

# Nuclear Physics and the Origin of Heavy Elements

**Jorge Pereira**

National Superconducting Cyclotron Laboratory, MSU, USA  
Joint Institute for Nuclear Astrophysics, USA



JINA-CEE



- **Introduction**
  - **Observational signatures of synthesis of Heavy (r-) nuclei**
  - **Heavy and light r-nuclei**
- **Neutron-Star Mergers**
  - **Nucleosynthesis**
  - **Observational signatures**
  - **Sensitivity to Nuclear physics**
- **Neutrino-driven winds in CCSNe**
  - **Synthesis of light r-nuclei**
  - **Sensitivity to Nuclear Physics**  
**( $\alpha, n$ ) reactions**
  - **Experiments**
- **Outlook**

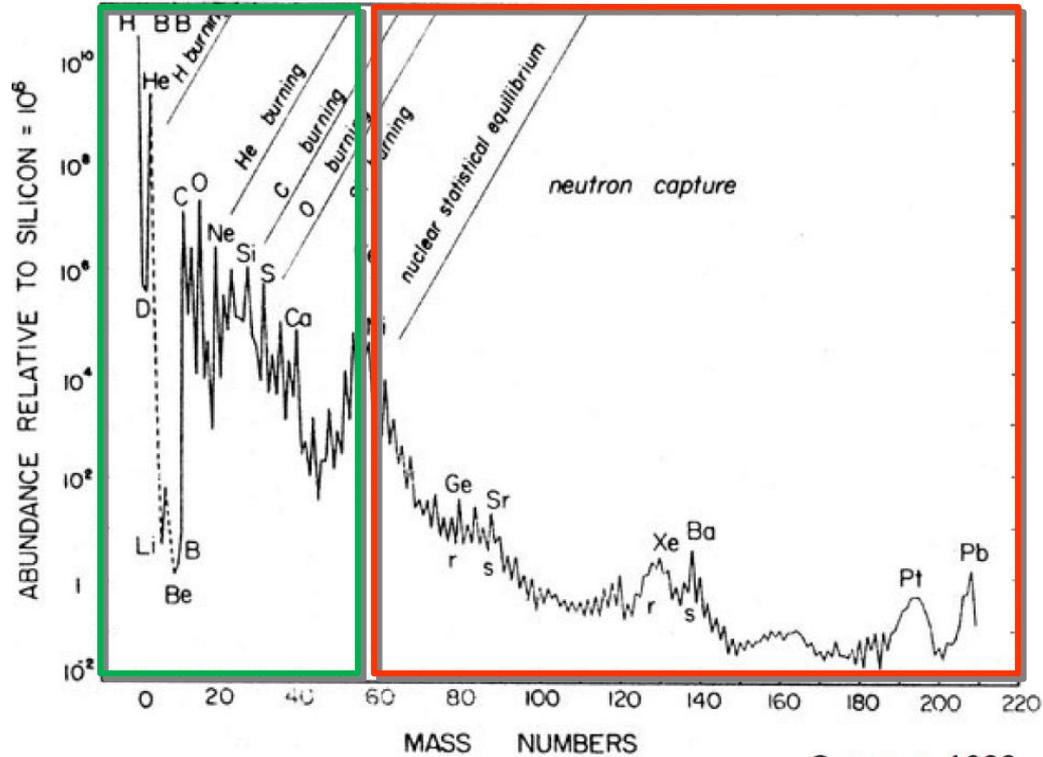


JINA-CEE

# Nucleosynthesis of Heavy Elements



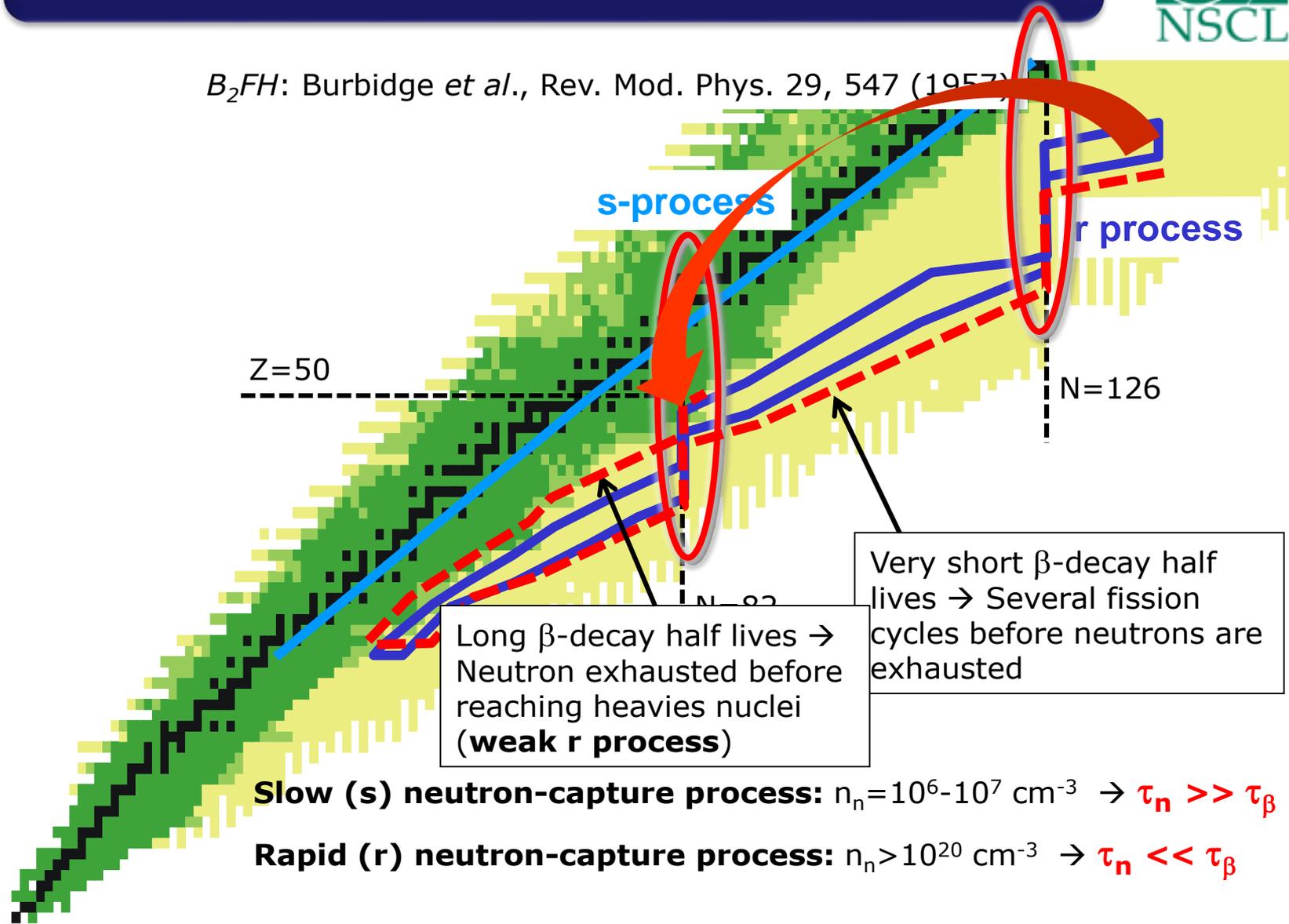
- Up to iron, most of the elements that we observe are produced by nuclear burning (fusion reactions) during stellar evolution
- Heavier elements (beyond iron) are produced by neutron captures in existing lighter "seed" nuclei



Cameron 1982

# Nucleosynthesis of heavy elements

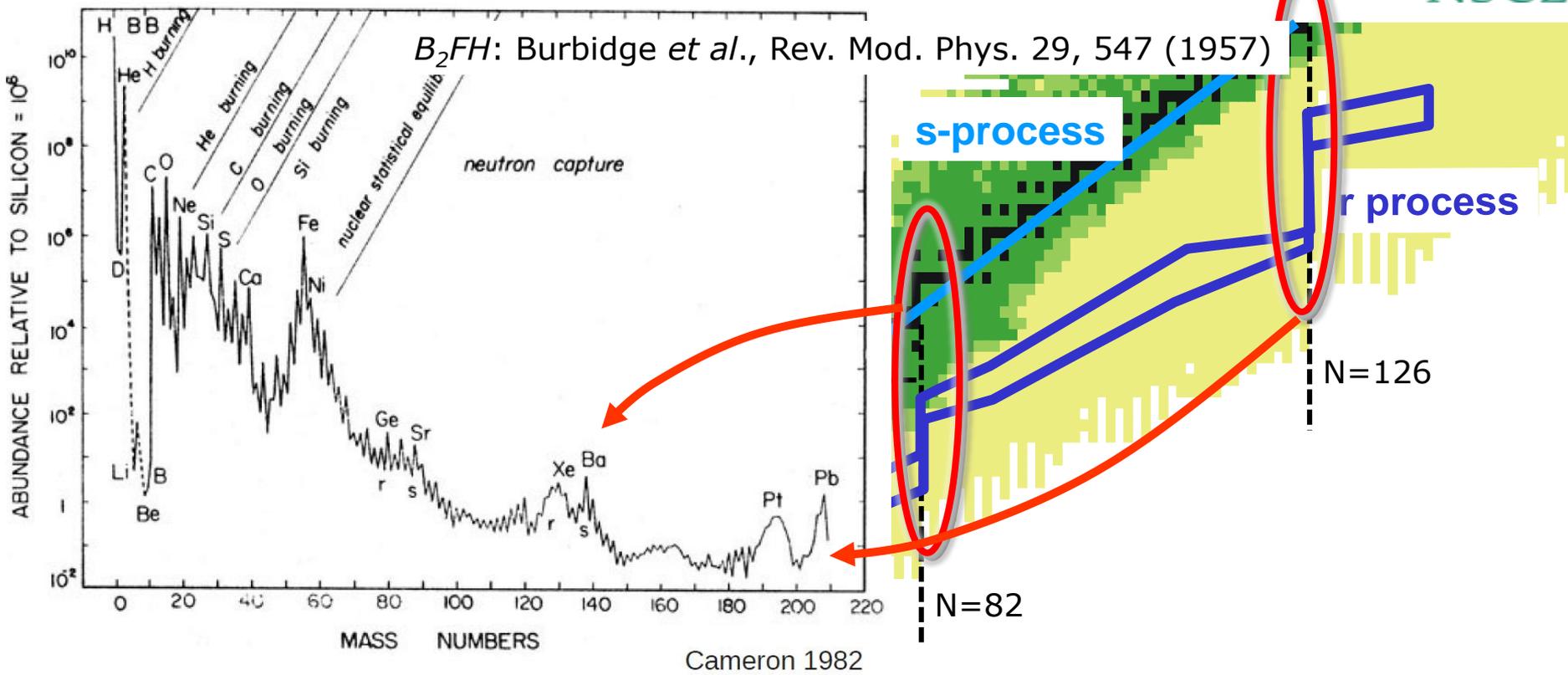
*B<sub>2</sub>FH*: Burbidge *et al.*, Rev. Mod. Phys. 29, 547 (1957)





JINA-CEE

# Nucleosynthesis of heavy elements

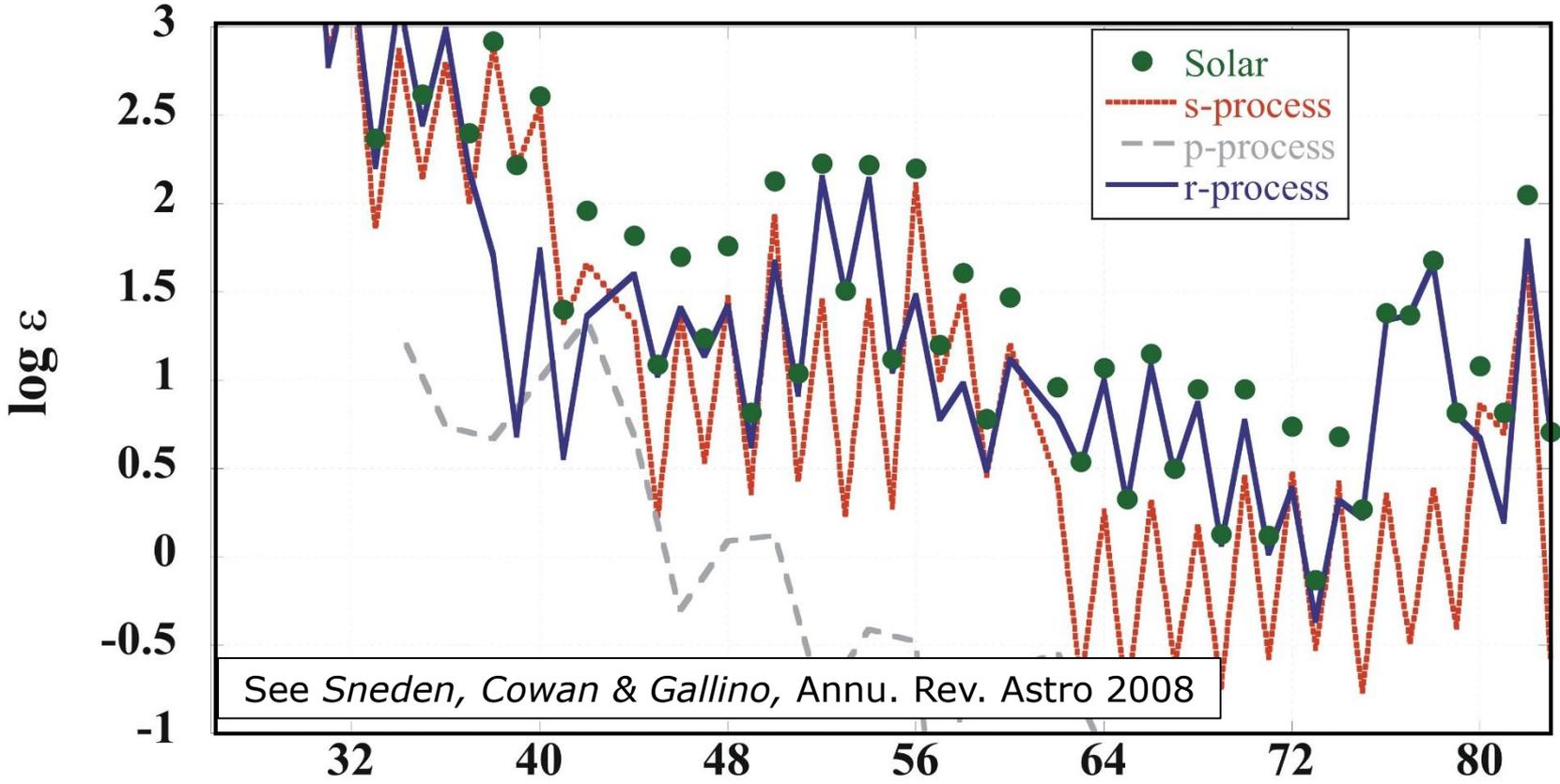


**Slow (s) neutron-capture process:**  $n_n = 10^6 - 10^7 \text{ cm}^{-3} \rightarrow \tau_n \gg \tau_\beta$

**Rapid (r) neutron-capture process:**  $n_n > 10^{20} \text{ cm}^{-3} \rightarrow \tau_n \ll \tau_\beta$

# Observed Solar-System Heavy-Element abundances

$r$  nuclei  $\approx$  "leftovers" (Solar - s)

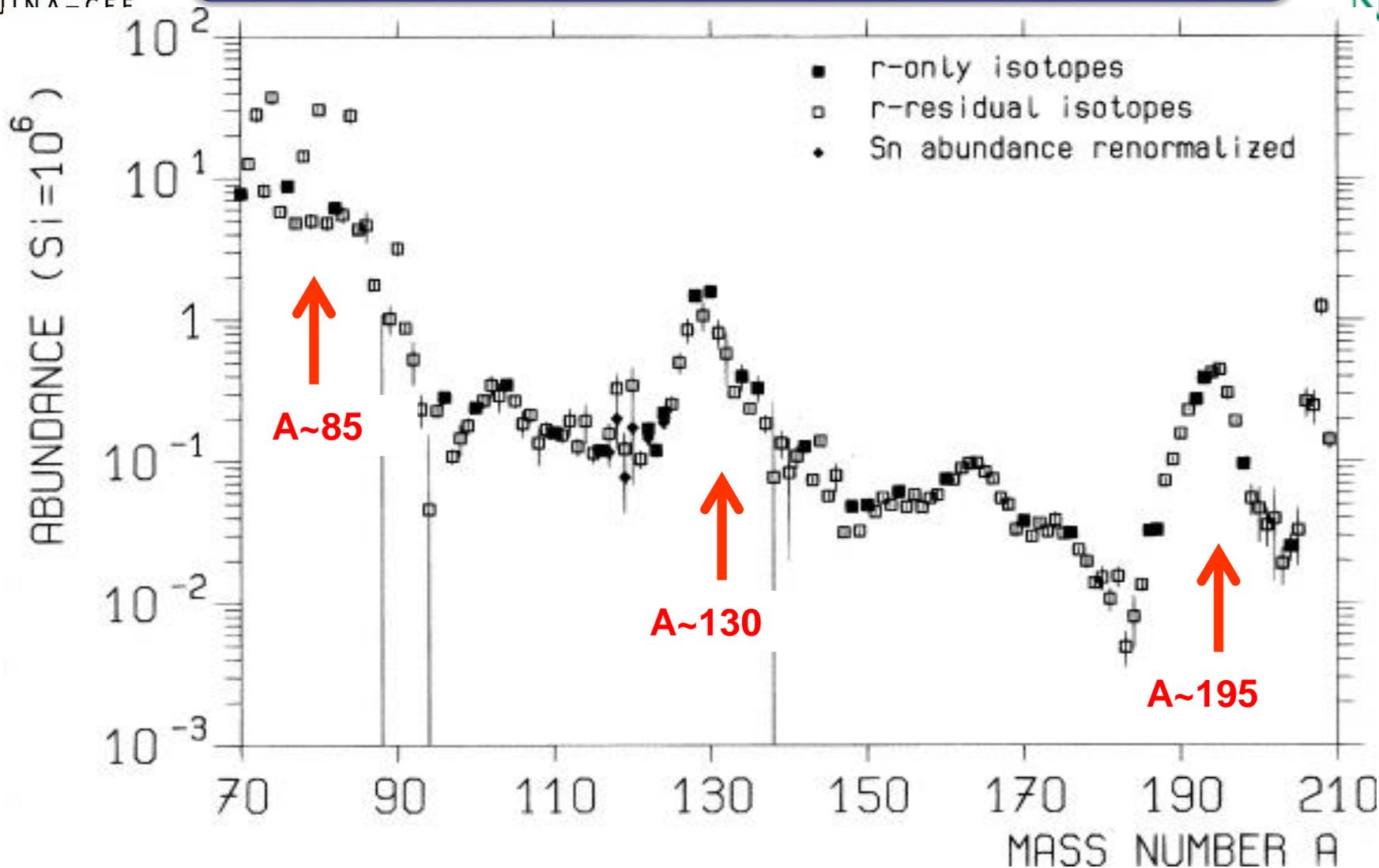


See Sneden, Cowan & Gallino, Annu. Rev. Astro 2008

$\log \epsilon = \log_{10} (Y_{el}/Y_H) + 12$

$Z$

# Observed Solar-System Heavy-Element abundances



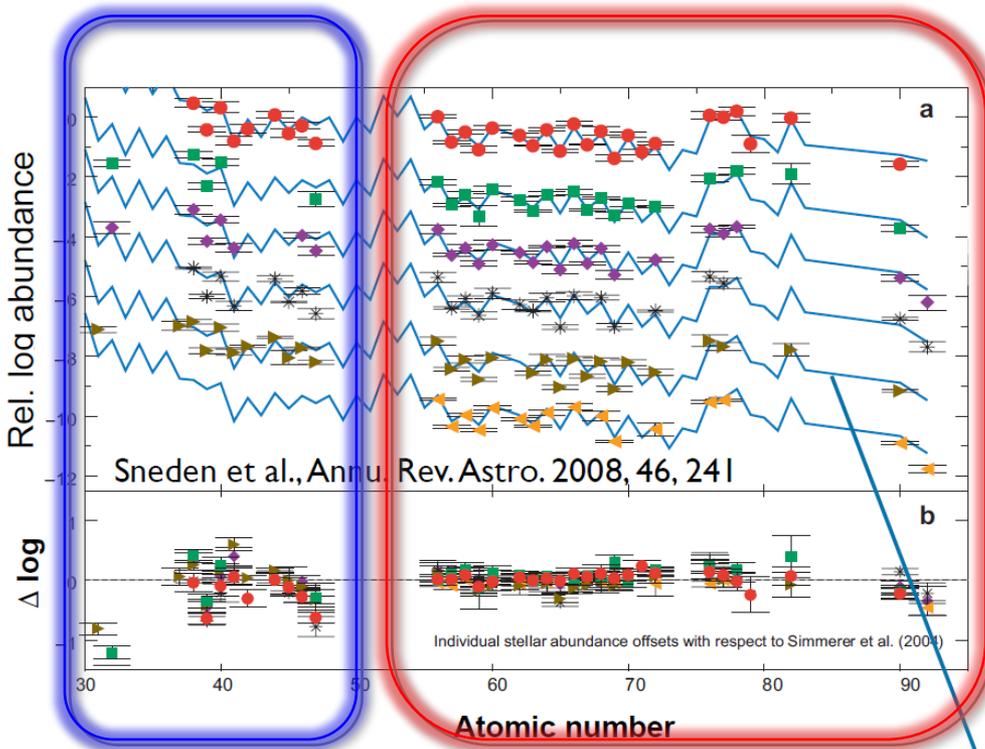


JINA-CEE

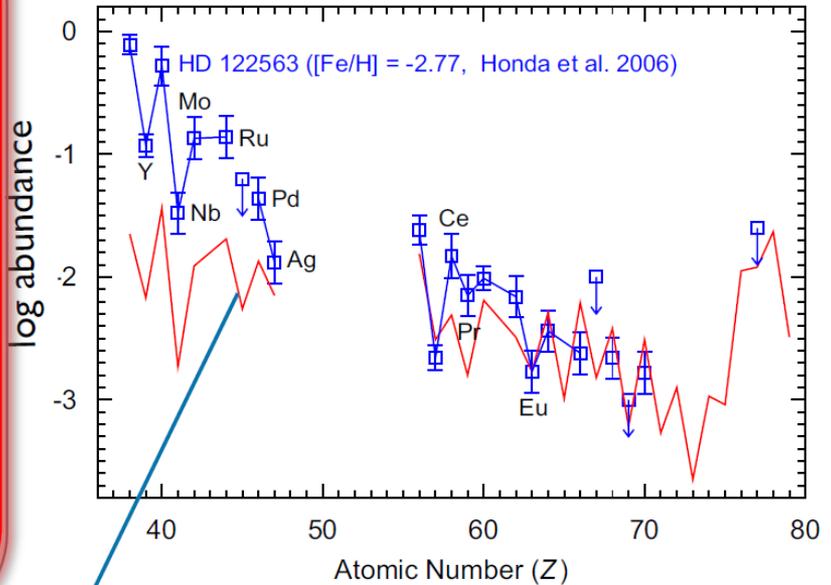
# Observational signatures of the non-s process (r nuclei)



- Extremely robust pattern is found for elements  $Z > 56$  when comparing abundances in Solar System and very old metal-poor r-process stars ( $[Fe/H] < -2$ ,  $[Eu/Fe] > 0.5$ )  $\rightarrow$  Very robust process
- Scattered pattern for  $38 < Z < 47$ . Not-so-robust process



Hansen, Montes & Arcones, ApJ 2014



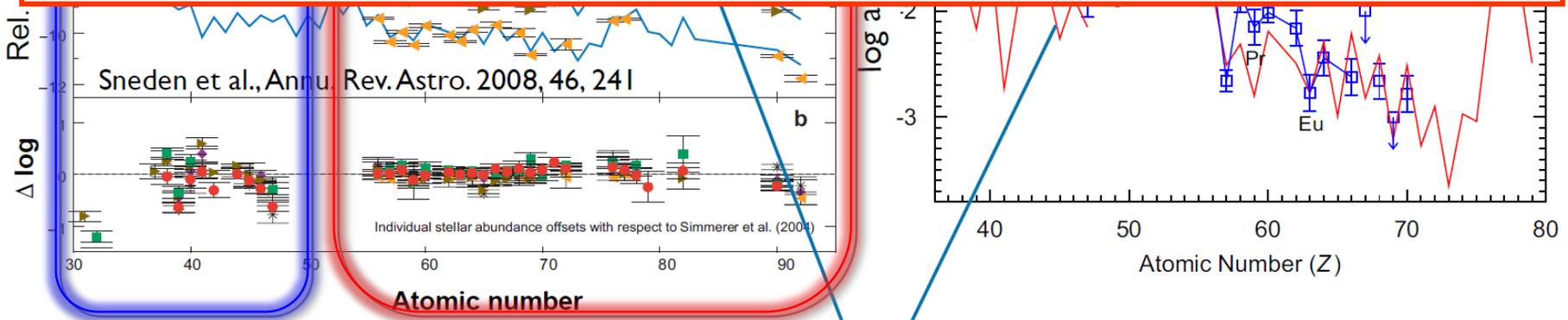
solar r-process contribution: full r-process

# Observational signatures of the non-s process (r nuclei)

- Extremely robust pattern is found for elements  $Z > 56$  when comparing abundances in Solar System and very old metal-poor r-process stars ( $[Fe/H] < -2$ ,  $[Eu/Fe] > 0.5$ ) → Very robust process
- Scattered pattern for  $38 < Z < 47$ . Not-so-robust process

1) **H-component** ( $Z > 56$ ) is produced by a very robust process (**main r-process**) → **Neutron Star Mergers**

2) **L-component** ( $38 < Z < 47$ ) might be produced by a mixture of main r process and something else, or by different processes (e.g.  **$\alpha$  process**) → **Neutrino-driven Winds**

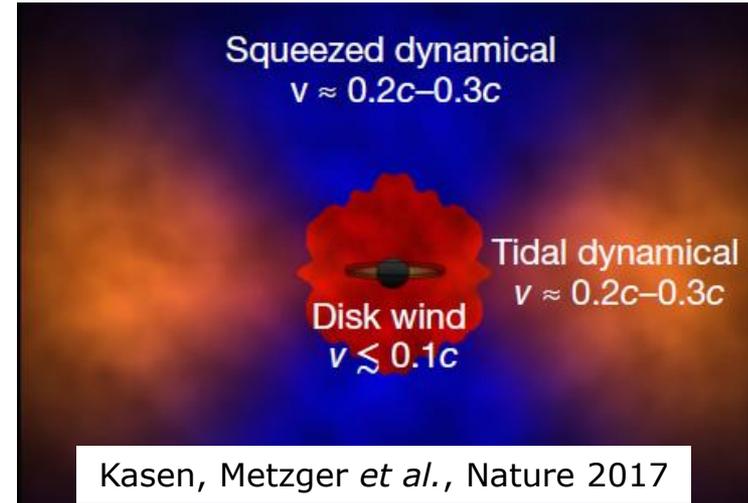
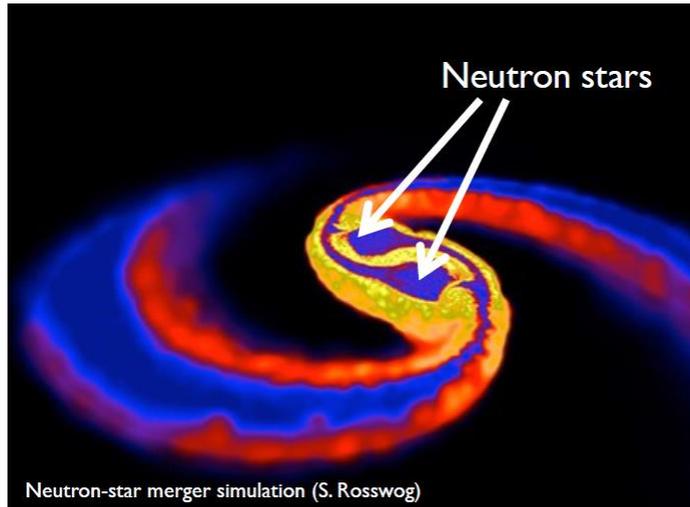


solar r-process contribution: full r-process

- **Introduction**
  - **Observational signatures of synthesis of Heavy (r-) nuclei**
  - **Heavy and light r-nuclei**
- **Neutron-Star Mergers**
  - **Nucleosynthesis**
  - **Observational signatures**
  - **Sensitivity to Nuclear physics**
- **Neutrino-driven winds in CCSNe**
  - **Synthesis of light r-nuclei**
  - **Sensitivity to Nuclear Physics**  
**( $\alpha, n$ ) reactions**
  - **Experiments**
- **Outlook**

# Nucleosynthesis in Neutron Star Mergers

Lattimer *et al.*, ApJ 1977; Freiburghaus *et al.*, ApJ 1999



## Tidal dynamical ejection (equatorial-plane emission)

- $Y_e \lesssim 0.1$ ,  $v \sim 0.1-0.3 c$ ,  $M_{ej} \sim 10^{-4}-10^{-2} M_{\odot}$
- Fission Cycling  $\rightarrow$  Very robust pattern for  $A \gtrsim 130$  (main r-process)

## Shocked-interface dynamical ejection (polar-cone emission)

- $Y_e \gtrsim 0.3$ ,  $v \sim 0.1-0.3 c$ ,  $M_{ej} \sim 10^{-4}-10^{-2} M_{\odot}$
- Less neutron-rich matter (neutrino interactions)  $\rightarrow$  Light r-nuclei

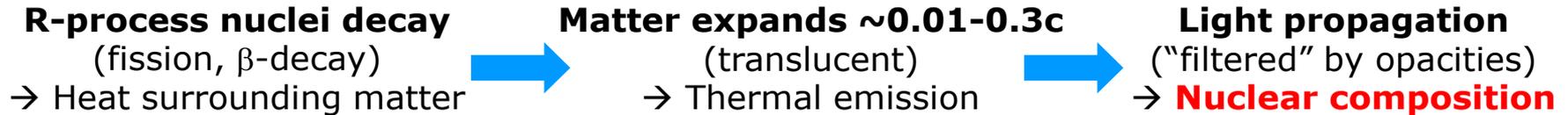
## Accretion disk outflows from central remnant (isotropical emission)

- $Y_e = 0.1-0.6$ ,  $v \sim 0.01-0.1 c$ ,  $M_{ej} \sim 10^{-2}-10^{-1} M_{\odot}$
- Sensitive to environment (e.g. masses of remnant)

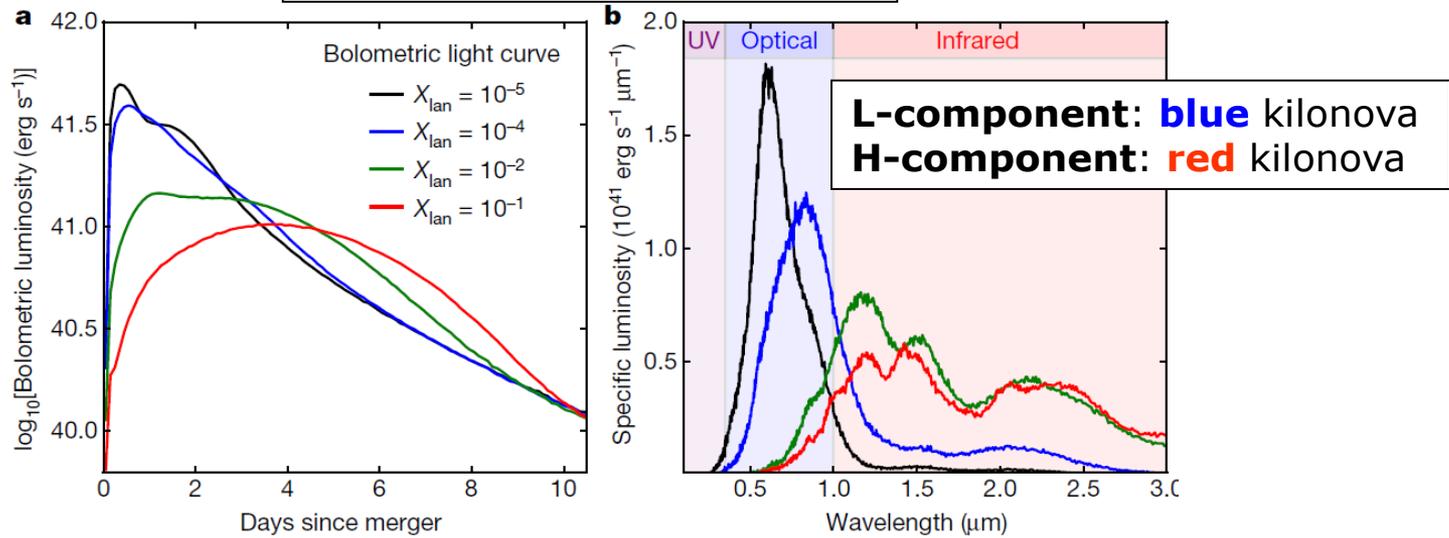
# Observation signatures. Kilonova



Li and Paczyński, ApJ 1998; Metzger *et al.*, MNRAS 2010



Kasen, Metzger *et al.*, Nature 2017



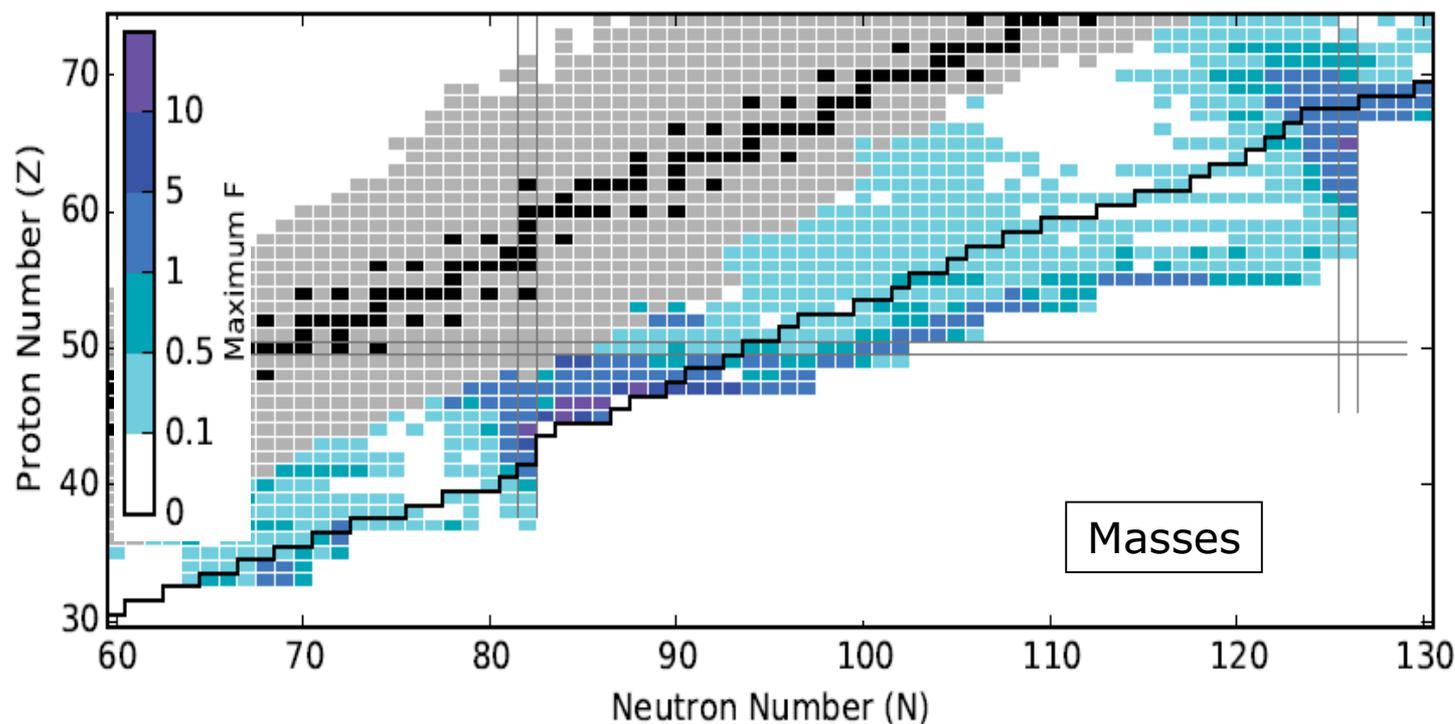
## August 2017: Observation of NS merger (GW170817 and GRB170817a) followed by a Kilonova (AT 2017gfo)

- First confirmation of synthesis process of **r Nuclei** in NS mergers
- Presence of L-component nuclei compatible with observations
- Conflicting results regarding presence of H-component (see Miller, Nature 2017)

# Nucleosynthesis in Neutron Star Mergers

$$F = 100 \times \sum_A A |Y(A) - Y_{baseline}(A)|$$

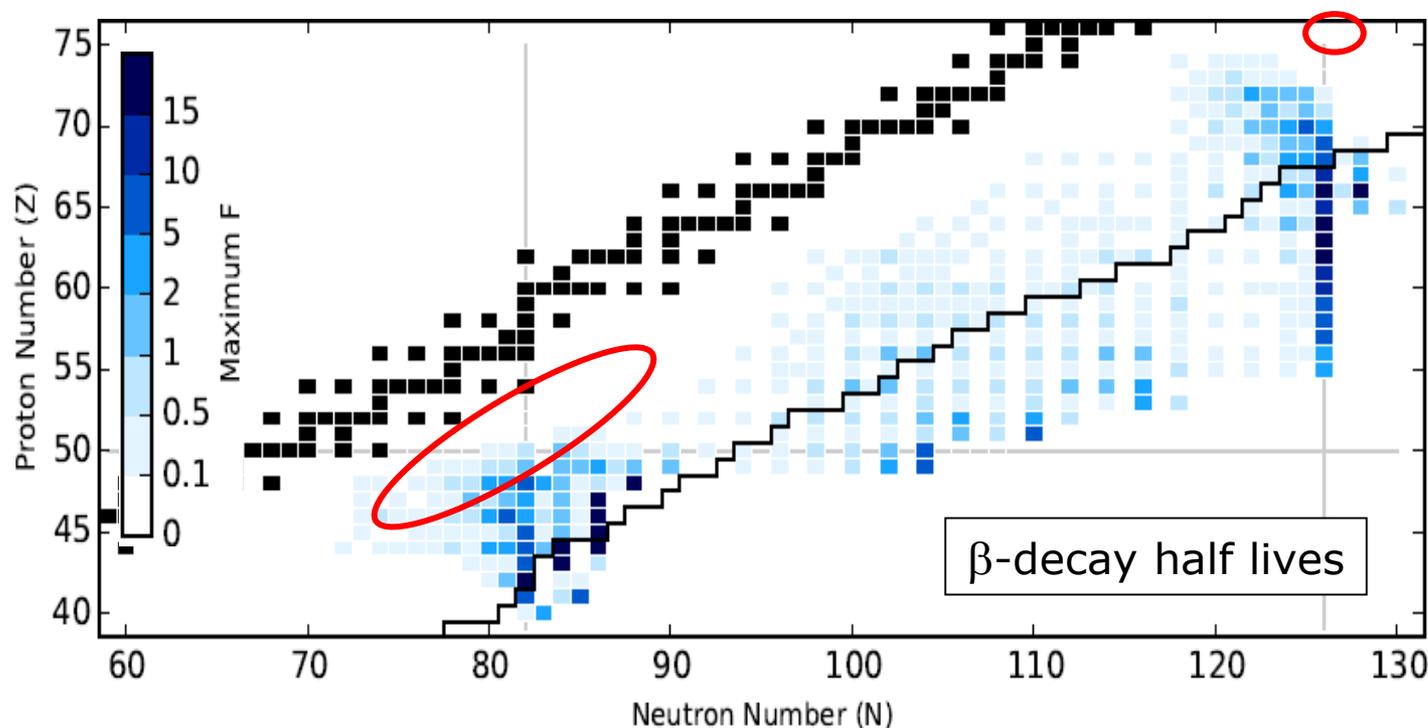
Mumpower, Surman, McLaughlin & Aprahamian, PPNP 2016



# Nucleosynthesis in Neutron Star Mergers

$$F = 100 \times \sum_A A |Y(A) - Y_{baseline}(A)|$$

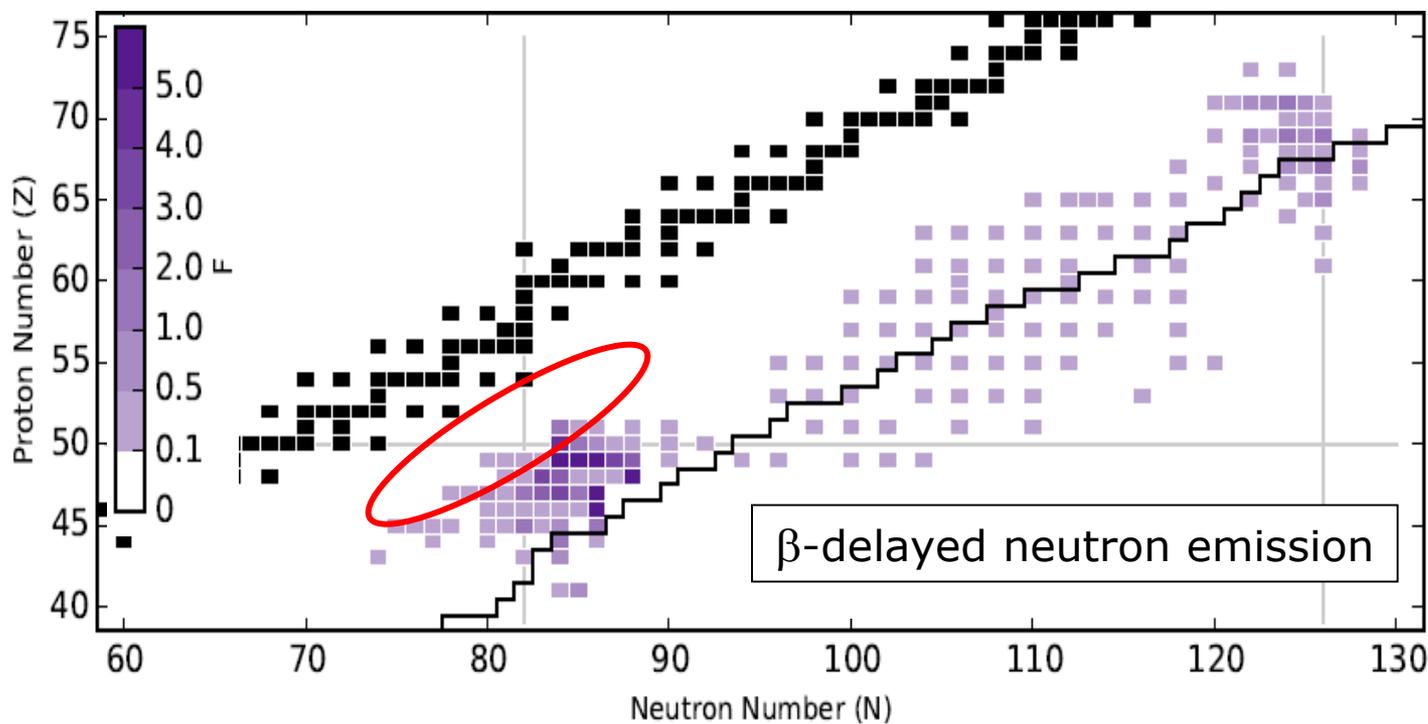
Mumpower, Surman, McLaughlin & Aprahamian, PPNP 2016



# Nucleosynthesis in Neutron Star Mergers

$$F = 100 \times \sum_A A |Y(A) - Y_{baseline}(A)|$$

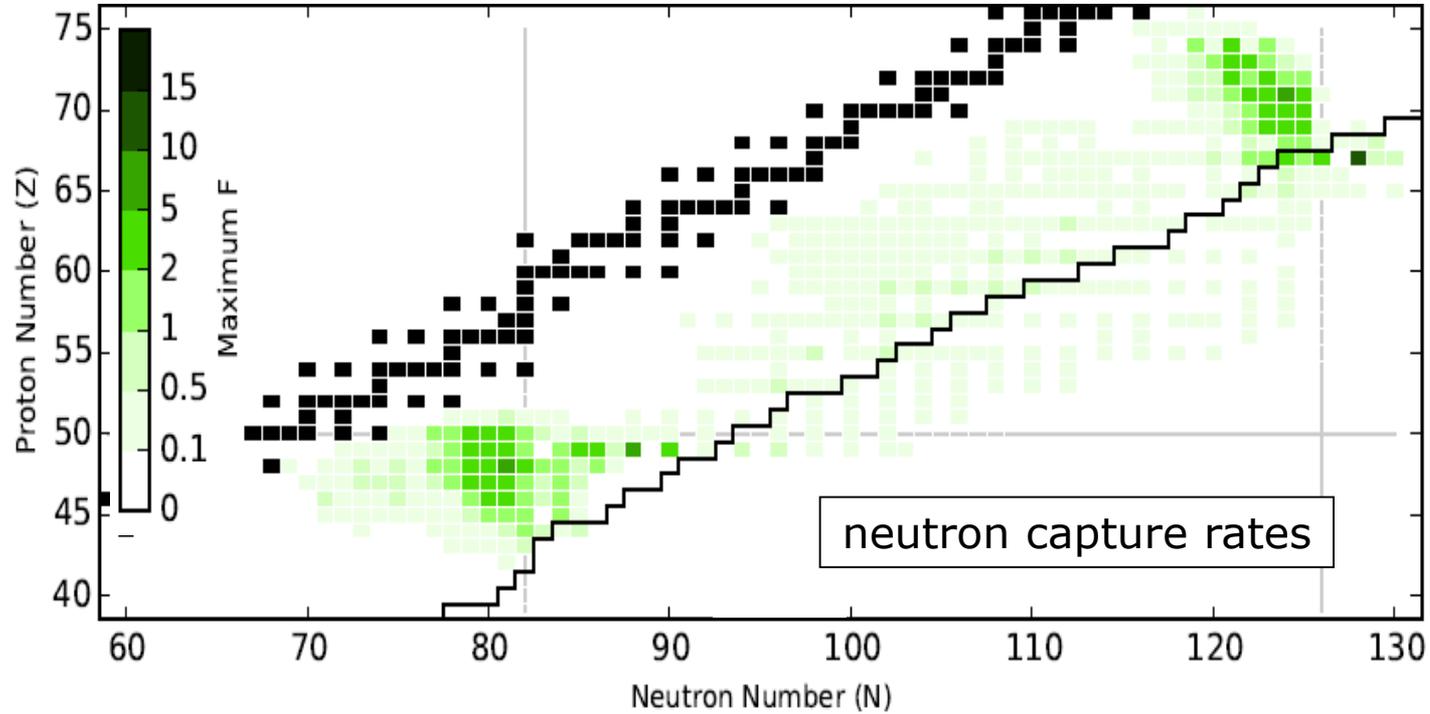
Mumpower, Surman, McLaughlin & Aprahamian, PNP 2016



# Nucleosynthesis in Neutron Star Mergers

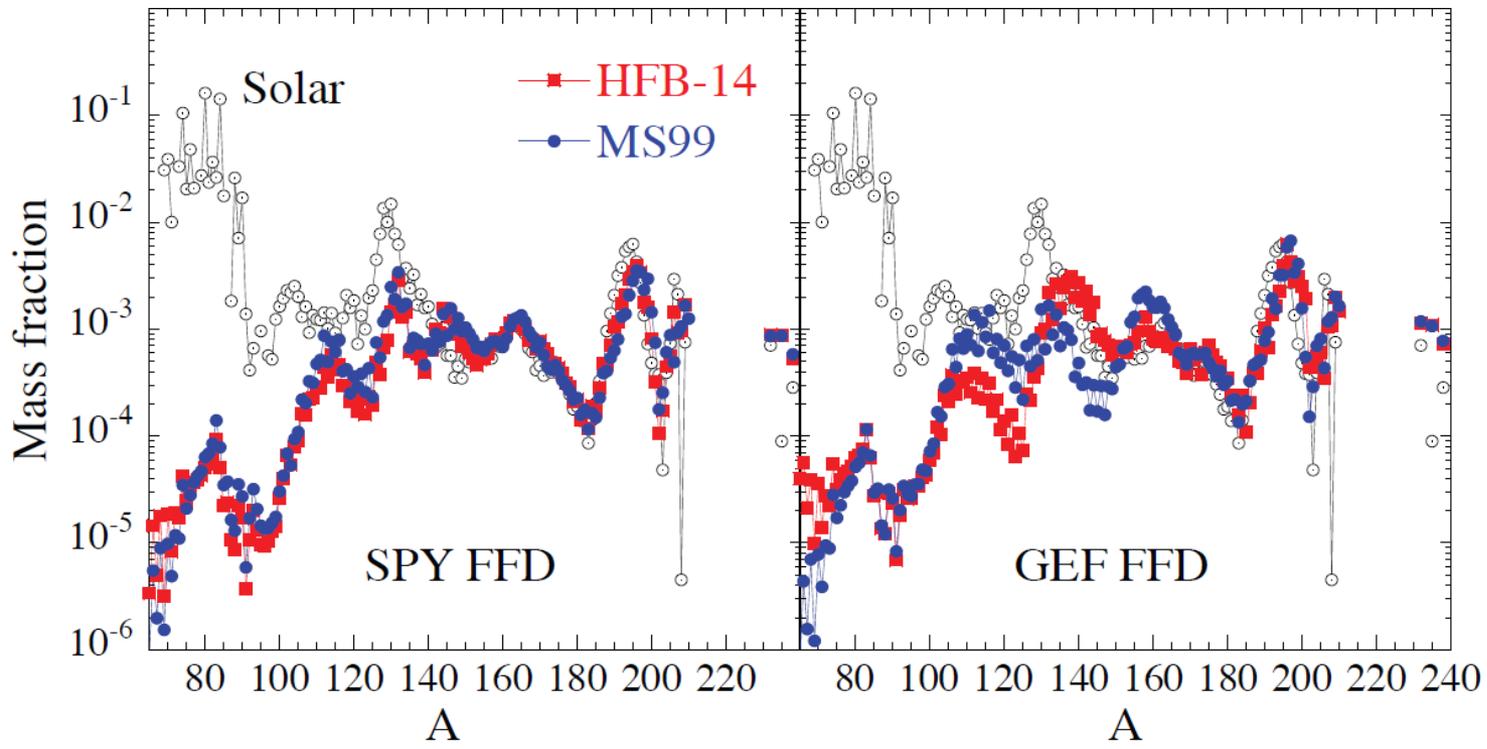
$$F = 100 \times \sum_A A |Y(A) - Y_{baseline}(A)|$$

Mumpower, Surman, McLaughlin & Aprahamian, PNP 2016



# Nucleosynthesis in Neutron Star Mergers

S. Goriely, J. Phys. Conf. Ser. 2016

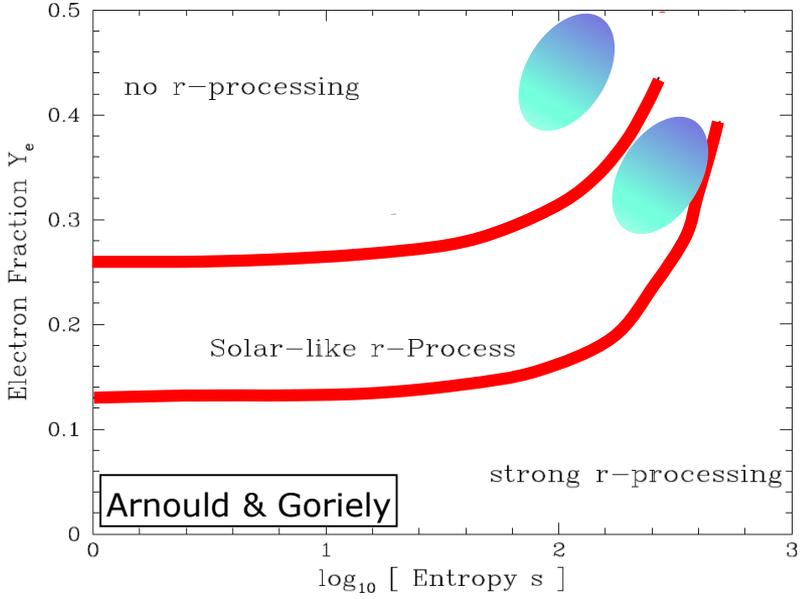
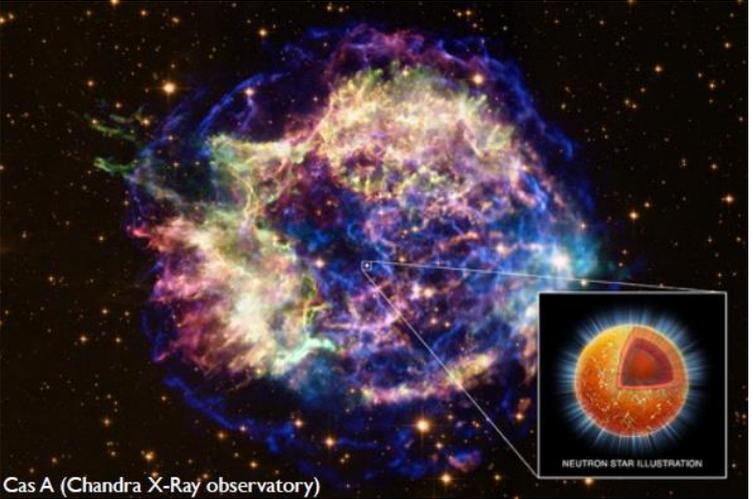


- **Introduction**
  - **Observational signatures of synthesis of Heavy (r-) nuclei**
  - **Heavy and light r-nuclei**
  
- **Neutron-Star Mergers**
  - **Nucleosynthesis**
  - **Observational signatures**
  - **Sensitivity to Nuclear physics**
  
- **Neutrino-driven winds in CCSNe**
  - **Synthesis of light r-nuclei**
  - **Sensitivity to Nuclear Physics**  
**( $\alpha, n$ ) reactions**
  - **Experiments**
  
- **Outlook**



JINA-CEE

# Nucleosynthesis in Core Collapse Supernovae neutrino-driven winds



### Woosley et al., ApJ 1994

"... the problem is in need of further study, but we are gratified to have found what seems to be the most promising site yet proposed for the production of the r-process elements"

### Witty et al., Astron. Astroph. 1994

"... the neutrino wind in core-collapse supernovae is a very promising site for the r-process nucleosynthesis [...], but much remains to be worked out"

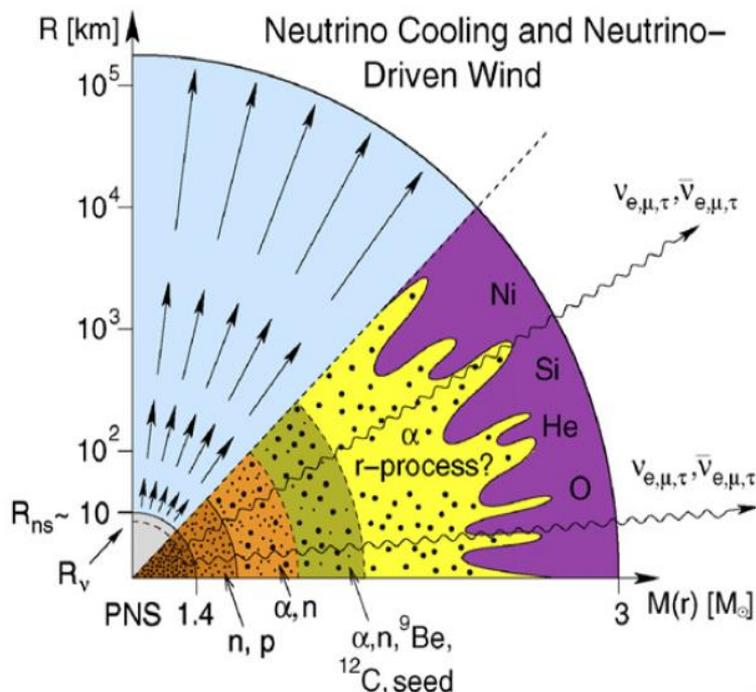
### Arcones, Janka, & Scheck, Astron. Astroph. 2007

"It is hard to see how this chaotic variability can allow for the robustness of environmental conditions needed for producing a uniform abundance pattern of high-mass r-process elements."

# Nucleosynthesis in neutrino-driven winds: The $\alpha$ process

Wind parameters  $S \sim 50-100 k_B/\text{nuc}$ ,  $Y_e < 0.5$ ,  $t_{\text{exp}} \sim 10 \text{ ms}$  [1]  $\rightarrow$   $\alpha$  process (+ weak r process)

[1] Arcones & Thielemann, JPG 2013



Janka *et al.*, Phys Rep. 442 (2007)

- Initial wind composition ( $T \approx 10 \text{ GK}$ ): neutrons, protons,  $\alpha$  (NSE)
- NSE breakdown ( $\alpha$ -rich freeze-out):  $\alpha$  particles recombine (e.g.  $3\alpha$  reaction)  $\rightarrow$  "seed" nuclei
- Fast expansion  $\rightarrow$  other CPR reactions ( $\alpha$  process):  $(n, \gamma)$ ,  $(\alpha, n)$ ,  $(p, n)$  (equilibrium with inverse,  $T \geq 4.5 \text{ GK}$ )
  - $(n, \gamma) \rightleftharpoons (\gamma, n)$  in equilibrium  $\rightarrow$  Isotopic composition
  - $(\alpha, n)$  ( $T < 4.5 \text{ GK}$ ) faster than  $(n, \alpha)$   $\rightarrow$  Increase  $Z$
  - $(\alpha, 1n)$  main "engine" driving matter to heavier  $Z$
  - Isotopic composition via  $(\alpha, xn)$  [only if  $(n, \gamma) \rightleftharpoons (\gamma, n)$  break equilibrium]
- At  $T \leq 1.5 \text{ GK}$  CPR freeze-out:  $\beta$  decay and  $(n, \gamma) \rightleftharpoons (\gamma, n) \rightarrow$  **weak r-process**

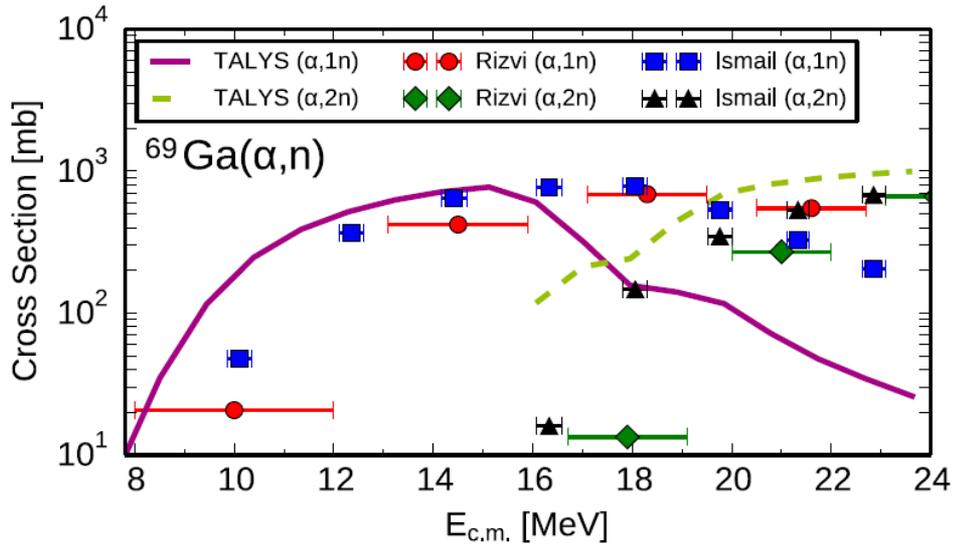
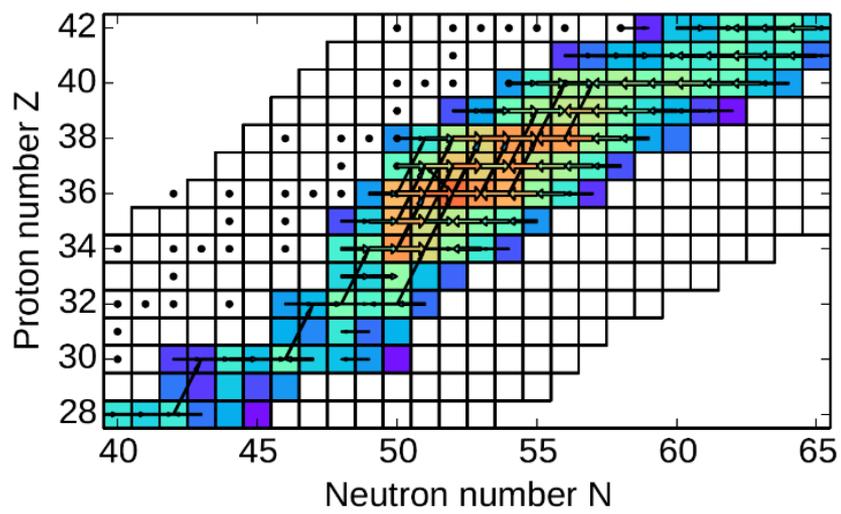


JINA-CEE

# Impact of $(\alpha, n)$ reaction rates in the synthesis of "light" r-elements



Bliss, Arcones, Montes & Pereira, J. Phys. G (2017)



- Abundance network calculations **are sensitive to  $(\alpha, n)$  rates**
- Experimentally unknown at  **$\alpha$ -process temperatures (1.5-4.5 GK)**  $\rightarrow$  Need to use **GLOBAL codes** based on Hauser-Feshbach model (e.g TALYS [1], NON-SMOKER [2])
- Calculated vs. measured rates (energies above  $\alpha$ -process T range) : differences  $\sim 5-10$
- What is the **theoretical uncertainty** of  $(\alpha, n)$  at  $\alpha$ -process temperatures **(1.5-4.5 GK)?**

[1] Koning *et al.*, NPA 2008

[2] Raucher *et al.*, PRC 1997

# Theoretical uncertainty of $(\alpha, n)$ reaction rates

- **Nuclear-physics inputs:** e.g. optical potentials, level densities, etc
- **Reaction mechanism:** mostly compound nucleus (Hauser-Feshbach). Others: direct, preequilibrium...
- **Technical aspects:** internal algorithms used

Our approach: study representative  $(\alpha, n)$  case for  $\alpha$  process, e.g.  $^{86}\text{Se}(\alpha, n)^{89}\text{Kr}$

Analyze sensitivity to nuclear-inputs and reaction mechanisms using TALYS

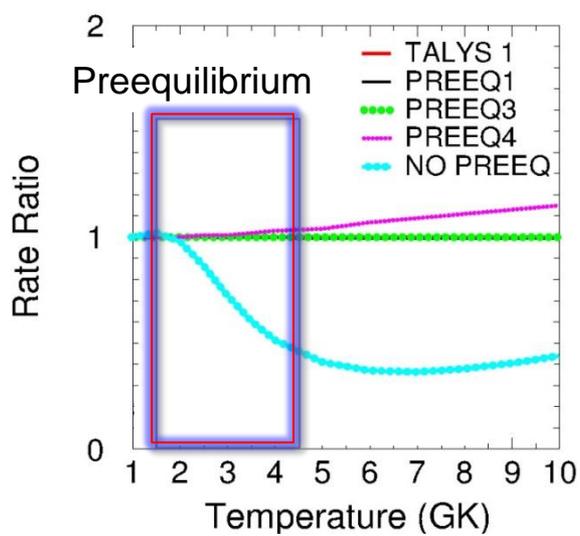
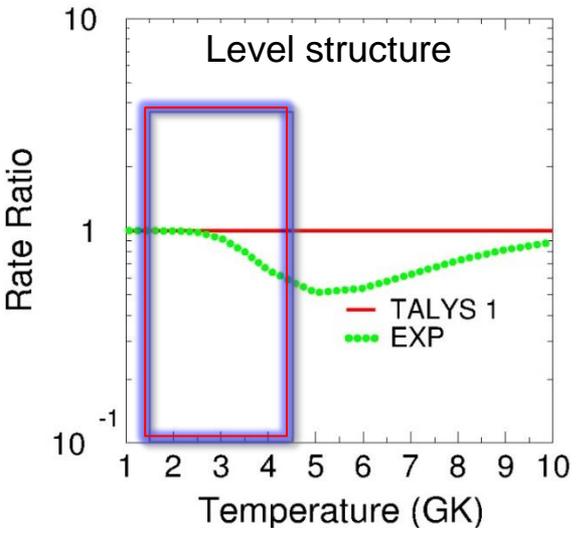
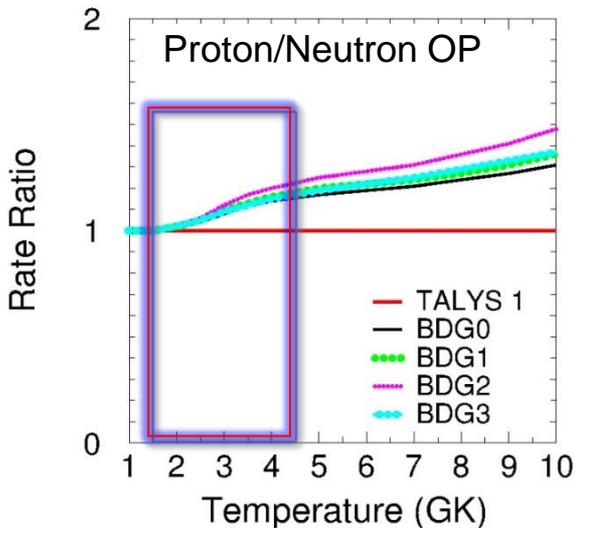
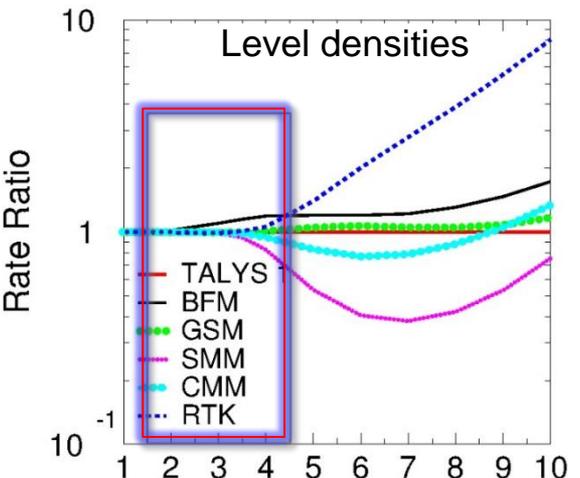
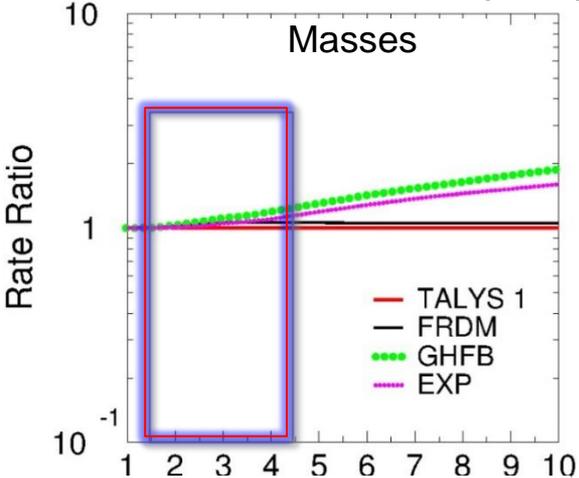
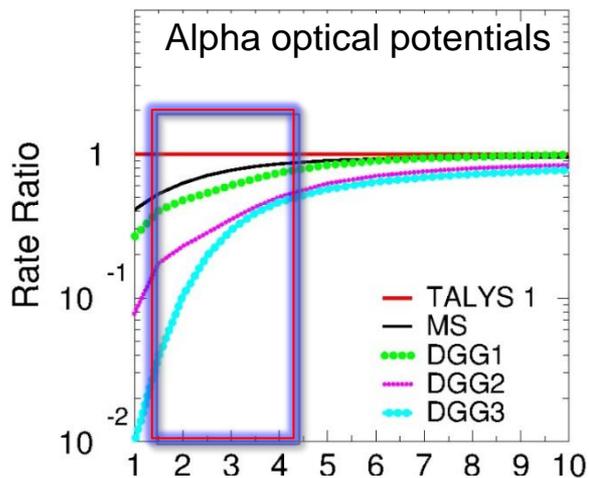


JINA-CEE

# Theoretical uncertainty of $(\alpha, n)$ reaction rates: Nuclear Inputs



Pereira & Montes, PRC (2016)



# Theoretical uncertainty of $(\alpha, n)$ reaction rates: Technical Aspects

- **Nuclear-physics inputs:** e.g. optical potentials, level densities, masses
- **Reaction mechanism:** mostly compound nucleus (Hauser-Feshbach). Others: direct to discrete, preequilibrium...
- **Technical aspects:** internal algorithms used (e.g. binning of excitation energy)

Sensitivity to technical aspects: Compare TALYS and NON-SMOKER rates for  $^{86}\text{Se}(\alpha, n)^{89}\text{Kr}$  when both use same nuclear inputs and reaction mechanisms

# Theoretical uncertainty of $(\alpha, n)$ reaction rates: Summary

**At temperatures relevant for the  $\alpha$ -process ( $T < 4.5$  GK):**

- Strongest effects ( **$\sim 10-100$** ):
  - **Alpha optical potentials**
- Medium effects ( **$\sim 2-10$** ):
  - Contributions from other exclusive channels:  $(\alpha, 2n)$
  - Binning of excitation energy
- Minor effects ( **$\sim 10\%-50\%$** ):
  - Preequilibrium, masses, level densities
  - Proton/neutron OP, level structure
- No effects:
  - Radiative transmission coefficients
  - Width fluctuation correction

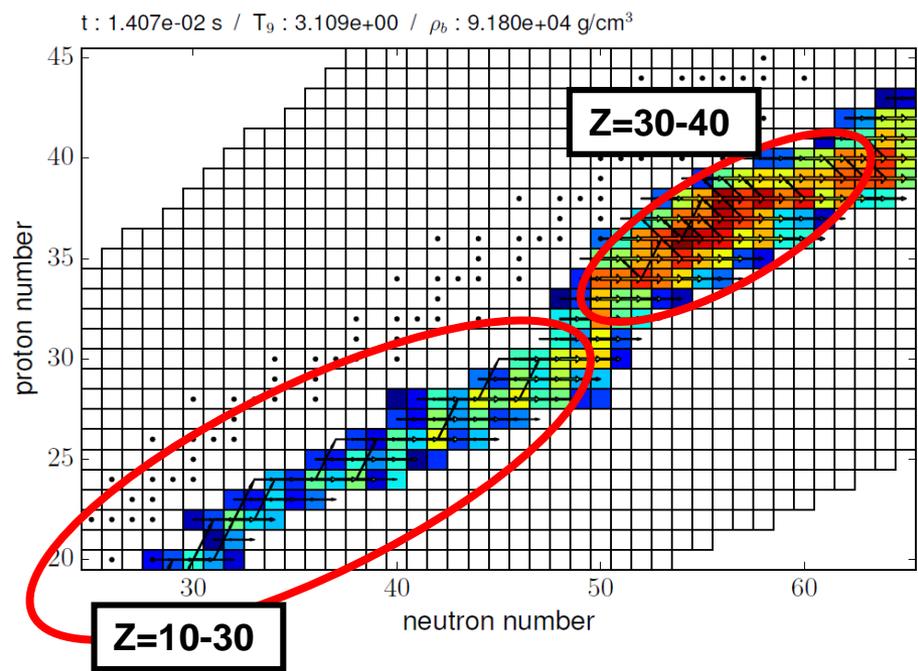


JINA-CEE

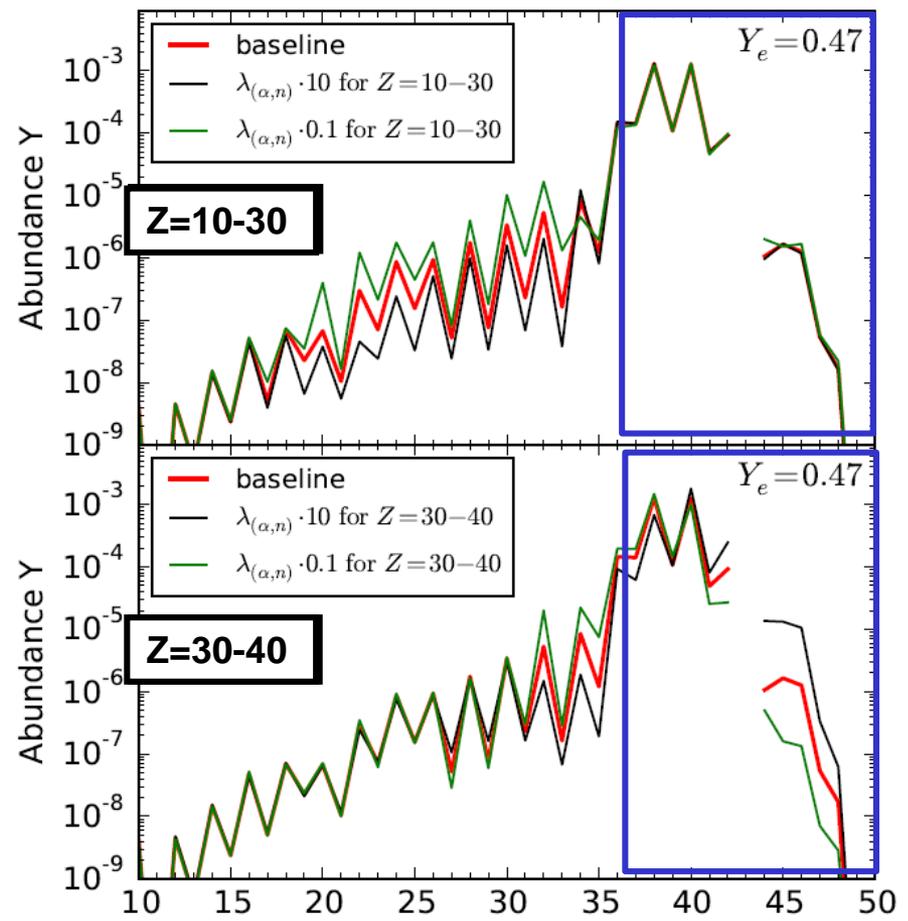
# Sensitivity of light r-process nuclei to $(\alpha, n)$ rates



Abundance sensitivity to  $(\alpha, n)$  reaction rates ( $\sim 200$  reactions)  
→ Network calculations using  $(\alpha, n)$  baseline rates scaled by factor  $p$



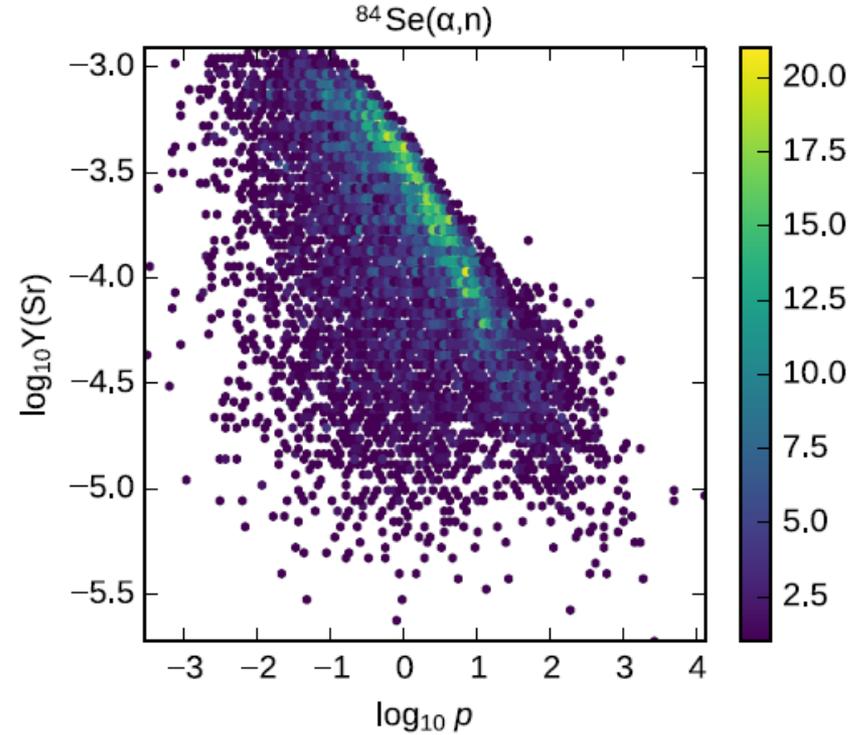
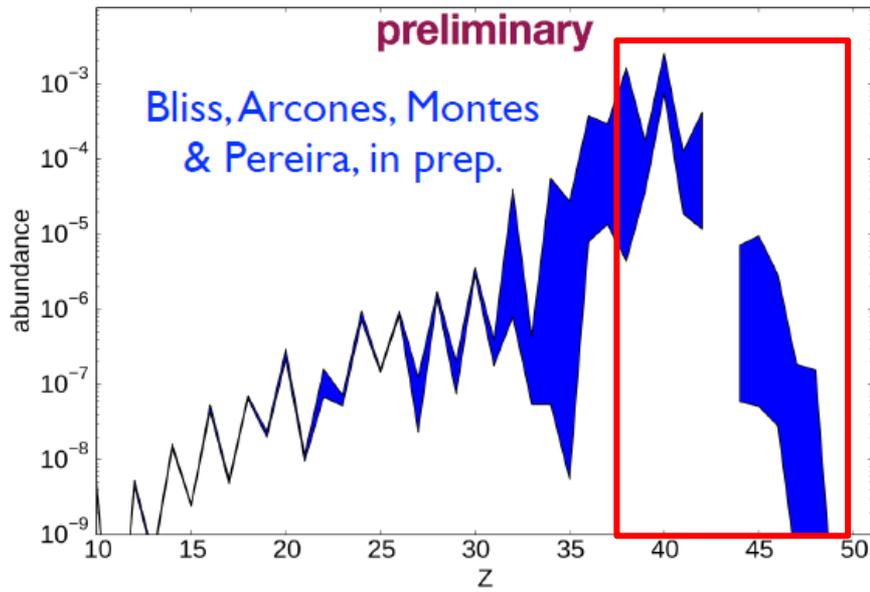
Bliss, Arcones, Montes & Pereira, J. Phys. G (2017)



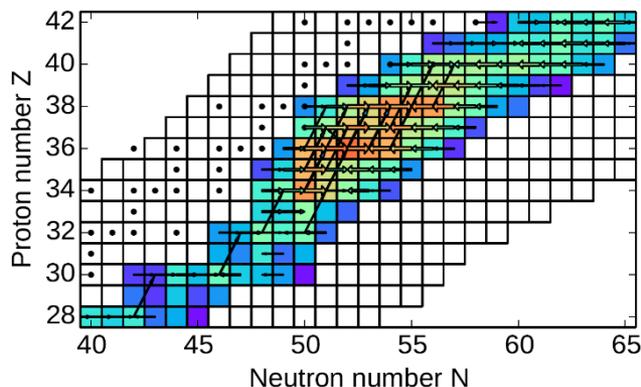
# Sensitivity of light r-process nuclei to $(\alpha, n)$ rates

Refined studies: Monte Carlo "sampling" of  $\mathbf{p}$  for each  $(\alpha, n)$  reaction ( $\sim 200$  reactions)

- 1) Randomly select a different  $\mathbf{p}$  for each reaction (assuming log-normal distribution)
- 2) Run network calculation
- 3) Repeat ... 10000 times!



# Experiment studies of ( $\alpha, n$ ) reactions



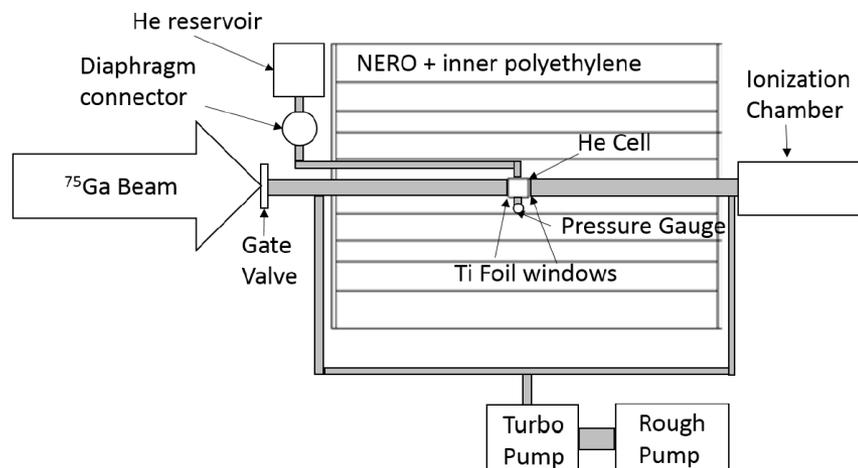
## ( $\alpha, n$ ) cross sections at $T \sim 1-5$ GK

- Detect **exclusive channels**  $1n, 2n \dots$
- **Energy and angle** of neutrons: separate compound-nucleus, preequilibrium, direct

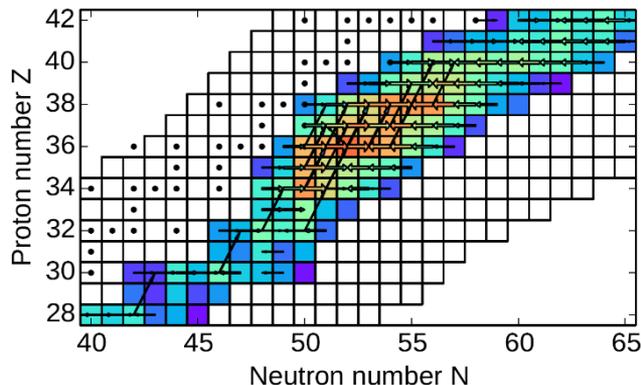
## ( $\alpha, \alpha$ ) elastic scattering: alpha OP



NSCL experiment run in Summer 2016:  $^{75}\text{Ga}(\alpha, n)$  (Ahn, Montes et al.)  
 ReA3  $^{75}\text{Ga}$  beam @ 2-4 MeV/u on He gas cell  
 HABANERO "thermalizes" and detects  $1n$  and  $2n \rightarrow (\alpha, 1n), (\alpha, 2n)$



# Experiment studies of $(\alpha, n)$ reactions



## $(\alpha, n)$ cross sections at $T \sim 1-5$ GK

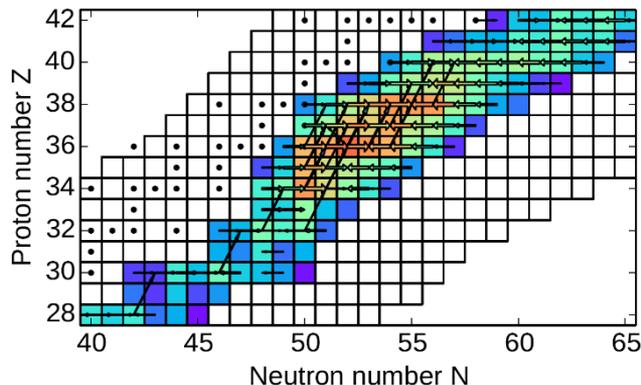
- Detect **exclusive channels**  $1n, 2n...$
- **Energy and angle** of neutrons: separate compound-nucleus, preequilibrium, direct

## $(\alpha, \alpha)$ elastic scattering: alpha OP

**→**  $(\alpha, n)$  studies with **LENDA** (24 plastic SCI bars) → Neutron **energies and angles**  
 !!! Possibility to add more bars to improve efficiency



# Experiment studies of $(\alpha, n)$ reactions



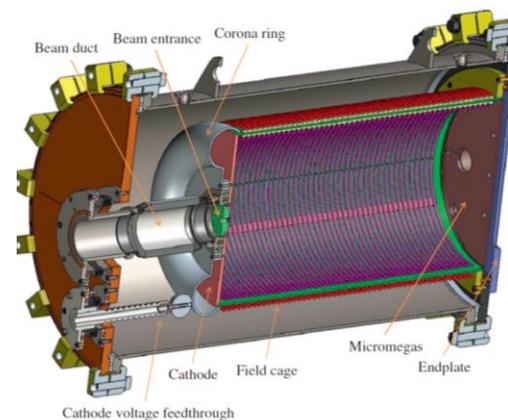
## $(\alpha, n)$ cross sections at $T \sim 1-5$ GK

- Detect **exclusive channels**  $1n, 2n \dots$
- **Energy and angle** of neutrons: separate compound-nucleus, preequilibrium, direct

## $(\alpha, \alpha)$ elastic scattering: alpha OP

➔  $(\alpha, n)$  studies with **LENDA** (24 plastic SCI bars) → Neutron **energies and angles**  
 !!! Possibility to add more bars to improve efficiency

➔ Use of He active targets like AT-TPC [Yassid Ayyad, private communication]:  
 !!! Reconstruct reaction vertex → Precise reaction energies





JINA-CEE

# Conclusions



- Observations of r-process elemental abundances are compatible with two different sources: the H component (responsible for elements with  $Z > 56$ ) and the L component (responsible for elements with  $38 < Z < 56$ )
- Neutron Star mergers are the most likely sites for the synthesis of the H component and part of the L component
- Observation of Kilonova AT 2017gfo confirmed the production of r-process nuclei in NS mergers. Kilonova models need Nuclear Physics data to improve the predicted light curves. Big case for new-generation facilities (FRIB, FAIR)
- Neutrino-Driven winds in CCSNe are very plausible sites for the synthesis of L component. Sensitivity studies show strong impact of  $(\alpha, n)$  uncertainties on network-calculated abundances of light r nuclei
- All  $(\alpha, n)$  reaction rates involved are experimentally unknown. Important experimental studies (isotopes Zn-Zr elements in  $\alpha$ -process path):
  - $(\alpha, n)$  cross sections at  $T \sim 1-5$  GK
  - Measurement of exclusive channels  $(\alpha, 1n)$ ,  $(\alpha, 2n)$ ...
  - Energy and angle of emitted neutrons (separate reaction mechanisms)