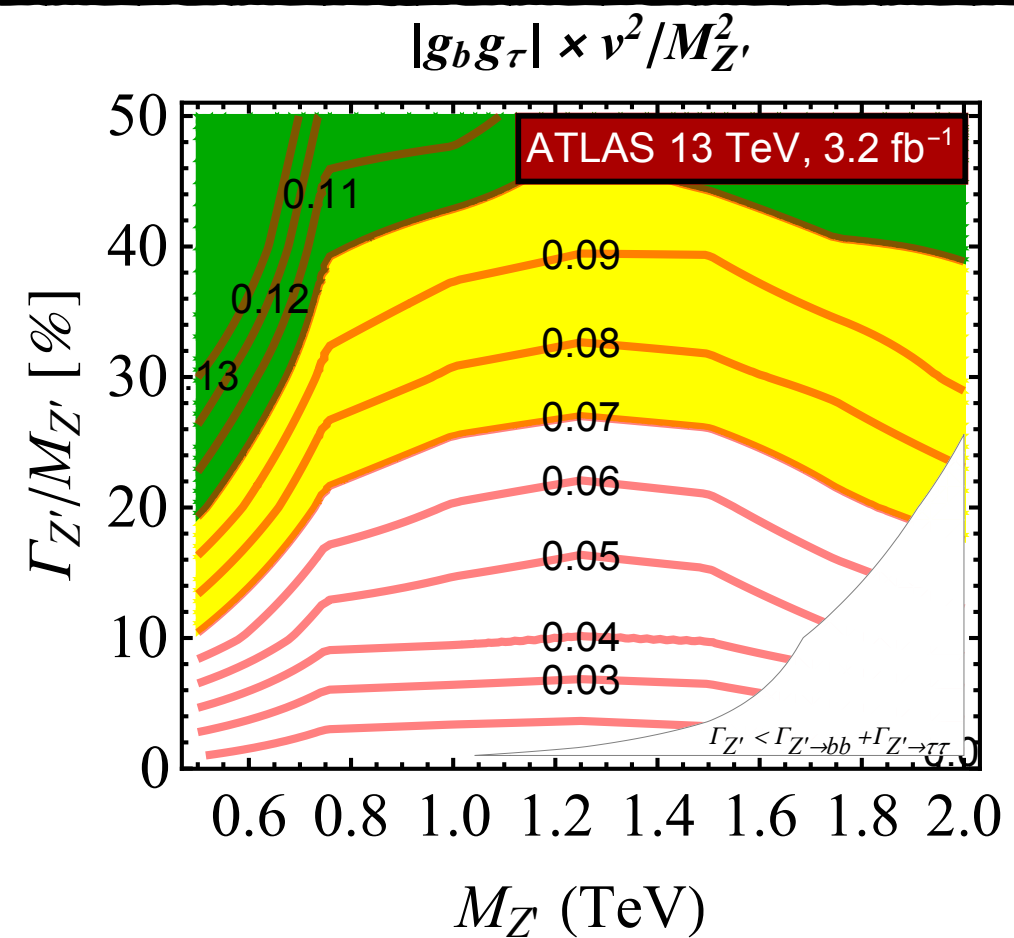
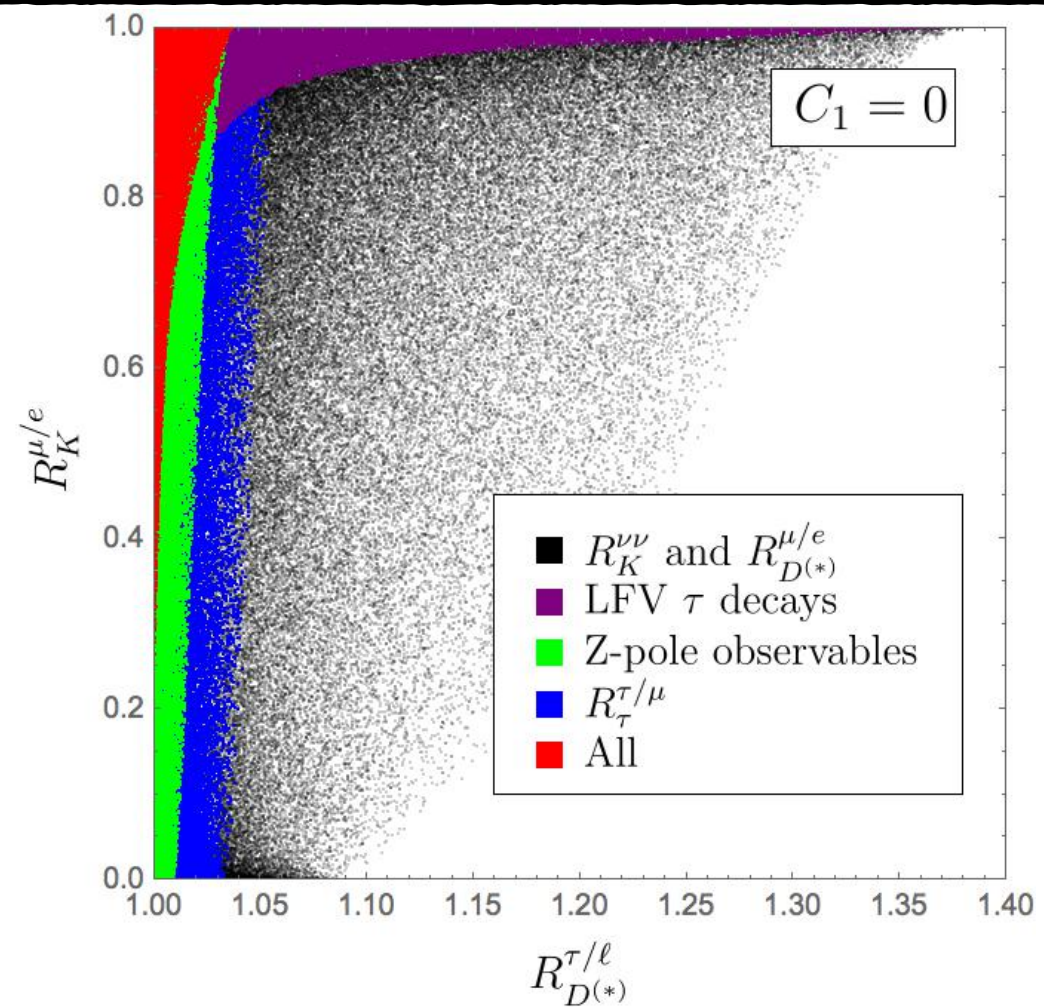
$$|\lambda_{sb}^q| \ll V_{cb} ,$$



**Low scale!**

$$v/\sqrt{C_T} \approx 0.7 \text{ TeV}$$

\*to fit  $R(D^{(*)})$



# Challenges for Model Builders?

$$B_s \leftrightarrow \bar{B}_s$$
$$B \rightarrow K^{(*)} \nu_\tau \bar{\nu}_\tau$$

...

**FCNC**

$$pp \rightarrow \tau^+ \tau^-$$

...

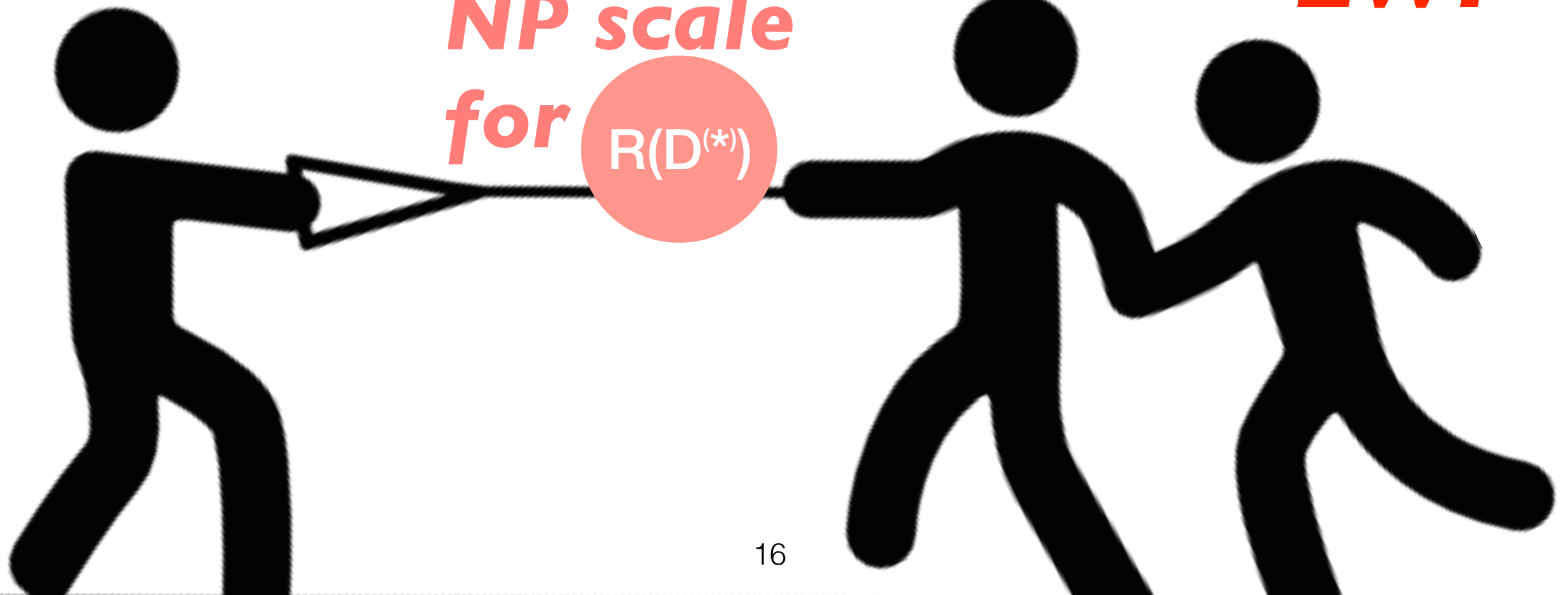
**High  $p_T$**   
**LHC**

Z decays  
 $\tau$  decays  
...

**EWP**

**NP scale**  
**for**

**R(D<sup>(\*)</sup>)**



# Working example I

**‘4321’**

[Buttazzo, AG, Isidori, Marzocca]  
JHEP 1711 (2017) 044

[Di Luzio, AG, Nardecchia]  
Phys.Rev. D96 (2017) 115011

[AG, Ben Stefanek]  
1802.04274

*Leptoquark option?*

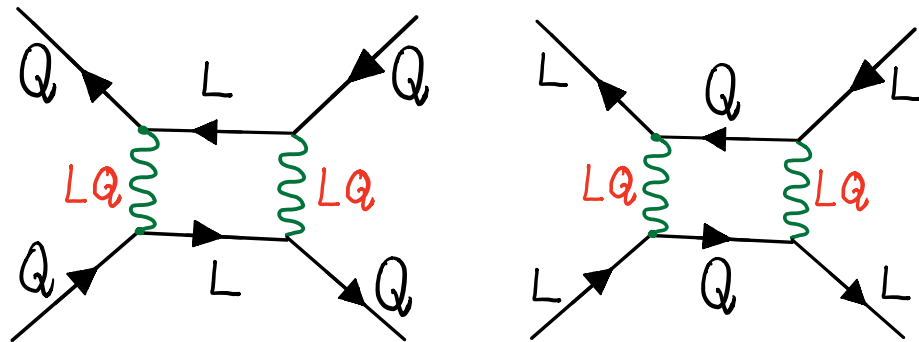
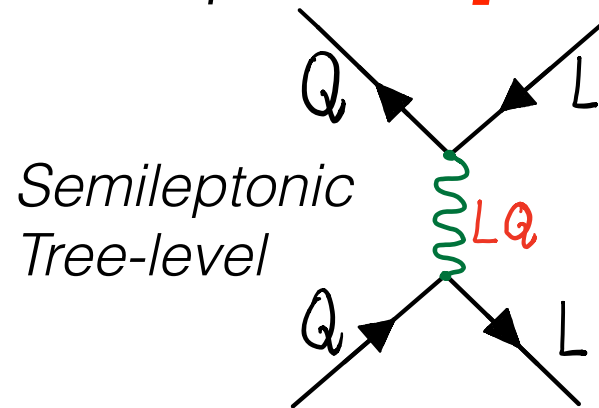


**Strategy:** *Dynamical suppression in FCNC*

$$B_s \leftrightarrow \bar{B}_s$$

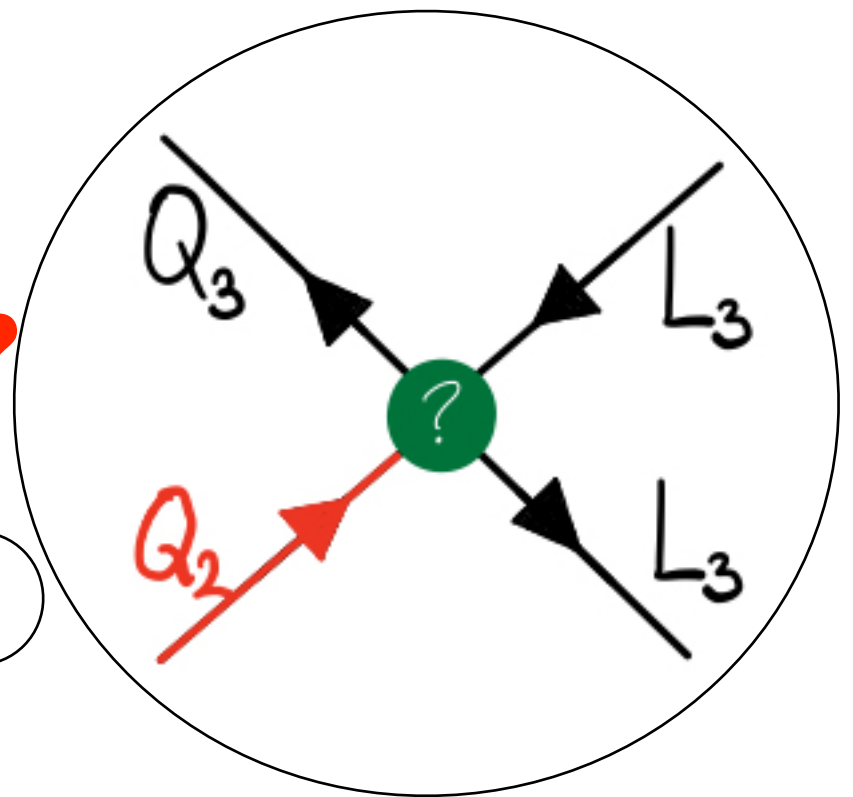
$$B \rightarrow K^{(*)} \nu_\tau \bar{\nu}_\tau$$

Example: **Leptoquark**



Suppression in 4Q and 4L operators

**Reconsider?**

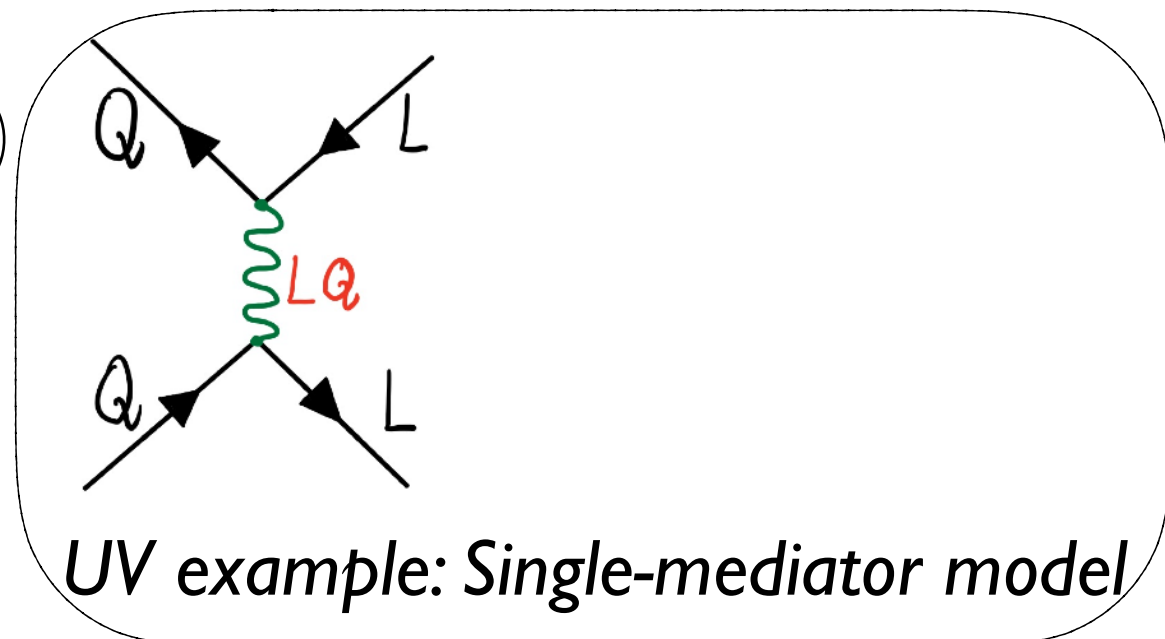
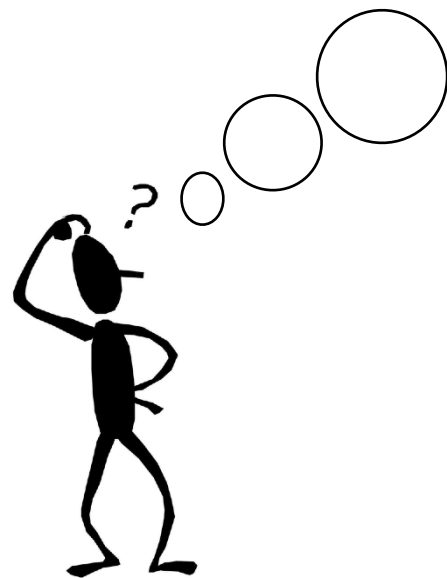
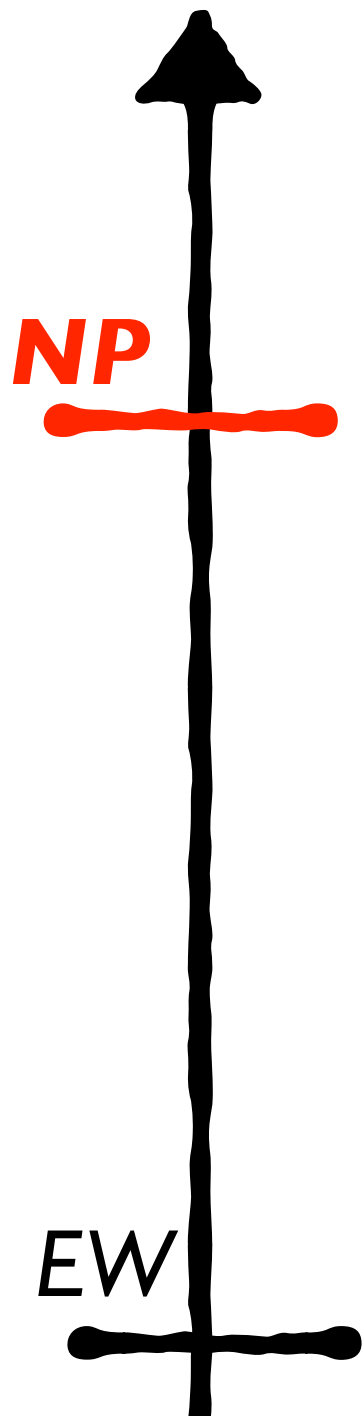




# Vector LQ

$$U_1^\mu \equiv (\mathbf{3}, \mathbf{1}, 2/3)$$

Energy scale



$$\mathcal{L}_U = -\frac{1}{2}U_{1,\mu\nu}^\dagger U^{1,\mu\nu} + M_U^2 U_{1,\mu}^\dagger U_1^\mu + g_U (J_U^\mu U_{1,\mu} + \text{h.c.})$$

$$J_U^\mu \equiv \beta_{i\alpha} \bar{Q}_i \gamma^\mu L_\alpha \quad \xrightarrow{\text{*Expanding SU(2)}} \quad de + uv \quad \beta_{b\tau} = 1$$

Flavour basis:

$$Q_i = (V_{ji}^* u_L^j, d_L^i)^T \quad L_i = (v_L^i, \ell_L^i)^T$$

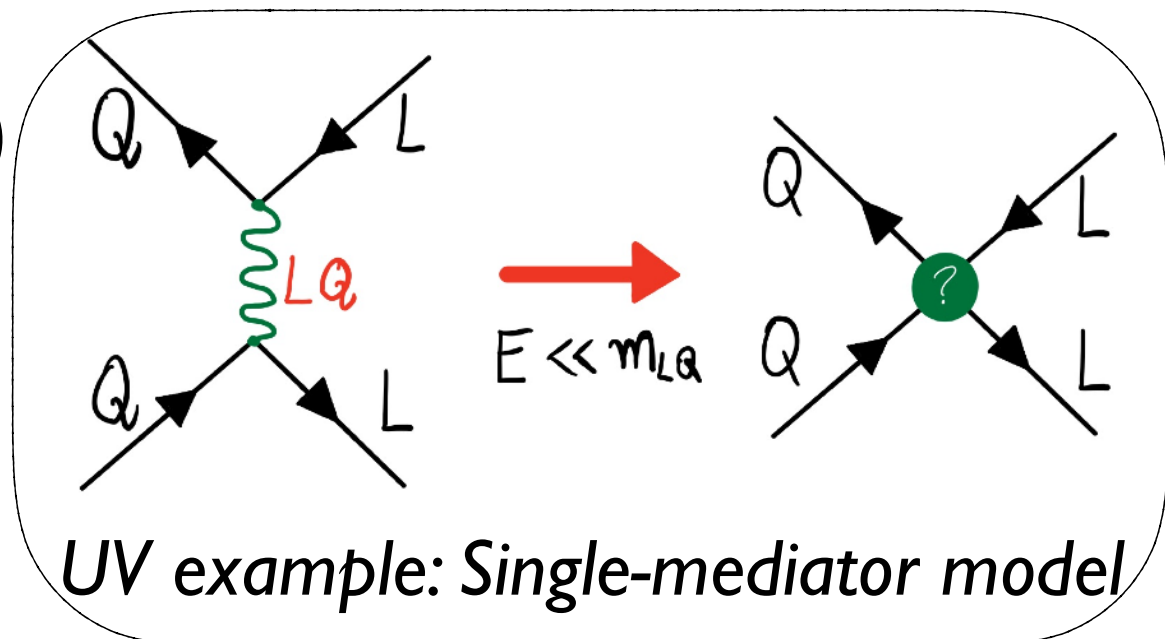
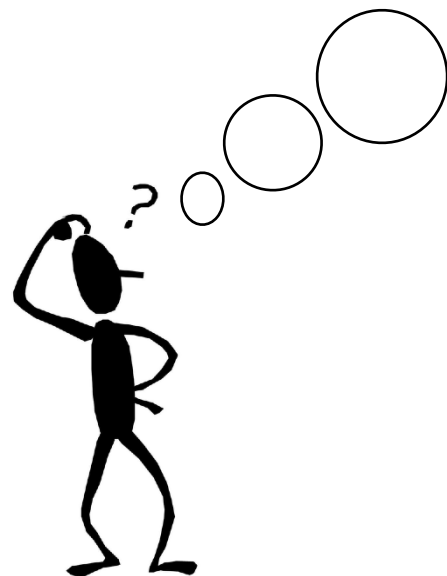
# Vector LQ

$$U_1^\mu \equiv (\mathbf{3}, \mathbf{1}, 2/3)$$

Energy scale

NP

EW



$$\mathcal{L}_U = -\frac{1}{2}U_{1,\mu\nu}^\dagger U^{1,\mu\nu} + M_U^2 U_{1,\mu}^\dagger U_1^\mu + g_U (J_U^\mu U_{1,\mu} + \text{h.c.})$$

$$J_U^\mu \equiv \beta_{i\alpha} \bar{Q}_i \gamma^\mu L_\alpha \quad \xrightarrow{\text{*Expanding SU(2)}} \quad de + uv \quad \beta_{b\tau} = 1$$

matching

$$\mathcal{L}_{\text{eff}} \supset -\frac{1}{v^2} C_U \beta_{i\alpha} \beta_{j\beta}^* \left[ (\bar{Q}_L^i \gamma_\mu \sigma^a Q_L^j) (\bar{L}_L^\beta \gamma^\mu \sigma^a L_L^\alpha) + (\bar{Q}_L^i \gamma_\mu Q_L^j) (\bar{L}_L^\beta \gamma^\mu L_L^\alpha) \right]$$

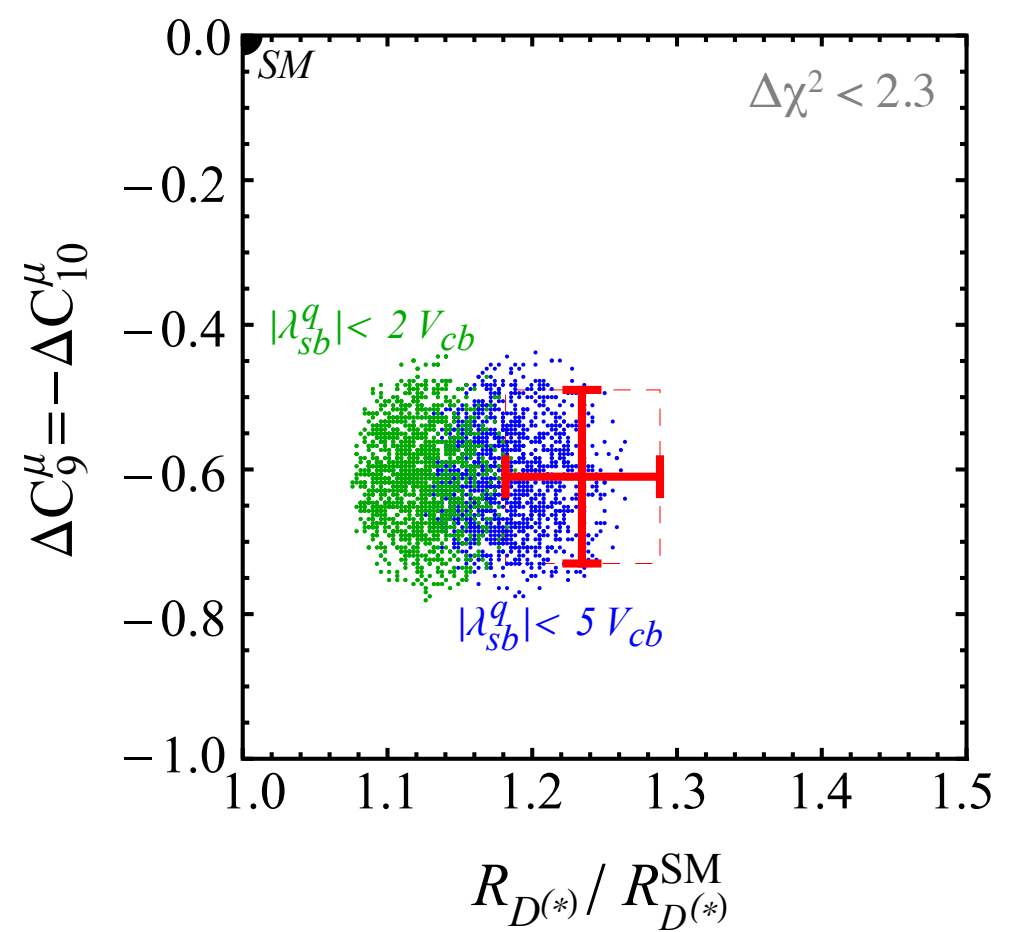
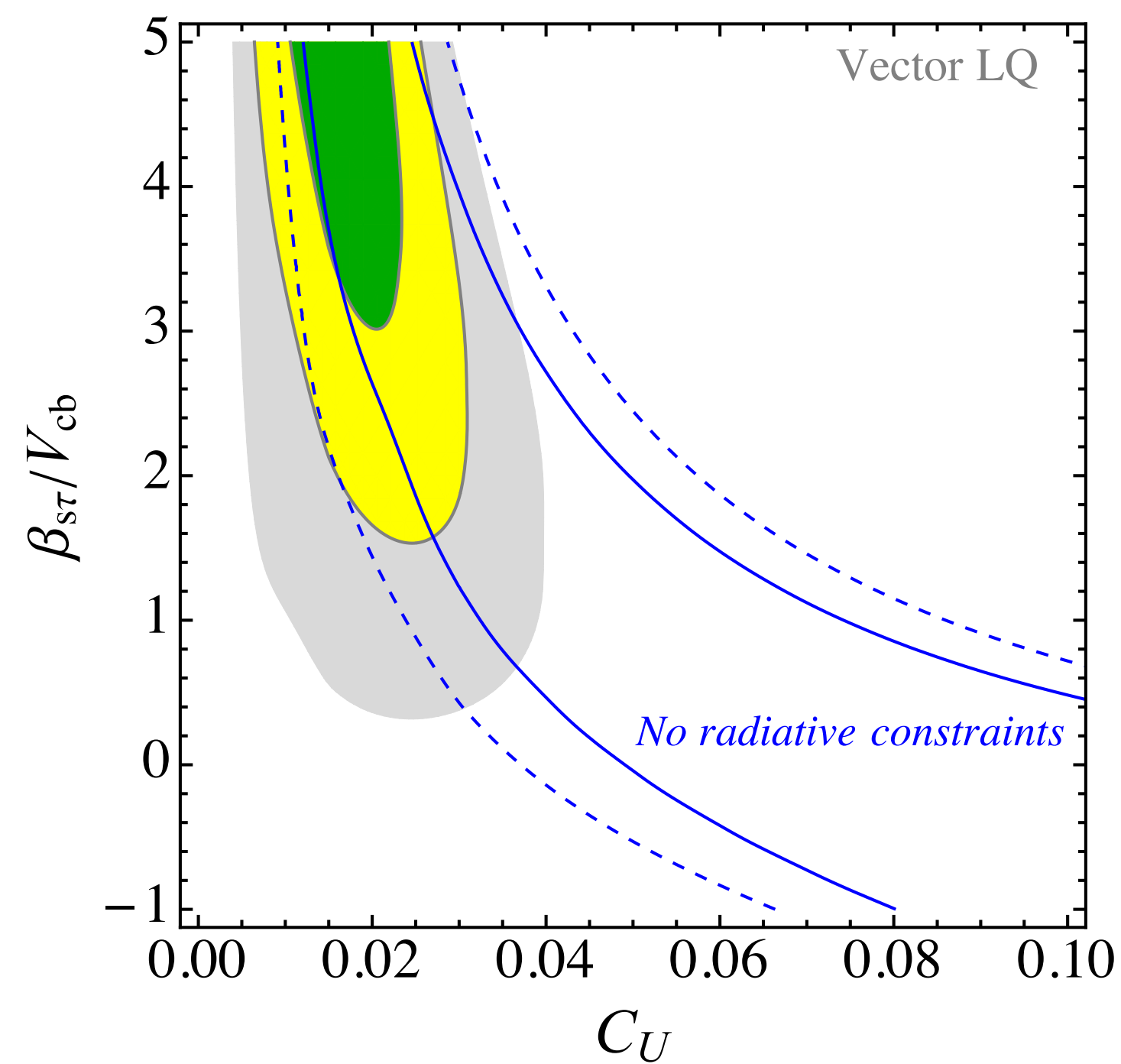
$$C_U = v^2 |g_U|^2 / (2M_U^2)$$

Flavour basis:

$$Q_i = (V_{ji}^* u_L^j, d_L^i)^T \quad L_i = (v_L^i, \ell_L^i)^T$$

**Global fit to low-energy data** (RGE effects included)

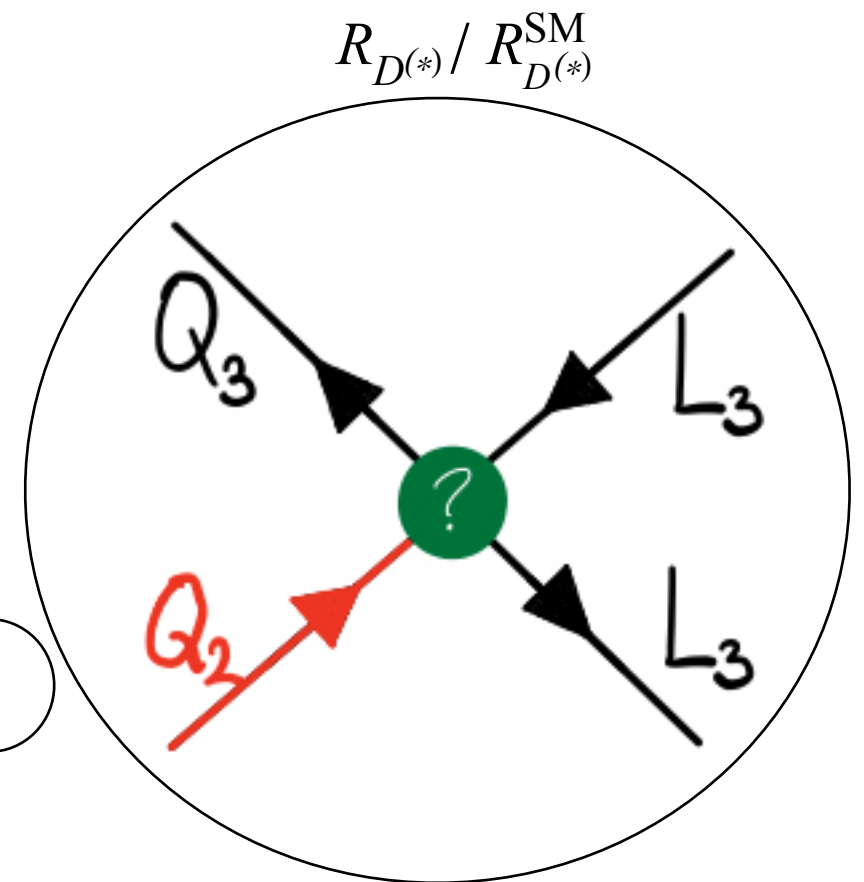
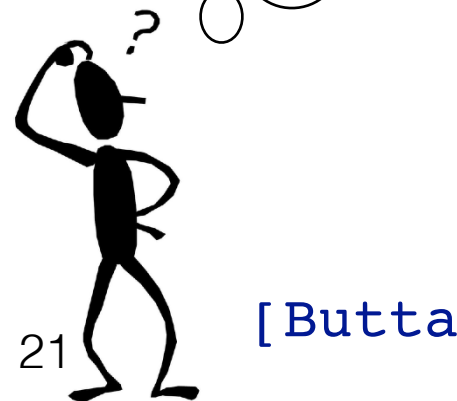
$$R_{D^{(*)}}^{\tau\ell} \quad \Delta C_9^\mu = -\Delta C_{10}^\mu \quad B_{K^{(*)}\nu\bar{\nu}} \quad \delta g_{\tau_L}^Z \quad \delta g_{\nu_\tau}^Z \quad |g_\tau^W / g_\ell^W|$$



No tree-level  
 $B_s \leftrightarrow \bar{B}_s$   
 $B \rightarrow K^{(*)} \nu \bar{\nu}$

Suppressed  
 $Z\tau\tau$   
 (One-loop plus extra  
 suppression)

**It is  
 dominant**



# High $p_T$ searches

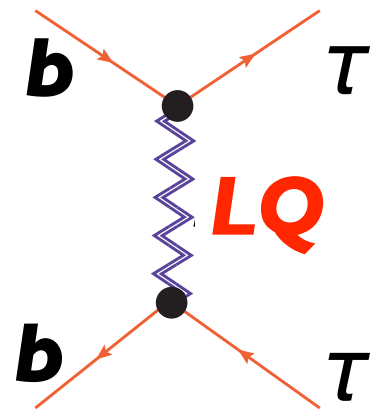
$$U_1^\mu \equiv (\mathbf{3}, 1, 2/3)$$

$$\mathcal{B}(U \rightarrow t\nu) \approx \mathcal{B}(U \rightarrow b\tau) \approx 0.5$$

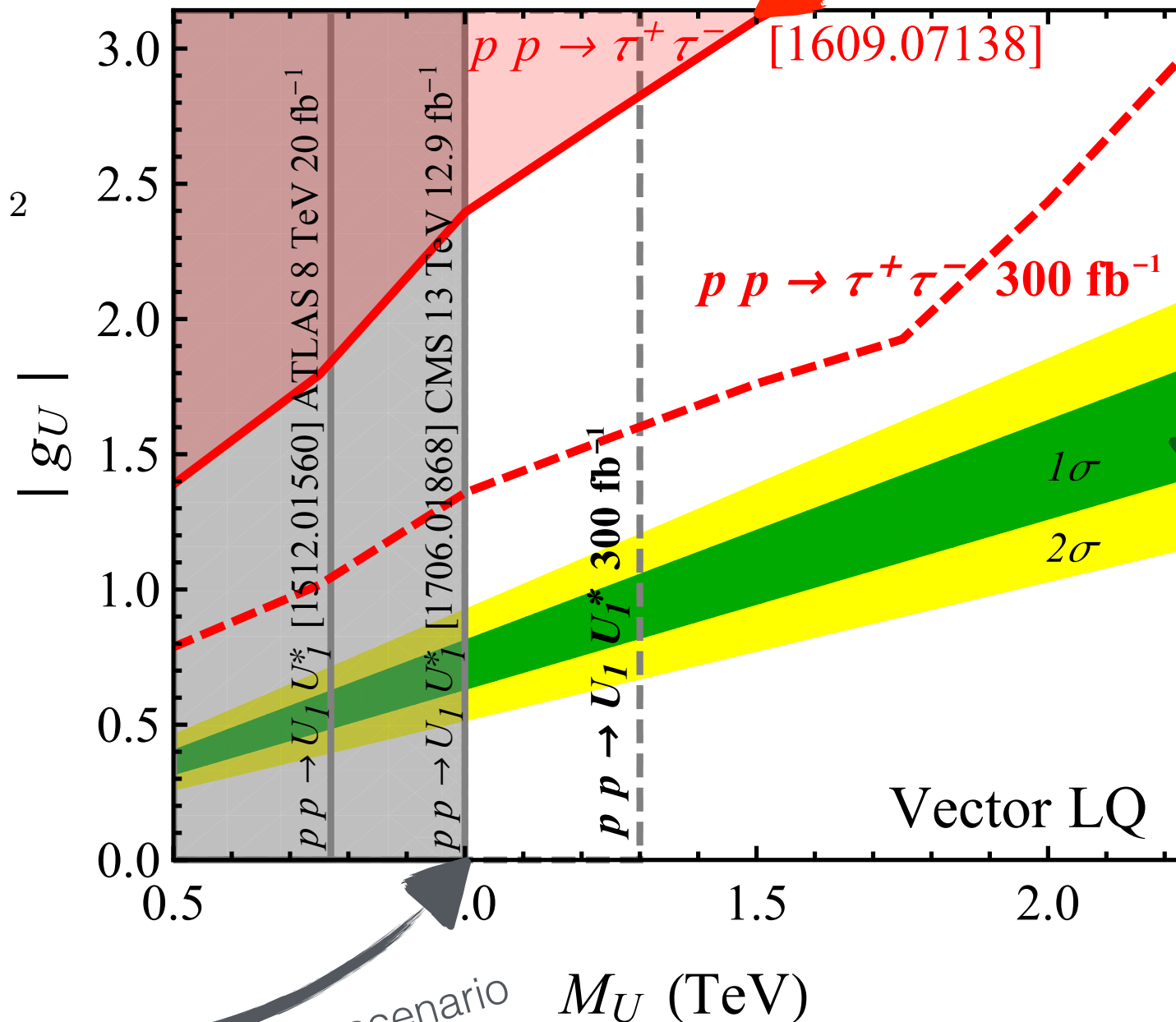
Best fit:

$$g_U \approx 0.7 \left( \frac{M_U}{1 \text{ TeV}} \right)$$

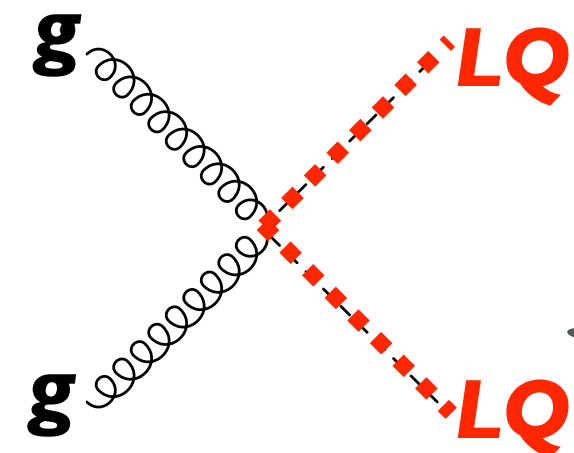
$$\frac{\Gamma_U}{M_U} \approx 1.4\% \left( \frac{M_U}{1 \text{ TeV}} \right)^2$$



[Faroughy, AG, F. Kamenik]  
Phys.Lett. B764 (2017)  
126-134



CMS: 1703.03995  
ATLAS: 1508.04735



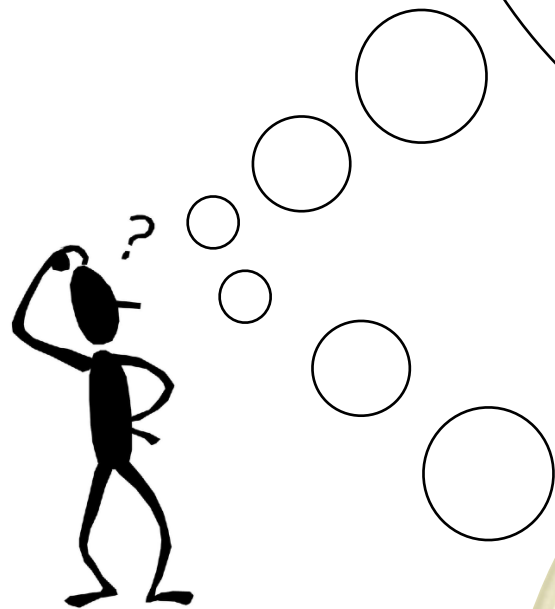
Minimal coupling scenario

# *UV completion*

$$U_1^\mu \equiv (3, 1, 2/3)$$

Gauge boson of an  
extended gauge sector

**Calculable!**



A cake by  
[Buttazzo], 2017

*The starting point, but  
strong flavour bounds...*

**How to get TeV  
scale PS LQ?**



# '4321' model

[Di Luzio, AG, Nardecchia]  
Phys.Rev. D96 (2017) 115011

**Extended gauge symmetry**

$$G \equiv SU(4) \times SU(3)' \times SU(2)_L \times U(1)'$$



SSB:  $\langle \Omega_3 \rangle, \langle \Omega_1 \rangle$

$$G_{\text{SM}} \equiv SU(3)_c \times SU(2)_L \times U(1)_Y$$

**15 broken generators**



$$(3, 1, 2/3)$$

**Leptoquark**

\*Complex



$$(8, 1, 0)$$

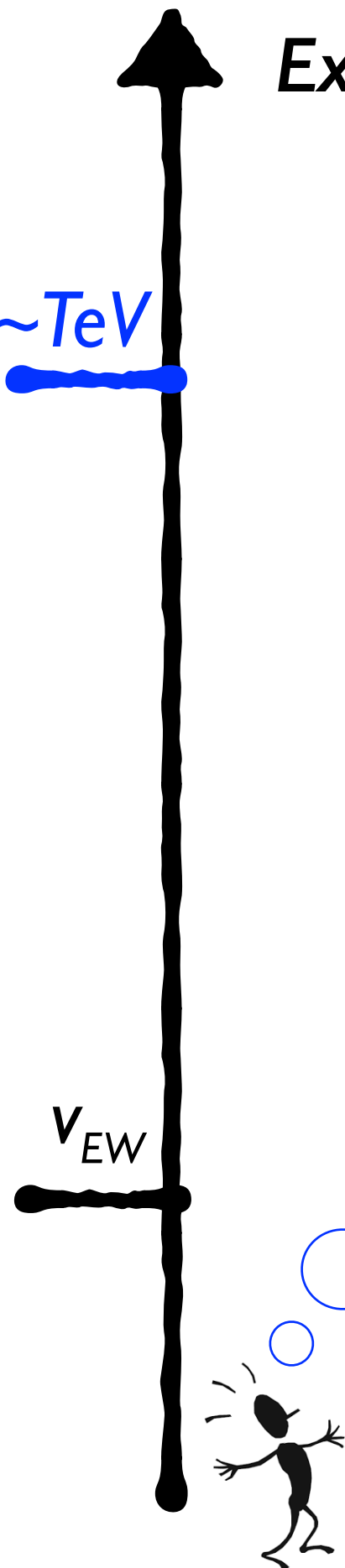
**G'**



$$(1, 1, 0)$$

**Z'**

- SM fermions in **321**, not coupled to LQ  
( $q'_L, \ell'_L, u'_R, d'_R, e'_R$ )



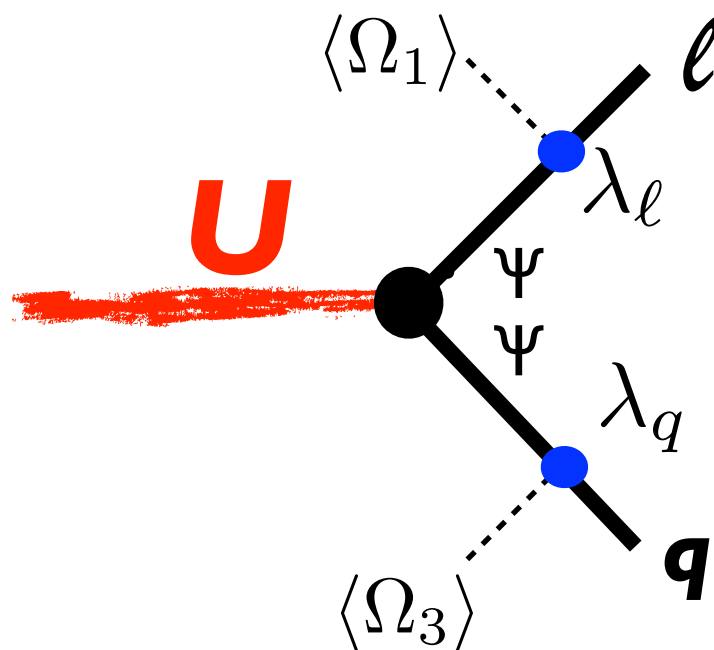
# '4321' model

[Di Luzio, AG, Nardecchia]  
Phys.Rev. D96 (2017) 115011

Add a vector-like fermion  $(4, 1, 2, 0)$   
like fermion

$$\Psi_{L,R} = (Q'_{L,R}, L'_{L,R})^T$$

SM fermion **doublets**  
mix with the vector-like  
partners



**Left-handed dominance!**

- B-anomalies solved by the vector **LQ** alone!
- Flavour blind **G'** and **Z'** interactions possible (no FCNC) -  
**Non-trivial flavour structure!**
- **G'** at high  $p_T$  expected in **jj**, **tt**, **bb** final states

For a variation of this model see  
[AG, Ben Stefanek]  
1802.04274

# Working example II

**'322I'**

[AG, Robinson,  
Shakya, Zupan]  
1804.xxxxx

$$W' = (\mathbf{1}, \mathbf{1}, +1)$$

& light


$$N_R = (\mathbf{1}, \mathbf{1}, 0)$$

$$b \rightarrow c\tau\bar{N}_R$$



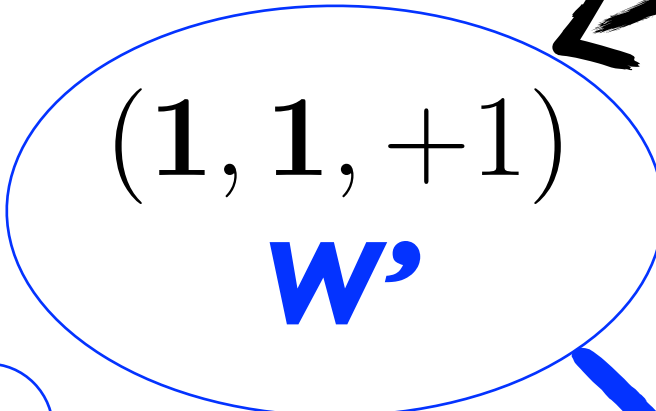
**Extended gauge symmetry**

$$\mathcal{G} \equiv SU(3)_c \times SU(2)_L \times SU(2)_V \times U(1)'$$

SSB:  $\langle H_V \rangle$  

$$G_{\text{SM}} \equiv SU(3)_c \times SU(2)_L \times U(1)_Y$$

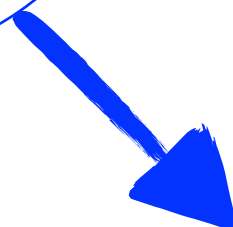
**3 broken generators**

  $(1, 1, +1)$

**$W'$**

$(1, 1, 0)$

**$Z'$**

  $\mathcal{L}_{\text{VR}} = \frac{C_{ij,k}}{\Lambda_{\text{eff}}^2} (\bar{u}_R^i \gamma^\mu d_R^j) (\bar{e}_R^k \gamma_\mu N_R)$

$V_{\text{EW}}$



# '3221' model

## Matter content

Field	$SU(3)_c$	$SU(2)_L$	$SU(2)_V$	$U(1)'$
SM-like chiral fermions				
$q_L^i$	<b>3</b>	<b>2</b>	<b>1</b>	1/6
$\ell_L^i$	<b>1</b>	<b>2</b>	<b>1</b>	-1/2
$u_R^i$	<b>3</b>	<b>1</b>	<b>1</b>	2/3
$d_R^i$	<b>3</b>	<b>1</b>	<b>1</b>	-1/3
$e_R^i$	<b>1</b>	<b>1</b>	<b>1</b>	-1
$\nu_R^i$	<b>1</b>	<b>1</b>	<b>1</b>	0
Extra vector-like fermions				
$Q'_{L,R}$	<b>3</b>	<b>1</b>	<b>2</b>	1/6
$L'_{L,R}$	<b>1</b>	<b>1</b>	<b>2</b>	-1/2
Scalars				
$H$	<b>1</b>	<b>2</b>	<b>1</b>	1/2
$H_V$	<b>1</b>	<b>1</b>	<b>2</b>	1/2

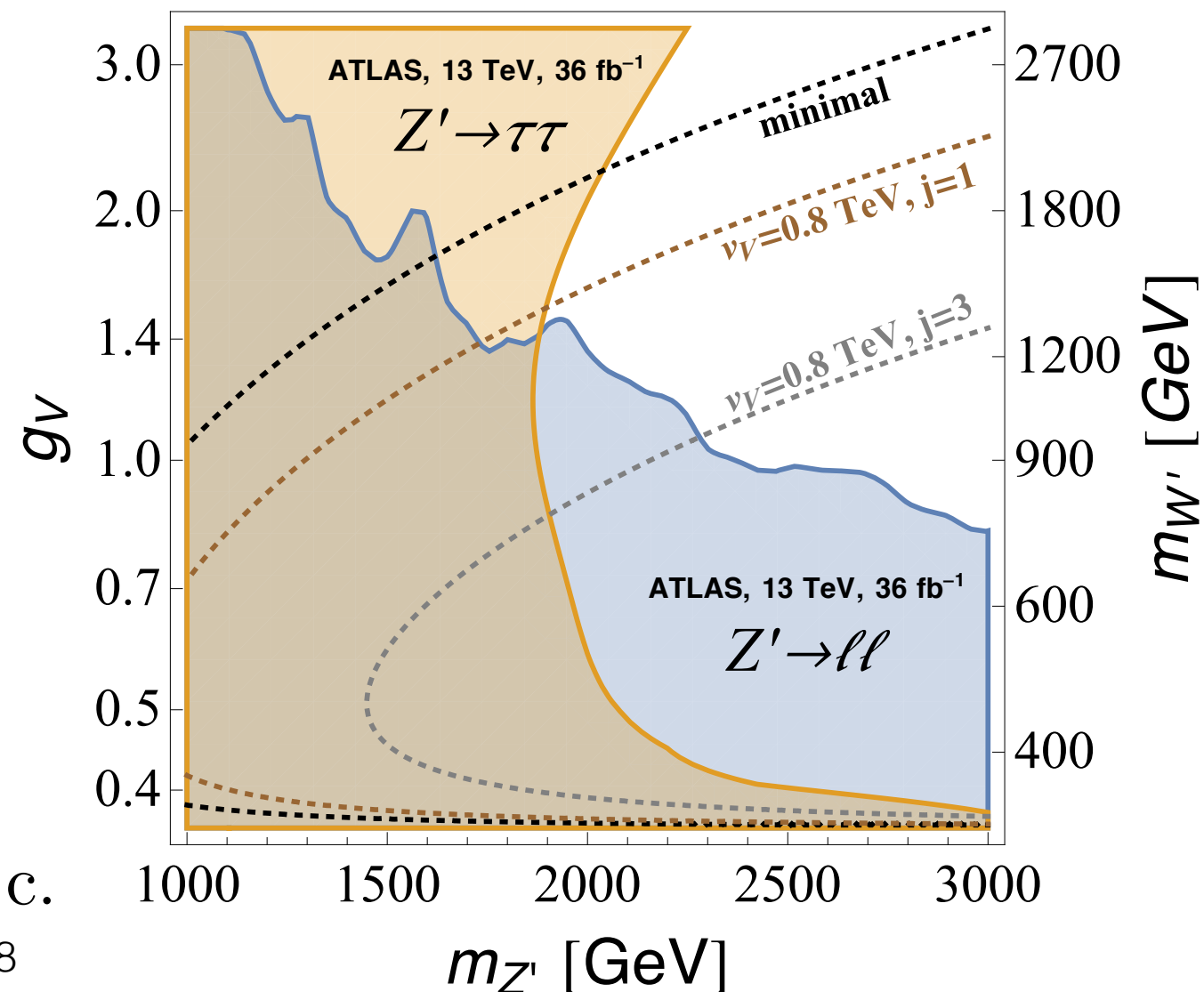
$$\begin{aligned}
 \mathcal{L} \supset \mathcal{L}_{\text{Yuk}}^{\text{SM}} & - \lambda_d^i \bar{Q}'_L H_V d_R^i - \lambda_u^i \bar{Q}'_L \tilde{H}_V u_R^i \\
 & - \lambda_e^i \bar{L}'_L H_V e_R^i - \lambda_\nu^i \bar{L}'_L \tilde{H}_V \nu_R^i \\
 & - M_Q \bar{Q}'_L Q'_R - M_L \bar{L}'_L L'_R + \text{h.c.}
 \end{aligned}$$

FL-23 case:

$$\lambda_d^i \sim (0, 0, 1) \quad \lambda_u^i \sim (0, 1, 0)$$

- No FCNC **Z'** couplings predicted
- **Z'** mass can be increased independently of the  $W'$  mass

LHC exclusions: FL-23



*Hope for the best, but prepare for the worst*



*LanguageTies.com/proverbs*



**Backup slides**

# Coherent picture of B-anomalies

$$b \rightarrow c \tau \bar{\nu}_\tau$$

V-A operator

Hint I

$$b \rightarrow s \mu \bar{\mu}$$

V-A operator

**Common origin?**

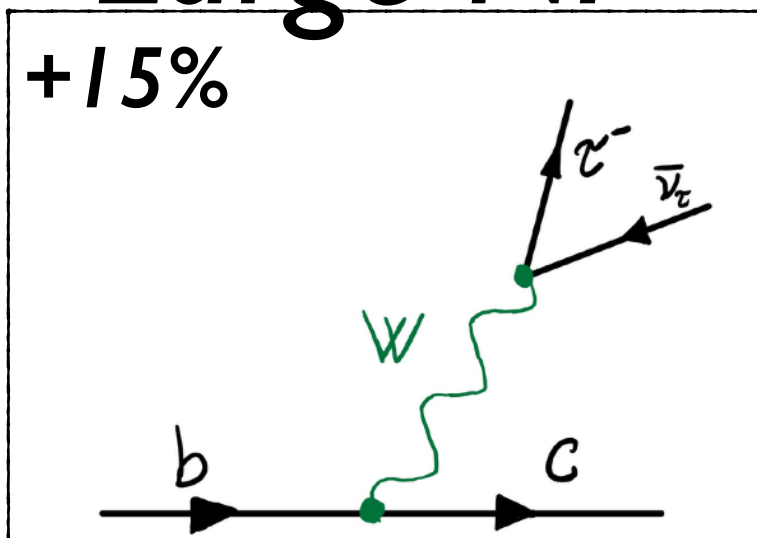
$$(\bar{Q}_L^i \gamma_\mu \sigma^a Q_L^j)(\bar{L}_L^\alpha \gamma^\mu \sigma^a L_L^\beta)$$

R(K<sup>(\*)</sup>)

R(D<sup>(\*)</sup>)

Large NP

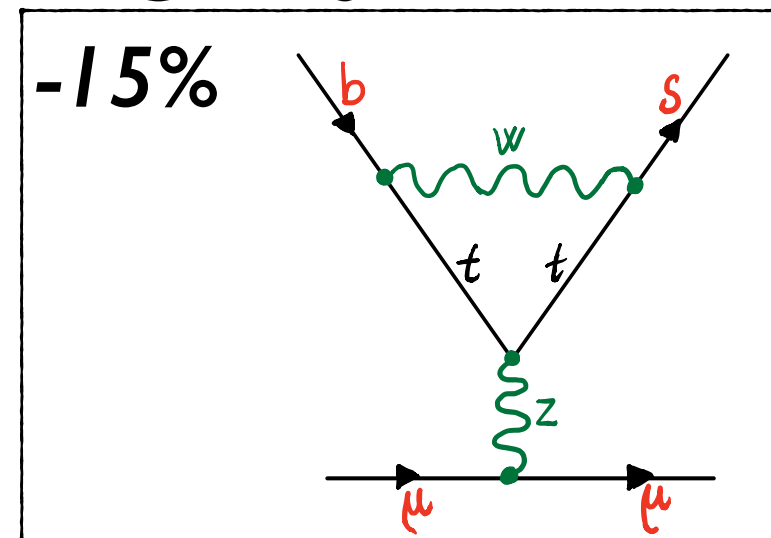
+15%



Hint II

Small NP

-15%



**Flavour puzzle?**

NP

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} - \frac{1}{v^2} \lambda_{ij}^q \lambda_{\alpha\beta}^\ell \left[ C_T (\bar{Q}_L^i \gamma_\mu \sigma^a Q_L^j) (\bar{L}_L^\alpha \gamma^\mu \sigma^a L_L^\beta) + C_S (\bar{Q}_L^i \gamma_\mu Q_L^j) (\bar{L}_L^\alpha \gamma^\mu L_L^\beta) \right]$$

Flavour basis:

$$Q_i = (V_{ji}^* u_L^j, d_L^i)^T \quad L_i = (v_L^i, \ell_L^i)^T$$

Fit parameters:

$$C_T, C_S, \lambda_{sb}^q, \text{ and } \lambda_{\mu\mu}^\ell$$

$$\lambda_{bb}^q = \lambda_{\tau\tau}^\ell = 1$$

- **Global fit to low-energy data** (*RGE effects included*)

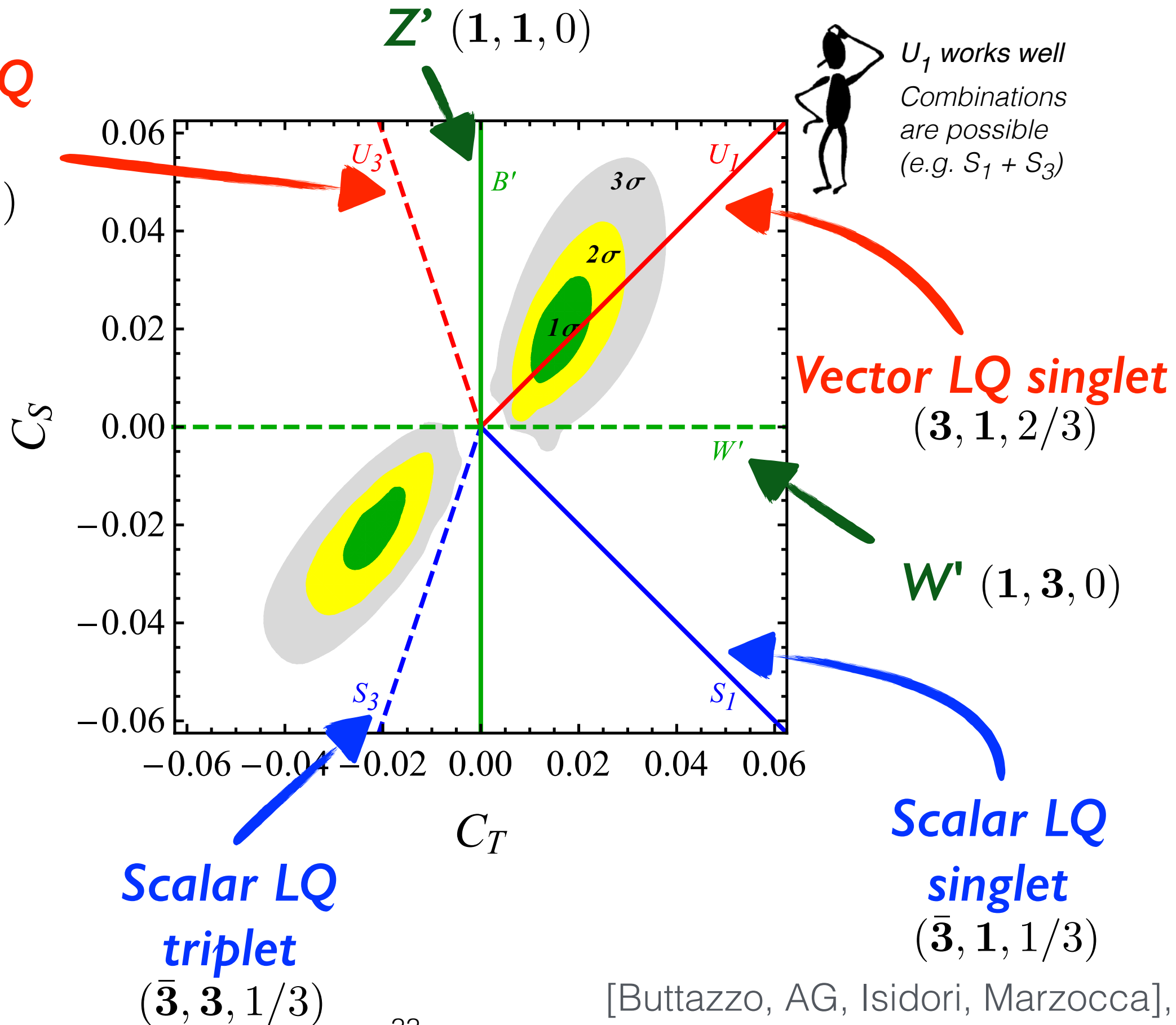
Observable	Experimental bound	Linearised expression
$R_{D^{(*)}}^{\tau\ell}$	$1.237 \pm 0.053$	$1 + 2C_T(1 - \lambda_{sb}^q V_{tb}^*/V_{ts}^*)(1 - \lambda_{\mu\mu}^\ell/2)$
$\Delta C_9^\mu = -\Delta C_{10}^\mu$	$-0.61 \pm 0.12$ [36]	$-\frac{\pi}{\alpha_{\text{em}} V_{tb} V_{ts}^*} \lambda_{\mu\mu}^\ell \lambda_{sb}^q (C_T + C_S)$
$R_{b \rightarrow c}^{\mu e} - 1$	$0.00 \pm 0.02$	$2C_T(1 - \lambda_{sb}^q V_{tb}^*/V_{ts}^*) \lambda_{\mu\mu}^\ell$
$B_{K^{(*)}\nu\bar{\nu}}$	$0.0 \pm 2.6$	$1 + \frac{2}{3} \frac{\pi}{\alpha_{\text{em}} V_{tb} V_{ts}^*} C_\nu^{\text{SM}} (C_T - C_S) \lambda_{sb}^q (1 + \lambda_{\mu\mu}^\ell)$
$\delta g_{\tau_L}^Z$	$-0.0002 \pm 0.0006$	$0.033C_T - 0.043C_S$
$\delta g_{\nu_\tau}^Z$	$-0.0040 \pm 0.0021$	$-0.033C_T - 0.043C_S$
$ g_\tau^W/g_\ell^W $	$1.00097 \pm 0.00098$	$1 - 0.084C_T$
$\mathcal{B}(\tau \rightarrow 3\mu)$	$(0.0 \pm 0.6) \times 10^{-8}$	$2.5 \times 10^{-4} (C_S - C_T)^2 (\lambda_{\tau\mu}^\ell)^2$

[Buttazzo, AG, Isidori, Marzocca],

JHEP 1711 (2017) 044

# Single-mediator models

**Vector LQ  
triplet**  
 $(\mathbf{3}, \mathbf{3}, 2/3)$



[Buttazzo, AG, Isidori, Marzocca],  
JHEP 1711 (2017) 044

# '4321' model

**Extended gauge symmetry**

$$G \equiv SU(4) \times SU(3)' \times SU(2)_L \times U(1)'$$



**SSB:**  $\langle \Omega_3 \rangle, \langle \Omega_1 \rangle$

$$G_{\text{SM}} \equiv SU(3)_c \times SU(2)_L \times U(1)_Y$$

Embedding:

$$SU(3)_4 \times U(1)_4 \subset SU(4)$$

and

$$SU(3)_c = (SU(3)_4 \times SU(3)')_{\text{diag}}$$

$$U(1)_Y = (U(1)_4 \times U(1)')_{\text{diag}}$$

Scalars:

$$\Omega_1 = (\bar{4}, 1, 1, -1/2)$$

$$\Omega_3 = (\bar{4}, 3, 1, 1/6)$$

$$\langle \Omega_3 \rangle = \begin{pmatrix} \frac{v_3}{\sqrt{2}} & 0 & 0 \\ 0 & \frac{v_3}{\sqrt{2}} & 0 \\ 0 & 0 & \frac{v_3}{\sqrt{2}} \\ 0 & 0 & 0 \end{pmatrix}, \quad \langle \Omega_1 \rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ \frac{v_1}{\sqrt{2}} \end{pmatrix}$$

Gauge couplings:

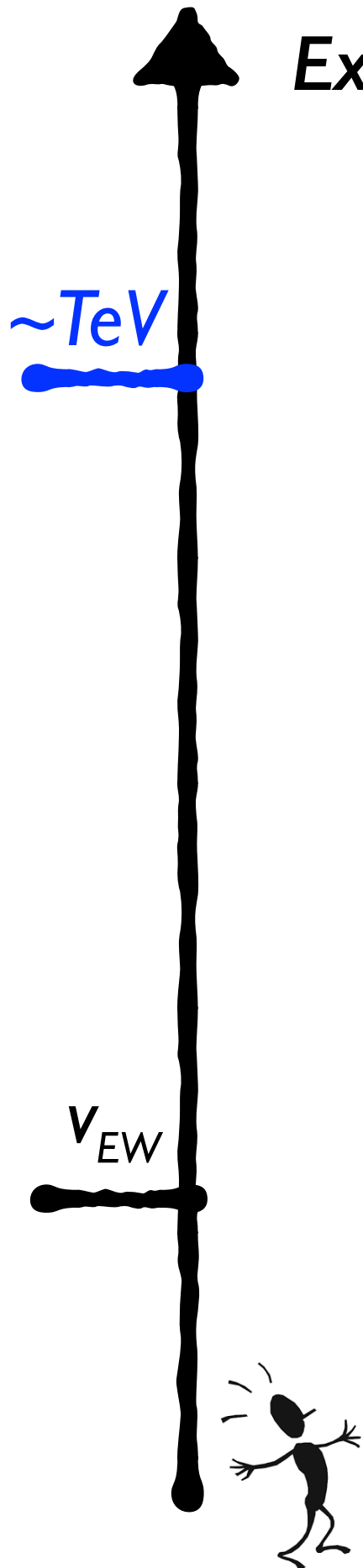
$$g_s = \frac{g_4 g_3}{\sqrt{g_4^2 + g_3^2}}$$

$$g_Y = \frac{g_4 g_1}{\sqrt{g_4^2 + \frac{2}{3} g_1^2}}$$

[Georgi, Nakai], 1606.05865

[Diaz, Schmaltz, Zhong],

1706.05033





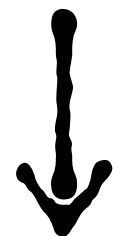
# '4321' model

[Di Luzio, AG, Nardecchia],  
Phys.Rev. D96 (2017)  
115011

Extended gauge symmetry

$$G \equiv SU(4) \times SU(3)' \times SU(2)_L \times U(1)'$$

$\alpha = 1, \dots, 15$        $a = 1, \dots, 8$        $i = 1, 2, 3$   
 $H_\mu^\alpha$        $G_\mu'^a$        $W_\mu^i$        $B'_\mu$



SSB:  $\langle \Omega_3 \rangle, \langle \Omega_1 \rangle$

$$\Omega_3 = (\bar{4}, 3, 1, 1/6)$$

$$\Omega_1 = (\bar{4}, 1, 1, -1/2)$$

$$G_{\text{SM}} \equiv SU(3)_c \times SU(2)_L \times U(1)_Y$$

Embedding:

$$SU(3)_c = (SU(3)_4 \times SU(3)')_{\text{diag}}$$

$$U(1)_Y = (U(1)_4 \times U(1)')_{\text{diag}}$$

where

$$SU(3)_4 \times U(1)_4 \subset SU(4)$$

$$g_s = \frac{g_4 g_3}{\sqrt{g_4^2 + g_3^2}} \quad g_Y = \frac{g_4 g_1}{\sqrt{g_4^2 + \frac{2}{3}g_1^2}}$$

Massive gauge boson spectrum:

$G/G_{\text{SM}}$

**Leptoquark**

$$(3, 1, 2/3) \quad M_U = \frac{1}{2} g_4 \sqrt{v_1^2 + v_3^2}$$

$$U_\mu^{1,2,3} = \frac{1}{\sqrt{2}} (H_\mu^{9,11,13} - i H_\mu^{10,12,14})$$

Color octet:  $(8, 1, 0)$

$\mathbf{Z}'$ :  $(1, 1, 0)$

$$g_\mu'^a = \frac{g_4 H_\mu^a - g_3 G_\mu'^a}{\sqrt{g_4^2 + g_3^2}}$$

$$Z'_\mu = \frac{g_4 H_\mu^{15} - \sqrt{\frac{2}{3}} g_1 B'_\mu}{\sqrt{g_4^2 + \frac{2}{3}g_1^2}}$$

$$M_{g'} = \frac{1}{\sqrt{2}} \sqrt{g_4^2 + g_3^2} v_3$$

$$M_{Z'} = \frac{1}{2} \sqrt{\frac{3}{2}} \sqrt{g_4^2 + \frac{2}{3}g_1^2} \sqrt{v_1^2 + \frac{1}{3}v_3^2}$$

Orthogonal field: **Gluon**

Orthogonal field: **Hypercharge**

[Georgi, Nakai],  
1606.05865  
[Diaz, Schmaltz,  
Zhong],  
1706.05033

	Field	$SU(4)$	$SU(3)'$	$SU(2)_L$	$U(1)'$	
Fermions	$q_L^{i'}$	1	3	2	1/6	<ul style="list-style-type: none"> <li>• Would-be SM fermions in the absence of mixing with <math>\Psi</math></li> <li>• Three copies</li> </ul>
	$u_R^{i'}$	1	3	1	2/3	
	$d_R^{i'}$	1	3	1	-1/3	
	$\ell_L^{i'}$	1	1	2	-1/2	
	$e_R^{i'}$	1	1	1	-1	
	$\Psi_L^i$	4	1	2	0	<ul style="list-style-type: none"> <li>• Three (min. two) copies of vector-like fermions</li> </ul>
	$\Psi_R^i$	4	1	2	0	
Scalars	$H$	1	1	2	1/2	
	$\Omega_3$	$\bar{4}$	3	1	1/6	
	$\Omega_1$	$\bar{4}$	1	1	-1/2	

$$\Psi_{L,R} = (Q'_{L,R}, L'_{L,R})^T$$

Large left-handed mixing matrix  
[Explains the dominance of left-handed interactions at low energies]

In the interaction basis

$$\begin{aligned}
 \mathcal{L}_L \supset & \frac{g_4}{\sqrt{2}} \bar{Q}'_L \gamma^\mu L'_L U_\mu + \text{h.c.} \\
 & + \frac{g_4 g_s}{g_3} \left( \bar{Q}'_L \gamma^\mu T^a Q'_L - \frac{g_3^2}{g_4^2} \bar{q}'_L \gamma^\mu T^a q'_L \right) g_\mu^{'a} \\
 & + \frac{1}{6} \frac{\sqrt{3} g_4 g_Y}{\sqrt{2} g_1} \left( \bar{Q}'_L \gamma^\mu Q'_L - \frac{2g_1^2}{3g_4^2} \bar{q}'_L \gamma^\mu q'_L \right) Z'_\mu \\
 & - \frac{1}{2} \frac{\sqrt{3} g_4 g_Y}{\sqrt{2} g_1} \left( \bar{L}'_L \gamma^\mu L'_L - \frac{2g_1^2}{3g_4^2} \bar{\ell}'_L \gamma^\mu \ell'_L \right) Z'_\mu
 \end{aligned}$$

Suppressed couplings to light generations in the limit  $g_4 \gg g_1, g_3$

# '4321' model for $B$ -anomalies

[Di Luzio, AG, Nardecchia],  
Phys.Rev. D96 (2017)  
115011

Yukawa sector

$$\mathcal{L}_Y \supset -\bar{q}'_L Y_d H d'_R - \bar{q}'_L Y_u \tilde{H} u'_R - \bar{\ell}'_L Y_e H e'_R \\ - \bar{q}'_L \lambda_q \Omega_3^T \Psi_R - \bar{\ell}'_L \lambda_\ell \Omega_1^T \Psi_R - \bar{\Psi}_L M \Psi_R + \text{h.c.}$$

Flavour symmetry (ignoring Yukawa)

$$U(3)_{q'} \times U(3)_{u'} \times U(3)_{d'} \times U(3)_{\ell'} \times U(3)_{e'} \\ \times U(n_\Psi)_{\Psi_L} \times U(n_\Psi)_{\Psi_R}$$

$$n_\Psi \geq 2$$

To fit  $B$ -anomalies  
(FPP bound)

**Down-quark alignment (II)**

$$U(3)_{d'} \equiv U(3)_{\Psi_L} \equiv U(3)_{\Psi_R}$$

One spurion  $Y_d \propto \lambda_q$

**Down-quark alignment (I)**

$$\mathcal{M}_d = \begin{pmatrix} \frac{v}{\sqrt{2}} Y_d^{\text{diag}} & \frac{v_3}{\sqrt{2}} \lambda_q \\ 0 & M^{\text{diag}} \end{pmatrix}$$

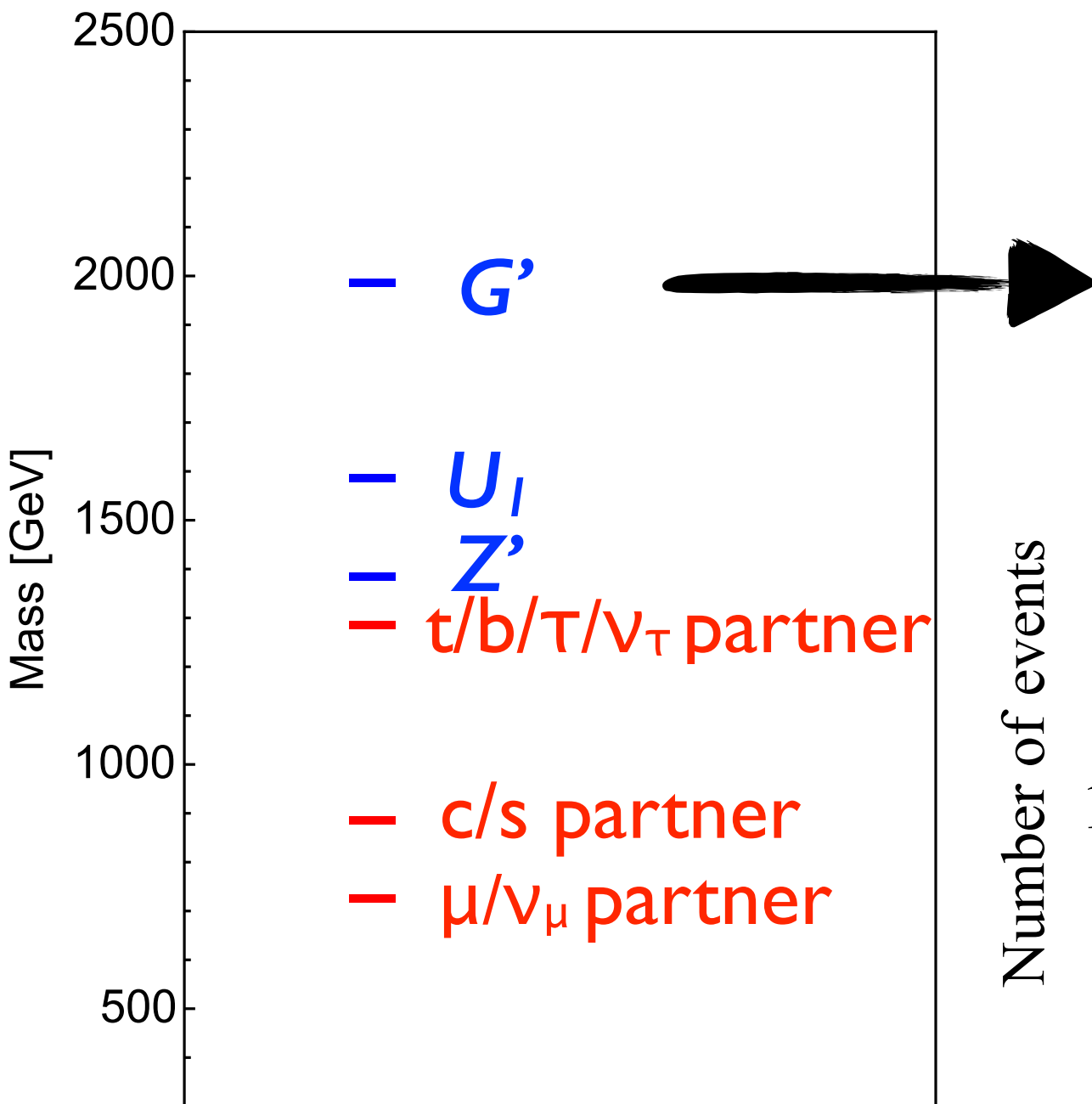
$$\lambda_q = \begin{pmatrix} 0 & 0 \\ \lambda_q^s & 0 \\ 0 & \lambda_q^b \end{pmatrix} \quad |\lambda_q^s| \ll |\lambda_q^b|$$

In the interaction basis

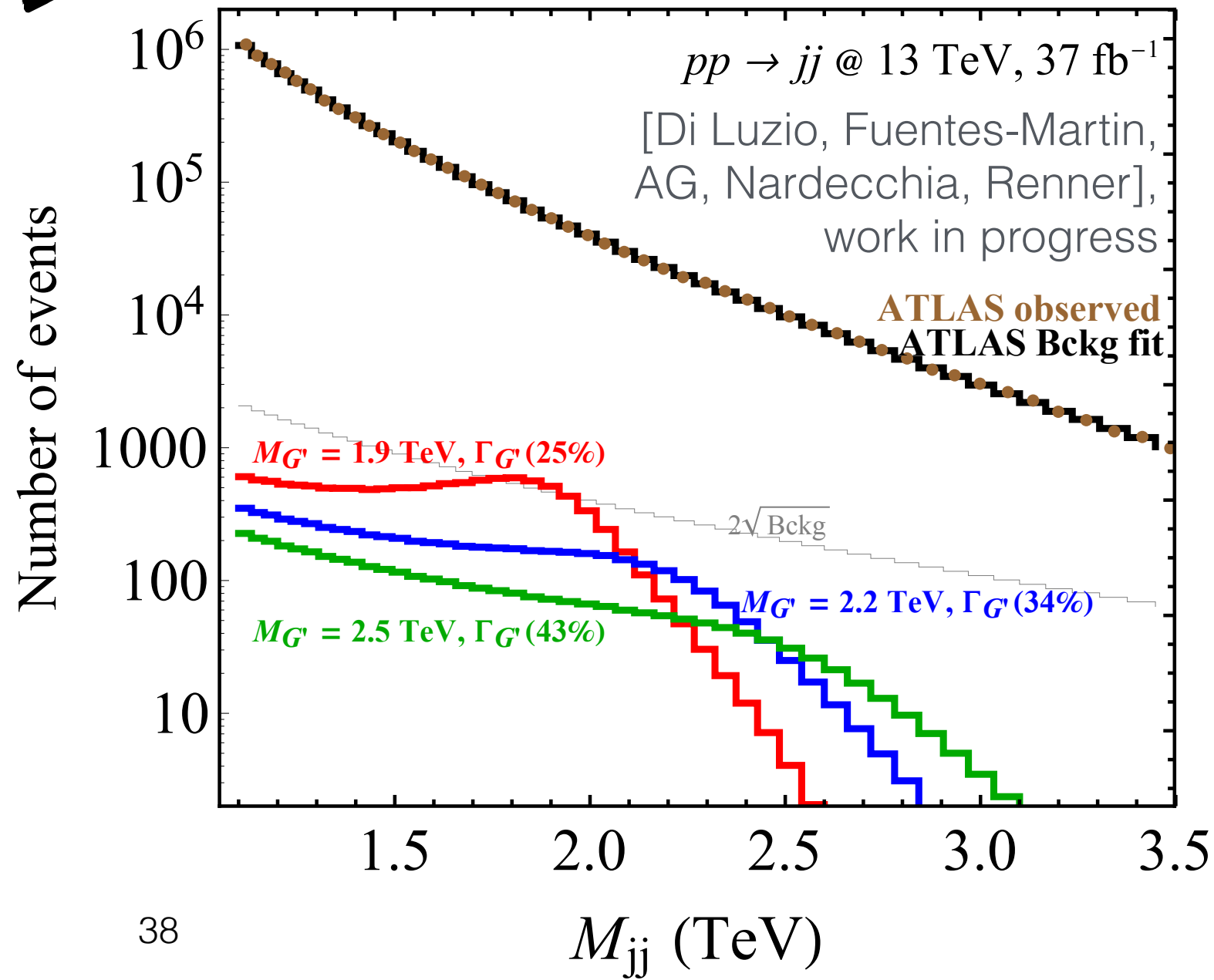
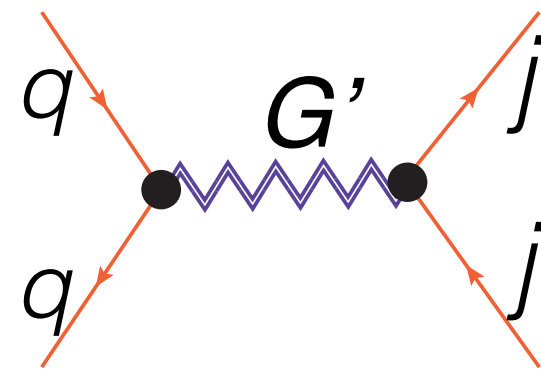
**Absence of tree-level  
FCNC in the down-  
sector due to  $Z'$  and  $G'$**

# '4321': High $p_T$ phenomenology

## Benchmark: Spectrum



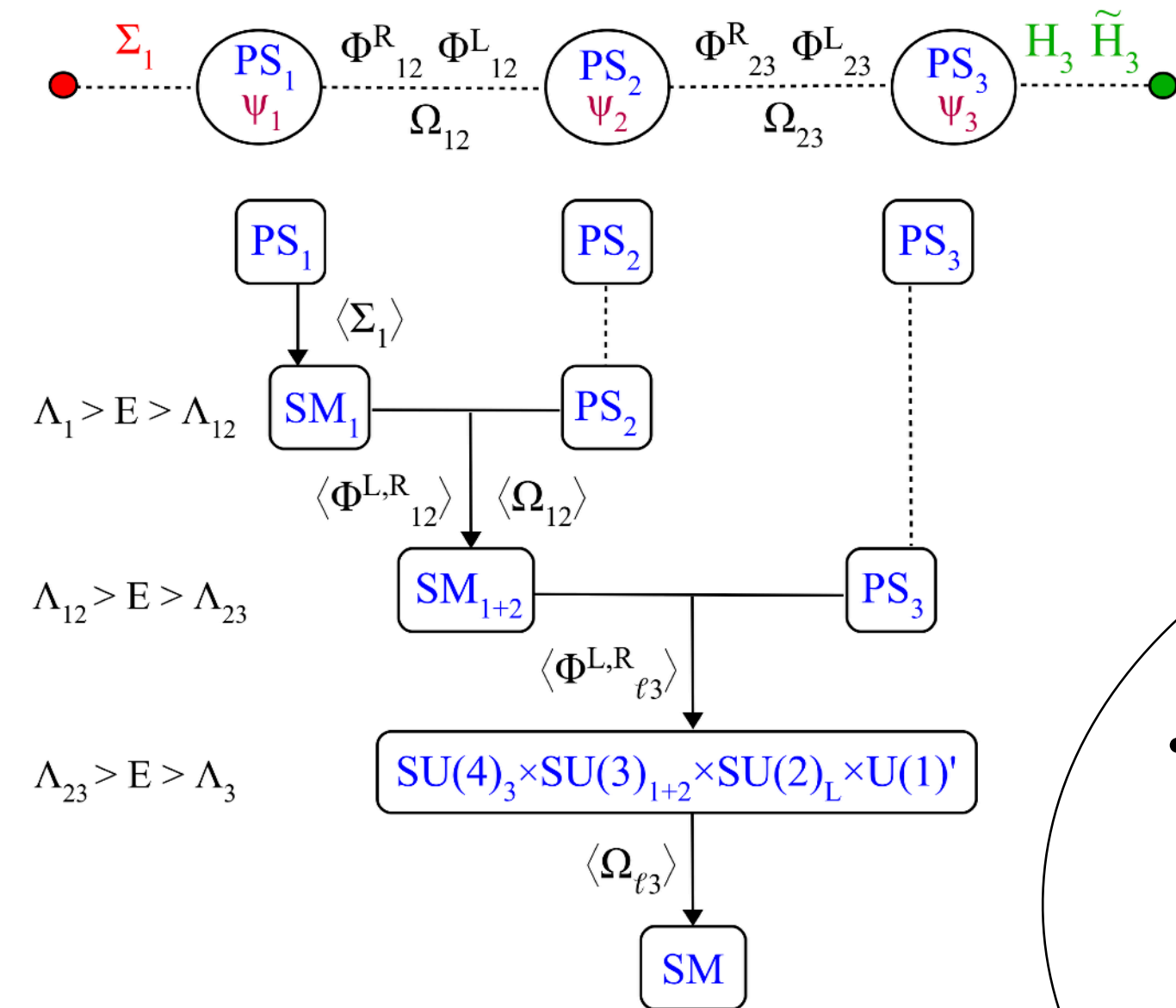
## Colour octet vector



**Around corner?**

# Flavour anomalies & hierarchies in one shot?

[Bordone, Cornella, Fuentes-Martin, Isidori], 1712.01368

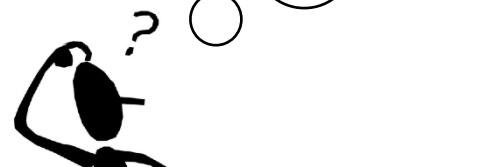


- One PS group for each family
- SM Yukawa from hierarchical VEVs of the link fields
- '4321'-like at low-energies

## Lessons

- Family non-universality literally understood
- Resurrection of right-handed currents in R(D<sup>(\*)</sup>)

$$\Delta R_D^{\tau\ell} \approx 5/2 \times \Delta R_{D^*}^{\tau\ell}$$



# Alternative 432 I

- Toy model to study low-energy phenomenology of  $PS^3$

Gauge symmetry and breaking  
as in [Di Luzio, AG, Nardecchia]

$$G \equiv SU(4) \times SU(3)' \times SU(2)_L \times U(1)'$$

$$\downarrow \langle \Omega_3 \rangle, \langle \Omega_1 \rangle$$

$$G_{\text{SM}} \equiv SU(3)_c \times SU(2)_L \times U(1)_Y$$

Slightly different fermion content

**1st & 2nd  
family**

Chiral

$$(q'_L, \ell'_L, u'_R, d'_R, e'_R)$$

SM-like **321** charges

**3rd family**

Chiral

$$(\mathbf{4}, \mathbf{1}, \mathbf{2}, 0) \quad (\Psi_L)^T = (Q'^3_L \quad L'^3_L)$$

$$(\mathbf{4}, \mathbf{1}, \mathbf{1}, 1/2) \quad (\Psi_R^u)^T = (u'^3_R \quad \nu'^3_R)$$

$$(\mathbf{4}, \mathbf{1}, \mathbf{1}, -1/2) \quad (\Psi_R^d)^T = (d'^3_R \quad e'^3_R)$$

Dynamical fields of  $PS^3$  at the TeV-scale

**Vector-like  
fermion**

$$\chi_{L,R} \quad (\mathbf{4}, \mathbf{1}, \mathbf{2}, 0)$$

**Gauge singlets**

$$\text{Right-chiral} \quad \mathcal{S}_R^a$$

Extra matter



# Alternative 432 I

- Toy model to study low-energy phenomenology of PS<sup>3</sup>

## **CKM structure** [without link fields]

SM-like Yukawa terms for light and 3rd family separately

$$\mathcal{L}_\chi \supset -\bar{q}'_L \lambda_q \Omega_3^T \chi_R - \bar{\ell}'_L \lambda_\ell \Omega_1^T \chi_R \quad \rightarrow \quad -\frac{c^u}{\Lambda} \bar{q}'_L \Omega_3 \tilde{H} \Psi_R^u \quad \text{etc.}$$

$$-\bar{\chi}_L M \chi_R - \lambda_u \bar{\chi}_L \tilde{H} \Psi_R^u - \lambda_d \bar{\chi}_L H \Psi_R^d$$

**1-3 and 2-3 mixing!**

## **Neutrino mass problem** EW Higgses: $H=(1,1,\mathbf{2},1/2)$ $\Phi=(\mathbf{15},1,\mathbf{2},1/2)$

$$\mathcal{L}_3 = -y_H^u \bar{\Psi}_L \tilde{H} \Psi_R^u - y_H^d \bar{\Psi}_L H \Psi_R^d - y_\Phi^u \bar{\Psi}_L \tilde{\Phi}^\alpha T^\alpha \Psi_R^u - y_\Phi^d \bar{\Psi}_L \Phi^\alpha T^\alpha \Psi_R^d$$

- Top quark and tau neutrino masses of the same order?

Elegant solution

### **Inverse see-saw**

$$\mathcal{L}_\nu = -\frac{1}{2} \mu_S^{ab} \bar{\mathcal{S}}_a^c \mathcal{S}_b - \frac{1}{2} \kappa_{S\Psi}^a \Omega_1 \bar{\mathcal{S}}_a^c \Psi_R^u - \frac{1}{2} M_{S\nu}^{ai} \bar{\mathcal{S}}_a^c \nu_R^i$$

**PMNS non-unitarity <> B-anomalies**